Role of the spin connection in quantum Hall effect: A perspective from geometric quantization

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The topological terms of the bulk effective action for the integer quantum Hall effect, capturing the dynamics of gauge and gravitational fluctuations, reveal a curiosity, namely, the Abelian potential for the magnetic field appears in a particular combination with the Abelian spin connection. This seems to hold for the quantum Hall effect on complex projective spaces of arbitrary dimensions. An interpretation of this in terms of the algebra of symplectic transformations is given. This can also be viewed in terms of the metaplectic correction in geometric quantization.

I. INTRODUCTION

There has recently been a lot of research elucidating the effective action for the quantum Hall effect on manifolds of different geometries and topologies [1–6]. This was partly motivated by the fact that, even though from the experimental point of view, we may only be interested in spaces of trivial topology, nontrivial geometry and topology can shed light on various physical quantities such as transport coefficients. The mathematical structures involved have also been of interest in their own right. In two dimensions, the effective action under discussion is best represented as an expansion in powers of the derivatives of external fields, such as the electromagnetic and gravitational fields. The leading terms of such a series are topological in character, expressed as a sum of Chern-Simons type terms in the fields. The term involving just the electromagnetic field and the mixed term involving both electromagnetic and gravitational fields have been known for a long time [2,3]. The addition of the purely gravitational part and the generalization to include higher Landau levels revealed an interesting curiosity [4–6]. Apart from the gravitational framing anomaly, the electromagnetic field and the spin connection of the manifold combine in a particular way [4]. It is possible to understand the way this combination comes about, both in terms of isolating the framing anomaly and in terms of the gravitational anomaly due to possible edge modes in the case of a droplet. But a more general point of view, based on ideas of geometric quantization, is the subject of this paper.

The quantum Hall effect has also been generalized to higher dimensions [7–13] for a number of different spaces such as the four-sphere [7] and complex projective spaces [8]. Unlike the two-dimensional case, the background gauge fields, the analogue of the electromagnetic field,

can be Abelian or non-Abelian. It is useful to characterize the dynamics of a quantum Hall state by an effective action. The part of this effective action which describes the boundary excitations was obtained in Refs. [10,11] as a Wess-Zumino-Witten theory, gauged with respect to the fixed background gauge field. If fluctuations in the gauge field are possible, there is also nontrivial bulk dynamics. The leading terms of the bulk part of the effective action in this case are topological, being of the Chern-Simons type. These bulk terms involving the gauge field were given in Refs. [12,13], and the general boundary action allowing for fluctuations of the gauge field was given in Ref. [12]. Cancellation of anomalies occurs between the bulk and boundary terms. More recently, we have obtained a general form of the topological terms of the bulk effective action valid in all dimensions [14], including fluctuations in the gravitational and gauge fields. This is done by using the index density in the Dolbeault index theorem as an effective expression for the charge density and then integrating up to obtain the action. The purely gravitational terms can also be added via the standard descent procedure. In expanding out the various terms for the complex projective spaces, one again notices the same curiosity mentioned above: the Abelian part of the gauge field and the Abelian part of the spin connection appear in a particular combination. The recurrence of this combination in this generalized context sharpens the need for a deeper explanation.

It is possible to view the lowest Landau level of a quantum Hall system on a Kähler manifold as the Hilbert space obtained by the geometric quantization of a symplectic form which is a suitable multiple of the Kähler form. One of the subtleties of geometric quantization is the appearance of the metaplectic structure [15–17]. This arises because we need a quantization procedure which can accommodate changes of polarization, since physical results should not depend on the polarization one uses. This leads to the introduction of half-forms. The effect of this augmented formalism is that the operator expressions

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for certain classical functions get corrections, the so-called metaplectic correction. One can also understand this correction in terms of the realization, at the quantum level, of the algebra of symplectic transformations. We show that the particular combination of the Abelian part of the gauge field and the spin connection arises in this way. These are the main results of this paper.

In the next section, we review the effective action and formulate in more precise terms the problem we are addressing. In Sec. III, we consider the lowest Landau level using geometric quantization and show the role of the symplectic transformations and how the metaplectic correction emerges.

II. THE EFFECTIVE ACTION AND THE STATEMENT OF THE PROBLEM

We start by recalling some of the essential features of the problem. We will consider the quantum Hall effect on a complex Kähler manifold K of complex dimension k (so that the relevant spacetime is $\mathbb{R} \times K$). The background gauge fields are valued in the algebra of $U(k)$ (which is the holonomy group for K). The Abelian part of the background gauge field will be a multiple of the Kähler form Ω on K. (We are interested in the response of the system to fluctuations of all gauge fields and gravitational fields around the background values). The standard approach is to set up the Hamiltonian for a single particle (corresponding to a field of given spin and charges) and solve the Landau problem, construct multiparticle wave functions, etc. However, if we are only interested in the lowest Landau level, the wave functions can be obtained by the geometric quantization of a certain symplectic form. We will consider these two aspects of the Hall effect here.

