

## Simultaneous baldness and cosmic baldness and the Kottler spacetime

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The uniqueness of the Kottler/Schwarzschild-de Sitter solution (KSdS) of the vacuum Einstein equations with positive cosmological constant is discussed and certain putative alternatives are shown to either solve different equations or to be the KSdS solution in disguise. A simultaneous no-hair and cosmic no-hair theorem for the KSdS geometry in the presence of an imperfect fluid is proved.

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### I. INTRODUCTION

The Jebsen-Birkhoff theorem [1,2] stating that the Schwarzschild geometry is the unique vacuum, spherically symmetric, and asymptotically flat solution of the Einstein equations is standard textbook material (see [3] for a review). Almost-Birkhoff theorems studying small deviations from spherical symmetry or vacuum have also been discussed [4,5]. Relaxing the assumptions of the Jebsen-Birkhoff theorem to allow for an infinite distribution of matter leads to a variety of inhomogeneous universes [6,7], which shows that there is no unique spherical solution with Friedmann-Lemaître-Robertson-Walker (FLRW) asymptotics. However, it is straightforward to extend the proof of the Jebsen-Birkhoff theorem to vacuum with a cosmological constant  $\Lambda$  to deduce that the unique spherical solution of the vacuum Einstein equations in this case is the Kottler/Schwarzschild-de Sitter metric [8] (hereafter KSdS) if  $\Lambda > 0$  and the asymptotics are de Sitter, or the Schwarzschild-anti-de Sitter metric (SAdS) if  $\Lambda < 0$  and the asymptotics are anti-de Sitter. In locally static Schwarzschild-like coordinates  $(T, R, \theta, \varphi)$  the KSdS metric has the form

$$ds^2 = -\left(1 - \frac{2m}{R} - H^2 R^2\right)dT^2 + \frac{dR^2}{1 - \frac{2m}{R} - H^2 R^2} + R^2 d\Omega_{(2)}^2. \quad (1.1)$$

Here  $m$  and  $H = \sqrt{\Lambda/3}$  are positive constants and  $d\Omega_{(2)}^2 = d\theta^2 + \sin^2\theta d\varphi^2$  is the line element on the unit 2-sphere. The KSdS geometry plays the role of the prototypical black hole embedded in de Sitter space. The latter is extremely important for early universe inflation [9,10] and is the

late-time attractor of many dark energy and modified gravity models attempting to explain the current acceleration of the cosmic expansion [11] discovered in 1998 with type Ia supernovae. Likewise, anti-de Sitter space plays a prominent role in string theories and in the AdS/CFT correspondence [12] which have been the subject of a large amount of literature (see [13] for recent reviews). It is surprising, therefore, that modern relativity textbooks do not mention the Jebsen-Birkhoff theorem in the presence of a cosmological constant, although occasionally one finds in the literature an explicit statement about the uniqueness of the Schwarzschild-(anti-)de Sitter space (e.g., [5,14,15]). A proof of the Jebsen-Birkhoff theorem extended to include a nonvanishing  $\Lambda$  is available in Synge's 1960 textbook<sup>1</sup> on general relativity [16]. More mathematically sophisticated proofs of the uniqueness of the KSdS and SAdS space are contained in old and recent Ref. [17] (see [18] for a simpler proof in null coordinates). Similar to the situation of the Schwarzschild solution, uniqueness implies that the KSdS and SAdS solutions are stable with respect to perturbations, the stability being established in Refs. [19–22]. In spite of all this evidence, various works purport the existence of spherical solutions of the vacuum Einstein equations with  $\Lambda > 0$  which are alternatives to the KSdS one. This clearly cannot be true, or else these solutions must reduce to KSdS in disguise. There are also more general solutions of the Einstein equations representing central inhomogeneities embedded in FLRW spaces, which seem to reduce to alternatives to the KSdS solution in the special case when the FLRW “background” reduces to de Sitter. Again, this cannot be the case. Although other authors presenting these solutions do not claim that they are alternatives to KSdS, nevertheless a situation was created which is unclear about

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<sup>1</sup>Synge does not mention Kottler's paper [8], nor does he refer to the KSdS solution as Schwarzschild-de Sitter but states that the metric is properly called the “Schwarzschild solution” only when  $\Lambda = 0$ .

the unique status of KSdS. To make matters worse, alternative gravity was introduced. There is much interest in theories of alternative gravity to general relativity and in the study of their spherical solutions for various reasons. Although the Jebsen-Birkhoff theorem breaks down already in simple scalar-tensor gravity, some no-hair theorems persist and their relation with a positive cosmological constant has been discussed in the literature [23–26]. In particular, there are claims that spherical polytropic stars cannot match the KSdS exterior in scalar-tensor and  $f(R)$  gravity [27], although the situation is still unclear in this regard [28]. Perhaps this happens because the KSdS solution is not adequate to describe inhomogeneous universes in these theories, but then one does not know which solution of the relevant field equations should be matched with the interior of a polytropic star, or with any local spherical object. It does not help these investigations if the situation is already confused in general relativity. Our purpose here is to clarify the status of KSdS space in general relativity and to reveal putative alternatives as KSdS in disguise due to the use of nonstandard coordinate systems, or to identify them as genuinely different solutions which obey different field equations with matter sources. We then present a new no-hair/cosmic no-hair theorem related to KSdS space in the presence of an imperfect fluid. We use units in which Newton's constant  $G$  and the speed of light  $c$  are unity, and we follow the notation of Ref. [29].

