Interacting scale invariant but nonconformal field theories

Yu Nakayama

Department of Physics, Rikkyo University, Toshima, Tokyo 171-8501, Japan (Received 7 January 2017; published 20 March 2017)

There is a dilemma in constructing interacting scale invariant Euclidean field theories that are not conformal invariant. On one hand, scale invariance without conformal invariance seems more generic by requiring only a smaller symmetry. On the other hand, the existence of a nonconserved current with exact scaling dimension d - 1 in d dimensions seems to require extra fine-tuning. To understand the competition better, we explore some examples without the reflection positivity. We show that a theory of elasticity (also known as Riva-Cardy theory) coupled with massless fermions in $d = 4 - \epsilon$ dimensions does not possess an interacting scale invariant fixed point except for an unstable (and unphysical) one with an infinite coefficient of compression. We do, however, find interacting scale invariant but nonconformal field theories in gauge fixed versions of the Banks-Zaks fixed points in d = 4 dimensions.

DOI: 10.1103/PhysRevD.95.065016

I. INTRODUCTION

How to find a scale invariant but nonconformal field theory is a tantalizing question [1]. The quest reveals a competition between two genericity arguments. On one hand, conformal symmetry is larger than the mere scale symmetry, so it seems easier to find a scale invariant field theory without conformal invariance. On the other hand, scale invariance without conformal invariance requires the existence of a so-called virial current [2,3], which is nonconserved but has a scaling dimension of exactly d - 1 in d dimensions. The latter situation sounds unlikely without extra fine-tuning, and indeed this is one of the motivations to assume that the three-dimensional critical Ising model shows conformal invariance [4,5].

Since conformal invariance plays a significant role in solving many critical phenomena, we would like to understand this dilemma better. We want more examples, but many known scale invariant field theories without conformal invariance that we know of are essentially free theories [6–8], and they have huge symmetries to protect the appearance of anomalous dimensions. They have taught us little about how this dilemma is actually avoided. The goal of this paper is to look for nontrivially interacting examples of scale invariant field theories without conformal invariance.

At this point, we should point out that there has been culminating evidence (or proof) that scale invariance does imply conformal invariance in two and four dimensions if we assume the reflection positivity (together with more subtle assumptions) [9–15]. In these studies, the authors have explored an elegant interplay between conformal invariance and the structure of the renormalization group. However, the argument given there appears to have only little to say about the genericity argument given above. In this paper, we abandon the reflection positivity and focus on the genericity argument more directly. After all, we do know examples of physical systems that do not show the reflection positivity. We encounter some of them in the following discussions.

The examples we will discuss in this paper are motivated by gauge fixed versions of gauge theories with a nontrivial fixed point for the gauge coupling. In the Abelian case, our example may be regarded as a physical model for a theory of elasticity [16] (also known as Riva-Cardy theory [17]) coupled with massless fermions. We show that they do not admit any interacting scale invariant fixed point in $d - \epsilon$ dimensions, while, as a gauge theory, they admit a conformal invariant fixed point. In the non-Abelian case, we find interacting scale invariant but nonconformal field theories in gauge fixed versions of the Banks-Zaks fixed points in d = 4 dimensions.

The organization of this paper is as follows. In Sec. II, we review the distinction between scale invariance and conformal invariance. In Sec. III, we study a theory of elasticity coupled with massless fermions in our attempt to construct scale invariant but nonconformal field theory. In Sec. IV, we study gauge fixed versions of non-Abelian gauge theories with massless fundamental fermions. In Sec. V, we conclude with some discussions.

II. SCALE INVARIANCE VS CONFORMAL INVARIANCE

In this paper, we study *d*-dimensional Euclidean invariant field theories. The Euclidean invariance requires the existence of a symmetric conserved energy-momentum tensor $T_{\mu\nu} = T_{\nu\mu}$ such that

$$\partial^{\mu}T_{\mu\nu} = 0. \tag{1}$$

The scale invariance requires that the trace of the energymomentum tensor is given by a divergence of a certain (nonconserved) current J_u ,

$$T^{\mu}_{\mu} = \partial^{\mu} J_{\mu}, \qquad (2)$$

which is often called the virial current. The scaling dimension of the energy-momentum tensor is exactly d.¹ Accordingly, the scaling dimension of the virial current must be exactly d - 1.