The single particle Hamiltonian, apart from any additional potential energy which may be needed for confinement of the particles to a droplet, will be proportional to the Laplace operator on K,

$$H\Psi = -\frac{1}{4m}(D_{+i}D_{-i} + D_{-i}D_{+i})\Psi \tag{1}$$

where $D_{\pm i}$ are (holomorphic/antiholomorphic) derivatives on K, suitably covariantized in terms of their action on Ψ. The eigenstates of this Hamiltonian fall into distinct Landau levels. The lowest Landau level will obey a holomorphicity condition,

$$D_{-i}\Psi_{\text{LLL}} = 0. \tag{2}$$

The number of solutions to this condition, and therefore the degeneracy of the lowest Landau level, is given by the Dolbeault index theorem [18]. Thus, for the case of a completely filled lowest Landau level, where all the available states are occupied by (spinless) electrons, each carrying a unit charge, the index density is identical to the charge density, except for terms which can integrate to zero. In the case of manifolds which are group cosets, such as for $\mathbb{CP}^k = SU(k+1)/U(k)$, the solutions to Eq. (2) can be constructed from group representation theory [10].

While most of the discussion will be of general validity, it is useful to focus on a specific family of manifolds to see how details work out. We will use $\mathbb{CP}^k$ for most of what we do. This manifold has constant Riemannian curvatures valued in the algebra of $U(k)$, and the background values for the gauge fields are taken to be proportional to the curvatures. This means also that we can have an Abelian part for the background gauge field [corresponding to the $U(1)$ part of $U(k) \sim SU(k) \times U(1)$] and non-Abelian gauge fields valued in $SU(k)$. The Landau problem of particles in a constant background gauge field is thus obtained.

Points on the manifold $\mathbb{CP}^k$ can be parametrized by an element g of $SU(k+1)$, with the identification $g \sim gh$, $h \in U(k) \subset SU(k+1)$, so that wave functions can be viewed as functions on $SU(k+1)$ with specified transformation properties under $U(k)$. Let t_A, $A = 1, 2, \ldots, k^2 + 2k$, denote a basis of Hermitian $(k+1) \times (k+1)$ matrices viewed as the fundamental representation of the Lie algebra of $SU(k+1)$, with the normalization $\text{Tr}(t_A t_B) = \frac{1}{2}\delta_{AB}$. The commutation rules of the Lie algebra are of the form $[t_A, t_B] = if_{ABC}t_C$, with structure constants f_{ABC}. The generators t_A can be split into a set of generators for the $SU(k)$ part of $U(k) \subset SU(k+1)$ (denoted by t_a, $a = 1, 2, \ldots, k^2 - 1$) and the generator for the $U(1)$ direction in $U(k)$ (denoted by t_{k^2+2k}). The coset generators split into conjugate sets $t_{\pm i}$, $i = 1, 2, \ldots, k$.

The matrix elements of g for all the finite-dimensional representations form a basis for functions on the group $SU(k+1)$. These are the Wigner $\mathcal{D}$ functions, which are defined as

$$\mathcal{D}^{(J)}_{\mathfrak{l};\mathfrak{r}}(g) = \langle J, \mathfrak{l}|g|J, \mathfrak{r}\rangle \tag{3}$$

where $\mathfrak{l}$, $\mathfrak{r}$ stand for two sets of quantum numbers specifying the states within the representation. Further, we can define the left and right translation operators on g by

$$\hat{L}_A g = T_A g, \qquad \hat{R}_A g = g T_A \tag{4}$$

where T_A are the $SU(k+1)$ generators in the representation to which g belongs.

We identify the covariant derivatives on $\mathbb{CP}^k$ in terms of the right translation operators on g as

$$D_{\pm i} = i\frac{\hat{R}_{\pm i}}{r} \tag{5}$$

where r is a parameter with the dimensions of length, defining the scale of the manifold. The commutator $[\hat{R}_{+i}, \hat{R}_{-j}]$ is in the algebra of $U(k)$. Since this is

proportional to the commutator of the derivatives, we can specify the constant background fields by the conditions

$$\hat{R}_a \Psi^J_{m;\alpha}(g) = (T_a)_{\alpha\beta} \Psi^J_{m;\beta}(g), \qquad (6)$$

$$\hat{R}_{k^2+2k} \Psi^J_{m;\alpha}(g) = -\frac{nk}{\sqrt{2k(k+1)}} \Psi^J_{m;\alpha}(g) \qquad (7)$$

where $m = 1, \ldots, \dim J$ gives the degeneracy of the Landau level. The wave functions $\Psi^J_{m;\alpha}$ transform on the right as a representation $\tilde{J}$ of $SU(k)$, with $(T_a)_{\alpha\beta}$ being the representation matrices. Likewise, Eq. (7) shows that $\Psi^J_{m;\alpha}$ carry a particular charge for $U(1) \subset U(k)$; n is the strength of the Abelian part of the background gauge field. (The corresponding field strength is $n\Omega$, where Ω is the Kähler form and n is an integer by the Dirac quantization condition.) α, β label states within the $SU(k)$ representation $\tilde{J}$ [which is itself contained in the representation J of $SU(k+1)$]. The index α carried by the wave functions $\Psi^J_{m;\alpha}(g)$ is basically the gauge index. The wave functions are sections of a $U(k)$ bundle on $\mathbb{CP}^k$. By virtue of Eqs. (5), (6), and (7), we can write

$$H\Psi = \frac{1}{2mr^2}\left[\hat{R}_{+i}\hat{R}_{-i} + \frac{i}{2}f_{-i,+i,a}T_a \right.$$
$$\left. + \frac{i}{2}f_{-i,+i,k^2+2k}\left(-\frac{nk}{\sqrt{2k(k+1)}}\right)\right]\Psi. \qquad (8)$$

