# Nonstandard neutrino interactions in a modified ν2HDM

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(Received 10 June 2018; published 5 September 2018)

In the traditional neutrinophilic two-Higgs doublet model  $(\nu 2HDM)$ , there is no nonstandard neutrino interaction (NSI) as the interactions between the Standard Model fermions with neutrinos are negligibly small due to the tiny mixing of the two scalar doublets. In this work, we propose that if  $\nu$ 2HDM is modified by considering the right-handed electron,  $e_R$  is negatively charged under a global  $U(1)$  symmetry; then, one can generate a significant amount of NSI along with the tiny Dirac neutrino mass. Depending on different constraints from the LEP experiment, tree-level lepton flavor violating processes, big-bang nucleosynthesis, etc., we observe that this model significantly restricts the range of permissible NSI parameters, putting a strict upper bound on different NSIs. Furthermore, considering these model-dependent NSIs, we study their impact on the next-generation superbeam experiment, DUNE. We present a detailed discussion on the mass hierarchy sensitivity and the CP-violation discovery study considering the impact of both diagonal and off-diagonal NSIs.

DOI: [10.1103/PhysRevD.98.055004](https://doi.org/10.1103/PhysRevD.98.055004)

## I. INTRODUCTION

The Standard Model (SM) of particle physics epitomizes our knowledge of fundamental interactions of the subatomic world with all its grandeur. Barring a few minor disagreements, the SM is hitherto untarnished by the direct observations from high energy colliders like the LHC. The most elusive of the particles that constitute the SM is undoubtedly the neutrino, which can very well usher us towards the physics beyond the SM, owing to its perplexing properties: that it is massive (though tiny) and that its different flavors are substantially mixed [\[1\]](#page-12-0). These distinct attributes are exotic to the basic tenets of the SM but are experimentally observed. The discovery of neutrino oscillations indubitably established the existence of mass for the neutrinos, whereas in the SM the neutrinos are supposed to be massless. The Super-Kamiokande experiment in Japan published its result to establish the phenomenon of neutrino oscillation in 1998 [\[2\]](#page-12-1). Later, various other experiments such as solar, reactor, and, most recently, long baseline experiments like T2K [\[3\],](#page-12-2) and NO $\nu$ A [\[4\]](#page-12-3)—confirmed the

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phenomenon of neutrino oscillation. In addition to the confirmation of the presence of neutrino mass, neutrino oscillation results generate some pertinent questions: e.g., (i) the neutrino mass hierarchy, i.e., whether neutrinos obey normal hierarchy (NH,  $m_3 > m_2 > m_1$ ) or inverted hierarchy (IH,  $m_3 < m_1 \approx m_2$ ); (ii) the octant of  $\theta_{23}$ , i.e., whether  $\theta_{23}$  lies in the lower octant (LO,  $\theta_{23}$  < 45°) or in the higher octant (HO,  $\theta_{23} > 45^{\circ}$ ); and (iii) the determination of the Dirac CP phase  $\delta_{CP}$ . As the current experiments are striving to resolve the degeneracies in hierarchy and octants and to assess the sensitivity in CP violation (CPV), new theoretical input that can possibly help address these issues is worth exploring. It is thus quite interesting to reconnoiter models that can provide a natural explanation for the tiny neutrino mass along with other issues mentioned above.

The enigma of neutrino mass has led particle physicists to explore various theoretical models that can explain the neutrino mass as well as the observed neutrino mixing angles. Literature on the neutrino mass has been growing for quite some time, and today there are a number of phenomenological models addressing this issue (for a review see [\[5,6\]\)](#page-12-4). Some of the new physics scenarios could lead to corrections to the effective neutrino interactions through higher-dimensional operators. Nonstandard interactions (NSI) of neutrinos can be induced by new physics beyond the SM (BSM). In the BSM scenarios, NSI can arise when the heavier messenger fields are integrated out, which can generate the dimension-6 [7–[9\]](#page-12-5) and dimension-8 [\[10,11\]](#page-12-6)

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effective operators. For a detailed review and phenomenological consequences, see Refs. [\[12,13\]](#page-12-7) and the references therein. These were originally discussed even before the establishment of neutrino oscillation phenomena [\[14](#page-12-8)–17]. Over the years, many BSM scenarios have been studied where NSIs can be realized. Some of the popular models include the  $U(1)$  extended models with a new Z' particle as the messenger, models with single or multiple charged heavy scalars, leptoquark, R-parity violating supersymmetry, etc. [18–[24\].](#page-12-9) Moreover, there are studies which consider the effect of NSI in collider experiments [25–[30\].](#page-12-10) Normally, the extensions of the SM that give rise to NSIs can have stringent constraints from the charged lepton flavor violating (LFV) processes. Therefore, it is imperative to maintain these LFV constraints while building any model from the NSI perspective.

We construct a variant of the neutrinophilic two-Higgs doublet model  $(\nu 2HDM)$  which can have a significant NSI while maintaining various phenomenological constraints. In the standard  $\nu$ 2HDM there is no NSI due to the absence of interaction between left-handed neutrinos and the other leptons and quarks via the extra scalars. We modify the standard  $\nu$ 2HDM such that the second scalar doublet  $\Phi_2$ couples only to the electron and neutrinos. This is achieved by assigning a negative charge of the  $e_R$  under a global  $U(1)$  symmetry. The charged Higgs present in the spectrum couples the left-handed electron and the charged leptons and thus can give rise to NSI by playing the role of the messenger. In this setup we can have different NSI parameters. Apart from the presence of NSI, the hierarchy in the fermion sector is somewhat less drastic in this model compared to the SM. This is because the second Higgs doublet couples only to the electron and neutrinos, and thus it is responsible for the mass of the neutrinos and electron. The first Higgs doublet takes care of all the other SM fermions. Clearly, the hierarchy in the Yukawa couplings is minimized, owing to the two vacuum expectation values (vev) of the two doublets. This betterment in the fermion hierarchy is one of the novel features of this model. Phenomenological constraints characteristic of any 2HDM will also be applicable in this scenario. We consider the constraints on the model parameter space from LFV processes, oblique parameters,  $\mu_{q-2}$ , big-bang nucleosynthesis (BBN), etc.

Furthermore, we study the impact of model-dependent NSIs in the case of a long baseline (LBL) experiment like DUNE. For DUNE, the mass hierarchy sensitivity considering model-independent bounds on NSI has been studied in [31–[34\].](#page-12-11) These studies show that the mass hierarchy sensitivity of LBL experiments is seriously compromised due to the presence of intrinsic  $\epsilon_{ee} \rightarrow -\epsilon_{ee} - 2$ ,  $\delta_{CP} \rightarrow \pi - \delta_{CP}$ degeneracy. This degeneracy remains true irrespective of baseline and energy. On the other hand, if a LBL experiment like DUNE observes sensitivity, then it will be able to rule out certain parameter space of model-independent NSIs. In the modified ν2HDM model considered here, parameter space of different NSIs is constrained, which helps to avert mass hierarchy degeneracy. We further examine the mass hierarchy sensitivity of DUNE considering model-based NSI parameters. Later, we also address the issue of CPV sensitivity for DUNE. We illustrate the role of the new CP phase on the measurement of  $\delta_{CP}$  considering both CP-conserving and CP-violating values for the new phases. In our analysis, we assume NSI both in data and in theory. Some of the recent phenomenological studies, considering model-independent bounds of NSI, in the context of DUNE can be found in [\[31](#page-12-11)–50] and the references therein.

We organize our paper as follows. In Sec. [II](#page-1-0), we introduce the concept of nonstandard neutrino interactions and their model-independent bounds. Section [III](#page-2-0) is devoted to a detailed description of the model that has been considered in this work. The traditional  $\nu$ 2HDM model is discussed in the first part, whereas the modification of the model which leads to NSI is discussed in the second part. Various phenomenological constraints coming from LEP data, LFV processes, BBN, etc. are discussed in Sec. [IV.](#page-4-0) We illustrate the effect of diagonal and off-diagonal NSI for DUNE in Sec. [V.](#page-6-0) First, we present our result by considering the appearance channel probability  $(P_{\mu e})$ . Later, we discuss the impact of NSI on the determination of mass hierarchy sensitivity and the CPV sensitivity in the case of DUNE. Finally, we summarize and conclude our noteworthy findings in Sec. [VI](#page-11-0).

