

Finite volume effects on the electric polarizability of neutral hadrons in lattice QCD

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We study the finite volume effects on the electric polarizability for the neutron, neutral pion, and neutral kaon using eight dynamically generated two-flavor nHYP-clover ensembles at two different pion masses: 306(1) and 227(2) MeV. An infinite volume extrapolation is performed for each hadron at both pion masses. For the neutral kaon, finite volume effects are relatively mild. The dependence on the quark mass is also mild, and a reliable chiral extrapolation can be performed along with the infinite volume extrapolation. Our result is $\alpha_{K^0}^{\text{phys}} = 0.356(74)(46) \times 10^{-4} \text{ fm}^3$. In contrast, for neutron, the electric polarizability depends strongly on the volume. After removing the finite volume corrections, our neutron polarizability results are in good agreement with chiral perturbation theory. For the connected part of the neutral pion polarizability, the negative trend persists, and it is not due to finite volume effects but likely sea quark charging effects.

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I. INTRODUCTION

Hadron electromagnetic polarizabilities encode important information about the distribution of charge and current densities inside the hadrons. Experimentally, these parameters are extracted using cross sections measured in Compton scattering reactions with theoretical input from effective models and dispersion relations. Lattice QCD can provide first-principles-based results for static polarizabilities directly as predicted by quark-gluon dynamics. This input is particularly important for unstable hadrons, where experimental and theoretical uncertainties in the effective models are large.

At the lowest order, the effects of an electromagnetic field on hadrons can be parametrized by the effective Hamiltonian,

$$\mathcal{H}_{em} = -\vec{p} \cdot \vec{\mathcal{E}} - \vec{\mu} \cdot \vec{B} - \frac{1}{2}(\alpha\mathcal{E}^2 + \beta B^2) + \dots, \quad (1)$$

where p and μ are the static electric and magnetic dipole moments, respectively, and α and β are the static electric and magnetic polarizabilities. Due to time reversal symmetry of the strong interaction, the static dipole moment, \vec{p} , vanishes. In the presence of a constant electric field only, the leading contribution to the electromagnetic interaction comes from the electric polarizability term at $\mathcal{O}(\mathcal{E}^2)$.

Lattice QCD calculations of electromagnetic polarizabilities are challenging since the electromagnetic effects are small compared to the natural hadronic scale. A good understanding of all systematic effects is required to ensure that the parameters extracted from these calculations are reliable. To that end, our first goal was to validate our

method by focusing on the neutron electric polarizability. For neutral hadrons, lattice QCD calculations are more reliable than for charged hadrons since neutral particles are not accelerated by the external field. On the experimental side, results for the neutron are reasonably precise, and effective model predictions are in good agreement with the experimental data. This makes the lattice QCD extraction of the electric polarizability of the neutron a good benchmark study.

In a previous study, we computed the electric polarizability of the neutron, neutral pion, and neutral kaon for two different pion masses (306 and 227 MeV) with a fixed box size of $L \approx 3 \text{ fm}$ [1]. The results we found were a bit puzzling: the pion polarizability exhibited the same negative trend observed in other studies both with dynamical [2] and quenched ensembles [3], and the neutron polarizability was in disagreement with predictions from chiral perturbation theory [4–7]. We speculated that corrections due to electrically neutral sea quarks or finite volume effects could explain these discrepancies. A calculation of the polarizability, with the inclusion of the charged sea quarks, was done on the 306 MeV ensemble [8,9]. It was found that charging the sea quarks does not change the polarizability significantly, which is aligned with expectations from chiral perturbation theory. Thus, the discrepancy between our lattice calculation of the neutron polarizability and the calculation from chiral perturbation theory (χ PT) remained. In this paper, we study the finite volume corrections for this quantity.

The paper is organized as follows. In Sec. II, we present the method used to extract the polarizability from the lattice for mesons and baryons. This includes a discussion of our fitting procedure. In Secs. III we present our results of the

polarizability for the neutron, pion, and kaon and discuss the finite volume corrections. In Sec. IV, we discuss the quark mass dependence for the infinite volume extrapolated polarizability and compare our results with predictions from χ PT. Lastly, in Sec. V, we summarize our results and outline our plans for future investigations.

II. METHODOLOGY

A. Background field method

In lattice QCD, polarizabilities can be computed using the background field method [10]; the energy shift induced by a constant electric field is directly related to the static electric polarizability. A static electromagnetic field can be introduced by coupling the vector potential (A_μ) to the covariant derivative of the Euclidean QCD Lagrangian,

$$D_\mu = \partial_\mu - igG_\mu - iqA_\mu, \quad (2)$$

where G_μ is the gluon field. On the lattice, this is implemented by a multiplicative U(1) phase factor to the gauge links, i.e.,

$$U_\mu \rightarrow e^{-iqaA_\mu} U_\mu. \quad (3)$$

For a constant electric field, one choice for the vector potential is $A_x = \mathcal{E}t$, where we have used an imaginary value for the electric field leading to a U(1) multiplicative factor that keeps the links unitary. When using an imaginary value of the field, the energy shift due to the polarizability acquires an additional negative sign so that a positive energy shift corresponds to a positive value of the polarizability [11].

