Anomalous elasticity and plastic screening in amorphous solids

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Amorphous solids appear to react elastically to small external strains, but in contrast to ideal elastic media, plastic responses abound immediately at any value of the strain. Such plastic responses are quasilocalized in nature, with the "cheapest" one being a quadrupolar source. The existence of such plastic responses results in *screened elasticity* in which strains and stresses can either quantitatively or qualitatively differ from the unscreened theory, depending on the specific screening mechanism. Here we offer a theory of such screening effects by plastic quadrupoles, dipoles, and monopoles, explain their natural appearance, and point out the analogy to electrostatic screening by electric charges and dipoles. For low density of quadrupoles the effect is to normalize the elastic moduli without a qualitative change compared to pure elasticity theory; for higher density of quadrupoles the screening effects result in qualitative changes. Predictions for the spatial dependence of displacement fields caused by local sources of strains are provided and compared to numerical simulations. We find that anomalous elasticity is richer than electrostatics in having a screening mode that does not appear in the electrostatic analog.

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I. INTRODUCTION

Solid matter differs from liquids in its ability to support stress, and the linear theory of elasticity is the framework that attempts to quantify the response of matter to small deformations [1]. In isotropic and homogeneous solids, the shear and bulk moduli, for example, relate the stress response to the external strain. In perfect elastic materials one can also consider nonlinear responses, quantified in terms of nonlinear moduli which relate large deformations to higher order stress responses.

In amorphous solids the situation is less straightforward. Experimentally one observes that stresses increase linearly with strains at small deformations, providing an impression that such solids comply with linear and nonlinear elasticity theory as well as their crystalline counterparts. In fact, research in the last few decades indicates that this is not the case. First, it was shown that amorphous solids suffer from plastic responses [2,3], and in the thermodynamic limit these appear for any infinitesimal deformation [4,5]. Second, the nature of these plastic responses, being quasilocalized, results in the destruction of nonlinear elasticity; the higher order elastic moduli have sample-to-sample fluctuations which are not converging with the increase of the system size; quite on the contrary, these can even diverge [5–8]. Under external shear strain the accumulation of plastic responses can lead to

mechanical failure of amorphous solids through shear banding and the appearance of cracks [9,10].

Having these anomalies in mind, the aim of this paper is to provide a theory of what we term "anomalous elasticity," which in the context of amorphous solids takes into explicit account the existence of prevalent plastic responses. In particular we will argue that the existence of such responses lead to interesting screening phenomena that appear not to have been studied in the context of amorphous solids mechanics. The concept of screening is common to any student of electrostatics [11]. Indeed, the well-known Debye-Hückel approach provided a theoretical explanation for departures from ideality in solutions of electrolytes and plasmas. This theory considered the existence of mobile charges (or monopoles) in solutions, leading to screening effects resulting from the electrostatic interactions between ions and their surrounding clouds. An equally important subject is dielectrics, in which an external electric field induces electric dipoles which in turn interact to screen the inducing field. In the case of electrostatics further multipole expansion was deemed unnecessary in normal statistical physics. We note that these two screening mechanisms are different, the first caused by existing charges and results with qualitatively stronger screening effects compared to the dielectric example, in which only renormalization of the dielectric constants is being observed [11]. One way to effectively distinguish between the types of screening is to measure the spatial decay of the responding field to a local charge. In this paper we examine an analogous approach, con-

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FIG. 1. Displacement field induced by the inflation of one disk at the center of the box by 1%. The displacement vectors are normalized by the maximal one and plotted with the color code shown on the right. These are two different configurations prepared with identical protocols; see Appendix A for details

sidering the responding displacement field of an amorphous solids to a local elastic charge, which is being realized below by a local isotropic inclusion.

We argue here that in analogy to electrostatics, the screening charges are induced and are plastic in nature. We will study systems in mechanical equilibria in which a source of strain is added, and the main question will be what is the resulting screened displacement field [12,13]. As is well known, in classical theory of elasticity, induced displacement field decay at long distances like $1/r^{D-1}$ where r is the distance from the source and D is the space dimension [14]. We will see that in amorphous solids the functional shape of the displacement field depends intimately on the *density* of quadrupolar plastic responses. When their density is low, only renormalization of the elastic moduli is observed, in analogy to dielectrics [15]. Increasing their density, quadrupoles cooperate to form effective dipoles, where qualitatively new screening effects will be predicted and measured, with no immediate electrostatic analog. Finally at extremely high densities of plastic deformation monopoles form, and similar screening effects to Debye-Hückel are expected to exist. In fact, such a situation is tantamount to melting the amorphous solids, bringing us beyond strict anomalous elasticity and therefore beyond the scope of the present paper. This sequence of screening phenomena is analogous to the melting of two-dimensional crystals. At low temperatures only dipole pairs (quadrupoles) renormalize the elastic moduli, then at a critical temperature quadrupoles unbind to form the hexatic phase, in which dipoles are the screening objects [16]. Finally at the melting temperatures monopoles unbind from the dipoles and the solid structure collapses. The difference from the crystalline analog is that the screening objects are not structural, but mechanical, allowing us to formulate an intermediate "hexatic" phase in an isotropic and homogeneous medium.

To illustrate the emerging theory we will consider the response to a local stress increase in an amorphous solid made from a binary mixture of disks which are contained in a circular box; see Appendix A for details of interactions and protocol of preparation. Once brought to mechanical equilibrium at a target pressure, a disk is chosen closest to the center of the box. We then inflate this disk by a small percentage of 1%, and examine the displacement field that is induced by this inflation. In a normal elastic material in radial geometry we expect the displacement field to decay like 1/r. Typical displacement fields obtained form pressure P = 4.5 (for units see Appendix A) and inflation of 1% are shown in Fig. 1. Clearly, the displacement field does *not* appear to decay as expected in normal elastic materials. Examining the radial dependence of the angle-averaged displacement, we find the results reported in Fig. 2. Indeed, we see that the displacement field can even *increase* when r increases, in a striking contradiction with the normal elasticity expectation. We will see below that the theory developed in this paper explains fully and quantitatively this observed behavior and other novel results. Indeed, the continuous lines in Fig. 2 represent the analytic theory that is developed in the present paper.

