

Strings on NS-NS backgrounds as integrable deformations

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We consider the world-sheet S matrix of superstrings on an $\text{AdS}_3 \times \text{S}^3 \times \text{T}^4$ NS-NS background in uniform light-cone gauge. We argue that scattering is given by a CDD factor that is nontrivial only between opposite-chirality particles, yielding a spin-chain-like Bethe ansatz. Our proposal reproduces the spectrum of nonprotected states computed from the Wess-Zumino-Witten description and the perturbative tree-level S matrix. This suggests that the model is an integrable deformation of a free theory similar to those arising from the $T\bar{T}$ composite operator.

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I. INTRODUCTION

The study of the AdS/CFT correspondence [1–3] gave new energy to the search for exactly solvable string backgrounds. For a general string background only, few observables may be computed exactly, usually owing to supersymmetry. Notable exceptions are plane-wave backgrounds [4–6] where the light-cone Hamiltonian is free, Wess-Zumino-Witten (WZW) models [7–10] where current algebras can be used to solve the theory, and integrable backgrounds [11–13] where the world-sheet scattering in light-cone gauge factorizes along the lines of Ref. [14]. The best-understood integrable AdS background is $\text{AdS}_5 \times \text{S}^5$ supported by Ramond-Ramond (R-R) five-form fluxes. The string equations of motion are integrable [15] and the factorized S matrix can be computed from symmetry considerations [16–18]. The spectrum follows from imposing periodic boundary conditions and accounting for finite-size “wrapping” effects [19], leading eventually to a quantum spectral curve [20]. Remarkably, the integrability approach to $\text{AdS}_5 \times \text{S}^5$ superstrings can be extended to three- [21] and higher-point [22,23] correlation functions, and even to nonplanar corrections [24,25], though the treatment of wrapping corrections is less thoroughly understood in that context. Another important class of integrable backgrounds is given by $\text{AdS}_3 \times \text{S}^3 \times \text{T}^4$ and $\text{AdS}_3 \times \text{S}^3 \times \text{S}^3 \times \text{S}^1$ geometries supported by R-R and Neveu-Schwarz-Neveu-Schwarz (NS-NS) three-form fluxes. They are also classically integrable [26,27] and their S matrix can be

fixed by symmetries [28–30], even for mixed background fluxes [31,32]. The purely R-R backgrounds resemble $\text{AdS}_5 \times \text{S}^5$: the S matrix has a complicated scalar factor [33,34] and the dispersion relation is periodic [28] suggesting a dual spin-chain interpretation similar to Ref. [35]. Instead the NS-NS flux yields a linear contribution to the dispersion [31,36]. The pure-NS-NS model corresponds to a supersymmetric WZW model and the S matrix should simplify drastically there. The analysis of light-cone gauge symmetries of Ref. [31], valid for generic mixtures of R-R and NS-NS fluxes, is insufficient to determine the S matrix at the WZW point. In this article, we analyze the $\text{AdS}_3 \times \text{S}^3 \times \text{T}^4$ WZW model in uniform light-cone gauge [37–39], considering its classical bosonic Hamiltonian, its spectrum and its S matrix. We observe that shifting the gauge parameter has a similar effect to a $T\bar{T}$ deformation [40–42] and that the T^4 sector of the theory is free in a suitable gauge. This motivates us to further investigate the spectrum to determine if it can be related to that of a free theory. We find that in the “spectrally unflowed” sector [10] the energies of nonprotected states can be reproduced from the Bethe-Yang equations by adding a CDD factor [43] to a free theory. The resulting S matrix coincides at tree level with the known perturbative result [44] in a suitable gauge. Moreover, we argue that, due to supersymmetry, wrapping corrections cancel out similarly to what happened for protected states in Ref. [45], so that the Bethe-Yang equations are exact. The CDD factor appearing in our construction is exactly that of a $T\bar{T}$ deformation when we restrict to T^4 [46]; in general however it differs from it due to the presence of an additional $u(1)$ current. The simple form of the S matrix makes the study of this NS-NS background almost as straightforward as that of a plane-wave one, paving the way to a wealth of explicit computations. We conclude this article by detailing additional checks of our proposal, which we intend to present in an

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upcoming publication [47], as well as by commenting on several possible future directions.