The conformal invariance demands that we may improve the energy-momentum tensor so that it becomes traceless:

$$\tilde{T}^{\mu}_{\ \mu} = 0. \tag{3}$$

For this to be possible, the trace of the virial current must be given by

$$J_{\mu} = \partial_{\mu}L + \partial^{\nu}L_{\mu\nu} \tag{4}$$

for certain local operators L and $L_{\mu\nu}$. If this is not the case, the theory is invariant only under scale symmetry rather than full conformal symmetry.

One important consequence of the conformal symmetry is a restriction of two-point functions for vector primary operators O_{μ} . By primary operators, we mean that they are not written as derivatives of some other local operators.² In the momentum space, the conformal invariance requires

$$\langle O_{\mu}(p)O_{\nu}(q)\rangle = (2\pi)^{d}\delta^{(d)}(p+q)p^{2(\Delta-d+1)} \\ \times \left(p^{2}\delta_{\mu\nu} - \frac{2\Delta-d}{\Delta-1}p_{\mu}p_{\nu}\right)$$
(5)

in *d* dimensions, where Δ is the conformal dimension of the operator $O_{\mu}(x)$. Note that when O_{μ} is conserved the conformal dimension must satisfy $\Delta = d - 1$ in *d* dimensions.³

III. RIVA-CARDY MODEL WITH MASSLESS FERMIONS

Let us begin with one of the simplest examples of scale invariant but not conformal invariant field theories. A theory of elasticity is described by a model with the Euclidean action

$$S = \int d^d x \frac{1}{4} (\partial_\mu v_\nu - \partial_\nu v_\mu)^2 + \frac{\alpha^{-1}}{2} (\partial^\mu v_\mu)^2.$$
 (6)

Here, v_{μ} is what is called the displacement vector [16].

The momentum space two-point function of v_{μ} is given by

$$\langle v_{\mu}(p)v_{\nu}(q)\rangle = (2\pi)^{d}\delta(p+q)\left(\frac{\delta_{\mu\nu}}{p^{2}} - (1-\alpha)\frac{p_{\mu}p_{\nu}}{p^{2}}\right).$$
 (7)

As discussed in Ref. [7], the theory is scale invariant but not conformal invariant except for the special value of $\alpha = \frac{d}{d-4}$. One way to see this is to note that (7) does not satisfy the conformal Ward identity by assuming v_{μ} is a vector primary field [compare Eq. (7) with Eq. (5)].⁴ Another way is to compute the trace of the energy-momentum tensor

$$T^{\mu}_{\mu} = \left(\frac{d}{2} - 2\right) (\partial_{\mu} v_{\nu} \partial^{\mu} v^{\nu} - \partial_{\mu} v_{\nu} \partial^{\nu} v^{\mu}) + \alpha^{-1} \left((2 - d) v_{\mu} \partial^{\mu} \partial^{\nu} v_{\nu} - \frac{d}{2} (\partial_{\mu} v^{\mu})^{2} \right)$$
(8)

and check that it is not possible to improve it to be traceless, which requires that

$$T^{\mu}_{\mu} = a \partial^{\nu} \partial_{\nu} (v^{\mu} v_{\mu}) + b \partial^{\mu} \partial^{\nu} (v_{\mu} v_{\nu}) \tag{9}$$

for certain *a* and *b* up to the use of the equation of motion. Again, this is only possible when $\alpha = \frac{d}{d-4}$.

One may also regard the theory as a twisted deformation of a O(4) symmetric scalar field theory as discussed in Ref. [19]. Suppose we begin with massless free scalars v^i with O(4) global symmetry, which is conformal invariant. We now identify this O(4) with the Euclidean rotation by using δ_i^{μ} as a twist. This gives rise to the action (14) at $\alpha = 1$ with v^i now being identified as a Euclidean vector v_{μ} . Then, we can deform the theory by changing α , which is what we name the twisted scalar deformations in Ref. [19] proposed as a way to obtain theories with scale invariance but without conformal invariance. After the deformation, one cannot undo the twist.

We add some massless matters to look for a nontrivially interacting scale invariant fixed point. For instance, let us add N_f massless (Dirac) fermions with the "minimal" coupling

$$S = \int d^d x \bar{\psi}_i (\partial_\mu - i e v_\mu) \gamma^\mu \psi_i. \tag{10}$$

At this point, we could have added the self-couplings of the displacement vector,

¹Under the dilatation, it may mix with lower-dimensional operators with a triangular mixing matrix, but the diagonal part must have dimension d.

²In conformal field theories, we may define them being annihilated by special conformal transformation, but such a definition is not available without conformal invariance. The notion here may be a little imprecise without defining what we mean by "local operators." We will not concern ourselves with these problems by working in perturbative field theories with the Lagrangian description in which the fundamental degrees of freedom are explicit.

