

Electroweak baryogenesis in a scalar-assisted vectorlike fermion modelMing-Lei Xiao^{1,*} and Jiang-Hao Yu^{2,*,†}¹*Theory Group, Department of Physics and Texas Cosmology Center,
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We extend the standard model to a scalar-assisted vectorlike fermion model to realize electroweak baryogenesis. The extended Cabibbo-Kobayashi-Maskawa matrix, due to the mixing among the vectorlike quark and the standard model quarks, provides additional sources of the CP violation. Together with the enhancement from a large vectorlike quark mass, a large enough baryon-to-photon ratio could be obtained. The strongly first-order phase transition could be realized via the potential barrier which separates the broken minimum and the symmetric minimum in the scalar potential. We investigate in detail the one loop temperature-dependent effective potential and perform a random parameter scan to study the allowed parameter region that satisfies the strongly first order phase transition criteria $v_c \geq T_c$. Several distinct patterns of phase transition are classified and discussed. Among these patterns, a large trilinear mass term between the Higgs boson and the scalar is preferred, for it controls the width of the potential barrier. Our results indicate large quartic scalar couplings and a moderate mixing angle between the Higgs boson and the new scalar. This parameter region could be further explored at the Run 2 LHC.

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The baryonic matter that remains after the baryon-antibaryon annihilation makes up around 5% of the total energy density of the universe. It is puzzling that the universe does not have equal amounts of matter and antimatter. We can characterize the asymmetry between matter and antimatter in terms of the baryon-to-photon ratio

$$\eta \equiv \frac{n_B}{n_\gamma}, \quad (1.1)$$

where $n_B = n_b - n_{\bar{b}}$ is the difference between the number density of baryons and antibaryons, and n_γ is the number density of the photon. The n_γ is introduced to prevent the parameters η from diluting during the expansion of the universe after nucleosynthesis. The baryonic matter density n_B at the present time has been consistently measured by the big bang nucleosynthesis and the fluctuations of the cosmic microwave background. The Planck result for the cosmological density parameter [1]

$$\Omega_B h^2 = 0.02226 \pm 0.00016 \quad (1.2)$$

translates to the baryon-to-photon ratio

$$\eta = (6.05 \pm 0.07) \times 10^{-10}. \quad (1.3)$$

Explaining the observed baryon asymmetry has been one of the greatest challenges of particle physics

and cosmology. As the entropy production during inflation could greatly dilute and thus wash out any existing baryon asymmetry, it is reasonable to assume a zero baryon number density after the inflation. Later, the asymmetry is generated dynamically through the so-called “baryogenesis.” It has been suggested by Sakharov [2] long ago that the general baryogenesis has three necessary conditions: baryon number violation, sufficient C and CP violation, and departure from thermal equilibrium. Hence, we look forward to a mechanism in which these three conditions are satisfied and could provide the observed baryon asymmetry.

Electroweak baryogenesis (EWBG) [3–5] offers a theoretically attractive and experimentally testable mechanism to realize baryogenesis. The great attraction of this mechanism is that the baryogenesis took place at or near the electroweak scale, suggesting that it might be probed in the near future by experiments at the accelerators. The EWBG proceeds as follows (see [6–11] for reviews). At temperatures far above the electroweak scale, the electroweak symmetry is manifest, which implied a high sphaleron rate that preserves baryon symmetry in thermal equilibrium. As the universe cools down to near the electroweak phase transition scale, bubbles of the symmetry-broken vacuum begin to emerge and grow. CP violating processes involving the electroweak sector were triggered at the expanding wall of the bubbles, leaving baryons inside the bubbles and antibaryons outside. Through the rapid sphaleron transitions in the unbroken phase, the excess of antibaryons are washed out. Meanwhile, if the sphaleron rate in the broken phase

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could be suppressed enough, the excess of baryons inside the bubbles could survive. We can easily realize a Boltzmann suppression of the sphaleron rate, because the sphaleron has an excitation energy E_{sph} that is related to the Higgs vacuum expectation value (vev) v . It has been shown [12] that the suppression is strong enough when

$$E_{\text{sph}}/T_c \geq 45, \quad (1.4)$$

which serves as the condition for a *strong first-order phase transition* (SFOPT) in the context of electroweak baryogenesis.

The standard model (SM) contains all the necessary ingredients to realize electroweak baryogenesis: the baryon number is violated by sphaleron processes; CP violation comes from the Cabibbo-Kobayashi-Maskawa (CKM) matrix; the departure from equilibrium is realized by the bubble nucleation and expansion during the first-order electroweak phase transition (EWPT). However, given the observed Higgs boson mass $M_H = 125$ GeV, the EWPT is not strong enough to suppress the sphaleron rate inside the bubbles [13]. Also, the CP violation in the CKM matrix is not large enough to generate the expected asymmetry. Therefore a successful electroweak baryogenesis needs new physics (NP) beyond the standard model. The new physics should provide new sources of CP violation that can be manifested by the advancing bubble walls, and also provide strong enough first order EWPT. Both conditions require the existence of new physics at around the electroweak scale that directly couples to the SM Higgs sector. A simple and economic way to realize the strong first order EWPT is to add a new scalar that couples to the Higgs boson, such as the singlet extended standard model [14–22]. Moreover, if the scalar is a real singlet [18,22], the cubic terms could exist in the potential at tree level, and therefore the phase transition gets stronger without the need of the thermally induced barrier.

We consider the electroweak baryogenesis in a scalar-assisted vectorlike fermion model [23], in which a singlet scalar and vectorlike fermions are added to the SM particle content. Originally, the model is motivated by the possible instability of the vacuum structure in the vectorlike fermion model. The singlet scalar is added to the scalar sector and couples to the vectorlike fermion. This model solves the vacuum stability problem in the vectorlike fermion model and the possible perturbativity issues in the singlet scalar extended standard model. Recently this model has attracted lots of attention because it could naturally explain the diphoton excess observed at both ATLAS and CMS [24,25]. The diphoton signature of this model and its extensions have been considered in Ref. [26]. Because of constraints from other WW and ZZ channels, a 750 GeV scalar singlet could accommodate the observed diphoton excess more readily than the $SU(2)_L \times U(1)_Y$ scalar multiplets. The diphoton signature is produced via the

gluon fusion and subsequent diphoton decay with vectorlike fermion running in the loop.

In this work, we consider that this model realizes the electroweak baryogenesis. The vectorlike fermion mixes with the SM quarks, extending the 3×3 CKM matrix to a 3×4 matrix, which provides additional sources of CP violation. Because of the coupling between Higgs and the new scalar, the phase transition happens in an extended scalar space, which leads to more possibilities on phase transition. We will discuss the scalar potential in detail, perform numerical calculations, and investigate how the extended scalar sector provides us the SFOPT. Furthermore, we classify the phase transition patterns and explore the parameter preferences in each pattern using the shape of the derivatives of the scalar potential. Finally, we explore the discovery potential of the parameter space favored by SFOPT at the LHC.

The organization of presentation is as follows. We begin with the description of the new physics model. In Sec. III, we discuss the CP violation in this model. In Sec. IV, we present the effective potential and the shape of the scalar potential. In Sec. V, we discuss the phase transition pattern and explain our numerical results. In Sec. VI, the LHC discovery potential of the favored parameter region is discussed. We then make our conclusion.

II. SCALAR-ASSISTED VECTORLIKE FERMION MODEL

In our setup, we consider an extension of the SM in which a vectorlike fermion U and a real singlet scalar s are added to the particle content [23]. The vectorlike fermion U transforms as $(3, 1)_{\frac{2}{3}}$ under the SM gauge symmetry $SU(3)_C \times SU(2)_L \times U(1)_Y$. Because of the same quantum number, its right-handed component mixes with the SM right-handed up-type quarks. As is known [23], the vectorlike fermion model encounters the vacuum instability problem. To have a stabilized scalar potential, a singlet scalar s is introduced. The scalar mixes with the SM Higgs boson and couples to the vectorlike fermion. Here, we assume no Z_2 symmetry for the new scalar, so that it has a nonzero vev in general. We refer to this model as the scalar-assisted vectorlike fermion model. Let us discuss the quark sector and the scalar sector in detail.

In the quark sector, a SM family contains a doublet $Q_L = (u_L, d_L)^T \sim (3, 2)_{1/6}$ and two singlets $u_R \sim (3, 1)_{2/3}$ and $d_R \sim (3, 1)_{-1/3}$ that couple to each other via the Higgs doublet $\Phi \sim (1, 2)_{1/2}$. Because of the flavor experiment constraints, we only allow the new vectorlike fermion to have significant mixing with the third generation quarks, so it is also called a top partner. A vectorlike top partner is well motivated in the little Higgs [27], composite Higgs [28], extra dimension models [29], etc. Let us first write down the Lagrangian for one flavor mixing between the new fermion and the third generation quarks, and then extend to

the three flavor mixing. We could write down the following new Yukawa couplings:

$$\mathcal{L}_{\text{Yuk}} = y_t \bar{Q}_{L3} \tilde{\Phi} u_{R3} - y' \bar{Q}_{L3} \tilde{\Phi} U_R - y_s s \bar{U}_L U_R - M \bar{U}_L U_R + \text{H.c.}, \quad (2.1)$$

where Q_{L3} and u_{R3} are the left-handed quark doublet and the right-handed up-type quark in the third generation. The vacuum expectation values of the two scalars are denoted as

$$v \equiv \langle \phi \rangle, \quad u \equiv \langle s \rangle. \quad (2.2)$$

The mass term becomes

$$\mathcal{L}_{\text{mass}} = -(\bar{u}_{L3} \quad \bar{U}_L) \begin{pmatrix} v y_t & v y' \\ 0 & M + y_s u \end{pmatrix} \begin{pmatrix} u_R \\ U_R \end{pmatrix} + \text{H.c.} \quad (2.3)$$

To get the mass eigenstates (t, T), we diagonalize the fermion mass matrix

$$\begin{pmatrix} v y_t & v y' \\ 0 & M + y_s u \end{pmatrix} = \begin{pmatrix} \cos \theta_L & \sin \theta_L \\ -\sin \theta_L & \cos \theta_L \end{pmatrix} \begin{pmatrix} m_t & 0 \\ 0 & m_T \end{pmatrix} \times \begin{pmatrix} \cos \theta_R & -\sin \theta_R \\ \sin \theta_R & \cos \theta_R \end{pmatrix}. \quad (2.4)$$

Note that the two mixing angles are not independent parameters,

$$\tan \theta_R = \frac{m_t}{m_T} \tan \theta_L. \quad (2.5)$$

Despite the tight constraints on the flavor mixing between the new vectorlike fermion and the first two generations, these mixings are still essential for the new CP violation. If we consider the three families of the quarks in the SM, the Yukawa couplings y_i and y' in Eq. (2.1) become matrix Y_{ij}^u and vector Y'_i in the flavor space. With explicit flavor indices, the Yukawa Lagrangian becomes

$$\mathcal{L}_{\text{Yuk}} = -Y_{ij}^d \bar{Q}_{Li} \Phi d_{Rj} - Y_{ij}^u \bar{Q}_{Li} \tilde{\Phi} u_{Rj} - Y'_i \bar{Q}_{Li} \tilde{\Phi} U_R - y_s s \bar{U}_L U_R - M \bar{U}_L U_R + \text{H.c.} \quad (2.6)$$

The mass term of the fermion sector is

$$\mathcal{L}_{\text{mass}} = -(\bar{u}_{Li} \quad \bar{U}_L) \begin{pmatrix} v Y_{ij}^u & v Y'_i \\ 0 & M + y_s u \end{pmatrix} \begin{pmatrix} u_R^j \\ U_R \end{pmatrix} - v Y_{ij}^d \bar{d}_{Li} d_R^j + \text{H.c.} \quad (2.7)$$

Hereafter we identify

$$\mathcal{M}_{IJ}^u = \begin{pmatrix} v Y_{ij}^u & v Y'_i \\ 0 & M + y_s u \end{pmatrix}, \quad \mathcal{M}_{ij}^d = v Y_{ij}^d, \quad (2.8)$$

where I and J run over 1 to 4. Using bidiagonalization, the mass matrix transforms as

$$\mathcal{U}_L^\dagger \mathcal{M}^u \mathcal{U}_R = \text{Diag}(m_u, m_c, m_t, m_T), \quad (2.9)$$

$$\mathcal{D}_L^\dagger \mathcal{M}^d \mathcal{D}_R = \text{Diag}(m_d, m_s, m_b), \quad (2.10)$$

through rotations of the quark flavor basis

$$u_L^i = \mathcal{U}^i_j u_L^j, \quad d_L^i = \mathcal{D}^i_j d_L^j, \quad (2.11)$$

where \mathcal{U}_j^i and \mathcal{D}_j^i are 4×4 unitary matrix and 3×3 unitary matrix, respectively.

The new CKM matrix V'_{CKM} is obtained from the charged current. In weak eigenstates

$$\mathcal{L}_{\text{CC}} = \frac{g_2}{\sqrt{2}} \bar{u}_{Li} \gamma^\mu d_L^i W_\mu + \text{H.c.} \quad (2.12)$$

Rotating into the mass eigenstates, we get

$$\mathcal{L}_{\text{CC}} = \frac{g_2}{\sqrt{2}} \bar{u}_{Li} \gamma^\mu (\mathcal{U}_L^\dagger)^i_j (\mathcal{D}_L)^i_j d_L^j W_\mu + \text{H.c.} \quad (2.13)$$

V'_{CKM} is defined as a 4×3 matrix

$$V'_{\text{CKM}} = (\mathcal{U}_L^\dagger)^i_j (\mathcal{D}_L)^i_j \equiv \mathcal{U}^\dagger E \mathcal{D}, \quad (2.14)$$

where the explicit forms of the new CKM matrix are expressed as

$$E = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}, \quad V'_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \\ V_{Td} & V_{Ts} & V_{Tb} \end{pmatrix}. \quad (2.15)$$

The unitarity of the transformation matrices \mathcal{U} and \mathcal{D} implies

$$V'^{\dagger}_{\text{CKM}} V'_{\text{CKM}} = \mathcal{D}^\dagger E^\dagger \mathcal{U} \mathcal{U}^\dagger E \mathcal{D} = \mathbf{1}_{3 \times 3}, \quad (2.16)$$

which means that the 3 columns of V'_{CKM} are orthonormal to each other. For future use, we can complement one column to make up a unitary 4×4 matrix expressed as

$$\bar{V}_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} & V_{u4} \\ V_{cd} & V_{cs} & V_{cb} & V_{c4} \\ V_{td} & V_{ts} & V_{tb} & V_{t4} \\ V_{Td} & V_{Ts} & V_{Tb} & V_{T4} \end{pmatrix}. \quad (2.17)$$

In the scalar sector, the new scalar couples to the SM Higgs boson. The general scalar potential is

$$V_{\text{tree}} = -\frac{1}{2}\mu_\phi^2\phi^2 + \frac{1}{4}\lambda_\phi\phi^4 - \frac{1}{2}\mu_s^2s^2 + \mu_1^3s + \frac{1}{3}\mu_3s^3 + \frac{1}{4}\lambda_s s^4 + \frac{1}{2}\mu_{s\phi}\phi^2s + \frac{1}{4}\lambda_{s\phi}\phi^2s^2. \quad (2.18)$$

The parameter μ_1 can be eliminated by a redefinition of the scalar field $s \rightarrow s + \sigma$.

The minimization conditions at the vacuum (v, u) are used to eliminate the quadratic coefficients

$$\mu_\phi^2 = \lambda_\phi v^2 + \mu_{s\phi}u + \frac{1}{2}\lambda_{s\phi}u^2, \quad (2.19)$$

$$\mu_s^2 = \lambda_s u^2 + \mu_3u + \frac{1}{2}\lambda_{s\phi}v^2 + \frac{\mu_{s\phi}v^2}{2u}. \quad (2.20)$$

The second derivatives of the tree-level potential describe the mass squared matrix of ϕ and s ,

$$\begin{aligned} \mathcal{M}_{\text{scalar}}^2 &\equiv \begin{pmatrix} m_{\phi\phi}^2 & m_{\phi s}^2 \\ m_{s\phi}^2 & m_{ss}^2 \end{pmatrix} \\ &= \begin{pmatrix} 2\lambda_\phi v^2 & \mu_{s\phi}v + \lambda_{s\phi}uv \\ \mu_{s\phi}v + \lambda_{s\phi}uv & 2\lambda_s u^2 + \mu_3u - \frac{\mu_{s\phi}v^2}{2u} \end{pmatrix}. \end{aligned} \quad (2.21)$$

Diagonalizing the above matrix, we obtain the mass squared eigenvalues

$$m_{h,S}^2 = \frac{1}{2}(m_{\phi\phi}^2 + m_{ss}^2) \mp \frac{1}{2}\sqrt{(m_{\phi\phi}^2 - m_{ss}^2)^2 + 4m_{s\phi}^4}, \quad (2.22)$$

and the eigenvectors

$$\begin{pmatrix} h \\ S \end{pmatrix} = \begin{pmatrix} \cos\varphi & -\sin\varphi \\ \sin\varphi & \cos\varphi \end{pmatrix} \begin{pmatrix} \phi \\ s \end{pmatrix}, \quad (2.23)$$

where the mixing angle φ is given by

$$\tan 2\varphi = \frac{2m_{s\phi}^2}{m_{ss}^2 - m_{\phi\phi}^2}. \quad (2.24)$$

III. SOURCES OF CP VIOLATION

In the SM, the CP violation is characterized by the quark-rephasing invariant quantity, the Jarlskog invariant [30]

$$J_{CP} = (m_t^2 - m_c^2)(m_t^2 - m_u^2)(m_c^2 - m_u^2)(m_b^2 - m_s^2) \times (m_b^2 - m_d^2)(m_s^2 - m_d^2)A, \quad (3.1)$$

where

$$A = \text{Im}V_{ud}V_{cb}V_{ub}^*V_{cd}^* \quad (3.2)$$

is twice the area of the unitarity triangle of the CKM matrix. As the SM CKM matrix has only one independent CP phase, the three unitarity conditions give the same area, which is the only CP violating source. This quantity can also be written as [31]

$$J_{CP} = -\frac{i}{2}\det[H_u, H_d], \quad (3.3)$$

where $H_u = M_u M_u^\dagger$ and $H_d = M_d M_d^\dagger$ are the building blocks of rephasing invariants. In the picture of electroweak baryogenesis, this quantity provides a dimensionless CP violation strength $J_{CP}/T_c^{12} \sim 10^{-20}$, which is too small compared to the typical strength of baryogenesis $\eta \sim 10^{-10}$. We observe that both the fermion masses and the unitarity triangle A suppress the CP violation. Thus we expect that adding heavy quarks could enhance the CP violating effect via the large fermion mass. In general, this heavy quark can be chiral or vectorlike. A lot of effort was performed for both the model of fourth generation quarks [32] and the vectorlike bottom quark [33,34], among which was the study of the enhancement of CP violation. However, the simplest fourth generation model was ruled out by the experiment data [35,36]. On the other hand, the vectorlike quark is still alive and provides enhancement of CP violation in a similar way.