The structure of this paper is as follows: In Sec. II we remind the reader of the standard theory of the spatial dependence of displacement fields induced by a local elastic charge. Section III will discuss screening by a dilute set of quadrupolar responses. We will see that dilute plastic responses can only renormalize the elastic moduli. Section IV deals with the situation of higher densities of quadrupoles that aggregate to effective dipoles. This section will lead to the theory of anomalous elasticity that can explain the results shown in Figs. 1 and 2. The effects of monopoles are of less immediate interest for us, being related to melting. In Sec. V we demonstrate that the response that results in the observed screening is indeed plastic and not nonaffine elastic. The last section VI will offer a summary of the paper and indications for the road ahead.

II. DISPLACEMENT FIELDS INDUCED BY LOCAL ISOTROPIC CHARGES IN PURELY ELASTIC MATTER

The general strategy throughout the rest of this paper is to construct a field theory for the elastic and plastic displacements, i.e., to identify an effective total energy that describes how they interact [10]. Minimizing this energy will provide constraints that we will show to be sufficient to account for the physical effects identified above.



FIG. 2. Angle-averaged displacement field computed from the data presented in Fig. 1. Together with the data we present in continuous lines the theoretical predictions that are developed for the angle-averaged displacement in this paper. The parameters used in Eq. (45) to fit the data are the following: (a) $r_{\rm in} = 5$, $\kappa = 0.0382$, $d_0 = 0.0368$, $r_{\rm out} = 97.18$; (b) $r_{\rm in} = 2.1$, $\kappa = 0.0372$, $d_0 = 0.0847$, $r_{\rm out} = 98.37$.

To start, we recall in this section how such a formalism accounts for the response of a purely elastic system to local charges.

A. Minimizing the total energy

When the system is purely elastic the energy F can be represented as a sum of two contributions, an internal energy U and the work done by traction forces t on the boundary, denoted W. U is an integral over the stress times the strain. The strain and stress tensors are denoted $u_{\alpha\beta}$, $\sigma^{\alpha\beta}$ and the displacement field by d_{α} . The energy density will be denoted as \mathcal{L} , and in two dimensions the total energy F is

$$F = \int \mathcal{L} d^2 x - \oint t^\beta d_\beta dl,$$

$$\mathcal{L} = \frac{1}{2} A^{\alpha\beta\gamma\delta} u_{\alpha\beta} u_{\gamma\delta} = \frac{1}{2} \sigma^{\alpha\beta} u_{\alpha\beta}, \qquad (1)$$

where A is the usual elastic tensor. The strain is related with the displacement field via

$$u_{\alpha\beta} = \frac{1}{2} (\partial_{\alpha} d_{\beta} + \partial_{\beta} d_{\alpha}).$$
 (2)

Next we minimize the total energy with respect to **d**

$$\delta_d F = \delta_d \int \mathcal{L} d^2 x - \oint t^\beta \delta \, d_\beta \, dl$$
$$= \int d^2 x \sigma^{\alpha\beta} \delta u_{\alpha\beta} - \oint t^\beta \delta \, d_\beta \, dl. \tag{3}$$



FIG. 3. Graphic representation of the solution of Eq. (9) for $r_{in} = 0.01$ and $r_{out} = 1$, with $d_r(r_{in}) = 0.1$ and $d_r(r_{out}) = 0$.

We note that

$$\delta u_{\alpha\beta} = \frac{1}{2} (\partial_{\alpha} \delta \, d_{\beta} + \partial_{\beta} \delta \, d_{\alpha}). \tag{4}$$

Substituting in Eq. (3) and integrating by parts we get

$$\delta_{d}F = \int d^{2}x \sigma^{\alpha\beta} \partial_{\alpha} \delta \, d_{\beta} - \oint t^{\beta} \delta \, d_{\beta} \, dl$$
$$= \oint (\sigma^{\alpha\beta} n_{\alpha} - t^{\beta}) d_{\beta} \, d\ell - \int d^{2}x \partial_{\alpha} \sigma^{\alpha\beta} \delta \, d_{\beta}, \qquad (5)$$

hence

$$\partial_{\alpha}\sigma^{\alpha\beta} = 0, \quad \sigma^{\alpha\beta} n_{\beta}|_{\partial} = t^{\alpha}.$$
 (6)

Upon substituting the expression for the stress tensor in terms of strain, and then using the relation of strain and displacement, we find the equation for the displacement field in the form

$$\Delta \mathbf{d} + \lambda \nabla (\nabla \cdot \mathbf{d}) = 0, \quad \lambda \equiv \frac{1+\nu}{1-\nu}, \tag{7}$$

where ν is the two-dimensional Poisson ratio.

B. Isotropic inclusion

In preparation of the more interesting solutions in the sections ahead, we consider here an annulus of radii r_{in} and r_{out} with an imposed displacement $\mathbf{d}(r_{in}) = d_0 \hat{r}$ and $\mathbf{d}(r_{out}) = 0$. The polar symmetry of the problem implies that $\mathbf{d}(r) = d_r(r)\hat{r}$, in which case the equilibrium equation reduces to

$$\Delta \mathbf{d} = \mathbf{0}.\tag{8}$$

The solution to this differential equation is

$$d_r(r) = d_0 \frac{r^2 - r_{\rm out}^2}{r_{\rm in}^2 - r_{\rm out}^2} \frac{r_{\rm in}}{r}.$$
(9)

Macroscopic behavior is obtained in the double limit $r_{in} \ll r \ll r_{out}$, in which the solution decays as 1/r, as expected in standard elasticity theory. The graphic representation of this solution is exhibited in Fig. 3, showing that the solution goes like 1/r as is expected in standard elasticity theory.

