Scalar-multi-tensorial equivalence for higher order $f(R, \nabla_{\mu}R, \nabla_{\mu_1}\nabla_{\mu_2}R, \ldots, \nabla_{\mu_1} \ldots \nabla_{\mu_n}R)$ theories of gravity

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The equivalence between theories depending on the derivatives of R, i.e. $f(R, \nabla R, ..., \nabla^n R)$, and scalarmulti-tensorial theories is verified. The analysis is done in both metric and Palatini formalisms. It is shown that $f(R, \nabla R, ..., \nabla^n R)$ theories are equivalent to scalar-multi-tensorial ones resembling Brans-Dicke theories with kinetic terms $\omega_0 = 0$ and $\omega_0 = -\frac{3}{2}$ for metric and Palatini formalisms respectively. This result
is analogous to what happens for $f(B)$ theories. It is worth emphasizing that the social multi-tensori is analogous to what happens for $f(R)$ theories. It is worth emphasizing that the scalar-multi-tensorial theories obtained here differ from Brans-Dicke ones due to the presence of multiple tensorial fields absent in the last. Furthermore, sufficient conditions are established for $f(R, \nabla R, ..., \nabla^n R)$ theories to be written as scalar-multi-tensorial theories. Finally, some examples are studied and the comparison of $f(R, \nabla R, \ldots, \nabla^n R)$ theories to $f(R, \Box R, \ldots, \Box^n R)$ theories is performed.

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I. INTRODUCTION

Alternative scenarios for the standard theory of gravitation have long been proposed in order to circumvent several problems presented by general relativity (renormalization [\[1,2\],](#page-8-0) inflation [\[3,4\],](#page-8-1) the present-day accelerated expansion of the Universe [\[5,6\]](#page-8-2), and so on). As examples of these proposals, one can cite quadratic Lagrangians on the Riemann tensor theories [\[7,8\],](#page-8-3) the Horava-Lifshitz model [\[9\]](#page-8-4), braneworld models $[10–13]$ $[10–13]$, Λ CDM model $[14,15]$, etc.

Among these propositions, three are of special interest here. The first one is $f(R)$ theories [16–[23\],](#page-8-7) which were presented as an alternative scenario for the standard ΛCDM model in an attempt to cure the cosmological constant problem [\[16\].](#page-8-7) $f(R)$ gravity was also motivated as an alternative to dark energy models.

The second class of models that we are especially concerned with in this work is the scalar-tensor theories [\[24](#page-8-8)–27]. In these theories, part of the gravitational interaction is described by a scalar field. In the original paper by Brans and Dicke [\[24\]](#page-8-8), a scalar field was introduced along with the metric tensor in an attempt to implement Mach's principle. The interest in Brans-Dicke work was renewed with string theory [\[20\].](#page-8-9)

An important feature of both $f(R)$ and scalar-tensor theories lies on the fact that they can be proved to be equivalent (at least at classical level), i.e. a $f(R)$ general model can be cast into the form of a Brans-Dicke theory with a potential [\[28,29\].](#page-9-0)

The third category of interest here is the one of theories including derivatives of the scalar curvature R [30–[36\].](#page-9-1) They were inspired by string theory, or motivated by quantum loop corrections, or as alternatives to dark energy models. From the point of view of quantum gravity, terms containing derivatives of scalar curvature (and Riemann and Ricci tensors) are necessary for the renormalizability of the theory [\[37](#page-9-2)–40], although these terms usually produce unphysical massive ghost [\[41,42\].](#page-9-3) Theories containing derivatives of $$ can be seen either as toy models, or as effective theories, or even as full theories. In any case, the question addressed in this paper is: Is there a scalar-multi-tensorial equivalent theory for this class of theories? As far as the authors are aware, this question has been addressed for the particular case of $f(R, \Box R, ..., \Box^n R)$ theories [\[43,44\].](#page-9-4) Here, the interest is devoted to a more general category of theories, namely $f(R, \nabla_{\mu}R, \nabla_{\mu_1}\nabla_{\mu_2}R, \dots, \nabla_{\mu_1}\dots \nabla_{\mu_n}R)$ theories henceforth written as $f(R, \nabla R, ..., \nabla^n R)$, or simply as $f(R, \nabla R, \ldots)$, for short-hand notation. As it shall be seen, besides the scalar field other auxiliary tensorial fields must be introduced. The analysis is restricted to a category of theories with regular Hessian matrix [from this point of view, $f(R, \Box R, \ldots, \Box^{n}R)$ theories are singular] and it is performed both in the metric and Palatini formalisms.

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With the introduction of the scalar-multi-tensorial structure, the problem of dealing with a single complicated higher-order field equation is substituted by the task of analyzing a larger number of field equations but with lower order of derivatives. This is known to be useful in several situations. For instance, it is particularly efficient when one intends to perform numerical analyzes, as in [\[35\]](#page-9-5). Moreover, it is simpler to examine the canonical structure of the theory and study its constraints when auxiliary fields are defined and a Lagrangian of lower order is considered [\[45,46\]](#page-9-6). In addition, the procedure of order reduction may facilitate the scrutiny of the eventual unitarity character of the theory [\[47\].](#page-9-7)

The paper is organized as follows: In Sec. [II](#page-1-0), the equivalence of $f(R, \nabla R, ..., \nabla^n R)$ and scalar-multitensorial theories is analyzed in the metric formalism. For the sake of clarity, the section starts with the analysis of the $f(R, \nabla R)$ case and is extended in the sequence. In Sec. [III,](#page-3-0) the analysis is repeated in the Palatini formalism. In Sec. [IV,](#page-6-0) applications are performed and Sec. [V](#page-7-0) is devoted to our final remarks.

II. METRIC FORMALISM

A. Second order gravity theory

Consider an action integral dependent on the scalar curvature and its first derivative:

$$
S = \int d^4x \sqrt{-g}[f(R, \nabla R) + \mathcal{L}_M],\tag{1}
$$

where \mathcal{L}_M is the matter field Lagrangian.

