

Non-Gaussianity in loop quantum cosmology

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We extend the phenomenology of loop quantum cosmology (LQC) to second order in perturbations. Our motivation is twofold. On the one hand, since LQC predicts a cosmic bounce that takes place at the Planck scale, the second-order contributions could be large enough to jeopardize the validity of the perturbative expansion on which previous results rest. On the other hand, the upper bounds on primordial non-Gaussianity obtained by the Planck Collaboration are expected to play a significant role on explorations of the LQC phenomenology. We find that the bounce in LQC produces an enhancement of non-Gaussianity of several orders of magnitude, on length scales that were larger than the curvature radius at the bounce. Nonetheless, we find that one can still rely on the perturbative expansion to make predictions about primordial perturbations. We discuss the consequences of our results for LQC and its predictions for the cosmic microwave background.

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I. INTRODUCTION

The origin of the large scale cosmic structure can be traced back to quantum vacuum fluctuations in the early Universe, which were amplified by a dynamical gravitational field. The inflationary paradigm provides a theoretical framework to materialize this idea and to make concrete predictions that can be confronted with observations (see [1,2] for a recent debate about the pros and cons of inflation). But despite the many interesting aspects of the inflationary scenario, the picture of the early Universe that it provides remains incomplete (for a list of open questions, see, e.g., [3]). Among the most important open issues is the fact that inflationary models suffer from the initial big bang singularity [4], that makes us uncertain about the way inflation begins and about the initial state of the Universe at the onset of inflation. This point is particularly relevant, since the predictions for the cosmic microwave background (CMB) and large scale structure *depend* on what the initial state was. It would be more satisfactory to have a scenario in which inflation arises in a well-defined manner, free of singularities, and in which the dynamics of the preinflationary Universe could be incorporated.

The idea that the Universe did not begin with a big bang but rather it *bounced*, transitioning from a contracting

phase to an expanding one, is an attractive possibility. Bouncing models have been considered since the early days of relativistic cosmology, e.g., by de Sitter in 1931 [5], and more recently this idea has emerged in more precise terms within different scenarios, including loop quantum cosmology (LQC) [6–9], string theory-related models [10], higher-derivative scalar-tensor theories [11,12], etc. In this paper, we focus on cosmological bounces as predicted by loop quantum cosmology, although some of our results shall apply to other models as well.

In LQC (see [13–25] for review articles), the cosmic bounce is caused by quantum gravitational effects. This scenario has been used to provide a detailed quantum gravity extension of the inflationary scenario [26,27] in which trans-Planckian issues of the inflationary paradigm are addressed from first principles. After the bounce, as the value of matter energy density and curvature invariants become smaller than the Planck scale, quantum gravitational effects quickly become irrelevant. In the presence of a scalar field ϕ and an appropriate potential $V(\phi)$, the matter content of the Universe becomes dominated by this potential soon after the bounce, and the Universe generically enters an inflationary phase [28–30]. In this scenario, scalar and tensor cosmological perturbations begin their evolution in the quantum vacuum at early times, and then evolve across the bounce, until the onset of inflation, and beyond. One then can use this evolution to *compute* the state of perturbations at the onset of inflation, and to obtain predictions for the CMB. The propagation across the

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bounce leaves an imprint in scalar and tensor perturbations. If the state of perturbations at the onset of inflation happens to be completely different from the Bunch-Davies initial conditions normally postulated in standard inflation, existing observational constraints would jeopardize the viability of the LQC proposal for the preinflationary Universe [31]. On the other hand, if the resulting state is close enough to the Bunch-Davies vacuum at the onset of slow-roll, but still contains some differences, new effects would be predicted for the CMB temperature distribution.

In the last few years, a research program has been dedicated to quantitatively analyze these possibilities (see [14–25, 32–34], and references therein). More concretely, the primordial power spectra of perturbations have been analyzed in detail by different groups, following different strategies. The main conclusions are that the bounce can leave an imprint on the largest scales probed by CMB, while still being compatible with current observational constraints. Concrete predictions have been obtained for the amplitude of the scalar and tensor power spectrum, spectral indices, and tensor-to-scalar ratio.

In this paper we argue that the analyses done so far for the primordial power spectrum provides only a first step towards a complete comparison of the predictions of LQC with observations. In order to declare the viability of the theoretical framework and the compatibility of its predictions with observations, one has to go to the next order in the perturbative expansion and show, first, that the next-to-leading order contribution introduces only small corrections, in such a way that the perturbative expansion on which the computation rests is meaningful. But this is not enough, since these corrections, although small enough to maintain the validity of perturbation theory, could still give rise to large non-Gaussianity and violate observational upper bounds [35]. Such analysis was done for the standard theory of inflation in [36], and it was shown that higher order corrections and non-Gaussianity generated *during the slow-roll era* are indeed small, consistent with CMB data. But the situation could be different in the presence of a cosmic bounce that takes place at a higher curvature. Non-Gaussianity arises from self-interactions between perturbations, and these are mediated by gravity. One expects, from general arguments, that these interactions would become “stronger” at higher curvatures. Since the bounce in LQC takes place at the Planck scale, there exists the possibility that the resulting non-Gaussianity is too large. Here we extend the analysis of scalar perturbations in LQC to second order and investigate the non-Gaussianity generated by the LQC bounce. This goes in three main steps. Firstly, since LQC is based on a canonical approach to quantization, we rewrite perturbation theory of cosmological perturbations at second order in a purely phase space or Hamiltonian language. Secondly, we extend the existing theoretical framework to quantize cosmological perturbations in LQC, the so-called dressed metric approach, to

second order in perturbations. Finally, as the approximations that are available during inflation and that make the computation of non-Gaussianity tractable,¹ are simply not applicable in the preinflationary era, we have developed a numerical code to compute non-Gaussianity in an arbitrary spatially flat Friedmann-Lemaître-Robertson-Walker (FLRW) spacetime. Our code is dubbed `class_lqc` and is available in an online repository² It uses the numerical infrastructure of CLASS [37,38].

We show that the non-Gaussianity generated by the bounce in LQC are several orders of magnitude larger than those generated by inflation alone, for length scales that were larger than the (spacetime) curvature radius at the bounce. However, we show that these higher order correlations do not invalidate the perturbative expansion. We compare our results with observations and reevaluate the range of values of the parameter of the theory that make both, the power spectrum *and* the non-Gaussianity compatible with observations. These results open new possibilities for observational signatures in the CMB and large scale structure arising from the bounce.

The rest of the paper is organized as follows. In Sec. II, we develop the classical Hamiltonian theory of cosmological perturbations at next-to-leading order in perturbations and devote Sec. III to their quantization within the dressed metric approach in LQC. In Sec. IV we show the numerical evaluation of the three-point correlation function, and describe the “shape” of the resulting scalar non-Gaussianity. In Sec. IV, we also explore the dependence of our results on different freedoms in the theory, namely the “initial” value of the scalar field, the value of the energy density (or equivalently, the Ricci curvature) at the bounce, the scalar field potential $V(\phi)$, and the initial state for perturbations, respectively. We complement this numerical analysis with an analytical justification of the main features of the non-Gaussianity in Sec. V. In Sec. VI, we calculate the leading order corrections to the power spectrum and discuss the validity of perturbation theory. Finally, in Sec. XII, we conclude with a summary of the results and their implications in the light of observational data.

Although the effects of non-Gaussianity in the CMB arising from LQC have been discussed in previous analyses [39,40], these works do not incorporate the non-Gaussianity generated during the bounce. Rather, they focus on contributions to non-Gaussianity originated *during inflation*, as a consequence of the fact that perturbations reach the onset of inflation in an excited state. Since these excitations were generated by the LQC-bounce, the non-Gaussianity they induce during inflation is a by-product of LQC. Here we provide the framework, the numerical tools, and the computation of the *full* non-Gaussianity in LQC.

¹Namely, the slow-roll approximation and the availability of analytical approximation for the evolution of perturbations based on the quasi-de Sitter symmetry of the inflationary spacetime.

²website: https://github.com/borisbolliet/class_lqc_public.

Throughout this paper we use *reduced* Planck units, in which energy and time are measured in units of the reduced Planck mass $M_{Pl} = \sqrt{\hbar/(8\pi G)}$ and reduced Planck time $T_{Pl} = \sqrt{8\pi G\hbar}$. However, we will keep explicitly $\hbar$ and G in our analytical expressions, in order to make the physical origin of our results more transparent.

II. HAMILTONIAN FORMULATION OF SECOND-ORDER PERTURBATION THEORY AROUND SPATIALLY FLAT FLRW BACKGROUNDS

Let us consider general relativity minimally coupled to a scalar field Φ on a spacetime manifold $M = \mathbb{R} \times \Sigma$. In this paper we are interested in Σ having the $\mathbb{R}^3$ topology, although the extension to other choices is straightforward. In the Arnowitt-Deser-Misner, or Hamiltonian formulation, the phase space Γ is made of quadruples of fields defined on Σ , i.e., $[\Phi(\vec{x}), P_\Phi(\vec{x}), q_{ij}(\vec{x}), \pi^{ij}(\vec{x})]$, where $q_{ij}(\vec{x})$ is a Riemannian metric that describes the intrinsic geometry of Σ , and $\pi^{ij}(\vec{x})$, its conjugate momentum, describes the extrinsic geometry of Σ . (Latin indices i, j run from 1 to 3.) The only nonzero Poisson brackets between these canonical variables are

$$\begin{aligned} \{\Phi(\vec{x}), P_\Phi(\vec{x}')\} &= \delta^{(3)}(\vec{x} - \vec{x}'), \\ \{q_{ij}(\vec{x}), \pi^{kl}(\vec{x}')\} &= \delta_{(i}^k \delta_{j)}^l \delta^{(3)}(\vec{x} - \vec{x}'), \end{aligned} \quad (2.1)$$

where $\delta_{(i}^k \delta_{j)}^l \equiv \frac{1}{2}(\delta_i^k \delta_j^l + \delta_j^k \delta_i^l)$ is the symmetrized Kronecker delta. Additionally, this phase space Γ carries the four constraints of general relativity, the so-called scalar and vector (or diffeomorphism) constraints

$$\begin{aligned} \mathbb{S}(\vec{x}) &= \frac{2\kappa}{\sqrt{q}} \left(\pi^{ij} \pi_{ij} - \frac{1}{2} \pi^2 \right) - \frac{\sqrt{q}}{2\kappa} {}^{(3)}R + \frac{1}{2\sqrt{q}} P_\Phi^2 \\ &+ \sqrt{q} V(\Phi) + \frac{\sqrt{q}}{2} D_i \Phi D^i \Phi \approx 0, \end{aligned} \quad (2.2)$$

$$\mathbb{V}_i(\vec{x}) = -2\sqrt{q} q_{ij} D_k (q^{-1/2} \pi^{kj}) + P_\Phi D_i \Phi \approx 0, \quad (2.3)$$

where $\kappa = 8\pi G$ and $V(\Phi)$ is a potential for the field Φ . In these expressions, q , ${}^{(3)}R$, and D_i are the determinant, the Ricci scalar, and the covariant derivative associated with q_{ij} , respectively.³

The Hamiltonian that generates time evolution in Γ is a combination of constraints

$$\mathcal{H} = \int d^3x [N(\vec{x}) \mathbb{S}(\vec{x}) + N^i(\vec{x}) \mathbb{V}_i(\vec{x})], \quad (2.4)$$

³In terms of the ordinary derivative associated with a reference frame, the components of vector constraint read $\mathbb{V}_i(\vec{x}) = -2\partial_k (q_{ij} \pi^{jk}) + \pi^{jk} \partial_i q_{jk} + P_\Phi \partial_i \Phi \approx 0$.

where the Lagrange multipliers $N(\vec{x})$ and $N^i(\vec{x})$ are the so-called lapse and shift. They can be chosen to depend on the phase space variables. We now apply this formalism to the early Universe.

One of the main assumptions in cosmology is that the primordial Universe is described by a solution to Einstein's equations that is very close to a FLRW geometry. In the Hamiltonian language, this means that we want to focus on a *sector* of the phase space Γ of general relativity, consisting of a small neighborhood around the homogeneous and isotropic subspace, $\Gamma_{\text{FLRW}} \in \Gamma$. In this neighborhood, the canonical variables can be written as

$$\begin{aligned} \Phi(\vec{x}) &= \phi + \delta\phi(\vec{x}), \\ P_\Phi(\vec{x}) &= p_\phi + \delta p_\phi(\vec{x}), \\ q_{ij}(\vec{x}) &= \overset{\circ}{q}_{ij} + \delta q_{ij}(\vec{x}), \\ \pi^{ij}(\vec{x}) &= \overset{\circ}{\pi}^{ij} + \delta\pi^{ij}(\vec{x}), \end{aligned} \quad (2.5)$$

where $\delta\phi(\vec{x}), \delta p_\phi(\vec{x}), \delta q_{ij}(\vec{x}), \delta\pi^{ij}(\vec{x})$ describe small perturbations around the homogeneous and isotropic background variables $\phi, p_\phi, \overset{\circ}{q}_{ij}, \overset{\circ}{\pi}^{ij}$.

A. Background

The variables $\phi, p_\phi, \overset{\circ}{q}_{ij}, \overset{\circ}{\pi}^{ij}$ are *chosen* to describe a spatially flat FLRW universe. This implies the following. First of all, because we are dealing here with homogeneous fields and Σ has the noncompact $\mathbb{R}^3$ topology, the spatial integrals involved in the definition of the Hamiltonian and the symplectic form, diverge. But this is a spurious infrared divergence, which can be eliminated by restricting the integrals to some finite, although arbitrarily large cubical coordinate volume $\mathcal{V}_0$. This infrared regulator will appear only in intermediate expressions, and physical predictions will not depend on it, therefore allowing us to take $\mathcal{V}_0 \rightarrow \infty$ at the end of the calculation. Secondly, the basic Poisson brackets of these background variables are

$$\{\phi, p_\phi\} = \frac{1}{\mathcal{V}_0}, \quad \{\overset{\circ}{q}_{ij}, \overset{\circ}{\pi}^{kl}\} = \frac{1}{\mathcal{V}_0} \delta_{(i}^k \delta_{j)}^l. \quad (2.6)$$

The rest of Poisson brackets between background variables, as well as the “mixed” brackets involving both background and perturbation fields, all vanish. Thirdly, homogeneity and isotropy allow us to choose a gauge in which the metric variables take the manifestly homogeneous and isotropic form

$$\overset{\circ}{q}_{ij} = a^2 \delta_{ij}, \quad \overset{\circ}{\pi}^{ij} = \frac{\pi_a}{6a} \delta^{ij}, \quad (2.7)$$

where δ_{ij} is the Euclidean metric on Σ and δ^{ij} its inverse, and numerical factors have been chosen to make a and π_a canonically conjugated variables, $\{a, \pi_a\} = \frac{1}{\mathcal{V}_0}$. Furthermore, homogeneity makes the vector constraint to vanish identically,

since the spatial derivatives of background variables are all zero. Therefore, the background degrees of freedom are subject only to the scalar constraint (2.2), which takes the form

$$\mathbb{S}^{(0)} = -\frac{\kappa\pi_a^2}{12a} + \frac{p_\phi^2}{2a^3} + a^3V(\phi) \approx 0. \quad (2.8)$$

This is the familiar Friedmann constraint. And finally, dynamics is generated by the Hamiltonian

$$\mathcal{H}_{\text{FLRW}} = \int d^3x N \mathbb{S}^{(0)} = \mathcal{V}_0 N \left[-\frac{\kappa\pi_a^2}{12a} + \frac{p_\phi^2}{2a^3} + a^3V(\phi) \right]. \quad (2.9)$$

Only uniform lapses N contribute to the right-hand side of (2.9). Commonly used choices are (i) $N = 1$, which corresponds to using proper—or cosmic—time t , (ii) $N = a$ that corresponds to conformal time η , (iii) or $N = a^3$ associated with the so-called harmonic time τ . Friedmann equations are easily obtained from Hamilton's equations of motion which, in cosmic time, read

$$\begin{aligned} \dot{a} &= \{a, \mathcal{H}_{\text{FLRW}}\} = -\kappa \frac{\pi_a}{6a}, \\ \dot{\pi}_a &= \{\pi_a, \mathcal{H}_{\text{FLRW}}\} = -\left[\frac{\kappa}{12a^2} \pi_a^2 - \frac{3}{2a^4} p_\phi^2 + 3a^2 V(\phi) \right], \end{aligned} \quad (2.10)$$

$$\begin{aligned} \dot{\phi} &= \{\phi, \mathcal{H}_{\text{FLRW}}\} = \frac{p_\phi}{a^3}, \\ \dot{p}_\phi &= \{p_\phi, \mathcal{H}_{\text{FLRW}}\} = -a^3 \frac{dV(\phi)}{d\phi}. \end{aligned} \quad (2.11)$$

These equations can be combined into the more familiar set of second-order differential equations

$$\ddot{\phi} + 3\frac{\dot{a}}{a}\dot{\phi} + \frac{dV(\phi)}{d\phi} = 0, \quad \frac{\ddot{a}}{a} = -\frac{\kappa}{2} \left(\frac{1}{3}\rho + P \right), \quad (2.12)$$

where $\rho \equiv \frac{1}{2}\dot{\phi}^2 + V(\phi)$ and $P \equiv \frac{1}{2}\dot{\phi}^2 - V(\phi)$ are the energy and pressure density of ϕ , respectively.

By solving (2.12) one directly obtains the *spacetime* background metric $ds^2 = -dt^2 + \overset{\circ}{q}_{ij}(t)dx^i dx^j = -dt^2 + a(t)^2 d\vec{x}^2$ and the scalar field $\phi(t)$. These are the background fields upon which perturbations propagate.

Remark: From now on, we choose to raise and lower *all* indices with the FLRW background metric $\overset{\circ}{q}_{ij}$ and its inverse $\overset{\circ}{q}^{ij}$.

B. Perturbations

Perturbation fields are defined by Eqs. (2.5). The Poisson brackets of the physical fields (2.1) together with those of the background variables (2.6), imply

$$\begin{aligned} \{\delta\phi(\vec{x}), \delta p_\phi(\vec{x}')\} &= \delta^{(3)}(\vec{x} - \vec{x}') - \frac{1}{\mathcal{V}_0}, \\ \{\delta q_{ij}(\vec{x}), \delta\pi^{kl}(\vec{x}')\} &= \delta_{(i}^k \delta_{j)}^l \left(\delta^{(3)}(\vec{x} - \vec{x}') - \frac{1}{\mathcal{V}_0} \right). \end{aligned} \quad (2.13)$$

The distribution appearing in the right-hand side, $\delta^{(3)}(\vec{x} - \vec{x}') - \frac{1}{\mathcal{V}_0}$, is simply the Dirac delta on the space of purely inhomogeneous fields.⁴

We have a total of 7 degrees of freedom (per point of space) in configuration variables—6 in $\delta q_{ij}(\vec{x})$ (gravity) and one in $\delta\phi(\vec{x})$ (matter)—and 7 more in the conjugate momenta. But perturbations are subject to the 4 constraints (2.2), hence leaving a total of 3 physical degrees of freedom in configuration variables, and a total of 6 in the phase space of perturbations—recall that each first class constraint actually removes two degrees of freedom in phase space. In order to isolate these physical fields, it is convenient to first decompose $\delta q_{ij}(\vec{x})$ and $\delta\pi^{ij}(\vec{x})$ in a way that is adapted to the symmetries of the background metric $\overset{\circ}{q}_{ij}$. This leads to the well-known scalar-vector-tensor decomposition of metric perturbations. This decomposition can be achieved either in position or Fourier space. We choose to do it in Fourier space (see, e.g., [41,42] for earlier references), with the aim of complementing the more extended analysis in position space (see, e.g., [43,44] for a recent study of non-Gaussianity in position space, also in the canonical framework). We start by expanding the metric perturbations in Fourier modes

$$\begin{aligned} \delta q_{ij}(\vec{x}) &= \frac{1}{\mathcal{V}_0} \sum_{\vec{k}} \delta \tilde{q}_{ij}(\vec{k}) e^{i\vec{k}\cdot\vec{x}}, \\ \delta\pi^{ij}(\vec{x}) &= \frac{1}{\mathcal{V}_0} \sum_{\vec{k}} \delta \tilde{\pi}^{ij}(\vec{k}) e^{i\vec{k}\cdot\vec{x}}. \end{aligned} \quad (2.14)$$

Since the perturbation fields in position space are real, one has $\delta \tilde{q}_{ij}^*(\vec{k}) = \delta \tilde{q}_{ij}(-\vec{k})$, and similarly for $\delta \tilde{\pi}^{ij}(\vec{k})$, where the star indicates complex conjugation.

⁴This can be checked by smearing the left-hand side of (2.13) with arbitrary functions $f(\vec{x})$ and $g(\vec{x})$, and noticing that the presence of the term $-1/\mathcal{V}_0$ removes the homogeneous components of those functions. Thus, only the inhomogeneous components of $f(\vec{x})$ and $g(\vec{x})$, defined as $f_{\text{inh}}(\vec{x}) \equiv f(\vec{x}) - 1/\mathcal{V}_0 \int d\vec{x} f(\vec{x})$ and similarly for $g(\vec{x})$, contribute to the right-hand side of (2.13). Note also that at second order, the equations of motion for perturbations are *nonlinear*. This implies that perturbation will pick a homogenous contribution throughout the evolution, even if the initial data is purely inhomogeneous. Therefore, strictly speaking, perturbations cannot be assumed to be purely inhomogeneous at this order in perturbations. However, the Poisson brackets (2.13) imply that the homogenous part of the perturbations will Poisson-commute with its conjugate momentum, and hence will have no dynamics in our formulation. This is equivalent to saying that, in perturbation theory, this homogenous mode is neglected, since it is assumed to always be much smaller than the background fields. This is the reason why, in practice, one can treat perturbations as purely inhomogeneous even at second order.

