Hamiltonian finite-temperature quantum field theory from its vacuum on partially compactified space

H. Reinhardt

Institut für Theoretische Physik, Auf der Morgenstelle 14, D-72076 Tübingen, Germany (Received 1 July 2016; published 26 August 2016)

The partition function of a relativistic invariant quantum field theory is expressed by its vacuum energy calculated on a spatial manifold with one dimension compactified to a 1-sphere $S^1(\beta)$, whose circumference β represents the inverse temperature. Explicit expressions for the usual energy density and pressure in terms of the energy density on the partially compactified spatial manifold $\mathbb{R}^2 \times S^1(\beta)$ are derived. To make the resulting expressions mathematically well defined a Poisson resummation of the Matsubara sums as well as an analytic continuation in the chemical potential are required. The new approach to finitetemperature quantum field theories is advantageous in a Hamilton formulation since it does not require the usual thermal averages with the density operator. Instead, the whole finite-temperature behavior is encoded in the vacuum wave functional on the spatial manifold $\mathbb{R}^2 \times S^1(\beta)$. We illustrate this approach by calculating the pressure of a relativistic Bose and Fermi gas and reproduce the known results obtained from the usual grand canonical ensemble. As a first nontrivial application we calculate the pressure of Yang-Mills theory as a function of the temperature in a quasiparticle approximation motivated by variational calculations in Coulomb gauge.

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I. INTRODUCTION

In many branches of modern physics like in the exploration of the early Universe or the phase diagram of hadronic matter the study of a quantum field theory at finite temperature and chemical potential is required. The central quantity of interest is then the grand canonical partition function

$$
Z(\beta,\mu) = \mathrm{Tr}e^{-\beta(H-\mu N)} = \sum_{n} e^{-\beta(E_n-\mu N_n)},\qquad(1)
$$

where β is the inverse temperature (in units of Boltzmann's constant) and H is the Hamiltonian. Furthermore, N is the operator of the number of valence particles and μ is the corresponding chemical potential. In the last expression n denotes an exact eigenstate with energy E_n and particle number N_n . The sum over *n* includes also the summation over the particle number. Obviously $Z(\beta,\mu)$ requires the knowledge of all eigenenergies to all possible particle numbers, i.e. the trace of $exp(-\beta(H-\mu N))$ in the full Fock space of quantum field theory is required. Alternatively, we can represent $Z(\beta,\mu)$ as a functional integral over the fields; see Eq. [\(13\)](#page-2-0) below. The numerical evaluation of these functional integrals is the aim of the lattice approach to quantum field theory [\[1\].](#page-11-0) The lattice approach has provided much insight into the finite-temperature behavior of quantum field theories and in particular of QCD, where extensive calculations have been carried out; for a recent review see e.g. Ref. [\[2\]](#page-11-1). The lattice approach faces, however, a fundamental problem when applied to gauge theories at large chemical potentials: the notorious sign problem. In $SU(N > 2)$ gauge theories the fermion

determinant becomes complex for finite chemical potentials, which cannot be dealt with in lattice Monte Carlo calculations. Therefore alternative, continuum approaches are required for the investigation of QCD at finite baryon density. In the continuum, the partition function can be calculated from the functional integral representation in perturbation theory [\[3,4\].](#page-11-2) In leading order the partition function is then given in terms of the functional determinants of the inverse propagators of the fields involved. The perturbative result for the partition function can be extended beyond perturbation theory by replacing the bare propagators with nonperturbative ones, which are obtained e.g. from a truncated set of Dyson-Schwinger equations [\[5\]](#page-11-3) or functional renormalization group flow equations [\[6\]](#page-11-4). An alternative nonperturbative continuum approach is the Hamiltonian approach, which is based on the canonical quantization and requires the solution of the (functional) Schrödinger equation [\[7\]](#page-11-5). Our experience from quantum mechanics shows that solving the Schrödinger equation is usually much simpler than calculating the corresponding functional integral, at least when one is only interested in the ground state of the theory. Indeed, at zero temperature for QCD in Coulomb gauge the variational Hamiltonian approach developed in Refs. [8–[10\]](#page-11-6) is much more efficient than the corresponding Dyson-Schwinger approach [\[11\].](#page-11-7)

For finite temperatures, however, calculating the grand canonical partition function via Eq. [\(1\)](#page-0-0) seems not very attractive, since, in principle, all eigenenergies have to be determined. In the present paper we present a convenient alternative method to obtain the partition function of a relativistic quantum field theory within the Hamilton approach where the knowledge of the ground-state energy (in the presence of a chemical potential) is sufficient, provided the latter is evaluated on a spatial manifold with one dimension compactified to a circle $S^1(\beta)$, the circumference of the circle β being the inverse temperature. We will present this approach for the generic case of a gauge theory like QCD with a bosonic vector field and a fermionic Dirac field. The extension to theories with tensor fields will be straightforward. For a scalar field the approach follows immediately from that of a vector field. For bosonic fields and in the absence of a chemical potential the present approach to finite temperature was already used in Ref. [\[12\]](#page-11-8) to study Yang-Mills theory. Furthermore, this approach also allows the calculation of the Polyakov loop in the Hamiltonian formulation [\[13,14\],](#page-11-9) which at first sight seems impossible due to the use of the Weyl gauge $A_0 = 0$ in the canonical quantization.

The organization of the rest of the paper is as follows. In the next section the new Hamiltonian approach to finitetemperature quantum field theory is developed for a gauge theory with a vector and a Dirac field. In Sec. [III](#page-3-0) this approach is illustrated for free relativistic bosons and fermions. In Sec. [IV](#page-7-0) the pressure of Yang-Mills theory is evaluated in a schematic quasiparticle model, which is motivated by the results of a variational calculation in Coulomb gauge [\[9,10\]](#page-11-10). Some concluding remarks are given in Sec. [V.](#page-10-0)

II. FINITE TEMPERATURE FROM COMPACTIFICATION OF A SPATIAL DIMENSION

Consider a gauge field theory defined by a classical relativistically invariant Euclidean Lagrange density $\mathcal{L}(x; \psi, A; \gamma)$, where $A^{\mu}(x)$ and $\psi(x)$, respectively, are the bosonic gauge and the fermionic matter fields. Furthermore, γ^{ν} are the Dirac matrices. From the classical Lagrangian one finds after canonical quantization in the usual way the Hamiltonian $H(\psi, A; \gamma)$. Once the Hamiltonian is known the grand canonical partition function [\(1\)](#page-0-0) can, in principle, be calculated. From the canonical representation [\(1\)](#page-0-0) of the partition function one can derive a Euclidean functional integral representation; see e.g. Refs. [\[4,15\]](#page-11-11). Below we briefly sketch the connection between the functional integral representation and the canonical operator representation [\(1\)](#page-0-0) of the partition function, since this connection is essential for later considerations.

The Euclidean Lagrangian $\mathcal{L}_E(x; \psi, A; \gamma)$ follows from the one in Minkowski space $\mathcal{L}(x; \psi, A; \gamma)$ by the analytic continuation

$$
x^4 = ix^0
$$
, $A^4 = iA^0$, $\gamma^4 = i\gamma^0$, (2)

where the Euclidean Dirac matrices satisfy the Clifford algebra

$$
\{\gamma^{\mu},\gamma^{\nu}\} = -2\delta^{\mu\nu}.\tag{3}
$$

From the Euclidean Lagrangian density $\mathcal{L}_E =$ $\mathcal{L}_E(x, \psi, A, \gamma^\mu)$ one constructs the canonical momenta

$$
\Pi_{A^{\mu}} = \frac{\partial \mathcal{L}_E}{\partial (\partial A^{\mu}/\partial x^4)}, \qquad \Pi_{\psi} = \frac{\partial \mathcal{L}_E}{\partial (\partial \psi/\partial x^4)} \qquad (4)
$$

and the Hamiltonian density

$$
\mathcal{H} = \Pi_{A^{\mu}} \frac{\partial A^{\mu}}{\partial x^4} + \Pi_{\psi} \frac{\partial \psi}{\partial x^4} - \mathcal{L}_E.
$$
 (5)

The Hamiltonian

$$
H = \int dx^1 dx^2 dx^3 \mathcal{H}
$$
 (6)

is independent of $x⁴$ by Hamilton's equation.