Let S' be another action integral where scalar and vectorial fields ξ and ξ_{μ} are the fundamental fields and R and its derivative are considered as parameters:

$$
S' = \int d^4x \sqrt{-g} \left[f(\xi, \xi_\mu) - \frac{\partial f}{\partial \xi} \cdot (\xi - R) - \frac{\partial f}{\partial \xi_\mu} \cdot (\xi_\mu - \nabla_\mu R) + \mathcal{L}_M \right].
$$

The null variations of the action and independence of the variations in ξ, ξ_μ lead to

$$
\begin{pmatrix}\n\frac{\partial^2 f}{\partial \xi^2} & \frac{\partial^2 f}{\partial \xi \partial \xi_\mu} \\
\frac{\partial^2 f}{\partial \xi_\nu \partial \xi} & \frac{\partial^2 f}{\partial \xi_\nu \partial \xi_\mu}\n\end{pmatrix}\n\begin{pmatrix}\n(\xi - R) \\
(\xi_\mu - \nabla_\mu R)\n\end{pmatrix} = \begin{pmatrix}\n0 \\
0\n\end{pmatrix}.
$$

The new action S' will be equivalent to S if

$$
\det \begin{pmatrix} \frac{\partial^2 f}{\partial \xi^2} & \frac{\partial^2 f}{\partial \xi \partial \xi_\mu} \\ \frac{\partial^2 f}{\partial \xi_\nu \partial \xi} & \frac{\partial^2 f}{\partial \xi_\nu \partial \xi_\mu} \end{pmatrix} \neq 0.
$$
 (2)

This condition leads to the following field equations:

$$
\begin{pmatrix} (\xi - R) \\ (\xi_{\mu} - \nabla_{\mu} R) \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}, \tag{3}
$$

showing the equivalence of S and S' under field equations. In fact, from [\(3\):](#page-1-1) $\xi = R$ and $\xi_{\mu} = \nabla_{\mu} R$.

It also becomes clear that $\frac{\partial f}{\partial \xi}$ and $\frac{\partial f}{\partial \xi_{\mu}}$ are Lagrange multipliers in S' . This way, these quantities will be replaced by scalar and vectorial fields, respectively,

$$
\phi \equiv \frac{\partial f}{\partial \xi},\tag{4}
$$

$$
\phi^{\mu} \equiv \frac{\partial f}{\partial \xi_{\mu}}.\tag{5}
$$

Equation [\(2\)](#page-1-2) ensures that $\xi = \xi(\phi, \phi^{\mu})$ and $\xi^{\nu} = \xi^{\nu}(\phi, \phi^{\mu})$ exist.

With these quantities S' becomes

$$
S' = \int d^4x \sqrt{-g} \left[-\phi\xi - \phi^\mu \xi_\mu + f(\xi, \xi_\mu) \right. + \phi R + \phi^\mu \nabla_\mu R + \mathcal{L}_M \right].
$$

A potential $U(\phi, \phi_u)$ is defined as

$$
U(\phi, \phi_{\mu}) \equiv \phi \xi(\phi, \phi^{\mu}) + \phi^{\mu} \xi_{\mu}(\phi, \phi^{\mu})
$$

$$
- f(\xi(\phi, \phi^{\mu}), \xi_{\mu}(\phi, \phi^{\mu})).
$$

The action then reads

$$
S' = \int d^4x \sqrt{-g} [\phi R + \phi^\mu \nabla_\mu R - U(\phi, \phi_\mu) + \mathcal{L}_M], \qquad (6)
$$

which is the scalar-vectorial-tensorial equivalent theory to S. It is clear that no kinetic terms for ϕ and ϕ_u are present in S' .

The coupling with the gradient of the Ricci scalar can be eliminated by using the identity

$$
\sqrt{-g}\phi^{\mu}\nabla_{\mu}R=\partial_{\mu}(\sqrt{-g}\phi^{\mu}R)-\sqrt{-g}\nabla_{\mu}\phi^{\mu}R,
$$

and expressing S' , up to a surface term, as

$$
S' = \int d^4x \sqrt{-g} [\Phi R - U(\Phi, \phi_\mu, \nabla_\mu \phi^\mu) + \mathcal{L}_M], \quad (7)
$$

where we have defined a new scalar field,

$$
\Phi \equiv (\phi - \nabla_{\mu} \phi^{\mu}), \tag{8}
$$

and the potential,

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$$
U(\Phi, \phi_{\mu}, \nabla_{\mu} \phi^{\mu}) \equiv (\Phi + \nabla_{\mu} \phi^{\mu})\xi + \phi^{\mu}\xi_{\mu} - f(\xi, \xi_{\mu}).
$$
 (9)

Notice that potential U depends on the new scalar Φ and the vector field ϕ_u .

The theory established by Eq. [\(7\)](#page-1-3) resembles a Brans-Dicke theory,

$$
S_{\rm BD} = \int d^4x \sqrt{-g} \left[\varphi R - \frac{\omega_0}{\varphi} \nabla_\mu \varphi \nabla^\mu \varphi + \mathcal{L}_M \right],
$$

with $\omega_0 = 0$ (which means that no explicit kinetic term for Φ is present) and a potential for the scalar field, the vector field and its covariant divergence.

B. Extension to higher order gravity theories

Consider the general action dependence on the scalar curvature and its derivatives up to order n:

$$
S = \int d^4x \sqrt{-g} [f(R, \nabla R, \nabla^2 R, ..., \nabla^n R) + \mathcal{L}_M].
$$

A new action S' is proposed where scalar and tensorial fields are introduced, replacing R and its derivatives. In order to recast the original theory in this new formulation, Lagrange multipliers are introduced so that the new action is

$$
S' = \int d^4x \sqrt{-g} \Big[f(\xi, \xi_\mu, \xi_{\mu\nu}, ..., \xi_{\mu_1... \mu_n})
$$

\n
$$
- \frac{\partial f}{\partial \xi} \cdot (\xi - R) - \frac{\partial f}{\partial \xi_\mu} \cdot (\xi_\mu - \nabla_\mu R)
$$

\n
$$
- \frac{\partial f}{\partial \xi_{\mu\nu}} \cdot (\xi_{\mu\nu} - \nabla_\mu \nabla_\nu R)
$$

\n
$$
- \cdots - \frac{\partial f}{\partial \xi_{\mu_1... \mu_n}} \cdot (\xi_{\mu_1... \mu_n} - \nabla_{\mu_1} ... \nabla_{\mu_n} R) + \mathcal{L}_M \Big].
$$

The null variations of the action and the independence of the variations in $\xi, \xi_{\mu}, \dots, \xi_{\mu_1 \dots \mu_n}$ lead to

$$
H\begin{pmatrix} (\xi - R) \\ (\xi_{\mu} - \nabla_{\mu} R) \\ (\xi_{\mu\nu} - \nabla_{\mu} \nabla_{\nu} R) \\ \vdots \\ (\xi_{\mu_1 \dots \mu_n} - \nabla_{\mu_1} \dots \nabla_{\mu_n} R) \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ \vdots \\ 0 \end{pmatrix},
$$

where

$$
H \equiv \begin{pmatrix} \frac{\partial^2 f}{\partial \xi^2} & \frac{\partial^2 f}{\partial \xi \partial \xi_{\mu}} & \cdots & \frac{\partial^2 f}{\partial \xi \partial \xi_{\mu_1 \dots \mu_n}} \\ \frac{\partial^2 f}{\partial \xi_{\nu} \partial \xi} & \frac{\partial^2 f}{\partial \xi_{\nu} \partial \xi_{\mu}} & \cdots & \frac{\partial^2 f}{\partial \xi_{\nu} \partial \xi_{\mu_1 \dots \mu_n}} \\ \vdots & \vdots & \ddots & \vdots \\ \frac{\partial^2 f}{\partial \xi_{\nu_1 \dots \nu_n} \partial \xi} & \frac{\partial^2 f}{\partial \xi_{\nu_1 \dots \nu_n} \partial \xi_{\mu}} & \cdots & \frac{\partial^2 f}{\partial \xi_{\nu_1 \dots \nu_n} \partial \xi_{\mu_1 \dots \mu_n}} \end{pmatrix}.
$$