## II. UNIQUENESS OF THE KSdS METRIC

The most general spherically symmetric line element in four spacetime dimensions can be written in the form

$$ds^2 = -A^2(t, R)dt^2 + B^2(t, R)dR^2 + R^2 d\Omega_{(2)}^2. \quad (2.1)$$

Here we provide a proof of the uniqueness of the KSdS spacetime in the gauge (2.1) used throughout this work, which employs the areal radius as the radial coordinate. The vacuum Einstein equations

$$G_{ab} = -\Lambda g_{ab} \quad (2.2)$$

yield, in the gauge (2.1),

$$\frac{2\dot{B}}{RB} = 0, \quad (2.3)$$

$$\frac{2B'}{B^3R} - \frac{1}{B^2R^2} + \frac{1}{R^2} = \Lambda, \quad (2.4)$$

$$\frac{2A'}{AR} - \frac{B^2}{R^2} + \frac{1}{R^2} = -\Lambda B^2, \quad (2.5)$$

$$\begin{aligned} \frac{A'B}{A} - B' - \frac{RB^2\ddot{B}}{A^2} + \frac{R\dot{A}\dot{B}B^2}{A^3} - \frac{RA'B'}{A} + \frac{RA''B}{A} \\ = -\Lambda RB^3, \end{aligned} \quad (2.6)$$

where an overdot and a prime denote differentiation with respect to  $t$  and  $R$ , respectively [the (3,3) Einstein equation gives the same information as the (2,2) equation]. Using the consequence of Eq. (2.3) that  $B = B(R)$ , we drop the terms containing  $\dot{B}$  or  $\ddot{B}$  from Eq. (2.6). Equation (2.4) gives

$$\left(\frac{R}{B^2}\right)' = 1 - \Lambda R^2, \quad (2.7)$$

which is integrated to

$$B^2(R) = \frac{1}{1 + \frac{C}{R} - \frac{\Lambda R^2}{3}}, \quad (2.8)$$

where  $C$  is an integration constant. By imposing that the Schwarzschild solution for a mass  $m$  is recovered as  $\Lambda \rightarrow 0$ , one obtains  $C = -2m$  and

$$B^2 = \frac{1}{1 - \frac{2m}{R} - \frac{\Lambda R^2}{3}}. \quad (2.9)$$

Equation (2.5) now gives

$$\frac{2A'}{A} + \frac{1}{R} + \frac{\Lambda R^2 - 1}{R(1 - \frac{2m}{R} - \frac{\Lambda R^2}{3})} = 0, \quad (2.10)$$

which can be written as

$$(\ln A^2)' = \left[ \ln \left( 1 - \frac{2m}{R} - \frac{\Lambda R^2}{3} \right) \right]', \quad (2.11)$$

and integrates to

$$A^2(R) = e^{D(t)} \left( 1 - \frac{2m}{R} - \frac{\Lambda R^2}{3} \right) \quad (2.12)$$

where  $D(t)$  is an integration function of time. At this stage, one is not entitled to assume that  $\dot{A} = 0$ . However, by rescaling the time coordinate according to

$$dT = e^{D(t)/2} dt, \quad (2.13)$$

the spherically symmetric line element necessarily takes the static form

$$\begin{aligned} ds^2 = - \left( 1 - \frac{2m}{R} - \frac{\Lambda R^2}{3} \right) dT^2 + \frac{dR^2}{1 - \frac{2m}{R} - \frac{\Lambda R^2}{3}} \\ + R^2 d\Omega_{(2)}^2. \end{aligned} \quad (2.14)$$

This is the KSdS solution of the Einstein equations if  $\Lambda > 0$  (and then  $H = \sqrt{\Lambda/3}$ ), the SAdS solution if  $\Lambda < 0$ , and it reduces to the Schwarzschild solution if  $\Lambda = 0$ . The analysis of the spherical vacuum Einstein equations mirrors that performed for  $\Lambda = 0$ , which leads to the Jebsen-Birkhoff

theorem in most relativity textbooks. It is therefore appropriate to speak of a generalized Jebsen-Birkhoff theorem when  $\Lambda \neq 0$  and the KSdS solution is the unique solution of the vacuum Einstein equations with positive cosmological constant in spherical symmetry.

