

Antarctic surface reflectivity calculations and measurements from the ANITA-4 and HiCal-2 experiments

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The balloon-borne HiCal radio-frequency (RF) transmitter, in concert with the ANITA radio-frequency receiver array, is designed to measure the Antarctic surface reflectivity in the RF wavelength regime. The amplitude of surface-reflected transmissions from HiCal, registered as triggered events by ANITA, can be compared with the direct transmissions preceding them by $\mathcal{O}(10)$ microseconds, to infer the surface power reflection coefficient \mathcal{R} . The first HiCal mission (HiCal-1, Jan. 2015) yielded a sample of 100 such pairs, resulting in estimates of \mathcal{R} at highly glancing angles (i.e., zenith angles approaching 90°), with measured reflectivity for those events which exceeded extant calculations [P. W. Gorham et al., *Journal of Astronomical Instrumentation*, 1740002 (2017)]. The HiCal-2 experiment, flying from December 2016–January 2017, provided an improvement by nearly 2 orders of magnitude in our event statistics, allowing a considerably more precise mapping of the reflectivity over a wider range of incidence angles. We find general agreement between the HiCal-2 reflectivity results and those obtained with the earlier HiCal-1 mission, as well as estimates from Solar reflections in the radio-frequency regime [D. Z. Besson et al., *Radio Sci.* 50, 1 (2015)]. In parallel, our calculations of expected reflectivity have matured; herein, we use a plane-wave expansion to estimate the reflectivity \mathcal{R} from both a flat, smooth surface (and, in so doing, recover the Fresnel reflectivity equations) and also a curved surface. Multiplying our flat-smooth reflectivity by improved Earth curvature and surface roughness corrections now provides significantly better agreement between theory and the HiCal-2 measurements.

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I. OVERVIEW

The NASA-sponsored ANITA project [1–4] has the goal of detecting the highest-energy particles incident on the Earth. Although designed for measurement of ultra-high-energy neutrinos interacting in-ice, the first ANITA flight also demonstrated (unexpectedly) excellent sensitivity to primary ultra-high-energy cosmic rays (UHECR) with energies exceeding 1 EeV (10^{18} eV) [5] interacting in the Earth’s atmosphere. These are assumed to be charged nuclei (likely protons), given the lack of efficient acceleration mechanisms for electrically uncharged particles, and the long lifetimes required to traverse megaparsec-scale distances. Through interactions with terrestrial matter, both neutrinos and charged cosmic-rays produce observable radio-frequency (RF) emissions via the Askaryan effect [6–8], with three important distinctions between the two experimental signatures:

- (1) as viewed from the airborne ANITA gondola, charged primary cosmic ray interactions in the atmosphere generally produce down-coming signals, which subsequently reflect off the surface and up to the gondola, whereas neutrinos interacting in-ice produce up-coming signals which refract through the surface to ANITA.
- (2) owing to the relative sparseness of the air target medium, down-coming charged cosmic ray interactions result in forward-beamed RF signal close to the primary cosmic ray momentum axis (within one degree), whereas Cherenkov radiation from in-ice neutrino interactions is well separated ($\theta_C \sim 57^\circ$) from the neutrino momentum axis.
- (3) down-coming charged primary cosmic-rays, owing to the $\vec{v} \times \vec{B}$ Lorentz force in the Earth’s magnetic field, result in predominantly horizontally-polarized (HPol) radiation, whereas the measurable Cherenkov radiation due to neutrino interactions and emerging to the gondola is predominantly vertically polarized (VPol). Owing to this latter consideration, the HPol component of the ANITA trigger was, unfortunately, removed from the trigger chain before the ANITA-2 flight (and also before it was realized that ANITA-1 had charged UHECR measurement capabilities). That capability was reinstalled for ANITA-3 and subsequent flights.

In both cases, knowledge of the RF reflection/transmission across the surface discontinuity between Antarctic snow and air is critical to reconstructing UHECR energies. This quantity is primarily determined by the dielectric contrast across the discontinuity and also surface roughness effects, which can introduce, as a function of signal incidence angle, frequency-dependent decoherence and/or frequency-dependent signal amplification. At highly oblique incidence angles, the divergence of signal upon reflection from the convex Earth surface results in a significant diminution of measured signal (i.e., “curvature

effects”). Previously, the surface reflectivity was deduced from both ANITA-2 [9] and also ANITA-3 observations of the Sun, and also ANITA-3 measurements of HiCal-1 triggers [10]. Those measurements typically followed expectations from the Fresnel equations, with the exception of the most oblique incidence angles, for which HiCal-1 data indicated a twofold larger-than-expected surface reflectivity, compared to published models [11].

Our goals for the successor HiCal-2 experiment, compared to HiCal-1 were threefold: (a) improvement of event statistics by at least an order of magnitude, (b) considerably greater incidence angle sampling than the limited range probed by HiCal-1 (3.5–5 degrees with respect to the surface), and extension into the 8–30 degree incidence angle regime probed by the Solar surface reflectivity measurements, and (c) signal-emission time-stamping and azimuthal orientation read-back. The latter is important in understanding the signal strength received at ANITA, given the expected dipole beam pattern of the transmitter.

In what follows, we first detail the hardware used on the HiCal-2 payloads (designated “a” and “b,” in reverse order of launch), as well as provide flight trajectory performance characteristics. More details on the instrument can be found elsewhere [12]. We also provide details on our improved calculation of the expected surface reflectivity, and compare with our measured reflectivity.

II. HICAL PAYLOAD

The HiCal payload schematically consists of three main components. These are

- (1) the Micro-Instrumentation Package (MIP) containing the Columbia Scientific Ballooning Facility (CSBF) hardware used for communications with the main ground station in Palestine, TX, and also instrumentation for monitoring in-flight payload and telemetry of useful data,
- (2) a sealed, one-atmosphere, pressure vessel (PV) containing the bicone transmitter antenna, two piezo-electric signal generators at each axial end of the bicone transmitter, and, for each piezo, both a rotating camshaft which activates the piezo every 8–10 seconds (depending on voltage applied to the rotor, as well as ambient temperature) and also wires connecting the piezo to the feedpoint at the center of the HiCal biconical antenna. Note that the camshaft period for HiCal-1 was considerably shorter than for HiCal-2, of order 2.5–3 seconds. The PV maintains a roughly ~ 1000 mb environment (compared to ~ 5 mb outside the payload at float altitude). Owing to the increased likelihood of high-voltage breakdown with decreasing pressure, the PV is essential in ensuring regular pulsing and reproducible signal shapes.
- (3) the Azimuth, TimeStamp and Altitude (ATSA) board which provides information on the transmitter

performance in-flight and measures the time and azimuthal transmitter orientation at the time a HiCal pulse is emitted.

Given the 60,000 ft³ balloon used to fly the payload, lift is sufficient to accommodate, at most, a total mass of 5 kg, similar to the weight limit on a typical weather balloon flight.

A. Telemetry

Since the HiCal payloads are not recovered, all necessary data are only retrievable via real-time transmission at flight-time. HiCal data are telemetered via the iridium-based satellite communications network, with a total telemetry bandwidth budget limited to 256 bytes/minute [13]. In addition to the time and azimuthal information from the ATSA board, CSBF data also include the voltage on the MIP board itself, as well as voltages monitoring the PV pressure and the voltage being delivered to the piezo cam motor.

B. ATSA performance

To determine the absolute azimuthal orientation of the HiCal-2 payload, the ATSA board interpolates the amplitudes of Solar-induced signals measured in 12 silicon photomultipliers (SiPM's). These SiPM's are manufactured by a private/public-sector collaboration, specifically PULSAR, Inc. and the Moscow Engineering Physics Institute (Moscow, Russia) and described in detail elsewhere [14]. Each SiPM has a sensitive area of approximately one square mm and consists of 1156 pixels, each pixel having area $32 \times 32 \mu\text{m}^2$. Each SiPM on the ATSA board is displaced by 30 degrees relative to the adjacent SiPM's, as shown in Fig. 1. The azimuthal orientation of the transmitter antenna axis is then calculated using an ephemeris look-up table of the true sky location of the Sun, given the instantaneous payload UTC time, latitude, longitude, and elevation. The block functional diagram and the actual implementation of the block diagram are shown in Figs. 2 and 3, respectively.

Calibration of the Solar azimuthal response and the corresponding angular resolution is conducted on a bright Midwestern day. Dedicated firmware provides real-time read-back of the inferred Solar azimuth in the sky. As seen from the deviation from linearity with unit slope (Fig. 4), the ATSA azimuthal calibration has an accuracy of approximately three degrees. Note that there is no tracking of the polar attitude of the payload, although measurements of the (albeit much heavier) ANITA gondola rarely show departures from horizontal exceeding one degree. To ensure that the ATSA performance was independent of Solar elevation, it was verified that the angular response was the same when the calibration was conducted in the evening vs midday.

GPS time is provided by the CSBF MIP board; when a HiCal signal is produced by relaxation of the



FIG. 1. Photograph of ATSA sun sensor, employing 12 MEPhI-mark Silicon Photomultipliers (SiPM) arranged azimuthally.

cam-depressed piezo, a small wire pickup within the pressure vessel forwards this signal to the ATSA board, which then latches the CSBF GPS second and interpolates the subsecond by counting clock cycles on a 200 MHz oscillator. This procedure was tested pre-flight and indicated 30 microsecond resolution. The actual in-flight timing resolutions achieved by the ATSA were found to be approximately 30 μs and 500 μs for HiCal-2a and HiCal-2b, respectively, by comparing HiCal time stamps to those of ANITA for the HiCal events which triggered ANITA-4. The error in the timing for HiCal-2a is found to be dominated by jitter in the capacitive pickup latching the CSBF GPS board. The source of the large timing error for

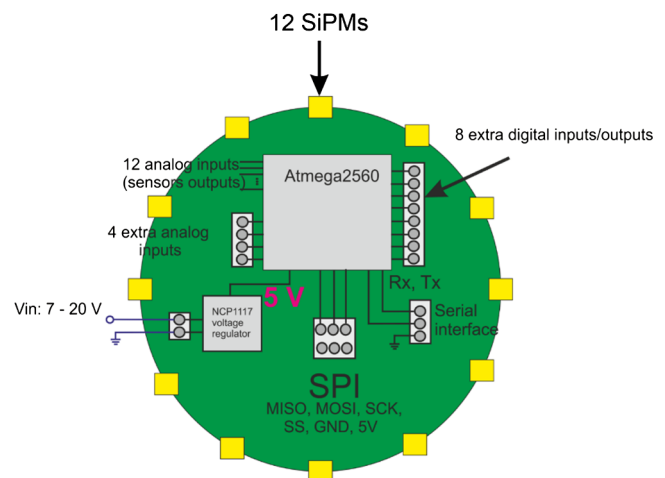


FIG. 2. ATSA functional block diagram.

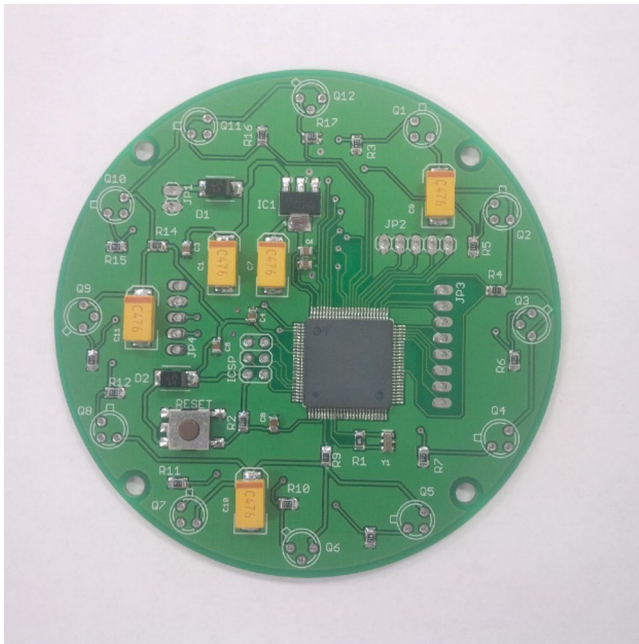


FIG. 3. ATSA printed circuit board.

HiCal-2b is due, in part, to the less well-defined HiCal-2b output signal observed at flight time.