The new action S' will be equivalent to S if the determinant of the Hessian matrix H is non-null, which leads to the following field equations:

$$
\begin{pmatrix}\n(\xi - R) \\
(\xi_{\mu} - \nabla_{\mu} R) \\
(\xi_{\mu\nu} - \nabla_{\mu} \nabla_{\nu} R)\n\vdots \\
\vdots \\
(\xi_{\mu_1 \dots \mu_n} - \nabla_{\mu_1} \dots \nabla_{\mu_n} R)\n\end{pmatrix} = \begin{pmatrix}\n0 \\
0 \\
\vdots \\
0\n\end{pmatrix},
$$

under which S and S' become equivalent.

Now, let new tensorial quantities $\phi^{(n)} = {\phi, \phi^{\mu}}$, $..., \phi^{\mu_1...\mu_n}$ } be introduced:

$$
\begin{cases}\n\phi \equiv \frac{\partial f}{\partial \xi}, \\
\phi^{\mu} \equiv \frac{\partial f}{\partial \xi_{\mu}}, \\
\phi^{\mu\nu} \equiv \frac{\partial f}{\partial \xi_{\mu\nu}}, \\
\vdots \\
\phi^{\mu_1,\dots,\mu_n} \equiv \frac{\partial f}{\partial \xi_{\mu_1,\dots,\mu_n}}.\n\end{cases} \tag{10}
$$

With these quantities S' becomes

$$
S' = \int d^4x \sqrt{-g} \left[-\phi \xi - \phi^\mu \xi_\mu - \phi^{\mu\nu} \xi_{\mu\nu}\right.\n- \cdots - \phi^{\mu_1 \cdots \mu_n} \xi_{\mu_1 \cdots \mu_n} + f(\xi, \xi_\mu, \xi_{\mu\nu}, \cdots, \xi_{\mu_1 \cdots \mu_n})\n+ \phi R + \phi^\mu \nabla_\mu R + \phi^{\mu\nu} \nabla_\mu \nabla_\nu R\n+ \cdots + \phi^{\mu_1 \cdots \mu_n} \nabla_{\mu_1} \cdots \nabla_{\mu_n} R + \mathcal{L}_M],
$$

since the condition det $H \neq 0$ ensures that $\xi =$ $\xi(\phi, \phi^\mu, \ldots), \ldots, \xi^{\mu_1 \ldots \mu_n} = \xi^{\mu_1 \ldots \mu_n}(\phi, \phi^\mu, \ldots)$ exist. Define the potential

$$
U(\phi, \phi_{\mu}, \phi_{\mu\nu}, ..., \phi_{\mu_1... \mu_n})
$$

= $\phi\xi + \phi^{\mu}\xi_{\mu} + \phi^{\mu\nu}\xi_{\mu\nu}$
+ $\cdots + \phi^{\mu_1... \mu_n}\xi_{\mu_1... \mu_n} - f(\xi, \xi_{\mu}, \xi_{\mu\nu}, ..., \xi_{\mu_1... \mu_n}).$ (11)

The action is then cast into the form

$$
S' = \int d^4x \sqrt{-g} \left[-U(\phi, \phi_\mu, \phi_{\mu\nu}, ..., \phi_{\mu_1... \mu_n})\right.+ \phi R + \phi^\mu \nabla_\mu R + \phi^{\mu\nu} \nabla_\mu \nabla_\nu R- \cdots + \phi^{\mu_1... \mu_n} \nabla_{\mu_1} ... \nabla_{\mu_n} R + \mathcal{L}_M\right],
$$

which is the scalar-multi-tensorial equivalent theory to S. The action S' above can be rewritten by considering that

$$
\sqrt{-g}\phi^{\mu_1...\mu_n}\nabla_{\mu_1}...\nabla_{\mu_n}R
$$

= $\sqrt{-g}(-1)^n\nabla_{\mu_n}...\nabla_{\mu_1}\phi^{\mu_1...\mu_n}R + \partial_{\mu}S^{\mu}_{(n)}.$ (12)

The last term of [\(12\)](#page-3-1) turns out to be a surface term when this equation is substituted in the action integral. It follows, up to surface terms,

$$
S' = \int d^4x \sqrt{-g} [\Phi R
$$

\n
$$
- U(\Phi, \phi_\mu, ..., \phi_{\mu_1... \mu_n}, \nabla_\mu \phi^\mu, ..., \nabla_{\mu_n} ... \nabla_{\mu_1} \phi^{\mu_1... \mu_n})
$$

\n
$$
+ \mathcal{L}_M],
$$
\n(13)

where

$$
\Phi \equiv \phi - \nabla_{\mu} \phi^{\mu} + \dots + (-1)^n \nabla_{\mu_n} \dots \nabla_{\mu_1} \phi^{\mu_1 \dots \mu_n}, \quad (14)
$$

and

$$
U = U(\Phi, \phi_{\mu}, ..., \phi_{\mu_1... \mu_n}, \nabla_{\mu} \phi^{\mu}, ..., \nabla_{\mu_n} ... \nabla_{\mu_1} \phi^{\mu_1... \mu_n})
$$

= $(\Phi + \nabla_{\mu} \phi^{\mu} + ... + (-1)^{n+1} \nabla_{\mu_n} ... \nabla_{\mu_1} \phi^{\mu_1... \mu_n})\xi$
+ $\phi^{\mu} \xi_{\mu} + \phi^{\mu \nu} \xi_{\mu \nu} + ... + \phi^{\mu_1... \mu_n} \xi_{\mu_1... \mu_n}$
- $f(\xi, \xi_{\mu}, \xi_{\mu \nu}, ..., \xi_{\mu_1... \mu_n}).$ (15)

Equation (13) generalizes action (7) by the addition of multiple tensorial fields. It also resembles a Brans-Dicke theory with $\omega_0 = 0$ and a potential depending on extra tensorial fields usually absent in the Brans-Dicke description. As before, no kinetic term is present for Φ and in order to introduce it, the Palatini formalism has to be considered. This is done in the next section. Meanwhile, the field equations are derived.

