#### PHYSICAL REVIEW D 94, 036004 (2016)

## Zeros in the magic neutrino mass matrix

Radha Raman Gautam

Department of Physics, Himachal Pradesh University, Shimla 171005, India

Sanjeev Kumar<sup>†</sup>

Department of Physics and Astrophysics, University of Delhi, Delhi 110007, India (Received 1 June 2016; published 18 August 2016)

We study the phenomenological implications of the presence of two zeros in a magic neutrino mass matrix. We find that only two such patterns of the neutrino mass matrix are experimentally acceptable. We express all the neutrino observables as functions of one unknown phase  $\phi$  and two known parameters  $\Delta m_{12}^2$ ,  $r = \Delta m_{12}^2 / \Delta m_{23}^2$ . In particular, we find  $\sin^2 \theta_{13} = (2/3)r/(1+r)$ . We also present a mass model for the allowed textures based upon the group  $A_4$  using the type I + II seesaw mechanism.

DOI: 10.1103/PhysRevD.94.036004

### I. INTRODUCTION

The observation of a nonzero reactor mixing angle  $(\theta_{13})$  [1] was an important landmark in neutrino physics as it excluded the possibility of the  $\mu - \tau$  symmetry [2] as an exact symmetry of the neutrino mass matrix. Before this discovery, the tribimaximal (TBM) mixing [3] was an important feature in the neutrino mass models as it correctly predicted the solar mixing angle  $(\theta_{12})$  and the atmospheric mixing angle  $(\theta_{23})$ . TBM mixing was thought to be a signature of some flavor symmetry in the Lagrangian that expresses itself as a residual symmetry in the neutrino mass matrix. However, TBM mixing is in itself a combination of the following two symmetries:

- (1) *Magic symmetry*. The sum of elements in any row or column of the neutrino mass matrix is identical [4].
- (2)  $\mu \tau$  symmetry. The neutrino mass matrix remains invariant after the interchange of the  $\mu \tau$  indices [2].

The neutrino mass matrix with  $\mu - \tau$  symmetry implies a vanishing value of  $\theta_{13}$  and a maximal value of  $\theta_{23}$ . Such a mass matrix has the bimaximal eigenvector  $v = (0 \frac{-1}{\sqrt{2}} \frac{1}{\sqrt{2}})^T$ . After the measurement of a relatively large value of  $\theta_{13}$ , the neutrino mass matrix cannot have exact  $\mu - \tau$  symmetry. However, the neutrino mass matrix can still have the magic symmetry. The corresponding mixing pattern, called trimaximal (TM) mixing, has its middle column identical to that of TBM mixing. The other two columns are arbitrary within the unitarity constraints.

TM mixing has been intensively studied in the literature [5] and the corresponding magic mass matrix has been realized in many neutrino mass models [6]. The main

limitation of the magic symmetry is that it is not very predictive. It predicts TM mixing that implies two sum rules: one between the mixing angles  $\theta_{12}$  and  $\theta_{13}$  and another between the mixing angle  $\theta_{23}$  and the *CP*-violating Dirac phase  $\delta$ . To make the magic symmetry more predictive, we can combine it with some additional constraint. The simplest constraint that could combine with magic symmetry was the  $\mu - \tau$  symmetry. But, the observation of a nonvanishing  $\theta_{13}$  has already ruled out this possibility. Another constraint can be the presence of zeros [7–9] in the magic neutrino mass matrix. In this work, we study this possibility.

In Sec. II, we highlight the salient features of the TBM mixing pattern and review its relation with TM mixing. We identify the phenomenologically allowed textures of two zeros in the magic neutrino mass matrix in Sec. III. Then, we study the phenomenology of the viable textures in Sec. IV and construct a mass model for them in Sec. V. Finally, we conclude in Sec. VI.

### **II. FROM TBM TO TM MIXING**

The TBM mixing matrix is

$$U_{\rm TBM} = \begin{pmatrix} -\frac{\sqrt{2}}{\sqrt{3}} & \frac{1}{\sqrt{3}} & 0\\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}}\\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix}.$$
 (1)

It is called the tribimaximal mixing matrix because the corresponding neutrino mass matrix

$$M_{\rm TBM} = U^*_{\rm TBM} M_{\rm diag} U^{\dagger}_{\rm TBM} \tag{2}$$

has a trimaximal eigenvector  $u = (\frac{1}{\sqrt{3}} \frac{1}{\sqrt{3}} \frac{1}{\sqrt{3}})^T$  and a bimaximal eigenvector  $v = (0 \frac{-1}{\sqrt{2}} \frac{1}{\sqrt{2}})^T$ . Here,

gautamrrg@gmail.com

skverma@physics.du.ac.in

RADHA RAMAN GAUTAM and SANJEEV KUMAR

$$M_{\text{diag}} = \begin{pmatrix} m_1 & 0 & 0\\ 0 & e^{2i\alpha}m_2 & 0\\ 0 & 0 & e^{2i\beta}m_3 \end{pmatrix}, \qquad (3)$$

