

Effects of sterile neutrinos and an extra dimension on big bang nucleosynthesis

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By assuming the existence of extra-dimensional sterile neutrinos in the big bang nucleosynthesis (BBN) epoch, we investigate the sterile neutrino (ν_s) effects on the BBN and constrain some parameters associated with the ν_s properties. First, for the cosmic expansion rate, we take into account effects of a five-dimensional bulk and intrinsic tension of the brane embedded in the bulk and constrain a key parameter of the extra dimension by using the observational element abundances. Second, effects of the ν_s traveling on or off the brane are considered. In this model, the effective mixing angle between a ν_s and an active neutrino depends on energy, which may give rise to a resonance effect on the mixing angle. Consequently, the reaction rate of the ν_s can be drastically changed during the cosmic evolution. We estimated abundances and temperature of the ν_s by solving the rate equation as a function of temperature until the sterile neutrino decoupling. We then find that the relic abundance of the ν_s is drastically enhanced by the extra dimension and maximized for a characteristic resonance energy $E_{\text{res}} \gtrsim 0.01$ GeV. Finally, some constraints related to the ν_s , i.e., mixing angle and mass difference, are discussed in detail with the comparison of our BBN calculations corrected by the extra-dimensional ν_s to observational data on light element abundances.

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I. INTRODUCTION

Over the past few decades, a considerable number of studies have been conducted on the neutrino oscillation with great success in measuring neutrino mixing angles. But some experiments for the neutrino oscillation more or less revealed disagreements with the three-flavor neutrino model, which are termed the neutrino anomalies, as reported by LSND [1], MiniBoone [2], reactor experiments [3], and gallium experiments [4]. One of the approaches for explaining the neutrino anomalies is to presume the existence of the hypothetical fourth neutrino, which is called the sterile neutrino, because it does not interact with other particles except through a mixing with active neutrinos.

Very recently, the IceCube experiment reported a new constrained region for the parameter space of the mixing angle and the mass-squared differences for the 1 eV mass scale sterile neutrino [5], in which the parameter space by previous LSND and MiniBoone data is largely excluded. But if we recollect that the 1 keV cosmological sterile neutrino is still under discussion for a dark matter candidate and the relic neutrino search is being considered, it would be an

interesting discussion to consider effects of the sterile neutrino in the big bang nucleosynthesis (BBN) epoch and deduce related parameters from the observational data with comparison to the IceCube experimental data analysis.

Among many scenarios of the sterile neutrino, Päs *et al.* [6] assumed that the sterile neutrino is a gauge-singlet particle and can travel on or off our 3 + 1-dimensional brane embedded in a large extra-dimension bulk similarly to the graviton in the braneworld cosmology. According to the cosmology, ordinary matter fields are confined to a three-dimensional space in the high-dimensional bulk. Originally, the braneworld cosmology was suggested to explain the hierarchy problem, the large scale difference between the standard model force and the gravity [7,8]. Randall and Sundrum suggested a new solution of the hierarchy problem by introducing noncompact extra dimensions [9,10]. Reference [6] suggested a model in which a sterile neutrino can propagate in the bulk and brane similarly to the graviton. They derived a new formula of resonant active-sterile neutrino oscillation and found an allowed region of the resonance energy from the comparison to available experimental data.

If the production rate of this kind of sterile neutrino is always smaller than the cosmic expansion rate, the abundance of the sterile neutrino never reaches the equilibrium value. The effect of the sterile neutrino on BBN is then completely negligible. This situation has been considered recently [11], and a parameter region where the sterile

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neutrino abundance is extremely small has been searched by an analytical estimate. However, as shown in this paper, observational constraints on primordial abundances do not exclude the situation in which the sterile neutrino is abundantly produced in the thermal bath and its abundance attains the equilibrium value in the early Universe. Furthermore, the observational abundance of ${}^4\text{He}$ is possibly explained by the effect of the sterile neutrino better than in the standard BBN model, as argued in this paper.

In this study, we adopt the same scheme as Ref. [6] and study effects of a sterile neutrino in an extra-dimensional universe by a numerical BBN calculation in detail. Especially, we considered not only the matter effects but also wave packet formalism to describe the oscillation between active and sterile neutrinos in the five-dimensional universe. Since the primordial element abundances can be measured with a good precision by the recent great advent of astrophysical spectroscopic observations, the BBN study turns out to be a useful test bed for deriving the cosmological constraints on nonstandard models. For example, some parameters in the modified gravity models, such as $f(R)$ and $f(G)$ gravity, were constrained in detail [12]. In addition, effects of some supersymmetric particles in the early Universe have been investigated, and parameters, i.e., the lifetime and mass, can be constrained [13].

We include effects of the extra-dimensional sterile neutrino in the BBN epoch as follows. The cosmic expansion rate is modified by the large extra dimension [14,15] as well as the energy density [16,17] of the sterile neutrino traveling on or off our $3+1$ -dimensional brane. Then, the modified Friedmann equation and the energy density of the decoupled sterile neutrino may change the primordial element abundances. Therefore, the parameters relevant to the extra dimension and the sterile neutrino can be constrained by using observational data of primordial light element abundances.

This paper is composed as follows. In Sec. II, we briefly review the active-sterile neutrino oscillation in the extra-dimension model and address how to describe the evolution for the number abundance of sterile neutrinos in the early Universe in the model. In Sec. III, results of primordial nuclear abundances by the model are presented. From the results, in Sec. IV, we discuss the constrained parameter region from the comparison of BBN calculation results to observational abundance data. Section V contains a summary and conclusions of this article. We derive the flavor-change probability of the sterile neutrino in the current extra-dimension model in Appendix A. A result of solving the Boltzmann equation for the sterile neutrino and its comparison with that of the rate equation are shown in Appendix B.

II. THEORETICAL MODEL

We presume that the Universe is five dimensional and the sterile neutrino travels on or off the five-dimensional space as in Ref. [6]. We simply consider only one sterile neutrino

and assume that sterile neutrinos interact with matter particles only via its mixing with an active neutrino. The decay of the sterile neutrino is not considered in this model.

A. Modified cosmic expansion rate from extra dimension

According to Ref. [18], the cosmic expansion rate in a five-dimensional universe is given by

$$\begin{aligned} \frac{\dot{a}_0^2}{a_0^2} &= \frac{\kappa^2}{6}\rho_B + \frac{\kappa^4}{36}\rho_b^2 + \frac{\mathcal{E}}{a_0^4} - \frac{K}{a_0^2} \\ &= \frac{\kappa^2}{6}\rho_B + \frac{\kappa^4}{36}\rho_\Lambda^2 + \frac{\kappa^4}{18}\rho_\Lambda\rho + \frac{\kappa^4}{36}\rho^2 + \frac{\mathcal{E}}{a_0^4} - \frac{K}{a_0^2}, \end{aligned} \quad (1)$$

where a_0 is the scale factor for the four-dimensional space time. ρ_B denotes the bulk energy density in the universe. The energy density of the brane, ρ_b , is given as a sum of ordinary energy density (ρ) and energy density (ρ_Λ) stemming from the intrinsic tension on the brane, $\rho_b = \rho + \rho_\Lambda$. \mathcal{E} is an integration constant. The five-dimensional analog of the gravitational constant, $G_{(5)}$, is related to the five-dimensional Planck mass $M_{(5)}$ and the constant κ , as follows:

$$\kappa^2 = 8\pi G_{(5)} = M_{(5)}^{-3}. \quad (2)$$

The last term in the right-hand side of Eq. (1) vanishes in the flat universe where the curvature constant is $K = 0$. We can choose ρ_Λ by following Refs. [9,10]:

$$\frac{\kappa^2}{6}\rho_B + \frac{\kappa^4}{36}\rho_\Lambda^2 = 0. \quad (3)$$

Then, the cosmic expansion rate of the standard cosmology is recovered for $\rho \ll \rho_\Lambda$ by the identification [19,20] of

$$8\pi G \simeq \frac{\kappa^4 \rho_\Lambda}{6}, \quad (4)$$

where G is Newton's constant. Our final expansion rate is obtained as

$$\frac{\dot{a}^2}{a^2} \simeq \frac{8\pi G}{3}\rho + \frac{\mathcal{E}}{a^4}, \quad (5)$$

where the index 0 in the scale factor on the brane a_0 has been omitted.

The first term of the right-hand side in Eq. (5) is identical with the cosmic expansion rate in the standard cosmological model. Note that only the second term comes from the effect of the extra dimension. The free parameter \mathcal{E} , which is a kind of an integration constant in the five-dimensional Einstein equation, affects the primordial abundances [14,15]. The initial temperature of our BBN calculation is $T_9 = T/(10^9\text{K}) = 100$ with T the temperature. We then take the value of the second term at $T_9 = 100$, i.e., \mathcal{E}/a_i^4 with a_i the scale factor at the initial temperature, as a parameter.

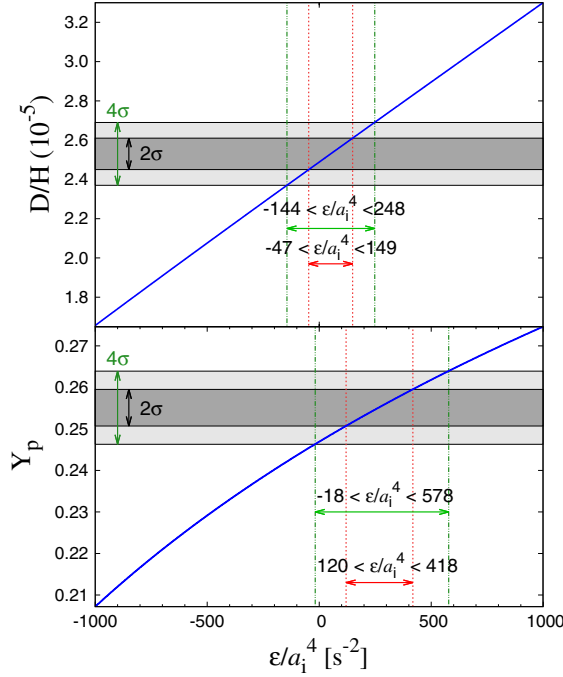


FIG. 1. Deuterium (the top panel) and ${}^4\text{He}$ (the bottom panel) abundances as a function of \mathcal{E}/a_1^4 . Shaded and dark-shaded regions are 4σ and 2σ ranges, respectively, for the observational primordial abundances. We adopt the observational value of $D/H = (2.53 \pm 0.04) \times 10^{-5}$ [21] and $Y_p = (0.2551 \pm 0.0022)$ [22]. From the observational data, the \mathcal{E} value is constrained as $120 < \mathcal{E}/a_1^4 (\text{s}^{-2}) < 149$ (2σ) and $-18 < \mathcal{E}/a_1^4 (\text{s}^{-2}) < 248$ (4σ).

Figure 1 shows calculated abundances of the deuterium (number ratio of D/H) and ${}^4\text{He}$ (the mass fraction Y_p) as a function of \mathcal{E}/a_1^4 . The abundances of D and ${}^4\text{He}$ are monotonically increasing with the increase of \mathcal{E}/a_1^4 . The standard BBN model corresponds to the case of $\mathcal{E} = 0$. In this case, the predicted deuterium abundance is within the observational 2σ limit, while the ${}^4\text{He}$ abundance is out of the 2σ limit. When the nonzero value of \mathcal{E} is considered, we find the 2σ allowed region from the both D and ${}^4\text{He}$ abundances in the region of $120 < \mathcal{E}/a_1^4 (\text{s}^{-2}) < 149$.

When the \mathcal{E} value is increased, the cosmic expansion rate is also increased. Since the cosmic time scale for a fixed temperature is shorter, the neutron-to-proton ratio at the ${}^4\text{He}$ synthesis is larger. As a result, the ${}^4\text{He}$ abundance after the BBN is larger. In the late time of BBN, the deuterium is effectively destroyed by the reactions ${}^2\text{H}(d, n){}^3\text{He}$ and ${}^2\text{H}(d, p){}^3\text{H}$. The shorter cosmic time scale leads to the earlier freeze-out of the destruction reactions. Subsequently, the larger final deuterium abundance is obtained.

B. Relic abundance of sterile neutrino

Since we include the sterile neutrino which has a finite mass m_{ν_s} , its energy density ρ_{ν_s} is added to the ordinary density in Eq. (5). The total energy density is thus changed as

$$\rho = \rho_{\text{standard}} + \rho_{\nu_s}, \quad (6)$$

where the first and the second terms indicate energy densities of standard model particles and sterile neutrinos, respectively. The value of ρ_{ν_s} is roughly evaluated as

$$\rho_{\nu_s} \simeq \begin{cases} n_{\nu_s} \langle E_{\nu_s} \rangle & (\text{for the relativistic case}) \\ n_{\nu_s} m_{\nu_s} & (\text{for the non relativistic case}). \end{cases} \quad (7)$$

Here, n_{ν_s} and $\langle E_{\nu_s} \rangle$ are the number density and the averaged energy of the sterile neutrino, respectively. The energy density is separated into relativistic and nonrelativistic cases, which depend on temperature T_{ν_s} and m_{ν_s} . Namely, for $m_{\nu_s} > \langle E_{\nu_s} \rangle \sim 3T_{\nu_s}$, the sterile neutrino is nonrelativistic. Otherwise, it is relativistic. Since the n_{ν_s} in Eq. (7) is a key quantity to determine the energy density of the sterile neutrino, we calculate the number density of the sterile neutrino in the following way.

In the hot early Universe, the sterile neutrino can stay in an equilibrium state when its production rate is large enough. However, with the decrease of temperature, the sterile neutrino is decoupled from the equilibrium state. The decoupling condition is that the reaction rate of the sterile neutrino Γ_{ν_s} becomes smaller than the cosmic expansion rate $H \equiv \dot{a}/a$. At that time, the ratio Y_{ν_s} between n_{ν_s} and the entropy density s freezes out, i.e., does not change (see Chap. 5 of Ref. [23]). To describe the Y_{ν_s} evolution, we exploit the rate equation

$$\frac{x}{Y_{\text{EQ}}} \frac{dY_{\nu_s}}{dx} = -\frac{\Gamma_{\nu_s}}{H} \left[\left(\frac{Y_{\nu_s}}{Y_{\text{EQ}}} \right)^2 - 1 \right], \quad (8)$$

where $x \equiv m_{\nu_s}/T$ and $Y_{\text{EQ}} = n_{\text{EQ}}/s$ is a ratio of the equilibrium number density to the entropy density in the comoving unit volume given in terms of temperature T ,

$$s = \frac{2\pi^2}{45} g_{*S} T^3, \quad (9)$$

where g_{*S} is defined in terms of the degrees of freedom of particle i ,

$$g_{*S} = \sum_{i=\text{boson}} g_i \left(\frac{T_i}{T} \right)^3 + \frac{7}{8} \sum_{i=\text{fermion}} g_i \left(\frac{T_i}{T} \right)^3. \quad (10)$$

We note that the temperature of the sterile neutrino is the same as that of thermal bath, i.e., $T_{\nu_s} = T$, until the decoupling of the sterile neutrino.

