

Revisiting quantum decoherence for neutrino oscillations in matter with constant density

J. A. Carpio,^{1,2} E. Massoni,¹ and A. M. Gago¹

¹*Sección Física, Departamento de Ciencias, Pontificia Universidad Católica del Perú, Apartado 1761, Lima, Peru*

²*Department of Physics, The Pennsylvania State University, University Park, Pennsylvania 16802, USA*



(Received 25 November 2017; published 11 June 2018)

We reexamine the matter neutrino oscillation probabilities considering the decoherence phenomenon as a subleading effect. In this paper we point out the relevance of having the correct interpretation of the decoherence matrix in the different quantum bases, within the framework of neutrino oscillation probabilities in matter. Based on this treatment we develop an analytical formula for matter neutrino oscillation probabilities for three generations, with a range of application up to the decoherence parameter $\Gamma \sim 10^{-23}$ GeV. We observe that, due to decoherence, the amplitudes of the neutrino/antineutrino oscillation probabilities increase in an energy independent way. We also find that decoherence can reduce the absolute value of the CP asymmetry, relative to its value at the pure oscillation case. As a side effect we have found a degeneracy between the decoherence parameter Γ and the CP violation phase δ .

DOI: [10.1103/PhysRevD.97.115017](https://doi.org/10.1103/PhysRevD.97.115017)

I. INTRODUCTION

The neutrino oscillations caused by nonzero neutrino mass is a well established phenomenon supported by numerous experimental data accumulated since two decades ago [1–7].

Even though all the evidence indicates that the neutrino oscillation relies on the interference between different neutrino mass eigenstates, the presence of an as yet undetected subleading mechanism is still possible. Within the context of new physics, there are various candidates that can coexist with oscillations induced by mass, which we will call from now on standard oscillations. Among them we have neutrino decay [8–32], nonstandard interactions [33–39], decoherence in oscillations [40–54], and other new physics effects [55–59].

In particular, the general consequences of considering a quantum system in interaction with its environment are irreversibility and decoherence. The decoherence phenomenon tends to destroy the interference pattern, through the introduction of damping terms of the type of $e^{-\Gamma L}$ (where Γ is a decoherence parameter and L is the neutrino source-detector distance or baseline). It is also possible for this phenomenon to modify the oscillation frequencies

through the appearance of new coherence terms. It has been pointed out that the source of decoherence could be originated by strings and branes [60,61], as well as quantum gravity effects [62]. There have been several papers that have included dissipative effects in the neutrino system, treating this as an open quantum system, developing the oscillation probabilities formulas in two and three generations, for vacuum and matter [40–45].

Decoherence has been proposed as a possible solution for experimental data [46–49] and, on the other hand, constraints on decoherence parameters have been obtained from data [50–54,63]. More specifically, the bounds at 95% C.L. for atmospheric neutrinos and the MINOS long baseline experiment are $\Gamma < 4.10 \times 10^{-23}$ GeV [46] and $\Gamma < 9.11 \times 10^{-23}$ GeV [63], respectively. Similarly, for solar neutrinos and reactor are $\Gamma < 0.64 \times 10^{-24}$ GeV [52] and $\Gamma < 6.8 \times 10^{-22}$ GeV [53], respectively.

The main goal of this paper is to revisit the treatment for obtaining a three neutrino oscillation formula in matter, when dissipative effects are included. In this framework, the neutrino Hamiltonian in matter can be written in the vacuum mass eigenstates basis (VMB) or in the matter mass eigenstates basis (MMB). The latter is the basis that diagonalizes the neutrino matter Hamiltonian, for a constant matter density. When solving the system in the MMB we have to check if the decoherence matrix we propose in this basis can be generated from a rotation of the corresponding one in the VMB, where the decoherence matrix is, in fact, defined. This very relevant detail has been overlooked in some papers by assuming that the

Published by the American Physical Society under the terms of the Creative Commons Attribution 4.0 International license. Further distribution of this work must maintain attribution to the author(s) and the published article's title, journal citation, and DOI. Funded by SCOAP³.

decoherence matrix can be written in MMB as diagonal and energy independent. We will show that, in general, the latter assumptions are fulfilled in only a few cases.

Considering this rotation appropriately, we provide a three generation analytical formula valid for a decoherence parameter $\Gamma \leq 10^{-23}$ GeV that corresponds to an upper limit for ΓL of $\mathcal{O}(10^{-1})$ with a baseline L of $\mathcal{O}(10^3)$ km, a source-detector distance compatible with long baseline scenarios. We study the behavior of these probabilities, using the DUNE baseline and energy range [64], and also explore how a CP violation measurement would be affected due to the presence of decoherence.

II. METHOD

A. General neutrino Hamiltonian

We work with N neutrino generations going through matter with constant density. Sterile neutrinos get an additional contribution from the neutral current matter potential that cannot be ignored, since the latter cannot be factorized out as a global phase as we do with the purely active neutrinos scheme. The neutrino Hamiltonian in the VMB for a neutrino of energy E can be written as

$$H_V = \frac{1}{2E}(\Delta M^2 + U^\dagger \mathbb{A} U). \quad (1)$$

Here, $\Delta M^2 = \text{Diag}(0, \Delta m_{21}^2, \dots, \Delta m_{N1}^2)$ is the mass term and $\Delta m_{ij}^2 = m_i^2 - m_j^2$ is the squared mass difference. The matter potential term is $\mathbb{A} = \text{Diag}(A_{CC}, 0, 0, A_{NC}, \dots, A_{NC})$, where $A_{CC} = 2\sqrt{2}EG_F n_e$ and $A_{NC} = \sqrt{2}EG_F n_n$ are the charged current (CC) and neutral current (NC) potentials. The parameters G_F , n_e , and n_n are the Fermi coupling constant, the electron and neutron number densities, respectively. The mixing matrix U rotates the VMB mass eigenstates into the flavor eigenstates.

The Hamiltonian H_V given above is nondiagonal but is diagonal in the MMB and can be written as

$$H_M = \text{Diag}(0, \tilde{\Delta}_{21}, \dots, \tilde{\Delta}_{N1}), \quad (2)$$

where $\tilde{\Delta}_{ij}$ becomes the effective value of $\Delta_{ij} = \Delta m_{ij}^2/2E$ in matter.

We define U_M as the matrix that rotates the MMB mass eigenstates into the flavor eigenstates and can be expressed in terms of effective mixing angles $\tilde{\theta}_{ij}$ and effective phases $\tilde{\delta}$. We also introduce the matrix U_T , which relates operators \hat{O}_V in the VMB to operators \hat{O}_M in the MMB via

$$\hat{O}_M = U_T^\dagger \hat{O}_V U_T, \quad (3)$$

where we have defined $U_T = U^\dagger U_M$, the matrix that rotates the mass eigenstates in the MMB into the mass eigenstates in the VMB.

B. Density matrix formalism

We will consider the neutrino system coupled with the environment and treated as an open quantum system. Thus, its evolution is described by the Lindblad master equation

$$\frac{d\hat{\rho}(t)}{dt} = -i[H, \hat{\rho}(t)] + D[\hat{\rho}(t)], \quad (4)$$

where $\hat{\rho}(t)$ is the neutrino's density matrix and H is the Hamiltonian of the system. The dissipative term D is written as

$$D[\hat{\rho}(t)] = \frac{1}{2} \sum_j ([\hat{V}_j, \hat{\rho}(t)\hat{V}_j^\dagger] + [\hat{V}_j \hat{\rho}(t), \hat{V}_j^\dagger]), \quad (5)$$

where $\{\hat{V}_j\}$ is a set of dissipative operators with $j = 1, 2, \dots, N^2 - 1$ for N neutrino generations. The presence of the operators \hat{V}_j causes the evolution of $\hat{\rho}$ to be nonunitary. The dissipative term must satisfy the requirements of complete positivity and a von Neumann entropy that increases with time. The second condition is achieved by requiring \hat{V}_j to be Hermitian [65]. The usual approach is to rewrite Eq. (4) by expanding all terms in the basis for Hermitian matrices, which consists of the identity operator \mathbb{I} and the $SU(N)$ generators t_i , with $i = 1, 2, \dots, N^2 - 1$. For this aim, we decompose all operators \hat{O} , such as $\hat{\rho}$, H , and \hat{V}_j , as

$$\hat{O} = O_0 \mathbb{I} + O_k t_k, \quad (6)$$

where the generators t_i satisfy $[t_i, t_j] = i \sum_k f_{ijk} t_k$ and f_{ijk} are the structure constants of $SU(N)$. Then, we get

$$\dot{\rho}_0 = 0, \quad \dot{\rho}_k = \sum_j (M_H)_{kj} \rho_j + \sum_j (M_D)_{jk} \rho_j, \quad (7)$$

the elements of the matrix M_H given by

$$(M_H)_{kj} = \sum_i h_i f_{ijk}, \quad (8)$$

where ρ_k and h_i are the components of $\hat{\rho}$ and H , respectively, written on the basis of Eq. (6). The matrix M_D , which contains all the decoherence parameters, will be called the decoherence matrix. In general, it satisfies the following:

- (1) $M_D = M_D^T$ is a symmetric matrix.
- (2) $-M_D$ is a positive-semidefinite matrix.
- (3) The entries M_D satisfy a set of inequalities inherited from the restrictions on \hat{V}_j .