C. Piezo-based transmitter

For the HiCal-2 mission, three transmitter design modifications were employed relative to HiCal-1. First, the MSR-brand piezo-electric was selected to replace the previous HiCal-1b MHP piezo-electric, based on a lab study of signal shape and signal regularity. In pre-flight laboratory testing, the MSR brand piezo consistently

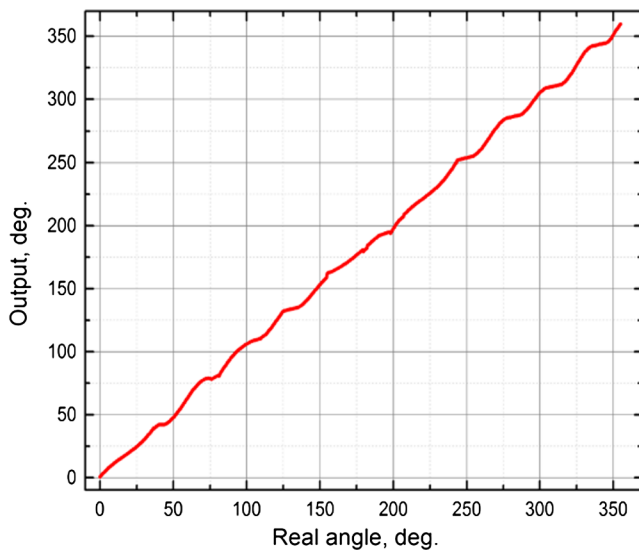


FIG. 4. Calibration of azimuthal response of ATSA board. Horizontal axis denotes true angle relative to Solar azimuth in sky; vertical axis denotes angle returned by ATSA board.



FIG. 5. Photo of HiCal payload taken during pre-flight hang test (June, 2016, Palestine, TX). Motor to drive piezo signal generator and transmitter antenna are enclosed within cylindrical pressure vessel, suspended from CSBF MIP electronics box. Pressure vessel was later painted white prior to flight.

produced 5 Volt (peak-peak) amplitude signals, when broadcast to an ANITA-2 Seavey quad-ridged horn antenna at a distance of 20 meters, with no additional amplification, translating to ~ 5 kV signal output at the bicone transmitter antenna itself. Second (as mentioned earlier), to provide redundancy, each antenna was equipped with two piezo-electric generators, one at either end of the dipole antenna. Finally, to minimize weight, the RICE dipole transmitter which flew in HiCal-1b was replaced with the thinner, aluminium bicone model, with the separation between the two bicone halves reduced to 250 microns using a thin nylon spacer.

As with all CSBF missions, prior to Antarctic flight, the performance of experimental hardware was verified during the pre-flight summer in Palestine, TX. A photograph of the payload pressure vessel (black cylinder) suspended beneath the MIP box is shown in Fig. 5.

III. FLIGHT DETAILS AND TRAJECTORY

Although originally intended to launch directly following the ANITA-4 launch on Dec. 2, 2016, logistical

restrictions made this impossible, and the decision was made to delay HiCal launch until the return of ANITA-4 to McMurdo Station following one full circumpolar orbit around the Antarctic continent. HiCal-2b and HiCal-2a were then launched, approximately 20 hours apart, in succession, 9 days after the initial ANITA-4 launch, with HiCal-2b leading ANITA and HiCal-2a trailing, each by several hundred kilometers. The trajectories of the two HiCal payloads are shown in Fig. 6. As reported elsewhere, both payloads were successfully tracked by a Moore’s Bay ground receiver array, some 100-km away, during ascent [15] with 2–3 degree precision measured in both azimuth and elevation. In-flight slow control parameters (temperature and pressure vessel pressure) for the HiCal-2a flight show a clear 24-hour cycle, consistent with the Solar sky elevation and illumination.

There are several parameters that can be used to identify HiCal triggers in the ANITA-4 data sample. Most obviously, we can compare the recorded HiCal transmitter trigger time to the receiver trigger times for ANITA-4 recorded events after correcting for the expected transit time between HiCal and ANITA (based on the known GPS locations of the two payloads)—this should yield a distribution that centers at zero, as indicated in Figs. 7 and 8. These distributions readily identify HiCal events for those transmitted pulses with telemetered timestamps.

The signals obtained in pre-flight testing in Palestine, TX, with the HiCal transmitter broadcasting to an ANITA-4 quad-ridged horn antenna, but read-out into a

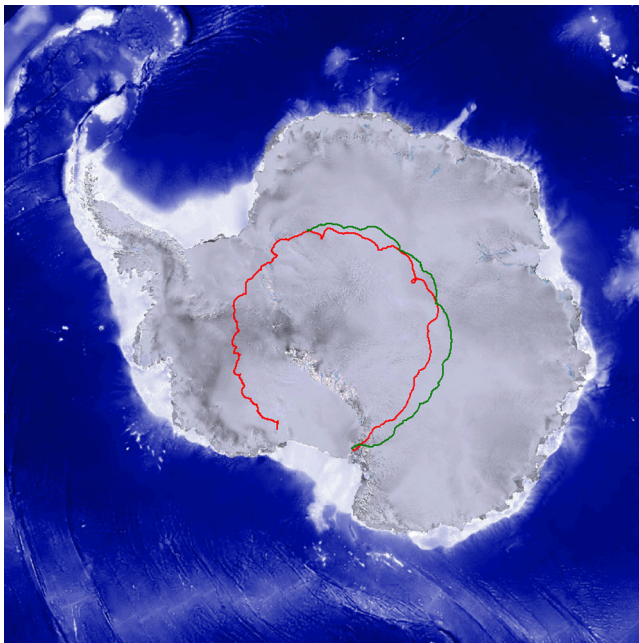


FIG. 6. HiCal-2b payload (red) vs HiCal-2a payload (green) trajectories. Note that these trajectories correspond to only those times when there was sufficient battery voltage within the MIP to telemeter GPS timestamps and also power the HiCal transmitter.

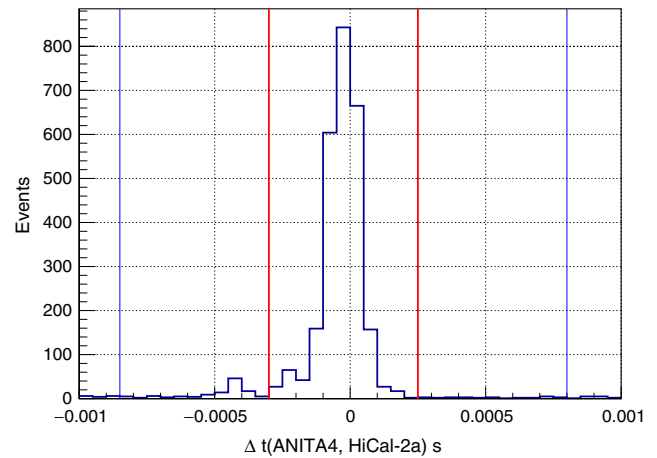


FIG. 7. Time difference between HiCal-a recorded triggers and the trigger times for recorded ANITA-4 events, corrected for signal propagation time. Region interior to red vertical lines is considered “signal”; region beyond red vertical lines is considered “sidebands” and is used to study “background” ANITA-4/HiCal-2 events having a random association only. The background-subtracted number of coincident triggers is 2524.5 ± 51.5 events; the mean \pm sigma of the central peak is -28.4 ± 65.7 microseconds.

high-bandwidth Tektronix digital scope, compared to triggers captured in-flight, are shown in Fig. 9. The waveforms for the in-flight events have a characteristic low-frequency tail, which can be removed by deconvolution of the system response in post-processing, as illustrated in Fig. 10. The deconvolution process is necessary to infer the actual shape of the waveform reflected off the Antarctic surface.

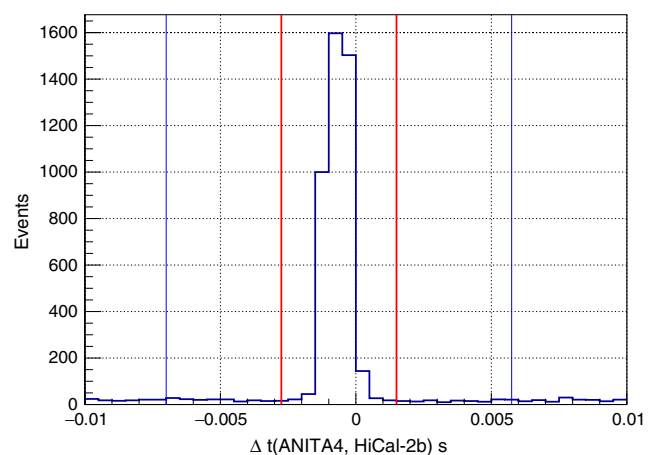


FIG. 8. Time difference between HiCal-b recorded triggers and trigger times for recorded ANITA-4 events, corrected for signal propagation time. The background-subtracted number of coincidence triggers is 4203 ± 66.8 events; the mean \pm sigma of the central peak is -667 ± 454 microseconds.

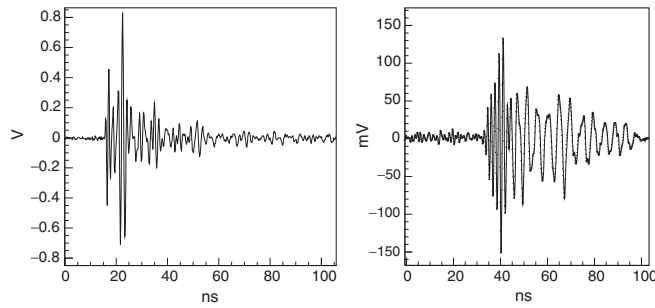


FIG. 9. Comparison of signals (volts vs nanoseconds) captured pre-flight (left) with actual ANITA-4 flight data (right). Horizontal scale units are nanoseconds. Signal tail evident at large times is largely an artifact of the ANITA-4 RF response, and can be mitigated by a de-convolution/de-dispersing method.

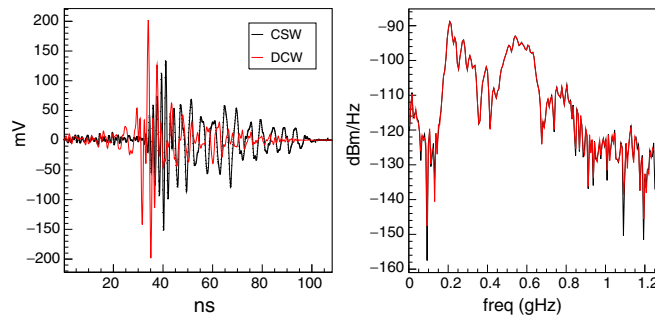


FIG. 10. HiCal received waveform, ‘coherently’ summed over nine ANITA highest-amplitude channels registering HiCal signals, prior (black, left) and after (red, left) deconvolution of ANITA-4 signal response. As indicated by the similarity of the power spectra (right), the deconvolution process corrects for frequency-dependent phase delays in the ANITA-4 signal chain, but otherwise does not change the total power spectrum of measured signals.

IV. CALCULATION: A GENERAL TREATMENT OF REFLECTION OF SPHERICAL WAVES

We seek to develop a formalism to which we can compare our experimental results. In our eventual comparison with the HiCal-2 data (Fig. 23), our expectation is calculated as the product of three terms: (i) the specular reflection coefficient from a planar surface, multiplied by (ii) a term corresponding to the signal loss due to roughness, and further multiplied by (iii) a term corresponding to the peak signal reduction due to the curvature of the Earth.

In our previous article [12], we presented initial numerical estimates of the reflectivity, as a function of incidence angle at an interface between two media with refractive indices n and n_1 . For our case, these correspond to the refractive indices of air and ice, respectively. Our current treatment, following [16], comprises a decomposition of incident signal into a sum of plane waves of different wave vectors.

We first consider the case of a flat surface and then generalize to a sphere, neglecting the Earth’s flattening at the Poles. For each plane wave, the reflected and

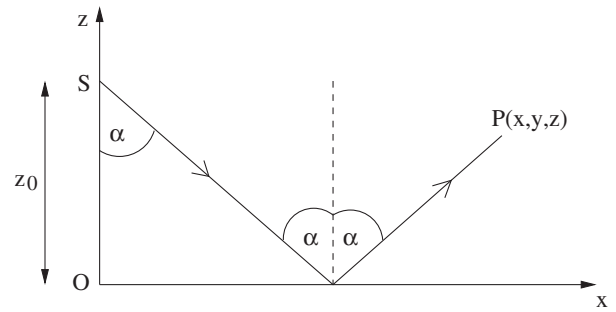


FIG. 11. Flat reflectivity calculation geometry: the source is located at S ; P represents any point with position vector $\vec{r} = (x, y, z)$ with respect to the origin O .

transmitted waves are subject to the standard boundary conditions, from which we derive the standard reflection coefficients. After determining the electric and magnetic fields associated with each plane wave, integration over all wave vectors gives the total field.