³In d = 4 with $\Delta = 3$, Eq. (5) acquires a logarithmic correction due to the trace anomaly.

⁴This condition may be relaxed by declaring v_{μ} is a descendant in d = 2 dimensions [18].

$$S = \int d^d x m^2 (v_{\mu} v^{\mu}) + \lambda (v_{\mu} v^{\mu})^2 + \zeta (\partial_{\mu} v^{\mu}) (v_{\nu} v^{\nu}), \quad (11)$$

but it turns out that $m^2 = \lambda = \zeta = 0$ can be preserved in the renormalization group flow, so we set $m^2 = \lambda = \zeta = 0$.

As a theory of elasticity, it is reasonable to assume that the free energy has a symmetry under the constant shift of the displacement vector $v_{\mu} \rightarrow v_{\mu} + c_{\mu}$, which excludes all the terms in Eq. (11). The minimal coupling (10) is still allowed by assigning $\psi \rightarrow \psi e^{iex^{\mu}c_{\mu}}$. This symmetry is spontaneously broken, and the displacement vector may be regarded as a Nambu-Goldstone boson in this respect.

An alternative viewpoint of this model is to regard it as a gauged fixed version of QED in $d = 4 - \epsilon$ dimensions. It is known that as a gauge theory, the theory has an interacting *conformal* fixed point for a sufficiently large number of N_f when ϵ is small. The question we would like to address here is whether it shows a scale invariant but not necessarily conformal invariant fixed point as a gauge fixed theory defined by the action (14) and (10).

To look for an interacting scale invariant fixed point, we first look at the beta function for e and α , which can be computed in perturbation theory at one-loop order as

$$\beta_e = \mu \frac{\partial e}{\partial \mu} = -\epsilon e + \frac{N_f}{12\pi^2} e^3 + O(e^4)$$

$$\beta_\alpha = \mu \frac{\partial \alpha}{\partial \mu} = -\gamma_3 \alpha = -2\alpha \frac{N_f}{6\pi^2} e^2 + O(e^4), \quad (12)$$

where γ_3 is the anomalous dimensions of v_{μ} . Note that β_e does not depend on α , which is true for all orders in perturbation theory. Note also that we define the renormalized coupling constant α as a *dimensionless* ratio of the kinetic term in any *d* dimensions, so there is no classical beta function unlike in β_e . As long as ϵ/N_f is sufficiently small, we see that *e* has a nontrivial fixed point, but we also see that within perturbation theory there is no nontrivial renormalization group fixed point for α except at $\alpha = 0$, which is singular as a theory of elasticity as it describes a material with an infinite coefficient of compression [16].

Therefore, we claim that the theory of elasticity coupled with massless Dirac fermions does not show any scale invariant renormalization group fixed point. Of course, this does not contradict with the fact that as a gauge theory it has a nontrivial conformal fixed point because the term containing the gauge fixing parameter α is Becchi-Rouet-Stora-Tyutin (BRST) exact and it has no physical effects as a gauge theory.

To understand the physical picture better, let us compute the two-point function of the displacement vector v_{μ} . For this purpose, it is convenient to regard it as a gauge fixed version of QED. As long as *e* has a nontrivial fixed point, the two-point function must be exactly given by

$$\langle v_{\mu}(p)v_{\nu}(q)\rangle = (2\pi)^{d}\delta(p+q) \left(\frac{\delta_{\mu\nu} - \frac{p_{\mu}p_{\nu}}{p^{2}}}{p^{d-2}} + \alpha \frac{p_{\mu}p_{\nu}}{p^{2}}\right)$$
(13)

because the Bianchi identity tells us that v_{μ} must possess an exact scaling dimension 1 in any *d* dimensions [20] and there is no renormalization for the transverse part of v_{μ} . We conclude that there is no nontrivial scale invariant, let alone conformal, fixed point for the theory of elasticity with minimally coupled massless Dirac fermions.

As a gauge theory, it may be amusing to note that if we fix the gauge in the renormalizable ξ gauge the theory in $d = 4 - \epsilon$ dimensions never shows scale invariance except in the Landau gauge $\alpha = 0$ even though the BRST invariant correlation functions show conformal invariance.⁵ The absolute value of the gauge fixing parameter becomes effectively larger in the infrared, so the Landau gauge is an unstable fixed point.