The Poisson brackets (2.13) translate to

$$\{\delta\tilde{q}_{ij}(\vec{k}), \delta\tilde{\pi}^{kl}(\vec{k}')\} = \mathcal{V}_0 \delta_{(i}^k \delta_{j)}^{l'} \delta_{\vec{k}, -\vec{k}'}, \quad (2.15)$$

for any nonzero $\vec{k}$ and $\vec{k}'$.

The matrices $\delta\tilde{q}_{ij}(\vec{k})$ belong to the vector space of 3×3 symmetric matrices. The scalar-vector-tensor decomposition is obtained by writing $\delta\tilde{q}_{ij}(\vec{k})$ in a convenient basis in this space, namely

$$\begin{aligned} A_{ij}^{(1)} &= \frac{\hat{q}_{ij}}{\sqrt{3}} & A_{ij}^{(2)} &= \sqrt{\frac{3}{2}} \left(\hat{k}_i \hat{k}_j - \frac{\hat{q}_{ij}}{3} \right) \\ A_{ij}^{(3)} &= \frac{1}{\sqrt{2}} (\hat{k}_i \hat{x}_j + \hat{k}_j \hat{x}_i) & A_{ij}^{(4)} &= \frac{1}{\sqrt{2}} (\hat{k}_i \hat{y}_j + \hat{k}_j \hat{y}_i) \\ A_{ij}^{(5)} &= \frac{1}{\sqrt{2}} (\hat{x}_i \hat{y}_j + \hat{x}_j \hat{y}_i) & A_{ij}^{(6)} &= \frac{1}{\sqrt{2}} (\hat{x}_i \hat{x}_j - \hat{y}_i \hat{y}_j), \end{aligned}$$

where $\hat{k}$ is the unit vector in the direction of $\vec{k}$, and $\hat{k}, \hat{x}, \hat{y}$ form an orthonormal set of unit vectors (with respect to $\hat{q}_{ij}$). These six matrices form an orthonormal basis, with respect to the inner product $A_{ij}^{*(n)} A_{(m)}^{ij} = \delta_{nm}$. Now, we expand the perturbation fields in this basis,

$$\begin{aligned} \delta\tilde{q}_{ij}(\vec{k}) &= \sum_{n=1}^6 \tilde{\gamma}_n(\vec{k}) A_{ij}^{(n)}(\vec{k}), \\ \delta\tilde{\pi}^{ij}(\vec{k}) &= \sum_{n=1}^6 \tilde{\pi}_n(\vec{k}) A_{(n)}^{ij}(\vec{k}). \end{aligned} \quad (2.16)$$

These equations can be seen as the definition of $\tilde{\gamma}_n(\vec{k}) \equiv A_{(n)}^{ij} \delta\tilde{q}_{ij}(\vec{k})$ and $\tilde{\pi}_n(\vec{k}) \equiv A_{ij}^{(n)} \delta\tilde{\pi}^{ij}(\vec{k})$. Consider the group of rotations around the direction $\hat{k}$, i.e., the $SO(2)$ subgroup that leaves $\hat{k}$ invariant—but rotates $\hat{x}$ and $\hat{y}$. It is evident from their definition that $A_{ij}^{(1)}$ and $A_{ij}^{(2)}$ are unaffected by these rotations, $A_{ij}^{(3)}$ and $A_{ij}^{(4)}$ transform as vectors, and $A_{ij}^{(5)}$ and $A_{ij}^{(6)}$ as two-covariant tensors. For this reason $\tilde{\gamma}_n$ and $\tilde{\pi}_n$ are called scalar modes for $n = 1, 2$, vector modes for $n = 3, 4$, and tensor modes for $n = 5, 6$. The canonical Poisson brackets (2.15) are equivalent to

$$\begin{aligned} \{\tilde{\gamma}_n(\vec{k}), \tilde{\pi}_m(\vec{k}')\} &= A_{(n)}^{ij} A_{rs}^{(m)} \times \{\delta\tilde{q}_{ij}(\vec{k}), \delta\tilde{\pi}^{rs}(\vec{k}')\} \\ &= \mathcal{V}_0 \delta_{nm} \delta_{\vec{k}, -\vec{k}'}, \\ \{\tilde{\gamma}_n(\vec{k}), \tilde{\gamma}_m(\vec{k}')\} &= 0, \\ \{\tilde{\pi}_n(\vec{k}), \tilde{\pi}_m(\vec{k}')\} &= 0. \end{aligned} \quad (2.17)$$

Note that the conjugate variable of $\tilde{\gamma}_n(\vec{k})$ is $\tilde{\pi}_m(-\vec{k}) = \tilde{\pi}_m^*(\vec{k})$.

C. Physical degrees of freedom

There are two common strategies to isolate physical degrees of freedom in perturbations from pure gauge ones, namely gauge fixing or working with the so-called gauge invariant variables. Gauge invariant variables are combinations of $\delta\tilde{\phi}$ and $\tilde{\gamma}_n$'s that are invariant under the Hamiltonian flow generated by some of the constraints. More precisely, when working at linear order in perturbations, gauge invariant variables are defined to be invariant under the flow generated by the terms in the constraints (2.2) that are *linear* in perturbations, and these variables are commonly used in the literature (see, e.g., [41], and Sec. III. C of [42]). However, finding gauge invariant perturbations at second order is more tedious [44], since one must involve second-order constraints in their definition. The gauge fixing strategy is more efficient, and more common in the literature (see, e.g., [36]), and we shall follow it in this paper.

Recall also that in making predictions for primordial perturbations, the important point is to write the answer in terms of the comoving curvature perturbations $\mathcal{R}$ (see, e.g., [36] for its definition at higher order in perturbations). This is because Fourier modes of $\mathcal{R}$ *remain constant from the time they exit the Hubble radius during inflation until they reenter towards the end of the radiation era*. This property of $\mathcal{R}$ is crucial, since it allows us to connect the inflationary predictions with observables in the late time Universe, even if we are uncertain about the evolution of the Universe immediately after inflation. Therefore, irrespective of what strategy one decides to follow—gauge invariant variables or gauge fixed ones—the important point is to write the answer in terms of $\mathcal{R}$ at the end of inflation.

However, performing all computations using $\mathcal{R}$ presents some difficulties. When the Universe is dominated by a scalar field ϕ , the variable $\mathcal{R}$ is ill-defined whenever $\dot{\phi}$ vanishes. During inflation this situation does *not* occur, because the evolution of the scalar field during this period is monotonic, rolling down the potential, as long as the slow-roll conditions are satisfied. In the scenario under consideration in this paper, $\dot{\phi}$ vanishes just before the onset of inflation, thus making the variable $\mathcal{R}$ unsuitable for our purposes (see [27, 45] for further details). Therefore, in our analysis below we work with the scalar perturbations $\delta\phi$ in the spatially flat gauge and rewrite the answers in terms of comoving curvature perturbation $\mathcal{R}$ *at the end of the inflation*, when all modes of interest are in super-Hubble scales.

The spatially flat gauge is defined as the gauge in which the scalar and vector modes of metric perturbations vanish, i.e., $\tilde{\gamma}_i = 0$ for $i = 1, 2, 3, 4$. The physical degrees of freedom are therefore encoded in the scalar perturbations $\delta\phi$ and the tensor modes $\tilde{\gamma}_5$ and $\tilde{\gamma}_6$. This strategy completely fixes the gauge freedom.

We are now ready to write the Hamiltonian that generates dynamics, including terms up to third order in perturbations.

This will produce equations of motion that incorporate terms up to second order.

D. Third-order Hamiltonian

This paper focuses on non-Gaussianity of *scalar* perturbations. Therefore, *we will not write terms containing tensor modes in this section*. Including them, however, does not add any conceptual difficulty (for a treatment of tensor modes in the context of inflation, see for instance, [36,46,47]), although the expressions below become significantly longer. The third order Hamiltonian for scalar perturbations in the spatially flat gauge is obtained as follows:

- (i) Expand the constraints (2.2) in perturbations

$$\begin{aligned}\mathbb{S}(\vec{x}) &= \mathbb{S}^{(0)} + \mathbb{S}^{(1)}(\vec{x}) + \mathbb{S}^{(2)}(\vec{x}) + \mathbb{S}^{(3)}(\vec{x}) + \dots, \\ \mathbb{V}(\vec{x}) &= \mathbb{V}^{(0)} + \mathbb{V}^{(1)}(\vec{x}) + \mathbb{V}^{(2)}(\vec{x}) + \mathbb{V}^{(3)}(\vec{x}) + \dots,\end{aligned}\quad (2.18)$$

where the superscript (0) denotes the terms that are independent of perturbations, (1) the linear terms, (2) and (3) the second- and third-order terms, respectively. Expressions for each of these terms can be obtained directly from (2.2) and (3), and are reported in Appendix A.

Expand also the lapse and shift as $N + \delta N$ and $N^i + \delta N^i$, where N and N^i are the homogenous lapse and shift. For consistency with the FLRW gauge fixing [Eq. (2.7)], we take $N^i = 0$. On the other hand, $\delta N(\vec{x})$ and $\delta N^i(\vec{x})$ are the inhomogeneous part of the lapse and shift, which may depend on perturbations.

- (ii) Impose the gauge conditions $\tilde{\gamma}_1 = 0$, $\tilde{\gamma}_2 = 0$ in the constraints (2.2).⁵ (Since we are interested in terms involving only scalar perturbations, the gauge conditions $\tilde{\gamma}_3 = 0$, $\tilde{\gamma}_4 = 0$ are not needed.)

- (iii) Find the lapse $\delta\tilde{N}$ and shift $\delta\tilde{N}^i$ associated with this gauge fixing by demanding that the gauge conditions are preserved upon evolution; i.e., use the equations

$$\dot{\tilde{\gamma}}_1 = \{\tilde{\gamma}_1, \mathcal{H}\} = 0, \quad \dot{\tilde{\gamma}}_2 = \{\tilde{\gamma}_2, \mathcal{H}\} = 0, \quad (2.19)$$

to obtain $\delta\tilde{N}$ and $\delta\tilde{N}^i$ in terms of $\tilde{\pi}_1$, $\tilde{\pi}_2$, $\delta\tilde{\phi}$, and $\delta\tilde{p}_\phi$. To write the third order Hamiltonian it is sufficient to keep terms in $\delta\tilde{N}$ and $\delta\tilde{N}^i$ up to first order in perturbations.

- (iv) Impose the first order constraints, $\mathbb{S}^{(1)}(\vec{x}) = 0$, $\mathbb{V}_i^{(1)}(\vec{x}) = 0$ to eliminate the conjugated variables $\tilde{\pi}_1$, $\tilde{\pi}_2$ in favor of $\delta\tilde{\phi}$ and $\delta\tilde{p}_\phi$, i.e., to find the relations $\tilde{\pi}_1 = \tilde{\pi}_1(\delta\tilde{\phi}, \delta\tilde{p}_\phi)$, $\tilde{\pi}_2 = \tilde{\pi}_2(\delta\tilde{\phi}, \delta\tilde{p}_\phi)$.
- (v) Plug these results in the Hamiltonian (2.4) and keep terms up to third order in perturbations.

We performed these calculations using the MATHEMATICA package xAct⁶ [48]. The result is

$$\begin{aligned}\delta\tilde{N} &= -\frac{2N}{a\pi_a}(\sqrt{3}\tilde{\pi}_1 + \sqrt{6}\tilde{\pi}_2), \\ \delta\tilde{N}^i &= ik^i\tilde{\chi}, \quad \text{where } \tilde{\chi} = N\frac{\sqrt{6}\kappa}{k^2a}\tilde{\pi}_2, \\ \tilde{\pi}_1 &= \frac{\sqrt{3}a^5V_\phi}{\kappa\pi_a}\delta\tilde{\phi} + \frac{\sqrt{3}p_\phi}{\kappa a\pi_a}\delta\tilde{p}_\phi, \\ \tilde{\pi}_2 &= \sqrt{\frac{3}{2}}\left[\left(\frac{p_\phi}{2} - \frac{a^5V_\phi}{\kappa\pi_a}\right)\delta\tilde{\phi} - \frac{p_\phi}{\kappa a\pi_a}\delta\tilde{p}_\phi\right],\end{aligned}\quad (2.20)$$

where $k^2 \equiv k_i k_j \delta^{ij} = a^2 k_i k^i$ is the so-called comoving wave number.

Moving back to position space, we obtain the expression for the Hamiltonian up to third order for scalar perturbations $\mathcal{H}_{\text{pert}} = \mathcal{H}^{(2)} + \mathcal{H}^{(3)}$. The second-order Hamiltonian is⁷

$$\mathcal{H}^{(2)} = \int d^3x N \mathbb{S}^{(2)}(\vec{x}) = N \frac{1}{2} \int d^3x \left[\frac{1}{a^3} \delta p_\phi^2 + a^3 (\vec{\partial} \delta \phi)^2 + a^3 \mathfrak{A} \delta \phi^2 \right], \quad (2.21)$$

with the potential $\mathfrak{A}$ given by

$$\mathfrak{A} = -9 \frac{p_\phi^4}{a^8 \pi_a^2} + \frac{3}{2} \kappa \frac{p_\phi^2}{a^6} - \frac{6p_\phi}{a\pi_a} V_\phi + V_{\phi\phi} + 6 \frac{p_\phi \dot{p}_\phi}{a^4 \pi_a} - 3 \frac{p_\phi^2 \dot{\pi}_a}{a^4 \pi_a^2} - 3 \frac{\dot{a} p_\phi^2}{a^5 \pi_a}. \quad (2.22)$$

The “dot” on background variables must be understood as $\dot{x} \equiv \{x, \mathcal{H}_{\text{FLRW}}\}$, and each subscript ϕ for the potential V means a derivative with respect to ϕ .

⁵From the phase space viewpoint, this is equivalent to introducing two new (second class) constraints.

⁶<http://www.xact.es>.

⁷We have, in addition, performed the canonical transformation $(\delta\phi, \delta p_\phi) \rightarrow (\delta\phi, \delta\bar{p}_\phi = \delta p_\phi - \frac{3p_\phi^2}{a\pi_a} \delta\phi)$ to eliminate a term proportional to $\delta p_\phi \delta\phi$ in the second-order Hamiltonian. From now on we will work with $\delta\bar{p}_\phi$, but we will drop the bar to simplify the notation.

The third order Hamiltonian is

$$\begin{aligned}\mathcal{H}^{(3)} &= \int d^3x (\delta N \mathbb{S}^{(2)}(\vec{x}) + \delta N^i \mathbb{V}^{(2)}(\vec{x}) + N \mathbb{S}^{(3)}(\vec{x})) \\ &= N \int d^3x \left[\left(\frac{9\kappa p_\phi^3}{4a^4\pi_a} - \frac{27p_\phi^5}{2a^6\pi_a^3} - \frac{3a^2 p_\phi V_{\phi\phi}}{2\pi_a} + \frac{a^3 V_{\phi\phi\phi}}{6} \right) \delta\phi^3 - \frac{3p_\phi}{2a^4\pi_a} \delta p_\phi^2 \delta\phi - \frac{9p_\phi^3}{a^5\pi_a^2} \delta p_\phi \delta\phi^2 - \frac{3a^2 p_\phi}{2\pi_a} \delta\phi (\vec{\partial}\delta\phi)^2 \right. \\ &\quad \left. + \frac{3p_\phi^2}{Na\pi_a} \delta\phi^2 \partial^2 \chi + \frac{3}{2} \frac{a^2 p_\phi}{N^2 \kappa \pi_a} \delta\phi \partial^2 \chi \partial^2 \chi + 3 \frac{p_\phi^2}{Na\pi_a} \delta\phi \partial^i \chi \partial_i \delta\phi + \frac{1}{N} \delta p_\phi \partial_i \delta\phi \partial^i \chi - \frac{3}{2} \frac{a^2 p_\phi}{N^2 \kappa \pi_a} \delta\phi \partial_i \partial_j \chi \partial^i \partial^j \chi \right]. \quad (2.23)\end{aligned}$$

By performing a Legendre transformation, it can be checked that these expressions agree with the third-order Lagrangian derived in [36] (recall that, unlike [36], we use the physical background metric $\hat{q}_{ij} = a^2 \delta_{ij}$ and its inverse, to lower and raise indices). Note that we have not used the Friedmann constraint (2.8) to derive, or simplify, the second- and third-order Hamiltonians.

The second-order Hamiltonian $\mathcal{H}^{(2)}$ provides the *free* evolution of perturbations, i.e., it leads to the *linear* equations of motion

$$\begin{aligned}\dot{\delta\phi} &= \{\delta\phi, \mathcal{H}^{(2)}\}, \\ \delta\dot{p}_\phi &= \{\delta p_\phi, \mathcal{H}^{(2)}\} \rightarrow (\square - \mathfrak{A}(t))\delta\phi(\vec{x}, t) = 0, \quad (2.24)\end{aligned}$$

where $\square$ is the d'Alembertian of the FLRW background metric.

The third order piece of the Hamiltonian, $\mathcal{H}^{(3)}$, is the so-called interaction Hamiltonian, which provides self-interactions between perturbations (quadratic terms in the equations of motion). Some of these interactions are generated by the scalar field's potential $V(\phi)$, but note that most terms in $\mathcal{H}^{(3)}$ are independent of $V(\phi)$, and therefore would be present even if $V(\phi) = 0$. These are self-interaction mediated by gravity.

Finally, the relation between $\delta\phi$ to the comoving curvature perturbations $\mathcal{R}$, needed to write our results in terms of $\mathcal{R}$ at the end of inflation, is given by [36]

$$\begin{aligned}\mathcal{R}(\vec{x}, t) &= -\frac{a}{z} \delta\phi + \left[-\frac{3}{2} + 3 \frac{V_\phi a^5}{\kappa p_\phi \pi_a} + \frac{\kappa z^2}{4a^2} \right] \left(\frac{a}{z} \delta\phi \right)^2 \\ &\quad - \frac{3a^2}{\kappa \pi_a} \frac{d}{dt} \left[\frac{a}{z} \delta\phi \right]^2 - 9 \frac{a^4}{\kappa^2 \pi_a^2} \frac{a^2}{z^2} (\vec{\partial}\delta\phi)^2 \\ &\quad + 9 \frac{a^4}{\kappa^2 \pi_a^2} \frac{a^2}{z^2} \partial^{-2} \partial_i \partial_j (\partial^i \delta\phi \partial^j \delta\phi) \\ &\quad + 3 \frac{a^4}{\kappa \pi_a} \frac{a}{z} \partial_i \chi \partial^i \delta\phi - 3 \frac{a^4}{\kappa \pi_a} \frac{a}{z} \partial^{-2} \partial_i \partial_j [\partial^i \chi \partial^j \delta\phi], \quad (2.25)\end{aligned}$$

where $z \equiv -\frac{6}{\kappa} \frac{p_\phi}{\pi_a}$. Although this relation looks complicated, we will only need to use it at the end of the inflation, and at

that time the terms in the second, third, and fourth lines become negligible compared to those in the first line. The reason for this is that perturbations that can affect our CMB have wavelengths much larger than the Hubble radius at the end of inflation. As previously mentioned, these super-Hubble modes of $\mathcal{R}$ become time independent. These two facts—super-Hubble wavelength and time independence—make both the spatial and time derivatives appearing in the second, third, and fourth lines negligible small.

III. EXTENSION OF THE DRESSED METRIC APPROACH TO SECOND ORDER

In this section we obtain the equations that describe the propagation of scalar perturbations in the Planck era of the Universe, using LQC. We use the so-called dressed metric approach, introduced in [49], and further developed in [27,42] (see also the review articles [14,17,21]). Here we extend the existing formalism to second order in perturbations.

In semiclassical cosmology, to account for the CMB temperature fluctuations it has sufficed to consider just the first-order perturbations around a FLRW solution, ignoring their backreaction. In the Planck era of the Universe, to begin with, one has a quantum gravitational field instead of a smooth metric. The question is whether we can find solutions in loop quantum cosmology that deviate from a quantum FLRW configuration *only* by small perturbations, and whose effect on the background quantum geometry can be neglected. Such solutions exist [27,42,49] and can be calculated, and they can be used to build a self-consistent quantum gravity extension of the inflationary scenario [26,27]. We first summarize how these solutions are obtained and then extend previous analyses by including terms up to second order in perturbations.

Our goal is to find the quantum theory of the classical midisuperspace made of spatially flat FLRW geometries sourced by a scalar field ϕ , together with scalar perturbations $\delta\phi(\vec{x})$ propagating thereon. In LQC, dynamics is extracted from the constraint equation (the analog of the Wheeler-deWitt equation) $\hat{\mathcal{H}}\Psi = 0$, where $\hat{\mathcal{H}} = \hat{\mathcal{H}}_{\text{FLRW}} + \hat{\mathcal{H}}_{\text{pert}}$ is the operator associated with the Hamiltonian obtained in the previous section, and Ψ is the total wave function describing both the background degrees of freedom, a and ϕ , as well as

scalar perturbations $\delta\phi$. In LQC it is convenient to trade the scale factor a for the “volume” v , defined as $v \equiv a^3 \mathcal{V}_0 4/\kappa$ and use the lapse $N_\tau \equiv a^3$ (see [50], and references therein, for additional details). The constraint equation $\hat{\mathcal{H}}\Psi(v, \phi, \delta\phi) = 0$ takes the form

$$-\hbar^2 \partial_\phi^2 \Psi(v, \phi, \delta\phi) = (\hat{H}_0^2 - \hat{H}_1^2 - 2\mathcal{V}_0 \hat{\mathcal{H}}_{\text{pert}}[N_\tau])\Psi(v, \phi, \delta\phi), \quad (3.1)$$

where $\hat{H}_1^2 \equiv \frac{1}{8} \kappa^2 \hat{v}^2 \hat{V}(\phi)$, and $\hat{H}_0^2$ is a difference operator, whose explicit form is not important for our discussion (it can be found, e.g., in Eq. (2.2) of [50]; see also the original references [6–9, 51]). Both $\hat{H}_0$ and $\hat{H}_1$ act only on background degrees of freedom, while $\hat{\mathcal{H}}_{\text{pert}}$ acts on both, background and perturbations. We are interested in solutions to this equation of the form $\Psi(v, \phi, \delta\phi) = \Psi_0(v, \phi) \otimes \delta\Psi(v, \phi, \delta\phi)$, with $\Psi_0(v, \phi)$ representing a quantum FLRW gravitational field, and $\delta\Psi(v, \phi, \delta\phi)$ describing inhomogeneous scalar perturbations.