where  $m_1$ ,  $m_2$ , and  $m_3$  are the three neutrino masses and  $\alpha$ and  $\beta$  are two Majorana phases. The TBM mass matrix  $M_{\text{TBM}}$  is invariant under the transformations  $G_{\mu}$  and  $G_{\nu}$ , i.e.  $G_u^T M_{\text{TBM}} G_u = M_{\text{TBM}}$  and  $G_v^T M_{\text{TBM}} G_v = M_{\text{TBM}}$ , where  $G_u = 1 - 2uu^T$  and  $G_v = 1 - 2vv^T$ . The transformation  $G_u$  corresponds to the magic symmetry and the transformation  $G_v$  corresponds to the  $\mu - \tau$  symmetry. A diagonal charged lepton mass matrix will be invariant under the transformation  $F = \text{diag}(1, \omega, \omega^2)$ , where  $\omega = \exp(\frac{2\pi i}{2})$ . In this way, the combined symmetry group generated by  $G_u$ ,  $G_v$ , and F is  $S_4$  [10]. Such neutrino mass models, where some of the generators of a symmetry group are directly preserved in the lepton sector, are called direct models. Another set of models, where the observed symmetry in the lepton sector emerges accidentally, are called indirect models. For a detailed discussion of this classification, see Refs. [11,12].

Since the neutrino oscillation experiments have measured a nonzero  $\theta_{13}$ , the neutrino mass matrix  $M_{\nu}$  cannot be invariant under the  $\mu - \tau$  symmetry transformation  $G_{\nu}$ . However,  $M_{\nu}$  can still be invariant under the magic symmetry transformation  $G_{u}$ . The magic symmetry is still allowed experimentally. The mixing matrix corresponding to the magic symmetry is called trimaximal mixing and is given by

$$U_{\rm TM} = \begin{pmatrix} \sqrt{\frac{2}{3}}\cos\theta & \frac{1}{\sqrt{3}} & \sqrt{\frac{2}{3}}\sin\theta \\ -\frac{\cos\theta}{\sqrt{6}} + \frac{e^{-i\phi}\sin\theta}{\sqrt{2}} & \frac{1}{\sqrt{3}} & -\frac{\sin\theta}{\sqrt{6}} - \frac{e^{-i\phi}\cos\theta}{\sqrt{2}} \\ -\frac{\cos\theta}{\sqrt{6}} - \frac{e^{-i\phi}\sin\theta}{\sqrt{2}} & \frac{1}{\sqrt{3}} & -\frac{\sin\theta}{\sqrt{6}} + \frac{e^{-i\phi}\cos\theta}{\sqrt{2}} \end{pmatrix}.$$
 (4)

Since, the middle column of the TM mixing matrix is fixed to its TBM value (*u*), the mixing matrix still has two free parameters ( $\theta$  and  $\phi$ ) after the unitarity constraints are taken into account. The corresponding neutrino mass matrix for TM mixing is called the magic mass matrix and is given as

$$M_{\text{magic}} = U_{\text{TM}}^* M_{\text{diag}} U_{\text{TM}}^{\dagger}.$$
 (5)

#### **III. ZEROS OF THE MAGIC MASS MATRIX**

In the basis where the charged lepton mass matrix is diagonal, there are seven mass matrices with two zeros [7,8] that are consistent with the current experimental data [13]. They have been further classified in the three classes which are depicted in Table I. When we combine the magic symmetry and the texture zeros, not all of the seven textures will be allowed.

TABLE I. Seven allowed mass matrices with two zeros classified into three classes.

Туре	Constraining Equations		
$A_1$	$M_{ee} = 0, M_{e\mu} = 0$		
$A_2$	$M_{ee}=0, M_{e au}=0$		
$B_1$	$M_{e au}=0,M_{\mu\mu}=0$		
$B_2$	$M_{e\mu} = 0,  M_{\tau\tau} = 0$		
$B_3$	$M_{e\mu} = 0,  M_{\mu\mu} = 0$		
$B_4$	$M_{e\tau} = 0, \ M_{\tau\tau} = 0$		
C	$M_{\mu\mu}=0,M_{ au au}=0$		

A most general magic mass matrix can be parametrized as [4]

$$M_{\text{magic}} = \begin{pmatrix} a & b & c \\ b & d & a+c-d \\ c & a+c-d & b-c+d \end{pmatrix}.$$
 (6)

We can obtain the constraining equations for the various allowed textures of two zeros in the magic mass matrix by substituting the respective constraints from Table I into Eq. (6).

## A. Class A

Magic neutrino mass matrices having textures  $A_1$  and  $A_2$  can be expressed as

$$M_{\text{magic}}^{A_1} = \begin{pmatrix} 0 & 0 & c \\ 0 & d & c - d \\ c & c - d & -c + d \end{pmatrix}$$
(7)

and

$$M_{\text{magic}}^{A_2} = \begin{pmatrix} 0 & b & 0 \\ b & d & -d \\ 0 & -d & b + d \end{pmatrix},$$
 (8)

respectively. The mass matrix for the magic  $A_1$  texture can be rewritten as

$$M_{\text{magic}}^{A_1} = \begin{pmatrix} 0 & 0 & c \\ 0 & c - \Delta & \Delta \\ c & \Delta & -\Delta \end{pmatrix}, \tag{9}$$

where  $\Delta = c - d$ . This redefinition puts our representations of the textures  $A_1$  and  $A_2$  on equal footing. These two magic zero textures are allowed experimentally for normal hierarchy. Their phenomenology is studied in the Sec. IV.