II. WZW MODEL IN LIGHT-CONE GAUGE

The Green-Schwarz action for $\text{AdS}_3 \times \text{S}^3 \times \text{T}^4$ with mixed NS-NS and R-R three-form fluxes has been analyzed in some detail in Ref. [31]. We restrict to the pure NS-NS case corresponding to the WZW model, and find the bosonic action

$$\mathbf{S} = -\frac{k}{4\pi} \int_{-\infty}^{+\infty} d\tau \int_0^R d\sigma (\gamma^{\alpha\beta} G_{\mu\nu} + \epsilon^{\alpha\beta} B_{\mu\nu}) \partial_\alpha X^\mu \partial_\beta X^\nu, \quad (1)$$

where k is the WZW level, $\gamma^{\alpha\beta}$ is the world-sheet metric with $|\gamma| = -1$, $G_{\mu\nu}$ is the $\text{AdS}_3 \times \text{S}^3 \times \text{T}^4$ metric and $B_{\mu\nu}$ is the Kalb-Ramond field. We write the line element as

$$\begin{aligned} ds^2 = & -(1 + |z|^2) dt^2 + (1 - |y|^2) d\phi^2 + dx^j dx^j \\ & + \left(\delta_{ij} - \frac{z_i z_j}{1 + |z|^2} \right) dz^i dz^j + \left(\delta_{ij} + \frac{y_i y_j}{1 - |y|^2} \right) dy^i dy^j, \end{aligned} \quad (2)$$

where t, z_1, z_2 are in AdS_3 , ϕ, y^3, y^4 describe S^3 and x^5, \dots, x^8 give T^4 . The Kalb-Ramond field is given by $B = \epsilon^{ij} z_i dz_j \wedge dt - \epsilon^{ij} y_i dy_j \wedge d\phi$. Fermions couple to $H = dB$, see Refs. [31, 48, 49] for explicit formulas. To fix uniform light-cone gauge [37–39], we introduce, for $0 \leq a \leq 1$,

$$x^+ = (1 - a)t + a\phi, \quad x^- = \phi - t, \quad (3)$$

and following, e.g., Ref. [111], we introduce conjugate momenta $p_\mu = \delta\mathbf{S}/\delta(\partial_0 X^\mu)$ and fix

$$x^+ = \tau, \quad p_- = (1 - a)p_\phi - ap_t = 1. \quad (4)$$

This breaks conformal invariance and, in particular, fixes the world-sheet size R

$$R = (1 - a) \int_0^R d\sigma p_\phi - a \int_0^R d\sigma p_t = J + a(E - J), \quad (5)$$

where E is the string energy and J its angular momentum. The light-cone Hamiltonian is

$$\mathbf{H} = - \int_0^R d\sigma p_+ = E - J, \quad (6)$$

and the $\text{AdS}_3 \times \text{S}^3$ BPS bound guarantees $\mathbf{H} \geq 0$. Notice that $-p_+$ is a -dependent, and gauge invariance dictates

$$\frac{d}{da} \int_0^{R(a)} d\sigma p_+(a) = 0. \quad (7)$$

The density $p_+(a)$ can be easily found as in Ref. [31] by solving the Virasoro constraints. Truncating it to T^4 modes and setting $s = (a - 1/2)$, we find

$$\mathbf{H}|_{\text{T}^4} = \int_0^{R(s)} d\sigma \frac{1 - \sqrt{1 - 4sH_{\text{free}} + 4s^2(\frac{k}{2\pi} p_j \dot{x}^j)^2}}{2s}, \quad (8)$$

with $H_{\text{free}} = \frac{1}{2} p_j p^j + \frac{1}{2} (\frac{k}{2\pi})^2 \dot{x}^j \dot{x}_j$. Equation (8) reduces to a free Hamiltonian at $s = 0$, i.e., at $a = 1/2$. In view of Eqs. (5)–(8), we conclude that the T^4 modes can be equivalently represented as a free system with state-dependent world-sheet length $R = J + \mathbf{H}/2$ (for $a = 1/2$) or as an interacting one with fixed length $R = J$ (for $a = 0$).