We assume that the Lagrangian density is invariant under global phase transformations of the matter field

$$
\psi \to e^{i\alpha}\psi,\tag{7}
$$

which results in a conserved Noether current, $\partial_{\mu}J^{\mu} = 0$, with

$$
J^{\mu} = i \left(\psi^* \frac{\partial \mathcal{L}_E}{\partial (\partial_{\mu} \psi^*)} - \frac{\partial \mathcal{L}_E}{\partial (\partial_{\mu} \psi)} \psi \right). \tag{8}
$$

For Dirac fermions this current is given by

$$
J^{\mu} = \overline{\psi}\gamma^{\mu}\psi.
$$
 (9)

The corresponding conserved Noether charge is the particle number

$$
N = -i \int dx^1 dx^2 dx^3 J^4 \tag{10}
$$

satisfying $dN/dx^4 = 0$.

After canonical quantization H and N become operators, which commute at all times, $[N,H] = 0$. Following the standard procedure the partition function [\(1\)](#page-0-0) is expressed as an integral over the canonical fields and momenta

$$
Z(\beta, \mu) = \int_{x^4 - b.c.} \mathcal{D}(\psi, A) \int \mathcal{D}(\Pi_{\psi}, \Psi_A)
$$

$$
\times \exp \left[\int_{-\beta/2}^{\beta/2} dx^4 \int dx^1 dx^2 dx^3 \right]
$$

$$
\times \left(\Pi_{\psi} \frac{\partial \psi}{\partial x^4} + \Pi_{A^{\mu}} \frac{\partial A^{\mu}}{\partial x^4} - \mathcal{H} - i\mu J^4 \right) \right]. \quad (11)
$$

Here the functional integration is performed over temporally (anti)periodic (Fermi-)Bose fields

HAMILTONIAN FINITE-TEMPERATURE QUANTUM FIELD … PHYSICAL REVIEW D 94, 045016 (2016)

$$
\psi(x, x^4 = \beta/2) = -\psi(x, x^4 = -\beta/2),
$$

\n
$$
A^{\mu}(x, x^4 = \beta/2) = A^{\mu}(x, x^4 = -\beta/2).
$$
 (12)

These boundary conditions are indicated in Eq. [\(11\)](#page-1-0) by the subscript " $x^4 - b.c.$ ". As the derivation of Eq. [\(11\)](#page-1-0) shows, these boundary conditions are a consequence of the trace in the partition function [\(1\)](#page-0-0). These boundary conditions are absolutely necessary at finite β but become irrelevant in the zero-temperature limit $\beta \to \infty$.

Integrating out the momenta in Eq. [\(11\)](#page-1-0) yields

$$
Z(\beta,\mu) = \int_{x^4 - b.c.} \mathcal{D}(\psi, A) \exp\left[-S_E[\psi, A] - i\mu \times \int_{-\beta/2}^{\beta/2} dx^4 \int d^3x \overline{\psi}(x) \gamma^4 \psi(x)\right],
$$
 (13)

where

$$
S_E[\psi, A] = \int_{-\beta/2}^{\beta/2} dx^4 \int d^3x \mathcal{L}_E(x; \psi, A; \gamma) \qquad (14)
$$

is the Euclidean action.

Let us now perform the following cyclic change of vectorial variables:

$$
z^{1} = x^{2}, \t z^{2} = x^{3}, \t z^{3} = x^{4}, \t z^{4} = x^{1}
$$

\n
$$
C^{1}(z) = A^{2}(x), \t C^{2}(z) = A^{3}(x),
$$

\n
$$
C^{3}(z) = A^{4}(x), \t C^{4}(z) = A^{1}(x)
$$

\n
$$
\Gamma^{1} = \gamma^{2}, \t \Gamma^{2} = \gamma^{3}, \t \Gamma^{3} = \gamma^{4}, \t \Gamma^{4} = \gamma^{1}
$$
 (15)

and also, change the fermion variable, by the identification

$$
\chi(z) = \psi(x). \tag{16}
$$

The new Dirac matrices Γ^{μ} satisfy the same Clifford algebra [\(3\)](#page-1-1) as the old ones, γ^{μ} , and thus the same matrix representation can be used for the Γ^{μ} as for the γ^{μ} . The change of variables (15) – (16) can be accomplished by a particular $SO(4)$ rotation. Therefore, by the $SO(4)$ invariance of the Euclidean Lagrangian we have

$$
\mathcal{L}_E(x; \psi, A; \gamma) = \mathcal{L}_E(z; \chi, C; \Gamma). \tag{17}
$$

Let us stress that the Euclidean action [\(14\)](#page-2-3) is not invariant under $SO(4)$ rotations since the integration domain \mathbb{R}^3 × $S^1(\beta)$ is not but the Euclidean Lagrangian is $SO(4)$
invariant. The present approach uses only the $SO(4)$ invariant. The present approach uses only the $SO(4)$ invariance of the Euclidean Lagrangian.

After the change of variables [\(15\)](#page-2-1) the functional integral for the partition function [\(13\)](#page-2-0) becomes

$$
Z(\beta, \mu) = \int_{z^3 - bc} \mathcal{D}(\chi, C) \exp\left[-S_E[\chi, C] -i\mu \int dz^4 \int_\beta d^3 z \overline{\chi}(z) \Gamma^3 \chi(z)\right], \qquad (18)
$$

where we have defined the integration measure over the partially compactified spatial manifold $\mathbb{R}^2 \times S^1(\beta)$

$$
\int_{\beta} d^3 z := \int d^2 z_{\perp} \int_{-\beta/2}^{\beta/2} dz^3 \tag{19}
$$

with $z_{\perp} = (z^1, z^2)$ denoting the components of the threevector z orthogonal to the compactified dimension z^3 . Furthermore, the Euclidean action is now given by

$$
S_E[\chi, C] = \int dz^4 \int_\beta d^3 z \mathcal{L}_E(z; \chi, C; \Gamma) \tag{20}
$$

and the functional integration runs over fields satisfying (anti)periodic boundary conditions in the $z³$ direction

$$
\chi(z_{\perp}, \beta/2, z^4) = -\chi(z_{\perp}, -\beta/2, z^4), C^{\mu}(z_{\perp}, \beta/2, z^4) = C^{\mu}(z_{\perp}, -\beta/2, z^4),
$$
 (21)

which is indicated in Eq. [\(18\)](#page-2-4) by the subscript " $z^3 - bc$ ".