By varying the action with respect to the independent fields $g_{\mu\nu}$, Φ , ϕ^{μ} , ..., $\phi^{\mu_1...\mu_n}$ and ψ , one obtains

$$
\Phi G_{\mu\nu} - (\nabla_{\mu}\nabla_{\nu}\Phi - g_{\mu\nu}\Box\Phi) + \frac{1}{2}g_{\mu\nu}U = \kappa T_{\mu\nu}, \qquad (16)
$$

$$
R = \frac{\partial U}{\partial \Phi},\tag{17}
$$

$$
\frac{\partial U}{\partial \phi^{\rho}} - \nabla_{\mu} \frac{\partial U}{\partial (\nabla_{\mu} \phi^{\rho})} = 0, \qquad (18)
$$

$$
\frac{\partial U}{\partial \phi^{\rho_1...\rho_n}} + (-1)^n \nabla_{\mu_n} \dots \nabla_{\mu_1} \frac{\partial U}{\partial (\nabla_{\mu_1} \dots \nabla_{\mu_n} \phi^{\rho_1...\rho_n})} = 0, \quad (19)
$$

.

.

$$
\frac{\delta \mathcal{L}_M}{\delta \psi} = 0. \tag{20}
$$

 $T_{\mu\nu} \equiv \frac{1}{2\kappa} [g_{\mu\nu} \mathcal{L}_M(g, \psi) - 2 \frac{\delta \mathcal{L}_M}{\delta g^{\mu\nu}}]$ is the energy-momentum tensor obtained from the matter Lagrangian \mathcal{L}_M ; ψ is the matter field. General relativity (GR) is recovered when $\Phi = 1$, $\phi^{\rho} = \phi^{\rho_1 \rho_2} = \cdots = \phi^{\rho_1 \dots \rho_n} = 0$. The set of equations above is the generalization of the scalar-tensorial version of $f(R)$ theory in the metric formalism [\[16\].](#page-8-7)

The absence of a kinetic term for Φ does not imply that this field carries no dynamics. The coupling of Φ with R leads to field equations where the dynamics for Φ become manifest: If the trace of Eq. [\(16\)](#page-3-3) is considered, then Eq. [\(17\)](#page-3-4) can be rewritten as

$$
3\square \Phi + 2U - \Phi \frac{\partial U}{\partial \Phi} = \kappa T.
$$

A completely analogous result appears in metric $f(R)$ gravity [\[16\]](#page-8-7).

III. PALATINI FORMALISM

Now the Palatini formalism is developed. In this approach, the connection and the metric are considered as independent fields. As a consequence, the variations of the action will be taken with respect to both Γ and $g^{\mu\nu}$. Moreover, the matter fields Lagrangian \mathcal{L}_M does not explicitly depend on the connection. This is a necessary condition to recover GR under the particular choice $f(R, \nabla R) = R$. This condition implies that all derivation operators present in the action are built with the Levi-Civita connection.

Before proceeding to the general Lagrangian $f(R, \nabla R, \nabla^2 R, \ldots, \nabla^n R)$, the case $f(R, \nabla R)$ will be studied for clarification of the steps to be followed when the most general case is analyzed.

A. Second order theory

1. Field equations

The action for this theory is

$$
S = \int d^4x \sqrt{-g} [f(\mathcal{R}, \nabla \mathcal{R}) + \mathcal{L}_M(g, \psi)], \qquad (21)
$$

where ∇ _ρ is the covariant derivative constructed with Christoffel symbols $\begin{Bmatrix} \tau \\ \rho \sigma \end{Bmatrix} = \frac{1}{2} g^{\tau \lambda} (\partial_{\sigma} g_{\lambda \rho} + \partial_{\rho} g_{\sigma \lambda} - \partial_{\lambda} g_{\rho \sigma}).$

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Quantity $\mathcal R$ is the scalar curvature obtained from the general connection $\Gamma^{\tau}_{\rho\sigma}$, i.e.

$$
\mathcal{R} = g^{\mu\nu}\mathcal{R}_{\mu\nu} = g^{\mu\nu}(\partial_{\rho}\Gamma^{\rho}_{\mu\nu} - \partial_{\mu}\Gamma^{\rho}_{\rho\nu} + \Gamma^{\beta}_{\mu\nu}\Gamma^{\rho}_{\rho\beta} - \Gamma^{\beta}_{\rho\nu}\Gamma^{\rho}_{\mu\beta}).
$$
\n(22)

On the other hand, the Ricci scalar is $R = g^{\mu\nu}R_{\mu\nu}$ $g^{\mu\nu}(\partial_{\rho}\{\rho_{\mu}\} - \partial_{\mu}\{\rho_{\nu}\} + \{\rho_{\mu\nu}\}\{\rho_{\rho\beta}\} - \{\rho_{\nu}\}\{\rho_{\mu\beta}\})$. The variation of the action integral is taken with respect to the metric tensor, the connection and the matter field, leading to the following equations of motion:

$$
0 = f'(\mathcal{R}, \nabla \mathcal{R}) \mathcal{R}_{\mu\nu} - \frac{1}{2} f(\mathcal{R}, \nabla \mathcal{R}) g_{\mu\nu}
$$

$$
+ \left[\frac{\delta \mathcal{L}_M}{\delta g^{\mu\nu}} - \frac{1}{2} g_{\mu\nu} \mathcal{L}_M(g, \psi) \right], \tag{23}
$$

$$
0 = \bar{\nabla}_{\rho}(\sqrt{-g}f'(\mathcal{R}, \nabla \mathcal{R})g^{\mu\nu}), \qquad (24)
$$

$$
0 = \frac{\delta \mathcal{L}_M}{\delta \psi},\tag{25}
$$

where we have defined

$$
f'(\mathcal{R}, \nabla \mathcal{R}) \equiv \frac{\partial f}{\partial \mathcal{R}} - \nabla_{\rho} \frac{\partial f}{\partial \nabla_{\rho} \mathcal{R}}.
$$
 (26)

The bared covariant derivative $\overline{\nabla}$ is defined in terms of the general connection: $\overline{\nabla} = \partial + \Gamma$.

The equation of motion resulting from the variation of the action with respect to the connection is expressed in the form of Eq. [\(24\)](#page-4-0) after we use the identity

$$
\bar{\nabla}_{\alpha}(\sqrt{-g}f'(\mathcal{R}, \nabla \mathcal{R})g^{\alpha\mu}) = 0, \qquad (27)
$$

which is easily verified.