Let us discuss the CP violation strength in our model. First, let us count how many independent CP phases there are in the model. The unitary condition Eq. (2.16) sets nine constraints to the CKM elements. There are also six rephasing redundancies, coming from the seven involved quark fields modular the total baryon phase. Finally, the number of independent matrix elements in the CKM matrix is

$$12 \times 2 - 9 - 6 = 9, \quad (3.4)$$

among which 6 degrees of freedom attribute to real rotations, and the other 3 are independent CP phases.

The three phases can be parametrized as the following three rephrasing invariants:

$$B_1 = \text{Im}V_{cb}V_{Tb}^*V_{T4}V_{c4}^*, \quad (3.5)$$

$$B_2 = \text{Im}V_{tb}V_{Tb}^*V_{T4}V_{t4}^*, \quad (3.6)$$

$$B_3 = \text{Im}V_{cb}V_{tb}^*V_{t4}V_{c4}^*, \quad (3.7)$$

all of which represent the area of a subtriangle of the unitarity quadrangle formed by the complex numbers

$V_{Tb}^* V_{T4}$, $V_{ib}^* V_{i4}$, $V_{cb}^* V_{c4}$, $V_{ub}^* V_{u4}$. In the chiral limit where u and c are supposed to be massless, $m_u = m_c = 0$, the B 's that involve c and u are not observable; hence we only care about the quantity B_2 .

Similar to the SM case, those unitarity areas are not the only quantities which appear in the CP violation processes. In the case that we are interested in, where CP violation occurs simply via the evolution described by the Dirac equation [9], the mass matrices also play the game. Therefore, dimensionful quantities like Eq. (3.3) should be used to characterize the CP violation strength. In the gauge basis, the mass terms are

$$-\mathcal{L}_{\text{mass}} = \bar{u}_{Li} \mathcal{M}_{iJ}^u u_{RJ} + \bar{d}_{Li} \mathcal{M}_{ij}^d d_{Rj}. \quad (3.8)$$

We decompose the up-type mass matrix as

$$\mathcal{M}^u = \begin{pmatrix} M^u \\ m^u \end{pmatrix}, \quad (3.9)$$

where M^u and m^u are submatrices with dimensions 3×4 and 1×4 , respectively. Then we can define $H_u = M_u M_u^\dagger$ and $H_d = M_d M_d^\dagger$ as before. We can also define another building block of rephasing invariants $h_u = M^u m^{u\dagger}$.

It was investigated that in the vectorlike bottom partner model [33], the CP violation is characterized by seven Jarlskog-like invariants (J -invariants). The top partner model should be similar. In the simple case of chiral limit $m_u = m_d = m_s = m_c = 0$, only one of them is independent,

$$\begin{aligned} J &= \text{Im tr} H_d H_u h_u h_u^\dagger \\ &= m_b^2 m_T^2 m_t^2 (m_T^2 - m_t^2) B_2. \end{aligned} \quad (3.10)$$

In this work, we only estimate the CP asymmetry using the Jarlskog-like invariants, and we leave more detailed study via transport equation for future work. To estimate the strength of CP violation in our model, we need to look at the experimental constraints on the heavy fermion mass and the extended CKM matrix elements. Current experiments on flavor physics, such as K and B decay and $B - \bar{B}$ mixing were analyzed in the literature [37,38] by performing a global fitting on the 4×3 CKM matrix elements using 68 flavor physics observables [38]. The analysis includes the direct measurements of the CKM elements, CP violation in $K_L \rightarrow \pi\pi$, branching fraction of the decay $K^+ \rightarrow \pi^+ \nu \bar{\nu}$, branching fraction of the decay $K_L \rightarrow \mu^+ \mu^-$, $Z \rightarrow b\bar{b}$ decay, $B_q^0 - \bar{B}_q^0$ mixing ($q = d, s$), indirect CP violation in $B_d^0 \rightarrow J/\psi K_S$ and $B_s^0 \rightarrow J/\psi \phi$, CKM angle γ , branching ratio of $B \rightarrow X_s \ell^+ \ell^-$ ($\ell = e, \mu$), branching ratio of $B \rightarrow X_s \gamma$, branching ratio of $B \rightarrow K \mu^+ \mu^-$, constraints from $B \rightarrow K^* \mu^+ \mu^-$, branching ratio of $B^+ \rightarrow \pi^+ \mu^+ \mu^-$, branching ratio of $B_q \rightarrow \mu^+ \mu^-$ ($q = s, d$), branching ratio of $B \rightarrow \tau \bar{\nu}$, like-sign dimuon charge asymmetry A_{SL}^b , and finally the oblique parameters S and T . The results of the global fitting are shown in Tables 5 and 6 of Ref. [38] for

$m_T = 800$ GeV and 1200 GeV. The results suggest that B_2 could be as large as 10^{-6} for a TeV top partner.¹ More importantly, the enhancement from the heavy top quark mass implies a J -invariant of order $J \lesssim 10^{11}$ GeV⁸. Assuming that the typical energy scale during the baryogenesis is the critical temperature of EW phase transition T_c , the dimensionless CP violation strength formulated as J/T_c^8 needs to be greater than the observed baryon number asymmetry,

$$\frac{J}{T_c^8} \geq \eta \sim 10^{-10}, \quad (3.11)$$

which sets an upper bound for the critical temperature $T_c \lesssim 420$ TeV.

Given the possible large CP violation effects, we also need to check the current constraints from the nonobservation of the electric dipole moment (EDM) of the electron and neutron. The electroweak sector of the standard model gives an EDM for the neutron of size $|d_n| \sim 10^{-32} - 10^{-31} e \text{ cm}$. The model with an extended quark sector typically gives rise to a quark EDM at the two loop level and thus contributes to the neutron EDM. The current experimental limit on the EDM of the neutron is $|d_n| < 2.9 \times 10^{-26} e \text{ cm}$ (90% C.L.) [39]. It is shown in the literature [40] that the EDM is the model with an extra down-type singlet quark, and it is found that the induced neutron EDM is of order $10^{-29} e \text{ cm}$. Reference [40] also comments that in the model with an extra up-type singlet quark, the down-type quark EDMs vanish identically at two loop order. Since all down-type quarks are light in this case, the leading terms for the u_e EDM is proportional to $m_{u_e} m_{d_i}^2$. The contributions from the vectorlike up-type quark to the neutron EDM are thus even smaller compared to the extra down-type quark.

IV. THE SCALAR POTENTIAL

A. A brief review of effective scalar potential

To study the phase transition, we consider the potential of the two scalar fields at finite temperature (see Ref. [8] for review). At the one loop order, the zero-temperature effective potential in the Landau gauge² has the form

$$\begin{aligned} V_{\text{CW}}(\phi, s) &= V_{\text{tree}}(\phi, s) + \sum_i \frac{n_i}{64\pi^2} m_i^4(\phi, s) \\ &\times \left(\log \frac{m_i^2(\phi, s)}{Q^2} - c_i \right), \end{aligned} \quad (4.1)$$

¹Using the results in Table 6 of Ref. [38] where the moduli of the $V^\dagger V$ elements are estimated by mixing and decay of B and K mesons, the B_i quantities can be estimated by $B_i \lesssim |V^\dagger V| \times |V^\dagger V|$. Taking imaginary parts might introduce 1 or 2 orders of magnitude smaller, but would not ruin the estimation.

²While the effective potential in the Landau gauge is not gauge invariant, the potential at its minimum is well defined. For concerns about the gauge invariance and a treatment of the gauge invariant effective potential, see Ref. [41].

where n_i is the number of degrees of freedom of the particle i running in the loop, with a negative sign for fermions, and $m_i^2(\phi, s)$ is the corresponding field-dependent squared mass, defined in Appendix A3. Here c_i are constants that depend on the renormalization scheme, and Q is the renormalization scale. For convenience, counterterms V_{CT} are chosen to preserve the input parameters, like the vev and the masses, from loop; corrections are

$$\left. \frac{\partial(V_{\text{CW}} + V_{\text{CT}})}{\partial\phi_i} \right|_{\phi_i=\langle\phi_i\rangle} = 0, \quad (4.2)$$

$$\left. \frac{\partial^2(V_{\text{CW}} + V_{\text{CT}})}{\partial\phi_i\partial\phi_j} \right|_{\phi_i=\langle\phi_i\rangle} = m_{ij}^2, \quad (4.3)$$

where $\langle\phi_i\rangle = v, u$, and m_{ij}^2 are tree level vev and the mass squared matrix defined in Sec. II. This naive treatment fails when we consider the Goldstone contribution. The Goldstone boson contribution to the scalar masses in Eq. (4.3) is infrared log-divergent due to its zero pole mass. This indicates that renormalizing the scalar potential at zero external momenta, as is done in the effective potential calculation, is not a well-defined procedure when Goldstone bosons are involved. An alternative on-shell (OS) renormalization procedure was proposed [15,42,43] to cure the problem, as described in Appendixes A1 and A2 in detail. We extend the results of Ref. [43] to the effective potential with a mixture of the Higgs boson and new scalar. Here we list the final expression of the zero temperature one loop effective potential,

$$\begin{aligned} V_{\text{CW}}^{\text{on-shell}}(\phi, s) = & V_{\text{tree}}(\phi, s) + \sum_{i \neq G} \frac{n_i}{64\pi^2} \left[m_i^4(\phi, s) \right. \\ & \times \left(\log \frac{m_i^2(\phi, s)}{m_i^2(v, u)} - \frac{2}{3} \right) + 2m_i^2(v, u)m_i^2(\phi, s) \left. \right] \\ & + \frac{3}{64\pi^2} m_G^4(\phi, s) \ln \frac{m_G^2(v, u)}{m_H^2}. \end{aligned} \quad (4.4)$$

The one loop thermal corrections to the effective potential at finite temperature T is

$$V_{\text{thermal}}(\phi, s, T) = \sum_i \frac{n_i T^4}{2\pi^2} J_{\text{B,F}} \left(\frac{m_i^2(\phi, s)}{T^2} \right), \quad (4.5)$$

where

$$J_{\text{B,F}}(y) = \int_0^\infty dx x^2 \log \left[1 \mp e^{-\sqrt{x^2+y}} \right], \quad (4.6)$$

with the sign $-$ for bosons and $+$ for fermions.

The finite-temperature potential needs to be corrected, due to the infrared divergences, generated by bosonic long-range fluctuations called Matsubara zero modes. This can be solved schematically by resumming over all diagrams with bubbles attached to the big loop [44–46], which are called the “ring diagrams.” This leads to a shift of the bosonic field-dependent masses $m_i^2(\phi, s)$ to the thermal field-dependent masses

$$m_i^2(\phi, s, T) \equiv m_i^2(\phi, s) + \Pi_i(T), \quad (4.7)$$

where the thermal shifts Π_i are defined in Appendix A3. After resumation, the ring-diagram contribution to the effective potential reads

$$V_{\text{ring}} = -\frac{T}{12\pi} \sum_{i=\text{B}} n_i ([m_i^2(\phi, s, T)]^{3/2} - [m_i^2(\phi, s)]^{3/2}). \quad (4.8)$$

In the SM, this cubic term from the Matsubara zero mode is the only source to induce a thermal barrier between a symmetric minimum and a symmetry-broken minimum in the effective potential. It was because all the other terms in the SM Higgs sector are quadratic or quartic, which cannot create such a degenerate minima in one-dimensional scalar space. However, in our model, as shown later, the new dimension in the scalar space greatly enriches the possibility, and hence the ring diagram contribution is much less important.

The total effective potential at finite temperature is the sum of the above terms

$$\begin{aligned} V_{\text{eff}}(\phi, s, T) = & V_{\text{CW}}^{\text{on-shell}}(\phi, s) + V_{\text{thermal}}(\phi, s, T) \\ & + V_{\text{ring}}(\phi, s, T). \end{aligned} \quad (4.9)$$

For part of the field space, the field-dependent masses of the scalars and the Goldstone bosons can be negative, and the nonconvexity of the potential would induce an imaginary part that indicates a vacuum decay rate per unit volume. However, the real part can still be interpreted as the expectation value of the energy density. Therefore we only take the real part of the potential to do the numerical analysis.

B. Approximate analysis of the scalar potential

In the next section, we perform a numerical study on the full effective potential based on Eq. (4.9) and scan the parameter space for strong first order phase transition. But to understand the numerical results, we need some approximate methods to analyze the complicated potential function. In the high temperature limit, the effective potential can be simplified as

$$\begin{aligned} V_{\text{eff}}(\phi, s, T) \simeq & V_{\text{tree}} + \frac{T}{12\pi} \sum_{i=\text{B}} n_i [m_i^2(\phi, s, T)]^{3/2} + \sum_{i=\text{B,F}} \frac{|n_i|}{24(1 + \delta_{i\text{F}})} m_i^2(\phi, s) T^2 \\ & - \sum_{i=\text{B,F}} \frac{n_i}{64\pi^2} \left(m_i^4(\phi, s) \ln \frac{m_i^2(v, u)}{a_i^2 T^2} - 2m_i^2(v, u)m_i^2(\phi, s) \right) + \rho(T), \end{aligned} \quad (4.10)$$

where $a'_B = 16\pi^2 \exp(-2\gamma_E)$ for bosons and $a'_F = \pi^2 \exp(-2\gamma_E)$ for fermions. $\rho(T) = \frac{\pi^2}{90} n_\rho T^4$ is the Stefan-Boltzmann contributions with $n_\rho = n_B + \frac{7}{8} n_F$, which is field independent and can be dropped out for our purpose. If we series expand the second term in $m_i^2(\phi, s)/T^2$, the remaining relevant term is another $m^2 T^2$, which, combined with the third term here, gives the main temperature dependence of the full potential. The log terms can be absorbed into the running parameters that vary little within the energy scope of our discussion. Now the effective potential is simplified as

$$V_{\text{eff}}(\phi, s, T) \simeq \tilde{V}_{\text{tree}}(T) + \sum_{i=B} \frac{n_i c_i^{1/2}}{8\pi} m_i^2(\phi, s) T^2 + \sum_{i=B,F} \frac{|n_i|}{24(1 + \delta_{iF})} m_i^2(\phi, s) T^2 + V_{\text{ct}} + \rho(T), \quad (4.11)$$

where $\tilde{V}_{\text{tree}}(T)$ is the tree-level potential with all its couplings running with T , and $V_{\text{ct}} = \sum_i \frac{n_i}{32\pi^2} m_i^2(v, u) \times m_i^2(\phi, s)$ are the counterterms in the on-shell scheme. Taking the approximation $c_i^{1/2}/8\pi \sim 1/24$ for all bosons, we obtain the temperature-dependent terms

$$V_{\text{eff}}(\phi, s, T) \simeq \tilde{V}_{\text{tree}}(T) + T^2[\Lambda_s s + \eta_\phi \phi^2 + \eta_s s^2] + V_{\text{ct}}, \quad (4.12)$$

where the coefficients are

$$\Lambda_s = \frac{1}{12} [4\mu_{s\phi} + 2\mu_3 + 6y_s M], \quad (4.13)$$

$$\eta_\phi = \frac{1}{12} \left[(6\lambda_\phi + \lambda_{s\phi}/2) + \frac{1}{4} (3g^2 + g'^2) + \frac{2}{3} (y_t^2 + y_b^2) \right], \quad (4.14)$$

$$\eta_s = \frac{1}{12} [(3\lambda_s + 2\lambda_{s\phi}) - 3y_s^2]. \quad (4.15)$$

Note that the fermion Yukawa couplings are also contributed to the configuration of the scalar potential. This simplification results in a polynomial form of the potential, which is convenient for our analysis. There are sometimes significant errors for this simplification, but we will show that the qualitative analyses based on this polynomial potential explain many key features of the numerical results we obtained.

We will analyze the scalar potential at the moment of the phase transition. More specifically, we are only interested in the stable vacuum, i.e., the global minima of the potential, which is degenerate at the critical temperature of the phase transition. They are defined as $(0, u_s)$ and

(v_c, u_b) , representing the symmetric vacuum and symmetry breaking vacuum, respectively. In the following, we introduce two kinds of methods to estimate the properties of these vacuum configurations.

