

## New light mediators for the $R_K$ and $R_{K^*}$ puzzles

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The measurements of  $R_K$  and  $R_{K^*}$  provide hints for the violation of lepton universality. However, it is generally difficult to explain the  $R_{K^*}$  measurement in the low  $q^2$  range,  $0.045 \leq q^2 \leq 1.1$  GeV<sup>2</sup>. Light mediators offer a solution by making the Wilson coefficients  $q^2$  dependent. We check if new lepton nonuniversal interactions mediated by a scalar ( $S$ ) or vector particle ( $Z'$ ) of mass between 10–200 MeV can reproduce the data. We find that a 25 MeV  $Z'$  with a  $q^2$ -dependent  $b-s$  coupling and that couples to the electron but not the muon can explain all three anomalies in conjunction with other measurements. A similar 25 MeV  $S$  provides a good fit to all relevant data except  $R_{K^*}$  in the low  $q^2$  bin. A 25 MeV  $Z'$  with a  $q^2$ -dependent  $b-s$  coupling and that couples to the muon but not the electron provides a good fit to the combination of the  $R_K$  and  $R_{K^*}$  data, but does not fit  $R_{K^*}$  in the low  $q^2$  bin well.

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### I. INTRODUCTION

The search for new physics in  $B$  decays is an ongoing endeavor. Recently, anomalies in semileptonic  $B$  decays have received a lot of attention. These anomalies are found in the charged current  $b \rightarrow c\tau^-\bar{\nu}_\tau$  and neutral current  $b \rightarrow s\ell^+\ell^-$  transitions. Here we focus on the neutral current anomalies though the anomalies might be related [1]. Other anomalies appear in  $B \rightarrow K^*\mu^+\mu^-$ , where the LHCb [2,3] and Belle [4] Collaborations find deviations from the standard model (SM) predictions, particularly in the angular observable  $P'_5$  [5]. The ATLAS [6] and CMS [7] Collaborations have also made measurements of the  $B \rightarrow K^*\mu^+\mu^-$  angular distribution with results consistent with LHCb. Further, the LHCb has made measurements of the branching ratios and angular distributions in  $B_s^0 \rightarrow \phi\mu^+\mu^-$  [8,9] which are at variance

with SM predictions based on lattice QCD [10,11] and QCD sum rules [12].

The measurements discussed above are subject to unknown hadronic uncertainties [13] making it necessary to construct clean observables to test for new physics (NP). One such observable is  $R_K \equiv \mathcal{B}(B^+ \rightarrow K^+\mu^+\mu^-)/\mathcal{B}(B^+ \rightarrow K^+e^+e^-)$  [14,15], which has been measured by LHCb [16]:

$$R_K^{\text{expt}} = 0.745_{-0.074}^{+0.090}(\text{stat}) \pm 0.036(\text{syst}),$$

$$1 \leq q^2 \leq 6.0 \text{ GeV}^2. \quad (1)$$

This differs from the SM prediction,  $R_K^{\text{SM}} = 1 \pm 0.01$  [17] by  $2.6\sigma$ . Note, the observable  $R_K$  is a measure of lepton flavor universality and requires different new physics for the muons versus the electrons, while it is possible to explain the anomalies in the angular observables in  $b \rightarrow s\mu^+\mu^-$  in terms of lepton flavor universal new physics [18].

Recently, the LHCb Collaboration reported the measurement of the ratio  $R_{K^*} \equiv \mathcal{B}(B^0 \rightarrow K^{*0}\mu^+\mu^-)/\mathcal{B}(B^0 \rightarrow K^{*0}e^+e^-)$  in two different ranges of the dilepton invariant mass-squared  $q^2$  [19]:

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$$R_{K^*}^{\text{expt}} = \begin{cases} 0.660_{-0.070}^{+0.110}(\text{stat}) \pm 0.024(\text{syst}), & 0.045 \leq q^2 \leq 1.1 \text{ GeV}^2, \quad (\text{low } q^2) \\ 0.685_{-0.069}^{+0.113}(\text{stat}) \pm 0.047(\text{syst}), & 1.1 \leq q^2 \leq 6.0 \text{ GeV}^2, \quad (\text{central } q^2). \end{cases} \quad (2)$$

These differ from the SM predictions by  $2.2\text{-}2.4\sigma$  (low  $q^2$ ) and  $2.4\text{-}2.5\sigma$  (central  $q^2$ ), which further strengthens the hint of lepton nonuniversality observed in  $R_K$ .

Lepton universality violating new physics may occur in  $b \rightarrow s\mu^+\mu^-$  and/or  $b \rightarrow se^+e^-$  transitions. The fact that the measurement of  $\mathcal{B}(B^+ \rightarrow K^+e^+e^-)$  is found to be consistent with the prediction of the SM may lead one to conclude that NP is more likely to be in  $b \rightarrow s\mu^+\mu^-$ . However, the branching ratios suffer from hadronic uncertainties [20] unlike the ratios  $R_K$  and  $R_{K^*}$  and so new physics in  $b \rightarrow s\mu^+\mu^-$  and/or in  $b \rightarrow se^+e^-$  is still allowed.

Since the announcement of the  $R_{K^*}$  result, a number of papers have analyzed the new measurements, mostly in terms of new physics with heavy mediators [21–35]. The general conclusion is that there is a significant disagreement with the SM, possibly as large as  $\sim 6\sigma$ , and that theoretical hadronic uncertainties [36–38] are insufficient to understand the data. However, with heavy new physics it is difficult to understand the  $R_{K^*}$  measurement in the very low  $q^2$  bin  $0.045 \leq q^2 \leq 1.1 \text{ GeV}^2$ , although the predictions are consistent with measurements within  $1.5\sigma$ . A resolution to this problem may be possible if the new physics is light.

