

Lattice study of large N_c QCDThomas DeGrand^{1,*} and Yuzhi Liu^{1,2}¹*Department of Physics, University of Colorado, Boulder, Colorado 80309, USA*²*Department of Physics, Indiana University, Bloomington, Indiana 47405, USA*

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We present a lattice simulation study of large N_c regularities of meson and baryon spectroscopy in $SU(N_c)$ gauge theory with two flavors of dynamical fundamental representation fermions. Systems investigated include $N_c = 2, 3, 4$, and 5 , over a range of fermion masses parametrized by a squared pseudoscalar to vector meson mass ratio between about 0.2 to 0.7 . Good agreement with large N_c scaling is observed in the static potential, in meson masses and decay constants, and in baryon spectroscopy.

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I. INTRODUCTION

't Hooft's [1] large- N_c limit of QCD has been a fruitful source of qualitative and quantitative information about the strong interactions for more than forty years. As the gauge group of QCD, $SU(3)$, is replaced by an $SU(N_c)$ group, and as N_c is taken to infinity, simple diagrammatic counting rules display characteristic scaling as powers of N_c . This scaling is used to abstract the relative sizes of various hadronic matrix elements in the real world of $N_c = 3$. In a single (oversimplified) sentence, large N_c counting predicts that meson spectroscopy is independent of N_c (up to corrections going like $1/N_c$) and matrix elements scale as characteristic powers of N_c .

Baryon spectroscopy also shows large- N_c regularities. Baryons in large N_c can be regarded as many-quark states [2] or as topological objects in effective theories of mesons [3–6]. Large- N_c mass formulas for baryons have been developed by the authors of Refs. [7–12]. Results up to 1998 have been summarized in a review, Ref. [13].

In large- N_c phenomenology, nonperturbative quantities can generally be written as a power series in the small parameter $1/N_c$. The coefficients of the expansion are not given by large N_c counting; rather, phenomenology assumes that they have some typical hadronic size. In a mass formula, a dimensionful parameter with units of mass would be expected to have a size of a few hundred MeV. To pin these numbers down requires a real nonperturbative calculation, which can be given by numerical simulation of the lattice regularized theory. Over the past decade or so a number of lattice comparisons to large N_c counting have been carried out. Most of them involve pure gauge theory. A summary of results can be found in the review article by Lucini and Panero [14].

The literature on large N_c with fermions is small. Nearly all studies are done in quenched approximation, neglecting virtual quark antiquark pairs. The most extensive study of meson spectroscopy and matrix elements is done by Bali

et al. [15]. They cover $N_c = 2–7$ and 17 . Reference [16] discusses large N_c expectations for baryons, but it only makes comparisons to actual lattice data for $N_c = 3$. Its data sets are unquenched. One of us has co-authored three papers on baryon spectroscopy [17–19], with $N_c = 3, 5$, and 7 . Reference [20] is a study of quenched baryon spectroscopy in $SU(4)$ which also contains large N_c comparisons. The results of all these studies are easy to state: large N_c counting works very well.

These days, interest in large N_c regularities is not restricted to the study of QCD. There is a relatively large body of literature devoted to beyond standard model physics, where the new physics is composite. The targets of such investigations are either composite dark matter, or alternative dynamics replacing the standard model Higgs boson, or both. (Reference [21] is a good recent review of strongly coupled dark matter models and lattice simulations.) Typically, large N_c counting is used to extrapolate results from $N_c = 3$ into the system under study. These extrapolations can be replaced by results from lattice simulation. Some relevant investigations already exist. There are several studies of spectroscopy for $N_c = 2$ with $N_f = 2$ flavors of dynamical fermions. (See Refs. [22–27].) The spectroscopy is QCD-like. Reference [28], a study of $SU(4)$ with two flavors of antisymmetric representation fermions, also makes reference to large- N_c scaling to compare results to $SU(3)$. Good agreement is observed.

There are also many studies of systems with small N_c and many fermionic degrees of freedom. The physics of these systems is thought to be different from QCD. (For a survey of this unrelated field, see Ref. [29].) However, these studies raised a question relevant to our work: to what extent do theories which are nearby real world QCD resemble QCD? “Nearby” probably describes a space with at least three dimensions. One is N_c . Two involve the number of fermionic degrees of freedom, the representation of the fermions and the number of fermion flavors. One could imagine studying systems with fermions in several representations. All of these more exotic systems have a place in beyond standard model phenomenology. The

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conventional 't Hooft large N_c limit might be a useful first benchmark for comparisons.

Finding the spectrum of QCD in the $N_c \rightarrow \infty$ limit can be done by working in the quenched approximation, computing at many values of N_c and taking the limit.

The technology for doing this was worked out long ago by Bernard, Golterman, Sharpe, and others [30,31], and involves the low energy chiral effective theories for quenched and unquenched QCD. Typical observables have an expansion in terms of the pseudoscalar decay constant f_{PS} and pseudoscalar mass m_{PS} ,

$$Q(m_{\text{PS}}) = A \left(1 + B \frac{m_{\text{PS}}^2}{f_{\text{PS}}^2} \log m_{\text{PS}}^2 \right) + \dots \quad (1)$$

Quenched and unquenched QCD can have different B coefficients. Quenched QCD can also have a different functional form, for example,

$$m_{\text{PS}}^2/m_q = Cm_q^{\delta/(1+\delta)} + Dm_q + \dots, \quad (2)$$

where δ , C , and D are all constants.

At any finite value of N_c , these differences mean that the quenched approximation differs fundamentally from a system with real dynamical fermions. This is why modern lattice calculations in QCD no longer use the quenched approximation; they all include the effects of dynamical fermions.

However, for infinite N_c the quenched approximation is expected to become exact because of suppression of dynamical quark loops by powers of N_f/N_c . What is done in the literature is to fit lattice data N_c by N_c to the appropriate quenched formula [such as Eq. (2)], and take the limit of the constants δ , C , and D . The discussion in Ref. [15] is probably the most complete summary to date. It is hard to imagine that any other lattice technique could compete with this one, to find the $N_c \rightarrow \infty$ spectrum.

We believe that to do anything more requires simulations with dynamical fermions. For example, presumably the $N_c \rightarrow \infty$ spectrum would be known for all values of the fermion masses. How does it compare to the spectrum of $N_c = 3$? Real experimental data only exists at the physical values of the quark masses. Comparing the spectrum anywhere else requires the synthetic data that only a simulation with dynamical fermions can give. A related question is, what is the spectroscopy of systems with the same fermion flavor content, but with different N_c values? How well does large N_c scaling relate their observables? Presumably there are N_f/N_c corrections. Therefore, we have performed a calculation of meson and baryon spectroscopy in $SU(N_c)$ gauge theories with two flavors of fundamental representation dynamical fermions.

We collected data at $N_c = 2, 3, 4$, and 5 . The minimal large- N_c study needs at least three N_c 's, to see corrections to leading behavior. For example, a baryon of angular

momentum J made of N_c quarks has a spectrum characterized by two parameters m_0 and B ,

$$M(N_c, J) = N_c m_0 + B \frac{J(J+1)}{N_c} \quad (3)$$

which in leading order in N_c are independent of N_c . At next-to-leading order, there are corrections: $m_0(N_c) = m_{00} + m_{01}/N_c + \dots$. More than two N_c 's are needed to fit such behavior.

Next, $SU(2)$ is special: there are no baryons (only diquarks) and the pattern of chiral symmetry breaking is different than $N_c \geq 3$. (Fundamental fermions occupy a pseudoreal representation in $SU(2)$. The pattern of chiral symmetry breaking is $SU(4) \rightarrow Sp(4)$ for two flavors.) We are not sure if it is a legitimate participant in a large N_c scaling plot, but we have the data and will include it. Anyway, for three N_c 's for baryons, we have $N_c = 3, 4$ and 5 .

Simulating large N_c presents some slightly different issues than are seen in ordinary QCD. The goal of a QCD simulation is usually a direct comparison with experiment. To achieve this goal requires taking the lattice spacing to zero, the volume to infinity, and the fermion masses to their small physical values. Large N_c comparisons do not require any of these limits: they can be made for any value of the cutoff, the volume, and the fermion mass, as long as these quantities are treated consistently across N_c . Nevertheless, it is always a goal, to try to tie a large N_c prediction to a physical observable. Doing that imposes all the requirements of a QCD simulation, plus being able to vary N_c . This is a tall order, but this project is a start.

In a nutshell, we find that large- N_c scaling laws give an excellent quantitative description of the static potential, of meson and baryon spectroscopy and of simple mesonic matrix elements. The biggest deviations occur for $N_c = 2$. Large- N_c regularities also reveal themselves in the way bare parameters, such as the bare gauge coupling, must be tuned to match physical observables across N_c , and in how the lattice spacing is affected by the fermion mass.

The outline of the paper is as follows: Sec. II contains all the details of the lattice calculation. It also shows our first large- N_c comparisons, of how bare parameters must be tuned to produce more or less constant physics across N_c . Then we begin comparisons of more physical quantities: Sec. III shows the N_c and fermion mass dependence of the static potential. Section IV shows results for mesonic observables. Section V shows results for baryon spectroscopy. Our conclusions are presented in Sec. VI.

II. THE LATTICE CALCULATION

A. Overview

The lattice calculation has two parts. We begin by carrying out simulations for a set of $SU(N_c)$ gauge theories

coupled to $N_f = 2$ fundamental representation fermions. For each N_c we simulate at a number of values of the bare fermion mass. We adjust the bare gauge coupling so that the lattice spacing (as determined by some common observable) is roughly the same for all N_c 's. Nothing about large N_c phenomenology enters at this stage.

After we have collected the data sets, we can compare them using the framework of large- N_c counting. This also has two parts. Large- N_c phenomenology involves the 't Hooft coupling $\lambda = g^2 N_c$ where g^2 is the gauge coupling. We can ask whether or not the matched scales that we have determined in the first part of the calculation occur at similar values of λ , expressed in terms of g^2 the bare gauge coupling. If this is so, then lines of constant physics across N_c will correspond approximately to lines of constant 't Hooft coupling. We then compare the values of observables such as the static potential, meson and baryon spectroscopy, and simple mesonic matrix elements.

B. Methodology

The lattice theory is taken to be the usual Wilson plaquette gauge action coupled to Wilson-clover fermions. The fermion action uses gauge connections defined as normalized hypercubic (nHYP) smeared links [32–34]. The bare gauge coupling g_0 is set by the simulation parameter $\beta = 2N_c/g_0^2$. We take the two Dirac flavors to be degenerate, with common bare quark mass m_0^q introduced via the hopping parameter $\kappa = (2m_0^q a + 8)^{-1}$. As is appropriate for nHYP smearing [35], the clover coefficient is fixed to its tree level value, $c_{\text{SW}} = 1$.

References [32–34] describe the construction of nHYP links for $N_c = 2, 3$, and 4. We need an implementation which can be used for arbitrary N_c . Doing this was straightforward. The details of the construction are given in Appendix A.

Gauge-field updates used the hybrid Monte Carlo (HMC) algorithm [36–38] with a multilevel Omelyan integrator [39] and multiple integration time steps [40], including one level of mass preconditioning for the fermions [41]. Lattices used for analysis are spaced a minimum of 10 HMC time units apart [50 time units for some of the $SU(4)$ data sets]. All data sets except the three lightest mass $SU(5)$ points are based on a single stream. These last sets were composed of five streams, four of which were seeded from the first one and the first fifty trajectories discarded.

We wanted to fix all parameters of the simulation other than N_c to a common value. Accordingly, we tuned the lattice spacing to be approximately equal and we worked at a common lattice volume, $16^3 \times 32$ sites. This volume, small by today's standards, is a compromise forced on us by the constraint that large- N_c simulations become expensive as N_c grows; their cost scales roughly like N_c^3 . This

will impact our ability to present results at light fermion masses. We return to this point in Sec. II D below.

We set the lattice spacing using the shorter version [42] of the Sommer [43] parameter r_1 , defined in terms of the force $F(r)$ between static quarks: $r^2 F(r) = -1.0$ at $r = r_1$. It is $r_1 = 0.31$ fm as measured in real-world $SU(3)$ [44]. We will also need the usual Sommer parameter, $r^2 F(r) = -1.65$ at $r = r_0$ (about 0.5 fm).