### III. PUTATIVE ALTERNATIVES TO KSdS

Let us turn now to examining spherically symmetric solutions of the vacuum Einstein equations with  $\Lambda > 0$  which have been proposed as alternatives to the KSdS one, and to metrics which apparently contain alternatives to KSdS as special cases. Some ambiguity has been generated by the fact that these geometries have been presented in various coordinate systems, and different foliations of the KSdS spacetime can emphasize very different features (e.g., [30]).

#### A. Abbassi-Meissner proposal

Abbassi [31] and, ten years later, Meissner [32] reported the following metric as a new alternative to the KSdS geometry (here we adopt the notation of [32]):

$$ds^2 = -f(t, r)dt^2 + \frac{e^{2Ht}}{f(t, r)}dr^2 + e^{2Ht}r^2d\Omega_{(2)}^2, \quad (3.1)$$

where

$$f(t, r) = h(t, r) + \sqrt{h^2(t, r) + H^2r^2e^{2Ht}}, \quad (3.2)$$

and

$$h(t, r) = \frac{1}{2} \left( 1 - H^2r^2e^{2Ht} - \frac{2m}{r}e^{-Ht} \right), \quad (3.3)$$

where  $m$  is a constant mass parameter and  $H$  is the Hubble constant of the de Sitter background given by  $H^2 = \Lambda/3$ . The areal radius of this spherically symmetric geometry is  $R(t, r) = a(t)r = e^{Ht}r$ . Making use of the relation between differentials  $dr = a^{-1}(dR - HRdt)$ , one rewrites the line element (3.1) in terms of the areal radius as

$$ds^2 = -2h(0, R)dt^2 - \frac{2HR}{f(0, R)}dt dR + \frac{dR^2}{f(0, R)} + R^2d\Omega_{(2)}^2 \quad (3.4)$$

$$\begin{aligned} &= - \left( 1 - \frac{2m}{R} - H^2R^2 \right) dt^2 \\ &\quad - \frac{4HR}{1 - \frac{2m}{R} - H^2R^2 + \sqrt{\left(1 - \frac{2m}{R} - H^2R^2\right)^2 + 4H^2R^2}} dt dR \\ &\quad + \frac{2dR^2}{1 - \frac{2m}{R} - H^2R^2 + \sqrt{\left(1 - \frac{2m}{R} - H^2R^2\right)^2 + 4H^2R^2}} \\ &\quad + R^2d\Omega_{(2)}^2. \end{aligned} \quad (3.5)$$

By introducing a new time coordinate  $T$  defined by

$$dT = dt + \beta(t, R)dR, \quad (3.6)$$

with  $\beta(t, R)$  a function to be determined, and

$$A_0(R) \equiv 1 - \frac{2m}{R} - H^2R^2 = 2h(0, R), \quad (3.7)$$

one obtains

$$\begin{aligned} ds^2 &= -A_0dT^2 + \left( -A_0\beta^2 + \frac{4HR\beta}{A_0 + \sqrt{A_0^2 + 4H^2R^2}} \right. \\ &\quad \left. + \frac{2}{A_0 + \sqrt{A_0^2 + 4H^2R^2}} \right) dR^2 + R^2d\Omega_{(2)}^2 \\ &\quad + 2 \left( \beta A_0 - \frac{2HR}{A_0 + \sqrt{A_0^2 + 4H^2R^2}} \right) dT dR. \end{aligned} \quad (3.8)$$

By setting

$$\beta(R) = \frac{2HR}{A_0(A_0 + \sqrt{A_0^2 + 4H^2R^2})} \quad (3.9)$$

the cross-term in  $dT dR$  is eliminated and the line element assumes the diagonal and locally static form

$$\begin{aligned} ds^2 &= -A_0(R)dT^2 + \frac{2}{A_0(R) + \sqrt{A_0^2(R) + 4H^2R^2}} \\ &\quad \cdot \left[ 1 + \frac{2H^2R^2}{A_0(A_0 + \sqrt{A_0^2 + 4H^2R^2})} \right] dR^2 + R^2d\Omega_{(2)}^2, \end{aligned} \quad (3.10)$$

which is not of the KSdS form. *A posteriori* one can check that  $dT = dt + \beta dR$  is an exact differential (i.e., the time coordinate  $T$  is well defined) by noting that it is closed,

$$\frac{\partial(1)}{\partial R} = 0 = \frac{\partial\beta}{\partial t}. \quad (3.11)$$