1. Barrier width estimation

We used to express the potential in the Cartesian coordinates of the field space (ϕ, s) . For the analysis of the phase transition, it is also convenient to utilize the polar coordinates (ρ, α) [18,22,47]

$$\rho = \sqrt{\phi^2 + (s - u)^2}, \quad \cos \alpha = \frac{\phi}{\sqrt{\phi^2 + (s - u)^2}}, \quad (4.16)$$

from some shifted center $(0, u)$. When $u = u_s$ and

$$\cos \alpha_0 = \frac{v_c}{\sqrt{v_c^2 + (u_b - u_s)^2}}, \quad (4.17)$$

the potential has degenerate minima along the ρ axis, $\rho = 0$ being the symmetric one and $\rho = \bar{\rho}$ being the symmetry breaking one. Hereafter we will use the notation $c_\alpha \equiv \cos \alpha_0$ and $s_\alpha \equiv \sin \alpha_0$ for short. Similar to the SM, we can employ the following form of parametrization of potential:

$$V_{\text{eff}}(\rho, T) \simeq \frac{1}{2} D (T^2 - T_0^2) \rho^2 + \mathcal{E} \rho^3 + \frac{\bar{\lambda}}{4} \rho^4, \quad (4.18)$$

where the coefficients are constant for a simplified polynomial potential. The coefficients D , \mathcal{E} , and $\bar{\lambda}$ are functions of the model parameters and u_s , s_α , c_α . We impose the following condition:

$$V_{\text{eff}}(\rho, T_c) = \frac{\bar{\lambda}}{4} \rho^2 (\rho - \bar{\rho})^2, \quad (4.19)$$

and obtain the nonzero vacuum value

$$\bar{\rho} = -\frac{2\mathcal{E}}{\bar{\lambda}}. \quad (4.20)$$

If we neglect the zero-temperature loop corrections, they can be expressed as

$$\mathcal{E} = s_\alpha [(\mu_{s\phi} + \lambda_{s\phi} u_s) c_\alpha^2 / 2 + (\mu_3 / 3 + \lambda_s u_s) s_\alpha^2], \quad (4.21)$$

$$\bar{\lambda} = \lambda_\phi c_\alpha^4 + \lambda_s s_\alpha^4 + \lambda_{s\phi} c_\alpha^2 s_\alpha^2. \quad (4.22)$$

The $\bar{\rho}$ determines the width of the barrier in the scalar potential, which is our final concern. But this analysis does not give estimations of u_s , s_α , c_α , which brings us to the next tool.

2. Stationary point search

Although the shape of the scalar potential has been studied in Ref. [19], we provide a detailed and systematical recipe to describe the shape of the two-dimensional potential. We summarize our results in Table I, which could be used to understand the numerical studies of the phase transition in the next section.

First, let us write the potential (4.12) as the following:

$$V_{\text{eff}}(\phi, s, T) = \frac{\tilde{\lambda}_\phi \phi^4}{4} + \frac{1}{2} \left(\frac{\tilde{\lambda}_{s\phi}}{2} s^2 + \tilde{\mu}_{s\phi} s - \tilde{\mu}_\phi^2 \right) \phi^2 \quad (4.23)$$

$$+ \frac{\tilde{\lambda}_s}{4} s^4 + \frac{\tilde{\mu}_3}{3} s^3 - \frac{\tilde{\mu}_s^2}{2} s^2 + \tilde{\chi}^3 s, \quad (4.24)$$

TABLE I. The s curve shows the first derivative of the scalar potential along the s direction $\frac{\partial V}{\partial s} = 0$. Δ' is defined to be the discriminant of $\Delta(\phi)$ as a cubic polynomial of ϕ^2 . It is positive unless specified.

Type (a, b, c, d)	Discriminant $\Delta(\phi)$	s curve $\frac{\partial V}{\partial s} = 0$	Type (a, b, c, d)	Discriminant $\Delta(\phi)$	s curve $\frac{\partial V}{\partial s} = 0$
A (+, +, +, +)			B (+, +, +, -) (+, +, -, -) (+, -, -, -)		
C (+, +, -, +) (+, -, +, +) (+, -, -, +)			D (+, -, +, -)		
E (-, +, +, +) (-, -, +, +) (-, -, -, +)			F (-, +, +, -) (-, +, -, -) (-, -, +, -)		
G (-, +, -, +)			H (-, -, -, -)		

Type (a, d)	Discriminant $\Delta(\phi)$	s curve $\frac{\partial V}{\partial s} = 0$	Type (a, d)	Discriminant $\Delta(\phi)$	s curve $\frac{\partial V}{\partial s} = 0$
A (+, +) $\Delta' < 0$			B (+, -) $\Delta' < 0$		
E (-, +) $\Delta' < 0$			H (-, -) $\Delta' < 0$		

where all the tilded couplings are supposed to depend on temperature, logarithmically or quadratically. Since the former are negligible within the energy scope that we are interested in, we only need to focus on the quadratic temperature dependencies

$$\tilde{\chi}^3 = \Lambda_s T^2, \quad (4.25)$$

$$\tilde{\mu}_\phi^2 = \mu_\phi^2 - \eta_\phi T^2, \quad (4.26)$$

$$\tilde{\mu}_s^2 = \mu_s^2 - \eta_s T^2, \quad (4.27)$$

while the other couplings are mainly their zero temperature values. We learn that a temperature around 100–150 GeV is usually smaller than the other massive parameters; therefore even the quadratic temperature dependencies are still insignificant at this range of temperature. As a result, the coefficients roughly satisfy

$$|\tilde{\chi}| \ll |\tilde{\mu}_s| \sim |\mu_s|. \quad (4.28)$$

Neglecting the linear term further implies a useful corollary, that there is always a stationary point sitting around the original $(0, 0)$, even until the temperature reaches 100–150 GeV, the typical values of the critical temperature in our model. Only at higher temperature when $\tilde{\chi}$ becomes important will this stationary point gradually move away. This corollary is verified by the parameter scan, and it is essential for the explanation of some of the transition patterns.

Now let us do a thorough search of the possible stationary points in the potential. First we notice that the condition for extrema consist of the following two curves:

$$\frac{\partial V_{\text{eff}}(\phi, s, T)}{\partial \phi} = 0, \quad \frac{\partial V_{\text{eff}}(\phi, s, T)}{\partial s} = 0, \quad (4.29)$$

and the vacuum must be at one of the intersections between the two curves. Let us call them the ϕ curve and the s curve, respectively. We will describe the shape of these two curves and try to find some rules that the possible degenerate vacuum points should follow.

The ϕ curve consists of a trivial line $\phi = 0$ and a quadratic curve

$$\tilde{\lambda}_\phi \phi^2 + \frac{1}{2} \tilde{\lambda}_{s\phi} \left(s + \frac{\tilde{\mu}_{s\phi}}{\tilde{\lambda}_{s\phi}} \right)^2 = \tilde{\mu}_\phi^2 + \frac{\tilde{\mu}_{s\phi}^2}{2\tilde{\lambda}_{s\phi}}. \quad (4.30)$$

Obviously, the symmetry-broken minimum, if there is any, must be on the quadratic curve.

For the case of $\tilde{\lambda}_{s\phi} > 0$, the quadratic curve is an ellipse, centered at point $(0, s_* \equiv -\tilde{\mu}_{s\phi}/\tilde{\lambda}_{s\phi})$, with size decreasing as $-T^2$ due to the $\tilde{\mu}_\phi^2$ term. At some high temperature, the ellipse shrinks to zero, and no nonzero vacuum is allowed;

hence the symmetry must be restored. If $\tilde{\lambda}_{s\phi} < 0$, the quadratic curve is a hyperbola also centered at $(0, s_*)$. At high temperature, the curve will move away to infinity. As long as the potential is still bounded from below, the minimum cannot be on the hyperbola, so the symmetry must be restored.

The equation for the s curve is a cubic polynomial of s

$$\tilde{\lambda}_s s^3 + \tilde{\mu}_3 s^2 - \left(\tilde{\mu}_s^2 - \frac{1}{2} \tilde{\lambda}_{s\phi} \phi^2 \right) s + \left(\tilde{\chi}^3 + \frac{\tilde{\mu}_{s\phi}}{2} \phi^2 \right) = 0. \quad (4.31)$$

The discriminant of this polynomial turns out to be a polynomial of the ϕ field,

$$\Delta(\phi) = a\phi^6 + b\phi^4 + c\phi^2 + d, \quad (4.32)$$

where the coefficients are

$$\begin{aligned} a &= -\frac{1}{2} \tilde{\lambda}_s \tilde{\lambda}_{s\phi}^3, \\ b &= \frac{1}{4} \tilde{\lambda}_{s\phi}^2 \tilde{\mu}_3^2 + 3\tilde{\lambda}_s \tilde{\lambda}_{s\phi}^2 \tilde{\mu}_s^2 + \frac{9}{2} \tilde{\lambda}_s \tilde{\lambda}_{s\phi} \tilde{\mu}_3 \tilde{\mu}_{s\phi} - \frac{27}{4} \tilde{\lambda}_s \tilde{\mu}_{s\phi}^2, \\ c &= -\tilde{\lambda}_{s\phi} \tilde{\mu}_3^2 \tilde{\mu}_s^2 - 6\tilde{\lambda}_s \tilde{\lambda}_{s\phi} \tilde{\mu}_s^4 - 2\tilde{\mu}_3^3 \tilde{\mu}_{s\phi} - 9\tilde{\lambda}_s \tilde{\mu}_3 \tilde{\mu}_s^2 \tilde{\mu}_{s\phi}, \\ d &= (\tilde{\mu}_3^2 + 4\tilde{\lambda}_s \tilde{\mu}_s^2) \tilde{\mu}_s^4. \end{aligned} \quad (4.33)$$

The insignificant $\tilde{\chi}$ has been taken to be zero as an approximation. This polynomial shows the number of points on the s curve at a specific ϕ value: if the polynomial is positive, then there are three points at this ϕ ; if the polynomial vanishes, two of the points are degenerate; if the polynomial is negative, there is only one point at this ϕ . Therefore, the variation of the sign of this discriminant with respect to ϕ could give the key features of the shape of the s curve. A systematic classification is provided in Table I.

In Table I, the middle column shows how the sign of $\Delta(\phi)$ varies with ϕ (red indicates a positive discriminant while blue indicates a negative one). The typical shape of the corresponding s curve is given in the last column. The first column shows the condition for these types—when the $\Delta' = b^2c^2 - 4ac^3 - 27a^2d^2 + 18abcd$ is positive, all the signs of a, b, c, d should be specified; when $\Delta' < 0$, only a, d need to be specified.

The upper halves of the types (A, B, C, D) have positive coefficient a and three asymptotic values at large ϕ . For the lower halves (E, F, G, H), where $a < 0$, there is only one asymptotic value at large ϕ . Also note that the left halves of the types (A, C, E, G) have positive coefficient d , which leads to multiple branches (a branch is defined by a topologically connected part of the curve) in the small ϕ region. The right halves, however, have negative d and only one such branch. We name the branches present at small ϕ as “relevant branches.” Usually, the “irrelevant branches,”

which only appear in a large ϕ region as in types (B, C, D), are not important in our analysis. This reduces the set of types by identification $B \sim H$, $C \sim E$, and $D \sim F$.

From the point of view of Lagrangian parameters, we find that the most important coefficients a and d are controlled by $\tilde{\lambda}_{s\phi}$ and $\tilde{\mu}_3^2 + 4\tilde{\lambda}_s\tilde{\mu}_s^2$. The latter changes with temperature mainly through Eq. (4.25). Moreover, the asymptotic value of the s curve at large ϕ (the middle one when $a > 0$) coincides with the center of ϕ curve s_* .

In the next section, we will show how the analysis of the ϕ curve and s curve could help classify the phase transition patterns.

V. STRONG FIRST ORDER PHASE TRANSITION

Once we obtain the full effective potential, we could investigate how the vacuum state evolves with temperature. At each temperature, we find the true vacuum by looking for the global minimum of the potential in the (ϕ, s) field space. It is known that at zero temperature, the global minimum of the scalar potential is at (v_0, u_0) , with $v_0 = 246$ GeV and u_0 as an input parameter. After we turn on and increase the temperature, we track the position of the global minimum, seeking the sign of a phase transition. In the context of effective potential, the fields are defined to be the first derivative of the free energy with respect to the corresponding particle source, and thus acts like an order parameter of the phase transition. If the transition of the field values between the two phases is continuous, it is a second order phase transition. Otherwise, if there is a discontinuous variation of the fields, it is a first order phase transition. The first order phase transition proceeds by bubble nucleation of the broken phase at around the critical temperature. The bubbles grow and coalesce, and finally turn the whole universe into the broken phase.

As discussed in the Introduction, to have successful baryogenesis, it is essential to have a strong enough first order phase transition, so that $E_{\text{sph}}(T_c)/T_c \geq 45$ inside the bubbles. It has been shown in literature [17] that for a singlet extended model the sphaleron energy is approximately proportional to the ϕ vev: $E_{\text{sph}}(T_c) \sim v_c$. Moreover, the bubble expansion and wall velocity in the singlet extended model have been discussed in Ref. [48]. In our model there is a similar scalar sector. Thus the discussions about the bubble expansion and sphaleron process in literature are also applied to our model. Therefore, similar to the singlet extended model, we add the following criterion to our scan to pick out the events of successful baryogenesis:

$$\xi = \frac{v_c}{T_c} \geq 1. \quad (5.1)$$

We also perform a consistent check by calculating the sphaleron profiles and the sphaleron energy at the critical temperature numerically.

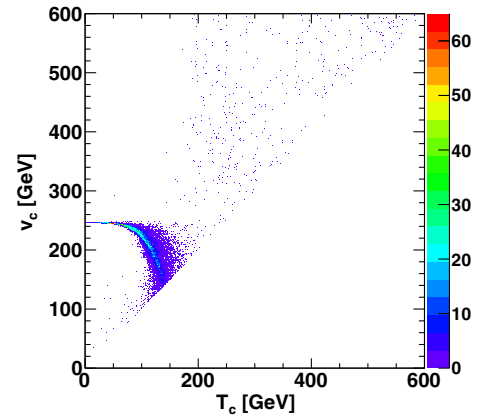


FIG. 1. The allowed value of the critical temperature T_c versus the ϕ vev v_c at the critical temperature from a random scan over the parameter space. The scatter points are selected to satisfy the SFOPT in our random numerical scan. The color palette on the right shows the density of the scatter points in one GeV interval.

To determine the parameter region in which the strong first order phase transition could happen, we perform a random scan over the parameter space. The procedure is the following. We have quite a few independent parameters

$$\lambda_s, \lambda_{s\phi}, \mu_3, \mu_{s\phi}, M, y', y_s, \quad (5.2)$$

in addition to the singlet vev u_0 in the zero temperature. The parameters λ_ϕ and y_t are determined by the Higgs mass and the top quark mass that are already known. We choose the input parameters from the ranges³

$$|\lambda_{s\phi}| \leq 1.5, \quad 0 < \lambda_s \leq 2, \quad |y'| \leq 1.5, \quad |y_s| \leq 1.5, \quad (5.3)$$

$$|u_0| \leq 600, \quad |\mu_3| \leq 800, \quad |\mu_{s\phi}| \leq 1000, \quad 0 \leq M \leq 1200 \quad (5.4)$$

Given the input parameters, the full effective potential in the field space is calculated. Then for each temperature, we utilize the MINUIT subroutine [49] to find the global minimum of the effective potential. As the temperature increases, we track the change of the global minimum at each step in our numerical scan. Additional care should be taken: if the minimum moves to very large field values, it may indicate the vacuum instability. If the global minimum becomes the symmetric one $(0, \langle s \rangle)$ at certain temperature, we perform a fine scan near the temperature until we find the critical temperature T_c and the corresponding vevs (v_c, u_b) in the broken phase. After obtaining the T_c and v_c , we use the washout condition to pick out the strong first order phase transition, eliminating the data points that have

³When the singlet vev u_0 was chosen to be larger than 600 GeV, the data with a critical temperature larger than 200 GeV, and a v_c even larger, accumulate. They are mostly the case IIIB as introduced later and are not our focus in this paper. That is why we chose a smaller range for u_0 .

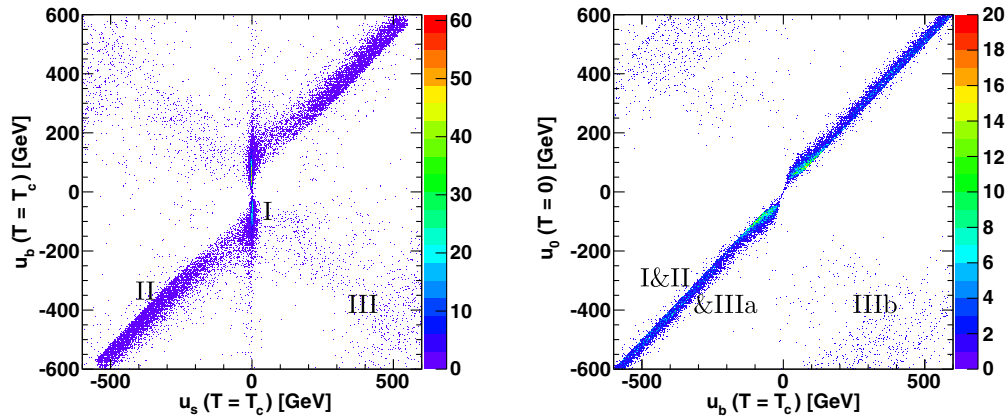


FIG. 2. The allowed value of the contour (u_s, u_b) (left) and (u_b, u_0) (right) from a random scan over the parameter space. The color palette on the right shows the density of the scatter points in one GeV interval.

$\xi < 1$. We randomly scan 10^6 parameter points, among which 25818 parameter points pass all the requirements. Figure 1 shows the distribution of the successive data points in the $T_c - v_c$ plane. From Fig. 1 we notice that for the parameter region we scanned, the critical temperature is typically less than 200 GeV, while v_c is smaller than its zero-temperature value $v_0 = 246$ GeV. As expected, the higher the critical temperature is, the smaller the ϕ vev gets to before the transition, and this correlation is clearly shown in Fig. 1. Furthermore, this range of critical temperature is quite safe from the bound of the CP violation strength that we discussed in Sec. III.

