

Quantum synchronization in nanoscale heat engines

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Owing to the ubiquity of synchronization in the classical world, it is interesting to study its behavior in quantum systems. Though quantum synchronization has been investigated in many systems, a clear connection to quantum technology applications is lacking. We bridge this gap and show that nanoscale heat engines are a natural platform to study quantum synchronization and always possess a stable limit cycle. Furthermore, we demonstrate an intimate relationship between the power of a coherently driven heat engine and its phase-locking properties by proving that synchronization places an upper bound on the achievable steady-state power of the engine. We also demonstrate that such an engine exhibits finite steady-state power if and only if its synchronization measure is nonzero. Finally, we show that the efficiency of the engine sets a point in terms of the bath temperatures where synchronization vanishes. We link the physical phenomenon of synchronization with the emerging field of quantum thermodynamics by establishing quantum synchronization as a mechanism of stable phase coherence.

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Introduction. Synchronization has been observed and studied in a multitude of naturally occurring as well as man-made systems, finding different applications in fields ranging from engineering to medicine [1–4]. Recently, the universality of this phenomenon has also been embraced in the quantum realm. Thus far, all the efforts have been aimed at characterizing synchronization in quantum systems [5–17]. It is compelling to ask if/where this phenomenon may play a role in the functionality itself of quantum devices. What is the impact of synchronization on quantum technology platforms? A natural playground to explore this question is quantum thermodynamics [18,19]. Nanoscale heat engines can be modeled as multilevel atoms that produce work when cyclically coupled to two or more heat baths [20]. In this paper, we highlight a deep connection between quantum synchronization and the performance of nanoscale heat engines. This relation is particularly evident in the emitted power of the engine displaying an Arnold tongue, a distinct signature of synchronization. We show that synchronization provides an upper bound on the achievable magnitude of the steady-state power of the heat engine. Finally, we determine that the efficiency of the heat engine η sets the ratio of bath temperatures where synchronization vanishes. This points at a fundamental relation

between the operational regime of a thermal machine and its synchronization properties. An important outcome of this relationship is to bring the study of quantum synchronization closer to quantum technology applications.

A basic prerequisite for synchronization is the existence of a stable limit cycle [1]. Once a limit cycle has been established in a nonlinear dynamical system, we can synchronize the given system to an external frequency standard [21]. We show that thermal atoms possess a limit cycle by constructing the quasiprobability distribution for arbitrary three-level atoms. This allows us to perform a synchronization analysis of a thermal three-level system and investigate its phase-locking properties in the context of the engine's performance.

Thermal three-level atoms. We consider the three-level maser model introduced by Scovil and Schulz-Dubois as an example of quantum synchronization [22]. In view of the connection to heat engines, we will examine its properties when coupled to two baths kept at different temperatures. Though we consider a specific model to develop the results presented here, the techniques are transferrable to generic coherent quantum technologies.

The evolution for the system depicted in Fig. 1 can be written ($\hbar = 1$) as

$$\dot{\rho} = -i[H, \rho] + \mathcal{L}_h[\rho] + \mathcal{L}_c[\rho], \quad (1)$$

where $H = H_0 + V$ represents the sum of the bare Hamiltonian $H_0 = \sum_i \omega_i \sigma_{ii}$ and the drive $V = \varepsilon(e^{i\omega_1 t} \sigma_{23} + e^{-i\omega_1 t} \sigma_{32})$, with $\sigma_{ij} \equiv |i\rangle\langle j|$. We model the baths as single-mode