From the second property, it follows that the diagonal elements provide an upper bound to the off-diagonal ones. The inequalities mentioned in the third property will be explicitly written for only a few select cases to be discussed

later. We point out that the entries of the decoherence matrix are sometimes assumed to be of the form $(M_D)_{ij} = \gamma_{ij} E^n$, where typical values of n are -1 , 0 , and 1 . In this paper, we restrict ourselves to analyzing the case where $n = 0$.

The component $\rho_0 = 1/N$ is constant in time and is relevant only when evaluating oscillation probabilities. The evolution of the ρ_k can then be written in a compact form

$$\dot{\rho} = (M_H + M_D)\rho, \quad (9)$$

where ρ is an eight-dimensional column vector consisting of the ρ_k . The solution to the differential equation is

$$\rho(t) = e^{(M_H + M_D)t} \rho(0). \quad (10)$$

For a neutrino in a flavor eigenstate ν_α , we can use Eq. (10) to find the evolved vector $\rho_{\nu_\alpha}(t)$. Finally, the probability $P(\nu_\alpha \rightarrow \nu_\beta) \equiv P_{\nu_\alpha \nu_\beta}$ for a neutrino to be detected in the flavor state ν_β is calculated via inner products

$$P_{\nu_\alpha \nu_\beta} = \frac{1}{N} + \frac{1}{2} (\rho_{\nu_\beta})^T \rho_{\nu_\alpha}(t). \quad (11)$$

We emphasize that for neutrinos, which are ultrarelativistic, we have $t = L$ where L is the baseline.

C. Decoherence matrix relation between different quantum bases

When we take into account matter effects in the open neutrino system, it is expected that the decoherence matrix in the VMB (M_D^V), where the decoherence parameters are defined, has a nontrivial relationship with the one written in the MMB (M_D^M). The issue of moving to the MMB has been pointed out previously [45]. However, the description and the consequences of this relationship have been overlooked and not properly treated. We will get the connection between both decoherence matrices and explore the validity of different forms for the decoherence matrix in the MMB in the context of the neutrino oscillation system. We will also show that some decoherence matrices presented in literature are not allowed.

Starting from the Lindblad master equation and Eq. (7) written in the VMB, we change to the MMB via the unitary transformation in Eq. (3). Unitary transformations preserve Hermiticity, so we follow the procedure in Sec. II B and cast the transformed equation into the form shown in (7), where the coefficients are now replaced with their corresponding ones in the MMB. We also point out that the properties of M_D in Sec. II B are not affected by unitary transformations.

The matrix M_H given in the MMB is the simplest to deal with since the Hamiltonian is diagonal. For the decoherence

term, we know that $U_T^\dagger D[\hat{\rho}] U_T = (M_D^M)_{jk} \rho_j^M t_k$. On the other hand

$$U_T^\dagger D[\hat{\rho}] U_T = (M_D^V)_{jk} \rho_j^V U_T^\dagger t_k U_T. \quad (12)$$

The labels V and M stand for VMB and MMB, respectively. After a unitary transformation, the Gell-Mann matrices will be a new superposition of the generators t_k ,

$$U_T^\dagger t_k U_T = P_{kj} t_j, \quad (13)$$

where P_{kj} will be an $O(N^2 - 1)$ matrix. Using this substitution, we find that

$$U_T^\dagger D[\hat{\rho}] U_T = \rho_j^M (M_D^M)_{jk} t_k = \rho_j^M P_{ji} (M_D^V)_{il} P_{kl} t_k. \quad (14)$$

Doing a similar treatment to ρ_j^M and writing it in terms of ρ_j^V , we can prove that the decoherence matrix in the MMB is obtained by performing an orthogonal transformation with the matrix P ,

$$M_D^M = P M_D^V P^T. \quad (15)$$

From Eq. (15) a powerful property arises when the elements of the M_D^M are independent of the matter potential A_{CC} , which implies that they are also independent of energy. In that case, any value of A_{CC} , shall satisfy Eq. (15), in particular $A_{CC} = 0$. As we can take $P^T|_{A_{CC}=0} = P|_{A_{CC}=0} = \mathbb{I}$ we have that

$$M_D^V = M_D^M. \quad (16)$$

For instance, the trivial case that fulfills the condition above is when M_D^V is proportional to the identity \mathbb{I} .

When $M_D^M = M_D^V$, the matter + decoherence oscillation probabilities can be directly obtained by replacing the standard oscillation angles and masses in the vacuum + decoherence probability formula given in [42] with their effective values in matter. From now on, this substitution will be referred to as effective matter parameter substitution (EMPS). We point out that if Eq. (16) is not fulfilled, M_D^M is A_{CC} and energy dependent.

As we said before, the matrices M_D^M that satisfy Eq. (16), for the case of the potential A_{CC} with constant matter density, would also fulfill this equation regardless of the value of A_{CC} . This observation implies that the aforementioned M_D^M matrices are the same even if $A_{CC}(x)$ depends on the position x or the nonconstant matter density. In particular, we can conclude that these matrices satisfying the condition $M_D^V = M_D^M$ could be used within the framework of the adiabatic or nonadiabatic case [41,54].

We must emphasize that all the results and discussions that will be presented in this paper have been developed

within the scheme of a potential A_{CC} independent of the position x .

III. TWO GENERATION MIXING

We first analyze the simple case of two generation mixing to illustrate the effects of rotating the decoherence matrix from the VMB to the MMB. The Hamiltonian in the VMB H_V and the mixing matrix U are given by

$$H_V = \Delta \left[\begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} + U^\dagger \begin{pmatrix} A & 0 \\ 0 & 0 \end{pmatrix} U \right],$$

$$U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \quad (17)$$

with $\Delta = \Delta m^2/2E$, and $A = V_{CC}/\Delta = A_{CC}/\Delta m^2$. In the MMB, we have the effective value of Δ , given by $\tilde{\Delta}$ and an effective mixing angle $\tilde{\theta}$,

$$\tilde{\Delta} = \Delta \sqrt{(\cos 2\theta - A)^2 + \sin^2 2\theta},$$

$$\tan 2\tilde{\theta} = \tan 2\theta \left(1 - \frac{A}{\cos 2\theta} \right)^{-1}. \quad (18)$$

The matrix $U_M(U_T)$ is obtained directly from U by performing the substitution $\theta \rightarrow \tilde{\theta}(\phi)$. The matrix P that performs the rotation from the VMB to the MMB in the $SU(N)$ basis is

$$P = \begin{pmatrix} \cos 2\phi & 0 & \sin 2\phi \\ 0 & 1 & 0 \\ -\sin 2\phi & 0 & \cos 2\phi \end{pmatrix}. \quad (19)$$

Using the notation in [41], we can rewrite P using the following correspondences:

$$\cos 2\phi = -\frac{\mu}{\sqrt{\mu^2 + \nu^2}}, \quad \sin 2\phi = \frac{\nu}{\sqrt{\mu^2 + \nu^2}}, \quad (20)$$

where

$$\mu = \Delta(A \cos 2\theta - 1), \quad \nu = \Delta A \sin 2\theta. \quad (21)$$

Taking a generic decoherence matrix

$$M_D^V = - \begin{pmatrix} a & b & c \\ b & \alpha & \beta \\ c & \beta & \gamma \end{pmatrix} \quad (22)$$

with a , α , and γ non-negative, we have the following inequalities that must be satisfied [41]:

$$\begin{aligned} 2R &\equiv a + \alpha - \gamma \geq 0, & \gamma^2 - (a - \alpha)^2 - 4b^2 &\geq 0, \\ 2S &\equiv a + \gamma - \alpha \geq 0, & \alpha^2 - (a - \gamma)^2 - 4c^2 &\geq 0, \\ 2T &\equiv \alpha + \gamma - a \geq 0, & a^2 - (\alpha - \gamma)^2 - 4\beta^2 &\geq 0, \\ RST - 2bc\beta - R\beta^2 - Sc^2 - Tb^2 &\geq 0. \end{aligned} \quad (23)$$

The corresponding matrix in the MMB is

$$M_D^M = - \begin{pmatrix} \tilde{a} & \tilde{b} & \tilde{c} \\ \tilde{b} & \alpha & \tilde{\beta} \\ \tilde{c} & \tilde{\beta} & \tilde{\gamma} \end{pmatrix}. \quad (24)$$

Given the form of P , we see that α is naturally not affected, while the effective decoherence parameters are

$$\begin{aligned} \tilde{a} &= \frac{a + \gamma}{2} + \frac{a - \gamma}{2} \cos 4\phi - c \sin 4\phi, \\ \tilde{b} &= b \cos 2\phi - \beta \sin 2\phi, \\ \tilde{c} &= c \cos 4\phi + \frac{a - \gamma}{2} \sin 4\phi, \\ \tilde{\beta} &= \beta \cos 2\phi + b \sin 2\phi, \\ \tilde{\gamma} &= \frac{a + \gamma}{2} - \frac{a - \gamma}{2} \cos 4\phi + c \sin 4\phi. \end{aligned} \quad (25)$$

From this equation, we see that a diagonal decoherence matrix in the VMB, which is $b = c = \beta = 0$, can have off-diagonal entries in the MMB. Also, since the matrix P depends on $\tilde{\theta}$, the decoherence matrix in the MMB is inherently dependent on E and A . It is interesting to note that some models provide a power law energy dependence to the decoherence parameters, which will not hold in the MMB.

