# Effective metrics and a fully covariant description of constitutive tensors in electrodynamics

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<span id="page-0-2"></span>Using electromagnetism to study analogue space-times is tantamount to considering consistency conditions for when a given (meta-) material would provide an analogue space-time model or—vice versa—characterizing which given metric could be modeled with a (meta-) material. While the consistency conditions themselves are by now well known and studied, the form the metric takes once they are satisfied is not. This question is mostly easily answered by keeping the formalisms of the two research fields here in contact as close to each other as possible. While fully covariant formulations of the electrodynamics of media have been around for a long while, they are usually abandoned for  $(3 + 1)$ - or six-dimensional formalisms. Here we use the fully unified and fully covariant approach. This enables us even to generalize the consistency conditions for the existence of an effective metric to arbitrary background metrics beyond flat space-time electrodynamics. We also show how the familiar matrices for permittivity  $\epsilon$ , permeability  $\mu^{-1}$ , and magnetoelectric effects  $\zeta$  can be seen as the three independent pieces of the Bel decomposition for the constitutive tensor  $Z^{abcd}$ , i.e., the components of an orthogonal decomposition with respect to a given observer with four-velocity  $V^a$ . Finally, we use the Moore-Penrose pseudoinverse and the closely related pseudodeterminant to then gain the desired reconstruction of the effective metric in terms of the permittivity tensor  $\epsilon^{ab}$ , the permeability tensor  $[\mu^{-1}]$  $\int_a^{ab}$ , and the magnetoelectric tensor  $\zeta^{ab}$ , as an explicit function  $g_{\rm eff}(\epsilon,\mu^{-1},\zeta).$ 

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## I. INTRODUCTION

When studying analogue space-times [\[1,2\]](#page-11-0), one has a choice of many different approaches. Basically whenever a physical model, or approximation thereof, provides a wave equation for some scalar physical quantity  $f$ , one can look for a metric  $g<sub>eff</sub>$  such that this wave equation would be the corresponding Laplace-Beltrami equation

$$
\nabla_a \nabla^a f = f_{;a}{}^{;a} = \frac{1}{\sqrt{|\det g_{\text{eff}}|}} \partial_a (\sqrt{|\det g_{\text{eff}}|}) \partial^a f = 0, \qquad (1)
$$

though maybe an inhomogeneous one. More generally (as in the present case of electrodynamics), a general wave equation is a Lorentz-invariant,<sup> $1$ </sup> hyperbolic partial differential equation (PDE) of second order. Likewise, instead of the Laplace-Beltrami equation one wants to express this given PDE as another PDE of the same type, but now depending on an effective, Lorentzian metric  $q<sub>eff</sub>$  and its (Lorentzian) geometry. The abundance of (tensorial) wave equations in physics therefore raises the question of when exactly this can be done. In this paper, we take a look at the special case of (four-dimensional) macroscopic

electrodynamics, i.e., electrodynamics in a medium. In this particular context the question also quickly becomes one of finding an appropriate formalism: If we want to study a given wave equation through an analogue space-time a fully covariant approach will prove to be the most natural approach. But while microscopic electrodynamics (i.e., electrodynamics in vacuum albeit with sources) in flat space easily provides the well-known, fully covariant Maxwell equations<sup>2</sup>

$$
\partial_{[a}F_{bc]}=0, \qquad F^{ab}_{\ ;b}=\epsilon_0^{-1}j^a,
$$
\n(2)

this fully covariant approach is a bit more intricate in the context of electrodynamics in media. While results have been known for a long time, see for example [5–[13\]](#page-11-1) and [\[14\]](#page-12-0), they have rarely been used to their full extent. The general idea is to exchange the metric dual of the field strength tensor

<span id="page-0-3"></span>
$$
F^{ab} = g^{ac}g^{bd}F_{cd} = \frac{1}{2}(g^{ac}g^{bd} - g^{ad}g^{bc})F_{cd}
$$
 (3)

with the excitation tensor

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<sup>&</sup>lt;sup>1</sup>Though not necessarily with respect to the speed of light in vacuum.  $\frac{2}{2}$ 

<sup>&</sup>lt;sup>2</sup>See, for example, Ref.  $[3]$  or  $[4]$ .

Here  $Z^{abcd}$  is the so-called constitutive tensor (or general susceptibility tensor [\[14\]](#page-12-0)). What is usually done is to use the properties of the constitutive tensor (elaborated below) and switch from four space-time indices  $a, b, c, \ldots$  ranging from 0 to 3 to two "field indices"  $A, B, C, \ldots$  ranging from 1 to 6. This enables one to collect the index pair  $ab$  into a new compound index A and the index pair cd into a new compound index B. Schematically,

<span id="page-1-1"></span>
$$
(Z^{abcd})_{a,b,c,d \in \{0,...,3\}} \to (Z^{AB})_{A,B \in \{1,...,6\}}.\tag{5}
$$

The issue here is that one loses the full covariance and instead implicitly uses an observer-dependent  $3 + 1$ decomposition. In the context of premetric electrodynamics (see, for example, [\[15\]](#page-12-1) and references therein) this is not a bug, but a feature. Our current approach is orthogonal to the premetric one: Not only do we want to keep the physical background metric g, we also look for an effective metric  $g<sub>eff</sub>$ . As both metrics will be four-dimensional and general, we want to stick with space-time indices.

As a result, the strategy in this paper is twofold: First, we showcase this fully covariant formalism for electrodynamics of media using only space-time indices as it is done, for example, in [\[5,16\]](#page-11-1). Second, we also want to find the consistency conditions in terms of the constitutive tensor that have to be fulfilled in order for it to describe a material providing a model for analogue space-times. The requirement for this to work is that the constitutive tensor Z can be written in terms of an effective metric  $g_{\text{eff}}$ , analogously to Eq.  $(3)$ , as

<span id="page-1-0"></span>
$$
Z^{abcd} = \frac{1}{2} \frac{\sqrt{\det g_{\text{eff}}}}{\sqrt{\det g}} \left( [g_{\text{eff}}^{-1}]^{ac} [g_{\text{eff}}^{-1}]^{bd} - [g_{\text{eff}}^{-1}]^{ad} [g_{\text{eff}}^{-1}]^{bc} \right). \tag{6}
$$

As this second point in turn is important when engineering materials for this purpose, we give these consistency conditions in terms of the familiar matrices  $\epsilon, \mu^{-1}$ , and  $\zeta$ (or their four-dimensional generalizations).

While the derivation of the consistency conditions has been done before (in numerous and various contexts and formalisms), see for instance Refs. [5–[7,12,13\]](#page-11-1), it still remains to explicitly write down the resulting effective metric once the consistency conditions are satisfied. (In the context of premetric electrodynamics this is quite naturally done as soon as the space-time metric is recovered [\[12,15,17\]](#page-12-2).)

However, as we work assuming a nontrivial background metric  $g_{ab}$  for the material, the approach herein differs greatly. We soon see that whenever an electromagnetic medium can be characterized by an effective metric  $[g_{\text{eff}}]_{ab}$ ,<br>there always exists an observer with four-velocity  $V^a$  in there always exists an observer with four-velocity  $V^a$  in whose rest frame the well-known consistency conditions

$$
\epsilon^{ab} = \mu^{ab}, \qquad \zeta^{ab} = 0 \tag{7}
$$

hold. In this rest frame the effective metric  $g<sub>eff</sub>$  can be written in terms of the Moore-Penrose pseudoinverse and the related pseudodeterminant in the following way:

$$
(g_{\text{eff}})_{ab} = -\sqrt{\frac{-\det(g^{\bullet\bullet})}{\text{pdet}(e^{\bullet\bullet})}}V_a V_b + \sqrt{\frac{\text{pdet}(e^{\bullet\bullet})}{\text{-det}(g^{\bullet\bullet})}} [e^{\bullet\bullet}]^{\#}_{ab},\qquad(8a)
$$

$$
= -\sqrt{\frac{-\det(g^{\bullet\bullet})}{\text{pdet}(\mu^{\bullet\bullet})}}V_a V_b + \sqrt{\frac{\text{pdet}(\mu^{\bullet\bullet})}{-\det(g^{\bullet\bullet})}}[\mu^{\bullet\bullet}]^{\#}_{ab}.
$$
 (8b)

The paper is organized as follows: First, we recapitulate the properties of the constitutive tensor, also elaborating a bit on the traditional rewriting as  $Z^{AB}$ . In the second section we develop from this a  $3 + 1$  decomposition of the constitutive tensor. For a given observer moving with some arbitrary four-velocity  $V^a$ , this provides the link between electric field E, magnetic field B and the corresponding displacement field D and magnetizing field M via the "constitutive matrices"  $\epsilon, \mu^{-1}$ , and  $\zeta$ . In this (3 + 1)decomposed case we derive the sought-after consistency conditions. Having done so it is then possible to develop the next section: The fully covariant formulation and the corresponding version of the consistency conditions. After concluding, we provide two appendixes: First, a small aside on the relation between the constitutive tensor's Bel decomposition and the  $\epsilon$ ,  $\mu^{-1}$ , and  $\zeta$  three-tensors, and second, an example application of the formalism presented here to the case of moving, isotropic media.