From the assumption that the sterile neutrino interacts with other particles via only mixing, the production rate of the sterile neutrino Γ_{ν_s} is given by a product of the probability of the flavor change of $\nu_a \leftrightarrow \nu_s$ via mixing, P_{as} , and averaged weak interaction rate $\langle \Gamma_{\text{weak}} \rangle$ [24–26],

$$\Gamma_{\nu_s} = P_{\text{as}} \langle \Gamma_{\text{weak}} \rangle. \quad (11)$$

In this study, we adopt the simplest case in which one sterile neutrino mixes with only one active neutrino [6] and assume that the tau neutrino has the mixing for simplicity. We then adopt the average weak interaction rate of ν_τ , i.e., $\langle \Gamma_{\text{weak}} \rangle \rightarrow \Gamma_\tau = 2.9 G_F^2 T^5$ [26], where G_F is the Fermi constant. We set the initial condition $Y_i = 0$ in Eq. (8). Because the reaction rate of sterile neutrino depends on parameters, the sterile neutrino does not always stay in equilibrium at the initial time within all parameter space. This is in contrast to active neutrinos that are consistently in equilibrium well before BBN. The distribution function of the sterile neutrino is then not always the equilibrium function. For large reaction rates relative to the cosmic expansion rate, the equilibrium abundance is realized quickly, while for small reaction rates, the abundance remains much smaller than Y_{EQ} . This is the reason why we assume that the initial abundance of the sterile neutrino is equal to zero.

In addition, in Eq. (8), we neglect the effect of an extra dimension on the cosmic expansion rate. As shown in Sec. II A, observations of light element abundances strongly constrain the value of \mathcal{E}/α_i^4 . The cosmic expansion rate in the early epoch until the sterile neutrino decoupling is therefore not allowed to deviate significantly from that in the standard model. The rate equation is then not affected significantly.

C. Modified flavor-change probability

In solving the rate equation, the flavor-change probability in Eq. (11) should account for the extra-dimensional and matter effects. Since the trajectories of sterile neutrinos in the bulk and active neutrinos on the brane are different, their flavor-change probability is different from that in free space [6]. In addition, the neutrino oscillation is affected by the matter effect. These two effects can be treated similarly to the effective potential in the Mikheev-Smirnov-Wolfenstein physics [27,28]. When the matter effect [24,29,30] and difference of geodesic are included, the effective mixing angle is derived as

$$\sin^2 2\tilde{\theta} = \frac{\sin^2 2\theta}{Q_\alpha^2(\theta, \delta m^2, E_{\text{res}}; T, E)}, \quad (12)$$

where we defined a parameter Q_α for the modification of the mixing angle given by

$$Q_\alpha(\theta, \delta m^2, E_{\text{res}}; T, E) = \sqrt{\sin^2 2\theta + \cos^2 2\theta \left[1 + \frac{C_\alpha G_F^2 T^4 E^2}{\cos 2\theta \alpha \delta m^2} - \left(\frac{E}{E_{\text{res}}} \right)^2 \right]^2}, \quad (13)$$

where α is the fine structure constant, $C_e = 1.22$ (for ν_e) and $C_{\mu,\tau} = 0.34$ (for ν_μ and ν_τ) are flavor (α) dependent constants. We used $C_\tau = 0.34$ because we considered only

ν_τ . θ is the bare mixing angle between the sterile and active neutrinos, δm^2 denotes the mass-squared difference, and E is the energy of the sterile neutrino. The resonance energy E_{res} is given [6] by

$$E_{\text{res}} = \sqrt{\frac{\delta m^2 \cos 2\theta}{2\epsilon_s}}, \quad (14)$$

where $\epsilon_s = (D_b - D_B)/D_b$ is a shortcut parameter describing the fractional difference between the geodesic in the bulk D_B and that on the brane D_b .

We assume that the sterile neutrino is relativistic before the decoupling and use the value of $E = 3.151 T_{\nu_s}$, which is the averaged energy for the relativistic fermion with T_{ν_s} the temperature of the sterile neutrino.

The probability of the flavor change of sterile and active neutrinos is derived from the wave packet treatment [31,32] as

$$P_{as} \approx \begin{cases} \sin^2 2\tilde{\theta} \sin^2 \left(\frac{\delta m_{\text{mat}}^2 t_{\text{sc}}}{4E} \right) & (\text{for } T \geq T_{\text{eq}}) \\ \frac{1}{2} \sin^2 2\tilde{\theta} & (\text{for } T \leq T_{\text{eq}}), \end{cases} \quad (15)$$

where we defined the effective mass-squared difference in matter, i.e.,

$$\delta m_{\text{mat}}^2 = \delta m^2 Q_\alpha(\theta, \delta m^2, E_{\text{res}}; T, E), \quad (16)$$

and the scattering time scale of the active neutrino

$$t_{\text{sc}} \approx \frac{1}{G_F^2 T^5}. \quad (17)$$

The typical temperature T_{eq} is defined related to the flavor-change probability as

$$T_{\text{eq}} = \left(\frac{\delta m^2}{G_F^2} \right)^{1/6} = 44 \text{ MeV} \left(\frac{\delta m^2}{1 \text{ eV}^2} \right)^{1/6}. \quad (18)$$

At this temperature, the scattering time scale of the active neutrino and the overlap time scale of neutrino wave packets are equal. In addition, the matter effect becomes negligible somewhat below this equality temperature.

A formulation of the flavor-change probability including Eqs. (12), (13), and (15)–(18) is shown in Appendix A. By using Eqs. (8), (11), and (15), the abundance of the sterile neutrino is calculated.

III. RESULT OF THE RATE EQUATION

By using the modified mixing probability, we solve the rate equation in the temperature interval of $100 \text{ GeV} \geq T \geq 1 \text{ MeV}$. This rate equation is an approximation of the Boltzmann equation. The comparison of results of the Boltzmann and rate equations is described in Appendix B. Figure 2 shows the contours for the final values of Y_{ν_s} calculated by the rate equation as a function of θ and E_{res} . For this figure and Figs. 3–5 in this section,

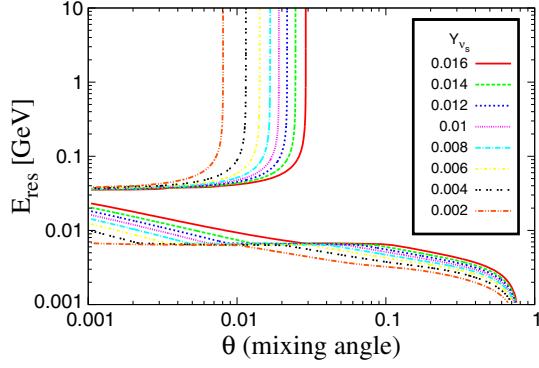


FIG. 2. Contours for the final value of Y_{ν_s} as a function of θ and E_{res} (GeV) for $m_{\nu_s} = 1$ eV.

the mass of sterile neutrino is taken to be $m_{\nu_s} \approx (\delta m^2)^{1/2} = 1$ eV, for example. We discuss the result from three viewpoints.

A. Mixing angle vs Y_{ν_s}

First, we explain the results in Fig. 2 as a function of mixing angle for a given E_{res} . The value of Y_{ν_s} is larger for a larger mixing angle. As seen in Eqs. (11) and (15), the larger mixing angle produces the larger flavor-change probability and reaction rate.

Figure 3 shows the temperature evolution of the abundance of the sterile neutrino derived by solving the rate equation. The red solid line indicates the equilibrium abundance Y_{EQ} , and other lines show the abundance of the sterile neutrino Y_{ν_s} for $\theta = 0.1$ (higher dashed line), 0.01 (lower dashed line), and 0.001 (dotted line), respectively. The resonance energy is fixed as $E_{res} = 0.1$ GeV, for

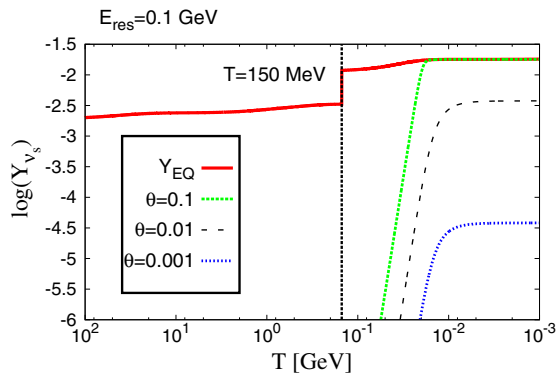


FIG. 3. Temperature evolution of the abundance of sterile neutrino. The red solid line indicates the equilibrium abundance Y_{EQ} , and other lines show the abundance of the sterile neutrino Y_{ν_s} for the mixing angle $\theta = 0.1$ (higher dashed line), 0.01 (lower dashed line), and 0.001 (dotted line), respectively. The mass is $m_{\nu_s} = 1$ eV, and the resonance energy is fixed as $E_{res} = 0.1$ GeV. The black vertical dashed line at $T = 150$ MeV shows the temperature of the quark-hadron transition.

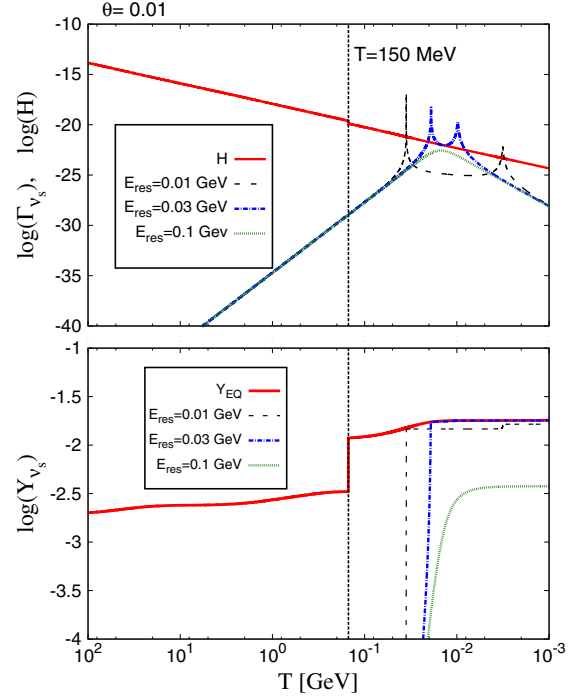


FIG. 4. Temperature evolution of the reaction rate and the cosmic expansion rate (upper panel) and the abundance (lower panel). The mass is $m_{\nu_s} = 1$ eV, and the mixing angle is fixed as $\theta = 0.01$. The red solid line indicates the cosmic expansion rate (upper panel) and the equilibrium abundances Y_{EQ} (lower panel). Other lines show the abundance of the sterile neutrino Y_{ν_s} for the resonance energy $E_{res} = 0.01$ GeV (long dashed line), 0.03 GeV (dashed-dotted line), and 0.1 GeV (dotted line).

example. The black vertical dashed line at $T = 150$ MeV shows the temperature of the quark-hadron transition [33].

The equilibrium abundance Y_{EQ} is increased with decreasing T because the number of degrees of freedom g_{*S} decreases. This behavior is remarkably contrary to the decrease of Y_{ν_s} by the exponential decrease of the equilibrium number density n_{EQ} after the sterile neutrino becomes nonrelativistic. Because of the hadronization of the

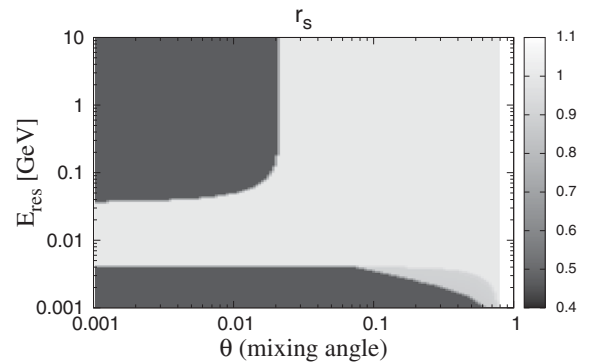


FIG. 5. Color map of r_s in the parameter plane of θ and E_{res} (GeV) for $m_{\nu_s} = 1$ eV.

quark-gluon plasma, the abundance Y_{ν_s} is increased with decreasing temperature in this epoch. This feature can be seen at the vertical line. For this figure, the entropy density is calculated by a standard method [23] described in Ref. [34].

No sterile neutrino exists at initial time by the assumption. When the temperature decreases to $T \sim T_{\text{eq}} = 44$ MeV, however, the effective mixing angle increases (Sec. II C). The production rate of the sterile neutrino then becomes large, and its number density approaches to the equilibrium line. In this parameter set, no resonance of the effective mixing angle occurs as the Universe evolves. This can be understood by noting that the square bracket in Eq. (13) is always close to or larger than unity. The temperature at which the second and the third terms in the square brackets are equal is given by $T_{\text{res},1} = 11.2$ MeV [Eq. (B65)]. At this temperature, the factor $(E/E_{\text{res}})^2$ is significantly smaller than 1. Therefore, no resonance occurs in this model (see Appendixes A and B for details on the resonant mixing in the early Universe). After the matter term becomes negligible in Eq. (13), the effective mixing angle is close to the bare mixing angle, i.e., $\sin^2 2\tilde{\theta} \approx \sin^2 2\theta$. Since the reaction rate is proportional to $\tilde{\theta}^2$, the final abundance is almost proportional to θ^2 for small θ values (see curves of $\theta = 0.01$ and 0.001). For large θ values, the equilibrium abundance is realized before the decoupling of the sterile neutrino (the case of $\theta = 0.1$). Figure 3 shows that the small mixing angle gives low abundance of the sterile neutrino for this parameter set.

B. Resonance energy vs Y_{ν_s}

Second, the resonance energy dependence is interpreted similarly because it is related to the reaction rate of the sterile neutrino. Figure 4 shows the temperature evolution of reaction rate Γ_{ν_s} and H (upper panel) and Y_{ν_s} (lower panel). The value of mixing angle θ is fixed as 0.01. The black vertical dashed line at $T = 150$ MeV corresponds to the temperature of the quark-hadron transition. At the temperature, the equilibrium abundance of the sterile neutrino is increased because of decreasing g_{*S} .

The reaction rate is very small in the high-temperature region, $T \gtrsim T_{\text{eq}} = 44$ MeV since a large matter term hinders the effective mixing angle [Eqs. (12) and (13)]. For the both cases of $E_{\text{res}} = 0.01$ and 0.03 GeV, maximal enhancements of the effective mixing angle occur twice. At the peaks, the production rate of the sterile neutrino is larger than the cosmic expansion rate. As a result, the abundance of the sterile neutrino approaches the equilibrium abundance. However, resonances occur for short periods [cf. Eqs. (B16), (B17), and (B54)], and the equilibrium abundance is not reached. At the first resonance, the second term of the square brackets in Eq. (12) cancels the third term, and the effective mixing angle increases. At the second resonance, the first term, i.e., unity, cancels the third term, and the effective mixing angle increases again (see

Appendix B 6 for details). At these resonances, the abundance of the sterile neutrino suddenly increases (the lower panel). The abundance is flat except the resonance epochs because the sterile neutrino is decoupled from the equilibrium.

For the case of $E_{\text{res}} = 0.1$ GeV, there is no resonance of the effective mixing angle, as explained for Fig. 3. Therefore, the reaction rate does not have a peak, and the abundance evolves smoothly.

As seen in Fig. 4, the abundance of the sterile neutrino is significantly enhanced by the extra-dimensional correction to the effective mixing angle. For large values of $E_{\text{res}} \gtrsim 0.04$ GeV, no resonance in the effective mixing angle appears along the cosmic evolution. This parameter region asymptotes to the standard model of the four-dimensional universe. For small values of $E_{\text{res}} \lesssim 0.04$ GeV, resonances appear in the mixing angle, and the final sterile neutrino abundance is enhanced. We observe that the final abundance is smaller for smaller E_{res} values for the reason explained below. As E_{res} decreases, the temperature of the first resonance increases, and that of the second resonance decreases (see Appendix B 6).