Let us discuss some properties of the virial current at the scale invariant fixed point of $\alpha = 0$. Since the original action is singular at $\alpha = 0$, we alternatively use

$$S = \int d^d x \frac{1}{4} (\partial_\mu v_\nu - \partial_\nu v_\mu)^2 + iB \partial^\mu A_\mu + i\partial^\mu \bar{c} \partial_\mu c, \quad (14)$$

where *B* is the Legendre multiplier field and *c* and \bar{c} are the decoupled ghost and antighost, which we will use to make the BRST invariance manifest. The virial current is given by

$$J_{\mu} \propto [Q_{\text{BRST}}, i\bar{c}A_{\mu}] = -i\bar{c}\partial_{\mu}c + iBA_{\mu}.$$
(15)

Here, $[Q_{\text{BRST}}, A_{\mu}] = \partial_{\mu}c$ and $\{Q_{\text{BRST}}, \bar{c}\} = B$ as usual. To compute the scaling dimension of the virial current, we realize

$$\Delta(J_{\mu}) = \Delta(Q_{\text{BRST}}) + \Delta(\bar{c}) + \Delta(A_{\mu})$$
(16)

because the antighost completely decouples in this theory. Now, we recall $\Delta(A_{\mu}) = 1$ at the fixed point, so from $[Q_{\text{BRST}}, A_{\mu}] = \partial_{\mu}c$, we require $\Delta(Q_{\text{BRST}}) = \Delta(c) = \Delta(\bar{c}) = \frac{d-2}{2}$. Therefore, we obtain $\Delta(J_{\mu}) = d - 1$ exactly as claimed in Sec. II.

Finally, we may generalize our discussions with other massless matters such as scalars. Once we introduce the shift symmetry $v_{\mu} \rightarrow v_{\mu} + c_{\mu}$, the effective action is essentially described by the gauge fixed version of QED in $d = 4 - \epsilon$ dimensions with minimally coupled matters. Therefore, the above argument applies with no change in details. In particular, we do not find any interacting scale invariant, let alone conformally invariant, fixed point.

⁵The special feature of the Landau gauge was also emphasized in Ref. [21].

IV. GAUGE FIXED VERSION OF BANKS-ZAKS THEORY

Since a theory of elasticity coupled with massless matters does not admit any interacting scale invariant but not necessarily conformal invariant fixed point in $d = 4 - \epsilon$ dimensions, we look for more elaborate examples. For this purpose, we consider gauge fixed versions of non-Abelian gauge theories in d = 4 dimensions.

Concretely, we study the SU(N) gauge theory with N_f massless fermions in the fundamental representation and

fix the gauge in the renormalizable ξ gauge. Including the ghost, the entire action is given by

$$S = \int d^{4}x \frac{1}{g^{2}} \left(\frac{1}{4} (F^{a}_{\mu\nu})^{2} + \frac{1}{2\alpha} (\partial^{\mu}A^{a}_{\mu})^{2} \right) + \bar{\psi}_{i} D_{\mu} \gamma^{\mu} \psi_{i} + i \bar{c}^{a} \partial^{\mu} D_{\mu} c^{a}.$$
(17)

The beta functions for the gauge coupling g and the gauge fixing parameter α are computed as [22,23]

$$\frac{\beta_g}{g} = -\frac{g^2}{16\pi^2} \left(\frac{11}{3} C_2 - \frac{2}{3} n_f \right) - \left(\frac{g^2}{16\pi^2} \right)^2 \left(\frac{34}{3} C_2^2 - 2C_F n_f - \frac{10}{3} C_2 n_f \right)$$

$$\beta_a = -\alpha \gamma_3 = -\alpha \frac{g^2}{16\pi^2} \left(\left(-\frac{5}{3} - \frac{(1-\alpha)}{2} \right) C_2 + \frac{2}{3} n_f \right)$$

$$-\alpha \left(\frac{g^2}{16\pi^2} \right)^2 \left(\left(\frac{(1-\alpha)^2}{4} - \frac{15}{8} (1-\alpha) - \frac{23}{4} \right) C_2^2 + 2C_F n_f + \frac{5}{2} C_2 n_f \right)$$
(18)

at the two-loop order, where $C_F = \frac{N_c^2 - 1}{2N_c}$ and $C_2 = N_c$. Let us discuss the structure of the renormalization group

Let us discuss the structure of the renormalization group flow near the Banks-Zaks fixed point [24], i.e., $N_f^* < N_f \le \frac{11}{2}C_2$ (while the critical number of flavor N_f^* is currently unknown in a precise manner) with $g = g^*$ where $\beta_g(g^*) = 0$. When the fixed point gauge coupling is sufficiently small, we see that α has a fixed point at $\alpha =$ 0 and $\alpha = \alpha^* \sim -\frac{4N_f}{3C_2} + \frac{13}{3} < 0$. The former fixed point is unstable, while the latter is stable toward the infrared. See Fig. 1 for the renormalization group flow in the case of $N_c = 3$ and $N_f = 16$.