#### II. GENERAL DESCRIPTION OF NSI

<span id="page-1-1"></span><span id="page-1-0"></span>In this study, we consider the effect of neutral-current NSI in the presence of matter, which is described by the dimension-6 four-fermion operators of the form [\[14\]](#page-12-8)

$$
\mathcal{L}_{\text{NSI}}^{NC} = -2\sqrt{2}G_F(\bar{\nu}_\alpha\gamma^\rho P_L\nu_\beta)(\bar{f}\gamma_\rho P_Cf)e_{\alpha\beta}^{fC} + \text{H.c.} \quad (1)
$$

where  $\epsilon_{\alpha\beta}^{C}$  are NSI parameters,  $\alpha$ ,  $\beta = e$ ,  $\mu$ ,  $\tau$ ,  $C = L$ ,  $R$ ,  $f = e \mu$ ,  $d$  and  $G_{\tau}$  is the Fermi constant <sup>1</sup>. Note that in  $f = e$ ,  $\mu$ , d, and  $G_F$  is the Fermi constant.<sup>1</sup> Note that, in general the elements of  $\epsilon$  a are complex for  $\alpha \neq \beta$  and real general, the elements of  $\epsilon_{\alpha\beta}$  are complex for  $\alpha \neq \beta$  and real for  $\alpha = \beta$  due to the Hermitian nature of the interactions. For the matter NSI,  $\epsilon_{\alpha\beta}$  are defined as

$$
\epsilon_{\alpha\beta} = \sum_{f,C} \epsilon_{\alpha\beta}^{f,C} \frac{N_f}{N_e},\tag{2}
$$

<span id="page-1-2"></span>where  $N_f$  is the number density of fermion f and  $\epsilon_{\alpha\beta}^{fC} = \epsilon L$  $\epsilon_{\alpha\beta}^{fL} + \epsilon_{\alpha\beta}^{fR}$ . For the Earth's matter, we can assume that the number densities of electrons, protons, and number are number densities of electrons, protons, and neutrons are equal (i.e.,  $N_p \simeq N_n = N_e$ ); in such a case,  $N_u \simeq N_d \simeq 3N_e$ , and one can write

<sup>&</sup>lt;sup>1</sup>Note that here we neglect NSI due to charge-current interactions which mainly affect neutrino production and detection [51–[56\].](#page-13-0)

$$
\epsilon_{\alpha\beta} = \sqrt{\sum_{C} ((\epsilon_{\alpha\beta}^{eC})^2 + (3\epsilon_{\alpha\beta}^{uC})^2 + (3\epsilon_{\alpha\beta}^{dC})^2)}.
$$
 (3)

The modified Hamiltonian in the presence of propagation NSI, in the flavor basis, can be written as

$$
H = \frac{1}{2E} [U \text{diag}(0, \Delta m_{21}^2, \Delta m_{31}^2) U^{\dagger} + \text{diag}(A, 0, 0) + A \epsilon_{\alpha\beta}],
$$
\n(4)

where U is the Pontecorvo-Maki-Nakagawa-Sakata (PMNS) mixing matrix [\[57\],](#page-13-1)  $\Delta m_{ij}^2 = m_i^2 - m_j^2$  ( $i < j = 1, 2, 3$ ),  $A = 2\sqrt{2}G_F N_e E$  represents the potential arising from standard interactions (SI) of neutrinos in matter, and  $\epsilon_{\alpha\beta}$  can be written as

$$
\epsilon_{\alpha\beta} = \begin{pmatrix}\n\epsilon_{ee} & \epsilon_{e\mu} & \epsilon_{e\tau} \\
\epsilon_{e\mu}^* & \epsilon_{\mu\mu} & \epsilon_{\mu\tau} \\
\epsilon_{e\tau}^* & \epsilon_{\mu\tau}^* & \epsilon_{\tau\tau}\n\end{pmatrix},
$$
\n(5)

where  $\epsilon_{\alpha\beta} = |\epsilon_{\alpha\beta}| e^{i\phi_{\alpha\beta}}$  for  $\alpha \neq \beta$ .

The model-independent bounds [\[12,58\]](#page-12-7) on these parameters are

$$
|\epsilon_{ee}| < 4.2, \qquad |\epsilon_{e\mu}| < 0.33, \qquad |\epsilon_{e\tau}| < 3.0, |\epsilon_{\mu\mu}| < 0.07, \qquad |\epsilon_{\mu\tau}| < 0.33, \qquad \epsilon_{\tau\tau}| < 21. \tag{6}
$$

Having introduced general descriptions of NSI and its model-independent bounds, in the next section we describe our model and calculate the model-dependent bounds of different NSI parameters consistent with different experimental bounds.

#### III. MODEL DESCRIPTION

<span id="page-2-0"></span>For completeness we first discuss the details of the traditional neutrinophilic  $\nu$ 2HDM; for more details see e.g.,  $[59,60]$  and references therein.<sup>2</sup> In passing we note the reasons for the absence of NSI in the standard scenario. We then modify the vanilla  $\nu$ 2HDM to get the NSI effects.

#### A. Standard ν2HDM

We start with an extension of the SM by adding an extra scalar doublet  $\Phi_2$  having similar gauge quantum numbers as the SM scalar doublet  $\Phi_1$ . Three right-handed neutrinos (RHN)  $\nu_{Ri}$  are introduced, and they constitute the Dirac masses with left-handed neutrinos of the SM. There are two main ways to obtain the masses for the neutrinos with this particle spectrum. In one setup [\[64,65\]](#page-13-3) a  $\mathbb{Z}_2$  symmetry is imposed under which the fields  $\Phi_2$  and  $\nu_{Ri}$  are odd and all the SM fields are even. In this setup the Majorana mass

terms for  $\nu_{Ri}$  are not forbidden. In another setup [\[59\],](#page-13-2) a global  $U(1)$  symmetry is imposed, the fields  $\Phi_2$  and  $\nu_{Ri}$ have  $+1$  charge, and all the SM fields are neutral. In this scenario the neutrinos exclusively have Dirac masses. For reasons that will be discussed later, we follow the  $U(1)$  case closely. In both of these cases the Yukawa interaction of the neutrinos is of the form  $(-y_{\nu}^{ij}\bar{L}_{Li}\tilde{\Phi}_2\nu_{Rj})$ , where  $L_L =$  $(\nu_L, \ell_L)^T$  is the left-handed lepton doublet and  $\tilde{\Phi} = i\sigma_2 \Phi^*$ . The Yukawa structure of the other SM fermion is of the usual form involving  $\Phi_1$ . Note that when the  $U(1)$  is unbroken,  $\Phi_2$  has no vev and the neutrinos remain massless.

The most general scalar potential for the exact  $U(1)$ symmetric<sup>3</sup> case is given by

$$
V(\Phi_1, \Phi_2) = m_{11}^2 \Phi_1^{\dagger} \Phi_1 + m_{22}^2 \Phi_2^{\dagger} \Phi_2 + \frac{\lambda_1}{2} (\Phi_1^{\dagger} \Phi_1)^2
$$
  
+ 
$$
\frac{\lambda_2}{2} (\Phi_2^{\dagger} \Phi_2)^2 + \lambda_3 \Phi_1^{\dagger} \Phi_1 \Phi_2^{\dagger} \Phi_2
$$
  
+ 
$$
\lambda_4 \Phi_1^{\dagger} \Phi_2 \Phi_2^{\dagger} \Phi_1.
$$
 (7)

The complex scalar  $SU(2)$  doublets  $\Phi_1$  and  $\Phi_2$  carry hypercharge  $Y = +1$ . Since in the exact  $U(1)$  symmetric case neutrinos are massless, to give masses to neutrinos this symmetry needs to be broken. This is done by introducing a soft-breaking term of the form  $(-m_{12}^2\Phi_1^{\dagger}\Phi_2)$ . Clearly, the<br>smallness of  $m^2$ , is technically natural in the 't Hooft sense smallness of  $m_{12}^2$  is technically natural in the 't Hooft sense. The two scalar doublets can be presented as

$$
\Phi_a = \begin{pmatrix} \phi_a^+ \\ (v_a + h_a + i\eta_a)/\sqrt{2} \end{pmatrix}, \qquad a = 1, 2. \quad (8)
$$