The Hamiltonian H is proportional to $\sum_i \hat{R}_{+i}\hat{R}_{-i}$, apart from additive constants. The lowest Landau level evidently satisfies

$$\hat{R}_{-i}\Psi = 0. \qquad (9)$$

This is the holomorphicity condition (2) in terms of the group translation operators. Writing $\Psi^J_{m;\alpha}(g) \sim \langle J, m|g|J, \alpha, w\rangle$, the conditions (9), (6), and (7) become

$$\hat{R}_{-i}|J, \alpha, w\rangle = 0, \qquad (10)$$

$$\hat{R}_a|J, \alpha, w\rangle = (T_a)_{\alpha\beta}|J, \beta, w\rangle,$$

$$\hat{R}_{k^2+2k}|J, \alpha, w\rangle = -\frac{nk}{\sqrt{2k(k+1)}}|J, \alpha, w\rangle. \qquad (11)$$

According to Eq. (10), for the lowest Landau level, the state $|J, \alpha, w\rangle$ must be a lowest weight state in the representation J, with weight $w = \frac{-nk}{\sqrt{2k(k+1)}}$, specified by Eq. (11). The representation J is completely fixed by Eqs. (10) and (11).

We now recapitulate the essential features of the effective action from Ref. [14]. In that paper, we argued that higher Landau levels for spinless electrons, say the sth level, could be viewed for the purpose of the effective action, as the

lowest Landau level for higher-spin fields. For the case of $\mathbb{CP}^k$, these higher-spin fields couple to the constant background field of the form

$$\bar{\mathcal{F}} = -i(n\Omega\mathbf{1} + s\bar{R}^0\mathbf{1} + \bar{R}^a T_a) = \bar{F} + \bar{\mathcal{R}}_s \qquad (12)$$

where $\bar{R}^0$, $\bar{R}^a$ are the curvature components for $\mathbb{CP}^k$ corresponding to the $U(1)$ and $SU(k)$ subgroups of the holonomy group $SU(k+1)$ and T_a, $\mathbf{1}$ are $U(k)$ matrices in the appropriate spin representation, with s being the $U(1)$ spin. We will also include fluctuations around these background values in what follows. The strategy in Ref. [14] was to consider the number of solutions to the holomorphicity condition (2) as given by the Dolbeault index theorem [18],

$$\text{Index}(\bar{\partial}_V) = \int_K \text{td}(T_c K) \wedge \text{ch}(S \otimes V) \qquad (13)$$

where ch denotes the Chern character given by

$$\text{ch}(S \otimes V) = \text{Tr}(e^{i(\mathcal{R}_s + F)/2\pi}) = \text{ch}(S) \wedge \text{ch}(V). \qquad (14)$$

In this equation, $\mathcal{R}_s$ is the curvature in the representation appropriate to the chosen spin and F is in the representation for the (gauge) charge rotations of the particles under consideration. Further, in Eq. (13), $\text{td}(T_c K)$ denotes the Todd class for the complex tangent bundle of the phase space, given explicitly by traces of products of curvatures. Explicit formulas are given in many places, including Refs. [18] and [14]. Taking the index density as the charge density we can derive the effective action for a completely filled lowest Landau level by "integrating" the index density with respect to the time component of the Abelian gauge field A_0 and making the result covariant [14]. The effective action is then given by

$$S^{(s)}_{2k+1} = \int \left[\text{td}(T_c K) \wedge \sum_p (CS)_{2p+1}(\omega_s + A)\right]_{2k+1}$$
$$+ 2\pi \int \Omega^{\text{grav}}_{2k+1} + \tilde{S}. \qquad (15)$$

Here ω_s is the spin connection corresponding to $\mathcal{R}_s$ and A is the connection for the gauge field F. $\Omega^{\text{grav}}_{2k+1}$ is defined by

$$[\text{td}(T_c K) \wedge \text{ch}(S)]_{2k+2}$$
$$= d\Omega^{\text{grav}}_{2k+1} + \frac{1}{2\pi} d\left[\text{td}(T_c K) \wedge \sum_p (CS)_{2p+1}(\omega_s)\right]_{2k+1}. \qquad (16)$$

Thus $d\Omega^{\text{grav}}_{2k+1}$ gives the $(2k + 2)$-form in $\text{td}(T_c K)$. Further, we note that the Chern-Simons term is related to the curvatures by

$$\frac{1}{2\pi}d(CS)_{2p+1}(A) = \frac{1}{(p+1)!}\text{Tr}\left(\frac{iF}{2\pi}\right)^{p+1}. \quad (17)$$

Also, $\tilde{S}$ in Eq. (15) refers to nontopological terms including those due to the fact that the charge density could differ from the index density as given by the integrand in Eq. (13) by terms which are total derivatives integrating to zero. These terms are expected to be of higher order in a derivative expansion for the external fields.