There is a difference between the two fixed points for α . The fixed point with $\alpha = 0$ corresponds to the Landau gauge, and the two-point function of the vector potential behaves as

$$\langle A^a_\mu(p)A^b_\nu(q)\rangle = (2\pi)^d \delta(p+q) \frac{\delta^{ab}}{p^{2-2\gamma_3}} \left(\delta_{\mu\nu} - \frac{p_\mu p_\nu}{p^2}\right)$$
(19)

with nonzero anomalous dimension γ_3 . On the other hand, at the fixed point with nonzero α , the two-point function of the vector potential behaves as

$$\langle A^a_\mu(p)A^b_\nu(q)\rangle = (2\pi)^d \delta(p+q) \frac{\delta^{ab}}{p^2} \left(\delta_{\mu\nu} - (1-\alpha^*)\frac{p_\mu p_\nu}{p^2}\right)$$
(20)

with the zero anomalous dimension. In both cases, the twopoint function is not compatible with the special conformal transformation if we regard the vector potential A^a_{μ} as a primary operator. This is not in contradiction with the fact that the Banks-Zaks fixed point as a gauge theory is a conformal field theory, at least in the perturbative regime, because the vector potential is not BRST invariant and the gauge invariant operators cannot be constructed just by taking derivatives of them, unlike in QED.

To understand how we get the scale invariance without conformal invariance better, it is instructive to study the properties of the trace of the energy-momentum tensor. In $d = 4 - \epsilon$ dimensions, the trace of the bare



FIG. 1. Renormalization group flow of the gauge coupling g and the gauge fixing parameter α in SU(3) gauge theories with $N_f = 16$ fermions.

energy-momentum tensor is computed as

$$T^{\mu}_{\mu} = \frac{\epsilon}{g^2} \left(\frac{1}{4} (F^a_{\mu\nu})^2 + \frac{1}{2\alpha} (\partial^{\mu} A^a_{\mu})^2 \right) + \partial^{\mu} J_{\mu}, \quad (21)$$

where the bare virial current is given by

$$J_{\mu} = (2 - \epsilon)(\alpha^{-1}A^a_{\mu}\partial^{\nu}A^a_{\nu} + i\bar{c}D_{\mu}c).$$
(22)

To obtain the trace of the renormalized energy-momentum tensor in d = 4 dimensions, we take the $1/\epsilon$ poles in the coupling constant g and α so that

$$T^{\mu}_{\mu} = -\frac{\beta_g}{2g^3} (F^a_{\mu\nu})^2 - \left(\frac{\beta_g}{g^3\alpha} + \frac{\beta_\alpha}{2g^2\alpha^2}\right) (\partial^{\mu}A^a_{\mu})^2 + \partial^{\mu}J_{\mu}.$$
(23)

There are also $1/\epsilon$ poles from the wave function renormalization, but it is proportional to $\frac{\delta S}{\delta \phi}$, and they vanish if we use the equations of motion. In principle, the virial current J_{μ} may be renormalized, but it cannot affect the beta functions for g and α .⁶

This analysis again tells us that in order to retain scale invariance in a gauge fixed version of the multiflavor QCD we need to demand $\beta_g = \beta_\alpha = 0$. Furthermore, the renormalization of the virial current operator J_μ is $O(g^2)$, if any, so in perturbation theory, the fixed point is only scale invariant but not conformal invariant. However, we also notice that the virial current operator is BRST exact,

$$J_{\mu} \propto \{Q_B, i\bar{c}A_{\mu}\} \tag{24}$$

as it should be, so within the BRST cohomology, one may realize the conformal symmetry.

In fact, as shown in Ref. [25], by using the BRST symmetry, one may argue that after the wave function renormalization the divergence of the virial current operator $\partial^{\mu}J_{\mu}$ is finite to all orders in perturbation theory with dimensional regularization, and hence it does not require any further renormalization.⁷ This means that the virial current does not acquire any anomalous dimensions at the scale invariant but not conformal fixed point.

V. DISCUSSIONS

In this paper, we have shown some examples of interacting field theories with scale invariance without conformal invariance. Although the examples may not represent the generic features, these are rare and unexplored ones, so let us revisit our original questions, in particular, the (non)genericity of scale invariance without conformal invariance in view of the fine-tuning.