In models with light mediators [30–32,39,40], the new physics cannot be integrated out, resulting in a  $q^2$  dependence of the Wilson coefficients (WCs). If the light mediator mass is between  $m_B$  and twice the lepton mass, and the mediator width is narrow, then it is observable as a resonance in the dilepton invariant mass. To avoid constraints from the search for such states, one generally takes the mediator mass to be  $m_B$  or less than  $2m_\ell$ . In this paper, we study a light scalar mediator denoted by  $S$  and a light vector mediator denoted by  $Z'$ .

## II. LIGHT SCALAR

We start our discussion with a light scalar  $S$  with mass in the 10–200 MeV range. For this scenario, we assume the following flavor-changing  $bsS$  vertex,

$$F(q^2)\bar{s}[g_{bs}^S P_L + g_{bs}^S P_R]bS, \quad (3)$$

where  $F(q^2)$  is a form factor.<sup>1</sup> The matrix elements for the processes  $b \rightarrow s\ell^+\ell^-$  and the mass difference in  $B_s$  mixing are

$$\begin{aligned} M_{b \rightarrow s\ell^+\ell^-} &= \frac{F(q^2)}{q^2 - M_S^2} [\bar{s}(g_{bs}^S P_L + g_{bs}^S P_R)b] \\ &\quad \times [\bar{\ell}(g_{\ell\ell}^S P_L + g_{\ell\ell}^S P_R)\ell], \\ \Delta M_{B_s}^{\text{NP}} &= \frac{(F(q^2))^2}{2q^2 - 2M_S^2} f_B^2 m_{B_s} \\ &\quad \times \left[ -\frac{5}{12} ((g_{bs}^S)^2 + (g_{bs}^S)^2) + 2g_{bs}^S g_{bs}^S \frac{7}{12} \right], \end{aligned} \quad (5)$$

<sup>1</sup>In our effective theory approach, the structure in Eq. (3) is of the general form consistent with the assumed symmetries. As an illustration of how a flavor changing vertex with a  $q^2$ -dependent form factor may occur, consider the following Lagrangian at the  $b$ -quark mass scale in the gauge basis,

$$\mathcal{L} = \frac{g}{\Lambda^2} \bar{b}b\bar{\chi}\chi + g_\chi \bar{\chi}\chi S, \quad (4)$$

where  $\chi$  is a hidden sector fermion (which may serve as a dark matter candidate) of mass  $m_\chi \lesssim m_b$ , and we have suppressed all Lorentz structures in the Lagrangian. (In the context of Sec. III, for a light vector mediator  $Z'$ , one may consider a similar Lagrangian of the form,  $g_\chi \bar{\chi}\gamma^\mu \chi Z'_\mu$ .) The first term in the Lagrangian represents an effective coupling between the  $b$  and  $\chi$  fields that might arise via the exchange of a heavy mediator of mass  $\Lambda \gg m_b$ , which has been integrated out of the theory at the  $m_b$  scale. Although there is no direct coupling between  $b$  and  $S$  (or  $Z'$ ), a  $\bar{b}bS$  (or  $\bar{b}bZ'$ ) vertex with a  $q^2$ -dependent coupling will be generated by a  $\chi$  loop. Transforming the  $b$  quark from the gauge to the mass basis then generates a  $\bar{s}bS$  (or  $\bar{s}bZ'$ ) coupling. As an estimate of the form factor we evaluate the one-loop diagram [18] and argue that for  $q^2 \ll m_B^2$ , the form factor  $\sim q^2$ . In the case of the scalar mediator, after calculating the one loop contribution, the form factor contains terms of the form,  $\frac{m_\chi^2}{\Lambda^2}$  and  $\frac{q^2}{\Lambda^2}$ , multiplied by  $A(q^2) \sim \int_0^1 dx x(1-x) \ln \frac{x\Lambda_c^2}{m_\chi^2 - q^2 x(1-x)}$ , where  $\Lambda_c$  is a cut-off of the Feynman integral. For the  $\frac{q^2}{\Lambda^2}$  term to dominate,  $q^2 \gg m_\chi^2$ , which implies that  $m_\chi \lesssim 30 \text{ MeV}$  for the  $q^2$  values of interest. Then, since the  $q^2$ -dependence of  $A(q^2)$  is logarithmic, the form factor  $\sim q^2$ . For the  $Z'$  case, the leading term in the form factor goes as  $q^2$  due to the conserved vector current [41]. Again, in the one-loop approximation the form factor  $\sim q^2 A(q^2)$  and if we take  $m_\chi \sim m_B$ , then for  $q^2 \ll m_B^2$  the form factor goes as  $\sim q^2 \ln \frac{\Lambda_c^2}{m_\chi^2}$ . We note that the situation is similar to the SM case where  $\chi$  is replaced by the charm quark and  $S$  (or  $Z'$ ) by the photon. In this case the first term in the Lagrangian, of the form  $\frac{g}{M_W} \bar{b}s\bar{c}c$ , is just one of the terms in the SM effective Lagrangian after integrating out the  $W$  boson. The charm loop then induces an effective  $\bar{b}s\gamma^*$  vertex which yields  $\bar{b}s\ell^+\ell^-$  via  $\gamma^* \rightarrow \ell^+\ell^-$ .

TABLE I. The fit results and the predictions for  $R_K$  and  $R_{K^*}$  at the best fit point for three scenarios of a light mediator with a mass of 25 MeV.

