

**$Q$  curvature and gravity**Mariano Chernicoff,<sup>1</sup> Gaston Giribet,<sup>2</sup> Nicolás Grandi,<sup>3</sup> Edmundo Lavia,<sup>4,5</sup> and Julio Oliva<sup>6</sup><sup>1</sup>*Departamento de Física, Facultad de Ciencias, Universidad Nacional Autónoma de México A.P. 70-542, CDMX 04510, México*<sup>2</sup>*Center for Cosmology and Particle Physics, New York University, 726 Broadway, New York, New York 10003, USA*<sup>3</sup>*Instituto de Física de La Plata–CONICET and Departamento de Física–UNLP, C.C. 67, 1900 La Plata, Argentina*<sup>4</sup>*Departamento de Física, Universidad de Buenos Aires and IFIBA–CONICET Ciudad Universitaria, pabellón 1, (1428) Buenos Aires, Argentina*<sup>5</sup>*Argentinian Navy Research Office (DIIV), UNIDEF, and CONICET Laprida 555, 1638 Vicente López, Buenos Aires, Argentina*<sup>6</sup>*Departamento de Física, Universidad de Concepción, Casilla 160-C, Concepción 4030000, Chile*

(Received 19 August 2018; published 21 November 2018)

In this paper, we consider a family of  $n$ -dimensional, higher-curvature theories of gravity whose action is given by a series of dimensionally extended conformal invariants. The latter correspond to higher-order generalizations of the Branson  $Q$  curvature, which is an important notion of conformal geometry that has been recently considered in physics in different contexts. The family of theories we study here includes special cases of conformal invariant theories in even dimensions. We study different aspects of these theories and their relation to other higher-curvature theories present in the literature.

DOI: [10.1103/PhysRevD.98.104023](https://doi.org/10.1103/PhysRevD.98.104023)**I. INTRODUCTION**

Quantum effects induce higher-curvature modification to the gravitational action. This is well understood in the context of string theory, where the ultraviolet corrections to the low-energy effective action can be systematically computed [1]. On general grounds, higher-curvature modifications render the theory of gravity renormalizable, but at the cost of introducing ghost instabilities [2] and other pathologies [3–5]. This implies that, whatever higher-curvature correction to Einstein theory to be proposed, it has to satisfy very special constraints in order to be physically acceptable [6]. One may still ask whether such constraints are restrictive enough to define the theory uniquely or, on the contrary, there exist more than one consistent way of modifying general relativity (GR). In fact, there are known higher-curvature actions that define theories with interesting properties and which, under certain conditions, no longer have ghosts.

One such example is the so-called critical gravity<sup>1</sup> (CG), which is defined by supplementing the Einstein-Hilbert

action on anti-de Sitter (AdS) space with a conformally invariant linear combination of  $R^2$  terms with a specific value of the coupling constant [8]. The precise linear combination corresponds to the square of the Weyl tensor, i.e.,  $L^2 \int d^4x \sqrt{-g} C_{\alpha\beta\mu\nu} C^{\alpha\beta\mu\nu}$ , where the coupling constant  $L^2$ , having mass dimension  $-2$ , is adjusted in terms of the cosmological constant  $\Lambda$ . In dimension  $n = 4$ , the theory includes GR as a particular subsector, is free of the massive spin-0 mode that quadratic theories typically engender, and acquires a second massless spin-2 mode apart from the GR graviton. The presence of a second massless spin-2 field produces low-decaying modes and it causes the black holes and other solutions of the theory to have vanishing gravitational energy.

Critical gravity theories can also be defined in higher dimension,  $n > 4$  [9]. This amounts to dimensionally continue the four-dimensional conformal invariant by simply replacing the action with  $L^2 \int d^n x \sqrt{-g} C_{\alpha\beta\mu\nu} C^{\alpha\beta\mu\nu}$  and choosing the coupling constants in such a way that the maximally symmetric vacuum is unique. As in four dimensions, CG in  $n > 4$  has no massive modes; the spin-0 conformal mode decouples and the extra spin-2 mode becomes massless. However, in contrast to  $n = 4$ , in dimension  $n > 4$  CG does not generically admit Einstein spaces as solutions; the reason being the presence of the Kretschmann scalar  $R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma}$  in the action, which in  $n > 4$  contributes dynamically. This does not happen for  $n = 4$

<sup>1</sup>See the discussion in [7] and references therein.

in virtue of the Chern-Weil-Gauss-Bonnet theorem [10]. The latter represents the main difference between CG in  $n = 4$  and  $n > 4$ .

Another higher-curvature theory that exhibits special features is the Lovelock theory [11,12], which is defined by dimensionally extending topological invariants to higher  $n$ . The resulting theory coincides with GR only in dimension  $n \leq 4$ , while in  $n > 5$  presents higher-curvature corrections up to order  $R^k$ , with  $k < n/2$ . Despite involving contractions of more than one Riemann tensor in the Lagrangian, Lovelock action yields second-order field equations. In fact, Lovelock field equations are the most general covariantly conserved symmetric rank-2 tensor in dimension  $n$  that is of second order in the metric and torsion free. For  $n = 4$  the latter requirements single out the Einstein tensor, while in  $n \geq 5$  they allow for more tensor structures. Lovelock field equations, however, contain higher powers of the second derivatives of the metric, unlike GR. This makes the dynamical structure of the theory exhibit special features that give rise to peculiar physical phenomena [13].

Here, we will investigate a class of higher-curvature theories which are different from CG and Lovelock theories but nonetheless share some features with both of them. In fact, the family of theories we propose to explore can be thought of as a hybrid between CG and Lovelock models, in the sense that they are defined by dimensionally extending conformal invariants, in opposition to topological invariants. In dimension 4, these theories include conformal gravity and CG as particular cases. In dimensions greater than 4, in contrast, they do not agree with the  $n$ -dimensional generalization of [9] and they can rather be regarded as a different way of extending the CG of [8] to arbitrary  $n$ . They do include, nevertheless, other higher-dimensional theories recently considered in the literature; in particular, for  $n = 6$  they include the cubic theories studied in Ref. [14].