If we regard our examples as gauge theories, in order to obtain the conformal invariant fixed point, we have to find the zero of the beta function for the gauge coupling constant. Since there is no candidate for the gauge invariant virial current in perturbation theory, demanding scale invariance is equivalent to demanding conformal invariance. We need to solve one equation with one parameter.

On the other hand, as a gauge fixed theory, we may find a scale invariant but not conformal fixed point because there is a candidate for the virial current operator. At the same time, we have an additional gauge fixing parameter as a coupling constant. To reach the scale invariant fixed point, we have to solve two equations with two variables. If we further demand conformal invariance, we have to add one more constraint, which generically admits no solutions. Indeed, in perturbation theory, we did not find any conformal invariant fixed point.

From what we have learned in these examples, we actually see that scale invariance is more generic than conformal invariance once we accept the possibility of the virial current operator. The last question, then, is if the existence of the virial current operator itself is natural or not. In our examples, once the theory has vanishing beta functions for the gauge coupling constant and the gauge fixing parameter, the virial current does have an exact scaling dimension of d - 1. In our examples, this "miracle" may be attributed to the underlying BRST symmetry. It is an interesting question to see if the miracle can occur without a hidden symmetry.

With this respect, it may be important to revisit the holographic examples studied in Refs. [19,26–29]. In these models, we have the nonconserved vector operator of which the scaling dimension is exactly d - 1. From the effective field theory viewpoint, this is certainly fine-tuning by a judicious choice of the effective action, but the recent model proposed in Refs. [19] and [29] has an explicit M theory realization, so one may expect a field theory reason as to why such fine-tuning is naturally realized.

Finally, our analysis may be important in our studies of conformal field theories realized by gauge theories. We have shown that the covariant gauge fixing we often use is not consistent with the conformal symmetry, so if we approach them by using the gauge fixed path integral, then the beauty of the conformal symmetry is not manifest in its computation.⁸ One may still preserve scale invariance by a

⁶In the case of the Landau gauge, if we introduce the auxiliary field *B* in the Lagrangian so that $L = \frac{1}{4g^2} (F^a_{\mu\nu})^2 + B^a \partial^{\mu} A^a_{\mu}$, then the trace of the energy-momentum tensor becomes $T^{\mu}_{\mu} = \partial^{\mu} B^a A^a_{\mu}$ at $\beta(g) = 0$. We do not have to tune the anomalous dimension γ_3 to obtain scale invariance.

⁷For the readers' convenience, we give a sketch of the authors' argument in the Appendix.

⁸Such subtleties should be discussed with care when we use localization or Hamiltonian truncation to study the gauge theory, in which conformal symmetry plays a significant role.

judicious choice of the gauge parameter. The use of such a gauge should be seen in the future.

ACKNOWLEDGMENTS

I thank S. Rychkov for stimulating discussions regarding whether or not the Riva-Cardy theory coupled with massless fermions shows scale invariance without conformal invariance. This work is supported in part by Rikkyo University Special Fund for Research.

APPENDIX: NONRENORMALIZATION OF THE VIRIAL CURRENT OPERATOR

We review the argument in Ref. [25] that the virial current operator is not renormalized to all orders in perturbation theory due to the BRST invariance.

Let us first recall what we mean by the renormalizability of a gauge theory with the BRST symmetry. To present the BRST invariance in a compact form, let us introduce the source terms K^I for the BRST transforms of the fundamental fields $\phi_I = \{A_{\mu}, \bar{c}, c, \psi...\},\$

$$S[\phi_I, K^I] = S_0 + \int d^4 x K^I[Q_{\text{BRST}}, \phi_I]. \qquad (A1)$$

Since BRST transformation is nilpotent,⁹ $S[\phi_I, K^I]$ is BRST invariant, and so the (regularized but yet-to-berenormalized) one particle irreducible (1PI) effective action $\Gamma_0[\phi_I, K^I]$ must be. In other words, the bare Zinn-Justin equation

$$\int d^4x \frac{\delta\Gamma_0}{\delta\phi_I} \frac{\delta\Gamma_0}{\delta K^I} = 0 \tag{A2}$$

must hold (by noting that the derivative with respect to K^{I} is nothing but the BRST transform of ϕ_{I}).