#### A. Notation

This paper follows the sign conventions of [\[3,4\]](#page-11-2). Specifically, our metrics have signature  $(− + + +)$ . Symmetrization and antisymmetrization on indices is indicated by enclosing these indices in round or square brackets, respectively. Raising and lowering of indices is always done by employing the physical background metric g. For the sake of brevity, we do not always place physical before background metric. If indices need to be raised or lowered by  $g_{\text{eff}}$ ,  $g_{\text{eff}}$  appears explicitly.  $\bullet$  (for four-indices) and ∘ (for three-indices) are used to denote index placement, mostly used in determinants. We use the symbol  $\frac{1}{x}$  whenever we manually set things equal or demand them to whenever we manually set things equal or demand them to be equal.

# II. GENERAL PROPERTIES OF THE CONSTITUTIVE TENSOR

## A. Counting degrees of freedom

A first part of the analysis is to compare the degrees of freedom of the effective metric and the constitutive tensor.

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Note that quite generally the action in our case is

$$
S = -\int d^4x \frac{\sqrt{-\det g}}{4} F_{ab} G^{ab}, \tag{9}
$$

$$
= -\int d^4x \frac{\sqrt{-\det g}}{4} F_{ab} Z^{abcd} F_{cd}, \qquad (10)
$$

<span id="page-2-7"></span><span id="page-2-0"></span>plus possible source terms. Assuming the existence of an effective metric then enforces

$$
S = -\frac{1}{8} \int d^4x \sqrt{-\det g_{\text{eff}}}
$$
  
 
$$
\times \left( [g_{\text{eff}}^{-1}]^{ac} [g_{\text{eff}}^{-1}]^{bd} - [g_{\text{eff}}^{-1}]^{ad} [g_{\text{eff}}^{-1}]^{bc} \right) F_{ab} F_{cd}.
$$
 (11)

From this it follows that the action is invariant under conformal transformations of  $g_{\text{eff}}$ . Instead of the regular degrees of freedom of a symmetric  $4 \times 4$  matrix,  $q_{\text{eff}}$ therefore has only  $4(4 + 1)/2 - 1 = 9$  degrees of freedom.

<span id="page-2-5"></span>For the degrees of freedom of Z, again take a look at Eq. [\(10\)](#page-2-0): As  $F_{ab}$  is antisymmetric, both the first and the second index pair of  $Z^{abcd}$  can only contribute a completely antisymmetric part.

$$
Z^{(ab)cd} = Z^{ab(cd)} = 0.
$$
 (12)

Therefore, each index pair has only  $4(4-1)/2 = 6$ degrees of freedom, which gives rise to the aforementioned possibility to rewrite it as  $Z^{AB}$ .

<span id="page-2-6"></span>Furthermore, the action remains invariant under renaming the indices, providing

$$
Z^{abcd} = Z^{cdab},\tag{13}
$$

resulting in the total degrees of freedom of  $6(6+1)/$  $2 = 21.$ 

The discrepancy between the degrees of freedom of the conformal class of  $g_{\text{eff}}$  (9 d.o.f.) and those of Z (21 d.o.f.) clearly shows that some consistency conditions will have to exist and be fulfilled for Z to be described by an effective metric  $g_{\text{eff}}$  as in Eq. [\(6\)](#page-1-0).

#### B. The  $6 \times 6$  representation of Z

<span id="page-2-4"></span><span id="page-2-1"></span>It is instructive to have a closer look at the representation of Z as a symmetric  $6 \times 6$  matrix, as indicated in Eq. [\(5\)](#page-1-1) in the introduction and justified above. Written out, this matrix is

$$
(Z^{AB})_{A,B\in\{1,\ldots,6\}} = \begin{pmatrix} \epsilon & \zeta \\ \zeta^{\dagger} & \mu^{-1} \end{pmatrix}, \tag{14}
$$

where  $\epsilon$  is the 3 × 3 permittivity matrix,  $\mu^{-1}$  is the (inverse)  $3 \times 3$  permeability matrix, and  $\zeta$  is the  $3 \times 3$  magnetoelectric matrix. Here,  $\epsilon$  and  $[\mu^{-1}]$  are real and symmetric, while <span id="page-2-2"></span> $\zeta$  is real, but in general asymmetric. These link **E**, **B** with **D**, **H** in the following way<sup>3</sup>:

$$
\mathbf{D} = \epsilon \mathbf{E} + \zeta \mathbf{B}, \qquad \mathbf{H} = \zeta^{\dagger} \mathbf{E} + \mu^{-1} \mathbf{B}. \tag{15}
$$

In terms of the  $6 \times 6$  version of Z this could be rewritten as

$$
\begin{pmatrix} \mathbf{D} \\ \mathbf{H} \end{pmatrix} = \begin{pmatrix} \epsilon & \zeta \\ \zeta^{\dagger} & \mu^{-1} \end{pmatrix} \begin{pmatrix} \mathbf{E} \\ \mathbf{B} \end{pmatrix}.
$$
 (16)

This demonstrates the issue with this formalism for our purposes: All fields involved implicitly depend on the fourvelocity  $V^a$  of the observer. Therefore, the constitutive matrices mix in a quite messy way under Lorentz transformations (which are important in the flat space-time context), and even more so under general coordinate transformations (which become important, if we want to view  $g_{\text{eff}}$  as an effective metric on a general, possibly curved background with physical metric  $q$ ). In the appendix we further investigate the relationship between  $V^a$  and the constitutive matrices—they prove to be the elements of the Bel decomposition (also known as the orthogonal decomposition) with respect to given  $V^a$ .

#### C. Utilizing the conformal invariance

As our counting of degrees of freedom showed, the effective metric is a conformal class of metrics rather than a metric as such. This in turn means that any representative of this class is equally valid, and thus we can simplify our analysis tremendously by focusing on the representative for which

$$
\det g_{\rm eff} = \det g. \tag{17}
$$

<span id="page-2-3"></span>Our constitutive tensor now takes on the form

$$
Z^{abcd} = \frac{1}{2} \left( [g_{\text{eff}}^{-1}]^{ac} [g_{\text{eff}}^{-1}]^{bd} - [g_{\text{eff}}^{-1}]^{ad} [g_{\text{eff}}^{-1}]^{bc} \right). \tag{18}
$$

If we use, for the time being, the effective metric  $g<sub>eff</sub>$  to raise and lower indices, it is then easy to show that

$$
[[g_{\text{eff}}]_{ae}[g_{\text{eff}}]_{bf}Z^{efcd}][[g_{\text{eff}}]_{cm}[g_{\text{eff}}]_{dn}Z^{mnpq}]
$$
  
= 
$$
[g_{\text{eff}}]_{ae}[g_{\text{eff}}]_{bf}Z^{efpq} = \frac{1}{2}(\delta_a{}^p\delta_b{}^q - \delta_a{}^q\delta_b{}^p).
$$
 (19)

This corresponds to the reciprocity or closure condition as found, for example, in [\[15,17\].](#page-12-1) Note that since we are not in a premetric setting it is unimportant to distinguish the two concepts.

<sup>&</sup>lt;sup>3</sup>Just as the use of Franklin's "inconvenient" choice of the sign of the electric current (opposite to that of the flow of electrons) is a historical accident, so is the use of  $[\mu^{-1}]$  instead of  $\mu$ . We have to mention this again later on, as it sadly makes some subsequent mention this again later on, as it sadly makes some subsequent results rather cumbersome in appearance.

# <span id="page-3-5"></span>III. EASING INTO THE PROBLEM: A FLAT-SPACE 3 + 1 DECOMPOSITION

While it is possible to immediately jump into the fully covariant, four-dimensional analysis, it is much more educational to first look at a more explicit  $3 + 1$  decomposition than in Eq. [\(14\)](#page-2-1). Furthermore, we (for the time being) restrict attention to the flat space-time case, where  $g = \eta = \text{diag}(-1, 1, 1, 1)$ . The previous choice of a conformal factor turns to det  $g_{\text{eff}} = -1$ <sup>4</sup>. In the context of Sec. IV this means that we consider going to Riemann Sec. [IV,](#page-4-0) this means that we consider going to Riemann normal coordinates. More specifically, we choose an observer with four-velocity  $V = (1, 0, 0, 0)^T$ ; spatial projection simply means limiting the range of an index to  $\{1, 2, 3\}$ , while time-projection is equivalent to setting the index equal to 0. This also means that all remaining indices are spatial and raised or lowered with a three-dimensional Kronecker symbol. Should we need four-dimensional indices, they start from  $a$ , three-dimensional ones then from i. It is easy to see that the definitions (see for example Appendix A in [\[2\]\)](#page-11-4)

<span id="page-3-0"></span>
$$
\epsilon^{ij} = -2Z^{i0j0}; \qquad [\mu^{-1}]^{ij} = \frac{1}{2} \epsilon^i{}_{kl} \epsilon^j{}_{mn} Z^{klmn};
$$
  

$$
\zeta^{ij} = \epsilon^i{}_{kl} Z^{klj0}
$$
 (20)

satisfy Eq.  $(15)$ .