Case		$R_{K^*}[0.045-1.1]$	$R_{K^*}[1.1-6.0]$	$R_K[1.0-6.0]$	pull	
	Experimental results	$0.66 \pm 0.09$	$0.69 \pm 0.10$	$0.75 \pm 0.09$		
	Standard model predictions	0.93	0.99	1.0		
(i) Light scalar with electron coupling						
$F(q^2) \equiv 1, g_{ee}^S = 2.0 \times 10^{-4}$	$g_{bs}^S g_{ee}^S = (12.6 \pm 2.2) \times 10^{-9}$	$g_{bs}^{S'} g_{ee}^S = (4.0 \pm 1.6) \times 10^{-9}$	0.70	0.91	0.69	4.3
$a_{bs} \neq 0$	$g_{bs}^S g_{ee}^S = (-1.3 \pm 2.1) \times 10^{-9}$	$g_{bs}^{S'} g_{ee}^S = (-13.1 \pm 2.1) \times 10^{-9}$	0.58	0.85	0.75	4.7
$a_{bs} = 0$	$g_{bs}^S g_{ee}^S = (2.7 \pm 2.6) \times 10^{-8}$	$g_{bs}^{S'} g_{ee}^S = (-15.5 \pm 2.6) \times 10^{-8}$	0.89	0.65	0.75	4.4
(ii) Light vector with muon coupling						
$F(q^2) \equiv 1, g_L^{\mu\mu} = g_R^{\mu\mu} = 8.0 \times 10^{-4}$	$g_{bs} g_{\mu\mu} = (2.3 \pm 2.0) \times 10^{-10}$	$g'_{bs} g_{\mu\mu} = (1.3 \pm 2.2) \times 10^{-10}$	0.93	0.99	0.96	1.4
$a_{bs} \neq 0, g_L^{\mu\mu} = g_R^{\mu\mu}$	$g_{bs} g_{\mu\mu} = (6.5 \pm 3.5) \times 10^{-10}$	$g'_{bs} g_{\mu\mu} = (1.6 \pm 3.6) \times 10^{-10}$	0.93	0.96	0.92	2.4
$a_{bs} \neq 0, g'_{bs} = 0, g_L^{\mu\mu} \neq g_R^{\mu\mu}$	$g_{bs} g_{\mu\mu} = (5.7 \pm 2.3) \times 10^{-10}$	$g_{bs} g'_{\mu\mu} = (0.2 \pm 0.1) \times 10^{-11}$	0.89	0.95	0.93	2.9
$a_{bs} \neq 0, g_{bs} = 0, g_L^{\mu\mu} \neq g_R^{\mu\mu}$	$g'_{bs} g_{\mu\mu} = (-3.2 \pm 2.5) \times 10^{-10}$	$g'_{bs} g'_{\mu\mu} = (-0.1 \pm 0.1) \times 10^{-11}$	0.85	0.97	1.05	1.6
$a_{bs} = 0, g_L^{\mu\mu} = g_R^{\mu\mu}$	$g_{bs} g_{\mu\mu} = (4.4 \pm 1.4) \times 10^{-8}$	$g'_{bs} g_{\mu\mu} = (-1.9 \pm 1.4) \times 10^{-8}$	0.86	0.72	0.76	4.6
$a_{bs} = 0, g'_{bs} = 0, g_L^{\mu\mu} \neq g_R^{\mu\mu}$	$g_{bs} g_{\mu\mu} = (3.9 \pm 1.8) \times 10^{-8}$	$g_{bs} g'_{\mu\mu} = (4.4 \pm 4.2) \times 10^{-11}$	0.87	0.80	0.69	4.4
$a_{bs} = 0, g_{bs} = 0, g_L^{\mu\mu} \neq g_R^{\mu\mu}$	$g'_{bs} g_{\mu\mu} = (-0.5 \pm 5.6) \times 10^{-9}$	$g'_{bs} g'_{\mu\mu} = (0.0 \pm 1.5) \times 10^{-11}$	0.92	0.99	1.01	0.1
(iii) Light vector with electron coupling						
$F(q^2) \equiv 1, g_L^{ee} = g_R^{ee} = 2.5 \times 10^{-4}$	$g_{bs} g_{ee} = (-0.6 \pm 1.0) \times 10^{-10}$	$g'_{bs} g_{ee} = (-0.4 \pm 1.1) \times 10^{-10}$	0.93	0.99	0.99	0.7
$a_{bs} \neq 0, g_L^{ee} = g_R^{ee}$	$g_{bs} g_{ee} = (-1.9 \pm 0.6) \times 10^{-9}$	$g'_{bs} g_{ee} = (-0.8 \pm 0.5) \times 10^{-9}$	0.62	0.92	0.74	4.5
$a_{bs} \neq 0, g'_{bs} = 0, g_L^{ee} \neq g_R^{ee}$	$g_{bs} g_{ee} = (-4.4 \pm 5.9) \times 10^{-10}$	$g_{bs} g'_{ee} = (7.5 \pm 3.3) \times 10^{-10}$	0.55	0.86	0.84	4.5
$a_{bs} \neq 0, g_{bs} = 0, g_L^{ee} \neq g_R^{ee}$	$g'_{bs} g_{ee} = (3.9 \pm 4.2) \times 10^{-10}$	$g'_{bs} g'_{ee} = (12.4 \pm 2.6) \times 10^{-10}$	0.58	0.98	0.81	4.0
$a_{bs} = 0, g_L^{ee} = g_R^{ee}$	$g_{bs} g_{ee} = (-3.9 \pm 1.0) \times 10^{-8}$	$g'_{bs} g_{ee} = (1.4 \pm 1.0) \times 10^{-8}$	0.78	0.60	0.75	4.8
$a_{bs} = 0, g'_{bs} = 0, g_L^{ee} \neq g_R^{ee}$	$g_{bs} g_{ee} = (-3.2 \pm 2.3) \times 10^{-8}$	$g_{bs} g'_{ee} = (0.4 \pm 1.4) \times 10^{-8}$	0.83	0.70	0.67	4.6
$a_{bs} = 0, g_{bs} = 0, g_L^{ee} \neq g_R^{ee}$	$g'_{bs} g_{ee} = (4.6 \pm 1.5) \times 10^{-8}$	$g'_{bs} g'_{ee} = (2.0 \pm 0.3) \times 10^{-8}$	0.80	0.58	0.77	4.7

where we have used Ref. [42] for  $B_s^0 - \bar{B}_s^0$  mixing. The mass difference in the SM for the  $B_s$  system is [43]

$$\Delta M_{B_s}^{\text{SM}} = (17.4 \pm 2.6) \text{ ps}^{-1}, \quad (6)$$

which is consistent with experimental measurement [44],

$$\Delta M_{B_s} = (17.757 \pm 0.021) \text{ ps}^{-1}. \quad (7)$$

We will choose the new physics contribution,  $\Delta M_{B_s}^{\text{NP}}$ , to be as large as the uncertainty in the SM prediction.

