

Reconstruction of nanoscale particles from single-shot wide-angle free-electron-laser diffraction patterns with physics-informed neural networks

Thomas Stielow and Stefan Scheel

Institut für Physik, Universität Rostock, D-18059 Rostock, Germany

(Received 22 January 2021; accepted 11 May 2021; published 27 May 2021)

Single-shot wide-angle diffraction imaging is a widely used method to investigate the structure of noncrystallizing objects such as nanoclusters, large proteins, or even viruses. Its main advantage is that information about the three-dimensional structure of the object is already contained in a single image. This makes it useful for the reconstruction of fragile and nonreproducible particles without the need for tomographic measurements. However, currently there is no efficient numerical inversion algorithm available that is capable of determining the object's structure in real time. Neural networks, on the other hand, excel in image processing tasks suited for such purpose. Here we show how a physics-informed deep neural network can be used to reconstruct complete three-dimensional object models of uniform, convex particles on a voxel grid from single two-dimensional wide-angle scattering patterns. We demonstrate its universal reconstruction capabilities for silver nanoclusters, where the network uncovers novel geometric structures that reproduce the experimental scattering data with very high precision.

DOI: [10.1103/PhysRevE.103.053312](https://doi.org/10.1103/PhysRevE.103.053312)

I. INTRODUCTION

The imaging of systems of nanometer size is of great importance for many branches in biological, chemical, and physical sciences. The laws of wave optics demand the usage of wavelengths in the x-ray regime. However, the large energy carried by each photon rapidly damages such delicate samples [1]. The deterioration of the sample during the imaging process can be avoided if the sample image is generated on a much shorter timescale than that on which the destruction process, e.g., Coulomb explosion [2], occurs. This requirement is fulfilled by imaging using high-intensity ultrashort femtosecond pulses, as produced by free electron lasers [3,4]. Since the object's features and the wavelength are comparable, the resulting image is dominated by scattering features and, in order to reveal the underlying real-space image, further processing is necessary [3]. To date, improvements in object reconstruction allowed the investigation of ever smaller unsupported nanosystems such as viruses [5–7], helium droplets [8–10], rare-gas clusters [11], or metallic nanoparticles [12].

For very short wavelengths, i.e., hard x rays, the scattering occurs predominantly at small angles. In this case, the scattering process can be understood in the Fraunhofer limit, and the scattering field is the two-dimensional Fourier transform of the projected electron density. A subsequent iterative phase retrieval then allows to reconstruct this two-dimensional density projection with high fidelity from a single scattering pattern [5,13]. Further, individual scattering images of an ensemble of identical objects can be merged to obtain the three-dimensional object density [6,7,14]. For nonreproducible targets, such tomographic techniques cannot be employed as only a single scattering image is available. In this situation, three-dimensional information can be extracted

from wide-angle reflexes of the scattering pattern [15], which require longer wavelengths. Recent theoretical works indicate in principle the completeness of such three-dimensional information encoded in wide-angle scattering signals [16–18] for solid convex objects under narrow assumptions within the first Born approximation and infinitely many exact measurements. Yet they pose a significantly more complicated inversion problem compared to the small-angle reconstruction method [9,12,15]. Further, in reality, the first Born approximation is usually insufficient, and processes such as absorption and rescattering have to be included. Moreover, an experiment always has to manage with a finite number of measurement data. The nonreproducibility of the particles further hinders the independent acquisition of additional shape information using alternative experimental techniques. Hence, the reconstruction problem of single-shot wide-angle scattering is to find a particle reproducing the input scattering pattern within the angular range of the detector, while obeying additional structural constraints on the object, that may not necessarily result in a unique solution, given the experimental conditions [15,19,20]. Thus far, these reconstructions mostly rely on iterative forward fitting methods that are based on simulations of the scattering process of a suitably parametrized object model [9,10,12]. While highly successful, the repeated scattering simulations are computationally expensive and are restricted to the assumed object model.

Recent years have seen rapid development in image processing and reconstruction techniques based on deep learning methods [21–23]. These concepts have already found broad applications in statistical physics, particle and accelerator physics [24–28], material sciences [24,29–31], as well as for approximating solutions to differential equations [32,33]. In diffractive imaging, deep learning techniques have

been explored for the efficient reconstruction of both small-angle and wide-angle images. Phase retrieval and subsequent Fourier inversion with convolutional neural networks has been demonstrated for simulated small-angle scattering patterns [34] and have been expanded to three dimensions for the reconstruction of object densities from complete Fourier volumes [35]. On the experimental side, the preselection of automatically recorded scattering patterns into various categories has been implemented as a classification task [10], and generative learning helped to reveal common features in patterns connected to object classes and imaging artifacts [36]. Recently, shape and orientation of icosahedral silver nanoclusters were reconstructed from experimental wide-angle scattering patterns using a neural network trained solely on simulated training data [37]. This was achieved by utilizing a convolutional neural network that, combined with data augmentation techniques, is capable of processing experimental images that suffer from a variety of physically relevant artifacts and defects.

In this article, we present a neural network approach for reconstructing shape and orientation of arbitrary nanoclusters from single-shot wide-angle scattering images that does not depend on the parametrization of the object model. Instead, we use a voxel model of the object density similar to that used in small-angle scattering [35]. For that, an encoder-decoder architecture is employed that realizes the transition from the two-dimensional image to the three-dimensional object space. The interpolation beyond the underlying training data set is improved by implementing physics-informed learning, in which the theoretical scattering model itself is included in the loss function.

The article is organized as follows. In Sec. II, we briefly review the scattering simulation method that is based on the multislice Fourier transform (MSFT) algorithm, and we introduce the construction of the basis set and its augmentations. The design of the neural network including the physics-informed training scheme is presented in Sec. III. Its capabilities and limits are discussed in Sec. IV, followed by the evaluation of experimental data in Sec. V and some concluding remarks in Sec. VI.

II. MODELLING AND SIMULATING SCATTERING OF SILVER NANOCCLUSERS

Scattering experiments with light in the x-ray regime are known to reveal structure information such as geometric shapes, spatial orientation and size of nanoparticles, in some cases also their internal structure [6,38]. Here, we focus on the reconstruction of silver nanoparticles that had been illuminated with soft x rays from a free electron laser with wavelength $\lambda = 13.5$ nm. At this wavelength, scattering off these clusters with sizes between 50 and 400 nm can then be regarded as in the wide-angle limit. The nanoparticles are produced by a magnetron sputtering source in a cluster beam machine. The generated stream of nanoclusters shows a wide range of shapes and sizes, meaning that the particle shapes occur to a certain extent randomly. Moreover, each individual experiment is nonreproducible as the Coulomb explosion prevents multiple illumination. It is also known that the particles emerging from the source have not yet relaxed to an

equilibrium state at the time of illumination, hence geometric structures such as icosahedra have been found [12,37] that are not expected to be stable for large particle sizes.

Due to the lack of a direct inversion algorithm for the reconstruction of geometric information from a single-shot wide-angle scattering image, comparative methods such as forward fitting have been employed [10,12,39]. The theoretical scattering patterns are generated using an MSFT algorithm that takes absorption into account but neglects multiple scattering events as well as momentum transfer to the nanoparticle. Because of the short absorption length of 12.5 nm in silver, this algorithm gives very accurate results. Most importantly, it can be represented as a linear tensor operation which makes it suitable for efficient parallel computation.

For an efficient implementation of a reconstruction algorithm, a suitable parametrization of the object is needed. Typically, this means a restriction of the class of object shapes to a finite set of highly symmetric base solids with relatively few degrees of freedom. For nanoparticles out of equilibrium, however, transient shapes need not necessarily be highly symmetric. This in turn implies a trade-off between reconstruction accuracy and numerical efficiency. Already in the case of only few parameters, neural networks outperform conventional forward fitting based on Monte Carlo simplex methods [37], which is expected to become even more prominent with increasing number of degrees of freedom. The limiting case is to represent the object on a discrete three-dimensional grid; such representations are commonly used for the reconstruction of real-space objects from a series of images using deep neural networks [40]. In the realm of scattering physics, this representation has been employed for the reconstruction of a reproducible nanoparticle from a three-dimensional scattering pattern that has been compiled from a series of small-angle scattering images [35]. We show here that the discretized three-dimensional object can be reconstructed from a single wide-angle scattering pattern using deep neural networks.