# A. Vanishing magnetoelectric ζ

<span id="page-3-3"></span>A first step would now be to see what consistency conditions can be extracted under the simplifying assumption of a vanishing magnetoelectric ζ. Inserting Eq.  $(18)$  into Eqs.  $(20)$ , we find that

$$
0 = \zeta^{ij} = -\left(\varepsilon^i_{kl}[g_{\text{eff}}^{-1}]^{l0}\right)[g_{\text{eff}}^{-1}]^{kj}.\tag{21}
$$

From this it can be deduced that vanishing magnetoelectric effects imply

$$
[g_{\text{eff}}^{-1}]^{i0} = 0. \tag{22}
$$

Using this, we get for the other two constitutive matrices

$$
\epsilon^{ij} = [g_{\rm eff}^{-1}]^{ij} [g_{\rm eff}^{-1}]^{00};\tag{23}
$$

$$
[\mu^{-1}]^{ij} = -\frac{1}{2} \varepsilon_{ikl} \varepsilon_{jmn} ([g_{\text{eff}}^{-1}]^{km} [g_{\text{eff}}^{-1}]^{ln}). \tag{24}
$$

Thus,  $g_{\text{eff}}^{-1}$  block diagonalizes. Since we know that  $\det g_{\text{eff}} = -1$ , we therefore can write this block structure as

$$
([g_{\text{eff}}^{-1}]^{ab})_{a,b \in \{0,\dots,3\}} =: \begin{pmatrix} -\frac{1}{\det(\gamma^{ij})} & 0\\ 0 & \gamma^{ij} \end{pmatrix} . \tag{25}
$$

<sup>4</sup>Note that this differs from the choice in [\[1\],](#page-11-0) where the conformal invariance was used to set  $[g_{\text{eff}}^{-1}]^{00} = -1$ .

Combining this with the following variant of Cramer's rule for  $3 \times 3$  matrices.

$$
\varepsilon_{ikl}\varepsilon_{jmn}\{X^{km}X^{ln}\}=2\det(X)X_{ij}^{-1},\qquad(26)
$$

<span id="page-3-4"></span>we can then reduce the equations for  $\epsilon^{ij}$  and  $[\mu^{-1}]$  $\int$ <sup>ij</sup> to<sup>5</sup>

$$
\mu_{ij}^{-1} = \det(\gamma^{\circ\circ})\gamma_{ij}^{-1}, \quad \Leftrightarrow \quad \mu^{ij} = \frac{\gamma^{ij}}{\det(\gamma^{\circ\circ})} \qquad (27)
$$

<span id="page-3-1"></span>and

$$
\epsilon^{ij} = \frac{\gamma^{ij}}{\det(\gamma^{\circ\circ})} = \mu^{ij}.
$$
 (28)

<span id="page-3-2"></span>This last equation, [\(28\),](#page-3-1) is exactly the consistency condition we were after. If it is fulfilled, we can write  $g_{\text{eff}}^{-1}$  then as

$$
[g_{\text{eff}}^{-1}]^{ab} = \begin{pmatrix} -\sqrt{\det(e^{\infty})} & 0\\ 0 & \frac{e^{ij}}{\sqrt{\det(e^{\infty})}} \end{pmatrix}
$$

$$
= \begin{pmatrix} -\sqrt{\det(\mu^{\infty})} & 0\\ 0 & \frac{\mu^{ij}}{\sqrt{\det(\mu^{\infty})}} \end{pmatrix}.
$$
 (29)

This particular result is well known and can, for example, be found in [\[2,18,19\].](#page-11-4) Of course the matching condition  $\epsilon^{ij} = \mu^{ij}$  does not hold for naturally occurring media.<sup>6</sup> It is only with the development of modern metamaterials that the  $\epsilon^{ij} = \mu^{ij}$  matching condition becomes plausible physics.

To see what the effective metric (not the inverse effective metric) would be, one now needs to invert the matrix [\(29\)](#page-3-2). Doing this, we simply arrive at our final results for zero magnetoelectric effects,

$$
[g_{\text{eff}}]_{ab} = \begin{pmatrix} -\det([\gamma]_{\infty}) & 0\\ 0 & \gamma_{ij}^{-1} \end{pmatrix}.
$$
 (30)

This implies

 $\equiv$ 

$$
[g_{\text{eff}}]_{ab} = \begin{pmatrix} -\sqrt{\det(\mu^{\circ\circ})}^{-1} & 0\\ 0 & \sqrt{\det(\mu^{\circ\circ})}\mu_{ij}^{-1} \end{pmatrix}, \quad (31a)
$$

$$
= \begin{pmatrix} -\sqrt{\det(e^{\infty})^{-1}} & 0\\ 0 & \sqrt{\det(e^{\infty})} \epsilon_{ij}^{-1} \end{pmatrix} .
$$
 (31b)

<sup>&</sup>lt;sup>5</sup>Remember that spatial indices are raised and lowered with the three-dimensional Kronecker symbol. <sup>6</sup>

<sup>&</sup>lt;sup>b</sup>Already a quick check on Wikipedia or in your favorite material data reference table will show this.

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### B. Nonvanishing magnetoelectric ζ

The big difference, obviously, is that with nonvanishing magnetoelectric effects Eq. [\(21\)](#page-3-3) does not hold. This complicates the algebra—but not in an impossible manner. Setting

$$
\beta^i := [g_{\text{eff}}^{-1}]^{0i},\tag{32}
$$

<span id="page-4-1"></span>and, again using the conformal freedom to set det  $[g_{eff}^{-1}]$ <br>-1 we consider the following Kaluza-Klein (KK)-ins and, again using the combinant rection to set the  $[g_{eff}]$  -<br>-1, we consider the following, Kaluza-Klein (KK)-inspired ab form<sup>7</sup> for  $[g_{\text{eff}}^{-1}]$ :

$$
[g_{\text{eff}}^{-1}]^{ab} = \begin{pmatrix} -\det(\gamma_{\infty}^{-1}) + \gamma_{kl}^{-1} \beta^k \beta^l & \beta^j \\ \beta^i & \gamma^{ij} \end{pmatrix} . \tag{33}
$$

Clearly, Eq. [\(27\)](#page-3-4), the result for  $[\mu^{-1}]$  from the previous calculation remains the same However the equations for  $\zeta$ calculation, remains the same. However, the equations for  $\zeta$ and  $\epsilon$  will change and become more difficult to deal with. It is useful to distinguish the earlier mentioned two ways to look at the consistency conditions: In the first case, one wants to take a given metric  $[g_{\text{eff}}^{-1}]$ <br>material this metric could be achieve wants to take a given metric  $[g_{\text{eff}}]$  and see which what material this metric could be achieved. After a bit of algebra  $\int^{ab}$  and see with what [such as inverting  $\gamma^{ij}$  as defined in Eq. [\(33\)](#page-4-1)], this can easily be done by looking at the following rewritten defining equations for the constituent matrices:

<span id="page-4-2"></span>
$$
\epsilon^{ij} = (\gamma^{ij} \{ \det(\gamma_{\infty}^{-1}) - \gamma_{kl}^{-1} \beta^k \beta^l \} + \beta^i \beta^j); \qquad (34a)
$$

$$
\mu^{ij} = \frac{\gamma^{ij}}{\det(\gamma^{\circ\circ})};\tag{34b}
$$

$$
\zeta^{ij} = -\frac{1}{2} (\varepsilon^i{}_{kl} \beta^l \gamma^{kj}).
$$
\n(34c)

Should this set of equations not hold simultaneously, then the given metric cannot be interpreted as an effective metric in macroscopic electrodynamics.

<span id="page-4-3"></span>The other way of looking at the consistency conditions is more involved and requires actually finding a concrete form of this condition. For this, take Eq. [\(34\)](#page-4-2) and use it to rewrite  $\epsilon$  as

$$
\epsilon^{ij} = \mu^{ij} (1 - \mu_{kl}^{-1} \beta^k \beta^l) + \beta^i \beta^j. \tag{35}
$$

This is the consistency condition we were looking for. Thus, if you are given the optical properties  $(\epsilon, \mu, \zeta)$ , and they fulfil this consistency condition, then you can calculate the effective metric via

$$
\gamma^{ij} = \frac{\mu^{ij}}{\sqrt{\det(\mu^{\circ\circ})}};
$$
\n(36)

$$
\beta^{m} = \sqrt{\det(\mu^{\circ\circ})} \varepsilon^{mk}{}_i \mu^{-1}_{jk} \zeta^{ij}; \qquad (37)
$$

<span id="page-4-5"></span><span id="page-4-4"></span>and insert in Eq. [\(33\)](#page-4-1) to arrive at

$$
[g_{\text{eff}}^{-1}]^{ab} = \begin{pmatrix} -\sqrt{\det(\mu^{\circ\circ})(1 - \mu_{kl}^{-1}\beta^k\beta^l)} & \beta^j \\ \beta^i & \frac{\mu^{ij}}{\sqrt{\det(\mu^{\circ\circ})}} \end{pmatrix} . \quad (38)
$$

This could, in principle, be turned into an equivalent formula involving  $\epsilon^{ij}$ , but the results are not particularly edifying. In either case, if the consistency condition [\(35\)](#page-4-3) is not satisfied, then the medium is simply not equivalent to an effective metric.

