

## Primordial perturbations with pre-inflationary bounce

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Based on the effective field theory (EFT) of nonsingular cosmologies, we build a stable model, without the ghost and gradient instabilities, of bounce-inflation (inflation is preceded by a cosmological bounce). We perform a full simulation for the evolution of scalar perturbation, and find that the perturbation spectrum has a large-scale suppression (as expected), which is consistent with the power deficit of the cosmic microwave background (CMB) TT-spectrum at low multipoles, but unexpectedly, it also shows itself one marked lower valley. The depth of valley is relevant with the physics around the bounce scale, which is model-dependent.

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### I. INTRODUCTION

Inflation [1–4] is the current paradigm of early universe. It predicts nearly scale-invariant scalar perturbation, which is consistent with the cosmic microwave background (CMB) observations [5,6], as well as the gravitational waves (GWs). However, it is not the final story of the early universe. As pointed out by Borde, Vilenkin and Guth [7,8], inflation is past-incomplete, and “*inflationary models require physics other than inflation to describe the past boundary of the inflating region of spacetime.*” [8].

This past-incompletion (singularity) of inflation has inspired radical alternatives to inflation, e.g., [9–12]. However, how to make inflation happen in a past-complete scenario is also a noteworthy issue. In certain sense, this actually requires that the pre-inflationary phase should be past-complete. One possibility is that it is slow contracting, so that the infinite past is complete Minkowski spacetime. In such a scenario, a nonsingular bounce preceding inflation must occur (so-called the bounce-inflation scenario) [13].

Recently, the Planck collaboration [14,15] has observed the power deficit of CMB TT-spectrum at large scale. This might be a hint of the pre-inflationary physics, which happens around  $\sim 60$  e-folds, see, e.g., [16]. The idea of bounce-inflation accounted for not only the power deficit on large angular scales [13,17,18], but also a large dipole power asymmetry [17,19] in the CMB fluctuation. Thus we conjectured that the physics hinted by the CMB anomalies might be relevant with the pre-inflationary bounce, see also [20–27].

In physical time  $t$ , the equation of motion of scalar perturbation  $\zeta$  in momentum space is

$$\ddot{\zeta}_k + \left(3H + \frac{\dot{Q}_s}{Q_s}\right)\dot{\zeta}_k + c_s^2 \frac{k^2}{a^2}\zeta_k = 0, \quad (1)$$

where  $H = \dot{a}/a$ , a dot denotes  $d/dt$ ,  $a$  is the scale factor,  $k$  is the comoving wave number,  $c_s$  is the sound speed and  $Q_s$  is a variable with the dimension of mass squared that depends on the behavior of scalar perturbation. Generally,  $Q_s/M_p^2 \sim \epsilon_{\text{cont}} = \text{const} \gg 1$  for the contraction, while  $Q_s/M_p^2 \sim \epsilon_{\text{inf}} < 1$  for the inflation, where  $\epsilon = -\dot{H}/H^2$ . Thus  $Q_s$  inevitably shows itself a jumping around the nonsingular bounce, even if this phase lasts shortly enough. Previous studies neglected the effect of  $Q_s$  on the perturbation spectrum, since this effect is ambiguous without a fully stable (without the ghost and gradient instabilities) nonsingular bounce. Since recently, with the effective field theory (EFT) of nonsingular cosmologies [28–30], we have been able to stably manipulate the bounce [31,32], see also [33,34]. This impels us to reconsider the relevant issue.

In this paper, inspired by [28,29,31,32], we build a fully stable model of bounce-inflation, in which the universe is in the ekpyrotic contraction initially. By numerically solving Eq. (1), we find that the pre-inflationary bounce not only brings the power deficit of the CMB TT-spectrum at low multipoles (as expected in [13,17]), but unexpectedly, also provides an explanation to the dip at multipole  $l \sim 20$  hinted by Planck [6].

### II. THE LAGRANGIAN

Recently, it was found that the nonsingular cosmological models usually suffer from the ghost or gradient instabilities ( $c_s^2 < 0$ ) [35,36], see also [37,38]. Based on the EFT of

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nonsingular cosmologies [28–30], this no-go result has been clearly illustrated (see [39] for recent discussions on the choice of gauge). The cubic Galileon interaction  $\sim \square\phi$  in Horndeski theory [40–42] only moves the period of  $c_s^2 < 0$  to the outside of bounce phase, but cannot dispel it completely [43,44]. It was found first in [28,29] that the operator  $R^{(3)}\delta g^{00}$  in EFT could play significant role in curing the gradient instability of scalar perturbation. Recently, we have built fully stable cosmological bounce models in Ref. [31] by applying the covariant  $L_{R^{(3)}\delta g^{00}}$ .

We follow Ref. [31], and after defining  $\phi_\mu = \nabla_\mu\phi$ ,  $\phi^\mu = \nabla^\mu\phi$ ,  $\phi_{\mu\nu} = \nabla_\nu\nabla_\mu\phi$ ,  $X = \phi_\mu\phi^\mu$  and  $\square\phi = \phi^\mu_{;\mu}$ , write the effective Lagrangian of nonsingular bounce-inflation as ( $\phi$  is set dimensionless)

$$L \sim \underbrace{\frac{M_p^2}{2}R - \frac{M_p^2}{2}X - V(\phi)}_{\text{Contraction+Inflation}} + \underbrace{\tilde{P}(\phi, X)}_{\text{(Ghost free) Bounce}} + \underbrace{L_{\delta g^{00}R^{(3)}}}_{\text{Removing } c_s^2 < 0} + L_{\delta K\delta g^{00}}, \quad (2)$$

where

$$\begin{aligned} L_{\delta g^{00}R^{(3)}} &= \frac{f_1(\phi)}{2}\delta g^{00}R^{(3)} \\ &= \frac{f}{2}R - \frac{X}{2}\int f_{\phi\phi}d\ln X - \left(f_\phi + \int \frac{f_\phi}{2}d\ln X\right)\square\phi \\ &\quad + \frac{f}{2X}[\phi_{\mu\nu}\phi^{\mu\nu} - (\square\phi)^2] \\ &\quad - \frac{f - 2Xf_X}{X^2}[\phi^\mu\phi_{\mu\rho}\phi^{\rho\nu}\phi_\nu - (\square\phi)\phi^\mu\phi_{\mu\nu}\phi^\nu], \quad (3) \end{aligned}$$

$$\begin{aligned} L_{\delta K\delta g^{00}} &= \frac{g_1(\phi)}{2}\delta K\delta g^{00} \\ &= \frac{g}{2\sqrt{-X}}\left(\frac{\phi^\mu\phi_{\mu\nu}\phi^\nu}{X} - \square\phi\right) - \frac{3}{2}g \cdot h, \quad (4) \end{aligned}$$

with  $R^{(3)}$  being the 3-dimensional Ricci scalar on the spacelike hypersurface,  $R^{(3)}\delta g^{00}$  and  $\delta K\delta g^{00}$  being the EFT operators. Though the covariant expression of  $L_{\delta g^{00}R^{(3)}}$  has the higher order of the second order derivative of  $\phi$ , it is Ostrogradski ghost-free [45,46]. In fact, it is straightforward to check that the resulting covariant action  $S = \int d^4x\sqrt{-g}L$  belongs to the class Ia degenerate higher order scalar-tensor (DHOST) theories [47], see Appendix A for details. We briefly review the EFT of nonsingular cosmologies in Appendix B, see (B3) for the definition of  $\delta g^{00}$  and  $\delta K$ .

In (3) and (4),  $f_1$ ,  $g_1$  and  $h$  are (arbitrary) functions of  $\phi$ , thus are also time dependent since  $\phi$  is a function of  $t$ . In the derivation above, the time dependences of  $f$ ,  $g$  and  $h$  should satisfy

$$\begin{aligned} f[\phi(t), X(t)] &\equiv f_1(\phi(t))\left[1 + \frac{X}{f_2(\phi(t))}\right], \\ g[\phi(t), X(t)] &\equiv g_1(\phi(t))\left[1 + \frac{X}{f_2(\phi(t))}\right] \end{aligned} \quad (5)$$

and

$$h(\phi(t)) \equiv H(t), \quad (6)$$

where  $f_2$  is also a function of  $\phi$  and its time dependence should satisfy  $f_2 \equiv \frac{X}{\delta g^{00}-1} = \dot{\phi}^2(t)$ , so that  $f$ ,  $g$  and  $(h - H)$  vanish at background level (see Fig. 9 in Appendix C for the  $\phi$ -dependences of  $f_1$ ,  $g_1$ ,  $f_2$  and  $h$ ). Hence,  $L_{\delta g^{00}R^{(3)}}$  and  $L_{\delta K\delta g^{00}}$  have no contribution to the background equations of motion, like their EFT forms. Therefore, we can construct background evolution without taking account of  $L_{\delta g^{00}R^{(3)}}$  and  $L_{\delta K\delta g^{00}}$ , as we will do in Sec. III A.

One can also directly work with the covariant expressions (3) and (4) while disregarding conditions (5) and (6). In such a case, the construction of background could be more complicate since  $g$  and  $h$  do appear in the background equations. However, because  $f$ ,  $g$  and  $h$  are undetermined functions, one can assume that  $|g| \ll M_p^2|H|$ ,  $|\dot{g}| \ll |\dot{H}|M_p^2$  and  $|H - h| \ll |H|$ , i.e.,  $L_{\delta K\delta g^{00}}$  has no contribution at background level, for simplicity. The particular solutions of  $f$ ,  $g$  and  $h$  can be obtained once the background evolution is determined.