## B. Class B

The four magic mass matrices of class **B** are

$$M_{\text{magic}}^{B_1} = \begin{pmatrix} a & b & 0 \\ b & 0 & a \\ 0 & a & b \end{pmatrix},$$
 (10)

$$M_{\text{magic}}^{B_2} = \begin{pmatrix} a & 0 & c \\ 0 & c & a \\ c & a & 0 \end{pmatrix},$$
(11)

$$M_{\text{magic}}^{B_3} = \begin{pmatrix} a & 0 & c \\ 0 & 0 & a+c \\ c & a+c & -c \end{pmatrix},$$
(12)

and

$$M_{\text{magic}}^{B_4} = \begin{pmatrix} a & b & 0 \\ b & -b & a+b \\ 0 & a+b & 0 \end{pmatrix}.$$
 (13)

The magic mass matrices of type  $B_1$  and  $B_2$  are not allowed as they predict  $m_1 = m_3$ . The magic mass matrices of types  $B_3$  and  $B_4$  are not allowed because these textures predict a very large value for the ratio  $r = \Delta m_{12}^2 / \Delta m_{23}^2$  when  $\theta_{13}$  is small. We illustrate this tension between r and  $\theta_{13}$  for the magic mass matrices of types  $B_3$  and  $B_4$  in Sec. IV.

## C. Class C

The magic mass matrix of class C is

$$M_{\text{magic}}^{C} = \begin{pmatrix} a & b & b \\ b & 0 & a+b \\ b & a+b & 0 \end{pmatrix}.$$
 (14)

This mass matrix has  $\mu - \tau$  symmetry and implies  $\theta_{13} = 0$ . Hence, it is not allowed.

### **IV. PHENOMENOLOGICAL IMPLICATIONS**

The phenomenology of the textures  $A_1$  and  $A_2$  is related: one can obtain the predictions for  $A_2$  by making the transformations

$$\theta_{23} \rightarrow \frac{\pi}{2} - \theta_{23}, \qquad \delta = \pi - \delta \tag{15}$$

on the predictions of texture  $A_1$ . Hence, we study the phenomenological implications for texture  $A_1$  only.

The above transformation [Eq. (15)] also relates the predictions for textures  $B_3$  and  $B_4$ . So, we show the incompatibility of the magic mass matrix of type  $B_3$  with the experimental data at the end of this section. Then, Eq. (15) automatically implies that the magic mass matrix of type  $B_4$  is also inconsistent with the experimental data.

## A. Diagonalization of a magic mass matrix

Any magic mass matrix M can be diagonalized by a trimaximal mixing matrix  $U = U_{\text{TM}}$  given in Eq. (4) using the relation

$$U^T M U = M_{\text{diag}},\tag{16}$$

where  $M_{\rm diag}$  is the diagonal mass matrix given by Eq. (3).

The mixing angles can be calculated from U using the relations

$$s_{12}^2 = \frac{|U_{12}|^2}{1 - |U_{13}|^2}, \quad s_{23}^2 = \frac{|U_{23}|^2}{1 - |U_{13}|^2}, \text{ and } s_{13}^2 = |U_{13}|^2.$$
(17)

Substituting the elements of the TM mixing matrix into the above equation, we get

$$s_{12}^2 = \frac{1}{3 - 2\sin^2\theta},\tag{18}$$

$$s_{23}^2 = \frac{1}{2} \left( 1 + \frac{\sqrt{3}\sin 2\theta \cos \phi}{3 - 2\sin^2 \theta} \right),$$
 (19)

and

$$s_{13}^2 = \frac{2}{3}\sin^2\theta.$$
 (20)

The *CP*-violating phase  $\delta$  can be calculated from the Jarlskog rephasing invariant measure of *CP* violation [14]

$$J = \operatorname{Im}(U_{11}U_{12}^*U_{21}^*U_{22}) \tag{21}$$

using the relation

$$J = s_{12}s_{23}s_{13}c_{12}c_{23}c_{13}^2\sin\delta.$$
 (22)

Substituting the elements of the TM mixing matrix into Eq. (21), we obtain

$$J = \frac{1}{6\sqrt{3}}\sin 2\theta \cos \phi.$$
 (23)

From Eqs. (22) and (23), we get

$$\csc^2 \delta = \csc^2 \phi - \frac{3 \sin^2 2\theta \cot^2 \phi}{(3 - 2 \sin^2 \theta)^2}.$$
 (24)

#### **B.** Analysis of class $A_1$

We reconstruct the magic neutrino mass matrix using Eq. (5), viz.,

$$M_{\nu} = U^* M_{\rm diag} U^{\dagger}, \qquad (25)$$

where  $M_{\nu} = M_{\text{magic}}$  and  $U = U_{\text{TM}}$ . To obtain the predictions for the neutrino mass matrix of the type  $A_1$  given by Eq. (9), we have to solve the two complex equations  $M_{\nu_{11}} = 0$  and  $M_{\nu_{12}} = 0$ .

Solving the equation  $M_{\nu_{11}} = 0$ , we get

$$\frac{m_1}{m_2} = \frac{\sin 2(\alpha - \beta)}{2\sin 2\beta \cos^2 \theta}$$
(26)

and

$$\frac{m_2}{m_3} = -\frac{2\sin 2\beta \sin^2 \theta}{\sin 2\alpha}.$$
 (27)

Using these two equations, we evaluate  $m_1/m_3$  and invert the resulting relation to obtain

$$\cot 2\alpha = \cot 2\beta + \frac{m_1}{m_3} \csc 2\beta \cot^2\theta.$$
 (28)

We note that the presence of a zero in the (1, 1) entry in a magic mass matrix, through Eqs. (26) and (27), implies a beautiful sum rule on neutrino masses:

$$\frac{\sin 2(\alpha - \beta)}{m_1} - \frac{2\sin 2\beta}{m_2} - \frac{\sin 2\alpha}{m_3} = 0.$$
 (29)