III. RELATION TO $T\bar{T}$ DEFORMATIONS

The form of Eq. (8) is that of a $T\bar{T}$ deformation of free bosons [40–42]. To understand why, let us review and in fact slightly generalize the construction of such deformations. Given two conserved local currents j_I^α , $I = 1, 2$ the limit

$$j_1 j_2(x) = \lim_{y \rightarrow x} j_1^\alpha(x) j_2^\beta(y) \epsilon_{\alpha\beta}, \quad (9)$$

is well defined owing to the arguments of Ref. [40], and

$$\langle j_1 j_2 \rangle = \langle j_1^\alpha \rangle \langle j_2^\beta \rangle \epsilon_{\alpha\beta}. \quad (10)$$

Notice that we do not require any of the currents j_I^α to be chiral. A $T\bar{T}$ deformation corresponds to the case $j_I^\alpha = T^{\alpha I}$. Coupling $T^{\alpha I}$ to a $u(1)$ current yields deformations of the type considered in Ref. [50]; “ $J\bar{J}$ ” deformations fall in this class too, by taking a current and its (conserved) Hodge dual. For such special choices of j_I^α the deformation has a simple effect on the spectrum [40, 50], and, in particular, for a $T\bar{T}$ deformation of parameter α , we have

$$\partial_\alpha H_n = -H_n \partial_R H_n, \quad (11)$$

for a state $|n\rangle$ of energy H_n and zero momentum [40]. From this it follows that $H_n(R, \alpha) = H_n(R - \alpha H_n, 0)$: the deformation amounts to a state-dependent shift of the length, which can be described as a CDD factor [41, 42]. This is also the effect induced on p_+ by a -gauge transformations, cf. Eq. (7), which explains the form of Eq. (8). Gauge transformations and $T\bar{T}$ deformations should not be confused however: the former leave the spectrum invariant, while the latter correspond to changing p_+ while leaving R fixed or vice versa. Indeed the differential equation for a -gauge transformations is Eq. (7) rather than Eq. (11). Hence, our observation that the “flat” subsector of classical $\text{AdS}_3 \times \text{S}^3 \times \text{T}^4$ strings is simply related to a free theory is

unsurprising in view of Refs. [41,42]. It is remarkable, as we will see, that this extends to the full quantum level, not only for T^4 modes but for the whole superstring background.

IV. WZW SPECTRUM

The spectrum of the light-cone Hamiltonian can be constructed from the left and right Kač-Moody currents [10,51–55], as we very briefly review here. In the left sector, we have $\mathfrak{sl}(2)_{k+2}$ currents $L_{-n}^{0,\pm}$, $\mathfrak{su}(2)_{k-2}$ currents $J_{-n}^{3,\mp}$, torus modes α_{-n}^r as well as their fermionic superpartners. They act on a vacuum $|\ell_0, j_0\rangle$ given by a lowest (highest) weight state of $\mathfrak{sl}(2)$, respectively, $\mathfrak{su}(2)$. A generic state is obtained by acting with positive energy modes of the currents ($n \geq 0$) on the vacuum, e.g.,

$$\prod_{i=1}^{\ell^+} L_{-n_i}^+ \prod_{i=1}^{\ell^-} L_{-n_i}^- \prod_{i=1}^{j^+} J_{-n_i}^+ \prod_{i=1}^{j^-} J_{-n_i}^- \prod_{i=1}^{M_T} \alpha_{-n_i}^r |\ell_0, j_0\rangle. \quad (12)$$

Such a state has (left) energy $\ell = \ell_0 + \delta\ell$ and (left) angular momentum $j = j_0 - \delta j$ with $\delta\ell = \ell^+ - \ell^-$ and $\delta j = j^- - j^+$. Fermions can be added in a similar way and the usual subtleties arise depending on their boundary conditions; see e.g., Refs. [55,56] for details. Physical states are subject to restrictions, the most important being the mass-shell condition

$$-\frac{\ell_0(\ell_0 - 1)}{k} + \frac{j_0(j_0 + 1)}{k} + N_{\text{eff}} = 0, \quad (13)$$