We can now interpret z^4 as the Euclidean time and $z =$ $(z_1, z^3) = (z^1, z^2, z^3)$ as the spatial coordinates and reverse the derivation which leads from the canonical representation [\(1\)](#page-0-0) to the path integral representation [\(13\)](#page-2-0). Then we arrive at the following canonical representation of the grand canonical partition function:

$$
Z(\beta,\mu) = \lim_{l \to \infty} \text{Tr} e^{-l\tilde{H}(\chi,C;\Gamma;\beta,\mu)} \tag{22}
$$

where $l \rightarrow \infty$ is the length of the uncompactified spatial dimensions and we have defined

$$
\tilde{H}(\chi, C; \Gamma; \beta, \mu) = H(\chi, C; \Gamma; \beta) + i\mu \int_{\beta} d^{3}z \chi^{\dagger}(z) \alpha_{3} \chi(z).
$$
\n(23)

Here $H(\chi, C; \Gamma; \beta)$ is the Hamiltonian which arises after the analytic continuation

$$
z^4 = iz^0
$$
, $C^4 = iC^0$, $\Gamma^4 = i\Gamma^0$ (24)

of the Euclidean Lagrangian $\mathcal{L}_E(z; \chi, C; \Gamma)$ to Minkowski space and by subsequent canonical quantization in "Weyl gauge" $C^0(z) = 0$ [considering $C^{i=1,2,3}(z)$ as the "coordinates" of the gauge field]. Furthermore, we have defined the Dirac matrix

¹Strictly speaking, this identity requires that the same representation is chosen for the new Dirac matrices Γ^{μ} as for the old ones γ^{μ} . However, since the physical quantities are independent of the specific representation used we can employ any representation for the $\bar{\Gamma}^{\mu}$, which is convenient.

$$
\alpha_3 = \Gamma^0 \Gamma^3. \tag{25}
$$

The resulting Hamiltonian $H(\chi, C; \Gamma; \beta)$ is formally the same as the original Hamiltonian $H(\psi, A; \gamma)$ except that the former is defined on the spatial manifold $\mathbb{R}^2 \times S^1(\beta)$ and its fields satisfy the (anti)periodic spatial boundary conditions [\(21\)](#page-2-5).

The representation [\(22\)](#page-2-6) allows one to calculate the partition function in an efficient way within the Hamiltonian approach: due to the limit $l \rightarrow \infty$, arising from the infinite extent of the (original) spatial dimensions, the calculation of the function [\(22\)](#page-2-6) reduces to finding the vacuum energy $E_0(\beta, \mu)$ of the quantum field theory defined by the Hamiltonian [\(23\)](#page-2-7)

$$
Z(\beta,\mu) = \lim_{l \to \infty} e^{-l\tilde{E}_0(\beta,\mu)}.
$$
 (26)

This requires solving the Schrödinger equation

$$
\tilde{H}(\beta,\mu)\psi(\chi,C) = \tilde{E}_0(\beta,\mu)\psi(\chi,C) \tag{27}
$$

for the vacuum wave functional on the spatial manifold $\mathbb{R}^2 \times S^1(\beta)$ for given β and μ . In this way the whole finitetemperature behavior of the quantum field theory is encoded in the vacuum sector on the spatial manifold $\mathbb{R}^2 \times S^1(\beta)$. The upshot of the above consideration is that finite-temperature quantum field theory can be described in the Hamiltonian approach by compactifying one spatial dimension and solving the corresponding Schrödinger equation for the vacuum sector. Let us stress that the equivalence between Eq. [\(1\)](#page-0-0) and Eqs. [\(22\)](#page-2-6) and [\(26\)](#page-3-1) holds for any relativistically invariant theory. In the derivation of Eq. [\(22\)](#page-2-6) we have used the $O(4)$ invariance of the Euclidean Lagrangian. Consequently, the present approach to finite temperatures cannot be applied to nonrelativistic field theories or many-body systems.

In terms of the original partition function [\(1\)](#page-0-0) the pressure p and energy density ε are given by

$$
p = \ln Z(\beta, \mu) / \beta V,
$$

\n
$$
\varepsilon = \langle H \rangle / V = \frac{1}{V} \left(-\frac{\partial \ln Z}{\partial \beta} + \frac{\mu}{\beta} \frac{\partial \ln Z}{\partial \mu} \right),
$$
 (28)

where $V = l^3$, $l \to \infty$ is the volume of ordinary 3-space.
Inserting here for Z the representation (26) one finds Inserting here for Z the representation (26) one finds

$$
p = -e, \qquad \varepsilon = \frac{\partial}{\partial \beta} (\beta e) - \mu \frac{\partial e}{\partial \mu}, \tag{29}
$$

where *e* denotes the vacuum energy density on $\mathbb{R}^2 \times S^1(\beta)$ defined by

$$
\tilde{E}_0(\beta,\mu) = l^2 \beta e. \tag{30}
$$

To distinguish this quantity from the true (physical) energy density ε in the following we will refer to e as *pseudoe*nergy density. Analogously one finds from

$$
\langle N \rangle = \frac{1}{\beta} \frac{\partial \ln Z}{\partial \mu} \tag{31}
$$

and Eqs. [\(26\)](#page-3-1) and [\(30\)](#page-3-2) for the particle density $\rho = \langle N \rangle / V$

$$
\rho = -\frac{\partial e}{\partial \mu},\tag{32}
$$

which together with Eq. [\(29\)](#page-3-3) yields the known result

$$
\rho = \frac{\partial p}{\partial \mu}.\tag{33}
$$

The above presented approach to finite-temperature quantum field theory is completely equivalent to the standard grand canonical ensemble as long as no approximation is introduced that breaks the relativistic invariance. It is, however, advantageous in nonperturbative studies, since it requires only the calculation of the ground-state energy density on the spatial manifold $\mathbb{R}^2 \times S^1(\beta)$ but avoids the introduction of the density operator $exp(-\beta(H - \mu N))$ of the grand canonical ensemble. The latter quantity is difficult to handle in a continuum approach when strong interactions are present.

III. ILLUSTRATION FOR FREE FIELDS

Below we illustrate the approach to finite-temperature quantum field theory presented in the previous section for free (relativistic) field theories.

In the usual functional integral approach the partition function of the grand canonical ensemble of a free field theory is obtained in terms of the functional determinant of the inverse Euclidean propagator [\[4\]](#page-11-11). For example, for a massive complex scalar Bose field one finds

$$
Z_B(\beta) = \text{Det}^{-1}(-\partial_\mu \partial^\mu - m^2),\tag{34}
$$

while for a massive Dirac fermion field

$$
Z_F(\beta) = \text{Det}(-i\partial - m) \tag{35}
$$

is obtained. In both cases m denotes the mass. Here the eigenfunctions of the inverse propagators have to satisfy the (anti)periodic boundary conditions for (fermions) bosons as a consequence of the temporal (anti)periodic boundary condition [\(12\)](#page-1-2) to the fields. The functional determinants are UV divergent and a few mathematical manipulations (like partial integration and dropping infinite temperatureindependent constants) are required in order to obtain from the partition function well-defined expressions for the thermodynamic quantities. Therefore in the alternative approach of the previous section we should also expect that some mathematical manipulations are required to obtain well-defined expressions.

With Eq. [\(28\)](#page-3-4) one finds from Eqs. [\(34\)](#page-3-5) and [\(35\)](#page-3-6) for the pressure (see e.g. Ref. [\[4\]](#page-11-11))

$$
p = \mathcal{N}\frac{1}{3} \int d^3p \frac{p^2}{\omega(p)} (n_+(p) + n_-(p)), \qquad (36)
$$

where N is a numerical factor accounting for the number of degenerate degrees of freedom α and

$$
n_{\pm}(p) = [e^{\beta(\omega(p)\mp\mu)}(\mp)1]^{-1}, \tag{37}
$$

are the finite-temperature (Fermi) Bose occupation numbers of the particle and antiparticles, respectively. Here,

$$
\omega(p) = \sqrt{p^2 + m^2} \tag{38}
$$

is the relativistic single-particle energy for both bosons and fermions. The same result, Eq. [\(36\),](#page-4-0) is also found in the usual Hamiltonian approach by calculating the grand canonical partition function [\(1\)](#page-0-0) in the corresponding Fock space with H given by the free-particle Hamiltonian.