1. First resonance

For 0.007 GeV $\lesssim E_{\text{res}} \lesssim 0.04$ GeV, the effective mixing angle for temperatures around the first resonance temperature is given by

$$\begin{aligned} \sin^2 2\tilde{\theta} &= \frac{\sin^2 2\theta}{\sin^2 2\theta + \cos^2 2\theta \left[1 + \frac{C_a G_{\text{F}}^2 T^4 E^2}{\cos 2\theta a \delta m^2} - \left(\frac{E}{E_{\text{res}}} \right)^2 \right]^2} \\ &\approx \frac{4\theta^2}{4\theta^2 + \left(\frac{E}{E_{\text{res}}} \right)^4 \left[\left(\frac{T}{T_{\text{res},1}} \right)^4 - 1 \right]^2} \\ &\approx \frac{4\theta^2}{4\theta^2 + \left(\frac{E}{E_{\text{res}}} \right)^4 [4\Delta \ln T_1 + 6(\Delta \ln T_1)^2]^2}, \end{aligned} \quad (19)$$

where we took $\Delta \ln T_1 = (T - T_{\text{res},1})/T_{\text{res},1} \ll 1$ and assumed $\theta \ll 1$ and that amplitudes of the second and the third terms in the square brackets in the first line are much larger than unity. The duration of the resonance, e.g., the full width of temperature at $1/e$ maximum, is estimated as

$$\begin{aligned} \left(\frac{E}{E_{\text{res}}} \right)^4 [4\Delta \ln T_1 + 6(\Delta \ln T_1)^2]^2 &= 4\theta^2(e-1) \\ \Rightarrow \Delta \ln T_1 &\propto \frac{E_{\text{res}}^2}{E^2}. \end{aligned} \quad (20)$$

Since the sterile neutrino energy at the first resonance has a scaling of $E = 3.15 T_{\text{res},1} \propto E_{\text{res}}^{-1/2}$ [Eq. (B65)], the temperature step is given by $\Delta \ln T_1 \propto E_{\text{res}}^3$. When the final abundance is much smaller than the equilibrium abundance, the abundance change at the first resonance roughly scales as

$$\Gamma_{\nu_s}(T_{\text{res},1})\Delta t_{\text{res},1} \propto \Gamma_{\nu_s}(T_{\text{res},1})\Delta \ln T_1 H(T_{\text{res},1})^{-1} \quad (21)$$

$$\propto T_{\text{res},1}^5 E_{\text{res}}^3 T_{\text{res},1}^{-2} \quad (22)$$

$$\propto E_{\text{res}}^{3/2}. \quad (23)$$

Therefore, the abundance is smaller for smaller E_{res} values.

2. Deactivation of the first resonance

A discontinuity in contours is seen at a specific energy of $E_{\text{res,cr}} \approx 7$ MeV. For $E_{\text{res}} > E_{\text{res,cr}}$ the condition $T_{\text{res},1} < T_{\text{eq}} = 44$ MeV is satisfied, while for $E_{\text{res}} < E_{\text{res,cr}}$, the condition $T_{\text{res},1} > T_{\text{eq}}$ is satisfied. In the latter case, the sterile neutrino production rate is significantly hindered by the small neutrino oscillation phase [Eq. (15)]. The clear discontinuity results from the present approximate treatment of Eq. (15).

3. Second resonance

The temperature step during the second resonance $\Delta \ln T_2$ is constant (see Appendix B 3). Using the scaling $T_{\text{res},2} \propto E_{\text{res}}$ [Eq. (B66)], we obtain a rough scaling of the abundance change for the case that the final abundance is much smaller than the equilibrium abundance, i.e.,

$$\Gamma_{\nu_s}(T_{\text{res},2})\Delta t_{\text{res},2} \propto \Gamma_{\nu_s}(T_{\text{res},2})\Delta \ln T_2 H(T_{\text{res},2})^{-1} \quad (24)$$

$$\propto E_{\text{res}}^3. \quad (25)$$

Since the first resonance is not effective for $E_{\text{res}} < E_{\text{res,cr}}$, the abundance change at the second resonance is the final abundance. The abundance is smaller for smaller E_{res} values.

We note that for such a small E_{res} value the effective mixing angle is much smaller than the bare mixing angle until $E \sim E_{\text{res}}$ is realized [Eq. (13)]. Therefore, the final abundance is smaller than that of very large E_{res} or the four-dimensional model for a fixed θ value.

C. Decoupling temperature

Finally, we discuss the ν_s decoupling temperature in order to describe the time evolution of the energy density of the sterile neutrino during BBN. First, we define a parameter

$$r_s = \frac{T_{\nu_s}}{T_\nu}, \quad (26)$$

where T_{ν_s} and T_ν are temperatures of sterile neutrino and active neutrinos, respectively, for a fixed cosmic time. This ratio is unity when the sterile neutrino is in equilibrium. When the sterile neutrino is decoupled, the temperatures can be different, and the ratio is smaller than 1, in general. After active neutrino decoupling, the two temperatures have the same scaling with a scale factor of the Universe. The ratio is, therefore, kept constant again.

The r_s value after the decoupling is given by

$$r_s = \frac{T_{\nu_s}}{T_\nu} = \left(\frac{g_{*S}}{g_{*S,\text{dec}}} \right)^{1/3}, \quad (27)$$

where g_{*S} and $g_{*S,\text{dec}}$ denote the relativistic degrees of freedom, which does not contain the contribution of the sterile neutrino, at the decoupling temperature of active and sterile neutrinos, respectively. The second equality is derived from the evolution of the active neutrino temperature by taking into account the entropy conservation [23].

This ratio is constant between the initial temperature of BBN calculation, which is taken to be $T_9 = 100$, and the active neutrino decoupling temperature $T_9 \sim 10$, due to the following reason. In this temperature interval, the number of degrees of freedom for entropy does not change. Therefore, both temperatures of the sterile and active neutrinos simply scale as $T \propto 1/a$. We then use this constant ratio in the BBN calculation.

Figure 5 shows the calculated ratio r_s in the parameter plane of θ and E_{res} . This ratio r_s depends on θ and E_{res} since the decoupling temperature of the sterile neutrino depends on its reaction rate determined by those parameters. The ratio is rapidly increased at the curved boundary. In the light region, the sterile neutrino decouples later than the quark-hadron transition. The value of r_s is therefore close to unity in the region. The dark region is corresponding to the small abundance region due to a small reaction rate in Fig. 2. The final abundance Y_{ν_s} is smaller than the equilibrium abundance at the initial temperature, $Y_{\text{EQ}} \sim 0.002$ (see Fig. 3), in that region. The equilibrium is never realized there. When the reaction rate does not become larger than the expansion rate, there is no good way of estimation for the temperature of the sterile neutrino. However, in such a case, the final abundance of the sterile neutrino is always negligibly small, and the temperature is not important. We then just take the initial temperature $T = 100$ GeV as the decoupling temperature for this case.

IV. RESULTS OF BBN

From the rate equation, the final abundances and energy density of the sterile neutrino are determined. Then, the cosmic expansion rate is modified as follows:

$$H^2 = \frac{8\pi G}{3}(\rho_{\text{standard}} + \rho_{\nu_s}) + \frac{\mathcal{E}}{a^4}. \quad (28)$$

The modified expansion rate changes primordial abundances. We can then constrain the relevant parameters, θ , E_{res} , m_{ν_s} , and \mathcal{E} , by comparing calculated abundances to the observational data. The primordial elemental abundances depend on the sterile neutrino abundance, Y_{ν_s} , shown in Fig. 2 because the cosmic expansion rate depends on the energy density of the sterile neutrino.

A. BBN calculation and observational constraints

We use updated reaction rates [35,36] in the BBN calculation code [37,38]. The neutron lifetime is taken from the central value of the Particle Data Group, 880.3 ± 1.1 s [39]. The baryon-to-photon ratio is adopted from the value $\eta = (6.037 \pm 0.077) \times 10^{-10}$ corresponding to the baryon density in the base Λ CDM model (*Planck* + WP) determined from *Planck* observation of the cosmic microwave background, $\Omega_m h^2 = 0.02205 \pm 0.00028$ [40].

The primordial D abundance comes from observations of quasistellar object absorption systems, and its value is $D/H = (2.53 \pm 0.04) \times 10^{-5}$. We take the 2σ limit $(2.53 \pm 0.08) \times 10^{-5}$ and 4σ limit $(2.53 \pm 0.16) \times 10^{-5}$ in the following analysis. For ${}^4\text{He}$, we adopt $Y_p = 0.2551 \pm 0.0022$, which is observed from the metal-poor extragalactic HII region [21], and also consider their 2σ limit (0.2551 ± 0.0044) and 4σ limit (0.2551 ± 0.0088) .

B. $\mathcal{E} = 0$ and $m_{\nu_s} = 1$ keV

Figure 6 shows the result of the primordial abundance in the case of $\mathcal{E} = 0$ and $m_{\nu_s} = 1$ keV. This 1 keV scale of the sterile neutrino is one of the candidates for dark matter. Since \mathcal{E} is equal to zero, only the energy density of the sterile neutrino affects the cosmic expansion rate. Since the mass of the sterile neutrino is 1 keV, it is relativistic during

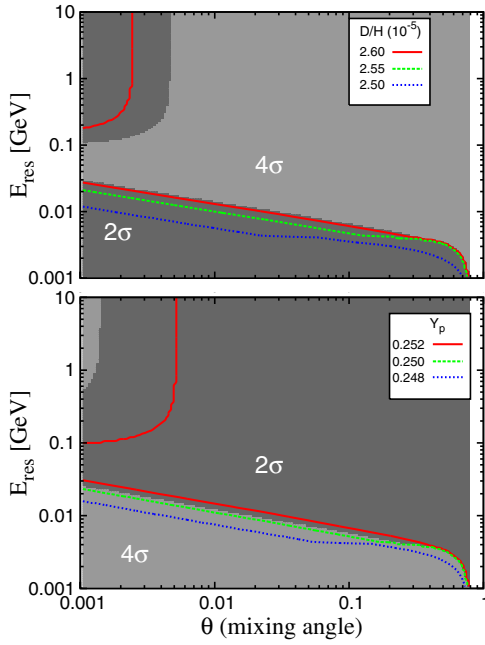


FIG. 6. Contours of deuterium abundance (the top panel) and ${}^4\text{He}$ mass fraction (the bottom panel) in the parameter plane of θ and E_{res} (GeV) for the case of $m_{\nu_s} = 1$ keV and $\mathcal{E} = 0$. Parameter ranges are $0.001 \leq \theta \leq \pi/4$ and $0.001 \text{ GeV} \leq E_{\text{res}} \leq 10 \text{ GeV}$, respectively. Dark- and light-shaded regions are 2σ and 4σ allowed regions, respectively.

the BBN epoch. For deuterium abundance, all parameter regions adopted here are allowed by the 4σ abundance limit. We find a parameter region in which the calculated ${}^4\text{He}$ abundances satisfy the observational 2σ constraints, although most of this region does not satisfy the 2σ limit of D abundance. This allowed 2σ region is not seen in the standard BBN result (see Fig. 1 at $\mathcal{E}/a_1^4 = 0$). The existence of the sterile neutrino energy density, however, increases the cosmic expansion rate, and as a result, abundances of D and ${}^4\text{He}$ are increased. All parameter regions in Fig. 6 are allowed by the 4σ limit.

The shapes of contours can be interpreted as follows. The energy density scales as

$$\begin{aligned} \rho_{\nu_s} &= n_{\nu_s} \langle E_{\nu_s} \rangle \propto Y_{\nu_s} T_{\nu_s} \\ &\propto Y_{\nu_s} r_s. \end{aligned} \quad (29)$$

The number abundance of the sterile neutrino is proportional to Y_{ν_s} shown in Fig. 2.¹ The abundance is then high in the large θ region, and there is a narrow peak at $E_{\text{res}} = \mathcal{O}(0.01)$ GeV. Since large values of r_s are realized with large Y_{ν_s} values, the factor of r_s amplifies the effect of Y_{ν_s} . This dependence appears again in Fig. 6. Since the energy density of the sterile neutrino becomes larger for the larger θ values and the critical resonance energy of $E_{\text{res}} = \mathcal{O}(0.01)$ GeV, the abundances of D and ${}^4\text{He}$ are also high in that region of Fig. 6. Also, the energy density is proportional to the sterile neutrino temperature or r_s shown in Fig. 5. There is a rapid change of the r_s value related to whether the sterile neutrino is decoupled early or not. The value is low at the left bottom and the left top in the parameter space.

C. Constraint on \mathcal{E}

First, we consider the case of the smallest number abundance of the sterile neutrino realized in the parameter region. Figure 7 shows the calculated abundances of deuterium and ${}^4\text{He}$ and also constraints on \mathcal{E} similar to those in Fig. 1. The mass of the sterile neutrino m_{ν_s} is assumed to be 1 eV, which was the mass scale discussed in the reactor anomalies. The mixing angle θ and resonance energy E_{res} are fixed to be 0.01 rad and 0.01 GeV, respectively. From the rate equation result, these values give the lowest reaction rate of the sterile neutrino, that is, the smallest relic number abundance of the sterile neutrino. Since the sterile neutrino increases the cosmic expansion rate, constrained values of \mathcal{E} are shifted to the left side compared to those of Fig. 1. Namely, the $120 < \mathcal{E}/a_1^4 (\text{s}^{-2}) < 149$ (2σ) and $-18 < \mathcal{E}/a_1^4 (\text{s}^{-2}) < 248$ (4σ)

¹We note that the values of Y_{ν_s} as well as r_s depend on δm^2 . Therefore, contour shapes in Figs. 2 and 6 are different. The mass assumed for Fig. 6 is larger than that of Fig. 2. Therefore, the value of T_{eq} is larger. The neutrino oscillation then becomes effective earlier (see Sec. II C and Appendix A).

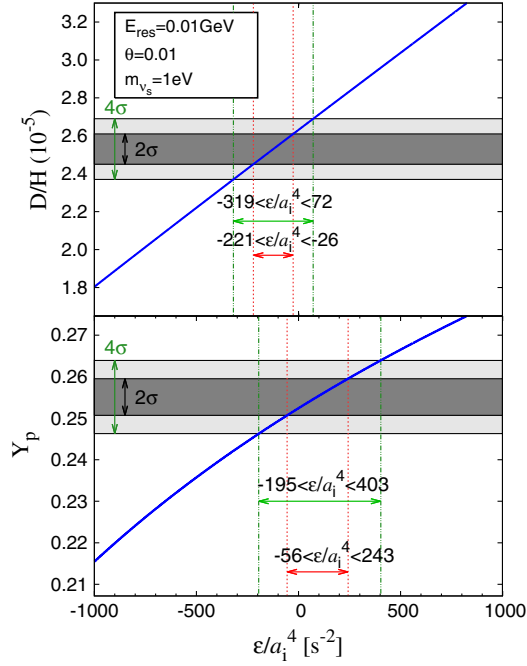


FIG. 7. Same as Fig. 1. But here we include the sterile neutrino which has $m_{\nu_s} = 1$ eV, $\theta = 0.01$ and $E_{\text{res}} = 0.01$ GeV. The value of \mathcal{E} is constrained as $-56 < \mathcal{E}/a_i^4 (\text{s}^{-2}) < -26$ and $-195 < \mathcal{E}/a_i^4 (\text{s}^{-2}) < 72$ by the 2σ and 4σ limits, respectively.

regions are shifted to $-56 < \mathcal{E}/a_i^4 (\text{s}^{-2}) < -26$ (2σ) and $-195 < \mathcal{E}/a_i^4 (\text{s}^{-2}) < 72$ (4σ), respectively. Therefore, the 2σ allowed region in Fig. 1 is totally replaced, and a part of the parameter region of $72 < \mathcal{E}/a_i^4 (\text{s}^{-2}) < 248$ (4σ) is excluded by the sterile neutrino existent in the BBN epoch.

