

Exclusive processes with a leading neutron in ep collisionsV. P. Gonçalves,^{1,2} F. S. Navarra,³ and D. Spiering³¹*Department of Astronomy and Theoretical Physics, Lund University, SE-223 62 Lund, Sweden*²*High and Medium Energy Group, Instituto de Física e Matemática,**Universidade Federal de Pelotas Caixa Postal 354, 96010-900 Pelotas, RS, Brazil*³*Instituto de Física, Universidade de São Paulo, C.P. 66318, 05315-970 São Paulo, SP, Brazil*

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In this paper we extend the color dipole formalism to the study of exclusive processes associated with a leading neutron in ep collisions at high energies. The exclusive ρ , ϕ and J/Ψ production, as well as the deeply virtual Compton scattering, are analyzed assuming a diffractive interaction between the color dipole and the pion emitted by the incident proton. We compare our predictions with the HERA data on ρ production and estimate the magnitude of the absorption corrections. We show that the color dipole formalism is able to describe the current data. Finally, we present our estimate for the exclusive cross sections which can be studied at HERA and in future electron-proton colliders.

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I. INTRODUCTION

The study of electron-proton (ep) collisions at HERA has improved our understanding of the structure of the proton as well as about the dynamics of the strong interactions at high energies (for a review see e.g. Ref. [1]). In particular, the study of diffractive processes has been one of the most successful areas at HERA, with vector meson production and deeply virtual Compton scattering (DVCS) in exclusive processes ($\gamma^*p \rightarrow Ep$, with $E = \rho, \phi, J/\Psi, \gamma$), being important probes of the transition between the soft and hard regimes of QCD. These processes have been the subject of intensive theoretical and experimental investigations, with one of the main motivations for these studies being the possibility to probe the QCD dynamics at high energies, driven by the gluon content of the proton which is strongly subject to nonlinear effects (parton saturation) [2]. An important lesson from the analysis of the HERA data at small values of the Bjorken- x variable is that the inclusive and diffractive processes can be satisfactorily described using a unified framework—the color dipole formalism. This approach was proposed many years ago in Ref. [3] and considers that the high energy photon can be described by a color quark-antiquark dipole and that the interaction of the dipole with the target can be described by the color dipole cross section $\sigma_{dt}(x, \mathbf{r})$, with the transverse size of the dipole \mathbf{r} frozen during the interaction process. In this approach all information about the target and strong interaction physics is encoded in $\sigma_{dt}(x, \mathbf{r})$, which is determined by the imaginary part of the forward amplitude of the scattering between a small dipole (a colorless quark-antiquark pair) and a dense hadron target, denoted by $\mathcal{N}(x, \mathbf{r}, \mathbf{b})$, where the dipole has transverse size given by the vector $\mathbf{r} = \mathbf{x} - \mathbf{y}$, with \mathbf{x} and \mathbf{y} being the transverse vectors of the quark and antiquark, respectively, and $\mathbf{b} = (\mathbf{x} + \mathbf{y})/2$ is the impact parameter. In the

color glass condensate (CGC) formalism [4,5], \mathcal{N} contains all the information about nonlinear and quantum effects in the hadron wave function. It can be obtained by solving an appropriate evolution equation in the rapidity $Y \equiv \ln(1/x)$, which in its simplest form is the Balitsky-Kovchegov (BK) equation [5,6]. Alternatively, the scattering amplitude can be obtained using phenomenological models based on saturation physics constructed by taking into account the analytical solutions of the BK equation which are known in the low and high density regimes. As demonstrated in [7], the combination between the color dipole formalism and saturation physics is quite successful to describe the recent and very precise HERA data on the reduced inclusive cross section as well as the data on the exclusive processes in a large range of photon-proton center-of-mass energies W , photon virtualities Q^2 and x values.

HERA has also provided high precision experimental data on semi-inclusive $e + p \rightarrow e + n + X$ processes, where the incident proton is converted into a neutron via a charge exchange [8]. Very recently the first measurements of exclusive ρ photoproduction associated with leading neutrons ($\gamma p \rightarrow \rho^0 \pi^+ n$) were presented [9]. The description of these leading neutron processes is still a theoretical challenge. In particular, the x_L (Feynman momentum) distribution of leading neutrons still does not have a conclusive theoretical description [10–19]. In Ref. [20] we extended the color dipole formalism to leading neutron processes and demonstrated that the experimental data on the semi-inclusive reactions can be well described by this approach. Our goal in this paper is to further extend our previous analysis to exclusive processes and try to show that the color dipole formalism may also provide a unified description of leading neutron processes. Using the same assumptions made in Ref. [20], we compare our predictions with the HERA data on ρ exclusive photoproduction and estimate the contribution of the absorption corrections to

exclusive leading neutron processes. Taking into account these corrections we present predictions for the exclusive ϕ , J/Ψ and γ production associated with a leading neutron in ep collisions at the energies of HERA and future electron-proton colliders.