III. THE EFFECT OF DILUTE QUADRUPOLES

In this section we consider the effect of dilute quadrupoles. The final result will be elasticity theory with renormalized elastic moduli. This is already an emergent theory, since the moduli are determined self-consistently by the response of the system.

A. Background: Elastic potential

Equation (6) means that the stress field is divergence free. A divergence-free field can always be represented in terms of an elastic (Airy's) potential [17]:

$$\sigma^{\alpha\beta} = \varepsilon^{\alpha\mu} \varepsilon^{\beta\nu} \partial_{\mu\nu} \chi, \qquad (10)$$

with $\boldsymbol{\varepsilon}$ the antisymmetric tensor. The compatibility condition on the strain tensor, which reflects the interdependence of its components, is

$$\varepsilon^{\alpha\mu}\varepsilon^{\beta\nu}\partial_{\mu\nu}u_{\alpha\beta} = 0. \tag{11}$$

Upon combining the stress representation with the compatibility condition we find

$$\Delta \Delta \chi = 0. \tag{12}$$

This is the well-known biharmonic equation that was treated extensively in the literature; cf. [18].

B. Background: Elastic charges

Sources of stress can be incorporated into the theory by adding them to the biharmonic equation

$$\Delta \Delta \chi(x) = \rho(x). \tag{13}$$

Generally speaking, the source function $\rho(x)$ depends on how the source is introduced. But in the far-field approximation we can consider the sources as singular for the biharmonic equation. Singular sources can be represented by a multipole expansion according to

$$\rho(x) = m\,\delta(x) + b^{\alpha}\partial_{\alpha}\delta(x) + q^{\alpha\beta}\partial_{\alpha\beta}\delta(x) + \cdots \,. \tag{14}$$

The monopole and dipole charges m and b describe disclinations and dislocations: they are relevant in crystals, but topologically precluded, hence irrelevant, in amorphous media. In this case, the quadrupole term describes the first nontopological source with the charge q related to the well-known eigenstrain of an Eshelby inclusion [19]. The quadrupolar charge has the dimension of an area. Below we will denote the magnitude of the eigenstrain as q. An extensive presentation and discussion of elastic charges within the linear theory is given in Refs. [20–22]. A generalization to nonlinear elasticity can be found in Ref. [23].

Of particular importance is the Green's function associated with the response to quadrupolar charges, which solves the equation

$$\Delta \Delta G_{\alpha\beta}(x) = \partial_{\alpha\beta}\delta(x). \tag{15}$$

Using the linearity of the equation we relate $G_{\alpha\beta}(x)$ with the derivatives of the monopole Green's function [20]

$$G_{\alpha\beta}(x) = \frac{1}{16\pi} \partial_{\alpha\beta} [|x|^2 (\log |x|^2 - 1)].$$
(16)

C. Microscopic description and mean-field theory

When the density of quadrupoles is low, there exist a scale separation $\sqrt{q} \ll \ell_q \ll L$. Here ℓ_q is the typical distance

between quadrupoles, and L is the system size. The total quadrupole charge in a small area ΔS is

$$q_{\text{tot}}^{\alpha\beta} = \sum_{x_i \in \Delta S} q_i^{\alpha\beta} \delta(x - x_i).$$
(17)

The elastic potential induced by a quadrupolar charge $q^{\alpha\beta}$ located at x' is denoted $G_{\alpha\beta}(x - x')$, hence the total elastic potential is

$$\chi = \sum_{i} q_i^{\alpha\beta} G_{\alpha\beta}(x - x_i).$$
(18)

In the case of low quadrupole density Eq. (18) is rewritten in the continuum limit in the form

$$\chi(x) = \int d^2 x' \, Q^{\alpha\beta}(x') G_{\alpha\beta}(x-x'), \qquad (19)$$

where $d^2 x' Q^{\alpha\beta}(x') \equiv q_{\text{tot}}^{\alpha\beta}$

We define the stress and strain Green's functions

$$G^{\mu\nu}{}_{\alpha\beta}(\sigma; x - x') = \varepsilon^{\mu\rho} \varepsilon^{\nu\eta} \partial_{\rho\eta} G_{\alpha\beta}(x - x'),$$

$$G_{\mu\nu\alpha\beta}(u; x - x') = A_{\mu\nu\rho\eta} G^{\rho\eta}{}_{\alpha\beta}(x - x').$$
 (20)

From these Green's functions we can compute the extra strain and stress which are induced by the quadrupole field, denoted as $\sigma^{\mu\nu}(x|Q)$, $u_{\mu\nu}(x|Q)$:

$$\sigma^{\mu\nu}(x|Q) = \int d^2 x' G^{\mu\nu}_{\alpha\beta}(\sigma; x - x') Q^{\alpha\beta}(x'),$$
$$u_{\mu\nu}(x|Q) = \int d^2 x' G_{\mu\nu\alpha\beta}(u; x - x') Q^{\alpha\beta}(x').$$
(21)

D. Mean-field equations

In general, the total strain in a given system is not determined only by the density of quadrupoles, there can be additional mechanical sources of strain. We therefore write the total strain in the system as

$$u_{\alpha\beta}(x) = u_{\alpha\beta}^{\rm el}(x) + u_{\alpha\beta}(x|Q), \qquad (22)$$

where $u_{\alpha\beta}^{\text{el}}(x)$ is the "normal" strain field. The energy functional, from which the equilibrium equations are derived, contains now contributions from both the quadrupoles and the normal elastic fields [10]. In other words,

$$U = U_{\rm el} + U_{\rm QQ} + U_{\rm Q-el}.$$
 (23)

