Violation of positivity bounds in models of generalized parton distributions

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As with parton distributions, flexible phenomenological parametrizations of generalized parton distributions (GPDs) are essential for their extraction from data. The large number of constraints imposed on GPDs make simple Lorentz covariant models viable; but, such models are often incomplete in that they employ the impulse approximation. Using the GPD of the pion as a test case, we show that the impulse approximation can lead to violation of the positivity bound required of GPDs. We focus on a particular model of the pion bound-state vertex that was recently proposed and demonstrate that satisfying the bound is not guaranteed by Lorentz covariance. Violation of the positivity bound is tied to a problematic mismatch between the behavior of the quark distribution at the end point and the crossover value of the GPD.

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I. INTRODUCTION

Generalized parton distributions (GPDs) [1–5] contain the physics of form factors and parton distributions, and thereby allow for the study of correlations between transverse position and longitudinal momentum inside hadrons. In impact-parameter space, GPDs elegantly describe the transverse structure of fast moving hadrons [6–8]. Intense activity has been generated in this field, which is largely due to the ability to measure GPDs in deeply virtual Compton scattering, and resolve the angular momentum content of hadrons. A number of insightful reviews have appeared on the subject; see, for example, Refs. [9–12].

From a theoretical perspective, GPDs are rather complicated objects to model. As with ordinary parton distribution functions, flexible phenomenological parametrizations would be welcome to aid in their extraction from data. The large number of constraints imposed on GPDs, however, makes such parametrizations challenging to devise. We restrict our attention to twist-two GPDs throughout. One such nontrivial constraint required of twist-two GPDs is a general bound due to the positivity of the norm on the hadronic light-front Fock space. These so-called positivity bounds are introduced and discussed in several works [13–18].

As an example of a positivity bound, consider the singleparticle density operator, $\rho_{\Psi} = |\Psi\rangle\langle\Psi|$, in a many-body Hilbert space. The coordinate-space density matrix has the definition $\rho(\mathbf{r}', \mathbf{r}) = \langle \mathbf{r}' | \rho_{\Psi} | \mathbf{r} \rangle$. In particular, the forward matrix element, $\rho(\mathbf{r}) \equiv \langle \mathbf{r} | \rho_{\Psi} | \mathbf{r} \rangle$, is the average density of particles at the position \mathbf{r} in the multiparticle state $|\Psi\rangle$, which is strictly a positive semidefinite quantity, $\rho(\mathbf{r}) \ge 0$. Accordingly, the mixed density satisfies the bound

$$|\rho(\mathbf{r}', \mathbf{r})| \le \sqrt{\rho(\mathbf{r}')\rho(\mathbf{r})},\tag{1}$$

as a consequence of the Cauchy-Schwarz inequality. In the case of GPDs, the underlying parton distribution, q(x), is a probability distribution and thereby satisfies the positivity condition $q(x) \ge 0$. The GPD is similar in structure to a mixed plus-momentum density in the bound state. With symmetrical kinematic variables and for $x > \xi$, the incoming parton has momentum fraction $x_i = (x + \xi)/(1 + \xi)$, and the outgoing parton has momentum fraction $x_f = (x - \xi)/(1 - \xi)$. On account of the Cauchy-Schwarz inequality, the pion GPD, which we denote by $H(x, \xi, t)$, is bounded by

$$|H(x,\xi,t)| \le \sqrt{q(x_f)q(x_i)},\tag{2}$$

when $x > \xi$. If the GPD is expressed in terms of quark light-front wave functions, this positivity bound is an immediate consequence that arises from the convolution of diagonal Fock-state wave functions [19,20]. While not manifestly Lorentz covariant, the light-front Fock-space expansion makes the positivity bound transparent. On the other hand, the large number of constraints on GPDs stemming from Lorentz invariance are best satisfied within Lorentz covariant frameworks, for which purpose the double distributions (DDs) have been devised [16,21]. Such frameworks, however, obfuscate the positivity bound. This situation is addressed in the present work, and we argue that the impulse approximation, while Lorentz covariant, is insufficient to describe the higher Fock

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components self-consistently. As a result, the positivity bound on GPDs can be violated in the impulse approximation, and we use the particular model of Ref. [22] to exemplify this fact.

The calculation of model GPDs in Lorentz covariant frameworks is not entirely new; however, the line of investigation pursued in Ref. [22] represents a physically motivated departure from earlier models. Such models have largely employed the pointlike bound-state vertex arising in the Nambu-Jona-Lasinio model, a couple examples of which are Refs. [23,24]. While simple, the pointlike ansatz provides a solution of the Bethe-Salpeter equation with a contact interaction that includes a binding effect. As the particular contact interaction cannot be gauged, the pointlike model is complete in the impulse approximation. The corresponding model GPDs should then satisfy all known constraints, modulo difficulties arising from the regularization of ultraviolet divergences. The potential danger of these subtractions on the positivity bound was discussed in Ref. [24], where it was found that a particular Pauli-Villars subtraction [25] maintains the positivity bound on GPDs. As pointed out in Ref. [26], one can construct further consistent models of GPDs by summing over these basic contributions evaluated at different constituent masses. This is the essence of the consistency of the spectral quark model GPDs computed in Ref. [24]; however, it must be stressed that, unlike two-point functions, GPDs do not possess a spectral representation. In contrast to these models, that employed in Ref. [22] introduces a nonpointlike Bethe-Salpeter vertex for the bound state. This covariant smearing has the salient feature that ultraviolet divergences are cured; however, such an ansatz arises from a nontrivial kernel. Without knowledge of the underlying dynamics, one does not know how to gauge the kernel and such contributions must be omitted. Due to this malady, the model is incomplete in the impulse approximation. Consequently the positivity bound cannot be guaranteed and, indeed, we find that it is (rather severely) violated.¹

The organization of this work is as follows. We begin with a short reminder about the definitions of the Lorentz invariant DDs in Sec. II, and determine the DDs for the covariant model of the pion proposed in Ref. [22]. Technical details in the computation of the DDs are relegated to Appendix A. The DDs are used to compute the twist-two pion GPD, and the positivity bound is shown to be violated, both in strong and weak forms. Investigating the cause of this violation leads us to the light-front Fock-space representation of GPDs in Sec. III. Here, an analysis of the pole structure leading to the light-front representation of the GPD is given. We argue that positivity violation arises from the mismatch between the end-point behavior of the quark distribution and the crossover value of the GPD. Appendix B provides details about light-front spinors, normalization factors, and other conventions employed. A brief summary, which is given in Sec. IV, concludes this work.

II. THE MODEL AND ITS DOUBLE DISTRIBUTIONS

While GPDs are not Lorentz invariant objects, they nonetheless inherit a number of constraints related to the underlying Lorentz covariance of their defining QCD matrix elements. These constraints (in particular, the polynomiality of GPD moments) are elegantly satisfied by employing the DD representation. The DD for a given model is Lorentz invariant, and the GPD is obtained by noncovariant integration over a slice in the space where the DD has support [16,21].

As our focus is with Lorentz covariant models for twisttwo GPDs, we begin with the DD representation. Pertinent definitions and conventions for pion DDs are reviewed, followed by the determination of the DDs in a covariant model of the pion. The positivity bound is then tested in this model, and found to be violated.