The correlation functions whose analysis produced our spectroscopy used propagators constructed in Coulomb gauge, with Gaussian sources and $\vec{p} = 0$ point sinks. We collected sets for several different values of the width R_0 of the source. These correlation functions are not variational since the source and sink are different. We begin each fit with a distance-dependent effective mass $m_{\text{eff}}(t)$, defined to be $m_{\text{eff}}(t) = \log C(t)/C(t+1)$ in the case of open boundary conditions for the correlator $C(t)$. Because our sources and sinks are not identical, $m_{\text{eff}}(t)$ can approach its asymptotic value from above or below. We empirically chose R_0 's which produced flat effective mass plateaus. When it improved the signal, we mixed data with different values of R_0 to produce correlators with relatively flat $m_{\text{eff}}(t)$. All results are based on a standard full correlated analysis involving fits to a wide range of t 's. For more detail see Ref. [17].

Meson correlators come from the usual $\bar{\psi}\Gamma\psi$ bilinear operators. Baryon masses are found using interpolating fields which are operators which create nonrelativistic quark model trial states. They are diagonal in a γ_0 basis, exactly as was done in Ref. [17].

Our resulting data sets are shown in Tables I–VIII. Some of the $SU(3)$ data has previously been published in Ref. [28]. Shown in the tables is the so-called axial Ward identity (AWI) quark mass m_q , defined as

TABLE I. Masses in lattice units for the $SU(2)$ data sets. From left to right, the entries are the hopping parameter κ , the relative scale r_1/a , the axial Ward identity quark mass, the pseudoscalar mass, the pseudoscalar decay constant, the vector meson mass, and the number of lattices in the measurement set.

κ	r_1/a	am_q	am_{PS}	af_{PS}	am_V	N
$\beta = 1.9 \quad \kappa_c = 0.13020$						
0.1280	2.49(3)	0.093	0.582(2)	0.436(6)	0.761(5)	90
0.1285	2.56(3)	0.075	0.528(3)	0.384(9)	0.722(4)	90
0.1290	2.59(3)	0.059	0.464(3)	0.383(3)	0.663(12)	90
0.1295	2.76(4)	0.044	0.392(4)	0.354(11)	0.608(6)	90
0.1297	2.82(4)	0.035	0.359(3)	0.326(4)	0.604(6)	90
0.1300	2.97(5)	0.026	0.302(5)	0.297(5)	0.542(10)	90
0.1302	3.00(4)	0.020	0.266(5)	0.269(14)	0.540(11)	90
$\beta = 1.95 \quad \kappa_c = 0.13014$						
0.1270	2.66(3)	0.097	0.575(3)	0.395(3)	0.728(4)	90
0.1280	2.84(3)	0.063	0.456(2)	0.354(3)	0.629(5)	90
0.1290	3.26(5)	0.030	0.311(3)	0.275(4)	0.530(8)	90
0.1292	3.29(5)	0.023	0.287(4)	0.237(5)	0.516(8)	90

TABLE II. More $SU(2)$ results, all in lattice units: hopping parameter κ , axial vector mass, tensor mass, vector decay constant, and rescaled condensate in $\overline{\text{MS}}$ from jackknife fit.

κ	am_A	am_T	f_V	$(3/2)r_1^3\Sigma$
$\beta = 1.9$				
0.1280	1.096(16)	1.108(19)	0.820(20)	0.299(17)
0.1285	1.134(36)	1.085(19)	0.871(16)	0.267(17)
0.1290	0.998(18)	0.978(18)	0.956(20)	0.262(18)
0.1295	0.888(17)	0.904(20)	0.953(20)	0.242(18)
0.1297	0.852(17)	0.897(21)	0.945(24)	0.215(15)
0.1300	0.786(19)	0.801(19)	0.991(16)	0.207(17)
0.1302	0.713(24)	0.762(19)	0.949(17)	0.146(13)
$\beta = 1.95$				
0.1270	0.932(33)	1.077(13)	0.781(4)	0.289(15)
0.1280	0.929(17)	0.931(18)	0.860(7)	0.248(15)
0.1290	0.706(29)	0.803(18)	0.861(22)	0.208(20)
0.1292	0.564(30)	0.728(14)	0.952(15)	0.177(19)

$$\partial_t \sum_{\mathbf{x}} \langle A_0^a(\mathbf{x}, t) \mathcal{O}^a \rangle = 2m_q \sum_{\mathbf{x}} \langle P^a(\mathbf{x}, t) \mathcal{O}^a \rangle, \quad (4)$$

where the axial current $A_\mu^a = \bar{\psi} \gamma_\mu \gamma_5 (\tau^a/2) \psi$, the pseudo-scalar density $P^a = \bar{\psi} \gamma_5 (\tau^a/2) \psi$, and \mathcal{O}^a can be any source. Here it is the Gaussian shell model source.

Tables IX–XI give the baryon mass differences. These are computed together with the baryon masses: a jackknife average of correlated, single-exponential fits to all different states' masses is performed and the differences are collected. This ensures that the average mass difference is equal to the difference of the average masses. Correlations in the data mean that the uncertainty in the mass difference is usually smaller than the naive combination of uncertainties on the individual masses. These fits are over the range $t = 4$ – 10 . We have checked that the numbers are insensitive to the fit range.

TABLE IV. More $SU(3)$ results, all in lattice units: hopping parameter κ , axial vector mass, tensor mass, vector decay constant, and condensate in $\overline{\text{MS}}$ from jackknife fit.

κ	am_A	am_T	f_V	$r_1^3\Sigma$
0.1250	0.973(9)	0.985(9)	0.905(4)	0.314(10)
0.1260	0.882(7)	0.895(6)	0.993(8)	0.251(10)
0.1265	0.829(8)	0.848(8)	1.010(6)	0.204(7)
0.1270	0.722(25)	0.804(10)	1.050(9)	0.210(10)
0.1272	0.668(19)	0.769(12)	1.037(13)	0.169(8)
0.1274	0.714(12)	0.747(13)	1.084(8)	0.153(6)
0.1276	0.665(8)	0.686(10)	1.058(12)	0.160(7)
0.1278	0.618(8)	0.665(15)	1.072(10)	0.130(8)

C. N_c dependence of simulation points

The relation of the 't Hooft coupling λ to the usual definition of the lattice coupling is

$$\beta = \frac{2N_c}{g^2} = \frac{2N_c^2}{\lambda}. \quad (5)$$

We chose to simulate each N_c at fixed bare gauge coupling, varying κ to tune the quark mass. For $SU(2)$ we worked at two beta values, 1.9 and 1.95. For $SU(3)$, $SU(4)$ and $SU(5)$ we collected data at $\beta = 5.4$, 10.2, and 16.4, respectively. As expected, we see that lattice spacings are approximately matched scaling β by N_c^2 . That is, lattice spacings are matched when the bare lattice regulated 't Hooft couplings $\lambda = \beta/N_c^2$ are approximately matched. This is shown in Fig. 1. We wanted to use roughly the same lattice spacing as the earlier quenched study of Ref. [17] and we see that our λ 's approach the quenched ones as N_c increases. The expected size of fermionic corrections is $O(1/N_c)$ and the shift of the coupling, at least for $N_c \geq 3$, is consistent with that behavior. Because we encountered more severe finite volume effects for $SU(2)$, we ended up collecting data for that group at larger lattice spacing. This is why β is

TABLE III. Masses in lattice units for the $SU(3)$ data sets. From left to right, the entries are the hopping parameter κ , the relative scale r_1/a , the axial Ward identity quark mass, the pseudoscalar mass, the pseudoscalar decay constant, the vector meson mass, the baryons, labeled by their spin J , and the number of lattices in the measurement set.

$\beta = 5.4 \quad \kappa_c = 0.12838$								
κ	r_1/a	am_q	am_{PS}	af_{PS}	am_V	$am_B(J = \frac{3}{2})$	$am_B(J = \frac{1}{2})$	N
0.1250	2.95(2)	0.105	0.559(2)	0.456(6)	0.696(3)	1.143(13)	1.042(7)	100
0.1260	3.08(3)	0.070	0.457(1)	0.424(4)	0.619(3)	1.011(10)	0.926(7)	100
0.1265	3.11(3)	0.059	0.404(2)	0.393(5)	0.575(4)	0.941(13)	0.841(10)	101
0.1270	3.23(3)	0.042	0.340(3)	0.370(5)	0.531(5)	0.887(22)	0.748(8)	101
0.1272	3.30(3)	0.033	0.307(3)	0.318(7)	0.479(6)	0.833(25)	0.698(8)	100
0.1274	3.32(2)	0.028	0.264(3)	0.319(5)	0.472(7)	0.819(25)	0.690(12)	107
0.1276	3.46(2)	0.021	0.239(2)	0.294(4)	0.462(10)	0.785(20)	0.629(9)	107
0.1278	3.41(3)	0.014	0.206(3)	0.258(6)	0.439(8)	0.767(25)	0.633(16)	107

TABLE V. Masses in lattice units for the $SU(4)$ data sets.

$\beta = 10.2 \quad \kappa_c = 0.12841$									
κ	r_1/a	am_q	am_{PS}	af_{PS}	am_V	$am_B(J=2)$	$am_B(J=1)$	$am_B(J=0)$	N
0.1252	2.96(2)	0.098	0.525(1)	0.507(3)	0.675(2)	1.524(11)	1.431(7)	1.388(8)	90
0.1262	3.09(2)	0.066	0.422(1)	0.462(3)	0.592(2)	1.340(13)	1.249(16)	1.202(10)	90
0.1265	3.14(3)	0.057	0.385(1)	0.449(3)	0.560(3)	1.295(11)	1.196(9)	1.141(8)	101
0.1270	3.19(2)	0.041	0.328(1)	0.410(3)	0.516(4)	1.196(13)	1.086(10)	1.036(10)	101
0.1275	3.29(3)	0.026	0.258(2)	0.367(4)	0.475(6)	1.136(19)	1.007(10)	0.944(11)	101
0.1277	3.32(4)	0.019	0.218(2)	0.336(4)	0.465(6)	1.062(17)	0.959(13)	0.894(13)	121

TABLE VI. More $SU(4)$ results, all in lattice units: hopping parameter κ , axial vector mass, tensor mass, vector decay constant, and rescaled condensate in \overline{MS} from jackknife fit.

κ	am_A	am_T	f_V	$(3/4)r_1^3\Sigma$
0.1252	0.941(12)	0.957(5)	1.056(4)	0.260(6)
0.1262	0.851(6)	0.862(7)	1.157(6)	0.225(7)
0.1265	0.796(7)	0.824(7)	1.240(14)	0.206(8)
0.1270	0.759(6)	0.780(6)	1.181(8)	0.176(5)
0.1275	0.689(6)	0.708(7)	1.238(10)	0.152(6)
0.1277	0.639(5)	0.555(35)	1.268(8)	0.125(6)

smaller and λ is larger than naive extrapolation would desire.

Figure 1 oversimplifies the situation: the lattice spacing depends on both the bare gauge coupling and the fermion mass. The dependence of the lattice spacing, through the ratio r_1/a , on fermion mass at fixed gauge coupling is shown in Fig. 2. The ratio $(m_{PS}/m_V)^2$ is used instead of a fermion mass. Data sets are crosses for $N_c = 2$, $\beta = 1.9$, fancy crosses for $N_c = 2$, $\beta = 1.95$, octagons for $N_c = 3$, squares for $N_c = 4$ and diamonds for $N_c = 5$. It seems to be the case that the quark mass dependence of the lattice spacing decreases as N_c increases. This is the expected large- N_c behavior, because the relative number of fermionic degrees of freedom decreases compared to the gauge ones as N_c increases.

Certainly, $SU(2)$ is special from a simulation point of view: there is a very strong dependence of the lattice spacing on the quark mass. We note that we have experience with another system where the number of

TABLE VII. Masses in lattice units for the $SU(5)$ data sets.

$\beta = 16.4 \quad \kappa_c = 0.12951$									
κ	r_1/a	am_q	am_{PS}	af_{PS}	am_V	$am_B(J=\frac{5}{2})$	$am_B(J=\frac{3}{2})$	$am_B(J=\frac{1}{2})$	N
0.1260	2.99(1)	0.102	0.549(1)	0.590(6)	0.694(2)	2.010(17)	1.928(15)	1.881(14)	90
0.1270	3.11(1)	0.073	0.448(2)	0.522(3)	0.607(3)	1.792(22)	1.711(12)	1.664(11)	100
0.1275	3.19(2)	0.057	0.390(1)	0.482(3)	0.566(2)	1.651(11)	1.560(10)	1.508(9)	111
0.1280	3.24(2)	0.041	0.332(2)	0.450(3)	0.526(2)	1.548(12)	1.444(11)	1.395(10)	114
0.1285	3.20(1)	0.027	0.263(2)	0.443(5)	0.487(7)	1.472(16)	1.371(15)	1.305(14)	106

TABLE VIII. More $SU(5)$ results, all in lattice units: hopping parameter κ , axial vector mass, tensor mass, vector decay constant, and rescaled condensate in \overline{MS} from jackknife fit.