A. Phase transition patterns

The patterns of phase transition are described by the critical values of the scalar fields during the transition, defined as $(0, u_s)$ and (v_c, u_b) in the previous section. In the left panel of Fig. 2, we show the correlation between u_b and u_s , indicating the s value jump during the phase transition. We notice that there are several distinct allowed regions: Region I: the vev u_s is very close to zero while u_b is nonzero; Region II: the vev u_s is nonzero linearly correlated with the u_b ; Region III: both the vev u_s and u_b are scattered over the second and fourth quadrants. The Region III can be further classified by the correlation between u_s and u_0 , which characterizes the evolution of the s value before the transition. This is shown in the right panel of Fig. 2. Typically, the vev u_0 and u_b are strongly correlated, because there is not much time for s to move very far without jumping. However, there are also cases where u_0 and u_b are quite uncorrelated. This indicates that between the zero temperature and the critical temperature, there should be another phase transition, during which the Z_2 symmetry is not restored. Further analysis shows that the region that the u_0 and u_b are not linearly correlated only appear in Region III. So we classify Region III into two subregions: one-step phase transition (IIIa) and multistep phase transition (IIIb). Hopefully, these four regions of

parameters correspond to four different phase transition patterns.

In order to estimate the parameter preferences, we also utilize the polar coordinates of the scalar fields as in Eq. (4.18). In terms of the nonzero vacuum value $\bar{\rho}$, we obtain the ϕ value for the approximate potential,

$$\bar{v}_c = \bar{\rho} c_\alpha = -\frac{2\mathcal{E}}{\lambda} c_\alpha. \quad (5.5)$$

A necessary condition of having a strong first order phase transition is that \bar{v}_c is positive and large. However, the expressions of \mathcal{E} and $\bar{\lambda}$ cannot be expressed as functions of only the model input parameters. They also involve the information of the features of the phase transition, such as the angle α and the symmetric minimum u_s . Therefore, we would like to further utilize the classification of the transition patterns to get more information on these features.

Several approximations can be made here, according to the correlations between the parameters that we found. First, in Region II and Region I, where u_s and u_b differ only a little, we assume that $\alpha \ll 1$. For Region I, u_s is very small in comparison with all the other massive parameters. After these approximations, the relations between \bar{v}_c and the couplings will be more manifest. In Fig. 3 we plot the comparison between the \bar{v}_c and the true v_c that we obtain from the scan (left), and the correlation between \mathcal{E} and $\mu_{s\phi} + \lambda_{s\phi} u_s$ (middle). The left panel tells us that in most cases \bar{v}_c is a good approximation to the true value. The middle panel shows the correlation between \mathcal{E} and its main contributions under the assumption of $\alpha \ll 1$. We think that the rough linearity here suggests that the assumption works well for a large portion of the data. Finally, the right panel shows the correlation between \mathcal{E} and $\bar{\lambda}$, whose ratio gives the $\bar{\rho}$.

In order to analyze the four regions in detail, we also have to rely on the shape of the scalar potential. The ϕ curve and s curve at the critical temperature help us understand the origins of the different patterns, as they

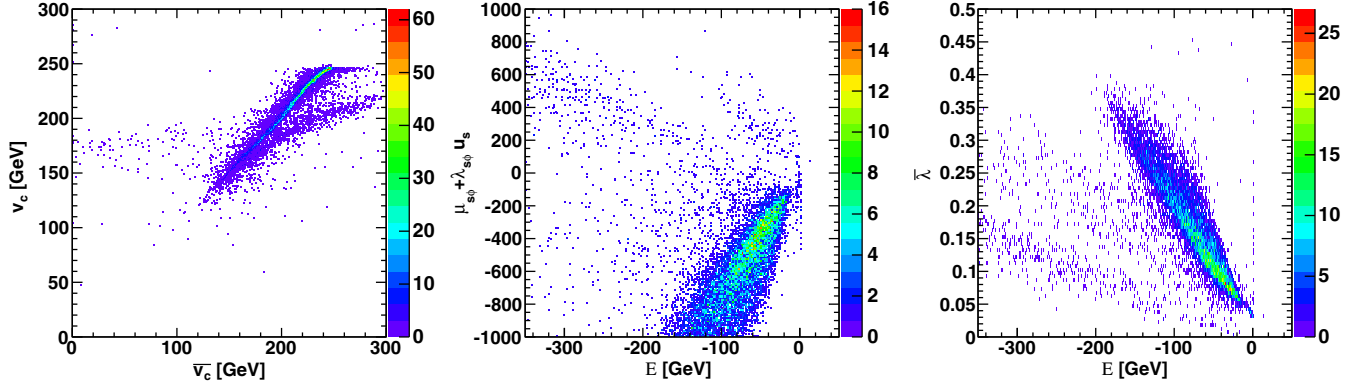


FIG. 3. The allowed value of the contour (\bar{v}_c, v_c) (left), $(\mathcal{E}, \mu_{s\phi} + \lambda_{s\phi} u_s)$ (middle), and $(\mathcal{E}, \bar{\lambda})$ (right), from a random scan over the parameter space. The color palette on the right shows the density of the scatter points in one GeV interval.

determine the distribution of the potential minima. The s curve could have one, two, or three relevant branches, depending on the types as shown in Table I. Therefore, it could have one or three intersections with the line $\phi = 0$. We call the minima along the line $\phi = 0$ “symmetric minima,” while others are called “broken minima.” Next, we notice that the broken minimum must be the intersection between the quadratic branch of the ϕ curve and one of the s curve branches. This s branch must have an intersection with the s axis, which may be a symmetric minimum. We would like to define the barrier between the broken minimum and this symmetric minimum as a “single-branch barrier,” while those between minima on different branches are called “interbranch barriers.” We discovered that the single-branch barrier usually has a much smaller width along the s direction than the interbranch barriers, due to the limited stretch of the s curve along the s direction. It implies that a transition through the single-branch barrier should have closely related u_s and u_b . Finally, in terms of the above features of the shape of the potential, let us discuss the four phase transition patterns in detail.

1. Pattern I: Single-branch barrier transition, with $u_s \sim 0$

According to the discussion in Sec. IV, there are three cases for the small ϕ behavior of the s curve, one of which is usually negligible. In the other two significant cases, the one with three roots will be discussed in the next part. Let us focus on the other case, which has only one relevant branch that intersects $\phi = 0$ at around $(0, 0)$. It indicates that this case mainly corresponds to Region I of the parameter scan.

In Fig. 4, we show the allowed values of the broken minimum (v_c, u_b) in this case, while the symmetric minimum is always at $(0, u_s \sim 0)$. The value of v_c is upper bounded by its zero-temperature value $v_0 = 246$ GeV, implying a decrease of ϕ before the transition, and is also lower bounded at about 100 GeV by the condition $\xi > 1$. The distribution of the broken minimum clearly sketches the shape of the s curve.

Let us see what can be inferred for the model parameters. Without loss of generality, we choose the benchmark points

with only positive u_0 to investigate the sign preferences of other parameters. Here are the observations:

- (i) As shown above, the s curve is a single branch curve across the point $(0, 0)$, which indicates that the zero-temperature vev u_0 , which is on the same branch, should also be small, but not zero due to the bend of the curve.
- (ii) In the light of the previous discussions, there are several approximations we can employ for \mathcal{E} : $u_s \approx 0$ and $\alpha \ll 1$. As a result, the only important term in \mathcal{E} is the $\mu_{s\phi}$ term,

$$\mathcal{E} \approx \frac{1}{2} \mu_{s\phi} \delta \alpha c^2. \quad (5.6)$$

In order to get a large and negative \mathcal{E} , a large and negative $\mu_{s\phi}$ should be favored.

- (iii) We would like to argue that positive $\tilde{\lambda}_{s\phi}$ leads to shapes of the curves that are much more favored by the strong first order phase transition. One may notice that for negative $\tilde{\lambda}_{s\phi}$, both the ϕ curve and s curve are hyperbolalike. Two hyperbolas could not

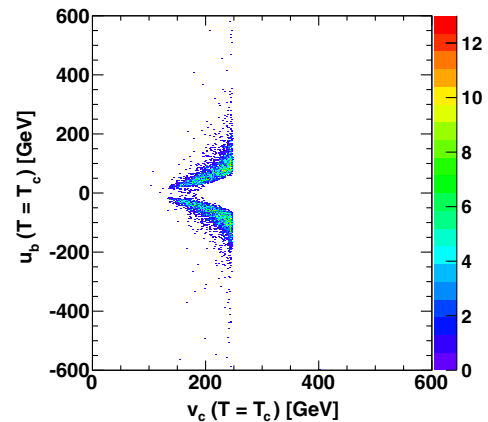


FIG. 4. The allowed values of the broken minimum (v_c, u_b) in pattern I. Here the phase transition happens between the symmetric minimum $(0, 0)$ and the broken minimum. The color palette on the right shows the density of the scatter points in one GeV interval.

make the twisting intersection needed for the existence of degenerate minima. Although the parameter $\tilde{\mu}_{s\phi}$ causes a deviation from perfect hyperbola of the s curve, it is still harder for such a case to have a first order phase transition. Therefore, positive $\lambda_{s\phi}$ should be strongly preferred.

- (iv) The one-branch condition for the s curve requires that the coefficient d in the polynomial $\Delta(\phi)$ is negative, and thus $\tilde{\mu}_s^2 < -\frac{\tilde{\mu}_3^2}{4\lambda_s}$. Large $\tilde{\mu}_3^2$ would compress the parameter region that satisfies this condition. Thus in this pattern, we expect that small $\tilde{\mu}_3^2$ is favored.

Fortunately, our numerical results from the scan do exhibit the above features, as shown in Fig. 5.

Figures 6 and 7 show the variations of the ϕ curve and the s curve with temperature. In both figures, the first diagram represents the configuration at zero temperature. The second, third, and fourth diagrams represent the configuration below, at, and above the critical temperature. In the diagram at critical temperature, an arrow was drawn to show how the phase transition happened, in the point of view of increasing temperature. Similar figures will be given for other patterns of phase transition later.

The difference between the two figures is whether the barrier exists or not at zero temperature. In Fig. 6, only the broken minimum exists at zero temperature; then the symmetric one is developed during the heating. In Fig. 7, the symmetric minimum already exists at zero temperature, but with a higher potential than the broken one. The existence of the barrier at the zero temperature is a new feature for the singlet-assisted electroweak phase transition, which does not happen in the traditional electroweak phase transition where the barrier must be thermally induced. This could be attributed to the existence of the term $\mu_{s\phi}$, as shown in the expression of \mathcal{E} where only the $\mu_{s\phi}$ is important in pattern I. In other patterns, other terms in \mathcal{E} could also contribute to the barrier. Unlike the SM, these contributions to \mathcal{E} do not require a nonzero temperature; hence the zero-temperature barrier can exist.

2. Pattern II: Single-branch barrier transition, with u_s separated from 0

Suppose the s curve has at least two relevant branches. Assuming μ_3 is small, we only consider the case when $\mu_s^2 > 0$. As mentioned earlier, there are two symmetric minima, one positive and the other negative, while the stationary point around $(0, 0)$ must be a saddle point. Let us

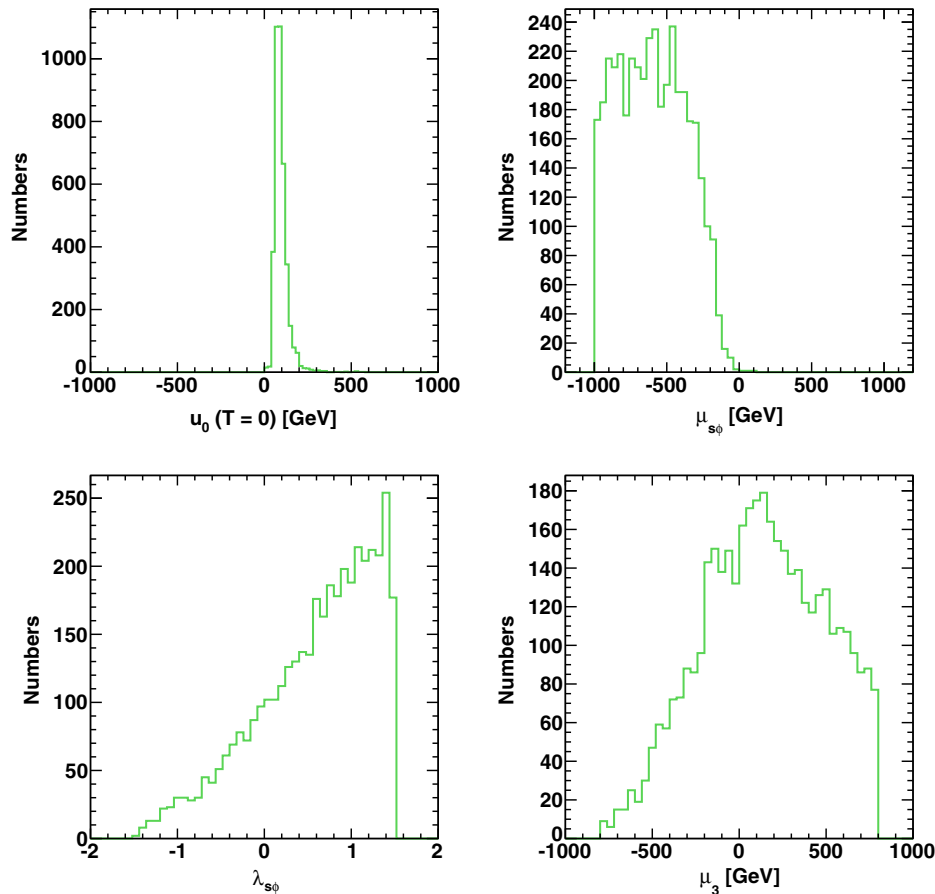


FIG. 5. In pattern I with $u_0 > 0$, the allowed values of the model parameters u_0 , $\mu_{s\phi}$, $\lambda_{s\phi}$, and μ_3 are shown.

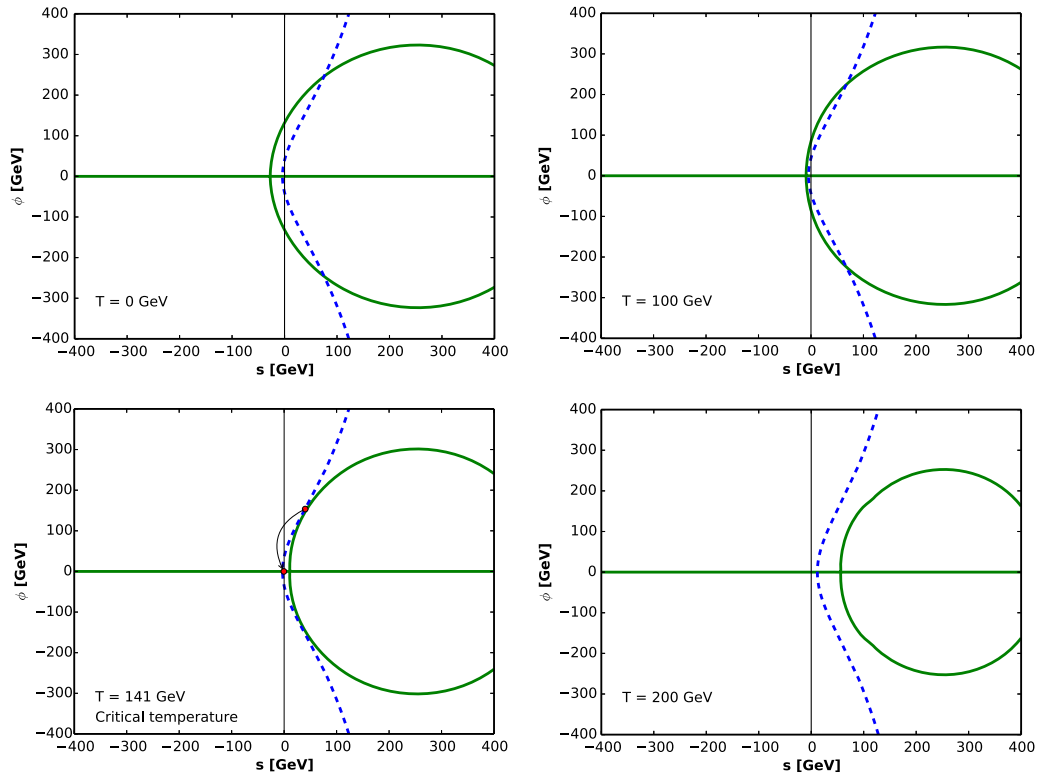


FIG. 6. In the phase transition pattern I, the s curve (dashed blue) and ϕ curve (solid green) in the different temperatures: zero temperature, below critical temperature, at the critical temperature, and above critical temperature. A thermal barrier is developed during phase transition. The color palette on the right shows the density of the scatter points in one GeV interval.

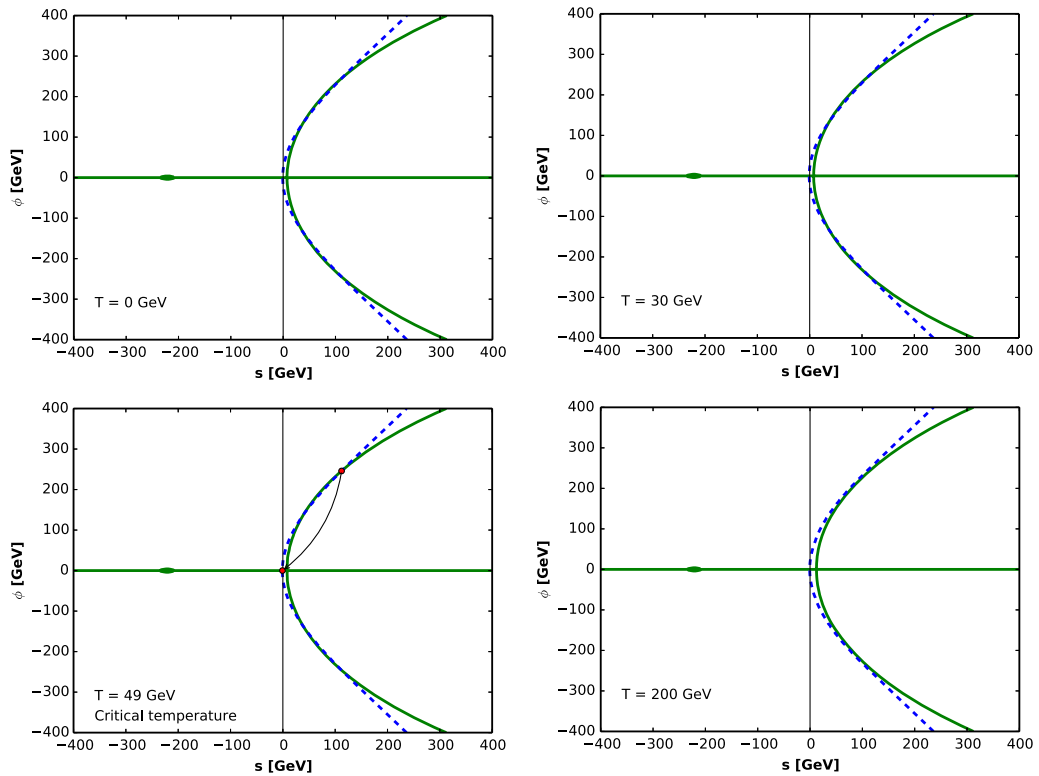


FIG. 7. In the phase transition pattern I, the same as in Fig. 6, except a tree-level barrier is developed.