The conformal metric $h_{\mu\nu}$ is introduced:

$$
h_{\mu\nu} \equiv f'(\mathcal{R}, \nabla \mathcal{R}) g_{\mu\nu}, \tag{28}
$$

satisfying the following properties:

$$
\sqrt{-h} = [f'(\mathcal{R}, \nabla \mathcal{R})]^2 \sqrt{-g}, \qquad (h \equiv \det h_{\mu\nu}),
$$

$$
h^{\alpha\beta} = \frac{1}{f'(\mathcal{R}, \nabla \mathcal{R})} g^{\alpha\beta},
$$

and

$$
\bar{\nabla}_{\rho}(\sqrt{-h}h^{\alpha\beta}) = 0 \Rightarrow \bar{\nabla}_{\rho}h_{\theta\lambda} = 0. \tag{29}
$$

The last expression is the metricity condition, which leads to the following expression for the connection $\Gamma^{\beta}_{\mu\nu}$ (resembling the Christoffel symbols with $g_{\mu\nu}$ replaced by $h_{\mu\nu}$):

$$
\Gamma^{\beta}_{\mu\nu} = \frac{1}{2} h^{\alpha\beta} (\partial_{\nu} h_{\alpha\mu} + \partial_{\mu} h_{\nu\alpha} - \partial_{\alpha} h_{\mu\nu}). \tag{30}
$$

With this expression, a relation between $\Gamma^{\beta}_{\mu\nu}$ and $\{\beta_{\mu\nu}\}\)$ can be established be established,

$$
\Gamma^{\beta}_{\mu\nu} = \{\gamma^{\beta}_{\mu\nu}\} + \frac{1}{2f'}g^{\alpha\beta}(g_{\alpha\mu}\partial_{\nu}f' + g_{\nu\alpha}\partial_{\mu}f' - g_{\mu\nu}\partial_{\alpha}f').
$$
 (31)

Also a relation between $\mathcal{R}_{\mu\nu}$ and $R_{\mu\nu}$ is obtained:

$$
\mathcal{R}_{\mu\nu} = R_{\mu\nu} + \frac{3}{2} \frac{1}{(f')^2} \nabla_{\mu} f' \nabla_{\nu} f'
$$

$$
- \frac{1}{2} \frac{1}{f'} (\nabla_{\mu} \nabla_{\nu} f' + \nabla_{\nu} \nabla_{\mu} f' + g_{\mu\nu} \Box f'), \quad (32)
$$

where $\square = \nabla_{\rho} \nabla^{\rho}$. For the scalar curvature,

$$
\mathcal{R} = R + \frac{3}{2} \frac{1}{(f')^2} (\nabla_{\mu} f' \nabla^{\mu} f') - 3 \frac{1}{f'} (\Box f'). \tag{33}
$$

Equation [\(32\)](#page-4-1) will be used in Eq. [\(23\),](#page-4-2)

$$
f'(\mathcal{R}, \nabla \mathcal{R}) \mathcal{R}_{\mu\nu} = \kappa T_{\mu\nu} + \frac{1}{2} g_{\mu\nu} f(\mathcal{R}, \nabla \mathcal{R}), \quad (34)
$$

where $T_{\mu\nu}$ is the energy-momentum tensor. Equivalently,

$$
R_{\mu\nu} = \frac{\kappa}{f'} T_{\mu\nu} + \frac{1}{2} g_{\mu\nu} \frac{f}{f'} - \frac{3}{2} \frac{1}{(f')^2} \nabla_{\mu} f'_{\nu} \nabla f' + \frac{1}{2} \frac{1}{f'} (\nabla_{\mu} \nabla_{\nu} f' + \nabla_{\nu} \nabla_{\mu} f' + g_{\mu\nu} \Box f') \tag{35}
$$

or

$$
G_{\mu\nu} = \frac{\kappa}{f'} T_{\mu\nu} - \frac{1}{2} g_{\mu\nu} \left(\mathcal{R} - \frac{f}{f'} \right)
$$

+
$$
\frac{1}{f'} (\nabla_{\mu} \nabla_{\nu} f' - g_{\mu\nu} \Box f')
$$

-
$$
\frac{3}{2} \frac{1}{(f')^2} \left(\nabla_{\mu} f' \nabla_{\nu} f' - \frac{1}{2} g_{\mu\nu} \nabla_{\beta} f' \nabla^{\beta} f' \right), \quad (36)
$$

where $G_{\mu\nu} \equiv R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R$. These are the modified gravitational field equations. It reduces to the GR equations if $f(\mathcal{R}, \nabla \mathcal{R}) = R$.

2. Scalar-vectorial-tensorial theory

We start with scalar and vector fields defined in the Palatini formalism:

$$
S = \int d^4x \sqrt{-g} [f(\mathcal{R}, \nabla \mathcal{R}) + \mathcal{L}_M].
$$

Proceeding exactly as in the metric approach (except that $\mathcal R$ appears instead of $\mathcal R$) a new action S' is obtained as

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$$
S' = \int d^4x \sqrt{-g} [\Phi \mathcal{R} - U(\Phi, \phi_\mu, \nabla_\mu \phi^\mu) + \mathcal{L}_M], \quad (37)
$$

where $\Phi \equiv (\phi - \nabla_{\mu} \phi^{\mu})$ and $U(\Phi, \phi_{\mu}, \nabla_{\mu} \phi^{\mu}) \equiv (\Phi + \phi)$ $\nabla_{\mu} \phi^{\mu} \xi + \phi^{\mu} \xi_{\mu} - f(\xi, \xi_{\mu})$. If $\mathcal R$ is replaced in terms of R , according to Eq. [\(33\),](#page-4-3) then we get, up to a surface term,

$$
S' = \int d^4x \sqrt{-g} \left[\Phi R + \frac{3}{2} \frac{1}{\Phi} \nabla_\mu \Phi \nabla^\mu \Phi - U(\Phi, \phi_\mu, \nabla_\mu \phi^\mu) + \mathcal{L}_M \right].
$$
 (38)

This is a theory that mimics a Brans-Dicke action with $\omega_0 = -\frac{3}{2}$. This result is quite similar to the one obtained for $f(R)$ theories [\[16\]](#page-8-7), where $\frac{\partial f}{\partial R}$ is replaced by $f'(R, \nabla R)$. As in the metric approach, an extra vector field is present.

B. Generalization for higher derivatives

Now the general case $f(\mathcal{R}, \nabla_{\mu} \mathcal{R}, \nabla_{\mu_1} \mathcal{R}, ..., \nabla_{\mu_1} ...$
 $\mathcal{R} \to f(\mathcal{R}, \nabla \mathcal{R}, \nabla \mathcal{R}, \nabla \mathcal{R})$ will be engineed $\nabla_{\mu_n}\mathcal{R}$ = $f(\mathcal{R}, \nabla\mathcal{R}, \nabla^2\mathcal{R}, ..., \nabla^n\mathcal{R})$ will be analyzed.