A. Double distributions

Matrix elements of twist-two operators with a *t*-channel momentum transfer define moments which can be summed into the so-called DDs. Using the symmetric derivative, which we define by $\vec{D^{\mu}} = \frac{1}{2}(\vec{D^{\mu}} - \vec{D^{\mu}})$, the quark bilinear twist-two operators have the form

$$\mathcal{O}^{\mu\mu_1\cdots\mu_n} = \bar{\psi}(0)\gamma^{\{\mu}i\overset{\leftrightarrow}{D^{\mu_1}}\cdots i\overset{\leftrightarrow}{D^{\mu_n}}^{\}}\psi(0), \qquad (3)$$

where the curly brackets denote the complete symmetrization and trace subtraction of the enclosed Lorentz indices. Matrix elements, $\mathcal{M}^{\mu\mu_1\cdots\mu_n}$, of these operators within the pion are defined by

$$\mathcal{M}^{\mu\mu_{1}\cdots\mu_{n}} \equiv \langle P' | \mathcal{O}^{\mu\mu_{1}\cdots\mu_{n}} | P \rangle, \tag{4}$$

where $P' = P + \Delta$, and the matrix elements can be parametrized in the form

¹Beyond writing down flexible parametrizations of GPDs, there is also interest in computing GPDs using dynamical models. Considerable interest has been recently generated [27–29] by the ability to obtain light-front solutions from Bethe-Salpeter wave functions by way of the Nakanishi integral representation [30,31]. In light of the present work, the positivity bound on GPDs provides an essential test of the consistency of form factors and quark distributions obtained in such an approach. In another direction, valence quark distributions of pseudoscalar mesons have been obtained from solutions to a model based on truncated Dyson-Schwinger equations [32]. While incorporating some of the features of QCD, it is already recognized that the impulse approximation is insufficient to describe GPDs within this approach [33].

VIOLATION OF POSITIVITY BOUNDS IN MODELS OF ...

$$\mathcal{M}^{\mu\mu_{1}\cdots\mu_{n}} = \sum_{k=0}^{n} \binom{n}{k} (2\bar{P}A_{nk} - \Delta B_{nk})^{\{\mu}\bar{P}^{\mu_{1}}\cdots\bar{P}^{\mu_{n-k}}$$
$$\times \left(-\frac{\Delta}{2}\right)^{\mu_{n-k+1}}\cdots\left(-\frac{\Delta}{2}\right)^{\mu_{n}},\tag{5}$$

where the (nk)th moments are Lorentz invariant functions of the *t*-channel momentum transfer, $A_{nk} = A_{nk}(t)$ and $B_{nk} = B_{nk}(t)$, with $t = \Delta^2$. The momentum \bar{P}_{μ} is defined to be the average between the initial and final states, $\bar{P}_{\mu} = \frac{1}{2}(P' + P)_{\mu}$. For n = k = 0, the matrix element is simply that of the vector current. Consequently, we have $A_{00}(t) = F(t)$, where F(t) is the vector form factor of the pion, and $B_{00}(t) = 0$, due to vector-current conservation. Time-reversal invariance restricts the allowed values of k in the binomial sums. For the $A_{nk}(t)$ moments, k must be even, while for the $B_{nk}(t)$ moments, k must be odd.

The DDs are generating functions for the moments of twist-two operators. Written in terms of the moments, we can define two² DDs $F(\beta, \alpha, t)$ and $G(\beta, \alpha, t)$ as

$$A_{nk}(t) = \int_{0}^{\beta} d\beta \int_{-1+\beta}^{+1-\beta} d\alpha \beta^{n-k} \alpha^{k} F(\beta, \alpha, t),$$

$$B_{nk}(t) = \int_{0}^{\beta} d\beta \int_{-1+\beta}^{+1-\beta} d\alpha \beta^{n-k} \alpha^{k} G(\beta, \alpha, t), \qquad (6)$$

which satisfy the α -symmetry properties $F(\beta, \alpha, t) = F(\beta, -\alpha, t)$ and $G(\beta, \alpha, t) = -G(\beta, -\alpha, t)$. These properties express the consequences of time-reversal invariance. Throughout, we consider $\beta > 0$ for the quark distribution in the pion.

Using a vector z_{μ} that is lightlike, $z^2 = 0$, and such that $z_{\mu} = \frac{\lambda}{\sqrt{2}}(1, 0, 0, 1)$, we can sum the moments into the matrix element of the quark bilocal operator³

$$\mathcal{O}(z) = \bar{\psi}\left(-\frac{z}{2}\right) \notz \psi\left(\frac{z}{2}\right)$$
$$= \sum_{n=0}^{\infty} \frac{(-i)^n}{n!} z_{\mu} z_{\mu_1} \cdots z_{\mu_n} \mathcal{O}^{\mu\mu_1 \cdots \mu_n}, \qquad (7)$$

²As it stands, there is freedom in the decomposition of moments, Eq. (5), which ultimately implies that the DDs $F(\beta, \alpha, t)$ and $G(\beta, \alpha, t)$ are not unique. This redundancy is akin to gauge freedom [34], and a minimal gauge is one in which there is only an *F*-type DD, and what is called the *D*-term [35], which reduces the *G*-type DD to a $\delta(\beta)$ contribution. There is a way, furthermore, to write these two contributions as the projection of a single function; see Ref. [36]. Nonetheless, we use two DDs for computational ease, and note that the more minimal descriptions can be straightforwardly obtained therefrom.

³With QCD gauge interactions, one needs to assume the lightcone gauge, $z \cdot A = 0$, to arrive at Eq. (7); otherwise a gauge link proportional to $z \cdot U(-\frac{z}{2}, \frac{z}{2})$ will appear in the bilocal operator in order to maintain gauge invariance. which, on account of Eq. (5), can be written in terms of the generating functions in the form

$$\langle P' | \mathcal{O}(z) | P \rangle = \int_0^1 d\beta \int_{-1+\beta}^{+1-\beta} d\alpha \, e^{-i(\beta \bar{P} - \alpha \frac{\Delta}{2}) \cdot z} \\ \times \left[2 \bar{P} \cdot z F(\beta, \alpha, t) - \Delta \cdot z G(\beta, \alpha, t) \right].$$
(8)

The pion GPD is defined from the Fourier transform of this light-cone correlation of quark fields. Writing the skewness variable as

$$\xi = -\frac{1}{2}\Delta \cdot z/\bar{P} \cdot z, \qquad (9)$$

we have the conventional definition of the GPD as an offforward matrix element of the bilocal operator

$$H(x,\xi,t) \equiv \int \frac{d\lambda}{4\pi} e^{ix\bar{P}\cdot z} \langle P'|\mathcal{O}(z)|P\rangle.$$
(10)

Expressing the GPD in terms of its underlying Lorentz invariant DDs, we have the relation

$$H(x,\xi,t) = \int_0^1 d\beta \int_{-1+\beta}^{+1-\beta} d\alpha \,\delta(x-\beta-\xi\alpha) \\ \times [F(\beta,\alpha,t) + \xi G(\beta,\alpha,t)].$$
(11)

All constraints on the GPDs associated with Lorentz invariance are built into the DD representation that appears in Eq. (11). For example, notice that the *n*th moment of the GPD with respect to x,

$$\int_{0}^{1} dx x^{n} H(x,\xi,t) = \int_{0}^{1} d\beta \int_{-1+\beta}^{+1-\beta} d\alpha (\beta + \xi \alpha)^{n} \times [F(\beta,\alpha,t) + \xi G(\beta,\alpha,t)], \quad (12)$$

is at most an *n*th [(n + 1)st] degree polynomial in ξ , for *n* even (odd). The zeroth moment, which must be ξ independent, produces the so-called GPD sum rule for the form factor

$$\int_{0}^{1} dx H(x,\xi,t) = F(t),$$
(13)

having used $B_{00}(t) = 0$. Finally, the quark distribution function, which we denote by q(x), is contained in the forward limit, $\Delta_{\mu} = 0$, of the GPD:

$$q(x) = H(x, 0, 0) = \int_{-1+x}^{+1-x} d\alpha F(x, \alpha, 0).$$
(14)

Notice that contributions from $G(\beta, \alpha, t)$ to both the form factor and quark distribution vanish due to time-reversal invariance. In this way, GPDs naturally contain additional information unconstrained by their zeroth moment and forward limit.⁴ Consequently, the positivity bound provides essential guidance for constructing consistent models for GPDs.