In this study, we use very weak electric fields to extract the polarizability, so that the energy shift is due to polarizabilities rather than higher order terms in the effective Hamiltonian in Eq. (1). It is possible of course to extract the polarizability using stronger fields, but this would require the evaluation of the energy shifts for different electric field strengths to reliably separate the higher order corrections. We use Dirichlet boundary conditions for the valence quarks in both the time direction and the direction of the electric field. This choice of boundary conditions allows us to choose an arbitrarily small value of the electric field. In our analysis, we use a value of

$$\eta \equiv a^2 q_d \mathcal{E} = 10^{-4}, \quad (4)$$

where a is the lattice spacing and q_d is the magnitude of the electric charge for the down quark. One bound on η is determined by looking at a $\pm\mathcal{E}$ -symmetrized hadron correlator (see below) at various time slices and determining the range of η values which exhibit quadratic scaling [1]. A more stringent constraint on η appears when we take into account the effect of the sea-quark charge via

perturbative reweighting [8]. The latter constraint forces us to use this low η value. In physical terms, this value of η corresponds to an electric field that an electron would generate at a distance of 0.5 fm. The value is well within the quadratic scaling region. Note that the value is about 50 times lower than the lowest quantized value $2\pi/(N_x \times N_t)$ corresponding to one unit of electric flux; thus, the induced energy shift is thousands of times smaller. In our study, the energy shift for the neutron is on the order of keV out of 938 MeV.

Due to the boundary conditions, the quark and hadrons' correlators close to the boundaries will behave differently than in the bulk. These effects are enhanced when the source is placed close to the walls (see for example the discussion about correlators with sources close to discontinuities in the nonquantized background fields [2,12]). To minimize these effects, we placed the source for our quark correlators at maximal distance from the spatial walls and six lattice units from the temporal wall. In any case, the hadron propagator will be affected by the walls since the particle in the lowest momentum state will have a nonzero probability to be within the distortion region due to the hard walls. Since this region is expected to have finite range, the corrections will be proportional with the probability to be in this region, which will vanish as we increase the distance L between the walls as $1/L$ (recall that we only have hard walls in one spatial direction.) These corrections will appear as a finite volume correction.

To determine the energy shift δE on the lattice, we calculate the zero-field (G_0), plus-field ($G_{+\mathcal{E}}$), and minus-field ($G_{-\mathcal{E}}$) two-point correlation functions for the interpolating operators of interest. The combination of the plus and minus field correlators allows us to remove any $\mathcal{O}(\mathcal{E})$ effects, which are statistical artifacts, when the sea quarks are not charged. For neutral particles in a constant electric field, the correlation functions still retain their single exponential decay in the limit $t \rightarrow \infty$,

$$\langle G_\mathcal{E}(t) \rangle \underset{t \rightarrow \infty}{\approx} A(\mathcal{E}) e^{-E(\mathcal{E})t}, \quad (5)$$

where $E(\mathcal{E})$ has the perturbative expansion in the electric field given by

$$E(\mathcal{E}) = m + \frac{1}{2}\alpha\mathcal{E}^2 + \dots \quad (6)$$

By studying the variations of the correlation functions with and without an electric field, one can isolate the energy shift to obtain α .

For spin-1/2 hadrons, the energy shift in a constant electric field receives a contribution due to the magnetic moment of the hadron at order $\mathcal{O}(\mathcal{E}^2)$. Thus, the static polarizability α defined by Eq. (6), is not identical to the Compton polarizability $\bar{\alpha}$ that enters the effective Lagrangian for spin-1/2 systems [13]. The relation between these

polarizabilities can be computed [1,2]. For these systems, the energy expansion reads,

$$E(\mathcal{E}) = m + \frac{1}{2}\mathcal{E}^2\left(\bar{\alpha} - \frac{\mu^2}{m}\right) + \dots, \quad (7)$$

where $\bar{\alpha}$ is the Compton polarizability that we wish to compute. To account for the magnetic moment, we use the same procedure as we used in a previous study [1].

Since we use Dirichlet boundary conditions, the lowest energy state corresponds to a hadron moving with a momentum roughly equal to π/L , which vanishes in the limit $L \rightarrow \infty$. When we extract the energy shift from the hadron, we need to account for the induced momentum because the energy shift (δE) is not equal to the mass shift (δm). The two are related via the dispersion relation $E = \sqrt{m^2 + p^2}$ by

$$\delta m = \delta E \frac{E}{m}, \quad (8)$$

where m is the zero-momentum mass of the particle which we calculate using periodic boundary conditions. The mass shift δm is then used in Eq. (6) or Eq. (7), to extract the polarizability.

B. Fitting method

Since the correlation functions G_0 , $G_{+\mathcal{E}}$, and $G_{-\mathcal{E}}$ are dominated by a single exponential at large times, we can use standard spectroscopy techniques to measure the shift in a hadron's energy. The only caveat is that the shift is very small at the field strength used in this study, smaller than the statistical errors if they were fitted separately. To overcome the difficulty, we take advantage of the fact that the three correlators are highly correlated since they are computed on the same set of gauge configurations. To do this, we construct the combined residue vector from the individual residue vectors in each sector,

$$\begin{aligned} \mathbf{v}_i &\equiv f(t_i) - \langle G_0(t_i) \rangle, \\ \mathbf{v}_{N+i} &\equiv \bar{f}(t_i) - \langle G_{+\mathcal{E}}(t_i) \rangle, \\ \mathbf{v}_{2N+i} &\equiv \bar{f}(t_i) - \langle G_{-\mathcal{E}}(t_i) \rangle, \end{aligned} \quad (9)$$

where $i = 1, \dots, N$ labels the time slices in the fit window, $f(t) = Ae^{-Et}$ labels the fitting function in the absence of the field, and $\bar{f}(t) = (A + \delta A)e^{-(E+\delta E)t}$ labels the fitting function in the presence of the field. We minimize the χ^2 function,