A. Background

The states $\Psi_0(v, \phi)$ are chosen to be a normalized solution, with respect to a suitably defined inner product [14], of (3.1) with $\hat{\mathcal{H}}_{\text{pert}} = 0$. They describe a quantum FLRW geometry. The Hilbert space $\mathcal{H}_{\text{FLRW}}$ to which the states $\Psi_0(v, \phi)$ belong to, was studied in detail in [6, 9, 14] in absence of a potential $V(\phi)$, i.e., with $\hat{H}_1 = 0$.

Adding a potential introduces additional subtleties related to the definition of the inner product on the Hilbert space. This issue has been discussed in [50], and the reader is referred there for details. In this paper, we will focus only on bounces that are “kinetic dominated”, since this is the regime of phenomenological interest for us (see Sec. VII). For such bounces, one can check that $\langle \hat{H}_0^2 \rangle \gg \langle \hat{H}_1^2 \rangle$ during the Planck era [50].⁸ This makes the term proportional to $\hat{H}_1$ in our quantum equations to produce negligible effects on physical observables (e.g., the primordial power spectrum), several orders of magnitude smaller than observational error bars. Hence, although the mathematical subtleties that appear in the inclusion of $\hat{H}_1$ are important from the conceptual and mathematical viewpoint, they are not of direct relevance for phenomenological considerations. Therefore, in this paper we will work with states $\Psi_0(v, \phi)$ obtained by neglecting $\hat{H}_1$ in the Planck era.

The Hilbert space of the states for the background geometries that we are interested in, $\mathcal{H}_{\text{FLRW}} \ni \Psi_0(v, \phi)$, is then made of solutions to the “Schrödinger-like” equation

$$-i\hbar \partial_\phi \Psi_0(v, \phi) = \hat{H}_0 \Psi_0(v, \phi), \quad (3.2)$$

⁸This epoch is defined as the period for which the quantum gravity corrections to the dynamics are larger than a 0.1%.

with a finite norm $||\Psi_0||^2 \equiv \sum_v |\Psi_0(v, \phi)|^2 < \infty$. This equation is simply the positive “square root” of (3.1) with $\hat{H}_1 = 0$ and $\hat{\mathcal{H}}_{\text{pert}} = 0$. $\mathcal{H}_{\text{FLRW}}$ is the analog of the space of states of the more familiar example of a scalar field in Minkowski spacetime, that is made of positive frequency solutions to the Klein-Gordon equation. It is useful—although not essential—to think of ϕ in $\Psi_0(v, \phi)$ as a *relational time* variable with respect to which the wave function “evolves”.

As shown in [9], states in (a dense subspace of) $\mathcal{H}_{\text{FLRW}}$ are free of curvature singularities, in the sense that curvature invariants are all bounded. The eigenvalues of the matter energy density and pressure have also an absolute supremum on $\mathcal{H}_{\text{FLRW}}$, given by a fraction of the Planck scale. Furthermore, every state $\Psi_0(v, \phi)$ experiences precisely one “instant” ϕ_B at which the expectation value of the volume of the fiducial box, or of any other finite region of space, attains its minimum, while energy density and curvature reach their maximum. In other words, in this theory a *cosmic bounce* replaces the big bang singularity of classical general relativity.

1. Effective theory

To gain physical intuition, consider states $\Psi_0(v, \phi)$ that are sharply peaked in the volume v , i.e., states with *small relative dispersion* in v (or equivalently, in the scale factor a) during the entire “evolution”. Such solutions to (3.2) exist and have been studied in detail [7, 8, 52, 53]. For these states, it has been shown [14, 54] that the expectation value of the scale factor, $\bar{a} \equiv \langle \hat{a} \rangle$, and the rest of background quantities, can be obtained very accurately from an *effective* theory. This effective theory takes the form of a classical theory whose equations of motion incorporate the leading quantum corrections. The phase space is four dimensional, made of quadruples $(\bar{a}, \bar{\pi}_a, \bar{\phi}, \bar{p}_\phi)$, and dynamics on it is generated by the effective Hamiltonian constraint⁹

$$\mathcal{H}_{\text{FLRW}}^{(\text{eff})}[N] = \mathcal{V}_0 N \left[\frac{1}{2\bar{a}^3} \bar{p}_\phi^2 - \frac{3a^3}{\kappa} \frac{1}{\ell_0^2} \sin^2 \left(\ell_0 \frac{\kappa \bar{\pi}_a}{6\bar{a}^2} \right) + \bar{a}^3 V(\bar{\phi}) \right], \quad (3.3)$$

where $\ell_0^2 \equiv \frac{\Delta_0^3}{48\pi^2} \ell_{\text{Pl}}^2$, and Δ_0 is area gap in LQC—the lowest nonzero eigenvalue of the area operator. This Hamiltonian depends on $\hbar$ through ℓ_0 . In the limit $\ell_0 \rightarrow 0$, it reduces to the classical FLRW Hamiltonian given in (2.9). In terms of the energy density $\rho \equiv \frac{1}{2} \bar{p}_\phi^2 \bar{a}^{-6} + V(\bar{\phi})$, the equation $\mathcal{H}_{\text{FLRW}}^{(\text{eff})} = 0$ becomes

⁹We have included the potential $V(\bar{\phi})$ because, as emphasized before, it plays an important role at late times, out of the Planck era. However, within the Planck era it is completely subdominant in all solutions of interest for this article. Hence, the way we use this effective Hamiltonian is consistent with the previous discussion, where the potential V was neglected in deriving the wave function Ψ_0 in the Planck era.

$$\frac{1}{\ell_0^2} \sin^2 \left(\ell_0 \frac{\kappa \bar{\pi}_a}{6 \bar{a}^2} \right) = \frac{\kappa}{3} \rho. \quad (3.4)$$

The trigonometric function on the left-hand side reveals that the energy density is bounded above by $\rho_{\text{sup}} = \frac{3}{\kappa \ell_0^2}$. Some analyses of black hole entropy in loop quantum gravity [55–57] suggest the value $\Delta_0 = 5.17$ for the area gap, that in turn makes $\rho_{\text{sup}} = 0.4092 \rho_{\text{Pl}}$ (see, e.g., [58] for an alternative view). In this paper we treat Δ_0 as a free parameter and derive results for the CMB for different values of Δ_0 .

The equations of motion (using cosmic time) for the canonical variables $\bar{a}$, $\bar{\pi}_a$, $\bar{\phi}$, and $\bar{p}_\phi$ that describe the effective geometry, read

$$\begin{aligned} \dot{\bar{a}} &= \{\bar{a}, \mathcal{H}_{\text{FLRW}}^{(\text{eff})}\} \\ \Rightarrow \bar{H} \equiv \frac{\dot{\bar{a}}}{\bar{a}} &= -\frac{1}{2\ell_0} \sin \left(2\ell_0 \frac{\kappa \bar{\pi}_a}{6 \bar{a}^2} \right), \\ \dot{\bar{\pi}}_a &= \{\bar{\pi}_a, \mathcal{H}_{\text{FLRW}}^{(\text{eff})}\} = \frac{3}{2} \frac{\bar{p}_\phi^2}{\bar{a}^4} + 9 \frac{a^2}{\kappa} \frac{1}{\ell_0^2} \sin^2 \left(\ell_0 \frac{\kappa \bar{\pi}_a}{6 \bar{a}^2} \right) \\ &\quad - \frac{\bar{\pi}_a}{\ell_0} \sin \left(2\ell_0 \frac{\kappa \bar{\pi}_a}{6 \bar{a}^2} \right) - 3\bar{a}^2 V(\bar{\phi}), \\ \dot{\bar{\phi}} &= \{\bar{\phi}, \mathcal{H}_{\text{FLRW}}^{(\text{eff})}\} = \bar{p}_\phi / \bar{a}^3, \\ \dot{\bar{p}}_\phi &= \{\bar{p}_\phi, \mathcal{H}_{\text{FLRW}}^{(\text{eff})}\} = -\bar{a}^3 \frac{dV(\bar{\phi})}{d\bar{\phi}}. \end{aligned} \quad (3.5)$$

These equations reproduce the classical FLRW dynamics (2.10)–(2.11) in the limit $\ell_0 \rightarrow 0$. Equation (3.5) implies, due the presence of the trigonometric function, that the Hubble rate of the effective geometry is also bounded from above, by $|\bar{H}_{\text{sup}}| = \frac{1}{2\ell_0} = \sqrt{\frac{\kappa}{12} \rho_{\text{sup}}}$.

Now, a relation between energy density and Hubble rate, that generalizes the classical Friedmann constraint, can be obtained by combining (3.4) and (3.5). More precisely, using the identity $\sin^2(2x) = 4\sin^2 x (1 - \sin^2 x)$, together with (3.4), Eq. (3.5) takes the form

$$\bar{H}^2 = \frac{\kappa}{3} \rho \left(1 - \frac{\rho}{\rho_{\text{sup}}} \right). \quad (3.6)$$

The term in parenthesis breaks the linearity between the Hubble parameter $\bar{H}^2$ and the energy density $\frac{\kappa}{3} \rho$ that holds in general relativity. Moreover, $\bar{H}$ vanishes when ρ reaches its maximum value ρ_{sup} ; such an instant corresponds to a smooth transition between a contracting and an expanding universe, i.e., a cosmic bounce. When ρ is small compared to ρ_{sup} , the classical relation $\bar{H}^2 = \frac{\kappa}{3} \rho$ is recovered.

The set of equations (3.5)–(3.6) can be recast as a system of two second-order differential equations

$$\begin{aligned} \frac{\ddot{\bar{a}}}{\bar{a}} &= -\frac{\kappa}{6} \rho \left(1 - 4 \frac{\rho}{\rho_{\text{sup}}} \right) - \frac{\kappa}{2} P \left(1 - 2 \frac{\rho}{\rho_{\text{sup}}} \right), \\ \ddot{\bar{\phi}} + 3\bar{H} \dot{\bar{\phi}} + V_{\bar{\phi}} &= 0, \end{aligned} \quad (3.7)$$

where $P \equiv \frac{1}{2} \dot{\bar{\phi}}^2 - V(\bar{\phi})$ is the pressure density of the scalar field, and the dot indicates derivative with respect to cosmic time t .¹⁰ These are the so-called *effective equations* of LQC. The solutions to these equations provide an effective FLRW metric $\bar{g}_{ab}$ around which the quantum geometry $\Psi_0(v, \phi)$ is sharply peaked.

It is important to notice that solutions of the effective equations are characterized by *two parameters*, which can be chosen to be the value of the scalar field at the time of the bounce $\bar{\phi}(t_B) \equiv \phi_B$ and its energy density at that same time, $\rho(t_B) \equiv \rho_B = \rho_{\text{sup}}$. To understand why we only need two numbers to characterize a solution, even though the phase space we are working with is four dimensional, consider the following. Note first that in a spatially flat FLRW geometry, the scale factor a can be rescaled freely without altering the physics. We choose $\bar{a}_B = 1$. On the other hand, at the bounce $\dot{\bar{a}} = 0$ in all solutions. Additionally, because the energy density equals ρ_{sup} at the bounce, ϕ_B determines $\dot{\bar{\phi}}(t_B)$. Therefore, from the apparently four initial data required to solve the system (3.5)–(3.6), the value of ϕ_B and ρ_{sup} (together with the convention $\bar{a}_B = 1$), suffices to uniquely characterize a solution.

2. Generalized effective equations

What about states $\Psi_0(v, \phi)$ that are *not* sharply peaked? They, of course, are not accurately described by the effective equations. In particular, the geometry they describe cannot be approximated in any reasonable sense by a smooth metric tensor. For those states, quantum fluctuations play an important role. Nevertheless, it has been proven in [59] that the expectation value of the scale factor $\bar{a} = \langle \Psi_0 | \hat{a} | \Psi_0 \rangle$ is still accurately described by equations (3.7), with the only difference that ρ_{sup} must be replaced by the actual value of the energy density at the bounce, ρ_B , which satisfies $\rho_B \leq \rho_{\text{sup}}$. That is, $\bar{a}$ bounces at an energy density ρ_B smaller than or equal to ρ_{sup} for states $\Psi_0(v, \phi)$ with large dispersion. It turns out that ρ_B decreases when the relative quantum dispersion in volume $\Delta v/v$ increases. (The authors of [59] also derive an analytical relation between ρ_B and $\Delta v/v$, valid for Gaussian states.) This behavior is sensible: since ρ_{sup} is

¹⁰Recall that in LQC evolution has been defined, at the fundamental level, in a relational manner. In other words we have studied how the gravitational degree of freedom a evolves with respect to the matter degree of freedom ϕ . In this sense, the “time” variable t in this effective theory arises just as a parameter that changes monotonically with $\bar{\phi}$, that allows us to “separate” the relation $a(\phi)$ into $a(t)$ and $\phi(t)$. This is the way the ordinary time we use in general relativity “emerges” in loop quantum cosmology.

a supremum, only infinitely sharply peaked states reach $\rho_B = \rho_{\text{sup}}$, while quantum fluctuation can only *decrease* ρ_B . However, it is remarkable that, even in presence of large quantum fluctuations, the mean values of $\Psi_0(v, \phi)$ are still very well approximated by “generalized effective equations” which are identical to the equations (3.7) with ρ_{sup} replaced by ρ_B .

B. Perturbations

Recall that we are interested in solutions of (3.1) of the form $\Psi(v, \phi, \delta\phi) = \Psi_0(v, \phi) \otimes \delta\Psi(v, \phi, \delta\phi)$, where $\Psi_0(v, \phi)$ is one of the quantum FLRW states described above, and $\delta\Psi$ is a small perturbation around it. Intuition tells us that states of this type exist, as long as $\delta\Psi(v, \phi, \delta\phi)$ remains a small perturbation throughout the evolution—i.e., as long as the *test field approximation* is valid. As we will see below, this is in fact the case.

The states we are looking for are the “positive frequency” solutions to the constraint equation (3.1), i.e., states satisfying [49]

$$-i\hbar\partial_\phi\Psi(v, \phi, \delta\phi) = \sqrt{\hat{H}_0^2[N_\tau] - 2\mathcal{V}_0\hat{\mathcal{H}}_{\text{pert}}[N_\tau]}\Psi(v, \phi, \delta\phi). \quad (3.8)$$

Here $\hat{H}_0$ represents the Hamiltonian of the “heavy” degree of freedom (background), and $\hat{\mathcal{H}}_{\text{pert}}[N_\tau] = \hat{\mathcal{H}}^{(2)}[N_\tau] + \hat{\mathcal{H}}^{(3)}[N_\tau]$ the Hamiltonian of “light” ones (perturbations). Recall, $N_\tau = a^3$ is the lapse associated with harmonic time. We can now expand out the square root and keep only terms linear in $\hat{\mathcal{H}}_{\text{pert}}$

$$\begin{aligned} & -i\hbar\partial_\phi\Psi(v, \phi, \delta\phi) \\ & \approx [\hat{H}_0 - \mathcal{V}_0(\hat{H}_0)^{-1/2}\hat{\mathcal{H}}_{\text{pert}}[N_\tau](\hat{H}_0)^{-1/2}]\Psi(v, \phi, \delta\phi), \end{aligned} \quad (3.9)$$

where we have chosen a symmetric order to write the operators in the right-hand side. Note that the factors that multiply $\hat{\mathcal{H}}_{\text{pert}}$ are physically consistent, since in the classical theory $N_\phi = \mathcal{V}_0 H_0^{-1} N_\tau$ is precisely the lapse associated with the relational time ϕ . Hence $\mathcal{V}_0(\hat{H}_0)^{-1/2}\hat{\mathcal{H}}_{\text{pert}}[N_\tau](\hat{H}_0)^{-1/2}$ is a specific quantization of $\mathcal{H}_{\text{pert}}[N_\phi]$.

Now, introducing our ansatz $\Psi(v, \phi, \delta\phi) = \Psi_0(v, \phi) \otimes \delta\Psi(v, \phi, \delta\phi)$ and using that Ψ_0 satisfies the background equation (3.2), we obtain from (3.9) the equation of motion for $\delta\Psi$

$$\Psi_0 \otimes [i\hbar\partial_\phi\delta\Psi] = \hat{\mathcal{H}}_{\text{pert}}[N_\phi](\Psi_0 \otimes \delta\Psi). \quad (3.10)$$

The test field approximation has been crucial to derive this equation, but no other simplification has been used. Also,

recall that $\hat{\mathcal{H}}_{\text{pert}}[N_\phi]$ acts on both Ψ_0 and $\delta\Psi$. However, the presence of Ψ_0 in the left-hand side indicates that we can take the inner product with Ψ_0 without losing any information and obtain

$$i\hbar\partial_\phi\delta\Psi = \langle\Psi_0|\hat{\mathcal{H}}_{\text{pert}}[N_\phi]|\Psi_0\rangle\delta\Psi, \quad (3.11)$$

where we have used that Ψ_0 is normalized. This equation tells us that the information regarding the background FLRW geometry that influences the evolution of perturbations under the test field approximation is simply the *expectation value* of the background operators that appear in $\hat{\mathcal{H}}_{\text{pert}}[N_\phi]$; no other “moment” of Ψ_0 contributes to the dynamics.

Equation (3.11) is a Schrödinger equation for $\delta\Psi$, with evolution Hamiltonian $\langle\hat{\mathcal{H}}\rangle_{\text{pert}} \equiv \langle\Psi_0|\hat{\mathcal{H}}_{\text{pert}}[N_\phi]|\Psi_0\rangle$, where the hat reminds us that this expectation value is only on the background state, and therefore this quantity is still an operator when acting on perturbations. To solve this dynamics and compute physical observables, we will follow techniques that are standard in quantum field theory in curved spacetimes. That is, states of perturbations belong to a Fock space $\mathcal{H}_{\text{pert}}$, on which dynamics is dictated by $\langle\hat{\mathcal{H}}\rangle_{\text{pert}}$ in the standard way. (The total Hilbert space is therefore $\mathcal{H}_{\text{FLRW}} \otimes \mathcal{H}_{\text{pert}}$; this is the quantum analog of the classical phase space $\Gamma_{\text{FLRW}} \times \Gamma_{\text{pert}}$ of FLRW metrics plus perturbations propagating thereon.)

Now, we shall describe the dynamics of perturbations in more detail. As seen in Sec. II B, at the next-to-leading order in perturbations the Hamiltonian has a quadratic and a cubic piece $\langle\hat{\mathcal{H}}\rangle_{\text{pert}} = \langle\hat{\mathcal{H}}^{(2)}\rangle + \langle\hat{\mathcal{H}}^{(3)}\rangle$, where $\langle\hat{\mathcal{H}}^{(2)}\rangle$ and $\langle\hat{\mathcal{H}}^{(3)}\rangle$ are the quantum operators associated with the classical expressions (2.21) and (2.23), respectively. The quadratic Hamiltonian $\langle\hat{\mathcal{H}}^{(2)}\rangle$ provides the free evolution, and $\langle\hat{\mathcal{H}}^{(3)}\rangle$ describes self-interactions between perturbations, which will be introduced perturbatively.