Other differences with CG and Lovelock theories are the following: Unlike the Lovelock theory, the one we propose to study here modifies GR even for  $n \leq 4$ . On the other hand, unlike the  $n > 4$  CG theories of [9], our theory does admit generic Einstein spaces as solutions. The price to be paid is that the spin-0 massive excitation around  $\text{AdS}_n$  does not decouple and dealing with this requires further imagination. There exists, however, a choice of coupling constant that renders the extra spin-2 mode massless. In addition to Einstein spaces, which persist as solutions up to a renormalization of the cosmological constant, the theory also admits non-Einstein solutions, as we will see.

The fundamental building block to construct the action of the theory will be the so-called  $Q$  curvature, which is an important notion of conformal geometry [15,16]. Originally introduced by Branson in [17], the  $Q$  curvature is a local scalar quantity that plays an important role in topics as diverse as spectral geometry, conformal geometry, differential topology, and the theory of higher-order differential

equations, among others. Recently,  $Q$  curvature has also been studied in theoretical physics; in particular, to study anomalies in quantum field theory [18], higher-derivative field theories [19], and other related problems. In Sec. II, we will review the definition and the main properties of the  $Q$  curvature, together with its higher-dimensional and higher-order generalizations. In Sec. III, we will discuss its connection to conformal invariants in even dimensions. This will provide us with the ingredients to construct, in Sec. IV, the gravitational action of our theory. In Sec. V, we will discuss the simplest solutions of the theory: their maximally symmetric vacua. We will derive the conditions to have a unique such vacuum and for the linear excitations around it to become massless. Section VI contains comments about the black hole solutions, the expressions of their charges, and the associated thermodynamics variables. In Sec. VII, we will explore the nonlinear gravitational wave solutions. Non-Einstein spaces will be discussed in Sec. VIII, where we will provide explicit examples in dimension  $n = 5$ . These examples include black holes, product of spherical spaces and their squashed deformations, and  $\text{AdS}_2 \times M$  solutions. In Sec. IX, we will comment on other higher-curvature actions also associated with the  $Q$  curvature. We will comment on the relation between these theories and other models such as new massive gravity, critical gravity, and the counterterms that appear in the context of holographic renormalization.

## II. $Q$ CURVATURE

In order to introduce the notion of  $Q$  curvature and motivate its definition, we will begin by revisiting properties of higher-curvature terms under conformal transformations: given the Weyl rescaling of an  $n$ -dimensional metric

$$g_{\mu\nu} \rightarrow \tilde{g}_{\mu\nu} = e^{2\varphi} g_{\mu\nu}, \quad (1)$$

we consider a linear differential operator  $P_{m,n}$  with  $m \in 2\mathbb{Z}_{\geq 0}$ ,  $n \in \mathbb{Z}_{\geq 0}$  that transforms covariantly as follows:

$$\tilde{P}_{m,n}(f) = e^{-\frac{n+m}{2}\varphi} P_{m,n}(e^{\frac{n-m}{2}\varphi} f), \quad (2)$$

with  $P_{0,n} := 1$ . Here,  $f$  represents an arbitrary differentiable function. In other words,  $\tilde{P}_{m,n}$  is an  $m$ th-order linear differential operator of conformal bidegree  $(\frac{n-m}{2}, \frac{n+m}{2})$ . This operator  $P_{m,n}$  has the form

$$P_{m,n} = \Delta_{m,n} + \frac{n-m}{2} Q_{m,n}, \quad \Delta_{m,n} = \square^{\frac{m}{2}} + \dots, \quad (3)$$

with  $\square = g^{\mu\nu} \nabla_\mu \nabla_\nu$  being the Laplace-Beltrami operator. The ellipsis stand for terms with no constant term, i.e.,  $\Delta_{m,n}$  is a linear differential operator satisfying  $\Delta_{m,n} 1 = 0$ .  $Q_{m,n}$  is a scalar curvature that transforms as follows:

$$\tilde{Q}_{m,n} = e^{-\frac{n+m}{2}\varphi} \left( Q_{m,n} + \frac{2}{n-m} \Delta_{m,n} \right) e^{\frac{n-m}{2}\varphi} \quad (4)$$

and is what is called the  $m$ th-order,  $n$ -dimensional  $Q$  curvature, which satisfies  $(n - m)Q_{m,n} = 2P_{m,n}(1)$ .

The transformation laws above uniquely define the linear operators  $P_{m,n}$  and the scalars  $Q_{m,n}$ . The simplest example of the hierarchy (3) and (4) (i.e.,  $m = 2$ ) is

$$\begin{aligned} Q_{2,n} &= -\frac{1}{2(n-1)}R, \\ P_{2,n} &= \square + \frac{n-2}{2}Q_{2,n}, \\ \Delta_{2,n} &= \square. \end{aligned} \tag{5}$$

That is,  $Q_{2,n}$  corresponds to the Gaussian curvature and  $P_{2,n}$  to the Yamabe operator

$$P_{2,n} = \square - \frac{n-2}{4(n-1)}R. \tag{6}$$

Branson's  $Q$  curvature corresponds to the case  $m = 4$ , which takes the form

$$\begin{aligned} Q_{4,n} &= -\frac{1}{2(n-1)}\square R - \frac{2}{(n-2)^2}R_{\mu\nu}R^{\mu\nu} \\ &+ \frac{n^2(n-4) + 16(n-1)}{8(n-1)^2(n-2)^2}R^2, \end{aligned} \tag{7}$$

where  $P_{4,n}$  is the so-called Paneitz operator; see (10) below. Operator  $P_{4,n}$  was originally defined by Fradkin and Tseytlin in [20] and independently by Riegert in [21].