Various special cases of this action have been discussed in Ref. [14]. For the present discussion, we will consider $\mathbb{CP}^k$, $k = 1, 2, 3$. For simplicity, we will consider only the spinless case so that $s = 0$ (i.e. only the lowest Landau level) with the background gauge fields being purely Abelian [valued in $U(1)$]. This will suffice to illustrate the main point. For the $\overline{2+1}$-dimensional case, the action becomes

$$S_{3d} = \frac{i^2}{4\pi}\int\left\{\left(A+\frac{1}{2}\omega\right)d\left(A+\frac{1}{2}\omega\right) - \frac{1}{12}\omega d\omega\right\}. \quad (18)$$

(We may note that this result agrees with Refs. [4–6] as well.) In $4 + 1$ dimensions, we have

$$S_{5d} = \frac{i^3}{(2\pi)^2}\int\left\{\frac{1}{3!}\left(A+\omega^0\right)\left[d\left(A+\omega^0\right)\right]^2 - \frac{1}{12}\left(A+\omega^0\right)\left[(d\omega^0)^2 + \frac{1}{2}\text{Tr}(\tilde{R}\wedge\tilde{R})\right]\right\} \quad (19)$$

where $\tilde{R}$ is the $SU(2)$ part of the curvature and ω^0 is the $U(1)$ part of the spin connection. In $(6 + 1)$ dimensions, the effective action is

$$S_{7d} = \frac{1}{(2\pi)^3}\int\left\{\frac{1}{4!}\left(A+\frac{3}{2}\omega^0\right)\left[d\left(A+\frac{3}{2}\omega^0\right)\right]^3 \right.$$
$$- \frac{1}{16}\left(A+\frac{3}{2}\omega^0\right)d\left(A+\frac{3}{2}\omega^0\right)\left[(d\omega^0)^2 + \frac{1}{3}\text{Tr}(\tilde{R}\wedge\tilde{R})\right]$$
$$\left. + \frac{1}{1920}\omega^0 d\omega^0[17(d\omega^0)^2 + 14\text{Tr}(\tilde{R}\wedge\tilde{R})] + \frac{1}{720}\omega^0\text{Tr}(\tilde{R}\wedge\tilde{R}\wedge\tilde{R})\right\} + \frac{1}{120}\int(CS)_7(\tilde{\omega}) \quad (20)$$

where $\tilde{R}$ is now the $SU(3)$ curvature and $\tilde{\omega}$ is the corresponding connection.

The fields A, ω^0, $\tilde{\omega}$ in Eqs. (18)–(20) include fluctuations around the background values pertinent to $\mathbb{CP}^k$. Notice that the gauge field appears in the combination $A + \frac{k}{2}\omega^0$. Further, even if we set the combination $A + \frac{k}{2}\omega^0$ to zero, there are purely gravitational terms in Eqs. (18)–(20) for $d = 2 + 1$ and $6 + 1$, not for $d = 4 + 1$. It may be possible to understand these leftover purely gravitational terms in terms of the gravitational anomaly due to boundary excitations. Here we are still considering a closed manifold with no boundary, but if we think of enlarging the context by considering a droplet of fermions of finite size, excitations on the edge or boundary of the droplet are possible. These edge modes would be described by a chiral theory in $(2k - 1, 1)$ dimensions, and such a theory can have a gravitational anomaly only if k is an odd integer [19]. The cancellation of the anomaly between the boundary and the bulk would necessitate purely gravitational bulk terms. Once such terms are identified and isolated, it should be possible to see why the remainder of the action involves the combination $A + \frac{k}{2}\omega^0$. Analysis from this point of view, in two dimensions, has been carried out in Refs. [4,5].

But we can ask: is there an independent way of seeing why the combination $A + \frac{k}{2}\omega^0$ is natural? This is the question we seek to address in this paper. If such an argument works out, we may be able to utilize this to shed some light on the nature of the edge modes, if we propose to consider a droplet.

III. THE PERSPECTIVE OF GEOMETRIC QUANTIZATION

As mentioned in the last section, the second way to think about this problem is to focus on the lowest Landau level and obtain the wave functions via geometric quantization [15]. We will be interested in the case of $K = \mathbb{CP}^k$, with the background gauge field being entirely Abelian; i.e., we have a trivial representation for $SU(k)$ in Eq. (11). For the geometric quantization of $\mathbb{CP}^k$, we can consider the symplectic form $n\Omega$, where Ω is the Kähler form. Upon quantization, this leads to the lowest Landau level as given by Eqs. (10) and (11). The holomorphicity condition (10) becomes the Bargmann (or Kähler) polarization condition on the wave functions. An alternative approach is to consider the flat space $\mathbb{C}^{k+1}$, use the obvious symplectic form on this space, carry out the quantization and then reduce via a constraint to obtain results relevant to the projective space.

There are then slightly different ways to argue for the emergence of the combination $A + (k/2)\omega^0$. One way is to start with $\mathbb{C}^{k+1}$, quantize and then require the implementation of a set of symplectic transformations. This can be done via the operators realizing the algebra of the

symplectic transformations. The closure of the algebra will naturally lead to $U(k+1) \sim SU(k+1) \times U(1)$ transformations with a modified operator for the $U(1)$ part. This will ultimately lead to the combination $A + (k/2)\omega^0$. We can then argue that the generators of $U(k+1)$ descend to the $\mathbb{CP}^{k+1}$ space of interest. Another approach would be to consider $\mathbb{C}^{k+1}$ again, and obtain the correction to the generator of the $U(1)$ from "half-forms." Again, one can argue that this descends to $\mathbb{CP}^k$. We will consider these two related ways in turn. A third approach would be to directly start with $\mathbb{CP}^k$ classically and then quantize using "half-forms" and obtain the corrected operators of interest. We will not pursue this here, but it remains an interesting question.