A. Object classes for training the neural network

The training of a neural network requires a suitably chosen set of training data. Due to the large number of atoms in a nanocluster (typically on the order of 10^9), the silver nanoparticles can be assumed to be macroscopic dielectric bodies that are well described by a binary permittivity function $\epsilon_r(\mathbf{r}) = \epsilon_{\text{silver}}$ for $\mathbf{r} \in V_{\text{object}}$ and $\epsilon_r = 1$ otherwise [12]. Additionally, we demand all objects to be convex, as this a necessary condition for the existence of a unique solution in the ideal scenario of informationally complete measurements [17]. In order to account for a large variety of (convex) object shapes that still contain some symmetry, we choose a basis set that contains all Platonic solids, all Archimedean solids (except the snub dodecahedron), the decahedron and truncated twinned tetrahedron, as well as spheres and convex polyhedra with fully random vertices. This set is depicted in Fig. 1. Further, these base solids have been stretched and squashed along one of their symmetry axes and have been randomly scaled and rotated for maximum flexibility. Despite the still finite number of objects, it is expected that a large enough portion of object space is covered, and that the neural network is capable of interpolating efficiently between them. Note, however, that

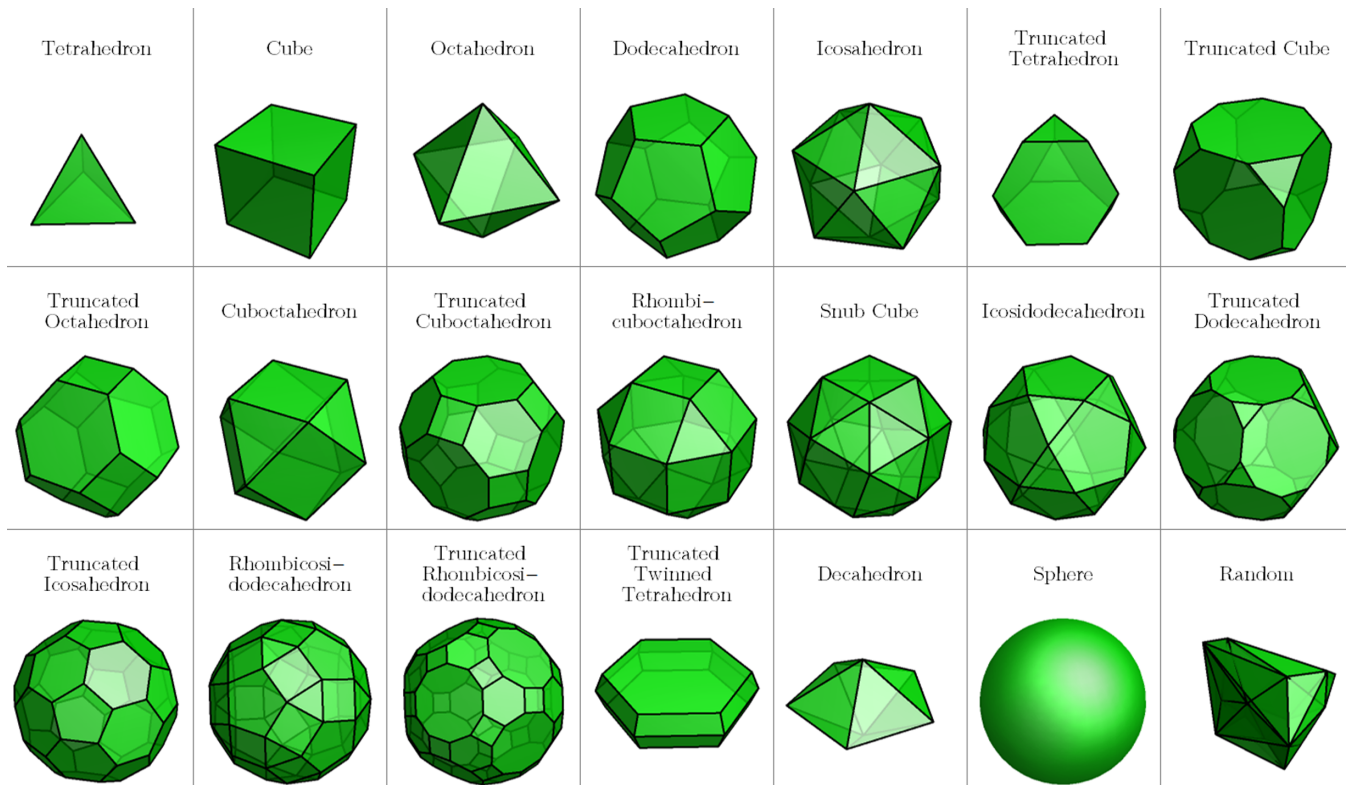


FIG. 1. The basis set of 21 shapes contains all Platonic and Archimedean solids (except for the snub dodecahedron) and, additionally, the decahedron, the truncated twinned tetrahedron, spheres, and polyhedra with fully randomized vertices, defined by enclosing 50 random space points.

some of the included objects (such as the tetrahedron) are highly unlikely to ever occur in an experiment but are included nonetheless.

B. Scattering simulation

The training data are obtained numerically by employing the MSFT scattering framework. All objects have been rasterized on a three-dimensional grid of $192 \times 192 \times 192$ points and are stored as flattened png images. For each object, the corresponding scattering intensity pattern is calculated using the MSFT algorithm. The lateral dimensions of the object are padded to 512×512 pixels on simulation, and the resulting real transfer momentum space covers 128×128 pixels. As the transverse intensity decreases exponentially away from the image center, the intensity values are scaled logarithmically in order to preserve important scattering features at large transfer momenta. In addition, in order to simulate detector dark noise, a random constant offset is being applied before scaling. Each image is then normalized and stored as a png image. As the object rasterization as well as the MSFT scattering calculations require considerable computation times, a data set of 140 000 objects has been pregenerated and stored.

C. Simulating experimental artifacts by image augmentation

The theoretical training data contain the maximal amount of information regarding the light scattering off a nanoparticle allowed by scattering and detection physics. However, in experimental situations, technical limitations

often obscure some of the information necessary to, e.g., identify the shape of a particle. For example, all images contain a central hole that protects the detector from the central unscattered beam. This is such a prominent artifact that a neural network is very likely to regard this as the most important feature, whereas the information about the shape of the particle resides in the outer fringes of the scattering pattern. Therefore, such defects have to be included in the training of the network from the outset.

In Ref. [37] it was demonstrated that data augmentation techniques can be used to simulate these measurement artifacts and to train a neural network that is robust against such effects. We extend this augmentation approach by introducing additional filters and on-the-fly augmentation. Rather than pregenerating a set of augmented images, here we apply random augmentations at each training step. Hence, every time the network is presented with the same data point, a random augmentation filter is being selected, which helps to prevent overfitting.

Examples of all used augmentation filters are shown in Fig. 2. The augmentation functions *uniform noise*, *salt & pepper noise*, *shift*, *central hole* and *blind spot* have been implemented as described in Ref. [37]. The *cropping* filter has been modified to simultaneously apply rectangular and circular cropping masks with random sizes. The *Poissonian noise* filter has been implemented by adding a random matrix sampled from a Poissonian distribution with variance $\lambda = 1.0$ to the normalized scattering pattern, while the *shot noise* filter multiplies the scattering pattern with a random Poissonian

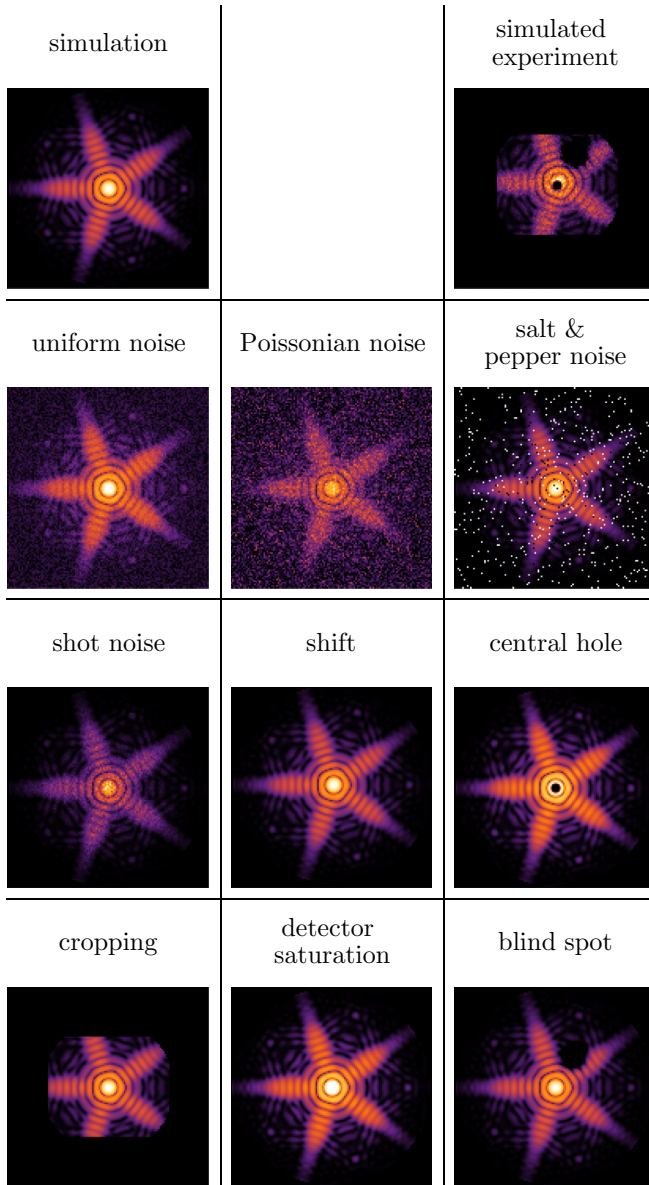


FIG. 2. Image augmentation is used to feed the neural network scattering patterns with various defects to increase its prediction robustness. Each simulated scattering pattern (top left) is modified with one of the nine fundamental filters (bottom 3×3 square) or a combination of them (top right) to mimic experimentally obtained scattering patterns.

matrix with variance $\lambda = 10^{r+1}$ where r is a uniform random number from the interval $[0,1]$. These filters account for the Poissonian background counts as well as the discrete nature of photons in the low-intensity limit. The *simulated experiment* filter is implemented by a consecutive application of the *shot noise*, *shift*, *blind spot*, *detector saturation*, *central hole*, *cropping*, and *shift* filters.