Although claiming an alternative solution to the KSdS one, Abbassi [31] mentions a coordinate transformation that brings the line element (3.1) to the standard KSdS form, but this coordinate change fails to do so. Moreover, this author ascribes different physical meanings to the same geometry described in different coordinate systems. The geometry, however, must be coordinate independent. In particular, the static character of the metric is shown by the existence of a timelike Killing vector field. In spite of what is stated in [31,32] the diagonal metric (3.1) does not solve the vacuum Einstein equations  $R_{ab} = \Lambda g_{ab}$  but it is generated by matter sources. For example, there is a radial mass flow given by

$$T_{01} = \frac{1}{8\pi} \frac{d(\ln B^2)}{dT} = \frac{H\dot{H}R^2}{2\pi(A_0 + \sqrt{A_0^2 + 4H^2R^2})\sqrt{A_0^2 + 4H^2R^2}} \cdot \left\{ -1 + \frac{A_0(A_0\sqrt{A_0^2 + 4H^2R^2} + A_0^2 + 2H^2R^2)}{\sqrt{A_0^2 + 4H^2R^2}[A_0(A_0 + \sqrt{A_0^2 + 4H^2R^2}) + 2H^2R^2]} \right\}. \quad (3.12)$$

**B. McVittie and generalized McVittie solutions**

The McVittie solution was originally introduced to model the effect of the cosmological expansion on local systems [33] and has been the subject of much recent literature [34–37]. It represents a central inhomogeneity (possibly a black hole) embedded in a FLRW space. The source for the exterior McVittie metric is a fluid with energy density  $\rho(t)$  which depends only on time, and pressure  $P(t, r)$  which depends on both time and radius. The line element can be cast in the form [36,38]

$$ds^2 = -\left[1 - \frac{2m}{R} - H^2(t)R^2\right]dt^2 - \frac{2H(t)R}{\sqrt{1 - 2m/R}}dt dR + \frac{dR^2}{1 - 2m/R} + R^2d\Omega_{(2)}^2, \quad (3.13)$$

where  $m$  is a positive constant related to the mass of the central object and  $H(t)$  is the Hubble parameter of the FLRW space in which this object is embedded. When the FLRW “background” reduces to de Sitter,  $H = \text{const}$ , the transformation to the coordinate  $T$  given by

$$dT = dt + \frac{HRdR}{\sqrt{1 - \frac{2m}{R}(1 - \frac{2m}{R} - H^2R^2)}} \quad (3.14)$$

reduces the metric to the KSdS form (1.1). Therefore, the McVittie metric with  $H = \text{const}$  is not an alternative to KSdS but it contains it as a special case.

In the literature there is also a class of “generalized McVittie solutions” in which, contrary to the original McVittie one, there is a spacelike radial heat flow  $q^\mu = (0, q, 0, 0)$  [34,39]. McVittie spaces are also solutions of cuscuton theory (a special case of Hořava-Lifschitz gravity [40]) and generalized McVittie spaces are also solutions of Horndeski gravity and shape dynamics [41]. They are substantially more complicated than the McVittie one, but they also reduce to the KSdS geometry when the background is de Sitter [34,39], in which case the spacelike radial energy flow  $q^a$  vanishes.

**C. Nonrotating Thakurta solution**

The Thakurta solution of the Einstein equations [42] describes a rotating black hole embedded in a FLRW universe. When the angular momentum is set to zero and the cosmological background is chosen to be de Sitter, one

obtains an apparent alternative to KSdS, but this is not the case, as explained below. The nonrotating Thakurta solution was recently analyzed in detail in [43]; see also [44,45]. The line element is

$$ds^2 = a^2(\eta) \left[ -\left(1 - \frac{2m}{r}\right) d\eta^2 + \frac{dr^2}{1 - 2m/r} + r^2 d\Omega_{(2)}^2 \right] = -\left(1 - \frac{2m}{r}\right) dt^2 + \frac{a^2 dr^2}{1 - 2m/r} + a^2 r^2 d\Omega_{(2)}^2, \quad (3.15)$$

where  $a(\eta)$  is the scale factor of the FLRW background,  $\eta$  and  $t$  are its conformal and comoving times, respectively, with  $dt = a d\eta$ , and  $m$  is a constant mass parameter. The line element (3.15) is manifestly conformal to the Schwarzschild one. By using the areal radius  $R(t, r) = a(t)r$  and the relation between differentials  $dr = \frac{dR}{a} - HRd\eta$  (where  $H \equiv \dot{a}/a$  and an overdot denotes differentiation with respect to the comoving time  $t$ ), the line element is rewritten as

$$ds^2 = -\left(1 - \frac{2M(t)}{R} - \frac{H^2R^2}{1 - 2M(t)/R}\right) dt^2 + \frac{dR^2}{1 - 2M(t)/R} - \frac{2HR}{1 - 2M(t)/R} dt dR + R^2 d\Omega_{(2)}^2, \quad (3.16)$$