We now consider  $b \rightarrow s \ell^+ \ell^-$  transitions. For light scalars coupling to muons,  $R_K$  and  $R_{K^*}$  are generally increased from their SM values in contradiction with experiment. Moreover, the measured  $B_s \rightarrow \mu^+ \mu^-$  rate also puts strong constraints on new scalar couplings to muons.

We, therefore, suppose the scalar couples mainly to electrons in which case the matrix element for  $b \rightarrow s e^+ e^-$  from Eq. (5) is

$$M_{b \rightarrow s e^+ e^-}^{S,S'} = \frac{g_{ee}^S}{q^2 - M_S^2} F(q^2) [g_{bs}^S (\bar{s} P_L b) + g_{bs}^{S'} (\bar{s} P_R b)] (\bar{e} e) + \frac{g_{ee}^{S'}}{q^2 - M_S^2} F(q^2) [g_{bs}^S (\bar{s} P_L b) + g_{bs}^{S'} (\bar{s} P_R b)] (\bar{e} \gamma_5 e), \quad (8)$$

where  $g_{ee}^S \equiv (g_L^{ee} + g_R^{ee})/2$  and  $g_{ee}^{S'} \equiv (g_R^{ee} - g_L^{ee})/2$ . In the following discussion, we chose different structures for the form factor  $F(q^2)$ .

### A. $F(q^2) \equiv 1$

First, we consider the situation in which the  $bsS$  vertex is generated either at tree level or at loop level with internal particles with masses much greater than the  $b$  quark mass. Then, the form factor  $F(q^2) \equiv 1$ , and to avoid a pole contribution to the measurements of  $B(B^0 \rightarrow K^{*0} e^+ e^-)$  in the dielectron invariant mass range,  $m_{ee} = [30-1000]$  MeV [45], we choose  $M_S = 25$  MeV.

Note that the BABAR [46] and Belle [47,48] measurements require  $m_{ee}$  to be larger than 30 [49] and 140 MeV, respectively. We fix  $g_{ee}^S = 2.0 \times 10^{-4}$ , which is the largest value allowed by the anomalous magnetic moment of the

electron [50] for  $M_S = 25$  MeV at the  $2\sigma$  CL. Then we perform a  $\chi^2$ -fit to the theoretically clean observables  $R_K$  and  $R_{K^*}$ , and the new physics contribution to the  $B_s$  mass difference,  $\Delta M_S^{NP} = 0 \pm 2.6$  ps $^{-1}$ . In Ref. [51], the lepton flavor dependent angular observables  $Q_{4,5}$  were measured, but since the errors in the measurements are large we do not use them in our fit. We use `flavio` [52] to calculate the theoretical values of the observables  $\mathcal{O}_{th}$ . We then compute

$$\chi^2(g_{bs}^S, g_{bs}^{S'}) = \sum_{R_K, R_{K^*}, \Delta M_S^{NP}} (\mathcal{O}_{th}(g_{bs}^S, g_{bs}^{S'}) - \mathcal{O}_{exp})^T \mathcal{C}^{-1} \times (\mathcal{O}_{th}(g_{bs}^S, g_{bs}^{S'}) - \mathcal{O}_{exp}), \quad (9)$$

where  $\mathcal{O}_{exp}$  are the experimental measurements of the observables, and the total covariance matrix  $\mathcal{C}$  is the sum of theoretical and experimental covariance matrices. The SM gives a very poor fit to the  $R_K$  and  $R_{K^*}$  measurements with

$$\chi_{SM}^2/\text{dof} = 25.5/3. \quad (10)$$

The best-fit values of the couplings  $g_{bs}^S$  and  $g_{bs}^{S'}$  along with predictions at the best-fit point, for  $M_S = 25$  MeV and  $g_{ee}^S = 2.0 \times 10^{-4}$ , are provided in Table I. As a good fit is obtained in this case, we check if these values are consistent with the various measured branching ratios in  $b \rightarrow se^+e^-$  modes. If  $S$  can decay to  $e^+e^-$  with a branching ratio  $\sim 1$ , then the decays  $B \rightarrow K^{(*)}e^+e^-$  will be dominated by the two-body decays,  $B \rightarrow K^{(*)}S$ , with  $S$  decaying to  $e^+e^-$ .

For the two-body  $B \rightarrow KS$  decay, the branching ratio is

$$\mathcal{B}(B \rightarrow KS) = \frac{(g_{bs}^S + g_{bs}^{S'})^2 |\vec{p}_K| (m_B^2 - m_K^2)^2 f_0^2(m_S^2/m_B^2) \tau_B}{32\pi m_b^2 m_B^2}, \quad (11)$$

where the form factor  $f_0(z)$  can be found in Ref. [53].