B. The model and violation of the positivity bound

The model of the pion proposed in Ref. [22] employs an ansatz for the covariant Bethe-Salpeter wave function. It is written in the form

$$\Psi(k, P) = S(k)i\gamma_5\Gamma(k, P)S(k - P), \qquad (15)$$

where S(k) denotes the constituent quark propagator, which is assumed to have the free-particle form

$$S(k) = \frac{i}{\not{k} - m + i\varepsilon},\tag{16}$$

and $\Gamma(k, P)$ is the Bethe-Salpeter vertex. Within this particular model, the latter is taken as

$$\Gamma(k, P) \equiv \mathcal{N}[k^2 - m_R^2 + i\varepsilon]^{-1}[(k - P)^2 - m_R^2 + i\varepsilon]^{-1},$$
(17)

where \mathcal{N} is a normalization factor. The covariant vertex is symmetric under the interchange of quark momentum, $\Gamma(P-k, P) = \Gamma(k, P)$, as one expects for isospin symmetric valence quarks. The mass m_R is a model parameter, which additionally serves as a built-in regulator for ultraviolet divergences.⁵ Previous covariant models (see, for example, Ref. [38]) have adopted a pointlike vertex between the quarks and pion. While there is a binding effect in such models (as well as those of the Nambu–Jona-Lasinio type), the pointlike bound-state vertex is an approximation that the model of Ref. [22] seeks to remedy. Notice that beyond γ_5 , there are additional structures that can contribute to the Bethe-Salpeter vertex, but these have been dropped in the interest of simplicity.

The DDs, and in turn the GPDs, can be computed from matrix elements of the twist-two operators [Eq. (5)]. Because the model employs an ansatz for the Bethe-Salpeter vertex, the DDs are computed within the impulse



FIG. 1. Required Feynman diagram for bound-state matrix elements of twist-two operators computed within the impulse approximation. Pions are depicted by double lines, with the bound-state vertices labeled by Γ . Quarks are depicted by directed lines, with insertion of the quark bilocal operator appearing in Eq. (3) shown by a cross.

approximation. The triangle diagram formed from the insertion of the twist-two operators is shown in Fig. 1. Using the Bethe-Salpeter wave function, these matrix elements are given by

$$\mathcal{M}^{\mu\mu_{1}\cdots\mu_{n}} = \int_{k} \operatorname{Tr}[\Psi(k,P)S^{-1}(k-P)\bar{\Psi}(k',P')\gamma^{\{\mu\}}] \times (k+\Delta/2)^{\mu_{1}}\cdots(k+\Delta/2)^{\mu_{n}},$$
(18)

where the shorthand \int_k indicates the Minkowski fourmomentum integration, $\int_k = \int d^4k/(2\pi)^4$. The primed variables are defined to be boosted by the momentum transfer Δ , so that $k' = k + \Delta$ for the struck quark, along with $P' = P + \Delta$ for the final-state pion. With a real-valued normalization factor, $\mathcal{N} \in \mathbb{R}$, the conjugate Bethe-Salpeter wave function is given by

$$\bar{\Psi}(k', P') = S(k' - P')i\gamma_5\Gamma(k', P')S(k').$$
(19)

Notice the equality of the momentum differences for the spectator quark, k' - P' = k - P.

Determination of the DDs from the above equation is rather technical; however, the procedure outlined in Refs. [39,40] can be adapted to the present model. We provide the essential details leading to the extraction of $F(\beta, \alpha, t)$ and $G(\beta, \alpha, t)$ from Eq. (18) in Appendix A. From these DDs, we obtain the pion GPD via Eq. (11). To evaluate the GPD, we require model parameters. In Ref. [22], central values for the model parameters are adopted: m = 0.220 GeV and $m_R = 1.192 \text{ GeV}$. These values reproduce the pion decay constant determined within the model, and give a good description of the experimentally measured vector form factor of the pion. Once the model parameters are fixed, the normalization factor \mathcal{N}^2 follows from the value of the vector form factor at vanishing momentum transfer, F(t=0) = 1 or equivalently from normalizing the quark distribution, $\int dxq(x) = 1$. For reference, we determine the normalization factor to be $\mathcal{N} = 1.207 \text{ GeV}^4$. The model's quark distribution is shown in Fig. 2. This quark distribution is contrasted with that obtained from the two-body light-front wave function, which will be determined below in Sec. III.

⁴It was pointed out in Ref. [37] that the ambiguity inherent in defining DDs can be used in reverse to reduce the entirety of the *F*-type DD to a contribution proportional to $\delta(\alpha)$. In this representation, all of the skewness dependence of the GPD arises from the *G*-type DD, specifically in the form $H(x, \xi, t) = F(x, 0, t) + \xi \int_0^1 d\beta \int_{-1+\beta}^{+1-\beta} d\alpha \delta(x - \beta - \xi\alpha) \tilde{G}(\beta, \alpha, t)$. The only constraint on the skewness dependence is through the time-reversal condition, $\tilde{G}(\beta, \alpha, t) = -\tilde{G}(\beta, -\alpha, t)$, and, of course, the positivity bound.

⁵Notice that because this is an ingredient of the model, one does not attempt to take the limit $m_R \to \infty$ to produce regularization independent results. The covariant smearing of the ultraviolet behavior of the vertex is assumed to be due to the physical structure of the bound state.



FIG. 2. Quark distribution function in the pion. Using the model Bethe-Salpeter vertex in Eq. (17), the normalized quark distribution function, q(x), is obtained using Eq. (14), and plotted as a function of x (solid curve). Additionally shown (dashed curve) is the quark distribution function obtained from the two-body light-front wave function, Eq. (25). The effect of higher Fock-state contributions in this model reduces the average x; however, higher Fock states only account for 16% of the probability distribution.

One attributes the differences as due to higher Fock components introduced by the covariant ansatz for the Bethe-Salpeter vertex.

To test the self-consistency of the model, we investigate the positivity bound, which arises from the positivity of the norm on Fock space. The strongest bound arises at vanishing momentum transfer.⁶ To this end, we form the ratio

$$R(x,\xi) \equiv \frac{|H(x,\xi,0)|}{\sqrt{q(x_f)q(x_i)}} \le 1,$$
(20)

which is bounded by unity from above for $x > \xi$. The momentum fractions carried by the struck quark before and after interacting with the current are given by

$$x_i = \frac{x+\xi}{1+\xi}$$
, and $x_f = \frac{x-\xi}{1-\xi}$. (21)

Investigation of this ratio as a function of *x* is shown in Fig. 3 using a few values for the skewness parameter ξ . The model of Ref. [22] violates the positivity bound in a window $\xi < x < x_m(\xi)$, encompassing the majority of *x* values.



FIG. 3. Investigation of the positivity bounds required on the GPD within the model. The top panel shows the ratio $R(x, \xi)$ in Eq. (20), which tests the strongest bound. This bound is tested using the listed values of ξ , with the dashed vertical lines showing the corresponding starting points, where $x = \xi$. The bottom panel shows the ratio $\mathcal{R}(x, \xi)$ appearing in Eq. (23). This ratio tests the weaker positivity bound. For $x > \xi$, both ratios are required to be less than unity.

The turnover point, $x_m(\xi) \sim 0.95$, depends mildly on ξ as can be discerned from the figure. In the narrow end-point region, $x_m(\xi) < x < 1$, the bound is satisfied; however, this region shrinks to zero as the skewness approaches zero. Notice that as $\xi \rightarrow 0$, the positivity bound must be saturated according to the limiting behavior of the GPD in Eq. (14); however, the approach is from above rather than below. This is exactly the opposite from the behavior required by the positivity of the norm on Fock space. Saturation of the bound is investigated in Fig. 4.