where

$$M(t) \equiv ma(t). \quad (3.17)$$

The cross-term in  $dt dR$  can be eliminated from this line element [46]. We use  $A(t, R) \equiv 1 - 2M/R = 1 - 2m/r$  and a new time coordinate  $T$  defined by

$$dT = \frac{1}{F} \left( dt + \frac{HR}{A^2 - H^2R^2} dR \right) \quad (3.18)$$

where  $F(t, R)$  is an integrating factor satisfying

$$\frac{\partial}{\partial R} \left( \frac{1}{F} \right) = \frac{\partial}{\partial t} \left( \frac{HR}{F(A^2 - H^2R^2)} \right) \quad (3.19)$$

to guarantee that  $dT$  is an exact differential. Straightforward manipulations bring the line element to the diagonal gauge

$$ds^2 = -\left(1 - \frac{2M}{R} - \frac{H^2 R^2}{1 - \frac{2M}{R}}\right) F^2 dT^2 + \frac{dR^2}{1 - \frac{2M}{R} - \frac{H^2 R^2}{1 - \frac{2M}{R}}} + R^2 d\Omega_{(2)}^2. \quad (3.20)$$

Using the form (3.15) of the metric, the Einstein equations give [43]

$$G_0^0 = 8\pi T_0^0 = -\frac{3H^2}{A}, \quad (3.21)$$

$$G_1^0 = 8\pi T_1^0 = -\frac{2mH}{r^2 A^2}, \quad (3.22)$$

$$G_1^1 = 8\pi T_1^1 = 8\pi T_2^2 = 8\pi T_3^3 = -\frac{1}{A} \left( H^2 + \frac{2\ddot{a}}{a} \right). \quad (3.23)$$

Assume a de Sitter background with  $H = \sqrt{\Lambda/3}$  and  $a(t) = a_0 e^{Ht}$ ; then the time-radius Einstein equation (3.22) satisfied by the nonrotating Thakurta solution clearly cannot reduce to the corresponding equation satisfied by the KSdS metric, which would instead give  $8\pi T_1^0 = -\Lambda g_1^0 = 0$  (the vanishing of  $T_1^0$  means that, because the cosmological constant is repulsive, it does not accrete onto a black hole and there is no radial energy flow). The two equations only coincide in the trivial cases when  $m = 0$  (de Sitter space) or when  $a = \text{const}$  (Minkowski background). These two equations cannot coincide because, as stated clearly in [43,44], the source of the nonrotating Thakurta geometry is not a perfect fluid, to which the cosmological constant can be reduced, but is instead an imperfect one with a spacelike radial heat flow which has components  $q_\mu = (0, -2m\dot{a}aA^{-3/2}/r^2, 0, 0)$  in coordinates  $(t, r, \theta, \varphi)$  [43].

It has been shown in Refs. [37,47] that the nonrotating Thakurta solution is the late time attractor of generalized McVittie solutions, but these references<sup>2</sup> did not recognize the geometry as a special case of the less-known Thakurta solution and called it ‘‘comoving mass solution’’ instead. The nonrotating Thakurta solution is also the limit to general relativity of a class of solutions of Brans-Dicke theory found in Ref. [48] as the Brans-Dicke parameter  $\omega \rightarrow \infty$  [37,47].

#### D. Castelo Ferreira metric

Another line element which resembles or even reduces to some of the previous ones for special parameter values was introduced by Castelo Ferreira [49]

<sup>2</sup>Reference [44] studied the same geometry for different purposes and did not identify it with the Thakurta solution.

$$ds^2 = -\left[1 - \frac{2m}{R} - H^2 R^2 \left(1 - \frac{2m}{R}\right)^\alpha\right] dt^2 + \frac{dR^2}{1 - \frac{2m}{R}} - 2HR \left(1 - \frac{2m}{R}\right)^{\frac{\alpha-1}{2}} dt dR + R^2 d\Omega_{(2)}^2, \quad (3.24)$$

where  $\alpha$  and  $m$  are constants and  $H = H(t)$  is the Hubble parameter of the FLRW ‘‘background.’’ This geometry does not satisfy the vacuum Einstein equations  $G_{ab} = -\Lambda g_{ab}$  but is sourced by an imperfect fluid which has different tangential and radial pressures if  $\alpha \neq 0$  [49]. The metric (3.24) reduces to the McVittie metric in the form (3.13) when  $\alpha = 0$  (in which case the two pressures coincide). In spite of superficial similarities, it does not reduce to the nonrotating Thakurta solution (3.16) for  $\alpha = -1$ . Similarities and differences may be misleading because they depend on the coordinates adopted. Let us change the time coordinate  $t \rightarrow T$ , where  $T$  is defined by

$$dT = \frac{1}{F} (dt + \beta dR), \quad (3.25)$$

where  $1/F$  is an integrating factor and  $\beta(t, R)$  is a function to be determined. The line element (3.24) becomes