For the two-body  $B \rightarrow K^*S$  decay, the branching ratio is

$$\mathcal{B}(B \rightarrow K^*S) = \frac{(g_{bs}^S - g_{bs}^{S'})^2 |\vec{p}_{K^*}|^3 A_0^2(m_S^2) \tau_B}{8\pi m_b^2}, \quad (12)$$

where  $\tau_B$  is the lifetime of the  $B$  meson,  $|\vec{p}_{K^*}| = \lambda^{1/2}(m_B^2, m_{K^*}^2, m_S^2)/2m_B$ , and the form factor  $A_0$  is taken from Ref. [54]. To bound the NP coupling constants  $g_{bs}^S$  and  $g_{bs}^{S'}$ , we require the  $B \rightarrow K^{(*)}S$  branching ratio to be less than 1%. This choice is consistent with uncertainties in the calculation of the  $B$  meson width [55]. For  $M_S$  between 10–200 MeV,  $\mathcal{B}(B^0 \rightarrow K^{*0}e^+e^-)$  and  $\mathcal{B}(B^0 \rightarrow K^0e^+e^-)$  impose the constraints shown in Table II. The best-fit values of the coupling given in Table I are in contradiction with these constraints. Hence, a light scalar with form factor  $F(q^2) \equiv 1$  is ruled out.

### B. $F(q^2) \neq 1$

Now we consider a  $q^2$ -dependent form factor  $F(q^2) \neq 1$  which may be loop induced. For momentum transfer  $q^2 \ll m_B^2$ ,  $F(q^2)$  can be expanded as [39]

$$F(q^2) = a_{bs} + b_{bs} \frac{q^2}{m_B^2} + \dots, \quad (13)$$

where  $m_B$  is the  $B$ -meson mass. We do not include the  $B_s$  mass difference and  $\mathcal{B}(B_s \rightarrow e^+e^-)$  as constraints since  $F(q^2)$  is unknown for  $q^2 \sim m_B^2$ . We assume that  $S$  does not couple to neutrinos so that  $B \rightarrow K\nu\bar{\nu}$  [56,57] does not constrain  $a_{bs}$ . Redefining  $a_{bs}g_{bs}^S$  as  $g_{bs}^S$ , and  $a_{bs}g_{bs}^{S'}$  as  $g_{bs}^{S'}$ , we perform a  $\chi^2$ -fit to the theoretically clean observables  $R_K$  and  $R_{K^*}$ . The best fit values of the couplings and the predictions for  $R_K$  and  $R_{K^*}$  are shown in Table I. Taking into account the constraints on  $g_{bs}^S$  and  $g_{bs}^{S'}$  from Table II along with the constraints on  $g_{ee}$  from the anomalous magnetic moment of the electron, we see that the best-fit values  $\mathcal{O}(10^{-8})$  cannot be achieved in this case.

To avoid the strong constraints from the two-body decays we set  $a_{bs} = 0$  in Eq. (13) (thereby also evading the  $B \rightarrow K\nu\bar{\nu}$  constraint if the mediator couples to neutrinos [39]), and absorbing the factor  $b_{bs}$  to redefine  $g_{bs}^S$  and  $g_{bs}^{S'}$ , the matrix element for  $b \rightarrow se^+e^-$  is given by

TABLE II. Constraints from  $\mathcal{B}(B^0 \rightarrow K^0e^+e^-)$  and  $\mathcal{B}(B^0 \rightarrow K^{*0}e^+e^-)$ . See the text for details.

	$\mathcal{B}(B^0 \rightarrow K^0e^+e^-)$	$\mathcal{B}(B^0 \rightarrow K^{*0}e^+e^-)$	Combined
$S, a_{bs} \neq 0$	$ g_{bs}^S + g_{bs}^{S'}  \lesssim 9.9 \times 10^{-7}$	$ g_{bs}^S - g_{bs}^{S'}  \lesssim 9.0 \times 10^{-7}$	$ g_{bs}^S ,  g_{bs}^{S'}  \lesssim 9.5 \times 10^{-7}$
$S, a_{bs} = 0$	$ g_{bs}^S + g_{bs}^{S'}  \lesssim 4.4 \times 10^{-2} \left(\frac{25 \text{ MeV}}{M_S}\right)^2$	$ g_{bs}^S - g_{bs}^{S'}  \lesssim 4.0 \times 10^{-2} \left(\frac{25 \text{ MeV}}{M_S}\right)^2$	$ g_{bs}^S ,  g_{bs}^{S'}  \lesssim 4.2 \times 10^{-2} \left(\frac{25 \text{ MeV}}{M_S}\right)^2$
$Z', a_{bs} \neq 0$	$ g_{bs} + g_{bs}'  \lesssim 5.8 \times 10^{-9} \left(\frac{M_{Z'}}{25 \text{ MeV}}\right)$	$ g_{bs} - g_{bs}'  \lesssim 5.4 \times 10^{-9} \left(\frac{M_{Z'}}{25 \text{ MeV}}\right)$	$ g_{bs} ,  g_{bs}'  \lesssim 5.6 \times 10^{-9} \left(\frac{M_{Z'}}{25 \text{ MeV}}\right)$
$Z', a_{bs} = 0$	$ g_{bs} + g_{bs}'  \lesssim 2.6 \times 10^{-4} \left(\frac{25 \text{ MeV}}{M_{Z'}}\right)$	$ g_{bs} - g_{bs}'  \lesssim 2.4 \times 10^{-4} \left(\frac{25 \text{ MeV}}{M_{Z'}}\right)$	$ g_{bs} ,  g_{bs}'  \lesssim 2.5 \times 10^{-4} \left(\frac{25 \text{ MeV}}{M_{Z'}}\right)$

TABLE III. The experimental results for various  $b \rightarrow se^+e^-$  observables, along with predictions for the SM and four new physics cases that fit the  $R_K$  and  $R_{K^*}$  data and satisfy the  $\mathcal{B}(B \rightarrow K^{(*)}e^+e^-)$  constraints. The light mediator mass is 25 MeV,  $F(q^2) \neq 1$  and  $a_{bs} = 0$ .