The texture zero at the (1, 1) entry in a magic mass matrix also gives a nice prediction for the ratio  $r = \Delta m_{12}^2 / \Delta m_{23}^2$ . From Eqs. (26) and (27), we obtain

$$r = \frac{-\sin^2 2(\alpha - \beta) + 4\cos^2 \theta \sin^2 2\beta}{\cot^2 \theta \sin^2 2\alpha - 4\cos^2 \theta \sin^2 2\beta}.$$
 (30)

Instead of solving the second equation  $M_{\nu_{12}} = 0$ , we solve the equivalent complex equation  $M_{\nu_{11}} = M_{\nu_{12}}$  by equating the real and imaginary parts of the two sides. After a little algebra, we obtain

$$\frac{m_1}{m_3} = \frac{\sqrt{3}\sin 2\beta \tan \theta + \sin(2\beta - \phi)}{\sin \phi}$$
(31)

and

$$\tan 2\beta = -\frac{\sqrt{3}\sin\phi}{\sqrt{3}\cos 2\theta\cos\phi + \sin 2\theta}.$$
 (32)

Using Eq. (31) to simplify Eq. (28), we obtain

$$\cot 2\alpha = \cot \phi + \frac{\cot \theta \csc \phi}{\sqrt{3}}.$$
 (33)

Equations (32) and (33) express the two Majorana phases in terms of the two TM parameters ( $\theta$  and  $\phi$ ). Substituting these two equations into Eq. (30), we obtain the most important result of this work as

$$r = \tan^2 \theta. \tag{34}$$

It is interesting that *r* comes out to be independent of the phase  $\phi$ .

We also substitute Eqs. (32) and (33) into the three mass ratios given by Eqs. (26), (31), and (27) to calculate the three neutrino masses. Finally, we express  $\theta$  in terms of reverywhere using Eq. (34). Hence, we can express the three neutrino masses in terms of the three parameters:  $\Delta m_{12}^2$ , r, and  $\phi$ . We obtain

$$m_1 = \sqrt{\Delta m_{12}^2} \sqrt{\frac{1 + 3r + 2\sqrt{3}\sqrt{r}\cos\phi}{3 - 3r - 2\sqrt{3}\sqrt{r}\cos\phi}}, \quad (35)$$

$$n_2 = \frac{2\sqrt{\Delta m_{12}^2}}{\sqrt{3 - 3r - 2\sqrt{3}\sqrt{r}\cos\phi}},$$
 (36)

and

$$m_3 = \sqrt{\Delta m_{12}^2} \sqrt{\frac{3 + r - 2\sqrt{3}\sqrt{r}\cos\phi}{3 - 3r - 2\sqrt{3}\sqrt{r}\cos\phi}}.$$
 (37)

Now, we can use the experimental data [13] for  $\Delta m_{12}^2$  and  $\Delta m_{23}^2$ . Since,  $\Delta m_{12}^2 = (7.50 \pm 0.18) \times 10^{-5} \text{ eV}^2$  and  $r = (3.149 \pm 0.098) \times 10^{-2}$  [13], the three masses are essentially functions of the phase  $\phi$  (Fig. 1). We also depict the sum of the three neutrino masses  $\sum_{i=1}^{3} m_i$  as a function of  $\phi$  in Fig. 2.

The three mixing angles, calculated from Eqs. (18)–(20), are

$$\sin^2 \theta_{12} = \frac{1+r}{3+r},$$
 (38)

$$\sin^2 \theta_{23} = \frac{1}{2} + \frac{\sqrt{3}\sqrt{r}\cos\phi}{r+3},\tag{39}$$

and



FIG. 1. The three neutrino masses  $m_1$  (dotted line),  $m_2$  (dashed line), and  $m_3$  (solid line) in eV as functions of  $\phi$  (in degrees).



FIG. 2. The neutrino parameters  $\sum_{i=1}^{3} m_i$ ,  $\theta_{23}$ ,  $\alpha$ ,  $\beta$ ,  $\delta$ , and  $J_{CP}$  as functions of  $\phi$ . All phases and angles are in degrees. The dark (gray) bands depict the  $1\sigma$  ( $3\sigma$ ) allowed regions.

$$\sin^2 \theta_{13} = \frac{2r}{3(r+1)}.$$
 (40)

The two mixing angles  $\theta_{12}$  and  $\theta_{13}$  are functions of r only. Substituting the value of r, we obtain  $\theta_{12} = 35.67^{\circ} \pm 0.01^{\circ}$ and  $\theta_{13} = 8.20^{\circ} \pm 0.12^{\circ}$ . For comparison, the experimental values are  $\theta_{12} = 33.48^{\circ} \pm 0.78^{\circ}$  and  $\theta_{13} = 8.50^{\circ} \pm 0.21^{\circ}$ . The predicted and experimental values of  $\theta_{12}$  become compatible at about  $2.8\sigma$  C.L. This discrepancy is, however, a generic feature of TM mixing. One possible way to diffuse this tension with the data is to consider charged lepton corrections. We have presented our textures in a basis in which the charge lepton mass matrix is diagonal and the effective neutrino mass matrix is magic with two zeros. However, in a model realization of these textures, the charged lepton mass matrix can have small off-diagonal terms that will give corrections to the neutrino mixing angles. One can arrange these corrections to bring  $\theta_{12}$  to its experimental value while keeping other two angles within the allowed ranges.