We continue by analyzing some features of 2ν oscillations in the presence of decoherence. We will also present a few numerical examples, which will use the standard oscillation parameters summarized in Table I. Decoherence parameters are assigned on a case-by-case basis.

A. Conditions for effective matter parameter substitution

From Eq. (25), we see that the only decoherence matrix that satisfies Eq. (16) for the two neutrino generations case is

TABLE I. Standard oscillation parameters used for 2ν oscillation examples.

Parameter	Value
θ	9°
Δm^2	$2.3 \times 10^{-3} \text{ eV}^2$
Matter density ρ	2.97 g cm^{-3}
Baseline L	1300 km

$$M_D^V = -\text{Diag}(\gamma, \alpha, \gamma) = M_D^M \quad (26)$$

constrained by the condition $\alpha \leq 2\gamma$.

As we have already mentioned, when Eq. (16) is fulfilled the matter oscillation + decoherence probabilities can be easily obtained through the EMPS (i.e., $\Delta \rightarrow \tilde{\Delta}$, $\theta \rightarrow \tilde{\theta}$).

In order to exemplify what happens when the aforementioned replacement is misused, we take the following decoherence matrix, given in [45]:

$$M_D^V = -\text{Diag}(a, a, 0). \quad (27)$$

This matrix, written in the MMB, is

$$M_D^M = - \begin{pmatrix} a \cos^2 2\phi & 0 & \frac{a}{2} \sin 4\phi \\ 0 & a & 0 \\ \frac{a}{2} \sin 4\phi & 0 & a \sin^2 2\phi \end{pmatrix}. \quad (28)$$

Then, the identity given in Eq. (16) that allows us to make the EMPS breaks down. This is illustrated through three curves presented in Fig. 1: one is the vacuum + decoherence oscillation probability; the other is the exact matter + decoherence oscillation probability, obtained numerically; the last one is doing the EMPS—this is the substitution of $\Delta \rightarrow \tilde{\Delta}$ and $\theta \rightarrow \tilde{\theta}$ into the vacuum formula $P_{\nu_\mu \nu_e} = \sin^2 2\theta (1 - e^{-a t} \cos \Delta t) / 2$. Comparing these curves, we see how the EMPS fails to describe the decoherence phenomenon, producing a fake peak in the probability around the energy region where $\tilde{\theta} = \pi/4$ that allows maximal neutrino mixing. At lower energies we observe that the exact matter + decoherence formula and the direct substitution formula coincide very well. This is explained because at this part of the energy spectrum we have that

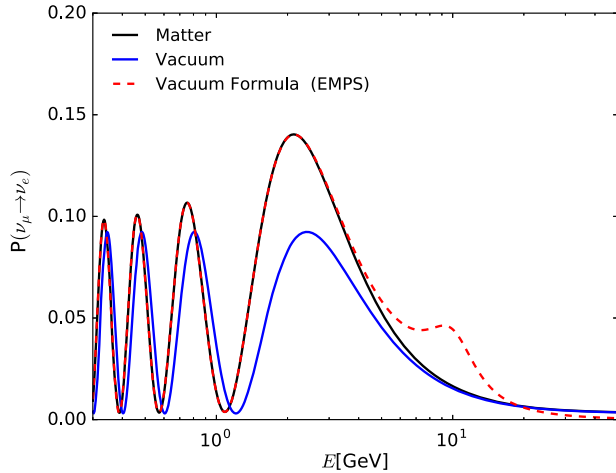


FIG. 1. Example of misuse of the EMPS on the transition probability for $M_D^V = -\text{Diag}(a, a, 0)$ with $a = 1 \times 10^{-23}$ GeV and with the other parameters fixed according to the values given at Table I. The vacuum and matter oscillation probability are also included and were calculated numerically.

$\tilde{a} \rightarrow a$, $\tilde{\gamma} = \tilde{b} = \tilde{\beta} = \tilde{c} = 0$, making the direct substitution valid for these energies.

B. Relevance of off-diagonal decoherence parameters

As we have pointed out in Sec. II B, the decoherence matrix parameters are bound by the diagonal elements. Based on this, it is tempting to say that off-diagonal parameters can be neglected. In light of Eq. (25), we see that in the MMB the diagonal entries of the decoherence matrix also receive contributions from c .

We revisit this point to view the impact of off-diagonal parameters on oscillation probabilities, by considering the matrix proposed in [41]

$$M_D^V = - \begin{pmatrix} \gamma & b & 0 \\ b & \gamma & \beta \\ 0 & \beta & \gamma \end{pmatrix} \quad (29)$$

with $b^2 + \beta^2 \leq \gamma^2/4$, from which the following transition probability is obtained:

$$P_{\nu_\mu \nu_e} = \frac{1}{2} (1 - e^{-\gamma t}) + \left[\frac{\bar{\nu}^2 - \bar{\beta}^2}{\Omega^2} \right] e^{-\gamma t} \sin^2 \left(\frac{\Omega t}{2} \right), \quad (30)$$

where we defined

$$\begin{aligned} \bar{\nu} &= \Delta \sin 2\theta, & \bar{\beta} &= \beta \cos 2\theta + b \sin 2\theta, \\ \Omega &= (\mu^2 + \nu^2 - b^2 - \beta^2)^{1/2}. \end{aligned} \quad (31)$$

The interesting feature is that b, β affect the oscillation frequency. A simple extension where $c \neq 0$ is

$$M_D^V = - \begin{pmatrix} \gamma & b & c \\ b & \gamma & \beta \\ c & \beta & \gamma \end{pmatrix} \quad (32)$$

with the following constraints:

$$\begin{aligned} b^2 &\leq \gamma^2/4, & \beta^2 &\leq \gamma^2/4, & c^2 &\leq \gamma^2/4, \\ \frac{\gamma}{2} \left(\frac{\gamma^2}{4} - (b^2 + c^2 + \beta^2) \right) - 2bc\beta &\geq 0. \end{aligned} \quad (33)$$

For this scenario, there is no simple form for the matrix exponential [Eq. (10)], even if $b = \beta = 0$ or $A = 0$. An alternative is to assume that the decoherence parameters are small $\gamma \ll \Delta, \gamma t \ll 1$. Expanding to first order in the decoherence parameters

$$\begin{aligned}
 P_{\nu_e \nu_\mu} = & \sin^2 2\tilde{\theta} \left[\sin^2 \frac{\Omega t}{2} + \left(\gamma + \frac{c\mu\nu}{\Omega^2} \right) t \cos \Delta t + \frac{c\mu\nu}{\Delta\Omega^2} \right] \\
 & + \frac{1}{2} \cos^2 2\tilde{\theta} \left(\gamma - \frac{c\mu\nu}{\Omega^2} \right) t \\
 & + \frac{c}{2\Delta} \sin 4\tilde{\theta} \frac{\mu^2 - \nu^2}{\Omega^2} \sin \Delta t,
 \end{aligned} \quad (34)$$

where μ, ν are defined in (21) and $\Omega = (\mu^2 + \nu^2)^{1/2}$. Note that the parameters b, β do not contribute to the oscillation probability at first order. This feature is independent of the particular form of M_D^V . The vacuum limit is recovered by setting $\mu \rightarrow -\Delta, \nu \rightarrow 0, \Omega \rightarrow \Delta$, and $\tilde{\theta} \rightarrow \theta$,

$$\begin{aligned}
 P_{\nu_e \nu_\mu}^{A=0} = & \frac{1}{2} \gamma t + \sin^2 2\theta (1 - \gamma t) \sin^2 \frac{\Delta t}{2} \\
 & + \frac{c}{2\Delta} \sin 4\theta \sin \Delta t.
 \end{aligned} \quad (35)$$

We appreciate that the off-diagonal parameter c has a contribution comparable to the diagonal element γ even in the vacuum. In Fig. 2, we present the probability when $b = \beta = 0$ and $\gamma = 10^{-23}$ GeV, which is appropriate for the DUNE baseline. The values chosen for c are zero and $\gamma/2$, and we display the results after propagation in vacuum and in matter. In both cases, it is clear that the contribution from c cannot be neglected. The discrepancy between $c = 0$ and $c = \gamma/2$ starts from approximately 4.0 GeV and increases with energy.