For a real field the chemical potential vanishes such that $n_{+}(p) = n_{-}(p) := n(p)$. In addition, a factor of 1/2 arises due to the fact that the partition function is then given by due to the fact that the partition function is then given by only the (inverse) square root of the functional determinant of the inverse propagator, i.e. for a real scalar field, one has

$$
Z_B(\beta) = \text{Det}^{-1/2}(-\partial_\mu \partial^\mu + m^2)
$$
 (39)

such that

$$
p = \mathcal{N}\frac{1}{3} \int d^3 p \frac{p^2}{\omega(p)} n(p) \tag{40}
$$

with $\mathcal{N} = 1$. This expression applies also for massless gauge bosons where $\mathcal{N} = 2$ accounts for the two polarization degrees of freedom.

Let us now calculate the pressure in the alternative approach developed in Sec. [II.](#page-1-3) In this approach the central quantity of interest is the vacuum energy density on $\mathbb{R}^2 \times S^1(\beta)$. For free field theories this quantity is of the form

$$
e(\beta) = (-)^{n_F} \mathcal{N} \frac{2^{n_F}}{2} \int_{\beta} d^3 p \Omega(\boldsymbol{p}), \qquad (41)
$$

where we have introduced the fermion number

$$
n_F = \begin{cases} 0, & \text{bosons} \\ 1, & \text{fermions} \end{cases} \tag{42}
$$

in order to treat Bose and Fermi systems simultaneously. Furthermore, $\Omega(p)$ is a generalized single-particle energy.³ [For a massive free particle $\Omega(p)$ is given by $\omega(p)$ [\(38\)](#page-4-1).] It is a function of the 3-momentum **p**, which on $\mathbb{R}^2 \times S^1(\beta)$ is given by

$$
p = p_{\perp} + p_n e_3. \tag{43}
$$

Here p_{\perp} denotes the component of the momentum in the two noncompactified spatial dimensions, while

$$
p_n = \omega_n + n_F \frac{\pi}{\beta}, \qquad \omega_n = \frac{2\pi n}{\beta} \tag{44}
$$

denote the Matsubara frequencies for Bose $(n_F = 0)$ and Fermi $(n_F = 1)$ systems. Furthermore, we have defined the integration measure of the corresponding momentum space

$$
\int_{\beta} d^3 p = \int d^2 p_{\perp} \frac{1}{\beta} \sum_n, \qquad d^2 p_{\perp} = \frac{d^2 p_{\perp}}{(2\pi)^2}.
$$
 (45)

According to Eq. [\(29\)](#page-3-3) the expression [\(41\)](#page-4-2) should give for $\Omega(p) = \omega(p)$ the negative of the pressure given in Eq. [\(36\)](#page-4-0), which is not immediately obvious. Contrary to Eq. [\(36\)](#page-4-0) the expression [\(41\)](#page-4-2) is UV divergent. This is not surprising since e contains the (infinite) zero-temperature vacuum energy density, which has to be eliminated from the thermodynamic quantities. To extract the zero-temperature part of $e(\beta)$ [Eq. [\(41\)](#page-4-2)] it is convenient to Poisson resum the sum over the Matsubara frequencies using

$$
\frac{1}{\beta} \sum_{n=-\infty}^{\infty} f(\omega_n) = \frac{1}{2\pi} \int_{-\infty}^{\infty} dz f(z) \sum_{k=-\infty}^{\infty} e^{ik\beta z}.
$$
 (46)

Putting $z = p_3$ for bosons and $z + \frac{\pi}{\beta} = p_3$ for fermions we obtain for any function of the norm of the 3-momentum $f(\mathbf{p}) \equiv f(\sqrt{\mathbf{p}_{\perp}^2 + p_n^2})$ the relation

$$
\int_{\beta} d^3p f(\mathbf{p}) = \int d^3p f(\mathbf{p}) \sum_{k=-\infty}^{\infty} (-1)^{n_F k} e^{ik\beta p_3}.
$$
 (47)

Note that on the lhs the integration includes the Matsubara sum [\(45\)](#page-4-3), while on the rhs the integration is over the usual three-dimensional (flat) momentum space with $d^3 p = d^3 p/(2\pi)^3$, $d^3 p = dp_1 dp_2 dp_3$. The $k = 0$ term

²For a scalar field $\mathcal{N} = 1$ while $\mathcal{N} = 2$ for Dirac fermions due the two degenerate spin states. to the two degenerate spin states.

 3 For a Fermi system the vacuum is given by the filled negative energy states of the Dirac sea. With the sign convention adopted in Eq. [\(41\)](#page-4-2) $\Omega(p)$ is positive also for fermions. The additional factor of $1/2$ in the Bose case $(n_F = 0)$ arises from the groundstate energy of an independent oscillator mode.

in the sum [\(47\)](#page-4-4) represents just the zero -temperature part. In a quantum field theory this part is usually divergent and has to be eliminated to find the temperature-dependent part. Even when the $k = 0$ term is excluded for the functions $f(\mathbf{p})$ of interest the momentum integral on the rhs of Eq. [\(47\)](#page-4-4) is usually UV divergent. To make these integrals well defined we use the proper-time representation for a power of a quantity A

$$
A^{\nu} = \frac{1}{\Gamma(-\nu, x)} \int_{x/A} d\tau \tau^{-1-\nu} e^{-\tau A}, \tag{49}
$$

which is valid for any $x > 0$. However, this representation is not yet useful since the quantity of interest A appears also in the lower integration bound. As we will see below, after the momentum integrals have been carried out the limit $x \to 0$ can be taken in the proper-time integral, which removes A from the integration bound. However, the incomplete Γ function $\Gamma(\nu, x)$ is divergent for $\nu < 0$ and $x \to 0$. The regularization can be carried out as usual by replacing the incomplete Γ function $\Gamma(-\nu, x)$ in the limit $x \to 0$ by its complete counterpart $\Gamma(-\nu)$ provided $\Gamma(-\nu)$ does exist. (This in fact is an analytic continuation, which discards an infinite contribution.) Then we obtain from Eq. [\(49\)](#page-5-0) the representation

$$
A^{\nu} = \frac{1}{\Gamma(-\nu)} \lim_{\epsilon \to 0} \int_{\epsilon}^{\infty} d\tau \tau^{-1-\nu} e^{-\tau A}.
$$
 (50)

For the energy density [\(41\)](#page-4-2) with a general dispersion relation $\Omega(p)$ we find by using Eq. [\(47\)](#page-4-4)

$$
e(\beta) = (-)^{n_F} \mathcal{N} \frac{2^{n_F}}{2} \int d^3 p \Omega(\boldsymbol{p}) \sum_{k=-\infty}^{\infty} (-)^{kn_F} e^{ik\beta p_3}.
$$
 (51)

As already noticed before, the $k = 0$ term is just the zerotemperature part of the vacuum energy density, which, indeed, is a (infinite) temperature-independent and thus irrelevant constant, which has to be omitted from thermodynamical quantities. The remaining temperature-dependent part is still not well defined. At least the integral over the transverse momenta $\int d^2p_{\perp}$ is still UV divergent. To make this integral well defined we use the proper-time representation [\(50\)](#page-5-1) with $A = \Omega^2$ and $\nu = 1/2$. Then we find from Eq. [\(51\)](#page-5-2) for the finite-temperature part of the vacuum energy on $\mathbb{R}^2 \times S^1(\beta)$