	$R_K _{[0.045-1.0]}$	$\mathcal{B}(B \rightarrow Ke^+e^-) _{[1.0-6.0]}$	$\mathcal{B}(B \rightarrow X_s e^+e^-) _{[1.0-6.0]}$	$\mathcal{B}(B^0 \rightarrow K^{*0}e^+e^-) _{[0.03^2-1]}$
Experimental results	...	$(1.56 \pm 0.18) \times 10^{-7}$ [16]	$(1.93 \pm 0.55) \times 10^{-6}$ [62]	$(3.1 \pm 0.9) \times 10^{-7}$ [45]
Standard model predictions	0.98	$1.69 \times 10^{-7}$	$1.74 \times 10^{-6}$	$2.6 \times 10^{-7}$
Light scalar $g_{bs}^S g_{ee}^S = 2.7 \times 10^{-8}$ , $g_{bs}'^S g_{ee}'^S = -15.5 \times 10^{-8}$	0.93	$2.5 \times 10^{-7}$	$2.3 \times 10^{-6}$	$2.6 \times 10^{-7}$
Light vector $g_{bs} g_{ee} = -3.9 \times 10^{-8}$ , $g_{bs}' g_{ee}' = 1.4 \times 10^{-8}$	0.73	$2.4 \times 10^{-7}$	$2.6 \times 10^{-6}$	$2.8 \times 10^{-7}$
Light vector, $g_{bs}' = 0$ $g_{bs} g_{ee} = -3.2 \times 10^{-8}$ , $g_{bs}' g_{ee}' = 0.4 \times 10^{-8}$	0.66	$2.7 \times 10^{-7}$	$2.5 \times 10^{-6}$	$2.7 \times 10^{-7}$
Light vector, $g_{bs} = 0$ $g_{bs}' g_{ee}' = 4.6 \times 10^{-8}$ , $g_{bs}' g_{ee}' = 2.0 \times 10^{-8}$	1.04	$2.4 \times 10^{-7}$	$2.5 \times 10^{-6}$	$2.8 \times 10^{-7}$

$$M_{b \rightarrow se^+e^-}^{S,S'} = \frac{q^2}{m_B^2 q^2 - M_S^2} [g_{bs}^S (\bar{s} P_L b) + g_{bs}'^S (\bar{s} P_R b)] (\bar{e} e) + \frac{q^2}{m_B^2 q^2 - M_S^2} [g_{bs}^S (\bar{s} P_L b) + g_{bs}'^S (\bar{s} P_R b)] (\bar{e} \gamma_5 e). \quad (14)$$

With the form factor  $q^2/M_B^2$ , requiring  $\mathcal{B}(B^0 \rightarrow K^{*0}e^+e^-)$  and  $\mathcal{B}(B^0 \rightarrow K^0e^+e^-)$  to be less than 1% gives the constraints on  $g_{bs}^S$  and  $g_{bs}'^S$  in Table II. The best-fit values can be found in Table I. A reasonable fit is obtained in this case with a pull of 4.4. We see that  $R_K$  and  $R_{K^*}$  values in the central  $q^2$  bin can be reasonably accommodated, while the effect on  $R_{K^*}$  in the low  $q^2$  bin is small in this case. We also evaluated the branching ratios for various  $b \rightarrow se^+e^-$  observables; see Table III. Our prediction for  $\mathcal{B}(B \rightarrow Ke^+e^-)|_{[1.0-6.0]}$  is somewhat in tension with the experimental result. Allowing for a 10% uncertainty in the theoretical prediction [58], the discrepancy is about  $2.3\sigma$ . The prediction for the inclusive mode  $\mathcal{B}(B \rightarrow X_s e^+e^-)|_{[1.0-6.0]}$ , which suffers from less hadronic uncertainties, is consistent with measurement.

Finally, we consider the case with a pseudoscalar coupling of the electron and find similar results to that of the scalar coupling.

### III. LIGHT $Z'$

A  $Z'$  with mass less than  $2m_\mu$  was recently proposed in Ref. [39] to simultaneously explain the measurements of  $R_K$  and the anomalous magnetic moment of the muon, with implications for nonstandard neutrino interactions. Such a  $Z'$  may potentially explain  $R_{K^*}$  in the low  $q^2$  bin [31]. A  $Z'$  with a mass in the few GeV range was discussed recently [30,32] but the  $q^2$  dependence of the WC is not strong enough to explain the  $R_{K^*}$  at low  $q^2$  [32]. Here we focus on an MeV  $Z'$ .

We assume the flavor-changing  $bsZ'$  vertex to have the form

$$F(q^2) \bar{s} \gamma^\mu [g_{bs} P_L + g_{bs}' P_R] b Z'_\mu. \quad (15)$$

The matrix elements for  $b \rightarrow s\ell^+\ell^-$  and the mass difference in  $B_s$  mixing are

$$\begin{aligned} M_{b \rightarrow s\ell^+\ell^-} &= \frac{F(q^2)}{q^2 - M_{Z'}^2} [\bar{s} \gamma^\mu (g_{bs} P_L + g_{bs}' P_R) b] \\ &\times (\bar{\ell} \gamma^\mu (g_L^{\ell\ell} P_L + g_R^{\ell\ell} P_R) \ell) \\ &- \frac{F(q^2)}{q^2 - M_{Z'}^2} \frac{m_b m_\ell}{M_{Z'}^2} (g_R^{\ell\ell} - g_L^{\ell\ell}) \\ &\times [\bar{s} (g_{bs} P_R + g_{bs}' P_L) b] (\bar{\ell} \gamma_5 \ell), \\ \Delta M_{B_s}^{NP} &= \frac{(F(q^2))^2}{2q^2 - 2M_{Z'}^2} \frac{2}{3} f_B^2 m_{B_s} \\ &\times \left[ (g_{bs}^2 + g_{bs}'^2) \left( 1 - \frac{5}{8} \frac{m_b^2}{M_{Z'}^2} \right) \right. \\ &\left. - 2g_{bs} g_{bs}' \left( \frac{5}{6} - \frac{m_b^2}{M_{Z'}^2} \frac{7}{12} \right) \right], \quad (16) \end{aligned}$$

where we have used Ref. [42] for  $B_s^0 - \bar{B}_s^0$  mixing. Also, we define  $g_{\ell\ell} \equiv (g_L^{\ell\ell} + g_R^{\ell\ell})/2$  and  $g_{\ell\ell}' \equiv (g_R^{\ell\ell} - g_L^{\ell\ell})/2$  for convenience.