<span id="page-2-1"></span>The vev of the two scalars can be denoted as  $\langle \Phi_1 \rangle = v_1$ ,  $\langle \Phi_2 \rangle = v_2$ , and  $v_2$ , responsible for neutrino masses. Therefore,  $v_2(\sim eV) \ll v_1(\sim 246 \text{ GeV})$ , while  $v^2 = v_1^2 + v_2^2$ .<br>In general, the physical scalar fields are given by In general, the physical scalar fields are given by

$$
H^{+} = \phi_{1}^{+} \sin \beta - \phi_{2}^{+} \cos \beta \simeq -\phi_{2}^{+},
$$
  
\n
$$
A = \eta_{1} \sin \beta - \eta_{2} \cos \beta \simeq -\eta_{2},
$$
\n(9a)

$$
h = -h_1 \cos \alpha - h_2 \sin \alpha \simeq -h_1,
$$
  
\n
$$
H = h_1 \sin \alpha - h_2 \cos \alpha \simeq -h_2,
$$
 (9b)

where  $\alpha$  is the rotation angle for CP-even states and  $\beta$  is for the CP-odd and charged scalar states. These rotations diagonalize the mass matrices with

$$
\tan 2\alpha = \frac{2(-m_{12}^2 + \lambda_{34}v_1v_2)}{m_{12}^2(v_2/v_1 - v_1/v_2) + \lambda_1v_1^2 - \lambda_2v_2^2},\qquad(10)
$$

<sup>&</sup>lt;sup>2</sup>For some other aspects of 2HDM see  $[61-63]$  $[61-63]$ .

<sup>&</sup>lt;sup>3</sup>If instead of the global  $U(1)$  one imposes  $\mathbb{Z}_2$ , then another artic term of the form  $\lambda_1(\Phi^{\dagger} \Phi_1)^2/2$  has to be added to quartic term of the form  $\lambda_5(\Phi_1^{\dagger} \Phi_2)^2/2$  has to be added to  $V(\Phi_1, \Phi_2)$ .  $V(\Phi_1, \Phi_2)$ .

$$
\tan \beta = \frac{v_2}{v_1},\tag{11}
$$

where  $\lambda_{34} \equiv \lambda_3 + \lambda_4$ . From the requirement of tiny neutrino mass, we have  $v_2 \ll v_1$ . Thus, for small  $m_{12}$ , both  $\alpha$  and  $\beta$ will be very small. From Eqs. [\(9\)](#page-2-1) it is evident that the SM-like 125-GeV Higgs comes from the doublet  $\Phi_1$ , whereas the BSM scalars are dominantly comprised of components of the doublet  $\Phi_2$ . The BSM scalars H, A,  $H^{\pm}$ develop neutrinophilic interactions in the Yukawa sector. The Yukawa couplings of the new scalars in the limit  $v_2 \ll v_1$  are described as

$$
\mathcal{L}_{Y} \supset \frac{m_{\nu_i}}{\nu_2} H \bar{\nu_i} \nu_i - i \frac{m_{\nu_i}}{\nu_2} A \bar{\nu_i} \gamma_5 \nu_i
$$

$$
- \frac{\sqrt{2} m_{\nu_i}}{\nu_2} [U_{\ell i}^* H^+ \bar{\nu_i} P_L \ell + \text{H.c.}], \qquad (12)
$$

where  $m_{\nu_i}$  are neutrino masses and  $U_{\ell i}$  is the PMNS matrix.

In this setup, due to the above-mentioned  $U(1)$  charge assignments of the respective fields, left-handed neutrinos cannot couple to right-handed charged leptons through the scalar doublet  $\Phi_1$ . The possibility of such coupling via the mixing of the other doublet  $\Phi_2$  is negligible since that coupling is proportional to  $\sin \beta \approx v_2/v_1$ , which is negligibly small. Since this type of coupling is needed to generate the NSI effect, in this setup the NSI effect will be negligible.

#### B. Modified ν2HDM

The standard ν2HDM scenario discussed above cannot give rise to nonstandard interactions in the neutrino sector. Since two different Higgs doublets are responsible for providing mass to the neutrinos and other massive SM particles, there is no interaction of the left-handed neutrinos with the SM massive leptons and quarks. Here we propose to give mass to the electron along with the neutrinos through the second scalar doublet  $\Phi_2$ . For that purpose, among the SM fields, only the right-handed electron  $e_R$  is endowed with the charge  $(-1)$  under the global  $U(1)$ . With this quantum number assignment, the relevant terms for the neutrino and electron sector become

<span id="page-3-1"></span>
$$
\mathcal{L}_{\nu\text{2HDM}}^m \supset y_e \bar{L}_e \Phi_2 e_R + y_\nu \bar{L}_e \tilde{\Phi}_2 \nu_R + \text{H.c.}, \qquad (13)
$$

where  $L_e$  is the SM electron doublet  $(\nu_e e)^T$ . Expanding the first term of the Lagrangian gives us terms involving the first term of the Lagrangian gives us terms involving charged Higgs  $(H^{\pm})$  as

$$
\mathcal{L}_{H^{\pm}}^{\text{Yuk}} \supset y_e \bar{\nu}_{eL} H^+ e_R + \text{H.c.} \tag{14}
$$

This interaction results in the t-channel process of Fig. [1](#page-3-0), which can give rise to nonstandard interaction terms between SM neutrinos and electrons. The effective Lagrangian, after integrating out the heavy charged Higgs, is

<span id="page-3-0"></span>

FIG. 1. The Feynman diagram contributing to the NSI in the modified  $\nu$ 2HDM.

$$
\mathcal{L}_{\text{eff}} \supset \frac{y_e^2}{4m_{H^\pm}^2} (\bar{\nu}_{eL} \gamma^\rho \nu_{eL}) (\bar{e}_R \gamma_\rho e_R) + \text{H.c.} \tag{15}
$$

<span id="page-3-3"></span>From this effective Lagrangian, comparing it with the defined form of the NSI Lagrangian, Eq. [\(1\)](#page-1-1), the NSI parameter  $\epsilon_{ee}$ can be written as

$$
\epsilon_{ee} = \frac{1}{2\sqrt{2}G_F} \frac{y_e^2}{4m_{H^\pm}^2}.
$$
 (16)

<span id="page-3-2"></span>Note that, in this model, only the right-handed electron contributes in Eq. [\(3\)](#page-1-2) to provide  $\epsilon_{ee} = \epsilon_{ee}^{eR}$ . With the same  $U(1)$  quantum number assignment, the extra relevant terms  $U(1)$  quantum number assignment, the extra relevant terms for the lepton sector that can be added are

$$
\mathcal{L}^m_{\nu 2\text{HDM}} \supset y_1 \bar{L}_{\mu} \Phi_2 e_R + y_2 \bar{L}_{\tau} \Phi_2 e_R + \text{H.c.}, \qquad (17)
$$

where  $L_{\mu/\tau}$  are the SM lepton doublets  $(\nu_{\mu/\tau} \mu/\tau)_{L}^{T}$ . These<br>terms provide the following NSI parameters terms provide the following NSI parameters,

$$
\epsilon_{e\mu} = \frac{1}{2\sqrt{2}G_F} \frac{y_e y_1}{4m_{H^\pm}^2}, \qquad \epsilon_{e\tau} = \frac{1}{2\sqrt{2}G_F} \frac{y_e y_2}{4m_{H^\pm}^2}, \qquad (18a)
$$

$$
\epsilon_{\mu\tau} = \frac{1}{2\sqrt{2}G_F} \frac{y_1 y_2}{4m_{H^{\pm}}^2}, \qquad \epsilon_{\mu\mu} = \frac{1}{2\sqrt{2}G_F} \frac{y_1^2}{4m_{H^{\pm}}^2}.
$$
 (18b)

Note that here the Yukawa couplings  $y_1$ ,  $y_2$  can be complex. The complex nature of these Yukawa couplings results in generating phases for the NSI parameters.