$$ds^2 = -\left[1 - \frac{2m}{R} - H^2 R^2 \left(1 - \frac{2m}{R}\right)^\alpha\right] F^2 dT^2 + \left\{ -\left[1 - \frac{2m}{R} - H^2 R^2 \left(1 - \frac{2m}{R}\right)^\alpha\right] \beta^2 + \frac{1}{1 - \frac{2m}{R}} + 2HR\beta \left(1 - \frac{2m}{R}\right)^{\frac{\alpha-1}{2}} \right\} dR^2 + 2F \left\{ \left[1 - \frac{2m}{R} - H^2 R^2 \left(1 - \frac{2m}{R}\right)^\alpha\right] \beta - HR \left(1 - \frac{2m}{R}\right)^{\frac{\alpha-1}{2}} \right\} dT dR + R^2 d\Omega_{(2)}^2. \quad (3.26)$$

By setting

$$\beta(t, R) = \frac{HR \left(1 - \frac{2m}{R}\right)^{\frac{\alpha-1}{2}}}{1 - \frac{2m}{R} - H^2 R^2 \left(1 - \frac{2m}{R}\right)^\alpha} \quad (3.27)$$

the cross-term in  $dT dR$  is eliminated and one obtains the line element in the diagonal gauge

$$ds^2 = -\left[1 - \frac{2m}{R} - H^2 R^2 \left(1 - \frac{2m}{R}\right)^\alpha\right] F^2 dT^2 + \frac{dR^2}{1 - \frac{2m}{R} - H^2 R^2 \left(1 - \frac{2m}{R}\right)^\alpha} + R^2 d\Omega_{(2)}^2. \quad (3.28)$$

If the background is de Sitter then  $H = \text{const}$ ,  $\beta = \beta(R)$ , and  $F = 1$ , and the line element (3.28) reduces to the nonrotating Thakurta solution (3.20) for  $\alpha = -1$  and to the

KSdS form (1.1) (which is a special case of McVittie) for  $\alpha = 0$ . It is clear, however, that in the general case the geometry is different from the KSdS one.

#### IV. SIMULTANEOUS BALDNESS AND COSMIC BALDNESS

Cosmic no-hair theorems state that, with a few exceptions (Bianchi models which are overdense and collapse before the cosmological constant can come to dominate the dynamics), de Sitter space is an attractor in the late-time dynamics of the Universe [50]. Similarly, under reasonable conditions, no-hair theorems for black holes exclude the possibility of fields in the exterior spacetime of black holes which would make the geometry deviate from Schwarzschild [24]. Since the KSdS geometry brings together black hole physics and de Sitter cosmology, presumably simultaneous no-hair and cosmic no-hair results, pointing to the KSdS spacetime as the final attractor state should be valid in the presence of a positive cosmological constant, spherical symmetry, and a central inhomogeneity. This idea is supported by the uniqueness of the KSdS solution *in vacuo* and by its perturbative stability [19–22]. In the following, we derive a nonperturbative result in this direction which is motivated by the presence of imperfect fluids in the solutions of the Einstein equations discussed in the previous sections.

Consider the Einstein equations with matter

$$G_{ab} = -\Lambda g_{ab} + 8\pi T_{ab} \quad (4.1)$$

and assume spherical symmetry, in which case the line element is given by Eq. (2.1). Assume that the solution of the Einstein equations is asymptotically de Sitter, that is, that there is a de Sitter-like cosmological horizon of areal radius  $R_H$  and the solution of the Einstein equations (4.1) reduces to (1.1) as<sup>3</sup>  $R \rightarrow R_H^-$ . The Einstein equations are now

$$\frac{\dot{B}}{BR} = 4\pi T_{01}, \quad (4.2)$$

$$A^2 \left( \frac{2B'}{B^3 R} - \frac{1}{B^2 R^2} + \frac{1}{R^2} \right) = \Lambda A^2 + 8\pi T_{00}, \quad (4.3)$$

$$\frac{2A'}{AR} - \frac{B^2}{R^2} + \frac{1}{R^2} = -\Lambda B^2 + 8\pi T_{11}, \quad (4.4)$$

<sup>3</sup>The coordinates  $(t, R, \theta, \varphi)$  are expected to break down when  $R > R_H$  or when  $R$  becomes smaller than the black hole horizon  $R_{\text{BH}}$  that may be present. Outside of the region  $R_{\text{BH}} \leq R \leq R_H$ , the geometry is not expected to be locally static, as in KSdS space.

$$\begin{aligned} \frac{A'B}{A} - B' - \frac{RB^2\ddot{B}}{A^2} + \frac{R\dot{A}\dot{B}B^2}{A^3} - \frac{RA'B'}{A} + \frac{RA''B}{A} \\ = (-\Lambda R^2 + 8\pi T_{22}) \frac{B^3}{R}. \end{aligned} \quad (4.5)$$