Due to violation of the positivity bound in Eq. (20), we investigate the severity of violation by considering a weaker bound. Weaker than the bound involving the geometric mean of quark distributions, there is a positivity bound required due to the inequality between geometric and arithmetic means:

$$\sqrt{q(x_f)q(x_i)} \le \frac{q(x_f) + q(x_i)}{2}.$$
 (22)

⁶At t = 0, the consideration of nonzero skewness, $\xi \neq 0$, places one in an unphysical regime, which technically requires analytic continuation. In terms of the Lorentz invariant DDs, this analytic continuation is trivially carried out by evaluating Eq. (11) at t = 0. In the light-front wave function overlap representation, one first performs the integration over the transverse momentum, which produces functions of Δ_{\perp}^2 , and then evaluates at the point $\Delta_{\perp}^2 = -4\xi^2 M^2$. We have checked that the two procedures produce identical results. Additionally in the *physical* regime, with t < 0 and $0 < \xi < (1 - \frac{4M^2}{t})^{-1/2}$, violation of the positivity bound is qualitatively quite similar to what is shown in Fig. 3.



FIG. 4. Saturation of the (strong) positivity bound in the limit $\xi \to 0$. The ratio $R(x, \xi)$ appearing in Eq. (20) is plotted as a function of *x* for the skewness $\xi = 0.001$, for which the value $x = \xi$ is indicated by the dashed vertical line. Notice the considerable reduction in the range plotted compared to that in Fig. 3. Violation of the bound appears linked with the two limits: $x \to \xi$ and $\xi \to 0$.

Hence, we consider an additional ratio to test the weaker bound:

$$\mathcal{R}(x,\xi) = \frac{|H(x,\xi,0)|}{\frac{1}{2}[q(x_f) + q(x_i)]} \le 1.$$
(23)

This ratio is shown in Fig. 3 for the same values of ξ . Violation of the weaker bound qualitatively follows the same trend as seen in violation of the stronger bound. The violation is less severe, but that is precisely what is expected of a weaker bound.

Despite the fully covariant nature of the model, the positivity bounds required of the GPD are violated. This points to the model not being self-consistent. Without knowledge of the dynamics giving rise to the Bethe-Salpeter vertex function, it is not at all obvious how to consistently couple the quark bilocal operator to the dynamics. Investigation of the light-front representation for the GPD provides considerable intuition about the shortcomings of the model, and it is to this representation that we now turn.

III. LIGHT-FRONT WAVE FUNCTION ANALYSIS

A. Two-body analysis

The two-body wave function for the pion is obtained by the overlap of the Bethe-Salpeter wave function onto onshell quark and antiquark spinors. Subsequent integration over the minus component of momentum produces the restriction to the plane $x^+ = 0$, and the light-front wave function reads (see, e.g., [41])

$$\psi_{\lambda\lambda'}^{(2)}(x, \mathbf{k}_{\perp}) = \int \frac{dk^{-}}{2\pi} \frac{\bar{u}_{\lambda}(k^{+}, \mathbf{k}_{\perp})}{\sqrt{k^{+}}} \gamma^{+} \Psi(k, P) \gamma^{+} \\ \times \frac{v_{\lambda'}(P^{+} - k^{+}, -\mathbf{k}_{\perp})}{\sqrt{P^{+} - k^{+}}}, \qquad (24)$$

where we have taken $P_{\perp} = 0$ for simplicity. In the wave function, the variable x is the plus-momentum fraction of the quark relative to that of the pion, $x = k^+/P^+$. Because the transverse boosts generate a kinematic subgroup of the Poincaré group, the boosted wave function, $P_{\perp} \neq 0$, can be obtained by the simple replacement $k_{\perp} \rightarrow k_{\perp} - xP_{\perp}$, which is the relative transverse momentum.

Using the Bethe-Salpeter wave function in Eq. (15), the two-body (valence) light-front wave function can be obtained. For manipulations involving the light-front spinors, consult Appendix B. Treating the factor of $\theta[x(1-x)]$ implicitly, we arrive at the wave function

$$\psi_{\lambda\lambda'}^{(2)}(x, \boldsymbol{k}_{\perp}) = \mathcal{N} \frac{k_{-\lambda} \delta_{\lambda,\lambda'} - \lambda m \delta_{\lambda,-\lambda'}}{m_R^2 - m^2} \times [\varphi(x, \boldsymbol{k}_{\perp}; m, m, m_R) - \varphi(x, \boldsymbol{k}_{\perp}; m_R, m, m_R)], \qquad (25)$$

which has been written with the help of the helicity independent amplitude:

$$\varphi(x, \mathbf{k}_{\perp}; m_a, m_b, m_c) = D_W(x, \mathbf{k}_{\perp}; m_a, m_b) \\ \times \frac{D_W(x, \mathbf{k}_{\perp}; m_a, m_c)}{x^2(1-x)}.$$
(26)

This amplitude has been expressed in terms of the propagator for the Weinberg equation [42],

$$D_W(x, \mathbf{k}_\perp; m_a, m_b) = \frac{1}{M^2 - \frac{\mathbf{k}_\perp^2 + xm_a^2 + (1-x)m_b^2}{x(1-x)}},$$
 (27)

in the unequal mass case. At this stage, the first mass argument of the amplitude φ is redundant; however, the dependence on three different masses will be utilized below in computing the GPD. The light-front helicity structure of the wave function in Eq. (25) has both opposite helicity, $\delta_{\lambda,-\lambda'}$, and same helicity, $\delta_{\lambda,\lambda'}$, contributions. The latter are accompanied by a unit of quark orbital angular momentum to preserve the spin of the pion. This is reflected by the factor of transverse momentum, $k_{\lambda} = k_1 + i\lambda k_2$, appearing in the numerator of the wave function. Such contributions are symmetric under the interchange of the mass parameters, $m \leftrightarrow m_R$. The contributions from opposite helicity states are not symmetric under this interchange.

The two-body light-front wave function is not symmetric under the interchange of quark and antiquark, i.e. $x \leftrightarrow 1 - x$. The wave function vanishes linearly at both end points, x = 0 and x = 1. The vanishing at x = 0 is

VIOLATION OF POSITIVITY BOUNDS IN MODELS OF ...

particularly interesting because it arises from an exact cancellation between the two terms appearing in Eq. (25). The quark distribution obtained from the two-body light-front wave function takes the simple quantum mechanical form

$$q^{(2)}(x) = \sum_{\lambda,\lambda'} \int \frac{d\mathbf{k}_{\perp}}{2(2\pi)^3} |\psi_{\lambda\lambda'}^{(2)}(x,\mathbf{k}_{\perp})|^2, \qquad (28)$$

and is plotted in Fig. 2. The absolute normalization is closely examined in Appendix B. Qualitatively this two-body quark distribution is similar to the full quark distribution function obtained in the model. The full distribution has a smaller value of $\langle x \rangle$, for example, which is consistent with expectations from higher Fock components. The difference is not very appreciable: $\langle x \rangle = 0.47$ in the full model, while $\langle x \rangle^{(2)} = 0.50$ within the two-body sector. The $x \leftrightarrow 1 - x$ asymmetry is practically negligible for the two-body quark distribution, which is a fortunate circumstance on physical grounds. Integrating the twobody quark distribution over *x*, we find that the two-body Fock state accounts for 84% of the quark distribution.

From the two-body wave function, one can obtain the GPD only in a limited kinematic regime. In the region $x > \xi$, we have

$$H^{(2)}(x,\xi,t) = \sum_{\lambda,\lambda'} \int \frac{d\boldsymbol{k}_{\perp}}{2(2\pi)^3} \psi_{\lambda\lambda'}^{(2)}(x_i,\boldsymbol{k}_{\perp}) \psi_{\lambda\lambda'}^{(2)*}(x_f,\boldsymbol{k}_{\perp}'),$$
(29)

where the boosted transverse momentum is given by

$$\boldsymbol{k}_{\perp}' = \boldsymbol{k}_{\perp} + \left(1 - \frac{x_i + x_f}{2}\right) \boldsymbol{\Delta}_{\perp}.$$
 (30)

Due to the convolution of the initial- and final-state wave functions, the two-body GPD self-consistently satisfies the positivity bound. The tradeoff is that the two-body approximation violates Lorentz invariance (for example, the sum rule for the form factor does not hold). Additionally when $x < \xi$, higher Fock components are necessarily required for nonvanishing contributions to the GPD.