Doing either of these, we can then evaluate the effective metric  $[g_{\text{eff}}]_{ab}$  itself. In general, the inversion of the Kaluza-<br>Klein decomposition (38) reads Klein decomposition [\(38\)](#page-4-4) reads

$$
[g_{\text{eff}}]_{ab} = \begin{pmatrix} -\det(\gamma^{\circ\circ}) & \det(\gamma^{\circ\circ})\gamma_{jk}^{-1}\beta^k\\ \det(\gamma^{\circ\circ})\gamma_{ik}^{-1}\beta^k & \gamma_{ij}^{-1} - \det(\gamma^{\circ\circ})(\gamma_{ik}^{-1}\beta^k)(\gamma_{jl}^{-1}\beta^l) \end{pmatrix}.
$$
\n(39)

Inserting the consistency condition [\(35\)](#page-4-3) we arrive at

$$
[g_{\text{eff}}]_{ab} = \begin{pmatrix} -\sqrt{\det(\mu^{\circ\circ})}^{-1} & \mu_{jk}^{-1}\beta^k \\ \mu_{ik}^{-1}\beta^k & \sqrt{\det(\mu^{\circ\circ})}(\mu_{ij}^{-1} - (\mu_{ik}^{-1}\beta^k)(\mu_{jl}^{-1}\beta^l)) \end{pmatrix},
$$
(40a)

$$
[g_{\text{eff}}]_{ab} = \begin{pmatrix} -\sqrt{\det e^{\infty}}^{-1} (1 - \epsilon_{kl}^{-1} \beta^k \beta^l) & \epsilon_{jk}^{-1} \beta^k \\ \epsilon_{ik}^{-1} \beta^k & \sqrt{\det e^{\infty}} (\epsilon_{ij}^{-1}) \end{pmatrix}, \quad \text{with} \quad \beta^m := \sqrt{\det(e^{\infty})} e^{mk} {}_i \epsilon_{jk}^{-1} \zeta^{ij}.
$$
 (40b)

# IV. GENERALIZING TO A FULLY COVARIANT APPROACH

<span id="page-4-0"></span>The general idea for upgrading the analysis to a fully covariant approach is that the analysis in Minkowski space-time can be seen as the case of an arbitrary space-time in Riemann normal coordinates. Remember that we can use the temporal and spatial projection operators, respectively,  $t^a{}_b = -V^a V_b$  and  $h^a{}_b = g^a{}_b + V^a V_b$ , to write any vector as

<sup>&</sup>lt;sup>7</sup>As for the distinction between Kaluza-Klein and Arnowitt-Deser-Misner (ADM) formulations, note that they are dual to each other: The same decomposition is applied either to the metric (ADM, see [\[20\]\)](#page-12-3), or to the inverse metric (Kaluza-Klein, see [\[21\]](#page-12-4)). For a modern textbook treatment, see Chapter X, Appendixes 6 through 9 of Ref. [\[22\].](#page-12-5) This ADM versus KK duality holds in the sense of the cotangent space being dual to the tangent space. This distinction is independent of additional considerations of dimensionality.

$$
X^a = \delta^a{}_b X^b = t^a{}_b X^b + h^a{}_b X^b. \tag{41}
$$

This naturally and obviously extends to higher-degree tensors. Also, note the signs due to Lorentz signature. Then, effectively, in our earlier calculation spatial indices  $i = 1, 2, 3$  correspond to spatially projected indices and timelike indices (indices set to 0) correspond to a contraction with the given four-velocity  $V^8$ . Any three-dimensional Kronecker symbol  $\varepsilon^{ijk}$  corresponds then to a contraction of the four-dimensional one with the four-velocity. Summarizing, we get the following set of translation rules:

$$
X^i \to h^a{}_b X^b,\tag{42a}
$$

$$
X^0 \to V_a X^a \Leftrightarrow X^0 \to t^a{}_b X^b,\tag{42b}
$$

$$
\varepsilon^{ijk} \to \varepsilon^{abcd} V_a. \tag{42c}
$$

A quick consistency check: If we were to use these translation rules on the definition of the constitutive matrices [\(20\)](#page-3-0), we arrive at just the equations [\(A7\)](#page-9-0) in terms of the constitutive matrices  $\epsilon$ ,  $[\mu^{-1}]$  and  $\zeta$ ,

$$
\epsilon^{ab} := -2Z^{acbd} V_c V_d,\tag{43a}
$$

$$
[\mu^{-1}]^{ab} := \frac{1}{2} \varepsilon^{ca}{}_{ef} \varepsilon^{db}{}_{gh} Z^{efgh} V_c V_d,
$$
 (43b)

$$
\zeta^{ab} := \varepsilon^{ca}{}_{ef} Z^{efbd} V_c V_d. \tag{43c}
$$

This links the previously considered special case with the general orthogonal decomposition presented in the appendix. Inserting the mimicking conditions [\(6\)](#page-1-0), we get

$$
\epsilon^{ab} = -([g_{\rm eff}^{-1}]^{ab} [g_{\rm eff}^{-1}]^{cd} - [g_{\rm eff}^{-1}]^{ac} [g_{\rm eff}^{-1}]^{bd}) V_c V_d; \qquad (44a)
$$

$$
\mu_{ab}^{-1} = \varepsilon_{aefc} \varepsilon_{bmnd} ([g_{\text{eff}}^{-1}]^{em} [g_{\text{eff}}^{-1}]^{fn}) V^c V^d; \qquad (44b)
$$

$$
\zeta_a{}^b = -(\varepsilon_{amnd}[g_{\text{eff}}^{-1}]^{mc})[g_{\text{eff}}^{-1}]^{nb}V_cV^d. \tag{44c}
$$

However, there are two ingredients missing: Looking back at our equations in Sec. [III](#page-3-5) we note that we frequently encounter both the inverses of  $3 \times 3$  matrices and their determinants. Both notions are not as straightforwardly translated. To solve this, we use the Moore-Penrose pseudoinverse  $A^*$  (see, e.g.,  $[23-25]^9$  $[23-25]^9$ ) and the pseudodeterminant<sup>10</sup> pdet(A), defined for a general square  $n \times n$  matrix A with eigenvalues  $\lambda_i$  as follows:

$$
p\det(A) = \prod_{\substack{i=1 \ i \neq 0}}^{\text{rank}(A)} \lambda_i.
$$
 (45)

Furthermore, the following identities hold for the pseudodeterminant, with the last equality valid for (anti-) symmetric or (anti-) Hermitian matrices,<sup>1</sup>

$$
\det(\mathbb{1} + zA) = \text{pdet}(A)z^{\text{rank}(A)} + \mathcal{O}(z^{\text{rank}(A)-1}),\tag{46a}
$$

$$
pdet(A) = \lim_{z \to 0} \frac{\det(A + z\mathbb{1})}{z^{n - \text{rank}(A)}} = \lim_{z \to 0} \frac{\det(A + z\mathbb{1})}{z^{n \text{nullity}(A)}},\qquad(46b)
$$

$$
= \det([\mathbb{1} - AA^{\#}] + A). \tag{46c}
$$

Then note that while the generally covariant  $[\mu^{-1}]$  and  $\epsilon$ <br>nain symmetric, due to their orthogonality to  $V^a$  they do remain symmetric, due to their orthogonality to  $V^a$  they do not have full rank as  $4 \times 4$  matrices. Put differently, the null space of  $\epsilon$  or  $[\mu^{-1}]$  is one-dimensional, and any two<br>projection operators onto this null space are therefore projection operators onto this null space are therefore proportional to each other. As  $V^a V^b = -t^{ab}$  is a projector<br>onto this pull space of  $[u^{-1}]$  and c, this has to be proportional onto this null space of  $[\mu^{-1}]$  and  $\epsilon$ , this has to be proportional<br>to the corresponding  $[\mathbb{1} - A A^{\#}]^{ab}$ . Note that  $[\epsilon^{\bullet}]^{\#}$ to the corresponding  $[1 - AA^{\#}]$ <br>t = -V V. Furthermore as we ab. Note that  $[t^{\bullet}]_a^{\#}$ <br>a want the 3 + 1 case t<sub>ab</sub> =  $-V_aV_b$ . Furthermore, as we want the 3 + 1 case to<br>
drop out if we chose  $V = (1, 0, 0, 0)^T$  we can see that drop out if we chose  $V = (1, 0, 0, 0)^T$ , we can see that

$$
[1 - AA^{\dagger}]^{ab} = -t^{ab} = +V^a V^b. \tag{47}
$$

Put to use on the pseudodeterminant, we can then give it in terms of a perfectly well-behaved, standard determinant,

$$
p\det(e^{ab}) = \det(-t^{ab} + e^{ab}) = \det(V^a V^b + e^{ab}). \quad (48)
$$

Now we are in the position to actually generalize the det  $\epsilon^{ij}$  or det  $[\mu^{-1}]$ <br>annears in for ex  $i^j$  terms to a fully covariant formalism that appears in, for example, Eq. [\(29\)](#page-3-2) or [\(37\)](#page-4-5). As determinants of a tensor pick up determinants of the physical metric under general coordinate transformations, we need the following rules for promoting determinants to quantities that behave as scalars under general coordinate transformations, $12$ 

$$
\det(A^{ij}) \to \frac{\text{pdet}(A^{ab})}{-\det(g^{ab})}.
$$
 (49)

We summarized all important rules in Table [I](#page-6-0).

$$
p\det(AA^{\dagger}) = \det([1 - AA^{\dagger}] + AA^{\dagger})
$$

$$
S_{\circ \circ} \to S_{\bullet \bullet}, S^{\circ \circ} \to S^{\bullet \bullet}.
$$

<sup>&</sup>lt;sup>8</sup>Strictly speaking, the index should be hit with the temporal projector  $t^a$ <sub>b</sub>, but the actual information contained in these processes is the same.