The case  $m = 6$  takes the form

$$\begin{aligned} Q_{6,n} &= -\frac{1}{32(n-4)(n-2)^2(n-1)^3}((n^5 - 8n^4 + 64n^3 - 240n^2 + 1008n - 960)R^3 \\ &+ 512(n-1)^3R^{\mu\nu}\square R_{\mu\nu} - 4(n-1)(n^4 - 14n^3 + 100n^2 - 168n + 96)R\square R \\ &- 64(n-1)^2(n^2 - 4n + 28)RR_{\mu\nu}R^{\mu\nu} + 1024(n-1)^3R_{\alpha\beta}R_{\mu\nu}R^{\alpha\mu\beta\nu}). \end{aligned} \tag{8}$$

In  $n = 6$  and up to boundary terms, (8) coincides with the particular combination of conformal invariants proposed in [14], which has the property of being the unique conformal invariant combination in six dimensions that admits generic Einstein manifolds as solutions. This provides us with a criterion to select our theory and define the general Lagrangian of order  $m$ , in dimension  $n$ : we will consider Lagrangians consisting of dimensionally extended conformal invariants and that preserve Einstein spaces as solutions. The generalization of the Paneitz operator to  $n = 6$  has been discussed, for example, in [22].

The hierarchy  $Q_{m,n}$  continues *ad infinitum*, although the expressions become cumbersome for  $m > 6$ . The case  $m = 8$ , for example, is a dimension 8 operator involving quartic operators such as  $R^4$ ,  $R^2R_{\mu\nu}R^{\mu\nu}$ ,  $(R_{\mu\nu}R^{\mu\nu})^2$ ,  $RR_{\mu\alpha\nu\beta}R^{\alpha\beta}R^{\mu\nu}$ ,  $\dots R_{\mu\nu}\square^2R^{\mu\nu}$ ,  $R\square^2R$ , whose explicit form can be found in [23]. Written in terms of the Schouten tensor  $P_{\mu\nu} = (R_{\mu\nu} - Rg_{\mu\nu}/(2n-2))/(n-2)$  and the Weyl tensor  $C_{\mu\nu\alpha\beta} = R_{\mu\nu\alpha\beta} + g_{\alpha\nu}P_{\mu\beta} - g_{\alpha\mu}P_{\nu\beta} + g_{\beta\mu}P_{\nu\alpha} - g_{\beta\nu}P_{\mu\alpha}$ , the expression for  $Q_{8,n}$  simplifies notably, but the number of terms still rises to more than 40.

### III. CONFORMAL INVARIANTS

Now, let us comment on the connection between  $Q$  curvature and conformal invariants. We begin by reviewing well-known facts of two-dimensional manifolds: Consider a closed Riemann surface with Euclidean signature  $(M_2, g)$ . According to the Gauss-Bonnet theorem, its Euler characteristic,  $\chi(M_2)$ , is computed by the integral

$$\mathcal{I} = -\frac{1}{2\pi} \int_{M_2} d^2x \sqrt{g} Q_{2,2} = \frac{1}{4\pi} \int_{M_2} d^2x \sqrt{g} R = \chi(M_2), \tag{9}$$

where  $g$  is the determinant of the Euclidean metric  $g_{\mu\nu}$ , and  $R$  is the Ricci scalar (i.e., the Gaussian curvature). This is a topological invariant. In dimension 2, all metrics are locally conformally equivalent and we also have the following properties: provided one rescales the metric as  $g_{\mu\nu} \rightarrow e^{2\varphi}g_{\mu\nu}$  the Ricci scalar transforms as  $R \rightarrow e^{-2\varphi}(R - 2\Delta_{2,2}\varphi)$  while the Laplace-Beltrami operator transforms simply as  $\Delta_{2,2} \rightarrow e^{-2\varphi}\Delta_{2,2}$ . These transformations are important to understand in what sense the Branson  $Q$  curvature is the natural generalization of Gauss curvature to dimension 4. To motivate the definition of the  $Q$  curvature [17,24], let us explicitly write the Paneitz operator [25],

$$P_{4,4} = \Delta_{4,4} = (\square)^2 + 2G_{\mu\nu}\nabla^\mu\nabla^\nu + \frac{1}{3}(\nabla^\mu R_{\mu\nu})\nabla^\nu + \frac{1}{3}R\square, \tag{10}$$

where  $G_{\mu\nu} = R_{\mu\nu} - (1/2)Rg_{\mu\nu}$  is the Einstein tensor. This is a linear fourth-order, four-dimensional differential operator that under the rescaling of the metric  $g_{\mu\nu} \rightarrow e^{2\varphi}g_{\mu\nu}$  transforms as  $\Delta_{4,4} \rightarrow e^{-4\varphi}\Delta_{4,4}$ . From this, the definition of the  $Q$  curvature is natural: it is the fourth-order, four-dimensional curvature invariant that, having the same scaling dimension as  $\Delta_{4,4}$ , transforms simply as  $Q_{4,4} \rightarrow e^{-4\varphi}(Q_{4,4}\varphi + Q_{4,4})$ . This has the form

$$Q := Q_{4,4} = -\frac{1}{6}\square R - \frac{1}{2}R_{\mu\nu}R^{\mu\nu} + \frac{1}{6}R^2. \tag{11}$$

To reinforce the analogy with what Gaussian curvature  $R \propto Q_{2,2}$  means in dimension  $n = 2$ , let us mention that in the same way as how  $Q_{2,2}$  computes the Euler characteristic in two dimensions,  $Q_{4,4}$  computes the Euler characteristic  $\chi(M_4)$  of a four-dimensional Riemann manifold  $(M_4, g)$  within a particular conformal class. More precisely,

$$\mathcal{I} = \frac{1}{8\pi^2} \int_{M_4} d^4x \sqrt{g} Q_{4,4} + \frac{1}{32\pi^2} \times \int_{M_4} d^4x \sqrt{g} C_{\mu\nu\alpha\beta} C^{\mu\nu\alpha\beta} = \chi(M_4), \quad (12)$$

where  $C_{\mu\nu\alpha\beta}$  is the Weyl tensor. Notice that both terms on the left-hand side are conformal invariants. That is,  $Q$  curvature computes a topological invariant within a given conformal class. In dimension 2, of course, there is only one conformal class and thus (12) turns out to be a natural generalization of (9).