$$\chi^2 = \mathbf{v}^T \mathbf{C}^{-1} \mathbf{v}, \quad (10)$$

for four parameters (A , E , δA , δE) in the usual fashion, where \mathbf{C} is the $3N \times 3N$ jackknifed covariance matrix which takes into account the correlations both in time

and in the electric field. Specifically, the matrix has a 3×3 block structure,

$$\mathbf{C} = \begin{pmatrix} C_{00} & C_{0+} & C_{0-} \\ C_{+0} & C_{++} & C_{+-} \\ C_{-0} & C_{-+} & C_{--} \end{pmatrix},$$

where 0, +, and - represent G_0 , $G_{+\mathcal{E}}$, and $G_{-\mathcal{E}}$, respectively. Each block is an $N \times N$ matrix. The correlations are encoded in the off-diagonal blocks. Note that the symmetrization in the electric field is done implicitly in this procedure, since \bar{f} is the same for $G_{+\mathcal{E}}$ and $G_{-\mathcal{E}}$. The statistical errors on the parameters are derived from the Hessian of the χ^2 . This method is used to extract all parameters presented in this work.

To illustrate the importance of accounting for these correlations, we consider the energy shift δE for the neutron for one of the ensembles used in this work. Using the full covariance matrix, we find $a\delta E = (4.3 \pm 1.2) \times 10^{-7}$. If we neglect the correlations, which is equivalent to using only the diagonal blocks of the covariance matrix, we find $a\delta E = (8.15 \pm 150000) \times 10^{-7}$, which has huge errors.

C. Calculation details

We calculate the electric polarizability for the neutron, neutral pion, and neutral kaon on eight dynamically generated ensembles using two-flavor nHYP-clover fermions [14]. For the neutral pion polarizability, we are computing only the connected contribution to the pion correlation function, as we had also done in Ref. [1]. We used two quark masses, corresponding to pion masses of 227(2) MeV and 306(1) MeV. For each mass, we performed simulations on four different volumes, to study finite volume effects.

To save time, we varied the dimension of the lattice only along the electric field (x direction). We expect that the finite volume corrections vanish exponentially in the transverse directions and that our lattice is large enough for these corrections to be negligible at the current precision level. On the other hand, the corrections associated with the direction parallel with the electric field are expected to vanish only as a power law in $1/L$. We will show that our results agree with these expectations.

Details of the ensembles are given in Table I. The determination of both the lattice spacing and κ_s , the hopping parameter for the strange quark that is required to compute the kaon polarizability, is discussed in detail in our previous study [1]. We use the same values here: $\kappa_s = 0.1266$ for ensembles EN1 to EN4; $\kappa_s = 0.1255$ for ensembles EN5 to EN8.

To reduce the statistical uncertainties, we computed quark propagators at multiple point sources for each configuration. Since the presence of the Dirichlet walls breaks translational symmetry in the x and t directions, the

TABLE I. Details of the lattice ensembles used in this work. N_c and N_s label the number of configurations and number of sources on each configuration, respectively. The top four ensembles correspond to $m_\pi = 306(1)$ MeV, and the bottom four correspond to $m_\pi = 227(2)$ MeV.

Label	Lattice	a (fm)	κ	N_c	N_s
EN1	$16 \times 16^2 \times 32$	0.1245	0.12820	230	11
EN2	$24 \times 24^2 \times 48$	0.1245	0.12820	300	25
EN3	$30 \times 24^2 \times 48$	0.1245	0.12820	300	29
EN4	$48 \times 24^2 \times 48$	0.1245	0.12820	270	37
EN5	$16 \times 16^2 \times 32$	0.1215	0.12838	230	16
EN6	$24 \times 24^2 \times 64$	0.1215	0.12838	450	23
EN7	$28 \times 24^2 \times 64$	0.1215	0.12838	670	33
EN8	$32 \times 24^2 \times 64$	0.1215	0.12838	500	37

TABLE II. Fit ranges used in extracting the energy shifts for the pion, kaon, and neutron.

Ensemble	Pion	Kaon	Neutron
EN1	[10, 19]	[10, 19]	[8, 21]
EN2	[14, 30]	[14, 30]	[8, 21]
EN3	[13, 30]	[13, 30]	[9, 21]
EN4	[14, 30]	[14, 30]	[8, 21]
EN5	[10, 19]	[10, 19]	[9, 21]
EN6	[15, 36]	[15, 37]	[9, 21]
EN7	[15, 37]	[15, 37]	[10, 21]
EN8	[15, 37]	[15, 36]	[9, 21]

point sources have to be picked carefully; they were displaced with respect to each other using translations in the y and z directions, which have periodic boundary conditions.

To determine the appropriate time window to fit the correlation functions, we varied the start time, t_{\min} , and kept

TABLE III. Infinite volume extrapolation results for the neutron with three different fit models. The polarizabilities $\bar{\alpha}_n$ are reported in units of 10^{-4} fm^3 .

	306 MeV			227 MeV		
	$\bar{\alpha}_n$	χ^2	AIC	$\bar{\alpha}_n$	χ^2	AIC
Constant	2.18(11)	17.4	19.40	2.77(22)	11.76	13.76
Linear	3.67(38)	0.298	4.30	5.62(91)	1.28	5.28
Quadratic	4.1(1.1)	0.141	6.14	8.9(6.1)	0.99	6.99

the maximum fit time fixed. For each case, we performed a fit and extracted the hadron's energy shift, δE , and the associated χ^2/dof . Following the procedure discussed in Ref. [1], we chose the largest fit window that produces a good quality fit. The fit windows used for each of the hadrons studied in this paper are listed in Table II. The computed values for the polarizability of the three hadrons are presented in Table VI. In the same table, we include the energy shifts due to the field, the energies measured in the absence of the field with Dirichlet boundary conditions, and the masses as extracted using periodic boundary conditions.