Further assume that matter is described by an imperfect fluid with constant equation of state and a purely spatial radial heat flow (of the kind considered in the previous section),

$$T_{ab} = (P + \rho)u_a u_b + P g_{ab} + q_a u_b + q_b u_a, \quad (4.6)$$

$$P = w\rho, \quad w = \text{const}, \quad (4.7)$$

$$u^a u_a = -1, \quad q^c u_c = 0. \quad (4.8)$$

The fluid 4-velocity and the radial energy flow have components

$$u^\mu = (|A|^{-1}, 0, 0, 0), \quad u_\mu = (-|A|, 0, 0, 0), \quad (4.9)$$

$$q^\mu = (0, q, 0, 0), \quad q_\mu = (0, B^2 q, 0, 0). \quad (4.10)$$

The components of the stress-energy tensor (4.6) are

$$T_{00} = A^2 \rho, \quad (4.11)$$

$$T_{01} = -|A|B^2 q, \quad (4.12)$$

$$T_{11} = B^2 P, \quad (4.13)$$

$$T_{22} = R^2 P, \quad (4.14)$$

$$T_{33} = R^2 P \sin^2 \theta. \quad (4.15)$$

Here,  $T_{01} > 0$  and  $q < 0$  correspond to radial inflow, while  $T_{01} < 0$  and  $q > 0$  to outflow.

In the case of inflow  $q < 0$ , Eq. (4.2) yields

$$(B^2)^\cdot = -8\pi |A| B^4 R q > 0; \quad (4.16)$$

therefore, the metric component  $B^2 = g_{11}$  increases with time. Assuming the metric coefficients to be continuous and differentiable, there are then two possibilities: either  $B^2(t, R) \rightarrow +\infty$  for any fixed  $R$  as  $t \rightarrow +\infty$  (or as  $t \rightarrow t_{\text{max}}$  if there is a singularity at a finite future  $t_{\text{max}}$ ), or  $B^2(t, R)$  has an horizontal asymptote as  $t \rightarrow +\infty$ .

Let us consider the first case. The apparent horizons are located by the covariant equation  $\nabla^c R \nabla_c R = 0$ , equivalent to  $1/B^2 = 0$  in the coordinates used. If  $B^2 \rightarrow +\infty$  as  $t \rightarrow +\infty$  or as  $t \rightarrow t_{\text{max}}$ , then at late times all points of space at any value of  $R$  lie arbitrarily close to an apparent horizon. This situation is familiar in cosmology: it corresponds to a phantom universe in which there is a big rip singularity at a

finite time  $t_{\max}$  and the apparent horizon (which has areal radius  $R_{AH} = H^{-1}$  in a spatially flat FLRW cosmos [37]) shrinks around a comoving observer because the expansion of the universe superaccelerates, i.e.,  $\dot{H} = -4\pi(P + \rho) > 0$  [51]. By contrast, in a de Sitter space the Hubble parameter  $H$  remains constant although the expansion itself accelerates,  $\ddot{a} > 0$ . In a universe dominated by nonphantom dark energy (other than the cosmological constant), it is instead  $\dot{H} < 0$  while  $\ddot{a} > 0$ . These phantom asymptotics contradict our assumption of de Sitter asymptotics and, therefore, we discard this possibility.

There remains the case in which  $B^2(t, R)$  asymptotes to a function  $B_0^2(R)$  of  $R$  as  $t \rightarrow +\infty$ . In this case  $\dot{B} \rightarrow 0$  as  $t \rightarrow +\infty$  (which also implies that the apparent horizons located by the Eq.  $1/B^2 = 0$  become less and less dynamical). Then Eq. (4.16) implies that the radial flow  $q \rightarrow 0$  as  $t \rightarrow +\infty$ . In conjunction with Eq. (4.11), the differentiation of Eq. (4.3) yields

$$8\pi\dot{\rho} = \frac{2}{R} \left( \frac{B'}{B^3} \right)' - \frac{1}{R^2} \left( \frac{1}{B^2} \right)' \rightarrow 0 \quad \text{as } t \rightarrow +\infty. \quad (4.17)$$

The assumption that  $P = w\rho$  with constant  $w$  [or with  $w = w(R)$ ] then implies that also  $\dot{P} \rightarrow 0$  as  $t \rightarrow +\infty$ . Equations (4.13) and (4.4) give

$$8\pi\dot{P} = \frac{2}{R} \left( \frac{A'}{AB^2} \right)' + \frac{1}{R^2} \left( \frac{1}{B^2} \right)' \approx \frac{2}{RB^2} \left( \frac{A'}{A} \right)' \rightarrow 0 \quad (4.18)$$

as  $t \rightarrow +\infty$ . Therefore, also  $A^2$  becomes time independent, and the metric becomes static as  $t \rightarrow +\infty$ .