1. Free evolution of perturbation: The power spectrum

The free evolution, which is obtained from (3.11) by using $\langle\hat{\mathcal{H}}^{(2)}\rangle$ as the evolution Hamiltonian, can be now rewritten in a more familiar form. Moving to the Heisenberg picture, dynamics is given by the Heisenberg equations

$$\begin{aligned} \partial_\phi\hat{\delta\phi} &= i\hbar^{-1}[\hat{\delta\phi}, \langle\hat{\mathcal{H}}^{(2)}[N_\phi]\rangle], \\ \partial_\phi\hat{\delta p}_\phi &= i\hbar^{-1}[\hat{\delta p}_\phi, \langle\hat{\mathcal{H}}^{(2)}[N_\phi]\rangle]. \end{aligned} \quad (3.12)$$

Now, by simple algebraic manipulations, these equations can be written as the second-order differential equation [49]

$$(\tilde{\square} - \tilde{\mathfrak{M}})\hat{\delta\phi}(\vec{x}, \vec{\eta}) = 0. \quad (3.13)$$

This equation *has the same form as in semiclassical cosmology*. The difference is that the differential operator

$\tilde{\square} \equiv \tilde{g}^{ab} \tilde{\nabla}_a \tilde{\nabla}_b$ and the potential $\tilde{\mathfrak{A}}$ are now constructed using the state $\Psi_0(v, \phi)$ chosen to describe the quantum FLRW geometry. More precisely, $\tilde{\square}$ is the d'Alembertian associated with a smooth FLRW metric tensor

$$\tilde{g}_{ab} dx^a dx^b = \tilde{a}^2(\tilde{\eta})(-d\tilde{\eta}^2 + d\vec{x}^2), \quad (3.14)$$

where $\tilde{a}$ is given by

$$\tilde{a}^4 = \frac{\langle \hat{H}_0^{-1/2} \hat{a}^4 \hat{H}_0^{-1/2} \rangle}{\langle \hat{H}_0^{-1} \rangle}, \quad (3.15)$$

and the conformal time $\tilde{\eta}$ is defined in terms of the internal time ϕ of LQC via

$$d\tilde{\eta} = \mathcal{V}_0(\langle \hat{H}_0^{-1} \rangle)^{1/2} (\langle \hat{H}_0^{-1/2} \hat{a}^4 \hat{H}_0^{-1/2} \rangle)^{1/2} d\phi. \quad (3.16)$$

The tensor $\tilde{g}_{ab}$ is known as the *effective dressed metric*. Furthermore, the *dressed potential* $\tilde{\mathfrak{A}}(\tilde{\eta})$ is defined by

$$\tilde{\mathfrak{A}} = \frac{\langle \hat{H}_0^{-1/2} \hat{a}^2 \hat{\mathfrak{A}} \hat{a}^2 \hat{H}_0^{-1/2} \rangle}{\langle \hat{H}_0^{-1/2} \hat{a}^4 \hat{H}_0^{-1/2} \rangle}, \quad (3.17)$$

where $\hat{\mathfrak{A}}$ is the operator associated with the classical potential $\mathfrak{A}$ defined in (2.22). All expectation values are evaluated in the state $\Psi_0(v, \phi)$. Recall, $\hat{H}_0$ is the Hamiltonian used in the evolution of $\Psi_0(v, \phi)$ and $\hat{a}$ is the operator associated with the scale factor. Hence, under the test field approximation, the evolution of $\delta\phi$ at leading order in perturbations is *mathematically equivalent* to a quantum field theory of $\delta\phi$ on a curved FLRW spacetime described by $\tilde{g}_{ab}$. ([27] has analyzed the validity of the test field approximation by studying the energy-momentum tensor of perturbations.)

Now, if $\Psi_0(v, \phi)$ is taken to be one of the sharply peaked states, then $\tilde{\square}$ becomes the d'Alembertian associated with the LQC effective metric obtained by integration of (3.7), and the potential $\tilde{\mathfrak{A}}$ is obtained from the classical expression (2.22) by just replacing the background variables a , π_a , ϕ and p_ϕ by the solution of (3.7). Hence, for sharply peaked states Ψ_0 , the evolution of perturbation proceeds in the same mathematical manner as in semiclassical cosmology, with the difference that the background FLRW metric is not a solution to Einstein equations, but a solution to the LQC effective dynamics (3.7).

For other states $\Psi_0(v, \phi)$ containing a large dispersion in v , the differential operator $\tilde{\square}$ and the potential $\tilde{\mathfrak{A}}$ are sensitive not only to the mean values of the scale factor and other simple operators, but also about a few specific “moments” of $\Psi_0(v, \phi)$, precisely those appearing in Eqs. (3.15), (3.16), and (3.17). These moments, although nontrivial in appearance, can be computed numerically, and the result can be used to predict observable effects in the

CMB anisotropies. Such analysis has been carried out in [50] using states $\Psi_0(v, \phi)$ with relative dispersion $\Delta v/v$ as large as 168% in the Planck regime. Interestingly, these computations show that, among all the effects that a large dispersion produces on the power spectrum, the only one that becomes significant compared to observational error bars is a direct consequence of ρ_B being smaller than ρ_{sup} [see discussion below Eq. (3.7)]. This means that, in order to compute the primordial power spectrum in LQC for states $\Psi_0(v, \phi)$ with a large dispersion, we can simply use the solution to the effective equations (3.7) after replacing ρ_{sup} by the actual value of the energy at the bounce (i.e., use the generalized effective equations). This is an accurate and simple recipe to extend the phenomenology in LQC to states $\Psi_0(v, \phi)$ that are not sharply peaked [50].¹¹

Remark: To simplify the notation, from now on we will drop the “tilde” on the conformal time of the dressed metric, and the “bar” on solutions to the effective and generalized effective equations.

Once we have the dressed metric g_{ab} and the dressed potential $\mathfrak{A}$, the computation of observable quantities follow the standard procedure.¹² First, expand the field operator in terms of creation and annihilation operators

$$\begin{aligned} \hat{\delta\phi}(\vec{x}, \eta) &= \int \frac{d^3k}{(2\pi)^3} \hat{\delta\phi}_{\vec{k}}(\eta) e^{i\vec{k}\cdot\vec{x}} \\ &= \int \frac{d^3k}{(2\pi)^3} (\hat{A}_{\vec{k}} \varphi_{\vec{k}}(\eta) + \hat{A}_{-\vec{k}}^\dagger \varphi_{\vec{k}}^*(\eta)) e^{i\vec{k}\cdot\vec{x}}, \end{aligned} \quad (3.18)$$

where $[\hat{A}_{\vec{k}}, \hat{A}_{\vec{k}}^\dagger] = \hbar(2\pi)^3 \delta^{(3)}(\vec{k} + \vec{k}')$, $[\hat{A}_{\vec{k}}, \hat{A}_{\vec{k}'}] = 0 = [\hat{A}_{\vec{k}}^\dagger, \hat{A}_{\vec{k}'}^\dagger]$, and the set of mode functions $\varphi_{\vec{k}}(\eta)$ form a basis of solutions to the equation

$$\varphi_k'' + 2 \frac{a'}{a} \varphi_k' + (k^2 + a^2 \tilde{\mathfrak{A}}) \varphi_k = 0, \quad (3.19)$$

with normalization

$$\varphi_k \varphi_k'^* - \varphi_k^* \varphi_k' = \frac{i}{a^2}, \quad (3.20)$$

where $k^2 \equiv k_i k_j \delta^{ij}$ is the comoving wave number, and the prime indicates the derivative with respect to conformal time. The scalar power spectrum of $\hat{\delta\phi}$ is extracted from the two-point function in momentum space via

¹¹In [50] wave functions $\Psi_0(v, \phi)$ with different “shapes” in the v variable and having large relative dispersion in v , although not arbitrarily large, were explored. However, the Hilbert space is infinite dimensional, and one could find states for which the conclusions of [50] do not apply.

¹²Note that, since we have already solved for the background dynamics, we can take the volume of the fiducial cell to infinity, $\mathcal{V}_0 \rightarrow \infty$, in this section. Not taking this limit would only introduce a discretization of the wave numbers $\vec{k}$, and the integrals in $\vec{k}$ below would have to be replaced by sums.

$$\langle 0 | \delta \hat{\phi}_{\vec{k}}(\eta) \delta \hat{\phi}_{\vec{k}'}(\eta) | 0 \rangle \equiv (2\pi)^3 \delta^{(3)}(\vec{k} + \vec{k}') \frac{2\pi^2}{k^3} P_{\delta\phi}(k, \eta), \quad (3.21)$$

where $|0\rangle$ is the vacuum annihilated by the operators $\hat{A}_{\vec{k}}$ for all $\vec{k}$. In terms of mode functions, we have $\mathcal{P}_{\delta\phi}(k, \eta) = (\hbar k^3 / 2\pi^2) |\varphi_k(\eta)|^2$. The power spectrum of comoving curvature perturbations at the end of inflation, is obtained from $\mathcal{P}_{\delta\phi}$ by using the relation between $\delta\phi$ and $\mathcal{R}$, written in (2.25), truncated at linear order

$$\begin{aligned} P_{\mathcal{R}}(k) &\equiv \left(\frac{a(\eta_{\text{end}})}{z(\eta_{\text{end}})} \right)^2 \mathcal{P}_{\delta\phi}(k, \eta_{\text{end}}) \\ &= \left(\frac{a(\eta_{\text{end}})}{z(\eta_{\text{end}})} \right)^2 \frac{\hbar k^3}{2\pi^2} |\varphi_k(\eta_{\text{end}})|^2, \end{aligned} \quad (3.22)$$

where $z = -\frac{6}{\kappa} \frac{P_\phi}{\pi_a}$.

Remark: An ambiguity appears in the analysis presented in this section, and it deserves some comments. Note that the potential $\mathfrak{V}$ that appears in the classical Hamiltonian of scalar perturbations [Eq. (2.22)] contains powers of π_a , the momentum conjugated to the scale factor a . In the quantum theory one finds the problem that, in loop quantum cosmology, there is no operator associated with π_a ; only complex exponentials of π_a —i.e., holonomies of the connection—are defined as operators. This fact is intrinsic to the quantization strategy used in loop quantum gravity/cosmology, and it is a consequence of diffeomorphism invariance.

There are several strategies that one can follow in order to compute the dressed potential in (3.17). We spell here three of them, which have been chosen based on the criteria of simplicity.

- (i) Use the classical Friedmann constraint (2.8) to trade π_a for a , ϕ and p_ϕ . There is no loss of generality in using the classical constraint; it is an identity in the classical theory, which is the departing point for quantization.
- (ii) At a more practical level, when working with sharply peaked states, we can simply replace the expectation values of π_a by the solution $\bar{\pi}_a(t)$ to the effective equations of LQC.
- (iii) Again, at the level of effective equations, replace factors $1/\pi_a$ in the classical Hamiltonian by $-H/(2a^2\rho)$, where ρ is the energy density in the background. This equation holds in general relativity. In loop quantum cosmology, such a relation is also valid after taking advantage of the freedom in the quantization strategy (see, e.g., [60], and references therein for discussions on quantization ambiguities in LQC).

In view of the existing freedom, we have compared the results for the power spectrum and non-Gaussianity by

using all three strategies, in order to understand how sensitive observables are to these quantization ambiguities. Our results (see Sec. IV G) show that the results of this paper remain the same regardless of the choice we make for π_a , out of the three strategies explained above. For the sake of simplicity, we will use strategy (ii) in the main calculations presented in the next section.

2. Interaction Hamiltonian: The bispectrum

The self-interaction of perturbations are described, at the lowest order, by the interaction Hamiltonian $\hat{\mathcal{H}}_{\text{int}} \equiv \langle \Psi_0 | \hat{\mathcal{H}}^{(3)}[N_\phi] | \Psi_0 \rangle$, where the classical expression for $\mathcal{H}^{(3)}$ was given in (2.23). As for the linear evolution, we are not free of factor ordering ambiguities, and we choose a symmetric ordering. At second order, therefore, the evolution of perturbations is sensitive to other moments of the state $\Psi_0(v, \phi)$ chosen to describe the quantum FLRW geometry, in addition to the three already involved in the free evolution, written in (3.15), (3.16), and (3.17). The new moments follow straightforwardly from (2.23)—keeping in mind the expression for N_ϕ and the symmetric ordering—and we do not explicitly write them here.

To begin with, in the computation of the three-point function of scalar perturbations, we restrict ourselves to sharply peaked states Ψ_0 for the background geometry. As discussed above, at the practical level this is equivalent to replacing expectation values of background quantities by solutions to the effective equations (3.7). Furthermore, as described at the end of Sec. III A 1, the leading effects introduced by using more generic states can be accounted for by varying the value of the mean energy density at the bounce ρ_B . We postpone such analysis to Sec. IV D.

The equal-time n -point correlation functions of scalar perturbations $\delta\phi$, can be now computed at second order in perturbations by using the standard time-dependent perturbation theory,

$$\begin{aligned} \langle 0 | \delta \hat{\phi}(\vec{x}_1, \eta) \delta \hat{\phi}(\vec{x}_2, \eta) \cdots \delta \hat{\phi}(\vec{x}_n, \eta) | 0 \rangle \\ = \langle 0 | U^\dagger(\eta, \eta_0) \delta \hat{\phi}^I(\vec{x}_1, \eta) \delta \hat{\phi}^I(\vec{x}_2, \eta) \cdots \delta \hat{\phi}^I(\vec{x}_n, \eta) U(\eta, \eta_0) | 0 \rangle, \end{aligned} \quad (3.23)$$

where the superscript I denotes operators in the interaction picture, and

$$U(\eta, \eta_0) = T \exp \left(-i/\hbar \int_{\eta_0}^{\eta} d\eta' \hat{\mathcal{H}}_{\text{int}}^I(\eta') \right),$$

is the time evolution operator relative to $\hat{\mathcal{H}}_{\text{int}}^I$.

The observable quantity we are interested in is the bispectrum $B_{\mathcal{R}}(k_1, k_2, k_3)$ of comoving curvature perturbations evaluated at the end of inflation. It is defined from the three-point correlation function of $\mathcal{R}$ in Fourier space, via

$$\langle 0 | \hat{\mathcal{R}}_{\vec{k}_1} \hat{\mathcal{R}}_{\vec{k}_2} \hat{\mathcal{R}}_{\vec{k}_3} | 0 \rangle \equiv (2\pi)^3 \delta^{(3)}(\vec{k}_1 + \vec{k}_2 + \vec{k}_3) B_{\mathcal{R}}(k_1, k_2, k_3). \quad (3.24)$$

The bispectrum $B_{\mathcal{R}}(k_1, k_2, k_3)$ has dimensions of (length)⁶. The presence of the Dirac-delta distribution is a consequence of the homogeneity of the background FLRW metric. This delta distribution implies that only triads $(\vec{k}_1, \vec{k}_2, \vec{k}_3)$ that form a triangle may have a nonzero bispectrum. Additionally, isotropy makes the orientation of this triangle irrelevant. These two facts combined are the reason why $B_{\mathcal{R}}$ depends on the wave numbers $(\vec{k}_1, \vec{k}_2, \vec{k}_3)$ only via three real parameters. Common choices are (k_1, k_2, k_3) with $k_3 \leq k_1 + k_2$, or $(k_1, k_2, \mu \equiv \hat{k}_1 \cdot \hat{k}_2)$.

It is common, and convenient, to quantify the amplitude of the bispectrum in terms of the dimensionless function $f_{\text{NL}}(k_1, k_2, k_3)$, defined as

$$B_{\mathcal{R}}(k_1, k_2, k_3) \equiv -\frac{6}{5} f_{\text{NL}}(k_1, k_2, k_3) \times (\Delta_{k_1} \Delta_{k_2} + \Delta_{k_1} \Delta_{k_3} + \Delta_{k_2} \Delta_{k_3}), \quad (3.25)$$

or, equivalently, by

$$f_{\text{NL}}(k_1, k_2, k_3) \equiv -\frac{5}{6} B_{\mathcal{R}}(k_1, k_2, k_3) \times (\Delta_{k_1} \Delta_{k_2} + \Delta_{k_1} \Delta_{k_3} + \Delta_{k_2} \Delta_{k_3})^{-1}, \quad (3.26)$$

where $\Delta_k \equiv \frac{2\pi^2}{k^3} \mathcal{P}_{\mathcal{R}}(k)$ is the dimensionful power spectrum. (See [61] for the origin of the convention leading to the numerical factor $-5/6$, and see Appendix A of [62] for a summary of different conventions for the sign). Looking at expression (3.26), we can intuitively think about f_{NL} as the amount of correlations in “units” of Δ_k^2 .

Now, in order to compute the bispectrum $B_{\mathcal{R}}(k_1, k_2, k_3)$ in terms of $\delta\phi$, we use the relation between both variables given in Sec. II D

$$\mathcal{R}(\vec{x}, \eta) = -\frac{a}{z} \delta\phi(\vec{x}, \eta) + \left[-\frac{3}{2} + 3 \frac{V_\phi a^5}{\kappa p_\phi \pi_a} + \frac{\kappa z^2}{4} \right] \times \left(\frac{a}{z} \delta\phi(\vec{x}, \eta) \right)^2 + \dots, \quad (3.27)$$

where, the dots represent terms producing subdominant contributions to correlation functions at the end of inflation for the wave numbers $\vec{k}$ that we can observe today [see Eq. (2.25) and the discussion after it]. With this, we have

$$\begin{aligned} \langle 0 | \hat{\mathcal{R}}_{\vec{k}_1} \hat{\mathcal{R}}_{\vec{k}_2} \hat{\mathcal{R}}_{\vec{k}_3} | 0 \rangle &= \left(-\frac{a}{z} \right)^3 \langle 0 | \delta\phi_{\vec{k}_1} \delta\phi_{\vec{k}_2} \delta\phi_{\vec{k}_3} | 0 \rangle \\ &+ \left(-\frac{3}{2} + 3 \frac{V_\phi a^5}{\kappa p_\phi \pi_a} + \frac{\kappa z^2}{4 a^2} \right) \left(-\frac{a}{z} \right)^4 \\ &\times \left[\int \frac{d^3 p}{(2\pi)^3} \langle 0 | \delta\phi_{\vec{k}_1} \delta\phi_{\vec{k}_2} \delta\phi_{\vec{p}} \delta\phi_{\vec{k}_3 - \vec{p}} | 0 \rangle \right. \\ &+ (\vec{k}_1 \leftrightarrow \vec{k}_3) + (\vec{k}_2 \leftrightarrow \vec{k}_3) \\ &\left. + \dots \right]. \end{aligned} \quad (3.28)$$

In this equation, $(\vec{k}_i \leftrightarrow \vec{k}_j)$ indicates terms obtained from the first term in the second line after interchanging $\vec{k}_i$ and $\vec{k}_j$, and the dots indicate subdominant contributions. To obtain the scalar bispectrum $B_{\mathcal{R}}$ and f_{NL} at leading order we need to compute the three- and four-point correlation functions of $\delta\phi_{\vec{k}}$.

Let us begin with the three-point function, appearing in the first line in (3.28). At leading order in the interaction Hamiltonian, it is given by

$$\begin{aligned} \langle 0 | \delta\phi_{\vec{k}_1}(\eta) \delta\phi_{\vec{k}_2}(\eta) \delta\phi_{\vec{k}_3}(\eta) | 0 \rangle &= \langle 0 | \delta\phi_{\vec{k}_1}^{\text{I}}(\eta) \delta\phi_{\vec{k}_2}^{\text{I}}(\eta) \delta\phi_{\vec{k}_3}^{\text{I}}(\eta) | 0 \rangle \\ &- i\hbar \int d\eta' \langle 0 | [\delta\phi_{\vec{k}_1}^{\text{I}}(\eta) \delta\phi_{\vec{k}_2}^{\text{I}}(\eta) \delta\phi_{\vec{k}_3}^{\text{I}}(\eta), \hat{\mathcal{H}}_{\text{int}}^{\text{I}}(\eta')] | 0 \rangle \\ &+ \mathcal{O}(H_{\text{int}}^2). \end{aligned} \quad (3.29)$$

The first term in the right-hand side vanishes, $\langle 0 | \delta\phi_{\vec{k}_1}^{\text{I}} \delta\phi_{\vec{k}_2}^{\text{I}} \delta\phi_{\vec{k}_3}^{\text{I}} | 0 \rangle = 0$, since $\delta\phi_{\vec{k}}^{\text{I}}$ in the interaction picture is a Gaussian field. Hence, the term in the second line gives the leading order contribution. By using the mode expansion (3.18), we find

$$\begin{aligned} \langle 0 | \delta\phi_{\vec{k}_1}(\eta) \delta\phi_{\vec{k}_2}(\eta) \delta\phi_{\vec{k}_3}(\eta) | 0 \rangle &= (2\pi)^3 \delta^{(3)}(\vec{k}_1 + \vec{k}_2 + \vec{k}_3) B_{\delta\phi}(k_1, k_2, k_3), \end{aligned} \quad (3.30)$$

where

$$\begin{aligned} B_{\delta\phi}(k_1, k_2, k_3) &= 2\hbar^2 \text{Im} \left[\varphi_{\vec{k}_1}(\eta) \varphi_{\vec{k}_2}(\eta) \varphi_{\vec{k}_3}(\eta) \right. \\ &\times \int_{\eta_0}^{\eta} d\eta' (f_1(\eta') \varphi_{\vec{k}_1}^*(\eta') \varphi_{\vec{k}_2}^*(\eta') \varphi_{\vec{k}_3}^*(\eta') \\ &+ f_2(\eta') \varphi_{\vec{k}_1}^*(\eta') \varphi_{\vec{k}_2}^*(\eta') \varphi_{\vec{k}_3}^*(\eta') \\ &+ f_3(\eta') \varphi_{\vec{k}_1}^*(\eta') \varphi_{\vec{k}_2}^*(\eta') \varphi_{\vec{k}_3}^*(\eta') \\ &\left. + \vec{k}_1 \leftrightarrow \vec{k}_3 + (\vec{k}_2 \leftrightarrow \vec{k}_3) \right] + \mathcal{O}(H_{\text{int}}^2), \end{aligned} \quad (3.31)$$

where the functions $f_1(\eta)$, $f_2(\eta)$ and $f_3(\eta)$ are combinations of background functions, given in Appendix B.