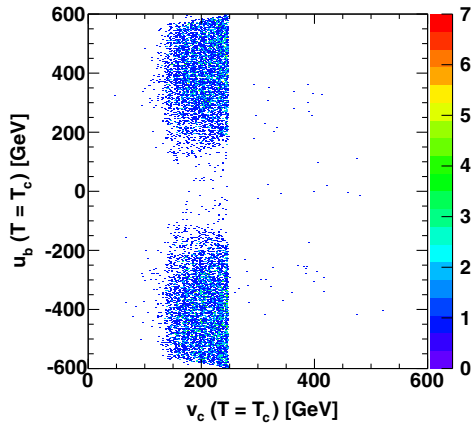


FIG. 8. The allowed values of the broken minimum (v_c, u_b) in pattern II. The color palette on the right shows the density of the scatter points in one GeV interval.

focus on the single-branch transition for now, which means that the symmetric minimum $(0, u_s^{\text{inter}})$ on a different branch from the broken minimum has a higher potential than $(0, u_s^{\text{single}})$ on the same branch as the broken minimum. The other case will be discussed later.

Now that the symmetric minimum chosen by the phase transition is other than $(0, 0)$, we are convinced that $u_s = u_s^{\text{single}}$ cannot be close to 0. That being said, the gap in Fig. 8 is well understood. Meanwhile, u_s is still strongly correlated with u_b because they are on the same branch. It is precisely the characteristic of the transition pattern II.

Let us investigate the parameter preferences in this pattern as shown in Fig. 9. As the whole branch of the s curve under consideration is apart from $s = 0$, it is natural to have u_0 also apart from 0. Actually, all of the u_0 , u_b , and u_s are similar, which means that the s field is seemingly irrelevant with the phase transition, although it contributes a crucial coupling $\mu_{s\phi}$ that plays an important role. The preference of the $\mu_{s\phi}$ is similar as pattern I. A big difference from pattern I is that positive $\lambda_{s\phi}$ is strongly suppressed. One can understand it by looking at \mathcal{E} , which in this case has two significant terms, $\mu_{s\phi}$ and $\lambda_{s\phi}u_s$, the latter becoming significant due to the nonzero u_s . As we need a large negative \mathcal{E} , negative $\lambda_{s\phi}$ is preferred. Therefore, the advantage of positive $\lambda_{s\phi}$ is much weakened in pattern II. Another interesting feature is that μ_3 is mostly negative. This is essential to guarantee that among the two symmetric minima, the one with the positive s value has a lower

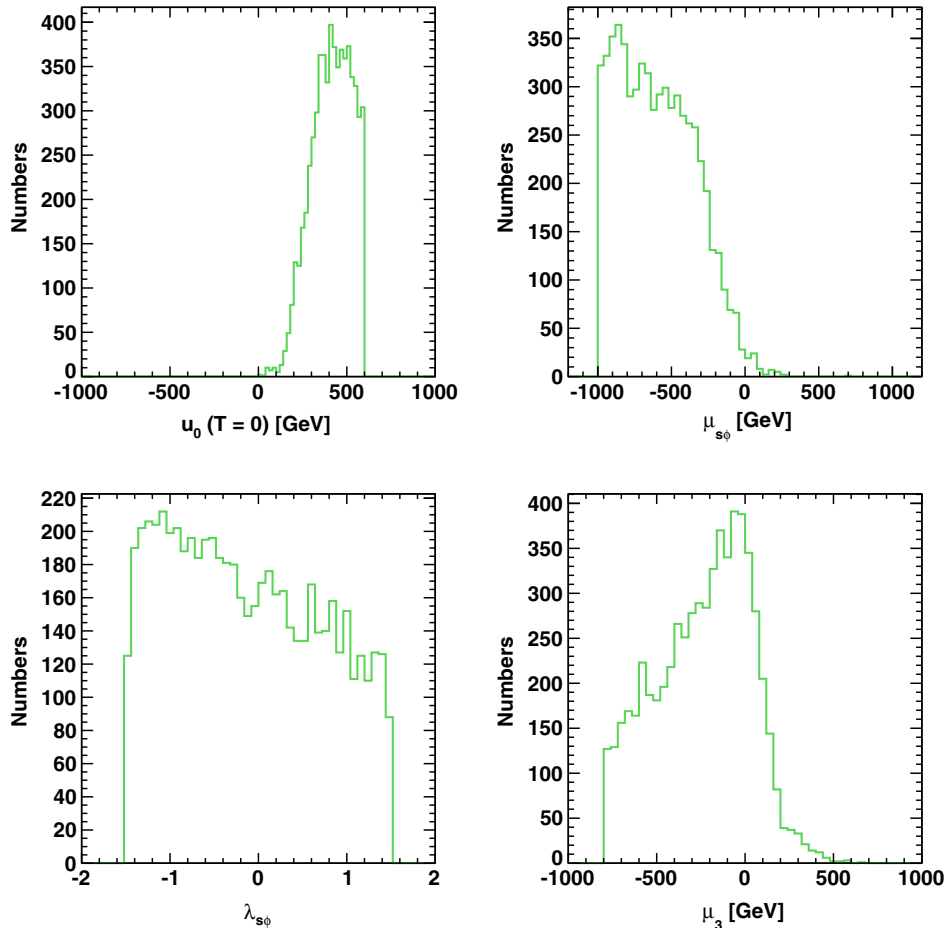


FIG. 9. In pattern II with $u_0 > 0$, the allowed values of the model parameters u_0 , $\mu_{s\phi}$, $\lambda_{s\phi}$, and μ_3 are shown.

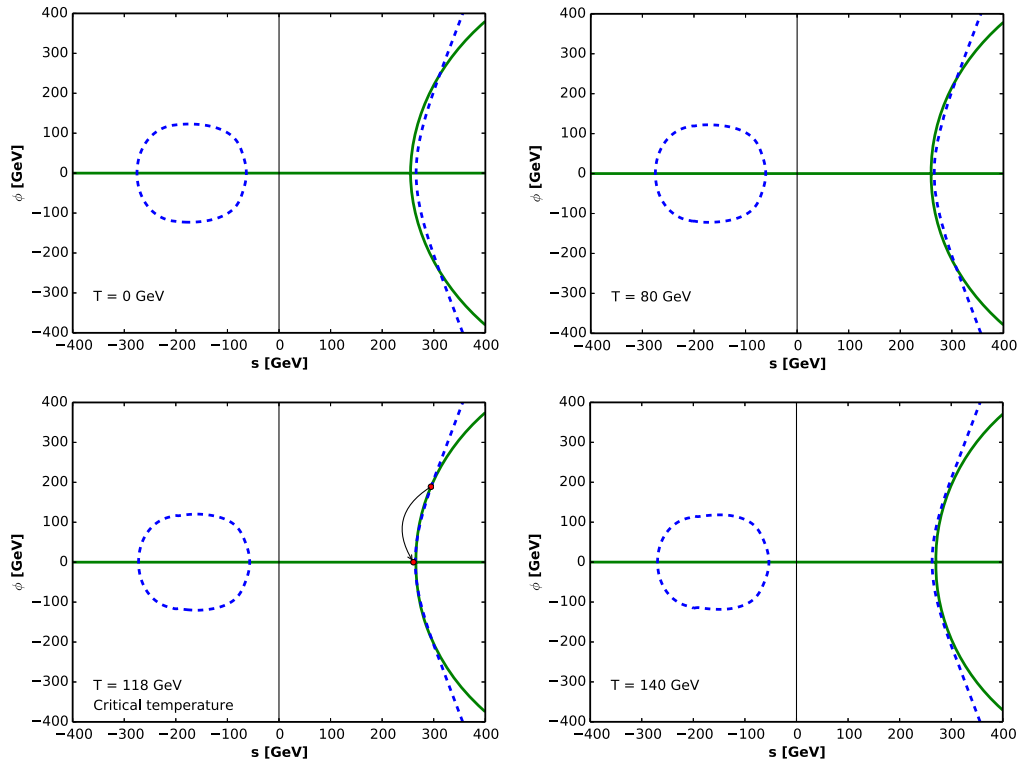


FIG. 10. In the phase transition pattern II, the curves are the same as in Fig. 6.

potential and tends to be the one chosen as the vacuum state at high temperature. Otherwise, interbranch transition should happen.

The procedure of the phase transition is outlined in Fig. 10. The only difference from pattern I is the existence of the symmetric minimum on the other branch. This minimum must already exist at zero temperature in this pattern, which induces a barrier that is impossible in traditional electroweak phase transition. As for the single-branch barrier, similar to pattern I, it can be thermally induced, or already present at zero temperature.

3. Pattern IIIa: Interbranch barrier transition

The setup in this case is similar to pattern II, except that the phase transition occurs across the interbranch barrier.

As discussed in pattern II, μ_3 determines the relative height between the potential at the two symmetric stationary points $(0, u_s^{\text{single}})$ and $(0, u_s^{\text{inter}})$, where u_s^{single} and u_s^{inter} are the scalar s vev in single branch and interbranch. It tends to be negative when we want a single-branch transition toward $(0, u_s^{\text{single}})$, and for the same reason, it prefers positive values when we require an interbranch transition toward $(0, u_s^{\text{inter}})$. However, if μ_3 is too large, it would be harder for us to get a large and negative \mathcal{E} , as in this case s_α is not small, and hence the $\mu_3 s_\alpha^3$ term in \mathcal{E} is not suppressed any more. In sum, we should have a small and positive μ_3 in pattern IIIa, which is justified by Fig. 11.

The phase transition is shown in Fig. 12 by exhibiting the variations of the ϕ curve and the s curve with temperature. The only difference from pattern II is that the phase transition happens across the interbranch barrier. Nevertheless, as the $(0, u_s^{\text{single}})$ need not be a minimum, no twisting intersection is required for the two curves, and hence there is no strong preference for $\lambda_{s\phi}$.

4. Pattern IIIb: Multistep transitions

In our investigation of the phase transition, we focused on looking for the critical temperature when the ϕ vev jumps from a nonzero value to 0. We did not investigate whether there was a jump below this temperature. Nevertheless, we learn from the correlation between the zero temperature vev u_0 and the critical temperature vev u_b : if there was any big difference between them, we would expect another phase transition. In our scan, we find a rough linear relation between u_0 and u_s (shown in Fig. 13), implying that the zero temperature vacuum and the above-critical temperature vacuum are on the same branch.

There are many possible ways of realizing this. One of them would be that an elliptical ϕ curve is placed across multiple branches of the s curve, forming several broken minima, as shown in Fig. 14. The global minimum may transit from one branch to another, and jump back later, causing the u_0, u_b, u_s correlation previously described.

Unlike other cases, one could find more events with small values of $\mu_{s\phi}$ in this pattern, indicating a small s_* and thus a ϕ curve centered near $(0, 0)$, which may be more

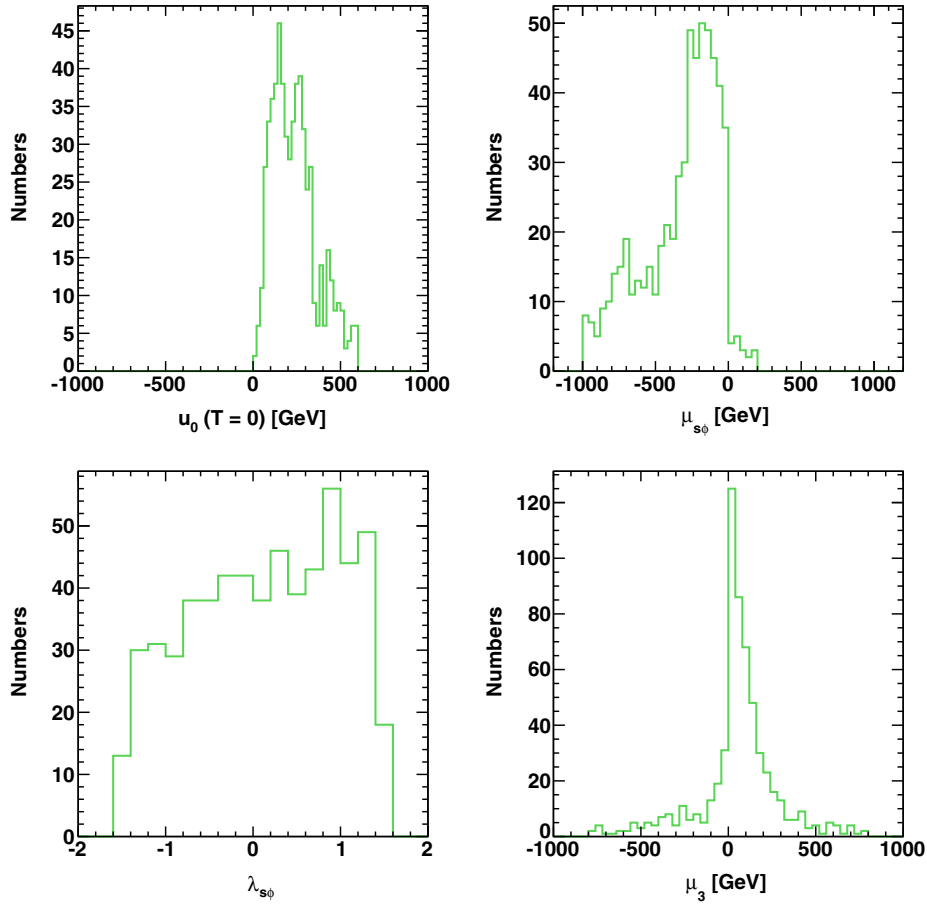


FIG. 11. In case IIIa with $u_0 > 0$, the allowed values of the model parameters u_0 , $\mu_{s\phi}$, $\lambda_{s\phi}$, and μ_3 are shown.

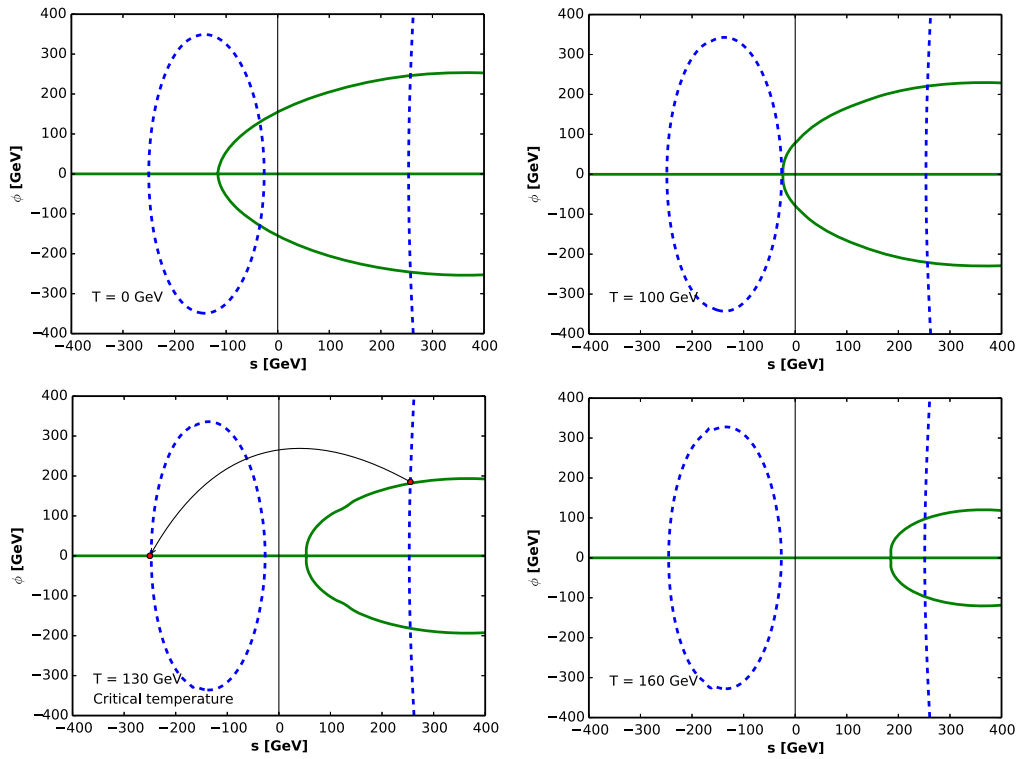


FIG. 12. In the phase transition pattern IIIa, the curves are the same as in Fig. 6.