This equation tells us what kind of divergence occurs in the gauge theory. Let us decompose the local part of the 1PI effective action $\Gamma_0 = S_0 + \Gamma_{div}$ + nonlocal so that the local divergent part of the 1PI effective action must satisfy

$$\int d^4x \frac{\delta S_0}{\delta \phi_I} \frac{\delta \Gamma_{\rm div}}{\delta K^I} + \frac{\delta \Gamma_{\rm div}}{\delta \phi_I} \frac{\delta S_0}{\delta K^I} = 0, \qquad (A3)$$

which is known as the renormalization equation and dictates what kind of divergence occurs.

Without giving a proof here, the solution of this algebraic renormalization equation, if restricted to the local functional of which the canonical dimension is equal to or less than 4, takes the same form as the variation of S_0 under the wave function renormalization and the renormalization of the coupling constant. This is the claim of the renormalizability of the gauge theory just by replacing $(A^{\mu} \rightarrow Z_3^{1/2} A_R^{\mu}, c \rightarrow \tilde{Z}^{1/2} c_R, \psi = Z_2^{1/2} \psi_R, g \rightarrow g_R Z_1^{-1} Z_2^{-1/2} Z_3^{-1/2}, \xi \rightarrow \xi_R Z_3^{-1})$. We note that the source K_I is multiplicatively renormalized so that the Zinn-Justin equation takes the same form,

$$\int d^4x \frac{\delta\Gamma_R}{\delta\phi_{\rm IR}} \frac{\delta\Gamma_R}{\delta K_R^I} = (Z_3 \tilde{Z})^{1/2} \int d^4x \frac{\delta\Gamma_0}{\delta\phi_I} \frac{\delta\Gamma_0}{\delta K^I} = 0, \qquad (A4)$$

in terms of the renormalized quantities.

Let us generalize the above argument by adding the other operator and its source in the action in order to discuss the constraint on the renormalization of *O*:

$$S[\phi_I, K^I, J] = S_0 + \int d^4 x K^I [Q_{\text{BRST}}, \phi_I] + JO.$$
 (A5)

As a warmup exercise, let us first consider the case in which O is a gauge invariant operator [such as $(\text{Tr}F^{\mu\nu}F_{\mu\nu})^2$]. In this case, all the derivations above do not change, and we have the equation

$$\int d^4x \frac{\delta\Gamma_0[J]}{\delta\phi_I} \frac{\delta\Gamma_0[J]}{\delta K^I} = 0.$$
 (A6)

In particular, if the added operator has dimension less than 4, this equation shows that all the divergence of the correlation functions involving O can be removed by the wave function renormalization and the charge renormalization. Essentially, this means that the gauge invariant operator only mixes with gauge invariant operators.¹⁰

For our actual purpose, let us take $JO = \tau \partial^{\mu} J_{\mu}$ with the virial current operator J_{μ} and the dilaton τ . This operator is almost (or on-shell) BRST invariant: $[Q_{\text{BRST}}, \partial^{\mu} J_{\mu}] \propto \partial^{\mu} (A_{\mu} \partial^{\nu} D_{\nu} c)$. In the 1PI effective action, one may replace the left-hand side of the ghost equations of motion $\partial^{\mu} D_{\mu} c = 0$ by the derivative with respect to \bar{c} , so the bare Zinn-Justin equation now looks like

$$\int d^4x \frac{\delta\Gamma_0[\tau]}{\delta\phi_I} \frac{\delta\Gamma_0[\tau]}{\delta K^I} = \int d^4x \frac{\tau}{\alpha_0} \partial^\mu \left(A^\mu \frac{\delta\Gamma_0[\tau]}{\delta\bar{c}} \right) \quad (A7)$$

or, by taking the derivative with respect to τ and setting $\tau = 0$,

$$\frac{\delta}{\delta\tau} \int d^4x \frac{\delta\Gamma_0[\tau]}{\delta\phi_I} \frac{\delta\Gamma_0[\tau]}{\delta K^I} \bigg|_{\tau=0} = \frac{1}{\alpha_0} \partial^\mu \bigg(A^\mu \frac{\delta\Gamma_0}{\delta\bar{c}} \bigg).$$
(A8)

A crucial observation is that if we do the wave function renormalization and coupling constant renormalization that we did in (A4) and divide by the common factor $(Z_3\tilde{Z})^{1/2}$

⁹This is true for the source terms off shell if we use the auxiliary *B* field, but even without it, the following argument does not change much. In particular, in Ref, [25], the authors did not introduce the source term for $\partial^{\mu}A_{\mu}$ for their argument reproduced below.