### III. A STABLE MODEL OF BOUNCE-INFLATION

#### A. Background

A sketch of the bounce-inflation scenario is plotted in Fig. 1. We will show how to build its stable model with the Lagrangian (2).

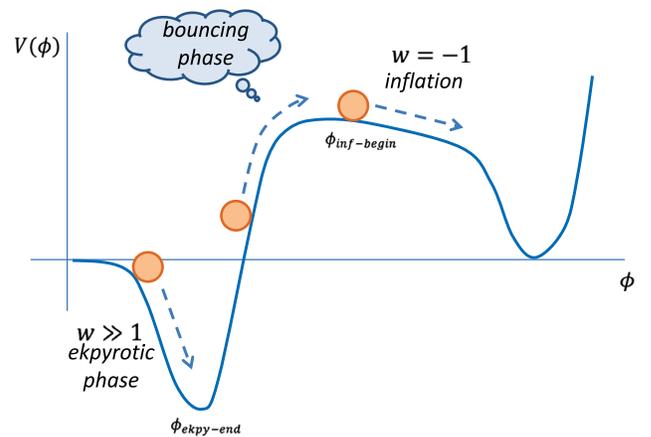


FIG. 1. A sketch of the bounce-inflation scenario.

As a specific model, we set

$$\tilde{P}(\phi, X) = \frac{\alpha_0}{(1 + (\phi/\lambda_1)^2)^2} M_p^2 X/2 + \frac{\beta_0}{(1 + (\phi/\lambda_1)^2)^2} X^2/4, \quad (7)$$

$$V(\phi) = -\frac{V_0}{2} e^{\sqrt{\frac{2}{q}}\phi} \left[ 1 - \tanh\left(\frac{\phi}{\lambda_2}\right) \right] + \frac{\Lambda}{2} \left( 1 - \left(\frac{\phi}{\lambda_3}\right)^2 \right)^2 \left[ 1 + \tanh\left(\frac{\phi}{\lambda_2}\right) \right], \quad (8)$$

with the positive constants  $\lambda_{1,2,3}$  and  $q, \alpha_0, \beta_0$  being dimensionless. We have  $\tilde{P}(\phi, X) \neq 0$  only around  $\phi \simeq 0$  [48–50], while  $\tilde{P}(\phi, X) = 0$  for  $|\phi| \gg \lambda_1$ . Here, the second term in  $\tilde{P}(\phi, X)$  is required for avoiding ghost instability, as will be seen in the next subsection. In addition, as will be shown, the parameters  $\alpha_0$  and  $\beta_0$  together with  $\lambda_1$  are crucial for setting the duration of the bounce phase.

The first and the second terms in  $V(\phi)$  set the effective potential of the field  $\phi$  in the contracting phase and the inflation phase, respectively. The value of  $\lambda_2$  sets the scale of the intermediate phase, namely, the bouncing phase, in  $\phi$  space. The values of  $q$  and  $V_0$  decide the evolutions of the background and  $\phi$  in the contracting phase, as will be seen. The parameters  $\Lambda$  and  $\lambda_3$  are responsible for the expansion rate of inflation.

Thus we have

$$3H^2 M_p^2 = \left[ 1 - \frac{\alpha_0}{(1 + (\phi/\lambda_1)^2)^2} \right] M_p^2 \dot{\phi}^2/2 + \frac{3\beta_0}{(1 + (\phi/\lambda_1)^2)^2} \dot{\phi}^4/4 + V(\phi), \quad (9)$$

$$\dot{H} M_p^2 = - \left[ 1 - \frac{\alpha_0}{(1 + (\phi/\lambda_1)^2)^2} \right] M_p^2 \dot{\phi}^2/2 - \frac{\beta_0}{(1 + (\phi/\lambda_1)^2)^2} \dot{\phi}^4/2. \quad (10)$$

In infinite past, the universe is almost Minkowski, which will experience the ekpyrotic contraction. In the ekpyrotic phase ( $\phi \ll -\lambda_1$  and  $-\lambda_2$ ), we have  $\tilde{P} = 0$  and  $V_{ekpy} = -V_0 e^{\sqrt{\frac{2}{q}}\phi}$  ( $q \ll 1$ ). Thus we could write Eqs. (9) and (10) as

$$3H^2 = \dot{\phi}^2/2 - \frac{V_0}{M_p^2} e^{\sqrt{\frac{2}{q}}\phi}, \quad \dot{H} = -\dot{\phi}^2/2. \quad (11)$$

By solving (11), we have

$$a \sim (-t)^{1/\epsilon}, \quad \dot{\phi} = \sqrt{\frac{2}{\epsilon}} (-t)^{-1}, \quad (12)$$

and

$$\phi(t) = \sqrt{\frac{2}{\epsilon}} \ln \left[ \frac{\sqrt{\epsilon - 3}}{\epsilon \sqrt{V_0/M_p}} (-t)^{-1} \right], \quad (13)$$

where  $\epsilon = -\dot{H}/H^2 = 1/q \gg 1$ , which suggests  $H = -\epsilon^{-1}(-t)^{-1}$ .

When  $\phi \simeq \lambda_1$ , we could have

$$\dot{H} \simeq \left( \frac{\alpha_0}{4} - \frac{\beta_0 \dot{\phi}^2}{4M_p^2} - 1 \right) \dot{\phi}^2/2 > 0, \quad (14)$$

the nonsingular bounce will occur. Especially, around the bounce point, where  $|\phi| \ll \lambda_1$  and  $V$  can be negligible with appropriate  $V_0$  and  $\Lambda$ , we have  $\dot{H} \approx \frac{(1-\alpha_0)^2}{9\beta_0}$ ,  $\dot{\phi} \approx \sqrt{-\frac{2(1-\alpha_0)}{3\beta_0}}$  and  $\ddot{\phi} \approx \frac{dV/d\phi}{1-\alpha_0}$ . Thus  $\alpha_0$  and  $\beta_0$  are crucial for setting the duration of bouncing phase. The smaller is  $\dot{H}$ , the longer is this duration. Therefore, we should set larger  $(1 - \alpha_0)$  and even much larger  $\beta_0$ .

While after  $\phi \gg \lambda_1, \lambda_2$ , the field  $\phi$  will be canonical ( $\tilde{P} = 0$ ) again. We have

$$3H^2 = \dot{\phi}^2/2 + \frac{\Lambda}{M_p^2} \left( 1 - \left(\frac{\phi}{\lambda_3}\right)^2 \right)^2, \quad \dot{H} = -\dot{\phi}^2/2. \quad (15)$$

Thus the slow-roll inflation will occur. Actually, after the nonsingular bounce, the Lagrangian (2) will reduce to  $L \sim M_p^2 R/2 - M_p^2 X/2 - V_{\text{inf}}$  with  $V_{\text{inf}}$  being the potential of slow-roll inflation.

We plot the background evolution in Fig. 2 with  $\alpha_0 = 20$ ,  $\beta_0 = 5 \times 10^9$ ,  $\lambda_1 = 0.224$ ,  $\lambda_2 = 0.0667$ ,  $\lambda_3 = 12$ ,  $V_0 = 5 \times 10^{-9} M_p^4$ ,  $q = 0.1$ ,  $\Lambda = 2.5 \times 10^{-9} M_p^4$ . The initial values are set by (12) and (13).

## B. Simulation for the scalar perturbation spectrum

In unitary gauge  $\delta\phi = 0$ , the quadratic action of scalar perturbation  $\zeta$  for (2) is (see Appendix B and also our [28])

$$S_\zeta^{(2)} = \int a^3 Q_s \left( \dot{\zeta}^2 - c_s^2 \frac{(\partial\zeta)^2}{a^2} \right) d^4x, \quad (16)$$

in which

$$Q_s = \frac{2\dot{\phi}^4 \tilde{P}_{XX} - M_p^2 \dot{H}}{\gamma^2} + 3 \left( \frac{g_1}{2\gamma M_p} \right)^2, \quad (17)$$

$$c_s^2 Q_s = \frac{\dot{c}_3}{a} - M_p^2, \quad c_3 = \frac{a M_p^2}{\gamma} \left( 1 + \frac{2f_1}{M_p^2} \right), \quad (18)$$

with  $\gamma = H + \frac{g_1}{2M_p^2}$ .