The mixing angle  $\theta_{23}$  is a function of the phase  $\phi$  after substituting for *r*. We depict the mixing angle  $\theta_{23}$  as a function of the phase  $\phi$  in Fig. 2.

We can calculate the three CP-violating phases from Eqs. (33), (32), and (24). We obtain

$$\cot 2\alpha = \cot \phi + \frac{\csc \phi}{\sqrt{3}\sqrt{r}},\tag{41}$$

$$\tan 2\beta = -\frac{\sqrt{3}(1+r)\sin\phi}{2\sqrt{r}+\sqrt{3}(1-r)\cos\phi},$$
 (42)

and

$$\tan \delta = \frac{3+r}{3-r} \tan \phi. \tag{43}$$

The Jarlskog invariant J, calculated from Eq. (23), is

$$J = \frac{\sqrt{r}\sin\phi}{3\sqrt{3}(1+r)}.\tag{44}$$

The three *CP*-violating phases ( $\alpha$ ,  $\beta$ , and  $\delta$ ) depend upon the ratio *r* and the unknown phase  $\phi$ . Therefore, we can plot  $\alpha$ ,  $\beta$ ,  $\delta$ , and *J* as functions of  $\phi$  by just plugging in one experimental number *r* (Fig. 2).

This high level of predictability makes these textures good candidates for model building. It is rarely seen that a neutrino mass model can predict the nine neutrino parameters using just two inputs from the experiments:  $\Delta m_{12}^2$  and  $\Delta m_{23}^2$ . We present an  $A_4$ -based model for these two textures in the next section.

## C. Inconsistency of class $B_3$

The magic mass matrix of type  $B_3$  has zeros in the (1, 2) and (2, 2) entries. This implies the following two complex equations:

$$\frac{m_1}{m_2}e^{2i\alpha} = \frac{2(\sqrt{3}e^{-i\phi}\sin^2\theta + \sqrt{3}e^{i\phi}\cos^2\theta + 2\sin 2\theta)}{(1 - 3e^{2i\phi})\sin 2\theta + 2\sqrt{3}e^{i\phi}\cos 2\theta}$$
(45)

and

$$\frac{m_2}{m_3}e^{2i\beta} = \frac{\sqrt{3} + 3e^{i\phi}\cot\theta}{\sqrt{3} - 3e^{-i\phi}\cot\theta}.$$
(46)

Using absolute squares of these ratios, we can calculate the ratio r as



FIG. 3. The ratio  $r = \Delta m_{12}^2 / \Delta m_{23}^2$  as a function of  $\theta_{13}$  (degrees) for a magic mass matrix of type  $B_3$ .

$$r = \frac{1 - \left|\frac{m_1}{m_2} e^{2i\alpha}\right|^2}{\left(\left|\frac{m_2}{m_3} e^{2i\beta}\right|\right)^{-1} - 1}.$$
(47)

Using these expressions, we express *r* as a function of  $\theta_{13}$  (Fig. 3) by substituting the value of  $\theta$  in terms of  $\theta_{13}$  from Eq. (20). We find that *r* has a minimum value r = 0 at the point ( $\theta_{13} = \pi/4$ ,  $\phi = \pi$ ). We obtain the experimental value of *r* only in a small interval around this point for  $\theta_{13} \in [40^\circ, 50^\circ]$ . As  $\theta_{13}$  decreases, the minimum value of *r* increases. It is clear that we cannot have both *r* and  $\theta_{13}$  in their experimentally allowed ranges simultaneously. Hence, this texture is inconsistent with the experimental data.

# V. THE $A_4$ MODEL

We present an  $A_4$  model in the framework of the type I + II seesaw mechanism [15,16] to obtain the neutrino mass matrices studied in this work. Apart from the three left-handed lepton doublets  $D_{l_L}$  and three right-handed charged leptons  $l_R$  (where  $l = e, \mu$ , and  $\tau$ ), we introduce six  $SU(2)_L$  doublet Higgs fields  $\psi_i$  and  $\varphi_i$ , (where i = 1, 2 and 3) and a  $SU(2)_L$  triplet Higgs field  $\Delta$ . We depict the transformation properties of the fields present in our model in Table II. In addition to  $A_4$  symmetry, we also need a  $Z_2$  symmetry to prevent the coupling of the charged leptons (neutrinos) with scalars  $\varphi_i$  ( $\psi_i$ ). These transformation properties lead to the following Lagrangian for the leptons that is invariant under  $A_4$  and  $Z_2$ :

$$-\mathcal{L} = y_{1}(\bar{D}_{e_{L}}\psi_{1} + \bar{D}_{\mu_{L}}\psi_{2} + \bar{D}_{\tau_{L}}\psi_{3})_{\underline{1}}e_{R_{1}} + y_{2}(\bar{D}_{e_{L}}\psi_{1} + \omega^{2}\bar{D}_{\mu_{L}}\psi_{2} + \omega\bar{D}_{\tau_{L}}\psi_{3})_{\underline{1}'}\tau_{R_{1''}} + y_{3}(\bar{D}_{e_{L}}\psi_{1} + \omega\bar{D}_{\mu_{L}}\psi_{2} + \omega^{2}\bar{D}_{\tau_{L}}\psi_{3})_{\underline{1}''}\mu_{R_{1'}} + y_{4}(\bar{D}_{e_{L}}\tilde{\varphi}_{1} + \bar{D}_{\mu_{L}}\tilde{\varphi}_{2} + \bar{D}_{\tau_{L}}\tilde{\varphi}_{3})_{\underline{1}}\nu_{R_{1}} - y_{\Delta}(D_{e_{L}}^{T}C^{-1}D_{e_{L}} + \omega^{2}D_{\mu_{L}}^{T}C^{-1}D_{\mu_{L}} + \omega D_{\tau_{L}}^{T}C^{-1}D_{\tau_{L}})_{\underline{1}''}i\tau_{2}\Delta_{1'} - m_{R}(\nu_{R}^{T}C^{-1}\nu_{R}) + \text{H.c.},$$
(48)

where  $\tilde{\varphi} = i\tau_2 \varphi^*$ .