We start with the following symplectic two-form on $\mathbb{C}^{k+1}$:

$$M = idZ_\alpha \wedge d\bar{Z}_\alpha. \tag{21}$$

We can then impose the constraint

$$\bar{Z}_\alpha Z_\alpha - c = 0 \tag{22}$$

for some constant c. The symplectic reduction of $\mathbb{C}^{k+1}$ by this constraint leads to $\mathbb{CP}^k$. In other words, Eq. (22) is to be viewed as a first class constraint in the sense of Dirac's theory of constraints. The condition (22) reduces the space $\mathbb{C}^{k+1}$ to the sphere S^{2k+1} and a gauge-fixing constraint conjugate to Eq. (22) eliminates an overall phase for the Z's, giving $\mathbb{CP}^k$ as S^{2k+1}/S^1.

The quantization of Eq. (21) in the holomorphic polarization leads to the usual coherent state wave functions

$$\Psi = \exp\left(-\frac{1}{2}\bar{Z} \cdot Z\right) h(Z) \tag{23}$$

where $h(Z)$ is holomorphic. The operators corresponding to Z_α, $\bar{Z}_\alpha$ are $a_\alpha^\dagger$, a_α respectively, with $[a_\alpha, a_\beta^\dagger] = \delta_{\alpha\beta}$. The coherent states are of the form

$$|\bar{Z}\rangle = \exp\left(-\frac{1}{2}\bar{Z} \cdot Z\right) e^{\bar{Z} \cdot a^\dagger} |0\rangle \tag{24}$$

where $|0\rangle$ is the Fock vacuum, $a_\alpha |0\rangle = 0$. The quantum version of the constraint (22) is of the form $a^\dagger \cdot a - c'$, for some value c'. We consider the reduction of the Hilbert space for Eq. (21) by this constraint, choosing a particular value $c' = n$. This means that the states should now obey

$$(a^\dagger \cdot a - n)|n\rangle = 0, \tag{25}$$

so that $|n\rangle$ is given by $a_{\alpha_1}^\dagger a_{\alpha_2}^\dagger \ldots a_{\alpha_n}^\dagger |0\rangle$. The wave functions corresponding to this are, up to normalization,

$$\Psi \sim \langle Z|a_{\alpha_1}^\dagger a_{\alpha_2}^\dagger \ldots a_{\alpha_n}^\dagger |0\rangle \sim Z_{\alpha_1} Z_{\alpha_2} \ldots Z_{\alpha_n} e^{-\frac{1}{2}\bar{Z} \cdot Z}. \tag{26}$$

If we relate Z_α to an $SU(k+1)$ element $g_{\alpha,k+1}$ via $Z_\alpha = \lambda g_{\alpha,k+1}$, then these wave functions are seen to be

proportional to the Wigner functions $\langle J, \mathfrak{l}|g|J, 0, w\rangle$; the functions $\Psi \sim \langle J, \mathfrak{l}|g|J, 0, w\rangle$ satisfy Eqs. (6) and (7) with $\hat{R}_a \Psi = 0$.

Let us now start again with Eq. (21) before the imposition of the constraint (22). Rather than using the complex coordinates Z, $\bar{Z}$, let us consider using real coordinates p_α, q_α, with

$$Z_\alpha = \frac{1}{\sqrt{2}}(p_\alpha + iq_\alpha), \qquad \bar{Z}_\alpha = \frac{1}{\sqrt{2}}(p_\alpha - iq_\alpha). \tag{27}$$

This is equivalent to viewing $\mathbb{C}^{k+1}$ as $\mathbb{R}^{2k+2}$; the two-form M is now $M = dp_\alpha \wedge dq_\alpha$. One could also consider new complex combinations, say, ξ_α, $\bar{\xi}_\alpha$ of p_α, q_α, other than the ones in Eq. (27), and consider the holomorphic quantization of M, with holomorphicity being defined by the new choice. For example, if we choose

$$\xi_\alpha = \frac{1}{\sqrt{2}}[p_\alpha + G_{\alpha\beta}p_\beta + H_{\alpha\beta}p_\beta + i(q_\alpha + G_{\alpha\beta}q_\beta - H_{\alpha\beta}q_\beta)]$$

$$\bar{\xi}_\alpha = \frac{1}{\sqrt{2}}[p_\alpha + G_{\alpha\beta}^* p_\beta + H_{\alpha\beta}^* p_\beta - i(q_\alpha + G_{\alpha\beta}^* q_\beta - H_{\alpha\beta}^* q_\beta)]$$

$$\tag{28}$$

where $G_{\alpha\beta}$ is anti-Hermitian, $G_{\alpha\beta}^* = -G_{\beta\alpha}$, and $H_{\alpha\beta}$ is symmetric, it is easily verified that

$$M = dp_\alpha \wedge dq_\alpha = id\xi_\alpha \wedge d\bar{\xi}_\alpha \tag{29}$$

to linear order in G, H. If we quantize using coherent states defined by the ξ, $\bar{\xi}$ or by the original Z, $\bar{Z}$, the quantum theory should be the same, since they both correspond to the same $M = dp_\alpha \wedge dq_\alpha$. This means that we should be able to implement the change from Z, $\bar{Z}$ to ξ, $\bar{\xi}$ by a unitary transformation in the quantum theory.