III. DESIGN AND TRAINING OF THE SCATTERING RECONSTRUCTION NETWORK

In classical image processing, the task of creating a three-dimensional model from one or more two-dimensional images

is a well-known problem that can be efficiently tackled using neural networks [40,41]. The reconstruction of a discretized three-dimensional object from a two-dimensional single-channel image requires a dimension conversion, which is commonly solved with encoder-decoder architectures. In this case, the input image is projected into a latent space from which the conversion into the output space is performed. When implementing multiview reconstructions of macroscopic objects from photographic images, additional recurrent elements within the latent space are required [40].

The architecture we developed for single-shot scattering reconstructions is depicted in Fig. 3. The encoder section of the network in the left column is constructed as a residual convolutional lateral compressor. An initial pickup layer with 7×7 convolution kernels and stride 2, followed by Max pooling operations, is used to rapidly convert the input tensor size from $128 \times 128 \times 1$ to $32 \times 32 \times 64$ elements. Following that is a sequence of five residual blocks, each halving the lateral size further while doubling the number of filters. Every residual block consists of two consecutive convolution layers as well as an identity shortcut which are combined by a summation layer [42]. Each convolution layer has a kernel size of 3×3 and is activated by the leaky ReLU function

$$\text{lReLU}(x) = \begin{cases} x & \text{if } x > 0 \\ 0.01x & \text{otherwise} \end{cases}, \quad (1)$$

after regularization by batch normalization and dropout. Within the latent space, an additional fully connected layer with 2048 neurons is employed. The decoder (right column of Fig. 3) is designed in reverse with upsampling layers instead of pooling and three-dimensional convolution layers. Unlike the encoder, the decoder does not employ residual operations and is instead of linear structure, as residual connections were found to offer no improvement in the prediction quality while increasing the training time significantly. The final compression of the filter dimension into the output tensor of size $64 \times 64 \times 64 \times 1$ is performed by a three-dimensional convolution operation with a $1 \times 1 \times 1$ kernel and sigmoid activation, as the output tensor is of binary character. The full network has now approximately 200 million free parameters.

A. Physics-informed learning

Classical supervised learning consists of comparing the predictions \mathbf{p} made by the neural network on the training inputs \mathbf{x} to the corresponding ground-truth targets \mathbf{y} , and calculating a loss score as illustrated in Fig. 4(a). However, a straightforward implementation of this idea is unfeasible in our situation. Silver has a rather short absorption length of 12.5 nm at the relevant photon energies, which is much shorter than the cluster diameters that range from 63 to 320 nm. As a result, the incoming radiation does not penetrate the entire nanoparticle and, in particular, has no access to those parts of the scattering object that are furthest away from the radiation source. This in turn means that a significant part of the object does not contribute to the scattering image. However, the penalizing loss function forces the neural network to attempt to reconstruct those regions for which very little information is contained in the input image. Hence, the neural network is either forced to complete the object from symmetric

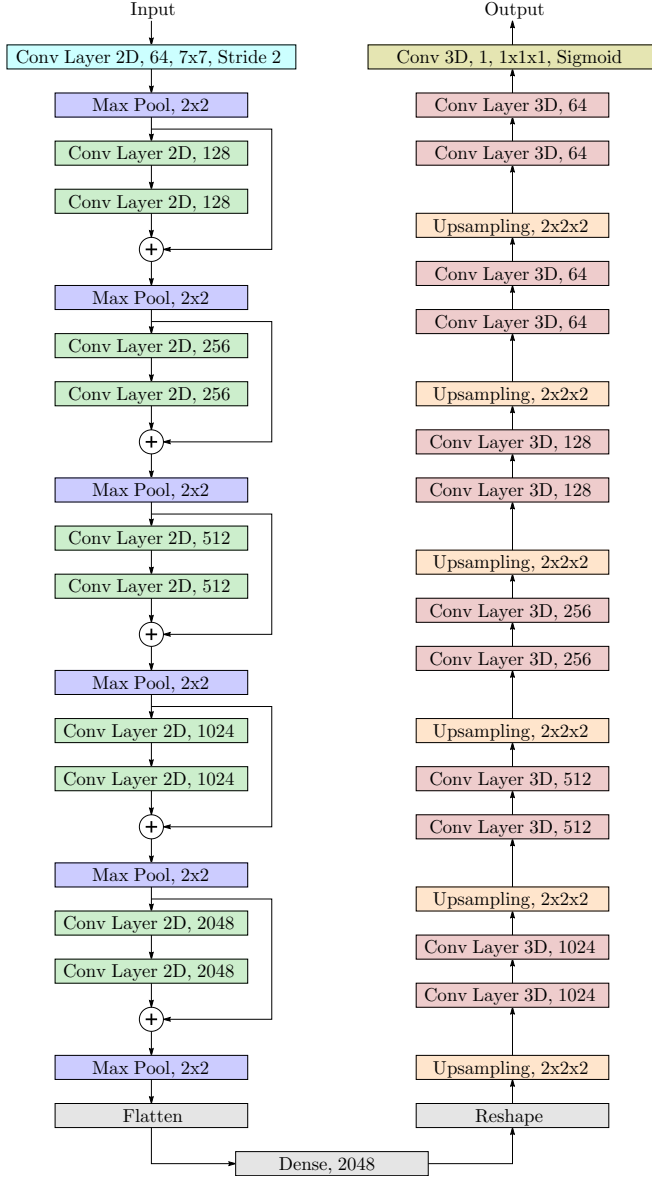


FIG. 3. Neural network with encoder-decoder structure. The encoder (left column) consists of five residual blocks each containing two consecutive two-dimensional convolution layers with 3×3 kernels. The filter size is doubled with each residual block, while the lateral dimensions are reduced by pooling layers. The latent space (bottom) is one dimensional and is further connected by a dense layer. After reshaping, the decoder (right column) applies $2 \times 2 \times 2$ upsampling operations followed by two three-dimensional convolution layers each. All convolution layers are regularized with a dropout ratio of 0.2 and batch normalization is applied before the leaky ReLU activation.

projections (which is indeed observed to some degree), or is driven into significant overfitting. This in return leads to poor generalization capabilities on shapes outside the training data set, an example of which is provided in the Appendix.

In order to ensure that the neural network learns only from physically relevant information, we propose the calculation of a loss score in scattered space, which is shown in Fig. 4(b). Instead of comparing the prediction \mathbf{p} with the target \mathbf{y} directly

by the mean binary crossentropy

$$H(\mathbf{y}, \mathbf{p}) = \frac{1}{N^3} \sum_{i,j,k=1}^N [y_{i,j,k} \log(p_{i,j,k}) + (1 - y_{i,j,k}) \log(1 - p_{i,j,k})], \quad (2)$$

both \mathbf{p} and \mathbf{y} are used as inputs for the MSFT algorithm, and the loss is calculated by the mean-squared distance of the resulting scattering patterns, scaled logarithmically. This so called scatter loss can be expressed as

$$L_s(\mathbf{y}, \mathbf{p}) = \frac{1}{M^2} \sum_{i,j=1}^M [\log(|\mathbf{E}_{\text{MSFT}}(\mathbf{y})_{i,j}|^2 + \epsilon) - \log(|\mathbf{E}_{\text{MSFT}}(\mathbf{p})_{i,j}|^2 + \epsilon)]^2, \quad (3)$$

with some chosen noise level ϵ , and where \mathbf{E}_{MSFT} is the normalized electric-field distribution obtained by the MSFT algorithm. In this way, the training goal of the neural network is moved from predicting the real-space shape of an object to generating an object volume that reproduces the input scattering pattern.