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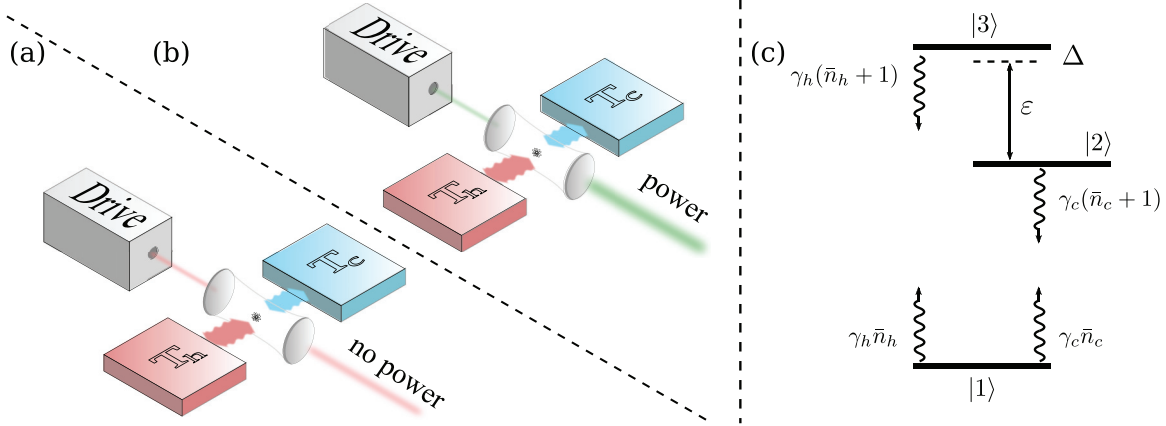


FIG. 1. A quantum thermal machine can be used to observe quantum synchronization. The engine consists of a driven three-level atom which generate output power when coupled to two dissimilar thermal baths. The relationship between power, detuning, and the driving strength is understood as arising from the synchronization of the three-level atom to the external drive. At a given driving strength, if the driving field is far detuned from the relevant maser transition, the system cannot synchronize to the external drive. The output power is very low, as seen in (a). If the detuning is in the synchronization region, the engine power is reinforced by synchronization as depicted in (b). (c) Transition between levels $|2\rangle$ and $|3\rangle$ is coupled by a coherent field of strength ε . Levels $|1\rangle$ – $|3\rangle$ are coupled by a hot bath at temperature T_h while levels $|1\rangle$ – $|2\rangle$ are coupled by a cold bath at temperature T_c .

thermal fields resonant with the respective atomic transitions that they couple. The bath frequency ω , its temperature T , and its average photon number \bar{n} are related by $e^{-\hbar\omega/k_B T} = \bar{n}/(\bar{n} + 1)$ [23]. The Lindbladian dissipators are given by $\mathcal{L}_h[\rho] \equiv \gamma_h \bar{n}_h \mathcal{D}[\sigma_{31}]\rho + \gamma_h(1 + \bar{n}_h)\mathcal{D}[\sigma_{13}]\rho$, incoherently coupling the $|1\rangle \leftrightarrow |3\rangle$ transition at temperature T_h , and $\mathcal{L}_c[\rho] \equiv \gamma_c \bar{n}_c \mathcal{D}[\sigma_{21}]\rho + \gamma_c(1 + \bar{n}_c)\mathcal{D}[\sigma_{12}]\rho$, representing a cold bath at temperature T_c and incoherently coupling the $|1\rangle \leftrightarrow |2\rangle$ transition. The Markovian master equation is written in the standard Lindblad form [23] as $\mathcal{D}[O]\rho = O\rho O^\dagger - \frac{1}{2}\{O^\dagger O, \rho\}$.

The system is weakly driven with small detunings, which ensures that (a) the limit cycles presented below are not deformed by the drive, (b) the populations in the steady states are dominated by the dissipation rates, and (c) the adiabatic definitions of heat and work are valid in this regime. Points (a) and (b) are related and ensure that we are in a regime where it is sensible to study synchronization [15]. Large driving strength also produces phase locking. However, due to deformation of the limit cycle, this regime is known as suppression of natural dynamics [3] and is beyond the scope of this paper. In general, the power of an engine can be attributed to two parts, one that accounts for the change in the instantaneous eigenvalues and another which accounts for the change in eigenvectors. For perturbative driving, the first term does not contribute (indeed our eigenvalues are constant in time).