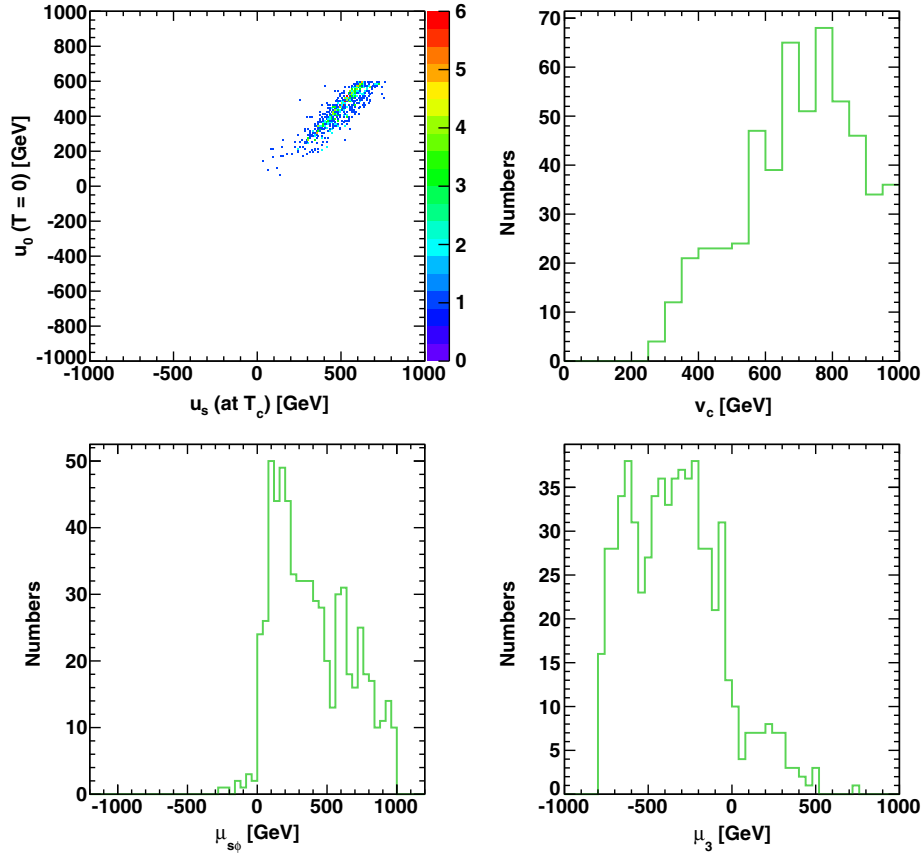


FIG. 13. In case IIIb with $u_0 > 0$, the allowed values of the model parameters (u_0, u_b), $v_c, \mu_{s\phi}$, and μ_3 are shown. The color palette on the right shows the density of the scatter points in one GeV interval.

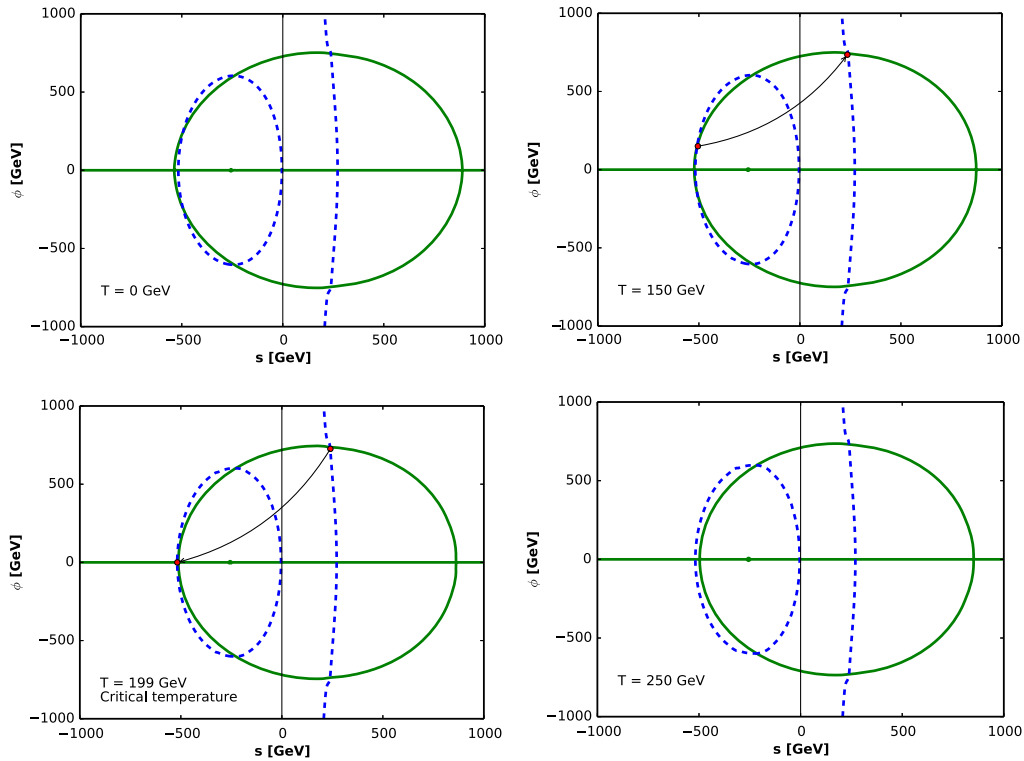


FIG. 14. In the phase transition pattern IIIb, the curves are the same as in Fig. 6.

likely to intersect with multiple branches of the s curve. The signs of the parameters can be inferred from the comparison of potentials among the three points: negative μ_3 is preferred for a final minimum at $(0, u_s > 0)$, and positive $\mu_{s\phi}$ is preferred for a minimum at $(v_c, u_b < 0)$. Figure 13 precisely shows these features.

As Fig. 1 shows, events concentrate at the region $T_c < v_c < 246$, with T_c typically around 100 GeV. In this pattern IIIb, however, we found that the critical temperature tends to be large, and v_c is even larger, which is very different from the other patterns. Large critical temperature

leaves sufficient space for a second phase transition below it, but may be harmful for a strong enough CP violation strength because it appears in the denominator of the strength with eight powers. Our estimation of the upper bound for T_c pretty much rules out this pattern as a candidate of EW baryogenesis. Another interesting feature is that this pattern becomes more and more likely as u_0 becomes larger. Test scans showed that a larger range of u_0 would lead to dramatically more events with pattern IIIb. In order to evade the interference from these “complex” situations, we chose a smaller range of u_0 for the scan.

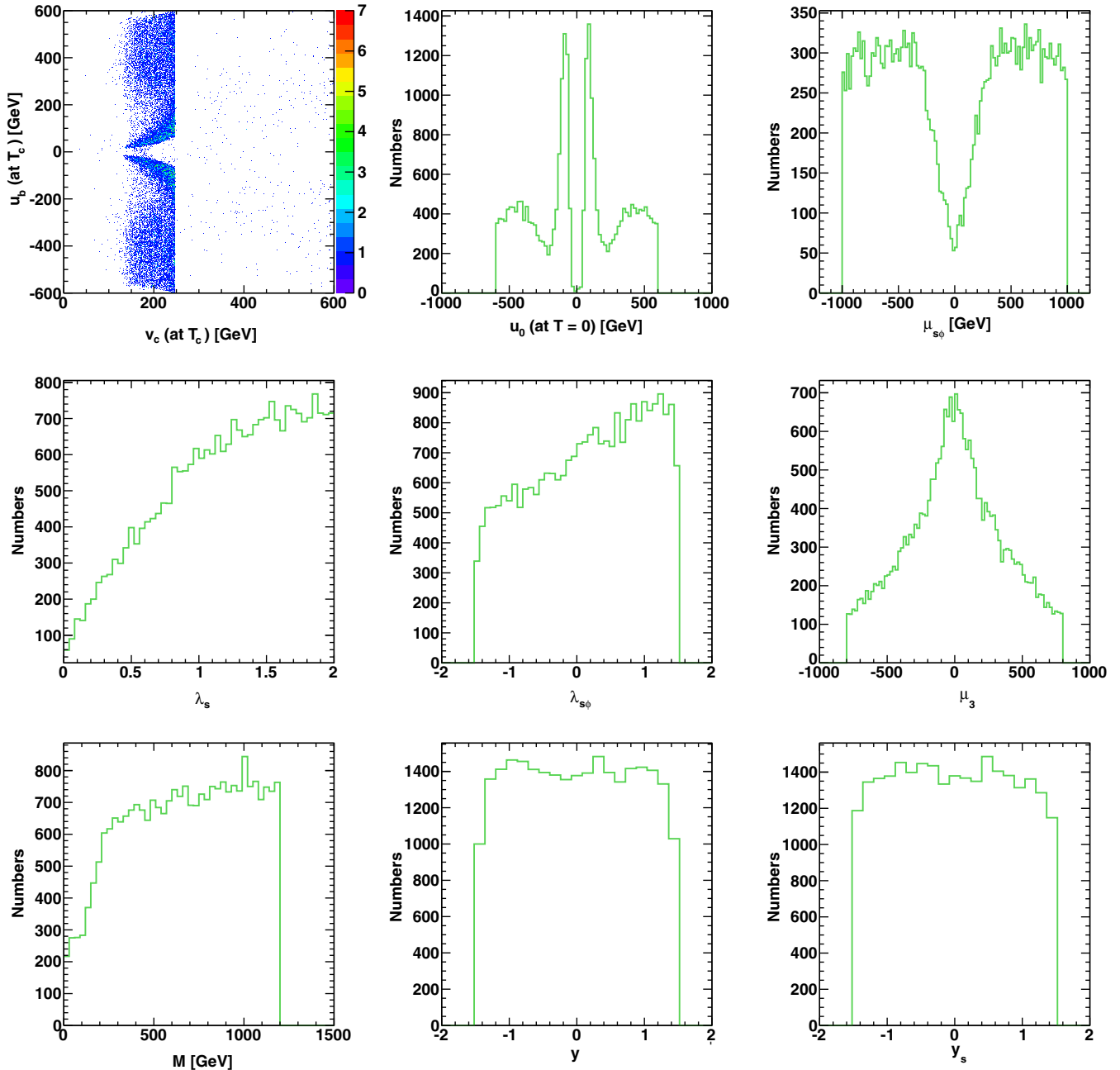


FIG. 15. The allowed values of the broken minimum (v_c, u_b) , and all eight input parameters allowed by the strongly first order phase transition.

In summary, the above four cases are the typical patterns in our results of the parameter scan. The first two cases are the most concerned when u_0 is within the typical range of energy scale for new physics, in that they account for 90% of the data from our scan. Pattern IIIa is overall very rare, but pattern IIIb would become favored at large u_0 .

B. Numerical results

Given the analysis about the transition patterns, we would like to present our numerical results on physical parameters. Since we performed a random scan on the parameter space with a flat prior, the distributions of the parameters should reflect the preference in the model to have the strong first order phase transition.

Figure 15 shows the allowed values of the broken minimum (v_c, u_b) and all eight input parameters. This is basically a summary of the detailed discussion in the previous subsection. To complete our discussion, Fig. 15 also shows the parameters in the fermion sector. We learned that the fermion sector is almost irrelevant to the strong first order phase transition.

We also show the allowed region for the derived parameters in Fig. 16. We found that the value of the λ_ϕ

is bounded from a minimum value of about 0.1 and peaks at around 0.5. This indicates that a Higgs self-coupling stronger than that in the SM is expected in the singlet scalar extended model. The μ_s^2 , as a controller of the intersection between the s curve and the s axis, has been discussed in the last section. Obviously, for patterns I and II, it tends to be negative and positive, respectively. Interestingly, Fig. 16 shows that it has no specific sign preference overall. The μ_ϕ^2 parameter characterizes the intersection between the ϕ curve and the ϕ axis. There are nonzero intersections only when $\mu_\phi^2 > 0$. The high peak in Fig. 16 represents pattern I in which the ϕ curve roughly goes across the origin $(0, 0)$. The large tail on the negative side represents pattern II, in which the ϕ curve is usually far away from the ϕ axis.

Besides the input parameters in the model, we also obtain the favored region of the physical observables, like the masses and mixing angles of the new particles, in light of the strong first order phase transition. In Fig. 17, we present the two-dimensional contours of the physical parameters $(m_S, \sin \alpha)$ in the scalar sector and those of $(m_T, \sin \theta)$ and (M, m_T) in the fermion sector. It is shown that the scalar with its mass around 500–1000 GeV and a

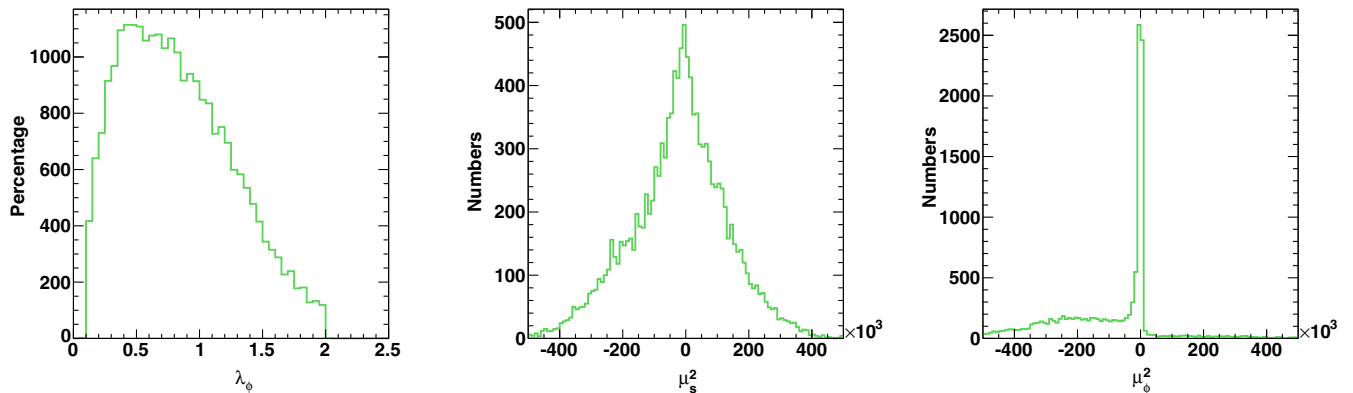


FIG. 16. The allowed values of the derived parameters λ_ϕ , μ_s^2 , and μ_ϕ^2 in GeV.

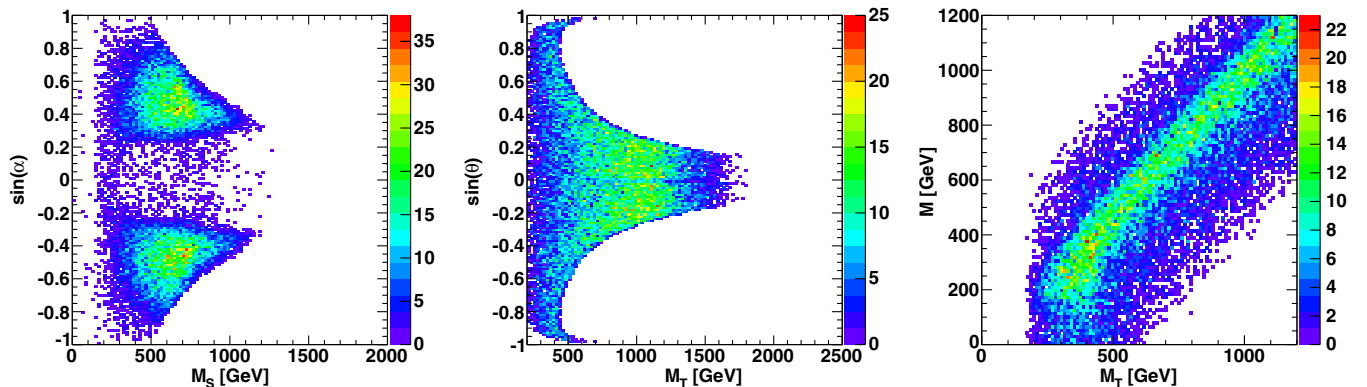


FIG. 17. The allowed values of the contours of the physical mass and couplings $(m_S, \sin \alpha)$ in the scalar sector, and $(m_T, \sin \theta_L)$ and (M, m_T) in the fermion sector.

medium mixing angle is favored. We recognized the feature that small mixing angles are disfavored, as expected from the fact that the scalar needs to couple with the Higgs boson to render a strong first order phase transition. This favored region is compatible with that allowed by vacuum stability criteria [23]. Unlike the scalar mixing angle, the fermionic mixing angle can be very small, which indicates the decoupling between the new fermion and the phase transition criteria. We expect that the precision data and the Higgs data will put stronger constraints on the fermion sector. Finally, the strong correlation in the last panel implies that the mass of the new fermion is mainly controlled by the Dirac mass term, and thus $M + y_s u_0 \approx M$, which may result from a relatively small region of u_0 that we chose.

VI. IMPLICATIONS AT THE LHC

From the numerical results, we found that different transition patterns exist, among which parameter preferences are different. Regarding the physical parameters, we note that a new scalar boson with 500–800 GeV mass and medium mixing angle are favored.

In this section, we check whether the strongly FOPT parameter region is still allowed by the current experimental constraints, such as the oblique corrections S , T , Higgs coupling measurements, and direct collider searches.