C. Conservation of energy

In literature, the possibility of choosing a decoherence matrix such that energy is conserved has also been suggested. Conservation of energy implies that $\text{Tr}(\dot{\rho}H) = 0$ which requires $\dot{\rho}_3^M = 0$ if we work in the MMB. This conditions reads

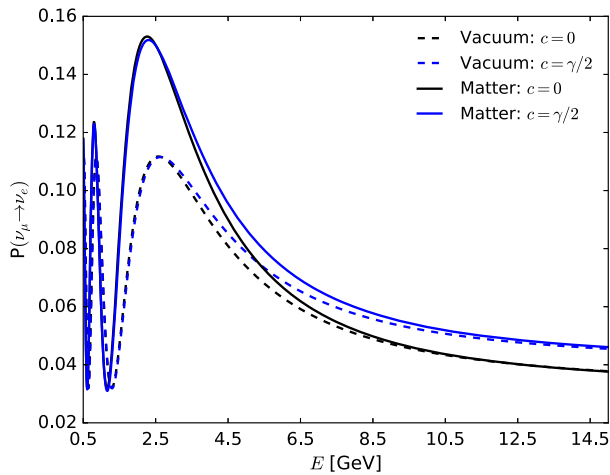


FIG. 2. Oscillation probabilities for the decoherence matrix given in (32). All the probabilities presented in the plot were numerically found.

$$-\tilde{c}\rho_1^M - \tilde{\beta}\rho_2^M - \tilde{\gamma}\rho_3^M = 0. \quad (36)$$

For this to be valid at all t requires $\tilde{c} = \tilde{\beta} = \tilde{\gamma} = 0$. We also need these three parameters to be E -independent, meaning that $a = b = c = \beta = \gamma = 0$ and α arbitrary [see Eq. (25)]. The decoherence matrix must also satisfy the inequalities $2R \geq 0$ and $2S \geq 0$, given in Eq. (23), which is achieved only if $\alpha = 0$ (the remaining inequalities are automatically satisfied). We therefore conclude that there is no decoherence phenomenon that allows for energy conservation in matter at all energies.

IV. THREE GENERATION MIXING

A. Perturbative approach

We now write the decoherence matrix in the VMB as $M_D^V = \Gamma M_\Gamma^V$, where $\Gamma = \max |(M_D)_{ii}|$. In order to develop our perturbative approach we treat ΓL as a small parameter, with $\Gamma L \sim 0.1$ being the upper limit for the validity of our probability formula. For a DUNE baseline of 1300 km this corresponds to $\Gamma \sim 10^{-23}$ GeV. Likewise, the leading term in M_H is of order $\mathcal{O}(\Delta_{31})$ and, for an energy $E = 10$ GeV, $\Gamma/\Delta_{31} \sim 0.08$.

The matrix U_T uses the effective oscillation parameters, which are expanded in power series of $\alpha = \Delta m_{21}^2/\Delta m_{31}^2$ and θ_{13} [see Eqs. (A1) and (A2)]. The matrix $M_D^M = PM_D^V P^T$ will admit a similar expansion,

$$M_D^M = PM_D^V P^T = \Gamma M_\Gamma + \Gamma \alpha M_{\Gamma\alpha} + \Gamma \theta_{13} M_{\Gamma\theta} + \dots \quad (37)$$

The standard oscillation contribution can be solved exactly in the MMB, so we rewrite Eq. (9) as

$$\dot{\tilde{\rho}}(t) = (\Gamma \tilde{M}_\Gamma + \Gamma \alpha \tilde{M}_{\Gamma\alpha} + \Gamma \theta_{13} \tilde{M}_{\Gamma\theta} + \dots) \tilde{\rho}(t), \quad (38)$$

where $\tilde{\rho}(t) = e^{-M_H t} \rho^M(t)$ and the matrices \tilde{M} are related to the previous M via $\tilde{M} = e^{-M_H t} M e^{M_H t}$. This equation is then solved perturbatively by expanding the solution $\tilde{\rho}$ as a power series,

$$\begin{aligned}
 \tilde{\rho} = & \rho^{(0)} + \alpha \rho^{(\alpha)} + \theta_{13} \rho^{(\theta)} + \Gamma \rho^{(\Gamma)} \\
 & + \alpha \theta_{13} \rho^{(\alpha\theta)} + \theta_{13}^2 \rho^{(\theta^2)} + \alpha^2 \rho^{(\alpha^2)} \\
 & + \Gamma \theta_{13} \rho^{(\Gamma\theta)} + \Gamma \alpha \rho^{(\Gamma\alpha)} + \Gamma^2 \rho^{(\Gamma^2)} + \dots,
 \end{aligned} \quad (39)$$

yielding a sequence of first order differential equations by substituting (39) into (38) and grouping terms of equal powers. The solutions can be found with software such as *Mathematica* and will only be shown for a particular decoherence matrix in Sec. IV C.

We must note that all Γ -independent terms ($\rho^{(0)}, \rho^{(\theta)}$, etc.) in the solution of (39) do not evolve in time and will contribute to the standard neutrino oscillation probability in matter. Although we do not write these terms explicitly in the probability formula, since the standard oscillation

contribution is calculated numerically, these Γ -independent terms are still required to calculate terms of higher order that involve Γ . For example, we need to find $\rho^{(\alpha)}$ to calculate $\rho^{(\Gamma\alpha)}$.

The initial condition $\tilde{\rho}(0) = \rho(0) = \rho_{\nu_\beta}$ depends on U_M only and is Γ independent. Any term proportional to Γ ($\rho^{(\Gamma)}$, $\rho^{(\Gamma\alpha)}$, etc.) will therefore vanish at $t=0$. After calculating $\tilde{\rho}$, oscillation probabilities are found using

$$P_{\nu_\beta\nu_{\beta'}} = \frac{1}{3} + \frac{1}{2}(\rho_{\nu_{\beta'}}^M)^T e^{M_H t} \tilde{\rho}_{\nu_\beta}(t). \quad (40)$$

Given our method, the probability is also a power series in α , θ_{13} , and the elements in the decoherence matrix.

B. Decoherence matrix

Starting from a diagonal matrix M_D^V , with all its elements different, and demanding $M_D^V = M_D^M$ in Eqs. (B2) and (B4) given in Appendix B we find that for $\delta = 0, \pi$,

$$M_D^V = M_D^M = -\text{Diag}(\Gamma_1, \Gamma_2, \Gamma_1, \Gamma_1, \Gamma_2, \Gamma_1, \Gamma_2, \Gamma_1), \quad (41)$$

and for $\delta = \pi/2, 3\pi/2$,

$$M_D^V = M_D^M = -\text{Diag}(\Gamma_1, \Gamma_2, \Gamma_1, \Gamma_2, \Gamma_1, \Gamma_2, \Gamma_1, \Gamma_1), \quad (42)$$

subject to the condition $\Gamma_1/3 \leq \Gamma_2 \leq 5\Gamma_1/3$.

We have verified numerically that the diagonal cases above are the only ones that remain unchanged after being rotated into the MMB. Besides these cases we have the trivial one when M_D^V is proportional to the \mathbb{I} . As we have already mentioned in Sec. II C, only for these diagonal M_D^M matrices can we apply the EMPS to obtain the matter + decoherence oscillation probabilities.

We notice that the latter procedure has been misleadingly applied in recent papers. For instance, the M_D^M given in [45],

$$M_D^M = -\text{Diag}(\Gamma_1, \Gamma_1, 0, \Gamma_2, \Gamma_2, \Gamma_3, \Gamma_3, 0), \quad (43)$$

or

$$M_D^M = -\text{Diag}(0, 0, 0, \Gamma, \Gamma, \Gamma, \Gamma, 0) \quad (44)$$

used in [66], do not depend on A or E and must follow the relation $M_D^V = M_D^M$. The matrix changes form when rotated back to the VMB and the resulting M_D^V is A dependent. The latter does not make sense since decoherence, which is actually defined in the VMB, is an effect independent of the matter potential. Therefore the matrices M_D^M given in Eqs. (43) and (44) cannot be derived from a realistic decoherence scenario. On the other hand, if we consider the matrices in Eqs. (43) and (44) as M_D^V , decoherence matrices defined in the VMB, they certainly meet all the physical conditions required to be a decoherence matrix in vacuum.

However, they are not suitable for the application of the EMPS.

For simplicity, we will assume for our calculations the decoherence matrix in the VMB as diagonal. We assume one of the simpler forms

$$M_D^V = -\text{Diag}(\Gamma_2, \Gamma_2, 0, \Gamma_4, \Gamma_4, \Gamma_4, \Gamma_4, 0), \quad (45)$$

which is subject to the condition $\Gamma_2 \leq 4\Gamma_4$.

C. Matter + decoherence oscillation probabilities

We set $t=L$ and, in the context of DUNE, $L=1300$ km is going to be the baseline of the experiment. As a first scenario, we will assume there is no decoherence and define $\Delta = \Delta_{31}L/2$. Defining $\bar{\Gamma}_i = \Gamma_i L$, we obtain the neutrino oscillation probabilities, where the appearance probability is given by

$$\begin{aligned} P_{\nu_\mu\nu_e} &= P_{\nu_\mu\nu_e}^{(0)} + \frac{1}{2}\bar{\Gamma}_2 \cos^2\theta_{23} \sin^2 2\theta_{12} \\ &\quad - \frac{1}{4} \cos^2\theta_{23} \bar{\Gamma}_2^2 \left[\sin^4 2\theta_{12} + \sin^2 4\theta_{12} \frac{\sin^2(A\Delta)}{4A^2\Delta^2} \right] \\ &\quad + \frac{\bar{\Gamma}_2\theta_{13} \sin 2\theta_{23} \sin 4\theta_{12}}{4(1-A)A\Delta} [\sin(A\Delta) \cos(\delta + A\Delta) \\ &\quad - A^2 \sin \Delta \cos(\delta + \Delta)] - \frac{\alpha \bar{\Gamma}_2}{2A^2\Delta} \cos 2\theta_{12} \cos^2\theta_{23} \sin^2 2\theta_{12} \\ &\quad \times (\sin 2A\Delta - 2A\Delta) \end{aligned} \quad (46)$$

and the survival probability is

$$\begin{aligned} P_{\nu_\mu\nu_\mu} &= P_{\nu_\mu\nu_\mu}^{(0)} - \frac{1}{2} \sin^2 2\theta_{23} \left(\bar{\Gamma}_4 - \frac{1}{2} \bar{\Gamma}_4^2 \right) \cos(2\Delta) \\ &\quad + \frac{1}{4} \cos^4\theta_{23} \bar{\Gamma}_2^2 \left[\sin^4 2\theta_{12} + \sin^2 4\theta_{12} \frac{\sin^2(A\Delta)}{4A^2\Delta^2} \right] \\ &\quad + \frac{\bar{\Gamma}_2 \alpha \cos 2\theta_{12} \cos^4\theta_{23} \sin^2 2\theta_{12}}{2A^2\Delta} (\sin 2A\Delta - 2A\Delta) \\ &\quad - \frac{\bar{\Gamma}_2\theta_{13} \sin 2\theta_{23} \cos^2\theta_{23} \sin 4\theta_{12} \cos \delta}{4(1-A)\Delta} \\ &\quad \times (\sin 2A\Delta - A^2 \sin 2\Delta). \end{aligned} \quad (47)$$