Since we use dozens of point sources for each ensemble, and for each point source we need to compute the quark propagator for five different couplings to the background electric field, we have to compute hundreds of inversions for each configuration. To compute these efficiently, we use our implementation of a multi-graphics processing unit Dslash operator [15] and an efficient multimass inverter [16].

III. VOLUME DEPENDENCE ANALYSIS

Finite volume corrections have been estimated using χ PT. For periodic boundary conditions, these effects were calculated for electric polarizabilities [17] and magnetic polarizabilities [18]. At m_π around 250 MeV and $L = 3$ fm, it was estimated that the correction to the neutron

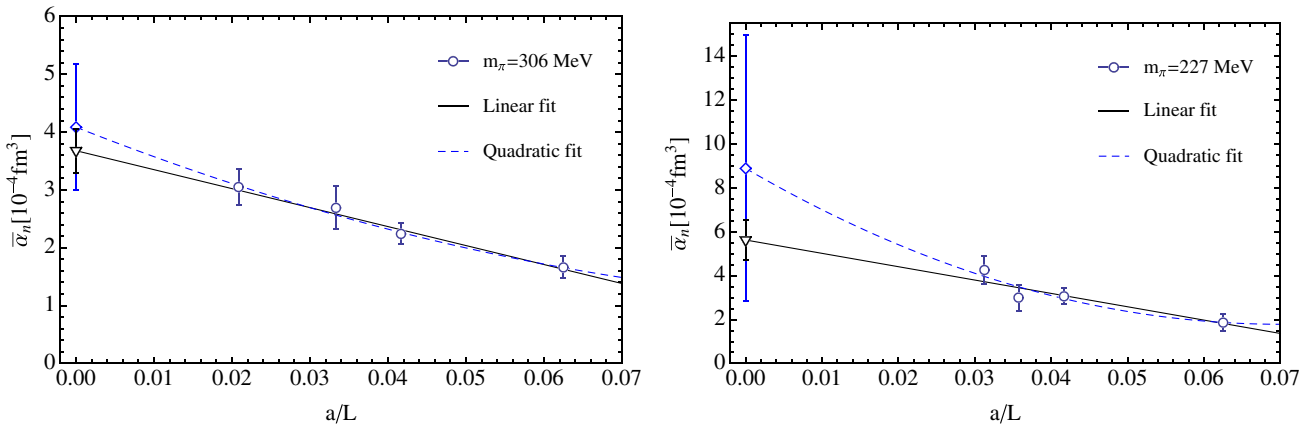


FIG. 1. Infinite volume extrapolation for neutron polarizability. The left panel shows our results for the $m_\pi = 306$ MeV ensembles, and the right panel shows the results for the $m_\pi = 227$ MeV ensembles. On each plot, we overlay the infinite volume extrapolations using a linear (solid line) or quadratic (dashed line) fit.

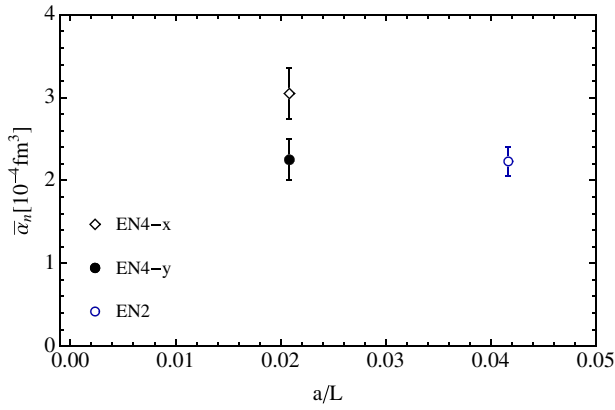


FIG. 2. Comparison of the EN2 spatial lattice (24×24^2) with the electric field in the x direction to the results of the EN4 spatial lattice (48×24^2) with the electric field in both the x and y directions. The results confirm that the finite size effects associated with the directions transverse to the electric field are negligible.

polarizability is about 7% [17]. For Dirichlet boundary conditions used in this work, no direct χ PT predictions are available. The only estimate comes from sigma model studies of the chiral condensate in the presence of hard walls [19]. This choice of boundary conditions is expected

to introduce larger finite volume effects that are expected to vanish algebraically with $1/L_x$ in the infinite volume limit. The expectation is based on the idea that the corrections are mainly driven by the hadron momentum π/L_x . To thoroughly analyze the volume dependence, we performed our calculations on four different lattice sizes for both pion masses.

Since we do not know the analytical form for the finite volume effects, we fit the polarizability as a function of $1/L$ to three different models: constant, linear, and quadratic. We cannot go beyond the quadratic since we only have four different lattice sizes. To determine which model fits the data best, we compute the χ^2 to gauge the overall goodness of the fit. In conjunction with the goodness of fit criteria, we use Akaike Information Criterion (AIC) [20], which measures the relative quality between different statistical models and helps in determining whether or not a model is overfitting the data. The AIC value is given by

$$\text{AIC} = 2k + \chi^2, \quad (11)$$

where k is the number of parameters in the model. For a given fit model, we will sum the values of the AIC for both pion masses. The model with the smallest AIC value will be our fit model used subsequently.