Finding the actual form of these three interactions requires starting from the detailed energetic considerations as done, for example, in Ref. [10]. This calculation is presented in Appendix B, with the final result reading

$$U_{\rm el} = \int d^2 x \frac{1}{2} A^{\alpha\beta\gamma\delta} u_{\alpha\beta} u_{\gamma\delta},$$

$$U_{\rm QQ} = \int d^2 x \frac{1}{2} \Lambda_{\alpha\beta\gamma\delta} Q^{\alpha\beta} Q^{\gamma\delta},$$

$$U_{\rm Q-el} = \int d^2 x \Gamma^{\alpha\beta}_{\gamma\delta} u_{\alpha\beta} Q^{\gamma\delta},$$
(24)

where Λ and Γ are appropriate coupling tensors. We note that in principle neither the total strain field $u_{\alpha\beta}$ nor $Q^{\alpha\beta}$ is *a priori* known even when the external loads are given. Both will have to be computed by minimizing the energy with respect to them.

E. Minimization of the energy functional

Upon minimizing (23) with respect to the fundamental fields d and Q we find

$$\delta_{Q}U = \delta_{Q} \int \mathcal{L}d^{2}x = \int d^{2}x (\Lambda_{\alpha\beta\gamma\delta}Q^{\alpha\beta} + \Gamma^{\alpha\beta}_{\gamma\delta}u_{\alpha\beta})\delta Q^{\gamma\delta},$$

$$\delta_{d}U = \delta_{d} \int \mathcal{L}d^{2}x = \int d^{2}x (\sigma^{\alpha\beta}\delta u_{\alpha\beta} + \Gamma^{\alpha\beta}_{\gamma\delta}Q^{\gamma\delta}\delta u_{\alpha\beta}).$$

(25)

From the first equation we get a linear screening relation (analogous to the linear relation between electric field and induced polarization in dielectric materials)

$$Q^{\alpha\beta} = -\Lambda^{\alpha\beta\mu\nu}\Gamma^{\gamma\delta}_{\mu\nu}u_{\gamma\delta} \equiv -\tilde{\Lambda}^{\alpha\beta\gamma\delta}u_{\gamma\delta}, \qquad (26)$$

where $\Lambda^{\alpha\beta\mu\nu}$ is the inverse of $\Lambda_{\alpha\beta\mu\nu}$. Substituting in Eq. (25) and integrating by parts we get

$$\delta_{d}U = \int d^{2}x \left(\sigma^{\alpha\beta}\partial_{\alpha}\delta d_{\beta} + \Gamma^{\alpha\beta}_{\gamma\delta}Q^{\gamma\delta}\partial_{\alpha}\delta d_{\beta}\right)$$

$$= \oint \left(\sigma^{\alpha\beta} + \Gamma^{\alpha\beta}_{\gamma\delta}Q^{\gamma\delta}\right) n_{\alpha}\delta d_{\beta}d\ell$$

$$-\int d^{2}x \partial_{\alpha} \left(\sigma^{\alpha\beta} + \Gamma^{\alpha\beta}_{\gamma\delta}Q^{\gamma\delta}\right) \delta d_{\beta}, \qquad (27)$$

hence

$$\partial_{\alpha} \left(\sigma^{\alpha\beta} + \Gamma^{\alpha\beta}_{\gamma\delta} Q^{\gamma\delta} \right) = 0.$$
 (28)

Combining (26) with (28) we find an equation of the form

$$D^{\alpha\beta}_{\tau\mu}\partial_{\alpha}\sigma^{\tau\mu} = 0 \tag{29}$$

with *D* an invertible tensor depending on the coupling tensors Γ , Λ , A. Upon inversion we get the standard equilibrium equation $\partial_{\alpha}\sigma^{\alpha\beta} = 0$. The effective elastic properties are obtained by substituting the constitutive relation (26) in the energy density (23):

$$\mathcal{L} = \frac{1}{2} A^{\mu\nu\rho\sigma} u_{\mu\nu} u_{\rho\sigma} + \frac{1}{2} \Lambda_{\alpha\beta\gamma\delta} Q^{\alpha\beta} Q^{\gamma\delta} + \Gamma^{\alpha\beta}_{\gamma\delta} u_{\alpha\beta} Q^{\gamma\delta}$$

$$= \frac{1}{2} A^{\mu\nu\rho\sigma} u_{\mu\nu} u_{\rho\sigma} + \frac{1}{2} \Lambda_{\alpha\beta\gamma\delta} (-\tilde{\Lambda}^{\alpha\beta\mu\nu} u_{\mu\nu}) (-\tilde{\Lambda}^{\gamma\delta\rho\sigma} u_{\rho\sigma})$$

$$+ \Gamma^{\mu\nu}_{\gamma\delta} u_{\mu\nu} (-\tilde{\Lambda}^{\gamma\delta\rho\sigma} u_{\rho\sigma}) \equiv \frac{1}{2} \tilde{A}^{\mu\nu\rho\sigma} u_{\mu\nu} u_{\rho\sigma}$$
(30)

with

$$\tilde{A}^{\mu\nu\rho\sigma} = A^{\mu\nu\rho\sigma} + \Lambda_{\alpha\beta\gamma\delta}\tilde{\Lambda}^{\alpha\beta\mu\nu}\tilde{\Lambda}^{\gamma\delta\rho\sigma} - 2\Gamma^{\mu\nu}_{\gamma\delta}\tilde{\Lambda}^{\gamma\delta\rho\sigma}.$$
 (31)

We see that the renormalization of the quadrupole-quadrupole interactions results with a linear constitutive relation between inducing stress and induced quadrupoles which then renormalizes the elastic tensor [15]. This is the analog of the situation in dielectrics where the dielectric constant is renormalized by the induced dipoles. In the next subsection we provide examples of this situation.