Before delving into the discussion on phenomenological constraints on this model and the NSI studies, we mention a few of its features. The first term of Eq. [\(13\)](#page-3-1) appears due to modification in the  $\nu$ 2HDM. The vacuum expectation value of the second doublet  $v_2$  gives mass to the electron here. Thus, using an order-one Yukawa coupling, to get the electron mass  $m_e = 0.51$  MeV, we need  $v_2 \sim$  MeV. With such a large  $v_2 \sim \text{MeV}$ , the neutrino Yukawas will be of the order of 10<sup>−</sup><sup>6</sup>. This reintroduces hierarchy in the fermion Yukawa couplings, i.e., the hierarchy of the Yukawas, to accommodate the mass of different fermions ranging from neutrinos are to be given mass through the SM Higgs mechanism, a hierarchy  $\mathcal{O}(10^{12})$  in the Yukawas is required to accommodate the top mass ( $y_t \approx 1$ ) along with the Dirac neutrino ( $y_\nu \approx 10^{-12}$ ) mass, using a vev of 246 GeV. In the general  $\nu$ 2HDM, since the neutrinos are given mass through the second doublet, a hierarchy  $\mathcal{O}(10^6)$  is only required to arrange for masses from the top quark to the electron. In our modified  $\nu$ 2HDM, we have a hierarchy  $\mathcal{O}(10^6)$  in Yukawa couplings to account for the mass of the electron and neutrinos using the same  $v_2 \approx \text{MeV}$ . There is another hierarchy  $\mathcal{O}(10^3)$  to arrange for the masses of the rest of the fermions, i.e., from the top quark to the muon, using the  $\Phi_1$  vev  $v_1 \approx 246$  GeV. Distributing the Yukawa hierarchies into two sectors, i.e.,  $\mathcal{O}(10^6)$  in the  $e - \nu$  sector and  $\mathcal{O}(10^3)$  in the  $t - \mu$  sector, reduces the overall hierarchy of Yukawas in the model in comparison to the SM [where it is  $\mathcal{O}(10^{12})$ ]. However, the modified  $\nu$ 2HDM has two different hierarchies, which is a somewhat less desirable feature than the requirement of only one hierarchy of order  $10^6$  in the original  $\nu$ 2HDM.

In this modified ν2HDM, leptophilic BSM scalar couplings arise where  $H(A)$  couples through the Lagrangian terms

$$
\mathcal{L}_{LP} \supset \frac{y_e}{\sqrt{2}} He_L e_R + i \frac{y_e}{\sqrt{2}} Ae_L e_R + \text{H.c.}
$$
 (19)

This coupling has stringent bounds from LEP data, which we discuss in the next section.

Before ending this section, we make a few remarks about the choice of  $U(1)$ -symmetric  $\nu$ 2HDM over its  $\mathbb{Z}_2$  symmetric counterpart. First, in the  $\mathbb{Z}_2$  symmetric  $\nu$ 2HDM the CP-even new scalar H is very light,  $m_H \sim \mathcal{O}(v_2) \ll v$ . For such a light scalar of mass up to the MeV scale, the constraint on the leptophilic coupling is very tight. If  $\nu$ 2HDM with  $\mathbb{Z}_2$  is allowed to have an electrophilic scalar coupling, the upper limit on the Yukawa  $y_e$  will be of the order of 10<sup>-4</sup>. This strict bound on  $y_e$  mainly comes from the  $(g - 2)$ <sub>e</sub> as can be read off from Fig. 7 of [\[66\]](#page-13-5). With a tiny Yukawa coupling which also determines the magnitude of the nonstandard neutrino interaction, NSI effects of the modified model will be negligible. Second, the  $\mathbb{Z}_2$  symmetric  $\nu$ 2HDM has been severely constrained from the oblique parameters. The modification of the oblique S parameter due to the presence of a very light neutral scalar  $m_H$  pushes it to a large negative value to rule out the model at the  $2\sigma$  confidence level<sup>4</sup> [\[60\]](#page-13-6). In the  $U(1)$  case, however, a sufficiently heavy CP-even scalar is possible; in addition, the mass degeneracy of CP-even and CP-odd scalars helps satisfy the T parameter bounds easily.

## <span id="page-4-0"></span>IV. PHENOMENOLOGICAL CONSTRAINTS

As is typical in any 2HDM scenario, the parameter space of the present setup will also be subject to constraints from the data pertaining to heavy scalars, flavor violating issues, etc. Note that, in our model, the second doublet couples only to the leptons, and thus the constraints from the LEP and LFV are of more relevance. In this section we discuss constraints on the heavy scalars from the LEP searches, LFV decays, and  $(g - 2)$ , as well as BBN.

## A. Constraints from LEP

## 1. Charged scalar mass

The Z boson decay width measurement at the LEP shows that there is little room for Z decays to BSM particles. This suggests that the new scalars of the modified  $\nu$ 2HDM should be heavier than half of the Z boson mass to kinematically forbid the decays. The search for a charged Higgs at the LEP through the process  $e^+e^- \rightarrow Z \rightarrow H^+H^$ with  $H^{\pm}$  further decaying to  $\tau \nu$  puts a lower bound on the charged Higgs mass as  $m_{H^{\pm}} > 80$  GeV [\[68\].](#page-13-7)

# 2. Constraint from  $e^+e^- \rightarrow l^+l^-$

Measurement of the  $e^+e^- \rightarrow e^+e^-$  cross section at the LEP experiment can be expressed in terms of a limit on the scale of an effective four-electron interaction as  $\lambda$ 9.1 TeV [\[69\]](#page-13-8). In modified  $\nu$ 2HDM, the  $e^+e^- \rightarrow e^+e^$ process will take place through both the  $CP$ -even  $(H)$ and CP-odd (A) scalar mediators, and the effective fourlepton operator will be

$$
\mathcal{L}_{\rm eff} \supset \frac{y_e^2}{8m_H^2} (\bar{e}_L \gamma^\rho e_L)(\bar{e}_R \gamma_\rho e_R) + \frac{y_e^2}{8m_A^2} (\bar{e}_L \gamma^\rho e_L)(\bar{e}_R \gamma_\rho e_R).
$$
\n(20)

As in the case of a global  $U(1)$ -symmetric  $\nu$ 2HDM, the degeneracy of CP-even and CP-odd scalar masses reduces the effective operator as

$$
\mathcal{L}_{\rm eff} \supset \frac{{y_e}^2}{4m_H^2} (\bar{e}_L \gamma^\rho e_L) (\bar{e}_R \gamma_\rho e_R),
$$

which, when compared to the effective operator form with a scale Λ, provides the bound on  $y_e$  as  $y_e^2 \leq 8\pi m_H^2/\Lambda^2$ . In our modified  $\nu$ 2HDM with global  $U(1)$  symmetry, the constraints coming from oblique parameters  $(S, T)$  allow mass splitting between the charged scalars and the neutral ones to be largest when  $m_{H^{\pm}}$  is small (∼100 GeV) [\[60\].](#page-13-6) For a light charged Higgs the neutral scalar masses can go up to several hundred GeVs. We take  $m_H = m_A = 500$  GeV. If higher values of charged Higgs masses are taken, then mass splitting between charged and neutral scalars decreases, which, along with large  $m_H^{\pm}$ , constrains the Yukawa coupling

<sup>&</sup>lt;sup>4</sup>It has been shown that the introduction of vectorlike leptons relaxes this constraint [\[67\].](#page-13-9)

tightly enough to allow very small values of NSI parameters. With  $m_H = m_A = 500$  GeV and  $\Lambda = 9.1$  TeV the constraint translates to  $y_e \le 0.28$ , which further translates to a limit on the vev of  $\Phi_2$  as

$$
v_2 \ge 2.5 \text{ MeV.} \tag{21}
$$

Using this bound we fix the  $v_2$  value at 2.5 MeV to get the tightest limits on other Yukawas  $y_1$ ,  $y_2$  from LEP measurements in other processes like  $e^+e^- \rightarrow \mu^+(\tau^+) \mu^-(\tau^-)$ .