Figure 8 shows the contours for the case of $\mathcal{E}/a_i^4 = 248 \text{ s}^{-2}$, which is the maximum value of \mathcal{E} in

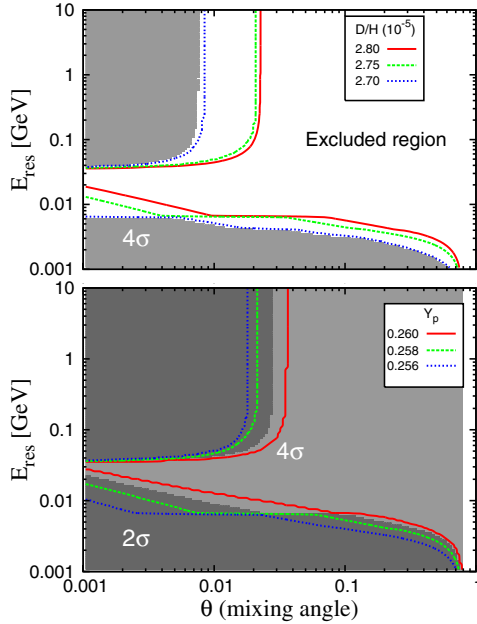


FIG. 8. Same as Fig. 6, but for $\mathcal{E}/a_i^4 = 248 \text{ s}^{-2}$ and $m_{\nu_s} = 1$ eV.

the 4σ allowed region in Fig. 1, and $m_{\nu_s} = 1$ eV. This \mathcal{E} value is excluded by the overabundance of deuterium when the 1 eV sterile neutrino with $\theta = 0.01$ and $E_{\text{res}} = 0.01$ GeV is added.

D. Constraint on the mass

Figure 9 shows the primordial abundances as a function of $\delta m^2 \equiv m_{\nu_s}^2 - m_{\nu_a}^2$ in the same condition of Fig. 7, i.e., $\theta = 0.01$ and $E_{\text{res}} = 0.01$ GeV. The value of \mathcal{E} is fixed by the lowest values in the 2σ and 4σ allowed regions for the case without the sterile neutrino. Assuming $m_{\nu_a} \ll 1$ eV, we neglected the mass of the active neutrino. Thus, δm^2 is approximately the same as the squared mass of the sterile neutrino. For $\delta m^2 \lesssim 10^{-9} \text{ GeV}^2$, there is no contribution of the sterile neutrino mass because the sterile neutrino is relativistic during the BBN epoch. If the sterile neutrino is relativistic in the BBN epoch, then the cosmic expansion rate does not depend on the mass of the sterile neutrino but only its number density.

However, if m_{ν_s} is larger, then the sterile neutrino would be nonrelativistic, and its mass would affect the cosmic expansion rate. As a result, for $\mathcal{E}/a_i^4 = -18 \text{ s}^{-2}$, δm^2 is allowed up to $1.7 \times 10^{-7} \text{ GeV}^2$ by the 4σ constraint. On the

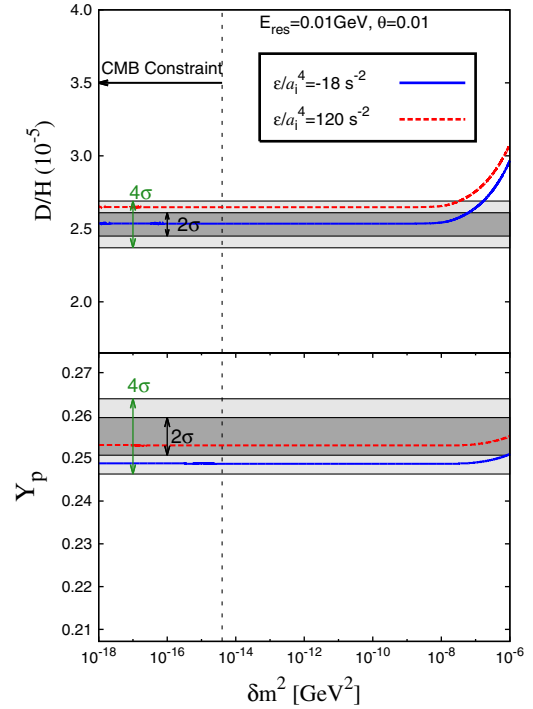


FIG. 9. Primordial abundances of D and ${}^4\text{He}$ as a function of δm^2 . The parameters θ and E_{res} are fixed at 0.01 rad and 0.01 GeV, respectively. The solid blue and dotted red lines denote the abundances for $\mathcal{E}/a_i^4 = -18$ and 120 s^{-2} , respectively. The limits from observed abundances are delineated by the horizontal lines: inner lines (2σ) and outer lines (4σ). In the right region from the vertical black dashed line, the present energy density of the sterile neutrino is larger than the constraint from CMB observation.

other hand, there is no allowed region for the 2σ range. For $\mathcal{E}/a_1^4 = 120 \text{ s}^{-2}$, δm^2 is allowed up to $3.3 \times 10^{-8} \text{ GeV}^2$ for the 4σ range, and also there is no allowed region for the 2σ range.

In addition, if the relic sterile neutrino can be existed on the brane in the present Universe, it can be a candidate of dark matter. We can constrain it from the observational data of the cosmic microwave background (CMB). However, we do not know what happens during BBN and the present time in the extra-dimensional universe. Perhaps the sterile neutrino may diffuse in the extra-dimensional bulk associated with bulk expansion, which is beyond the scope of this paper and not treated in this study. We should then note that what we derive in this paper is a constraint independently coming from the BBN consideration alone on the physical environment in the short BBN epoch.

The *Planck* observation gives the following data of the cold dark matter density parameter for the Λ CDM model with *Planck* temperature power spectrum data alone [40]:

$$\Omega_c h^2 = 0.1196 \pm 0.0031. \quad (30)$$

This corresponds to the energy density of the cold dark matter ρ_c ,

$$\rho_c = (0.1261 \pm 0.0033) \times 10^{-5} \text{ GeV cm}^{-3}. \quad (31)$$

The present energy density of the sterile neutrino $\rho_{\nu_{s0}}$ cannot be larger than the observed energy density of dark matter. Therefore, if the relic sterile neutrino totally remains on our brane until now, we have a constraint of $\rho_{\nu_{s0}} \leq \rho_c$ that leads to

$$r_s Y_{\nu_{s0}} \leq (8.257 \pm 0.22) \times 10^2 \quad (\text{for } \langle E_{\nu_{s0}} \rangle > m_{\nu_s}), \quad (32)$$

$$m_{\nu_s} Y_{\nu_{s0}} \leq (4.362 \pm 0.11) \times 10^{-10} \text{ GeV} \quad (\text{for } \langle E_{\nu_{s0}} \rangle < m_{\nu_s}), \quad (33)$$

where $\langle E_{\nu_{s0}} \rangle = 3.151(4/11)^{1/3} T_{\gamma 0}$ is the average present temperature of the sterile neutrino when it is massless, with $T_{\gamma 0} = 2.7255 \text{ K}$ the present CMB temperature [41]. The first and second lines correspond to constraints on the relativistic and nonrelativistic sterile neutrinos, respectively. In our calculation, the maximum value of r_s and Y_{ν_s} are ~ 1 and ~ 0.02 , respectively. Thus, all parameter space for the relativistic case is allowed by the CMB data. For the nonrelativistic case, since the energy density of the sterile neutrino is proportional to the mass, the allowed region from the CMB data becomes narrow with increasing sterile neutrino mass. We choose $m_{\nu_s} = 100 \text{ keV}$, i.e., the mass scale with which the sterile neutrino becomes nonrelativistic at the typical BBN temperature of $T_9 = 1$. For this mass value, the region of $E_{\text{res}} \lesssim \mathcal{O}(0.001) \text{ GeV}$ is only allowed. As seen in Fig. 9, the constraint from the CMB data sets the upper limit on m_{ν_s} at $\delta m^2 = \mathcal{O}(10^{-15}) \text{ GeV}^2$.

Figure 10 shows the contours for the present energy density of the relic sterile neutrino deduced from calculated

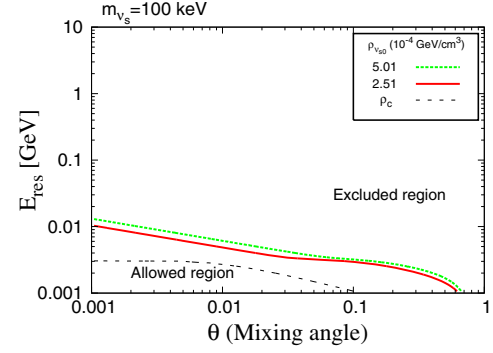


FIG. 10. Contours of the energy density of the relic sterile neutrino in the parameter plane of θ and E_{res} . The mass of the sterile neutrino is 100 keV. The black dashed line corresponds to the energy density of cold dark matter at present deduced from the *Planck* observational data. The right upper region from this line is excluded. The red solid and green dashed lines are also shown to be excluded by the CMB observational data.

results of Y_{ν_s} in the parameter plane of θ and E_{res} for $m_{\nu_s} = 100 \text{ keV}$. The black dashed line corresponds to the present energy density of cold dark matter [Eq. (31)]. The right upper region from this line is excluded.

Figure 11 shows the same contours of light element abundances as in Fig. 6 for the case of the 1 MeV sterile neutrino and $\mathcal{E}/a_1^4 = -20 \text{ s}^{-2}$, for example. This value of $\mathcal{E}/a_1^4 = -20 \text{ s}^{-2}$ is near the lowest allowed value in Fig. 1 for the 4σ limit. In this case, there is no parameter region that satisfies both of the 2σ limits on D/H and Y_p . The 4σ allowed region is located in the left bottom region.

In the present model of a sterile neutrino, the effective mixing angle depends on the energy by Eq. (12). (See also

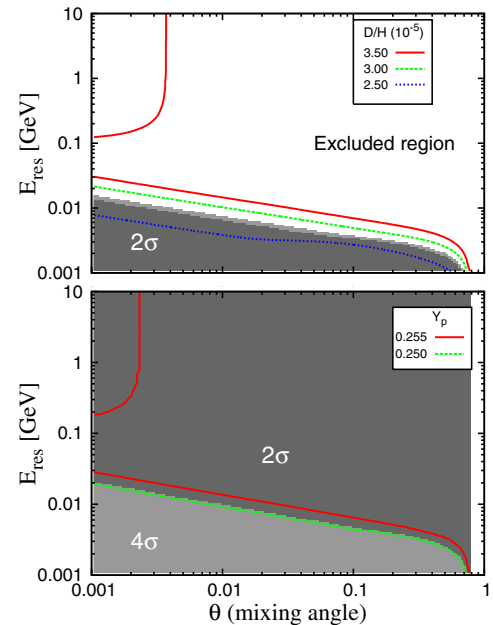


FIG. 11. Same as Fig. 6, but for $m_{\nu_s} = 1 \text{ MeV}$ and $\mathcal{E}/a_1^4 = -20 \text{ s}^{-2}$.

Figs. 15 and 16 in Appendix B.) Results of neutrino experiments, therefore, do not always exclude the parameter region for large values of θ . For example, if we assume the resonance energy $E_{\text{res}} = 400$ MeV (corresponding to Fig. 4 in Ref. [6]), for the energy region of the IceCube measurement [5], i.e., 320 GeV–20 TeV, the effective mixing angle becomes negligibly small. The IceCube data are therefore consistent with this model, independently of the mixing angle θ . The experimental verification of the mixing of a sterile neutrino, which propagates to the bulk space, then requires measurements of the effective mixing angle for various neutrino energies.

E. Dependence of primordial abundances on δm^2 and θ

Figure 12 shows the primordial abundances as a function of δm^2 and the mixing angle θ . The values of \mathcal{E} and E_{res} are fixed, respectively, at 0 and 0.03 GeV. If δm^2 is larger than 10^{-8} GeV², the primordial abundances are increased because the cosmic expansion rate depends on the mass of the sterile neutrino by Eq. (7). In the high mass region of the figure, deuterium and ⁴He abundances are high. If the mixing angle is increased, the reaction rate of the sterile neutrino is also increased. As a result, higher number and energy densities of the sterile neutrino are obtained. Therefore, final abundances of light elements become higher by increasing the mixing angle θ similarly to the

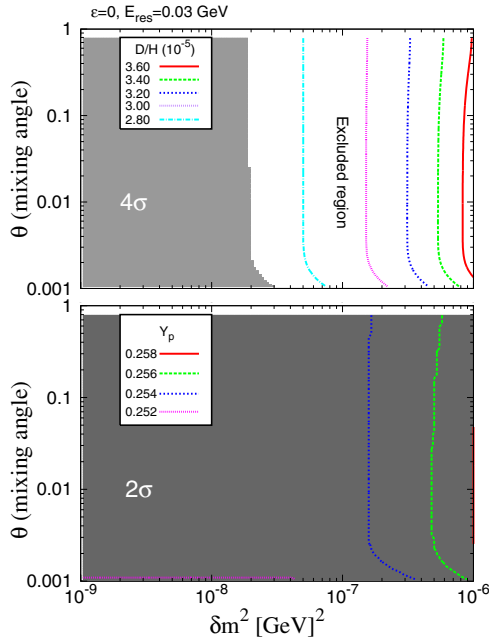


FIG. 12. Contours of deuterium abundance (the top panel) and ⁴He abundance (the bottom panel) in the parameter plane of θ and δm^2 (GeV²) for the case of $\mathcal{E} = 0$ and $E_{\text{res}} = 0.03$ GeV. Parameter ranges are $0.001 \leq \theta \leq \pi/4$ and $1.0 \times 10^{-9} \text{ GeV}^2 \leq \delta m^2 \leq 1 \times 10^{-6} \text{ GeV}^2$. Dark and light shaded regions are the 2σ and 4σ allowed regions, respectively. The white region is excluded by the BBN constraint.

trend in Fig. 9. If the δm^2 value is higher than $(2-3) \times 10^{-8}$ GeV², the region is excluded by overproduction of the deuterium. Similarly to the case of Fig. 9, only the relativistic mass region is allowed by the CMB observational data in this case.

F. Dependence of primordial abundances on δm^2 and E_{res}

Figure 13 shows the primordial abundances as a function of δm^2 and E_{res} . The values of \mathcal{E} and θ are fixed, respectively, at 0 and 0.03 GeV. In the figure, the dependence on δm^2 is similar to Fig. 12 for the same reason. The curved shape at the specific E_{res} value appears for the following reason: the number abundance of the sterile neutrino is the highest when the resonance energy is equal to the energy of the sterile neutrino during its decoupling epoch. Then, the higher number abundance of sterile neutrino around $E_{\text{res}} \approx T_{\text{eq}} \sim 0.04$ GeV makes the larger energy density. It affects the cosmic expansion rate more and results in higher primordial abundances. The curved shape is then similar to the pattern in Fig. 2. The region of $\delta m^2 \gtrsim 2 \times 10^{-8}$ GeV² and $E_{\text{res}} \gtrsim 0.01$ GeV is excluded by the overproduction of deuterium, also in this case. We find a parameter region for the 2σ allowed region at $E_{\text{res}} \sim 0.01$ GeV and $\delta m^2 \lesssim 10^{-8}$ GeV².