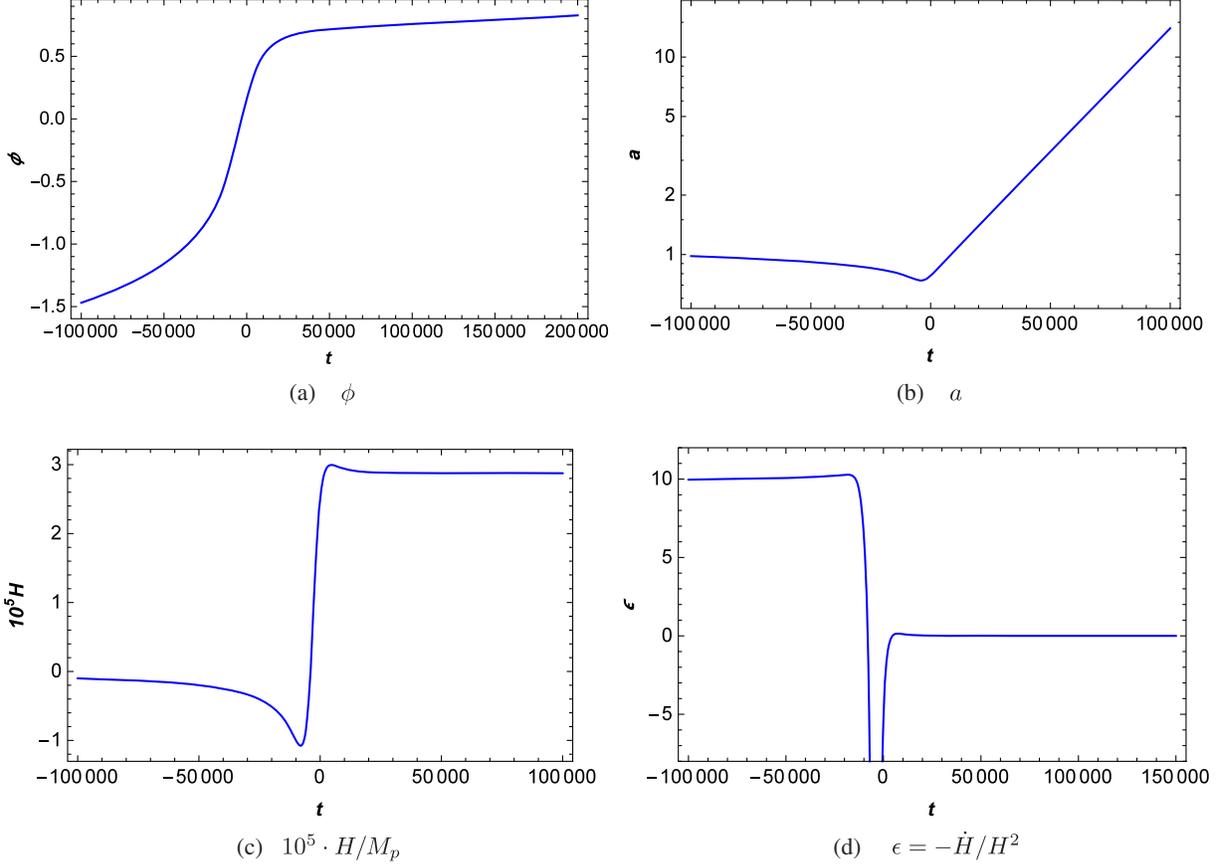


FIG. 2. The background evolution of our model with  $\alpha_0 = 20$ ,  $\beta_0 = 5 \times 10^9$ ,  $\lambda_1 = 0.224$ ,  $\lambda_2 = 0.0667$ ,  $\lambda_3 = 12$ ,  $V_0 = 5 \times 10^{-9} M_p^4$ ,  $q = 0.1$ ,  $\Lambda = 2.5 \times 10^{-9} M_p^4$ .

The conditions  $Q_s > 0$  and  $c_s^2 > 0$  are required to avoid the ghost and gradient instabilities, respectively. Generally,  $Q_s > 0$  can be obtained by applying  $\tilde{P}(\phi, X)$ . However, around the bounce point,  $H \simeq 0$ ,

$$c_s^2 \sim -\dot{\gamma} \left( 1 + \frac{2f_1}{M_p^2} \right) + \frac{2\dot{f}_1\gamma}{M_p^2} - \gamma^2. \quad (19)$$

Thus, around the bounce point, we will have  $c_s^2 \sim -\dot{\gamma} - \gamma^2 < 0$  if  $f_1 \equiv 0$ , and  $c_s^2 > 0$  only if  $2f_1 < -M_p^2$ , as has been clarified in Refs. [28–30]. Therefore, the gradient instability ( $c_s^2 < 0$ ) could be cured by  $L_{\delta g^{00}R^{(3)}}$  with a proper function  $f_1(\phi)$ . There could be infinite many different  $f_1(\phi)$  that are able to guarantee  $c_s^2 > 0$ . From Eq. (18), we can find the solution of  $f_1(\phi)$  for a given (specific)  $c_s^2$  (see also [30]), i.e.,

$$f_1(\phi) = \frac{\gamma}{2a} \int a(Q_s c_s^2 + M_p^2) dt - \frac{M_p^2}{2}. \quad (20)$$

For simplicity, we will set  $c_s^2 \equiv 1$  in the following.

In conformal time  $\eta = \int dt/a$ , the equation of motion of  $\zeta$  is

$$u'' + \left( c_s^2 k^2 - \frac{z_s''}{z_s} \right) u = 0, \quad (21)$$

where  $u = z_s \zeta$  and  $z_s = \sqrt{2a^2 Q_s}$ . In infinite past, the universe is almost Minkowski, and will come through the ekpyrotic phase. The perturbation modes have the wavelength  $\lambda \simeq 1/k \ll \sqrt{z_s/z_s''}$  and  $c_s^2 = 1$ . Thus the initial state of the perturbation is

$$u \simeq \frac{1}{\sqrt{2k}} e^{-ik\eta}. \quad (22)$$

The perturbation modes will pass through the ekpyrotic phase, the bounce phase and the inflationary phase, sequentially. The resulting spectrum  $P_\zeta$  of  $\zeta$  (at  $-k\eta \ll 1$ ) is

$$P_\zeta = \frac{k^3}{2\pi^2} |\zeta|^2. \quad (23)$$

In physical time, the equation of motion of  $\zeta$  is (1). In the ekpyrotic phase,  $z_s \sim a \sim (-\eta)^{\frac{1}{\epsilon_{ekpy}-1}}$ , since  $Q_s/M_p^2 \sim \epsilon_{ekpy} = \text{const} \gg 1$ . While in the inflationary phase,  $\epsilon_{\text{inf}} < 1$ . This suggests that  $Q_s$  (or  $z_s \sim a\sqrt{Q_s}$ ) will show

itself a jump around the nonsingular bounce, which will inevitably affect  $P_\zeta$ . Whether the jump of  $Q_s$  is gentle or not is model-dependent. We will simulate its effect on  $P_\zeta$  by numerically solving Eq. (1), with  $c_s^2 \equiv 1$  set by Eq. (20).

It should be mentioned that if  $g_1 = 0$  ( $L_{\delta K \delta g^{00}}$  is absent), we will have  $\gamma = H = 0$  at the bounce point and  $Q_s \sim 1/\gamma^2$  is divergent, see (18), so that Eq. (1) is singular. Here, in order to avoid it, we apply  $g_1(\phi)$ , see also [30].

For simplicity, we set

$$Q_s = \mathcal{A}_Q \left[ \mathcal{B} - \tanh\left(\frac{t}{t_*}\right) \right]. \quad (24)$$

Such a steplike  $Q_s$  requires a particular  $g_1(\phi)$ , i.e.,  $g_1(\phi)$  in Lagrangian (2) should satisfy the condition

$$g_1(\phi(t)) = -\frac{2HM_p^2 Q_s - 2\sqrt{3H^2 M_p^6 Q_s + M_p^4 (3M_p^2 - Q_s)(\dot{H}M_p^2 - 2\dot{\phi}^4 \tilde{P}_{XX})}}{Q_s - 3M_p^2}, \quad (25)$$

which can be derived from (18). We plot the spectrum  $P_\zeta$  of scalar perturbation in Fig. 3 for the background in Fig. 2 with different values of  $\mathcal{B}$  and  $t_*$ , where  $P_\zeta^{\text{inf}} = \frac{H_{\text{inf}}^2}{8Q_s^{\text{inf}} \pi^2 M_p^2} \left(\frac{k}{\mathcal{H}_{\text{inf}}}\right)^{n_s-1}$  is that of the inflation, with  $Q_s^{\text{inf}}$  being the value of  $Q_s$  during inflation,  $n_s - 1 \simeq 0$  (but is slightly red). The evolutions of  $Q_s$ ,  $g_1$  and  $|\zeta|$  with respect to  $t$ , respectively, are plotted in Figs. 7 and 8 of Appendix C.

As expected in [13],  $P_\zeta$  shows itself a large-scale cutoff, but is flat (with a damped oscillation) at small scale. However, due to the steplike evolution of  $Q_s$ , the peaks and valleys of the oscillations are obviously pulled lower. Actually, after the nonsingular bounce, with Eq. (1), we shortly have the effective Hubble parameter

$$H_{\text{inf}}^{\text{eff}} = H_{\text{inf}} + \frac{\dot{Q}_s}{3Q_s} < H_{\text{inf}}, \quad (26)$$

since  $\dot{Q}_s < 0$ , see Figs. 7(b) and 8(b) in Appendix C. Thus  $P_\zeta$  is pulled lower at the corresponding scale, since

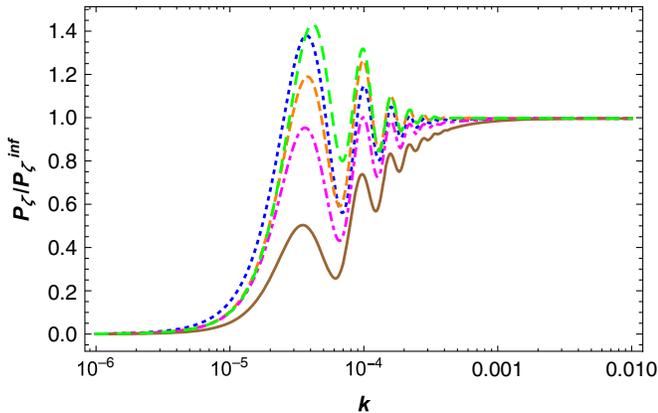


FIG. 3.  $P_\zeta/P_\zeta^{\text{inf}}$  with background set by Fig. 2, where the {brown solid, magenta dotdashed, orange dashed, blue dotted, green long dashed} curves correspond to  $\mathcal{A}_Q = \{3, 3, 3, 3, 3\}$ ,  $\mathcal{B} = \{1.3, 1.8, 2, 3, 2\}$ ,  $t_* = \{4, 4, 2.5, 4, 1.5\} \times 10^4$ , respectively.