Although the terminal layer of the neural network is sigmoid activated, this activation does not enforce the binary nature of our particle model. Therefore, we introduce an additional regularization term to the loss function (3) by penalizing nonbinary object voxels with the binary loss function

$$L_b(\mathbf{y}, \mathbf{p}) = \frac{1}{N^3} \sum_{i,j,k=1}^N (p_{i,j,k})^2 (1 - p_{i,j,k})^2. \quad (4)$$

The binary loss function (4) is weighted by a factor 0.1 compared to the scatter loss (3) to ensure optimal convergence. This is an instance of physics-informed learning [32,33] where physical laws are incorporated in the training function.

B. Network training

The neural network was implemented and trained within the TensorFlow 2.3.1 Keras framework and Python 3.6.6. The binary loss regularization and scatter loss were both implemented as TensorFlow functions, thereby enabling back-propagation on GPU devices during training. We have chosen the adaptive moments (ADAM) gradient descent optimizer for optimal convergence. The training dataset was pregenerated, and scattering patterns were stored as png images, while object densities were rescaled and saved as $64 \times 64 \times 64$ numpy arrays to minimize hardware access and processing times. The data set contains 140 000 samples in total and has been split into a training and a validation set with a ratio 5:1. The training set was reshuffled before each epoch, and data was read from the hard drive and randomly augmented on-the-fly. The validation data was not augmented in order to monitor the peak reconstruction capability. Training was performed on a dedicated GPU server with two Intel Xeon Silver 4126 CPUs and four Nvidia RTX2080ti GPUs. Distribution of each training batch over all four GPUs allowed a maximum batch size of 32. We found the optimal training duration to be 50 epochs for sufficient convergence. The corresponding learning curve

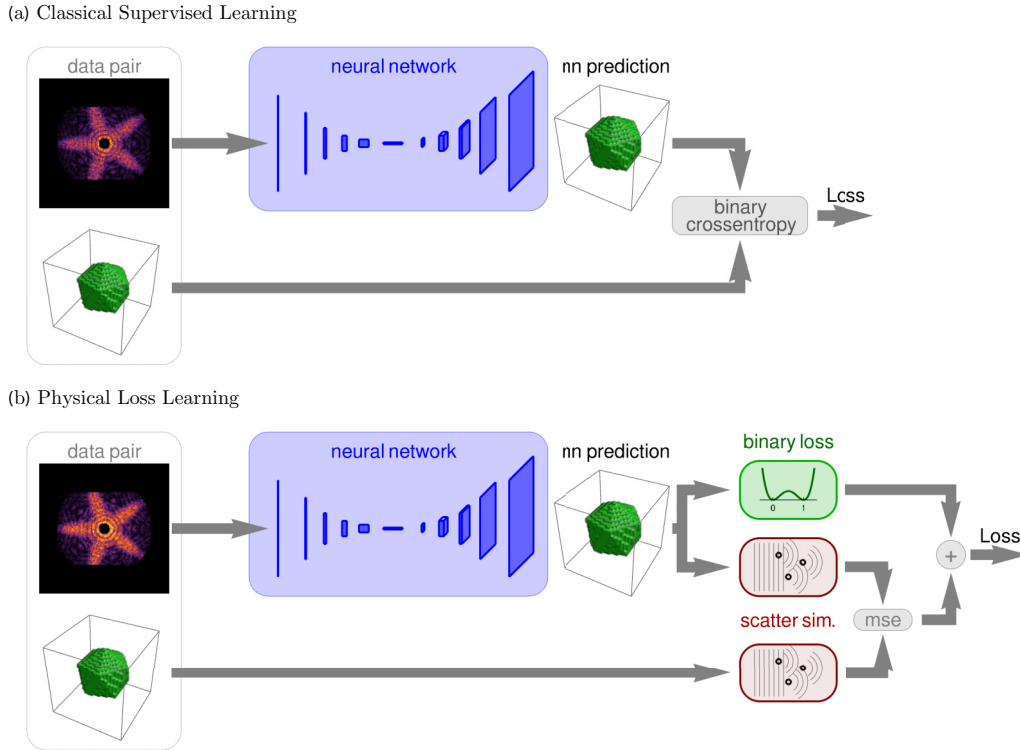


FIG. 4. In classical supervised learning (a), the loss score is determined by the binary crossentropy between the network prediction and the target entry of each data pair. In the physical learning scheme (b), the loss score is calculated within the scatter space rather than the object space. This is done by simulating the scattering pattern of both the network prediction as well as the target object, and calculating their mean-squared difference (scatter loss). To enforce the binary nature of the object model, an additional regularization function (binary loss) is applied to the prediction.

of the network used throughout this manuscript is shown in Fig. 5. The total training time accumulated to 63 h.

A consistent result over different training runs from independent random initializations could only be achieved by applying regularization in every layer. Batch normalization counteracts the tendency to no-object predictions. Simultaneously, dropout regularization prevents the neural network from converging to nonphysical predictions, which may produce similar scattering patterns but are nonbinary point clouds

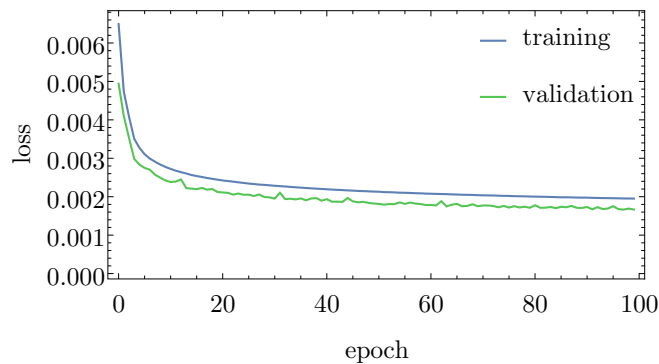


FIG. 5. The training loss of the neural network converges within 50 full cycles of the training set to a near halt. The loss on the validation set follows a similar trajectory, but is consistently smaller than the training loss, due to the absence of augmentations and regularization.

in object space that do not correspond to solid convex (or at least star-shaped) bodies. The combined effect of these regularization is that the training loss in Fig. 5 shows no overfitting compared to the validation loss. However, this cannot rule out the possibility of overfitting to either the underlying set of solids or the augmentations used.

IV. PREDICTION CAPABILITY OF THE NEURAL NETWORK

During training of the neural network, we benchmarked its prediction capabilities on the validation set which was generated from the same object space as the training set. In order to test its interpolating power, we created an additional test set of object data unknown to the network. These bodies were created by truncating the previously scaled and stretched object classes along random symmetry axes, thus breaking some of the symmetries and creating new shapes. In this way, a total of 1000 new objects were created.

In the majority of cases, the neural network is capable of detecting the new deformations. An example is shown in Fig. 6(a), corresponding to a heavily truncated rhombicosidodecahedron. The object prediction of the neural network (bottom right) closely resembles the ground truth of the object (bottom left), while their scattering patterns are nearly indistinguishable [top row in Fig. 6(a)]. This implies that, due to its physics-informed training, the neural network does not merely interpolate between known shapes, but rather composes an