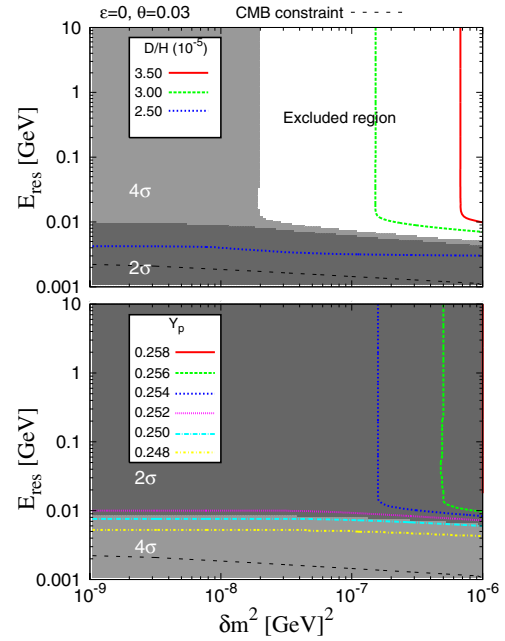


FIG. 13. Contours of deuterium abundance (the top panel) and ⁴He abundance (the bottom panel) in the parameter plane of E_{res} and δm^2 (GeV²) for the case of $\mathcal{E} = 0$ and $\theta = 0.03$. Parameter ranges are $0.001 \text{ GeV} \leq E_{\text{res}} \leq 10 \text{ GeV}$ and $1.0 \times 10^{-9} \text{ GeV}^2 \leq \delta m^2 \leq 1.0 \times 10^{-6} \text{ GeV}^2$. Dark and light shaded regions are the 2σ and 4σ allowed regions, respectively. The white region is excluded by the BBN constraint. The black dashed line corresponds to the CMB constraint on the present energy density of dark matter.

G. Effects of sterile neutrino decay

The sterile neutrino decay into an active neutrino and a photon, i.e., $\nu_s \rightarrow \nu_a + \gamma$, can also affect the primordial abundances of light elements. But the decay effect is neglected in this study because of the following arguments. There are many investigations of the decay effects, but most of them have been done only for the case of the constant decay rate that corresponds to the constant mixing angle. Although a photon coupled to a fermion loop has a suppressed matrix element, a photon coupled to a hypothetical neutrino magnetic moment can lead to a large decay rate.

For a massive sterile neutrino with $m_{\nu_s} \gtrsim \mathcal{O}(10)$ MeV, an energetic photon generated from the decay induces electromagnetic cascade showers and destroy nuclei [42–54]. Although ${}^7\text{Be}$ and ${}^7\text{Li}$ nuclei can be destroyed, destruction of D is more efficient. Therefore, they could not be a solution to the Li problem. For a light sterile neutrino with $m_{\nu_s} \sim 3\text{--}5$ MeV, the photon from the decay can effectively destroy ${}^7\text{Be}$ without too much destruction of D [55,56]. As a result, this light sterile neutrino may provide the only solution to the Li problem within the framework of the radiative decay of light exotic particles. A similar effect appears also for the case in which a sterile neutrino decays into an electron-positron pair, i.e., $\nu_s \rightarrow \nu_a + e^+ + e^-$ is predominant [34].

In this paper, we assumed a light sterile neutrino with $m_{\nu_s} \lesssim 1$ MeV. If the mass is less than twice the photodisintegration threshold energy for ${}^7\text{Be}$, i.e., 2×1.59 MeV, there is no nucleosynthetic effect by the decay. On the other hand, if the mass is larger, nonthermal nuclear photodisintegrations triggered by the decay can affect elemental abundances, as argued above.

Moreover, the decay rate has a scaling with the effective mixing angle and the mass of the sterile neutrino [Eq. (B4)]. The sterile neutrino decay is more effective in the resonant mixing epochs. Also, the decay rate becomes smaller with decreasing sterile neutrino mass according to a strong dependence on the mass. Therefore, it is expected that effects of the sterile neutrino decay depend on the parameters of the sterile neutrino and the extra-dimensional model intricately. The sterile neutrino decay and the effect on the primordial nucleosynthesis should be studied in detail in the future.

V. CONCLUSION

In this work, we study effects of a sterile neutrino which can propagate in the bulk and brane in the five-dimensional universe on BBN. In the present model, the cosmic expansion rate is modified by the energy density of the sterile neutrino and the existence of the fifth dimension itself. We then deduce parameter regions relevant to the multidimensional sterile neutrino by using results of the BBN calculation. The five-dimensional effect is described by one parameter \mathcal{E} , and the energy density of the sterile neutrino depends on three parameters, i.e., E_{res} , θ , and m_{ν_s} .

This model therefore has four physical parameters. Two of them are integration constants: (1) \mathcal{E} comes from the integration of five-dimensional Einstein equation, and (2) ϵ_s describes the shortcut, i.e., the difference of geodesics in the bulk and on the brane in five-dimensional cosmology. The latter is reflected in the sterile neutrino resonance energy E_{res} in Eq. (14). The other two parameters are the mixing angle and mass scale of the sterile neutrino. These four parameters modify the cosmic expansion rate and the energy density in the BBN epoch. Taking into account the modified cosmic expansion rate, we investigated how primordial abundances are changed and constrained the parameters using the observational abundance data.

First, the parameter \mathcal{E} manifests itself in the cosmic expansion rate and influences the primordial abundances. When we do not consider the sterile neutrino, the parameter \mathcal{E} is constrained, $120 < \mathcal{E}/a_1^4(\text{s}^{-2}) < 149$ and $-18 < \mathcal{E}/a_1^4(\text{s}^{-2}) < 248$, from the observational 2σ and 4σ limits, respectively, on abundances (Fig. 1).

Second, we took into account the effect of the energy density of the sterile neutrino, which can propagate in the bulk space. The relic abundance and the temperature of the sterile neutrino are calculated by solving the rate equation. The energy density of the sterile neutrino depends on not only the mass but also the number density and the temperature. Since the mixing angle and the resonance energy are related to the reaction rate of the sterile neutrino, the two parameters determine the relic abundance of the sterile neutrino. The parameters are then constrained through the comparison of the BBN calculation results and observed elemental abundances. The final abundance of the sterile neutrino is increased when the sterile neutrino has a large reaction rate.

We found that the relic abundance is large for large values of θ and a characteristic resonance energy $E_{\text{res}} \sim 0.04$ GeV (Fig. 2). This value of the resonance energy corresponds to the temperature at which the average scattering time scale equals the overlap time scale of wave packets for active neutrinos. The ratio of the temperatures of the sterile and active neutrinos, r_s , after the decoupling of the active neutrino depends on the decoupling temperature of the sterile neutrino. The decoupling temperature is determined by the parameters θ and E_{res} . It is found that the ratio is significantly changed depending on whether the decoupling occurs before or after the quark-hadron transition (Fig. 5).

When the sterile neutrino is taken into account, the cosmic expansion rate is increased, and high \mathcal{E} values are excluded. For example, we observed that the constraints on \mathcal{E} in Fig. 1 are shifted to $-56 < \mathcal{E}/a_1^4(\text{s}^{-2}) < -26$ (2σ) and $-195 < \mathcal{E}/a_1^4(\text{s}^{-2}) < 72$ (4σ) in the case of $m_{\nu_s} = 1$ eV, $\theta = 0.01$, and $E_{\text{res}} = 0.01$ GeV (Fig. 7).

When the sterile neutrino is relativistic during BBN, the energy density of the sterile neutrino is determined by the relic abundance Y_{ν_s} and the temperature ratio r_s . The energy density is larger for a sterile neutrino which decouples later

since its abundance and the temperature ratio are larger. We then derived a constraint on the parameters for the case of $\mathcal{E} = 0$ (Fig. 6). If the mass of the sterile neutrino is larger than ~ 1 MeV, then it becomes nonrelativistic in the BBN epoch. So, the energy density of the sterile neutrino is proportional to its mass. It gives a large energy density, and it is constrained strongly. For the case of $m_{\nu_s} \geq 1$ MeV, there is no allowed parameter region consistent with the 2σ limit from observational data (Fig. 11).

We showed a result of a parameter search in the plane of (θ, m_{ν_s}) for a fixed E_{res} value. We found that the region of $m_{\nu_s} \gtrsim \mathcal{O}(10^{-4})$ GeV is excluded within all mixing angle parameter space searched in this study when $E_{\text{res}} = 0.03$ GeV. On the other hand, all mixing angle parameter space is allowed when $m_{\nu_s} \lesssim \mathcal{O}(10^{-4})$ GeV within 4σ range. This is because the heavier mass leads to a larger energy density, and the larger mixing angle leads to a later decoupling and a larger number density. In both cases, the energy density is larger, and that parameter region is constrained (Fig. 12). We also showed a result of a parameter search in the plane of $(E_{\text{res}}, m_{\nu_s})$ for a fixed θ value. We then checked trends of large effects for larger mass and the characteristic resonance energy (Fig. 13).

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APPENDIX A: FLAVOR-CHANGE PROBABILITY P_{as}

When the matter effect [24,29,30] is taken into account in the current five-dimensional model [6], the effective mixing angle becomes

$$\begin{aligned} \sin^2 2\tilde{\theta} &= \frac{\sin^2 2\theta}{\sin^2 2\theta + \cos^2 2\theta \left[1 + \frac{C_\alpha G_F^2 T^4 E^2}{\cos 2\theta \alpha \delta m^2} - \left(\frac{E}{E_{\text{res}}} \right)^2 \right]^2} \\ &= \frac{\sin^2 2\theta}{Q_\alpha^2(\theta, \delta m^2, E_{\text{res}}; T, E)}, \end{aligned} \quad (\text{A1})$$

where α is the fine structure constant and $C_e = 1.22$ (for ν_e) and $C_{\mu,\tau} = 0.34$ (for ν_μ and ν_τ) are flavor (α) dependent constants. In the second equality, we defined a modification factor for the mixing angle by the matter and the extra-dimension effects, i.e.,

$$\begin{aligned} Q_\alpha(\theta, \delta m^2, E_{\text{res}}; T, E) &= \sqrt{\sin^2 2\theta + \cos^2 2\theta \left[1 + \frac{C_\alpha G_F^2 T^4 E^2}{\cos 2\theta \alpha \delta m^2} - \left(\frac{E}{E_{\text{res}}} \right)^2 \right]^2}. \end{aligned} \quad (\text{A2})$$

The probability of the flavor change of $\nu_a \leftrightarrow \nu_s$ after propagation of time t [6] taking into account the evolution of the wave packet [31,32] is given by

$$\begin{aligned} P_{\text{as}} &= \frac{1}{2} \sin^2 2\tilde{\theta} \left\{ 1 - \cos \left(\frac{\delta m_{\text{mat}}^2 t}{2E} \right) \right. \\ &\quad \times \exp \left[- \left(\frac{t}{L_{\text{mat}}^{\text{coh}}} \right)^2 - (1 + \kappa) \frac{(\delta m_{\text{mat}}^2)^2}{32\sigma_p^2 p^2} \right] \left. \right\}, \end{aligned} \quad (\text{A3})$$

where δm_{mat}^2 is given by

$$\delta m_{\text{mat}}^2 = \delta m^2 Q_\alpha(\theta, \delta m^2, E_{\text{res}}; T, E). \quad (\text{A4})$$

The coherent length $L_{\text{mat}}^{\text{coh}}$ is defined [57] as

$$L_{\text{mat}}^{\text{coh}} = L_{\text{vac}}^{\text{coh}} \left| \frac{\delta m_{\text{mat}}^2}{\delta m^2 + \cos 2\theta \left[\frac{C_\alpha G_F^2 T^4 E^2}{\alpha} - \cos 2\theta \delta m^2 \left(\frac{E}{E_{\text{res}}} \right)^2 \right]} \right|, \quad (\text{A5})$$

where the coherent length in vacuum is given by

$$L_{\text{vac}}^{\text{coh}} = 2\sqrt{2}\sigma_x \frac{2p^2}{\delta m^2}. \quad (\text{A6})$$

The quantity κ is given by

$$\kappa \approx \frac{p_1^2 - p_2^2}{\delta m_{\text{mat}}^2}, \quad (\text{A7})$$

with p_1 and p_2 average momenta of mass eigenstates 1 and 2, respectively, and σ_x and σ_p the widths of the position and momentum, respectively. There is a relation of $\sigma_x \sigma_p = 1/2$.

The first term in Eq. (A3) corresponds to the contribution of squared terms of mass eigenstates 1 and 2, while the second oscillation term with damping corresponds to the interference term of states 1 and 2.

Taking $p \sim \sigma_p = 1/(2\sigma_x) \sim T$ [24], because of $\kappa \leq T^2/\delta m_{\text{mat}}^2$, the amplitude of second term in the exponential in Eq. (A3) is

$$(1 + \kappa) \frac{(\delta m_{\text{mat}}^2)^2}{32\sigma_p^2 p^2} \leq \frac{\delta m_{\text{mat}}^2}{T^2}. \quad (\text{A8})$$

We assume that this factor is always much less than unity and can be neglected in Eq. (A3).

We note that the coherence length $L_{\text{mat}}^{\text{coh}}$ for $\theta \ll 1$ is roughly given by that of the vacuum oscillation except a region where the sum of the second and third terms in the square brackets in Eq. (A1) is comparable to unity. When the correction by the matter potential plus the extra-dimensional term is dominant, $L_{\text{mat}}^{\text{coh}} = L_{\text{vac}}^{\text{coh}}/\cos 2\theta$. On the other hand, when the correction is negligible, $L_{\text{mat}}^{\text{coh}} = L_{\text{vac}}^{\text{coh}}$ is realized. We then approximate the coherent length

by that of the vacuum oscillation. Using approximations above, the flavor-change probability is given by

$$P_{\text{as}} = \frac{1}{2} \sin^2 2\tilde{\theta} \left\{ 1 - \cos \left(\frac{\delta m_{\text{mat}}^2 t}{2E} \right) \exp \left[- \left(\frac{t}{L_{\text{vac}}^{\text{coh}}} \right)^2 \right] \right\}. \quad (\text{A9})$$

The production rate of the sterile neutrino is given by

$$\Gamma_{\nu_s} = \Gamma_w P_{\text{as}}. \quad (\text{A10})$$

This production rate is evaluated with the mean life of the active neutrino against destruction via the weak interaction [24]. The mean life is given [24] by the average scattering time scale,

$$t_{\text{sc}} \simeq \frac{1}{G_{\text{F}}^2 T^5}. \quad (\text{A11})$$

This time scale is shorter than the cosmic expansion time scale before the active neutrino decoupling.

The coherent length is

$$L_{\text{vac}}^{\text{coh}} = 2\sqrt{2}\sigma_x \frac{2p^2}{\delta m^2} \sim \frac{T}{\delta m^2}. \quad (\text{A12})$$

This is equivalent to the overlap time scale of neutrino wave packets $t^{\text{coh}} = L_{\text{vac}}^{\text{coh}}$.