The oblique corrections S , T put the tightest constraints on the fermion mass and mixing angle. For a singlet vectorlike fermion, the $Zb\bar{b}$ measurement is less stringent than the oblique correction T . Here we collect the results [23] on the oblique parameters into boson-loop contributions T_S , S_S and fermion-loop contributions T_F , S_F . For the fermion-loop contributions, the NP effect is only involved in the vacuum polarization amplitudes where the top quark and heavy top quark are in the loop. Subtracting the SM contributions due to the third generation quarks

$$T_F^{\text{SM}} = \frac{3m_t^2}{4\pi e^2 v^2}, \quad (6.1)$$

$$S_F^{\text{SM}} = \frac{1}{2\pi} \left(1 - \frac{1}{3} \log \frac{m_t^2}{m_b^2} \right), \quad (6.2)$$

we arrive at the expressions from the fermion contributions

$$\Delta T_F = T^{\text{SM}} s_L^2 \left[-(1 + c_L^2) + s_L^2 \frac{m_T^2}{m_t^2} + c_L^2 \frac{2m_T^2}{m_T^2 - m_t^2} \ln \frac{m_T^2}{m_t^2} \right], \quad (6.3)$$

$$\Delta S_F = -\frac{s_L^2}{6\pi} \left[(1 - 3c_L^2) \ln \frac{m_T^2}{m_t^2} + 5c_L^2 - \frac{6c_L^2 m_t^4}{(m_T^2 - m_t^2)^2} \left(\frac{2m_T^2}{m_t^2} - \frac{3m_T^2 - m_t^2}{m_T^2 - m_t^2} \ln \frac{m_T^2}{m_t^2} \right) \right]. \quad (6.4)$$

Similarly the contributions from the Higgs and scalar loop are

$$\Delta T_S = s_\varphi^2 [T_s(m_S^2) - T_s(m_h^2)], \quad (6.5)$$

$$\Delta S_S = s_\varphi^2 [S_s(m_S^2) - S_s(m_h^2)], \quad (6.6)$$

where the functions are defined as

$$T_s(m) = -\frac{3}{16\pi c_W^2} \left[\frac{1}{(m^2 - m_Z^2)(m^2 - m_W^2)} (m^4 \ln m^2 - s_W^{-2} (m^2 - m_W^2) m_Z^2 \ln m_Z^2 + s_W^{-2} c_W^2 (m^2 - m_Z^2) m_W^2 \ln m_W^2) - \frac{5}{6} \right], \quad (6.7)$$

$$S_s(m) = \frac{1}{12\pi} \left[\ln m^2 - \frac{(4m^2 + 6m_Z^2)m_Z^2}{(m^2 - m_Z^2)^2} + \frac{(9m^2 + m_Z^2)m_Z^4}{(m^2 - m_Z^2)^3} \ln \frac{m^2}{m_Z^2} - \frac{5}{6} \right]. \quad (6.8)$$

We note that the scalar contributions are much smaller than the fermion contributions. Thus the constraints from S , T on the scalar mass and mixing angle are quite weak.

On the other hand, the Higgs coupling measurements at the LHC put the tightest constraints on the scalar mixing angle. In our model, because of mixing between the Higgs

boson and the heavy scalar, all the tree-level Higgs couplings are modified as

$$g_{hff}^{\text{NP}} = \cos \varphi g_{hff}^{\text{SM}}, \quad g_{hVV}^{\text{NP}} = \cos \varphi g_{hVV}^{\text{SM}}. \quad (6.9)$$

At the same time, the loop-induced Higgs couplings to the photon and the gluon are also modified by the new

contribution from the vectorlike fermion loop. So the Higgs couplings to the photon and the gluon are

$$g_{hgg}^{\text{NP}} = \frac{g_s^2}{16\pi^2} \left(\sum_f \frac{g_{hff}}{m_f} A_{1/2}(\tau_f) + \frac{g_{hTT}}{m_T} A_{1/2}(\tau_T) \right), \quad (6.10)$$

$$g_{h\gamma\gamma}^{\text{NP}} = \frac{e^2}{16\pi^2} \left[\frac{g_{hWW}}{m_W^2} A_1(\tau_W) + \sum_f 2N_c^f Q_f^2 \frac{g_{hff}}{m_f} A_{1/2}(\tau_f) + \frac{8}{3} \frac{g_{hTT}}{m_T} A_{1/2}(\tau_T) \right], \quad (6.11)$$

where $\tau_T = \frac{4m_T^2}{m_h^2}$, and g_{hff}, g_{hTT} are the Higgs couplings to the fermions, given in Ref. [23]. In the Higgs to photon and gluon process, there is almost no fermion mass dependence if the heavy vectorlike fermion is much heavier than the top quark. Therefore, the Higgs coupling measurements put constraints on the scalar and fermion mixing angles.

The direct searches on the new fermion and new scalar boson at the LHC also put tight constraints on their masses and couplings. At the LHC, the vectorlike quark can be produced in pairs through QCD production $pp \rightarrow T\bar{T}$, or be singly produced via electroweak process $pp \rightarrow T\bar{b}$. In our paper, the up-type vectorlike quarks predominantly couple to the third-generation quarks through $T \rightarrow tZ, th, Wb$. From an updated CMS analysis [50] which uses the 8 TeV data collected up to the integrated luminosity of 19.5 fb^{-1} , the lower limits on the mass m_T are set to be around 687–782 GeV.

The heavy scalar S is CP even and has the same quantum number as the SM Higgs boson. The search limits on the high mass Higgs boson at the LHC could be recast to put constraints on the mass and the coupling of the heavy scalar. The production mechanism is quite similar to the SM Higgs boson. The dominant channel is the gluon

fusion channel $gg \rightarrow S$. The decay channels of the S boson include

$$S \rightarrow WW, \quad S \rightarrow ZZ, \quad S \rightarrow hh, \quad S \rightarrow t\bar{t}, \quad (6.12)$$

and $S \rightarrow tT$ only if the heavy top is much lighter than the scalar S . Other decay channels, such as $S \rightarrow \gamma\gamma/gg$, $S \rightarrow f\bar{f}$, where f is the fermion other than the top quark, are negligible. There are existing LHC searches for heavy resonances decaying to the WW , ZZ final states [51–54], the Higgs pair final state [55], and the top-pair final state [56]. Based on the formulas in Appendix D of Ref. [23], the total production and decay rates in these channels are related by the ratios

$$\sigma_{pp \rightarrow S \rightarrow ZZ} : \sigma_{pp \rightarrow S \rightarrow WW} : \sigma_{pp \rightarrow S \rightarrow hh} : \sigma_{pp \rightarrow S \rightarrow t\bar{t}} \simeq 1 : 2 : 1 : \frac{6m_t^2}{m_S^2}. \quad (6.13)$$

On the other hand, the current upper limits in the WW , ZZ final states [51–54], the Higgs pair final state [55], and the top-pair final state [56] tell us

$$\begin{aligned} \sigma_{pp \rightarrow S \rightarrow ZZ} : \sigma_{pp \rightarrow S \rightarrow WW} : \sigma_{pp \rightarrow S \rightarrow hh} : \sigma_{pp \rightarrow S \rightarrow t\bar{t}} \\ \simeq (8\text{--}50 \text{ fb}) : (20\text{--}200 \text{ fb}) : (10\text{--}150 \text{ fb}) : (300\text{--}2000 \text{ fb}), \end{aligned} \quad (6.14)$$

for the mass region $M_S \sim (500, 1000) \text{ GeV}$. We can see that the constraint from the $t\bar{t}$ is much weaker than the one from the WW/ZZ channel, and the constraint from the hh channel is slightly weaker than the one from the ZZ channel. Thus we use the exclusion limit from the searches in the WW/ZZ final states. Both ATLAS and CMS investigate the scalar resonance searches in the $S \rightarrow WW$ and $S \rightarrow ZZ$ decay final states [51–54] and obtain the upper

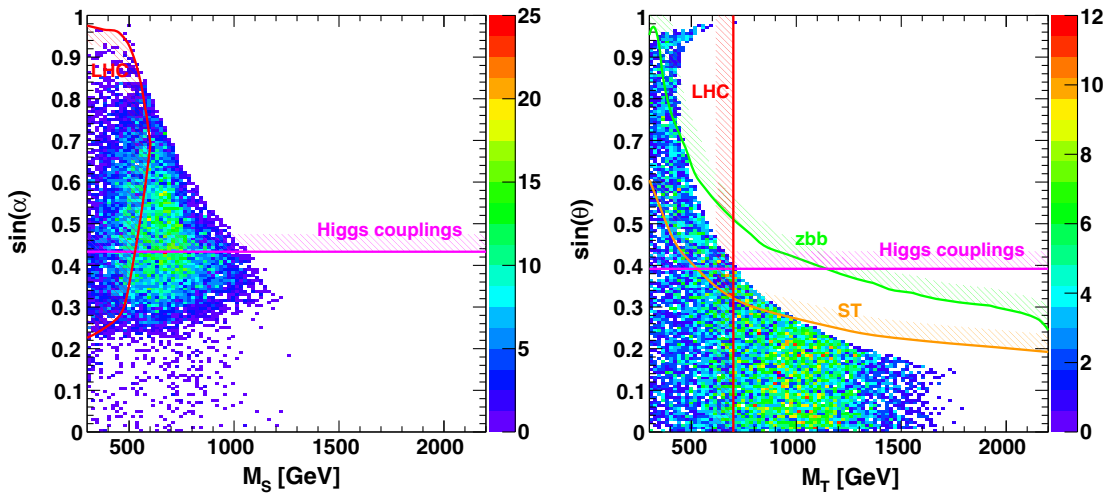


FIG. 18. The allowed parameter contour $(m_S, \sin \phi)$ (left) and $(m_T, \sin \theta_L)$ (right) in light of the strong first order phase transition. The constraints from the S , T parameters, Higgs coupling measurements, and direct LHC searches are shown as the exclusion lines.

exclusion limits for the scalar resonance with the mass range between 200 and 1000 GeV. In our model, assuming the same cut efficiency, we recast the upper limits of the total cross section to the constraints on the scalar mass M_S and mixing angle $\sin \varphi$. Our result is shown in Fig. 18 as the direct LHC search limit.

The numerical results on the constraints from the S , T parameters, Higgs coupling measurements, and direct LHC searches are shown in Fig. 18. From Fig. 18 (left), the parameter region with the mixing angle $\sin \alpha$ greater than 0.43 has been ruled out by the current Higgs coupling measurements. The direct LHC searches also exclude the scalar boson with mass less than 500 GeV. We expect that the future Higgs coupling data put more stringent constraints on the mixing angle $\sin \varphi$, and thus put a stronger limit on the favored region by the strong first order phase transition. On the right panel of Fig. 18, we note that the S , T parameters can only exclude a very small region which is favored by the strong first order phase transition. The direct LHC searches could exclude the top partner with a mass less than 700 GeV. There are large available parameter regions in the fermion sector. Therefore, the CP violation rate from the fermion sector is adequate to generate the needed baryon number asymmetry. Let us comment on the possible explanation of the diphoton excess in this model. From the left panel of Fig. 18, we learned that the singlet scalar could be 750 GeV diphoton resonance and still realize the first order phase transition. On the other hand, the vectorlike fermions need to be heavier than 800 GeV to avoid the LHC constraints as shown in the right panel of Fig. 18. To obtain the diphoton rate (which is around 2–8 fb at 13 TeV LHC), such a heavy vectorlike top requires the value of the Yukawa coupling to be around 4. Furthermore, just as in all other vectorlike fermion explanations [26], the width of the 750 GeV scalar is very narrow, which is favored by the CMS diphoton searches, while the ATLAS diphoton excess prefers a broad width. These two concerns tell us that this minimal model setup needs to be extended to accommodate both the electroweak baryogenesis and the 750 GeV diphoton excess.

Finally, we expect that the future Higgs data could explore the parameter region on the $(m_S, \sin \varphi)$ contour. Furthermore, from Figs. 15 and 16, we note that the large scalar coupling $\lambda_{S\phi}$ and moderate λ_ϕ is strongly favored. We should be able to explore the scalar trilinear coupling $\lambda_{S\phi}$ and λ_ϕ at the high luminosity LHC. If the trilinear couplings are enhanced compared to the SM Higgs self-coupling, the Higgs pair production cross section should be larger than the SM value. Through the Higgs pair production process $pp \rightarrow h/S \rightarrow hh$, we could extract out the trilinear couplings from the production cross section measurements.

VII. CONCLUSIONS

We investigated the necessary conditions to realize the electroweak baryogenesis in a scalar-assisted vectorlike fermion model. In the fermion sector, the extended CKM matrix provides additional sources of the CP violation effects, parametrized by the Jarlskog-like invariant. We found that the CP violation rate is greatly enhanced by the heavy mass of the new fermion. With the flavor constraints on the extended 4×3 CKM matrix considered, we estimated the CP violation strength, which turns out to be adequate for the baryon number asymmetry.

We focused on the one loop, finite-temperature effective potential in our model and its implications on the electroweak phase transition. Unlike the case of the SM, the new scalar extends the field space in which the phase transition occurs. In the two-dimensional field space, we have more possible ways of constructing barriers between minima. We utilized the shape of the derivative of the potential, the s curve and the ϕ curve, as a tool to analyze the two-dimensional effective potential.

The first order phase transition occurs in the form of bubble nucleation of the symmetry broken phase. The sphaleron decoupling criteria $\xi = \frac{v_c}{T_c} \geq 1$ is used in this model, to prevent the baryon asymmetry generated in the symmetry broken phase from being washed out by sphalerons. We performed a parameter scan over the eight independent model parameters and obtained the allowed parameter region which could have strong first order phase transition.

According to the different regions in the (u_b, u_s) contour at the critical temperature, transition patterns are classified into four patterns: single-branch barrier transition (pattern I or II, with or without the existence of multiple relevant branches in the s curve), interbranch barrier transition (pattern IIIa), and multistep transition (pattern IIIb). For the single-branch barrier transition, the large trilinear mass term $\mu_{S\phi}$ is favored because the width of the barrier is strongly related to it. However, small $\mu_{S\phi}$ is preferred in the patterns IIIa and IIIb. The preferences of parameters we have gotten from the scan results can be justified by analyzing the shapes of the s curve and the ϕ curve and the intersections between the two curves in different patterns. We also note that all the patterns prefer large quartic scalar couplings and moderate mixing angle between the Higgs and the scalar.

Finally we combine the constraints from the strong first order phase transition and the experimental limits on the S , T parameters, Higgs coupling measurements, and direct LHC searches. We found that there is still a significant amount of parameter region for the fermion mass and couplings to satisfy all the constraints and have adequate CP violation strength to realize the baryon asymmetry at the same time. The new scalar with mass

around 500–1100 GeV and mixing angle $\sin\varphi$ around 0.25–0.42 are still allowed and favored by the strong first order phase transition. The future Higgs coupling measurements and the Higgs boson pair production cross section will be able to further explore the allowed parameter space.

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APPENDIX: DETAILS OF THE EFFECTIVE POTENTIAL

1. The effective potential in the on-shell scheme

In this appendix, we first review how the effective potential is written in the on-shell scheme. Then we extend the discussion on the SM Higgs boson in Refs. [42,43] to the Higgs new scalar mixing case.

We start from the one loop effective potential in the Landau gauge, with the dimensional-regularization applied,

$$V_{\text{eff}}(T=0) = V_{\text{tree}} + V_{\text{CW}} = V_{\text{tree}} + \frac{1}{64\pi^2} \text{STr} M_\varphi^4(\phi, s) \left(\log \frac{M_\varphi^2(\phi, s)}{\mu^2} - \frac{3}{2} - C_\varphi^{\text{UV}} \right), \quad (\text{A1})$$

where the supertrace is taken among all the dynamical fields φ that have (ϕ, s) dependent masses M_φ . The UV divergent pieces C_φ^{UV} are defined as

$$C_\varphi^{\text{UV}} = \begin{cases} \frac{1}{2-\frac{d}{2}} - \gamma_E + \log 4\pi & \varphi = \text{scalar and fermion} \\ (n-1) \left(\frac{1}{2-\frac{d}{2}} - \gamma_E + \log 4\pi \right) & \varphi = \text{gauge boson} \end{cases}. \quad (\text{A2})$$

The UV divergence has to be absorbed by the counterterms. We introduce the following counterterms:

$$\begin{aligned} \Delta V = & A(\phi^2 - v^2) + B(\phi^2 - v^2)^2 + C(s - u) + D(s - u)^2 + E(\phi^2 - v^2)(s - u) \\ & + F(s - u)^3 + G(s - u)^4 + H(\phi^2 - v^2)(s - u)^2. \end{aligned} \quad (\text{A3})$$

The renormalization conditions are needed to fix the above counterterms. In the \overline{MS} renormalization scheme, the renormalization conditions consist in subtracting the term proportional to $\frac{1}{2-\frac{d}{2}} - \gamma_E + \log 4\pi$ in the regularized potential. We will choose the on-shell renormalization scheme. The effective potential can be expanded using the one-particle irreducible (IPI) Green function $\Gamma^{(n)}$ at zero external momentum,

$$V_{\text{eff}}(\Phi) = - \sum_{n=0}^{\infty} (\Phi - \Phi_{\text{VAC}})^n \Gamma^{(n)}(p=0), \quad (\text{A4})$$

where Φ denotes the scalar fields (ϕ, s) and Φ_{VAC} denotes the vacuum (v, u) . Therefore, we define the renormalized mass of the scalar field as the negative inverse propagator at zero momentum

$$M_{ij}^2 = -\Gamma^{(2)}(p=0) = \left. \frac{\partial^2 V_{\text{eff}}}{\partial \Phi_i \partial \Phi_j} \right|_{\phi=v, s=u}. \quad (\text{A5})$$

Of course, we could also define the renormalized couplings as the four-point IPI Green function $\Gamma^{(4)}$. However, the renormalization conditions on the couplings are not unique. Since we want to keep our discussion as general as possible, we only impose the tadpole conditions and mass conditions, as follows:

$$\begin{aligned} \left. \frac{\partial}{\partial \Phi_i} (V_{\text{CW}} + \Delta V) \right|_{\phi=v, s=u} &= 0, \\ \left. \frac{\partial^2}{\partial \Phi_i \partial \Phi_j} (V_{\text{CW}} + \Delta V) \right|_{\phi=v, s=u} &= 0, \\ \Phi_i &= \phi, s. \end{aligned} \quad (\text{A6})$$

The five conditions fix the tree level VEVs and scalar masses to be the physical ones, regardless of the couplings. As all the renormalization conditions are evaluated at points $\phi = v$ and $s = u$, the only relevant variables here are only

A, B, C, D, E , which can be uniquely determined, while the other three are totally arbitrary.⁴

Although we can solve for these five coefficients using the five equations, we found an easy way to do it systematically. The trick is to make use of the following function [42]:

$$\tilde{V}_{\text{CW}}^{\text{OS}} = \frac{1}{64\pi^2} \text{STr} \left[M_{\varphi,m}^4 \left(\ln \frac{M_{\varphi,m}^2}{M_{\varphi,\text{phy}}^2} - \frac{3}{2} \right) + 2M_{\varphi,\text{phy}}^2 M_{\varphi,m}^2 \right], \quad (\text{A8})$$

where the mass matrices $M_{\varphi,m}^2$ are supposed to be diagonal, in the basis of mass eigenstates, and $M_{\varphi,\text{phy}}^2$ are $M_{\varphi,m}^2$ evaluated at the vacuum point $\phi = v, s = u$. It is easy to verify that the function satisfies all the five renormalization conditions that we apply, regardless of the details of the mass matrices.⁵

The problem is whether it can be achieved from the original Coleman-Weinberg potential through adding counterterms like Eq. (A3). The answer is, luckily, yes for theories without mixing particles, but is no for the model we are dealing with, where both the scalar sector and the top quark sector may have large mixing.