The terms in the second line of (3.28) involve the four-point correlation function of $\hat{\delta\phi}_k^I$. Applying again time-dependent perturbation theory, we get

$$\begin{aligned} & \langle 0 | \hat{\delta\phi}_{\vec{k}_1}(\eta) \hat{\delta\phi}_{\vec{k}_2}(\eta) \hat{\delta\phi}_{\vec{p}}(\eta) \hat{\delta\phi}_{\vec{k}_3-\vec{p}}(\eta) | 0 \rangle \\ &= \langle 0 | \hat{\delta\phi}_{\vec{k}_1}^I(\eta) \hat{\delta\phi}_{\vec{k}_2}^I(\eta) \hat{\delta\phi}_{\vec{p}}^I(\eta) \hat{\delta\phi}_{\vec{k}_3-\vec{p}}^I(\eta) | 0 \rangle + \mathcal{O}(H_{\text{int}}). \end{aligned} \quad (3.32)$$

In this case, the first term does not vanish and provides the leading order contribution. There is no need to compute higher order terms, since they are subdominant. The first term, furthermore, does not involve any time integral of the interaction Hamiltonian, and its expression in terms of the mode functions φ_k reads

$$\begin{aligned} & \int \frac{d^3p}{(2\pi)^3} \langle 0 | \hat{\delta\phi}_{\vec{k}_1} \hat{\delta\phi}_{\vec{k}_2} \hat{\delta\phi}_{\vec{p}} \hat{\delta\phi}_{\vec{k}_3-\vec{p}} | 0 \rangle \\ &= (2\pi)^3 \delta^{(3)}(\vec{k}_1 + \vec{k}_2 + \vec{k}_3) 2\hbar^2 |\varphi_{k_1}|^2 |\varphi_{k_2}|^2. \end{aligned} \quad (3.33)$$

Substituting these results in (3.28) we obtain the desired expression for $B_{\mathcal{R}}$

$$\begin{aligned} B_{\mathcal{R}}(k_1, k_2, k_3) &= \left(-\frac{a}{z}\right)^3 B_{\delta\phi}(k_1, k_2, k_3) \\ &+ \left[-\frac{3}{2} + 3 \frac{V_\phi a^2}{\kappa p_\phi \pi_a} + \frac{\sqrt{\kappa} z^2}{4 a^2}\right] \\ &\times \left(\frac{a}{z}\right)^4 2\hbar^2 (|\varphi_{k_1}|^2 |\varphi_{k_2}|^2 \\ &+ |\varphi_{k_1}|^2 |\varphi_{k_2}|^2 + |\varphi_{k_2}|^2 |\varphi_{k_3}|^2), \end{aligned} \quad (3.34)$$

where all quantities are evaluated at the end of inflation.

IV. NUMERICAL EVALUATION OF THE THREE-POINT CORRELATION FUNCTION

The main goal of this section is to evaluate the bispectrum $B_{\mathcal{R}}(k_1, k_2, k_3)$, written in (3.34), at the end of inflation, for different values of the three momenta k_1 , k_2 , and k_3 , and to compute the function $f_{\text{NL}}(k_1, k_2, k_3)$ from it. This section shows the results of numerical computations, while in Sec. V we present analytical arguments that will help us to better understand their physical origin.

Scalar perturbations are evolved starting at an early time, to be specified below, across the bounce, and until the modes of interest become super-Hubble during the inflationary phase. The power spectrum and bispectrum will be computed at that time. In order to perform these calculations we need to:

- (1) Specify a potential $V(\phi)$ for the scalar field.

- (2) Specify a solution $(a(\eta), \pi_a(\eta), \phi(\eta), p_\phi(\eta))$ to the effective equations (3.5)–(3.6) of LQC. As discussed in the last two paragraphs of Sec. III A 1, these solutions are uniquely characterized by specifying the value of ϕ and its energy density at the time of bounce.

- (3) Specify the quantum state of scalar perturbations at some initial time η_0 .

These are the freedoms that we have in our calculation. In this section we choose:

- (1) The quadratic potential $V(\phi) = \frac{1}{2}m^2\phi^2$, with the value of m that is obtained from the Planck normalization [63], $m = 6.4 \times 10^{-6} M_{Pl}$.
- (2) A background effective geometry with $\phi_B = 7.62 M_{Pl}$ and $\rho_B = 1 M_{Pl}^4$.
- (3) A Minkowski-like vacuum for perturbations, specified at an early enough time before the bounce such that all Fourier modes of interest are in an adiabatic regime. More precisely, we choose $\varphi_k(\eta_0) = \frac{1}{a(\eta_0)\sqrt{2k}}$ and $\varphi'_k(\eta_0) = [-ik + \frac{a'(\eta_0)}{a(\eta_0)}]\varphi_k(\eta_0)$ as initial data for the modes, for $\eta_0 = -2.8 \times 10^3 T_{Pl}$ (the bounce takes place at $\eta_0 = 0$).

In Secs. IV C, IV D, IV E, IV F we analyze the way the results vary for other choices.

To carry out the calculation we use the numerical infrastructure of CLASS [37], a standard Einstein-Boltzmann solver for cosmological perturbations, written in C. First, we solve the background dynamics, and then we use the result to solve the dynamics of perturbations. We compute the time integrals in (3.31) by writing it as a first order differential equation for the integrands, and we solve them simultaneously with the equation of motion (3.19) for each Fourier mode. This ensures that the time step of the numerical integrator is adapted to achieve the desired accuracy for the bispectrum. For solving the differential equations, we have used the Runge Kutta evolver provided by CLASS.

There are other codes aimed at computing primordial non-Gaussianity (e.g., BINGO [64], PyTransport and CppTransport [65], and a code to compute three-point functions involving tensor perturbations [46]). But they are mostly oriented towards computations during the inflationary epoch, and they cannot be used for our purposes.

Before computing the bispectrum, we first summarize our results for the power spectrum.

A. The power spectrum

The mathematical and physical aspects of the primordial power spectrum $\mathcal{P}_{\mathcal{R}}(k)$ in LQC have been discussed in detail in [42, 45, 66, 67], so we will be brief here. To compute $\mathcal{P}_{\mathcal{R}}(k)$, we need to solve the second-order differential equation (3.19) for the set of wave numbers of interest for observations. The values of k that we can probe in the CMB, range approximately from $k_{\text{min}} = k_*/10$, to $k_{\text{max}} = 1000 k_*$, where k_* is a

pivot, or reference wave number whose physical value at present is $k_*/a(t_{\text{today}}) = 0.002 \text{ Mpc}^{-1}$. We will, however, compute $\mathcal{P}_{\mathcal{R}}(k)$ for values of k smaller than k_{min} , because these modes, although not directly observable in the CMB, may indirectly affect the observable power spectrum once non-Gaussianity are taken into account [39].

In order to better understand the form of the power spectrum, it is convenient to define the rescaled mode functions $v_k(\eta) \equiv a(\eta)\varphi_k(\eta)$. The wave equation (3.19), when written in terms of v_k , takes the form

$$v_k''(\eta) + (k^2 + f(\eta))v_k(\eta) = 0, \quad (4.1)$$

where $f(\eta) \equiv a(\eta)^2 \mathfrak{A}(\eta) - \frac{a''}{a}(\eta) = a^2(\mathfrak{A} - \frac{R}{6})$, and $R(\eta)$ is the Ricci scalar of the effective spacetime geometry. The potential $\mathfrak{A}$ was defined in (2.22). It is clear from this equation that whenever $k^2 \gg |f(\eta)|$, the solutions are simple oscillatory functions with time independent frequency equal to k . On the contrary, $v_k(\eta)$ will have a more complicated behavior when $k^2 \lesssim |f(\eta)|$. In particular, when the function $f(\eta)$ becomes negative, the oscillatory behavior of these modes changes to an exponentially varying amplitude, that results in a modulation of the amplitude of $v_k(\eta)$ and consequently of the power spectrum.

During the inflationary era, $f(\eta)$ remains approximately constant and is proportional to the Ricci scalar R , or the square of the Hubble radius. This value sets up the wave number scale for which amplification of perturbations takes place. Similarly, the amplification of perturbations around the time of bounce can be characterized in terms of the *physical scale associated with the bounce*. This scale is given by the value of the function $f(\eta)$ at the bounce, which is approximately equal to $a^2 \frac{R}{6}$ evaluated at that time [see the definition of $f(\eta)$ above, and take into account that $\mathfrak{A}$ is of the same order as $R/6$ around the bounce]. Therefore, we define the bounce scale k_{LQC} as $k_{\text{LQC}} \equiv a(\eta_B)\sqrt{R_B/6} \approx a(\eta_B)\sqrt{\kappa\rho_B}$, where the subscript B indicates quantities evaluated at the bounce. Qualitatively, we expect the power spectrum to be significantly affected by the bounce for modes with $k \lesssim k_{\text{LQC}}$. On the other hand, the bounce is expected to have little effect on $k \gg k_{\text{LQC}}$, since these modes are “too ultraviolet to feel the bounce”.

In Fig. 1 we show the LQC power spectrum $\mathcal{P}_{\mathcal{R}}(k)$ for scalar perturbations computed using the settings specified at the beginning of this section. The scale invariant inflationary prediction is recovered for $k \gg k_{\text{LQC}}$. In contrast, for $k \lesssim k_{\text{LQC}}$ there is an extra contribution coming from the propagation of perturbations across the bounce. This contribution breaks scale invariance, and makes $\mathcal{P}_{\mathcal{R}}(k)$ to grow significantly for small wave numbers. As discussed in Sec. IV F, all other choices of initial data for perturbations explored in this paper produce a power spectrum that grows for $k \lesssim k_{\text{LQC}}$. Note, however, that there exist other choices in the literature for which the spectrum is

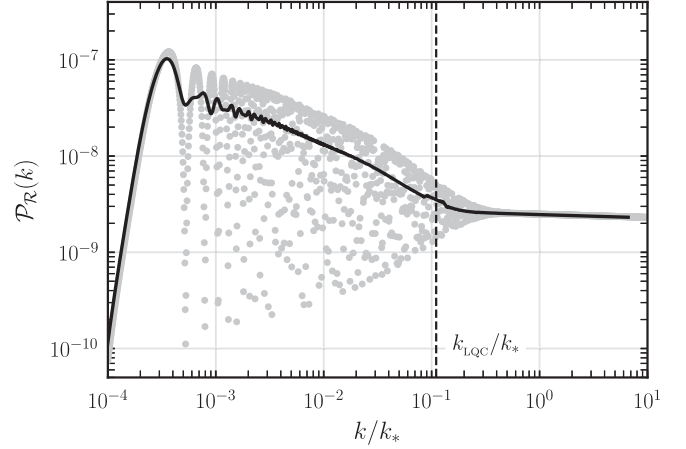


FIG. 1. Power spectrum for comoving curvature perturbations for $\phi_B = 7.62 M_{Pl}$, and $\rho_B = 1 M_{Pl}^4$. Gray dots indicate the numerical value of $\mathcal{P}_{\mathcal{R}}$ for individual values of k . The black curve denotes the average of the gray points. As expected, the spectrum is scale invariant for $k \gg k_{\text{LQC}}$. The effects from the bounce appear for $k \lesssim k_{\text{LQC}}$. For the value of ϕ_B used in this plot, the number of e -folds between the bounce and horizon exit for the pivot scale k_* , is, $N_{B*} = 12.3$. This number is large enough to make the effects created by the bounce to be redshifted to super-Hubble scales at the present time (recall that the observable window is approximately $k \in [k_*/10, 1000k_*]$). Sections IV C and IV D contain plots of $\mathcal{P}_{\mathcal{R}}$ for other values of ϕ_B and ρ_B for which the enhancement of the power spectrum occurs for observable scales (see also [66]).

suppressed, rather than enhanced, on these scales [68–70]. We do not consider such states in the analysis presented here.

B. The bispectrum

The numerical evaluation of the bispectrum requires more effort than what is needed to compute non-Gaussianity *during inflation*. The first reason is that, in the inflationary era, only the terms in the third order Hamiltonian (2.23) that are leading order in the slow-roll parameters need to be considered. This provides a significant simplification of the Hamiltonian, which, after integration by parts, reduces to a single term [36]. The second reason is that the background geometry during slow-roll inflation is very close to be described by de Sitter geometry. This makes an analytical approximation for the modes $\varphi_k(\eta)$ available, which in turn allows for an analytical calculation of the bispectrum. All these simplifications cannot be used in our case because, first of all, before inflation the slow-roll approximation is no longer valid. And secondly, in our problem the spacetime goes through a contracting phase, followed by a bounce, a preinflationary phase on which the kinetic energy of the scalar field is converted to potential energy, and finally an inflationary phase. In each of these phases the scale factor behaves in a quite different manner and, as a

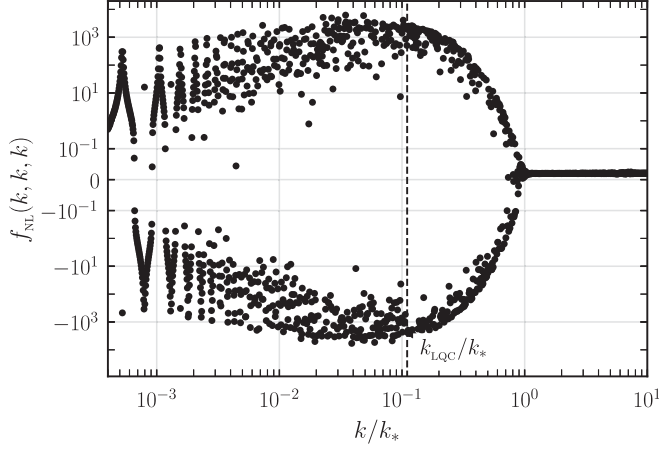


FIG. 2. *Equilateral configurations.* Plot of $f_{\text{NL}}(k, k, k)$ versus k . We have used here the same parameter as in the plot of the power spectrum, Fig. 1, namely $\phi_B = 7.62M_{P\ell}$, and $\rho_B = 1M_{P\ell}^4$, and Minkowski-like initial data for perturbations at $\eta_0 = -2.810^3 T_{P\ell}$ (or equivalently, $t_0 = -10^5 T_{P\ell}$ in cosmic time). The plot shows that $f_{\text{NL}}(k, k, k)$ is highly oscillatory, and its amplitude is strongly scale dependent. For the value of the ϕ_B , and ρ_B chosen in this plot, f_{NL} grows only for the most infrared scales that we can observe in the CMB, which correspond to angular multipoles $\ell \lesssim 30$.

consequence, it is difficult to arrive at an analytical approximation for $\varphi_k(\eta)$ valid during the entire evolution.¹³

We present our results for non-Gaussianity in terms of the function $f_{\text{NL}}(k_1, k_2, k_3)$, defined in (3.26). We evaluate $f_{\text{NL}}(k, \alpha_1 k, \alpha_2 k)$ as a function of k , for different values of α_1 and α_2 . Following standard terminology, we will refer to triads $(k, \alpha_1 k, \alpha_2 k)$ for which $(\alpha_1 = \alpha_2 = 1)$ as *equilateral* configurations of wave numbers. Similarly, $(\alpha_1 \approx 1, \alpha_2 \ll \alpha_1)$ and $(\alpha_2 \approx 1 - \alpha_1)$ are known as *squeezed* and *flattened* configurations, respectively. These names are motivated by the shape of the triangles formed by $\vec{k}_1$, $\vec{k}_2$, and $\vec{k}_3$.

In Fig. 2 we show f_{NL} in the equilateral configuration as a function of k/k_* . In the regime $k \gtrsim k_{\text{LQC}}$ the result agrees with the inflationary prediction, i.e., $f_{\text{NL}} \sim \epsilon$ where ϵ is the slow-roll parameter evaluated at horizon exit. For scales that were larger than the curvature radius at the bounce, i.e., $k \lesssim k_{\text{LQC}}$, f_{NL} oscillates between positive and negative values with an amplitude of order 10^3 . In Fig. 3 we show the absolute value of f_{NL} in the equilateral configuration in order to analyze the scale dependence of f_{NL} more

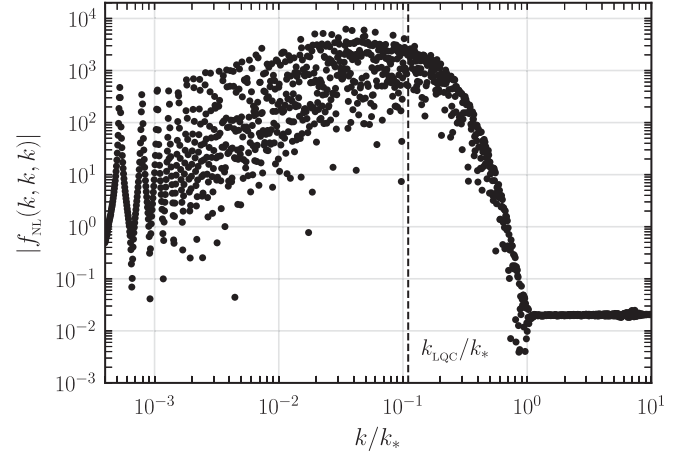


FIG. 3. *Equilateral configurations.* Plot of $|f_{\text{NL}}(k, k, k)|$ versus k . We have used the same values of the parameter as in the previous plot.

carefully. In Fig. 4 we show f_{NL} in a few different configurations. In Fig. 5 we present two-dimensional plots for f_{NL} containing all configurations, by fixing k_1 to three different values.

These results can be summarized as follows:

- (1) $f_{\text{NL}}(k_1, k_2, k_3)$ is highly oscillatory. This is a consequence of the oscillatory behavior of the mode functions around the bounce.
- (2) As expected, in the regime $k \gtrsim k_{\text{LQC}}$, $f_{\text{NL}}(k_1, k_2, k_3)$ reduces to standard inflationary prediction ($f_{\text{NL}} \sim 10^{-2}$). This is similar to the large- k behavior of the power spectrum (see Fig. 1). The fact that we recover the inflationary result for large wave numbers is a good consistency test of our numerical computations.
- (3) The amplitude of $f_{\text{NL}}(k_1, k_2, k_3)$ is strongly *scale dependent*. A scale invariant f_{NL} would not change under simultaneous re-scaling of k_1 , k_2 , and k_3 . The bounce breaks the scale invariance and makes the amplitude of $f_{\text{NL}}(k_1, k_2, k_3)$ to grow for wave numbers comparable or smaller than k_{LQC} . This is a key feature that may allow us to contrast this framework with observational data.
- (4) By comparing Figs. 1 and 2, we can see that, while the power spectrum deviates from scale invariance for $k \leq k_{\text{LQC}}$, f_{NL} does it for $k \leq 10k_{\text{LQC}}$. This is consistent with the fact that non-Gaussianity generally provides a *better probe of new physics* than the power spectrum [74].
- (5) Consider, without loss of generality, that $k_1 \geq k_2 \geq k_3$. Now, on the one hand, Fig. 5 tells that, for fixed k_1 , the amplitude of f_{NL} , although quite uniform when we change k_2 and k_3 , attains its maximum value in the upper left region of the triangle. These are configurations for which $k_3 \ll k_2 \approx k_1$, and $k_3 + k_2 \approx k_1$, i.e., squeezed-flattened

¹³There exist efforts to compute non-Gaussianity in more complicated inflationary scenarios involving deviations from slow-roll, both analytically (see, e.g., [71,72]) and numerically [64,73]. However, the preinflationary evolution that we are interested in is more complicated than the scenarios previously considered.

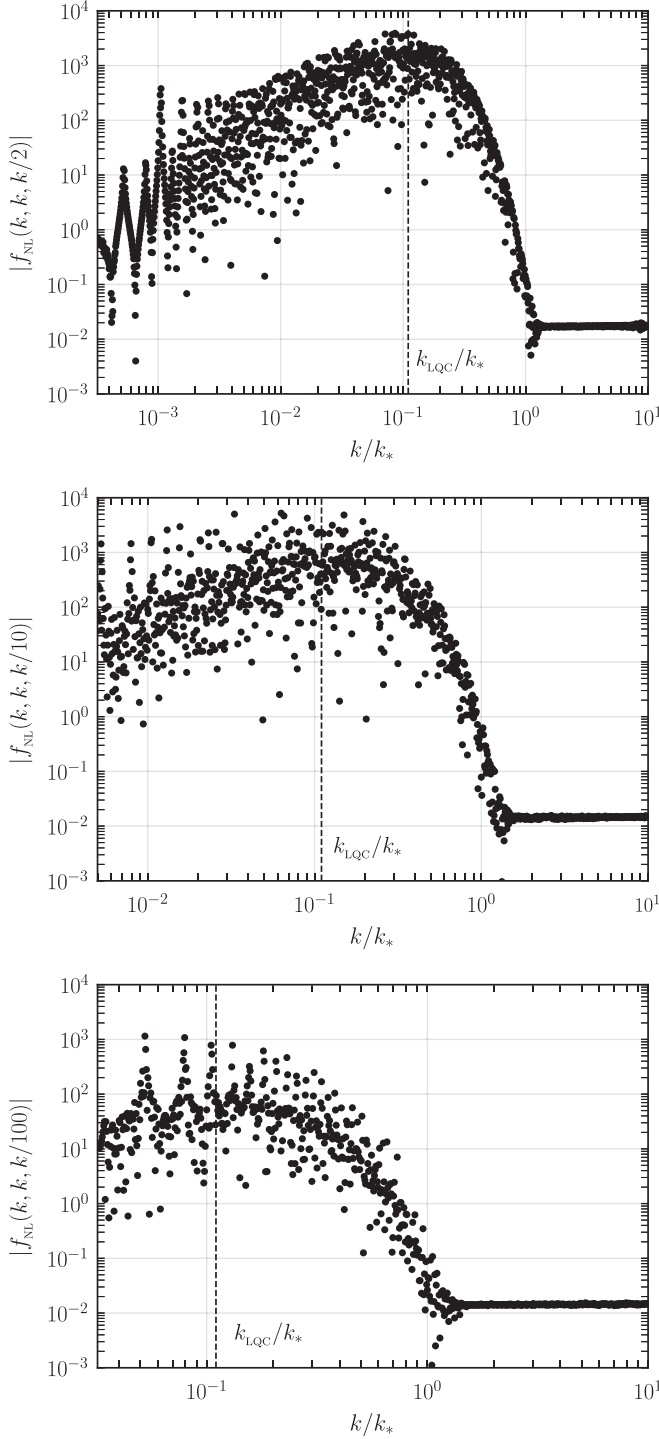


FIG. 4. Plots of $|f_{\text{NL}}(k, k, k/2)|$ (top), $|f_{\text{NL}}(k, k, k/10)|$ (middle) and $|f_{\text{NL}}(k, k, k/100)|$ (bottom) versus k . We have used the same values of the parameter as in the previous plot.