1. Matter effect

First, we consider the neutrino oscillation in the case without the extra-dimensional correction. The ratio of the two different time scales is given by

$$\frac{t^{\text{coh}}}{t_{\text{sc}}} \simeq \frac{T/\delta m^2}{1/(G_{\text{F}}^2 T^5)} = \frac{G_{\text{F}}^2 T^6}{\delta m^2}. \quad (\text{A13})$$

Then, the time scales are comparable at the temperature of

$$T_{\text{eq}} = \left(\frac{\delta m^2}{G_{\text{F}}^2} \right)^{1/6} = 44 \text{ MeV} \left(\frac{\delta m^2}{1 \text{ eV}^2} \right)^{1/6}. \quad (\text{A14})$$

Then, we obtain $t^{\text{coh}} \geq t_{\text{sc}}$ for $T \geq T_{\text{eq}}$ and $t_{\text{sc}} \geq t^{\text{coh}}$ for $T \leq T_{\text{eq}}$. Therefore, the coherence survives for $T \geq T_{\text{eq}}$, while it is lost for $T \leq T_{\text{eq}}$. We note that at T_{eq} the matter term in δm_{mat}^2 becomes

$$\frac{C_\alpha G_{\text{F}}^2 T_{\text{eq}}^4 E_{\text{eq}}^2}{\cos 2\theta \alpha \delta m^2} \sim \frac{1}{\alpha}. \quad (\text{A15})$$

This temperature thus roughly corresponds to the epoch when the matter effect becomes unimportant.

The flavor-change probability then scales as

$$P_{\text{as}} \approx \begin{cases} \frac{1}{2} \sin^2 2\tilde{\theta} \left\{ 1 - \cos \left(\frac{\delta m_{\text{mat}}^2 t_{\text{sc}}}{2E} \right) \right\} = \sin^2 2\tilde{\theta} \sin^2 \left(\frac{\delta m_{\text{mat}}^2 t_{\text{sc}}}{4E} \right) & (\text{for } T \geq T_{\text{eq}}) \\ \frac{1}{2} \sin^2 2\tilde{\theta} & (\text{for } T \leq T_{\text{eq}}). \end{cases} \quad (\text{A16})$$

We thus find that after the typical temperature T_{eq} the flavor-change probability does not oscillate since the coherence is lost during the propagation.

In the early epoch of $T \geq T_{\text{eq}}$, the oscillation phase reduces to

$$\frac{\delta m_{\text{mat}}^2 t_{\text{sc}}}{4E} \simeq \frac{\delta m^2}{4E} \left(\frac{C_\alpha G_{\text{F}}^2 T^4 E^2}{\alpha \delta m^2} \right) \left(\frac{1}{G_{\text{F}}^2 T^5} \right) \simeq \frac{1}{\alpha}. \quad (\text{A17})$$

The oscillation is therefore maximally operative. We can then take the time average of the probability. As a result, the flavor-change probability for any temperature is given by

$$P_{\text{as}} \approx \frac{1}{2} \sin^2 2\tilde{\theta}. \quad (\text{A18})$$

2. Extra-dimensional effect

Second, we consider the effect of the extra dimension. If the term $(E/E_{\text{res}})^2$ in Eq. (A1) effectively increases the effective mixing angle, the flavor-change probability can increase. The sterile neutrino production rate Γ_{ν_s} is then increased. However, when the factor Q_α is significantly

decreased by the extra-dimensional term, the term δm_{mat}^2 becomes small. Therefore, the approximation of the maximal oscillation can be broken. In an extreme case in which the factor Q_α is very small, the oscillation phase is $\delta m_{\text{mat}}^2 t_{\text{sc}}/(4E) \ll 1$. In this case, the flavor-change probability for $T \geq T_{\text{eq}}$ is modified to

$$P_{\text{as}} \approx \sin^2 2\tilde{\theta} \sin^2 \left(\frac{\delta m_{\text{mat}}^2 t_{\text{sc}}}{4E} \right)^2 \simeq \sin^2 2\theta \left(\frac{\delta m^2}{G_{\text{F}}^2 T^6} \right)^2 = \sin^2 2\theta \left(\frac{T}{T_{\text{eq}}} \right)^{-12} \quad (\text{for } T \geq T_{\text{eq}}). \quad (\text{A19})$$

We find that the flavor-change probability is smaller at high temperatures since there is not enough time for oscillation. We note that this probability scales similarly to that of the 3D space case with $\epsilon_s = 0$ [see Eqs. (A1) and (A18)]. We thus confirm that the resonant extra-dimensional effect possibly increases the effective mixing angle while it simultaneously increases the oscillation time scale, i.e., $t_{\text{osc}} = 4E/\delta m_{\text{mat}}^2$. As a result, the flavor-change probability is not changed drastically from that of the standard three-dimensional case.

APPENDIX B: SOLUTION OF THE BOLTZMANN EQUATION

In this paper, we utilized the rate equation instead of the Boltzmann equation in the estimation of the relic energy density of the sterile neutrino. We check how well the result of the rate equation approximates the exact result.

1. ν -oscillation in the Universe

Before the decoupling of active neutrinos, the neutrino oscillation phase is given by

$$\alpha_{\text{osc}} = \frac{\delta m_{\text{mat}}^2 t_{\text{sc}}}{4E} \quad (\text{B1})$$

$$= 3.8 \times 10^8 \left(\frac{\delta m_{\text{mat}}^2}{\text{eV}^2} \right) \left(\frac{t_{\text{sc}}}{\text{s}} \right) \left(\frac{E}{\text{MeV}} \right)^{-1}. \quad (\text{B2})$$

At the beginning of BBN of $t = 1$ s and $T = 1$ MeV, the neutrino oscillation of ν_a and ν_s is very frequent on the cosmic expansion time scale for $\delta m^2 \gtrsim 1$ eV². In the early Universe of $T > 1$ MeV, this phase is usually larger than unity [Eq. (A17)]. For simplicity, we assume a case in which the flavor-change probability is given by Eq. (A18).

The production rate of ν_s is given by

$$\Gamma_{\nu_s}(E) = \frac{1}{2} \sin^2(2\tilde{\theta}(E)) \Gamma_{\text{W}}(E), \quad (\text{B3})$$

where the factor $\sin^2(2\tilde{\theta})/2$ is the probability of the flavor change from ν_a to ν_s after the production of ν_a and Γ_{W} is the rate of weak reaction which produces ν_a .

When the value of $\tilde{\theta}$ is large, i.e., $\tilde{\theta} \lesssim 1$, the flavor change becomes maximally effective:

- (1) If this effective epoch is before the freeze-out of ν_a , $\Gamma_{\nu_s} > H^{-1}$ is realized. Then, the ν_s abundance approaches the equilibrium value.
- (2) If this effective epoch is after the freeze-out of ν_a , the oscillation leads to an equalization of energy densities for ν_s and ν_a . Because of the energy conservation, however, the total neutrino energy density is unchanged. Therefore, the additional neutrino energy, i.e., $\Delta\rho_\nu$, is not affected.

We assume that the mass-squared difference is larger than $\delta m^2 \sim \text{eV}^2$ as considered by Pas *et al.* There are constraints on the mixing angle, e.g., $\sin^2 2\tilde{\theta}_{24} \lesssim 10^{-1}$ for $\delta m_{41}^2 \sim 1$ eV² (IceCube) [5] and $|U_{\mu 4}|^2 < 0.041$ and $|U_{\tau 4}|^2 < 0.18$ for $\delta m^2 > 0.1$ eV² (90% C.L.) (Super-Kamiokande) [58]. We then assume that the bare mixing angle θ is significantly smaller than unity in this case.

2. Upper limit on the mass

We focus on the relatively heavy sterile neutrino case and consider an upper limit on the mass. If the sterile neutrino can decay before the active neutrino decoupling, there is no

sterile neutrino in the BBN epoch. The ν_s mass can then be constrained from the requirement of $\tau > 1$ s in order to have any effect on BBN. The decay rate is given (Eq. (7.12) in Ref. [34]) by

$$\Gamma_{\text{dec}} \sim 1.87 \times 10^{-5} \text{ s}^{-1} \left(\frac{\tilde{\theta}}{10^{-3}} \right)^2 \left(\frac{m_{\nu_s}}{14 \text{ MeV}} \right)^5. \quad (\text{B4})$$

The condition of $\Gamma_{\text{dec}} = \tau^{-1} < 1 \text{ s}^{-1}$ is then satisfied when

$$m_{\nu_s} < 20 \text{ MeV} \left(\frac{\tilde{\theta}}{0.1} \right)^{-2/5}. \quad (\text{B5})$$

3. Full width at 1/e maximum of the resonance

A maximum in the effective mixing angle as a function of E is derived as follows. We define the function

$$f(E; T) = \sin^2 2\tilde{\theta} = \frac{\sin^2 2\theta}{\sin^2 2\theta + \cos^2 2\theta [1 + F(E_{\text{res}}, T) E^2]^2}, \quad (\text{B6})$$

where we defined

$$F(E_{\text{res}}, T) = D(T) - 1/E_{\text{res}}^2, \quad (\text{B7})$$

$$D(T) = \frac{C_\alpha G_F^2 T^4}{\cos 2\theta \alpha \delta m^2}. \quad (\text{B8})$$

In these equations, θ is the bare mixing angle between the sterile and active neutrinos, E_{res} is a parameter related to the extra dimension [Eq. (14)], C_α is the flavor (α) dependent constant, G_F is the Fermi constant, α is the fine structure constant, and δm^2 is the mass-squared difference of the sterile and active neutrinos.

The derivative of this function with respect to E is given by

$$\frac{df(E; T)}{dE} = \frac{-4\sin^2 2\theta \cos^2 2\theta [1 + F(E_{\text{res}}, T) E^2] F(E_{\text{res}}, T) E}{\{\sin^2 2\theta + \cos^2 2\theta [1 + F(E_{\text{res}}, T) E^2]^2\}^2}. \quad (\text{B9})$$

Maxima exist for $df/dE = 0$, i.e.,

$$[1 + F(E_{\text{res}}, T) E^2] F(E_{\text{res}}, T) = 0. \quad (\text{B10})$$

1. $F(E_{\text{res}}, T) = 0$ case

When $F(E_{\text{res}}, T) = 0$ is satisfied, $D(T) = 1/E_{\text{res}}^2$ holds. In this case, the effective mixing angle is the same as the mixing angle θ independent of E . Therefore, $f(E; T)$ is constant, and the condition $df/dE = 0$ is realized for any E . Thus, at the temperature satisfying $D(T) = 1/E_{\text{res}}^2$, there is no maximum. This temperature occurs only once.

2. $E^2 = -1/F(E_{\text{res}}, T)$ case

For this case, the peak energy is given by

$$E_{\text{peak}}(E_{\text{res}}, T) = \frac{E_{\text{res}}}{\sqrt{1 - D(T)E_{\text{res}}^2}}. \quad (\text{B11})$$

The maximum is given by

$$f(E_{\text{peak}}; T) = \frac{\sin^2 2\theta}{\sin^2 2\theta + \cos^2 2\theta [1 + F(E_{\text{res}}, T)E_{\text{peak}}^2]^2} = 1. \quad (\text{B12})$$

This maxima exist only for $F(E_{\text{res}}, T) < 0$, i.e., $D(T) < 1/E_{\text{res}}^2$. Therefore, the resonance appears after the temperature of the Universe decreases to some critical temperature.

After the condition $D(T)E_{\text{res}}^2 = 1$ is satisfied, the peak energy quickly moves from infinity to E_{res} as the temperature decreases. The asymptotic value of E_{peak} at low T values is E_{res} . We note that sterile neutrinos with energies below a critical value do not experience any resonance since this peak energy never overlaps the sterile neutrino energy. On the other hand, a sterile neutrino with energies above the critical value has two resonance epochs in general. The sterile neutrino energy that is redshifting once becomes larger than E_{peak} while the peak energy is decreasing. After that, the redshifting energy becomes smaller than $E_{\text{peak}} \approx E_{\text{res}}$. These behaviors of the first and second resonances are shown in Appendix B 6 below.

The full width at 1/e maximum of $\sin^2 2\tilde{\theta}(E)$ (for $F < 0$) is derived as follows:

$$\sin^2 2\tilde{\theta} = \frac{\sin^2 2\theta}{\sin^2 2\theta + \cos^2 2\theta [1 + F(E_{\text{res}}, T)E^2]^2} \geq \frac{1}{e} \quad (\text{B13})$$

$$\Rightarrow \sqrt{1 - \tan 2\theta \sqrt{e-1}} \leq \frac{E}{E_{\text{peak}}} \leq \sqrt{1 + \tan 2\theta \sqrt{e-1}} \quad (\text{B14})$$

$$\Rightarrow 1 - \theta \sqrt{e-1} \leq \frac{E}{E_{\text{peak}}} \leq 1 + \theta \sqrt{e-1} \quad (\text{for } \theta \ll 1). \quad (\text{B15})$$

We note that the energy at which the maximum of the function f appears, i.e., E_{peak} , depends on T . Especially, at the second resonance, the matter term $D(T)E_{\text{res}}^2$ in Eq. (B11) is subdominant, and the energy E_{peak} does not significantly dependent on T . When we approximate E_{peak} with E_{res} , the full width at 1/e maximum is given by

$$\frac{\Delta E}{E_{\text{res}}} \approx 2\theta \sqrt{e-1} \quad (\text{for } \theta \ll 1) \quad (\text{B16})$$

$$\Rightarrow \Delta \ln a = 2\theta \sqrt{e-1} \quad (\text{for } \theta \ll 1), \quad (\text{B17})$$

where $\Delta \ln a$ is the scale factor interval in logarithmic scale corresponding to the duration of the second resonance of the mixing angle.

4. Boltzmann equation

As the neutrino energy redshifts, it pass through the resonant region in the effective mixing angle $\tilde{\theta}(E)$. Although the width of resonance can be narrow, when $T \sim E_{\text{res}}$ is satisfied, all the energy region of $E \sim T$ experiences the resonance peak. Therefore, the approximation of the Boltzmann equation by the rate equation would not introduce a very large error in the final sterile neutrino abundance, although there is certainly some error.

The Boltzmann equation of the sterile neutrino in the Friedmann-Lemaître-Robertson-Walker universe is given [23,59] by

$$(\partial_t - H p \partial_p) f_k(p, t) = H a \partial_a f_k(y, a) = I_{\text{coll}}, \quad (\text{B18})$$

where

$$I_{\text{coll}} = \frac{1}{2E_k} \sum_{\text{process}} \int \prod_{i \neq k} \left[\frac{d^3 p_i}{2E_i (2\pi)^3} \right] \prod_{j \neq k} \left[\frac{d^3 p_j}{2E_j (2\pi)^3} \right] (2\pi)^4 \times \delta^{(4)} \left(\sum_i p_i - \sum_f p_f \right) \frac{1}{2} S |A_{if}|^2 F(f_i, f_f) \quad (\text{B19})$$

is the collision integral with

$$F(f_i, f_f) = -\prod_i f_i \prod_f (1 - f_f) + \prod_f f_f \prod_i (1 - f_i) \quad (\text{B20})$$

the factor for the phase space. In these equations, t is the cosmic time; p is the momentum; $H = \dot{a}/a$ is the cosmic expansion rate with $a(t)$ the scale factor of the universe; f_l is the phase space distribution function of a fermion l ; E_l is the total energy of l ; indices i and j are used for particles in the initial and final states, respectively; the factor of 1/2 is for taking a spin average for particles in the initial state; $S = 1/m!$ with m the number of identical particles in the final state; and A_{ij} is the matrix element. In Eq. (B19), the sum is taken over process. At the first equality in Eq. (B18), the variable $y = pa(t)$ is defined, and the distribution function $f(a, y)$ is considered.