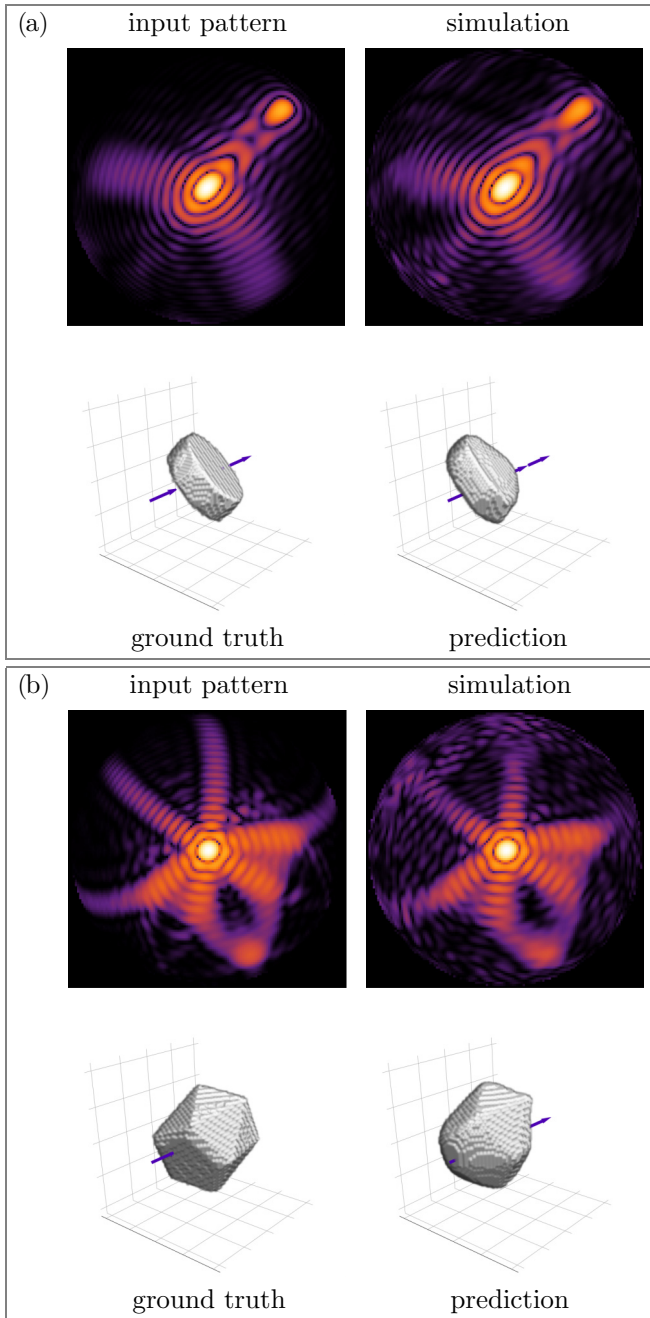


FIG. 6. Scattering patterns and real-space object shapes are reproduced by the neural network for most objects of the test set, such as the rhombicosidodecahedron (a). For some examples, the predicted object is reconstructed without the far side or sports a shallow dome in the beam direction (b), both of which have no significant impact on the scattering pattern.

hitherto unknown object from facets associated with distinct reflexes in the scattering pattern.

Conversely, this also implies that objects are only constructed from real-space features that impact the scattering pattern. An example is shown in Fig. 6(b), where two significant effects can be observed. First, the far side of the predicted object (bottom right) is featureless. This was expected because of the strong absorption of the incoming radiation which prevents a significant contribution from the scattering off these

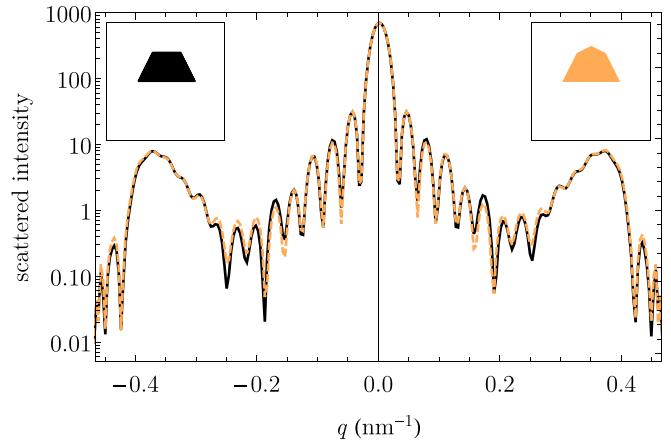


FIG. 7. The scattered intensity signals of a truncated triangle with a footprint of 212.5 nm and of the same object equipped with a shallow tip of 25% of its height are almost identical.

regions. The same effect was also observed on the validation set and even the training set. The neural network then either cuts off the far side completely, or replaces it with a smooth droplet shape. Second, the flat front facet of the input object (bottom left) is being converted into a shallow dome. Surfaces oriented close to perpendicular with respect to the incoming beam are particularly difficult to reconstruct, as the strongest associated reflexes appear in the backscattering direction. These reflexes would only be observable in a 4π detector configuration, for which the MSFT algorithm does not give reliable results. A simplified two-dimensional model of this

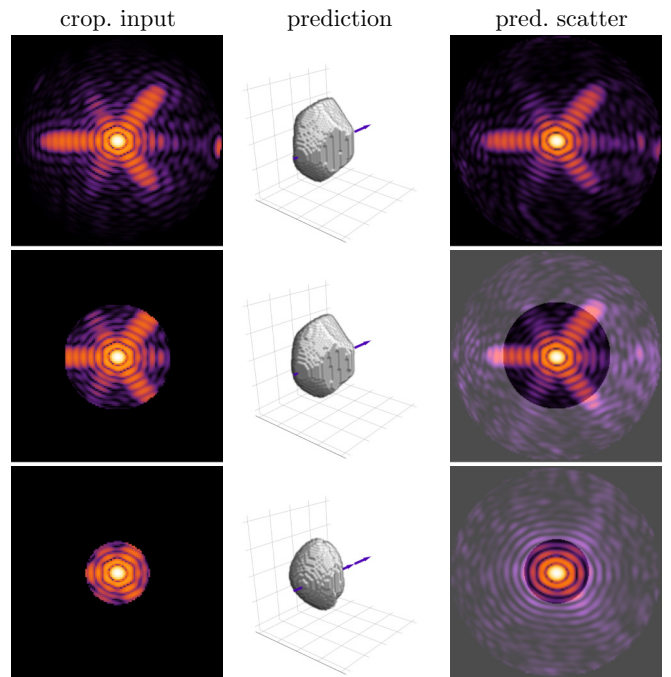


FIG. 8. Shrinking the angular span of the detection range (left column) leads to the loss of high-frequency information in the scattering pattern. Thus, the neural network predictions (central column) appear less crisp, and corners and edges are rounded, while the corresponding scatter simulation (right column) still matches the input pattern within the input region (framed by gray mask).

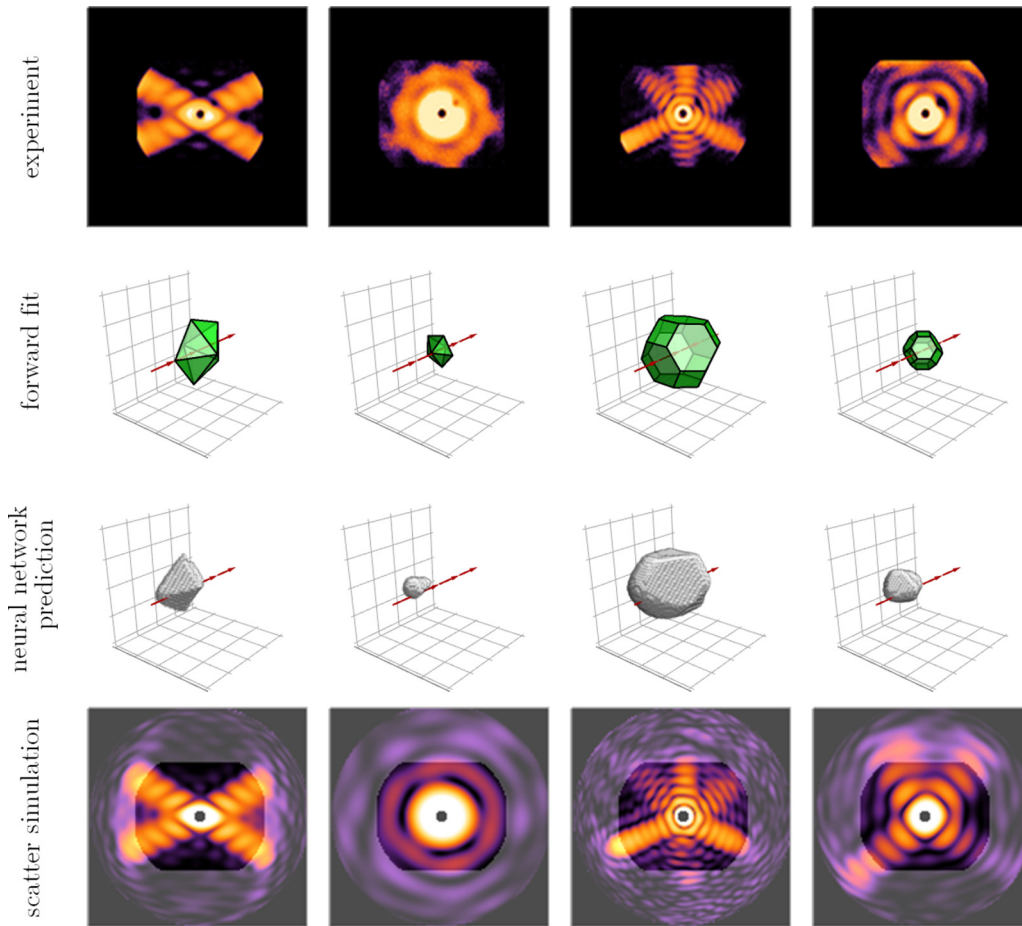


FIG. 9. The neural network is tested with the first half of the experimental scattering patterns from Ref. [12] (left top row, permitted by Creative Commons CC-BY 4.0 license, see Ref. [44]) and the corresponding shape candidates obtained by forward fitting (second row, green solids). The neural network predictions are shown in gray in the third row. The simulated scattering patterns (bottom row) show excellent agreement with the input pattern inside the available region (confined by the gray masks).

effect is shown in Fig. 7, where a triangular shaped dome (orange object) is being added to a flat facet of a trapezoidal base (black object). The corresponding one-dimensional scattering intensity profiles are almost indistinguishable, in particular given a finite detector resolution.