#### 3. Monophoton constraint

Another LEP constraint arises from the dark matter search in the monophoton signal  $e^+e^- \rightarrow \rm DM\,DM$   $\gamma$ , where  $\gamma$  is the initial state radiation or it appears due to internal bremsstrahlung. In modified  $\nu$ 2HDM similar monophoton processes can occur,  $e^+e^- \rightarrow \nu_{e/\tau} \nu_{e/\tau} \gamma$  through the charged Higgs exchange, which couples the right-handed electron with left-handed neutrinos and vice versa. The LEP DM search limit is thus rewritten as

$$
y_e^4 + 2y_e^2 y_2^2 + y_2^4 \le \frac{16m_{H_\pm}^4}{\Lambda_{\rm DM}^4},\tag{22}
$$

with DM scale  $\Lambda_{DM} \approx 320 \text{ GeV}$  [\[70\]](#page-13-10) for light DM particles.<sup>5</sup>

## B. Lepton flavor violation constraints

$$
1. \mathcal{C}_{\alpha} \rightarrow \mathcal{C}_{\beta} \gamma
$$

For a generic process  $\ell_{\alpha} \rightarrow \ell_{\beta} \gamma$ , the scalar mediated branching ratio reads [\[71\]](#page-13-11)

$$
BR(\ell_a \to \ell_\beta \gamma) = BR(\ell_a \to e\bar{\nu}\nu) \frac{\alpha_{EM}}{192\pi} |\langle m_{\alpha\beta}^2 \rangle|^2 \rho^2.
$$
 (23)

The strongest bound on this type of lepton flavor violating decay comes from the MEG experiment, which gives the upper limit as  $BR(\mu \to e\gamma) < 5.7 \times 10^{-13}$  [\[72\],](#page-13-12) while bounds on the other LFV decay channels ( $\tau \to e\gamma$ ,  $\tau \to \mu\gamma$ ), obtained from the BABAR Collaboration, are weaker. Though lepton flavor violating processes like  $\mu \rightarrow e\gamma$ happen through the loop driven processes in this model, experimental constraints are strong enough to severely constrain this effect. The branching ratio for this decay, which occurs through the charged scalar mediator, can be written as [\[73\]](#page-14-0)

$$
BR(\mu \to e\gamma) = BR(\mu \to e\bar{\nu}\nu) \frac{\alpha_{EM}}{192\pi} |\langle m_{\mu e}^2 \rangle|^2 \rho^2, \quad (24)
$$

where  $\rho = (G_F m_{H^{\pm}}^2 v_2^2)^{-1}$ . In terms of  $\rho$  defined here, the 90% confidence level bound reads

$$
\rho \lesssim 1.2 \text{ eV}^{-2} \qquad [\mu \to e\gamma]. \tag{25}
$$

The limit on  $\rho$  translates into a limit where for  $v_2 \lesssim 1$  eV one must have  $m_H^{\pm} \gtrsim 250 \text{ GeV}$ . This is the tightest limit so far on the  $v_2$  and  $m_{H<sup>\pm</sup>}$  parameter space. Limits from similar LFV decay modes are less constraining. The sensitivity of the MEG is expected to be improved further, and the bound on  $\rho$  is expected to be improved by about 1 order of magnitude. The limits imposed by the MEG bound on the  $(m_{H^{\pm}}$ ,  $v_2)$  plane are shown in Fig. 1 of [\[73\]](#page-14-0).

## 2.  $\tau(\mu) \rightarrow 3e$

The LFV decays play a crucial role in constraining the model parameters. From Eq. [\(17\)](#page-3-2) it is evident that once  $\Phi_2$ develops a vev, there is tree-level mixing among the wouldbe mass eigenstates  $e$ ,  $\mu$ , and  $\tau$ . Therefore, LFV decays like  $\tau \rightarrow 3e$  and  $\mu \rightarrow 3e$  are possible in this modified  $\nu$ 2HDM through the neutral scalar  $(H, A)$  mediation at tree level and thus imply stringent constraints on the Yukawa couplings y<sub>1</sub> and y<sub>2</sub>. Belle results [\[74\]](#page-14-1) of  $\tau \rightarrow 3e$  decay can be normalized to  $\tau \to \mu \nu_{\mu} \nu_{\tau}$  decay as

$$
\frac{\Gamma(\tau \to 3e)}{\Gamma(\tau \to \mu \nu_{\mu} \nu_{\tau})} \le 1.58 \times 10^{-7},
$$

which implies that the constraints on  $\nu$ 2HDM parameter space are

$$
y_e y_2 \le \frac{(0.16m_H)^2}{(1 \text{ TeV})^2}.
$$
 (26)

This is a tighter bound on the  $y_e - y_2$  plane compared to the LEP  $e^+e^- \rightarrow l^+l^-$  and LEP monophoton constraints. Similarly, for  $\mu \rightarrow 3e$  decay there is an even stronger experimental limit, as  $BR(\mu \to 3e) \leq 1 \times 10^{-12}$  puts a bound on the  $y_e - y_1$  plane as

$$
y_e y_1 \le \frac{(8.12 \times 10^{-3} m_H)^2}{(1 \text{ TeV})^2}.
$$
 (27)

For moderate  $y_e$  and allowed  $m_H$  values, this bound reduces the allowed Yukawa values to a tiny value ( $y_1 \sim 10^{-6}$ ), which makes any NSI parameter involving  $y_1$ —like  $\epsilon_{e\mu}$ ,  $\epsilon_{\mu\tau}$ ,  $\epsilon_{\mu\mu}$ —insignificant. We have not considered this Yukawa coupling in our further analysis. In Fig. [2](#page-6-1) we show the  $y_2 - v_2$  parameter space allowed by various constraints from the LEP and rare lepton decays. Here the neutral scalar masses  $m_H$ ,  $m_A$  are kept at 500 GeV, where the charged Higgs mass is around 100 GeV. As discussed earlier, the maximal mass difference between neutral and charged scalars is required to enhance NSI

 ${}^5$ A similar expression for  $y_1$  can also be obtained. However, we see in the next section that a more stringent constraint on  $y_1$ comes from lepton flavor violating decays.

<span id="page-6-1"></span>

FIG. 2. Allowed region on the  $y_2 - v_2$  plane for different LEP constraints. Here  $m_H$ ,  $m_A = 500$  GeV and  $m_{H^{\pm}} \sim 100$  GeV. This is the maximal mass difference allowed from  $S$ ,  $T$ ,  $U$ , and this maximal mass difference is crucial to generate large NSI values.

parameter values. This is the amount of maximal mass splitting that is allowed from the  $S$ ,  $T$ ,  $U$  parameters.

# C.  $g - 2$  constraints

The charged scalars can contribute to the muon and electron  $g - 2$  [\[75\]](#page-14-2) at one loop, but the contribution is negligible due to a suppression factor  $m_l^4/m_{H^{\pm}}^2$  in the amplitude. Moreover, the loop contribution will depend on the coupling  $y_1$  and since, as we have seen in the last section,  $y_1 \sim 10^{-6}$ , the contribution will be even more suppressed. Unlike a general 2HDM where the two-loop contributions are dominant, charged lepton couplings to H, A are suppressed here by a factor tan  $\beta$  in  $\nu$ 2HDM, making  $g - 2$  constraints insignificant in this scenario, as shown in [\[76\]](#page-14-3).

## D. BBN constraints

The new particles that are introduced in this model are the right-handed neutrinos, which are very light, with mass at the eV scale. These new degrees of freedom, ultralight neutrinos can be created in the early Universe to populate it through the process  $\bar{l}$ l  $\rightarrow \nu_R \nu_R$  that occurs through the exchange of the charged scalar  $H^{\pm}$ . From BBN there is a limit on new relativistic degrees of freedom, which is  $\Delta N_{\text{eff}} \equiv N_{\text{eff}} - 3.046 = 0.10_{-0.43}^{+0.44}$  at 95% confidence level with He + Planck  $TT + lowP + BAO$  data [\[77\]](#page-14-4). From this limit one can obtain the decoupling temperature of neutrinos, which for right-handed neutrinos is  $T_{d,\nu_R} \approx 200$  MeV and for left-handed ones  $T_{d,\nu} \approx 3$  MeV [\[25,78,79\].](#page-12-10) These considerations put an experimental upper limit on the charged Higgs mediated process  $\bar{l}l \rightarrow \nu_R \nu_R$  as  $(T_{d,\nu_R}/T_{d,\nu_L})^3 \approx$  $(\sigma_L/\sigma_R) = 4(v_2m_{H^+}/(v_1m_{\nu_i}|U_{li}|))^4$  [\[59\]](#page-13-2), which can be<br>translated to a bound on the neutrino Yukawa coupling y as translated to a bound on the neutrino Yukawa coupling  $y_{\nu_i}$  as

$$
y_{\nu_i} \le 0.05 \times \left[ \frac{m_{H^{\pm}}}{100 \text{ GeV}} \right] \left[ \frac{1/\sqrt{2}}{|U_{ei}|} \right].
$$
 (28)

In the usual  $\nu$ 2HDM this constraint is used to put tight constraints on Yukawas. However, in the modified  $\nu$ 2HDM this constraint is trivially satisfied due to the larger values of  $v_2 \sim 0.1$  MeV; the preferred  $y_{\nu_i}$  values are much smaller.