We assume that the vacuum expectation values (VEVs) of the Higgs fields are  $\langle \psi \rangle_o = v_{\psi}(1, 1, 1)^T$ , which leads to the charged lepton mass matrix

TABLE II. Transformation properties of various fields:  $D_{l_L}(D_{e_L}, D_{\mu_L}, D_{\tau_L})^T$ ,  $l_R(e_R, \mu_R, \tau_R)^T$ ,  $\nu_{l_R}$ ,  $\psi(\psi_1, \psi_2, \psi_3)^T$ ,  $\varphi(\varphi_1, \varphi_2, \varphi_3)^T$ , and  $\Delta$ .

Fields	$D_{l_L}$	$l_R$	$\nu_R$	Ψ	φ	Δ
$\overline{SU(2)_L}$	2	1	1	2	2	3
$A_4$	3	1, 1', 1"	1	3	3	1'
$Z_2$	1	1	-1	1	-1	1

$$m_{l} = \begin{pmatrix} y_{1}v_{\psi} & y_{2}v_{\psi} & y_{3}v_{\psi} \\ y_{1}v_{\psi} & y_{2}\omega v_{\psi} & y_{3}\omega^{2}v_{\psi} \\ y_{1}v_{\psi} & y_{2}\omega^{2}v_{\psi} & y_{3}\omega v_{\psi} \end{pmatrix}.$$
 (49)

For the type I see saw contribution, we assume that  $\varphi_i$  develop VEVs along the direction  $\langle \varphi \rangle_o = v_{\varphi}(0, -1, 1)^T$ . Such a vacuum alignment has been obtained in Ref. [17] for  $SU(2)_L$  and  $A_4$  triplet scalars by allowing specific terms in the scalar potential which break  $A_4$  softly. This choice of VEVs leads to the following Dirac neutrino mass matrix:

$$m_D = y_4 v_{\varphi} (0, -1, 1)^T.$$
(50)

We have only one right-handed neutrino with mass  $m_R$ . Using the type I seesaw mechanism, the effective neutrino mass matrix is  $m_{\nu}^I \approx m_D m_R^{-1} m_D^T$ ,

$$m_{\nu}^{I} = c \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & -1 & 1 \end{pmatrix},$$
 (51)

where  $c = y_4^2 v_{\psi}^2 / m_R$ . When the  $SU(2)_L$  triplet Higgs acquires a nonzero and small VEV, we get the following type II seesaw contribution to the effective neutrino mass matrix:

$$m_{\nu}^{II} = \Delta \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega \end{pmatrix},$$
(52)

where  $\Delta = y_{\Delta}v_{\Delta}$ . The combined effective neutrino mass matrix  $m_{\nu} = m_{\nu}^{I} + m_{\nu}^{II}$  from the type I + II seesaw mechanism becomes

$$m_{\nu} = \begin{pmatrix} \Delta & 0 & 0\\ 0 & c + \omega^2 \Delta & -c\\ 0 & -c & c + \omega \Delta \end{pmatrix}.$$
 (53)

In the symmetry basis, the charged lepton mass matrix  $m_l$  is not diagonal. We make a transformation to the basis where the charge lepton mass matrix is

diagonal with the transformation  $M_l = U_L^{\dagger} m_l U_R$ , where

$$U_{L} = \frac{1}{3} \begin{pmatrix} 1 & 1 & 1 \\ 1 & \omega & \omega^{2} \\ 1 & \omega^{2} & \omega \end{pmatrix},$$
 (54)

and  $U_R$  is a unit matrix. In this basis where  $M_l$  is diagonal, the effective neutrino mass matrix becomes

$$M_{\nu} = \begin{pmatrix} 0 & 0 & c \\ 0 & c - \Delta & \Delta \\ c & \Delta & -\Delta \end{pmatrix}.$$
 (55)

This is the mass matrix of type  $A_1$  having magic symmetry and two texture zeros.

A similar mechanism with a  $SU(2)_L$  triplet Higgs  $\Delta$  transforming as 1" instead of 1' will give the neutrino mass matrix

$$M_{\nu} = \begin{pmatrix} 0 & b & 0 \\ b & -a & a \\ 0 & a & b-a \end{pmatrix}.$$
 (56)

This is the mass matrix of type  $A_2$  having magic symmetry and two texture zeros.

Our model requires six Higgs doublets, three of which couple to charged leptons (Table II). In such multi-Higgs models, the flavor-changing neutral currents can contribute to charged lepton flavor-violating decays. However, an explicit calculation is beyond the scope of the present work due to the complexity of the Higgs sector of our model. Nevertheless, there exist models in the literature (e.g., Ref. [18]) where the charged lepton Yukawa Lagrangian (including the  $A_4$  assignments of the charged lepton and scalar fields) is similar to our model. The flavor-violating decays of leptons for our model can be studied in a manner similar to that in Ref. [18].