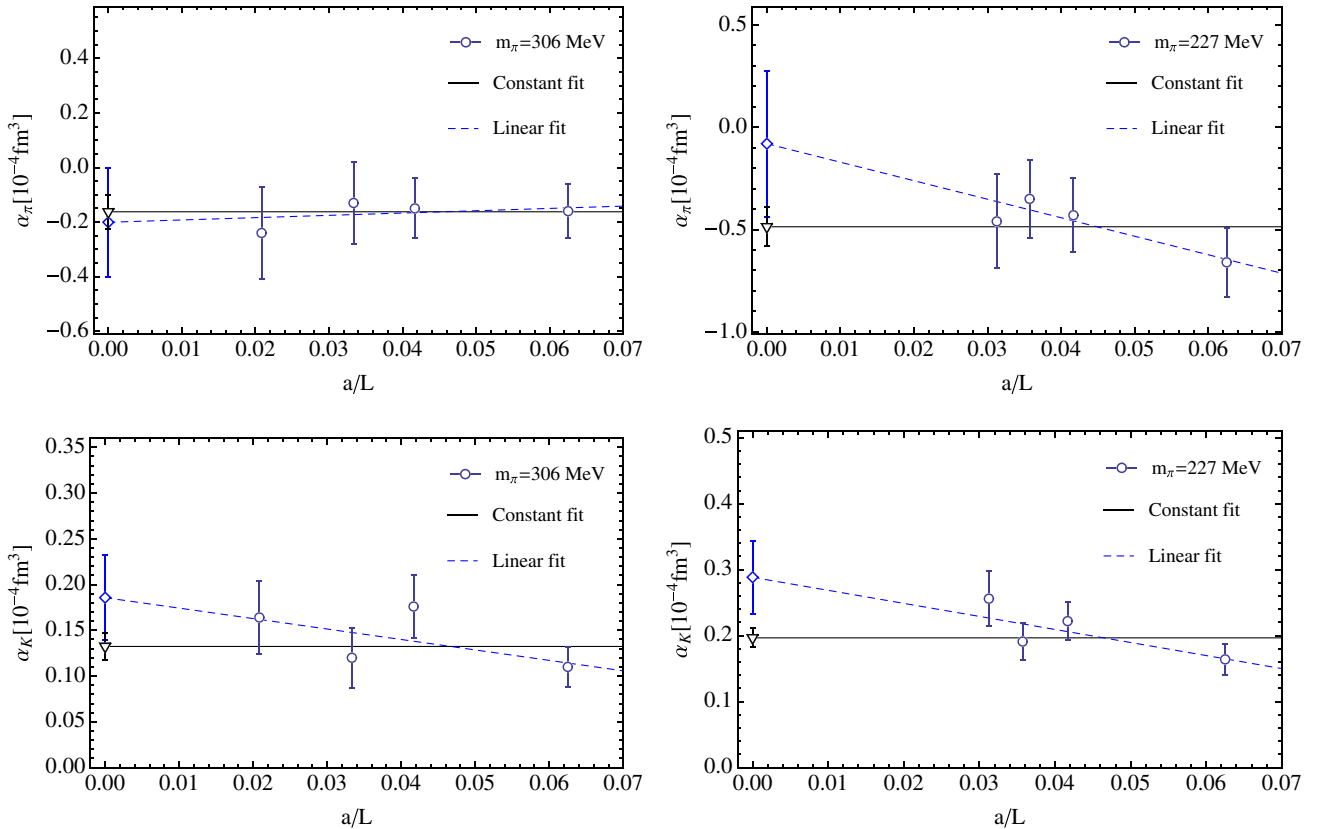


FIG. 3. Infinite volume extrapolation for pion (top) and kaon (bottom) polarizability for $m_\pi = 306$ MeV (left) and $m_\pi = 227$ MeV (right). The two lines are infinite volume extrapolations using a constant (solid line) or a linear (dashed line) fit.

TABLE IV. Infinite volume extrapolation results for the pion with three different fit models. The polarizabilities α_π are reported in units of 10^{-4} fm^3 .

	306 MeV			227 MeV		
	α_π	χ^2	AIC	α_π	χ^2	AIC
Constant	-0.16(6)	0.27	2.27	-0.486(94)	1.67	3.67
Linear	-0.20(20)	0.23	4.23	-0.08(36)	0.29	4.29
Quadratic	-0.44(61)	0.06	6.06	-1.1(2.5)	0.12	6.12

TABLE V. Infinite volume extrapolation results for the kaon with three different fit models. The polarizabilities α_{K^0} are reported in units of 10^{-4} fm^3 .

	306 MeV			227 MeV		
	α_{K^0}	χ^2	AIC	α_{K^0}	χ^2	AIC
Constant	0.132(15)	3.45	5.45	0.197(14)	4.65	6.65
Linear	0.186(47)	1.98	5.98	0.289(55)	1.71	5.71
Quadratic	0.12(15)	1.80	7.8	0.29(42)	1.71	7.71

A. Neutron

The extrapolation results for the neutron polarizability are tabulated in Table III. Figure 1 plots our polarizability results along with the linear and quadratic fits which had the smallest values for the AIC and good χ^2 values. Both the linear and quadratic models produced consistent results. However, the linear model produces a smaller value for the AIC which indicates that the quadratic model may be overfitting the data. We will use the linear infinite volume results when discussing the chiral behavior of the neutron.