where $N_{\text{eff}} = \sum_j n_j$ is the total mode number (which in the NS sector is shifted by $-1/2$). More general sectors of the spectrum can be described by spectral flow [10], though we will not consider them in this article. Similar expressions hold in the right sector, which we label with tildes. Imposing level-matching $N_{\text{eff}} = \tilde{N}_{\text{eff}}$ and $j_0 = \tilde{j}_0$, we finally get

$$E - J = \sqrt{(2j_0 + 1)^2 + 4kN_{\text{eff}}} - (2j_0 + 1) + \delta, \quad (14)$$

where $\delta = \delta\ell + \delta\tilde{\ell} + \delta j + \delta\tilde{j} + 2$ and we solved Eq. (13). Notice that for BPS states $E = J$ and $N_{\text{eff}} = \delta = 0$ [57].

V. FREE-THEORY INTERPRETATION

The spectrum of excitations over the BPS “vacuum” is simply related to a $T\bar{T}$ -like deformation of a free theory. Consider a theory of eight free bosons with dispersion

$$H(p) = \left| \frac{k}{2\pi} p + \mu \right|, \quad \mu \in \{0, 0, +1, -1\}^{\oplus 2}. \quad (15)$$

This coincides [58] with the plane-wave dispersion of our string background [6,56,59] which for the NS-NS

background is exact [31,36]. Supersymmetry can be realized by adding eight fermions with the same masses μ . Imposing boundary conditions on a circle of size R_{eff} , we have

$$\mathbf{H} = \sum_i H\left(\frac{2\pi n_i}{R_{\text{eff}}}\right) = \frac{k(N + \tilde{N})}{R_{\text{eff}}} + \sum_i \mu_i \text{sgn}(n_i), \quad (16)$$

where we split left- and right-movers. Notice that to remove the absolute value we have assumed that $R_{\text{eff}} \leq k$; we will see shortly why this is the case. If we now postulate the state-dependent length

$$R_{\text{eff}} = R_0 + \frac{\mathbf{H} - \mathbf{m}}{2}, \quad \mathbf{m} = \sum_i \mu_i \text{sgn}(n_i), \quad (17)$$

and solve Eq. (16), we precisely reproduce the WZW light-cone energy (14) with the following identifications. First, $\mathbf{H} = E - J$ as in Eq. (6). Next $R_0 = 2j_0 + 1$ is the J -charge of the BPS state in the R-R sector corresponding to the middle of the T^4 Hodge diamond. Notice that taking R_0 to be the charge of a reference vacuum rather than the J -charge of the state itself mimics the dual spin-chain construction for $\text{AdS}_5 \times S^5$ [35,60]. Finally $\mathbf{m} = \delta$. Let us justify this. Notice that when no excitations on $\text{AdS}_3 \times S^3$ are present, $\mu = 0$ and Eq. (17) precisely describes a $T\bar{T}$ deformation [40–42]. Consider now a state with some T^4 excitations over the BPS vacuum and a single S^3 mode, say J_{-n}^\pm . For the charges to match, this should correspond to a boson with $p = 2\pi n/R_{\text{eff}} \geq 0$ and $\mu = \mp 1$; conversely, \tilde{J}_{-n}^\pm gives a boson with $p = -2\pi\tilde{n}/R_{\text{eff}} \leq 0$ and $\mu = \pm 1$ [61]. This matches the identification of μ with the $\mathfrak{su}(2)$ -spin of S^3 excitations in the plane-wave limit [31]. The other bosons as well as the fermions can be similarly described and will be presented elsewhere [47]. Finally, notice that $R_{\text{eff}} = \ell_0 + j_0$ with our identifications. The condition $R_{\text{eff}} \leq k$ which we used to remove the absolute values in Eq. (16) follows from the unitarity bounds of the WZW model [10]. Sectors with larger values of R_{eff} should arise from spectral flow, see also Ref. [56] for a discussion of this fact in the plane-wave limit.