To see how this works out, we first write ξ_α, $\bar{\xi}_\alpha$ directly in terms of the Z_α, $\bar{Z}_\alpha$ as

$$\begin{pmatrix} \xi_\alpha \\ \bar{\xi}_\alpha \end{pmatrix} = \left\{ \begin{bmatrix} \delta_{\alpha\beta} & 0 \\ 0 & \delta_{\alpha\beta} \end{bmatrix} + \begin{bmatrix} G_{\alpha\beta} & H_{\alpha\beta} \\ H_{\alpha\beta}^* & G_{\alpha\beta}^* \end{bmatrix} \right\} \begin{pmatrix} Z_\beta \\ \bar{Z}_\beta \end{pmatrix}. \tag{30}$$

This is the infinitesimal transformation, since we only kept $G_{\alpha\beta}$, $H_{\alpha\beta}$ to linear order in verifying Eq. (29). But finite transformations can be constructed by a sequence of infinitesimal transformations and they too preserve Eq. (29). The finite transformations corresponding to Eq. (30) form the symplectic group $Sp(k+1, \mathbb{R})$. The classical generating function for the G- and H-type transformations are

$$G = iG_{\alpha\beta}Z_\beta \bar{Z}_\alpha, \qquad H = \frac{i}{2}H_{\alpha\beta}\bar{Z}_\alpha \bar{Z}_\beta, \tag{31}$$

respectively. The quantum versions of these are the operators

$$\hat{G} = iG_{\alpha\beta}a_\beta^\dagger a_\alpha + \text{ordering ambiguities,}$$

$$\hat{H} = \frac{i}{2}H_{\alpha\beta}a_\alpha a_\beta. \qquad (32)$$

There are ordering ambiguities for $\hat{G}$, affecting the terms with $\alpha = \beta$. Classically, the Poisson bracket of $\frac{i}{2}H_{\alpha\beta}\bar{Z}_\alpha\bar{Z}_\beta$ and its conjugate gives the generators of the G-type transformation, with the full algebra being the Lie algebra of $Sp(k+1, \mathbb{R})$. Quantum mechanically, commuting the generator of the H-type transformation and its conjugate we find

$$[a_\alpha a_\beta, a_\gamma^\dagger a_\delta^\dagger] = (\delta_{\alpha\gamma}J_{\delta\beta} + \delta_{\alpha\delta}J_{\gamma\beta} + \delta_{\beta\gamma}J_{\delta\alpha} + \delta_{\beta\delta}J_{\gamma\alpha})$$
$$+ \frac{2}{k+1}(\delta_{\alpha\gamma}\delta_{\beta\delta} + \delta_{\alpha\delta}\delta_{\beta\gamma})Q,$$
$$J_{\alpha\beta} = a_\alpha^\dagger a_\beta - \frac{\delta_{\alpha\beta}}{k+1}a^\dagger \cdot a,$$
$$Q = a^\dagger \cdot a + \frac{1}{2}(k+1). \qquad (33)$$

$J_{\alpha\beta}$ are the generators of $SU(k+1)$ and Q generates a $U(1)$ transformation.

We now want to consider the reduction to $\mathbb{CP}^k$. The key point is that while the generator $\hat{H}$ and its conjugate do not commute with the constraint $a^\dagger \cdot a - n$, $J_{\alpha\beta}$ does commute with it. Thus we expect the action of $J_{\alpha\beta}$ to descend to the case of $\mathbb{CP}^k$. In fact, the $SU(k+1)$ transformations generated by $J_{\alpha\beta}$ are the isometries of the reduced space. Similarly Q commutes with the constraint $a^\dagger \cdot a - n$ and we should expect its action to descend to $\mathbb{CP}^k$ as well. The key point is that the Lie algebra of $Sp(k+1, \mathbb{R})$ at the level of $\mathbb{C}^{k+1}$ chooses a certain operator ordering, giving the unambiguous quantum expressions for the generators, before we consider their descent to $\mathbb{CP}^k$. [The relevance of the $Sp(k+1, \mathbb{R})$ in quantizing Eq. (21) is discussed in Ref. [16]. Our main point is that since $J_{\alpha\beta}, Q$ commute with the constraint (22), we can easily adapt that discussion to the case of $\mathbb{CP}^k$.]

From the commutator of Q with a_α and $a_\alpha^\dagger$, we see that it generates the phase transformation,

$$e^{iQ\theta}a_\alpha e^{-iQ\theta} = e^{-i\theta}a_\alpha, \qquad e^{iQ\theta}a_\alpha^\dagger e^{-iQ\theta} = e^{i\theta}a_\alpha^\dagger. \quad (34)$$

Classically, this is the transformation $Z_\alpha \to e^{i\theta}Z_\alpha$, $\bar{Z}_\alpha \to e^{-i\theta}\bar{Z}_\alpha$. The product $Z_{\alpha_1}Z_{\alpha_2}...Z_{\alpha_n}$ gets an overall phase $e^{i\theta n}$. However, notice that Q has a value $n + \frac{1}{2}(k+1)$ for the state $a_{\alpha_1}^\dagger a_{\alpha_2}^\dagger...a_{\alpha_n}^\dagger|0\rangle$. Thus there is an additional "zero-point" value for Q. This is the "correction" we are after.