The probabilities $P_{\nu_\mu\nu_e}^{(0)}$ and $P_{\nu_\mu\nu_\mu}^{(0)}$ are, respectively, the appearance and survival probabilities in the absence of decoherence ($\Gamma_i = 0$). These can be calculated numerically by any standard neutrino oscillation package. The anti-neutrino channels are found via the replacement $\delta \rightarrow -\delta$ and $A \rightarrow -A$. We have also compared this result to numerical simulations, with an error lower than 5% in the energy range $0.3 \leq E/\text{GeV} \leq 10.0$ when $\Gamma \leq 10^{-23}$ GeV for all channels, assuming the central values of the standard oscillation parameters. We therefore use these formulas to describe new features arising from decoherence.

TABLE II. Standard neutrino oscillation parameters obtained from global fits [64] and DUNE baseline parameters.

Parameter	Value
θ_{12}	0.5843
θ_{23} (NH)	0.738
θ_{13}	0.148
Δm_{21}^2	$7.5 \times 10^{-5} \text{ eV}^2$
Δm_{31}^2 (NH)	$2.457 \times 10^{-3} \text{ eV}^2$
Matter density ρ	2.97 g cm^{-3}
Baseline L	1300 km

In this case, we see that Γ_4 does not contribute to the survival probability at the lowest order. Note that both the appearance and survival probabilities exhibit A and E -independent terms proportional to Γ_2 or Γ_2^2 . We will call these effects a shift. A second term proportional to Γ_2^2 is also present, which depends on $\sin(A\Delta)$, and becomes a matter-dependent term that vanishes for vacuum oscillations.

One of the more interesting features is CP violation induced by decoherence. Looking at Eq. (46), the CP violating terms are proportional to $\Gamma_2\alpha$ and $\Gamma_2\theta_{13}$, in addition to the standard CP violation arising from $P_{\nu_\mu\nu_e}^{(0)}$. In the limit $A \rightarrow 0$, decoherence induced CP violating terms vanish at second order and the effect becomes subdominant.

D. Results

To present our results, we calculate the 3ν neutrino oscillation probabilities, including decoherence effects, using the NUSQUIDS package [67]. We compare the case of no decoherence with the decoherence biparameter model given in Eq. (45). We set $\Gamma_2 = 10^{-23} \text{ GeV}$ and Γ_4 to the

following values: 2.5×10^{-24} , 10^{-23} , and 10^{-22} GeV . These values of Γ_4 are chosen in order to satisfy $\Gamma_2 \leq 4\Gamma_4$, where $\Gamma_4 = 2.5 \times 10^{-24} \text{ GeV}$ is the limit value. Standard neutrino oscillation parameters are fixed to the central values in [64] (see Table II) with the exception of δ . Normal hierarchy (NH) is assumed throughout.

The appearance probabilities with the aforementioned set of values are shown in Fig. 3, for both neutrinos and antineutrinos. As we can see, the appearance probability has no significant change in shape after introducing decoherence. We do observe that the probabilities are higher in the presence of decoherence at all energies, whether it is the neutrino or antineutrino channel. The term responsible for this shift is the energy independent term proportional to Γ_2 which dominates the decoherence contribution appearing in our (46). It is important to recall that this formula is valid up to values $\Gamma \sim 10^{-23} \text{ GeV}$. We also note that for values of Γ_4 between 2.5×10^{-24} and 10^{-23} GeV , this parameter has a negligible influence on the probabilities. Our analytical formula (46) reflects this since it has no decoherence contribution involving Γ_4 . On the other hand, when Γ_4 is raised to 10^{-22} GeV , past the validity of our formula, the damping effect due to decoherence begins to take control in the oscillation probabilities, diminishing its amplitudes.

Additionally, using our numerical approach, we have tested the results given in [45]. We do not reproduce the different peaks exhibited in the plots given in this reference, and its probabilities do not have the energy independent shift predicted by our numerical and analytical results (see Fig. 4 in [45]). Similar to the two generation case, in [45] the effects of rotating the decoherence matrix in the three generation framework were not properly treated. The latter is the root of the appearance of these strange peaks.

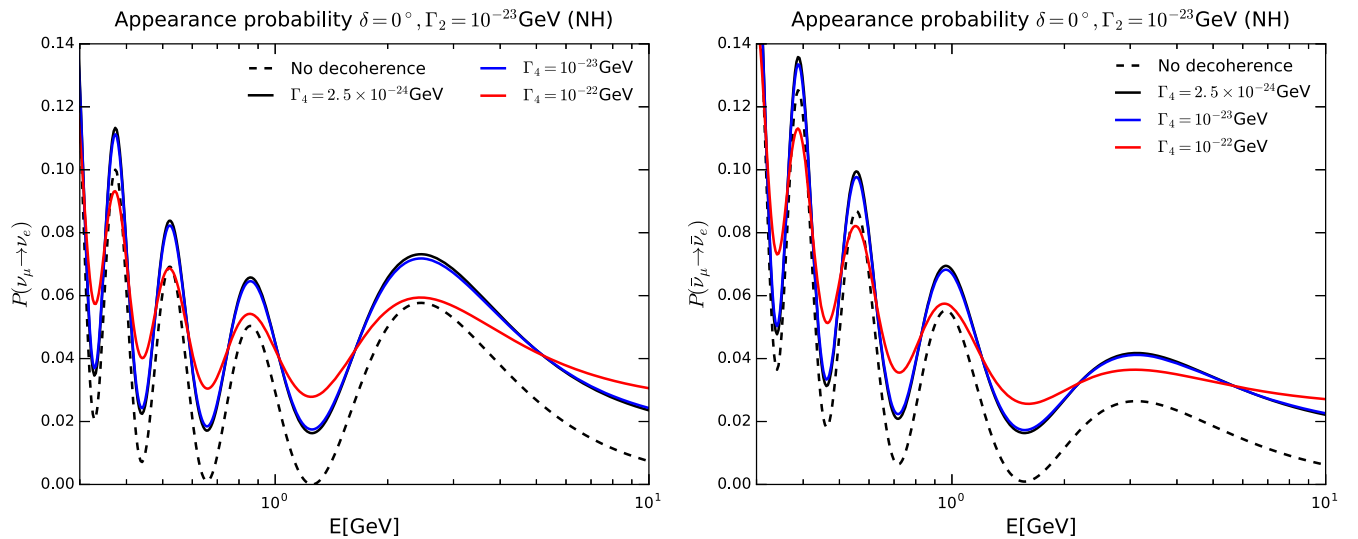


FIG. 3. Effects of decoherence on appearance probabilities assuming DUNE's baseline, $\delta = 0^\circ$, and normal hierarchy for neutrino (left) and antineutrino (right) channels.

After assessing the decoherence effects on the probabilities, we look into its effects on CP violation. For this study we use the CP asymmetry defined as

$$\mathcal{A} = \frac{|P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)|}{P(\nu_\mu \rightarrow \nu_e) + P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)}. \quad (48)$$

In Fig. 4, we show four contour plots of the CP asymmetry \mathcal{A} , in the plane δ and Γ , corresponding to four neutrino energies: 0.5, 2.7, 4.0, and 6.0 GeV. The latter values are representatives of the energy range of DUNE's neutrino beam spectrum. We set the decoherence parameters as follows: $\Gamma = \Gamma_2 = 4\Gamma_4$. In each plot of this figure, we have highlighted the isocontours \mathcal{A} produced by

evaluating all the combinations between $\delta = \{\pi/2, 3\pi/2\}$ and $\Gamma = \{0, 1, 2\} \times 10^{-23}$ GeV. We do not display the isocontour that contains $(3\pi/2, 0)$, given that this is practically a dot at this pair.