VI. S MATRIX AND BETHE-YANG EQUATIONS

An energy-dependent shift of the length can be described as a CDD factor [43] to the S matrix, see Ref. [41]. This is also the case for the shift of Eq. (17) which corresponds to a CDD factor whose phase is

$$\Phi_{jk} = p_j E_k - p_k E_j - p_j m_k + p_k m_j, \quad (18)$$

where $m_j = \mu_j \text{sgn}(kp_j + 2\pi\mu_j)$. Starting from a free theory, we get a *diagonal* S matrix with elements $S_{jk} = \exp(\frac{i}{2}\Phi_{jk})$. The Bethe-Yang equations follow immediately,

$$1 = \exp(ip_k R_0) \prod_{j \neq k} S_{kj} = \exp(ip_k R_{\text{eff}}), \quad (19)$$

where in the last equation we used the level-matching condition $\sum p_j = 0$. Given that H and m distinguish between left- and right-movers, it is convenient to treat such modes separately. We introduce labels “ \pm ” for particles having $\partial H/\partial p = \pm k/2\pi$, yielding four cases for the S matrix. We get

$$S_{jk}^{++} = S_{jk}^{--} = 1, \quad S_{jk}^{-+} = \exp\left(i \frac{k}{2\pi} p_j p_k\right) = \frac{1}{S_{kj}^{+-}}. \quad (20)$$

This illustrates the role of \mathbf{m} : it makes the left-left and right-right scattering trivial, as we would expect in a theory where particles move at the speed of light. Notice that such scattering is much simpler than the one arising in Refs. [62,63], where nondiagonal and nonperturbative left-left and right-right S matrices appear. These expressions match the perturbative tree-level result for $S_{ij}^{\pm\mp}$ of Ref. [44]. To compare our expressions, we should firstly take the results of Ref. [44] in the $a = 0$ gauge; in that case, the length in the Bethe-Yang equations is the J -charge of the state—in contrast to our conventions, in which it is the J -charge of the BPS vacuum. Accounting for these different conventions is akin to going from the string-frame to the spin-chain frame [18,28,29]. With these identifications, the left-right and right-left S matrices match with Refs. [44,64]. Based on the integrability treatment of strings in flat space [65] it may appear surprising that our analysis relies solely on the Bethe-Yang equations (19) and does not require the mirror thermodynamic Bethe ansatz to account for finite-size effect, cf. Refs. [19,66]; this is all the more concerning given that this background features gapless excitations that usually lead to severe wrapping effects [67]. This simplification is due to supersymmetry: as the scattering is diagonal, wrapping corrections [68–70] to a state with momenta p_1, \dots, p_M take a simple form

$$\int d\rho e^{-\epsilon(\rho)L} \sum_X (-1)^{F_X} \prod_{j=1}^M S_{Xj}(\rho, p_j), \quad (21)$$

where X is any virtual particle. Regardless of the details, here bosons and fermions come in pairs with identical dispersion and scattering, so that the integrand vanishes; this is the same argument that guarantees that BPS states are immune from wrapping corrections in Ref. [45].

VII. TOWARDS A DEFORMATION OF THE FULL ACTION

A formula for the action of $T\bar{T}$ deformations of scalar field theories is known [42,71,72]. We briefly discuss two subtleties arising when applying such an approach here: firstly, our transformation involves the current \mathbf{m} ; secondly,

our free action has the μ -dependent dispersion (15). Naively we would use Eq. (9) with one of the currents given by j^α such that $\int d\sigma j^0 = \mathbf{m}$; unfortunately, while such a conserved current exists in a free theory, it is nonlocal and Zamolodchikov’s arguments [40] do not apply [73]. Alternatively we can ask whether the gauge-fixed WZW action is the $T\bar{T}$ deformation of some simpler theory; this is also quite subtle. In the presence of several $\text{so}(2)$ symmetries such as the ones rotating $z_{1,2}$ and $y_{3,4}$ the stress-energy tensor is not uniquely defined. To be concrete, we truncate our theory to the S^3 modes and introduce complex coordinates y, \bar{y} . The dispersion (15) can be reproduced by coupling y, \bar{y} to a constant $u(1)$ background gauge field A^α . The Noether stress-energy tensor $T_N^{\alpha\beta}$ is not gauge-invariant; adding improvement terms yields the Hilbert stress-energy tensor $T_H^{\alpha\beta}$. The difference of the two $T\bar{T}$ operators is also of the form (9),

$$T_H \bar{T}_H - T_N \bar{T}_N = \epsilon_{\alpha\beta} \epsilon_{IJ} j^{\alpha I} T^{\beta J}, \quad (22)$$