B. Complete light-front analysis

One similarity between the two-body and higher Fockstate contributions is that they both vanish at x = 0. While this is required of the former, the latter are generally nonvanishing at x = 0. Elaboration on this point is made in Refs. [43,44]. The vanishing of this model's quark distribution at x = 0 is the culprit of positivity violation. To demonstrate this, we turn to the light-front representation of the model's GPD.

Complementary to the representation in terms of DDs, the GPD can be evaluated directly from the bilocal matrix element in Eq. (10) computed within the model. This alternative expression for the GPD is

$$H(x,\xi,t) = \frac{1}{2\bar{P}^+} \int_k \delta\left(x+\xi-\frac{k^+}{\bar{P}^+}\right) \operatorname{Tr}[\Psi(k,P)$$
$$\times S^{-1}(k-P)\bar{\Psi}(k',P')\gamma^+]. \tag{31}$$

Results for the quark distribution and GPD obtained from Eq. (31) agree numerically with those determined from DDs. Our investigation of the violation of the positivity bound leads us to consider the GPD at the crossover point, $x = \xi$. We show in the form of an integral that the GPD is nonvanishing at the crossover, $H(\xi, \xi, t) \neq 0$, using lightfront integration. This result can also be established analytically from the DDs; however, the light-front integration offers a more intuitive picture, not to mention the ability to display using more compact expressions.

To obtain the GPD, we integrate the expression in Eq. (31) over k^- . The integral is performed by the residue theorem, and different residues are required depending on the value of k^+ . A particularly illustrative discussion of the contour integration for light-front and instant-form dynamics can be found in Ref. [45]. We restrict our attention to the region $x > \xi$, for which residues at the spectator poles are required. There are two such poles: one for the spectator quark, and one for the spectator regulator particle having mass m_R . Due to the ansatz in Eq. (17), the spectator propagator for the regulator is squared in the bilocal current matrix element. For ease in evaluating and displaying the results, we use the standard trick to rewrite the square of a propagator in terms of a derivative of the propagator, namely

$$\frac{1}{[(P-k)^2 - m_R^2]^2} = \frac{\partial}{\partial m_A^2} \frac{1}{(P-k)^2 - m_A^2} \bigg|_{m_A = m_R}.$$
 (32)

A different mass, m_A , is required for this intermediate step because m_R appears elsewhere in the expression for the GPD. Carrying out the light-front integration, we arrive at the result

$$\theta(x-\xi)H(x,\xi,t) = \mathcal{N}^2 \int \frac{d\mathbf{k}_{\perp}}{2(2\pi)^3} \frac{\partial}{\partial m_A^2} \frac{\mathcal{H}_m - \mathcal{H}_A}{m^2 - m_A^2} \Big|_{m_A = m_R}$$
(33)

where \mathcal{H}_m and \mathcal{H}_A denote contributions to the integrand that arise from taking the residue at the spectator quark pole and spectator regulator pole, respectively. The former is given by

$$\mathcal{H}_{m} = 2(\boldsymbol{k}_{\perp} \cdot \boldsymbol{k}_{\perp}' + m^{2}) \\ \times \varphi(x_{i}, \boldsymbol{k}_{\perp}; m, m_{R}, m) \varphi(x_{f}, \boldsymbol{k}_{\perp}'; m, m_{R}, m), \qquad (34)$$

while the latter takes the form

BRIAN C. TIBURZI and GAURAV VERMA

$$\mathcal{H}_{A} = 2\left(\boldsymbol{k}_{\perp} \cdot \boldsymbol{k}_{\perp}' + \frac{1 - x^{2}}{1 - \xi^{2}}m^{2} + x_{i}x_{f}m_{A}^{2}\right)$$
$$\times \varphi(x_{i}, \boldsymbol{k}_{\perp}; m_{A}, m, m_{R})\varphi(x_{f}, \boldsymbol{k}_{\perp}'; m_{A}, m, m_{R}).$$
(35)

Using Eq. (33), we derive the crossover behavior by taking the limit $x \rightarrow \xi$ from above. To this end, it is useful to note the finite limit

$$\lim_{x \to 0} \varphi(x, \mathbf{k}_{\perp}; m_a, m_b, m_c) = \frac{1}{(\mathbf{k}_{\perp}^2 + m_b^2)(\mathbf{k}_{\perp}^2 + m_c^2)}, \quad (36)$$

which is independent of the mass m_a . As a consequence, we have the nonvanishing result

$$H(\xi,\xi,t) = \mathcal{N}^{2} \int \frac{d\mathbf{k}_{\perp}}{(2\pi)^{3}} \frac{\mathbf{k}_{\perp} \cdot \mathbf{k}_{\perp}^{(0)} + m^{2}}{[(\mathbf{k}_{\perp}^{(0)})^{2} + m^{2}][(\mathbf{k}_{\perp}^{(0)})^{2} + m_{R}^{2}]} \times \frac{\partial}{\partial m_{A}^{2}} \frac{\varphi(x_{0},\mathbf{k}_{\perp};m,m_{R},m) - \varphi(x_{0},\mathbf{k}_{\perp};m_{A},m,m_{R})}{m^{2} - m_{A}^{2}} \bigg|_{m_{A} = m_{R}},$$
(37)

where we have defined the crossover values

$$x_0 = x_i(x = \xi) = \frac{2\xi}{1 + \xi},$$
(38)

for the initial quark's momentum fraction, and

$$\boldsymbol{k}_{\perp}^{(0)} = \boldsymbol{k}_{\perp} + \frac{1}{1+\xi} \boldsymbol{\Delta}_{\perp}, \qquad (39)$$

for the relative transverse momentum of the final-state quark. Finally, taking the limit $\xi \rightarrow 0$ results in

$$\lim_{\xi \to 0} H(\xi, \xi, t) = 0, \tag{40}$$

which can be easily demonstrated upon noting that $x_0 \rightarrow 0$ in this limit, then subsequently applying Eq. (36) to the expression for the GPD at the crossover, Eq. (37).⁷

⁷One can generalize these findings in the following way. Adopting a more general (but asymmetric) ansatz for the Bethe-Salpeter amplitude having the form

$$\Gamma(k,P) = \mathcal{N}[k^2 - m_R^2 + i\varepsilon]^{-1}[(P-k)^2 - m_R^2 + i\varepsilon]^{-\nu/2}$$

for $\nu = 1, 2, \dots$, it is straightforward to show using light-front integration that the corresponding crossover value of the GPD, $H(\xi, \xi, t)$, is nonvanishing, but vanishes in the limit of vanishing skewness, $\xi \to 0$. Thus models of this type will violate the positivity bound in an analogous way to the $\nu = 2$ model detailed above. The model with $\nu = 0$, by contrast, satisfies the positivity bound, and was considered previously in Refs. [39,40]. This particular case is best viewed as smearing the bilocal current rather than the bound-state vertex; see Ref. [46].



FIG. 5. Value of the GPD at the crossover point, $x = \xi$, plotted as a function of ξ . For simplicity, we determine the value using t = 0. The vanishing $\xi \to 0$ limit of the crossover value implies vanishing of the quark distribution function at the end point, x = 0. The nonvanishing value of $H(\xi, \xi, t)$ for $\xi \neq 0$ then leads to (infinite) violation of the positivity bound in Eq. (20). Close inspection shows that $H(\xi, \xi, t) \propto \xi^2$, for $\xi \ll 1$.