The volume dependence analysis assumes that the finite size effects, due to the electric field, are determined by the size of the lattice parallel to the applied field (which is in the x direction for this work). To verify this, we take our

EN4 lattice which has the spatial dimension 48×24^2 and place the electric field along the y direction which has only 24 lattice units. We choose this ensemble because the difference in the x and y directions are the largest which gives us the best comparison. We expect our results to be comparable to the results of the EN2 ensemble which has the spatial dimension 24×24^2 . We find $\bar{\alpha}_n = 2.25(25) \times 10^{-4} \text{ fm}^3$, which is statistically equivalent to the polarizability for the EN2 and significantly different from the case where we place the field along the $N_x = 48$ direction. Figure 2 displays the comparison. We conclude that the finite volume effects associated with the directions perpendicular to the field are negligible.

B. Pion and kaon

The volume dependence analysis for the pion and kaon proceeds in the same way as the neutron. Figure 3 shows our extracted polarizabilities as a function of $1/L$ for the pion (top plots) and kaon (bottom plots). We also plot the results of the constant and linear extrapolations which were the two models with the smallest values for the AIC. The results of the extrapolation are tabulated in Tables IV and V. For the pion, we find that the constant fit model gives the smallest combined AIC values. For the kaon at $m_\pi = 306 \text{ MeV}$, the constant model gives a smaller value of the AIC than the linear model. However, the combined result for both pion masses—the AIC coefficient for the combined fit is the sum of coefficients for the individual fits—are smaller for the linear model. We therefore use the linear model for the kaon.

IV. DISCUSSION

In this section, we discuss our infinite volume results for the polarizability of the neutral pion and kaon and neutron in the context of other calculations on the lattice, χ PT, and experiment.

TABLE VI. Electric polarizabilities, energy shifts due to the field, energies computed with no external field, and masses extracted from boxes with periodic boundary conditions for the pion, kaon, and neutron for the eight ensembles used in this study.

	Hadron	EN1	EN2	EN3	EN4	EN5	EN6	EN7	EN8
$\alpha[10^{-4} \text{ fm}^3]$	π	-0.160(10)	-0.15(11)	-0.13(15)	-0.24(0.17)	-0.66(17)	-0.43(18)	-0.35(19)	-0.46(23)
	K	0.110(22)	0.176(34)	0.120(33)	0.164(40)	0.164(24)	0.222(29)	0.191(28)	0.256(42)
	n	1.66(19)	2.23(18)	2.69(37)	3.05(31)	1.86(38)	3.06(37)	3.00(59)	4.26(65)
$a\delta E [\times 10^{-8}]$	π	-3.15(2.00)	-3.69(2.77)	-3.40(4.13)	-7.13(5.09)	-11.92(3.07)	-10.01(4.24)	-9.0(4.8)	-12.50(6.27)
	K	2.83(57)	5.14(99)	3.47(1.00)	5.22(1.28)	4.84(72)	7.14(94)	6.22(91)	8.82(1.47)
	n	33.1(5.0)	53.4(5.4)	72.6(12)	78.9(9.1)	41.65(11.2)	86.2(12.5)	87.8(20.0)	125.3(20.7)
aE	π	0.322(35)	0.251(9)	0.2362(9)	0.2084(9)	0.276(6)	0.207(1)	0.184(1)	0.176(1)
	K	0.401(2)	0.3515(8)	0.3566(8)	0.3241(7)	0.433(1)	0.3952(6)	0.392(2)	0.3711(10)
	n	0.768(16)	0.696(9)	0.658(10)	0.689(2)	0.710(6)	0.634(4)	0.610(4)	0.619(7)
am	π	0.1986(22)	0.1932(7)	0.1934(8)	0.1938(8)	0.145(3)	0.140(1)	0.138(1)	0.1391(8)
	K	0.3235(15)	0.3220(7)	0.3228(8)	0.3229(7)	0.372(1)	0.3698(6)	0.371(2)	0.372(1)
	n	0.642(11)	0.644(6)	0.657(8)	0.647(4)	0.622(20)	0.618(13)	0.620(23)	0.60(3)

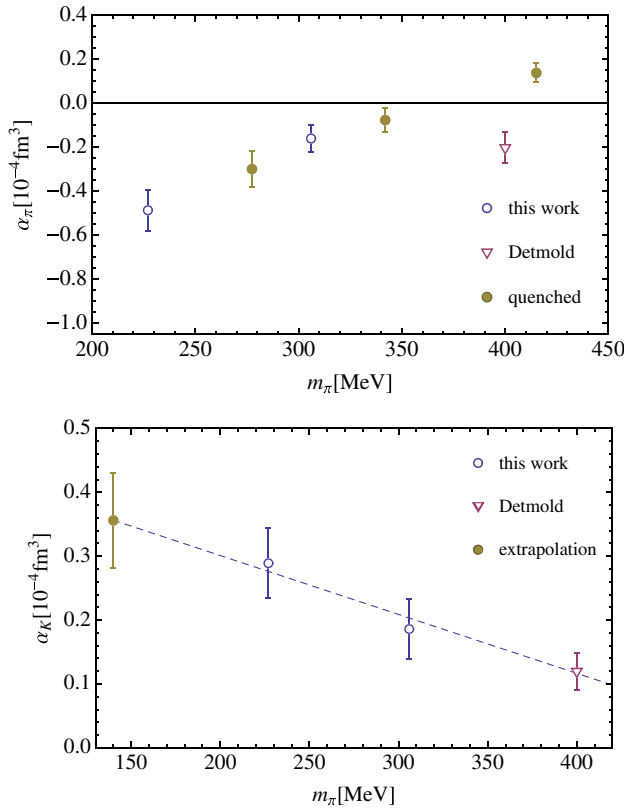


FIG. 4. Top: Neutral pion polarizability as a function of the quark mass. The circles are quenched results found in Ref. [3], and the triangle is the value determined in Ref. [2]. Bottom: Neutral kaon polarizability along with a chiral extrapolation which includes the value determined in Ref. [2].