Synchronization in heat engines. A stable limit cycle is defined as a phase trajectory that attracts nearby orbits. Such a limit cycle arises as a compromise between dissipation and gain in the system. Another defining property of the limit cycle is that an observable phase is free. It is this freedom of the phase combined with the stability of the limit cycle that allows a weak external signal to influence the phase distribution of the oscillator. To study limit cycles in thermal three-level systems, we define the Husimi-Kano Q -representation function [24], given for a generic three-level atom as $Q(\theta, \xi, \varphi_1, \varphi_2) \equiv (6/\pi^2)\langle n_3 | \rho | n_3 \rangle$, where $|n_3\rangle \equiv$

$(\cos \theta, e^{i\varphi_1} \cos \xi \sin \theta, e^{i\varphi_2} \sin \xi \sin \theta)^T$ is the SU(3) coherent state [25–27]. From the definition of $|n_3\rangle$ we can see that (φ_1, φ_2) and (θ, ξ) carry information about the coherences and the populations of the system, respectively. Under the influence of a bare Hamiltonian written in the energy eigenbasis, the SU(3) coherent state evolves as $|n_3\rangle = |\theta, \xi, \varphi_1, \varphi_2\rangle \rightarrow |\theta, \xi, \varphi_1 - \omega_{21}t, \varphi_2 - \omega_{31}t\rangle$, where $\omega_{ij} \equiv \omega_i - \omega_j$. This implies that the angles (φ_1, φ_2) are the relevant dynamical phases to the study of synchronization. Similar to a spin-1 atom considered in Ref. [15], the system becomes synchronized when the distribution of φ_1 and φ_2 becomes localized. The difference between the localized and uniform distributions serves as a measure of synchronization,

$$\mathcal{S}(\varphi_1, \varphi_2) \equiv \int d\Omega Q(\theta, \xi, \varphi_1, \varphi_2) - \frac{1}{4\pi^2}, \quad (2)$$

where $\int d\Omega \equiv \int_0^\pi d\theta \cos \theta \sin^3 \theta \int_0^\pi d\xi \cos \xi \sin \xi$. This is a generalization to the full SU(3) group of a previous definition presented for SO(3) subgroup of SU(3) [15].

Under the influence of the bare Hamiltonian and the dissipators, the system settles into a stable limit cycle given by no phase preference in the angles (φ_1, φ_2) . When the system is driven, it develops a phase preference characterised by localization of $Q(\theta, \xi, \varphi_1, \varphi_2)$ in the relevant angles (φ_1, φ_2) . The synchronization measure $\mathcal{S}(\varphi_1, \varphi_2)$ in the steady state is evaluated to be

$$\mathcal{S}(\varphi_1, \varphi_2) = \frac{1}{8\pi} \left\{ \text{Re}[e^{i\varphi_1} \rho_{12}^{ss}] + \text{Re}[e^{i\varphi_2} \rho_{13}^{ss}] \right. \\ \left. + \text{Re}[e^{i(\varphi_2 - \varphi_1)} \rho_{23}^{ss}] \right\}. \quad (3)$$

Here ρ^{ss} is the steady-state density matrix. For the evolution given in Eq. (1), this synchronization measure simplifies to $\mathcal{S}(\varphi_1, \varphi_2) = \text{Re}[e^{i(\varphi_2 - \varphi_1)} \rho_{23}] / 8\pi$. We are primarily concerned with how much the phases are localized in the steady state, given by the maximum of $\mathcal{S}(\varphi_1, \varphi_2)$ which can be readily