A plot of the ξ -dependence of the GPD at the crossover is shown in Fig. 5, and confirms its nonvanishing value, as well as the vanishing forward limit. These two features are directly at odds with the positivity of the norm on the lightfront Fock space. The vanishing of the crossover's forward limit confirms that the model's quark distribution function vanishes at x = 0, as we have numerically shown above. In terms of the general light-front wave function representation, we have schematically

$$q(x=0) = H(0,0,0) = \sum_{n} |\psi^{(n)}(x=0,\cdots)|^2 = 0.$$
(41)

We write the *n*-body Fock component of the bound state as $\psi^{(n)}$, and only indicate the active quark's momentum fraction *x*, which is at the end point, x = 0. Due to the positivity of the norm, the vanishing quark distribution at the end point implies that

$$\psi^{(n)}(x=0,\cdots)=0, \quad \forall \ n.$$
(42)

Approaching the crossover from above, the GPD at the crossover has an expansion in terms of diagonal Fock-component overlaps, which schematically takes the form

$$H(\xi,\xi,t) = \sum_{n} \psi^{(n)}(x_{i},\cdots)\psi^{*(n)}(x_{f}=0,\cdots).$$
 (43)

Given the end-point behavior of the wave functions deduced from the norm, Eq. (42), we should very likely

have $H(\xi, \xi, t) = 0$ for self-consistency, which is in contradiction with Eq. (37).⁸ This contradiction is precisely the source of the observed (infinite) violation of the positivity bound in Eq. (20) at $x = \xi$. Away from the crossover, violation then persists until the relatively large value of $x = x_m(\xi)$, as discussed above.

IV. SUMMARY

We investigate GPDs in the quark model for the pion proposed in Ref. [22]. A feature of this model is the covariant smearing of the Bethe-Salpeter vertex, which was put forward in order to provide a realistic bound-state structure beyond the pointlike approximation. The covariant nature of the model, moreover, guarantees that all constraints associated with Lorentz invariance of the underlying matrix element are automatically satisfied. This fact enables us in Sec. II to derive the DDs for the model; see Eq. (A15). The GPD obtained from the DDs is then scrutinized using the ratios in Eqs. (20) and (23). Positivity of the norm on the light-front Fock space requires both of these ratios to be less than unity, with the former bound stronger than the latter. Both bounds, however, are violated for nearly all values of $x > \xi$. This is shown in Fig. 3. Such violation, moreover, is tied to a mismatch between the end-point behavior of the quark distribution and the crossover value of the GPD; see Eq. (37). As the former vanishes and the latter is nonvanishing, the mismatch leads to an infinite violation of the positivity bound.

The GPDs encompass physics of both quark distributions and form factors. We have shown that fully covariant models, which can be tuned to reproduce the experimentally measured quark distributions and form factors, need not give a good description of GPDs. In fact, the behavior of the model's GPD at the crossover is inconsistent with its quark distribution. While the model is defined at a low scale, OCD radiation will drive the model's quark distribution at the end point away from zero as the renormalization scale is raised. The positivity bounds, however, are stable with respect to evolution. Thus, the defect in the model at a low scale will persist at higher scales. The value of the GPD at the crossover, moreover, directly enters the imaginary part of the deeply virtual Compton scattering amplitude. This imaginary part then appears in the cross section through interference terms with Bethe-Heitler processes, which enable GPDs to be accessed experimentally. The model therefore fails to describe GPDs at the most phenomenologically relevant point. The mismatch in end-point and crossover behavior requires proper treatment of higher Fock states in order to resolve. Such treatment requires one to go beyond the impulse approximation. While theoretically challenging, consistent modeling of GPDs presents an essential test in the phenomenological description of hadron structure. Passing this test will help enable great insight into the nature of bound states in QCD.

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APPENDIX A: DERIVATION OF THE DOUBLE DISTRIBUTIONS

In this Appendix, we calculate the pion matrix element of the twist-two operators, $\mathcal{M}^{\mu\mu_1\cdots\mu_n}$, in the model specified by the Bethe-Salpeter vertex in Eq. (17). Thereby, we extract the DDs, $F(\beta, \alpha, t)$ and $G(\beta, \alpha, t)$, appearing in Eq. (6). These are utilized in the main text to put the model under scrutiny.

The key observation to obtain the DDs from Eq. (18) is that the required binomial coefficients can readily be obtained after reducing momentum factors appearing in the numerator. Such factors arise due to the trace over spinor indices, which appear in the numerator as

$$N^{\mu} = \operatorname{Tr}[(\not{k} + m)\gamma_5(\not{k} - \not{P} + m)\gamma_5(\not{k}' + m)\gamma^{\mu}].$$
(A1)

The trace can be uniquely expressed in terms of factors appearing in the denominator. In terms of the momentum dependence, there are three such factors due to the inverse propagators, which we write as

$$\begin{aligned} \mathcal{A}_a &= (k-P)^2 - m_a^2 + i\varepsilon, \\ \mathcal{B}_b &= (k+\Delta)^2 - m_b^2 + i\varepsilon, \\ \mathcal{C}_c &= k^2 - m_c^2 + i\varepsilon. \end{aligned} \tag{A2}$$

These definitions allow us to refer to the constituent quark mass and regulator mass as \mathcal{A} and \mathcal{A}_R , respectively. It will sometimes be convenient to write \mathcal{A}_m for the former. Due to its dependence on only the constituent quark mass, the trace can be written as

$$N^{\mu} = 2\bar{P}^{\mu}(t - \mathcal{B} - \mathcal{C}) + \Delta^{\mu}(\mathcal{B} - \mathcal{C}) + 4(k + \Delta/2)^{\mu}(M^2 - t/2 - \mathcal{A}).$$
(A3)

Consequently there are four types of contributions to consider: those without a momentum reduction, and the three momentum reductions corresponding to canceling A, B, and C.

In terms of the quantities defined in Eq. (A2), the momentum denominators of Eq. (18) take the form

$$\frac{1}{\mathcal{A}\mathcal{A}_{R}^{2}\mathcal{B}\mathcal{B}_{R}\mathcal{C}\mathcal{C}_{R}} = \frac{\partial}{\partial m_{A}^{2}} \frac{1}{\mathcal{A}\mathcal{A}_{A}\mathcal{B}\mathcal{B}_{R}\mathcal{C}\mathcal{C}_{R}} \bigg|_{m_{A}=m_{R}}.$$
 (A4)

⁸Mathematically speaking, it is possible that the crossover value, as the sum of infinitely many vanishing contributions, need not itself vanish. If this scenario is realized, however, the positivity bound in Eq. (20) will nevertheless be violated.

BRIAN C. TIBURZI and GAURAV VERMA

Notice that there are three factors from constituent quark propagators in the above expression, ABC, which reflect those appearing in the triangle diagram, Fig. 1. The factors from propagators of the regulator particle with mass m_R appropriately reflect those resulting from the initial-state Bethe-Salpeter vertex, A_RC_R , as well as the final state, A_RB_R . This, of course, leads to the squaring of A_R , which is adeptly handled by the differentiation and subsequent evaluation that is shown above. Products of two propagators with identical momenta, such as BB_R , can be written as differences between single propagators, for example,

$$\frac{1}{\mathcal{BB}_R} = \frac{1}{m^2 - m_R^2} \left(\frac{1}{\mathcal{B}} - \frac{1}{\mathcal{B}_R} \right).$$
(A5)

It will be convenient to define the multiplicative factor

$$\mu_j = \frac{1}{m^2 - m_j^2},\tag{A6}$$

with j = A and j = R as the two cases required below. In light of such relations, we can turn all products of multiple propagators into various instances of an elementary product of three propagators. As an example of this, we write the following product as

$$\frac{1}{\mathcal{A}\mathcal{A}_{A}\mathcal{B}\mathcal{B}_{R}\mathcal{C}\mathcal{C}_{R}} = \frac{1}{\mathcal{A}_{a}\mathcal{B}_{b}\mathcal{C}_{c}}\mu_{A}\mu_{R}^{2}(\delta_{a,m} - \delta_{a,A}) \times (\delta_{b,m} - \delta_{b,R})(\delta_{c,m} - \delta_{c,R}), \quad (A7)$$

where we treat *a*, *b*, and *c* as indices that keep track of the required masses in the various contributions.