This paper is organized as follows. In the next section we present a brief review of leading neutron production in ep collisions, and we discuss the treatment of exclusive processes with the color dipole formalism. In Sec. III we analyze the dependence of our predictions on the models of the vector meson wave function, on the pion flux and on the dipole scattering amplitude. A comparison with the recent HERA data on exclusive ρ photoproduction is performed, and predictions for the exclusive ϕ , J/Ψ and γ production associated with a leading neutron in ep collisions for the energies of HERA and of the future electron-proton colliders are presented. Finally, in Sec. IV we summarize our main conclusions.

II. EXCLUSIVE PROCESSES ASSOCIATED WITH LEADING NEUTRON PRODUCTION IN THE COLOR DIPOLE FORMALISM

At high energies, the differential cross section for a given process (semi-inclusive or exclusive) associated with a leading neutron production can be expressed as follows:

$$\frac{d^2\sigma(W, Q^2, x_L, t)}{dx_L dt} = f_{\pi/p}(x_L, t) \sigma_{\gamma^* \pi}(\hat{W}^2, Q^2) \quad (1)$$

where Q^2 is the virtuality of the exchanged photon, W is the center-of-mass energy of the virtual photon-proton system, x_L is the proton momentum fraction carried by the neutron and t is the square of the four-momentum of the exchanged pion. Moreover, $f_{\pi/p}$ is the flux of virtual pions emitted by the proton and $\sigma_{\gamma^* \pi}(\hat{W}^2, Q^2)$ is the cross section of the interaction between the virtual photon and the virtual pion at center-of-mass energy \hat{W} , which is given by $\hat{W}^2 = (1 - x_L)W^2$. The pion flux $f_{\pi/p}(x_L, t)$ (also sometimes called the pion splitting function) is the virtual pion momentum distribution in a physical nucleon (the bare nucleon plus the ‘‘pion cloud’’). In general, it is parametrized as follows [10–19]:

$$f_{\pi/p}(x_L, t) = \frac{1}{4\pi} \frac{2g_{p\pi p}^2}{4\pi} \frac{-t}{(t - m_\pi^2)^2} (1 - x_L)^{1-2\alpha(t)} [F(x_L, t)]^2 \quad (2)$$

where $g_{p\pi p}^2/(4\pi) = 14.4$ is the $\pi^0 pp$ coupling constant, m_π is the pion mass and $\alpha(t)$ is the Regge trajectory of the pion. The form factor $F(x_L, t)$ accounts for the finite size of the nucleon and of the pion and is model dependent. As in Ref. [20], we consider the following parametrizations for the form factor:

$$F_1(x_L, t) = \exp \left[R^2 \frac{(t - m_\pi^2)}{(1 - x_L)} \right], \quad \alpha(t) = 0 \quad (3)$$

from Ref. [11], where $R = 0.6 \text{ GeV}^{-1}$;

$$F_2(x_L, t) = 1, \quad \alpha(t) = \alpha(t)_\pi \quad (4)$$

from Ref. [10], where $\alpha_\pi(t) \simeq t$ (with t in GeV^2) is the Regge trajectory of the pion;

$$F_3(x_L, t) = \exp [b(t - m_\pi^2)], \quad \alpha(t) = \alpha(t)_\pi \quad (5)$$

from Ref. [12], where $\alpha_\pi(t) \simeq t$ (with t in GeV^2) and $b = 0.3 \text{ GeV}^{-2}$;

$$F_4(x_L, t) = \frac{\Lambda_m^2 - m_\pi^2}{\Lambda_m^2 - t}, \quad \alpha(t) = 0 \quad (6)$$

from Ref. [13], where $\Lambda_m = 0.74 \text{ GeV}$;

$$F_5(x_L, t) = \left[\frac{\Lambda_d^2 - m_\pi^2}{\Lambda_d^2 - t} \right]^2, \quad \alpha(t) = 0 \quad (7)$$

also from Ref. [13], where $\Lambda_d = 1.2 \text{ GeV}$. In what follows we denote the corresponding pion flux associated with these different form factors by f_1, f_2, \dots, f_5 , respectively. Moreover, it is important to emphasize that in the case of the more familiar exponential (3), monopole (6) and dipole (7) forms factors, the cutoff parameters have been determined by fitting low energy data on nucleon and nuclear reactions and also data on deep inelastic scattering and structure functions [21].