$P_\zeta \sim (H_{\text{inf}}^{\text{eff}})^2$ . The change rate of  $Q_s$  is relevant to the physics of nonsingular bounce, as showed in Eq. (24), so the depth of valley pulled lower is actually model-dependent.

In Sec. IV B, we will show that such a marked lower valley at corresponding scale helps to explain the dip around  $l \simeq 20$  hinted by Planck [6].

## IV. MORE ON THE SPECTRUM

### A. Analytical estimation

We will attempt to analytically estimate  $P_\zeta$ . The equation of motion for  $\zeta$  is (21). In [26], the spectrum of primordial GWs has been calculated. Here, if the effect of  $Q_s$  is neglected, the calculation will be similar.

The bounce phase is the evolution with  $\dot{H} > 0$ . We define that it begins and ends at  $\eta_{B-}$  and  $\eta_{B+}$ , respectively, at which  $\dot{H} = 0$ . We set that  $H = 0$  at  $\eta_B$ , which corresponds to the bounce point. Generally,  $\Delta\eta_B = \eta_{B+} - \eta_{B-} \lesssim 1/\mathcal{H}_{B+}$ .

In our model (Sec. III), the contracting phase ( $\eta < \eta_{B-}$ ) is ekpyroticlike,  $a$  is almost constant for  $\epsilon_{\text{ekpy}} \gg 1$ . Considering the continuities of  $a$  and  $H$  at  $\eta_{B-}$ , we have

$$a(\eta) = a_{B-} \left[ \frac{x}{(\epsilon_{\text{ekpy}} - 1)^{-1} \mathcal{H}_{B-}^{-1}} \right]^{\frac{1}{\epsilon_{\text{ekpy}} - 1}}, \quad (27)$$

see [26] for the details, where  $\mathcal{H}_{B-}$  is the comoving Hubble parameter at  $\eta_{B-}$  and  $x = \eta - \eta_{B-} + (\epsilon_{\text{ekpy}} - 1)^{-1} \mathcal{H}_{B-}^{-1}$ . We have  $z_s''/z_s = a''/a$ , since  $Q_s$  is constant. Thus the solution of (21) is

$$u_k = \frac{\sqrt{\pi|x|}}{2} c_{1,1} H_{\nu_1}^{(1)}(-kx), \quad (28)$$

where  $\nu_1 = 1/2$  for  $\epsilon_{\text{ekpy}} \gg 1$ , and the initial condition (22) has been used.

In the nonsingular bounce phase ( $\eta_{B-} < \eta < \eta_{B+}$ ),  $H$  should cross 0. We parametrize it as  $H = \alpha(t - t_B)$  [51] with  $\alpha M_p^2 \ll 1$ . We have

$$a \simeq a_B e^{\frac{1}{2}\alpha(t-t_B)^2} \simeq a_B \left[ 1 + \frac{\alpha}{2}(t-t_B)^2 \right], \quad (29)$$

where  $a = a_B$  at the bouncing point  $t = t_B$ . The continuities of  $a$  and  $\mathcal{H}$  at  $\eta_{B-}$  and  $\eta_{B+}$  suggest  $\mathcal{H}_{B+} = \mathcal{H}_{B-} + \alpha a_B^2 (\eta_{B+} - \eta_{B-})$ . In our models,  $|\mathcal{H}_{B-}| \lesssim \mathcal{H}_{B+}/4$  (see Figs. 7 and 8 in Appendix C), so that we approximately have

$$\mathcal{H}_{B+} \simeq \alpha a_B^2 \Delta\eta_B. \quad (30)$$

Thus in this phase Eq. (21) is

$$u_k'' + (k^2 - \alpha a_B^2) u_k = 0, \quad (31)$$

which has a solution

$$u_k(\eta) = c_{2,1} e^{l(\eta-\eta_B)} + c_{2,2} e^{-l(\eta-\eta_B)}, \quad (32)$$

with  $l = \sqrt{\alpha a_B^2 - k^2}$ . Here, we have neglected the effect of  $Q_s$ . Otherwise, Eq. (21) is difficult to be solved.

In inflationary phase ( $\eta \geq \eta_{B+}$ ),  $Q_s^{\text{inf}}$  is almost constant. Considering the continuities of  $a$  and  $\mathcal{H}$  at  $\eta_{B+}$ , we have

$$a_{\text{inf}}(\eta) = a_{B+} (-y \mathcal{H}_{B+})^{\frac{1}{\epsilon_{\text{inf}}-1}}, \quad (33)$$

where  $y = \eta - \eta_{B+} + 1/\mathcal{H}_{B+}$  and  $H_{B+} = \mathcal{H}_{B+}/a$ ,  $H_{\text{inf}} \lesssim H_{B+}$ . The solution of (21) is

$$u_k = \frac{\sqrt{\pi|y|}}{2} [c_{3,1} H_{\nu_2}^{(1)}(-ky) + c_{3,2} H_{\nu_2}^{(2)}(-ky)], \quad (34)$$

where  $\nu_2 = \frac{\epsilon_{\text{inf}}-3}{2(\epsilon_{\text{inf}}-1)}$ .

The power spectrum is

$$\begin{aligned} P_\zeta(k, \mathcal{H}_{B+}, \mathcal{H}_{B-}, \Delta\eta) &\approx \frac{H_{\text{inf}}^2}{8\pi^2 Q_s^{\text{inf}} M_p^2} |c_{31} - c_{32}|^2 \\ &= P_\zeta^{\text{inf}} |c_{31} - c_{32}|^2, \end{aligned} \quad (35)$$

where  $P_\zeta^{\text{inf}} = \frac{H_{\text{inf}}^2}{8\pi^2 Q_s^{\text{inf}} M_p^2}$  is that of the slow-roll inflation. Requiring the continuities of  $\zeta$  and  $\dot{\zeta}$ , we could write the coefficients as

$$\begin{pmatrix} c_{3,1} \\ c_{3,2} \end{pmatrix} = \mathcal{M}^{(3,2)} \times \mathcal{M}^{(2,1)} \times \begin{pmatrix} c_{1,1} \\ c_{1,2} \end{pmatrix}, \quad (36)$$

see Appendix E for the matrices  $\mathcal{M}^{(2,1)}$  and  $\mathcal{M}^{(3,2)}$ .

The effects of bounce has been encoded in  $\mathcal{M}^{(3,2)}$  and  $\mathcal{M}^{(2,1)}$  (or  $|c_{3,1} - c_{3,2}|^2$ ). We approximately have

$$|c_{3,1} - c_{3,2}|^2 \approx 1 - \mathcal{A} \sin\left(\frac{2k}{\mathcal{H}_{B+}}\right) - \mathcal{A} \sin\left(\frac{2k}{\mathcal{H}_{B+}} + 2k\Delta\eta_B\right) \quad (37)$$

for  $k \gg \mathcal{H}_{B+}$ , where

$$\mathcal{A} = \frac{\mathcal{H}_{B+}}{k} \left( 1 - \frac{\alpha a_B^2}{2\mathcal{H}_{B+}} \Delta\eta_B \right) \simeq \frac{\mathcal{H}_{B+}}{2k} \quad (38)$$

and (30) is used. This result suggests that on small scale, i.e.,  $k \gg \mathcal{H}_{B+}$ ,  $P_\zeta$  is flat with a rapidly damped oscillation and its maximal oscillating amplitude is around  $k \simeq \mathcal{H}_{B+}$ . However, if the bounce phase lasts shortly enough,  $\Delta\eta_B \ll 1/\mathcal{H}_{B+}$ , (37) will be

$$|c_{3,1} - c_{3,2}|^2 \approx 1 - \frac{\mathcal{H}_{B+}}{k} \sin\left(\frac{2k}{\mathcal{H}_{B+}}\right). \quad (39)$$

While on large scale, i.e.,  $k \ll \mathcal{H}_{B+}$ ,  $P_\zeta \sim k^2$  will have a strongly blue tilt, since

$$|c_{3,1} - c_{3,2}|^2 \approx w(\Delta\eta_B) \left( \frac{k}{\mathcal{H}_{B+}} \right)^2, \quad (40)$$

where

$$\begin{aligned} w(\Delta\eta_B) &= \left[ \left( 1 - \frac{l^2 \Delta\eta_B}{2\mathcal{H}_{B+}} \right) \cosh(l\Delta\eta_B) \right. \\ &\quad \left. + \frac{l}{2} \left( \frac{1}{\mathcal{H}_{B+}} - \Delta\eta_B + \frac{l^2}{4\mathcal{H}_{B+}} \Delta\eta_B^2 \right) \sinh(l\Delta\eta_B) \right]^2, \end{aligned} \quad (41)$$

which indicates  $w(\Delta\eta_B) \simeq 1$  for  $\Delta\eta_B \simeq 0$ .