 $^{^{10}}$ If, on the other hand, the added operator has dimension greater than 4, we have to redo the analysis of the renormalization equation.

then the right-hand side is finite because everything is written in the renormalized quantities. The left-hand side may be still divergent, but it must satisfy the same renormalization equation,

$$\int d^4x \frac{\delta S_0}{\delta \phi_I} \frac{\delta \tilde{\Gamma}_{\rm div}}{\delta K^I} + \frac{\delta \tilde{\Gamma}_{\rm div}}{\delta \phi_I} \frac{\delta S_0}{\delta K^I} = 0, \qquad (A9)$$

where $\tilde{\Gamma} = \frac{\delta \Gamma[r]}{\delta \tau}|_{\tau=0}$ is the generating functions of the 1PI diagrams with one virial current operator insertion. The possible divergence here means that we may need additional renormalization for the virial current operator even after the wave function renormalization and the coupling

constant renormalization. However, the form of the renormalization equation implies that the divergence must be removed by additional wave function renormalization and the coupling constant renormalization. In other words, the divergence of the virial current operator (i.e., the trace of the energy-momentum tensor) may be renormalized by the gauge invariant operators such as $\text{Tr}F^{\mu\nu}F_{\mu\nu}$ or the redundant operators (that are proportional to the equations of motion). On the other hand, all these operators are not the form of $\partial^{\mu}J_{\mu}$, so, actually, the insertion of the virial current operator does not require any renormalization at all at the scale invariant fixed point. This is the claim made in Ref. [25].

- [1] Y. Nakayama, Phys. Rep. 569, 1 (2015).
- [2] J. Wess, Nuovo Cimento 18, 1086 (1960).
- [3] S. R. Coleman and R. Jackiw, Ann. Phys. (N.Y.) 67, 552 (1971).
- [4] B. Delamotte, M. Tissier, and N. Wschebor, Phys. Rev. E 93, 012144 (2016).
- [5] M. F. Paulos, S. Rychkov, B. C. van Rees, and B. Zan, Nucl. Phys. **B902**, 246 (2016).
- [6] R. Jackiw and S.-Y. Pi, J. Phys. A 44, 223001 (2011).
- [7] S. El-Showk, Y. Nakayama, and S. Rychkov, Nucl. Phys. B848, 578 (2011).
- [8] A. Dymarsky and A. Zhiboedov, J. Phys. A 48, 41FT01 (2015).
- [9] J. Polchinski, Nucl. Phys. B303, 226 (1988).
- [10] M. A. Luty, J. Polchinski, and R. Rattazzi, J. High Energy Phys. 01 (2013) 152.
- [11] J. F. Fortin, B. Grinstein, and A. Stergiou, J. High Energy Phys. 01 (2013) 184.
- [12] A. Bzowski and K. Skenderis, J. High Energy Phys. 08 (2014) 027.
- [13] A. Dymarsky, K. Farnsworth, Z. Komargodski, M. A. Luty, and V. Prilepina, J. High Energy Phys. 02 (2016) 099.

- [14] K. Yonekura, arXiv:1403.4939.
- [15] A. Naseh, Phys. Rev. D 94, 125015 (2016).
- [16] L. D. Landau and E. M. Lifshitz, *Theory of Elasticity* (Pergamon, New York, 1970).
- [17] V. Riva and J. L. Cardy, Phys. Lett. B 622, 339 (2005).
- [18] Y. Nakayama, Ann. Phys. (Amsterdam) **372**, 392 (2016).
- [19] Y. Nakayama, arXiv:1606.01988.
- [20] L. Di Pietro, Z. Komargodski, I. Shamir, and E. Stamou, Phys. Rev. Lett. **116**, 131601 (2016).
- [21] S. M. Chester and S. S. Pufu, J. High Energy Phys. 08 (2016) 069.
- [22] W. E. Caswell, Phys. Rev. Lett. 33, 244 (1974).
- [23] K. G. Chetyrkin, Nucl. Phys. **B710**, 499 (2005).
- [24] T. Banks and A. Zaks, Nucl. Phys. B196, 189 (1982).
- [25] J. C. Collins, A. Duncan, and S. D. Joglekar, Phys. Rev. D 16, 438 (1977).
- [26] Y. Nakayama, J. High Energy Phys. 11 (2009) 061.
- [27] Y. Nakayama, J. High Energy Phys. 01 (2010) 030.
- [28] Y. Nakayama, Int. J. Mod. Phys. A 25, 4849 (2010).
- [29] Y. Nakayama, Phys. Rev. D 95, 046006 (2017).