The source is taken to be a dipole radiator, located at coordinates $(0, 0, z_0)$ and pointing towards the y -axis, i.e., with a dipole moment $\hat{p} \propto \hat{y}$ as shown in Fig. 11. For comparison, the geometry for our subsequent calculation of the reflectivity for a spherical surface is shown in Fig. 12. The configuration in which the dipole points along the z -axis has been calculated in [16] for the case of a flat surface. The observer is located in the x - z plane at $P(x, 0, z)$. The surface of Earth, first assumed to be flat, coincides with the x - y plane. The Hertz potential $\vec{\Pi}$ for such a radiator at position vector $\vec{r} = (x, y, z)$ for $z > 0$ can be expressed as

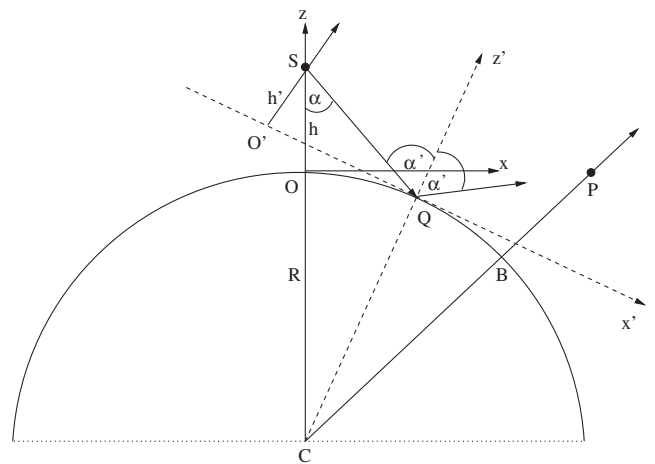


FIG. 12. Spherical reflectivity calculation geometry: The source is located at S , the observer at P and O represents the origin of the coordinate system. For a particular plane wave corresponding to spherical coordinates (α, β) we identify the point Q at which the wave vector originating from S intersects the surface of the Earth. The corresponding reflected wave is assumed to be planar, and reflecting off the tangent plane at this point. For convenience, β has been taken to be zero in this figure. Here O' refers to the origin of the transformed coordinate system and α' is the angle of reflection.

$$\Pi_y(x, y, z) = \frac{e^{ikR}}{4\pi\epsilon R} + F_1(x, y, z) \quad (1)$$

with $\Pi_x = \Pi_z = 0$ and $R = \sqrt{x^2 + y^2 + (z - z_0)^2}$. Here the first term on the right hand side represents the primary radiation and the second term (F_1) arises due to reflection.

For $0 \leq z \leq z_0$, the spherical wave can be decomposed as

$$\begin{aligned} & \frac{e^{ikR}}{R} \\ &= \frac{ik}{2\pi} \int_0^{2\pi} \int_0^{\frac{\pi}{2}-i\infty} e^{ik[x \sin \alpha \cos \beta + y \sin \alpha \sin \beta + (z_0 - z) \cos \alpha]} \sin \alpha d\alpha d\beta \end{aligned} \quad (2)$$

with α , and β spherical polar coordinates. The right hand side represents an integral over plane waves. Note that the $1/R$ dependence on the left hand side of this expression is manifest in the oscillations of the exponential argument on the right hand side, over which we integrate to determine the total signal strength at the final observation point. Alternately, we can interpret this equation as a superposition of plane waves, each with a wave vector

$$\vec{k}_I = k[\sin \alpha \cos \beta \hat{x} + \sin \alpha \sin \beta \hat{y} - \cos \alpha \hat{z}], \quad (3)$$

i.e., with a polar angle $\pi - \alpha$ and azimuthal angle β . Note that, with this notation, we must integrate over complex values of the polar angle. Using (1) and (2), we write the Hertz potential corresponding to an incident plane wave as

$$\vec{\Pi}_{\text{inc}} = \frac{ik}{8\epsilon\pi^2} \vec{\Pi} \hat{y} \quad (4)$$

where

$$\vec{\Pi} = e^{ikz_0 \cos \alpha} e^{ik(x \sin \alpha \cos \beta + y \sin \alpha \sin \beta - z \cos \alpha)}. \quad (5)$$

The electric and magnetic fields can be computed using:

$$\begin{aligned} \vec{E} &= \vec{\nabla}(\vec{\nabla} \cdot \vec{\Pi}) + k^2 \vec{\Pi} \\ \vec{H} &= \frac{k^2}{i\omega\mu} (\vec{\nabla} \times \vec{\Pi}) \end{aligned} \quad (6)$$

where ω is the angular frequency of radiation and μ is the permeability of the medium.

We are interested in the fields only in the Fraunhofer far zone, $r \gg \lambda$. The incident electric and magnetic fields are given by

$$\begin{aligned} \vec{E}_{\text{inc}} &= \frac{ik^3}{8\epsilon\pi^2} \vec{\Pi} [-\sin^2 \alpha \cos \beta \sin \beta \hat{x} \\ &+ (1 - \sin^2 \alpha \sin^2 \beta) \hat{y} + (\sin \alpha \sin \beta \cos \alpha) \hat{z}] \\ \vec{H}_{\text{inc}} &= \frac{ik^2 \omega}{8\pi^2} \vec{\Pi} [\cos \alpha \hat{x} + (\cos \beta \sin \alpha) \hat{z}]. \end{aligned} \quad (7)$$

To determine the reflected and transmitted fields, we first determine the plane of incidence for each plane wave. Next we decompose the electric and magnetic fields into

independent components parallel and perpendicular to the plane of incidence, then we integrate over the contributions from all the plane waves.

A. Reflection and transmission on a flat surface

The basic geometry for a flat reflecting surface is illustrated in Fig. 11. To compare directly with HiCal, we focus on HPol. For each incident plane wave, we project the electric and magnetic field \vec{E} and \vec{H} into two components which are perpendicular and parallel to the plane of incidence, i.e.,

$$\begin{aligned} \vec{E}_q &= \vec{E}_q^s + \vec{E}_q^p \\ \vec{H}_q &= \vec{H}_q^s + \vec{H}_q^p \end{aligned} \quad (8)$$

where the subscript q designates the incident, reflected or transmitted waves. For the electric field, \perp and \parallel components are denoted by the superscripts s and p , respectively. If the electric field lies in the plane of incidence then the corresponding magnetic field is perpendicular to this plane. Hence for the case of magnetic field, superscripts s and p denote components \parallel and \perp to the plane of incidence, respectively. We next write the unit vector normal to the plane of incidence corresponding to wave vector \vec{k}_I as

$$\hat{\eta} = l\hat{x} + m\hat{y} + n\hat{z}. \quad (9)$$

The vectors \vec{k}_I and \hat{z} lie in the plane of incidence and hence are perpendicular to $\hat{\eta}$. This implies that $n = 0$ and $(l\hat{x} + m\hat{y} + n\hat{z}) \cdot \vec{k}_I = 0$. Hence we obtain $\hat{\eta} = (-\sin \beta \hat{x} + \cos \beta \hat{y})$. The vectors \vec{E}_q^s and \vec{H}_q^p point in the direction $\hat{\eta}$.

For the incident wave, the s and p components of the electric field can be expressed as:

$$\begin{aligned} \vec{E}_{\text{inc}}^s &= \hat{\eta} [\vec{E}_{\text{inc}} \cdot \hat{\eta}] = \frac{ik^3}{8\epsilon\pi^2} \vec{\Pi} (-\cos \beta \sin \beta \hat{x} + \cos^2 \beta \hat{y}) \quad (10) \\ \vec{E}_{\text{inc}}^p &= \vec{E}_{\text{inc}} - \vec{E}_{\text{inc}}^s \\ &= \frac{ik^3}{8\epsilon\pi^2} \vec{\Pi} (\cos^2 \alpha \cos \beta \sin \beta \hat{x} \\ &+ \cos^2 \alpha \sin^2 \beta \hat{y} + \sin \alpha \cos \alpha \sin \beta \hat{z}). \end{aligned} \quad (11)$$

Similarly, the s and p components of the magnetic field are given by

$$\begin{aligned} \vec{H}_{\text{inc}}^p &= [\vec{H}_{\text{inc}} \cdot \hat{\eta}] \hat{\eta} \\ &= \frac{ik^2 \omega}{8\pi^2} \vec{\Pi} (\cos \alpha \sin^2 \beta \hat{x} - \cos \alpha \cos \beta \sin \beta \hat{y}) \quad (12) \\ \vec{H}_{\text{inc}}^s &= \vec{H}_{\text{inc}} - \vec{H}_{\text{inc}}^p \\ &= \frac{ik^2 \omega}{8\pi^2} \vec{\Pi} (\cos \alpha \cos^2 \beta \hat{x} + \cos \alpha \cos \beta \sin \beta \hat{y} \\ &+ \sin \alpha \cos \beta \hat{z}). \end{aligned} \quad (13)$$

For our case, we assume that the observer is located in the x - z plane. In order to determine the reflected and transmitted waves, we treat contributions from different \vec{k}_l separately. The s component of the reflected wave is straightforward. We obtain

$$\vec{E}_{\text{ref}}^s = f_r^s \frac{ik^3}{8\epsilon\pi^2} \tilde{\Pi}_{\text{ref}} (-\cos\beta \sin\beta\hat{x} + \cos^2\beta\hat{y}) \quad (14)$$

where

$$\tilde{\Pi}_{\text{ref}} = e^{ikz_0 \cos\alpha} e^{ik(x \sin\alpha \cos\beta + y \sin\alpha \sin\beta + z \cos\alpha)}. \quad (15)$$

For the p component we need to reverse the signs of the x and y components of Eq. (11), leading to:

$$\begin{aligned} \vec{E}_{\text{ref}}^p = f_r^p \frac{ik^3}{8\epsilon\pi^2} \tilde{\Pi}_{\text{ref}} &(-\cos^2\alpha \cos\beta \sin\beta\hat{x} - \cos^2\alpha \sin^2\beta\hat{y} \\ &+ \sin\alpha \cos\alpha \sin\beta\hat{z}) \end{aligned} \quad (16)$$

Similarly,

$$\vec{H}_{\text{ref}}^p = f_r^p \frac{ik^2\omega}{8\pi^2} \tilde{\Pi}_{\text{ref}} (\cos\alpha \sin^2\beta\hat{x} - \cos\alpha \cos\beta \sin\beta\hat{y}) \quad (17)$$

and

$$\begin{aligned} \vec{H}_{\text{ref}}^s = f_r^s \frac{ik^2\omega}{8\pi^2} \tilde{\Pi}_{\text{ref}} &(-\cos\alpha \cos^2\beta\hat{x} - \cos\alpha \cos\beta \sin\beta\hat{y} \\ &+ \sin\alpha \cos\beta\hat{z}). \end{aligned} \quad (18)$$

where f_r^s and f_r^p are the reflection coefficients corresponding to the s and p components of the reflected fields, respectively.

The corresponding transmitted fields \vec{E}_{trans}^s , \vec{E}_{trans}^p , \vec{H}_{trans}^s and \vec{H}_{trans}^p are obtained by the standard procedure. These have the same form as the incident wave with k and ϵ replaced by k_1 and ϵ_1 , respectively and are given by

$$\vec{E}_{\text{trans}}^s = f_t^s \frac{ik_1^3}{8\epsilon_1\pi^2} (-\cos\beta_t \sin\beta_t\hat{x} + \cos^2\beta_t\hat{y}) \tilde{\Pi}_t \quad (19)$$

$$\begin{aligned} \vec{E}_{\text{trans}}^p = f_t^p \frac{ik_1^3}{8\epsilon_1\pi^2} &(\cos^2\alpha_t \cos\beta_t \sin\beta_t\hat{x} + \cos^2\alpha_t \sin^2\beta_t\hat{y} \\ &+ \cos\alpha_t \sin\alpha_t \sin\beta_t\hat{z}) \tilde{\Pi}_t \end{aligned} \quad (20)$$

where

$$\tilde{\Pi}_t = e^{ikz_0 \cos\alpha} e^{ik_1(x \sin\alpha_t \cos\beta_t + y \sin\alpha_t \sin\beta_t - z \cos\alpha_t)} \quad (21)$$

$$\vec{k}_t = k_1 [\sin\alpha_t \cos\beta_t\hat{x} + \sin\alpha_t \sin\beta_t\hat{y} - \cos\alpha_t\hat{z}], \quad (22)$$

i.e., the transmitted wave vector \vec{k}_t has a polar angle $\pi - \alpha_t$ and azimuthal angle β_t .