Delicate features of the real-space object appear at large transverse transfer momentum, that is, at large detection angles. During augmentation, this region is quite often cropped, giving the neural network the incentive to gather its information from the inner regions of small transfer momentum. This restriction is motivated by the limited detection angle of typical experiments. In order to understand the effect of cropping, we show in Fig. 8 the reconstructed images from the same input data pair for a series of ever smaller detection angles. As expected, with smaller available transfer momenta, the reconstruction quality decreases because information on sharp features is lost. As a consequence, edges and corners appear smoothed, while the facets are still recognizable.

V. NEURAL NETWORK RECONSTRUCTION OF EXPERIMENTAL DATA

So far, the neural network has been tested on synthetic data that capture the relevant scattering physics, and that have

been augmented in order to mimic expected experimental artifacts. The trained network is now being used to reconstruct experimental single-shot wide-angle scattering data of silver nanoclusters [12]. Our choice has been informed by the existence of classical reconstructions using forward fitting methods with parametrized polyhedra, which provides the opportunity for direct comparison between the methods.

In Figs. 9 and 10, we compare the reconstructed nanoclusters from both the forward fitting (green objects in central column) and the neural network (gray objects in central column). The left column contains the experimental data from Ref. [12], whereas the right column depicts the simulated scattering profiles of the neural network predictions. We have explicitly shown the detection area to indicate the region which the neural network aims to reproduce. As discussed above, due to the lack of available large transfer momenta, the reconstructed objects by the neural network have smoother edges and corners. In comparison, the forward fit assumes the existence of sharp features which is unsupported given only the available information. Also, as expected from the above discussion, the far sides of the reconstructed objects are either missing or being replaced by a smooth droplet, and shallow domes appear on their fronts.

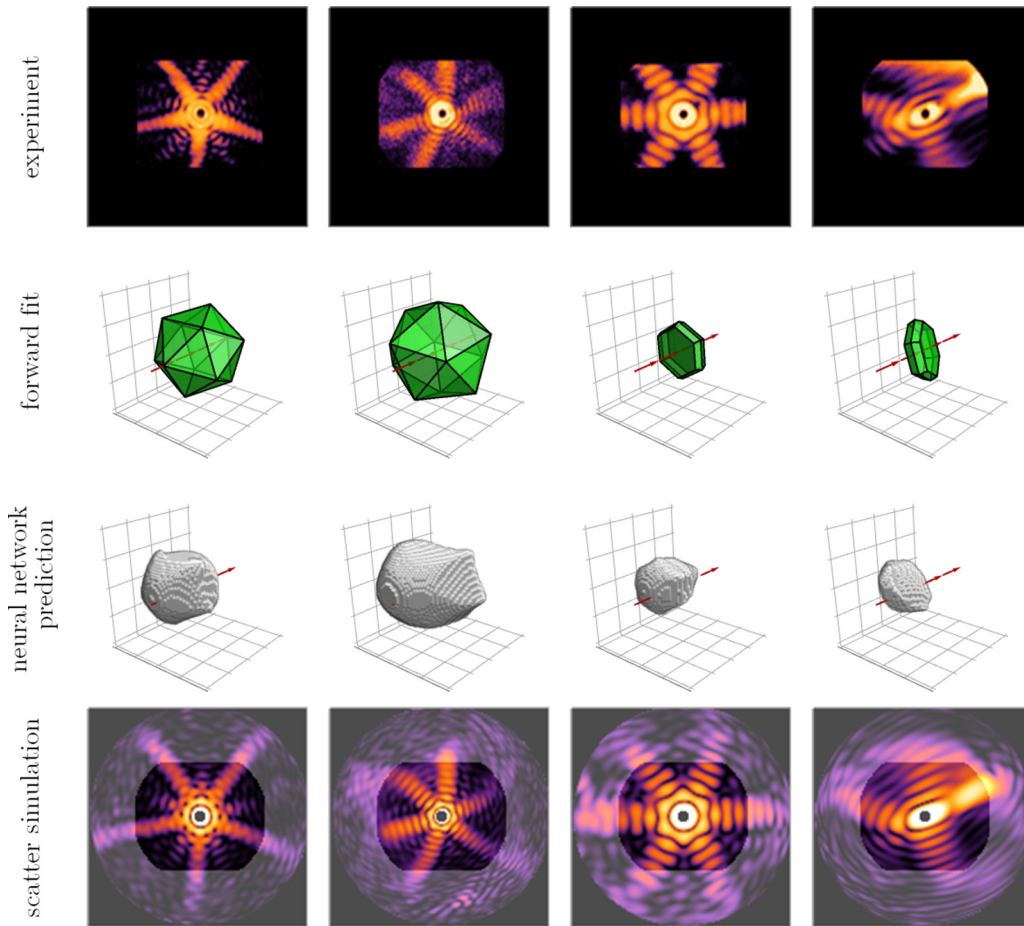


FIG. 10. The neural network is tested with the second half of the experimental scattering patterns from Ref. [12] (left top row, permitted by Creative Commons CC-BY 4.0 license, see Ref. [44]) and the corresponding shape candidates obtained by forward fitting (second row, green solids). The neural network predictions are shown in gray in the third row. The simulated scattering patterns (bottom row) show excellent agreement with the input pattern inside the available region (confined by the gray masks).

Notwithstanding, the main facets are being reconstructed reliably, resulting in structures with globally similar features. However, the neural network predicts more elongated bodies which reproduce the softer interference patterns in the scattering reflexes. Moreover, the reconstructed bodies are no longer perfectly symmetric as assumed in the parametrized model, but show local defects that break certain symmetries. Note that the experimental scattering patterns show distinct asymmetries which can only be explained by relaxing the requirement of symmetric bodies. As a result, the scattering patterns simulated from the neural network predictions match the experimentally obtained patterns almost perfectly.

A particularly striking result is the star-shaped pattern with 5-fold symmetry (first column in Fig. 10). Previously, this has been attributed to an icosahedron (see left panel in Fig. 11), as this was the only shape in the parametrized model with the correct symmetry. Instead, the neural network predicts an object with a front face resembling an elongated decahedron of similar size (central panel in Fig. 11). A regular decahedron would produce a scattering pattern with 10-fold symmetry. However, the elongation of a decahedron breaks that symmetry in the wide-angle scattering pattern, resulting in two distinct sets of five reflexes each with different intensities (right panel in Fig. 11). The reproduction quality of the input

scattering pattern can be judged similar to the scatter loss during training. Within the available detector region, outlined by the gray mask in Fig. 11, the mean-squared difference between the simulated scattering patterns of the prediction candidates and the input scattering pattern is calculated. The reference value is the scatter loss of the icosahedron (left panel in Fig. 11, forward fitted in Ref. [12]) with a benchmark value of 7.10×10^{-3} . The physics-informed neural network achieves a much closer fit with a mean error value of just 4.63×10^{-3} . Starting from the neural network prediction, a decahedron, elongated by a factor of 1.6 along the 5-fold symmetry axis, was fitted to the input scattering pattern. In this case, a reproduction error of 4.74×10^{-3} was achieved, which is slightly worse than the neural network candidate but much closer than the icosahedron.

From the three object candidates shown in Fig. 11, the neural network prediction achieves the best reproduction of the input pattern. The scattering patterns of all three candidates differ strongly outside the detector region. This indicates that the reconstruction quality is mostly limited by the available detector range which implies that further progress can only be made by enlarging the angular range of the detector.