⁴ From the integral representation of the incomplete Γ function

$$
\Gamma(\nu, x) = \int_{x}^{\infty} ds s^{\nu - 1} e^{-s}
$$
 (48)

the representation [\(49\)](#page-5-0) follows after the substitution $s = \tau A$.

$$
e(\beta) = (-)^{n_F} \mathcal{N} \frac{2^{n_F}}{2} \frac{2}{\Gamma(-\frac{1}{2})} \int_0^\infty d\tau \tau^{-3/2}
$$

$$
\times \int d^3 p e^{-\tau \Omega^2(p)} \sum_{k=1}^\infty (-)^{kn_F} \cos(k\beta p_3). \qquad (52)
$$

For $\beta \to \infty$ the integrand becomes a rapidly oscillating function and $e(\beta)$ vanishes, as one expects for the finitetemperature part. To work out the expression [\(52\)](#page-5-3) we need the explicit form of the single-particle energies $\Omega(\mathbf{p})$. We will consider bosons and fermions separately. For simplicity we will put the chemical potential to zero in the Bose case, since we are mainly interested in gauge bosons, where the chemical potential vanishes. For fermions we will include a nonzero chemical potential.

A. Bosons

For massive relativistic bosons and in the absence of a chemical potential the quantity $\Omega(p)$ in Eq. [\(41\)](#page-4-2) is given by the single-particle energy [\(38\)](#page-4-1)

$$
\Omega(p) = \sqrt{p^2 + m^2}.\tag{53}
$$

With this form of $\Omega(p)$ the momentum integrals in Eq. [\(52\)](#page-5-3) can be explicitly carried out. Using $\Gamma(-\frac{1}{2}) = -2\sqrt{\pi}$ one finds finds

$$
e(\beta) = \frac{\mathcal{N}}{8\pi^2} \sum_{n=1}^{\infty} \left(\frac{2m}{n\beta}\right)^2 K_{-2}(n\beta m),\tag{54}
$$

where

$$
K_{\nu}(z) = \frac{1}{2} \left(\frac{1}{2}z\right)^{\nu} \int_0^{\infty} dt t^{-\nu - 1} e^{-t - \frac{z^2}{4t}} \tag{55}
$$

is the modified Bessel function, which satisfies the relation $K_{-\nu}(z) = K_{\nu}(z)$.

For massless bosons $m = 0$ the expression [\(54\)](#page-5-4) can be worked out analytically. Using the asymptotic form of the modified Bessel function [\[16\]](#page-11-12)

$$
K_{\nu}(z) = \frac{1}{2} \Gamma(\nu) \left(\frac{1}{2} z\right)^{-\nu}, \qquad z \to 0, \qquad \nu > 0 \quad (56)
$$

we find from Eq. [\(54\)](#page-5-4) for $m = 0$

$$
e(\beta) = -\frac{\mathcal{N}}{\pi^2} \zeta(4) T^4,\tag{57}
$$

where

$$
\zeta(x) = \sum_{n=1}^{\infty} \frac{1}{n^x} \tag{58}
$$

is the Riemann ζ function. With $\zeta(4) = \pi^4/90$ we obtain from Eq. [\(29\)](#page-3-3) for the pressure of massless bosons

$$
p = \mathcal{N} \frac{\pi^2}{90} T^4
$$
, $T = 1/\beta$, (59)

which is the Stefan-Boltzmann law. This is the correct result, which follows also from the usual grand canonical ensemble, Eq. [\(36\)](#page-4-0). Note for photons we have $\mathcal{N} = 2$ due to the two polarization degrees of freedom.

For massive bosons $m \neq 0$ the remaining expression [\(54\)](#page-5-4) has to be calculated numerically. Also the corresponding expression [\(36\)](#page-4-0) for the pressure in the usual grand canonical ensemble cannot be calculated analytically in that case. Figure [1](#page-6-0) shows the pressure calculated numerically by means of Eq. [\(54\)](#page-5-4) and compares this result to that of the grand canonical ensemble [\(36\).](#page-4-0) The agreement of both results is, of course, expected in view of our above given derivation. What is remarkable, however, is that only the first few terms of the sum in Eq. [\(54\)](#page-5-4) are required to reproduce the full result with high accuracy.

B. Fermions

In the present approach of introducing the temperature through the compactification of the 3-axis the Dirac Hamiltonian of massive fermions in the presence of a (real) chemical potential reads [see Eq. [\(23\)\]](#page-2-7)

$$
h = \boldsymbol{\alpha} \cdot \boldsymbol{p} + \gamma^0 m + i\mu \alpha_3, \qquad \alpha_k = \gamma^0 \gamma^k. \tag{60}
$$

Its eigenvalues are given by $\pm \Omega(p)$ where

$$
\Omega(p) = \sqrt{m^2 + p_{\perp}^2 + (p_n + i\mu)^2}
$$
 (61)

FIG. 1. The pressure of a gas of massive bosons as a function of the temperature calculated from Eq. [\(54\)](#page-5-4) with $\mathcal{N} = 1$ by including only the first $n = 1, 2$ and 5 terms. The crosses give the result of the grand canonical ensemble [\(36\).](#page-4-0)

with $p_n = \omega_n + \pi/\beta$ being the fermionic Matsubara fre-quency, Eq. [\(44\).](#page-4-5) Inserting this expression for $\Omega(p)$ into Eq. [\(52\)](#page-5-3) and carrying out the momentum integral analogously to the bosonic case, one arrives at the following result:

$$
e(\beta) = \frac{\mathcal{N}}{4\pi^2} \sum_{n=1}^{\infty} (-)^n \cos(in\beta\mu) \left(\frac{2m}{n\beta}\right)^2 K_{-2}(n\beta m), \qquad (62)
$$

where we have $\mathcal{N} = 2$ due to the two spin degrees of freedom for Dirac fermions. For massive fermions this expression has to be calculated numerically, while for massless fermions we can use the asymptotic form of the modified Bessel function [\(56\)](#page-5-5) and obtain

$$
e(\beta) = \frac{2\mathcal{N}}{\pi^2 \beta^4} \sum_{n=1}^{\infty} (-)^n \frac{\cos(in\beta\mu)}{n^4}.
$$
 (63)

Obviously, this sum does not converge for real μ and β . To make this expression well defined we analytically continue the chemical potential μ to imaginary values. For real x we have [\[17\]](#page-11-13)

$$
\sum_{n=1}^{\infty} (-)^n \frac{\cos nx}{n^4} = \frac{1}{48} \left[-\frac{7}{15} \pi^4 + 2\pi^2 x^2 - x^4 \right].
$$
 (64)

Continuing this result back to imaginary values $x = i\beta\mu$ we find from Eq. [\(63\)](#page-6-1) for the pressure $p = -e(\beta)$

$$
p = \frac{\mathcal{N}}{24\pi^2} \left[\frac{7}{15} \pi^4 T^4 + 2\pi^2 T^2 \mu^2 + \mu^4 \right],\tag{65}
$$

which is the correct result known from the grand canonical ensemble [\(36\).](#page-4-0)

The equivalence of the expression [\(63\)](#page-6-1) for the pressure to that of the grand canonical ensemble [\(36\)](#page-4-0) can be made explicit by means of the polylogarithm

$$
Li_s(z) = \sum_{n=1}^{\infty} \frac{z^n}{n^s},\tag{66}
$$

which is defined for arbitrary complex order s and for complex z with $|z|$ < 1. By analytic continuation it can be extended to $|z| > 1$. The analytically continued form has the integral representation

$$
Li_s(z) = \frac{1}{\Gamma(s)} \int_0^\infty dt \frac{t^{s-1}}{e^t/z - 1},
$$
 (67)

by means of which the sum in Eq. [\(63\)](#page-6-1) can be expressed as

$$
\sum_{n=1}^{\infty} (-)^n \frac{\cos(iny)}{n^4} = -\frac{1}{12} \int_0^{\infty} dt t^3 \left[\frac{1}{e^{t-y} + 1} + \frac{1}{e^{t+y} + 1} \right].
$$
\n(68)

Inserting this relation into Eq. [\(63\)](#page-6-1) after a change of variables $t = \beta p$ we recover the pressure of the grand canonical ensemble, Eq. [\(36\)](#page-4-0), for massless fermions.