κ	am_A	am_T	f_V	$(3/5)r_1^3\Sigma$
0.1260	0.970(6)	0.982(5)	1.183(9)	0.292(8)
0.1270	0.880(5)	0.895(5)	1.232(10)	0.242(6)
0.1275	0.796(4)	0.797(7)	1.282(5)	0.210(6)
0.1280	0.742(6)	0.750(8)	1.360(18)	0.189(5)
0.1285	0.742(6)	0.760(7)	1.396(18)	0.160(5)

TABLE IX. Baryon mass splittings for $N_c = 3$.

κ	$\Delta m_B(\frac{3}{2}, \frac{1}{2})$
0.1250	0.101(11)
0.1260	0.085(9)
0.1265	0.101(13)
0.1270	0.139(22)
0.1272	0.135(24)
0.1274	0.129(22)
0.1276	0.156(18)
0.1278	0.135(28)

TABLE X. Baryon mass splittings for $N_c = 4$.

κ	$\Delta m_B(2, 1)$	$\Delta m_B(2, 0)$	$\Delta m_B(1, 0)$
0.1252	0.093(9)	0.136(10)	0.043(4)
0.1262	0.091(21)	0.138(14)	0.047(16)
0.1265	0.099(8)	0.154(9)	0.056(6)
0.1270	0.110(11)	0.160(15)	0.050(10)
0.1275	0.129(19)	0.192(20)	0.063(10)
0.1277	0.103(18)	0.168(17)	0.065(14)

TABLE XI. Baryon mass splittings for $N_c = 5$.

κ	$\Delta m_B(\frac{5}{2}, \frac{3}{2})$	$\Delta m_B(\frac{5}{2}, \frac{1}{2})$	$\Delta m_B(\frac{3}{2}, \frac{1}{2})$
0.1260	0.082(8)	0.129(11)	0.047(3)
0.1270	0.080(13)	0.128(15)	0.048(6)
0.1275	0.091(6)	0.144(8)	0.053(4)
0.1280	0.104(9)	0.153(8)	0.049(5)
0.1285	0.101(12)	0.167(15)	0.067(10)

fermionic degrees of freedom is large compared to the gauge ones: $SU(4)$ with $N_f = 2$ two-index antisymmetric representation flavors [28]. A similar strong dependence of the lattice spacing on fermion mass was also observed for that system.

D. Minimizing finite volume effects

The dominant way that finite volume affects spectroscopy is when tadpoles, where a meson is emitted from some location and returns to the same point, are replaced by a set of contributions connecting the location to its image points. Generally, we can write the pseudoscalar correlator for a particle of mass m in a box of length L_μ in direction μ as

$$\Delta(m, x) \rightarrow \sum_{n_\mu} \Delta(m, x + n_\mu L_\mu) \quad (6)$$

and the infinite volume propagator, call it $\bar{\Delta}(m, x)$, is the $n = 0$ term in the sum. The finite volume tadpole is

$$\Delta(m, 0) = \bar{\Delta}(m, 0) + \bar{I}_1(m, L), \quad (7)$$

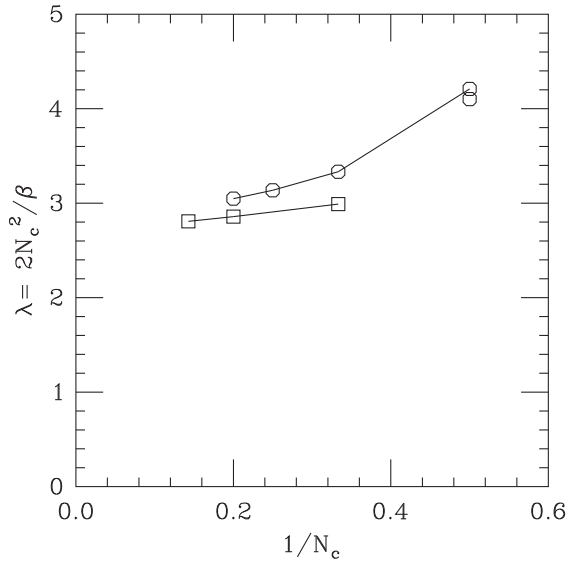


FIG. 1. Comparison of the bare 't Hooft coupling $\lambda = 2N_c^2/\beta$ at which data was collected, vs $1/N_c$. Octagons show the values used in this work while the squares are from the earlier quenched study of [17].

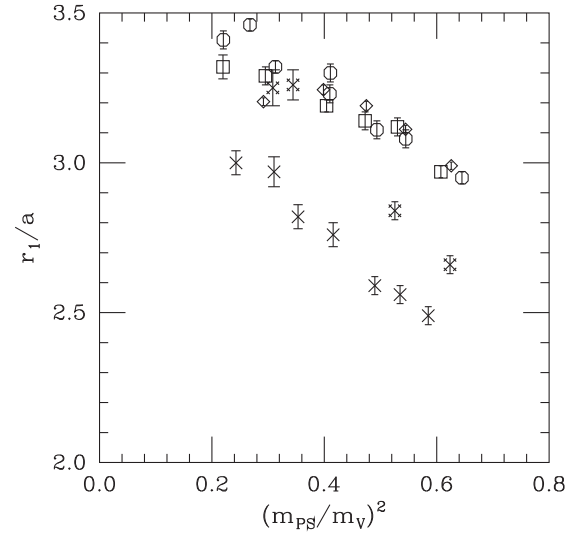


FIG. 2. Comparison of the short Sommer parameter vs quark mass, here parametrized as the ratio $(m_{PS}/m_V)^2$, for $N_c = 2$ (crosses for $\beta = 1.9$, fancy crosses for $\beta = 1.95$), 3 (octagons), 4 (squares), and 5 (diamonds).

where $\bar{I}_1(m, L)$ is the sum over images. If a typical infinite volume observable has a chiral expansion

$$O(L = \infty) = O_0 \left[1 + C_0 \frac{1}{f_{PS}^2} \bar{\Delta}(m, 0) \right] \quad (8)$$

then the finite volume correction is

$$O(L) - O(L = \infty) = O_0 \left[C_0 \frac{1}{f_{PS}^2} \bar{I}_1(m, L) \right]. \quad (9)$$

We need some criterion to tell us whether any given data set might be compromised by finite volume. Sharpe [31] has shown that nearest image contribution gives a useful lower bound on the finite volume correction. It is

$$I_1(m, L) \sim 6 \left(\frac{m^2}{16\pi^2} \right) \left(\frac{8\pi}{(mL)^3} \right)^{1/2} \exp(-mL). \quad (10)$$

The factor of 6 counts the three neighboring points at positive offset, and the three neighboring points at negative offset.

We can use Eq. (10), plus our tables of lattice masses and decay constants, to check to see which of our data sets might be compromised by volume. The result, $2I_1(m, L)/f_{PS}^2$ (the 2 is needed to convert our 130 MeV definition of the decay constant to the standard chiral literature's 93 MeV) is shown in Fig. 3. This figure includes all the data sets we collected, the ones shown in the tables plus other ones. Pretty clearly, to keep finite volume corrections under control, we need to keep $r_1 m_q$ greater than about 0.05. The data sets we discarded are ones with $r_1 m_q < 0.05$.

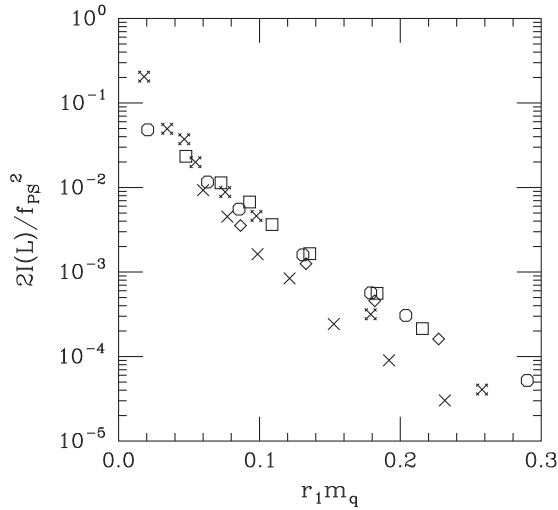


FIG. 3. Expected finite size effect from Eq. (10), from our tabulated data. Symbols are crosses for $SU(2)$, $\beta = 1.9$, fancy crosses for $SU(2)$, $\beta = 1.95$, squares for $SU(3)$, octagons for $SU(4)$.

Our $SU(2)$ results showed much larger finite volume effects than the higher- N_c data sets did. We believe that is a consequence of two effects. One is the large N_c scaling for the pseudoscalar decay constant. Finite volume effects scale as $1/f_{PS}^2$ and as we will see, f_{PS} scales approximately as $\sqrt{N_c}$. The other is the different pattern of chiral symmetry breaking in $SU(2)$, which gives rise to different coefficients in the chiral expansion. For example, C_0 in Eq. (8) for the squared pseudoscalar mass is $-1/2$ for $N_c \geq 3$ and $-3/4$ for $SU(2)$. (See the tables in Ref. [45].)

One can also notice that the two $SU(2)$ data sets have different finite volume corrections, and that the $\beta = 1.95$ data set has larger ones. This is because the lattice valued f_{PS} is smaller at the bigger β value.

$SU(2)$ has diquark states rather than baryons. However, there is no new physics in these states; their correlators are identical to the corresponding mesonic ones, by charge conjugation. This is natural: the three pseudoscalar meson Goldstone bosons are accompanied by a pair of scalar diquark Goldstones. These states are nicely described by Ref. [22]. We do not consider them further.

Comparisons of our two $SU(2)$ data sets (results from which are shown separately in all figures to follow) show that discretization effects are generally small for them.

E. Matching data across different N_c 's

It is straightforward to analyze each N_c data set separately. The questions we can ask are the usual ones: how do dimensionless ratios of dimensionful quantities (mass ratios, for example) depend on the fermion mass? The correct version of this question should add the phrase “as the lattice spacing is taken to zero.” However, in keeping with most exploratory QCD simulations, we pick

a convenient observable (call it m_H to be definite) to set the lattice spacing, and then quote ratios such as m_i/m_H as our predictions. We must also pose our sample question more sharply, trading the (unphysical) bare mass for some more physical observable such as the AWI quark mass or the squared pseudoscalar mass, and expressing it in terms of some physical observable: how does $(m_{PS}/m_H)^2$ vary with m_q/m_H ? We then might ask how sensitive our answer is, to a particular choice of m_H . This sensitivity would be a rough measure of the residual cutoff dependence in the calculation.

Now we want to combine data from different N_c . As long as we analyze the data from different N_c values in precisely the same way, we can make a large- N_c comparison. But “in precisely the same way” requires making some arbitrary choice of what is fixed, and what is allowed to vary. This is not a lattice artifact. It happens because we are studying different physical systems: $SU(3)$ with $N_f = 2$ fundamentals simply has a different spectrum from $SU(4)$ with $N_f = 2$ fundamentals. We need to look at several dimensionless observables which might be used to make matches: we chose the squared ratio of the pseudoscalar mass to vector mass (squared, because this quantity is linear in the quark mass), or $r_1 m_q$ using the Sommer parameter and the AWI quark mass, or $r_1 m_{PS}$.

Figure 4 shows one such plot: $(m_{PS}/m_V)^2$ versus κ . The horizontal lines, of course, mark out constant values which we will use when we make comparisons at fixed physical quark mass. They are $(m_{PS}/m_V)^2 = C$, where from the top $C = 0.6, 0.54, 0.48, 0.4$ and 0.3 .