#### **VI. CONCLUSIONS**

We studied the phenomenological implications of two texture zeros in the magic neutrino mass matrix. In the absence of magic symmetry, there are seven allowed patterns for the presence of two zeros in the neutrino mass matrix. The additional constraint of magic symmetry disallows five of these patterns. The two allowed patterns are of the types  $A_1$  and  $A_2$ . The combination of magic symmetry and texture zeros make these classes very predictive. We can express all nine neutrino observables (the three masses, the three mixing angles, and the three *CP*-violating phases) as functions of  $\phi$  by plugging in just two experimental parameters ( $\Delta m_{12}^2$  and  $\Delta m_{23}^2$ ). In particular,  $\theta_{12}$  and  $\theta_{13}$  do not even depend on the phase  $\phi$  and can be expressed as functions of the ratio  $r = \Delta m_{12}^2 / \Delta m_{23}^2$ as  $\sin^2 \theta_{12} = \frac{1+r}{3+r}$  and  $\sin^2 \theta_{13} = \frac{2r}{3(r+1)}$ . Finally, we have derived these highly predictive mass matrices from a neutrino mass model based upon the symmetry group  $A_4$ .

# ACKNOWLEDGMENTS

R. R. G. acknowledges the financial support from the Department of Science and Technology, Government of India under the Grant No. SB/FTP/PS-128/2013. S. K. acknowledges the financial support from the Department of Science and Technology, Government of India, under the Grant No. SR/FTP/PS-123/2011, and from the University of Delhi, under the Research and Development Grant No. RC/2015/9677.

# APPENDIX: THE GROUP $A_4$

 $A_4$  is the group of even permutations of four objects having 12 elements. Geometrically, it can be viewed as the group of rotational symmetries of the tetrahedron.  $A_4$  has four inequivalent irreducible representations (IRs) which are three singlets 1, 1', and 1", and one triplet 3. The group  $A_4$  is generated by two generators S and T such that

$$S^2 = T^3 = (ST)^3 = 1.$$
 (A1)

The one-dimensional unitary IRs are

$$1S = 1 \ T = 1,$$
  $1'S = 1 \ T = \omega,$   $1''S = 1 \ T = \omega^2.$  (A2)

The three-dimensional unitary IR is

$$S = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix}, \qquad T = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}.$$
 (A3)

The multiplication rules of the IRs are as follows:

$$1' \otimes 1' = 1'', \qquad 1'' \otimes 1'' = 1', \qquad 1' \otimes 1'' = 1.$$
 (A4)

The product of two **3**'s gives

$$\mathbf{3} \otimes \mathbf{3} = \mathbf{1} \oplus \mathbf{1}' \oplus \mathbf{1}'' \oplus \mathbf{3}_s \oplus \mathbf{3}_a, \qquad (A5)$$

where s(a) denotes the symmetric (antisymmetric) product. Let  $(x_1, x_2, x_3)$  and  $(y_1, y_2, y_3)$  denote the basis vectors of two **3**'s. Then the IRs obtained from their products are

$$(\mathbf{3} \otimes \mathbf{3})_{\mathbf{1}} = x_1 y_1 + x_2 y_2 + x_3 y_3,$$
 (A6)

$$(\mathbf{3} \otimes \mathbf{3})_{\mathbf{1}'} = x_1 y_1 + \omega x_2 y_2 + \omega^2 x_3 y_3,$$
 (A7)

$$(\mathbf{3} \otimes \mathbf{3})_{\mathbf{1}''} = x_1 y_1 + \omega^2 x_2 y_2 + \omega x_3 y_3,$$
 (A8)

$$(\mathbf{3} \otimes \mathbf{3})_{\mathbf{3}_s} = (x_2 y_3 + x_3 y_2, x_3 y_1 + x_1 y_3, x_1 y_2 + x_2 y_1),$$
(A9)

$$(\mathbf{3} \otimes \mathbf{3})_{\mathbf{3}_a} = (x_2 y_3 - x_3 y_2, x_3 y_1 - x_1 y_3, x_1 y_2 - x_2 y_1).$$
(A10)

- K. Abe *et al.* (T2K Collaboration) Phys. Rev. Lett. **107**, 041801 (2011); P. Adamson *et al.* (MINOS Collaboration), Phys. Rev. Lett. **107**, 181802 (2011); Y. Abe *et al.* (Double Chooz Collaboration), Phys. Rev. Lett. **108**, 131801 (2012); F. P. An *et al.* (Daya Bay Collaboration), Phys. Rev. Lett. **108**, 171803 (2012); S.-B. Kim (for the RENO Collaboration), Phys. Rev. Lett. **108**, 191802 (2012).
- [2] T. Fukuyama and H. Nishiura, arXiv:hep-ph/9702253; R. N. Mohapatra and S. Nussinov, Phys. Rev. D 60, 013002 (1999); K. R. S. Balaji, W. Grimus, and T. Schwetz, Phys. Lett. B 508, 301 (2001); C. S. Lam, Phys. Lett. B 507, 214 (2001); W. Grimus and L. Lavoura, J. High Energy Phys. 07 (2001) 045.
- [3] P. F. Harrison, D. H. Perkins, and W. G. Scott, Phys. Lett. B 530, 167 (2002); Z.-z. Xing, Phys. Lett. B 533, 85 (2002);
  P. F. Harrison and W. G. Scott, Phys. Lett. B 535, 163 (2002).
- [4] C. S. Lam, Phys. Lett. B 640, 260 (2006); P. F. Harrison and W. G. Scott, Phys. Lett. B 594, 324 (2004); R. Friedberg and T. D. Lee, HEPNP 30, 591 (2006).