Branson also provided [17] a definition of the  $Q$  curvature in arbitrary dimension  $n > 3$ . For  $n \neq 4$ , its definition is given in terms of its transformation rules under Weyl rescaling and not by its topological meaning. This is given by

$$Q_{4,n} = A_n \square R + B_n R_{\mu\nu} R^{\mu\nu} + C_n R^2, \quad (13)$$

with  $A_n = -1/(2(n-1))$ ,  $B_n = -2/(n-2)^2$ ,  $C_n = (n^2(n-4) + 16(n-1))/(8(n-1)^2(n-2)^2)$ .

This is the second term in the list of scalars  $Q_{m,n}$  we discussed in the previous section. In particular, all the integrals  $\int d^n x \sqrt{-g} Q_{m,n}$  are conformal invariants. The scalars  $Q_{m,n}$  will constitute the Lagrangian density of the theory we propose to explore.

#### IV. THE ACTION

The gravity action we will consider is defined by the sum of the dimensionally continued conformal invariants; namely,

$$\mathcal{I} = \int d^n x \sqrt{-g} \sum_{k=0}^{\infty} L^{2k-2} b_k P_{2k,n}(1), \quad (14)$$

where  $P_{2k,n}(1) = (n/2 - k)Q_{2k,n}$ , with  $k \in \mathbb{Z}_{\geq 0}$ , and where  $P_{0,n} = 1 = (n/2)Q_{0,n}$ . We are now considering  $n$ -dimensional pseudo-Riemannian manifold  $(M_n, g)$  with Lorentzian mostly plus signature.  $L$  is a constant of mass dimension  $-1$ . This sets the length scale  $L$  at which the ultraviolet corrections due to the higher-curvature terms  $Q_{m>2,n}$  start to contribute significantly. The dimensionless coupling constants  $b_k$  are usually normalized in such a way that  $b_0 = -\Lambda L^2/(8\pi G)$  and  $b_1 = -(n-1)/(4\pi G(n-2))$ , where  $G$  is the  $n$ -dimensional Newton constant. Our

conventions will be such that  $b_2 = -1/(4\pi G(n-4)^2)$ . That is,

$$\mathcal{I} = \frac{1}{16\pi G} \int_{M_n} d^n x \sqrt{-g} \left( R - 2\Lambda + \frac{4L^2}{(n-2)^2(n-4)} \times \left( R_{\mu\nu} R^{\mu\nu} - \frac{n^3 - 4n^2 + 16n - 16}{16(n-1)^2} R^2 \right) + \dots \right), \quad (15)$$

where the ellipsis stand for higher-curvature, higher-derivative terms.

Of course, for  $b_{k>1} = 0$  action (14) reduces to Einstein theory. Other particular choices are also interesting: The case  $b_k = \delta_{2,k}$  for  $n = 4$  corresponds to four-dimensional conformal gravity. The special case  $b_0 = -\Lambda L^2/(8\pi G)$ ,  $b_1 = -3/(8\pi G)$ ,  $b_2 = -L^2/(4\pi G(n-4))$  with  $L^2 = 3/(2\Lambda)$  in the limit  $n \rightarrow 4$  reduces to the critical gravity-theory proposed in [8]; see also [26]. The case  $b_k = \delta_{3,k}$  for  $n = 6$  corresponds to the cubic theory defined in [14], whose action is given by the linear combination of conformal invariants in six dimensions that supports Einstein manifolds as solutions. In general, action (14) with  $b_k = \delta_{n/2,k}$  defines a conformal invariant theory, classically.

The theory described by (14) with  $b_k = \delta_{2,k}$  in arbitrary dimension  $n$  is also special: defined on a closed Euclidean  $n$ -dimensional manifold  $(M_n, g)$ , it corresponds to the variational problem of minimizing the Branson  $Q$  curvature on  $M_n$ . For  $n > 4$ , the Euler-Lagrange equations derived from such action,  $E_{\mu\nu} := \delta\mathcal{I}/\delta g^{\mu\nu} = 0$ , have trace equal to  $Q_{4,n}$ . (Therefore, turning on  $b_0 \neq 0$  yields field equations whose solutions solve the uniformization problem  $Q_{4,n} = \text{const}$  on  $M_n$ ). For  $b_k = \delta_{2,k}$  in dimension  $n > 4$ , the tensor  $E_{\mu\nu}$  obeys the following three properties:  $E := g^{\mu\nu} E_{\mu\nu} = Q_{4,n}$ ,  $E_{\mu\nu} = E_{\nu\mu}$ , and  $\nabla^\mu E_{\mu\nu} = 0$ . That is, it is a covariantly conserved, symmetric rank-2 tensor whose trace is the  $Q$  curvature. These properties are reminiscent of the properties that Lin and Yuan required to define their  $J$ -tensor in [27], i.e., a symmetric rank-2 tensor canonically associated with the  $Q$  curvature. However, the divergence of the  $J$ -tensor does not vanish but it turns out to be proportional to the gradient of  $Q$ . More precisely, the Lin-Yuan  $J$ -tensor obeys:  $J := g^{\mu\nu} J_{\mu\nu} = Q_{4,n}$ ,  $J_{\mu\nu} = J_{\nu\mu}$ , and  $\nabla^\mu J_{\mu\nu} = (1/4)\nabla^\mu Q_{4,n}$ . The motivation to define such a tensor is the following: if one insists with the idea that  $Q$  curvature is the fourth-order analog of the Gaussian curvature  $R$ , then a natural question is what is the analog of the Ricci tensor  $R_{\mu\nu}$  and of its derived notions such as Ricci flatness, Einstein manifolds, etc. To answer this question, one recalls the basic properties of  $R$  and  $R_{\mu\nu}$ , namely,  $g^{\mu\nu} R_{\mu\nu} = R$ ,  $R_{\mu\nu} = R_{\nu\mu}$  and  $\nabla^\mu R_{\mu\nu} = (1/2)\nabla^\mu R$ . Then, the analogy becomes evident: in the same manner as how the  $Q$  curvature can be regarded as the fourth-order generalization of  $R$ , the tensor  $J_{\mu\nu}$  turns out to be the