Figure 5 continues the comparison: we could use the AWI quark mass itself, rather than $(m_{PS}/m_V)^2$ as a

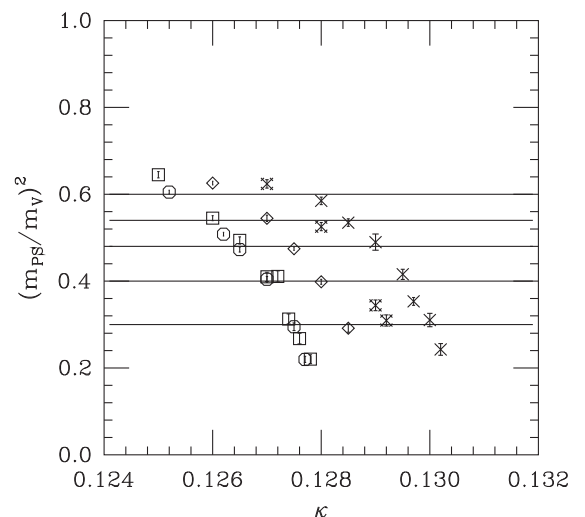


FIG. 4. One observable which will be used to match data across N_c : $(m_{PS}/m_V)^2$ versus the bare hopping parameter κ . The lines are $(m_{PS}/m_V)^2 = 0.6, 0.54, 0.48, 0.4$ and 0.3 . Crosses and fancy crosses label $SU(2)$, $\beta = 1.9$ and 1.95 ; squares are $SU(3)$, octagons $SU(4)$, and diamonds $SU(5)$.

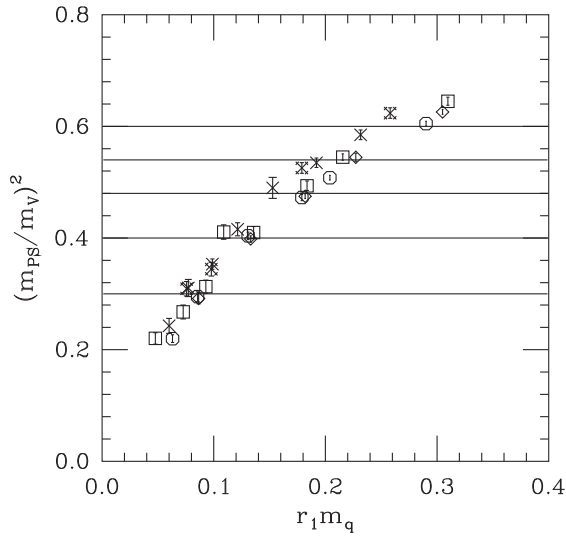


FIG. 5. A comparison of $(m_{\text{PS}}/m_V)^2$ versus the AWI quark mass, $r_1 m_q$. Crosses and fancy crosses label $SU(2)$, $\beta = 1.9$ and 1.95 ; squares are $SU(3)$, octagons $SU(4)$, and diamonds $SU(5)$.

measurement of a quark mass. The lines again label $(m_{\text{PS}}/m_V)^2 = C$. Figure 6 replots the data in Fig. 5 along its horizontal lines. It shows $r_1 m_q$ at roughly matched $(m_{\text{PS}}/m_V)^2$ values, versus $1/N_c$. It appears that for $N_c \geq 3$, matching $(m_{\text{PS}}/m_V)^2$ is nearly equivalent to matching $r_1 m_q$, but that $N_c = 2$ is discrepant.

We believe that the discrepancy is intrinsic to $SU(2)$. A match can only be successful if the candidate theories for matching are really identical in all ways except for their N_c dependence. This is the case for $N_f = 2$ and $N_c \geq 3$, which

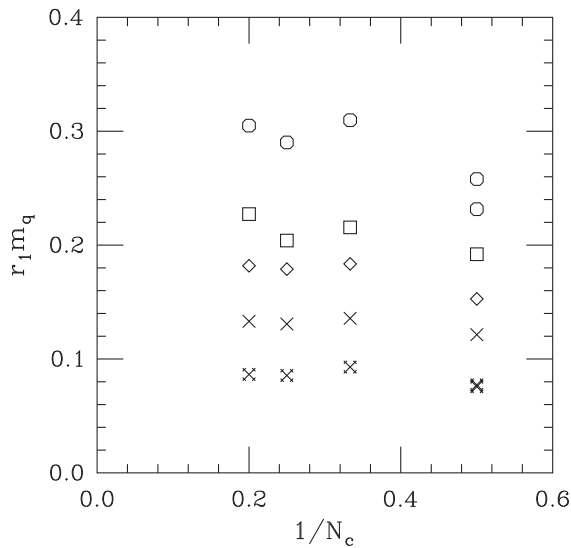


FIG. 6. The scaled AWI quark mass $r_1 m_q$ from Fig. 5. The symbols are at $(m_{\text{PS}}/m_V)^2 = C$ where $C = 0.6$ (octagons), 0.54 (squares), 0.48 (diamonds), 0.4 (crosses) and 0.3 (fancy crosses).

have an identical pattern of chiral symmetry breaking. These systems have identical chiral expansions and the only place N_c dependence enters is in the intrinsic dependence of dimensionful chiral quantities (such as the pseudoscalar decay constant) on N_c . Then we can trade quark mass dependence for pseudoscalar mass dependence, using the formulas of chiral perturbation theory. The pattern of chiral symmetry breaking is different for $SU(2)$ than it is for the other N_c 's, and so the relation between m_q and m_{PS}^2 is simply different for $SU(2)$ than it is for the other systems. We will have to keep this difference in mind as we make comparisons.

III. N_c SCALING FOR THE POTENTIAL

We begin our comparison with large- N_c scaling with the static potential. We performed a standard analysis of Wilson loop data (similar to the one in Ref. [46]) to extract the parameters of the static potential. The lattice spacing varies with the dynamical fermion mass, and in principle the shape of the potential could also vary. Therefore, to make comparisons, we must work at a common physical value, and plot the dimensionless combination $r_1 V(r)$ vs r/r_1 . In Fig. 7 we choose that value to be $(m_{\text{PS}}/m_V)^2 = 0.4$. This corresponds to $\kappa = 0.1295$, 0.127 , 0.127 , 0.128 for $N_c = 2, 3, 4, 5$ respectively. The potential appears to show little N_c dependence.

We can then examine how the shape of the potential varies with fermion mass. We have two dimensionless observables, $r_1 \sqrt{\sigma}$ and $r_0 \sqrt{\sigma}$, where σ is the string tension. Figure 8 shows the variation of these quantities with N_c and fermion mass, through the observable $(m_{\text{PS}}/m_V)^2$. The data

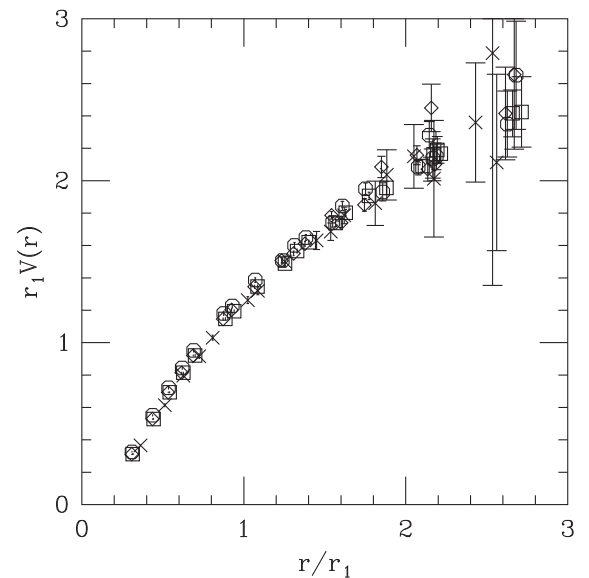


FIG. 7. Comparison of the dimensionless combination $r_1 V(r)$ vs r/r_1 from data sets matched in quark mass, at $(m_{\text{PS}}/m_V)^2 = 0.4$. Symbols are crosses for $N_c = 2$, octagons for $N_c = 3$, squares for $N_c = 4$ and diamonds for $N_c = 5$.

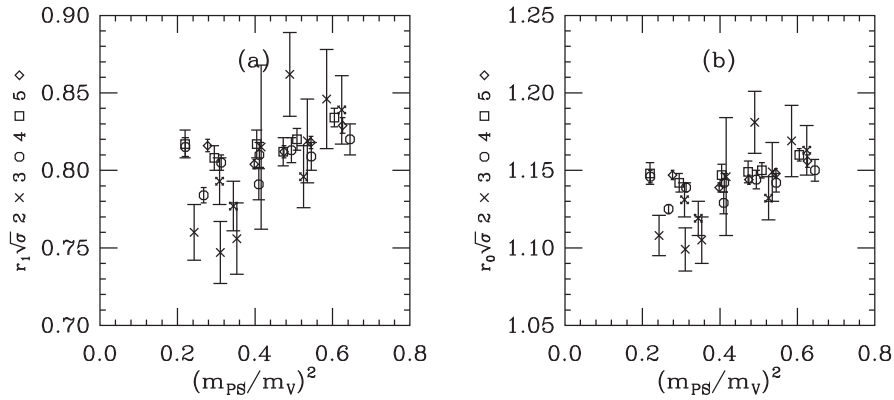


FIG. 8. Panels (a) and (b) show comparisons of the dimensionless combinations $r_1\sqrt{\sigma}$ and $r_0\sqrt{\sigma}$ vs quark mass, here parametrized as the ratio $(m_{\text{PS}}/m_V)^2$, for $N_c = 2$ (crosses for $\beta = 1.9$, fancy crosses for $\beta = 1.95$), 3 (octagons), 4 (squares) and 5 (diamonds).

sets are noisier than in the previous figure, but also show little N_c dependence.

We can quantify this statement by modeling the quark mass dependence of this scaling quantity, fitting $r_1\sqrt{\sigma} = A_i + B_i x$ with various choices for x . We considered $x = (m_{\text{PS}}/m_V)^2$, $x = (r_1 m_{\text{PS}})^2$, and $x = r_1 m_q$ (with the AWI quark mass). Not all the individual fits were of high quality (chi-squared per degree of freedom ranged from below 2 for 2 degrees of freedom to 23 for 8 degrees of freedom) and of course a linear dependence is purely phenomenological. The results are shown in Fig. 9. $N_c = 3, 4$, and 5 exhibit essentially no N_c dependence for this observable while $N_c = 2$ is only about 12 percent lower. The parameter B_i is larger for $SU(2)$. For the $x = (m_{\text{PS}}/m_V)^2$ case, it is 0.22(5), 0.03(2), 0.05(3), and 0.04(2)

for $N_c = 2, 3, 4$, and 5. This common small value for $N_c \geq 3$ is the expected scaling behavior.

IV. MESONIC OBSERVABLES

A. Masses

Both the pseudoscalar and vector meson mass show their expected lack of dependence on N_c . The dimensionless quantities $(r_1 m_{\text{PS}})^2$ and $r_1 m_V$ are displayed versus $r_1 m_q$ in Figs. 10 and 11.

A closer look at the squared pseudoscalar mass reveals some differences between $SU(2)$ and the higher N_c 's. The data is shown in Fig. 12, a plot of $r_1 m_{\text{PS}}^2/m_q$. The r_1 multiplier makes this a dimensionless quantity. It appears that the quantity is about ten percent higher for $SU(2)$ than it is for the other N_c 's. There also appears to be some tendency for this quantity to flatten as N_c increases. This is

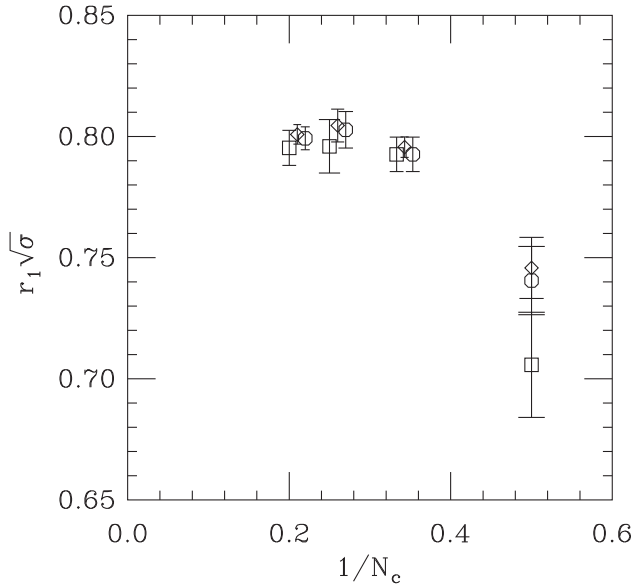


FIG. 9. The combination $r_1\sqrt{\sigma}$ at zero quark mass from linear fits described in the text. The plotting symbols show results where the independent variable is $(m_{\text{PS}}/m_V)^2$ (squares), $(r_1 m_{\text{PS}})^2$ (diamonds) and $r_1 m_q$ (octagons).