#### A. $Z'$ with muon coupling

We begin with the case where the  $Z'$  couples to muons and not to the electrons.

##### 1. $F(q^2) \equiv 1$

We first assume that  $F(q^2) \equiv 1$  and consider the case  $g_L^{\mu\mu} = g_R^{\mu\mu} = g_{\mu\mu}$ , so the leptonic term is a purely vector current. We perform a fit to the  $R_K$  and  $R_{K^*}$  data, and the new physics contribution to the  $B_s$  mass difference. We

choose  $M_{Z'} = 25$  MeV and fix  $g_{\mu\mu} = 8.0 \times 10^{-4}$ , which is the  $2\sigma$  upper bound from the anomalous magnetic moment of the muon. The fit results are shown in Table I. We see that the overall improvement over the SM is insignificant because  $g_{bs}^s$  and  $g_{bs}^s'$  are suppressed by  $B_s$  mixing.

## 2. $F(q^2) \neq 1$

Now we consider  $F(q^2) \neq 1$  and assume an expansion as in Eq. (13). Keeping only the leading  $a_{bs}$  term, we perform a fit to the observables  $R_K$  and  $R_{K^*}$  for  $M_S = 25$  MeV. We do not employ the new physics contribution to the  $B_s$  mass difference as a constraint since  $F(q^2)$  is unknown for  $q^2 \sim m_B^2$ . The fit results are shown in Table I. The overall improvement over the SM is poor, with a pull of 2.4. Clearly, a light  $Z'$  with pure vector coupling to the muon is unable to explain the  $R_{K[1.0-6.0]}$ ,  $R_{K^*[0.045-1.1]}$  and  $R_{K^*[1.1-6.0]}$  anomalies simultaneously. However, on removing  $R_{K^*[0.045-1.1]}$  from the fit, one can easily accommodate the measured values of  $R_{K[1.0-6.0]}$  and  $R_{K^*[1.1-6.0]}$ , and a pull of around 4.0 is obtained.

We next consider the case with  $a_{bs} \neq 0$  and the  $Z'$  also has nonzero axial vector coupling with the muons, i.e.,  $g_L^{\ell\ell} \neq g_R^{\ell\ell}$ . To keep the number of new couplings unchanged, we take either  $g_{bs}' = 0$  or  $g_{bs} = 0$ . This case also does not give a good fit to the data; see Table I.

As can be seen from Table I, overall two of the scenarios with  $a_{bs} = 0$  provide good fits except to the  $R_{K^*}$  measurement in the low  $q^2$  bin. Moreover, a  $Z'$  with purely vector muon coupling is easily compatible with other  $b \rightarrow s\ell^+\ell^-$  observables [32].

## B. $Z'$ with electron coupling

We now consider the case where the  $Z'$  couples to electrons and not to muons.

### 1. $F(q^2) \equiv 1$

We first assume that  $F(q^2) \equiv 1$  and we start by considering the case  $g_L^{ee} = g_R^{ee} = g_{ee}$  so the leptonic term is a purely vector current. We perform a fit to the  $R_K$  and  $R_{K^*}$  data, and the new physics contribution to the  $B_s$  mass difference. We fix  $g_{ee} = 2.5 \times 10^{-4}$ , which is within the 90% CL upper limit from NA48/2 [59]. The fit results are shown in Table I. The fit to  $R_K$  and  $R_{K^*}$  is close to the SM predictions because of  $B_s$  mixing.

### 2. $F(q^2) \neq 1$

Now we consider  $F(q^2) \neq 1$ . We fit to the observables  $R_K$  and  $R_{K^*}$  only since  $F(q^2)$  is unknown for  $q^2 \sim m_B^2$ . The best-fit results are shown in Table I. While a good fit to  $R_K$  and  $R_{K^*}$  is obtained, we need to check if these couplings are consistent with other measurements. As in the scalar case there is a two-body contribution to  $\mathcal{B}(B \rightarrow K^{(*)}e^+e^-)$  from

$B \rightarrow K^{(*)}Z'$  and  $Z'$  decaying to  $e^+e^-$  with a branching ratio  $\sim 1$ .

The branching ratio for  $B \rightarrow KZ'$  is [60,61]

$$\mathcal{B}(B \rightarrow KZ') = \frac{|g_{bs} + g_{bs}'|^2 m_B^2 \beta_{BKZ'}^3}{64\pi M_{Z'}^2 \Gamma_B} [f_+^{BK}(M_{Z'}^2)]^2, \quad (17)$$

where  $\beta_{XYZ} = \lambda^{1/2}(1, M_Y^2/M_X^2, M_Z^2/M_X^2)$  and  $f_+^{BK}$  is a form factor. For  $B \rightarrow K^*Z'$ , the branching ratio is given by

$$\mathcal{B}(B \rightarrow K^*Z') = \frac{\beta_{BK^*Z}}{16\pi m_B \Gamma_B} (|H_0|^2 + |H_+|^2 + |H_-|^2), \quad (18)$$

where the helicity amplitudes are defined as

$$H_0 = (g_{bs} - g_{bs}') \left[ -\frac{1}{2} (m_B + M_{K^*}) \xi A_1(M_{Z'}^2) + \frac{M_{K^*} M_{Z'}}{m_B + M_{K^*}} \sqrt{\xi^2 - 1} A_2(M_{Z'}^2) \right], \quad (19)$$

and

$$H_{\pm} = \frac{1}{2} (g_{bs} - g_{bs}') [(m_B + M_{K^*}) A_1(M_{Z'}^2)] \pm (g_{bs} + g_{bs}') \frac{M_{K^*} M_{Z'}}{m_B + M_{K^*}} \sqrt{\xi^2 - 1} V(M_{Z'}^2). \quad (20)$$

$V$ ,  $A_1$  and  $A_2$  are form factors [53,54] and  $\xi = (m_B^2 - M_{K^*}^2 - M_{Z'}^2)/(2M_{K^*} M_{Z'})$ .