1. Field equations

In this section, the previous results are generalized to Lagrangians depending on higher derivatives of the curvature. Previously, it was checked that the comparison of $f(R, \nabla R)$ theories with $f(R)$ gravity led to the substitution $\frac{\partial f}{\partial \mathcal{R}} \to \frac{\partial f}{\partial \mathcal{R}} - \nabla_{\gamma} \frac{\partial f}{\partial(\partial_{\gamma} \mathcal{R})}$. The higher order Lagrangian is

$$
S = \int d^4x \sqrt{-g} [f(\mathcal{R}, \nabla_{\mu} \mathcal{R}, \nabla_{\mu_1} \mathcal{R}, \dots, \nabla_{\mu_1} \dots \nabla_{\mu_n} \mathcal{R})
$$

+ $\mathcal{L}_M(g, \psi)].$ (39)

The abbreviation $f(\mathcal{R},\nabla \mathcal{R},\nabla^2 \mathcal{R},\ldots,\nabla^n \mathcal{R})=f(\mathcal{R},\nabla \mathcal{R},\ldots)$ will be used from now on, where there is no risk of confusion.

Variations with respect to $g_{\mu\nu}$, Γ and ψ give results completely analogous to the second order case: The equations of motion are precisely Eqs. [\(23\)](#page-4-2), [\(24\)](#page-4-0) and [\(25\)](#page-4-4) provided that we generalize $f'(\mathcal{R}, \nabla \mathcal{R})$ to $f'(\mathcal{R}, \nabla \mathcal{R})$ as below: $f'(\mathcal{R}, \nabla \mathcal{R}, ...)$ as below:

$$
f'(\mathcal{R}, \nabla_{\rho} \mathcal{R}, \nabla_{\rho_1} \mathcal{R}, ..., \nabla_{\rho_1} ... \nabla_{\rho_n} \mathcal{R})
$$

= $\frac{\partial f}{\partial \mathcal{R}} - \nabla_{\rho} \left(\frac{\partial f}{\partial \nabla_{\rho} \mathcal{R}} \right)$
+ $\cdots + (-1)^n \nabla_{\rho_n} ... \nabla_{\rho_1} \frac{\partial f}{\partial \nabla_{\rho_1} ... \nabla_{\rho_n} \mathcal{R}}.$ (40)

Equations [\(31\),](#page-4-5) [\(32\)](#page-4-1) and [\(33\)](#page-4-3) and all results obtained previously can be directly generalized just by taking f' as the complete functional derivative—Eq. [\(40\).](#page-5-0) Now we turn to the problem of investigating the equivalence of the

 $f'(\mathcal{R}, \nabla \mathcal{R}, \ldots)$ -gravity theories with scalar-multi-tensorial models models.

2. Scalar-multi-tensorial theory

If the scalar, tensorial fields and the potential U are defined as in the metric approach—Eqs. [\(10\)](#page-2-0) and [\(11\)](#page-2-1)—the action integral [\(39\)](#page-5-1) takes the form

$$
S' = \int d^4x \sqrt{-g} \left[\Phi \mathcal{R} - U(\Phi, \phi_\mu, ..., \phi_{\mu_1... \mu_n}, \nabla_\mu \phi^\mu, ..., \nabla_{\mu_n} ... \nabla_{\mu_1} \phi^{\mu_1... \mu_2}) + \mathcal{L}_M \right],
$$
\n(41)

up to surface terms, where Φ and $U(\Phi, \phi_{\mu}, ...)$ are those in Eqs. [\(14\)](#page-3-5) and [\(15\).](#page-3-6) Equation [\(41\)](#page-5-2) describes a theory analogous to a Brans-Dicke theory with $\omega_0 = -\frac{3}{2}$.

$$
S' = \int d^4x \sqrt{-g} \left[\Phi R + \frac{3}{2} \frac{1}{\Phi} \nabla_\mu \Phi \nabla^\mu \Phi \right.- U(\Phi, \phi_\mu, ..., \phi_{\mu_1... \mu_n}, \nabla_\mu \phi^\mu, ..., \nabla_{\mu_n} ... \nabla_{\mu_1} \phi^{\mu_1... \mu_n})+ \mathcal{L}_M \right].
$$

Just like in the metric approach, extra tensorial fields are present establishing a significant difference with respect to Brans-Dicke theory.

The field equations are finally obtained for the scalartensor action:

$$
\Phi G_{\mu\nu} + \frac{3}{2} \frac{1}{\Phi} \left(\nabla_{\mu} \Phi \nabla_{\nu} \Phi - \frac{1}{2} g_{\mu\nu} \nabla_{\rho} \Phi \nabla^{\rho} \Phi \right) \n- (\nabla_{\mu} \nabla_{\nu} \Phi - g_{\mu\nu} \Box \Phi) + \frac{1}{2} g_{\mu\nu} U = \kappa T_{\mu\nu},
$$
\n(42)

$$
R - 3\frac{1}{\Phi}\Box\Phi + \frac{3}{2}\frac{1}{\Phi^2}\nabla_{\rho}\Phi\nabla^{\rho}\Phi - \frac{\partial U}{\partial \Phi} = 0, \qquad (43)
$$

$$
\frac{\partial U}{\partial \phi^{\rho}} - \nabla_{\mu} \frac{\partial U}{\partial (\nabla_{\mu} \phi^{\rho})} = 0, \qquad (44)
$$

$$
\frac{\partial U}{\partial \phi^{\rho_1...\rho_n}} + (-1)^n \nabla_{\mu_n} \dots \nabla_{\mu_1} \frac{\partial U}{\partial (\nabla_{\mu_1} \dots \nabla_{\mu_n} \phi^{\rho_1...\rho_n})} = 0,
$$
\n(45)

$$
\frac{\delta \mathcal{L}_M}{\delta \psi} = 0. \tag{46}
$$

As an opposition to the metric approach, the presence of the kinetic term for Φ does not imply that this field carries dynamics. If one takes the trace of Eq. [\(42\)](#page-5-3) and the resulting expression for R is replaced on Eq. (43) , one finds

. . . SCALAR-MULTI-TENSORIAL EQUIVALENCE FOR HIGHER … PHYSICAL REVIEW D 93, 124034 (2016)

$$
2U - \Phi \frac{\partial U}{\partial \Phi} = \kappa T,
$$

and it is clear that this is a constraint equation for Φ. The same occurs in the context of $f(R)$ theories—see e.g. Ref. [\[16\]](#page-8-7).

IV. APPLICATION: THE STAROBINSKI-PODOLSKY ACTION

The following system will be analyzed:

$$
S = \int d^4x \sqrt{-g} \left[R + \frac{c_0}{2} R^2 + \frac{c_1}{2} \nabla_{\mu} R \nabla^{\mu} R + \mathcal{L}_M \right], \quad (47)
$$

i.e.

$$
f(R, \nabla_{\mu}R) = R + \frac{c_0}{2}R^2 + \frac{c_1}{2} \nabla_{\mu}R \nabla^{\mu}R, \qquad (48)
$$

which implies

$$
f(\xi, \xi_{\mu}) = \xi + \frac{c_0}{2} \xi^2 + \frac{c_1}{2} \xi_{\mu} \xi^{\mu}.
$$

The condition

$$
\det \begin{pmatrix} \frac{\partial^2 f}{\partial \xi^2} & \frac{\partial^2 f}{\partial \xi \partial \xi_\mu} \\ \frac{\partial^2 f}{\partial \xi_\nu \partial \xi} & \frac{\partial^2 f}{\partial \xi_\nu \partial \xi_\mu} \end{pmatrix} = \det \begin{pmatrix} c_0 & 0 \\ 0 & c_1 g^{\mu \nu} \end{pmatrix} \neq 0
$$

is satisfied as long as $c_0 \neq 0$, $c_1 \neq 0$. Under these constraints, the theory from action [\(47\)](#page-6-1) is nonsingular. Starobinski-Podolsky action could be made equivalent to a theory of the type $f(R, \Box R)$ up to a surface term after an integration by parts; however, the resulting $f(R, \Box R)$ theory would be singular.