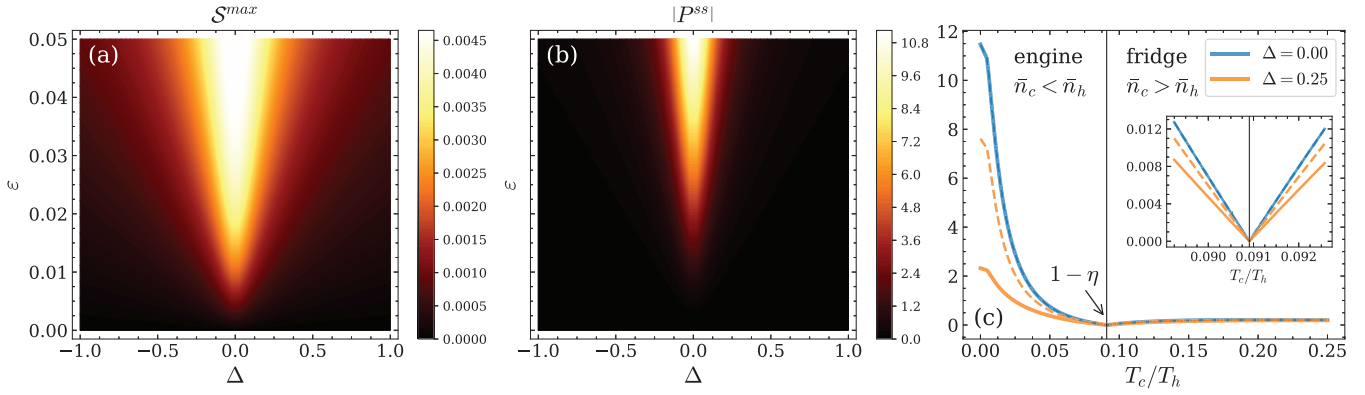


FIG. 2. (a) Synchronization measure S^{\max} for different detuning Δ and driving strength ϵ displaying the Arnold tongue. (b) Magnitude of the steady-state power $|P^{ss}|$ as a function of Δ and ϵ . The remaining parameters for both plots are fixed at $\gamma_h = 10^{-2}$, $\bar{n}_h = 5$, $\bar{n}_c = 10^{-3}$, $\gamma_c = 10\gamma_h$, and $\omega_{32} = 10/\gamma_h$. (c) Comparison of power $|P^{ss}|$ (solid lines) with the synchronization bound $16\pi|\epsilon|\omega_{32}S^{\max}$ (dashed lines) as functions of the ratio of bath temperatures T_c/T_h . For resonant driving, $\Delta = 0$, the two curves coincide while for $\Delta = 0.25$ we see the synchronization upper bounds $|P^{ss}|$. The black vertical line marks the Carnot point $T_c/T_h = \omega_{21}/\omega_{31}$ where $\bar{n}_c = \bar{n}_h$ and is related to the efficiency of the engine η via $\omega_{21}/\omega_{31} = 1 - \eta$. At this point the synchronization bound vanishes and therefore does $|P^{ss}|$. For $\bar{n}_c < \bar{n}_h$ the three-level system acts as an engine while for $\bar{n}_c > \bar{n}_h$ it acts as a fridge. The driving strength is fixed at $\epsilon = 0.05$ and $\omega_{21} = 1/\gamma_h$. The inset shows that the synchronization bound and therefore $|P^{ss}|$ both vanish at the Carnot point where $\bar{n}_c = \bar{n}_h$.

computed,

$$S^{\max} \equiv \max_{\varphi_1, \varphi_2} \mathcal{S}(\varphi_1, \varphi_2) = \frac{1}{16\pi} C_{l_1}(\rho^{ss}), \quad (4)$$

where $C_{l_1}(\rho) \equiv \sum_{i \neq j} |\rho_{ij}|$ is the l_1 norm of coherence [28]. The synchronization measure S^{\max} is shown in Fig. 2(a) as a function of the drive's detuning $\Delta = \omega_{32} - \omega_d$ and its strength ϵ . In analogy to classical synchronization, note the Arnold tonguelike behavior of the figure, indicating the phase locking of the atom to the external drive. The range of detuning for which the atom displays phase locking to the external drive increases with the strength of the drive.