We point out that in the constant term, $e^{ikz_0 \cos\alpha}$, in $\tilde{\Pi}_t$, the exponent is proportional to k and not k_1 . The overall normalization of this term is contained in the reflection coefficients f_t^s and f_t^p which are fixed by the boundary conditions. The corresponding expressions for the transmitted magnetic fields can be written as

$$\vec{H}_{\text{trans}}^p = f_t^p \frac{ik_1^2\omega}{8\pi^2} (\cos\alpha_t \sin^2\beta_t\hat{x} - \cos\alpha_t \cos\beta_t \sin\beta_t\hat{y}) \tilde{\Pi}_t, \quad (23)$$

and

$$\begin{aligned} \vec{H}_{\text{trans}}^s = \vec{H}_{\text{trans}} - \vec{H}_{\text{trans}}^p \\ = f_t^s \frac{ik_1^2\omega}{8\pi^2} (\cos\alpha_t \cos^2\beta_t\hat{x} + \cos\alpha_t \cos\beta_t \sin\beta_t\hat{y} \\ + \sin\alpha_t \cos\beta_t\hat{z}) \tilde{\Pi}_t. \end{aligned} \quad (24)$$

In order to determine the reflection coefficients, we next impose the boundary conditions at the $z = 0$ interface on each field components as given in Appendix A. Using the reflection coefficients computed in that Appendix A, we compute the s and p components of reflected and transmitted fields for each plane wave. Adding Eqs. (14) and (16), we find the total reflected electric field for each plane wave. Since we are interested only in the perpendicular component, we consider only the y -component of the reflected field, obtained by integrating over the angles α and β , as

$$\begin{aligned} E_{(\text{ref}),y} = \frac{ik^3}{8\epsilon\pi^2} \int_0^{2\pi} \int_0^{\frac{\pi}{2}-i\infty} \tilde{\Pi}_{\text{ref}} &(f_r^s \cos^2\beta \\ &- f_r^p \cos^2\alpha \sin^2\beta) \sin\alpha d\alpha d\beta. \end{aligned} \quad (25)$$

Similarly, we add Eqs. (19) and (20) to get the transmitted electric field for each plane wave. The final expression for the y -component of transmitted electric field is given by

$$\begin{aligned} E_{(\text{trans}),y} = \frac{ik_1^3}{8\epsilon_1\pi^2} \int_0^{2\pi} \int_0^{\frac{\pi}{2}-i\infty} \tilde{\Pi}_t &(f_t^s \cos^2\beta_t \\ &+ f_t^p \cos^2\alpha_t \sin^2\beta_t) \sin\alpha d\alpha d\beta. \end{aligned} \quad (26)$$

We compute the reflection coefficient numerically as a function of the specular angle by setting the altitude of both the source and observer to be 37 km, appropriate for HiCal-2 broadcasting to ANITA-4 at float altitude. For proper comparison we set the distance of propagation of the incident wave to be same as that of the reflected wave. The resulting value of the reflection coefficient is found to be same as that for Fresnel reflection, independent of frequency.

B. Reflection and transmission at a spherical surface

In this section, we derive the reflection coefficients for a spherical interface between air and ice. The source S is again assumed to be a dipole located at an altitude of h . In a Cartesian coordinate system centered at O , the transmitter coordinates are $(0, 0, z_0)$ with $z_0 = h$ (see Fig. 12). As in the case of a flat surface, we again decompose the spherical wave in terms of plane waves. In contrast to the case of a flat surface, the reflected wave corresponding to each incident plane wave will not be a plane wave. However since the curvature is small it may be reasonable to approximate it as a plane wave. This is justified by the observation, as discussed in more detail later, that the dominant contribution to the reflected wave arises from a small angular region near the specular point. For each plane wave corresponding to spherical polar angles (α, β) , we identify a point Q on the spherical surface where the wave vector from the source S intersects the surface (see Fig. 12). We next assume that the reflection and refraction occurs on the plane tangent to Q . Detailed derivation of reflection coefficients and the components of the field are given in Appendix B.

Since we are interested only in the perpendicular component, we consider only the y-component of the electric field. For each plane wave we obtain the y-component of \vec{E}_{ref} for a smooth spherical surface as

$$E_{\text{ref},y} = \frac{1}{2} \frac{ik^3}{8\epsilon\pi^2} \tilde{\Pi}_{S,r} [f_r'^s (1 + \cos 2\beta) - f_r'^p \cos \alpha \cos(2\alpha' - \alpha)(1 - \cos 2\beta)]. \quad (27)$$

Similarly we write the y-component of \vec{E}_{trans} as

$$E_{\text{trans},y} = \frac{1}{2} \frac{ik_1^3}{8\epsilon_1\pi^2} \tilde{\Pi}_{S,t} [f_t'^s (1 + \cos 2\beta_t) + f_t'^p \cos \alpha_t \cos(\alpha - \alpha' + \alpha'_t)(1 - \cos 2\beta_t)]. \quad (28)$$

We compute the y-component of the total reflected field by integrating Eq. (27) over $d\Omega = \sin \alpha d\alpha d\beta$. It is convenient to define $\lambda = k \sin \alpha$. We divide the integral over λ into 3 regions: (i) $0 \leq \lambda < \frac{kR}{R+h}$, (ii) $\frac{kR}{R+h} \leq \lambda < \frac{k_1R}{R+h}$, (iii) $\lambda \geq \frac{k_1R}{R+h}$. Region (i) gives the dominant contribution. The contribution from other two regions is found to be negligible. The result obtained for the amplitude ratio, choosing a surface index-of-refraction $n = 1.4$ and frequency $f = 200$ MHz, is shown in Fig. 13. The result for the flat surface is shown for comparison. This result is relatively insensitive to frequency and shows only a mild increase with the refractive index for small values of elevation angle. Since the ratio oscillates rapidly with elevation angle, we show only the maxima, minima and the average of these oscillations in Fig. 13. For comparison with data, we should use the mean value. In any case, as discussed below, once roughness corrections are included, the fluctuations disappear.

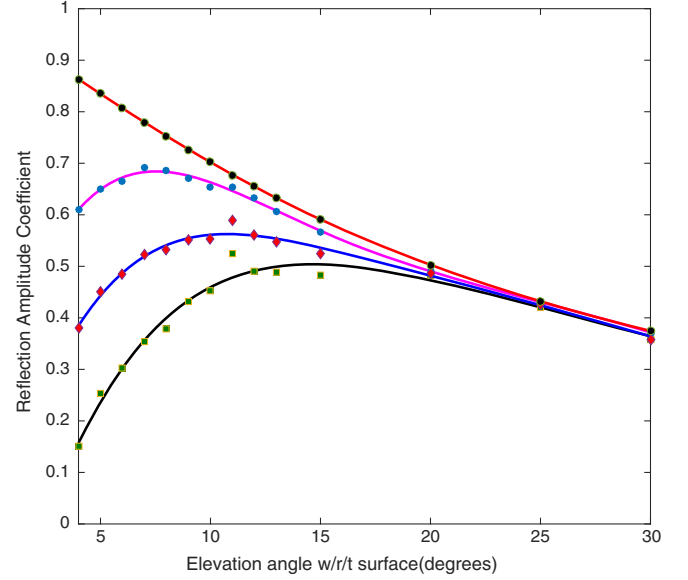


FIG. 13. The calculated ($n = 1.4$, $f = 200$ MHz, spherical reflection, no roughness) ratio $\sqrt{r/d}$, with r and d the reflected and direct power respectively, as a function of elevation angle relative to the surface. Since the ratio oscillates rapidly with elevation angle, here we show only the maxima (violet curve), minima (black curve) and the average (blue curve) of these oscillations. The points shown in the plot are the result of a direct numerical calculation and the smooth curves are obtained by making a polynomial fit. The result for a flat surface (red curve, black dots) is shown for comparison.

C. Roughness correction

The roughness contribution is computed by using the model [17]

$$F(k, \rho, \theta) = \exp[-2k^2 \sigma_h(\rho_\perp)^2 \cos^2 \theta_z] \quad (29)$$

where $\rho_\perp^2 = x_\perp^2 + y_\perp^2$ and θ_z is the reflection angle (with respect to the normal at the point of reflection)

$$\sigma_h(L) = \sigma_h(L_0) \left(\frac{L}{L_0} \right)^H. \quad (30)$$

We choose the parameters $L_0 = 150$ m, $\sigma_h(150 \text{ m}) = 0.041$ m and $H = 0.65$ which are found to give reasonable agreement with data. We include the roughness factor [Eq. (29)] with the expression for y-component of reflected field (Eq. (27)) and obtain the y-component of \vec{E}_{ref} for each plane wave as

$$E_{\text{ref},y} = \frac{1}{2} \frac{ik^3}{8\epsilon\pi^2} \tilde{\Pi}_{S,r} F(k, \rho, \theta) [f_r'^s (1 + \cos 2\beta) - f_r'^p \cos \alpha \cos(2\alpha' - \alpha)(1 - \cos 2\beta)]. \quad (31)$$

As in the case of a smooth spherical surface, we compute the y-component of the total reflected field for

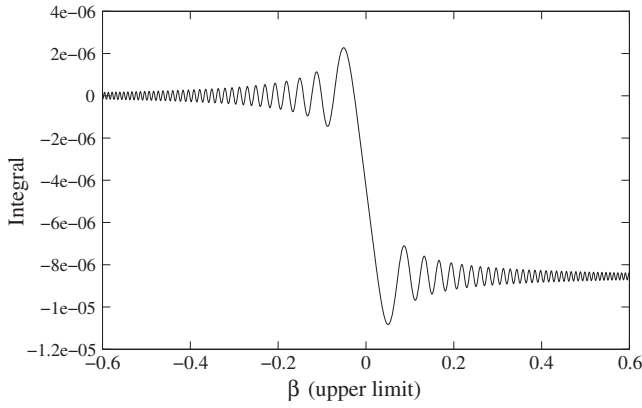


FIG. 14. Dependence of the integrated real part of the electric field on the integration upper limit on the angle β . The lower limit has been set equal to a large negative value. In this case the elevation angle has been set equal to 10 degrees. We have set $f = 500$ MHz, $n = 1.4$ and the roughness as given in text.

an uneven spherical surface by integrating Eq. (31) over $d\Omega = \sin\alpha d\alpha d\beta$. We also find the power reflectance ratio for a spherical rough surface. In this case we do not observe any oscillations and the power ratio varies smoothly with elevation angle. We find that the contribution is obtained dominantly from a small region close to the specular point (this is true in the zero roughness case, as well) and hence we can confine the integration to this region. We see this explicitly in Fig. 14 which shows the integral as a function of the upper limit on the azimuthal angle β . The same is found for the case of angle α where the dominant contributions arise from a small region around $\beta \approx 0$. The oscillations seen in Fig. 14 arise due to the change in path lengths as we integrate over angle β across the different fresnel zones.

As discussed below, our numerical result for a spherical rough surface deviates from HiCal2 data for small elevation angle. The deviation from the data can be attributed to our assumption that for each incident plane wave, the corresponding reflected wave is also a plane wave. A more general treatment is under development that does not rely on this assumption. Here we also use an alternate formalism in which the curvature correction is incorporated as a geometric factor.

D. Alternative treatment for calculating power reflectance for a spherical surface

We can also incorporate the effects due to curvature of Earth by using the divergence factor D [18] given by,

$$D \approx \left[1 + \frac{2ss'}{Rd \tan \psi} \right]^{-\frac{1}{2}} \quad (32)$$

where ψ is the reflection angle (with respect to the tangent at the point of reflection).

R = radius of Earth

d = arc length along the surface of Earth between the source and observation point (OB) as given in Fig. 12.

s = distance between the specular point and the observation point.

s' = distance between the source and the specular point.

We compute the flat surface amplitude reflectance including the roughness correction given in IV C. This result is then multiplied by the divergence factor D . The square of this result gives the final power reflectance. As discussed below, this treatment gives better agreement with HiCal2 data for all elevation angles.