In Ref. [20], we described the semi-inclusive leading neutron processes in the color dipole formalism. The basic idea is that at high energies, this process can be seen as a sequence of three factorizable subprocesses [see Fig. 1 (left panel)]: (i) the photon fluctuates into a quark-antiquark pair (the color dipole), (ii) the color dipole interacts with the pion, present in the wave function of the incident proton, and (iii) the leading neutron is formed. Consequently, the photon-pion cross section can be factorized in terms of the photon wave functions Ψ , which describes the photon splitting in a $q\bar{q}$ pair, and the dipole-pion cross section $\sigma_{d\pi}$. In the eikonal approximation the dipole-proton cross section $\sigma_{d\pi}$ is given by

$$\sigma_{d\pi}(\hat{x}, \mathbf{r}) = 2 \int d^2\mathbf{b} \mathcal{N}^\pi(\hat{x}, \mathbf{r}, \mathbf{b}), \quad (8)$$

where

$$\hat{x} = \frac{Q^2 + m_f^2}{\hat{W}^2 + Q^2} = \frac{Q^2 + m_f^2}{(1 - x_L)W^2 + Q^2} \quad (9)$$

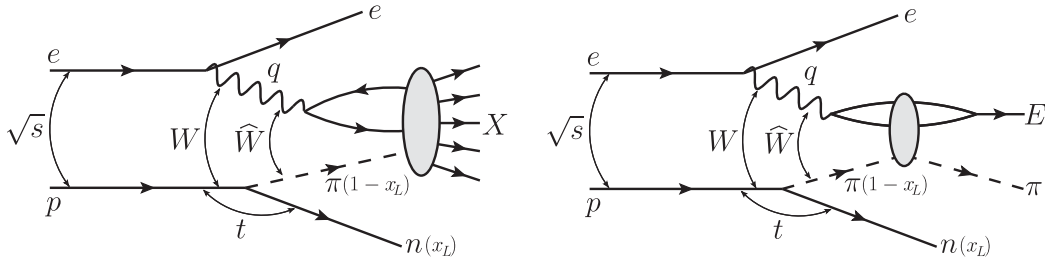


FIG. 1. Semi-inclusive (left panel) and exclusive (right panel) ep processes associated with a leading neutron n production in the color dipole formalism.

is the scaled Bjorken variable and $\mathcal{N}^\pi(x, \mathbf{r}, \mathbf{b})$ is the imaginary part of the forward amplitude of the scattering between a small dipole (a colorless quark-antiquark pair) and a pion, at a given rapidity interval $Y = \ln(1/\hat{x})$. In Ref. [20] we proposed to relate \mathcal{N}^π with the dipole-proton scattering amplitude \mathcal{N}^p , usually probed in the typical inclusive and exclusive processes at HERA, assuming that

$$\mathcal{N}^\pi(\hat{x}, \mathbf{r}, \mathbf{b}) = R_q \cdot \mathcal{N}^p(\hat{x}, \mathbf{r}, \mathbf{b}) \quad (10)$$

with R_q being a constant. In the additive quark model it is expected that $R_q = 2/3$, which is the ratio between the number of valence quarks in the target hadrons. This model was first applied to soft hadronic reactions [22] and, in particular, it predicted the following relation between the pion-proton and proton-proton total cross sections: $\sigma_{\pi p} = 2/3 \sigma_{pp}$. This relation is observed experimentally. It refers to total cross sections, and the only kinematical variable is the center-of-mass system energy \sqrt{s} . In the low energy domain, where it was verified, the dependence on \sqrt{s} was very weak. As it was discussed in Ref. [23], in hard hadronic reactions, where a high energy scale is present, Eq. (10) may still be valid, although deviations from $2/3$ are likely to be seen. The idea is that dipoles with $Q^2 \geq 10 \text{ GeV}^2$ can resolve the quarks in the target and interact with each of them independently. The cross section is then proportional to the number of quarks in the target. At increasing Q^2 and/or collision energies, quantum fluctuations become more important, increasing the effective number of quarks. According to Ref. [23], this growth is stronger in the proton than in the pion and hence $2/3 \rightarrow 1/2$ (or even $1/3$). Here we consider a range of R_q , going from $1/3$ up to $2/3$. As it will be seen, our results imply that if $R_q = 2/3$, the absorption factor K tends to be too small. In view of the existing calculations of K , we would conclude that $R_q = 2/3$ is probably too large. Since the effective value of this quantity is still an open question [16,17,23,24], we have considered in [20] that R_q could be in the range $1/3 \leq R_q \leq 2/3$. With this basic assumption we have estimated the dependence of the predictions on the description of the QCD dynamics at high energies as well as the contribution of gluon saturation effects to leading

neutron production. Moreover, with the parameters constrained by other phenomenological information, we were able to reproduce the basic features of the H1 data on leading neutron spectra [8].