To make progress, consider the covariant conservation equation  $\nabla^b T_{ab} = 0$  for the imperfect fluid stress-energy tensor (4.6), which yields

$$\begin{aligned} u_a u^b \nabla_b (P + \rho) + [(P + \rho)u_a + q_a] \nabla^b u_b \\ + [(P + \rho)u_b + q_b] \nabla^b u_a + \nabla_a P + u^b \nabla_b q_a \\ + u_a \nabla^b q_b = 0. \end{aligned} \quad (4.19)$$

Projecting this equation onto the time direction  $u^a$  of comoving observers and using the orthogonality of 4-velocity and 4-acceleration  $u^a \nabla_b u_a = 0$ , one obtains

$$-\dot{\rho} - (P + \rho) \nabla^b u_b + u^a q^b \nabla_b u_a + u^a u^b \nabla_b q_a - \nabla^b q_b = 0. \quad (4.20)$$

At late times  $q^c$  and  $\dot{\rho}$  disappear from this equation, as we have deduced above, leaving

$$(P + \rho) \nabla^b u_b \approx 0. \quad (4.21)$$

In general  $\nabla^b u_b$  is different from zero (indeed, since the geometry must be asymptotically de Sitter at large radii,

$\nabla^b u_b$  reduces to  $3H > 0$  there) and we are left with  $P + \rho \rightarrow 0$  as  $t \rightarrow +\infty$ . Either the matter fluid reduces to a cosmological constant, in which case the vacuum uniqueness theorem for KSdS holds, or else both  $\rho$  and  $P = w\rho$  become subdominant and the cosmological constant dominates the expansion at late times while  $\rho$  and  $P$  become unimportant. Also in this case the solution reduces to KSdS.

If instead there is outflow  $q > 0$ , then

$$(B^2)' = -8\pi|A|B^4 R q < 0 \quad (4.22)$$

and, since  $B^2$  is bounded from below by zero and it decreases as  $t \rightarrow +\infty$ , it must have a horizontal asymptote with  $B^2(t, R) \rightarrow B_0^2(R)^+$  for any fixed  $R$  as  $t \rightarrow +\infty$ . Then  $\dot{B} \rightarrow 0$  and  $q \rightarrow 0$ . The reasoning made in the case with inflow is then repeated from this point, reaching the same conclusion. Hence it is proved that, assuming spherical symmetry,  $\Lambda > 0$  and spatial de Sitter asymptotics, and an imperfect fluid with constant equation of state and purely spatial radial energy flow, the late-time solution of the Einstein equations must be the KSdS geometry.

As a special case, one can consider a perfect fluid by setting  $q^a = 0$ . In this case  $T_{01} = 0$  and Eq. (4.12) gives  $B = B(R)$ . It is then straightforward to prove that it must be  $P = -\rho$  and that the KSdS geometry can be the only solution (this simple proof for a perfect fluid was already given in Ref. [34]).

## V. CONCLUSIONS

As seen in Sec. II, the KSdS solution is the unique spherically symmetric solution of the vacuum Einstein equations with positive cosmological constant. This result is a simple generalization of the ordinary Jebsen-Birkhoff theorem [1,2], which makes the same assumptions except that it assumes  $\Lambda = 0$ , and goes hand in hand with the perturbative analyses which established the stability of the KSdS solution [19–22]. Putative alternative solutions of the Einstein equations under the same conditions either solve different equations (for example, including an imperfect fluid with spacelike radial flow) or are just the KSdS solution in disguise in an unusual coordinate system.

Since no-hair theorems reinforce the uniqueness of the Schwarzschild geometry [24] and cosmic no-hair theorems establish that the de Sitter space is the unique late-time attractor in cosmology (with few exceptions [50]), it is reasonable to expect that similar theorems should hold for the KSdS spacetime, which is usually interpreted as describing a Schwarzschild black hole embedded in de Sitter space. Such theorems would prove the uniqueness of the KSdS solution. We have proved a result of this kind by assuming spherical symmetry and the presence of an imperfect fluid with constant equation of state  $P = w\rho$  and a purely spatial radial energy flow, which is a rather

common ingredient in the construction of solutions of the Einstein equations representing spherical inhomogeneous universes (see, e.g., [34,35,39,43,52]). The theorem proved in Sec. IV does not contradict the previous statement of Sec. III that the nonrotating Thakurta solution is the late-time attractor of generalized McVittie solutions [47] because, in this case, the asymptotics are (time-dependent) FLRW and not de Sitter, which was one of the assumptions in our theorem. Simultaneous cosmic no-hair/no-hair

theorems more general than the one in Sec. IV (possibly including anisotropy) will be investigated in the future.

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