IV. YANG-MILLS THEORY IN A QUASIPARTICLE APPROXIMATION

As a first application of the general method developed in Sec. [II](#page-1-3) to produce new results we consider Yang-Mills theory at finite temperature in a quasiparticle approximation motivated by the variational calculation in Coulomb gauge [\[9,10,18\]](#page-11-10). In this approximation the pseudoenergy density on $\mathbb{R}^2 \times S^1(L)$ is given by Eq. [\(41\)](#page-4-2) [or in the regularized form by Eq. [\(52\)](#page-5-3)] with [\[19\]](#page-11-14)

$$
\Omega(p) = \omega(p) - \chi(p),\tag{69}
$$

where $\omega(\mathbf{p})$ is the gluon's quasiparticle energy and $\chi(\mathbf{p})$ is the ghost loop. For simplicity we will ignore the ghost loop in the following. Its influence will be discussed later. In the variational approach in Coulomb gauge [\[9,10\]](#page-11-10) one finds a gluon quasiparticle energy $\omega(\mathbf{p})$, which can be approximated by the so-called Gribov formula

$$
\omega(\mathbf{p}) = \sqrt{\mathbf{p}^2 + \frac{m^4}{\mathbf{p}^2}}.\tag{70}
$$

This formula also nicely fits the lattice data for the gluon propagator with a Gribov mass of $m = 880$ MeV [\[20\]](#page-11-15). In Ref. [\[21\]](#page-11-16) the thermodynamics of a noninteracting gas of gluons with the dispersion relation [\(70\)](#page-7-1) was studied. Unfortunately with this $\omega(\mathbf{p})$ the pseudoenergy density [\(51\)](#page-5-2) cannot be calculated analytically. We are interested here in an analytic estimate of the pressure for Yang-Mills theory. Therefore, we approximate this expression by the sum of its infrared and ultraviolet limits

$$
\omega(\mathbf{p}) = p + \frac{m^2}{p}.\tag{71}
$$

This approximation is applicable in the low- and highmomentum regimes at least but may be too crude in the mid-momentum regime $p \simeq m$. Therefore we expect that the details of the deconfinement phase transition cannot be adequately reproduced. This refers, in particular, to the critical temperature, which is sensitive to the details in the mid-momentum regime.

In a naive attempt one would calculate the pressure and energy density from the pseudoenergy density [\(41\)](#page-4-2) or Eq. [\(51\)](#page-5-2) with $\Omega(p)$ given by Eq. [\(71\)](#page-7-2) resulting in

$$
e(\beta) = e_{\alpha=1}(\beta) + e_{\alpha=-1}(\beta), \tag{72}
$$

where we have defined the finite-temperature momentum integrals

$$
e_{\alpha}(\beta) = \mathcal{N}\frac{1}{2} \int_{\beta} d^3 p \omega_{\alpha}(p) \tag{73}
$$

of powers of the 3-momentum

$$
\omega_{\alpha}(p) = m^{1-\alpha}p^{\alpha}, \qquad p = \sqrt{p_{\perp}^2 + p_n^2}.
$$
 (74)

Using the proper-time representation [\(50\)](#page-5-1)

$$
p^{\alpha} = \frac{1}{\Gamma(-\frac{\alpha}{2})} \int_0^{\infty} d\tau \tau^{-1-\frac{\alpha}{2}} e^{-\tau p^2}
$$
 (75)

and the Poisson resummation [\(47\)](#page-4-4) where one has to skip the $k = 0$ term one finds after carrying out the momentum integrals $\int d^2p_{\perp}$ and $\int dp_3$ the following result:

$$
e_{\alpha}(\beta) = \mathcal{N}\frac{m^{1-\alpha}}{(4\pi)^{3/2}} \cdot \frac{\Gamma(\frac{\alpha}{2}+\frac{3}{2})}{\Gamma(-\frac{\alpha}{2})} \left(\frac{2}{L}\right)^{3+\alpha} \zeta_{n_F}(\alpha+3),\tag{76}
$$

where

$$
\zeta_{n_F}(x) = \sum_{n=1}^{\infty} \frac{(-1)^{n_F n}}{n^x}.
$$
 (77)

For bosons $(n_F = 0)$ this quantity is the Riemann ζ function [\(58\)](#page-5-6), $\zeta_{n_F=0}(x)=\zeta(x)$, while for fermions $(n_F = 1)$ we have

$$
\zeta_{n_F=1}(z) = \sum_{n=1}^{\infty} \frac{(-1)^n}{n^z} = -(1 - 2^{1-z})\zeta(z), \qquad \text{Re} z > 0.
$$
\n(78)

We are interested here in the gauge bosons with the dispersion relation [\(71\)](#page-7-2), for which the pressure $p = -e(\beta)$ is given by Eqs. [\(72\)](#page-7-3) and [\(73\)](#page-7-4) with degeneracy factor $\mathcal{N} = 2(N_C^2 - 1)$ for the gauge group $SU(N_C)$. For bosons we find from Eq. (76) we find from Eq. [\(76\)](#page-7-5)

$$
e_{\alpha=1}(\beta) = -\mathcal{N}\frac{\pi^2}{90}T^4, \qquad e_{\alpha=-1}(\beta) = \mathcal{N}\frac{m^2}{12}T^2. \tag{79}
$$

The resulting pressure [Eq. [\(72\)](#page-7-3)]

$$
p = -e(\beta) = p_{SB} \left(1 - \frac{45}{6} \frac{m^2}{T^2} \right) \tag{80}
$$

approaches the correct Stefan-Boltzmann limit

$$
p_{SB} = \mathcal{N}\frac{\pi^2}{90}T^4
$$
 (81)

for high temperatures but is negative for small temperatures. A negative pressure usually indicates that the

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underlying phase is unstable. Indeed, the quasiparticle vacuum of Yang-Mills theory is unstable against the formation of a constant background field. In fact, a constant background field aligned along the compactified dimension lowers the (pseudo)energy density. 5 This is seen by calculating the effective potential of such a background field [\[14\].](#page-11-17) In the Hamiltonian approach the effective potential of a (constant) background field α is given by the energy density [here on $\mathbb{R}^2 \times S^1(L)$ by the pseudoenergy density], calculated in the presence of the constraint that the expectation value of the dynamical field A equals the background field [\[22\]](#page-11-18)

$$
\langle A \rangle = a. \tag{82}
$$

Without loss of generality the background gluon field can be chosen in the Cartan subalgebra. Up to two-loop order the effective potential of the background field is then obtained from the (pseudo)energy density [\(41\)](#page-4-2) by shifting the momentum [\[14\]](#page-11-17)

$$
p \rightarrow p_{\sigma} = p - \sigma a = p_{\perp} + e_3(p_n - \sigma a), \qquad (83)
$$

where σ denotes a root vector of the gauge group, and summing over all roots

$$
e(a, L) = \sum_{\sigma} \int_{L} d^{3} p \Omega(p_{\sigma}). \tag{84}
$$

Here the degeneracy factor $\mathcal{N} = 2(\mathcal{N}_C^2 - 1)$ is already
included. The factor 2 stemming from the two polarization included. The factor 2 stemming from the two polarization degrees of freedom cancels the factor $1/2$ in Eq. [\(41\)](#page-4-2) while the color degeneracy factor $N_C^2 - 1$ is accounted for by the summation over the root vectors σ .