configurations. But note that f_{NL} becomes small again when $k_3 \rightarrow 0$ (upper-left corner), that corresponds to very squeezed configurations. Hence, f_{NL} is maximum in the squeezed-flattened, but not too squeezed configurations. A shape of this type was anticipated in more general terms in [75,76],

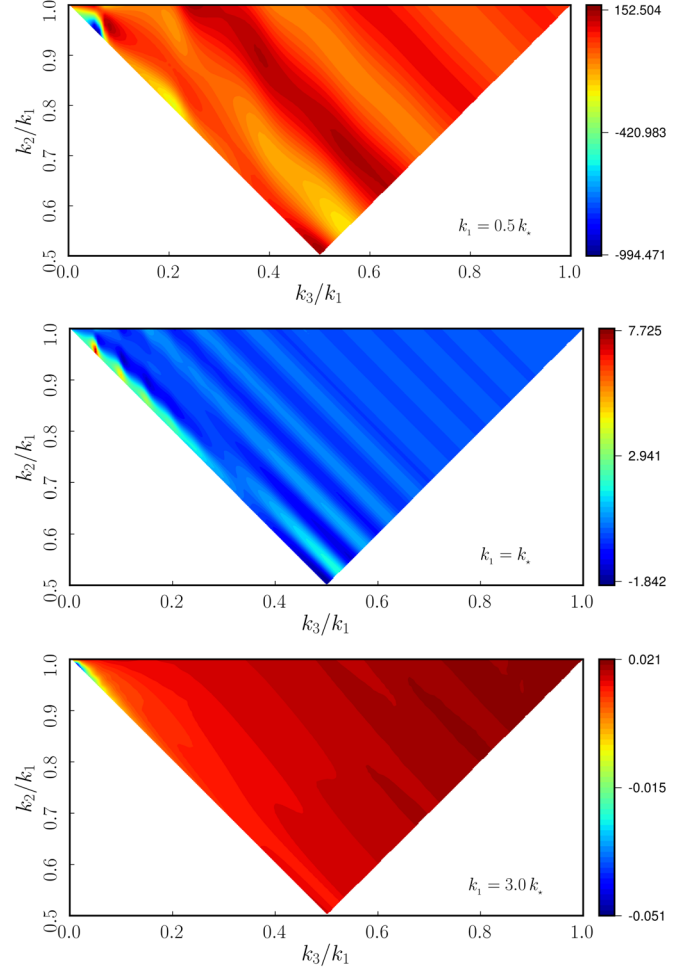


FIG. 5. Plots of $f_{\text{NL}}(k_1, k_2, k_3)$ versus $x_2 \equiv k_2/k_1$ and $x_3 \equiv k_3/k_1$, for $k_1 = 0.5k_*$ (top panel), $k_1 = k_*$ (middle panel) and $k_1 = 3k_*$ (bottom panel). The figure shows configurations allowed by the triangle condition $\vec{k}_1 + \vec{k}_2 + \vec{k}_3 = 0$. Choosing, without loss of generality, $k_1 \geq k_2 \geq k_3$, the triangle condition is equivalent to $1 \geq x_2 \geq 1/2$, $1 - x_2 \geq x_3 \geq x_2$. By comparing the values of f_{NL} among the three plots, we see again its scale dependent character. These three plots also show the oscillatory behavior of f_{NL} , although this feature is more clearly displayed in Figs. 2–4. Furthermore, the plots reveal that the amplitude of f_{NL} is quite uniform when k_2 and k_3 are varied while k_1 is kept fixed, except for a small change that makes f_{NL} maximum in the upper left region of the triangle, corresponding to “squeezed-flattened” (although not too squeezed) configurations.

and the physical model discussed in this paper provides a concrete example of a single field model in which non-Gaussianity is enhanced in squeezed configurations.

C. Dependence of f_{NL} on the value of the scalar field at the bounce

The value of ϕ_{B} determines the number of e -folds of expansion between the bounce and the onset of the

observable phase of inflation, dubbed $N_{B\star}$ [27,30,50,66].¹⁴ We are interested in effective trajectories for which $N_{B\star} \approx 12$. For this value the effects created by the bounce on the power spectrum and non-Gaussianity would appear only in the smallest wave numbers—or equivalently, the lowest multipoles ℓ —that we can observe in the CMB. For larger values of $N_{B\star}$, scales affected by the bounce are redshifted outside our observable Universe, and these effects become unobservable. On the contrary, if $N_{B\star}$ is smaller than 12, the effects of the bounce would appear on all scales in the CMB, and our predictions would be a strongly scale dependent power spectrum with large non-Gaussianity, in clear tension with observations. $N_{B\star} \approx 12$ corresponds to $\phi_B \approx 7.6 M_{Pl}$. This small value of the field makes the kinetic energy to dominate over the potential energy at the bounce.¹⁵

What effect should we expect on the observable quantities if we change ϕ_B ? Since a change in ϕ_B modifies the amount of expansion $N_{B\star}$, we expect that changing ϕ_B will shift $\mathcal{P}_R(k)$ and f_{NL} with respect to the set of wave numbers that we can directly observe. However, the shape of $\mathcal{P}_R(k)$ and f_{NL} is not expected to change, since the bounce itself is not modified by changing ϕ_B .¹⁶

Figure 6 shows the power spectrum and f_{NL} in the equilateral configuration for different values of ϕ_B , and for $\rho_B = 1 M_{Pl}^4$. The results are qualitatively the same for other configurations. As expected, the only effect of changing ϕ_B is a shift of $\mathcal{P}_R(k)$ and f_{NL} relative to k_* . We see, for instance, that for $\phi_B = 8.02 M_{Pl}$ both the power spectrum and f_{NL} are indistinguishable from the standard results of slow-roll inflation for observable modes $k \in [k_*/10, 1000k_*]$. All the effects from the bounce are redshifted to super-Hubble scales for this value of ϕ_B . On the contrary, for $\phi_B = 7.42 M_{Pl}$ the bounce affects both the power spectrum and non-Gaussianity, although only for infrared scales in the CMB.

In summary, the scalar field at the bounce ϕ_B determines the amount of cosmic expansion accumulated after the bounce, and changing it produces a shift of the power spectrum and non-Gaussianity with respect to the scales that are directly observable in the CMB, without modifying their shape.

¹⁴By “onset” of inflation we refer in this paper to the time $\eta = \eta_*$ at which the reference scale k_* that today has a physical value $k_*/a_{\text{today}} = 0.002 M_{Pl}^{-1}$, exits the Hubble radius during inflation. Since inflation lasts approximately 61 additional e -folds after η_* , the number of e -folds from the bounce to the end of inflation is $N_{B\star} + 61$.

¹⁵This is the reason why in this paper, as well as in previous analyses [27,50,66], one focuses on kinetic dominated bounces. In the subsequent evolution, the ratio of the potential energy to the total energy of ϕ grows and, at time $\eta = \eta_*$ when slow roll inflation begins, the potential energy dominates over kinetic.

¹⁶The bounce is dominated by quantum gravity effects, rather than by matter, and therefore a small change on ϕ_B does not modify the spacetime geometry around the time of the bounce in any significant amount.

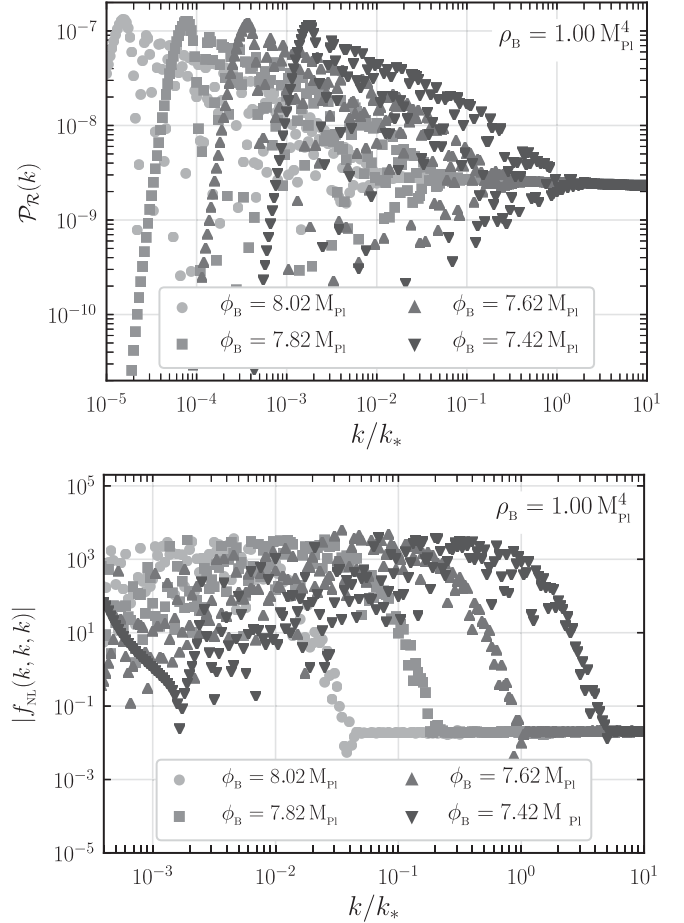


FIG. 6. Power spectrum (upper panel), and $|f_{NL}|$ in the equilateral configuration (bottom panel) for $\rho_B = 1 M_{Pl}^4$, for different values of ϕ_B . The horizontal axis shows wave number relative to the reference scale k_* that today corresponds to 0.002 Mpc^{-1} . Hence the window of observable modes is approximately $k \in [k_*/10, 1000k_*]$. The plot shows that different values of ϕ_B give rise to power spectra and f_{NL} with exactly the same shape, with the only difference that they are shifted from each other.

D. Dependence of f_{NL} on the energy density at the bounce

Changing the energy density at the bounce also changes the amount of expansion from the bounce to the onset of inflation. This is because larger the value of ρ_B , larger would be the expansion needed for the energy density to decrease and reach the inflationary value. Therefore, we expect f_{NL} , as well as the power spectrum, to shift its position in relation to observables scales, in a way similar to the effect of changing ϕ_B .

There two different factors that could change the energy density at the bounce: (i) a change in the value of the gap Δ_0 , (ii) a change in the quantum state $\Psi(v, \phi)$ that describes the background quantum geometry. The analysis of this section is, therefore, well-motivated.

Figure 7 shows the power spectrum and f_{NL} in the equilateral configuration (the result is similar for other

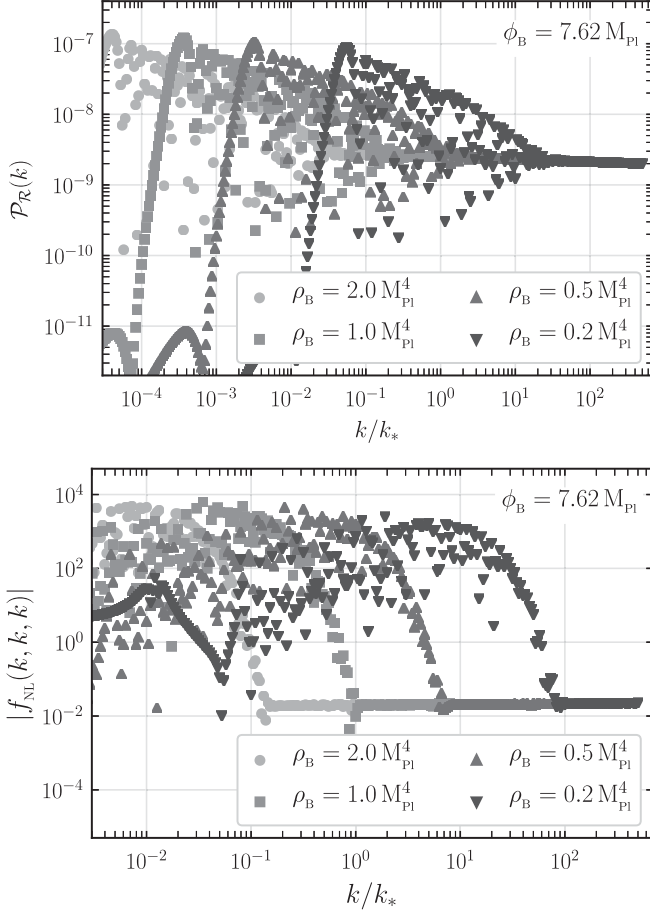


FIG. 7. Power spectrum (upper panel), and $|f_{\text{NL}}|$ in the equilateral configuration (bottom panel) for $\phi_B = 7.62 M_{\text{Pl}}$, for different values of ρ_B . The plot shows that different values of ρ_B change the maximum value of f_{NL} . We also see that both, the power spectrum and f_{NL} are shifted towards more infrared scales relative to k_* for large values of ρ_B .

configurations) for different values of ρ_B , with $\phi_B = 7.62 M_{\text{Pl}}$. As expected, both $\mathcal{P}_R$ and f_{NL} are redshifted towards infrared scales for larger values of ρ_B . We also observe that $\mathcal{P}_R$ and f_{NL} are *more enhanced* for large values of ρ_B . For the power spectrum, the change in the amplitude produced by changing ρ_B is very small, and therefore the dominant effect is simply a shift relative to k_* . Therefore, regarding $\mathcal{P}_R(k)$, changing ρ_B and ϕ_B produces the same results. This fact was analyzed in [50], and it was pointed out that, if one restricts to observable scales and takes into account observational error bars, the effect produced by a change in ρ_B in the power spectrum $\mathcal{P}_R(k)$ can be compensated by a change in ϕ_B . Hence, observations of the power spectrum alone can only provide information about a combination of ϕ_B and ρ_B , and not about their individual values. We find that this does not happen for f_{NL} . Hence *the degeneracy between the observable effects of ϕ_B and ρ_B disappears* for non-Gaussianity. Consequently, an observation of the power spectrum and non-Gaussianity generated by the bounce

would provide information about the energy (or curvature) scale of the bounce.

The results of this section can be interpreted in more general terms. Recall that, as discussed in [59,50] and summarized in Sec. III A 1, a change in the quantum state $\Psi_0(v, \phi)$ that describes the background geometry has effects on observable quantities that, with great accuracy, can be mimicked by a change in ρ_B . Therefore, the content of this section can be also understood as an investigation of the sensitivity of observable quantities to the choice of $\Psi_0(v, \phi)$.

E. Influence of the scalar field's potential

In this section, we investigate the sensitivity of the results for non-Gaussianity in LQC under a change of the scalar field's potential. In LQC the bounce is generated by quantum gravity effects, and the contribution of $V(\phi)$ is subdominant. Therefore, we expect that the results for $f_{\text{NL}}(k_1, k_2, k_3)$ obtained in the previous sections by using the quadratic potential will remain largely unaltered for other choices of $V(\phi)$. We compute $f_{\text{NL}}(k_1, k_2, k_3)$ in this section for the so-called Starobinsky potential [77–80],

$$V(\phi) = \frac{3M^2}{4\kappa} (1 - e^{-\sqrt{\frac{2}{3}}\phi})^2. \quad (4.2)$$

The power spectrum in LQC has been analyzed in detail in [81,82], and the results are qualitatively similar to the quadratic potential.

We compute $f_{\text{NL}}(k_1, k_2, k_3)$ by using (4.2) for the value of M obtained from the Planck normalization, $M = 2.51 \times 10^{-6} M_{\text{Pl}}$. Figure 8 shows the results for two different configurations, and for $\phi_B = -4.88 M_{\text{Pl}}$ and $\rho_B = 1 M_{\text{Pl}}^4$. The initial state of perturbations has been chosen to be the Minkowski-like vacuum at $\eta_0 = -281.5 T_{\text{Pl}}$ (equivalently, $t_0 = -2.32 \times 10^5 T_{\text{Pl}}$). At this time all modes of interest are in the adiabatic regime. Our analysis indicate that the conclusion reached in all previous section remain true, as expected, since most of these features are due to the bounce.

At the quantitative level, the results also agree, although some small difference appear both for large and small wave numbers. The value of $|f_{\text{NL}}(k_1, k_2, k_3)|$ for large k_i is proportional to the slow-roll parameter ϵ evaluated at the horizon exit during inflation. This parameter is smaller for the Starobinsky potential (grey squares) than for the quadratic potential (black circles) and explains the small difference in amplitude in Fig. 8. The differences in the bottom panel of Fig. 8 are larger, and they originate from the discrepancies in the background dynamics at early and late times, far from the bounce. These differences can be reduced by adjusting appropriately the value of the free parameters ϕ_B and ρ_B .

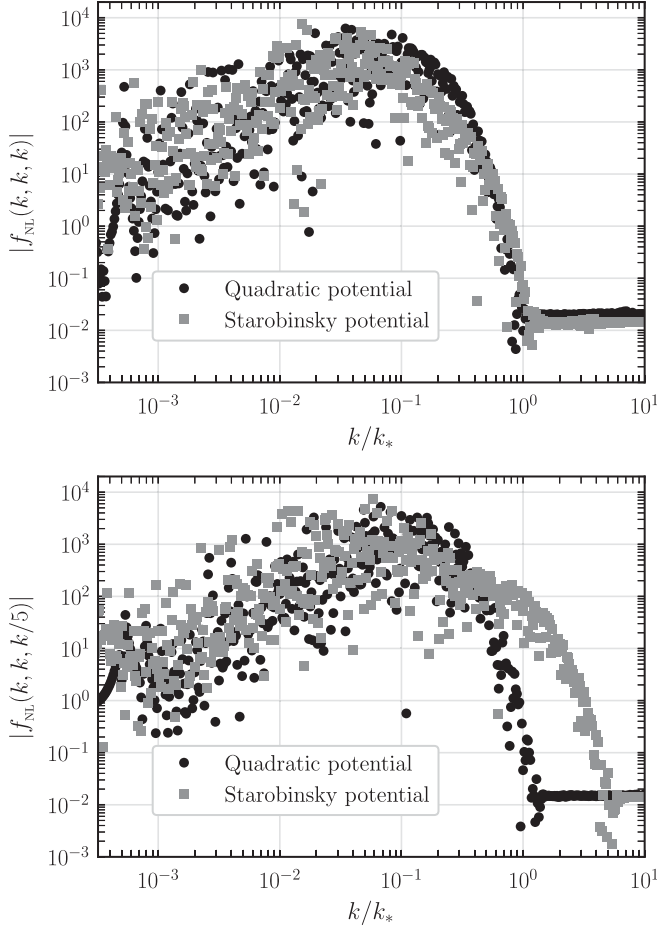


FIG. 8. Comparison of $|f_{\text{NL}}(k, k, k)|$ (upper panel) and $|f_{\text{NL}}(k, k, k/5)|$ (bottom) evaluated at the end of inflation for the quadratic and the Starobinsky potential. The figure illustrates that the spectral shape is very similar regardless of the potential. The differences, more evident in the bottom panel, arise from contributions generated far from the bounce.

F. Dependence of f_{NL} on the initial state for perturbations

We explore in this section the sensitivity of non-Gaussianity to different choices of initial state for the quantum scalar perturbations. This question is relevant because in spacetimes with no timelike isometries, such as the spatially flat FLRW spacetime considered in this paper, the notion of quantum vacuum for a test field is ambiguous: there are infinitely many candidates for Fock vacua, and none are preferred with respect to the other [83] (see [84] for further discussions). In FLRW, one can narrow the freedom by restricting to homogenous and isotropic states that are adiabatic of, at least, fourth order—so that the energy-momentum tensor is well-defined for these states [85]—but the mathematical freedom is still large. Consequently, one could in principle obtain very different results by appropriately tuning the initial state.

Notice that this freedom is not specific to LQC. It is common to any cosmological model dealing with quantum

perturbations, including the inflationary framework. A way to make progress is to add physical principles to select appropriate initial data for perturbations. For instance, if evolution begins at a time at which all wavelengths of interest for observations are small compared to the curvature scale, then the adiabatic analysis [85] provides guidance. This is the strategy that one follows in standard inflation, and we adopt it here as well. We use three different proposals for initial state, all based on reasonable criteria, and compute f_{NL} in each case. A similar exploration using these three different initial states, has been done for the power spectrum in LQC in [27,66]. The outcome of these analyses was that the power spectrum is very similar for observable scales in all three cases considered. Here, we reach the same conclusions for non-Gaussianity. Therefore, we argue that the results of this paper do not rely on a fine-tuned choice of initial conditions for perturbations, and are therefore generic, within the mathematical limitations mentioned above.

More precisely, the three types of initial state that we choose are

- (i) Minkowski-like initial state. This state was introduced at the beginning of Sec. IV A. This state is not a forth-order adiabatic state (it is only of adiabatic order zero).
- (ii) Obvious adiabatic vacuum. This state was introduced in [42]. It is the state obtained by using initial data for the mode functions given by the first four terms of the adiabatic expansion of $\varphi_k(\eta)$. This state is therefore of fourth adiabatic order. This prescription, however, cannot be specified for very infrared modes, since it produces modes with the incorrect normalization. Nevertheless, the ambiguity will only modify the most infrared part of our results that correspond to modes that are not directly observable, and therefore we use this state for the purpose of this section.
- (iii) Preferred instantaneous vacuum. This state was introduced in [84], and it is defined as the only state that has zero expectation value of the adiabatically renormalized energy-momentum tensor at the initial time η_0 . In this sense, this is a generalization of the Minkowski vacuum to cosmological spacetimes. It is also a state of fourth adiabatic order.

Figure 9 shows the function f_{NL} for equilateral configurations computed using these three different initial states, specified at $\eta_0 = 2.842 \times 10^3 T_{\text{Pl}}$. As anticipated, the results are essentially the same.

We have also explored the sensitivity of f_{NL} to the time at which the initial conditions are imposed. We found that as long as η_0 is chosen such that all modes of interest are ultraviolet compared to the curvature-scale, $k^2 \gg a''/a$, the results for $f_{\text{NL}}(k_1, k_2, k_3)$ are insensitive to the choice of η_0 .