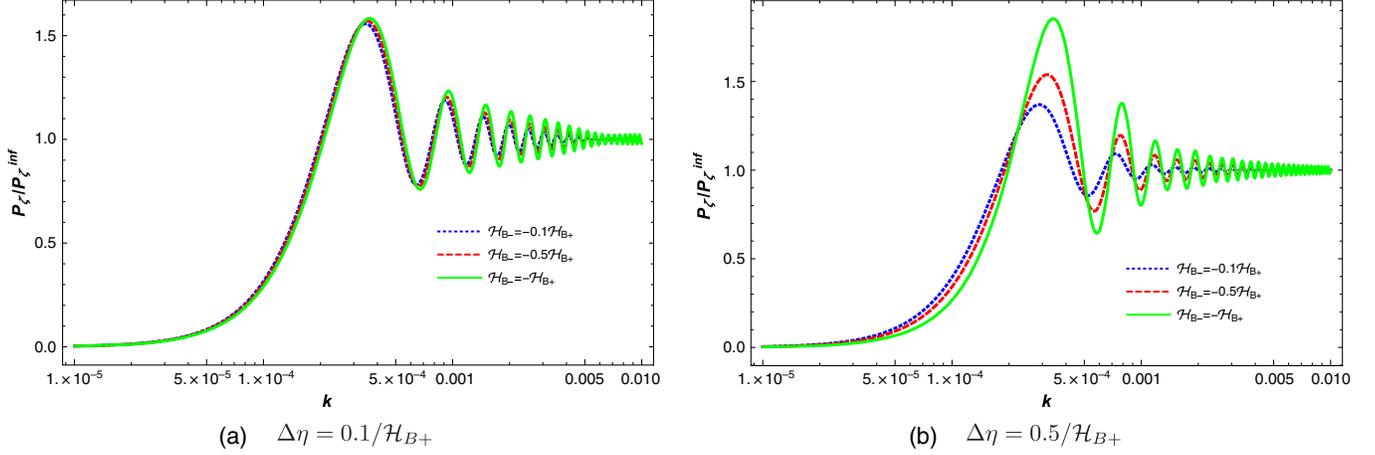
We plot  $P_\zeta$  for (35) in Fig. 4 for the different values of  $\Delta\eta$  and  $\mathcal{H}_{B-}$ . We see that for  $k > \mathcal{H}_{B+}$ ,  $P_\zeta \sim k^0$  but has a damped oscillation, while for  $k < \mathcal{H}_{B+}$ ,  $P_\zeta \sim k^2$  shows itself a large-scale cutoff. Thus (35) is consistent with our simulation result at large and small scales, respectively (see Fig. 7 in Sec. III).

However, since we have neglected the steplike evolution of  $Q_s$ , the pull-lower around  $k \simeq \mathcal{H}_{B+}$  in Fig. 7(d) cannot be reflected in (35).

## B. Template

To conveniently fit the observational data, a simple “*Template*” capturing the essential shape of  $P_\zeta$  is indispensable. Based on the simulation in Sec. III and the analytical estimate in Sec. IVA, we write the power spectrum as

$$P_\zeta = F(k, \mathcal{H}_{B+}, A_d, \omega_d) \cdot P_\zeta^{\text{inf}}, \quad (42)$$


 FIG. 4. The power spectrum with different  $\Delta\eta$  and different  $\mathcal{H}_{B-}/\mathcal{H}_{B+}$ .

where  $P_\zeta^{\text{inf}} = A_{\text{inf}} \left(\frac{k}{k_*}\right)^{n_{\text{inf}}-1}$  is the spectrum predicted by slow-roll inflation,  $A_{\text{inf}}$  is the amplitude at the pivot scale  $k_*$ ,  $n_{\text{inf}}$  is its tilt and

$$F(k, \mathcal{H}_{B+}, A_d, \omega_d) = \left\{ 1 + e^{-(k/\mathcal{H}_{B+})^2} \left(\frac{k}{\mathcal{H}_{B+}}\right)^2 + e^{-(k/\mathcal{H}_{B+})^2} - \frac{\sin(2k/\mathcal{H}_{B+})}{k/\mathcal{H}_{B+}} \right\} \cdot \left[ 1 - A_d \cdot e^{-\omega_d \left(\frac{k}{\mathcal{H}_{B+}} - \pi\right)^2} \right]. \quad (43)$$

Here, the parameter set  $(\mathcal{H}_{B+}, A_d, \omega_d)$  reflects the effect of pre-inflationary bounce on the spectrum. Around  $k \gtrsim \mathcal{H}_{B+}$ , we have

$$F(k, \mathcal{H}_{B+}, A_d, \omega_d) \simeq 1 - A_d e^{-\mathcal{O}(1)\omega_d}, \quad (44)$$

so  $A_d$  and  $\omega_d$  (related with the parameter  $\Delta\eta < 1/\mathcal{H}_{B+}$  in Sec. IV A) depict the width and depth of valley around  $k \gtrsim \mathcal{H}_{B+}$ , respectively. Here,  $A_d$  is related with the change rate of  $Q_s$  (which was neglected in Sec. IV A). With Eq. (26), we have approximately

$$A_d \simeq \frac{2|\dot{Q}_s|_{\text{max}}}{3H_{\text{inf}}Q_s} \quad (45)$$

noting  $\dot{Q}_s < 0$ . In (43), we have

$$F(k, \mathcal{H}_{B+}, A_d, \omega_d) \sim 1 - \frac{\sin(2k/\mathcal{H}_{B+})}{k/\mathcal{H}_{B+}} \quad (46)$$

for  $k \gg \mathcal{H}_{B+}$ , which equals to (39), while for  $k \ll \mathcal{H}_{B+}$ , we approximately have  $F(k, \mathcal{H}_{B+}, A_d, \omega_d) \simeq \left(\frac{k}{\mathcal{H}_{B+}}\right)^2$ , which is

consistent with (40).  $P_\zeta$  for the “Template” (43) is plotted in Fig. 5. We see that (43) has effectively captured the essential shape of  $P_\zeta$  showed in Fig. 3.

### C. Data fitting

We modify the CAMB and COSMOMC code package and perform a global fitting with Planck2015 data. The parameter set of the lensed- $\Lambda$ CDM model is  $\{\Omega_b h^2, \Omega_c h^2, 100\theta_{\text{MC}}, \tau, \ln(10^{10} A_{\text{inf}}), n_{\text{inf}}\}$ , with  $\Omega_b h^2$  the baryon density,  $\Omega_c h^2$  the cold dark matter density,  $\theta_{\text{MC}}$  the angular size of the sound horizon at decoupling and  $\tau$  the reionization optical depth. We also include the parameter set  $\{\mathcal{H}_{B+}, A_d, \omega_d\}$  (the so-called 3-parameters of bounce) defined in (43), which captures the physics of pre-inflationary bounce,

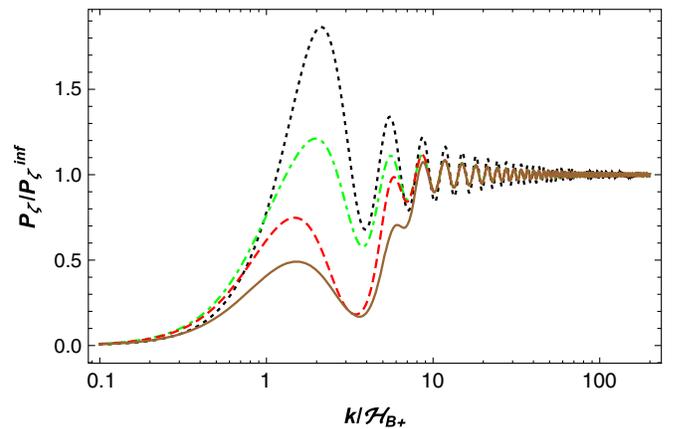


FIG. 5. The black dotted curve is the spectrum  $P_T/P_T^{\text{inf}}$  of the primordial GWs in bounce-inflation scenario, see [26], while the {green dot-dashed, red dashed, brown solid} curves are those of the primordial scalar perturbation based on the results of “Template” (43) with  $A_d = \{0.25, 0.8, 0.8\}$ ,  $d = \{\pi, \pi, \pi\}$  and  $\omega_d = \{0.25, 0.25, 0.1\}$ , which are consistent with those in Fig. 3.