The physics-informed neural network, however, achieves a much closer fit than the parametrized forward fits by

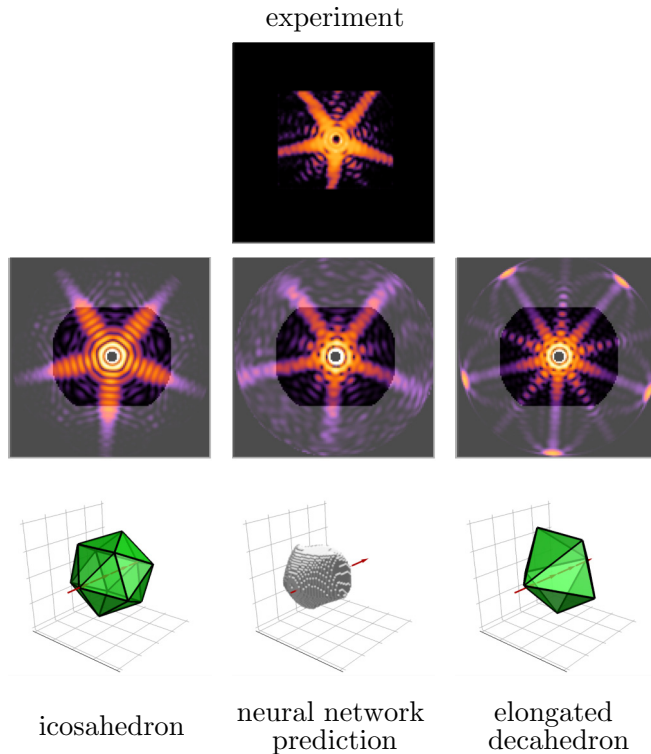


FIG. 11. The quality of different prediction candidates (bottom row) can be judged by comparing the corresponding scattering patterns (center row) to the experimental input scattering pattern (top panel, taken from Ref. [12], permitted by Creative Commons CC-BY 4.0 license, see Ref. [44]).

successful interpolation between the object classes learned during training. The predicted structure, similar to a deformed elongated decahedron, is a novel observation for silver nanoclusters of the given size, to the best of our knowledge. This result shows that the neural network reconstruction can help in detecting shapes of nanoparticles that would not have been expected from equilibrium cluster physics.

VI. SUMMARY

We have developed a neural network that is capable of reconstructing three-dimensional object densities of silver nanoclusters from single-shot wide-angle scattering patterns. By including the scattering physics into the penalty function, overfitting to features not represented within the scattering patterns is suppressed. This leads to convergence of the network weights toward a configuration that allows a better interpolation between the object classes of the training set. It is thus able to predict transient nanocluster structures that would not be expected from equilibrium cluster formation theory. Our method is not restricted to the example of silver nanoclusters discussed here. The same network structure can be used for any system for which the scattering properties (such as absorption lengths) are known, and a numerical algorithm to generate training data exists. Combined with the fast evaluation times in the μs range, this paves the way to a fully automated reconstruction of the complete structure of

nanoparticles from single-shot wide-angle scattering images in real time.

VII. DATA AND CODE AVAILABILITY

The source code supporting the findings of this manuscript is deposited with a sample portion of each dataset within the repository [43]. The full dataset is available from the corresponding author on request.

ACKNOWLEDGMENTS

T.S. acknowledges financial support from “Evangelisches Studienwerk Villigst.” This work was partially funded by the European Social Fund (ESF) and the Ministry of Education, Science and Culture of Mecklenburg-Western Pomerania (Germany) within the project NEISS (Neural Extraction of Information, Structure and Symmetry in Images) under Grant No. ESF/14-BM-A55-0007/19.

APPENDIX: COMPARING SUPERVISED AND PHYSICS INFORMED LEARNING

The investigation of transient free flying silver nanoclusters with FEL x-ray sources has led to the discovery of shapes which were not expected from equilibrium cluster theory. When training a neural network, the space of expected structures is determined by the basis of the training dataset. The set of basic shapes presented in Fig. 1 consists mostly of highly symmetric objects. This choice was motivated by the fact that the absorption length of silver with $a_{\text{abs}} = 12.5$ nm is much shorter than the considered cluster diameters between 63 and 320 nm. Subsequently, the far side of the object has no discernible impact on the scattering pattern. By training the neural network mostly on symmetric objects, it is expected that the predictions of the networks may be completed by symmetric extension from the regions where direct structure information is available.

A key test for the reconstruction network is the generalization capability, meaning the predictive capacity for objects which are not covered by the basis of the training set. For this we created a test set of new objects of deformed base solids by applying cuts perpendicular to random symmetry axes. An example can be seen in the top row of Fig. 12 with an elongated decahedron, cut perpendicular to the fivefold symmetry axis at half distance from the center to the outer vertex. As long as ideal simulated scattering patterns are used, the neural network is capable of reliably reconstructing the objects, independent of the training scheme. This dramatically changes as soon as image defects are introduced. An example of this is shown in the second row of Fig. 12, where the predictions of a neural network trained by supervised learning are shown. In this approach we used the binary crossentropy as the loss function within the object space. The dark areas of the object prediction represent regions in which the predicted density takes neither the binary value 0 (no object) nor 1 (object), but rather some intermediate value. The reconstructed object differs significantly from the ground truth, and the corresponding scattering pattern bears little resemblance to the input scattering pattern.

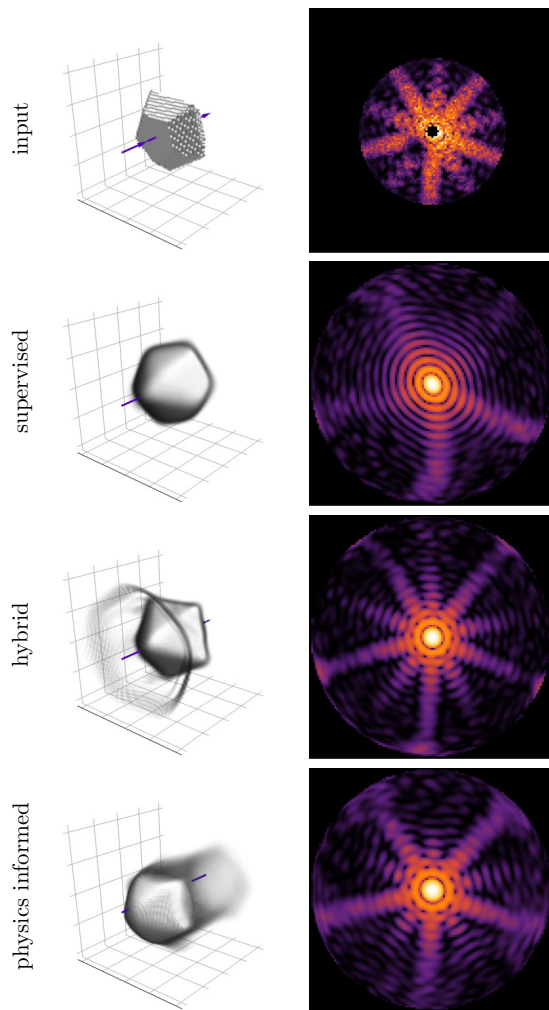


FIG. 12. The segmented scattering pattern (top right panel) of an elongated decahedron, cut at half distance along the fivefold symmetry axis (top left panel) is used to test the generalization capabilities of neural networks tested in different training schemes. The left panels show the object predictions made from the test pattern, while the right panels illustrate the corresponding scattering patterns.

Initially, the scatter loss described in Sec. III A was developed as an auxiliary loss that is added during supervised training in order to improve the reproduction quality of the input scattering patterns. The result of this modification is

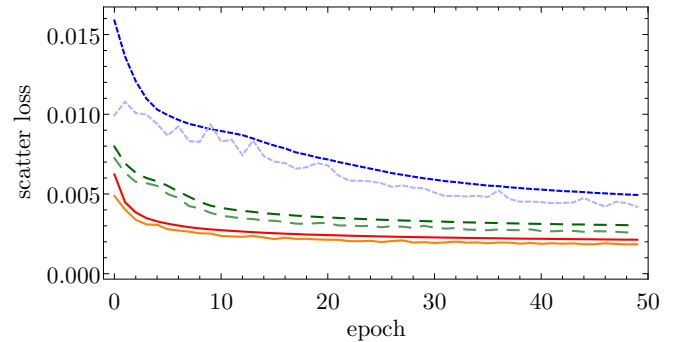


FIG. 13. Scatter loss recorded over the training of a reconstruction neural network by binary crossentropy (blue, dotted), hybrid loss (green, dashed), and physics-informed training (red, solid). The regular curves mark the training set performance over each iteration of the training set, while the desaturated curves correspond to the validation set.

depicted in Fig. 13 where the scatter loss recorded during the training of the neural network is shown for both the classical supervised training (blue dotted curves) as well as hybrid training with auxiliary scatter loss (green dashed curves). However, the object predictions are distorted by artifacts, like the ring-structure that can be seen in the third row of Fig. 13, which are incompatible with the object model. Balancing the weights between object-space loss and scatter loss in the hybrid loss function toward the latter further improves the reproduction quality of the scattering pattern during training. This ultimately led us to the implementation of the purely physics-informed training (red solid curve in Fig. 13) described in Sec. III A.

The prediction of a neural network trained purely in a physics-informed manner is shown in the bottom row in Fig. 12. The predicted object does not correctly reproduce the cut applied to the original object but adds a rounded tip, which is a known issue, as the associated information within the scattering pattern is easily obfuscated by noise (see Sec. IV for further details). In contrast to the predictions resulting from supervised learning, the general structure and footprint of the object are correctly reproduced, and the corresponding scattering pattern is a good match to the input pattern. These tests were the decisive criterion in choosing the purely physics-informed training over supervised or hybrid approaches.