Matrix elements of a sterile neutrino are listed in Tables 1 and 2 in Ref. [59]. We consider the relatively light sterile neutrino, i.e., $m_{\nu_s} < 2m_e$, where $m_e = 0.510999$ MeV is the electron mass. Then, the sterile neutrino decay into an e^+e^- pair plus an active neutrino does not occur energetically. In addition, since we consider cosmic temperatures which are well above the electron mass, terms proportional to m_e^2 in matrix elements can be neglected. Furthermore, it is assumed that all fermions except the sterile neutrino have the exact Fermi-Dirac distribution and that masses of those fermions are neglected. In the decay and scattering processes, we adopt indices as $1 \rightarrow 2 + 3 + 4$ and $1 + 2 \rightarrow 3 + 4$ and identify index 1 to be the sterile neutrino.

The collision term for decay processes of $\nu_s \rightarrow \nu_\tau + \nu_a + \bar{\nu}_a$ ($a = e, \mu, \tau$) is given by

$$I_{\text{coll,d}} = \frac{4}{(2\pi)^5} G_F^2 \tilde{\theta}^2 \frac{1}{E_1} \int \frac{d^3 p_2}{E_2} \frac{d^3 p_3}{E_3} \frac{d^3 p_4}{E_4} \times \delta^{(4)}[p_1 - (p_2 + p_3 + p_4)] (p_1 \cdot p_4) (p_2 \cdot p_3) \times F(f_i, f_f). \quad (\text{B21})$$

For the scattering processes, it is

$$I_{\text{coll,s}} = \frac{4(1 + \tilde{g}_L^2 + g_R^2)}{(2\pi)^5} G_F^2 \tilde{\theta}^2 \frac{1}{E_1} \int \frac{d^3 p_2}{E_2} \frac{d^3 p_3}{E_3} \frac{d^3 p_4}{E_4} \times \delta^{(4)}[p_1 + p_2 - (p_3 + p_4)] [2(p_1 \cdot p_4)(p_2 \cdot p_3) + (p_1 \cdot p_2)(p_3 \cdot p_4)] F(f_i, f_f), \quad (\text{B22})$$

where we defined

$$\tilde{g}_L = -\frac{1}{2} + \sin^2 \theta_W, \quad (\text{B23})$$

$$g_R = \sin^2 \theta_W, \quad (\text{B24})$$

with the weak angle $\sin^2 \theta_W = 0.23$ [39]. We considered 11 processes in Ref. [59] for scattering processes which are $\nu_s + \bar{\nu}_a \rightarrow \nu_a + \bar{\nu}_a$, $\nu_s + \nu_a \rightarrow \nu_\tau + \nu_a$, $\nu_s + \bar{\nu}_\tau \rightarrow \nu_{e(\mu)} + \bar{\nu}_{e(\mu)}$, $\nu_s + \bar{\nu}_\tau \rightarrow e^+ + e^-$, and $\nu_s + e^\pm \rightarrow \nu_\tau + e^\pm$.

The two terms in Eq. (B22) are separately defined as

$$I_{\text{coll,s}}^{(1)} = \frac{4(1 + \tilde{g}_L^2 + g_R^2)}{(2\pi)^5} G_F^2 \tilde{\theta}^2 \frac{1}{E_1} \int \frac{d^3 p_2}{E_2} \frac{d^3 p_3}{E_3} \frac{d^3 p_4}{E_4} \times \delta^{(4)}[p_1 + p_2 - (p_3 + p_4)] \times 2(p_1 \cdot p_4)(p_2 \cdot p_3) F(f_i, f_f) \quad (\text{B25})$$

$$I_{\text{coll,s}}^{(2)} = \frac{4(1 + \tilde{g}_L^2 + g_R^2)}{(2\pi)^5} G_F^2 \tilde{\theta}^2 \frac{1}{E_1} \int \frac{d^3 p_2}{E_2} \frac{d^3 p_3}{E_3} \frac{d^3 p_4}{E_4} \delta^{(4)}[p_1 + p_2 - (p_3 + p_4)] (p_1 \cdot p_2)(p_3 \cdot p_4) F(f_i, f_f). \quad (\text{B26})$$

Performing the integrals, we obtain the exact formulas for $\mathbf{p}_1 \neq 0$ as follows. For the decay term,

$$I_{\text{coll,d}} = \frac{1}{2\pi^3} G_F^2 \tilde{\theta}^2 \left\{ -\frac{f_1(E_1)}{p_1} \left[\int_0^{\frac{E_1-p_1}{2}} dE_4 \int_{|p_1-p_4|}^{p_1+p_4} dR + \int_{\frac{E_1+p_1}{2}} dE_4 \int_{|p_1-p_4|}^{E_1-E_4} dR \right] \times [1 - f_4(E_4)] [(E_1 - E_4)^2 - R^2] \left[p_4 - \frac{p_1^2 + p_4^2 - R^2}{2E_1} \right] G_1(E_1, E_4, R) + \frac{1 - f_1(E_1)}{p_1} \left[\int_0^{\frac{E_1-p_1}{2}} dE_4 \int_{|p_1-p_4|}^{p_1+p_4} dR + \int_{\frac{E_1+p_1}{2}} dE_4 \int_{|p_1-p_4|}^{E_1-E_4} dR \right] \times f_4(E_4) [(E_1 - E_4)^2 - R^2] \left[p_4 - \frac{p_1^2 + p_4^2 - R^2}{2E_1} \right] G_2(E_1, E_4, R) \right\} \quad (\text{B27})$$

$$G_1(E_1, E_4, R) \equiv \int_{E_{2,\text{min,d}}}^{E_{2,\text{max,d}}} [1 - f_2(E_2)] [1 - f_3(E_1 - E_4 - E_2)] dE_2 \quad (\text{B28})$$

$$= \begin{cases} \frac{T}{1 - e^{-a_2}} \left[\ln \frac{\exp(x_{2,\text{max,d}}) + 1}{\exp(x_{2,\text{max,d}}) + \exp(a_2)} - \ln \frac{\exp(x_{2,\text{min,d}}) + 1}{\exp(x_{2,\text{min,d}}) + \exp(a_2)} \right] & (a_2 \neq 0) \\ T \left(\frac{1}{\exp(x_{2,\text{min,d}}) + 1} - \frac{1}{\exp(x_{2,\text{max,d}}) + 1} \right) & (a_2 = 0) \end{cases} \quad (\text{B29})$$

$$G_2(E_1, E_4, R) \equiv \int_{E_{2,\text{min,d}}}^{E_{2,\text{max,d}}} f_2(E_2) f_3(E_1 - E_4 - E_2) dE_2 \quad (\text{B30})$$

$$= \begin{cases} \frac{T}{e^{a_2} - 1} \left[\ln \frac{\exp(x_{2,\text{max,d}}) + 1}{\exp(x_{2,\text{max,d}}) + \exp(a_2)} - \ln \frac{\exp(x_{2,\text{min,d}}) + 1}{\exp(x_{2,\text{min,d}}) + \exp(a_2)} \right] & (a_2 \neq 0) \\ T \left(\frac{1}{\exp(x_{2,\text{min,d}}) + 1} - \frac{1}{\exp(x_{2,\text{max,d}}) + 1} \right) & (a_2 = 0) \end{cases} \quad (\text{B31})$$

$$x_{2,\text{min,d}} = \frac{E_{2,\text{min,d}}}{T} = \frac{E_1 - E_4 - R}{2T} \quad (\text{B32})$$

$$x_{2 \max, d} = \frac{E_{2 \max, d}}{T} = \frac{E_1 - E_4 + R}{2T} \quad (\text{B33})$$

$$a_2 = \frac{E_1 - E_4}{T}, \quad (\text{B34})$$

where the first and second terms in Eq. (B27) correspond to the decay and inverse-decay terms, respectively. For scattering terms, we obtain

$$\begin{aligned} I_{\text{coll}, s}^{(1)} = & \frac{(1 + \tilde{g}_L^2 + g_R^2)}{2\pi^3} G_F^2 \tilde{\theta}^2 \left\{ -\frac{f_1(E_1)}{p_1} \left[\int_{\frac{E_1-p_1}{2}}^{\frac{E_1+p_1}{2}} dE_4 \int_{E_1-E_4}^{p_1+E_4} dR + \int_{\frac{E_1+p_1}{2}}^{\infty} dE_4 \int_{E_4-p_1}^{E_4+p_1} dR \right] \right. \\ & \times [1 - f_4(E_4)][R^2 - (E_1 - E_4)^2] \left[p_4 - \frac{p_1^2 + p_4^2 - R^2}{2E_1} \right] G_3(E_1, E_4, R) \\ & + \frac{1 - f_1(E_1)}{p_1} \left[\int_{\frac{E_1-p_1}{2}}^{\frac{E_1+p_1}{2}} dE_4 \int_{E_1-E_4}^{p_1+E_4} dR + \int_{\frac{E_1+p_1}{2}}^{\infty} dE_4 \int_{E_4-p_1}^{E_4+p_1} dR \right] \\ & \left. \times f_4(E_4)[R^2 - (E_1 - E_4)^2] \left[p_4 - \frac{p_1^2 + p_4^2 - R^2}{2E_1} \right] G_4(E_1, E_4, R) \right\} \quad (\text{B35}) \end{aligned}$$

$$G_3(E_1, E_4, R) \equiv \int_{E_{2 \min, s}}^{\infty} f_2(E_2)[1 - f_3(E_1 - E_4 + E_2)] dE_2 \quad (\text{B36})$$

$$= \begin{cases} \frac{T}{1 - e^{-a_2}} \left[a_2 - \ln \frac{\exp(x_{2 \min, s} + a_2) + 1}{\exp(x_{2 \min, s}) + 1} \right] & (a_2 \neq 0) \\ T \frac{1}{\exp(x_{2 \min, s}) + 1} & (a_2 = 0) \end{cases} \quad (\text{B37})$$

$$G_4(E_1, E_4, R) \equiv \int_{E_{2 \min, s}}^{\infty} [1 - f_2(E_2)] f_3(E_1 - E_4 + E_2) dE_2 \quad (\text{B38})$$

$$= \begin{cases} \frac{T}{e^{a_2} - 1} \left[a_2 - \ln \frac{\exp(x_{2 \min, s} + a_2) + 1}{\exp(x_{2 \min, s}) + 1} \right] & (a_2 \neq 0) \\ T \frac{1}{\exp(x_{2 \min, s}) + 1} & (a_2 = 0) \end{cases} \quad (\text{B39})$$

$$x_{2 \min, s} = \frac{E_{2 \min, s}}{T} = \frac{R - E_1 + E_4}{2T} \quad (\text{B40})$$

$$a_2 = \frac{E_1 - E_4}{T}, \quad (\text{B41})$$

and

$$\begin{aligned} I_{\text{coll}, s}^{(2)} = & \frac{(1 + \tilde{g}_L^2 + g_R^2)}{4\pi^3} G_F^2 \tilde{\theta}^2 \left\{ -\frac{f_1(E_1)}{p_1} \int_0^{\infty} f_2(E_2) dE_2 \right. \\ & \times \int_{|p_1-p_2|}^{p_1+p_2} dR [(E_1 + E_2)^2 - R^2] \left[p_2 - \frac{R^2 - p_1^2 - p_2^2}{2E_1} \right] G_5(E_1, E_2, R) \\ & + \frac{1 - f_1(E_1)}{p_1} \int_0^{\infty} [1 - f_2(E_2)] dE_2 \\ & \left. \times \int_{|p_1-p_2|}^{p_1+p_2} dR [(E_1 + E_2)^2 - R^2] \left[p_2 - \frac{R^2 - p_1^2 - p_2^2}{2E_1} \right] G_6(E_1, E_2, R) \right\} \quad (\text{B42}) \end{aligned}$$

$$G_5(E_1, E_2, R) \equiv \int_{E_{4\min,s}}^{E_{4\max,s}} [1 - f_4(E_4)][1 - f_3(E_1 + E_2 - E_4)] dE_4 \quad (\text{B43})$$

$$= \begin{cases} \frac{T}{1-e^{-a_4}} \left[\ln \frac{\exp(x_{4\max,s})+1}{\exp(x_{4\max,s})+\exp(a_4)} - \ln \frac{\exp(x_{4\min,s})+1}{\exp(x_{4\min,s})+\exp(a_4)} \right] & (a_4 \neq 0) \\ T \left(\frac{1}{\exp(x_{4\min,s})+1} - \frac{1}{\exp(x_{4\max,s})+1} \right) & (a_4 = 0) \end{cases} \quad (\text{B44})$$

$$G_6(E_1, E_2, R) \equiv \int_{E_{4\min,s}}^{E_{4\max,s}} f_4(E_4) f_3(E_1 + E_2 - E_4) dE_4 \quad (\text{B45})$$

$$= \begin{cases} \frac{T}{e^{a_4}-1} \left[\ln \frac{\exp(x_{4\max,s})+1}{\exp(x_{4\max,s})+\exp(a_4)} - \ln \frac{\exp(x_{4\min,s})+1}{\exp(x_{4\min,s})+\exp(a_4)} \right] & (a_4 \neq 0) \\ T \left(\frac{1}{\exp(x_{4\min,s})+1} - \frac{1}{\exp(x_{4\max,s})+1} \right) & (a_4 = 0) \end{cases} \quad (\text{B46})$$

$$x_{4\min,s} = \frac{E_{4\min,s}}{T} = \frac{E_1 + E_2 - R}{2T} \quad (\text{B47})$$

$$x_{4\max,s} = \frac{E_{4\max,s}}{T} = \frac{E_1 + E_2 + R}{2T} \quad (\text{B48})$$

$$a_4 = \frac{E_1 + E_2}{T}, \quad (\text{B49})$$

where the first and second terms in Eqs. (B35) and (B42) correspond to the collisional destruction and production terms, respectively. We note that in this formulation we adopted variables $\mathbf{R} = \mathbf{p}_1 - \mathbf{p}_4$ (for terms $I_{\text{coll,d}}^{(1)}$ and $I_{\text{coll,s}}^{(1)}$) and $\mathbf{R} = \mathbf{p}_1 - \mathbf{p}_2$ (for a term $I_{\text{coll,s}}^{(2)}$).

Especially when the mass of the sterile neutrino is much larger than the temperature, the Pauli blocking effect is negligible in the phase factor [Eq. (B20)]. Then, the decay term in Eq. (B27) becomes

$$I_{\text{coll,d}}^{\text{decay}} = -\frac{1}{192\pi^3} G_{\text{F}}^2 \tilde{\theta}^2 m_{\nu_s}^5 f_1(E_1). \quad (\text{B50})$$

This gives the lifetime of the sterile neutrino at low temperatures, i.e.,

$$\tau_{\nu_s}(T=0) = \left[\frac{1}{192\pi^3} G_{\text{F}}^2 \tilde{\theta}^2 m_{\nu_s}^5 \right]^{-1}. \quad (\text{B51})$$

By using replacement for terms of the distribution function as $(1 - f_l) \leftrightarrow 1$ and $f_l = [\exp(E_l/T) + 1]^{-1} \leftrightarrow \exp(-E_l/T)$, inaccurate and analytic expressions for the collision terms are derived and used frequently. For example, Eq. (23) in Ref. [59] for $p_{\nu_s} = 0$ ($E_{\nu_s} = m_{\nu_s}$) is reproduced using the replacement in Eqs. (B21) and (B22). However, an error of a factor of up to 2 is introduced by each replacement of $(1 - f_l) \leftrightarrow 1$ or $f_l = [\exp(E_l/T) + 1]^{-1} \leftrightarrow \exp(-E_l/T)$, in general. Therefore, we should use the exact collision terms as given above.