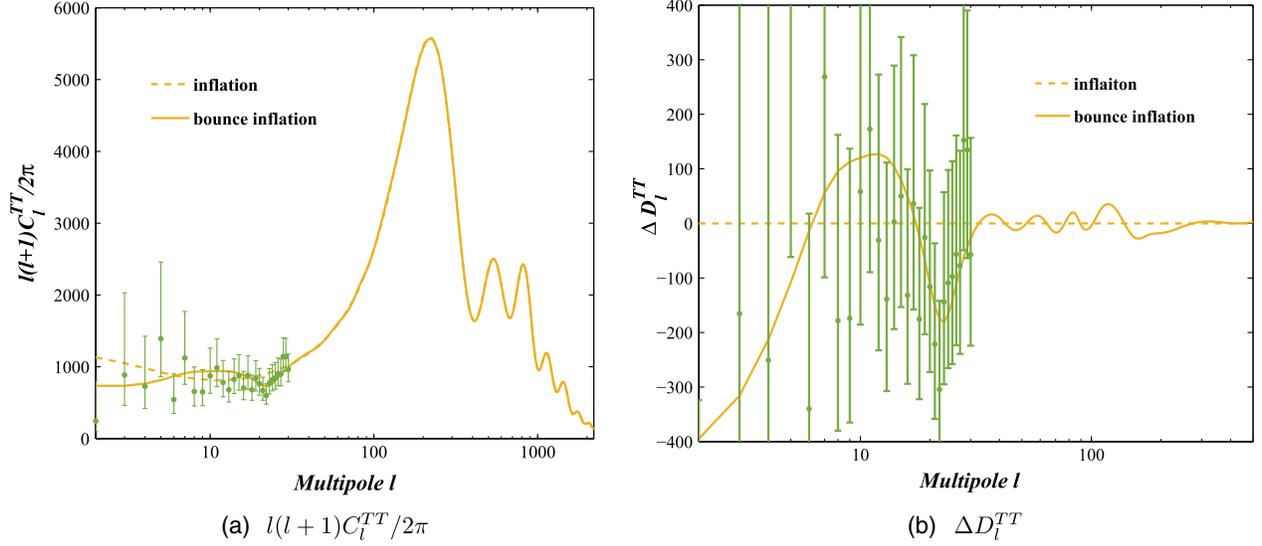


FIG. 6. The green points show the Planck2015 data with  $1\sigma$  errors. The best-fit values of parameters are  $\ln(10^{10}A_{\text{inf}}) = 3.091$ ,  $n_{\text{inf}} = 0.966$ ,  $\ln(\mathcal{H}_{B+}) = -7.51$ ,  $A_d = 0.87$ ,  $\omega_d = 5.47$ .

as has been argued. We set the pivot scale  $k_* = 0.05 \text{ Mpc}^{-1}$ , roughly in the middle of the logarithmic range of scales probed by Planck.

With (43), we plot the CMB TT-spectrum  $D_l^{TT} \equiv l(l+1)C_l^{TT}/2\pi$  and  $\Delta D_l^{TT}$  in Fig. 6 with the best-fit parameter set  $\{\Omega_b h^2, \Omega_c h^2, 100\theta_{\text{MC}}, \tau, \ln(10^{10}A_{\text{inf}}), n_{\text{inf}}, \mathcal{H}_{B+}, A_d, \omega_d\}$ . Since WMAP and Planck, some models aimed at explaining the anomalies of CMB at large scale (but not solving the initial singularity problem) were proposed [52–57]. We see that the spectrum (43) of scalar perturbation predicted by our model could be consistent with not only the power deficit of the CMB TT-spectrum at low multipoles, but also the dip at  $l \sim 20$ . The best-fit values of the parameters in our bounce-inflation model are displayed in Table I. More details associate with other interesting numerical results will be presented an upcoming work [58].

TABLE I. The best-fit values of parameters in bounce-inflation model.

Parameters	Inflation	Bounce-inflation
$\Omega_b h^2$	0.02222	0.02213
$\Omega_c h^2$	0.1198	0.1199
$H_0$	67.31	67.05
$\tau$	0.078	0.079
$\ln(10^{10}A_s)$	3.089	3.091
$n_s$	0.9655	0.9662
$\ln(h_k \text{ Mpc})$	...	-7.51
$A_d$	...	0.87
$\omega_d$	...	5.47

## V. CONCLUSION

In bounce-inflation scenario, the inflation is singularity-free (past-complete). However, its pathology-free model has been still lacking. Here, we showed such a model. The nonsingular bounce is implemented by applying  $\tilde{P}(\phi, X)$ , see (7), which is ghost-free, while  $c_s^2 < 0$  is dispelled by  $L_{\delta g^{00}R^{(3)}}$  [31].

We perform a full simulation for the evolution of scalar perturbation, and find that the spectrum  $P_\zeta$  has a suppression at large scale ( $k \ll \mathcal{H}_{B+}$ ) but is flat (with a damped oscillation) at small scale ( $k \gg \mathcal{H}_{B+}$ ), which confirms the earlier results showed in [13,17] and is consistent with the power deficit of the CMB TT-spectrum at low multipoles  $l \lesssim 30$ ; but unexpectedly,  $P_\zeta$  also shows itself one marked lower valley at  $k \gtrsim \mathcal{H}_{B+}$ , though the depth is model-dependent. We show that this lower valley actually provides an explanation to the dip at  $l \sim 20$  hinted by Planck [6]. Based on the simulation and the analytical estimation for the perturbation spectrum, we also offer a “*Template*” of  $P_\zeta$  (effectively capturing the physics of bounce) to fit data.

The equation of motion of GWs mode  $\gamma_{ij}$  for (2) is

$$\ddot{\gamma}_k + \left(3H + \frac{\dot{Q}_T}{Q_T}\right)\dot{\gamma}_k + c_T^2 \frac{k^2}{a^2}\gamma_k = 0, \quad (47)$$

which is unaffected by the operators  $R^{(3)}\delta g^{00}$  and  $\delta K\delta g^{00}$ , where  $Q_T = M_p^2$ . We plot the primordial GWs spectrum  $P_T$  in Fig. 5 (the black dot curve) with  $P_T^{\text{inf}} = \frac{2H_{\text{inf}}^2}{\pi^2 M_p^2}$ , see also [26]. It should be mentioned that if  $Q_T \neq M_p^2$  around the nonsingular bounce (the gravity is modified completely),  $P_T$  will be different. It is also possible that the

corresponding gravity has a large parity violation [59], which might be imprinted in CMB.

Our work highlight the conjecture again that the physics hinted by the large-scale anomalies of CMB is relevant to the pre-inflationary bounce. The nonsingular cosmological bounce also has been implemented in some models of modified gravity [60–73], see also [74,75] for reviews. Confronting the corresponding models with the CMB data will be interesting.

Additionally, in the scenario considered here, it is actually not required that the contracting phase is ekpyrotic scenario. In our scenario, the large-scale cutoff in perturbation spectrum is induced by the contracting phase, while the valley in perturbation spectrum is induced by the step-like evolution of  $Q_s/M_p^2$  around the bounce phase. Consider other than the ekpyrotic bounce, we have  $\epsilon_{\text{cont}} \simeq \mathcal{O}(1)$  in the contracting phase, so  $Q_s/M_p^2 \sim \epsilon_{\text{cont}} = \text{const} \simeq 1$  for the contraction, while  $Q_s/M_p^2 \sim \epsilon_{\text{inf}} \ll 1$  for the inflation. Thus our results on the perturbation spectrum would be also applicable for the corresponding scenario, though the details might be slightly different. In such a case, the model might be simpler than that with the ekpyrotic scenario, which is worth further studying.

## ACKNOWLEDGMENTS

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## APPENDIX A: CORRESPONDENCE WITH A SUBCLASS OF DHOST THEORIES

According to the classification of degenerate theories in [47], the covariant action  $S = \int d^4x \sqrt{-g} L$  based on (2) belongs to class Ia of DHOST theories. In order to clearly demonstrate this point, we will use the notation of [47] but will rewrite the  $f(\phi, X)$  in [47] into  $F(\phi, X)$  in this Appendix. From (2) to (4), we find that our action

$$S = \int d^4x \sqrt{-g} L = S_g + S_\phi + S_{\text{other}}, \quad (\text{A1})$$

where

$$S_g = \int d^4x \sqrt{-g} F(\phi, X) R, \quad (\text{A2})$$

$$S_{\text{other}} = \int d^4x \sqrt{-g} \left[ -\frac{M_p^2}{2} X - V(\phi) + \tilde{P}(\phi, X) - \frac{X}{2} \int f_{\phi\phi} d \ln X - \left( f_\phi + \int \frac{f_\phi}{2} d \ln X \right) \square \phi + \frac{g(\phi, X)}{2} \frac{1}{\sqrt{-X}} \left( \frac{\phi^\mu \phi_{\mu\nu} \phi^\nu}{X} - \square \phi \right) - \frac{3}{2} g(\phi, X) h(\phi) \right], \quad (\text{A3})$$

$$S_\phi = \sum_{I=1}^5 \int d^4x \sqrt{-g} \alpha_I L_I^\phi, \quad (\text{A4})$$

$$F(\phi, X) = \frac{M_p^2 + f}{2}, \quad (\text{A5})$$

$$\alpha_1 = -\alpha_2 = \frac{f}{2X}, \quad \alpha_3 = -\alpha_4 = \frac{f - 2Xf_X}{X^2}, \quad \alpha_5 = 0, \quad (\text{A6})$$

with

$$L_1^\phi = \phi_{\mu\nu} \phi^{\mu\nu}, \quad L_2^\phi = (\square \phi)^2, \quad L_3^\phi = (\square \phi) \phi^\mu \phi_{\mu\nu} \phi^\nu, \\ L_4^\phi = \phi^\mu \phi_{\mu\rho} \phi^{\rho\nu} \phi_\nu, \quad L_5^\phi = (\phi^\mu \phi_{\mu\nu} \phi^\nu)^2. \quad (\text{A7})$$

It is straightforward to check that  $\alpha_1$  to  $\alpha_5$  and  $F(\phi, X)$  satisfy the degenerate conditions given by Eqs. (3.17) to (3.19) in [47]. Thus, our action belongs to the class Ia DHOST theories and does not contain any extra degree of freedom.

## APPENDIX B: THE EFT OF NONSINGULAR COSMOLOGIES

In this Appendix, we briefly review the EFT of non-singular cosmologies, see [28] for the details.