- [1] R. Neutze, R. Wouts, D. Van der Spoel, E. Weckert, and J. Hajdu, *Nature (Lond.)* **406**, 752 (2000).
- [2] Z. Jurek, G. Faigel, and M. Tegze, *Eur. Phys. J. D* **29**, 217 (2004).
- [3] H. N. Chapman, A. Barty, M. J. Bogan, S. Boutet, M. Frank, S. P. Hau-Riege, S. Marchesini, B. W. Woods, S. Bajt, W. H. Benner *et al.*, *Nat. Phys.* **2**, 839 (2006).
- [4] K. Gaffney and H. Chapman, *Science* **316**, 1444 (2007).
- [5] M. M. Seibert, T. Ekeberg, F. R. Maia, M. Svenda, J. Andreasson, O. Jönsson, D. Odić, B. Iwan, A. Rocker, D. Westphal *et al.*, *Nature (Lond.)* **470**, 78 (2011).
- [6] T. Ekeberg, M. Svenda, C. Abergel, F. R. N. C. Maia, V. Seltzer, J.-M. Claverie, M. Hantke, O. Jönsson, C. Nettelblad, G. Van Der Schot, M. Liang, D. P. DePonte, A. Barty, M. M. Seibert, B. Iwan, I. Andersson, N. D. Loh, A. V. Martin, H. Chapman, C. Bostedt, J. D. Bozek, K. R. Ferguson, J. Krzywinski, S. W. Epp, D. Rolles, A. Rudenko, R. Hartmann, N. Kimmel, and J. Hajdu, *Phys. Rev. Lett.* **114**, 098102 (2015).
- [7] K. Ayyer, A. J. Morgan, A. Aquila, H. DeMirci, B. G. Hogue, R. A. Kirian, P. L. Xavier, C. H. Yoon, H. N. Chapman, and A. Barty, *Opt. Express* **27**, 37816 (2019).

- [8] L. F. Gomez, K. R. Ferguson, J. P. Cryan, C. Bacellar, R. M. P. Tanyag, C. Jones, S. Schorb, D. Anielski, A. Belkacem, C. Bernando *et al.*, *Science* **345**, 906 (2014).
- [9] D. Rupp, N. Monserud, B. Langbehn, M. Sauppe, J. Zimmermann, Y. Ovcharenko, T. Möller, F. Frassetto, L. Poletto, A. Trabattoni *et al.*, *Nat. Commun.* **8**, 493 (2017).
- [10] B. Langbehn, K. Sander, Y. Ovcharenko, C. Peltz, A. Clark, M. Coreno, R. Cucini, M. Drabbels, P. Finetti, M. Di Fraia *et al.*, *Phys. Rev. Lett.* **121**, 255301 (2018).
- [11] D. Rupp, M. Adolph, T. Gorkhover, S. Schorb, D. Wolter, R. Hartmann, N. Kimmel, C. Reich, T. Feigl, A. De Castro *et al.*, *New J. Phys.* **14**, 055016 (2012).
- [12] I. Barke, H. Hartmut, D. Rupp, L. Flückiger, M. Sauppe, M. Adolph, S. Schorb, C. Bostedt, R. Treusch, C. Peltz, S. Bartling, T. Fennel, K.-H. Meiwes-Broes, and T. Möller, *Nat. Commun.* **6**, 6187 (2015).
- [13] S. Marchesini, H. He, H. N. Chapman, S. P. Hau-Riege, A. Noy, M. R. Howells, U. Weierstall, and J. C. H. Spence, *Phys. Rev. B* **68**, 140101(R) (2003).
- [14] K. Ayer, P. L. Xavier, J. Bielecki, Z. Shen, B. J. Daurer, A. K. Samanta, S. Awel, R. Bean, A. Barty, M. Bergemann *et al.*, *Optica* **8**, 15 (2021).
- [15] K. S. Raines, S. Salha, R. L. Sandberg, H. Jiang, J. A. Rodríguez, B. P. Fahimian, H. C. Kapteyn, J. Du, and J. Miao, *Nature (Lond.)* **463**, 214 (2010).
- [16] K. Engel, [arXiv:2008.00935](https://arxiv.org/abs/2008.00935).
- [17] K. Engel and B. Laasch, [arXiv:2009.10414](https://arxiv.org/abs/2009.10414).
- [18] K. Engel and B. Laasch, [arXiv:2011.06971](https://arxiv.org/abs/2011.06971).
- [19] G. Wang, H. Yu, W. Cong, and A. Katsevich, *Nature (Lond.)* **480**, E2 (2011).
- [20] H. Wei, *Nature (Lond.)* **480**, E1 (2011).
- [21] G. E. Hinton and R. R. Salakhutdinov, *Science* **313**, 504 (2006).
- [22] Y. LeCun, Y. Bengio, and G. Hinton, *Nature (Lond.)* **521**, 436 (2015).
- [23] I. Goodfellow, Y. Bengio, and A. Courville, *Deep Learning* (MIT Press, Cambridge, MA, 2016).
- [24] G. Carleo, I. Cirac, K. Cranmer, L. Daudet, M. Schuld, N. Tishby, L. Vogt-Maranto, and L. Zdeborová, *Rev. Mod. Phys.* **91**, 045002 (2019).
- [25] P. Baldi, P. Sadowski, and D. Whiteson, *Nat. Commun.* **5**, 1 (2014).
- [26] G. Kasieczka, T. Plehn, M. Russell, and T. Schell, *J. High Energy Phys.* **05** (2017) 006.
- [27] G. Kasieczka, T. Plehn, A. Butter, K. Cranmer, D. Debnath, B. M. Dillon, M. Fairbairn, D. A. Faroughy, W. Fedorko, C. Gay, L. Gouskos, J. F. Kamenik, P. T. Komiske, S. Leiss, A. Lister, S. Macaluso, E. M. Metodiev, L. Moore, B. Nachman, K. Nordstrom *et al.*, *SciPost Phys.* **7**, 14 (2019).
- [28] N. Meinert, Search for Rare b to Open-Charmed Two-Body Decays of Baryons at LHCb, Ph.D. thesis, Rostock University (DE), 2020.
- [29] N. Laanait, Q. He, and A. Y. Borisevich, [arXiv:1902.06876](https://arxiv.org/abs/1902.06876).
- [30] N. Laanait, J. Romero, J. Yin, M. T. Young, S. Treichler, V. Starchenko, A. Borisevich, A. Sergeev, and M. Matheson, [arXiv:1909.11150](https://arxiv.org/abs/1909.11150).
- [31] C. Chen, Y. Zuo, and W. Ye, *Nat. Comput. Sci.* **1**, 46 (2021).
- [32] M. Raissi, P. Perdikaris, and G. E. Karniadakis, *J. Comput. Phys.* **378**, 686 (2019).
- [33] M. Raissi, A. Yazdani, and G. E. Karniadakis, *Science* **367**, 1026 (2020).
- [34] M. J. Cherukara, Y. S. Nashed, and R. J. Harder, *Sci. Rep.* **8**, 16520 (2018).
- [35] H. Chan, Y. S. Nashed, S. Kandel, S. Hruszkewycz, S. Sankaranarayanan, R. J. Harder, and M. J. Cherukara, *Appl. Phys. Rev.* **8**, 021407 (2021).
- [36] J. Zimmermann, B. Langbehn, R. Cucini, M. Di Fraia, P. Finetti, A. C. LaForge, T. Nishiyama, Y. Ovcharenko, P. Piseri, O. Plekan *et al.*, *Phys. Rev. E* **99**, 063309 (2019).
- [37] T. Stielow, R. Schmidt, C. Peltz, T. Fennel, and S. Scheel, *Mach. Learn.: Sci. Technol.* **1**, 045007 (2020).
- [38] K. Sander, Reconstruction Methods for Single-shot Diffractive Imaging of Free Nanostructures with Ultrashort X-ray and XUV Laser Pulses, Ph.D. thesis, Rostock University (DE), 2018.
- [39] K. Sander, C. Peltz, C. Varin, S. Scheel, T. Brabec, and T. Fennel, *J. Phys. B: At., Mol. Opt. Phys.* **48**, 204004 (2015).
- [40] C. B. Choy, D. Xu, J. Gwak, K. Chen, and S. Savarese, in *European Conference on Computer Vision* (Springer, Berlin, 2016), pp. 628–644.
- [41] C. Niu, J. Li, and K. Xu, in *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition* (IEEE, Los Alamitos, CA, 2018), pp. 4521–4529.
- [42] K. He, X. Zhang, S. Ren, and J. Sun, in *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition* (IEEE, Los Alamitos, CA, 2016), pp. 770–778.
- [43] thstielow, scatter-2d3d-nn, [<https://github.com/thstielow/scatter-2D3D-nn>] (2021).
- [44] <http://creativecommons.org/licenses/by/4.0/>.