V. EXPERIMENTAL RESULTS

To determine the reflectivity from the interferometric maps formed from ANITA event triggers, we follow three, parallel strategies, and interpret the scatter between the three results as a measure of the inherent systematic errors. In each case, we initially select event pairs with trigger times consistent with the time separation expected for (Reflected, Direct) (designated as “(R,D)”) pairs, and geometrically consistent with the known sky location of HiCal to within 3 degrees in azimuth and also within 3 degrees in elevation, either above the horizon (direct events) or below the horizon (reflected events). The directional ANITA interferometric source reconstruction relies on excellent channel-to-channel timing resolution (< 100 ps) to find the pixel in the sky interferometric map most consistent with the measured relative arrival times for received signals. From high Signal-to-Noise Ratio (SNR) data taken while a ground pulser was transmitting from the Antarctic West Antarctic Ice Station (WAIS), the typical resolution in azimuth ϕ and elevation θ is determined to be of order $\sigma_\phi \sim 0.2^\circ$ and $\sigma_\theta \sim 0.4^\circ$.

Once the candidate sample has been chosen by pointing and timing, we evaluate the reflectivity \mathcal{R} as follows:

- (1) \mathcal{R} determined from coherently-summed, de-dispersed waveforms: For the sample of both R and D events, we form the coherently-summed waveform (i.e., the summed waveform of those channels used to form the interferometric map, after shifting each waveform by the time delay expected for that sky pixel found to give the maximum total cross-correlation), after deconvolving the system response. The coherently-summed, deconvolved waveform is now Fourier transformed into the frequency domain, and the D, or R power calculated in each bin of incidence angle, summing over the “good” 200–650 MHz system bandwidth for ANITA-4.
- (2) \mathcal{R} determined from raw waveforms—here, we follow the same procedure as used for the HiCal-1 analysis, namely: Identify the ANITA-4 antennas pointing to within 45 degrees of the HiCal payload, then calculate the noise-subtracted HPol power in each antenna (summing the squares of the voltages,

and using the first 64 samples in the captured waveform, prior to the onset of the received signal to measure noise) separately for R vs D.

- (3) \mathcal{R} calculated from the slope of R vs D: To ensure that our calculated ratio is insensitive to either trigger threshold biases for low-amplitude reflected events, or saturation effects for high-amplitude direct events, we plot the square of the peak of the maximum Hilbert transformed voltage for R vs D, and fit the slope of this graph over the central interval to the form $R = \mathcal{R}D$, constraining the fit to pass through the point (0,0). Owing to the rotation of the transmitter payload, even in the case of perfect resolution, the signal strength will vary from event-to-event.

A. Corrections

Corrections must be applied to the ‘raw’ values of \mathcal{R} given by the above prescriptions, as follows.

1. Receiver cant angle correction

The ANITA-4 receiver antennas are canted at 10 degrees below the horizontal to favor reception of upcoming signals resulting from in-ice neutrino interactions. This results in a calculable correction, as a function of incidence angle, for D vs R events, assuming a beam-width $\sigma = 26$ degrees for the ANITA Seavey Quad-Ridge receiver horn antennas.

2. Pathlength correction

There is a straightforward correction due to the $1/r$ diminution of the electric field strength with distance from the source, corresponding to $1/r^2$ diminution in power, which is different for R vs D. This correction can be as large as 25% at separation distances smaller than ~ 150 km.

3. Azimuthal correction

Since the beam pattern of the bicone transmitter roughly follows $\sin \theta$, with θ the angle between the signal emission direction and the transmitter antenna axis, the gain when antenna boresight is rotated by a given azimuthal angle relative to ANITA is different for the D vs R signals—in the limit where boresight points perpendicular to ANITA (i.e., ANITA lies along the antenna axis), e.g., the D gain for HPol signals should be zero, whereas the R HPol gain is nonzero, since the R signal is emitted at some separation-dependent angle below the horizontal plane. In such a case, the D signal is (in principle) pure VPol.

B. Cross-checks

1. Check of signal polarization

We have conducted several cross-checks of our observed signals. The most direct cross-check of true reflected signals vs direct signals is the expected π radian phase change upon reflection, in the case where the reflecting surface has a higher

index-of-refraction than the medium from which the initial signal is incident—this is true of both HPol, as well as VPol electromagnetic waves. To test this, we compare the correlation coefficient when we cross-correlate the observed putative reflected signal with the direct signal vs an ‘inverted’ (in this case, by taking the negative of the actual recorded waveform voltages) reflected signal cross-correlated with the direct signal. We find that cross-correlation with the inverted signal is favored in $\approx 99\%$ of the cases.

2. Possibility of ‘ripple’ signals

Owing to imperfect impedance match over the full frequency band of the bicone antenna, the large, multi-kV piezo-electric signal induced across the antenna feed-point can result in ‘ringing’ that persists considerably longer than the 110 ns time scale of a typical ANITA-4 event capture. Additionally, the oscillatory relaxation of the piezo can result in after-pulses, separated by several hundred ns. Since the ANITA-4 buffer depth allows only a maximum of four waveforms stored in memory at a given time, this raises the possibility of registering an initial direct event, followed by successive direct ‘echoes’ over the next few microseconds, and thereby initiating a full system clear and reset when the four-allowed buffers are filled. The time scale for the reset (~ 10 ms) suppresses the registration of the reflected signal by ANITA.

For HiCal-1b, such an effect was searched for using the sample of 100 (D,R) pairs by considering the angular difference between a putative D event and the previous event trigger, with no such obvious effects observed. To investigate this for HiCal-2b vs HiCal-2a, we plot the time between successive triggers $\delta(t_{i,j})$ for (a) cases where the HiCal piezo was active, and for which there is a candidate D event identified by pointing, vs (b) cases where the HiCal piezo was active, but there is no evident HiCal D event. As shown in Figs. 15 and 16, restricting consideration to $\delta(t_{i,j})$ values smaller than the minimum possible R-D time difference, we observe a considerable excess of evident ‘echoes’, relative to background, for HiCal-2b compared to HiCal-2a, consistent with secondary pulses observed from the HiCal-2b piezo pre-flight, and clustering around a period of 600–700 ns. To summarize, when the pulser is pulsing (or, alternately, the HiCal motor that drives the pulser is ‘ON’), the data distribution of time intervals between successive events shows an excess for HiCal-b (but not HiCal-a), relative to the same distribution, when the motor is OFF. However, since the pulser is being activated with a period of several seconds, this indicates that we are seeing much faster ‘ripple’ pulses from (nominally) a single piezo excitation.

We attribute the bulk of the observed unpaired D-events to this effect, with the remainder due to cases where the D-event fills the fourth available buffer, initiating a reset prior to registration of the corresponding R-event. Fortunately, these events can be readily suppressed in software by

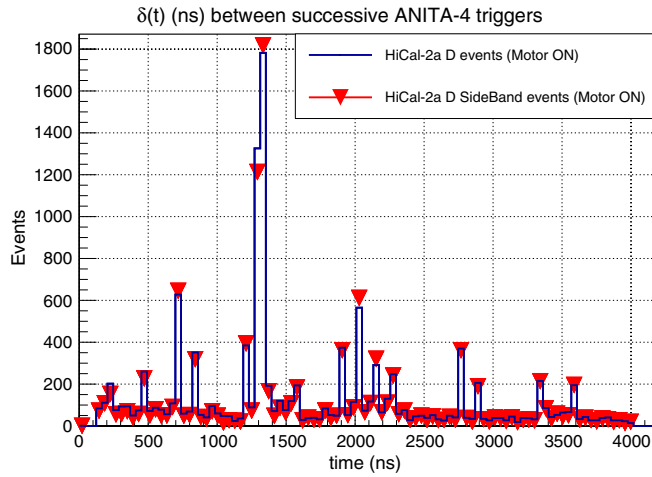


FIG. 15. Time difference between successive ANITA-4 triggers for HiCal-2a D-events (histogram) vs estimated HiCal-2a D-background (triangles). The latter data set is comprised of ‘sideband’ events inconsistent with the expected propagation time from HiCal-2a \rightarrow ANITA-4. The good agreement between the two samples indicates that there are no ‘after-pulses’ associated with true HiCal-2a Direct events.

requiring that a) the time difference, measured at the ANITA-4 payload, between the recorded R event and the putative D predecessor be consistent with expectation, knowing the elevation of HiCal-2b and ANITA-4 and the separation distance, and b) explicitly suppressing events pointing directly at HiCal-2b, for which the previous event also points directly at HiCal-2b.

To check any systematic bias from this effect, the reflection coefficients are calculated separately for HiCal-2a vs HiCal-2b, giving self-consistent numerical results.

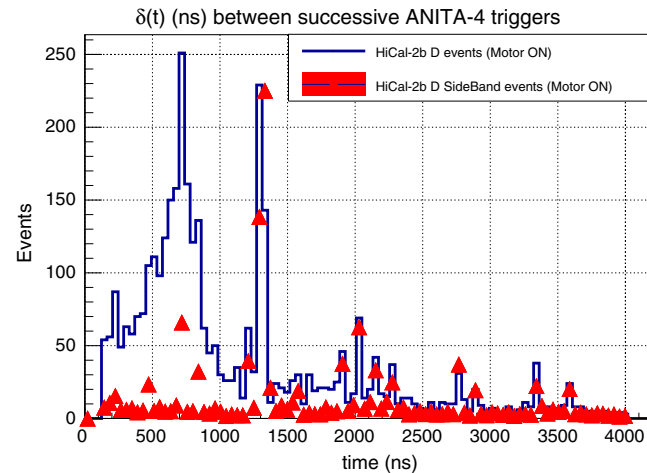


FIG. 16. Time difference between successive ANITA-4 triggers for HiCal-2b D-events (histogram) vs estimated HiCal-2b D-background (triangles). The excess present in the former sample, relative to the latter, suggests that many of the apparent ANITA-4 triggers which occur very soon after a D-event may be due to after-pulses generated by the HiCal-2b transmitter.

3. Check of ANITA-4 pointing resolution

If we require that the observed time difference between an ANITA-4 trigger and a HiCal-2 event be consistent with the calculated signal transit time between ANITA and HiCal, we can then measure the angular pointing resolution of the ANITA-4 gondola relative to the HiCal biconical transmitter source, as shown in Fig. 17, indicating a resolution (FWHM) better than one degree, slightly worse than the resolution obtained from ground pulser data. Note that this includes both direct, as well as reflected events, both of which evidently follow a Gaussian distribution with relatively little indication of non-Gaussian tails.

4. Check of transmitter antenna beam pattern

Our bicone transmitter antenna is expected to follow a $\sin \theta$ signal amplitude distribution, measured relative to the antenna axis. This corresponds to a $\sin^2 \theta$ signal power distribution, as derived from the interferometric map, which itself is computed as the summed product of signal amplitudes. As shown in Fig. 18, we observe generally adequate match to expectation. We note that the phase of the overlaid fit has been, in this case, fixed, so there are no free parameters in the fit.

5. Trigger threshold considerations

The last of our three signal extraction techniques is intended to safeguard against possible trigger threshold effects, since at low signal amplitudes, there may be a possible bias against D/R pairs for which the D power is just above, but the R power falls just below the trigger threshold. In the previous analysis, this effect was studied using the observed D and R events, and verifying that both distributions were well separated from the trigger threshold, as defined by the power distribution for thermal triggers.

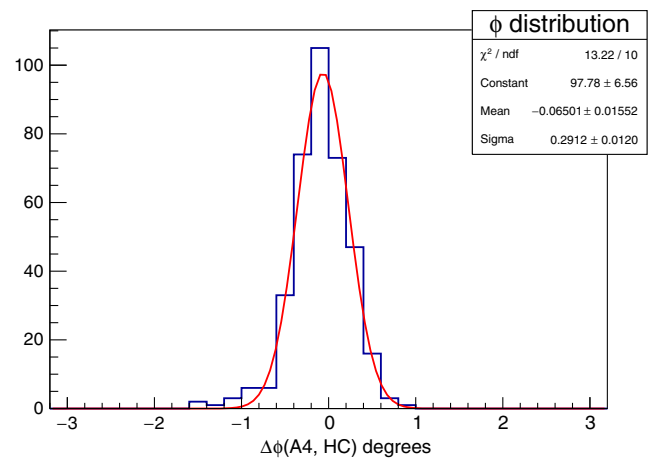


FIG. 17. Difference between reconstructed source azimuth and true source azimuth for HiCal-2a events, including both direct as well as reflected events. The azimuthal resolution for ANITA can be inferred from this plot to be 0.29 degrees (sigma).