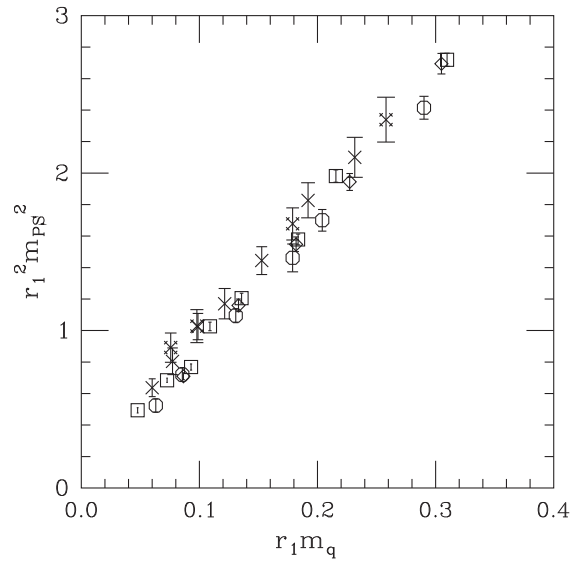


FIG. 10. Squared pseudoscalar mass versus quark mass. Data are crosses and fancy crosses for $SU(2)$, squares for $SU(3)$, octagons for $SU(4)$, and diamonds for $SU(5)$.

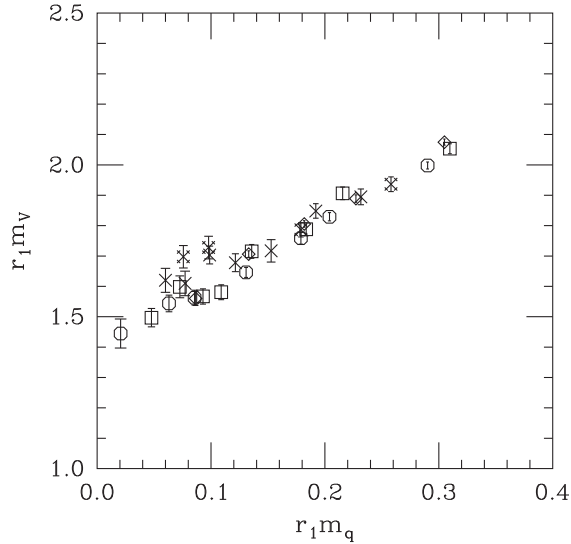


FIG. 11. Vector meson mass versus quark mass. Data are crosses for $SU(2)$, squares for $SU(3)$, octagons for $SU(4)$, and diamonds for $SU(5)$.

a large- N_c expectation since the nonanalytic part of the chiral expansion for $r_1 m_{\text{PS}}^2/m_q$, which affects the mass in both infinite and finite volume, scales as $1/f_{\text{PS}}^2 \propto 1/N_c$. However, we do not feel that we can do more than display the figure. Probably several larger volumes per N_c will be needed to disentangle finite volume effects and chiral logarithms.

It does not appear that the data are good enough quality to directly extract a more detailed picture of N_c dependence, say a plot versus $1/N_c$ at matched quark masses. We can, however, compare results of a naive fit of $r_1 m_V$ to the

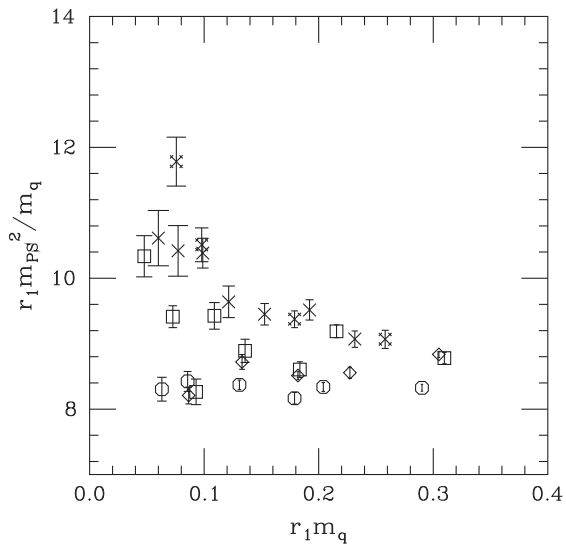


FIG. 12. Squared pseudoscalar mass divided by quark mass, versus quark mass and scaled by r_1 . Data are crosses and fancy crosses for $SU(2)$, squares for $SU(3)$, octagons for $SU(4)$, and diamonds for $SU(5)$.

linear form $r_1 m_V = A + B r_1 m_q$. All fits are of good quality, with χ^2/Dof at or below unity. The A coefficients for $N_c = 3, 4,$ and 5 are identical [1.39(2), 1.39(2), 1.40(2)] as are the B coefficients [2.16(9), 2.11(8), 2.19(7)]. Again, $SU(2)$ is an outlier: $A = 1.50(2), B = 1.5(1)$. $r_1 m_V = 1.4$ translates to a vector meson mass in the chiral limit of 890 MeV, which is high compared to the physical rho meson. However, our simulation volumes are not large and a linear extrapolation to zero is far too naive to account for the two-pion threshold's impact on the rho mass.

We also collected data for the scalar, axial vector, and tensor mesons (with interpolating fields $\bar{\psi}\Gamma\psi$ and $\Gamma = 1, \gamma_i\gamma_5,$ and $\gamma_i\gamma_j$, respectively). The scalar channel is too noisy to analyze. The axial vector and tensor channels had signals, although at large time separations they degraded. We show the masses for these channels in Fig. 13. We observe, again, N_c independence. With $1/r_1 = 635$ MeV, the $m_q = 0$ extrapolations appear to be in good, though noisy, agreement with observation [the $a_1(1235)$ and the $a_2(1320)$]. The strange quark is around $r_1 m_q \sim 0.15$ and we note that the $f_1(1420)$ and $f_2'(1525)$ would be the $s\bar{s}$ states, at $r_1 M \sim 2.2$ and 2.4 .

B. Decay constants

Decay constants are defined as follows: the pseudoscalar decay constant is

$$\langle 0 | \bar{u}\gamma_0\gamma_5 d | \text{PS} \rangle = m_{\text{PS}} f_{\text{PS}} \quad (11)$$

(so in our conventions $f_\pi \sim 130$ MeV) while the vector meson decay constant of state V is defined as

$$\langle 0 | \bar{u}\gamma_i d | V \rangle = m_V^2 f_V \epsilon_i. \quad (12)$$

ϵ_i is a unit polarization vector.

Calculations of matrix elements require a conversion to continuum regularization. We choose to adopt the old tadpole-improved procedure of Lepage and Mackenzie [47], and work at one loop.

In this scheme a continuum-regulated fermionic bilinear quantity Q with engineering dimension D [we have in mind the $\overline{\text{MS}}$ (modified minimal subtraction) value at scale μ] is related to the lattice value by

$$Q(\mu) = a^D Q(a) \left(1 - \frac{3\kappa}{4\kappa_c} \right) Z_Q \quad (13)$$

and at scale $\mu a = 1$,

$$Z_Q = 1 + \alpha \frac{C_F}{4\pi} z_Q, \quad (14)$$

where $\alpha = g^2/(4\pi)$, C_F is the usual quadratic Casimir, here $(N_c^2 - 1)/(2N_c)$, and z_Q is a scheme matching number. (The ones we need are tabulated in Ref. [48].) The axial

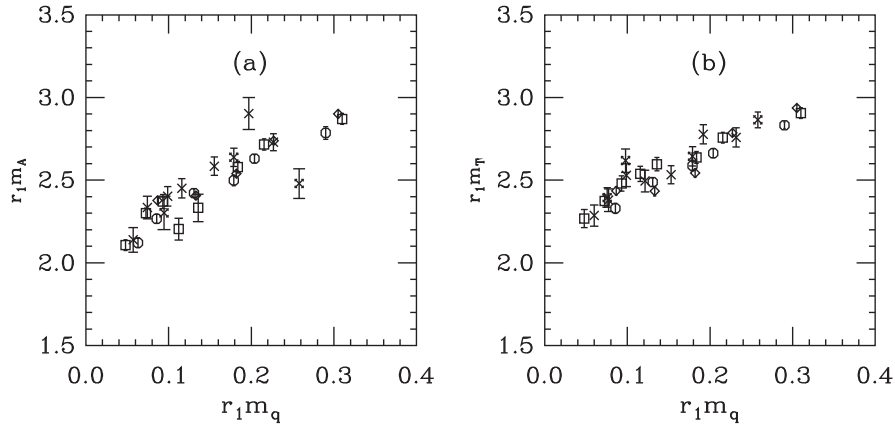


FIG. 13. Axial vector (a) and tensor (b) meson masses versus quark mass. Data are crosses and fancy crosses for $SU(2)$, squares for $SU(3)$, octagons for $SU(4)$, and diamonds for $SU(5)$.

vector and vector Z-factors are only a few percent different from unity for nHYP clover fermions and so Z_Q is taken to be unity.

κ_c is the value of the hopping parameter where the AWI quark mass and the pion mass vanish. Because the lattice spacing depends on the bare simulation parameters, we determined the values of κ_c by fitting the dimensionless combination $r_1 m_q$ to a linear dependence on κ . Plots of this quantity and of $(r_1 m_{PS})^2$ vs κ are shown in Fig. 14. The

resulting values of κ_c are listed in the tables of data. The uncertainties are ± 1 in the final quoted digit.

The pseudoscalar and vector decay constants are expected to scale as $\sqrt{N_c}$. To expose deviations from this behavior, we scale the decay constants by $\sqrt{3/N_c}$ and see whether they lie on a single curve. That appears to be the case for f_{PS} : see Fig. 15.

The vector meson decay constants are shown in Fig. 16. They are noisier than the pseudoscalar decay

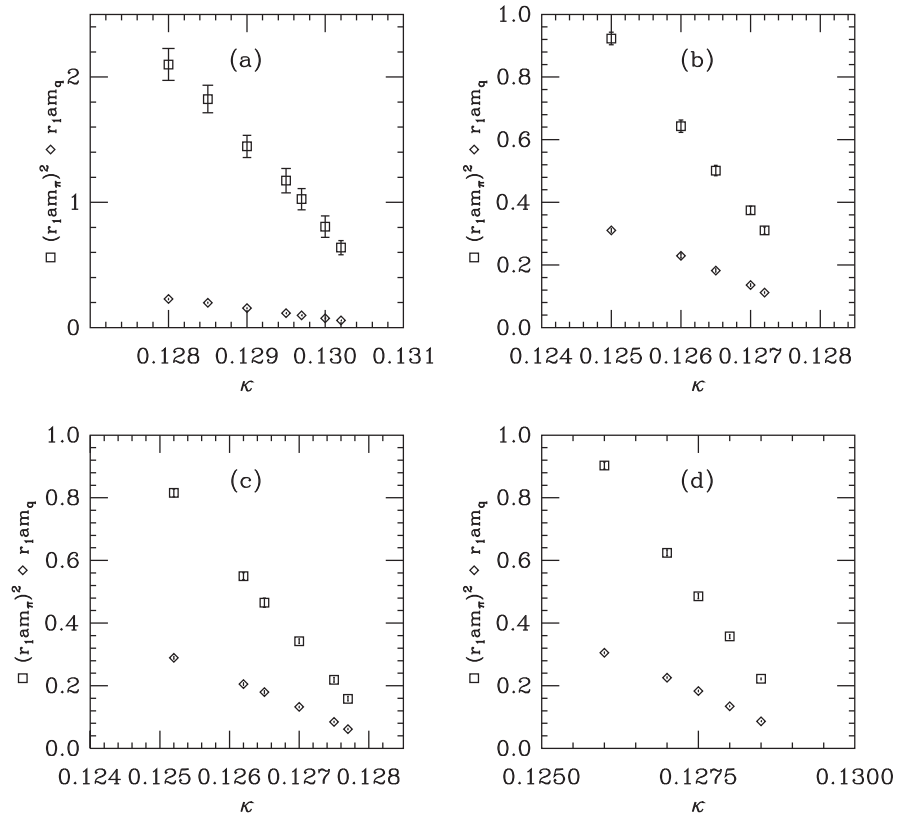


FIG. 14. Plots of $r_1 m_q$ and $(r_1 m_{PS})^2$ vs hopping parameter κ , for (a) $SU(2)$ ($\beta = 1.9$), (b) $SU(3)$, (c) $SU(4)$ and (d) $SU(5)$.