First, we observe a common feature of the asymmetry \mathcal{A} for these energies: as we increase the Γ , the asymmetry decreases with respect to its values at $\Gamma = 0$ (the pure standard oscillation case), for any δ . For example, looking at 2.7 GeV, we see that for $\Gamma = 0$ and $\delta = \pi/2(3\pi/2)$, the asymmetry has values of 0.11 (0.64). Meanwhile, for $\Gamma = 2 \times 10^{-23}$ and $\delta = \pi/2(3\pi/2)$, the value of the asymmetry is 0.08 (0.42), turning out in a decrement of the asymmetry by 28% (35%). Therefore, the tendency of this observable to vanish could be a signature of the decoherence

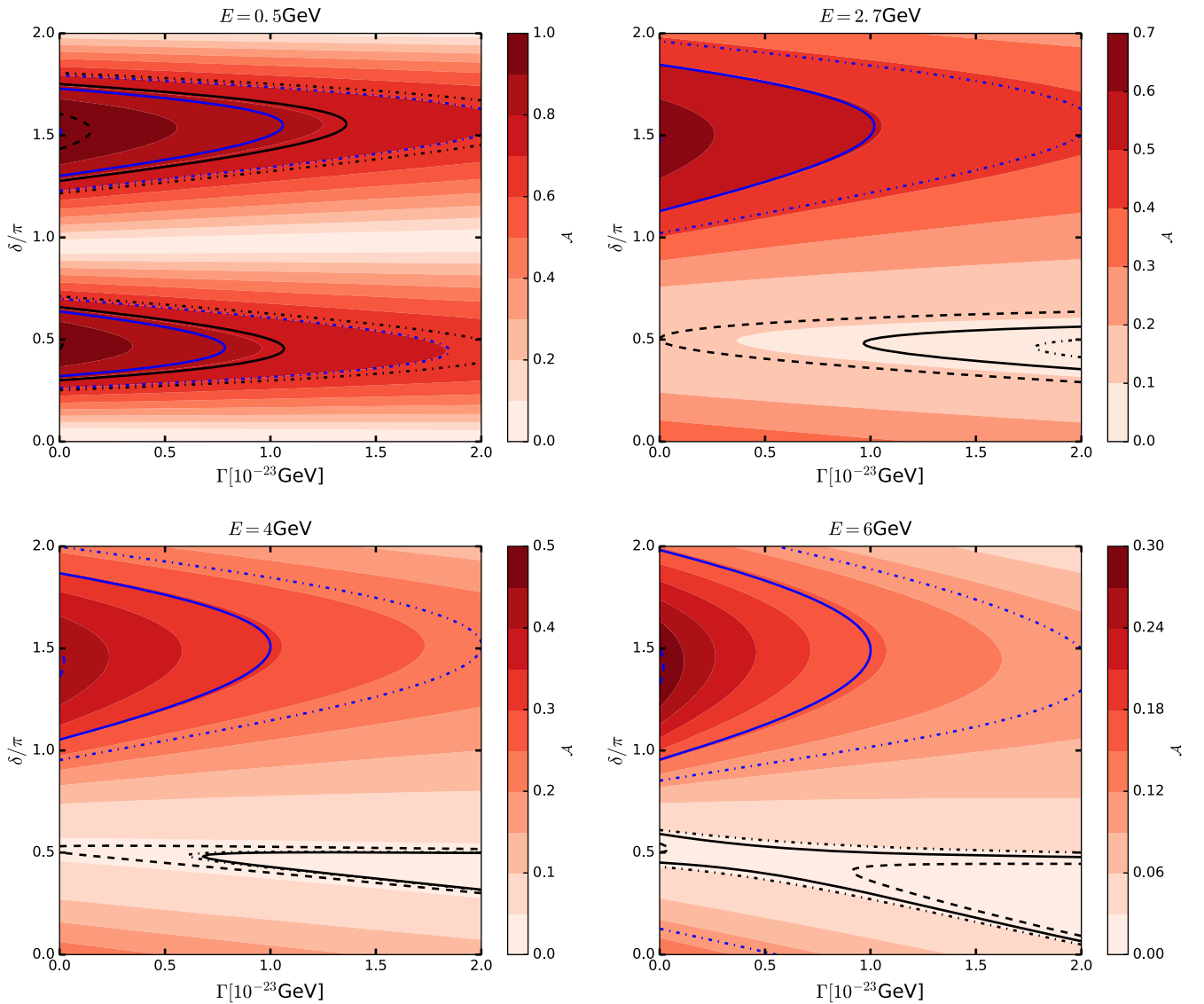


FIG. 4. Effects of decoherence on CP asymmetry, assuming DUNE's baseline and normal hierarchy for various energies. The blue (black) lines correspond to $\delta = 3\pi/2(\pi/2)$ contours at select values of the decoherence parameter Γ , using solid (dot-dashed) lines for $\Gamma = 10^{-23}$ GeV (2×10^{-23} GeV). The dashed line is the $(\pi/2, 0)$ contour.

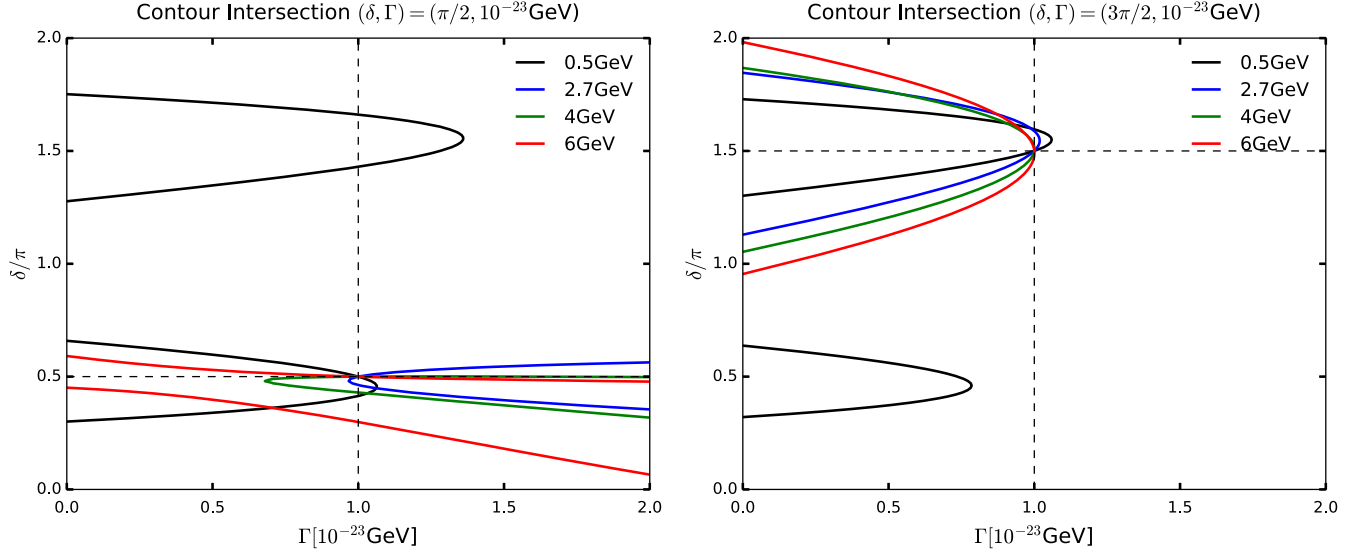


FIG. 5. Intersection of the isocontours in Fig. 4 for $(\delta, \Gamma) = (\pi/2, 10^{-23} \text{ GeV})$ (left panel) and $(\delta, \Gamma) = (3\pi/2, 10^{-23} \text{ GeV})$ (right panel) at the selected energies.

phenomena. The degradation of the asymmetry can be explained by the term proportional to $\bar{\Gamma}_2 \theta_{13}$ in our approximate formula that was verified numerically.

Second, the isocontour curves, which contain points with the same CP asymmetry \mathcal{A} , reveal the existence of a degeneracy in the values of (δ, Γ) . Taking, for instance, the 2.7 GeV curves, we appreciate that the isocontour produced by the pair $(3\pi/2, 2 \times 10^{-23} \text{ GeV})$ gives the same value of \mathcal{A} as pairs like $(1.12\pi, 0)$ and $(1.84\pi, 0)$, which refer to the pure standard oscillation case. The values of \mathcal{A} at these pairs are lower than the one at $(3\pi/2, 0)$. Likewise, for $(\pi/2, 0)$ we note that the same value of \mathcal{A} is obtained for $(0.6\pi, 2 \times 10^{-23} \text{ GeV})$ and $(0.36\pi, 2 \times 10^{-23} \text{ GeV})$. For this last case, the value of \mathcal{A} is higher than the one at $(\pi/2, 2 \times 10^{-23} \text{ GeV})$.

Now we explore the possibility of lifting these degeneracies for the following (δ, Γ) pairs: $(\pi/2, 10^{-23} \text{ GeV})$ and $(3\pi/2, 10^{-23} \text{ GeV})$, shown in Fig. 5. In these figures we displayed various isocontours corresponding to different energies, 0.5 GeV, 2.7 GeV, 4.0 GeV, and 6 GeV. We observe that for both (δ, Γ) pairs these four curves intersect only at the true point, thus solving the degeneracy. The clarity of the solution to the degeneracy problem in (δ, Γ) would be affected if we consider a detailed experimental context. For the latter case we expect allowed regions around the true point and possibly around others (at some confidence level), being difficult to predict at what confidence level we may identify the true solution. An implication of this is the possibility of imposing upper limits in Γ .