To see this, let us take the difference

$$\Delta \tilde{V} = \tilde{V}_{\text{CW}}^{\text{OS}} - V_{\text{CW}} = \frac{1}{64\pi^2} \text{STr} \left[M_{\varphi}^4 \ln \frac{\mu^2}{M_{\varphi,\text{phy}}^2} + 2M_{\varphi,\text{phy}}^2 M_{\varphi}^2 \right]. \quad (\text{A10})$$

For mixed fields, though the mass matrix elements in gauge eigenbasis are usually polynomials of the scalar fields,

⁴One may use the following counterterms:

$$\Delta V = As + Bs^2 + Cs^3 + Ds^4 + E\phi^2 + F\phi^2 s + G\phi^2 s^2 + H\phi^4. \quad (\text{A7})$$

However, in this parametrization, if we only apply the five conditions, it is not enough to determine certain counterterms. One has to use three renormalization conditions on the couplings to determine them uniquely. Because of the arbitrariness on the renormalization conditions on the couplings, the counterterms could be quite different.

⁵By adding higher powers of $M_{\varphi,m}^2 - M_{\varphi,\text{phy}}^2$ with appropriate coefficients, one can construct functions that satisfy higher-order on-shell conditions. For instance, if we want to have all the Lagrangian couplings to be the on-shell values, we simply add terms up to the fourth power of $M_{\varphi,m}^2 - M_{\varphi,\text{phy}}^2$ to it,

$$\tilde{V}_{\text{CW}}^{\text{OS}} = \frac{1}{64\pi^2} \text{STr} \left[M_{\varphi,m}^4 \left(\ln \frac{M_{\varphi,m}^2}{M_{\varphi,\text{phy}}^2} - \frac{3}{2} \right) + 2M_{\varphi,\text{phy}}^2 M_{\varphi,m}^2 - \frac{1}{2M_{\varphi,m}^2} (M_{\varphi,m}^2 - M_{\varphi,\text{phy}}^2)^3 + \frac{1}{2M_{\varphi,m}^4} (M_{\varphi,m}^2 - M_{\varphi,\text{phy}}^2)^4 \right]. \quad (\text{A9})$$

which are allowed in the counterterms, in the mass eigenbasis they are typically irrational expressions. Specifically, $\text{Tr} M_{\varphi,m}^2$ and $\text{Tr} M_{\varphi,m}^4$ can still be expressed in terms of the coefficients of the characteristic polynomial of the mass matrix, and hence are polynomial of the scalar fields, but $\text{Tr} D M_{\varphi,m}^4$ and $\text{Tr} D M_{\varphi,m}^2$ are not, where D is a diagonal matrix with nondegenerate eigenvalues. The two terms in the above expressions are exactly in this form.

What we do is to expand $\Delta \tilde{V}$ at the vacuum point as in Eq. (A3) and truncate the expression at the order as we like. For instance, we can retain the terms of A', B', E', F', H' and throw away all the other terms, so that the five renormalization conditions are still satisfied. The coefficients we get this way are unambiguous, which must be the same as what people get by any other methods. In our calculation, we retained all the eight terms that are allowed in the counterterms, thus recover the full form of ΔV , and throw away the higher order terms. The coefficients C', D', G' , however, are ambiguous, which depend on the additional renormalization conditions that people may add to the scheme.

Suppose that after truncation, we get ΔV out of $\Delta \tilde{V}$, therefore the final on-shell potential is

$$V_{\text{eff}}^{\text{OS}} = V_{\text{eff}} + \Delta V \quad (\text{A11})$$

in which the coefficients are

$$\begin{aligned} A &= \frac{\partial}{\partial s} \Delta \tilde{V} \Big|_{\phi=v, s=u}, \\ B &= \frac{1}{2} \frac{\partial^2}{\partial s^2} \Delta \tilde{V} \Big|_{\phi=v, s=u}, \\ E &= \frac{1}{2v} \frac{\partial}{\partial \phi} \Delta \tilde{V} \Big|_{\phi=v, s=u}, \\ F &= \frac{1}{2v} \frac{\partial^2}{\partial s \partial \phi} \Delta \tilde{V} \Big|_{\phi=v, s=u}, \\ H &= \frac{1}{8v^2} \frac{\partial^2}{\partial \phi^2} \Delta \tilde{V} \Big|_{\phi=v, s=u}. \end{aligned} \quad (\text{A12})$$

2. Goldstone infrared divergence

There are two problems for the above potential even before the truncation. First, by definition, the potential is defined at scale $p^2 = 0$, and the second derivatives do not give pole masses but renormalized masses at scale $\mu = 0$. Second, in the Goldstone contribution to the Coleman-Weinberg potential, there is IR divergence from $\ln m_G^2(v, u)$, since the field-dependent mass of the Goldstone boson,

$$m_G^2(\phi, s) = \lambda_{\phi}(\phi^2 - v^2) + \frac{\lambda_{s\phi}}{2}(s^2 - u^2) + \mu_{s\phi}(s - u), \quad (\text{A13})$$

is zero at the vacuum point. In this section, we will show that these two effects cancel each other, according to the discussion in [19].

Let us find out the relation between the zero momentum parameters appearing in the effective potential and the physical observables that we need in an OS scheme. In general, we have the vertex functions

$$\Gamma(\phi_1, \phi_2, \dots, \phi_n; p_i) = \Gamma_r^X + \Gamma_L(p_i) + \Gamma_{ct}^X, \quad (\text{A14})$$

where Γ_r is the tree-level renormalized coupling, or the inverse propagator in the case of $n = 2$. Γ_L is the loop contributions, which depend on the external momenta. Γ_{ct}^X comes from the counterterms defined in scheme X .

As we are working in the OS scheme, we have

$$\Gamma(\phi_1, \phi_2, \dots, \phi_n; p_i) = \Gamma_{\text{phy}} + \Gamma_L(p_i) + \Gamma_{ct}^{\text{OS}} \quad (\text{A15})$$

while

$$\Gamma_L(\text{OS}) + \Gamma_{ct}^{\text{OS}} = 0, \quad (\text{A16})$$

where the OS inside the parentheses indicates the on-shell momenta, instead of the on-shell scheme, as Γ_L is scheme independent. Thus we have the $p^2 = 0$ values for the vertex functions,

$$\begin{aligned} \Gamma(\phi_1, \phi_2, \dots, \phi_n; p_i^2 = 0) &= \Gamma_{\text{phy}} + \Gamma_L(p_i^2 = 0) - \Gamma_L(\text{OS}) \\ &\equiv \Gamma_{\text{phy}} - \Delta\Gamma_L, \end{aligned} \quad (\text{A17})$$

where $\Delta\Gamma_L$ is defined as the difference between the on-shell loop contribution and the zero-momenta loop contributions.

In this spirit, the renormalization conditions Eq. (A6) should be modified as

$$\begin{aligned} \frac{\partial}{\partial\Phi_i} (V_{\text{CW}}^{\overline{DR}} + \Delta V) \Big|_{\phi=v, s=u} &= 0, \\ \frac{\partial^2}{\partial\Phi_i \partial\Phi_j} (V_{\text{CW}}^{\overline{DR}} + \Delta V) \Big|_{\phi=v, s=u} &= -\Delta\Sigma, \end{aligned} \quad (\text{A18})$$

where Σ is the loop contribution to the mass matrix. The tadpole term is not changed because the tadpole loop does not depend on external momentum. For couplings, we have conditions like

$$\begin{aligned} \frac{\partial^4 V_{\text{eff}}}{\partial s^2 \partial \phi^2} \Big|_{\phi=v, s=u} &= \lambda_{s\phi} + \frac{\partial^4 V_{\text{CW}}}{\partial s^2 \partial \phi^2} \Big|_{\phi=v, s=u} \\ &= \lambda_{m, \text{phy}} + \frac{\partial^4 V_{\text{CW}}}{\partial s^2 \partial \phi^2} \Big|_{\phi=v, s=u} - \Delta\Gamma_m. \end{aligned} \quad (\text{A19})$$

Note that, unlike the case for masses, the Coleman-Weinberg potential does have contributions to the couplings [unless we use the more complete form Eq. (A9)].

One may notice that the off-diagonal element of Σ is not well defined in OS scheme. In addition, some couplings like s^3 also do not have natural on-shell definition. Here we assume that the $\Delta\Gamma_L$'s are not sensitive to the tricky details of how we define the OS renormalization conditions. Here we only focus on the IR divergence from the Goldstone loops, for which we use $m_\chi^2 = m_G^2(v, u)$ as an IR regulator, and choose a convenient but inexact form of the IR-finite part.

Thus we only retain the IR divergent terms and replace all the parameters for physical quantities we need in an OS scheme. These terms are

$$\begin{aligned} V_{\text{IR}} &= \frac{\Sigma_\phi(0)}{8v^2} (\phi^2 - v^2)^2 + \frac{\Sigma_{s\phi}(0)}{2v} (\phi^2 - v^2)(s - u) \\ &\quad + \frac{\Sigma_s(0)}{2} (s - u)^2 + \frac{\Gamma_L^{(m)}(0)}{4} (\phi^2 - v^2)(s - u)^2 \\ &\quad + \frac{\Gamma_L^{(3)}(0)}{3!} (s - u)^3 + \frac{\Gamma_L^{(4)}(0)}{4!} (s - u)^4, \end{aligned} \quad (\text{A20})$$

while the $\ln m_\chi^2$ order IR divergences are

$$\Sigma_\phi(0) \sim \frac{3}{2} \times (2\lambda_\phi v)^2 \times \frac{1}{16\pi^2} \ln \frac{m_\chi^2}{m_H^2}, \quad (\text{A21})$$

$$\Sigma_s(0) \sim \frac{3}{2} \times (\lambda_{s\phi} u + \mu_{s\phi})^2 \times \frac{1}{16\pi^2} \ln \frac{m_\chi^2}{m_H^2}, \quad (\text{A22})$$

$$\Sigma_{s\phi}(0) \sim \frac{3}{2} \times 2\lambda_\phi v (\lambda_{s\phi} u + \mu_{s\phi}) \times \frac{1}{16\pi^2} \ln \frac{m_\chi^2}{m_H^2}, \quad (\text{A23})$$

$$\begin{aligned} \Gamma_L^{(m)}(0) &\sim \frac{3}{2} \times 2\lambda_{s\phi} \lambda_\phi \times \frac{1}{16\pi^2} \ln \frac{m_\chi^2}{m_H^2} \\ &\quad + \text{power-law IR divergence}, \end{aligned} \quad (\text{A24})$$

$$\begin{aligned} \Gamma_L^{(3)}(0) &\sim \frac{9}{2} \times \lambda_{s\phi} (\lambda_{s\phi} u + \mu_{s\phi}) \times \frac{1}{16\pi^2} \ln \frac{m_\chi^2}{m_H^2} \\ &\quad + \text{power-law IR divergence}, \end{aligned} \quad (\text{A25})$$

$$\begin{aligned} \Gamma_L^{(4)}(0) &\sim \frac{9}{2} \times \lambda_{s\phi}^2 \times \frac{1}{16\pi^2} \ln \frac{m_\chi^2}{m_H^2} \\ &\quad + \text{power-law IR divergence}, \end{aligned} \quad (\text{A26})$$

where $\ln m_H^2$ is for the IR finite contributions which, as already explained, are not exact, but errors are negligible. The power-law IR divergences in the couplings will be canceled by the Coleman-Weinberg contributions [such as the last two term in Eq. (A9)], which we do not prove explicitly here.

Plugging them into Eq. (A20), together with the knowledge of Eq. (A13), we get

$$V_{\text{IR}} = \frac{3}{64\pi^2} m_G^4(\phi, s) \ln \frac{m_Z^2}{m_H^2}, \quad (\text{A27})$$

which neatly cancels the IR divergence of the Coleman-Weinberg term. Thus we only need to replace the Goldstone pole mass in the logarithm in Eq. (A8) with the Higgs mass to fix the IR divergence problem. Again, there are corrections and small subtleties from the momentum-shift effect, such as the $\Delta\Sigma$ contribution to the counterterms B' , E' , H' , which are computable but we neglected.

3. Field-dependent masses

In the effective potential, the particles running in the loop are the particles in the model with the following degrees of freedom in the Landau gauge:

$$\begin{aligned} n_W = 6, \quad n_Z = 3, \quad n_\pi = 3, \\ n_h = n_S = 1, \quad n_t = -12, \quad n_T = -12. \end{aligned} \quad (\text{A28})$$

The field-dependent masses of the top quark, gauge bosons, and Goldstone bosons at zero temperature are given by

$$m_W^2(\phi) = \frac{g^2}{4} \phi^2, \quad m_Z^2(\phi) = \frac{g^2 + g'^2}{4} \phi^2, \quad (\text{A29})$$

$$m_\pi^2(\phi, s) = \lambda_\phi \phi^2 - \mu_\phi^2 + \frac{1}{2} \lambda_{s\phi} s^2 + \mu_{s\phi} s. \quad (\text{A30})$$

The field-dependent masses of the scalars h and S are obtained as

$$\begin{aligned} m_{h,S}^2(\phi, s) &= \frac{1}{2} (m_{\phi\phi}^2(\phi, s) + m_{ss}^2(\phi, s)) \\ &\mp \frac{1}{2} \sqrt{(m_{\phi\phi}^2(\phi, s) - m_{ss}^2(\phi, s))^2 + 4m_{s\phi}^4(\phi, s)}, \end{aligned} \quad (\text{A31})$$

where the field-dependent quantities are

$$m_{\phi\phi}^2(\phi, s) = 3\lambda_\phi \phi^2 - \mu_\phi^2 + \frac{\lambda_{s\phi}}{2} s^2 + \mu_{s\phi} s, \quad (\text{A32})$$

$$m_{s\phi}^2(\phi, s) = m_{\phi s}^2(\phi, s) = (\lambda_{s\phi} s + \mu_{s\phi}) \phi, \quad (\text{A33})$$

$$m_{ss}^2(\phi, s) = 3\lambda_s s^2 + 2\mu_s s - \mu_s^2 + \frac{\lambda_{s\phi}}{2} \phi^2. \quad (\text{A34})$$

The field-dependent masses of the top quark and heavy vectorlike top quark T are obtained as

$$\begin{aligned} m_{t,T}^2(\phi, s) &= \frac{1}{2} (m_{tt}^2(\phi, s) + m_{TT}^2(\phi, s)) \\ &\mp \frac{1}{2} \sqrt{(m_{tt}^2(\phi, s) - m_{TT}^2(\phi, s))^2 + 4m_{tT}^4(\phi, s)}, \end{aligned} \quad (\text{A35})$$

where the field-dependent quantities are

$$m_{tt}^2(\phi, s) = \frac{1}{2} (y_t^2 + y'^2) \phi^2, \quad (\text{A36})$$

$$m_{tT}^2(\phi, s) = m_{Tt}^2(\phi, s) = \frac{1}{\sqrt{2}} y' \phi (y_s s + M), \quad (\text{A37})$$

$$m_{TT}^2(\phi, s) = (y_s s + M)^2. \quad (\text{A38})$$

The finite-temperature potential needs to be corrected by the thermal field-dependent masses. The thermal field-dependent masses are calculated by adding the Debye masses, calculated from the quadratically divergent bubbles and Daisy resummation. This leads to a shift of the bosonic field-dependent masses $m_i^2(\phi, s)$ to the thermal field-dependent masses (Debye masses)

$$m_i^2(\phi, s, T) \equiv m_i^2(\phi, s) + \Pi_i(\phi, s, T), \quad (\text{A39})$$

where $\Pi_i(\phi, s, T)$ is the self-energy of the bosonic field i in the IR limit. In particular, the longitudinal and transversal polarizations of the gauge bosons have to be taken into account separately: only the longitudinal components get a thermal mass correction and the transversal ones will not. Since the ring diagrams will only contribute significantly at high temperature, only the zero mode of the Matsubara frequency behaves as a massless degree of freedom and generates IR divergences at high temperature, while other modes lead to subdominant contributions. For the SM bosonic contributions, the gauge boson thermal self-energy is

$$m_{\tilde{V}}^2(\phi, s, T) = m_{\tilde{V}}^2(\phi, s) + \Pi_{\tilde{V}}, \quad (\text{A40})$$

where

$$m_{\tilde{V}}^2(\phi, s) = \begin{pmatrix} \frac{g^2}{4} & 0 & 0 & 0 \\ 0 & \frac{g^2}{4} & 0 & 0 \\ 0 & 0 & \frac{g^2}{4} & -\frac{gg'}{4} \\ 0 & 0 & -\frac{gg'}{4} & \frac{g'^2}{4} \end{pmatrix} \phi^2 \quad (\text{A41})$$

and

$$\Pi_{\tilde{V}} = \text{diag} \left[\frac{11}{6} g^2 T^2, \frac{11}{6} g^2 T^2, \frac{11}{6} g^2 T^2, \frac{11}{6} g^2 T^2 \right]. \quad (\text{A42})$$

In the scalar sector, we have

$$m_{\tilde{S}}^2(\phi, s, T) = m_{\tilde{S}}^2(\phi, s) + \begin{pmatrix} c_\phi & 0 \\ 0 & c_s \end{pmatrix} T^2, \quad (\text{A43})$$

where

$$c_\phi = \frac{\lambda_\phi}{2} + \frac{\lambda_{s\phi}}{24} + \frac{3g^2 + g'^2}{16} + \frac{y_t^2}{4}, \quad (\text{A44})$$

$$c_s = \frac{\lambda_s}{4} + \frac{\lambda_{s\phi}}{6} + \frac{y_s^2}{4}. \quad (\text{A45})$$

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