With the ADM 3 + 1 decomposition, we have

$$g_{\mu\nu} = \begin{pmatrix} N_k N^k - N^2 & N_j \\ N_i & h_{ij} \end{pmatrix}, \\ g^{\mu\nu} = \begin{pmatrix} -N^{-2} & \frac{N^j}{N^2} \\ \frac{N^i}{N^2} & h^{ij} - \frac{N^i N^j}{N^2} \end{pmatrix}, \quad (\text{B1})$$

and  $\sqrt{-g} = N\sqrt{h}$ , where  $N_i = h_{ij} N^j$ . The induced metric on 3-dimensional hypersurface is  $h_{\mu\nu} = g_{\mu\nu} + n_\mu n_\nu$ , where  $n_\mu = n_0(dt/dx^\mu) = (-N, 0, 0, 0)$ ,  $n^\nu = g^{\mu\nu} n_\mu = (1/N, -N^i/N)$  is orthogonal to the spacelike hypersurface, and  $n_\mu n^\mu = -1$ . Thus

$$h_{\mu\nu} = \begin{pmatrix} N_k N^k & N_j \\ N_i & h_{ij} \end{pmatrix}, \quad h^{\mu\nu} = \begin{pmatrix} 0 & 0 \\ 0 & h^{ij} \end{pmatrix}. \quad (\text{B2})$$

The EFT is [28]

$$\begin{aligned}
 S = \int d^4x \sqrt{-g} & \left[ \frac{M_p^2}{2} f(t) R - \Lambda(t) - c(t) g^{00} \right. \\
 & + \frac{M_2^4(t)}{2} (\delta g^{00})^2 - \frac{m_3^3(t)}{2} \delta K \delta g^{00} \\
 & - m_4^2(t) (\delta K^2 - \delta K_{\mu\nu} \delta K^{\mu\nu}) + \frac{\tilde{m}_4^2(t)}{2} R^{(3)} \delta g^{00} \\
 & - \tilde{m}_4^2(t) \delta K^2 + \frac{\tilde{m}_5(t)}{2} R^{(3)} \delta K + \frac{\tilde{\lambda}(t)}{2} (R^{(3)})^2 + \dots \\
 & \left. - \frac{\tilde{\lambda}(t)}{M_p^2} \nabla_i R^{(3)} \nabla^i R^{(3)} + \dots \right], \quad (\text{B3})
 \end{aligned}$$

where  $\delta g^{00} = g^{00} + 1$ ,  $R^{(3)}$  is the 3-dimensional Ricci scalar,  $K_{\mu\nu} = h_\mu^\sigma \nabla_\sigma n_\nu$  is the extrinsic curvature,  $\delta K_{\mu\nu} = K_{\mu\nu} - h_{\mu\nu} H$ .

Here, we focus on building a stable model of bounce inflation. We only consider the coefficients set  $(f, c, \Lambda, M_2, m_3, \tilde{m}_4)$ , and set other coefficients in (B3) equal to 0. We always could set  $f = 1$ , which suggests  $c(t) = -M_p^2 \dot{H}$  and  $c(t) + \Lambda(t) = 3M_p^2 H^2$ .

As pointed out in Ref. [33], the  $R^{(3)} \delta K$  operator in EFT could play similar role as  $R^{(3)} \delta g^{00}$ , which we will consider elsewhere. Mapping (2) into the EFT (B3), we have  $M_2^4(t) = X^2 \tilde{P}_{XX}$ ,  $m_3^3(t) = -g_1(\phi)$  and  $\tilde{m}_4^2 = f_1(\phi)$ . Only with  $(M_2, m_3, \tilde{m}_4) \neq 0$ , the quadratic action of scalar perturbation  $\zeta$  is (see, e.g., our [28])

$$S_\zeta^{(2)} = \int d^4x a^3 Q_s \left( \dot{\zeta}^2 - c_s^2 \frac{(\partial \zeta)^2}{a^2} \right), \quad (\text{B4})$$

where

$$Q_s = \frac{2M_2^4}{\gamma^2} + \frac{3m_3^6}{4M_p^2 \gamma^2} - \frac{\dot{H} M_p^2}{\gamma^2}, \quad (\text{B5})$$

$$c_s^2 Q_s = \frac{\dot{c}_3}{a} - M_p^2 \quad (\text{B6})$$

$$c_3 = \frac{a M_p^2}{\gamma} \left( 1 + \frac{2\tilde{m}_4^2}{M_p^2} \right), \quad (\text{B7})$$

where  $\gamma = H - m_3^3/(2M_p^2)$ . Only if  $Q_s > 0$  and  $c_s^2 > 0$ , the nonsingular cosmological model is healthy. In models with

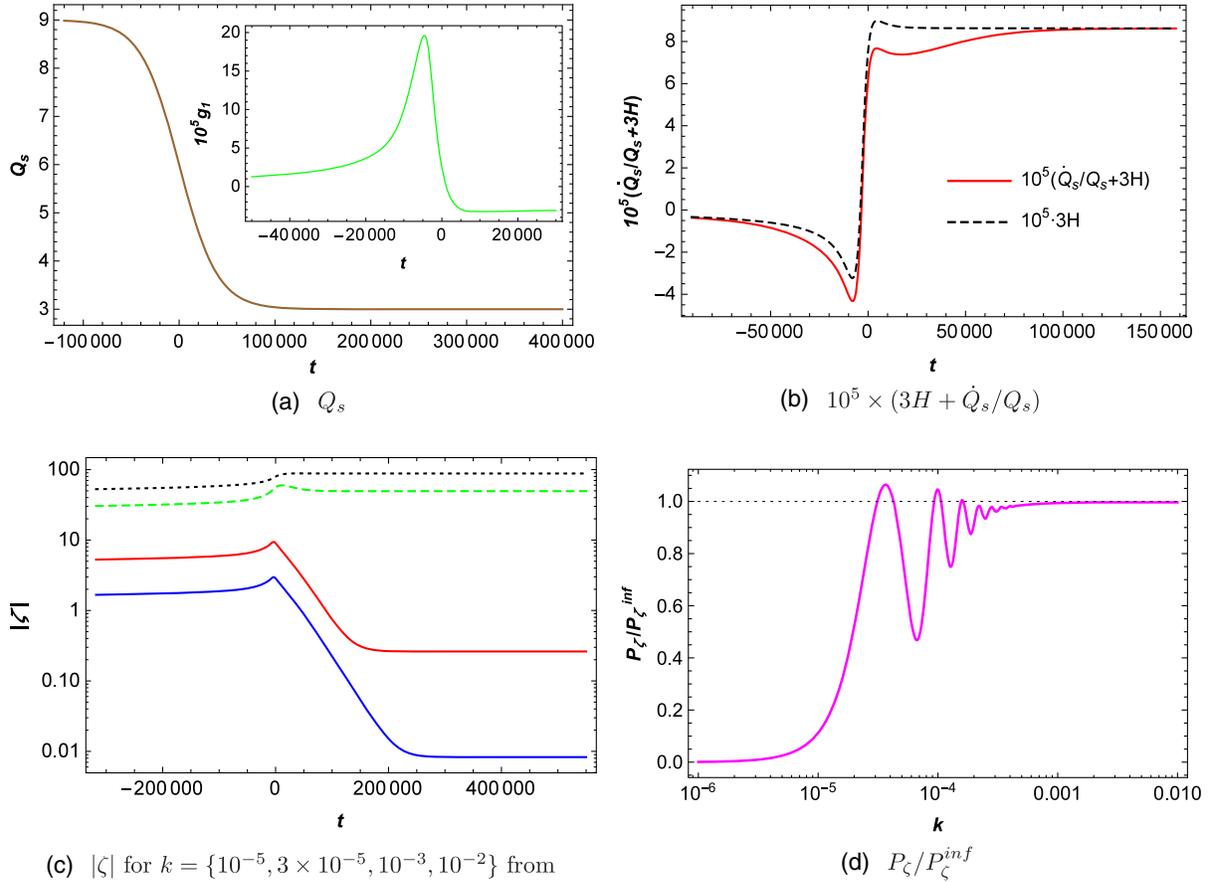


FIG. 7. We set  $\mathcal{A}_Q = 3$ ,  $\mathcal{B} = 2$ ,  $t_* = 4 \times 10^4$  and the background is given by Fig. 2.