F. Numerical examples

To test our theory of the effect of low density quadrupoles we employ the same system that gave rise to the results shown in Fig. 2, but at much higher pressure, P = 40 and P = 60. We



FIG. 4. Displacement field for P = 40. Inset: the displacement field caused by inflating one disk closest to the origin by 1%. Here the displacement vectors are normalized and color coded as in Fig. 1. Shown also is the fit of Eq. (9) to the angle-averaged displacement. Here the parameters used in the fit are $d_0 = 0.03028$, $r_{in} = 3.268$, $r_{out} = 82.74$.

expect that at higher pressures the density of plastic events will be much lower, and indeed this is what we see; cf. the displacement maps in panel (a) of Figs. 4 and 5. Here the vectors of the displacement field are multiplied by a factor of 40 (compared to 10 for P = 4.5). One can observe immediately that the displacement field is now concentrated near the inflated disk and decays towards the outer boundary, as



FIG. 5. Displacement field for P = 60. Inset: the displacement field caused by inflating one disk closest to the origin by 1%. The displacement vectors are normalized and color coded as in Fig. 1 Shown also is the fit of Eq. (9) to the angle-averaged displacement. Here the parameters used in the fit are $d_0 = 0.03487$, $r_{\rm in} = 3.631$, $r_{\rm out} = 81.9$. We note that $r_{\rm in}$ is of the order of the inclusion, and $r_{\rm out}$ of the system radius.

is expected in (renormalized) elasticity. The angle-averaged displacement fields is computed from these maps and are shown together with the solution Eq. (9) in panel (b) of these figures. We should stress that one does not expect agreement at low values of r before the continuum limit that is used here becomes valid. We see that for $r \ge 10$ the continuum approximate fits extremely well the measured data.

IV. CONTINUUM THEORY FOR HIGHER DENSITIES OF QUADRUPOLES

A. Constructing the theory

The previous section indicated that we can continue our study following the common approach in constructing energy densities employing the natural interacting fields, by identifying the scalars that are consistent with the symmetries of the system. Equation (23) is the most general quadratic energy that is isotropic and homogeneous, containing a density of quadrupoles interacting with the elastic fields of a solid. Motivated by the celebrated hexatic phase in 2D crystals [16], we now consider the case in which the pressure on the amorphous solid is sufficiently low (equivalent to high temperature in the 2D melting problem), such that quadrupoles assemble to dipoles. The quadrupoles tend to align in two dimensions, sometimes forming shear bands [9,10]. In this case the amorphous solid is modeled as an elastic solid containing a density of quadrupoles and dipoles.

In the previous section we assumed that the density of quadrupoles is low, allowing us to neglect higher order terms in the multipole expansion, and in particular the gradient of the quadrupole density in the passage from Eq. (18) to Eq. (19). Presently we consider higher densities of quadrupoles, forcing us to add to the energy functional gradients of the quadrupolar field.

To understand how dipoles are coupled to the elastic field we can look at the quadrupole-strain coupling and perform integration by parts to find

$$\int d^{2}x \Gamma^{\alpha\beta}_{\gamma\delta} u_{\alpha\beta} Q^{\gamma\delta} = \int d^{2}x \Gamma^{\alpha\beta}_{\gamma\delta} \partial_{\alpha} d_{\beta} Q^{\gamma\delta}$$
$$= \oint dl \Gamma^{\alpha\beta}_{\gamma\delta} d_{\beta} Q^{\gamma\delta} n_{\alpha}$$
$$- \int d^{2}x \Gamma^{\alpha\beta}_{\gamma\delta} d_{\beta} \partial_{\alpha} Q^{\gamma\delta}. \qquad (32)$$

This implies that quadrupole density gradients couple with elasticity via the displacement field. In parallel with this observation, we should recall that any high-order tensor comprises subsets of its components that are essentially lower rank tensors. This is how stress decomposes into pressure (a scalar) and deviators (purely rank-2). The quadrupole density gradient $\partial_{\alpha}Q^{\gamma\delta}$ is a rank-3 tensor and comprises dipole contributions, such as $\partial_{\beta}Q^{\alpha\beta}$ or $\partial_{\alpha}Q^{\beta\beta}$. For reasons of symmetry, only these components may couple statistically with the displacement field, not the rest, which is purely rank-3. Dipoles, hence, are expected to be responsible for the dominant effect arising from quadrupole density gradients.

This is similar to the physics underlying the celebrated hexatic phase in 2D crystals [16]. We thus expect that at sufficient low pressure (equivalent to high temperature in the

2D melting problem), the increasing density of quadrupoles leads to the emergence of dipole contributions. In such conditions, hence, the amorphous solid has to be modeled as an elastic solid containing a density of quadrupoles and dipoles. Since we found above that the effect of quadrupoles alone is limited to renormalizing the elastic tensor, and since either dipole field would play a similar role, we can focus, for simplicity, on the consequences of introducing a single dipole field, say, $P^{\alpha} \equiv \partial_{\beta} Q^{\alpha\beta}$. The most general isotropic and homogeneous quadratic energy then reads

$$\mathcal{L} = \frac{1}{2} A^{\mu\nu\rho\sigma} u_{\mu\nu} u_{\rho\sigma} + \frac{1}{2} \Lambda_{\alpha\beta} P^{\alpha} P^{\beta} + \Gamma^{\alpha}_{\ \beta} d_{\alpha} P^{\beta}.$$
(33)

At this point we should remind the reader that the notation using the dipole field P^{α} does not change the fact that the minimization of \mathcal{L} should be done with respect to the fundamental field d_{α} and $Q^{\alpha\beta}$. Minimization with respect to Pwould violate the conservation of dipoles. To make this point obvious we write the energy density in the explicit form

$$\mathcal{L} = \frac{1}{2} A^{\mu\nu\rho\sigma} u_{\mu\nu} u_{\rho\sigma} + \frac{1}{2} \Lambda_{\alpha\beta} \partial_{\mu} Q^{\mu\alpha} \partial_{\nu} Q^{\nu\beta} + \Gamma_{\alpha}^{\ \beta} \partial_{\mu} Q^{\mu\alpha} d_{\beta}.$$
(34)