For the neutral pion, the results are summarized in the top panel of Fig. 4. In addition to our dynamical results, we also show the infinite volume results from our quenched study [3]. Since the finite volume corrections are insignificant, the conclusions from our recent study [1] are unchanged; the polarizability depends very little on the mass of the sea quarks, but it changes as we vary the mass of the valence quarks. The puzzling feature persists: the neutral pion polarizability becomes negative for $m_\pi \approx 350$ MeV, and its magnitude increases as we approach the physical point. The negative trend was also observed by Detmold *et al.* [2] as indicated by their result (the blue triangle) at $m_\pi = 400$ MeV on the same plot. It was pointed out in Ref. [2] that the negative value is inconsistent with expectations from χ PT when only the connected part of the correlator is included, as is the case in both lattice calculations. It was speculated in Ref. [2] that the negative value could arise due to finite volume effects. Our infinite volume results demonstrate that it does not seem to be the case. Other effects might be at play. One possible contribution to this discrepancy is the fact that the sea quarks are electrically neutral in these studies. We have investigated the effects of charging the sea quarks [8], and our initial results hint at this scenario; we found that the

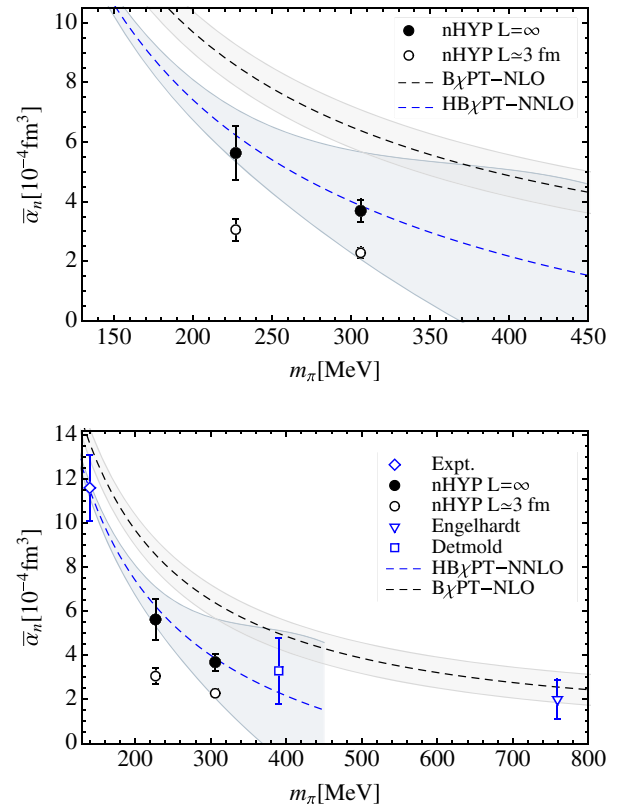


FIG. 5. Top: Neutron polarizability as a function of quark mass. The black empty circles are our finite volume results presented in Ref. [1], and the full circles are our infinite volume results. The dashed lines are two different χ PT calculations: HB χ PT-NNLO [7] and B χ PT-NLO [4]. The uncertainties in the curves are indicated by the shaded regions. Bottom: Comparison with the experimental value and two other lattice calculations [23] and [24].

neutral pion polarizability changes sign as we charge the sea quarks, albeit still with large statistical errors. Efforts are under way to reduce the errors. Note that there remains a bit of disagreement between the trend suggested by our results and the data from Ref. [2]. It is not clear whether the disagreement is due to finite volume effects, discretization errors (we use different actions), or statistical fluctuations. Recently, it was suggested that discretization errors present for Wilson-type fermions used in this study and the other mentioned above might be responsible for these puzzles [21]. The background field changes the value of the additive mass renormalization, and this might lead to energy shifts in hadron mass unrelated to polarizabilities. A continuum limit study is required to determine whether this effect is large enough to explain these puzzles. Ultimately, the disconnected contribution must also be included to complete the picture for the neutral pion polarizability.

For a neutral kaon, our results are presented in the bottom panel of Fig. 4. In contrast to the pion case, the neutral kaon has a stronger dependence on the sea quarks.

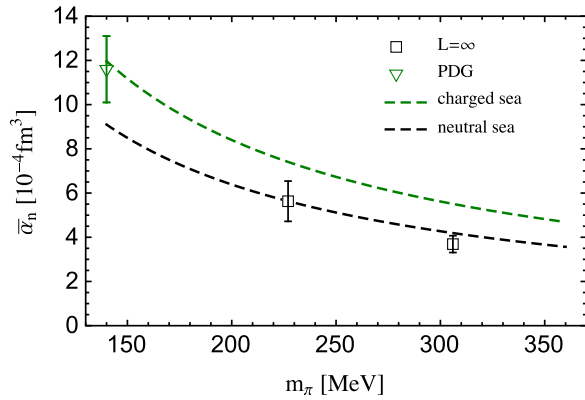


FIG. 6. Expected sea quark charging effects in the neutron polarizability. Our infinite volume results are plotted along with the χ PT predictions from Ref. [17] with neutral and charged sea quarks.