Another physically motivated instant to specify initial data is the bounce. At that time, however, the condition $k^2 \gg a''/a$ is not satisfied for all modes of interest, and

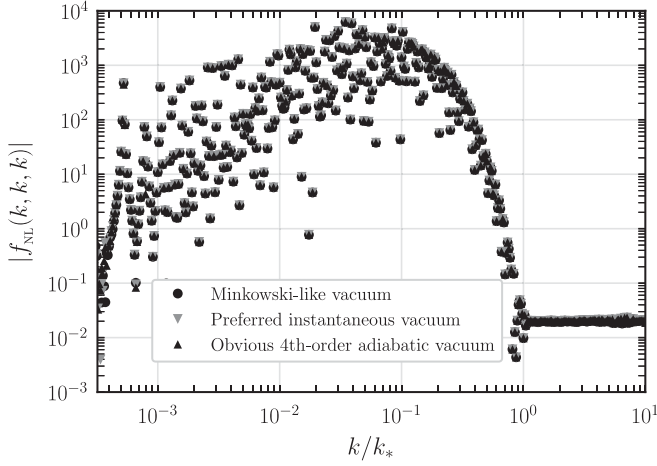


FIG. 9. Plot of $|f_{\text{NL}}(k_1, k_2, k_3)|$ in the equilateral configuration ($k_1 = k_2 = k_3$) for different choices of initial quantum state for perturbations. The plot shows that the three choices considered in this paper produce results that are all very similar. Differences only appear for the most infrared part of the spectrum, that corresponds to unobservable scales.

therefore the adiabatic condition is not sufficient to choose an initial state. We found that f_{NL} is *very sensitive* to the ambiguity in the choice of initial data for perturbations at the bounce. Different choices produce results that differ significantly from each other, and therefore we were unable to make any generic statement about the value of f_{NL} when the evolution begins at the bounce. Unless one adds new principles that enable us to select preferred initial data for perturbations at the bounce (see [68–70] for interesting examples within LQC) it seems difficult to reach any conclusion. In absence of such principles, the far past well before the bounce appears as the most natural place to specify the initial state of perturbations.

G. Tests of the numerics

In this section we provide further tests of the numerical computations, with the goal of increasing our confidence on the results and rule out potential numerical artifacts.

The main challenge of the numerical evaluation of the bispectrum is that it involves integrals of highly oscillatory functions. These integrands include products of three mode functions $\varphi_k(\eta)$ [see Eq. (3.31)]. As discussed in Sec. IV A, these functions transition from being slowly evolving when $k \lesssim \sqrt{|f(\eta)|} = \sqrt{|a^2(\mathfrak{A} - \frac{R}{6})|}$, to highly oscillatory functions when $k \gg \sqrt{|f(\eta)|}$. It is the latter case that produces numerical instabilities.

However, because the main contribution to the integrals comes from times when at least one of the modes satisfies $k \lesssim \sqrt{|f(\eta)|}$, a convenient strategy to reduce numerical instabilities, and also to reduce the computation time without affecting the result, is to remove from the integration time intervals for which *all the three modes* are highly

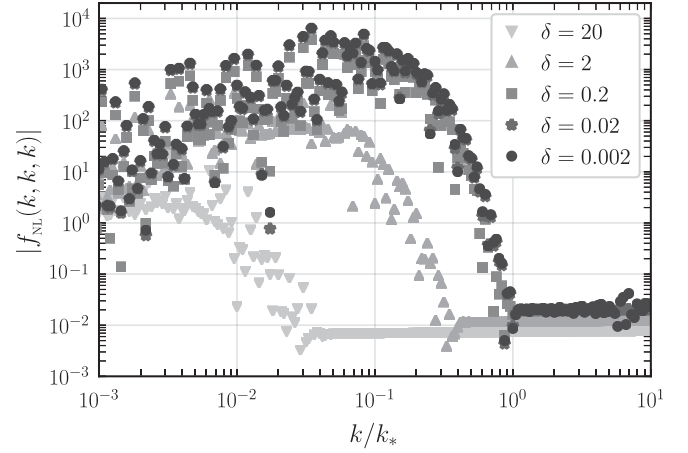


FIG. 10. $|f_{\text{NL}}(k_1, k_2, k_3)|$ at the end of inflation computed by adding a damping factor $\exp[-\delta(k_1 + k_2 + k_3)/(a\sqrt{\kappa\rho/3})]$ to the numerical integrals. The plot shows the equilateral configuration, $k_1 = k_2 = k_3$. For large values of δ ($\delta = 20, 2, 0.2$) the computation underestimate the real value of f_{NL} . For smaller values of δ , the actual value of f_{NL} is no longer suppressed, but then numerical artifacts appear for large k if δ is chosen too small, as can be seen in the plot for $\delta = 0.002$. These instabilities originate in the highly oscillatory nature of these modes. This analysis indicates that the optimal value of δ is around 0.02.

oscillatory. This can be easily done by including a damping factor to the integrand in Eq. (3.31) of the form $\exp[-\delta(k_1 + k_2 + k_3)/\sqrt{|f(\eta)|}]$, with $\delta < 1$, similar to the strategy followed in other approaches [36,46,64]. However, because the function $f(\eta)$ has a complicated behavior close to the bounce, at the practical level it is more convenient to work with a smoother damping factor of the form $\exp[-\delta(k_1 + k_2 + k_3)/(a\sqrt{\kappa\rho/3})]$. Figure 10 shows the result for $f_{\text{NL}}(k_1, k_2, k_3)$ evaluated at the end of inflation for different values of the cutoff δ . As expected, for large values of δ the integral is artificially suppressed, and the result underestimates the value of f_{NL} . On the contrary, when δ is very small, numerical instabilities appear for large wave numbers. Our analysis shows that there is an optimal value, around $\delta = 0.02$ for which the numerical calculation is fast and reliable. This is the value that we have used to produce the figures in Sec. IV B.

The second test that we perform in this section concerns the ambiguity regarding the value of π_a in LQC, discussed at the end of Sec. III B 1. There, we proposed three different strategies for evaluating π_a and the various powers of it that appear in the classical Hamiltonian for perturbations. We will now show that the results obtained for the power spectrum and non-Gaussianity are very similar in all three cases. In order to do this, we compare the power spectrum in Fig. 11, and $|f_{\text{NL}}|$ in Fig. 12, obtained by using the three proposed strategies. Although some small differences appear, they are either smaller than observational error bars or they appear for very infrared modes that cannot be observed in our Hubble patch of the Universe. Note also

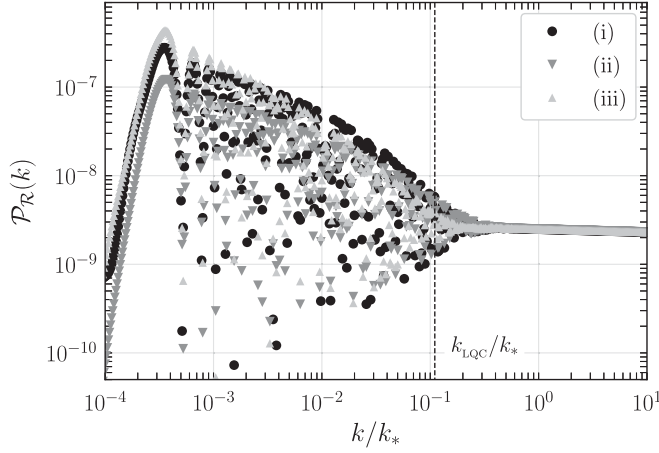


FIG. 11. The scalar power spectrum $\mathcal{P}_s(k)$ evaluated at the end of inflation for the three different strategies for evaluating π_a described at the end of III B 1. The power spectrum is very similar in the three cases, and important differences appear only for the very infrared part of the spectrum, that corresponds to wavelengths that are several orders of magnitude larger than today's Hubble radius.

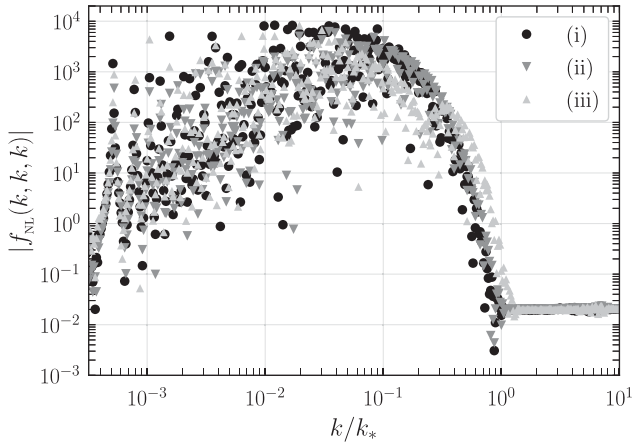


FIG. 12. $|f_{\text{NL}}(k, k, k)|$ evaluated at the end of inflation for the three different strategies for evaluating π_a described at the end of III B 1. The results are very similar in all three cases, and the differences between them are small compared to current observational error bars.

that the freedom that we have in changing the free parameters of the theory, and that we have explored in previous sections, make these differences even less relevant, since, as we saw, a small change in the value of some of these parameters would compensate the effects in the power spectrum and non-Gaussianity.

V. ANALYTICAL UNDERSTANDING OF THE EVOLUTION OF NON-GAUSSIANITY ACROSS THE BOUNCE

A characteristic feature of the non-Gaussianity produced by the LQC bounce is an enhancement of f_{NL} for wave

numbers comparable to the scale k_{LQC} set by the bounce. The goal of this section is to complement the previous numerical analysis with an analytical understating of the origin of this feature. By doing so we will, on the one hand, increase our confidence on the numerical results and, on the other, understand better the physical origin of such behavior.

We will use standard techniques from asymptotic analysis of integrals to find approximate expressions for the way the amplitude of f_{NL} behaves. Although our arguments are quite simple, the result captures the physics of the problem remarkably well, both qualitatively and quantitatively.

First of all, we want to isolate the contribution to f_{NL} that comes exclusively from the bounce. For this, we go back to the definition of f_{NL} in Sec. III B 2 and find that this contribution is given by

$$\begin{aligned} I(k_1, k_2, k_3) = & \int_{-\Delta\eta}^{\Delta\eta} d\eta (f_1(\eta) \varphi_{k_1}^*(\eta) \varphi_{k_2}^*(\eta) \varphi_{k_3}^*(\eta) \\ & + f_2(\eta) \varphi_{k_1}^*(\eta) \varphi_{k_2}^*(\eta) \varphi_{k_3}'(\eta) \\ & + f_3(\eta) \varphi_{k_1}(\eta) \varphi_{k_2}'(\eta) \varphi_{k_3}'(\eta) \\ & + (\vec{k}_1 \leftrightarrow \vec{k}_3) + (\vec{k}_2 \leftrightarrow \vec{k}_3)), \end{aligned} \quad (5.1)$$

where $f_1(\eta)$, $f_2(\eta)$ and $f_3(\eta)$ are background functions, given in Appendix B. We use $\Delta\eta = 1000T_{P\ell}$ (recall, the bounce happens at $\eta = 0$). For $k \gtrsim k_{\text{LQC}}$ the mode function can be approximated by $\varphi_k \sim e^{-ik\eta}$. With this we have

$$\begin{aligned} I(k_1, k_2, k_3) \sim & \int_{-\Delta\eta}^{\Delta\eta} d\eta g(\eta) e^{i(k_1+k_2+k_3)\eta} \\ \approx & \int_{-\infty}^{\infty} d\eta g(\eta) e^{ik_t\eta} W(\eta, \Delta\eta), \end{aligned} \quad (5.2)$$

where $k_t \equiv k_1 + k_2 + k_3$; $g(\eta)$ is a combination of the functions f_i 's in (5.1); and $W(\eta, \Delta\eta)$ is a window function that is equal to zero for $|\eta| > \Delta\eta$, equal to one for $|\eta| < \Delta\eta$, and smoothly interpolates between both values. The function $W(\eta, \Delta\eta)$ allows us to extend the integration limits to $-\infty$ and $+\infty$, without modifying the value of the integral, and its concrete form will be unimportant for our purposes.

Now, Cauchy's integral theorem tells us that the right-hand side of (5.2) is equal to $2\pi i$ times the sum of the residues of the poles of $g(\eta)$ with *positive imaginary part*. The real part of each pole contributes to the oscillatory behavior of the integral as a function of k_t , while the imaginary part adds an exponentially decreasing factor. Hence, the asymptotic behavior of the amplitude of the integral I as a function of k_t is given by *the pole of $g(\eta)$ with the largest imaginary part*.

To find this pole, it is sufficient to realize that, out of the four background functions $a(\eta)$, $\pi_a(\eta)$, $\phi(\eta)$, and $p_\phi(\eta)$ that appear in $g(\eta)$, the scale factor is the only one having a *minimum* at the bounce. From this, we know that the pole

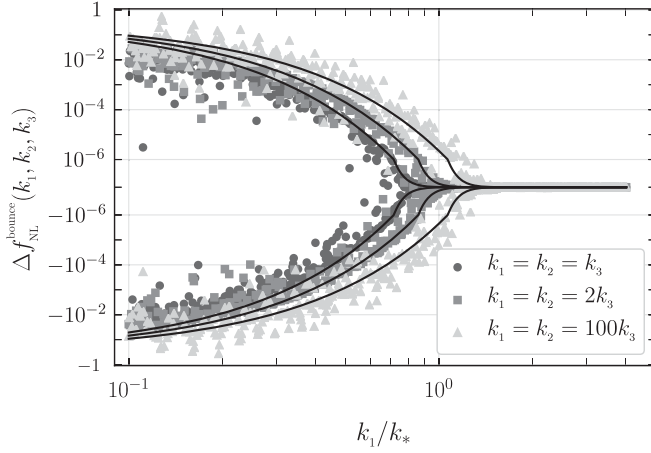


FIG. 13. Comparison of the numerically computed contribution from the bounce to f_{NL} (gray points), called $\Delta f_{\text{NL}}^{\text{bounce}}$ in the figure, and the analytical approximation $e^{-\alpha(k_1+k_2+k_3)/k_{\text{LQC}}}$ (black line). The comparison is made for three different configurations of wave numbers. The agreement is very good for all of them. $\Delta f_{\text{NL}}^{\text{bounce}}$ is defined as the value of f_{NL} given only by the first term in Eq. (3.34), and evaluating the integral in (3.31) just before the onset of inflation.

we are looking for comes from factors $\frac{1}{a^n(\eta)}$ contained in $g(\eta)$. To compute this pole, we use an analytical approximation for the scale factor, valid close to the bounce, that in cosmic time reads (see, e.g., [67])

$$a(t) = a_B(1 + 3\kappa\rho_B t^2)^{1/6}, \quad (5.3)$$

where we have chosen the bounce to take place at $t = 0$. The pole of $a(t)^{-1}$ is at $t_p = i/\sqrt{3\kappa\rho_B}$ and, in conformal time, at¹⁷

$$\eta_p = i\sqrt{\pi/3} \frac{\Gamma[5/6]}{2\Gamma[4/3]} \frac{1}{a_B\sqrt{\kappa\rho_B}} = i \frac{\alpha}{k_{\text{LQC}}}, \quad (5.4)$$

where $\Gamma[x]$ is the Gamma function, $\alpha \simeq 0.64677$, and we have used $k_{\text{LQC}} = a_B\sqrt{\kappa\rho_B}$. Therefore, this argument tells us that the bounce produces a contribution to $f_{\text{NL}}(k_1, k_2, k_3)$ whose amplitude changes with k_i according to $e^{-\alpha(k_1+k_2+k_3)/k_{\text{LQC}}}$, when $(k_1 + k_2 + k_3) \gtrsim k_{\text{LQC}}$. In Fig. 13 we compare this analytical approximation with the numerical result, for three different configurations finding a good agreement.

To summarize, the analysis of this section confirms that the scale dependent enhancement of f_{NL} originates from the bounce, and it is the scale k_{LQC} that dictates how pronounced this enhancement is. Furthermore, since it is only the complex pole of the scale factor at the bounce that

¹⁷The relation between t and η close to the bounce can be written in terms of a hypergeometric function as $\eta = \int_0^t a(t')^{-1} dt' = ta_B^{-1} {}_2F_1[\frac{1}{6}, \frac{3}{2}, -3\kappa\rho_B t^2]$.

accounts for the main features of f_{NL} , it is expected that bounces in other cosmological models different from LQC will produce similar non-Gaussianity.

VI. STABILITY OF PERTURBATION THEORY

We found that a cosmic bounce taking place close to the Planck scale produces large values of f_{NL} , of order 10^3 . This result is in agreement with the extended intuition that, near the Planck regime, self-interactions of scalar perturbations with purely gravitational origin—i.e., described by terms in the third order interaction Hamiltonian (2.23) that are independent of the potential $V(\phi)$ —become strong. This large value of f_{NL} raises concerns about the validity of the perturbative expansion, on which the entire analysis rests.

To evaluate the validity of the perturbative series, we need to compute the corrections that f_{NL} (the three-point functions) introduces in the power spectrum (the two-point function). If this correction is similar or larger than the leading order contribution, then the perturbative expansion would break down. As we show in this section, this is not the case.

The two-point function of comoving curvature perturbations at the end of inflation at next-to-leading order, is obtained from the correlation function of $\delta\phi$ by keeping the first correction arising from (3.27). We get

$$\begin{aligned} \langle 0 | \hat{\mathcal{R}}_{\vec{k}_1} \hat{\mathcal{R}}_{\vec{k}_2} | 0 \rangle &= \left(-\frac{a}{z} \right)^2 \langle 0 | \delta\phi_{\vec{k}_1} \delta\phi_{\vec{k}_2} | 0 \rangle \\ &+ 2 \left(-\frac{a}{z} \right)^3 \left[-\frac{3}{2} + 3 \frac{V_\phi a^5}{\kappa p_\phi \pi_a} + \frac{\kappa z^2}{4a^2} \right] \\ &\times \int \frac{d^3 p}{(2\pi)^3} \langle 0 | \delta\phi_{\vec{k}_1} \delta\phi_{\vec{p}} \delta\phi_{\vec{k}_2 - \vec{p}} | 0 \rangle \\ &+ \left(-\frac{z}{a} \right)^4 \left[-\frac{3}{2} + 3 \frac{V_\phi a^5}{\kappa p_\phi \pi_a} + \frac{\kappa z^2}{4a^2} \right]^2 \\ &\times \int \frac{d^3 p}{(2\pi)^3} \frac{d^3 q}{(2\pi)^3} \langle 0 | \delta\phi_{\vec{p}} \delta\phi_{\vec{k}_1 - \vec{p}} \delta\phi_{\vec{q}} \delta\phi_{\vec{k}_2 - \vec{q}} | 0 \rangle \\ &+ \dots \end{aligned} \quad (6.1)$$

The power spectrum computed in previous sections was obtained by considering only the first line of this equation and, additionally, by ignoring corrections from the interaction Hamiltonian when computing it. Now, we go to the next order in perturbations.

For the two-point function in the first line of (6.1), we have

$$\begin{aligned} \langle 0 | \delta\phi_{\vec{k}_1} \delta\phi_{\vec{k}_2} | 0 \rangle &= \langle 0 | \delta\phi_{\vec{k}_1}^I \delta\phi_{\vec{k}_2}^I | 0 \rangle - i/\hbar \\ &\times \int_{\eta_0}^{\eta} d\eta' \langle 0 | [\delta\phi_{\vec{k}_1}^I \delta\phi_{\vec{k}_2}^I, \hat{\mathcal{H}}_{\text{int}}^I(\eta')] | 0 \rangle \\ &+ \mathcal{O}(\mathcal{H}_{\text{int}}^2). \end{aligned} \quad (6.2)$$

The first term in the right-hand side was the one computed in Eq. (3.21). The second term in the right-hand side vanishes,

since it involves expectation values of an odd number of fields in the interaction picture, which are Gaussian. Therefore, there is no correction linear in the third order Hamiltonian to this term. Hence, the leading order correction to the two-point function comes from the second and third line of (6.1).

The three-point function in the second line contributes with terms linear in the third order Hamiltonian. In contrast, the leading order term in the four-point function is independent of the interaction Hamiltonian. By using (3.33) and the definition of the bispectrum of $\delta\phi$ given in (3.30), we obtain the first perturbative correction to the power spectrum,

$$\langle 0 | \hat{\mathcal{R}}_{\vec{k}_1} \hat{\mathcal{R}}_{\vec{k}_2} | 0 \rangle = (2\pi)^3 \delta^{(3)}(\vec{k}_1 + \vec{k}_2) \frac{2\pi^2}{k_1^3} [\mathcal{P}_{\mathcal{R}}(k_1) + \Delta\mathcal{P}_{\mathcal{R}}(k_1)], \quad (6.3)$$

where

$$\begin{aligned} \Delta\mathcal{P}_{\mathcal{R}}(k_1) = & \frac{k_1^3}{\pi^2} \left[\left(-\frac{a}{z} \right)^3 \left[-\frac{3}{2} + 3 \frac{V_\phi a^5}{\kappa p_\phi \pi_a} + \frac{\kappa z^2}{4 a^2} \right] \right. \\ & \times \int \frac{d^3 p}{(2\pi)^3} B_{\delta\phi}(\vec{k}_1, \vec{p}, -\vec{k}_1 - \vec{p}) \\ & + \hbar \left(-\frac{a}{z} \right)^4 \left[-\frac{3}{2} + 3 \frac{V_\phi a^5}{\kappa p_\phi \pi_a} + \frac{\kappa z^2}{4 a^2} \right]^2 \\ & \left. \times \int \frac{d^3 p}{(2\pi)^3} |\varphi_p|^2 |\varphi_{\vec{k}_1 - \vec{p}}|^2 \right], \quad (6.4) \end{aligned}$$

where all quantities are evaluated at the end of inflation. Note from this expression that the next-to-leading order correction to the power spectrum for a wave number k_1 , gets contributions from other wave numbers, as a result of the correlations arising from the three-point function.