Although it is not shown, we also looked at $\Delta P = P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$. Here the decrement is 13% (16%) for values of $\Gamma = 2 \times 10^{-23} \text{ GeV}$ and $\delta = \pi/2(3\pi/2)$. In the

asymmetry \mathcal{A} these differences are magnified due to the increment in the denominator when decoherence is present.

In the latest global analysis of neutrino data, using the standard oscillation hypothesis, a hint for $\delta = 1.3\pi$ has been found [68]. If decoherence is present as a subleading effect, the latter result has to be taken with care, due to the degeneracy introduced by decoherence in the measurement of δ . In fact, it would be valuable to assess this distortion in the context of a simulation that convolutes the neutrino probabilities with the cross section, fluxes, efficiencies, resolutions, etc., in facilities such as DUNE [69].

V. SUMMARY AND CONCLUSIONS

We have reexamined neutrino oscillation probabilities in matter in the presence of subleading decoherence effects. The effect of rotating from the VMB to the MMB was mentioned, pointing out that such a rotation inevitably changes the form of the decoherence matrix in the new basis. The inability of substituting oscillation parameters in the vacuum oscillation formula with their effective values in matter for arbitrary decoherence matrices has been heavily emphasized, providing strict conditions that must be satisfied for this method to be viable. In the context of three generation mixing, we have presented a perturbative approach to the decoherence problem, valid for $\Gamma < 10^{-23} \text{ GeV}$ for the DUNE baseline, which explains the prominent features of the oscillation probabilities. More importantly, we show that a term proportional to $\Gamma \theta_{13}$ connects decoherence with CP violation. This term causes a (δ, Γ) degeneracy associated with a reduction of the CP asymmetry, when the decoherence parameter increases. We have shown that it is possible to lift these degeneracies, at the level of neutrino oscillation probabilities, combining

a set of energies from the DUNE neutrino energy beam. However, to give a more precise answer, a realistic analysis is needed. Without a doubt, a future measurement of the CP asymmetry is going to be a useful tool for either bringing to light the decoherence phenomena or constraining it.

ACKNOWLEDGMENTS

The authors acknowledge funding by the *Dirección de Gestión de la Investigación* at PUCP, through Grants No. DGI-2015-3-0026 and No. DGI-2017-3-0019. They also thank J. Jones-Pérez and C. Argüelles for a very careful reading of the manuscript and useful suggestions.

APPENDIX A: EFFECTIVE MIXING ANGLES AND MASSES

In Ref. [70], \tilde{m}_{ij}^2 and $\tilde{\theta}_{ij}$ were expressed as functions of the small parameters $\alpha = \Delta m_{21}^2 / \Delta m_{31}^2$ and $\sin \theta_{13}$. We follow a similar approach, the difference being that we rewrite the effective quantities as power series in α , θ_{13} up to second order

$$\begin{aligned} \sin \tilde{\theta}_{13} &= \frac{1}{1-A} \theta_{13} + \alpha \theta_{13} A \sin^2 2\theta_{12}, \\ \sin \tilde{\theta}_{23} &= \sin \theta_{23} + \alpha \theta_{13} \frac{A \cos \delta \cos \theta_{23} \sin 2\theta_{12}}{2(1-A)}, \\ \sin \tilde{\theta}_{12} &= -\alpha \frac{\cos \theta_{12} \sin \theta_{12}}{A} - \alpha^2 \frac{\sin 4\theta_{12}}{4A^2} \\ &\quad - \alpha \theta_{13} \frac{\sin 2\theta_{12}}{2A}, \\ \sin \tilde{\delta} &= \sin \delta, \end{aligned} \quad (\text{A1})$$

which facilitates the calculations presented in our method.

Similarly, the effective masses are expanded as follows:

$$\begin{aligned} \tilde{\Delta}_{21} &= \Delta_{31} \left(-A + \alpha \cos 2\theta_{12} + \frac{A\theta_{13}^2}{1-A} \right), \\ \tilde{\Delta}_{32} &= \Delta_{31} \left(1 - \alpha \cos^2 \theta_{12} + \frac{\alpha^2 \sin 2\theta_{12}}{2A} + \frac{A\theta_{13}^2}{2(1-A)} \right), \\ \tilde{\Delta}_{31} &= \Delta_{31} \left(1 - A - \frac{\alpha \sin^2 \theta_{12}}{1-A} \right). \end{aligned} \quad (\text{A2})$$

APPENDIX B: DECOHERENCE MATRICES INVARIANT TO MMB ROTATIONS FOR THREE GENERATIONS

Suppose we wish to find a matrix that remains invariant after performing rotations of the form (15). As an example, we choose the matrix $M_D^V = -\text{Diag}(\Gamma_1, \Gamma_2, \dots, \Gamma_8)$. We will work in a power series of α , θ_{13} as outlined in IV, using the approximate formulas for the effective angles and masses. The rotated matrix M_D^M is expressed as a power

series as shown in Eq. (37). If we demand $M_D^M = M_D^V$, and a diagonal form for these matrices, it follows that $M_\Gamma, M_{\Gamma\alpha}, M_{\Gamma\theta}, \dots$ are all diagonal. Starting off with the leading term, we have

$$M_\Gamma = - \begin{pmatrix} X & 0 & P_1 & 0 & 0 & 0 & 0 & 0 \\ 0 & \Gamma_2 & 0 & 0 & 0 & 0 & 0 & 0 \\ P_1 & 0 & X & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & X & 0 & P_2 & 0 & 0 \\ 0 & 0 & 0 & 0 & X & 0 & P_3 & 0 \\ 0 & 0 & 0 & P_2 & 0 & X & 0 & 0 \\ 0 & 0 & 0 & 0 & P_3 & 0 & X & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \Gamma_8 \end{pmatrix}, \quad (\text{B1})$$

where

$$\begin{aligned} P_1 &= \frac{1}{2} \sin(4\theta_{12})(\Gamma_3 - \Gamma_1), \\ P_2 &= \frac{1}{2} \sin(2\theta_{12})(\Gamma_6 - \Gamma_4), \\ P_3 &= \frac{1}{2} \sin(2\theta_{12})(\Gamma_7 - \Gamma_5), \end{aligned} \quad (\text{B2})$$

and X are expressions that we are not currently interested in, since they belong to the main diagonal. If M_Γ is diagonal, it follows that $\Gamma_1 = \Gamma_3$, $\Gamma_4 = \Gamma_6$, and $\Gamma_5 = \Gamma_7$. Imposing this condition on $M_{\Gamma\theta}$, we find that

$$M_{\Gamma\theta} = \frac{A\theta_{13}}{A-1} \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & Q_1 & Q_2 & 0 \\ 0 & 0 & 0 & 0 & 0 & Q_3 & Q_4 & 0 \\ 0 & 0 & 0 & Q_1 & Q_2 & 0 & 0 & 0 \\ 0 & 0 & Q_1 & 0 & 0 & 0 & 0 & Q_5 \\ 0 & 0 & Q_2 & 0 & 0 & 0 & 0 & Q_6 \\ Q_1 & Q_3 & 0 & 0 & 0 & 0 & 0 & 0 \\ Q_2 & Q_4 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & Q_5 & Q_6 & 0 & 0 & 0 \end{pmatrix} \quad (\text{B3})$$

with

$$\begin{aligned} Q_1 &= (\Gamma_1 - \Gamma_4) \cos \delta, & Q_2 &= (\Gamma_1 - \Gamma_5) \sin \delta, \\ Q_3 &= (\Gamma_2 - \Gamma_4) \sin \delta, & Q_4 &= (\Gamma_5 - \Gamma_2) \cos \delta, \\ Q_5 &= \sqrt{3}(\Gamma_8 - \Gamma_3) \cos \delta, & Q_6 &= \sqrt{3}(\Gamma_8 - \Gamma_5) \sin \delta. \end{aligned} \quad (\text{B4})$$

For this matrix to be diagonal, $M_{\Gamma\theta}$ must vanish, and this turns into three possibilities:

- (1) All Γ_i are equal and M_D^M (or M_D^V) are proportional to the identity.

- (2) For the case $\delta = 0$, π , we have $\Gamma_1 = \Gamma_4$, $\Gamma_3 = \Gamma_8$, and $\Gamma_2 = \Gamma_5$.
- (3) For the case $\delta = \pi/2$, $3\pi/2$, we have $\Gamma_1 = \Gamma_5$, $\Gamma_2 = \Gamma_4$, and $\Gamma_3 = \Gamma_8$,

plus the extra conditions given for a diagonal M_Γ , relevant for the second and third cases. The explicit expressions for M_D^M (or M_D^V) are given in Eqs. (41) and (42) for $\delta = 0$, π and $\delta = \pi/2$, $3\pi/2$, respectively.