generalization of the Ricci tensor  $R_{\mu\nu}$ . From this, definitions such as  $J$  flatness,  $J$  Einstein, etc. follow naturally. Along the same lines, our tensor  $E_{\mu\nu}$  should be regarded as the natural fourth-order generalization of Einstein tensor  $G_{\mu\nu}$ , and thus it is natural to consider it as the completion of our gravity field equations. The precise relation between our tensor  $E_{\mu\nu}$  and the Lin-Yuan tensor is

$$\begin{aligned} E_{\mu\nu} &= \frac{4}{(4-n)} \left( J_{\mu\nu} - \frac{1}{4} g_{\mu\nu} J \right), \\ J_{\mu\nu} &= \frac{(4-n)}{4} E_{\mu\nu} + \frac{1}{4} g_{\mu\nu} E, \end{aligned} \quad (16)$$

with  $J = E = Q_{4,n}$ . Summarizing, our action (14) provides a definition of the Einstein-Hilbert variational problem for the Lin-Yuan  $J$ -tensor, i.e., it gives an action functional definition of  $J_{\mu\nu}$  (for  $n > 4$ ).

The classification of conformally invariant and conformally covariant higher-curvature actions is an interesting problem to which different authors have contributed. Some interesting results are scattered in the literature. For instance, six-derivative Lagrangians with interesting conformal properties were studied in [28,29]. In [30], a purely algebraic method to classify the locally Weyl invariant scalar densities in dimension 8 has been given. Higher-curvature gravity theories with conformal invariance have been also discussed in [31].

## V. VACUA

Now, we go back to the interpretation of action (14) as defining a theory of gravity. For concreteness, we focus on the case that includes higher-curvature terms up to the quadratic order  $Q_{m \leq 4,n}$ . In this case, the action is given by

$$\mathcal{I} = \frac{1}{16\pi G} \int d^n x \sqrt{-g} (R - 2\Lambda + \alpha R^2 + \beta R_{\mu\nu} R^{\mu\nu}), \quad (17)$$

with

$$\begin{aligned} \alpha &= -L^2 \frac{(n^3 - 4n^2 + 16n - 16)}{4(n-1)^2(n-2)^2(n-4)}, \\ \beta &= L^2 \frac{4}{(n-2)^2(n-4)}. \end{aligned} \quad (18)$$

This theory admits solutions of constant curvature, namely,

$$R_{\mu\alpha\nu\beta} = -\frac{1}{\ell^2} (g_{\mu\nu} g_{\alpha\beta} - g_{\mu\beta} g_{\alpha\nu}), \quad (19)$$

which are maximally symmetric spaces obeying the Einstein equations

$$R_{\mu\nu} = -\frac{(n-1)}{\ell^2} g_{\mu\nu}, \quad (20)$$

with a curvature radius  $\ell$  given by

$$\Lambda \ell^4 + \frac{(n-1)(n-2)\ell^2}{2} + \frac{(n+2)(n-2)L^2}{8} = 0. \quad (21)$$

This equation, for  $n > 4$ , yields two values for  $\ell^2$ . Generically, the theories with  $Q_{2k,n}$  contain  $k$  maximally symmetric vacua with different curvature radii. For special choices of the coupling constants  $b_k$ , however, some of these vacua degenerate. For instance, the condition for (21) to yield a unique vacuum reads

$$L^2 = -2\ell^2 \frac{(n-1)}{(n+2)}. \quad (22)$$

In this case, the theory has a unique maximally symmetric solution with an effective cosmological constant  $\Lambda_{\text{eff}} = -(n-2)(n-1)/(4\ell^2)$ . The condition for this unique vacuum to be  $\text{AdS}_n$  is  $\ell^2 > 0$ , i.e.,  $L^2 < 0$ ,  $\alpha > 0$ ,  $\beta < 0$ .

For arbitrary  $\ell^2/L^2$ , the degrees of freedom of fluctuations about  $\text{AdS}_n$  include a massless spin-2 mode and a massive spin-0 mode. These modes are typically tachyonic (for conventions of the generalized Breitenlohner-Freedman bound for spin- $s$  fields in  $n$ -dimensional AdS space, see, for instance, Ref. [32]). In fact, demanding the effective Newton constant to be positive one finds that one of the two spin-2 fields has a mass  $m_{s=2}^2 = -(n-2)^2((n^2-4) + 2(\ell^2/L^2)(n-1)(n-4))/(8\ell^2(n-1))$ ; (hereafter  $16\pi G=1$ , unless explicitly declared). One can easily choose the value of the coupling constant  $L^2$  such that  $m_{s=2}^2 = 0$ . In that case, as we will see, also the black hole solutions of the theory become massless. The massive spin-0 mode, on the other hand, has mass  $m_{s=0}^2 = (n-1)(4m_{s=2}^2 - (2/L^2)(n-2)^2)/(n-2)^2$ . One can in principle accept the values  $m_s^2 < 0$  and compare them with the Breitenlohner-Freedman (BF) bound<sup>2</sup>:  $m_s^2 \geq m_{\text{BF}}^2 = -((n-1)^2 + 4s)/(4\ell^2)$ . This poses a bound for  $L^2$ , which is  $n$  dependent. The scalar conformal mode is frequently the most problematic. We will discuss in Sec. IX a series of theories that permits us to decouple this mode. There exist different ways of dealing with it: One way is considering values of the coupling constant such that the mass of this mode becomes infinite and it eventually decouples [33–36]. Another possibility is to look for boundary conditions that suffice to eliminate the mode in a dynamically consistent way, cf. [14,37–39]. One could also investigate a special type of matter to which the theory can be coupled without the scalar

<sup>2</sup>Here, for the scalar field we are using conventions coming from the Klein-Gordon theory, while for the spin-2 field we are using the bound coming from the Pauli-Fierz theory. In relation to that, it can be argued that the generalization of BF bound for arbitrary spin proposed in [32] is actually surplus, the reason being that the unitary bound for the massive spin- $s$  field results stronger than the generalization of the generalized BF inequality proposed in [32]. We thank the referee of *The Physical Review* for pointing this out.

mode to introduce pathologies. Another logical possibility is invoking nonlinear effects that cure the theory. Last, one can also look for backgrounds around which the propagating modes result well-defined.