A. Metric formalism

The tensorial fields ϕ , ϕ^{μ} are

$$
\phi \equiv \frac{\partial f}{\partial \xi} = (1 + c_0 \xi) \Rightarrow \xi = \frac{(\phi - 1)}{c_0},
$$

$$
\phi^{\mu} \equiv \frac{\partial f}{\partial \xi_{\mu}} = c_1 \xi^{\mu} \Rightarrow \xi^{\mu} = \frac{\phi^{\mu}}{c_1},
$$
 (49)

and the potential U is given by

$$
U(\phi, \phi_{\mu}, \phi_{\mu\nu}) = \phi \xi + \phi^{\mu} \xi_{\mu} - f(\xi, \xi_{\mu})
$$

=
$$
\frac{(\phi - 1)^{2}}{2c_{0}} + \frac{\phi^{\mu} \phi_{\mu}}{2c_{1}}.
$$
 (50)

The action integral $S' = S'(\phi, \phi^{\mu}, R, \nabla_{\mu}R)$ is promptly
tained by substituting Eq. (50) into (6). Then, one uses obtained by substituting Eq. [\(50\)](#page-6-2) into [\(6\)](#page-1-4). Then, one uses the definition of Φ , Eq. [\(8\)](#page-1-5), to obtain

$$
S' = \int d^4x \sqrt{-g} \left[\Phi R - \frac{1}{2c_0} (\Phi + \nabla_\mu \phi^\mu - 1)^2 - \frac{\phi^\mu \phi_\mu}{2c_1} + \mathcal{L}_M \right].
$$

By extracting the variation of this action, one gets the field equations as being precisely Eqs. [\(16\)](#page-3-3), [\(17\)](#page-3-4), [\(18\)](#page-3-7) and (20) with Eq. (50) replacing U. Combining the equation of motion obtained in the way described previously, results in the following set of coupled equations for the scalarvectorial part of S' :

$$
-3\Box\Phi - \kappa T - 2\left[\frac{1}{2c_0}(\Phi + \nabla_{\nu}\phi^{\nu} - 1)^2 + \frac{\phi^{\mu}\phi_{\mu}}{2c_1}\right]
$$

$$
+ \Phi\frac{1}{c_0}(\Phi + \nabla_{\beta}\phi^{\beta} - 1) = 0,
$$

$$
\frac{\phi_{\mu}}{c_1} - \nabla_{\mu}\left[\frac{1}{c_0}(\Phi + \nabla_{\gamma}\phi^{\gamma} - 1)\right] = 0.
$$

The action [\(47\)](#page-6-1) can be rewritten, up to surface terms, as

$$
S = \int d^4x \sqrt{-g} \left[R + \frac{c_0}{2} R^2 - \frac{c_1}{2} R \Box R + \mathcal{L}_M \right].
$$
 (51)

This action is singular according to the approach considered here. A similar action was analyzed by Wands in [\[44\]](#page-9-8). In his case, he introduced two scalar fields instead of scalar and vector fields. If the field equations were analyzed in his context, it would be possible to check that the two scalar fields would be dynamical fields [\[48\].](#page-9-9) Here, the field equations indicate that only Φ and ϕ_0 are dynamical quantities while ϕ_i satisfy constraint equations. So, in both cases there are just two additional degrees of freedom, showing the physical consistency between Wands' approach and ours.

B. Palatini formalism

The starting point is

$$
S = \int d^4x \sqrt{-g} \bigg[\mathcal{R} + \frac{c_0}{2} \mathcal{R}^2 + \frac{c_1}{2} \nabla_\mu \mathcal{R} \nabla^\mu \mathcal{R} + \mathcal{L}_M \bigg].
$$

The tensorial fields are defined as above and the calculations lead to the following expression for S' :

$$
S' = \int d^4x \sqrt{-g} \left[\Phi \mathcal{R} - \frac{1}{2c_0} (\Phi + \nabla_{\mu} \phi^{\mu} - 1)^2 - \frac{\phi^{\mu} \phi_{\mu}}{2c_1} + \mathcal{L}_M \right].
$$

Substituting R in terms of R leads to

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$$
S' = \int d^4x \sqrt{-g} \left[\Phi R + \frac{3}{2\Phi} \nabla_\mu \Phi \nabla^\mu \Phi - \frac{1}{2c_0} (\Phi + \nabla_\mu \phi^\mu - 1)^2 - \frac{\phi^\mu \phi_\mu}{2c_1} + \mathcal{L}_M \right]
$$

:

The field equations are specified from [\(48\),](#page-6-3) [\(26\)](#page-4-6) and [\(23\)](#page-4-2)–[\(25\)](#page-4-4) and lead to the following coupled equations for the scalar-vectorial part of S' :

$$
\Phi = \frac{c_0}{\nabla_\beta \phi^\beta - 1} \left[\kappa T - \frac{\phi^\mu \phi_\mu}{c_1} - \frac{1}{c_0} (\nabla_\beta \phi^\beta - 1)^2 \right],
$$

$$
\frac{\phi_\mu}{c_1} - \nabla_\mu \left[\frac{1}{c_0} (\Phi + \nabla_\gamma \phi^\gamma - 1) \right] = 0.
$$

The first equation is a constraint equation for Φ. Therefore, only ϕ_0 satisfies a dynamical equation. This is different from what is obtained in the metric approach where both quantities are dynamical.

C. Generalization: Starobinsky-Podolski-higher-order action

The previous system may be generalized to

$$
S = \int d^4x \sqrt{-g} \bigg[R + \frac{c_0}{2} R^2 + \frac{c_1}{2} \nabla_\mu R \nabla^\mu R
$$

$$
+ \cdots + \frac{c_n}{2} \nabla_{\mu_1} \cdots \nabla_{\mu_n} R \nabla^{\mu_1} \cdots \nabla^{\mu_n} R + \mathcal{L}_M \bigg],
$$

which implies

$$
f(\xi, \xi_{\mu}, ...)
$$

= $\xi + \frac{c_0}{2} \xi^2 + \frac{c_1}{2} \xi_{\mu} \xi^{\mu} + \dots + \frac{c_n}{2} \xi^{\mu_1 ... \mu_n} \xi_{\mu_1 ... \mu_n}.$

The condition

$$
\det H = \det \begin{pmatrix} c_0 & 0 & \cdots & 0 \\ 0 & c_1 g^{\mu\nu} & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & c_n (g^{\mu\nu})^n \end{pmatrix} \neq 0
$$

is satisfied as long as $c_0 \neq 0, c_1 \neq 0, ..., c_n \neq 0$.