 $^{9}[25]$  $^{9}[25]$  also contains some more historic references about other (re) discoveries of the pseudoinverse.

Early notions of the pseudodeterminant can be found in [\[26\],](#page-12-8) while more modern appearances include [\[27,28\].](#page-12-9) Written as  $det'(A)$ , a similar notion for operators can be found in the quantum field theory literature in [29] and probably even earlier. quantum field theory literature in [\[29\]](#page-12-10) and probably even earlier. This notation has been adopted, for example, in [\[30\]](#page-12-11).

 $11$ For general (asymmetric) matrices, this can be generalized to

using the singular value decomposition of  $A$ .<br><sup>12</sup>Note that as we only take determinants of symmetric matrices,  $S^{[ab]} = 0$ , the bullet notation we employ is sufficient.<br>This means, in terms of translation rules, that This means, in terms of translation rules, that

<span id="page-6-0"></span>



## A. Zero magnetoelectric effects

<span id="page-6-1"></span>Again, vanishing magnetoelectric effects greatly expedite the calculation. And as we see later in Sec. [IV C](#page-7-0), this now is more than just a pedagogical introduction—it actually has a connection to the final form of the consistency condition. With our translation rules in place, we can immediately proceed and get for the expression for the inverse of the effective metric

$$
[g_{\text{eff}}^{-1}]^{ab} = -\sqrt{\frac{\text{pdet}(e^{i\bullet})}{-\text{det}(g^{i\bullet})}}V^{a}V^{b} + \sqrt{\frac{-\text{det}(g^{i\bullet})}{\text{pdet}(e^{i\bullet})}}e^{ab}, \quad (50)
$$

while our consistency condition is turned into

$$
\epsilon^{ab} = [[\mu^{-1}]^{\#}_{\bullet\bullet}]^{ab}.\tag{51}
$$

If we then were to define

$$
\mu^{ab} := [[\mu^{-1}]^{\#}]^{ab},\tag{52}
$$

we could simplify this to the familiar

$$
\epsilon^{ab} = \mu^{ab}.\tag{53}
$$

However, the hidden mix of inverse (from the traditional notation  $[\mu^{-1}]$  to link magnetic field to excitation, unlike for<br>the permittivity) and pseudoinverse has to be kent in mind the permittivity) and pseudoinverse has to be kept in mind. Again, this is related to the historical artefact of the naming of  $[\mu^{-1}]$ , as mentioned in footnote 3. The effective metric<br>itself now takes on any of the following forms: itself now takes on any of the following forms:

$$
(g_{\text{eff}})_{ab} = \frac{\text{pdet}(\gamma^{\bullet})}{-\text{det}(g^{\bullet})} t_{ab} + [\gamma^{\bullet}]_{ab}^{\#},\tag{54a}
$$

$$
= -\sqrt{\frac{-\det(g^{\bullet\bullet})}{\text{pdet}(e^{\bullet\bullet})}}V_a V_b + \sqrt{\frac{\text{pdet}(e^{\bullet\bullet})}{-\det(g^{\bullet\bullet})}} [e^{\bullet\bullet}]^{\#}_{ab}, \quad (54b)
$$

$$
= -\sqrt{\frac{-\det(g^{**})}{\text{pdet}(\mu^{**})}}V_a V_b + \sqrt{\frac{\text{pdet}(\mu^{**})}{-\det(g^{**})}}\mu_{ab}^{-1}.
$$
 (54c)

### B. Nonzero magnetoelectric effects

The starting point here is now the consistency conditions [\(35\)](#page-4-3), the 0i components of the metric [\(37\)](#page-4-5), together with the result for the Kaluza-Klein decomposition [\(38\).](#page-4-4) All of these are turned into the corresponding, fully covariant versions by straightforwardly applying the previously derived rules.

First, take a look at what happens to the three-vector  $\beta^i$ ,

$$
\beta^{i} \to \beta^{e} = \sqrt{\frac{\text{pdet}(\mu^{*})}{-\text{det}(g^{*})}} e^{ecad} \mu_{bc}^{-1} \zeta_{a}{}^{b} V_{d}.
$$
 (55)

We can immediately see that the four-vector  $\beta^e$  satisfies

$$
\beta^e V_e = 0,\t\t(56)
$$

a transversality result we can immediately put to use to see that

$$
\mu_{ij}^{-1}\beta^i\beta^j \to \mu_{ab}^{-1}\beta^a\beta^b. \tag{57}
$$

From this we can derive the inverse effective metric,  $13$ 

$$
[g_{\text{eff}}^{-1}]^{ab} = -\sqrt{\frac{\text{pdet}(\mu^{\bullet})}{-\text{det}(g^{\bullet})}} (1 - \mu_{cd}^{-1} \beta^c \beta^d) V^a V^b + V^a \beta^b + \beta^a V^b + \sqrt{\frac{-\text{det}(g^{\bullet})}{\text{pdet}(\mu^{\bullet})}} \mu^{ab}.
$$
 (58)

The consistency condition is simply turned into the fully Lorentz-invariant, covariant equation

$$
\epsilon^{ab} = \mu^{ab} (1 - \mu_{cd}^{-1} \beta^c \beta^d) + \beta^a \beta^b. \tag{59}
$$

For the effective metric itself, we can use the fact that  $\gamma$ and  $\gamma^*$  will again be orthogonal to V. The somewhat long expression we get is

$$
[g_{\text{eff}}]_{ab} = \frac{-\det(g_{\bullet})}{\text{pdet}([r^{\bullet}]_{\bullet}^{\#})} \left( t_{ab} - V_a[r^{\bullet}]_{bc}^{\#} \beta^c - V_b[r^{\bullet}]_{ac}^{\#} \beta^c + \frac{\text{pdet}([r^{\bullet}]_{\bullet}^{\#})}{-\det(g_{\bullet})} [r^{\bullet}]_{ab}^{\#} - [r^{\bullet}]_{ac}^{\#} \beta^c [r^{\bullet}]_{bd}^{\#} \beta^d \right). \tag{60a}
$$

More specifically, in terms of  $\mu$ ,

<sup>&</sup>lt;sup>13</sup>Had we chosen to turn  $\beta^i$  into the equivalent tensorial form  $\tilde{\beta}^{ab} := t^a{}_c [g_{\text{eff}}^{-1}]^{cd} h_d^b$ , the transversality would have been  $\tilde{\beta}^{ab} V_b = 0$ , and the combination  $\beta^a V^b$  would be equal to  $\tilde{\beta}^{ba}$ .

$$
[g_{\text{eff}}]_{ab} = \sqrt{\frac{\text{pdet}[[\mu^{-1}]^{n}]}{-\text{det}(g^{n})}} t_{ab} - (V_{a}\mu_{bc}^{-1}\beta^{c} + V_{b}\mu_{ac}^{-1}\beta^{c}) + \sqrt{\frac{-\text{det}(g^{n})}{\text{pdet}([\mu^{-1}]^{n})}}(\mu_{ab}^{-1} - \mu_{ac}^{-1}\beta^{c}\mu_{bd}^{-1}\beta^{d}).
$$
 (60b)

Alternatively, we can also write this in terms of  $\epsilon$  as

$$
[g_{\text{eff}}]_{ab} = -\sqrt{\frac{-\det g^{\bullet \bullet}}{\text{pdete}^{\bullet \bullet}}} (1 - \epsilon_{cd}^{\#} \beta^c \beta^d) V_a V_b - V_a \epsilon_{bd}^{\#} \beta^d - V_b \epsilon_{ad}^{\#} \beta^d + \sqrt{\frac{\text{pdete}^{\bullet \bullet}}{-\det g^{\bullet \bullet}}} \epsilon_{ab}^{\#},\tag{60c}
$$

where now

$$
\beta^{e} = \sqrt{\frac{\text{pdet}(e^{\bullet\bullet})}{-\text{det}(g^{\bullet\bullet})}} e^{ecad} \epsilon_{bc}^{\#} \zeta_{a}{}^{b} V_{d}.
$$
 (60d)

### C. A new look at the consistency condition

<span id="page-7-0"></span>On physical grounds, the "light cones" of  $g<sub>eff</sub>$  have to lie inside the light cones of the physical metric  $g$ . Therefore, for any physical four-velocity  $U^a$ , the quantity

$$
Q = [g_{\text{eff}}]_{ab} U^a U^b \tag{61}
$$

is negative. Now look for the minimum of  $Q$  by solving the Lagrange multiplier problem

$$
L = [g_{\text{eff}}]_{ab}U^a U^b - \lambda (g_{ab}U^a U^b + 1), \tag{62}
$$

and call this minimum V. Now adopting Riemann normal coordinates ( $g_{ab} \rightarrow \eta_{ab}$ ) and going to the rest frame of V [so  $V^a \rightarrow (1, 0, 0, 0)$ ] we can block diagonalize the effective metric

$$
[g_{\text{eff}}]_{ab} = \begin{pmatrix} -\lambda & 0\\ 0 & [g_{\text{eff}}]_{ij} \end{pmatrix}
$$
 (63)

with inverse

$$
[g_{\text{eff}}]^{ab} = \begin{pmatrix} -\frac{1}{\lambda} & 0\\ 0 & [g_{\text{eff}}^{-1}]^{ij} \end{pmatrix}.
$$
 (64)

In particular, this means that, for this effective metric, there exists a rest frame for an observer with four-velocity V such that in this rest frame the magnetoelectric effects vanish. Now this means that we can, for this specific observer, use the much simpler analysis of Sec. [IVA.](#page-6-1) Let us therefore call this the natural rest frame of the given medium.