Upon minimizing with respect to the fundamental fields d and Q we find

$$\delta_{Q}S = \delta_{Q} \int \mathcal{L}d^{2}x = \int d^{2}x (\Lambda_{\alpha\beta}P^{\alpha} + \Gamma^{\alpha}_{\beta}d_{\alpha})\delta P^{\beta},$$

$$\delta_{d}S = \delta_{d} \int \mathcal{L}d^{2}x = \int d^{2}x (\sigma^{\alpha\beta}\delta u_{\alpha\beta} + \Gamma^{\alpha}_{\beta}P^{\beta}\delta d_{\alpha}). \quad (35)$$

From the first equation we get a linear screening relation

$$P^{\alpha} = -\Lambda^{\alpha\beta} \Gamma^{\gamma}_{\beta} d_{\gamma}. \tag{36}$$

In the second equation we use the relation between strain and displacement Eq. (4). Substituting Eq. (4) and integrating by parts we get

$$\delta_{d}U = \int d^{2}x \left(\sigma^{\alpha\beta}\partial_{\alpha}\delta d_{\beta} + \Gamma^{\beta}_{\alpha}P^{\alpha}\delta d_{\beta}\right)$$
$$= \oint \sigma^{\alpha\beta}n_{\alpha}\delta d_{\beta}d\ell$$
$$-\int d^{2}x \left(\partial_{\alpha}\sigma^{\alpha\beta} + \Gamma^{\beta}_{\alpha}P^{\alpha}\right)\delta d_{\beta}, \qquad (37)$$

hence

$$\partial_{\alpha}\sigma^{\alpha\beta} = -\Gamma^{\beta}_{\alpha}P^{\alpha}.$$
 (38)

Combining (36) with (38) we find

$$\partial_{\alpha}\sigma^{\alpha\beta} = -\Gamma^{\beta}_{\alpha}P^{\alpha} = \Gamma^{\beta}_{\alpha}\Lambda^{\alpha\mu}\Gamma^{\gamma}_{\mu}d_{\gamma}.$$
 (39)

We see that the displacement field acts as a screening source in the equilibrium equation. We now rewrite this equation by substituting the stress in terms of strain, and the strain in terms of the displacement. In isotropic and homogeneous materials the coupling tensors have the following forms:

$$\mathcal{A}^{\alpha\beta\gamma\delta} = \lambda_1 g^{\alpha\beta} g^{\gamma\delta} + \lambda_2 (g^{\alpha\gamma} g^{\beta\delta} + g^{\alpha\delta} g^{\beta\gamma}),$$

$$\Gamma^{\beta}_{\alpha} = \mu_1 g^{\alpha}_{\beta},$$

$$\Lambda^{\alpha\beta} = \mu_2 g^{\alpha\beta},$$
(40)



FIG. 6. The solutions of Eq. (45) for different values of the parameter κ . Here $r_{\rm in} = 0.01$ and $r_{\rm out} = 1$, with $d_r(r_{\rm in}) = 0.1$ and $d_r(r_{\rm out}) = 0$.

with g the Euclidean metric tensor. Direct substitution yields

$$\lambda_2 \Delta \mathbf{d} + (\lambda_1 + \lambda_2) \nabla (\nabla \cdot \mathbf{d}) = \mu_1 \mathbf{P} = -\frac{\mu_1^2}{\mu_2} \mathbf{d}, \qquad (41)$$

or in a simpler form

$$\Delta \mathbf{d} + \left(1 + \frac{\lambda_1}{\lambda_2}\right) \nabla (\nabla \cdot \mathbf{d}) = -\frac{\mu_1^2}{\mu_2 \lambda_2} \mathbf{d}.$$
 (42)

The screening effect is negligible when $\frac{\mu_1^2}{\mu_2\lambda_2} \ll 1$. At low pressures $\lambda_2 \rightarrow 0$ and the screening effect dominates. Unlike the quadrupole screening, dipole screening leads to a qualitatively new behavior.

B. Response to particle inflation

Presently we discuss simulations that are identical in protocol and aim as those discussed in Sec. III F, but at much lower pressure, P = 4.5. A disk which is closest to the origin was inflated by 1%. Upon assuming polar symmetry Eq. (42) reduces to

$$\Delta \mathbf{d} = -\frac{\mu_1^2}{\mu_2(\lambda_1 + 2\lambda_2)} \mathbf{d} \equiv -\kappa^2 \, \mathbf{d}. \tag{43}$$

In polar coordinates

$$d_r'' + \frac{1}{r}d_r' + \left(\kappa^2 - \frac{1}{r^2}\right)d_r = 0.$$
 (44)

This is the Bessel equation. A solution of this equation satisfying $d_r(r_{in}) = d_0$, $d_r(r_{out}) = 0$ reads

$$d_{r}(r) = d_{0} \frac{Y_{1}(r\kappa)J_{1}(r_{\text{out}}\kappa) - J_{1}(r\kappa)Y_{1}(r_{\text{out}}\kappa)}{Y_{1}(r_{\text{in}}\kappa)J_{1}(r_{\text{out}}\kappa) - J_{1}(r_{\text{in}}\kappa)Y_{1}(r_{\text{out}}\kappa)}.$$
 (45)

Here J_1 and Y_1 are the Bessel functions of the first and second kind, respectively. The solution of this equation for different values of κ are shown in Fig. 6. We note that the length scale that is apparent from the appearance of a maximum in Fig. 6 is determined by the inverse of κ . Whether a maximum will appear, or whether several maxima will show up, depends on the ratio between r_{out} and κ^{-1} .