To complete this part of the story, we now show that this extra "zero-point" charge couples to the spin connection when gravitational fluctuations are introduced. The identification $Z_\alpha \sim g_{\alpha,k+1}$ shows that the phase transformation $Z_\alpha \to e^{i\theta}Z_\alpha$ is equivalent to a right transformation of g by an element of $U(1) \subset U(k)$. This $U(1)$ is the transformation generated by $\hat{R}_{k^2+2k}$. In the description of $\mathbb{CP}^k$

as $SU(k+1)/U(k)$, with coordinates given by $g_{\alpha,k+1} \in SU(k+1)$, the right action by $\hat{R}_{k^2+2k}$, $\hat{R}_a$ generate the isometries. Thus the $U(1)$ under discussion does correspond to the $U(1)$ part of the isometry group; hence its gauging is indeed done by the $U(1)$ spin connection. Further, the background magnetic field, chosen to be proportional to the spin connection and specified by Eq. (11) leads to the monomial $Z_{\alpha_1}Z_{\alpha_2}...Z_{\alpha_n}$. Since we do have the extra Q charge even in the absence of a magnetic field, we must interpret this extra charge $\frac{1}{2}(k+1)$ as the coupling constant for the spin connection. Thus we expect the combination $\alpha(n + \frac{1}{2}(k+1))\omega^0$ where α takes care of any overall normalization for the fields. Actually our chosen normalization for the spin connection was such that $d\omega^0 = \frac{k+1}{k}\Omega$, while the gauge field obeyed $dA = n\Omega$ (see Ref. [14]), so that $d(n\omega^0) = ((k+1)/k)dA$ and

$$\alpha\left(n + \frac{1}{2}(k+1)\right)\omega^0 = \alpha\frac{k+1}{k}\left(A + \frac{k}{2}\omega^0\right). \qquad (35)$$

Fluctuations in the fields can be introduced at this stage and so, we have arrived at the following conclusion about the shift of A: the implementation of the symplectic transformations (30), which is itself rooted in the need to allow for different choices of coordinates before reduction to $\mathbb{CP}^k$, naturally leads to the combination $A + \frac{k}{2}\omega^0$ observed in the effective action.

Now once again, we start with Eq. (21) and consider its geometric quantization. The symplectic potential corresponding to M is

$$\mathcal{A} = \frac{i}{2}(Z_\alpha d\bar{Z}_\alpha - \bar{Z}_\alpha dZ_\alpha). \qquad (36)$$

Under canonical transformations (which preserve M), $\mathcal{A}$ transforms as $\mathcal{A} \to \mathcal{A} + df$ (for some function f), thus behaving as a $U(1)$ gauge field. The wave functions are charged under this $U(1)$, transforming with a phase. One must also consider covariant derivatives of the form $\mathcal{D}_\alpha\Psi = (\partial_\alpha - i\mathcal{A}_\alpha)\Psi$, $\bar{\mathcal{D}}_\alpha\Psi = (\bar{\partial}_\alpha - i\bar{\mathcal{A}}_\alpha)\Psi$ in formulating the polarization condition. The wave functions are thus sections of a holomorphic line bundle on $\mathbb{C}^{k+1}$ with curvature M.

Explicitly, the covariant derivatives are

$$\mathcal{D}_\alpha = \frac{\partial}{\partial Z_\alpha} - \frac{1}{2}\bar{Z}_\alpha, \qquad \bar{\mathcal{D}}_\alpha = \frac{\partial}{\partial\bar{Z}_\alpha} + \frac{1}{2}Z_\alpha. \qquad (37)$$

The polarization condition $\bar{\mathcal{D}}_\alpha\Psi = 0$ on the pre-quantum wave functions leads to the coherent states (23), with the inner product defined by the symplectic (Liouville) volume element for the phase space,

$$\langle 1|2\rangle = \int \prod_\alpha dZ_\alpha d\bar{Z}_\alpha e^{-\bar{Z}\cdot Z}\Psi_1^*\Psi_2. \qquad (38)$$

The pre-quantum operator corresponding to a function f on the phase space is defined in geometric quantization as [15–17]

$$\mathcal{P}(f) = -iX \cdot \mathcal{D} + f \tag{39}$$

where X is the vector field corresponding to f defined by $X^\mu M_{\mu\nu} = -\partial_\nu f$. We find easily that $X_{Z_\alpha} = -i(\partial/\partial \bar{Z}_\alpha)$, $X_{\bar{Z}_\alpha} = i(\partial/\partial Z_\alpha)$. The actions of the corresponding pre-quantum operators are

$$\mathcal{P}(Z)\Psi = (-\mathcal{D}_{\bar{Z}_\alpha} + Z)\Psi = e^{-\frac{1}{2}\bar{Z} \cdot Z} Z h(Z),$$

$$\mathcal{P}(\bar{Z})\Psi = (\mathcal{D}_{Z_\alpha} + \bar{Z}_\alpha)\Psi = e^{-\frac{1}{2}\bar{Z} \cdot Z} \frac{\partial}{\partial Z_\alpha} h(Z). \tag{40}$$

This is consistent with the assignment of Z_α as $a_\alpha^\dagger$ and $\bar{Z}_\alpha$ as a_α.

This is all standard and well known. However, this picture of quantization is known to be incomplete. On a general symplectic manifold, we can consider other polarizations, not necessarily the holomorphic one. For example, on a phase space which is the cotangent bundle T^*M of a real manifold M, one can consider wave functions in the coordinate representation. (This possibility applies to the present case as well, since we can consider $\mathbb{R}^{2k+2}$ as the cotangent bundle of $\mathbb{R}^{k+1}$.) In such cases, because $\Psi^*\Psi$ depends only on half of the phase-space coordinates, one has to use a volume element on the subspace of such coordinates to define the inner product for the wave functions.