At this point, it is useful to completely detail one particular contribution to the model's DDs, with the understanding that the general procedure is quite similar for all contributions. From the trace appearing in the numerator, Eq. (A3), we focus on the term proportional to M^2 , which does not require a momentum reduction. As a result, this term depends on (a derivative of) the propagators appearing in Eq. (A7). Up to multiplicative constants, all contributions from this terms are of the form

$$\delta \mathcal{M}_{abc}^{\mu\mu_{1}\cdots\mu_{n}} = \int_{k} \frac{i}{\mathcal{A}_{a}\mathcal{B}_{b}\mathcal{C}_{c}} 4(k+\Delta/2)^{\{\mu\}} \times (k+\Delta/2)^{\mu_{1}}\cdots(k+\Delta/2)^{\mu_{n}\}}.$$
 (A8)

Evaluation of the momentum integral can be carried out using Feynman parametrization. With malice aforethought, the Feynman parameters are chosen so that the α , β variables of the DDs can be identified. To this end, notice that we can write

$$\frac{1}{\mathcal{A}_a \mathcal{B}_b \mathcal{C}_c} = \int_0^1 d\beta \int_{-1+\beta}^{+1-\beta} d\alpha \frac{1}{\mathcal{D}_{abc}^3}, \qquad (A9)$$

where the denominators have been combined according to the recipe

$$\mathcal{D}_{abc} = \beta \mathcal{A}_a + \frac{1}{2}(1 + \alpha - \beta)\mathcal{B}_b + \frac{1}{2}(1 - \alpha - \beta)\mathcal{C}_c.$$
(A10)

In terms of the shifted momentum variable ℓ^{μ} , given by $\ell^{\mu} = [k - \beta \bar{P} + (1 + \alpha)\Delta/2]^{\mu}$, the combined denominator takes the form

$$\mathcal{D}_{abc} = \ell^2 - D_{abc} + i\varepsilon \tag{A11}$$

where

$$D_{abc} = \beta m_a^2 + \frac{1}{2} (1 + \alpha - \beta) m_b^2 + \frac{1}{2} (1 - \alpha - \beta) m_c^2$$
$$-\beta (1 - \beta) M^2 - [(1 - \beta)^2 - \alpha^2] \frac{t}{4}.$$
(A12)

As a result of the momentum shift, this particular contribution to the twist-two matrix element is given by

$$\delta \mathcal{M}_{abc}^{\mu\mu_{1}\cdots\mu_{n}} = \int_{0}^{1} d\beta \int_{-1+\beta}^{+1-\beta} d\alpha \int_{\mathscr{C}} \frac{4i}{[\mathscr{C}^{2} - D_{abc} + i\varepsilon]^{3}} \\ \times (\beta \bar{P} - \alpha \Delta/2)^{\{\mu} (\beta \bar{P} - \alpha \Delta/2)^{\mu_{1}} \cdots \\ \times (\beta \bar{P} - \alpha \Delta/2)^{\mu_{n}\}}, \qquad (A13)$$

where we have appealed to Lorentz invariance and the traceless property of the tensor. The four-momentum integration can be performed, and the binomial expansion carried out. This procedure allows for the direct identification of contributions to the F- and G-type DDs. For the contribution in Eq. (A8), we thus find

$$\delta F(\beta, \alpha, t) = \frac{1}{(4\pi)^2} \frac{\beta}{D_{abc}}, \qquad \delta G(\beta, \alpha, t) = \frac{1}{(4\pi)^2} \frac{\alpha}{D_{abc}}.$$
(A14)

Having spelled out the necessary steps to obtain the DDs, we carry out this procedure on each of the four contributions required by the momentum dependence of the numerator appearing in Eq. (A3). Reducing the numerator appropriately, forming propagator differences, and then carrying out the Feynman parametrization and momentum integration produces the DDs. Writing the F- and G-type DDs in the form of a column vector, we find VIOLATION OF POSITIVITY BOUNDS IN MODELS OF ...

$$\begin{pmatrix} F(\beta,\alpha,t)\\ G(\beta,\alpha,t) \end{pmatrix} = \frac{\mathcal{N}^{2}\mu_{R}}{(4\pi)^{2}} \frac{\partial}{\partial m_{A}^{2}} \frac{1}{D_{abc}} \left[\mu_{R}(\delta_{b,m} - \delta_{b,R})(\delta_{c,m} - \delta_{c,R}) \left(\mu_{A}(\delta_{a,m} - \delta_{a,A}) \begin{pmatrix} \beta(M^{2} - \frac{t}{2}) + \frac{t}{2} \\ \alpha(M^{2} - \frac{t}{2}) \end{pmatrix} - \delta_{a,A} \begin{pmatrix} \beta\\ \alpha \end{pmatrix} \right)$$
$$- \frac{\mu_{A}}{2} (\delta_{a,m} - \delta_{a,A}) \left(\delta_{b,R}(\delta_{c,m} - \delta_{c,R}) \begin{pmatrix} 1\\ 1 \end{pmatrix} + (\delta_{b,m} - \delta_{b,R}) \delta_{c,R} \begin{pmatrix} 1\\ -1 \end{pmatrix} \right) \right]_{m_{A}=m_{R}}.$$
(A15)

In the above expression, the first grouping of terms in the first line arises from the nonreduced contribution, while the second grouping in the first line arises from the \mathcal{A} -reduced contribution. The second line is the sum of \mathcal{B} reduced and C-reduced contributions. Notice that under the sign reversal of α , we have $D_{abc}(\beta, -\alpha, t) = D_{acb}(\beta, \alpha, t)$. Because the contributions to the DDs on the first line are symmetric under the interchange $b \leftrightarrow c$, these terms produce even and odd contributions to the F- and G-type DDs, respectively. The oddness of contributions to $G(\beta, \alpha, t)$ is due to the overall factor of α in the first line. In the second line, the contributions to $F(\beta, \alpha, t)$ are even under $b \leftrightarrow c$, while those for $G(\beta, \alpha, t)$ are odd under this interchange. Thus the α -symmetry of the model's DDs is consistent with the required time-reversal invariance properties.

APPENDIX B: CONVENTIONS AND LIGHT-FRONT SPINORS

In this Appendix, we make explicit the conventions employed above. This includes those for the light-front spinors and the normalization leading to the two-body wave function, which is detailed using a simple model with a pointlike Bethe-Salpeter vertex.

1. Spinors

For any Lorentz four-vector, a^{μ} , we define its lightfront components as $a^{\pm} = \frac{1}{\sqrt{2}}(a^0 \pm a^3)$, along with $a_{\perp} = (a^1, a^2)$. Similarly, for Dirac matrices γ^{μ} , we have $\gamma^{\pm} = \frac{1}{\sqrt{2}}(\gamma^0 \pm \gamma^3)$, and $\gamma_{\perp} = (\gamma^1, \gamma^2)$. The former can be used to construct the Hermitian projection matrices $\Lambda_{\pm} = \frac{1}{2}\gamma^{\mp}\gamma^{\pm}$, which satisfy the usual properties: $\Lambda_{+} + \Lambda_{-} = 1$, along with $(\Lambda_{\pm})^2 = \Lambda_{\pm}$, and $\Lambda_{\pm}\Lambda_{\mp} = 0$. It is also convenient to employ the Hermitian matrices $\beta = \gamma^0$, and $\alpha_{\perp} = \gamma^0 \gamma_{\perp}$. Manipulations of light-front spinors are made more economical by the use of the identities: $\beta \Lambda_{\pm} = \Lambda_{\mp}\beta$ and $\alpha_{\perp}\Lambda_{\pm} = \Lambda_{\mp}\alpha_{\perp}$.