An order of magnitude estimate of (6.4) can be obtained as follows. In the first line, the background function between square brackets is of order ϵ (ϵ symbolizes here any of the slow-roll parameters). The bispectrum $B_{\delta\phi}$, is of order $f_{\text{NL}} \mathcal{P}_{\mathcal{R}}^2$, and, therefore, the term made of the first two lines of (6.4) is of order $\epsilon f_{\text{NL}} \mathcal{P}_{\mathcal{R}}^2$ (ϵ symbolizes a slow-roll parameter). Similarly, the third and fourth lines of (6.4) is of order $\epsilon^2 \mathcal{P}_{\mathcal{R}}^2$. Since $f_{\text{NL}} \lesssim 10^4$, and $\epsilon \sim 10^{-2}$, the first two lines of (6.4) is much larger than the third and fourth ones. Then, we expect $\Delta\mathcal{P}_{\mathcal{R}}/\mathcal{P}_{\mathcal{R}} \sim \epsilon f_{\text{NL}} \mathcal{P}_{\mathcal{R}} \lesssim 10^{-4}$.

We have numerically evaluated expression (6.4), and the results appear in Fig. 14. The figure shows that $\Delta\mathcal{P}_{\mathcal{R}}/\mathcal{P}_{\mathcal{R}}$ is smaller than 10^{-4} , confirming that the next to leading order corrections to the power spectrum are indeed negligible. Therefore, we find that although f_{NL} experiences an enhancement of several orders of magnitude, the perturbative expansion remains valid. The reason is found in the smallness of the leading order power spectrum $\mathcal{P}_{\mathcal{R}}(k) \lesssim 10^{-7}$. From the expressions above, we see that the leading order correction contains, in addition to f_{NL} , an additional power of $\mathcal{P}_{\mathcal{R}}(k)$. The smallness of $\mathcal{P}_{\mathcal{R}}$

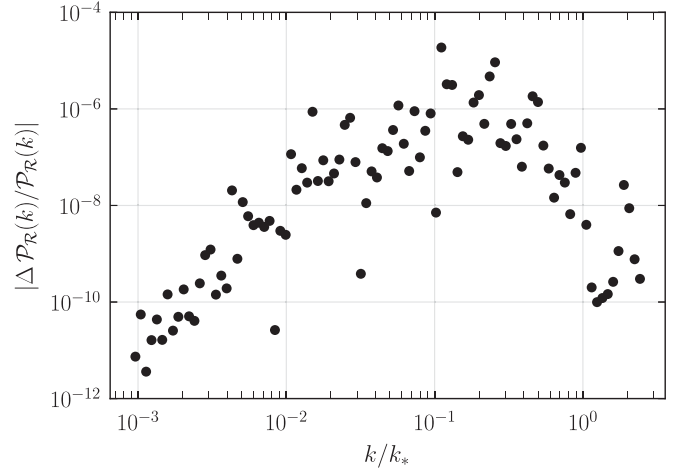


FIG. 14. Plot of the relative size of the first order correction to the power spectrum, $|\Delta\mathcal{P}_{\mathcal{R}}/\mathcal{P}_{\mathcal{R}}|$. The plot shows the numerically computed value as a function of the wave numbers k . The result shows that, indeed, $|\Delta\mathcal{P}_{\mathcal{R}}/\mathcal{P}_{\mathcal{R}}| \ll 1$, confirming that we are well inside the perturbative regime. This plot is obtained by using the same values for the free parameters as in Sec. IV B, and the conclusions remain unchanged for other choices.

compensated for the enhancement of f_{NL} . Higher order corrections contain even higher powers of $\mathcal{P}_{\mathcal{R}}(k)$. In this sense, one can intuitively think about $\mathcal{P}_{\mathcal{R}}(k)$ as the small “parameter” in terms of which the perturbative expansion is defined.

VII. DISCUSSION AND CONCLUSIONS

The goal of this section is to provide a summary of the main results of this paper, contrast them with observational data, and discuss the main consequences. The main take-home messages from our analysis are the following:

- (1) The evolution of scalar perturbations across the LQC bounce, starting from an adiabatic vacuum state before the bounce when all the Fourier modes of interest have wavelengths much smaller than the (spacetime) curvature radius, produces a state that at the onset of inflation is both excited and non-Gaussian, relative to the Bunch-Davies vacuum. In other words, both the two- and three-point correlation functions of scalar perturbations deviate significantly from their Bunch-Davies counterparts at the onset of inflation. Consequently, the predictions for the primordial power spectrum and non-Gaussianity are modified as a result of the preinflationary evolution. (See Secs. III and IV.)
- (2) The bounce of LQC produces a strong enhancement of the non-Gaussianity as compared to that generated by inflation alone, producing values for the function $f_{\text{NL}}(k_1, k_2, k_3)$ as large as 10^4 for some wave numbers and for some choices of the free parameters of the model. Recall that inflation alone produces f_{NL} of order of 10^{-2} . (See Sec. IV.)

- (3) The large enhancement of non-Gaussianity raises concerns about the validity of perturbation theory. We have computed higher order contributions to correlation functions and found that they are small compared to the leading order result. Hence, perturbation theory remains a valid tool to compute the primordial power spectrum and bispectrum of cosmological perturbations in LQC. (See Sec. VI.)
- (4) The non-Gaussianity produced by the LQC bounce is *strongly scale dependent*. The bounce introduces a new scale, determined by the Ricci spacetime curvature scalar at the bounce, R_B . For perturbations, this new scale can be written as $k_{\text{LQC}} \equiv a_B \sqrt{R_B/6}$ —or, equivalently, in terms of the energy density at the bounce, ρ_B , as $k_{\text{LQC}} \equiv a_B \sqrt{\kappa \rho_B}$. Fourier modes with comoving wave numbers $k \gg k_{\text{LQC}}$ are not affected by the bounce, and their primordial non-Gaussianity originate entirely from the inflationary phase and are small. On the contrary, for Fourier modes that are infrared enough to “feel” the bounce, i.e., $k \lesssim k_{\text{LQC}}$, the bounce contributes significantly to their non-Gaussianity. We have provided an analytical argument to understand the enhancement observed in our numerical computations, and concluded that it is given by $|f_{\text{NL}}(k_1, k_2, k_3)| \propto e^{-\alpha(k_1+k_2+k_3)/k_{\text{LQC}}}$, with $\alpha \approx 0.65$. (See Sec. V.)
- (5) The non-Gaussianity generated by the LQC bounce has a very particular “shape”, discussed in Sec. IV B, that can be used to differentiate the results for LQC from other models of the early Universe. Namely, in addition to the scale-dependence mentioned above, $f_{\text{NL}}(k_1, k_2, k_3)$ peaks in the flattened-squeezed configurations. (See Sec. IV B.)
- (6) The function $f_{\text{NL}}(k_1, k_2, k_3)$ is *highly oscillatory* with respect to the wave numbers k_1, k_2, k_3 .
- (7) Non-Gaussianity is *more sensitive* to the bounce than the power spectrum. For both the power spectrum and non-Gaussianity, the relative size of the modifications that the bounce introduces decreases for large wave numbers k and becomes negligible for $k \gg k_{\text{LQC}}$. However, the effects on the power spectrum disappear “faster” than on f_{NL} , when we move towards larger k . As a consequence, there is an interval of wave numbers, given approximately by $k \in (2k_{\text{LQC}}, 10k_{\text{LQC}})$ for which the modifications in the power spectrum are already negligible, but they are still important in non-Gaussianity.
- (8) Impact of different choices of the free parameters in the model.
 - (a) A change in the value of the scalar field at the bounce ϕ_B increases the number of e -folds of expansion between the bounce and the beginning of inflation, and this produces a *shift* of the function $f_{\text{NL}}(k_1, k_2, k_3)$ relative to the interval of wave numbers that we can directly observe in

the CMB. Increasing ϕ_B produces a shift of $f_{\text{NL}}(k_1, k_2, k_3)$ towards infrared scales with respect to the observable window. This effect was known to happen for the power spectrum (see, e.g., [42]), and we have shown that it also occurs for non-Gaussianity. (See Sec. IV C.)

- (b) A change in the value of the energy density of the scalar field at the bounce, ρ_B , produces also a *shift* on the function $f_{\text{NL}}(k_1, k_2, k_3)$, *together with a change in its amplitude*. For the power spectrum, the effects of changing ϕ_B and ρ_B compensate each other (except for extreme infrared scales), and therefore their consequences cannot be individually distinguished. This degeneracy is broken for the bispectrum. (See Sec. IV D.)
- (c) The contribution from the bounce to $f_{\text{NL}}(k_1, k_2, k_3)$ is largely insensitive to the choice of the scalar field’s potential. We have checked this by comparing the result for $f_{\text{NL}}(k_1, k_2, k_3)$ obtained with two commonly used potentials: the quadratic and the Starobinsky potential. (See Sec. IV E.)
- (d) The predictions for $f_{\text{NL}}(k_1, k_2, k_3)$ are unchanged for several different choices of initial quantum vacuum states for scalar perturbations, provided this initial state is specified at a time well before the bounce, when all modes of interest are in the adiabatic regime (see Sec. IV F). On the contrary, we find that the result for $f_{\text{NL}}(k_1, k_2, k_3)$ is sensitive to the choice of initial data for perturbations *if they are specified at or close to the bounce*. This does not happen for the power spectrum and shows again that non-Gaussianity is more sensitive to the physics of the bounce than the power spectrum. (See Sec. IV F.)

Finally, we discuss the observational perspective of our analysis in regard of the current and forthcoming constraints on primordial non-Gaussianity.

The Planck Collaboration reported results on their search for non-Gaussianity in the CMB in [35]. They were unable to confirm any primordial non-Gaussianity and provided tight constraint on different models of the early Universe. These constraints are rather strong for models producing *scale-invariant*¹⁸ non-Gaussianity. They found $f_{\text{NL}}^{\text{local}} = 0.8 \pm 5.0$ for the local template, $f_{\text{NL}}^{\text{equil}} = -16 \pm 70$ for the equilateral template, and $f_{\text{NL}}^{\text{ortho}} = -34 \pm 33$ for the orthogonal one, at 68% confidence level [35]. These results provide little information about models with scale-dependent non-Gaussianity, especially on large angular scales. In those cases the comparison with observational data must be done individually for each model. Recall that due to the

¹⁸These are models for which $f_{\text{NL}}(k_1, k_2, k_3)$ does not change when the three wave numbers are simultaneously rescaled.

sampling variance observational error bars at low multipole scale approximately as $1/\sqrt{\ell}$, where ℓ is the angular multipole. Planck observational error bars are smaller for large multipoles, attaining uncertainties $\Delta f_{\text{NL}} \approx \pm 10$ for $\ell \gtrsim 1000$. If f_{NL} is assumed to be scale-invariant, then the precision at large multipoles suffices to constrain f_{NL} with great accuracy at all scales. The situation is different for scale-dependent f_{NL} , as the one we obtained. Nevertheless, we can still find estimates for the constraints that Planck data implies for the parameters of our model. We found that f_{NL} is of order 10^{-2} for large wave numbers, and then it increases for small wave numbers, reaching values of order 10^3 . In order to respect observational constraints, the enhancement of f_{NL} may only occur for the largest scales probed by the CMB data, for which error bars are large. It is important to emphasize that, *the non-Gaussianity generated by the bounce has a shape that allows having large non-Gaussianity at low multipole, while being consistent with observational constraints at large multipoles of the CMB.*

Taking a conservative viewpoint, we require that the non-Gaussianity generated by the bounce shall only appear for multipoles $\ell \lesssim 50$ (which corresponds to $k \lesssim 2k_*$, for $k_* = 0.002 \text{ Mpc}^{-1}$). Recall that the values of ϕ_B and ρ_B control the scales at which the effects from the bounce would manifest themselves in the CMB. Therefore, observational constraints on non-Gaussianity translate into a restriction for the permissible values of ϕ_B and ρ_B ; see Table 1.

As mentioned earlier, the enhancement that the bounce produces in the power spectrum appears for smaller wave numbers than the enhancement in non-Gaussianity. This implies that if ϕ_B is chosen to be equal or larger than $\phi_B(\text{min})$, in such a way that the LQC-effects on non-Gaussianity appear only for $\ell \lesssim 50$, then the LQC-effects

in the power spectrum would appear only for the first few multipoles $\ell \lesssim 5$ and would be difficult to observe.

However, one should keep in mind this analysis corresponds to the most conservative application of observational constraints. It is likely that the oscillatory character of the non-Gaussianity found in this paper may partially attenuate some of its effects in the CMB, and such attenuation would relax the restrictions on ϕ_B . For this reason, the numbers given above, and the conclusions extracted from them, are meant to be taken as “worse-case scenario”, rather than a strict constraint.

Regarding observational consequences of the non-Gaussianity generated by the bounce, we point out two possibilities. On the one hand, although the CMB has been the main source of information about primordial perturbations, the large scale structure will take this role in the near future [86]. The characteristic shape of the non-Gaussianity produced by a bounce obtained in this paper could then be used as the smoking gun to contrast our findings with future observations of the large scale structure.

On the other hand, even though error bars for non-Gaussianity in CMB observations are too large to directly observe the predictions obtained in this paper, it was recently emphasized in [39,87] that this non-Gaussianity can modify the power spectrum at low multipoles, via higher order effects known as non-Gaussian modulation of the power spectrum. A detailed analysis shows that these effects can be large enough to be observable for multipoles $\ell \lesssim 30$ in the power spectrum, and that they are expected to produce effects very similar to the anomalous features that the Planck and WMAP missions have observed at low angular multipoles in the CMB, and that remain unexplained at the present time (see [88,89] for a detailed account of the observational aspects of these anomalies, and their statistical significance). The possibility that these features could originate from a bounce that takes place before inflation, as the one predicted by LQC, is exciting, and the quantitative details are worth to be explored.

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TABLE I. In this table $\phi_B(\text{min})$ represents the minimum value of ϕ_B for different values of ρ_B obtained from a conservative application of observable constraints on non-Gaussianity. On the other hand, $\phi_B(\text{max})$ is the maximum value of ϕ_B for which the enhancement of non-Gaussianity produced by the bounce appears in observable scales. We emphasize that values of ϕ_B larger than $\phi_B(\text{max})$ are allowed, but for them the bounce does not produce any direct effect in the CMB, neither in the power spectrum nor in non-Gaussianity, and hence the results agree with those obtained from standard inflation. The numbers in this table are obtained by using the quadratic potential with the mass parameter fixed by the Planck normalization, $m = 6.4 \times 10^{-6} M_{\text{Pl}}$.

ρ_B	$\phi_B(\text{min})$	$\phi_B(\text{max})$
$0.2M_{\text{Pl}}^4$	$8.05M_{\text{Pl}}^4$	$8.41M_{\text{Pl}}^4$
$0.5M_{\text{Pl}}^4$	$7.70M_{\text{Pl}}^4$	$8.08M_{\text{Pl}}^4$
$1M_{\text{Pl}}^4$	$7.46M_{\text{Pl}}^4$	$7.82M_{\text{Pl}}^4$
$2M_{\text{Pl}}^4$	$7.19M_{\text{Pl}}^4$	$7.58M_{\text{Pl}}^4$
$5M_{\text{Pl}}^4$	$6.88M_{\text{Pl}}^4$	$7.24M_{\text{Pl}}^4$

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APPENDIX A: EXPLICIT FORM OF THE CONSTRAINTS UP TO THIRD ORDER

In this appendix we write the explicit form of the scalar and vector constraints of general relativity, written in Eqs. (2.2), around a FLRW background, up to third order in perturbations. For simplicity, we only show terms involving scalar perturbations, and after gauge fixing $\gamma_1 = \gamma_2 = 0$. These expressions have been used in Sec. II to derive the second and third order Hamiltonians for scalar perturbations.

$$\begin{aligned}
 \mathbb{S}^{(0)} &= -\frac{\kappa\pi_a^2}{12a} + \frac{p_\phi^2}{2a^3} + a^3 V(\phi) = 0. \\
 \mathbb{V}_i^{(0)} &= 0. \\
 \mathbb{S}^{(1)}(\vec{x}) &= \frac{p_\phi}{a^3} \delta p_\phi(\vec{x}) - \frac{\kappa\pi_a}{\sqrt{3}a^2} \pi_1(\vec{x}) + a^3 V_\phi \delta\phi(\vec{x}). \\
 \mathbb{V}_i^{(1)}(\vec{x}) &= p_\phi \partial_i \delta\phi(\vec{x}) - \frac{2}{\sqrt{3}} \partial_i \pi_1(\vec{x}) - 2\sqrt{\frac{2}{3}} \partial_i \pi_2(\vec{x}). \\
 \mathbb{S}^{(2)}(\vec{x}) &= \frac{1}{2a^3} \delta p_\phi^2(\vec{x}) - \frac{\kappa}{a^3} \pi_1^2(\vec{x}) - \frac{\kappa}{a^3} \pi_2^2(\vec{x}) \\
 &\quad + \frac{1}{2} a^3 \partial_i \delta\phi(\vec{x}) \partial^i \delta\phi(\vec{x}) \\
 &\quad + \frac{3\kappa \partial_i \partial_j \partial^{-2} \pi_2(\vec{x}) \partial^i \partial^j \partial^{-2} \pi_2(\vec{x})}{a^3} + \frac{a^3 V_{\phi\phi}}{2} \delta\phi^2(\vec{x}). \\
 \mathbb{V}_i^{(2)}(\vec{x}) &= \delta p_\phi(\vec{x}) \partial_i \delta\phi(\vec{x}). \\
 \mathbb{S}^{(3)}(\vec{x}) &= \frac{a^3}{6} V_{\phi\phi\phi} \delta\phi^3(\vec{x}). \tag{A1}
 \end{aligned}$$

In these expressions, the subscripts ϕ in the potential $V(\phi)$ indicate derivative with respect to ϕ . The third order vector constraint $\mathbb{V}_i^{(3)}(\vec{x})$ appears in the Hamiltonian

multiplied by δN^i , which itself is linear in perturbations. Therefore, $\mathbb{V}_i^{(3)}(\vec{x})$ does not contribute to the third order Hamiltonian in the spatially flat gauge.

APPENDIX B: EXPLICIT EXPRESSIONS FOR $f_1(\eta)$, $f_2(\eta)$, and $f_3(\eta)$

Expressions of the functions $f_1(\eta)$, $f_2(\eta)$, and $f_3(\eta)$ appearing in expression (3.31), Sec. III B 2,

$$\begin{aligned}
 f_1(\eta) &= a \left[2 \left(\frac{243 p_\phi^7}{2\kappa a^8 \pi_a^5} - \frac{81 p_\phi^5}{2a^6 \pi_a^3} + \frac{27\kappa p_\phi^3}{8a^4 \pi_a} + \frac{81 p_\phi^4 V_\phi}{\kappa a \pi_a^4} \right. \right. \\
 &\quad \left. \left. - \frac{27a p_\phi^2 V_\phi}{2\pi_a^2} + \frac{27a^6 p_\phi V_\phi^2}{2\kappa \pi_a^3} \right) \right. \\
 &\quad \times \left(1 - \frac{(\vec{k}_1 \cdot \vec{k}_2)^2}{k_1^2 k_2^2} \right) + \frac{3a^2 p_\phi}{\pi_a} \vec{k}_1 \cdot \vec{k}_2 + \frac{9a p_\phi^2 V_\phi}{\pi_a^2} \\
 &\quad \left. - \frac{3a^2 p_\phi V_{\phi\phi}}{\pi_a} + \frac{a^3 V_{\phi\phi\phi}}{3} \right], \tag{B1}
 \end{aligned}$$

$$\begin{aligned}
 f_2(\eta) &= a^3 \left[\left(\frac{81 p_\phi^5}{\kappa a^7 \pi_a^4} - \frac{27 p_\phi^3}{2a^5 \pi_a^2} + \frac{27 p_\phi^2 V_\phi}{\kappa \pi_a^3} \right) \right. \\
 &\quad \times \left(2 - \frac{(\vec{k}_1 \cdot \vec{k}_3)^2}{k_1^2 k_3^2} - \frac{(\vec{k}_2 \cdot \vec{k}_3)^2}{k_2^2 k_3^2} \right) - \frac{9 p_\phi^3}{a^5 \pi_a^2} \\
 &\quad + \left(\frac{-3\kappa p_\phi}{2a^3} + \frac{9 p_\phi^3}{a^5 \pi_a^2} + \frac{3a^2 V_\phi}{\pi_a} \right) \\
 &\quad \times \left(\frac{\vec{k}_1 \cdot \vec{k}_2}{k_1^2} + \frac{\vec{k}_1 \cdot \vec{k}_2}{k_2^2} \right) \Big], \tag{B2}
 \end{aligned}$$

$$\begin{aligned}
 f_3(\eta) &= a^5 \left[\frac{27 p_\phi^3}{\kappa a^6 \pi_a^3} \left(1 - \frac{(\vec{k}_2 \cdot \vec{k}_3)^2}{k_2^2 k_3^2} \right) - \frac{3 p_\phi}{a^4 \pi_a} \right. \\
 &\quad \left. + \frac{3 p_\phi}{a^4 \pi_a} \left(\frac{\vec{k}_1 \cdot \vec{k}_3}{k_3^2} + \frac{\vec{k}_1 \cdot \vec{k}_2}{k_2^2} \right) \right]. \tag{B3}
 \end{aligned}$$

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