Thus, another possible approach to the problem is this: Assume we have found this V for our given effective metric. We then define the corresponding permittivity as  $\epsilon_V$ and the corresponding permeability as  $\mu_V^{-1}$ . What, then, would be the constitutive matrices  $\epsilon$ ,  $[\mu^{-1}]$ , and  $\zeta$  of another observer with four-velocity W in terms of these  $\epsilon_1$ , and  $\mu^{-1}$ ? observer with four-velocity W in terms of these  $\epsilon_V$  and  $\mu_V^{-1}$ ?

#### <span id="page-7-1"></span>D. Natural reference frame versus arbitrary observer

In order to answer the question at the end of the last subsection, let us first establish helpful notation for this. Choose any four-velocity  $V^a$  and an arbitrary, not necessarily symmetric matrix  $q^{ab}$  four-orthogonal to it,

$$
q^{ab}V_b = V_b q^{ba} = 0. \tag{65}
$$

Let us then define the following fourth-rank tensor:

$$
Q^{abcd} := V^a V^d q^{bc} + V^b V^c q^{ad} - V^b V^d q^{ac} - V^a V^c q^{bd}. \tag{66}
$$

Furthermore, let us use this tensor  $O^{abcd}$  to define four more tensors by setting  $q$  equal to one of the four constitutive matrices  $\epsilon_V^{ab}$ ,  $[\mu_V^{-1}]^{1/2}$ CONSTRUCTURE HALLET  $\epsilon_V$ ,  $\mu_V$  ,  $\epsilon_V$ , and its train  $[\zeta_V^T]^{ab}$  as measured with respect to four-velocity  $V^a$ ,  $\int_a^{ab}$ ,  $\zeta_V^{ab}$ , and its transpose

$$
E_V^{abcd} := Q_{q \to \epsilon_V}^{abcd},\tag{67a}
$$

$$
M_V^{abcd} := Q_{q \to \mu_V^{-1}}^{abcd},\tag{67b}
$$

$$
A_V^{abcd} := Q_{q \to \zeta_V}^{abcd}, \tag{67c}
$$

$$
(A_V^T)^{abcd} := Q_{q \to \zeta_V^T}^{abcd}.
$$
 (67d)

If we now compare this with the Bel-decomposed expression for the constitutive tensor  $Z^{abcd}$  in Eq. [\(A8\)](#page-9-1), we see that we can rewrite Eq. [\(A8\)](#page-9-1) in terms of these four tensors in the following way:

$$
Z^{abcd} = \frac{1}{2}(E_V + (*M_V*) + (*A_V) + (A_V^T*)^{\text{abcd}}.
$$
 (68)

<span id="page-7-2"></span>While the right-hand side is implicitly dependent on the previously chosen four-velocity  $V^a$ , the left-hand side is general and independent of it. This, then, enables us to give deceptively simple expressions for how to calculate the constitutive matrices  $\epsilon_W^{ab}$ ,  $[\mu_W^{-1}]$ <br>different observer with four-ve constitutive matrices  $\epsilon_W$ ,  $\mu_W$  , and  $\zeta$  different observer with four-velocity  $W^a$ ,  $\int_a^{ab}$ , and  $\zeta_W^{ab}$  as seen by a

$$
\epsilon_W^{ab} = -2Z^{dacb} W_d W_c, \qquad (69a)
$$

$$
\zeta_W^{ab} = 2(*Z)^{dacb} W_d W_c, \qquad (69b)
$$

<span id="page-7-4"></span><span id="page-7-3"></span>
$$
[\mu_W^{-1}]^{ab} = 2(*Z*)^{dacb}W_dW_c,
$$
 (69c)

$$
[\zeta^{\dagger}]^{ab} = 2(Z*)^{dacb}W_dW_c.
$$
 (69d)

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While it would certainly be possible to now give  $\epsilon_w^{ab}$ ,  $[\mu_W^{-1}]$ rab  $\begin{bmatrix} \mu_W \\ \zeta_V^b, V^a, \end{bmatrix}$ , and  $\zeta_W^w$  in fun generatity in terms of  $\epsilon_V$ ,  $\mu_V$   $\rfloor$ ,  $\int_a^{ab}$ , and  $\zeta_a^{ab}$  in full generality in terms of  $\epsilon_v^{ab}$ ,  $\mu_v^{-1}$ <br> $V^a$  and  $W^a$  the resulting expressions would  $]^{ab},$ stigmatized by being unilluminatingly and excessively complicated. Nevertheless, in special cases this is much less of a problem. Also, the existence of closed-form expressions proves useful when working numerically in this formalism. Nonetheless, in Appendix [B](#page-10-0) we give an explicit example on how to use this. Specifically, we look at an isotropic medium in motion and regain the well-known magnetoelectric effect of moving media [\[2,9,14\]](#page-11-4), of which the Fresnel-Fizeau effect is a special case [\[31,32\]](#page-12-12).

# V. CONCLUSION

In conclusion, we have seen that even going to generally covariant formulations of an effective metric given by macroscopic electrodynamics gives no additional physical results: There is always a natural reference frame for a given medium such that in this frame the consistency condition reduces to the well-known result

$$
\epsilon^{ij} = \mu^{ij}.\tag{70}
$$

It remains to be seen how far-reaching or maybe even limiting this result proves to be. On physical grounds, however, this should not come as a surprise: The very nature of the effective metric  $g_{\text{eff}}$  is to describe the given physics via a light cone—in particular, this implies locality. And in classical electrodynamics it is well known (see [\[9\]\)](#page-12-13) that only nonlocal (and so, when Lorentz-transformed, noninstantaneous), or dissipative phenomena can give rise to nonvanishing magnetoelectric ζ. While both nonlocality (through, for example, helical molecules) and dissipation (through electrical resistance in a medium) are obviously important effects in macroscopic electrodynamics, their effects lead beyond mere Lorentzian geometries in an analogue model. It is useful to compare this with the physical arguments behind requiring a vanishing birefringence in the context of premetric electrodynamics, as done in Ref. [\[12\]](#page-12-2).

The covariant formulation we employed, however, should provide—in the right context—a great boon to presentations of macroscopic electrodynamics. In particular, the concept of pseudoinverses and pseudodeterminants provides a quite intuitive (and so far underappreciated) mathematical technology. Therefore, it proves useful to further disseminate this framework: When communicating with researchers with a background in relativity (who are used to treating microscopic electrodynamics fully covariantly), the different  $3 + 1$  notation inherited from the electrodynamics community, and the focus there on threedimensional quantities, often complicates discussion. Vice versa, the fully covariant formulation can be used to make the covariant approach itself more appealing to people used to the three-dimensional quantities  $E$ ,  $B$ ,  $D$ , and  $H$  on the one hand, and the corresponding  $3 \times 3$  matrices for

permittivity  $\epsilon$ , (inverse) permeability  $[\mu^{-1}]$ , and magneto-<br>electric effects  $\zeta$  for their 6 × 6 matrix analogue as in electric effects  $\zeta$  [or their 6 × 6 matrix analogue as in Eq. [\(5\)](#page-1-1)]. Especially in the context of analogue space-times implemented via macroscopic electrodynamics, this translational device should prove helpful, as it is here that both respective communities have to come together.

Note that nothing could prevent us from using a covariant polarization tensor  $P^{ab}$  instead of the excitation tensor  $G^{ab}$ , thus generalizing the present discussion somewhat. However, this could not give rise to new physical insights and would rather only make the notation even more cumbersome in this particular context. Similarly, while the constitutive tensor in macroscopic electrodynamics is often immediately made complex valued to deal with dissipation and dispersion, in the present context this runs into problems early on—one would have to provide a physical interpretation of a complexified effective metric. While this might prove important for applications of electrodynamic, analogue space-times, it is far from obvious how to solve this problem.

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# APPENDIX A: THE BEL DECOMPOSITION OF THE CONSTITUTIVE TENSOR

The Bel decomposition was originally developed as the orthogonal decomposition, with respect to a given fourvelocity  $V^a$ , of the Riemann curvature tensor (see for example [\[33](#page-12-14)–38] and references therein; for unnamed appearances in the present context see for example [\[6\]](#page-11-5)). In order to see how this comes about, it is useful to remind oneself of the orthogonal decomposition with respect to an observer of four-velocity  $V^a$  of some two-form, e.g., the electromagnetic field-strength tensor  $F_{ab}$  or the excitation tensor  $G_{ab}$ .

<span id="page-8-1"></span>For all four-velocities  $V^a$  there exist two uniquely determined vector fields  $E^a$  and  $B^a$ , such that

$$
F_{ab} = V_a E_b - V_b E_a + \varepsilon_{abcd} V^c B^d. \tag{A1}
$$

A proof can be found in [\[3\]](#page-11-2), page 83ff; see also page 493 therein.