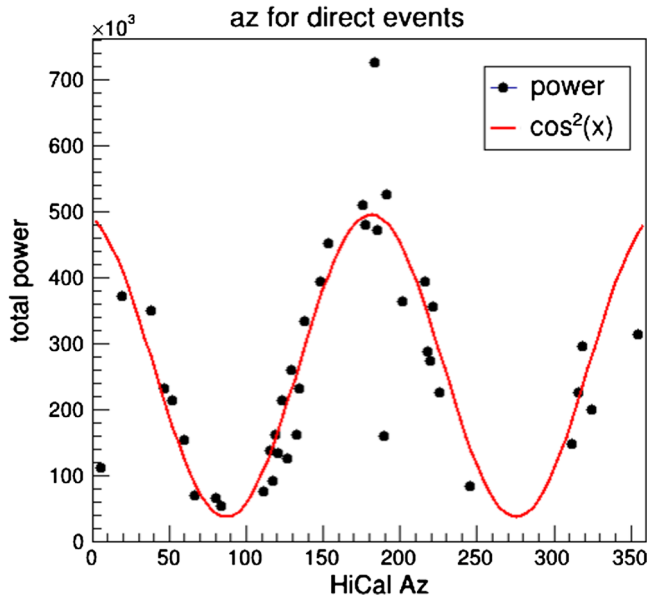


FIG. 18. Recorded HiCal-2 direct signal power (data points), as a function of tabulated HiCal-2 azimuth, overlaid with expected functional variation, assuming a standard dipole transmitter beam pattern.

It was additionally tested by verifying that the ratio of R signal to D signal power was linear for all measured pairs.

With HiCal-2, there are sufficient statistics to study this in greater detail and compare the power distribution for R/D paired events, as well as D events which are unpaired. For cases where ANITA-4 is off-boresight, or the separation distance between ANITA-4 and HiCal-2 large, the D signal will be correspondingly reduced, and the R signal may be sub trigger-threshold, resulting in an artificially “inflated” measurement. The paired R signal, however, on which our measurement is based, is found to be well separated from thermal triggers (Fig. 19). As an additional

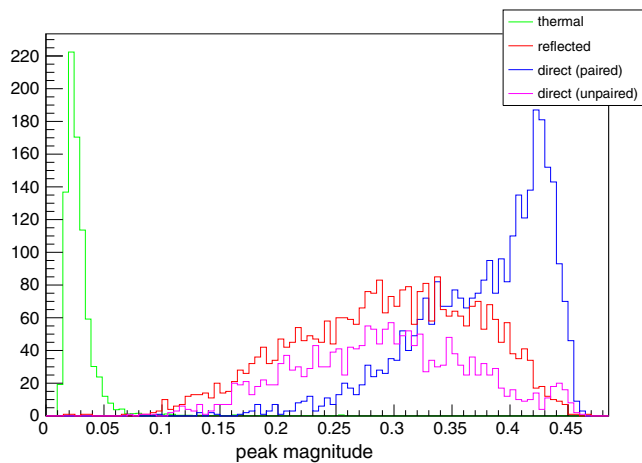


FIG. 19. Comparison of signal power for paired D events and paired R events, overlaid with unpaired D events and also a sample of thermal noise triggers. We observe that the paired R distribution is well separated from the thermal noise distribution and therefore far above the trigger threshold.

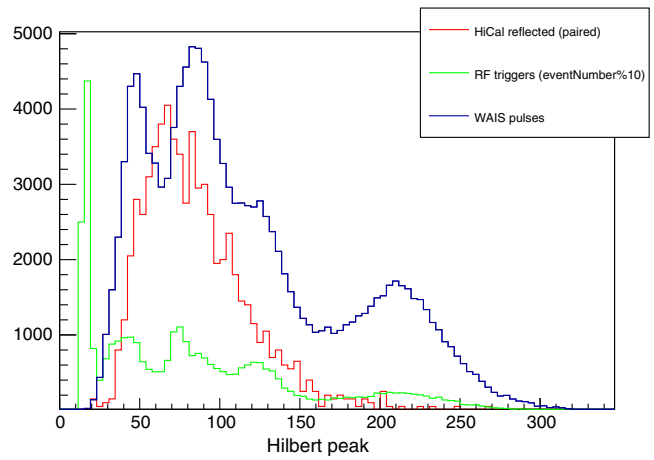


FIG. 20. Signal strength comparison for reflected events with WAIS calibration pulser sample. The green data (“RF triggers”) are a combination of thermal noise (left side of the distribution) and also anthropogenic noise (at larger Hilbert peak values).

check, we compare the paired R distribution with ground calibration data taken using a transmitter pulser at the West Antarctic Ice Sheet (WAIS) station (Fig. 20), again indicating signal strengths well separated from threshold. The WAIS calibration pulser events provide a useful reference, in the main, as they are the primary calibration reference for the ANITA experiment overall, and correspond to a known signal of repeatable signal strength. As a final check, we show the plot of reflected power vs direct power, for the angular interval showing the greatest discrepancy between measurement and calculation (5–10 degrees incidence with respect to the surface) in Fig. 21. We observe saturation at the highest values of Direct power, although we do not observe an obvious deviation from linearity close to the origin. The lack of similar saturation at high values of Reflected power is due, at least in part, to the buffer depth limitations mentioned previously.

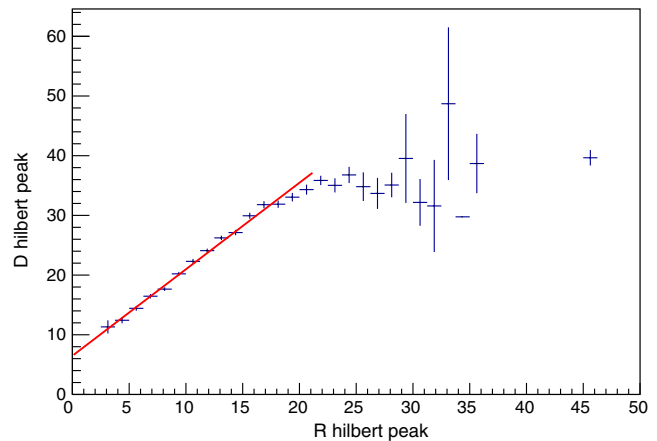


FIG. 21. Reflected power vs direct power for events in 5–10 degree angular regime, illustrating linearity in the region below the saturation plateau (to the right of the graph).

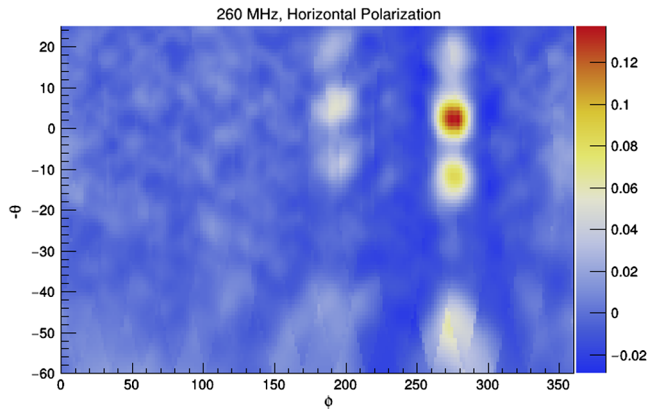


FIG. 22. ANITA-3 interferometric reconstruction of military satellite broadcasting at 260 MHz (HPol). Direct and surface-reflected signals are observable both above and below the horizontal (0° in the figure).

C. Further probes of reflectivity

Thus far, surface reflectivity has been probed using the two HiCal missions and also using continuous Solar emissions as the RF source. The ratio of direct signal power in HPol to VPol in the two cases is approximately 10:1 and 1:1, respectively. The former comprises a triggerable, $\mathcal{O}(10)$ ns signal, while the Solar signal is (obviously) constant and immune to trigger threshold effects. At the time ANITA-3 flew, one of the most pernicious backgrounds was that due to US military satellites, broadcasting at both 260 MHz and 370 MHz, with an SNR comparable to HiCal. Introduction of adaptive frequency filtering in ANITA-4 (“Tunable Frequency Filtering”, or “TUFF” [19]) successfully suppressed this background; nevertheless, the narrow band nature of these satellites offers the possibility of determining the surface reflectivity at a single, fixed frequency value. As shown in Fig. 22, we can clearly see both the direct and reflected signals due to these satellites; the inferred values of HPol reflection coefficient are: (a) 0.52 ± 0.17 for 260 MHz ($\theta_i \sim 8^\circ$) and (b) 0.35 ± 0.15 for 370 MHz ($\theta_i \sim 6^\circ$). These values are preliminary-only and are presented, at this stage, only as a semi-quantitative cross-check of the HiCal-2 results presented herein.

D. Results summary

Our reflectivity results are summarized in Fig. 23. We note generally good agreement between the HiCal-2a and HiCal-2b flights and reasonable agreement with the results, at highly oblique incidence angles, obtained with the HiCal-1b mission. We also note that, the distinct difference in the nature of the emission (pulsed vs continuous) notwithstanding, the HiCal-2 results also follow the general trend traced by measurements of the Solar RF signals (both direct, and reflected), as obtained with both the ANITA-2 and also ANITA-3 experiments. The black dashed line shown in the figure corresponds to our flat-surface calculation including a roughness correction, and with the

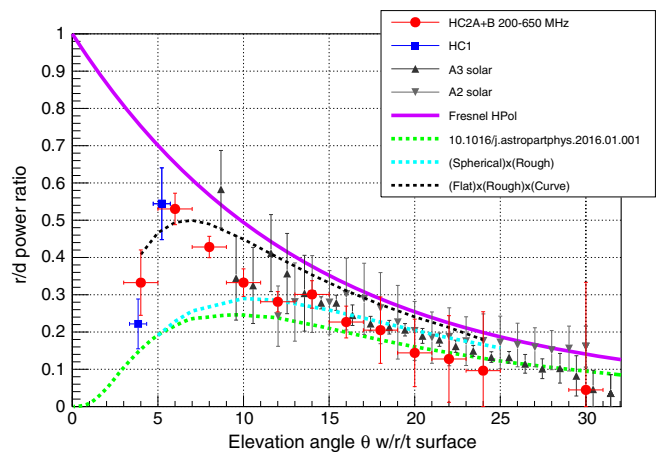


FIG. 23. Summary of HiCal results, compared with HiCal-1b results and calculation. Red points represent the average of the different techniques used to estimate the reflectivity. “A2” and “A3” Solar refer to reflectivity measurements derived from ANITA-3 and ANITA-2 observations of the sun. As with HiCal-1 and Solar measurements, error bars correspond to the widths of R/D distributions and are taken to be indicative of the scale of inherent systematic errors. Green line data are derived from [11].

curvature contribution [18] included with a multiplicative divergence factor discussed in Sec. IV D. The roughness parameters used in this calculation are $L_0 = 120$ m, $H = 0.65$, $\sigma_h(L_0) = 0.051$ m, and the frequency has been set equal to 240 MHz. The cyan curve uses the spherical-surface calculation described in Sec. IV B including the same roughness correction. In this calculation, we average over the frequency range 200 to 650 MHz and the roughness parameters used are $L_0 = 150$ m, $H = 0.65$, $\sigma_h(L_0) = 0.041$ m which have been chosen to provide reasonable agreement with data for elevation angles larger than 10 degrees. Although in agreement with data over the bulk of the relevant angular regime, at small elevation angles, our own spherical surface calculation, modulo roughness, still underestimates the reflected signal power relative to data. This discrepancy should be resolved with improved calculations (in progress).

We reiterate that the prediction shown, schematically, is equivalent to the product of three terms: (i) the specular reflection coefficient from a planar surface, (ii) a term corresponding to the signal loss due to roughness, and (iii) a term corresponding to the signal loss due to the curvature of the Earth.

We note at least one physical difference between the reflector modeled for the purposes of calculation, and the actual physical reflector—namely, the reflecting boundary layer is not uniform; HiCal signals penetrating up to 2 wavelengths into the snow still have some contribution to the final, observed triggered events. This corresponding 2–3 meter depth of snow also includes seasonal ‘crusts’ with a local dielectric contrast of order 0.001, which will act as discrete reflecting layers. A full Huygens-

wavelet inspired simulation of these effects is currently underway.