Engine performance and power Arnold tongue. Efficiency and power are the characteristics that most often define the operation of an engine [18,19,29]. Scovil and Schulz-Dubois [22] showed that a maser can be operated as a heat engine whose efficiency is bounded by Carnot efficiency, namely, $\eta = \omega_{32}/\omega_{31} \leq \eta_c \equiv 1 - T_c/T_h$. This bound justifies our choice of driving a generic three-level atom, whose Husimi-Kano Q function is defined in terms of SU(3) coherent states as opposed to considering an equally spaced three-level atom of Ref. [15]. Our generalization reveals the complex dynamics inherent to the full SU(3) group while simultaneously allowing the efficiency to be varied by the choice of ω_{ij} .

A natural question arises, namely, if the aforementioned thermodynamical characteristics depend on the underlying dynamical properties of the system, undergoing a transition from synchronized to unsynchronized regime. The efficiency only depends on the transition frequencies of the system and is independent of any dynamical properties. This is because the efficiency tracks the energy transactions from the bath to the piston via the working medium, and is unconcerned about the dynamical processes involved in the perturbative driving regime.

On the other hand, the power of an engine is intimately related with dynamics [20]. To operate an engine at the Carnot

efficiency, one must perform adiabatic strokes which will not generate any heat. Since adiabatic strokes take infinite time, such an engine does not generate power. Likewise, in the quantum regime, adiabatic strokes are transitionless and do not generate any excitations, allowing the engine to follow the instantaneous eigenstate of the total Hamiltonian. Adiabatic driving has to thermalize with the bath at each instant in time, also implying the slowing down of dynamics [30,31]. Furthermore, perturbative driving does not change eigenvalues, and hence the contribution to power cannot arise from such terms. Such a scenario hence precludes generating power from diagonal density matrices. To generate power, the density matrix hence has to be off-diagonal in the Hamiltonian eigenbasis [32]. Any definition of power has to satisfy all these constraints and has to be frame independent, saturate in the steady state and satisfy the second law of thermodynamics for all values of detuning Δ unlike the definition presented in Ref. [33]. This intuition is captured by the steady-state power $P^{ss} = -i \text{tr}\{[H, \rho^{ss}]H_0\}$ due to Tannor and Boukobza [34,35] and satisfies all the conditions stated above. A detailed analysis of the definitions of heat and work, alongside the engine performance is presented in the Supplemental Material for completeness [36].

The magnitude of steady-state power $|P^{ss}|$ is presented in Fig. 2(b) as a function of Δ and ϵ , and displays an Arnold tonguelike behavior. To understand this, we note that the power is nonzero only when the steady-state density matrix is off-diagonal with respect to the bare Hamiltonian. This means that to observe a powerful quantum engine, the system has to be driven externally by a Hamiltonian that does not commute with the bare Hamiltonian. Power is only produced in the region where we observe phase locking to the external drive. If the system is sufficiently detuned at a given value of the driving strength ϵ , negligible power is emitted by the maser. The underlying mechanism for this Arnold tonguelike behavior is synchronization which produces coherence in the Hamiltonian eigenbasis.

To make this connection between synchronization and power quantitative, we begin by calculating the steady-state power explicitly:

$$P^{ss} = 2\varepsilon\omega_{32}\text{Im}[\rho_{23}^{ss}]. \quad (5)$$

Using $|\text{Im}[\rho_{23}^{ss}]| \leq |\rho_{23}^{ss}|$ along with Eq. (4) leads to a synchronization bound on the magnitude of the steady-state power of the engine:

$$|P^{ss}| \leq 16\pi|\varepsilon|\omega_{32}\mathcal{S}^{\max}. \quad (6)$$

The bound becomes an equality when the engine is driven resonantly, $\Delta = 0$, because in this case ρ_{23}^{ss} becomes pure imaginary. This can be seen by computing ρ_{23}^{ss} ,

$$\rho_{23}^{ss} = i\varepsilon\Gamma_{23}^*\gamma_c\gamma_h(\bar{n}_c - \bar{n}_h)/\beta, \quad (7)$$

where $\Gamma_{23} = [\gamma_h(\bar{n}_h + 1) + \gamma_c(\bar{n}_c + 1)]/2 - i\Delta$ and β is a real number whose exact form is given in the Supplemental Material. Inequality (6) is our main result. It directly links the power of a heat engine with the amount of synchronization in the system. Synchronization sets an upper bound on the achievable power of an engine for a fixed driving strength ε .