## VI. BLACK HOLES

Theory (14) admits Einstein spaces (20) as solutions, provided  $\ell$  satisfies (21). In particular, it contains black holes. The metric of an AdS-Schwarzschild black hole is given by

$$ds^2 = -\left(1 - \frac{r_0^{n-3}}{r^{n-3}} + \frac{r^2}{\ell^2}\right) dt^2 + \left(1 - \frac{r_0^{n-3}}{r^{n-3}} + \frac{r^2}{\ell^2}\right)^{-1} \times dr^2 + r^2 d\Omega_{n-2}^2, \quad (23)$$

where  $d\Omega_{n-2}^2$  is the metric on the unit  $(n-2)$ -sphere and  $r_0$  is an integration constant associated with the mass. In fact, the mass of this black hole solution is given by [40–44]

$$M_{\text{BH}} = \frac{1}{8\pi G} \left(1 + \frac{L^2(n-2)(n+2)}{2\ell^2(n-1)(n-4)}\right) (n-2) \text{Vol}(\Omega_{n-2}) r_0^{n-3}, \quad (24)$$

where we have reinserted the overall normalization  $(16\pi G)^{-1}$  in the action.  $\text{Vol}(\Omega_{n-2})$  in (24) stands for the volume of the  $(n-2)$ -sphere, namely,  $\text{Vol}(\Omega_{n-2}) = 2\pi^{\frac{n-1}{2}}/\Gamma(\frac{n-1}{2})$ .

The Hawking temperature associated with the black hole solution (23) is

$$T_{\text{H}} = \frac{(n-1)r_+^2 + (n-3)\ell^2}{4\pi\ell^2 r_+}, \quad (25)$$

which is a geometrical quantity and consequently independent of the presence of higher-curvature terms. In contrast, the entropy does depend on the coupling constant  $L$  in a way that can be computed by different methods. The result reads

$$S_{\text{BH}} = \frac{\text{Vol}(\Omega_{n-2})r_+^{n-2}}{4G} \left(1 + \frac{L^2(n-2)(n+2)}{2\ell^2(n-1)(n-4)}\right) = \frac{\text{Area}}{4G} + \mathcal{O}(L^2/\ell^2), \quad (26)$$

where the first term between brackets gives the Bekenstein-Hawking contribution  $\text{Area}/(4G)$ , accompanied by higher-curvature corrections to the prefactor. Notice that the entropy  $S_{\text{BH}}$  and the mass  $M_{\text{BH}}$  satisfy the first principle  $dM_{\text{BH}} = T_{\text{H}} dS_{\text{BH}}$ . It is also easy to check that both  $S_{\text{BH}}$  and  $M_{\text{BH}}$  vanish when the mass of the spin-2 fluctuating mode  $m_{s=2}^2$  is zero.

## VII. GRAVITATIONAL WAVES

Now, we move to explore exact gravitational wave solutions. We consider the ansatz

$$ds^2 = \frac{\ell^2}{r^2} \left( -(1+2H)dt^2 + 2tdt\xi + dr^2 + \delta_{ij}dx^i dx^j \right), \quad (27)$$

where  $H$  is a function that does not depend on the lightlike coordinate  $\xi$ . Here,  $\delta_{ij}$  is the  $(n-3)$ -dimensional Kronecker delta that defines the Euclidean metric on  $\mathbb{R}^{n-3}$ . We consider deformations of the universal covering of  $\text{AdS}_n$ , so the coordinates take values  $t \in \mathbb{R}$ ,  $\xi \in \mathbb{R}$ , and  $r \in \mathbb{R}_{\geq 0}$ .  $H = \text{const}$  corresponds to  $\text{AdS}_n$  space in Poincaré coordinates, with its boundary located at  $r = 0$ . For the deformation, we consider the null geodesic vector  $k^\mu \partial_\mu = (r/l)\partial_\xi$ , which enables us to interpret these backgrounds as Kerr-Schild transformations of  $\text{AdS}_n$ ; namely,

$$g_{\mu\nu} = g_{\mu\nu}^{\text{AdS}} - 2H k_\mu k_\nu, \quad (28)$$

where  $g_{\mu\nu}^{\text{AdS}}$  is the metric of  $\text{AdS}_n$ ; recall  $k_\mu k^\mu = 0$ .

The Ricci tensor for a metric like (28) takes the form

$$R_{\mu\nu} = -\frac{(n-1)}{\ell^2} g_{\mu\nu} + k_\mu k_\nu \square H, \quad (29)$$

and it yields constant scalar curvature  $R = -n(n-1)/\ell^2$ , which turns out to be independent of  $H$ . It also yields the dimension 6 operators

$$R_{\mu\alpha} R_\nu^\alpha = \frac{(n-1)^2}{\ell^4} g_{\mu\nu} - \frac{2(n-1)}{\ell^2} k_\mu k_\nu \square H, \quad (30)$$

$$R_{\mu\alpha\nu\beta} R^{\alpha\beta} = \frac{(n-1)^2}{\ell^4} g_{\mu\nu} - \frac{(n-2)}{\ell^2} k_\mu k_\nu \square H, \quad (31)$$

$$R_{\mu\gamma\alpha\beta} R_\nu^{\gamma\alpha\beta} = \frac{2(n-1)}{\ell^4} g_{\mu\nu} - \frac{4}{\ell^2} k_\mu k_\nu \square H, \quad (32)$$

and

$$\square R_{\mu\nu} = k_\mu k_\nu \square \left( \square - \frac{2}{\ell^2} \right) H. \quad (33)$$