VI. OUTLOOK AND SUMMARY

Data accumulated with the HiCal-1 and HiCal-2 missions has allowed a fairly comprehensive mapping of the HPol Antarctic surface reflectivity, over the range of incidence angles relevant to radio-based UHECR measurements. Of greater relevance to neutrino detection, however, is the vertical polarization surface transmissivity, which can be inferred as the complement to surface reflectivity. Five obvious goals for a future HiCal-3 mission are as follows: (a) equip the payload with an ADC capable of measuring HPol signal returns at normal incidence from the surface and provide reflectivity data independent of ANITA-5, (b) include Solar power provision to extend the lifetime of the measurements, and also offer the possibility of surface reflectivity measurements over sea water, (c) tie the transmitter signal to the GPS second using a triggerable pulser design based, e.g., on a fast DC \rightarrow DC step-up conversion, (d) inclusion of VPOL data collection capabilities, (e) attitude (i.e., polar angle) orientation monitoring, and (f) a sharper time-domain signal to better match ANITA UHECR radio signal measurements. The time scale of the ANITA-5 flight (December, 2020) should allow ample time for the HiCal-3 hardware development.

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APPENDIX A: DERIVATION OF A REFLECTION COEFFICIENT AT A FLAT SURFACE

We impose boundary conditions at the $z = 0$ interface on each field component. The exponential factors lead to the standard conditions:

$$k \sin \alpha = k_1 \sin \alpha_t, \quad \beta = \beta_t. \quad (\text{A1})$$

The continuity of the electric field components parallel to the surface imply that the x and y components are continuous, i.e.,

$$\vec{E}_{(\text{trans}),x,y}^p = \vec{E}_{(\text{inc}),x,y}^p + \vec{E}_{(\text{ref}),x,y}^p.$$

The perpendicular components follow:

$$\epsilon_1 \vec{E}_{\text{trans},z}^p = \epsilon [\vec{E}_{\text{inc},z}^p + \vec{E}_{\text{ref},z}^p].$$

The perpendicular components of the magnetic field are continuous at the interface and the parallel components satisfy

$$\mu_1 \vec{H}_{(\text{trans}),x,y}^p = \mu [\vec{H}_{(\text{inc}),x,y}^p + \vec{H}_{(\text{ref}),x,y}^p].$$

Here we shall assume $\mu_1 = \mu$. These conditions lead to

$$(1 - f_r^p) = f_t^p \frac{k_1 \cos^2 \alpha_t}{k \cos^2 \alpha} \quad (\text{A2})$$

$$(1 + f_r^p) = f_t^p \frac{k_1^3 \cos \alpha_t \sin \alpha_t}{k^3 \cos \alpha \sin \alpha} = f_t^p \frac{k_1^2 \cos \alpha_t}{k^2 \cos \alpha}. \quad (\text{A3})$$

Solving Eqs. (A2) and (A3), we obtain

$$f_r^p = \frac{k_1 \cos \alpha - k \cos \alpha_t}{k_1 \cos \alpha + k \cos \alpha_t} \quad (\text{A4})$$

and

$$f_t^p = \left(\frac{k}{k_1}\right)^2 \left(\frac{1}{\cos \alpha_t}\right) \frac{2k_1 \cos^2 \alpha}{k_1 \cos \alpha + k \cos \alpha_t}. \quad (\text{A5})$$

We next impose boundary conditions on the components perpendicular to the plane of incidence. These lead to

$$\vec{E}_{(\text{trans}),x,y}^s = \vec{E}_{(\text{inc}),x,y}^s + \vec{E}_{(\text{ref}),x,y}^s$$

and

$$\vec{H}_{(\text{trans}),x,y}^s = \vec{H}_{(\text{inc}),x,y}^s + \vec{H}_{(\text{ref}),x,y}^s.$$

These conditions imply

$$(1 + f_r^s) = f_t^s \frac{k_1}{k} \quad (\text{A6})$$

and

$$(1 - f_r^s) = f_t^s \frac{k_1^2 \cos \alpha_t}{k^2 \cos \alpha}. \quad (\text{A7})$$

Solving Eqs. (A6) and (A7), we obtain

$$f_r^s = \frac{k \cos \alpha - k_1 \cos \alpha_t}{k \cos \alpha + k_1 \cos \alpha_t} \quad (\text{A8})$$

and

$$f_t^s = \left(\frac{k}{k_1}\right)^2 \frac{2k_1 \cos \alpha}{k_1 \cos \alpha_t + k \cos \alpha}. \quad (\text{A9})$$

APPENDIX B: REFLECTION AND TRANSMISSION AT A SPHERICAL SURFACE

For each incident plane wave, we transform our coordinate system such that the new axes (x' , y') lie on the tangent plane and the plane of reflection is same as the x' - z' plane (as shown in Fig. 12). We can now use our planar reflection coefficients in this new coordinate system (x' - y' - z'). First, we compute the electric and magnetic field components for each plane wave in this coordinate system. As the primed coordinate system is not fixed, and depends on the point of reflection Q , we transform back to the original frame and integrate over all plane waves to get the total field.

For a given plane wave, let the point Q be located at (x_s, y_s, z_s) . We identify the tangent plane at this point and choose the coordinate system (x' - y' - z') such that it satisfies the following conditions:

- (1) The coordinates of Q in this new coordinate system are $(x'_s, 0, 0)$.
- (2) The source point S in the new coordinate system lies at $(0, 0, h')$.
- (3) The unit vector normal to the tangent plane at Q is parallel to the z' axis.

This is accomplished by two rotations followed by a translation. We first rotate our coordinate system counter-clockwise about the z axis by an angle β . The rotation matrix corresponding to this is

$$R_z(\beta) = \begin{pmatrix} \cos \beta & \sin \beta & 0 \\ -\sin \beta & \cos \beta & 0 \\ 0 & 0 & 1 \end{pmatrix}. \quad (\text{B1})$$

Next we rotate counter-clockwise about the new y -axis by an angle $(\alpha' - \alpha)$. This leads to the rotation matrix

$$R_y(\alpha' - \alpha) = \begin{pmatrix} \cos(\alpha' - \alpha) & 0 & -\sin(\alpha' - \alpha) \\ 0 & 1 & 0 \\ \sin(\alpha' - \alpha) & 0 & \cos(\alpha' - \alpha) \end{pmatrix}. \quad (\text{B2})$$

Now the overall rotation matrix is given by, $\text{Rot} = R_y(\alpha' - \alpha)R_z(\beta)$

$$\text{Rot} = \begin{pmatrix} \cos(\alpha' - \alpha) \cos \beta & \cos(\alpha' - \alpha) \sin \beta & -\sin(\alpha' - \alpha) \\ -\sin \beta & \cos \beta & 0 \\ \sin(\alpha' - \alpha) \cos \beta & \sin(\alpha' - \alpha) \sin \beta & \cos(\alpha' - \alpha) \end{pmatrix}. \quad (\text{B3})$$

With these two rotations, we obtain the coordinate system (x'' - y'' - z'') which satisfies condition 3. given above and the tangent plane becomes parallel to the x'' - y'' plane. We next apply a translation in the (x'' - y'' - z'') coordinate system given by:

$$\begin{aligned} x''_0 &= -h \sin(\alpha' - \alpha), \\ y''_0 &= 0, \\ z''_0 &= \frac{1}{2} \left(R + 2h \cos(\alpha' - \alpha) - \frac{R \sin(2\alpha' - \alpha)}{\sin \alpha} \right). \end{aligned} \quad (\text{B4})$$

This leads to the final coordinate system x' - y' - z' which satisfies all the conditions given above and has the origin located at O' . The angle α' is the angle of reflection as shown in Fig. 12.

For each incident plane wave we can now use the formalism developed in Sec. IV A for a flat surface. We obtain the coordinates of the observation point P in the new system (x' , y' , z') by applying the Rotation above followed by a translation in the x'' - y'' - z'' frame. The observation point $P(x', y', z')$ in the new coordinate system is given by:

$$\begin{pmatrix} x' \\ y' \\ z' \end{pmatrix} = \text{Rot} \cdot \begin{pmatrix} x \\ y \\ z \end{pmatrix} - \begin{pmatrix} x''_0 \\ y''_0 \\ z''_0 \end{pmatrix}. \quad (\text{B5})$$

We now find the incident, reflected and transmitted fields for the spherical geometry defined in Fig. 12. The exponent appearing in the expression for $\vec{\Pi}$ in Sec. IV A is now dependent on the geometry of reflecting surface, coordinates of point of observation and the dipole height in the new frame. The basis vectors in this new coordinate system are related to those in the old coordinate system by the formulas

$$\begin{aligned} \hat{x}' &= \cos(\alpha' - \alpha)(\cos \beta \hat{x} + \sin \beta \hat{y}) - \sin(\alpha' - \alpha) \hat{z} \\ \hat{y}' &= -\sin \beta \hat{x} + \cos \beta \hat{y} \\ \hat{z}' &= \sin(\alpha' - \alpha)(\cos \beta \hat{x} + \sin \beta \hat{y}) + \cos(\alpha' - \alpha) \hat{z}. \end{aligned} \quad (\text{B6})$$

We next write the incident wave vector in the new coordinate system as

$$\vec{k}'_{\text{inc}} = \text{Rot} \cdot \vec{k}_{\text{inc}} = k(\sin \alpha' \hat{x}' - \cos \alpha' \hat{z}'). \quad (\text{B7})$$

The reflected wave vector in the new frame is given by

$$\vec{k}'_{\text{ref}} = \text{Rot} \cdot \vec{k}_{\text{ref}} = k(\sin \alpha' \hat{x}' + \cos \alpha' \hat{z}'). \quad (\text{B8})$$

We write the corresponding transmitted wave vector \vec{k}'_{trans} as

$$\vec{k}'_{\text{trans}} = \text{Rot} \cdot \vec{k}_{\text{trans}} = k_1(\sin \alpha'_t \hat{x}' - \cos \alpha'_t \hat{z}') \quad (\text{B9})$$

where $\pi - \alpha'_t$ and $\beta'_t = \beta_t$ are, respectively, the polar and azimuthal angles of \vec{k}'_{trans} . The exponential factor for the incident plane wave is derived for spherical geometry using the same method as in the case of flat geometry. We express it as

$$\tilde{\Pi}_{S,i} = \exp[ik'_{\text{inc}} \cdot (\vec{r}' - h' \hat{z}')] \quad (\text{B10})$$

where $(0, 0, h')$ is the location of the dipole in the new frame and the point of observation is located at vector \vec{r}' with respect to the new origin O' . The exponential factor for the reflected plane wave is obtained from geometry (Fig. 12) as

$$\tilde{\Pi}_{S,r} = \exp[ik'_{\text{ref}} \cdot (\vec{r}' + h' \hat{z}')]. \quad (\text{B11})$$

In the transformed frame we may again identify the location of the image as in the case of flat geometry [16]. Let the image be located at the position vector $\vec{\Delta}$ with respect to the origin of the original coordinate system. We then have

$$\tilde{\Pi}_{S,r} = \exp[ik'_{\text{ref}} \cdot (\vec{r}' - \vec{\Delta})]. \quad (\text{B12})$$

We obtain

$$\vec{k}'_{\text{ref}} \cdot \vec{r}' = k[x \sin(2\alpha' - \alpha) \cos \beta + y \sin(2\alpha' - \alpha) \sin \beta + z \cos(2\alpha' - \alpha)] \quad (\text{B13})$$

and

$$k'_{\text{ref}} \cdot \vec{\Delta} = k[z_0 \cos(2\alpha' - \alpha) - 2h' \cos \alpha'], \quad (\text{B14})$$

where

$$h' = R \frac{\sin(\alpha' - \alpha) \cos \alpha'}{\sin \alpha} \quad (\text{B15})$$

and

$$\sin \alpha' = \frac{(R + h) \sin \alpha}{R}. \quad (\text{B16})$$

This can be derived easily by using geometry. We see from Fig. 12 that the exponential factor can also be written as

$$\exp\left(i[\vec{k}'_{\text{inc}} \cdot (\vec{r}'_s - \vec{h}') + \vec{k}'_{\text{ref}} \cdot (\vec{r}' - \vec{r}'_s)]\right),$$

where

$$\vec{r}'_s = \frac{R \sin(\alpha' - \alpha) \sin \alpha'}{\sin \alpha} \hat{x}',$$

$$\vec{r}' = x' \hat{x}' + y' \hat{y}' + z' \hat{z}'$$

and

$$\vec{h}' = h' \hat{z}'. \quad (\text{B17})$$

This provides an alternative way to derive the formula for the exponent appearing in $\tilde{\Pi}_{S,r}$ and yields the same result as before. The exponential factor for the transmitted wave obtains from geometry (see Fig. 12), and can be expressed as

$$\tilde{\Pi}_{S,t} = e^{i\vec{k}'_{\text{inc}} \cdot \vec{\Delta}'} e^{i\vec{k}'_{\text{trans}} \cdot \vec{r}'} \quad (\text{B18})$$

where $e^{i\vec{k}'_{\text{inc}} \cdot \vec{\Delta}'}$ is the constant term appearing in both $\tilde{\Pi}_{S,i}$ and $\tilde{\Pi}_{S,r}$. As in the case of flat geometry, this term is proportional to k and not k_1 .