The Inequality in Eq. (6) immediately suggests that nonzero steady-state power implies also nonzero synchronization. Upon closer inspection of the maximum of $\mathcal{S}(\varphi_1, \varphi_2)$ in Eq. (4), its relation to steady-state power in Eq. (5) and the definition of ρ_{23}^{ss} in Eq. (7), we can see that the converse statement is also true, namely that nonzero synchronization implies nonzero steady-state power. From Eq. (7), we see that whenever synchronization is nonzero the coherence ρ_{23}^{ss} is a complex number. This implies that the steady-state power is also nonzero as seen from Eq. (5).

We explore this connection further and study how the synchronization bound in Eq. (6) varies with bath temperatures. Figure 2(c) shows the magnitude of the steady-state power $|P^{ss}|$ (solid lines) as a function of the ratio of bath temperatures T_c/T_h , controlled by varying \bar{n}_c and keeping \bar{n}_h fixed. Strong power is achieved for vanishing T_c/T_h which is expected and then decreases as T_c/T_h increases. We see that $|P^{ss}|$ saturates the synchronization bound (dashed lines) when the engine is driven resonantly while in the case of finite detuning $|P^{ss}|$ is lower than the synchronization bound. As T_c/T_h increases both $|P^{ss}|$ and the synchronization bound decrease until we reach an interesting point where $\bar{n}_c = \bar{n}_h$ and the system stops behaving like an engine. It can be shown that this point corresponds to $T_c/T_h = \omega_{21}/\omega_{31} = 1 - \eta$. This implies that the engine efficiency η determines the point where the synchronization measure \mathcal{S}^{\max} and therefore $|P^{ss}|$ vanish [37] as can be seen from Eq. (7) and is also shown in the inset of Fig. 2(c). As the temperature ratio increases past $T_c/T_h =$

$1 - \eta$, the synchronization bound becomes finite again and so does $|P^{ss}|$, and the system enters a new regime where it behaves like a fridge, where heat currents and power reverse sign and the energy starts flowing from the cold bath to the hot one as detailed in the Supplemental Material. Note that the synchronization bound in Eq. (6) holds true regardless of whether the system is operated as an engine or a fridge.

Discussion. We have demonstrated an intimate connection between synchronization and nanoscale heat engines and showed how the properties of the output power can be understood as a consequence of synchronization developing in the working fluid of the engine. An explicit example of this connection is the case of three-level atoms connected to two thermal reservoirs. We have derived an upper bound on the engine power in terms of the measure of synchronization \mathcal{S}^{\max} . The maximum amount of synchronization as measured by \mathcal{S}^{\max} can be operationally understood as the maximum magnitude of steady-state power of a three-level engine when it is driven resonantly. Furthermore, we have demonstrated that a coherently driven three-level thermal engine exhibits finite steady-state power if and only if its synchronization measure is nonzero. Finally, we have showed that the engine's efficiency η determines the ratio of the bath temperatures where synchronization vanishes.

This connection offers, in addition, a very important route toward the experimental observation of quantum synchronization since masers are mature quantum platforms. Three-level atoms have been experimentally coupled to thermal baths using magneto-optical traps [38] pumped by incoherent laser beams. We envisage, however, that the connection is far more general and it applies to any generic multi-level quantum engine. We hence anticipate that signatures of quantum synchronisation will be observed in quantum technology applications in the near future. We note that the underlying principle of synchronization of multilevel systems with linear baths is universal and can be applied wherever a stable phase relationship between atomic levels is needed. This will pave the way for future quantum technologies based on quantum synchronization.

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