- [5] J. D. Bjorken, P. F. Harrison, and W. G. Scott, Phys. Rev. D 74, 073012 (2006); X. G. He and A. Zee, Phys. Lett. B 645, 427 (2007); C. H. Albright and W. RodejohannEur. Phys. J. C 62, 599 (2009); C. H. Albright, A. Dueck, and W. RodejohannEur. Phys. J. C 70, 1099 (2010); X. G. He and A. Zee, Phys. Rev. D 84, 053004 (2011); S. Kumar, Phys. Rev. D 82, 013010 (2010); 88, 016009 (2013).
- [6] N. Haba, A. Watanabe, and K. Yoshioka, Phys. Rev. Lett. 97, 041601 (2006); W. Grimus and L. Lavoura, J. High Energy Phys. 09 (2008) 106; H. Ishimori, Y. Shimizu, M. Tanimoto, and A. Watanabe, Phys. Rev. D 83, 033004 (2011); Y. Shimizu, M. Tanimoto, and A. Watanabe, Prog. Theor. Phys. 126, 81 (2011); S. F. King and C. Luhn, J. High Energy Phys. 09 (2011) 042; S. Dev, S. Gupta, and R. R. Gautam, Phys. Lett. B 702, 28 (2011); S. Dev, R. R. Gautam, and L. Singh, Phys. Lett. B 708, 284 (2012).
- [7] P. H. Frampton, S. L. Glashow, and D. Marfatia, Phys. Lett. B 536, 79 (2002).
- [8] H. Fritzsch, Z.-z. Xing, and S. Zhou, J. High Energy Phys. 09 (2011) 083.

- [9] Z.-z. Xing, Phys. Lett. B 530, 159 (2002); B. R. Desai, D. P. Roy, and Alexander R. Vaucher, Mod. Phys. Lett. A 18, 1355 (2003); A. Merle and W. Rodejohann, Phys. Rev. D 73, 073012 (2006); S. Dev, S. Kumar, S. Verma, and S. Gupta, Nucl. Phys. B784, 103 (2007); Phys. Rev. D 76, 013002 (2007); G. Ahuja, S. Kumar, M. Randhawa, M. Gupta, and S. Dev, Phys. Rev. D 76, 013006 (2007); S. Kumar, Phys. Rev. D 84, 077301 (2011); S. Dev, S. Kumar, and S. Verma, Phys. Rev. D 79, 033011 (2009); P. O. Ludl, S. Morisi, and E. Peinado, Nucl. Phys. B857, 411 (2012); D. Meloni and G. Blankenburg, Nucl. Phys. B867, 749 (2013); W. Grimus and P. O. Ludl, J. Phys. G 40, 055003 (2013); J. Liao, D. Marfatia, and K. Whisnant, J. High Energy Phys. 09 (2014) 013; D. Meloni, A. Meroni, and E. Peinado, Phys. Rev. D 89, 053009 (2014); S. Dev, R. R. Gautam, L. Singh, and M. Gupta, Phys. Rev. D 90, 013021 (2014); G. Ahuja, S. Sharma, P. Fakay, and M. Gupta, Mod. Phys. Lett. A 30, 1530025 (2015).
- [10] C. S. Lam, Phys. Rev. D **78**, 073015 (2008); arXiv: 0907.2206.
- [11] W. Grimus, L. Lavoura, and P.O. Ludl, J. Phys. G 36, 115007 (2009).
- [12] S. F. King and C. Luhn, J. High Energy Phys. 10 (2009) 093.

- [13] M. C. Gonzalez-Garcia, M. Maltoni, and T. Schwetz, Nucl. Phys. **B908**, 199 (2016).
- [14] C. Jarlskog, Phys. Rev. Lett. 55, 1039 (1985).
- [15] P. Minkowski, Phys. Lett. B 67, 421 (1977); T. Yanagida, in Proceedings of the Workshop on the Unified Theory and the Baryon Number in the Universe, edited by O. Sawada and A. Sugamoto (KEK, Tsukuba, Japan, 1979), p. 95; M. Gell-Mann, P. Ramond, and R. Slansky, in Complex Spinors and Unified Theories in Supergravity, edited by P. Van Nieuwenhuizen and D. Z. Freedman (North Holland, Amsterdam, 1979), p. 315; R. N. Mohapatra and G. Senjanovic, Phys. Rev. Lett. 44, 912 (1980).
- [16] W. Konetschny and W. Kummer, Phys. Lett. B 70, 433 (1977); T. P. Cheng and L. F. Li, Phys. Rev. D 22, 2860 (1980); J. Schechter and J. W. F. Valle, Phys. Rev. D 22, 2227 (1980); G. Lazarides, Q. Shafi, and C. Wetterich, Nucl. Phys. B181, 287 (1981); R. N. Mohapatra and G. Senjanovic, Phys. Rev. D 23, 165 (1981).
- [17] S. Gupta, A. S. Joshipura, and K. M. Patel, Phys. Rev. D 85, 031903 (2012); E. Ma, Phys. Rev. D 70, 031901 (2004);
  E. Ma and D. Wegman, Phys. Rev. Lett. 107, 061803 (2011).
- [18] E. Ma and G. Rajasekaran, Phys. Rev. D 64, 113012 (2001).