In passing, we also note that this kind of neutrinophilic model can be constrained by the limits on the effective four-neutrino interactions [\[80](#page-14-5)–82]. In  $\nu$ 2HDM the effective  $4\nu$ interactions can occur through the neutral CP-even and  $CP$ -odd BSM scalar propagators. For a  $\nu$ 2HDM with a global  $U(1)$  symmetry, the BSM neutral scalars will be heavier, with masses around 100 GeV, which suppresses the effective interaction rate. Therefore, even with  $H(A)\nu\nu$ Yukawa couplings  $\sim$ 1, the effective four-neutrino vertex strength remains sufficiently low to stay below the experimental limits on the effective four-neutrino interaction.

# V. STUDY OF NSI

<span id="page-6-0"></span>In this section, we first present a discussion on the constraint range of NSI parameters in this model. Furthermore, we proceed with a detailed study of these bounds, considering the data from DUNE. Our initial discussion is on the impact of model-dependent NSI, considering the appearance channel probability for both neutrinos and antineutrinos. Moreover, we also perform a substantially detailed study at the  $\chi^2$  level where we illustrate the impact of NSI on mass hierarchy sensitivity and the CP-violation discovery study.

<span id="page-6-2"></span>

FIG. 3. Allowed values of NSI parameter  $\epsilon_{ee}$  for a random scan of parameters and its distribution with (a) charged Higgs mass  $m_{H^{\pm}}$ and (b) the vacuum expectation value of  $\Phi_2$ ,  $v_2$  (right).

<span id="page-7-0"></span>TABLE I. Benchmark points and  $\epsilon_{ee}$ . NSI parameter  $\epsilon_{ee}$  for different benchmark values of  $v_2$ , where the charged Higgs mass is varied over a range from 80 GeV to 100 GeV (keeping in mind mass splitting allowed by oblique parameter considerations and mass splitting required for satisfying LEP limits) for each  $v_2$  value.

Parameters	<b>Benchmark</b> point I	<b>Benchmark</b> point II	<b>Benchmark</b> point III
v <sub>2</sub>	$2.5$ MeV	3 MeV	5 MeV
$m_{H^{\pm}}$	$80 - 100$ GeV	$80 - 100$ GeV	$80 - 100$ GeV
$\epsilon_{ee}$	$0.061 - 0.095$	$0.042 - 0.066$	$0.015 - 0.024$

## A. NSI in the modified ν2HDM

Here we fix different benchmark values of  $v_2$  and vary  $m_{H^{\pm}}$  in the range of 80–120 GeV. The LEP bound sets the lower limit of the charged Higgs mass at 80 GeV. To satisfy the LEP  $e^+e^- \rightarrow l^+l^-$  constraint, we need to have heavier electrically neutral BSM scalars that can keep the cross section low. On the other hand, the heavier charged Higgs will suppress the values of nonstandard neutrino interaction parameters, as can be seen from Eq. [\(16\).](#page-3-3) Therefore, it is optimal to have a maximal splitting between charged and neutral BSM scalars, and the splitting is controlled by oblique parameter constraints. Maximal splitting is possible for a smaller mass of the charged scalar up to  $m_H^{\pm}$  = 120 GeV when the neutral scalar masses are at 500 GeV. So, the upper limit of  $m_{H^{\pm}}$  is chosen at 120 GeV. From the LEP upper limit on the  $e^+e^- \rightarrow e^+e^-$  process, a lower bound on the  $v_2$  value can be obtained as  $v_2 \leq 2.5$  MeV. For three different values of  $v_2 \geq 2.5$  MeV, we choose three benchmark points and calculate  $\epsilon_{ee}$  for those values. The allowed values of  $\epsilon_{ee}$  for various model parameters  $m_{H^+}$  and  $v_2$  are shown in Fig. [3](#page-6-2). From this, we take some representative benchmark points for  $\epsilon_{ee}$  along with  $v_2$  and  $m_{H^+}$  which are given in Table [I](#page-7-0).

Constraints from lepton flavor violating decay  $\mu \rightarrow 3e$ force the Yukawa coupling  $y_1$  to be small, i.e., of the order of 10<sup>-6</sup>, so that all the  $\epsilon$  values involving  $\mu$ , i.e.,  $\epsilon_{e\mu}$ ,  $\epsilon_{\mu\mu}$ ,  $\epsilon_{\mu\tau}$ , will be negligible and not have any significant effect on the observables. Thus, we do not consider those NSI parameters for our analysis. The only NSI parameters that we explore are  $\epsilon_{e\tau}$  and  $\epsilon_{\tau\tau}$ . In general, the model-independent constraint on the NSI parameter  $\epsilon_{\tau\tau}$  is too loose to put any significant constraint on the model parameters. For the case of y<sub>2</sub>, the tightest bound comes from LFV decay  $\tau \rightarrow 3e$ , which restricts  $y_2$  to be below 0.035 for  $v_2 = 2.5$  MeV, and this upper limit increases with  $v_2$ . We have chosen different values of Yukawa coupling  $y_2$  within this limit. For these different  $y_2$  values,  $\epsilon_{e\tau}$  numbers at three benchmark points are given in Table [II.](#page-7-1)

#### B. Probability level descriptions

We now study the appearance channel  $(P_{\mu e})$  probability in the case of DUNE, considering model-dependent NSI

<span id="page-7-1"></span>TABLE II. NSI parameter  $\epsilon_{e\tau}$  for three benchmark points (BP I, II, III) for different values of  $y_2$ .

BP I $(v_2 = 2.5 \text{ MeV})$					
$y_2$ $\epsilon_{\rho\tau}$	0.01 $0.0021 - 0.0033$	0.02 $0.0043 - 0.0067$	0.035 $0.0075 - 0.0117$		
		BP II $(v_2 = 3.0 \text{ MeV})$			
$y_2$ $\epsilon_{\rho\tau}$	0.01 $0.0018 - 0.0028$	0.02 $0.0036 - 0.0056$	0.04 $0.0071 - 0.011$		
		BP III $(v_2 = 5.0 \text{ MeV})$			
$y_2$ $\epsilon_{e\tau}$	0.02 $0.0021 - 0.0033$	0.04 $0.0043 - 0.0067$	0.065 $0.007 - 0.011$		

parameters  $\epsilon_{ee}$  and  $\epsilon_{e\tau}$ . The relevant analytical expression for the appearance channel probability in the case of normal hierarchy (NH), considering  $s_{13}$ ,  $r = \Delta m_{21}^2 / \Delta m_{31}^2$  and  $\epsilon_{\alpha\beta}$ <br>as small parameters, except  $\alpha, \beta = \epsilon$ , can be written as [31] as small parameters, except  $\alpha$ ,  $\beta = e$ , can be written as [\[31\]](#page-12-11)

$$
P_{\mu e} = x^2 f^2 + 2xyfg \cos(\Delta + \delta_{CP}) + y^2 g^2 + 4 \hat{A} \epsilon_{e\tau} s_{23} c_{23} \{ xf [f \cos(\phi_{e\tau} + \delta) - g \cos(\Delta + \delta + \phi_{e\tau})] - yg[g \cos \phi_{e\tau} - f \cos(\Delta - \phi_{e\tau})] \} + 4 \hat{A}^2 (g^2 + f^2) c_{23}^2 s_{23}^2 |\epsilon_{e\tau}|^2 - 8 \hat{A}^2 fg s_{23} c_{23} c_{23} c_{23} \epsilon_{e\tau}^2 \cos \Delta + \mathcal{O}(s_{13}^2 \epsilon, s_{13} \epsilon^2, \epsilon^3),
$$
(29)

where

$$
x = 2s_{13}s_{23}, \t y = 2rs_{12}c_{12}c_{23},
$$
  
\n
$$
(s_{ij} = \sin \theta_{ij}, c_{ij} = \cos \theta_{ij}, ij = 12, 13, 23),
$$
  
\n
$$
\Delta = \frac{\Delta m_{31}^2 L}{4E}, \t \hat{A} = \frac{A}{\Delta m_{31}^2},
$$
  
\n
$$
f, \bar{f} = \frac{\sin[\Delta(1 \mp \hat{A}(1 + \epsilon_{ee}))]}{(1 \mp \hat{A}(1 + \epsilon_{ee}))},
$$
  
\n
$$
g = \frac{\sin[\hat{A}(1 + \epsilon_{ee})\Delta]}{\hat{A}(1 + \epsilon_{ee})}.
$$
\n(30)

A similar expression for the inverted hierarchy (IH) can be calculated by replacing  $\Delta m_{31}^2 \rightarrow -\Delta m_{31}^2$  [i.e.,  $\Rightarrow \Delta \rightarrow -\Delta$ ,  $\hat{A} \rightarrow -\hat{A}$  (i.e.,  $f \rightarrow -\bar{f}$  and  $g \rightarrow -g$ ),  $y \rightarrow -y$ ]. Also, the expressions for antineutrino probability  $(P_{\bar{u}\bar{e}})$  can be obtained by replacing  $\hat{A} \rightarrow -\hat{A}$  ( $\Rightarrow f \rightarrow \bar{f}$ ),  $\delta_{CP} \rightarrow -\delta_{CP}$ .