Using the expression for the Ricci tensor and the properties of  $k^\mu$ , one finds that the only nontrivial contribution to the field equations is

$$k_\mu k_\nu (\square - M^2) \square H = 0, \quad (34)$$

with  $M^2$  being given by

$$M^2 = -\frac{(n-2)^2}{8\ell^2(n-1)} \left( (n^2-4) + 2\frac{\ell^2}{L^2}(n-1)(n-4) \right). \quad (35)$$

The condition for (35) to be zero is

$$\ell^2 = -L^2 \frac{(n-2)(n+2)}{2(n-1)(n-4)}, \quad (36)$$

and we observe that when  $M^2 = 0$  the gravitational energy of the AdS-Schwarzschild black hole is also zero. This is analogous to what happens in CG in arbitrary dimension [45]. Another special value for  $M^2$  is the one for which the AdS<sub>n</sub> vacuum results unique. This happens when

$$M_0^2 = -\frac{(n-2)^2(n+2)}{4\ell^2(n-1)}. \quad (37)$$

### VIII. NON-EINSTEIN SPACES

Besides Einstein spaces, theory (14) admits a large class of non-Einstein solutions. Among them, there are solutions with anisotropic scale invariance, with and without Galilean symmetry. That is, the theory admits both Schrödinger [46] and Lifshitz [47] type metrics for specific values of the dynamical exponent,  $z$ . There is another class of solutions given by the direct product of squashed or stretched deformations of AdS spaces and constant curvature spaces. This class includes the so-called warped-AdS<sub>3</sub> spaces, warped-AdS<sub>3</sub> black holes, and AdS<sub>2</sub> × S<sup>1</sup> spaces. To be concrete, let us focus on the five-dimensional case for which such metrics take the form

$$ds^2 = \frac{\ell^2}{\mu^2 + 3} \left( -\cosh^2(r) dt^2 + dr^2 + \frac{4\mu^2}{\mu^2 + 3} (dx + \sinh(r) dt)^2 + d\Sigma_{2,\pm}^2 \right), \quad (38)$$

where  $d\Sigma_{2,\pm}^2$  is a metric of a two-dimensional space of constant curvature  $\pm 1$ ; namely,

$$\begin{aligned} d\Sigma_{2,+}^2 &= \tau^2(dy^2 + \sin^2(y)dz^2), \\ d\Sigma_{2,-}^2 &= \tau^2(dy^2 + \cosh^2(y)dz^2), \end{aligned} \quad (39)$$

with  $\tau^2$  being a constant that controls the radius of the internal two-dimensional piece of the geometry,  $\Sigma_{2,\pm}$ . We can take  $t \in \mathbb{R}$ ,  $x \in \mathbb{R}$ , and  $r \in \mathbb{R}$ . These coordinates parametrize the three-dimensional part of the geometry that describes a squashed or stretched deformation of AdS<sub>3</sub>, also known as warped-AdS<sub>3</sub> spaces or simply WAdS<sub>3</sub>. The parameter that controls the deformation is  $\mu$ ; the value  $\mu = 1$  corresponding to the undeformed AdS<sub>3</sub> space written as a Hopf fibration of AdS<sub>2</sub>. The scalar curvature associated with the five-dimensional geometry (38) is

$$R = -\frac{2(3\tau^2 \mp \mu^2 \mp 3)}{\tau^2 \ell^2}, \quad (40)$$

where the squashing parameter  $\mu$  is related to the radius  $\tau$  by

$$\mu^2 = \frac{3(1 \pm \tau^2)}{X_{\pm}(\tau)}, \quad \text{with } X_{\pm}(\tau) = 2\tau^4 \pm 5\tau^2 - 1, \quad (41)$$

and where the coupling constants take the values

$$L^2 = 48\ell^2 \frac{X_{\pm}(\tau)}{Y_{\pm}(\tau)}, \quad \Lambda = -\frac{3}{2\ell^2} \frac{Z_{\pm}(\tau)}{X_{\pm}(\tau)Y_{\pm}(\tau)}, \quad (42)$$

with

$$Y_{\pm}(\tau) = 78\tau^4 \mp 267\tau^2 - 145,$$

$$Z_{\pm}(\tau) = 156\tau^8 \mp 556\tau^6 - 2661\tau^4 \pm 666\tau^2 + 1015. \quad (43)$$

Warped AdS<sub>3</sub> spaces admit black hole solutions [48] that are asymptotically WAdS<sub>3</sub> as well as locally WAdS<sub>3</sub> [49], and they also admit a limit in which the geometry becomes AdS<sub>2</sub> × S<sup>1</sup>. All these spaces have very interesting properties and deserve to be studied separately.

### IX. ALTERNATIVE DIMENSIONAL EXTENSION

There exists another way of dimensionally extending to  $n \geq 4$  the theory that, in  $n = 4$ , is defined by considering the sum of scalars  $Q_{2k \leq 4,4}$  in the Lagrangian density. To see this, let us be reminded of the fact that in four dimensions one has

$$Q_{4,4} + \frac{1}{4} C_{\mu\nu\alpha\beta} C^{\mu\nu\alpha\beta} = \frac{1}{4} \mathcal{E}_4 - \frac{1}{6} \square R, \quad (44)$$

where the right-hand side is a total derivative as it includes  $\square R$  and the Pfaffian  $\mathcal{E}_4 = R_{\mu\nu\alpha\beta} R_{\mu\nu\alpha\beta} - 4R_{\mu\nu} R_{\mu\nu} + R^2$ .