5. Abundance increase at the resonance

We assume that the sterile neutrino is ultrarelativistic before the decoupling. The equilibrium distribution function of the fermion, i.e., the Fermi-Dirac function, is given by

$$f_{\text{EQ}}(E, t) = \frac{1}{\exp(E/T(t)) + 1}, \quad (\text{B52})$$

$$f_{\text{EQ}}(y, a) = \frac{1}{\exp\{y/[aT(a)]\} + 1}, \quad (\text{B53})$$

where we define $y \equiv E_0$ and $a_0 = 1$ as the sterile neutrino energy and the scale factor at the initial temperature $T_0 = 100$ GeV. The product aT has the scaling derived below.

Suppose that the abundance of the sterile neutrino is very small initially and it increases significantly during the resonance epoch. If the final abundance does not reach the equilibrium abundance, the abundance change roughly scales as

$$\begin{aligned} \Delta f(y, a)_{\text{res}} &\sim \Gamma_{\nu_s}(E_{\text{peak}}, T_{\text{peak}}(y)) \Delta t_{\text{peak}} f_{\text{EQ}}(y, a_{\text{peak}}) \\ &\propto \Gamma_{\nu_s}(E_{\text{peak}}, T_{\text{peak}}(y)) \theta \frac{1}{H(T_{\text{peak}}(y))} f_{\text{EQ}}(y, a_{\text{peak}}) \\ &\propto \theta T_{\text{peak}}(y)^3 f_{\text{EQ}}(y, a_{\text{peak}}), \end{aligned} \quad (\text{B54})$$

where $T_{\text{peak}}(y)$ is the temperature at which the resonant mixing occurs for a given y , $a_{\text{peak}}(y)$ is the scale factor

corresponding to the temperature, and $\Gamma_{\nu_s}(E_{\text{peak}}, T_{\text{peak}}(y))$ is the sterile neutrino production rate at the energy E_{peak} and the temperature $T_{\text{peak}}(y)$.

On the other hand, if the reaction rate is very large, the final abundance becomes the equilibrium abundance. Since the difference in the final abundance between the exact and approximated treatment using the Boltzmann and the rate equations, respectively, is small in the latter case, we focus on the former case in what follows.

The resonant mixing for a fixed y value occurs when the energy redshifts to the peak energy, i.e.,

$$E_{\text{peak}} = \frac{y}{a_{\text{peak}}(y)}. \quad (\text{B55})$$

The entropy per comoving volume is given by

$$S = sa^3 = \frac{2\pi^2}{45} g_{*S} T^3 a^3, \quad (\text{B56})$$

where s is the entropy density of the universe and g_{*S} is the statistical degrees of freedom for entropy. The entropy conservation during the resonance leads to the equation

$$\begin{aligned} T_{\text{peak}}(y) &= \frac{T_0}{a_{\text{peak}}(y)} \left(\frac{g_{*S0}}{g_{*S,\text{peak}}(y)} \right)^{1/3} \\ &= T_0 \frac{E_{\text{peak}}}{y} \left(\frac{g_{*S0}}{g_{*S,\text{peak}}(y)} \right)^{1/3}, \end{aligned} \quad (\text{B57})$$

where g_{*S0} and $g_{*S,\text{peak}}(y)$ are the values of g_{*S} at T_0 and $T_{\text{peak}}(y)$, respectively.

We then obtain

$$\begin{aligned} f_{\text{EQ}}(E_{\text{peak}}, t(a_{\text{peak}}(y))) &= \frac{1}{\exp(E_{\text{peak}}/T_{\text{peak}}(y)) + 1} \\ &= \frac{1}{\exp\left[\frac{y}{T_0} \left(\frac{g_{*S,\text{peak}}(y)}{g_{*S0}}\right)^{1/3}\right] + 1}. \end{aligned} \quad (\text{B58})$$

The change in the distribution function is approximately given by

$$\Delta f(y, a)_{\text{res}} \propto \frac{\theta T_{\text{peak}}(y)^3}{\exp(E_{\text{peak}}/T_{\text{peak}}) + 1}. \quad (\text{B59})$$

At the first resonance, the peak temperature is rather constant [see Eq. (B65) below] since the value of E_{peak} quickly evolves. The change is then given by

$$\Delta f(y, a)_{\text{res}}^1 \propto \frac{1}{\exp\left[\frac{y}{T_0} \left(\frac{g_{*S,\text{peak}}(y)}{g_{*S0}}\right)^{1/3}\right] + 1}. \quad (\text{B60})$$

At the second resonance, on the other hand, the peak energy is close to E_{res} , and the value of $T_{\text{peak}}(y)$ significantly depends on y . The change is then given by

$$\Delta f(y, a)_{\text{res}}^2 \propto \frac{1}{y^3 g_{*S,\text{peak}}(y)} \frac{1}{\exp\left[\frac{y}{T_0} \left(\frac{g_{*S,\text{peak}}(y)}{g_{*S0}}\right)^{1/3}\right] + 1}. \quad (\text{B61})$$

6. Test calculation

We check a difference in the distribution function of the sterile neutrino derived from the exact calculation and the simplified estimation. To check the expectable maximum difference, we choose a case in which the initial abundance of the sterile neutrino is negligible. For example, we take $m_{\nu_s} = 1$ eV, $\theta = 10^{-8}$, and $E_{\text{res}} = 10$ MeV. Then, even at the cosmic temperature of the electroweak phase transition of $T \sim 200$ GeV, the sterile neutrino is not in the equilibrium.

This is shown by the fact that the sterile neutrino production rate is smaller than the cosmic expansion rate using the following equation. The production rate of the sterile neutrino and the cosmic expansion rate are, respectively, given [Eqs. (A10) and (A18)] by

$$\Gamma_{\nu_s} \sim G_{\text{F}}^2 \tilde{\theta}^2 T^5, \quad (\text{B62})$$

$$H \sim \frac{g_*^{1/2} T^2}{M_{\text{Pl}}}, \quad (\text{B63})$$

where g_* is the statistical degrees of freedom for energy and M_{Pl} is the Planck mass. Then, we have a relation (Eq. (7.11) in Ref. [34]):

$$\frac{\Gamma_{\nu_s}}{H} \sim \left(\frac{\tilde{\theta}}{10^{-3}} \right)^2 \left(\frac{g_*}{63.75} \right)^{-1/2} \left(\frac{T}{0.2 \text{ GeV}} \right)^3. \quad (\text{B64})$$

For the adopted parameter set, the sterile neutrino abundance is very small before the resonant mixing occurs. Therefore, we can assume that the abundance is zero at the initial time of the calculation. We can then estimate the maximum difference in the distribution function calculated by the Boltzmann equation and the rate equation from this result. We note that the flavor-change probability is the average value for the case of complete oscillation [Eq. (A18)] in the whole temperature region until the sterile neutrino decoupling for this parameter set (see Appendix A).

Figure 14 shows the ratio of the calculated distribution function and the equilibrium function, i.e., $f/f_{\text{EQ}}(y)$, (solid lines) as a function of temperature for $y/T_0 = 0.25, 1, 2, 3.15, 4,$ and 5 . At high temperature, the effective mixing angle is hindered by the matter effect [Eq. (A1)]. As the temperature decreases, the effective mixing angle increases, and the distribution function increases also. Since the effective mixing angle is smaller for larger energy E , the distribution function is larger for smaller E or smaller $y = E_0$ values. At $T = 35.4$ MeV, the $1 +$ matter term in Eq. (A1) cancels with the extra-dimensional term in the square brackets. Therefore, the effective mixing angle becomes large for a short time resonantly. This first resonance occurs at the temperature [cf. Eq. (A1)]

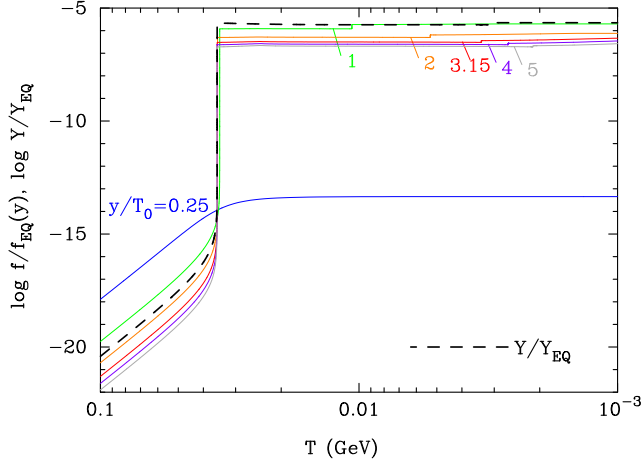


FIG. 14. Temperature evolution of distribution function $f/f_{\text{EQ}}(y)$ (solid lines) for $y/T_0 = 0.25, 1, 2, 3.15, 4,$ and 5 . The temperature evolution of the abundance Y/Y_{EQ} is also shown (dashed line). The mass and the bare mixing angle of the sterile neutrino are set to $m_{\nu_s} = 1$ eV and $\theta = 10^{-8}$, respectively. The resonant energy is $E_{\text{res}} = 10$ MeV.

$$T_{\text{res},1} \approx \left[\frac{\cos 2\theta \alpha \delta m^2}{C_\alpha G_F^2 E_{\text{res}}^2} \right]^{1/4}. \quad (\text{B65})$$

The values of distribution function then suddenly increase, except those at low energies (see the curve for $y/T_0 = 0.25$). This resonance does not exist for low energies for the following reason: when the matter term becomes smaller than the extra-dimensional term, the absolute value $|(E/E_{\text{res}})|^2$ is already relatively small. Therefore, the square brackets do not become very close to zero, and the strong resonance of $\sin^2 2\tilde{\theta} \approx 1$ is never realized.

After the first resonance temperature, the second resonance occurs at a temperature which is significantly dependent on the energy y . One can see a slight increase in the distribution function $f(y)$ at the second resonance. In general, at this point, the matter term becomes negligible, and the extra-dimensional term cancels with unity in the square brackets of Eq. (A1). This resonance approximately occurs at the time when the sterile neutrino energy is identical to the resonant energy E_{res} . The second resonance is then given by the condition [cf. Eqs. (B55) and (B57)]

$$T_{\text{res},2}(y) \approx T_0 \frac{E_{\text{res}}}{y} \left(\frac{g_{*S0}}{g_{*S,\text{res}}(y)} \right)^{1/3}. \quad (\text{B66})$$

The second resonant temperature becomes the smaller for the larger energies y . The dashed line shows the abundance ratio Y/Y_{EQ} calculated by solving the rate equation [Eq. (8)]. It is close to the ratio of the distribution function $f/f_{\text{EQ}}(3.15T_0)$, i.e., the value for the average energy of the equilibrium distribution, although a difference by a factor of 5–10 exists between the two lines.

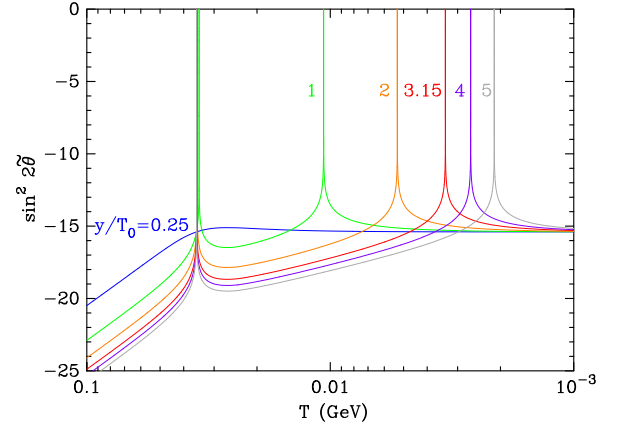


FIG. 15. The effective mixing angle as a function of temperature for $y/T_0 = 0.25, 1, 2, 3.15, 4,$ and 5 . Adopted parameters are the same as in Fig 14.

Figure 15 shows the effective mixing angle as a function of temperature for $y/T_0 = 0.25, 1, 2, 3.15, 4,$ and 5 . No resonance exists for the low energy of $y/T_0 = 0.25$ as explained above, and there are two resonances for other energies.

Figure 16 shows the distribution function of the sterile neutrino as a function of the initial energy $y = E_0$ at $T = 100, 40, 35, 30, 10,$ and 3 MeV (solid lines). At $T = 100$ MeV, no resonance has come for the effective mixing angle, and the distribution function is low totally and higher for low energies (cf. Fig. 14). At $T = 40$ MeV before the first resonance, the distribution function is larger but still very small. At $T = 35$ MeV during the first resonance, the distribution function suddenly increases. This increase occurs from larger y to lower y . The first resonance occurs at $FE^2 = -1$, which is realized earlier,

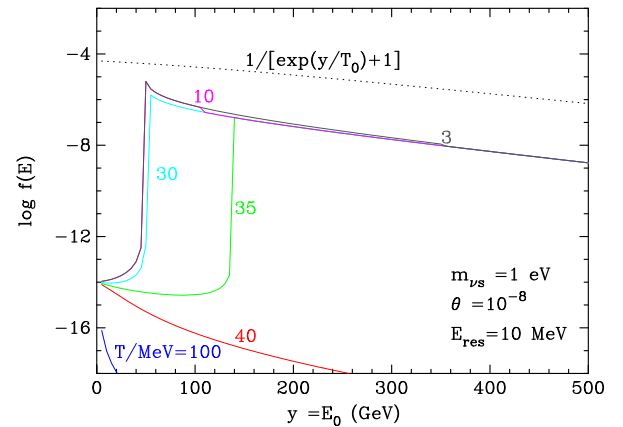


FIG. 16. The distribution function of the sterile neutrino as a function of $y = E_0$ at $T = 100, 40, 35, 30, 10,$ and 3 MeV (solid lines). Adopted parameters are the same as in Fig 14. The dotted line is the equilibrium function at the initial temperature T_0 that is normalized arbitrarily.

i.e., at higher T , for larger y [see Eq. (B7)]. At $T = 30$ MeV, the distribution function is large for energies larger than $y \sim 50$ GeV. At $T = 10$ MeV, the large value of the distribution function is extended to somewhat lower energy y , and a slight increase of the function for $y \lesssim 100$ GeV is observed. This slight increase is caused by the second resonance which occurs earlier for lower y values. At $T = 3$ MeV, the distribution function in the range of $y \sim [100, 350]$ GeV is larger than that of $T = 10$ MeV because of the effect of the second resonance.

The dotted line is the equilibrium function at the initial temperature T_0 that is normalized arbitrarily. As seen from the equilibrium function and the last distribution function at $T = 3$ MeV, the real distribution function is different from the equilibrium spectrum. The main differences are (1) the cutoff energy below which the distribution function is very small because of no resonance and (2) a different

dependence of the function on energy. The production rate of the sterile neutrino is larger for smaller energy of the sterile neutrino. Therefore, the increase of the distribution function at the resonance is larger for smaller energies (see Fig. 14). As a result, the final distribution function for low energies is enhanced with respect to the equilibrium spectrum.

We derive the final energy density of the sterile neutrino $\rho_{\nu_s} = 1.3 \times 10^{-19}$ GeV⁴ from the integration of Boltzmann equation. The approximate energy density from the integration of the rate equation is $\rho_{\nu_s} = 6.4 \times 10^{-19}$ GeV⁴. It is then found that the use of the rate equation gives a rough estimation of the sterile neutrino energy density, although there are significant differences in spectra and the total number densities from values of the calculation of an exact Boltzmann equation.

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