DUNE has been proposed as a next generation superbeam experiment at Fermilab, USA [\[83](#page-14-6)–87]. It will use the existing Neutrinos at the Main Injector (NuMI) beamline design at Fermilab as a source of neutrinos. Its far detector will be placed at the Sanford Underground Research Facility (SURF) in Lead, South Dakota, at a distance of 1300 km from the source. DUNE Collaboration plans to

<span id="page-8-0"></span>

FIG. 4. Appearance channel probability vs  $\delta_{CP}$  for DUNE, considering neutrinos (antineutrinos) in the left (right) panel. Here the top (bottom) row represents the oscillation probability in the presence of the diagonal (off-diagonal) NSI parameter  $\epsilon_{ee}$  ( $\epsilon_{et}$ ).

use a liquid argon time-projection chamber (LArTPC) detector with a volume of 10 kton and 40 kton corresponding to the first phase and final phase, respectively. In our simulation, we consider the 10 kton detector volume. We also consider the flux corresponding to 1.2 MW beam power with 120 GeV protons that give  $1 \times 10^{21}$  protons on target (POT) per year. In the analysis, we use the method of pulls [\[88,89\]](#page-14-7) as outlined in [\[90\]](#page-14-8) to account for systematic errors. For the numerical simulation, we use the GLoBES package [\[91,92\]](#page-14-9) along with the required auxiliary files [\[93,94\]](#page-14-10). The remaining details of the DUNE and numerical specifications considered here are the same as in [\[95\].](#page-14-11) We have also added a 5% prior on  $\sin^2 2\theta_{13}$ .

In Fig. [4](#page-8-0), we discuss the appearance channel probability  $(P_{\mu e})$  considering the diagonal NSI parameter  $\epsilon_{ee}$  in the top row, whereas in the bottom row, we show our results considering the off-diagonal NSI parameter  $\epsilon_{e\tau}$  with 2 GeV neutrino beam energy. We present our results considering neutrinos (antineutrinos) in the left (right) panel. Depending on the true hierarchy and true octant, we have four combinations of hierarchy-octant, namely, NH-HO, NH-LO, IH-HO, and IH-LO. We discuss our results for all four possibilities. The tiny bands in the top row are over the range of the model-dependent NSI parameter  $\epsilon_{ee}$  as given by the first column of Table  $I<sup>6</sup>$  $I<sup>6</sup>$  In the bottom row, we describe the role of the off-diagonal NSI parameter, where different bands are over the range of the new CP phase  $\phi_{ex}$ (see figure legends for details). Note that in the bottom row, to have a better understanding of the new CP phase, we fix the value of the NSI parameters, and the representative value of  $\epsilon_{e\tau}$  is taken from Table [II](#page-7-1). A general probabilitylevel discussion, considering a similar setup, in the absence of NSI on various degenerate solutions is given in Ref. [\[95\]](#page-14-11), whereas some noteworthy issues considering modelindependent NSI parameters are presented in Ref. [\[33\]](#page-12-12) considering DUNE.

We concentrate here on the impact of model-dependent NSI parameters. In the literature (see [\[31](#page-12-11)–34]), it was pointed out that the appearance channel probability suffers from

<sup>&</sup>lt;sup>6</sup>Note that, for illustrative purposes, we describe our results considering one set of solutions.

<span id="page-9-0"></span>

FIG. 5. Hierarchy  $\chi^2$  for DUNE in the presence of NSI. Here the top (bottom) row is for true NH (IH) and the left (right) column is for LO (HO).

degeneracy due to the transformation of the form  $(NH, \epsilon_{ee}) \rightarrow (IH, -\epsilon_{ee} - 2)$  and  $(NH, \delta_{CP}) \rightarrow (IH, \pi \delta_{CP}$ ) in the presence of model-independent NSI parameters. Here we notice, considering our model-dependent constrained parameter space of  $\epsilon_{ee}$ , that DUNE has no hierarchy degeneracy, as shown in the first plot of the top row in Fig. [4](#page-8-0). This can be understood by comparing NH bands (blue, brown) with IH bands (yellow, red), as the former have no intersection with the latter. The underlying reason for the absence of this degeneracy can be traced back to the fact that the transformation required for this degeneracy,  $(NH, \epsilon_{ee}) \rightarrow (IH, -\epsilon_{ee} - 2)$ , cannot be realized in our model, as  $\epsilon_{ee}$  is always positive, as can be seen from Eq. [\(16\).](#page-3-3) In the first plot of the bottom row, we also observe that  $P_{\mu e}$  has no hierarchy degeneracy even in the presence of the off-diagonal NSI parameter  $\epsilon_{e\tau}$ . Note that with the inclusion of  $\epsilon_{e\tau}$ , the width of different bands become broader; this is due to the unconstrained range of the new CP phase  $\phi_{\text{er}}$ , which affects the measurement of the Dirac CP phase. Similar results are also observed for antineutrinos, as shown by the right panel, and the conclusion made for neutrinos remains the same for antineutrinos. Moreover, to understand the impact of NSI on the determination of hierarchy and CP-violation sensitivity, we extend the study using our model-dependent NSI parameters at the  $\chi^2$  level. In the next section, we illustrate the details.

## C. Sensitivity study

We now discuss the mass hierarchy sensitivity as well as theCP-violation sensitivity of DUNE with model-dependent NSI parameters. For comparison, we also describe our results considering SI. Throughout the study, we consider [5+5] years run time for DUNE.<sup>7</sup> In Fig. [5,](#page-9-0) we describe our results for the mass hierarchy sensitivity considering true  $\theta_{23}$  as 42° for lower octant (LO) and 48° for higher octant (HO) in the first and second panels, respectively. In the top (bottom) row, we present our results considering true hierarchy as NH (IH), marginalized over test hierarchy. We take true values of other neutrino parameters as  $\sin^2\theta_{12} = 0.321$ ,  $\sin^2 2\theta_{13} = 0.085$ ,  $\Delta m_{31}^2 = 2.50 \times 10^{-3} \text{ eV}^2$ , and  $\Delta m_{21}^2 = 7.56 \times 10^{-5} \text{ eV}^2$ ,

<sup>&</sup>lt;sup>7</sup>Note that  $[5 + 5]$  means we divide the total exposure by vears of neutrino run. 5 years of neutrino run and another 5 years of antineutrino run.

<span id="page-10-0"></span>

FIG. 6. CP-violation discovery  $\chi^2$  for DUNE in the presence of NSI. Here the top (bottom) row is for NH (IH), whereas in the left (right) panel, we show results for LO (HO).

which are compatible with the current global-fit data [\[96](#page-14-12)–98]. In test hierarchy, we marginalize over their  $3\sigma$  ranges.<sup>8</sup> For the NSI parameters, we marginalize over test  $\epsilon_{ee}$  considering the range as given in BP I of Table [I,](#page-7-0) whereas we keep a fixed value for the off-diagonal NSI parameter as given in Table [II](#page-7-1) (see figure legends for details). This benchmark point is chosen since the respective  $v_2$  value satisfies all other constraints and is well within the allowed parameter region. Note that the four different curves correspond to SI (gray solid), the diagonal NSI parameter  $\epsilon_{ee}$  (black long-dotted), the off-diagonal NSI parameter  $\epsilon_{e\tau}$  with  $\phi_{e\tau} = 0^{\circ}$  (blue dashdotted), and  $\phi_{e\tau} = -90^{\circ}$  (yellow dotted), respectively. We quantify our mass hierarchy sensitivity as

<span id="page-10-1"></span>
$$
\chi^2_{\text{NH-IIH}} = \min \sum_{i} \frac{[N_i(\text{NH}^{\text{tr}}, \epsilon^{\text{tr}}, \phi^{\text{tr}}) - N_i(\text{IH}^{\text{te}}, \epsilon^{\text{te}}, \phi^{\text{tr}})]^2}{\sigma [N_i(\text{NH}^{\text{tr}}, \epsilon^{\text{tr}}, \phi^{\text{tr}})]^2},
$$
\n(31)

where  $N_i$  represents the number of events for the *i*th oscillation parameters. Also,  $\epsilon \equiv \epsilon_{\alpha\beta}$  are marginalized for  $\alpha = \beta = e$  and kept fixed in both true and test for  $\alpha \neq \beta$ , whereas  $\phi \equiv \phi_{\alpha\beta}$  are considered fixed in both true and test to calculate N. We discuss our results considering a benchmark of  $5\sigma$  C.L. as shown by the horizontal line.