In our previous study, we performed a chiral extrapolation, and we found $\alpha_{K^0} = 0.269(43)$ in units of 10^{-4} fm^3 [1]. We perform the same chiral extrapolation using a linear ansatz in m_π but now use our infinite volume values. We include the value determined by Detmold *et al.* [2] since the finite volume corrections decrease with increasing m_π and we expect it to be negligible at 400 MeV. We find $\alpha_{K^0} = 0.356(74) \times 10^{-4} \text{ fm}^3$, only slightly higher than the finite volume value, suggesting that the finite volume corrections are small for the kaon. The relative smallness of the neutral kaon polarizability is consistent with χ PT which predicts a vanishing value at the one-loop level, even with electrically neutral sea quarks [22].

We turn the discussion now to the neutron. In the top panel of Fig. 5, we display the neutron electric polarizability as a function of m_π . We compare our results to two different χ PT curves: a N²LO calculation using a nonrelativistic form for some of the propagators (HB χ PT-NNLO) [7] and a NLO result that uses relativistic propagators (B χ PT-NLO) [4]. We see that the value for $m_\pi = 227$ MeV computed on a box with $L \approx 3$ fm disagrees with both curves. After correcting for the finite volume effects, our results agree very well with the HB χ PT-NNLO curve. In the right panel of Fig. 5, we show our results together with the experimental value and compare them with two other lattice results [23,24] obtained on finite lattices. We see that our results have significantly smaller statistical errors even though they are computed using smaller pion masses and they are extrapolated to infinite volume.

This analysis demonstrates that finite volume effects are very important for neutron polarizability. We expect that any other systematic effects are small and that the calculation, for the pion masses used in this study, is nearly complete. The discretization effects are expected to be of the order of 1% as experience with similar actions indicates [25]. The only remaining significant systematic error comes from neglecting the charge of the sea quarks. For the EN2

ensemble, the correction was already computed [26]. The effect was found to be small, similar to the size of statistical errors. This is also supported by a partially quenched χ PT calculation [17]; using the formulas derived in that paper, we find that for $140 \text{ MeV} \leq m_\pi \leq 300 \text{ MeV}$ neutron electric polarizability increases by a value of 1.5 to 2 in units of 10^{-4} fm^3 , when the sea quark charges are turned on. This prediction is shown in Fig. 6. To produce these curves, we used the parameters suggested in the paper, but we had to set $|g_{N\Delta}| = 0.25$ (a value outside the expected range) to make the “charged” curve go through the experimental point. Our results, which were derived using neutral sea quarks, agree very well with the “neutral” curve.

Before we conclude, we would like to discuss the systematic error associated with the choice for the fitting window. To gauge this error, we extract the energy shift using two other fit windows—one shifted by one unit in the positive time direction and one shifted in the negative direction—and repeated the analysis. For the infinite volume extrapolations, we use a linear fit for neutron and kaon and a constant fit for the pion. The systematic error quoted here is the standard deviation of the final results extracted using our three fit windows. For the neutron, we have $\bar{\alpha}_n = 3.67(38)(27)$ and $\bar{\alpha}_n = 5.62(91)(89)$ for $m_\pi = 306$ MeV and 227 MeV, respectively. Similarly, for the neutral pion, we have $\alpha_\pi = -0.16(6)(6)$ and $\alpha_\pi = -0.486(94)(46)$, and for neutral kaon, we have $\alpha_{K^0} = 0.186(47)(29)$ and $\alpha_{K^0} = 0.289(55)(52)$. The polarizability for the neutral kaon at the physical point is $\alpha_{K^0} = 0.356(74)(46)$. All the results here are presented in natural units for hadron polarizabilities of 10^{-4} fm^3 , with the first error being stochastic and the second being the systematic due to the fit window. Note that this systematic is smaller or comparable with the stochastic error.

V. CONCLUSION

We have analyzed the volume dependence of the electric polarizability α for the neutral pion, neutral kaon, and neutron on four different lattice volumes at two light quark masses corresponding to pion masses of 306 and 227 MeV, in the mass region where chiral perturbation theory predictions are most likely reliable. The novel aspect of this calculation is that it is the first systematic study of finite volume effects on polarizability in the presence of Dirichlet boundaries. These boundary conditions allow for very weak electric fields in order to avoid a possible vacuum instability. We also estimate the effects of charging the sea quarks.

For the neutral pion, our results confirm that the negative trend in the polarizability is not due to finite volume effects. Rather, preliminary results indicate that the behavior is most likely due to the neglecting of the charge in the sea quarks. To compare with experiment, the disconnected

contribution to the neutral pion polarizability will have to be included.

For the neutral kaon, we performed a similar chiral extrapolation to the physical point as was done in Ref. [1] but used the infinite volume extrapolations for α_{K^0} . We find $\alpha_{K^0}(m_\pi^{\text{phys}}) = 0.356(74) \times 10^{-4} \text{ fm}^3$ which is only slightly higher than the value determined on box sizes $L \approx 3 \text{ fm}$. This indicates that the volume effects for the kaon polarizability are relatively mild.

For the neutron, we find that the finite volume corrections are important. After removing them, our results are now in excellent agreement with predictions from chiral perturbation theory. We have not yet performed a chiral extrapolation for the neutron since we still need to include the corrections due to the interactions between the sea quarks and the background field. We are currently investigating the best method to do the extrapolation using input from χ PT.

We are in the process of including the effect of charged sea quarks in the analysis for all our ensembles. Along with

the infinite volume extrapolation done here, this is part of our program geared toward determining the polarizabilities at the physical point.

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