The results and conclusions are analogous to the previous one, where the potential takes the form

$$
U = U(\Phi, \phi_{\mu}, ..., \phi_{\mu_1... \mu_n}, \nabla_{\mu} \phi^{\mu}, ..., \nabla_{\mu_n} ... \nabla_{\mu_1} \phi^{\mu_1... \mu_n})
$$

= $\frac{1}{2c_0} (\Phi + \nabla_{\mu} \phi^{\mu}$
+ $\cdots + (-1)^{n+1} \nabla_{\mu_1} ... \nabla_{\mu_n} \phi^{\mu_n... \mu_1} - 1)^2$
+ $\frac{\phi^{\mu} \phi_{\mu}}{2c_1} + \cdots + \frac{\phi^{\mu_1... \mu_n} \phi_{\mu_1... \mu_n}}{2c_n}.$

When considering the second order case, it was seen that by partial integration the higher order term $\nabla_{\mu}R\nabla^{\mu}R$ could be written as $R\Box R$. Hence, one might wonder if it would be possible to proceed in a similar way for the generalized case and obtain an action with only $R\square^n R$ terms. The answer is no: If the term $\nabla_{\mu_1} \nabla_{\mu_2} R \nabla^{\mu_1} \nabla^{\mu_2} R$ is considered, by partial integration it is possible to verify that a Ricci tensor appears, i.e.

$$
\nabla_{\mu_1} \nabla_{\mu_2} R \nabla^{\mu_1} \nabla^{\mu_2} R \n= R \Box^2 R + R \nabla^{\mu_1} (R_{\mu_2 \mu_1} \nabla^{\mu_2} R) + \partial_{\mu} S^{\mu}_{(2)}.
$$
\n(52)

For high order terms the situation is even more complicated because there appears Riemann tensors too. Thus, in the generalized case there is no equivalence between $f(R, \nabla R, ..., \nabla^n R)$ and $f(R, \Box R, ..., \Box^n R)$ theories.

V. FINAL REMARKS

The equivalence of $f(R, \nabla R, ...)$ theories and scalarmulti-tensorial models has been studied in both metric and Palatini formalisms. It has been demonstrated that, besides the scalar field usually obtained in the equivalence of $f(R)$ gravity to scalar-tensor theories, it is also necessary to introduce a tensorial field for each order of derivative of the scalar curvature. Moreover, it has been verified that when defining the scalar field as a functional derivative, only the scalar field Φ is coupled to the scalar curvature. The other tensor fields are minimally coupled to the gravitational field.

Both metric and Palatini approaches show that the scalarmulti-tensorial theory from $f(R, \nabla R, ...)$ gravity is a generalization of Brans-Dicke theory with $\omega_0 = 0$ or $\omega_0 = -\frac{3}{2}$, respectively. Here, beside the scalar field Φ introduced in Brans-Dicke theories, tensorial fields are introduced in Brans-Dicke theories, tensorial fields are also present in the potential U , this being a significant difference from the regular Brans-Dicke approach. In the metric formalism, although no kinetic term for Φ is present in S' , this does not mean that no dynamics is carried by Φ . In the Palatini approach, the opposite situation is found: even in the presence of the kinetic term, a constraint equation is obtained for Φ. These results are known on $f(R)$ gravity and are also valid for $f(R, \nabla R, \ldots)$ theories.

It should be emphasized that $f(R, \nabla R, ...)$ theories are not the same as those coming from $f(R, \Box R, ...)$ Lagrangians [\[44\].](#page-9-8) The former may differ from the last by terms involving the Ricci and Riemann tensors, as shown, for instance, in Eq. [\(52\)](#page-7-1). Nevertheless, in particular cases $f(R, \nabla R, ...)$ gravity may reduce to $f(R, \Box R, ...)$ models by taking appropriate contractions of indexes, e.g. $f(R, \nabla^2 R) = g(R, g^{\mu\nu} \nabla_{\mu} \nabla_{\nu} R)$. When this is the case, the resulting theory is likely to have a singular Hessian matrix so that the formalism developed in this work may not be directly applicable.

The field equations for $f(R)$ and $f(R, \nabla R, ...)$ gravities in the Brans-Dicke form¹ exhibit the same structure under the generalization of f' to a functional derivative. Despite this similarity, almost all generic results of $f(R)$ gravity must be rederived for specific applications such as cosmology and the weak-field limit. An exception occurs with the Ehlers-Geren-Sachs (EGS) cosmology theorem [\[49\]](#page-9-10). The EGS theorem states that if all observers see an isotropic radiation (like CMB) in the Universe then the space-time is isotropic and spatially homogeneous and therefore it is described by the FLRW metric. As shown in Refs. [\[50,51\]](#page-9-11), this theorem is valid for any scalar-tensor theory regardless the potential structure $U = U(\Phi, \phi_u, ..., \nabla_u \phi^u, ...)$. Thus, for any $f(R, \nabla R, ...)$ gravitational theory the description of a universe filled by an isotropic CMB must be necessarily done with the FLRW line element. This fact was actually used in Ref. [\[35\]](#page-9-5) as an attempt to describe dark energy dynamics with a theory coming from an Einstein-Hilbert-Podolsky action of the type $f(R, \nabla_{\mu}R) = R + \frac{c_1}{2} \nabla_{\mu}R \nabla^{\mu}R$.

¹See Ref. [\[16\]](#page-8-7) for $f(R)$ -gravity field equations.

A substantial difference between $f(R)$ and $f(R, \nabla R, ...)$ actions concerns their propagation modes. For example, besides the massless mode, the Starobinsky action has only one massive mode of propagation corresponding to a positive square mass [\[2\].](#page-8-10) On the other hand, Starobinski-Podolsky action presents positive (massive mode), negative (tachyon mode) and complex square masses [\[42\]](#page-9-12). These features lead to important consequences such as instabilities or lack of unitarity and, in principle, they could be used to constrain the physical actions. These aspects are under consideration by the authors.

As a future work, it would be interesting to explore the consequences of $f(R, \nabla R, \ldots)$ gravity to cosmology. Following a program similar to the one developed in the $f(R)$ context [\[16\],](#page-8-7) one might study the general features of $f(R, \nabla R, ...)$ cosmology in both metric and Palatini formalisms. This preliminary investigation would be an important step towards addressing more specific cosmological issues such as the present-day acceleration and the inflationary period.

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