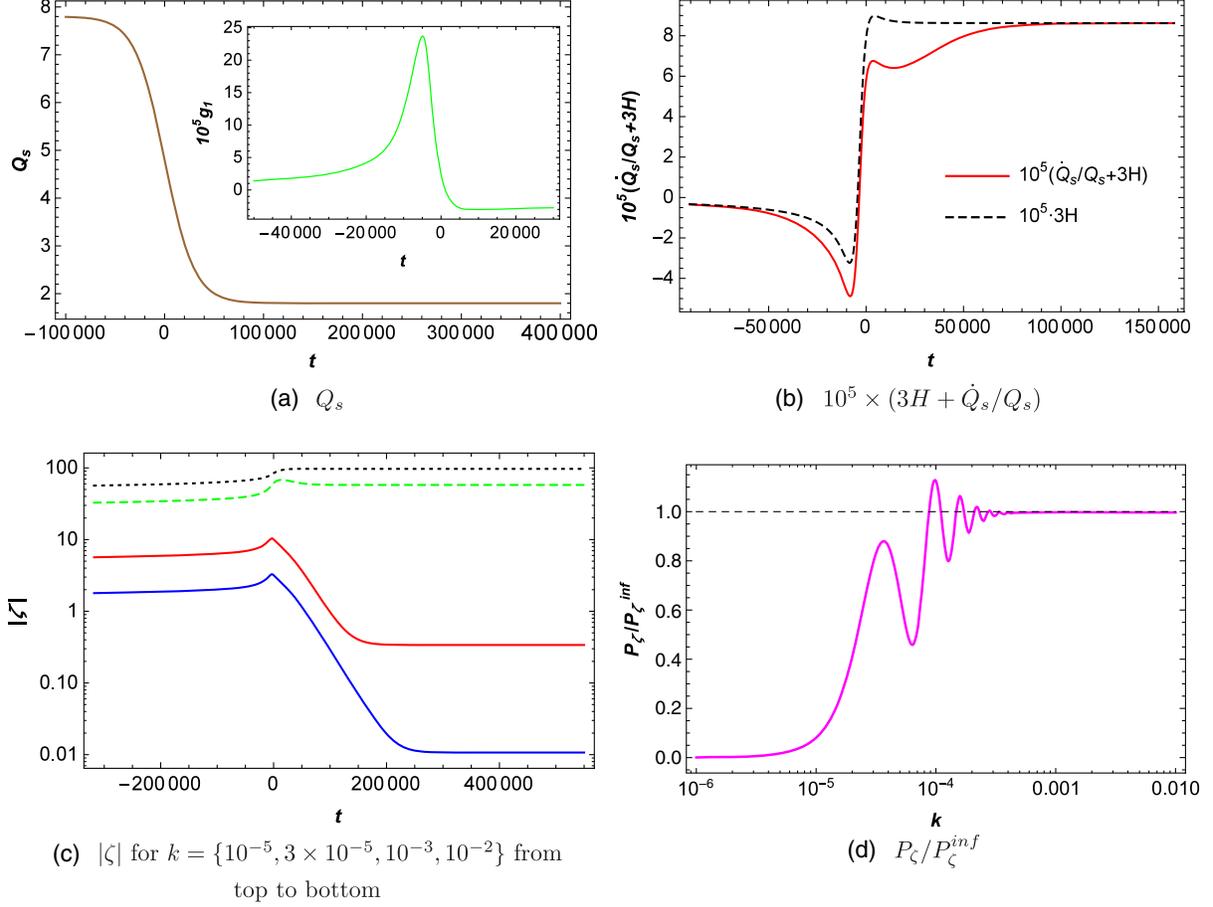


FIG. 8. We set  $\mathcal{A}_Q = 3$ ,  $\mathcal{B} = 1.6$ ,  $t_* = 3 \times 10^4$  and the background is given by Fig. 2.

the operator  $(\delta g^{00})^2$ ,  $Q_s > 0$  always can be obtained, since  $(\delta g^{00})^2$  contributes  $\dot{\zeta}^2$ . While  $c_s^2 > 0$  requires  $\dot{c}_3 > aM_p^2$ , which is

$$c_3|_{t_f} - c_3|_{t_i} > M_p^2 \int_{t_i}^{t_f} a dt. \quad (\text{B8})$$

The inequality (B8) suggests that  $c_3$  must cross 0 ( $\tilde{m}_4^2 = -M_p^2/2$  or  $\gamma$  is divergent), since the integral  $\int a dt$  is infinite. Thus if the  $R^{(3)}\delta g^{00}$  operator is absent,  $c_s^2 > 0$  throughout is impossible. We can set  $c_s^2 \simeq 1$  by

$$m_4^2 = \frac{\gamma}{2a} \int a(Q_s c_s^2 + M_p^2) dt - \frac{M_p^2}{2}. \quad (\text{B9})$$

### APPENDIX C: MORE ON THE SIMULATION

We plot the evolutions of  $Q_s$ ,  $g_1$ ,  $|\zeta|$  with respect to  $t$ , and also  $P_\zeta(k)$  for the background in Fig. 2, with different values of  $\mathcal{B}$  and  $t_*$  in this Appendix. We see how  $|\zeta|$  evolves with  $a$  in different phases. Theoretically,  $\zeta \sim 1/a$  for the perturbation modes with  $k \gg \sqrt{z_s''/z_s}$ , while  $\zeta \sim \text{const.}$  for the perturbation modes with  $k \ll \sqrt{z_s''/z_s}$ , which is consistent with our Figs. 7(c) and 8(c).

### APPENDIX D: EVOLUTIONS OF $f_1, g_1, f_2$ , AND $h$ WITH RESPECT TO $\phi$

We plotted  $f_1, g_1, f_2$ , and  $h$  with respect to  $\phi$  in Fig. 9. Here,  $f_1(\phi)$  guarantees  $c_s^2 \equiv 1$  [see, Eq. (20)], while  $g_1(\phi)$  gives the particular time dependence of  $Q_s$  given by Eq. (24) [see, Eq. (25)]. The particular evolutions of  $f_2$

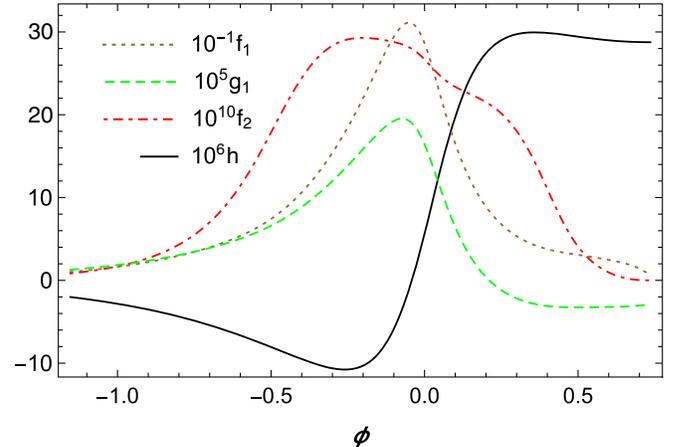


FIG. 9. The evolutions of  $f_1, g_1, f_2$ , and  $h$  with respect to  $\phi$  while we set  $c_s^2 \equiv 1$ ,  $\mathcal{A}_Q = 3$ ,  $\mathcal{B} = 2$  and  $t_* = 4 \times 10^4$ .

and  $h$  guarantee that  $L_{\delta g^{00}R^{(3)}}$  and  $L_{\delta K\delta g^{00}}$  has no contribution at background level.

### APPENDIX E: THE MATRICES ELEMENTS OF $\mathcal{M}^{(2,1)}$ AND $\mathcal{M}^{(3,2)}$

We define  $l = \sqrt{\alpha a_B^2 - k^2}$ ,  $x_1 = 1/|\mathcal{H}_{B-}|$ ,  $x_2 = \mathcal{H}_{B+}$ ,  $y_{1,2} = (\eta_{B\mp} - \eta_B)$ , and have

$$\mathcal{M}_{11}^{(2,1)} = \frac{\sqrt{\pi x_1}}{4l} [(l + \alpha a_B^2 y_1) H_{\nu_1}^{(1)}(kx_1) - k H_{\nu_1-1}^{(1)}(kx_1)] e^{-ly_1}, \quad (\text{E1})$$

$$\mathcal{M}_{12}^{(2,1)} = \frac{\sqrt{\pi x_1}}{4l} [(l + \alpha a_B^2 y_1) H_{\nu_1}^{(2)}(kx_1) - k H_{\nu_1-1}^{(2)}(kx_1)] e^{-ly_1}, \quad (\text{E2})$$

$$\mathcal{M}_{21}^{(2,1)} = \frac{\sqrt{\pi x_1}}{4l} [(l - \alpha a_B^2 y_1) H_{\nu_1}^{(1)}(kx_1) - k H_{\nu_1-1}^{(1)}(kx_1)] e^{ly_1}, \quad (\text{E3})$$

$$\mathcal{M}_{22}^{(2,1)} = \frac{\sqrt{\pi x_1}}{4l} [(l - \alpha a_B^2 y_1) H_{\nu_1}^{(2)}(kx_1) - k H_{\nu_1-1}^{(2)}(kx_1)] e^{ly_1}, \quad (\text{E4})$$

$$\mathcal{M}_{11}^{(3,2)} = \frac{i\sqrt{\pi x_2}}{2} [(l - \alpha a_B^2 y_2) H_{\nu_2}^{(2)}(kx_2) + k H_{\nu_2-1}^{(2)}(kx_2)] e^{ly_2}, \quad (\text{E5})$$

$$\mathcal{M}_{12}^{(3,2)} = \frac{i\sqrt{\pi x_2}}{2} [-(l + \alpha a_B^2 y_2) H_{\nu_2}^{(2)}(kx_2) + k H_{\nu_2-1}^{(2)}(kx_2)] e^{-ly_2}, \quad (\text{E6})$$

$$-\mathcal{M}_{21}^{(3,2)} = \frac{i\sqrt{\pi x_2}}{2} [(l - \alpha a_B^2 y_2) H_{\nu_2}^{(1)}(kx_2) + k H_{\nu_2-1}^{(1)}(kx_2)] e^{ly_2}, \quad (\text{E7})$$

$$-\mathcal{M}_{22}^{(3,2)} = \frac{i\sqrt{\pi x_2}}{2} [-(l + \alpha a_B^2 y_2) H_{\nu_2}^{(1)}(kx_2) + k H_{\nu_2-1}^{(1)}(kx_2)] e^{-ly_2}. \quad (\text{E8})$$

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