We next write the electric and magnetic field components in the new coordinate system:

$$\vec{E}'_{\text{inc}} = \text{Rot} \cdot \vec{E}_{\text{inc}}$$

$$\vec{H}'_{\text{inc}} = \text{Rot} \cdot \vec{H}_{\text{inc}}. \quad (\text{B19})$$

Using Eqs. (7) and (B3) we obtain

$$\vec{E}'_{\text{inc}} = \frac{ik^3}{8\epsilon\pi^2} \tilde{\Pi}_{S,i} [\cos \alpha \cos \alpha' \sin \beta \hat{x}' + \cos \beta \hat{y}' + \cos \alpha \sin \alpha' \sin \beta \hat{z}'] \quad (\text{B20})$$

and

$$\vec{H}'_{\text{inc}} = \frac{ik^2 \omega}{8\pi^2} \tilde{\Pi}_{S,i} [\cos \alpha' \cos \beta \hat{x}' - \cos \alpha \sin \beta \hat{y}' + \sin \alpha' \cos \beta \hat{z}']. \quad (\text{B21})$$

Now we use the same method as in the case of flat geometry to find the s and p components of E'_q and H'_q (where q again denotes the incident, reflected or transmitted wave) and use the boundary conditions at $z'_s = 0$ to find the reflection coefficients.

In order to calculate the s and p components of the electric and magnetic fields, we first need to find a unit vector which is perpendicular to both \vec{k}'_{inc} and \hat{z}' . The resulting unit vector \hat{l} perpendicular to the plane of incidence is given by

$$\hat{l} = \hat{y}'. \quad (\text{B22})$$

Now we can write the incident electric field components as

$$\vec{E}'_{\text{inc}}{}^{(s)} = (\vec{E}'_{\text{inc}} \cdot \hat{l}) \hat{l} = \frac{ik^3}{8\epsilon\pi^2} \tilde{\Pi}_{S,i} [\cos \beta \hat{y}']$$

$$\vec{E}'_{\text{inc}}{}^{(p)} = \vec{E}'_{\text{inc}} - \vec{E}'_{\text{inc}}{}^{(s)}$$

$$= \frac{ik^3}{8\epsilon\pi^2} \tilde{\Pi}_{S,i} [\cos \alpha \cos \alpha' \sin \beta \hat{x}' + \cos \alpha \sin \alpha' \sin \beta \hat{z}']. \quad (\text{B23})$$

Similarly the incident magnetic field components can be written as

$$\begin{aligned}\vec{H}'_{\text{inc}}{}^{(p)} &= (\vec{H}'_{\text{inc}} \cdot \hat{l})\hat{l} = \frac{ik^2\omega}{8\pi^2}\tilde{\Pi}_{S,i}[-\cos\alpha\sin\beta\hat{y}'] \\ \vec{H}'_{\text{inc}}{}^{(s)} &= \vec{H}'_{\text{inc}} - \vec{H}'_{\text{inc}}{}^{(p)} \\ &= \frac{ik^2\omega}{8\pi^2}\tilde{\Pi}_{S,i}[\cos\alpha'\cos\beta\hat{x}' + \sin\alpha'\cos\beta\hat{z}']. \quad (\text{B24})\end{aligned}$$

The s and p components of the reflected electric field are obtained as

$$\begin{aligned}\vec{E}'_{\text{ref}}{}^{(s)} &= f_r{}^{(s)}\frac{ik^3}{8\epsilon\pi^2}\tilde{\Pi}_{S,r}[\cos\beta\hat{y}'], \\ \vec{E}'_{\text{ref}}{}^{(p)} &= f_r{}^{(p)}\frac{ik^3}{8\epsilon\pi^2}\tilde{\Pi}_{S,r}[-\cos\alpha\cos\alpha'\sin\beta\hat{x}' \\ &\quad + \cos\alpha\sin\alpha'\sin\beta\hat{z}']. \quad (\text{B25})\end{aligned}$$

Similarly, for the reflected magnetic field components we write

$$\begin{aligned}\vec{H}'_{\text{ref}}{}^{(p)} &= f_r{}^{(p)}\frac{ik^2\omega}{8\pi^2}\tilde{\Pi}_{S,r}[-\cos\alpha\sin\beta\hat{y}'] \quad (\text{B26}) \\ \vec{H}'_{\text{ref}}{}^{(s)} &= f_r{}^{(s)}\frac{ik^2\omega}{8\pi^2}\tilde{\Pi}_{S,r}[-\cos\alpha'\cos\beta\hat{x}' + \sin\alpha'\cos\beta\hat{z}'] \\ &\quad (\text{B27})\end{aligned}$$

where $f_r{}^{(s)}$ and $f_r{}^{(p)}$ are the reflection coefficients corresponding to the s and p components of the reflected fields. The corresponding transmitted fields $\vec{E}'_{\text{trans}}{}^{(s)}$, $\vec{E}'_{\text{trans}}{}^{(p)}$, $\vec{H}'_{\text{trans}}{}^{(s)}$ and $\vec{H}'_{\text{trans}}{}^{(p)}$ can be written as

$$\begin{aligned}\vec{E}'_{\text{trans}}{}^{(s)} &= f_t{}^{(s)}\frac{ik_1^3}{8\epsilon_1\pi^2}\tilde{\Pi}_{S,t}[\cos\beta_t\hat{y}'] \\ \vec{E}'_{\text{trans}}{}^{(p)} &= \vec{E}'_{\text{trans}} - \vec{E}'_{\text{trans}}{}^{(s)} \\ &= f_t{}^{(p)}\frac{ik_1^3}{8\epsilon_1\pi^2}\tilde{\Pi}_{S,t}[\cos\alpha_t\cos\alpha'_t\sin\beta_t\hat{x}' \\ &\quad + \cos\alpha_t\sin\alpha'_t\sin\beta_t\hat{z}']. \quad (\text{B28})\end{aligned}$$

Similarly the transmitted magnetic field components can be written as

$$\begin{aligned}\vec{H}'_{\text{trans}}{}^{(p)} &= f_t{}^{(p)}\frac{ik_1^2\omega}{8\pi^2}\tilde{\Pi}_{S,t}[-\cos\alpha_t\sin\beta_t\hat{y}'] \\ \vec{H}'_{\text{trans}}{}^{(s)} &= \vec{H}'_{\text{trans}} - \vec{H}'_{\text{trans}}{}^{(p)} \\ &= f_t{}^{(s)}\frac{ik_1^2\omega}{8\pi^2}\tilde{\Pi}_{S,t}[\cos\alpha'_t\cos\beta_t\hat{x}' + \sin\alpha'_t\cos\beta_t\hat{z}']. \\ &\quad (\text{B29})\end{aligned}$$

We impose the boundary conditions at $z'_s = 0$ on each component in order to determine the reflection coefficients

for reflection and transmission of a plane wave, using the same procedure described in Sec. IV A. The exponential factors lead to the standard conditions:

$$k\sin\alpha' = k_1\sin\alpha'_t, \quad \beta_t = \beta. \quad (\text{B30})$$

The continuity of electric field components parallel to the surface imply that

$$\vec{E}'_{\text{trans},x}{}^{(p)} = \vec{E}'_{\text{inc},x}{}^{(p)} + \vec{E}'_{\text{ref},x}{}^{(p)}.$$

The components perpendicular to the surface follow:

$$\epsilon_1\vec{E}'_{\text{trans},z}{}^{(p)} = \epsilon[\vec{E}'_{\text{inc},z}{}^{(p)} + \vec{E}'_{\text{ref},z}{}^{(p)}].$$

The component of magnetic field \perp to the surface are continuous at the interface and the parallel components satisfy

$$\mu_1\vec{H}'_{\text{trans},y}{}^{(p)} = \mu[\vec{H}'_{\text{inc},y}{}^{(p)} + \vec{H}'_{\text{ref},y}{}^{(p)}].$$

Here we shall assume $\mu_1 = \mu$. These conditions lead to:

$$(1 - f_r{}^{(p)}) = f_t{}^{(p)}\frac{k_1\cos\alpha_t\cos\alpha'_t}{k\cos\alpha\cos\alpha'} \quad (\text{B31})$$

$$(1 + f_r{}^{(p)}) = f_t{}^{(p)}\frac{k_1^3\cos\alpha_t\sin\alpha'_t}{k^3\cos\alpha\sin\alpha'} = f_t{}^{(p)}\frac{k_1^2\cos\alpha_t}{k^2\cos\alpha}. \quad (\text{B32})$$

Solving Eqs. (B31) and (B32) we obtain

$$f_r{}^{(p)} = \frac{k_1\cos\alpha' - k\cos\alpha'_t}{k_1\cos\alpha' + k\cos\alpha'_t} \quad (\text{B33})$$

and

$$f_t{}^{(p)} = \left(\frac{k}{k_1}\right)^2 \left(\frac{1}{\cos\alpha_t}\right) \frac{2k_1\cos\alpha\cos\alpha'}{k_1\cos\alpha' + k\cos\alpha'_t}. \quad (\text{B34})$$

We next impose boundary conditions on the components \perp to the plane of incidence. These lead to

$$\vec{E}'_{\text{trans},y}{}^{(s)} = \vec{E}'_{\text{inc},y}{}^{(s)} + \vec{E}'_{\text{ref},y}{}^{(s)}$$

and

$$\mu_1\vec{H}'_{\text{trans},x}{}^{(p)} = \mu[\vec{H}'_{\text{inc},x}{}^{(p)} + \vec{H}'_{\text{ref},x}{}^{(p)}].$$

These conditions imply

$$(1 + f_r{}^{(s)}) = f_t{}^{(s)}\frac{k_1}{k} \quad (\text{B35})$$

and

$$(1 - f_r{}^{(s)}) = f_t{}^{(s)}\frac{k_1^2\cos\alpha'_t}{k^2\cos\alpha'}. \quad (\text{B36})$$

Solving Eqs. (B35) and (B36) we obtain:

$$f_r^{fs} = \frac{k \cos \alpha' - k_1 \cos \alpha'_t}{k \cos \alpha' + k_1 \cos \alpha'_t},$$

and

$$f_t^{fs} = \left(\frac{k}{k_1}\right)^2 \frac{2k_1 \cos \alpha'}{k \cos \alpha' + k_1 \cos \alpha'_t}. \quad (\text{B37})$$

Using the above reflection coefficients we now write the reflected and transmitted electric field expressions for each plane wave by adding s and p components of E'_{ref} and E'_{trans} respectively as in Sec. IV A,

$$\begin{aligned} \vec{E}'_{\text{ref}} &= \vec{E}'_{\text{ref}}{}^s + \vec{E}'_{\text{ref}}{}^p \\ \vec{E}'_{\text{trans}} &= \vec{E}'_{\text{trans}}{}^s + \vec{E}'_{\text{trans}}{}^p. \end{aligned} \quad (\text{B38})$$

We remind the reader that the new coordinate system ($x'-y'-z'$) is not fixed, rather it changes with the location Q on the spherical surface which, in turn, depends on the parameters of the plane wave. So we need to write our final expression in the fixed coordinate system ($x-y-z$). Using the inverse of the rotation matrix Rot, we finally write the electric field expression in the original coordinate system as

$$\begin{aligned} \vec{E}_{\text{ref}} &= \text{Rot}^{-1} \cdot \vec{E}'_{\text{ref}} \\ \vec{E}_{\text{trans}} &= \text{Rot}^{-1} \cdot \vec{E}'_{\text{trans}}. \end{aligned}$$

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