C. Comparison to the simulations

The simulations of our circular box with configurations of binary disks serves admirably to test the theory and the applicability of Eq. (45). Repeating the same kind of simulations that led to Figs. 4 and 5, but at much lower pressure P = 4.5, we get typical displacement fields as seen in Fig. 1. Fitting Eq. (45) to the angle-averaged displacement field we get Fig. 2. Remembering that we should not expect a perfect fit of a continuum theory neither at r_{in} nor at r_{out} , the quality of the fit seen is more than satisfactory. We thus propose that the theory presented above appears quite relevant at least to model amorphous solids. Of course, comparisons to experimental system is highly desirable and will be part of our future research.

V. PLASTIC OR ELASTIC?

Before summarizing the paper, we need to ascertain that the displacement fields shown in Figs. 1, 4, and 5 are indeed resulting from plastic responses. It is well known that upon applying strain to an amorphous solid, the displacement field is partly affine (following precisely the applied strain) and partly nonaffine. The nonaffine responses can be reversible or irreversible. Only the latter can be referred to as plastic. A straightforward way to test the reversibility of a measured displacement field is simply to revert the applied perturbation. In the present cases, in which we always inflated a central disk by 1%, we can deflate it back, and measure the displacement field (after the deflation) compared to the original configuration. We show two typical results of this test in Figs. 7 and 8. The first of these refers to the high pressure P = 60. In the upper panel we display the displacement field after the inflation, and in the lower panel after the deflation. We see that there is essentially no change.

The second of these tests is performed at P = 4.5. The displacement fields after inflation and after deflation are shown again in the upper and lower panels, respectively, this time in Fig. 8.

The conclusion is that upon deflation the displacement field is not annulled. In fact, it remains invariant, showing unequivocally that we are dealing with nonaffine plastic responses that are not reversible. This should be considered as a distinction from dielectrics, in which dipoles annul when the electric field is switched off.

VI. SUMMARY AND THE ROAD AHEAD

In summary, we have shown that the ever-existing plastic responses in amorphous solids should be carefully taken into account in assessing their implications for the mechanical properties of the host materials. The displacement field in response to a local source of stress can differ enormously from the expectation of classical elasticity theory. Instead of decaying like $1/r^{D-1}$ the displacement field can increase, decrease, and oscillate as a function of r, and it is all dependent on the density of quadrupolar plastic responses, their gradients (dipoles), and in extreme cases also monopoles. We have demonstrated that measured displacement fields in model systems of amorphous configurations of binary disks in which one disk close to the origin is slightly inflated agree



FIG. 7. Comparison of typical displacement fields with respect to the unperturbed configuration, P = 60. Upper panel: after inflation. Lower panel: after deflation.

excellently well with the theory that was developed in this paper.

It is worthwhile to reiterate that the kind of screening discussed here is analogous, but in fact richer, than the electrostatic counterpart. While in electrostatics one is concerned with charges and dipoles, here we have three possible screening agents, monopoles, dipoles, and quadrupoles. The case with small density of quadrupoles is analogous to dielectrics, having only renormalization of the material parameters. The interesting situation of screening dipoles in elasticity, for which the displacement field changes qualitatively, does not



FIG. 8. Comparison of typical displacement fields with respect to the unperturbed configuration, P = 4.5. Upper panel: after inflation. Lower panel: after deflation.

have an analog in electrostatics. Finally, monopoles may become relevant, but we did not deal with them explicitly since we expect that their appearance will be accompanied with the melting (or unjamming) of our amorphous solids.

Finally, we want to stress that the theory presented above is not limited to amorphous solids. The way that the theory was constructed, using the scalar fields that are allowed by symmetry, opens up the application of the theory to any situation in which elasticity is accompanied by induced relaxation mechanisms. Such extensions will be provided in future publications.

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APPENDIX A: SYSTEM PREPARATION AND PROTOCOLS

We investigate frictionless assemblies of circular disks that are at mechanical equilibrium, prepared with a desired target pressure P and confined in a circular two-dimensional box with a fixed outer wall. Open source codes (LAMMPS [24]) are used to perform the simulations. Every simulation begins with a dilute (area ratio $\phi = 0.45$) configuration of N =16 000 bidisperse disks placed randomly in a circular box with a radius $r_{out} = 80$ in SI units. Initially, half of the disks have a radius $R_1 = 0.35$ and the other half a radius $R_2 = 0.49$, both in SI units. To reach a desired pressure the diameter of all the particles are inflated by a small factor (1.00004) in each step, and the system is relaxed to mechanical equilibrium after every inflation step by solving Newton's second law of motion with damping. This process is carried out until the desired target pressure is reached.

The normal contact force is Hertzian, following the Discrete Element Method of Ref. [25]. The tangential contact force is zero as the system is frictionless. Let us consider two particles *i* and *j*, at positions \mathbf{r}_i , \mathbf{r}_j with velocities \mathbf{v}_i , \mathbf{v}_j . Two particles interact if and only if there is an overlap, i.e., if the relative normal compression $\Delta_{ij}^{(n)} = D_{ij} - r_{ij} > 0$, where $r_{ij} = |\vec{r}_{ij}|$, $\mathbf{r}_{ij} = \vec{r}_i - \vec{r}_j$, $D_{ij} = R_i + R_j$, and R_i , R_j the radii of disks *i* and *j*. The normal unit vector is denoted as $\vec{n}_{ij} = \vec{r}_{ij}/r_{ij}$. Normal component of the relative velocity at contact is given as

$$\boldsymbol{v}_{ii}^{(n)} = (\boldsymbol{v}_{ij}.\boldsymbol{n}_{ij})\,\boldsymbol{n}_{ij}.\tag{A1}$$