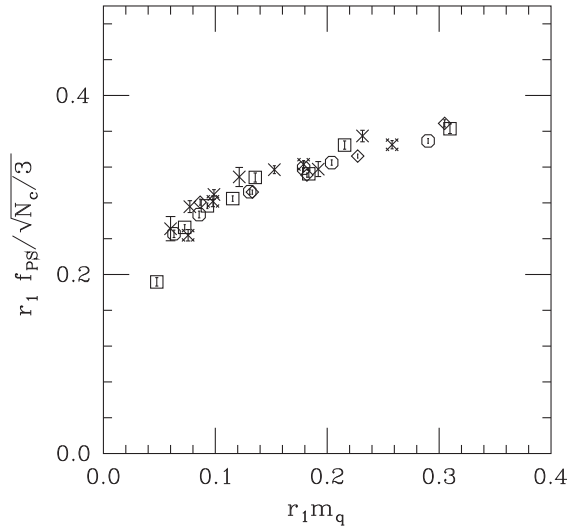


FIG. 15. Pseudoscalar decay constant divided by $\sqrt{N_c/3}$ so that curve collapse signals the correct large N_c scaling behavior, versus quark mass. Data are crosses for $SU(2)$, squares for $SU(3)$, octagons for $SU(4)$, and diamonds for $SU(5)$.

constant but still appear to exhibit the appropriate scaling behavior.

C. The condensate from the Gell-Mann, Oakes, Renner relation

As a proxy for the condensate, we compute a condensate-like variable $\Sigma(m_q)$ from the Gell-Mann, Oakes, Renner relation,

$$\Sigma(m) = \frac{m_{\text{PS}}^2 f_{\text{PS}}^2}{4m_q} \quad (15)$$

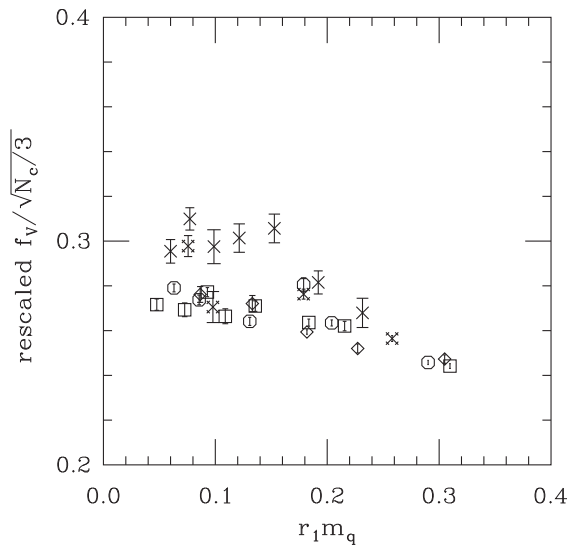


FIG. 16. Vector meson decay constant divided by $\sqrt{N_c/3}$ so that curve collapse signals the correct large N_c scaling behavior, versus quark mass. Data are crosses for $SU(2)$, squares for $SU(3)$, octagons for $SU(4)$, and diamonds for $SU(5)$.

(the factor of 4 compensates for our convention that $f_{\text{PS}} = 130$ MeV). The actual condensate might be obtained from the zero mass limit of this quantity.

We are aware of more modern ways of finding the condensate, from the spectrum of eigenvalues of the Dirac operator [49–53], but these methods seem to us to require smaller quark mass data than we can safely obtain given our simulation volumes.

We evaluated Eq. (15) using a single elimination jackknife from separate fits to the AWI quark mass, the decay constant, and the pseudoscalar mass.

A renormalization constant is needed to convert the lattice result of the quark condensate to its $\overline{\text{MS}}$ value. We do this as follows: We use the coupling constant from the so-called “ α_V ” scheme [47]. The one-loop expression relating the plaquette to the coupling is

$$\ln \frac{1}{N_c} \text{Tr} U_p = -4\pi C_F \alpha_V(q_V^*), \quad (16)$$

where $q^* = 3.41/a$ for the Wilson plaquette gauge action. In this and in all following formulas, α_V appears in the combination $\alpha_V C_F \propto \alpha_V N_c$. This is nearly identical over the values of N_c studied (compare Fig. 17) and so the conversion factor from lattice to continuum regularization will be nearly the same over our data sets.

Then (following Ref. [54]) we make the conversion $\alpha_{\overline{\text{MS}}}(e^{-5/6} q^*) = \alpha_V(1 - 2\alpha_V/\pi)$ and run to $\alpha_{\overline{\text{MS}}}(2 \text{ GeV})$ by using the two-loop beta function.

The constant z_S is tabulated in Ref. [48]. (This paper has a typo: the pseudoscalar and scalar z -factors are interchanged. $z_s = 0.04$.) The matching between lattice and continuum is done at a scale $\mu = q_S^* = 1.66/a$ according to the prescription of Ref. [55]. Finally the $\overline{\text{MS}}$ quark mass and condensate are run to $\mu = 2 \text{ GeV}$ using the usual

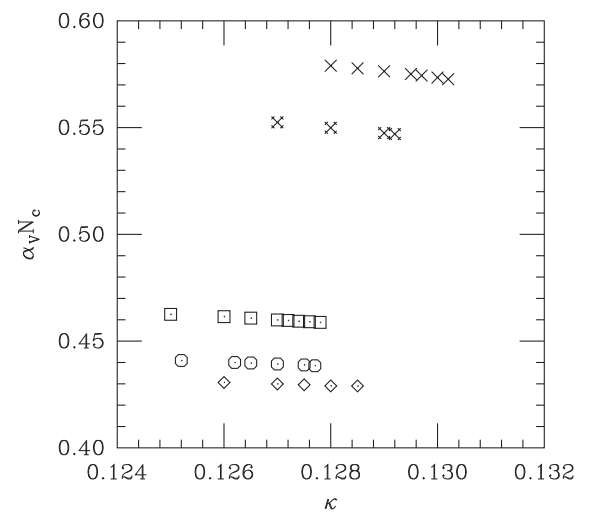


FIG. 17. Coupling constants extracted from plaquette measurements and then scaled by an overall factor of N_c , plotted as a function of hopping parameter, from the various data sets.

two-loop formula. Recall that the scale is set by $r_1 = 0.31$ fm. The overall rescaling is quite small since z_5 is tiny and since the inverse lattice spacings are close to the fiducial 2 GeV scale.

A plot of the condensate, again with all dimensions scaled by r_1 , is shown in Fig. 18. The different N_c values are also rescaled by the expected large- N_c factor, $1/N_c$. The figure shows that $\Sigma(m)$ follows the expected linear scaling in N_c for $N_c = 3, 4,$ and 5 . The lack of scaling for $N_c = 2$ is the largest such effect we observe in any of our data sets. We recall that $SU(2)$ is special from the point of chiral symmetry breaking; its pattern of symmetry breaking is different and it has five Goldstones in its spectrum rather than three.

Most of the effect seems to come from the higher value of $r_1 m_{\text{PS}}^2/m_q$ already presented in Fig. 12, which is related to the lower value of the quark mass at fixed $(m_{\text{PS}}/m_V)^2$ for $SU(2)$ than for the other N_c 's, seen in Fig. 6.

Again we cannot resist performing a naive linear fit to the data, $(3/N_c)r_1^3\Sigma = \Sigma_0 + \Sigma_1(r_1 m_q)$. We find $(\Sigma_0, \Sigma_1, \chi^2/\text{DoF})$ of $(0.137(4), 0.68(8), 14/9)$, $(0.099(6), 0.66(3), 15/6)$, $(0.097(6), 0.59(6), 5.5/4)$, and $(0.105(7), 0.60(4), 1.4/3)$ for $N_c = 2, 3, 4,$ and 5 . As we have already seen many times, $N_c = 2$ is the outlier. With $r_1 = 0.31$ fm, $\Sigma_0 = 0.1$ corresponds to a physical value for the condensate of about $(295 \text{ MeV})^3$, which is higher than typical results from good quality simulations on larger volumes and at smaller fermion masses: for example, $(260 \text{ MeV})^3$ from Ref. [52].

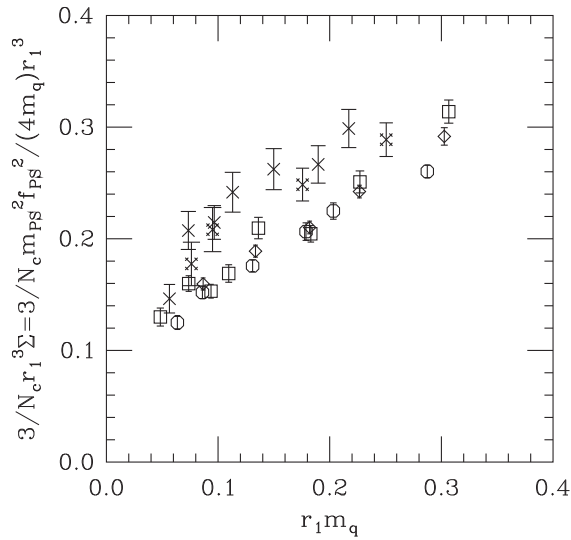


FIG. 18. Rescaled condensate, more properly $(3/N_c)m_{\text{PS}}^2 f_{\text{PS}}^2 / (4m_q)$ which extrapolates to the rescaled condensate in the chiral limit, versus quark mass. Data are crosses for $SU(2)$, squares for $SU(3)$, octagons for $SU(4)$, and diamonds for $SU(5)$. Curve collapse shows that the condensate scales as N_c . Recall that $SU(2)$ has different chiral properties than the others. Lattice data are converted to an $\overline{\text{MS}}$ quantity using the method described in the text.

V. BARYONIC OBSERVABLES

Unlike mesons, the N_c scaling of baryonic quantities cannot be displayed in a single picture. We begin with the data for individual masses. It is shown in Fig. 19. For each N_c there is a set of angular momentum (J) and isospin (I) locked states ranging down from $I = J = N_c/2$ to $I = J = 1/2$ or 0 . In all cases, the masses of the baryons increase roughly linearly with N_c , and the states are ordered in ascending value with J .

The numerator of the rotor term of Eq. (3) can be tested at fixed N_c using the ratio of differences

$$\Delta(J_1, J_2, J_3) = \frac{M(N_c, J_2) - M(N_c, J_3)}{M(N_c, J_1) - M(N_c, J_3)}, \quad (17)$$

for which the constants (m_0, B) cancel. The result is shown in Fig. 20 for $N_c = 4$ and 5 . The lines have zero intercept and the slopes are given by the rotor spectrum. Equation (3) seems to describe the data. Identical behavior was observed in the quenched simulations of Ref. [17] and for the six-quark baryons in $SU(4)$ gauge theory with two-index antisymmetric representation fermions [28].

We now fit the masses to the rotor formula. We do this for each individual fermion mass, to produce plots of m_0 and B as a function of fermion mass. For $N_c = 3$ these fits have no degrees of freedom; for $N_c = 4$ and 5 they have 1 degree of freedom. In all cases the χ^2 is below 0.3, as expected from an examination of Fig. 19. The results are shown in Figs. 21 and 22.