In the main text, we utilize light-front spinors for quarks and antiquarks. These are solutions to the Dirac equation and its conjugate

$$(k_{\rm on} - m)u_{\lambda}(k^+, k_{\perp}) = 0,$$

 $(k_{\rm on} + m)v_{\lambda}(k^+, k_{\perp}) = 0,$ (B1)

where the light-front energy is on shell, $k_{on}^- = \frac{k_{\perp}^2 + m^2}{2k^+}$. Such solutions can be written in terms of the Dirac basis spinors

$$X_{+} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\ 0\\ 1\\ 0 \end{pmatrix}, \qquad X_{-} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0\\ 1\\ 0\\ -1 \end{pmatrix}, \quad (B2)$$

which are eigenstates of the projector onto the so-called good spinor components, $\Lambda_+ X_{\lambda} = X_{\lambda}$. Explicit solutions for the light-front spinors are

$$u_{\lambda}(k^{+}, \boldsymbol{k}_{\perp}) = \frac{1}{(\sqrt{2}k^{+})^{\frac{1}{2}}} [\sqrt{2}k^{+} + \beta m + \boldsymbol{\alpha}_{\perp} \cdot \boldsymbol{k}_{\perp}] X_{\lambda},$$
$$v_{\lambda}(k^{+}, \boldsymbol{k}_{\perp}) = \frac{1}{(\sqrt{2}k^{+})^{\frac{1}{2}}} [\sqrt{2}k^{+} - \beta m + \boldsymbol{\alpha}_{\perp} \cdot \boldsymbol{k}_{\perp}] X_{-\lambda}.$$
(B3)

The normalization of these spinors is such that

$$ar{u}_{\lambda}(k^+, \mathbf{k}_{\perp})\gamma^+ u_{\lambda'}(q^+, \mathbf{q}_{\perp}) = 2\sqrt{k^+q^+}\delta_{\lambda,\lambda'},$$
 (B4)

and similarly for the v_{λ} . These spinors have been chosen to agree with those of Ref. [47].

In computing the two-body light-front wave function above, it is useful to note the product relations

$$\begin{split} \bar{u}_{\lambda}(k^{+},\boldsymbol{k}_{\perp})\gamma^{+}(\boldsymbol{k}+\boldsymbol{m}) &= 2k^{+}\bar{u}_{\lambda}(k^{+},\boldsymbol{k}_{\perp}), \\ (\boldsymbol{k}-\boldsymbol{m})\gamma^{+}v_{\lambda}(k^{+},\boldsymbol{k}_{\perp}) &= 2k^{+}v_{\lambda}(k^{+},\boldsymbol{k}_{\perp}), \end{split} \tag{B5}$$

which hold for *any* value of k^- by virtue of the property $(\gamma^+)^2 = 0$. Additionally, we note that the spinors are eigenvectors of light-front helicity γ_5 , namely $\gamma_5 X_{\lambda} = \lambda X_{\lambda}$, which leads to the relation $\gamma_5 v_{\lambda}(k^+, \mathbf{k}_{\perp}) = -\lambda u_{-\lambda}(k^+, \mathbf{k}_{\perp})$. In computing the two-body light-front wave function, we need the spinor product

$$\bar{u}_{\lambda}(k^{+}, \boldsymbol{k}_{\perp})\gamma_{5}v_{\lambda'}(q^{+}, \boldsymbol{q}_{\perp})
= \delta_{\lambda,\lambda'}\frac{k^{+}q_{-\lambda} - q^{+}k_{-\lambda}}{\sqrt{k^{+}q^{+}}} + \lambda m \delta_{\lambda,-\lambda'}\frac{k^{+} + q^{+}}{\sqrt{k^{+}q^{+}}}.$$
(B6)

In the case where $q_{\mu} = (P - k)_{\mu}$, we arrive at the helicity structure in Eq. (25).

2. Normalization

To obtain the correct normalization of the two-body light-front wave function from the covariant Bethe-Salpeter wave function, we return to Eq. (15) in the case of a pointlike vertex, $\Gamma(k, P) \equiv \mathcal{N}$. In such a simple model, there are no higher Fock components. Thus the normalization condition for the two-body wave function in this case is fixed by that of the form factor at vanishing momentum transfer. We use this fact to establish the normalization convention for the two-body wave function in more general models where there are nonvanishing contributions from higher-Fock components.

In the pointlike case, the two-body light-front wave function obtained from Eq. (24) reads

$$\psi_{\lambda\lambda'}^{(2)}(x, \mathbf{k}_{\perp}) = \mathcal{N} \frac{k_{-\lambda} \delta_{\lambda,\lambda'} - m\lambda \delta_{\lambda,-\lambda'}}{x(1-x)} D_W(x, \mathbf{k}_{\perp}; m, m).$$
(B7)

We omit the factor of $\theta[x(1-x)]$ for simplicity. It remains to show that the normalization condition is

$$\sum_{\lambda,\lambda'} \int \frac{dx d\mathbf{k}_{\perp}}{2(2\pi)^3} |\psi_{\lambda\lambda'}^{(2)}(x, \mathbf{k}_{\perp})|^2 = N^{(2)}, \qquad (B8)$$

which is that implicitly used above in defining the two-body quark distribution, Eq. (28). In the present pointlike model, $N^{(2)} = 1$ after suitable regularization; however, in the model of the main text, $N^{(2)} < 1$ due to higher Fock states.

To show the requirement $N^{(2)} = 1$, we compute the form factor at vanishing momentum transfer in the pointlike model. As a particular instance of Eq. (18), the normalization is fixed by

$$\int_{k} \operatorname{Tr}[\Psi(k, P)S^{-1}(k - P)\bar{\Psi}(k, P)\gamma^{+}] = 2P^{+}, \quad (B9)$$

where the right-hand side is simply the plus component of the vector-current matrix element, $(P' + P)^{\mu}F(t)$, evaluated at vanishing momentum transfer. Writing out the elements in Eq. (B9), we have the condition

$$\frac{\mathcal{N}^2}{2P^+} \int_k \frac{iN^+|_{\Delta=0}}{\mathcal{A}\mathcal{C}^2} = 1, \qquad (B10)$$

where N^{μ} is the trace appearing in the numerator, Eq. (A1), and the scalar propagators, \mathcal{A} and \mathcal{C} , are given in Eq. (A2). The plus component of the trace at vanishing momentum transfer takes the form

$$N^{+}|_{\Delta=0} = 4[k^{+}(M^{2} - \mathcal{A}) - P^{+}\mathcal{C}]$$

$$\stackrel{\text{eff}}{=} 4(k^{+}M^{2} - P^{+}\mathcal{C}).$$
(B11)

The second equality results from observing that: (i) canceling the single \mathcal{A} appearing in the denominator leads to light-front k^- poles always lying in the same half plane and (ii) while these poles escape to infinity when $k^+ \rightarrow 0$ leading to $\delta(k^+)$ contributions, such contributions are multiplied by k^+ and accordingly vanish. Thus \mathcal{A} -reduced light-front k^- integrals vanish, because there are no zeromode singularities in this model; see, e.g., Ref. [48]. With the trace written as above, the k^- integral in Eq. (B10) can be performed straightforwardly by contour integration. This results in

$$\mathcal{N}^2 \int \frac{dx d\mathbf{k}_{\perp}}{2(2\pi)^3} \frac{2(\mathbf{k}_{\perp}^2 + m^2)}{x^2(1-x)^2} D_W(x, \mathbf{k}_{\perp}; m, m)^2 = 1.$$
(B12)

Comparing this expression with the pointlike model's twobody wave function in Eq. (B7), we see that indeed the normalization is fixed to $N^{(2)} = 1$.

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