The normal force exerted by grain *j* on *i* is

$$\boldsymbol{F}_{ij}^{(n)} = k_n \Delta_{ij}^{(n)} \boldsymbol{n}_{ij} - \frac{\gamma_n}{2} \boldsymbol{v}_{ij}^{(n)}, \qquad (A2)$$

where

$$k_n = k'_n \sqrt{\Delta_{ij}^{(n)} R_{ij}^{-1}},$$

$$\gamma_n = \gamma'_n \sqrt{\Delta_{ij}^{(n)} R_{ij}^{-1}},$$
(A3)

with $R_{ij}^{-1} \equiv R_i^{-1} + R_j^{-1}$. k'_n is the normal spring stiffness. The parameter γ'_n is the viscoelastic damping constant. In the current simulations, the stiffness, $k_n = 2 \times 10^6$ N/m in SI units. The mass of each disk is m = 1 in SI units. Each particle is inflated by a small factor followed by subsequent relaxation, annulling the total forces on each disk until they are smaller than 10^{-7} in SI units. This process is continued until a mechanically stable configuration is generated at a desired pressure *P* in SI units.

After achieving a mechanically stable configurations at a target pressure, we choose the disk with larger diameter that is closest to the center of the simulation box and inflate it by 1%. We then measure the displacement field that is induced by this inflation. This is the data shown throughout this paper.

APPENDIX B: MICROSCOPIC DERIVATION OF EQS. (24)

The detailed representation of the energy was presented in Ref. [10]. We show now that the present equations are equivalent. As an example consider the first term in (24):

$$\begin{split} U_{\rm el} &= \int d^2 x \frac{1}{2} A^{\alpha\beta\gamma\delta} u_{\alpha\beta}(x) u_{\gamma\delta}(x) \\ &= \int d^2 x \frac{1}{2} A^{\alpha\beta\gamma\delta} u_{\alpha\beta}^{\rm el}(x) u_{\gamma\delta}^{\rm el}(x) \\ &+ \int d^2 x A^{\alpha\beta\gamma\delta} u_{\alpha\beta}^{\rm el}(x) u_{\gamma\delta}^{\rm Q}(x) \\ &+ \int d^2 x \frac{1}{2} A^{\alpha\beta\gamma\delta} u_{\alpha\beta}^{\rm Q}(x) u_{\gamma\delta}^{\rm Q}(x). \end{split}$$
(B1)

Here the first term corresponds to the self-energy associated with the bare elastic fields. The second term vanishes identically. To see this we have to substitute the explicit expression for $G^{u}_{\gamma\delta\mu\nu}$ and perform integration by parts twice with respect to *x* to obtain an integrand proportional to $\varepsilon^{\alpha\mu}\varepsilon^{\beta\nu}\partial_{\mu\nu}u^{\rm el}_{\alpha\beta}$, which is the compatibility condition on the bare strain.

The last term describes the interactions between induced quadrupoles located at different points:

$$\int d^{2}x \frac{1}{2} A^{\alpha\beta\gamma\delta} u^{Q}_{\alpha\beta}(x) u^{Q}_{\gamma\delta}(x)$$

$$= \int d^{2}x d^{2}x' d^{2}x'' \frac{1}{2} A^{\alpha\beta\gamma\delta} G^{u}_{\alpha\beta\mu\nu}(x-x')$$

$$\times G^{u}_{\gamma\delta\rho\sigma}(x-x'') Q^{\mu\nu}(x') Q^{\rho\sigma}(x'')$$

$$= \int d^{2}x' d^{2}x'' \frac{1}{2} Q^{\mu\nu}(x') Q^{\rho\sigma}(x'')$$

$$\times \int d^{2}x A^{\alpha\beta\gamma\delta} G^{u}_{\alpha\beta\mu\nu}(x-x') G^{u}_{\gamma\delta\rho\sigma}(x-x'')$$

$$\equiv \int d^{2}x' d^{2}x'' \frac{1}{2} Q^{\mu\nu}(x') Q^{\rho\sigma}(x'') \Lambda_{\mu\nu\rho\sigma}(x'-x''). \quad (B2)$$

This expression is ill defined in the case $x' - x'' \rightarrow 0$. Therefore renormalization techniques are required, where a cutoff length scale is introduced to regularize the integral, representing the quadrupoles' core size. This result with an additional term describing quadrupoles' self-interactions, as in the second expression in (24).

The third term in (24) has two contributions: a quadrupolequadrupole interaction term, correcting the coefficient of (B2), and a quadrupole-strain term

$$U_{\text{Q-el}} = \int d^2 x \Gamma^{\alpha\beta}_{\gamma\delta} u_{\alpha\beta} Q^{\gamma\delta} = \int d^2 x \Gamma^{\alpha\beta}_{\gamma\delta} u^{\text{el}}_{\alpha\beta} Q^{\gamma\delta} + \int d^2 x d^2 x' \Gamma^{\alpha\beta}_{\gamma\delta} G^u_{\alpha\beta\mu\nu}(x-x') Q^{\gamma\delta}(x) Q^{\mu\nu}(x'),$$
(B3)

Wrapping it all together we find

$$U = \int d^2x \frac{1}{2} A^{\alpha\beta\gamma\delta} u^{\text{el}}_{\alpha\beta}(x) u^{\text{el}}_{\gamma\delta}(x) + \int d^2x' d^2x'' \frac{1}{2} Q^{\mu\nu}(x') Q^{\rho\sigma}(x'') \Lambda_{\mu\nu\rho\sigma}(x'-x'') + \int d^2x \frac{1}{2} \Lambda_{\alpha\beta\gamma\delta} Q^{\alpha\beta} Q^{\gamma\delta} + \int d^2x \Gamma^{\alpha\beta}_{\gamma\delta} u^{\text{el}}_{\alpha\beta} Q^{\gamma\delta}.$$
(B4)

This is exactly the form of the energy functional in [10], and therefore we focus on this functional form in (23) and (24).

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