Figure 21 shows a pretty clear systematic drift of m_0 with N_c at fixed $(m_{\text{PS}}/m_V)^2$. In large N_c phenomenology the origin of this drift is that the coefficients in the

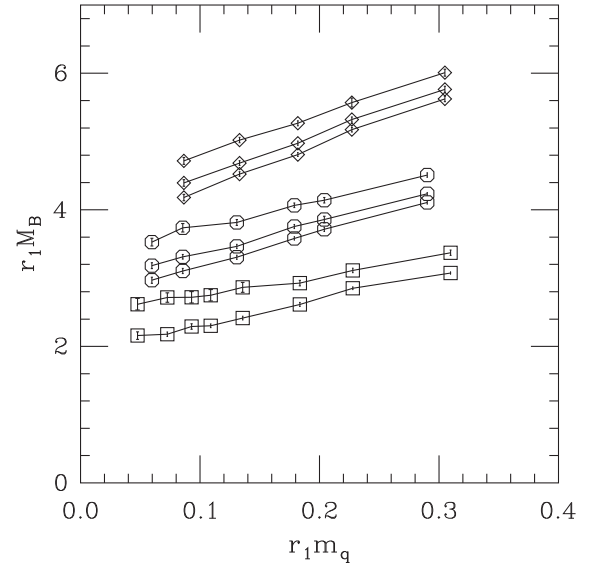
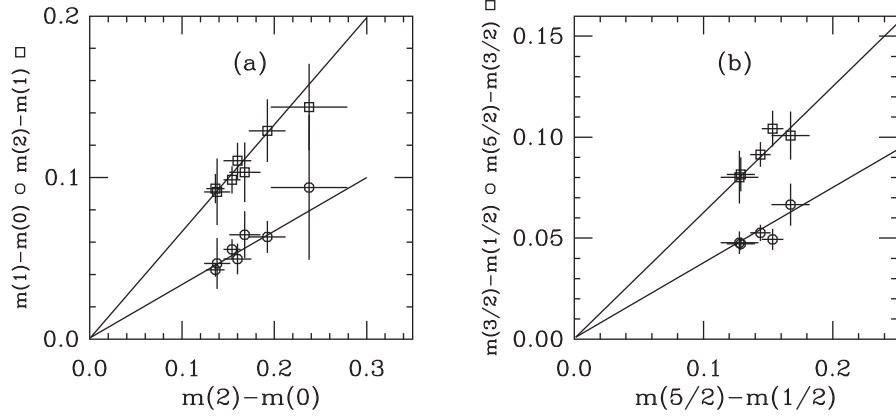


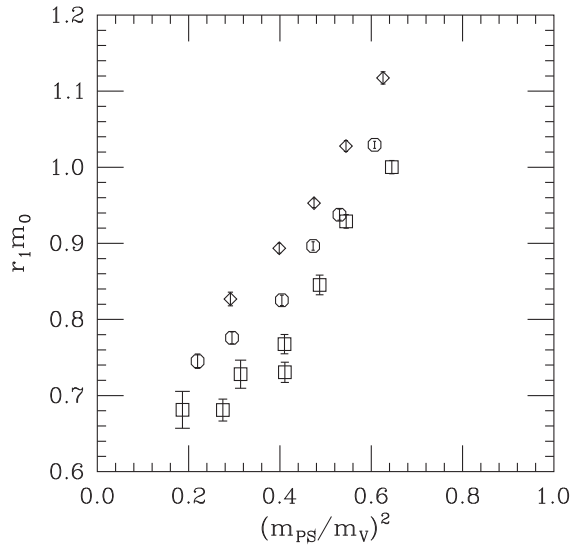
FIG. 19. Baryon masses versus quark mass. Data are squares for $SU(3)$, octagons for $SU(4)$, and diamonds for $SU(5)$. The splitting of the higher N_c baryons follows the rotor formula.


 FIG. 20. Mass differences in the $SU(4)$ and $SU(5)$ multiplets, panels (a) and (b) respectively. Lines are slopes from Eq. (17).

rotor formula are themselves functions of N_c , $J_i = J_{i0} + J_{i1}/N_c + J_{i2}/N_c^2 + \dots$. This means that a large N_c expression which is exact through $O(1/N_c)$ is

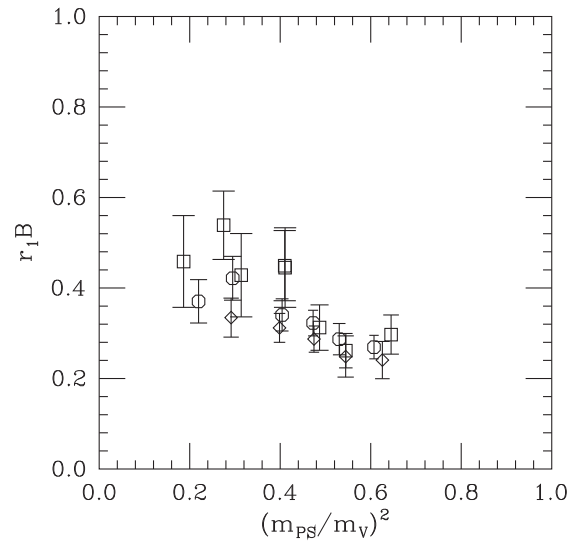
$$M(N_c, J) = N_c m_{00} + m_{01} + B \frac{J(J+1)}{N_c} \quad (18)$$

rather than the naive Eq. (3). Can we separate out m_{01} ? This has to come from a two-stage process where we first determine $m_0(N_c)$ from a fit, then filter the results. In Sec. II E we filtered the data in terms of lines of constant $(m_{PS}/m_V)^2$. Projecting the data of Fig. 21 produces Fig. 23. We would say that the large N_c resolution, that $m_0 = m_{00} + m_{01}/N_c$, is plausible, but of course there could be an m_{02}/N_c^2 term as well. Note that checking this dependence would require at least four values of N_c if a fit with a nonzero number of degrees of freedom were desired.


 FIG. 21. The quantity m_0 [as defined in the rotor formula, Eq. (3)] vs $(m_{PS}/m_V)^2$. Data are squares for $SU(3)$, octagons for $SU(4)$, and diamonds for $SU(5)$.

The uncertainties in B do not allow us to look for N_c dependence. One piece of phenomenology we can investigate is the relation of B and m_0 . One can imagine two origins for the rotor formula. The first is just a rigid rotation of the baryon, in which case B/N_c is the inverse moment of inertia of the baryon. This implies that B scales as $1/m_0$. Alternatively, one could generate the rotor formula from one gluon exchange, a color magnetic hyperfine interaction, which is proportional to the product of the two participants' magnetic moments. As a fermion magnetic moment scales inversely with its mass, this suggests B scaling as $1/m_0^2$. Our data certainly show that B decreases as m_0 increases, but does not allow us to say much more.

Chiral perturbation theory, specifically heavy baryon chiral perturbation theory [56,57], allows us to go a bit farther. The authors of Ref. [19], drawing on the derivation in Ref. [58], have formulas for the mass of a baryon with N_c


 FIG. 22. The quantity B [as defined in the rotor formula, Eq. (3)] vs $(m_{PS}/m_V)^2$. Data are squares for $SU(3)$, octagons for $SU(4)$, and diamonds for $SU(5)$.

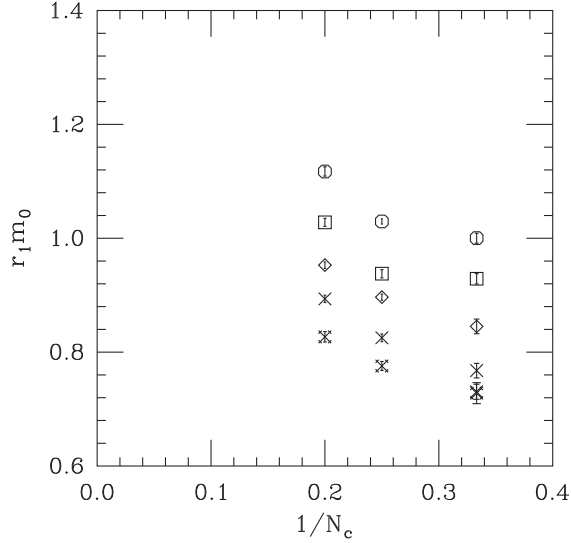


FIG. 23. The quantity m_0 from the rotor formula plotted versus $1/N_c$ along lines of approximately constant fermion mass. The symbols are at $(m_{\text{PS}}/m_V)^2 = C$ where $C = 0.6$ (octagons), 0.54 (squares), 0.48 (diamonds), 0.4 (crosses) and 0.3 (fancy crosses).

colors and angular momentum J . Figures 21 and 22 show that we should only consider the most minimal truncations of their mass formulas. In a simpler notation, the baryon mass through order $1/N_c$ is

$$m_B = N_c(m_{00} + \mu_1 m_{\text{PS}}^2) + (m_{01} + \mu_2 m_{\text{PS}}^2) + \frac{J(J+1)}{N_c}(B_0 + b m_{\text{PS}}^2) + \dots \quad (19)$$

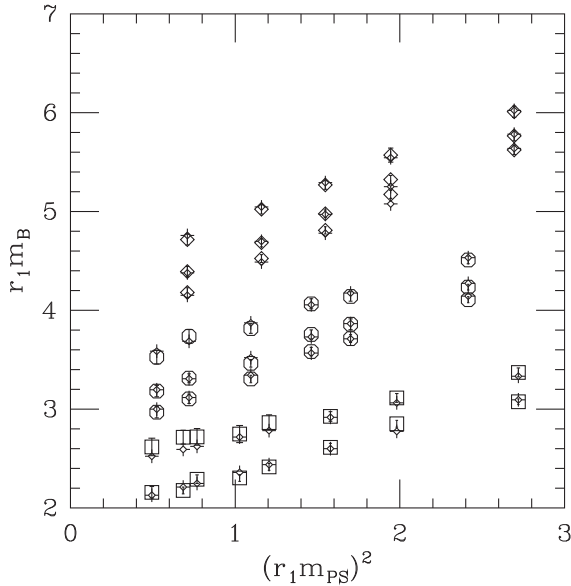


FIG. 24. Baryon spectroscopy overplotted with the best fit values from Eq. (19). Data are squares for $SU(3)$, octagons for $SU(4)$, and diamonds for $SU(5)$. Results of the fit are shown as fancy crosses.

TABLE XII. Dimensionless (i.e. scaled by the appropriate power of r_1) fit parameters corresponding to the fit of Eq. (19) and Fig. 24.

m_{00}	0.87(2)
μ_1	0.15(2)
m_{01}	-0.81(8)
μ_2	-0.13(47)
B_0	0.43(3)
b	-0.07(2)

Altogether we have data for 49 combinations of N_c and J . We fit $r_1 m_B$ to a function of $(r_1 m_{\text{PS}})^2$. The fit is excellent; $\chi^2 = 42$ for 43 degrees of freedom. We display it in Fig. 24. We record the dimensionless (i.e. rescaled by appropriate powers of r_1) best-fit parameters in Table XII. As one would expect from Figs. 21 and 22, m_{00} , μ_1 , m_{01} , and B_0 are well determined while b and especially μ_2 are less well fixed.

One pion exchange generates a contribution to the baryon mass proportional to $g_A^2/F_{\text{PS}}^2 m_{\text{PS}}^3$ where F_{PS} is the pseudoscalar decay constant in the chiral limit and g_A is the axial charge of the nucleon. Rather than looking for the complete functional form given in Ref. [19], we simply add a spin-independent term $\delta r_1 m_B = p_7 (r_1 m_{\text{PS}})^3$ or $\delta r_1 m_B = p_7 N_c (r_1 m_{\text{PS}})^3$ to the fitting function. The χ^2 of the fit is unchanged ($\chi^2 = 41$ for 42 degrees of freedom for either choice) and p_7 is essentially undetermined, $p_7 = -0.055(50)$ or $-0.016(20)$ for the two possibilities.

Our data sets allow us to compare the baryonic matrix element of the scalar density (the sigma term) using the Feynman-Hellman theorem. We define

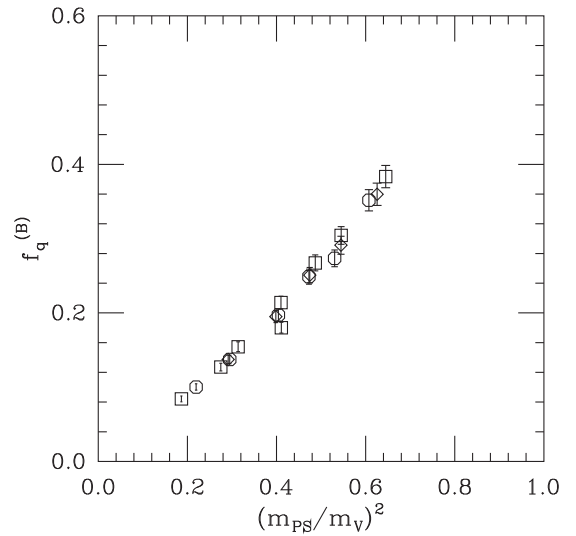


FIG. 25. The quantity $f_q^{(B)}$ defined in Eq. (20), plotted vs the ratio $(m_{\text{PS}}/m_V)^2$. Data are squares for $SU(3)$, octagons for $SU(4)$, and diamonds for $SU(5)$.

$$f_q^{(B)} = \frac{m_q}{m_B} \frac{\partial m_B}{\partial m_q} = \frac{m_q}{m_B} \langle B | \bar{\psi} \psi | B \rangle. \quad (20)$$

Multiplying by the ratio m_q/m_B cancels the renormalization of the quark mass and gives a dimensionless ratio. As described in Refs. [28] and [29] (see also [20]) this quantity is interesting in composite dark matter phenomenology; it enters into a cross section for dark matter scattering mediated by Higgs exchange. We determined it by carrying out a linear fit to $r_1 m_B$ as a function of $r_1 m_q$ and multiplying the resulting slope by m_q/m_B at each data point. The result (only for the minimum- J state in each N_c) is shown in Fig. 25. Comparison with the figures in [28,29] shows that this quantity is quite insensitive to N_c and even to representation content.