The problem is that there is no such volume element defined by the given data on the phase space. The phase volume is naturally defined (in terms of powers of the symplectic structure) and can be used for the holomorphic polarization (for which $\Psi^*\Psi$ depends on all phase-space coordinates in general). But for real polarizations the phase volume is not appropriate. On the other hand, we would like to formulate quantization in a way which applies to any choice of polarization, since physical results should be independent of polarization (even though we may not have a real polarization for manifolds of interest). One solution is to introduce "half-forms" whose transformation property is such that the product of two such forms transforms as the volume form of the submanifold over which $\Psi^*\Psi$ is to be integrated. We then consider the product of the line bundle (with curvature equal to the symplectic two-form) and a bundle of half-forms, with the wave functions being identified as sections of this product bundle. The transformation property of half-forms implies defining a square root of the Jacobian of a symplectic diffeomorphism, so that at the level of linear transformation, we need to consider a double cover of the symplectic group, which is named the metaplectic group.

For the case of holomorphic polarization, which is our focus here, seemingly one can avoid using half-forms since

the volume element for the full phase space can be used in the inner product. However, the half-forms do add certain terms to the expressions for the operators; these additions are the "metaplectic corrections." We want to argue that in the combination $A + \frac{k}{2}\omega^0$, the second term arises from such a correction.

The main point is that, generally, for all polarizations, the wave functions are of the form

$$\Psi \sim e^{-\frac{1}{2}\bar{Z} \cdot Z} h(Z)\sigma_{-1/2}(Z) \tag{41}$$

where $\sigma_{-1/2}(z)$ indicates the appropriate section of the half-form. For the case of holomorphic polarization, we do not need to know an explicit form for $\sigma_{-1/2}(Z)$; only its transformation property is important. In fact, we may think of it as a pure phase, which would not affect the inner product. However, the vector fields corresponding to a function can have a nontrivial action on $\sigma_{-1/2}(Z)$, and so the expression for the operator has to be modified. With the half-form $\sigma_{-1/2}$, this is given by [15–17]

$$\mathcal{P}(f)\Psi\sigma_{-1/2} = [(-iX \cdot \mathcal{D} + f)\Psi]\sigma_{-1/2} - \Psi(iL_X\sigma_{-1/2}) \tag{42}$$

where $L_X\sigma_{-1/2}$ is the Lie derivative of $\sigma_{-1/2}$ with respect to X. Explicitly, if X preserves the polarization, we must have

$$[X, (\partial/\partial \bar{Z}_\alpha)] = C_\alpha^\beta(\partial/\partial \bar{Z}_\beta). \tag{43}$$

For such cases, one can show that

$$-iL_X\sigma_{-1/2} = -iX \cdot \partial\sigma_{-1/2} - \frac{i}{2}\partial \cdot X\sigma_{-1/2} = -\frac{i}{2}\text{Tr}C\sigma_{-1/2} \tag{44}$$

where $\text{Tr}C = C_\alpha^\alpha$. This shows that it is possible to view $\mathcal{P}(f)$ as acting just on Ψ according to

$$\mathcal{P}(f)\Psi = \left[(-iX \cdot \mathcal{D} + f) - \frac{i}{2}\text{Tr}C\right]\Psi \tag{45}$$

and reabsorb $\sigma_{-1/2}$ and its conjugate into the measure of integration, where they cancel out leaving just the phase volume defined by the symplectic form. The extra term $-\frac{i}{2}\text{Tr}C$ in $\mathcal{P}(f)$ is the metaplectic correction. The vector fields X_{Z_α}, $X_{\bar{Z}_\alpha}$ commute with the polarization, $C_\alpha^\beta = 0$ in Eq. (43) for these vectors, so the expressions for the quantum version of Z_α, $\bar{Z}_\beta$ are unchanged. However, for the vector field corresponding to $\bar{Z}_\alpha Z_\alpha$, Eq. (43) gives $C_\alpha^\beta = i\delta_\alpha^\beta$ and hence

$$\mathcal{P}(\bar{Z}_\alpha Z_\alpha)\Psi = e^{-\frac{1}{2}\bar{Z} \cdot Z}\left(Z_\alpha \frac{\partial}{\partial Z_\alpha} + \frac{1}{2}(k+1)\right)h(Z). \tag{46}$$

This is equivalent to saying that the quantum operator corresponding to $\bar{Z}_\alpha Z_\alpha$ is $a^\dagger \cdot a + \frac{1}{2}(k+1)$, which is the Q

we obtained previously in Eq. (33) in terms of the $Sp(k + 1, \mathbb{R})$ algebra. We see that the "zero-point" charge can indeed be interpreted as the metaplectic correction.

Here we have pursued the description of $\mathbb{CP}^k$ as the reduced phase space obtained via symplectic reduction from $\mathbb{C}^{k+1}$. This simplified the analysis since the geometric quantization of $\mathbb{C}^{k+1}$ is fairly straightforward and we could then use those features which descend to $\mathbb{CP}^k$ to arrive at the combination $A + \frac{k}{2}\omega^0$. But a direct geometric quantization of $\mathbb{CP}^k$ is also possible. The identification of $\sigma_{-1/2}$ within such an approach and the direct calculation of the metaplectic correction (or the Mp^c correction [20]) would be very interesting.

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