<span id="page-8-0"></span>This enables us to have a rigorous look at Sec. [II B](#page-2-4): Together with the symmetries of Z given in Eqs. [\(12\)](#page-2-5) and [\(13\)](#page-2-6), we can then deduce that there exist  $Y_A$  (a collection of two-forms labeled by A) and a symmetric  $6 \times 6$  matrix  $X^{AB}$ , such that

$$
Z^{abcd} = Y_A^{ab} X^{AB} Y_B^{cd}.
$$
 (A2)

Each of the six  $Y_A^{ab}$  decomposes as the field-strength tensor for a given four-velocity  $V^a$  with corresponding vector fields  $E_A$  and  $B_A$ .<sup>14</sup> Inserting these decompositions in Eq. [\(A2\)](#page-8-0) and collecting terms, we can define three separate matrices from four separate terms,

$$
W_e^{ab} := E_A^a X^{AB} E_B^b \qquad W_\zeta^{ab} := E_A^a X^{AB} B_B^b \tag{A3}
$$

$$
[W_{\zeta}^T]^{ab} := B_A^a X^{AB} E_B^b \qquad W_{\mu}^{ab} := B_A^a X^{AB} B_B^b. \tag{A4}
$$

<span id="page-9-2"></span>With these definitions, Z decomposes in the following manner:

$$
Z^{abcd} = V^b V^d W^{ac}_{\epsilon} + V^a V^c W^{bd}_{\epsilon} - V^a V^d W^{bc}_{\epsilon} - V^b V^c W^{ad}_{\epsilon}
$$
  
+ 
$$
V^f (\varepsilon^{ab}{}_{\varepsilon f} W^{eg}_{\mu} \varepsilon^{cd}{}_{gh}) V^h
$$
  
+ 
$$
(W^{ag}_{\zeta} V^b - W^{bg}_{\zeta} V^a) \varepsilon^{cd}{}_{gh} V^h
$$
  
+ 
$$
V^f \varepsilon^{ab}{}_{ef} ([W^T_{\zeta}]^{ec} V^d - [W^T_{\zeta}]^{ed} V^c).
$$
 (A5)

<span id="page-9-1"></span>Now define

$$
-2W_{\epsilon} = \epsilon, \tag{A6a}
$$

$$
2W_{\zeta} = \zeta, \tag{A6b}
$$

$$
2W_{\mu} = [\mu^{-1}]. \tag{A6c}
$$

<span id="page-9-0"></span>It is noteworthy that the above procedure bears a close relationship to the left, right, and double dual as usually defined for the Riemann tensor, see e.g. [\[4\],](#page-11-3) as

$$
W_c^{bd} = V_a V_c Z^{abcd},\tag{A7a}
$$

$$
W_{\mu}^{bd} = V_a V_c (*Z*)^{abcd}, \qquad (A7b)
$$

$$
[W_{\zeta}^T]^{bd} = V_a V_c (*Z)^{abcd}, \qquad (A7c)
$$

$$
W_{\zeta}^{bd} = V_a V_c (Z*)^{abcd}.
$$
 (A7d)

After some longer index algebra, Eq. [\(A5\)](#page-9-2) can be turned into

$$
Z^{abcd} = \frac{1}{2} (V^a V^d \epsilon^{bc} + V^b V^c \epsilon^{ad} - V^b V^d \epsilon^{ac} - V^a V^c \epsilon^{bd})
$$
  
+ 
$$
\frac{1}{8} \epsilon^{ab}{}_{ef} \epsilon^{cd}{}_{gh} (V^f [\mu^{-1}]^e g V^h + V^e [\mu^{-1}]^f h V^g - V^e [\mu^{-1}]^f g V^h - V^f [\mu^{-1}]^e h V^g)
$$
  
+ 
$$
\frac{1}{4} \epsilon^{ab}{}_{ef} (\zeta^f c V^d V^e + \zeta^{ed} V^c V^f - \zeta^{ec} V^d V^f - \zeta^f d V^c V^e)
$$
  
+ 
$$
\frac{1}{4} \epsilon^{cd}{}_{gh} ([\zeta^{\dagger}]^b g V^a V^h + [\zeta^{\dagger}]^{ah} V^b V^g - [\zeta^{\dagger}]^{ag} V^b V^h - [\zeta^{\dagger}]^{bh} V^a V^g).
$$
 (A8)

To get another way of writing this decomposition, make use of the spatial projection  $h^{ab} := q^{ab} + V^a V^b$  and the time projection  $t^{ab} := -V^a V^b$ . Noting that

$$
\epsilon_{cd}{}^{af} \epsilon^{ebcd} V_e V_f = -2(g^{ab} + V^a V^b) = -2h^{ab}, \quad (A9)
$$

<span id="page-9-3"></span>and using

$$
g^{b_1c_1} \cdots g^{b_nc_n} \varepsilon_{c_1...c_n} \varepsilon^{a_1...a_n}
$$
  
=  $-n! g^{b_1c_1} \cdots g^{b_nc_n} \delta^{a_1}{}_{[c_1} \cdots \delta^{a_n}{}_{c_n]},$  (A10)

explicitly written out for  $n = 4$ , one gets

$$
Z^{abcd} = \frac{1}{2} (V^d V^a \epsilon^{bc} - V^c V^a \epsilon^{bd} + V^c V^b \epsilon^{ad} - V^d V^b \epsilon^{ac}
$$
  
+  $h^{ad} [\mu^{-1}]^{cb} - h^{ac} [\mu^{-1}]^{db} + h^{bc} [\mu^{-1}]^{ad} - h^{bd} [\mu^{-1}]^{ac}$   
+  $(h^{bd} h^{ac} - h^{bc} h^{ad}) [\mu^{-1}]^e{}_e + \epsilon^{fabe} (V^d \zeta_e^c - V^c \zeta_e^d) V_f$   
+  $\epsilon^{f cde} (V^b \zeta_e^a - V^a \zeta_e^b) V_f).$  (A11)

Note that every term involving two  $V$ 's corresponds to a time projection.

The three equations  $(A5)$ ,  $(A8)$ , and  $(A11)$  now are the Bel decomposition of the constitutive tensor. They show that, once an observer's four-velocity  $V^a$  is chosen, there exists a unique decomposition of Z into three constitutive matrices  $\epsilon$ ,  $[\mu^{-1}]$ , and  $\zeta$  for that given observer. Put differently this decomposition clearly shows the observer ently, this decomposition clearly shows the observer dependence of  $\epsilon$ ,  $[\mu^{-1}]$ , and  $\zeta$ .<br>While  $\epsilon$  and  $[\mu^{-1}]$  are auto

While  $\epsilon$  and  $[\mu^{-1}]$  are automatically symmetric,  $\zeta$  has *priori*) no symmetries. Also note that the antisymmetry (a priori) no symmetries. Also note that the antisymmetry properties of either  $\varepsilon^{abcd}$  or  $Z^{abcd}$  guarantee that  $\varepsilon$ ,  $[\mu^{-1}]$ ,<br>and  $\zeta$  are four-orthogonal to  $V$ and  $\zeta$  are four-orthogonal to V,

<sup>&</sup>lt;sup>14</sup>The naming is chosen such that their role in the corresponding version of Eq. [\(A1\)](#page-8-1) is clear; this is not to mean that they are six electric or magnetic fields.

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$$
\epsilon^{ab}V_b = [\mu^{-1}]^{ab}V_b = \zeta^{ab}V_b = \zeta^{ba}V_b = 0. \quad (A12)
$$

It should be mentioned that, ironically, the names given to the three independent matrices  $W_{\epsilon}$ ,  $W_{\zeta}$ , and  $W_{\mu}$ encountered in this decomposition in the general relativity (GR) community are very misleading in the present context: In GR, the Bel decomposition of the Riemann tensor is used to find dynamical analogies between the Einstein equations on the one hand, and the Maxwell equations on the other hand. In our case, now, the role of the Bel decomposition is only kinematical and entirely in the realm of electromagnetism itself. For example, what goes under the name of "electric tensors" in [\[39\]](#page-12-15) corresponds to both the permittivity and the permeability tensors, while the "magnetic tensors" here are the magnetoelectric tensor and its transpose.

#### <span id="page-10-0"></span>APPENDIX B: MOVING ISOTROPIC MEDIA

An isotropic medium with no magnetoelectric effects moving with four-velocity  $V^a$  has in its rest frame permittivity tensor and permeability tensor given by the following equations:

$$
\epsilon^{ab} = \epsilon(g^{ab} + V^a V^b) = \epsilon h^{ab} \tag{B1a}
$$

and

$$
[\mu^{-1}]^{ab} = \mu^{-1}(g^{ab} + V^a V^b) = \mu^{-1} h^{ab}.
$$
 (B1b)

Inserting this in the Bel-decomposed constitutive tensor  $Z^{abcd}$  yields, according to Eq. [\(A8\)](#page-9-1),

$$
Z^{abcd} = -\frac{\epsilon}{2} (V^a V^c h^{bd} + V^b V^d h^{ac} - V^a V^d h^{bc}
$$

$$
- V^b V^c h^{ad}) + \frac{\mu^{-1}}{2} (h^{ac} h^{bd} - h^{ad} h^{bc}).
$$
 (B2)

<span id="page-10-1"></span>This in turn can be rearranged to get

$$
Z^{abcd} = \frac{\mu^{-1}}{2} [(h^{ac} - \epsilon \mu V^a V^c)(h^{bd} - \epsilon \mu V^b V^d) - (h^{ad} - \epsilon \mu V^a V^d)(h^{bc} - \epsilon \mu V^b V^c)],
$$
 (B3)

which then in turns lends itself to two different applications: The first is to derive again the consistency condition [\(28\)](#page-3-1). The second is to get fully covariant expressions for the magnetoelectric effect of moving media. We do both consecutively in the following short subsections.