Assuming the decay rate of  $B \rightarrow KZ'$  and  $B \rightarrow K^*Z'$  to be less than 1% of the  $B^0$  width, we obtain the constraints shown in Table II. Since  $g_{ee}$  is constrained to be less than  $2.5 \times 10^{-4}$  at the 90% CL for  $M_{Z'} = 25$  MeV [59], the constraints in Table II exclude the best-fit values to explain the  $R_K$  and  $R_{K^*}$  measurements in this case.

We next consider the case when  $Z'$  also has nonzero axial vector coupling with the electrons, i.e.,  $g_L^{ee} \neq g_R^{ee}$ . The best-fit results are shown in Table I. While a good fit to  $R_K$  and  $R_{K^*}$  is obtained, the best-fit values do not satisfy the two-body constraints of Table II along with the constraint on  $g_{ee}$ .

Now, to avoid the two-body constraint, like in the scalar case, we set  $a_{bs} = 0$  in Eq. (13). In this case, assuming  $g_L^{ee} = g_R^{ee} = g_{ee}$ , i.e., pure vector coupling to the electron, and for  $M_{Z'} = 25$  MeV, we fit the product  $g_{ee}g_{bs}$  and  $g_{ee}g_{bs}'$  to the  $R_K$  and  $R_{K^*}$  data. The results are summarized in Table I. Clearly, at the best-fit point, the predictions for  $R_K$  and  $R_{K^*}$  are within the  $1\sigma$  range of the measurements. Requiring  $\mathcal{B}(B^0 \rightarrow K^0 e^+ e^-) < 1\%$  and  $\mathcal{B}(B^0 \rightarrow K^{*0} e^+ e^-) < 1\%$ , we get the constraints shown in Table II. The best fit satisfies all constraints on  $g_{bs}$ ,  $g_{bs}'$  and  $g_{ee}$ . From Table I, we see that  $R_K$  and  $R_{K^*}$  values in all measured  $q^2$  bins can be reasonably accommodated. We also checked that the predictions for the branching ratios to

electron modes are consistent with the various observables; see Table III. Our prediction for  $\mathcal{B}(B \rightarrow Ke^+e^-)_{[1.0-6.0]}$  is somewhat higher than the measurement and this tension could become significant with a reduction in the theoretical and experimental uncertainties. The prediction for the inclusive mode  $\mathcal{B}(B \rightarrow X_s e^+e^-)_{[1.0-6.0]}$ , which suffers from less hadronic uncertainties, is consistent with measurement.

Next we consider the case when  $Z'$  also has nonzero axial vector coupling with the electrons, i.e.,  $g_L^e \neq g_R^e$ . Again, we either set  $g_{bs}^e = 0$  or  $g_{bs} = 0$ . The best-fit values shown in Table I satisfy the constraints on the NP couplings, and the  $R_K$  and  $R_{K^*}$  values in all measured  $q^2$  bins can be reasonably accommodated. The corresponding branching ratios with electron modes are provided in Table III.

#### IV. SUMMARY

In this work, we have addressed the recent measurement of  $R_{K^*}$  with particular attention to the low  $q^2$  bin,  $0.045 \leq q^2 \leq 1.1$  GeV<sup>2</sup>. This measurement has been difficult to explain with new physics above the GeV scale. For mediators in the 10–200 MeV mass range, we find

- (1) A (pseudo)scalar that only couples to muons cannot explain the  $R_K$  and  $R_{K^*}$  measurements as the predicted values are larger than in the SM, in conflict with experiment. An  $S$  coupling to only electrons can reproduce the  $R_{K[1.0-6.0]}$ ,  $R_{K^*[0.045-1.1]}$  and  $R_{K^*[1.1-6.0]}$  data, but the desired values of the couplings are not consistent with the measurements of the branching ratios  $\mathcal{B}(B \rightarrow K^{(*)}e^+e^-)$ . A  $q^2$ -dependent flavor changing  $b-s$  coupling to the scalar can produce compatibility with  $\mathcal{B}(B \rightarrow K^{(*)}e^+e^-)$  and gives a

good fit to  $R_K$  and  $R_{K^*}$  in the central  $q^2$  bin, but the deviation of  $R_{K^*}$  from the SM in the low  $q^2$  bin is small.

- (2) A  $Z'$  with general vector and axial vector couplings to the muon and a  $q^2$ -dependent  $b-s$  coupling provides a good fit to the combination of the three  $R_K$  and  $R_{K^*}$  measurements, but does not fit  $R_{K^*[0.045-1.1]}$  well.
- (3) A  $Z'$  with general vector and axial vector couplings to the electron can explain  $R_K$  and  $R_{K^*}$  data in all measured bins but the desired values of the couplings are not consistent with the measurements of  $\mathcal{B}(B \rightarrow K^{(*)}e^+e^-)$ . However, a  $q^2$ -dependent flavor changing  $b-s$  coupling to the vector is compatible with  $\mathcal{B}(B \rightarrow K^{(*)}e^+e^-)$  and gives good fits to  $R_K$  and  $R_{K^*}$ ; of the cases we considered, the case with purely vector electron coupling provides the best agreement with the data with a pull of 4.8.

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