-
- [1] S. Fukuda *et al.* (Super-Kamiokande Collaboration), *Phys. Rev. Lett.* **86**, 5651 (2001).
- [2] Q. R. Ahmad *et al.* (SNO Collaboration), *Phys. Rev. Lett.* **89**, 011302 (2002).
- [3] Y. Fukuda *et al.* (Super-Kamiokande Collaboration), *Phys. Rev. Lett.* **81**, 1562 (1998).
- [4] T. Kajita *et al.* (Super-Kamiokande Collaboration), *Nucl. Phys.* **B908**, 14 (2016).
- [5] T. Araki *et al.* (KamLAND Collaboration), *Phys. Rev. Lett.* **94**, 081801 (2005).
- [6] F. P. An *et al.* (Daya Bay Collaboration), *Phys. Rev. Lett.* **108**, 171803 (2012).
- [7] P. Adamson *et al.* (MINOS Collaboration), *Phys. Rev. D* **77**, 072002 (2008).
- [8] J. M. Berryman, A. de Gouvêa, D. Hernandez, and R. L. N. Oliveira, *Phys. Lett. B* **742**, 74 (2015).
- [9] J. A. Frieman, H. E. Haber, and K. Freese, *Phys. Lett. B* **200**, 115 (1988).
- [10] R. Raghavan, X.-G. He, and S. Pakvasa, *Phys. Rev. D* **38**, 1317 (1988).
- [11] Z. Berezhiani, G. Fiorentini, M. Moretti, and A. Rossi, *Z. Phys. C* **54**, 581 (1992).
- [12] Z. Berezhiani, G. Fiorentini, A. Rossi, and M. Moretti, *JETP Lett.* **55**, 151 (1992).
- [13] Z. G. Berezhiani and A. Rossi, [arXiv:hep-ph/9306278](https://arxiv.org/abs/hep-ph/9306278).
- [14] V. D. Barger, J. G. Learned, P. Lipari, M. Lusignoli, S. Pakvasa, and T. J. Weiler, *Phys. Lett. B* **462**, 109 (1999).
- [15] J. F. Beacom and N. F. Bell, *Phys. Rev. D* **65**, 113009 (2002).
- [16] A. S. Josphipura, E. Masso, and S. Mohanty, *Phys. Rev. D* **66**, 113008 (2002).
- [17] A. Bandyopadhyay, S. Choubey, and S. Goswami, *Phys. Lett. B* **555**, 33 (2003).
- [18] S. Ando, *Phys. Rev. D* **70**, 033004 (2004).
- [19] G. Fogli, E. Lisi, A. Mirizzi, and D. Montanino, *Phys. Rev. D* **70**, 013001 (2004).
- [20] S. Palomares-Ruiz, S. Pascoli, and T. Schwetz, *J. High Energy Phys.* **09** (2005) 048.
- [21] M. C. Gonzalez-Garcia and M. Maltoni, *Phys. Lett. B* **663**, 405 (2008).
- [22] M. Maltoni and W. Winter, *J. High Energy Phys.* **07** (2008) 064.
- [23] P. Baerwald, M. Bustamante, and W. Winter, *J. Cosmol. Astropart. Phys.* **10** (2012) 020.
- [24] D. Meloni and T. Ohlsson, *Phys. Rev. D* **75**, 125017 (2007).
- [25] C. R. Das and J. Pulido, *Phys. Rev. D* **83**, 053009 (2011).
- [26] L. Dorame, O. G. Miranda, and J. W. F. Valle, *Front. Phys.* **1**, 25 (2013).
- [27] R. A. Gomes, A. L. G. Gomes, and O. L. G. Peres, *Phys. Lett. B* **740**, 345 (2015).
- [28] R. Picoreti, M. M. Guzzo, P. C. de Holanda, and O. L. G. Peres, *Phys. Lett. B* **761**, 70 (2016).
- [29] T. Abrahão, H. Minakata, and A. A. Quiroga, *J. High Energy Phys.* **11** (2015) 001.
- [30] M. Bustamante, J. F. Beacom, and K. Murase, *Phys. Rev. D* **95**, 063013 (2017).
- [31] A. M. Gago, R. A. Gomes, A. L. G. Gomes, J. Jones-Perez, and O. L. G. Peres, *J. High Energy Phys.* **11** (2017) 022.
- [32] P. Coloma and O. L. G. Peres, [arXiv:1705.03599](https://arxiv.org/abs/1705.03599).
- [33] M. C. Gonzales-Garcia, M. M. Guzzo, P. Krastev, and H. Nunokawa, *Phys. Rev. Lett.* **82**, 3202 (1999).
- [34] S. Bergmann, M. M. Guzzo, P. C. de Holanda, P. Krastev, and H. Nunokawa, *Phys. Rev. D* **62**, 073001 (2000).
- [35] M. M. Guzzo, P. C. de Holanda, and O. L. G. Peres, *Phys. Lett. B* **591**, 1 (2004).
- [36] A. M. Gago, M. M. Guzzo, H. Nunokawa, W. J. C. Teves, and R. Z. Funchal, *Phys. Rev. D* **64**, 073003 (2001).
- [37] A. M. Gago, M. M. Guzzo, P. C. de Holanda, H. Nunokawa, O. L. G. Peres, V. Pleitez, and R. Z. Funchal, *Phys. Rev. D* **65**, 073012 (2002).
- [38] T. Ohlsson, *Rep. Prog. Phys.* **76**, 044201 (2013).
- [39] A. Esmaili and A. Y. Smirnov, *J. High Energy Phys.* **06** (2013) 026.
- [40] F. Benatti and R. Floreanini, *J. High Energy Phys.* **02** (2000) 032.
- [41] F. Benatti and R. Floreanini, *Phys. Rev. D* **64**, 085015 (2001).
- [42] A. M. Gago, E. M. Santos, W. J. C. Teves, and R. Z. Funchal, [arXiv:hep-ph/0208166](https://arxiv.org/abs/hep-ph/0208166).
- [43] R. L. N. Oliveira and M. M. Guzzo, *Eur. Phys. J. C* **69**, 493 (2010).
- [44] R. L. N. Oliveira and M. M. Guzzo, *Eur. Phys. J. C* **73**, 2434 (2013).
- [45] R. L. N. Oliveira, *Eur. Phys. J. C* **76**, 417 (2016).
- [46] E. Lisi, A. Marrone, and D. Montanino, *Phys. Rev. Lett.* **85**, 1166 (2000).
- [47] Y. Farzan, T. Schwetz, and A. Y. Smirnov, *J. High Energy Phys.* **07** (2008) 067.
- [48] G. Barenboim and N. E. Mavromatos, *J. High Energy Phys.* **01** (2005) 034.
- [49] P. Bakhti, Y. Farzan, and T. Schwetz, *J. High Energy Phys.* **05** (2015) 007.
- [50] A. M. Gago, E. M. Santos, W. J. C. Teves, and R. Z. Funchal, *Phys. Rev. D* **63**, 073001 (2001).
- [51] D. Morgan, E. Winstanley, J. Brunner, and L. F. Thompson, *Astropart. Phys.* **25**, 311 (2006).
- [52] G. L. Fogli, E. Lisi, A. Marrone, D. Montanino, and A. Palazzo, *Phys. Rev. D* **76**, 033006 (2007).

- [53] G. Balieiro Gomes, M. M. Guzzo, P. C. de Holanda, and R. L. N. Oliveira, *Phys. Rev. D* **95**, 113005 (2017).
- [54] M. M. Guzzo, P. C. de Holanda, and R. L. N. Oliveira, *Nucl. Phys.* **B908**, 408 (2016).
- [55] J. T. Pantaleone, A. Halprin, and C. N. Leung, *Phys. Rev. D* **47**, R4199 (1993).
- [56] D. Colladay and V. A. Kostelecky, *Phys. Rev. D* **58**, 116002 (1998).
- [57] V. D. Barger, S. Pakvasa, T. J. Weiler, and K. Whisnant, *Phys. Rev. Lett.* **85**, 5055 (2000).
- [58] M. Bustamante, A. M. Gago, and C. Pena-Garay, *J. High Energy Phys.* **04** (2010) 066.
- [59] A. Esmaili, D. R. Gratieri, M. M. Guzzo, P. C. de Holanda, O. L. G. Peres, and G. A. Valdiviesso, *Phys. Rev. D* **89**, 113003 (2014).
- [60] J. Ellis, N. E. Mavromatos, and D. V. Nanopoulos, *Phys. Lett. B* **293**, 37 (1992); *Int. J. Mod. Phys. A* **11**, 1489 (1996).
- [61] F. Benatti and R. Floreanini, *Ann. Phys. (N.Y.)* **273**, 58 (1999).
- [62] S. Hawking, *Commun. Math. Phys.* **87**, 395 (1982); *Phys. Rev. D* **37**, 904 (1988); **53**, 3099 (1996); S. Hawking and C. Hunter, *Phys. Rev. D* **59**, 044025 (1999).
- [63] R. L. N. Oliveira, M. M. Guzzo, and P. C. de Holanda, *Phys. Rev. D* **89**, 053002 (2014).
- [64] R. Acciarri *et al.* (DUNE Collaboration), [arXiv:1512.06148](https://arxiv.org/abs/1512.06148).
- [65] F. Benatti and H. Narnhofer, *Lett. Math. Phys.* **15**, 325 (1988).
- [66] J. A. B. Coelho, W. A. Mann, and S. S. Bashar, *Phys. Rev. Lett.* **118**, 221801 (2017).
- [67] C. A. A. Delgado, J. Salvado, and C. N. Weaver, [arXiv:1412:3832](https://arxiv.org/abs/1412.3832).
- [68] <http://www.nu-fit.org>.
- [69] J. A. Carpio, E. Massoni, and A. M. Gago (to be published).
- [70] M. Freund, *Phys. Rev. D* **64**, 053003 (2001).