While Lovelock theory corresponds to dimensionally extending the right-hand side of (44), the theory discussed in the preceding sections corresponds to extending the  $Q$  curvature by replacing  $Q_{4,4}$  by  $Q_{4,n}$ . However, this is not the only way in which one can extend (44) to  $n > 4$  dimensions as one could alternatively consider the combination  $\mathcal{E}_4 - C_{\mu\nu\alpha\beta} C^{\mu\nu\alpha\beta}$  and then extend both the Gauss-Bonnet term  $\mathcal{E}_4$  and the Weyl tensor  $C_{\mu}^{\nu}{}_{\alpha\beta}$  to  $n$  dimensions. To see that the latter differs from the simple extension  $Q_{4,4} \rightarrow Q_{4,n}$ , let us notice that in  $n$  dimensions the following identity holds

$$Q_{4,n} + \frac{1}{4} C_{\mu\nu\alpha\beta} C^{\mu\nu\alpha\beta} - \frac{1}{4} \mathcal{E}_4 = -A_n \square R + \hat{\alpha} R^2 + \hat{\beta} R_{\mu\nu} R^{\mu\nu}, \quad (45)$$

where

$$\hat{\alpha} = -\frac{(n-4)(2n^3 - 5n^2 + 6n - 4)}{8(n-1)^2(n-2)^2},$$

$$\hat{\beta} = \frac{(n-1)(n-4)}{(n-2)^2}. \quad (46)$$

We see from this that the right-hand side of (45) is a total derivative only for  $n = 4$ . Therefore, in  $n > 4$  there exist two possibilities to define a higher-curvature theory based on the dimensional extensions of identity (44); namely, either one considers the action  $\int d^n x \sqrt{-g} Q_{4,n}$ , as we did in the preceding sections, or one considers the action  $\int d^n x \sqrt{-g} (\mathcal{E}_4 - C_{\mu\nu\alpha\beta} C^{\mu\nu\alpha\beta})$ . Let us now explore the latter possibility; namely, consider the Lagrangian density

$$\mathcal{L}_2 = L^2 (\mathcal{E}_4 - C_{\mu\nu\alpha\beta} C^{\mu\nu\alpha\beta})$$

$$= \frac{n(n-3)L^2}{(n-1)(n-2)} R^2 - \frac{4(n-3)L^2}{(n-2)} R_{\mu\nu} R^{\mu\nu}, \quad (47)$$

with a coupling constant  $L^2$ . This theory exhibits interesting properties. In fact, it can be alternatively defined by minimal requirements: the absence of the conformal mode  $\square R$ , the persistence of Einstein manifolds as solutions, and the uniqueness of the maximally symmetric vacuum. To see this, let us introduce the notation  $\mathcal{L}_2 = \alpha R^2 + \beta R_{\mu\nu} R^{\mu\nu} + \gamma R_{\mu\nu\rho\eta} R^{\mu\nu\rho\eta}$  with coupling constants  $\alpha, \beta, \gamma$ . The requirement of Einstein spaces to persist as solutions demands the coupling constant of the Kretschmann scalar,  $\gamma$ , to be zero. Next, the condition of the conformal mode to decouple yields the relation

$$\alpha = -\frac{n\beta}{4(n-1)}, \quad (48)$$

which makes  $\square R$  disappear from the trace of the field equations. This is exactly the value of the relative coefficient that appears in the counterterm expansion of the boundary action in holographic renormalization [50–53]. Also, related to that, (48) agrees with the relative coefficient of the action that governs the induced gravity on a codimension 1 surface in  $\text{AdS}_n$  gravity [54]. Equation (48) has also relation with theories in lower dimension: For  $n = 2$ , it corresponds to  $\alpha/\beta = -1/2$ , for which the quadratic terms disappear from the action. For  $n = 3$ , it yields  $\alpha/\beta = -3/8$ , which corresponds to the so-called new massive gravity (NMG) introduced in [55]. For  $n = 4$ , (48) yields  $\alpha/\beta = -1/3$ , and the quadratic piece of the action is, up to a total derivative, the

conformal invariant combination  $C_{\mu\nu\rho\sigma} C^{\mu\nu\rho\sigma}$ . The  $n > 4$  CG theory of [9], however, does not agree with (47) or (48), but actually corresponds to the values

$$\alpha = -\frac{\beta}{2(n-1)}, \quad \gamma = -\frac{(n-2)\beta}{4},$$

$$\text{with } \Lambda = -\frac{(n-1)}{2(n-3)\beta}, \quad (49)$$

Last, the condition for the maximally symmetric vacuum of the theory to be unique yields the relation

$$\Lambda = \frac{(n-1)}{2(n-4)\beta}, \quad (50)$$

which is valid for  $n \neq 4$ . This implies that the effective curvature radius is given by

$$\ell^2 = -\frac{(n-2)(n-4)}{2}\beta. \quad (51)$$

In  $n = 3$ , for instance, this agrees with the special point  $\ell^2 = \beta/2$  at which NMG exhibits special features [56,57].

In summary, there exists an alternative quadratic theory of gravity for  $n > 4$  that is special and is originally motivated by extending the four-dimensional Lagrangian density  $Q_{4,4}$  to higher dimensions. This is defined by the coefficients

$$\alpha = -\frac{n\beta}{2(n-1)}, \quad \gamma = 0, \quad \Lambda = -\frac{(n-1)}{2(n-4)\beta}, \quad (52)$$

cf. (49). This theory and, in particular, its relation to holographic renormalization deserve further analysis.

## ACKNOWLEDGMENTS

The authors are grateful to Professor Andrés Anabalón and Universidad Adolfo Ibáñez at Viña del Mar for the hospitality during the early stages of this work. G. G. thanks Eloy Ayón-Beato, Mokhtar Hassaine, Olivera Mišković, Rodrigo Olea, and David Rivera for many interesting discussions on related matters. The work of G. G. is supported in part by the NSF through Grant No. PHY-1214302. This work was also partially supported by CONICYT Grant No. PAI80160018, Newton-Picarte Grant No. DPI20140053, and FONDECYT Grant No. 1181047. M. C. is partially supported by Mexico's National Council of Science and Technology (CONACyT) Grant No. 238734 and DGAPA-UNAM Grant No. IN113618.



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