To find the minimum of the effective potential $e(a, \beta)$ it is convenient to subtract the pseudoenergy density at vanishing background field $e(a = 0, \beta) = e(\beta)$. The difference

$$
e_p(a,\beta) := e(a,\beta) - e(a = 0,\beta)
$$
 (85)

is ultraviolet finite and can be interpreted as the effective potential of the Polyakov loop [\[14\]](#page-11-17). To obtain the Polyakov loop potential in the quasiparticle approximation for the dispersion relation [\(71\)](#page-7-2) it is convenient to calculate first the extension of $e_{\alpha}(\beta)$ [\(73\)](#page-7-4) in the presence of the background field

$$
e_{\alpha}(a,\beta) = \sum_{\sigma} \int_{L} d^{3} p \omega_{\alpha}(\boldsymbol{p}_{\sigma}), \qquad (86)
$$

where $\omega_a(p)$ is defined in Eq. [\(74\)](#page-7-6). The calculations are done in the same way as in the absence of the background field except that after doing the Poisson resummation the integration variable p_3 is shifted: $p_3 - \sigma \cdot a \rightarrow p_3$. One finds

$$
e_{\alpha}(a,\beta) = 2 \frac{m^{1-\alpha}}{(4\pi)^{3/2}} \frac{\Gamma(\frac{3+\alpha}{2})}{\Gamma(-\frac{\alpha}{2})} \left(\frac{2}{\beta}\right)^{\alpha+3} h_{\alpha}(a,\beta), \quad (87)
$$

where

$$
h_{\alpha}(a,\beta) = \sum_{\sigma} \sum_{n=1}^{\infty} \frac{\cos(n\sigma \cdot a\beta)}{n^{\alpha+3}}.
$$
 (88)

Since

$$
h_{\alpha}(a=0,\beta) = (N_C^2 - 1)\zeta(\alpha + 3)
$$
 (89)

the expression $e_{\alpha}(a,\beta)$ [Eq. [\(87\)](#page-8-0)] reduces for $a = 0$ indeed to $e_{\alpha}(\beta)$ [Eq. [\(76\)\]](#page-7-5). For the dispersion relation [\(71\)](#page-7-2) we find for the Polyakov loop potential

$$
e_p(a,\beta) = e_{\alpha=1}(a,\beta) + e_{\alpha=-1}(a,\beta)
$$

$$
- (e_{\alpha=1}(0,\beta) + e_{\alpha=-1}(0,\beta)).
$$
 (90)

The various pieces can be evaluated analytically. For the gauge group $SU(N_C = 2)$ the roots are one dimensional

$$
\sigma a = \sigma a, \qquad \sigma = 0, \ \pm 1, \tag{91}
$$

so that

$$
h_{\alpha}(a,\beta) = 2\sum_{n=1}^{\infty} \frac{\cos(n a\beta)}{n^{\alpha+3}} + \zeta(\alpha+3). \tag{92}
$$

Using [\[17\]](#page-11-13)

$$
\sum_{k=1}^{\infty} \frac{\cos(kx)}{k^2} = \frac{\pi^2}{6} - \frac{\pi x}{2} + \frac{x^4}{4}
$$
 (93)

and $\zeta(2) = \pi^2/6$ one finds for $\alpha = -1$

$$
e_{\alpha=-1}(a,\beta) = (N_C^2 - 1)\frac{m^2}{6}T^2\left(1 - \frac{a}{\pi T}\right)^2.
$$
 (94)

For $\alpha = 1$ we use [\[17\]](#page-11-13)

$$
\sum_{k=1}^{\infty} \frac{\cos(kx)}{k^4} = \frac{\pi^4}{90} - \frac{\pi^2 x^2}{12} + \frac{\pi x^3}{12} - \frac{x^4}{48}
$$
(95)

and $\zeta(4) = \pi^4/90$ to obtain

$$
e_{\alpha=1}(a,\beta) = -(N_C^2 - 1)\frac{\pi^2 T^4}{45} \left[1 - 20\left(\frac{a}{2\pi T}\right)^2 \left(1 - \frac{a}{2\pi T}\right)^2 \right].
$$
\n(96)

⁵A constant background field along the uncompactified dimension has no physical effect.

With the explicit expressions for $e_{\alpha=+1}(a,\beta)$ at hand we find for the effective potential of the Polyakov loop [\(90\)](#page-8-1)

$$
e(a,\beta) = (N_C^2 - 1)\frac{4}{9}\pi^2 T^4 f(x),\tag{97}
$$

where

$$
f(x) = x^2(x-1)^2 + cx(x-1)
$$
 (98)

with the dimensionless variables

$$
x = \frac{a\beta}{2\pi}, \qquad c = 3\frac{m^2\beta^2}{2\pi^2}.
$$
 (99)

Note that the potential [\(97\)](#page-9-0) is invariant under the center transformation $x \to 1 - x$.

For $c > \frac{1}{2}$ the function $f(x)$ [Eq. [\(97\)](#page-9-0)] has a single real extremum, i.e. a minimum at $x = \frac{1}{2}$. This minimum turns into a degenerate cubic root at $c = \frac{1}{2}$ and eventually for $c < \frac{1}{2}$ dissolves into a maximum at $x = \frac{1}{2}$ and two degenerate minima at erate minima at

$$
x_{1/2} = \frac{1}{2} [1 \pm \sqrt{1 - 2c}], \tag{100}
$$

which are related by a center transformation $x \to 1 - x$, i.e. $x_2 = 1 - x_1$.

For a given temperature $T = 1/\beta$ ([.e. for a given value of c [\(99\)\]](#page-9-1) the vacuum background field configuration \overline{a} is given by the minimum of the Polyakov loop potential [\(97\)](#page-9-0). For $c > \frac{1}{2}$ the minimum occurs at the center-symmetric point $x = \frac{1}{2}$, i.e. $\overline{a} = \frac{\pi}{\beta}$ corresponding to the confined phase. At $c = \frac{1}{2}$ where this minimum turns into a maximum the deconfinement phase transition occurs. From Eq. (99) we deconfinement phase transition occurs. From Eq. [\(99\)](#page-9-1) we find for the critical temperature

$$
T_c = \sqrt{3}m/\pi. \tag{101}
$$

For a Gribov mass of $m \approx 880$ MeV [\[20\],](#page-11-15) which fits the lattice data for the gluon propagator one finds $T_c \approx 485$ MeV, which is by far too high compared to the lattice result of $T_c \approx 300$ MeV. As shown in Ref. [\[14\]](#page-11-17) the high value of T_c results from the neglect of the ghost loop in the (pseudo)energy density. Inclusion of the ghost loop lowers the critical temperature to realistic values [\[14\]](#page-11-17).