From the gray curve, we observe that DUNE can reach  $5\sigma$  hierarchy sensitivity with the 10 kton detector mass, independent of the true values of  $\delta_{CP}$  and irrespective of the nature of true hierarchy NH or IH, in the case of HO (right panel) for SI. For LO (left panel), we notice it achieves  $5\sigma$  hierarchy sensitivity for all the true values of  $\delta_{CP}$  except the regions around true  $\delta_{CP} = +90^{\circ}$  for neutrinos. In the presence of  $\epsilon_{ee}$  (see black long-dotted curve), we find that DUNE achieves greater than or almost equivalent to  $5\sigma$ sensitivity for all the mentioned cases. Considering  $\epsilon_{\text{ex}}$ , we describe our results for both CP-conserving (i.e., when  $\phi_{\text{eq}} = 0^{\circ}$  as well as CP-violating (i.e., when  $\phi_{\text{eq}} = -90^{\circ}$ ) values of the new CP phase. In the case of HO, we notice that even in the presence of off-diagonal NSI parameters, DUNE can achieve  $5\sigma$  hierarchy sensitivity for all the true

<sup>&</sup>lt;sup>8</sup>Note that we do not marginalize over  $\theta_{12}$ .

values of  $\delta_{CP}$ . In the case of LO, we find that DUNE attains  $5\sigma$  hierarchy sensitivity irrespective of the true values of  $\delta_{CP}$  for all the cases except the regions around true  $\delta_{CP} = +90^{\circ}$ . Similar results hold for the case of true IH as shown by the bottom row, except that for IH, the minimum is near true  $\delta_{CP} = -90^{\circ}$ .

In Fig. [6](#page-10-0), we discuss CPV discovery  $\chi^2$  for DUNE considering both SI and NSI. The left (right) panel describes our results for LO (HO), whereas the top (bottom) row shows our results for true hierarchy as NH (IH). The true values that we consider correspond to  $\epsilon_{ee} = 0.095$ ,  $\epsilon_{\text{e}\tau} = 0.0117$  and are based on our model as given in Tables [I](#page-7-0) and [II](#page-7-1); for the new CP phase due to NSI, we take two cases, namely,  $CP$ -conserving  $(0^{\circ})$  and  $CP$ -violating (-90°) values. We describe CPV discovery  $\chi^2$  as

$$
\chi_{CPV}^2 = \min \sum_{i} \frac{[N_i(\delta_{CP}^{\text{tr}}, \epsilon^{\text{tr}}, \phi^{\text{tr}}) - N_i(\delta_{CP}^{\text{te}}(0^\circ, \pm 180^\circ), \epsilon^{\text{te}}, \phi^{\text{tr}})]^2}{\sigma [N_i(\delta_{CP}^{\text{tr}}, \epsilon^{\text{tr}}, \phi^{\text{tr}})]^2},\tag{32}
$$

where  $N_i$  represents the number of events for the *i*th oscillation parameters. Also,  $\epsilon$ ,  $\phi$  are defined as in Eq. [\(31\)](#page-10-1). We draw a  $3\sigma$  line as a benchmark for the discussion of our results. Comparing all curves, we notice that DUNE achieves maximum CPV discovery sensitivity for the SI compared to NSI parameters. However, considering the diagonal NSI parameter, we find that it gives almost equal CP sensitivity as SI at  $3\sigma$  C.L. for both hierarchies. Further, when we add off-diagonal NSI parameters to the diagonal NSI parameter, as shown by the blue dot-dashed and yellow dotted curves, we observe that CPV sensitivity reasonably decreases for NH. However, for IH we find that CPV sensitivity is enhanced for CP-violating values compared to CP-conserving values. Thus, an extra CP phase complicates the measurement of the Dirac-CP phase ( $\delta_{CP}$ ) and hence affects the measurement of overall CP sensitivity even in the case of the constraint parameter space of NSIs.

## VI. SUMMARY AND CONCLUSION

<span id="page-11-0"></span>Neutrino oscillation experiments opened a new vista to probe the fundamental properties of neutrinos. New physics models, incorporating neutrino masses, are testable in these experiments via the oscillation data. Many of these BSM scenarios give rise to NSI that can be tested in the oscillation experiments. But such models are constrained because of lepton flavor violation issues.

The traditional  $\nu$ 2HDM is one of the popular models that tries to explain neutrino masses by extending the SM with two scalar doublets and right-handed neutrinos. However, this model produces a negligible amount of NSI due to the almost nonexistent interaction of the SM charged leptons and quarks with the neutrinos, determined by the tiny mixing ( $\approx v_1/v_2$ ) of the two scalar doublets. In this study, we propose a modified  $\nu$ 2HDM, which is an improvement over the usual  $\nu$ 2HDM. We can have a sizable NSI parameter while maintaining LFV constraints. We achieve this by assigning a charge to  $e_R$  under a global  $U(1)$ symmetry. This can lead to an observationally significant NSI, along with the presence of a tiny Dirac neutrino mass,

keeping the original motivation of  $\nu$ 2HDM intact. This modification of  $\nu$ 2HDM reintroduces hierarchy in the Yukawas in the  $e - \nu$  sector but simultaneously eases the hierarchy in the  $t - \mu$  side, compared to that in the traditional  $\nu$ 2HDM. Softly broken global  $U(1)$   $\nu$ 2HDM allows the presence of a heavy neutral BSM scalar, which helps us to address the stringent bound on the electrophilic Yukawa of an ultralight neutral scalar as well as the tight constraints from the oblique parameter  $(S, T, U)$  measurements. Combined effects of the LEP constraints like the  $e^+e^- \rightarrow l^+l^-$ , monophoton search, along with the bound on  $H^{\pm}$  mass, reduce a significant amount of allowed parameter space of the modified  $\nu$ 2HDM case, therefore putting stringent bounds on the NSI parameters. The presence of LFV decays like  $\tau \to 3e$ ,  $\mu \to 3e$  puts a stringent upper bound on the Yukawa couplings  $y_1$ ,  $y_2$ , and that results in any NSI parameter involving  $y_1$  being negligible apart from a significant reduction of the upper bound of other NSI parameters.

Depending on these constraints, this model predicts the range of permissible NSI parameter  $\epsilon_{ee}$ . We also find that the only possible off-diagonal NSI parameter in this model is  $\epsilon_{e\tau}$ , whereas remaining NSIs become insignificant under model constraints. Thus, the effects of NSI parameters involving  $y_1$ , such as  $\epsilon_{e\mu}$ ,  $\epsilon_{\mu\mu}$ , etc., are not studied here. Later, we study the impact of these NSIs considering LBL experiments like DUNE. At the probability level, considering model-dependent NSIs, we do not observe any wrong hierarchy degeneracy, even in the presence of off-diagonal NSI parameters. Furthermore, at the  $\chi^2$  level, we find that DUNE shows around  $5\sigma$ hierarchy sensitivity when one adopts NH as well as IH as a true hierarchy, considering one at a time. These results remain valid irrespective of the value of the true Dirac CP phase,  $\delta_{CP}$ . From our study of CP discovery, we notice that CP violation in the leptonic sector is affected even in the presence of model-dependent diagonal NSI parameters. Further, we observe that an extra CP phase, due to off-diagonal NSI parameters, complicates the measurement of the Dirac-CP phase and hence affects the measurement of overall CP sensitivity.

# ACKNOWLEDGMENTS

U. K. D. acknowledges support from the Department of Science and Technology, Government of India under the fellowship reference number PDF/2016/001087 (SERB National Post-Doctoral Fellowship). U. K. D. thanks Dr. Tirtha Sankar Ray for useful discussions. The research work of N. N. was supported in part by the National Natural Science Foundation of China under Grant No. 11775231. The authors would like to thank Professor Srubabati Goswami for her insightful comments and a careful reading of the manuscript.

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