#### 1. The consistency condition

Taking from Eq. [\(11\)](#page-2-7) that an effective metric would mean

$$
Z^{abcd} = \sqrt{\frac{\det g_{\text{eff}}}{\det g}} \left( [g_{\text{eff}}^{-1}]^{ac} [g_{\text{eff}}^{-1}]^{bd} - [g_{\text{eff}}^{-1}]^{ad} [g_{\text{eff}}^{-1}]^{bc} \right), \quad \text{(B4)}
$$

and comparing this with the just derived Eq. [\(B3\),](#page-10-1) we see that the existence of an effective metric  $g<sub>eff</sub>$  would imply

$$
\sqrt[4]{\frac{\det(g_{\text{eff}})}{\det(g)}} [g_{\text{eff}}^{-1}]^{ab} = \mu^{-1/2} (h^{ab} - \epsilon \mu V^a V^b). \quad (B5)
$$

Taking determinants on both sides, we get the following equivalent of the previously derived consistency condition [\(28\)](#page-3-1) in the special case of an isotropic medium:

$$
-1 = -\frac{\epsilon}{\mu}.\tag{B6}
$$

If the isotropic medium fulfils this condition we can then immediately write down the inverse effective metric as

$$
[g_{\text{eff}}^{-1}]^{ab} \propto (h^{ab} - \epsilon \mu V^a V^b) \tag{B7}
$$

or more specifically as

$$
[g_{\text{eff}}^{-1}]^{ab} = (\epsilon \mu)^{-1/4} (h^{ab} - \epsilon \mu V^a V^b). \tag{B8}
$$

### 2. The magnetoelectric effect of moving media

Instead of looking for the possibility for an effective metric describing the constitutive tensor, we can also use the results of Sec. [IV D](#page-7-1) to see what constitutive matrices an observer, who is not comoving to the natural reference frame of the medium, would measure. To this end, let us look at Eqs.  $(69)$ , again, with  $W^a$  denoting the four-velocity of the observer. First, we calculate the permittivity  $\epsilon_{W}^{ab}$ . After some algebra Eq. [\(69a\)](#page-7-2) is evaluated to be

$$
\epsilon_W^{bd} = -2Z^{abcd}W_aW_c,\tag{B9}
$$

$$
= \mu^{-1} (g^{bd} + W^b W^d) + (\epsilon - \mu^{-1}) (g^{bd} (V \cdot W)^2
$$

$$
-(W^b V^d + V^b W^d) (V \cdot W) - V^b V^d).
$$
 (B10)

Defining

$$
h_W^{bd} := g^{bd} + W^b W^d, \tag{B11}
$$

and realizing that

$$
h_W^{be} h_{ef} h_W^{fd} = g^{bd} + [1 + (V \cdot W)^2] W^b W^d
$$
  
+  $(V \cdot W)[W^b V^d + V^d W^b] + V^b V^d,$  (B12)

we can even simplify  $\epsilon_W^{ab}$  further to

$$
\epsilon_W^{bd} = \mu^{-1}(h_W^{bd}) - (\epsilon - \mu^{-1})[h_W^{be}h_{ef}h_W^{fd} - [1 + (V \cdot W)^2]h_W^{bd}],
$$
\n(B13a)

$$
= \epsilon h_W^{bd} + (\epsilon - \mu^{-1}) \left[ (V \cdot W)^2 h_W^{bd} - h_W^{be} h_{ef} h_W^{fd} \right]. \tag{B13b}
$$

For  $[\mu_W^{-1}]$ For  $\mu_W$  - it is helpful to realize that  $\mu$  -  $\epsilon \mu$  v  $\mu$  is for<br>the following calculational needs the inverse of a  $\int^{bd}$  it is helpful to realize that  $h^{ab} - \epsilon \mu V^a V^b$  is for

(Lorentzian) metric  $G_{ab}$ <sup>15</sup> Therefore, it has an associated Levi-Civita tensor (density)  $\varepsilon$ <sup>G</sup>. This then means that we can "pictorially," meaning we forget numerical factors and physical coefficients like  $\mu^{-1}$ , rewrite the defining Eq. [\(69c\)](#page-7-3) to showcase the tensorial dependencies,

$$
[\mu_W^{-1}]^{\bullet \bullet} \simeq (*(\mathcal{G}^{-1}\mathcal{G}^{-1} - \mathcal{G}^{-1}\mathcal{G}^{-1})^{\circ})^{\bullet \bullet \bullet} W_{\bullet}W_{\bullet},
$$
\n(B14a)

$$
\simeq \varepsilon^{\bullet} \cdot \left(\mathcal{G}^{-1}\mathcal{G}^{-1} - \mathcal{G}^{-1}\mathcal{G}^{-1}\right)^{\bullet\bullet\bullet} \varepsilon^{\bullet\bullet} \cdot \mathcal{W} \cdot W_{\bullet},\tag{B14b}
$$

$$
\simeq [g^{-1}]^{\bullet\bullet}[g^{-1}]^{\bullet\bullet}[g^{-1}]^{\bullet\bullet} \underbrace{\mathcal{E}_{\bullet\bullet\bullet\bullet}\mathcal{E}_{\bullet\bullet\bullet\bullet}}_{\simeq \sqrt{\frac{\det g^2}{\det g}} \mathcal{E}_{\bullet\bullet\bullet}\mathcal{E}_{\bullet\bullet\bullet}} (\mathcal{G}^{-1}\mathcal{G}^{-1} - \mathcal{G}^{-1}\mathcal{G}^{-1})^{\bullet\bullet\bullet} W_{\bullet}W_{\bullet},
$$
\n(B14c)

$$
\approx \frac{\det g}{\det \mathcal{G}} [g^{-1}]^{**} [g^{-1}]^{**} [g^{-1}]^{**} \underbrace{\epsilon^{\mathcal{G}}_{\bullet\bullet\bullet\bullet} \epsilon^{\mathcal{G}}_{\bullet\bullet\bullet} (G^{-1} \mathcal{G}^{-1} - \mathcal{G}^{-1} \mathcal{G}^{-1})^{***}}_{\approx (G\mathcal{G}, G\mathcal{G})} W_{\bullet} W_{\bullet},
$$
\n(B14d)

$$
\approx \frac{\det g}{\det \mathcal{G}} \left( [g^{-1} \mathcal{G} g^{-1}] [g^{-1} \mathcal{G} g^{-1}] - [g^{-1} \mathcal{G} g^{-1}] [g^{-1} \mathcal{G} g^{-1}] \right)^{\bullet \bullet \bullet} .
$$
\n(B14e)

Now  $\frac{\det g}{\det \mathcal{G}}$  evaluates to  $\epsilon \mu$  and

$$
[g^{-1}\mathcal{G}g^{-1}]^{\bullet\bullet} = g^{\bullet\bullet} + \left(1 - \frac{1}{\epsilon\mu}\right)V^{\bullet}V^{\bullet}.
$$
 (B15)

With this we can then perform a similar analysis to the one for  $\epsilon^{ab}$  and arrive at

$$
[\mu_W^{-1}]^{bd} = \frac{h_W^{bd}}{\mu} + (\mu^{-1} - \epsilon)((V \cdot W)^2 h_W^{bd} - h_W^{be} h_{ef} h_W^{fd}).
$$
\n(B16)

<span id="page-11-6"></span>Finally, starting from Eq. [\(69b\)](#page-7-4) we arrive, again after some algebra, at the equation

$$
\zeta_W^{ac} = (\epsilon - \mu^{-1})(V \cdot W)(\epsilon^{acef} W_e V_f)
$$
 (B17)

for the magnetoelectric matrix  $\zeta_w^{ac}$ .

Note that this calculation reproduces several important physical insights.

- (1) If we pull out a factor  $\epsilon$  in front of the right-hand side of Eq. [\(B17\),](#page-11-6) the remainder of the right-hand sides will contain a factor of  $1 - 1/\epsilon \mu = 1 - \frac{1}{n^2}$ , which nicely reproduces the Fresnel-Fizeau effect in flat space.
- (2) Similarly, in flat space and if both the observer and the natural reference frame of the medium are inertial frames, note that  $(V \cdot W)^2 = \gamma^2$  is just the Lorentz factor we expect second-rank tensors like the constitutive matrices to have.
- (3) Finally, Eq. [\(B17\)](#page-11-6) gives the well-known result that a moving medium will have magnetoelectric effects, even if it did not at rest. Again, this is tightly related to the Fresnel-Fizeau effect, but is a more general result.
- (4) Also, isotropy is lost under a change of observer. This happens even for inertial observers in Minkowski space and is intimately connected to the appearance of magnetoelectric effects.
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<sup>&</sup>lt;sup>15</sup>On a purely formal level it is of the form of the inverse Gordon metric [\[1\],](#page-11-0) even though at this stage we have not yet imposed the consistency condition which may or may not hold. And given most materials' properties it most likely will not. On the other hand, the Gordon metric *does* have general validity in the ray optics limit, as opposed to wave optics.

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