By means of the critical temperature T_c [Eq. [\(101\)](#page-9-2)] we can express the quantity c [Eq. [\(99\)](#page-9-1)] as

$$
2c = \left(\frac{T}{T_c}\right)^2,\tag{102}
$$

so that the two degenerate minima [\(100\)](#page-9-3) of the effective potential $e_p(a, \beta)$ at $T > T_c$ read

$$
x_{1/2} = \frac{1}{2} \left[1 \pm \sqrt{1 - \left(\frac{T_c}{T}\right)^2} \right].
$$
 (103)

The pressure of Yang-Mills theory is given by

$$
p = -e(\overline{a}, \beta) = -e_{\alpha=-1}(\overline{a}, \beta) - e_{\alpha=1}(\overline{a}, \beta), \qquad (104)
$$

where \bar{a} is the position of the minimum of the Polyakov loop potential, which [in the dimensionless variable $x(99)$] is given by

$$
\overline{x} = \begin{cases} \frac{1}{2}, & T \le T_c \\ x_{1/2}, & T \ge T_c. \end{cases}
$$
 (105)

In the confined phase we have $\bar{x} = 1/2$ or $\bar{a} = \pi/\beta$ and

$$
e_{\alpha=-1}\left(\overline{a} = \frac{\pi}{\beta}, \beta\right) = 0,
$$

$$
e_{\alpha=1}\left(\overline{a} = \frac{\pi}{\beta}, \beta\right) = (N_C^2 - 1)\frac{\pi^2}{180}T^4,
$$
 (106)

so that we find for the pressure

$$
p(t) = -\frac{1}{4}p_{SB}(t),
$$
\n(107)

where

$$
p_{SB}(t) = -e_{a=1}(a=0,\beta) = (N_C^2 - 1)\frac{M^4}{5\pi^2}t^4 \qquad (108)
$$

is the Stefan-Boltzmann limit [\(81\)](#page-7-7) of the pressure and we have introduced the dimensionless temperature $t = T/T_c$. In the deconfined phase we obtain with

$$
e_{\alpha=-1}(x_{1/2}, \beta) = (N_C^2 - 1) \frac{m^4}{2\pi^2} t^2 \left(1 - \frac{1}{t^2}\right),
$$

$$
e_{\alpha=1}(x_{1/2}, \beta) = -(N_C^2 - 1) \frac{m^4}{\pi^2} t^4 \left(\frac{1}{5} - \frac{1}{4t^2}\right)
$$
 (109)

for the pressure

$$
p(t) = p_{SB}(t) \left[1 - \frac{15}{4} \frac{1}{t^2} + \frac{5}{2} \frac{1}{t^4} \right].
$$
 (110)

For $T \to \infty$ the pressure approaches the Stefan-Boltzmann limit. The pressure obtained above is shown in Fig. [2](#page-10-1) as a function of the temperature. For sake of comparison we also show the pressure measured on the lattice [\[23\]](#page-11-19), where the scale was adjusted to match the critical temperature T_c . Above T_c the tendency of the lattice data is roughly reproduced. Given the crudeness of our approximation we cannot expect a good agreement with the lattice data. In the confined phase we still get a negative pressure

FIG. 2. The pressure p of Yang-Mills theory calculated by the method of Sec. [II](#page-1-3) for a gluon dispersion relation $\omega(p) = p + M^2/p$. Shown is the ratio p/p_{SB} where p_{SB} denotes the Stefan-Boltzmann limit [\(108\).](#page-9-5) The crosses show the lattice data from Ref. [\[23\].](#page-11-19)

$$
p/p_{SB} = -1/4, \t(111)
$$

which, however, is much more benign than the result of the naive calculation [\(80\)](#page-7-8), for which

$$
p/p_{SB} \sim -1/T^2, \qquad T \to 0. \tag{112}
$$

The negative pressure obtained in Eq. [\(111\)](#page-9-4) for $T < T_c$ is not due to an instability of the confined phase but rather is a consequence of the violation of $O(4)$ invariance by the dispersion relation (71) .⁶ This is seen by calculating numerically the pressure for the quasiparticle energy [\(71\)](#page-7-2) from the grand canonical ensemble [\(40\),](#page-4-6) which yields a positive-definite result [\[24\].](#page-11-20) Since both approaches, the grand canonical ensemble and the compactification of a spatial axis, are equivalent for $O(4)$ -invariant theories the negative pressure obtained above is definitely a consequence of the $O(4)$ violation of the dispersion relation [\(71\)](#page-7-2) but not a consequence of a vacuum instability.

In general, in a Hamiltonian approach approximations may lead to a violation of the $O(4)$ symmetry. In the variational approach to Yang-Mills theory in Coulomb gauge [8–[10\]](#page-11-6) it is not difficult to see that the inclusion of the ghost reduces the $O(4)$ symmetry breaking. Indeed, in this approach the self-consistent pseudoenergy density is given by Eq. [\(41\)](#page-4-2) with $\Omega(p)$ given by Eq. [\(69\)](#page-7-9). The ghost loop $\chi(p)$ is infrared divergent and vanishes in the ultraviolet. If one uses the Gribov formula $\omega(\mathbf{p})$ [Eq. [\(70\)\]](#page-7-1) and

ignores the so-called Coulomb term, the variational gap equation yields for the ghost loop [\[14\]](#page-11-17)

$$
\chi(\mathbf{p}) = m^2/|\mathbf{p}|.\tag{113}
$$

Using the approximation [\(71\)](#page-7-2) to the Gribov formula and Eq. [\(113\)](#page-10-2) we obtain from Eq. [\(69\)](#page-7-9) $\Omega(p) = |p|$, which is an $O(4)$ -invariant dispersion relation. Of course, in a realistic calculation using the numerical variational solutions the inclusion of the ghost will not completely restore the $O(4)$ symmetry but will definitely reduce the symmetry breaking [\[24\]](#page-11-20).

V. SUMMARY AND CONCLUSIONS

I have presented an alternative approach to finitetemperature quantum field theory within the Hamiltonian formulation where the temperature is introduced by compactifying a spatial dimension. Compared to the usual grand canonical ensemble this approach is advantageous in the sense that it does not require the introduction of a statistical density operator. Instead the whole temperature behavior is encoded in the vacuum state on the spatial manifold $\mathbb{R}^2 \times S^1$. This is beneficial for nonperturbative continuum studies like variational approaches, which usually concentrate on the description of the vacuum while excited states are not directly accessible. I have illustrated this approach for free bosons and fermions where it reproduces the correct result of the grand canonical ensemble. Furthermore, the pressure of Yang-Mills theory was calculated in a quasiparticle approximation using quasigluon energies, which were motivated by the results of a variational approach in Coulomb gauge and also by lattice data for the gluon propagator in Coulomb gauge. In order to carry out the calculations analytically we used a simple parametrization of the quasigluon energy, which unfortunately violates $O(4)$ invariance, in particular at small momenta. As a consequence we obtained a small negative pressure in the confined phase. The $O(4)$ symmetry breaking of the assumed gluon energy [\(71\)](#page-7-2) decreases with increasing momenta and the obtained pressure reaches the correct Stefan-Boltzmann limit at high temperature.

Let us also mention that the present approach was applied in Ref. [\[12\]](#page-11-8) to study finite-temperature Yang-Mills theory in a variational approach and in Ref. [\[14\]](#page-11-17) to calculate the effective potential of the Polyakov loop (in pure Yang-Mills theory) at finite temperature. In the future I plan to apply this approach also to the quark sector of QCD.

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⁶Please recall that the present approach to finite-temperature quantum field theory by compactifying a spatial dimension assumes $O(4)$ invariance in Euclidean space; see Sec. [II](#page-1-3). In the cases treated in Sec. [III](#page-3-0) the $O(4)$ invariance was strictly preserved and the exact results were obtained.

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