VI. CONCLUSIONS

A coarse summary of our results is that we observe that large- N_c scaling does an excellent job of reproducing the regularities in the spectrum of $SU(N_c)$ gauge theories with two flavors of dynamical fermions, for $N_c = 3, 4$, and 5 , and fermion masses in a range so that $0.2 < (m_{\text{PS}}/m_V)^2 < 0.7$.

$N_c = 2$ is the outlier. This is not surprising: $1/N_c = 1/2$ is not a small number and the pattern of chiral symmetry breaking is different from that of the other N_c values. Note that the larger finite volume effects we encountered for $SU(2)$ meant that we had to simulate at larger lattice spacing than we used for the other N_c values. It is possible that some of the differences we saw may simply be due to the larger lattice spacing. Even saying all that, $N_c = 2$ results are not discrepant by more than 15–20 percent.

This was a pilot study. Its major deficiency was the small simulation volume. This was necessitated by the desire to study larger N_c 's. It meant that we could not go to small fermion masses without encountering finite volume artifacts. This prevented us from studying detailed features of chiral symmetry breaking, such as the relative sizes of chiral logarithms, or indeed of any proper extrapolation to the zero fermion mass limit. A follow-up calculation ought to be done on bigger lattice volumes and perhaps at several lattice spacings to take an honest continuum limit.

Of course, we have only scratched the surface of large N_c lattice calculations. Obvious goals for future work would be to investigate the large- N_c scaling of more difficult observables. Examples which immediately come to mind include the N_c dependence of higher order terms in the chiral Lagrangian, or indeed the whole issue of the eta prime mass at increasing N_c . (Recall the discussion in Ref. [59].)

We also recall the recent discussion by Buras [60] about connections between lattice [61,62] and large- N_c [63] calculations of kaon weak matrix elements. The lattice calculations relevant to $K \rightarrow \pi\pi$ decays are difficult even at $N_c = 3$, but a direct study of the kaon B -parameter is

feasible with relatively small resources. (While this paper was under review, Ref. [64] appeared. It directly addresses this question. It is done in quenched approximation, with care taken to include important nonleading N_f/N_c effects.) The reader can no doubt list many more possibilities.

An issue with large N_c simulations that we have not resolved is simply that they are expensive. One might argue that, since the fermions are supposed to become less important at large N_c , simulations might somehow become easier there. We did not observe this. However, modern dynamical fermion simulations have many tunable parameters; perhaps we have missed something obvious. Having said that, we do not see any technical barriers to performing any analog of a QCD calculation at $N_c > 3$ as long as one is willing to put up with the extra computational expense.

Finally, we suspect that our results indicate that if an analytic solution to large N_c QCD could be constructed, it could be compared both qualitatively and quantitatively (with appropriate rescaling) to real-world data. This is not a controversial statement, but of course it is nice to have data in hand to justify it.

ACKNOWLEDGMENTS

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APPENDIX: nHYP SMEARING FOR $SU(N_c)$

Normalized hypercubic or nHYP smearing, introduced in Ref. [32], is described in Ref. [33] for the $SU(2)$ and $SU(3)$ gauge groups and in Ref. [34] for $SU(4)$. Smeared links $V_{n\mu}$ are constructed from bare links $U_{n\mu}$ in three consecutive smearing steps,

$$V_{n\mu} = \text{Proj}_{U(N_c)} \left[(1 - \alpha_1) U_{n\mu} + \frac{\alpha_1}{6} \sum_{\pm\nu \neq \mu} \tilde{V}_{n\nu;\mu} \tilde{V}_{n+\hat{\nu};\nu} \tilde{V}_{n+\hat{\mu};\nu\mu}^\dagger \right], \quad (\text{A1a})$$

$$\tilde{V}_{n\mu;\nu} = \text{Proj}_{U(N_c)} \left[(1 - \alpha_2) U_{n\mu} + \frac{\alpha_2}{4} \sum_{\pm\rho \neq \nu,\mu} \tilde{V}_{n\rho;\nu\mu} \tilde{V}_{n+\hat{\rho};\mu;\rho\nu} \tilde{V}_{n+\hat{\mu};\rho;\nu\mu}^\dagger \right], \quad (\text{A1b})$$

$$\begin{aligned} \bar{V}_{\nu\mu;\nu\rho} = \text{Proj}_{U(N_c)} \left[(1 - \alpha_3) U_{\nu\mu} \right. \\ \left. + \frac{\alpha_3}{2} \sum_{\pm\eta \neq \rho, \nu, \mu} U_{\nu\eta} U_{\eta+\hat{\nu}, \mu} U_{\nu+\hat{\mu}, \eta}^\dagger \right]. \quad (\text{A1c}) \end{aligned}$$

The restricted sums mean that only links which share a hypercube with $U_{\nu\mu}$ participate in the smearing. The projection to $U(N_c)$ indicated in Eq. (A1) normalizes the link. It is the only place where N_c dependence appears in the algorithm. We take $\alpha_1 = 0.75$, $\alpha_2 = 0.6$ and $\alpha_3 = 0.3$ as in previous work.

References [33,34] employed the Cayley-Hamilton theorem to give an expression that can be differentiated later to obtain the force for the molecular-dynamics evolution. For a general $N_c \times N_c$ matrix Ω , the projected matrix V is given by

$$V = \Omega(\Omega^\dagger \Omega)^{-1/2}. \quad (\text{A2})$$

We need to find the inverse square root of $Q \equiv \Omega^\dagger \Omega$, which is a positive Hermitian matrix. If it is nonsingular, the Cayley-Hamilton theorem allows us to write $Q^{-1/2}$ as a polynomial in Q ,

$$Q^{-1/2} = \sum_{j=0}^{N_c-1} f_j Q^j. \quad (\text{A3})$$

The f_j 's are constructed from the eigenvalues g_i of Q , which we find numerically. In the eigenbasis of Q , Eq. (A3) becomes

$$G_i = W_{ij} f_j \quad (\text{A4})$$

where $G_k = g_k^{-1/2}$ and $W_{ij} = g_i^j$, in both cases summing all indices from 0 to $N_c - 1$. This is a Vandermonde matrix equation which we solve numerically. This is the place where we diverge from Refs. [33,34], who solve the system analytically and express the result in terms of symmetric polynomials of the $\sqrt{g_i}$'s. If the molecular dynamics force is not needed, one is done.

Now for the force. We follow the derivation in Sec. 3 of Ref. [33], which in turn is based on Ref. [66]. The force is the derivative of the effective action with respect to the simulation time τ . The fermionic part of the action includes only the fat links $V_{\nu\mu}$, so

$$\frac{d}{d\tau} S_{\text{eff}} = \text{Re tr} \frac{\delta S_{\text{eff}}}{\delta V_\mu} \frac{dV_\mu}{d\tau} \equiv \text{Re tr} (\Sigma_{\nu\mu} \dot{V}_{\nu\mu}). \quad (\text{A5})$$

The chain rule is repeatedly applied to $\dot{V}_{\nu\mu}$ via Eq. (A1) until one reaches derivatives $\dot{U}_{\nu\mu}$ of the thin links. (If the fermions were not in the fundamental representation, one would first apply the chain rule to the change of representation.)

The only factor in the chain rule that depends on the group comes from the $U(N_c)$ projection [Eq. (A2)]. It appears at every level of smearing in Eq. (A1). We need to express \dot{V} in terms of $\dot{\Omega}$. Equations (A3) or (A4) let us do that. [See also Eq. (3.10) of Ref. [33].]

$$\begin{aligned} \text{Re tr} \Sigma \dot{V} &= \text{Re tr} \left[\Sigma \frac{d}{d\tau} (\Omega Q^{-1/2}) \right] \\ &= \text{Re} \left[\text{tr} (Q^{-1/2} \Sigma \dot{\Omega}) + \text{tr} \left(\Sigma \Omega \frac{d}{d\tau} Q^{-1/2} \right) \right] \\ &= \text{Re} \left[\text{tr} (Q^{-1/2} \Sigma \dot{\Omega}) \right. \\ &\quad \left. + \text{tr} \left(\Sigma \Omega \sum_n \left(\frac{df_n}{dt} Q^n + f_n \frac{dQ^n}{dt} \right) \right) \right]. \quad (\text{A6}) \end{aligned}$$

The third term can be written as

$$\text{Re tr} \left(\Sigma \Omega \sum_n f_n \frac{dQ^n}{dt} \right) \equiv \text{Re tr} (A_3 \dot{Q}), \quad (\text{A7})$$

using the chain rule

$$\frac{dQ^n}{dt} = \dot{Q} Q^{n-1} + Q \dot{Q} Q^{n-2} + \dots + Q^{n-1} \dot{Q}, \quad (\text{A8})$$

and the cyclic property of the trace to construct A_3 .

The goal now is to write the second term as

$$\text{Re tr} \left(\Sigma \Omega \sum_n \frac{df_n}{dt} Q^n \right) \equiv \text{Re tr} (A_2 \dot{Q}) \quad (\text{A9})$$

because if we can do that, then (with $A = A_2 + A_3$), we can differentiate $Q = \Omega^\dagger \Omega$ to obtain

$$\text{Re tr} \Sigma \dot{V} = \text{Re tr} [(Q^{-1/2} \Sigma + A \Omega^\dagger + A^\dagger \Omega) \dot{\Omega}]. \quad (\text{A10})$$

[This is Eq. (A14) of Ref. [34].]

A_2 is found as follows: f_n depends on the traces

$$c_n = \frac{1}{n+1} \text{tr} Q^{n+1}, \quad (\text{A11})$$

so one can write

$$\dot{f}_i = \sum_{n=0}^{N_c} b_{in} \text{tr} (Q^n \dot{Q}), \quad (\text{A12})$$

where $b_{in} = \partial f_i / \partial c_n$. These quantities are calculated via the eigenvalues g_k through the chain rule,

$$b_{ij} = \frac{\partial f_i}{\partial c_j} = \sum_k \frac{\partial f_i}{\partial g_k} \frac{\partial g_k}{\partial c_j} \equiv F_{ik} G_{kj}. \quad (\text{A13})$$

Equation (A11) tells us that

$$V_{jm} = \frac{\partial c_j}{\partial g_m} = (g_m)^j = W^T, \quad (\text{A14})$$

the transpose of the Vandermonde matrix in Eq. (A4). Thus $\mathcal{G}_{kl} = (V^{-1})_{kl}$.

To find F_{ij} we again use Eq. (A4), $f_l = (W^{-1})_{li}G_i$, so that

$$\frac{\partial f_l}{\partial g_k} = -(W^{-1})_{lm} \frac{\partial W_{mn}}{\partial g_k} (W^{-1})_{ni} G_i + (W^{-1})_{li} \frac{\partial G_i}{\partial g_k}. \quad (\text{A15})$$

The pieces of this are

$$\frac{\partial G_i}{\partial g_k} = -\frac{1}{2g_k^{3/2}} \delta_{ik} \quad (\text{A16})$$

and

$$\frac{\partial W_{mn}}{\partial g_k} = \delta_{mk} \sum_n n g_k^{n-1} f_n. \quad (\text{A17})$$

Putting everything together,

$$b_{ij} = -(W^{-1})_{ik} (W^{-1})_{jk} S_k, \quad (\text{A18})$$

where

$$S_k = \frac{1}{2g_k^{3/2}} + \sum_{n=0}^{N_c} n g_k^{n-1} f_n. \quad (\text{A19})$$

This goes into

$$A_2 = \sum_n \text{tr}(B_n \Sigma \Omega) Q^n, \quad (\text{A20})$$

where

$$B_n = \sum_i b_{in} Q^i \quad (\text{A21})$$

as in Refs. [33,34]. Basically, their long, N_c -dependent analytic calculations are replaced by the numerical inversion of the Vandermonde matrix W .

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