where the two currents $j^{\alpha I}$ are related to the components of the constant gauge field

$$j^{\alpha I} = iA^I (p^\alpha \bar{y} - \bar{p}^\alpha y). \quad (23)$$

Hence, we have at least two inequivalent $T\bar{T}$ deformations. *A priori* it is unclear which one is more natural; interestingly, a Hilbert- $T\bar{T}$ deformation relates the gauge-fixed GS action to a simple sigma model action for the sphere fields [74],

$$\mathbf{S}|_{S^3} = \frac{k}{2\pi} \int_{-\infty}^{+\infty} d\tau \int_0^R d\sigma \eta^{\alpha\beta} \frac{D_\alpha y D_\beta \bar{y}}{1 - y\bar{y}}, \quad (24)$$

with background gauge field $A^\alpha = g^{-1} \partial^\alpha g$, $g = \exp[i\sigma]$. The integrability of the classical $\text{AdS}_3 \times S^3 \times T^4$ action suggests that (24) is classically integrable too.

VIII. CONCLUSIONS AND OUTLOOK

We have found evidence that superstrings on $\text{AdS}_3 \times S^3 \times T^4$ with NS-NS three-form flux are described by a simple integrable theory of eight relativistic bosons and fermions with dispersion (15) and S matrix (20) given by a CDD factor. For such a theory wrapping corrections cancel and the Bethe-Yang equations are exact, rather than asymptotic. While this description is strongly reminiscent of a $T\bar{T}$ deformation, constructing the appropriate perturbing operator is quite subtle. A number of questions immediately arise. Our construction here was limited to “unflowed” sector of the WZW model, corresponding to $R_{\text{eff}} \leq k$ in Eq. (16). It would be interesting to extend this to the w -th spectrally flowed sector corresponding to $wk < R_{\text{eff}} \leq (w+1)k$, see also Ref. [56] for a discussion of this in the plane-wave limit; notice that when the

inequality is saturated the mass-gap in Eq. (16) vanishes and new gapless modes appear. We also restricted to states with vanishing total momentum (i.e., $N = \tilde{N}$). In light-cone gauge, winding sectors should also be included [11,37], which would modify our analysis and in particular Eq. (19). Finally, it is intriguing that the gauge-fixed WZW action is related to Eq. (24) and it would be worth exploring more such a sigma model. We will return to these questions in an upcoming publication [47]. It would also be worth extending this analysis to $\text{AdS}_3 \times \text{S}^3 \times \text{S}^3 \times \text{S}^1$ backgrounds, whose integrability [27,32,45] and WZW [10,75] descriptions are well-established, as well as more general supersymmetric theories with diagonal scattering, where wrapping corrections are also expected to cancel. It looks less likely that this scenario might hold for mixed R-R and NS-NS backgrounds, as the S matrix is nontrivial in that case [31,44], though one might hope that the first correction in the R-R flux is captured by Eqs. (16) and (17) with the exact mixed-flux dispersion [31,36] instead of Eq. (15). Such mixed-flux dynamics is particularly interesting as it captures a large part of the moduli space [76]. Describing strings on NS-NS backgrounds as simple integrable theories would have a number of interesting applications. As our description depends parametrically on the WZW level k we could apply it to, e.g., the semiclassical limit $k \gg 1$ as well as to special cases such as the $k = 1$ theory which was recently related to a symmetric-product orbifold CFT [77,78]. Interestingly, our dispersion (15) at $k = 1$ precisely describes the single-excitation spectrum of the symmetric-product orbifold CFT of T^4 [79]. This might help us find an integrability description for symmetric-product orbifold

CFTs, cf. also Ref. [80]. It would also be interesting to extend this map beyond the spectrum: recently integrability techniques have been developed to compute three- [21] and higher-point [22,23] functions, and even nonplanar corrections [24,25]. In $\text{AdS}_5 \times \text{S}^5$ Lüscher-like wrapping effects make such computations very hard, while we have seen in Eq. (21) that those cancel here, at least for two-point functions. This, together with the wealth of data available might make NS-NS background an ideal playground for the hexagon bootstrap program [21–25].

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