As mentioned in Ref. [20], one source of uncertainty in the study of inclusive leading neutron production (in Fig. 1 on the left) is the fact that there are several processes which lead to the same final state. Apart from one pion emission we may have, for example, ρ emission. Even with pion emission we may have Δ production with the subsequent decay $\Delta \rightarrow n + \pi$. The strength of these contributions is highly model dependent, and their existence prevents us from extracting more precise information on the photon-pion cross section or on the pion flux. In contrast, in ρ exclusive production with a leading neutron, none of these processes contributes to the exclusive reaction shown in the right panel of Fig. 1. This feature makes the leading neutron spectrum measured in exclusive processes a better testing ground for both the determination of the photon-pion cross section and of the pion flux.

In what follows we will assume that the factorization given by Eq. (1) is also valid and that the photon-pion cross section for the production of an exclusive final state E , such as a vector meson ($E = V$) or a real photon in DVCS ($E = \gamma$), in the $\gamma^* \pi \rightarrow E\pi$ process is given in the color dipole formalism by

$$\begin{aligned} \sigma(\gamma^* \pi \rightarrow E\pi) &= \sum_{i=L,T} \int_{-\infty}^0 \frac{d\sigma_i}{d\hat{t}} d\hat{t} \\ &= \frac{1}{16\pi} \sum_{i=L,T} \int_{-\infty}^0 |\mathcal{A}_i^{\gamma^* \pi \rightarrow E\pi}(x, \Delta)|^2 d\hat{t}, \end{aligned} \quad (11)$$

with the scattering amplitude being given by

$$\begin{aligned} \mathcal{A}_{T,L}^{\gamma^* \pi \rightarrow E\pi}(\hat{x}, \Delta) &= i \int dz d^2 r d^2 b e^{-i[\mathbf{b} - (1-z)\mathbf{r}] \cdot \Delta} \\ &\quad \times (\Psi^{E^*} \Psi)_{T,L} 2\mathcal{N}_\pi(\hat{x}, \mathbf{r}, \mathbf{b}) \end{aligned} \quad (12)$$

where $(\Psi^{E^*} \Psi)_{T,L}$ denotes the overlap of the photon and exclusive final state wave functions. The variable z ($1-z$) is the longitudinal momentum fraction of the quark (antiquark), and Δ denotes the transverse momentum lost

by the outgoing pion ($\hat{t} = -\Delta^2$). The variable \mathbf{b} is the transverse distance from the center of the target to the center of mass of the $q\bar{q}$ dipole, and the factor in the exponential arises when one takes into account nonforward corrections to the wave functions [25]. In what follows we will assume that the vector meson is predominantly a quark-antiquark state and that the spin and polarization structure is the same as in the photon [26–29] (for other approaches see, for example, Ref. [30]). As a consequence, the overlap between the photon and the vector meson wave function, for the transversely and longitudinally polarized cases, is given by (for details see Ref. [31])

$$(\Psi_V^* \Psi)_T = \frac{\hat{e}_f e}{4\pi} \frac{N_c}{\pi z(1-z)} \{m_f^2 K_0(\epsilon r) \phi_T(r, z) - [z^2 + (1-z)^2] \epsilon K_1(\epsilon r) \partial_r \phi_T(r, z)\}, \quad (13)$$

$$(\Psi_V^* \Psi)_L = \frac{\hat{e}_f e N_c}{4\pi} \frac{2Qz(1-z)K_0(\epsilon r)}{\pi} \times \left[M_V \phi_L(r, z) + \delta \frac{m_f^2 - \nabla_r^2}{M_V z(1-z)} \phi_L(r, z) \right], \quad (14)$$

where \hat{e}_f is the effective charge of the vector meson, m_f is the quark mass, $N_c = 3$, $\epsilon^2 = z(1-z)Q^2 + m_f^2$ and $\phi_i(r, z)$ define the scalar parts of the vector meson wave functions. We consider the boosted Gaussian and Gauss-LC models for $\phi_T(r, z)$ and $\phi_L(r, z)$, which are largely used in the literature. In the boosted Gaussian model the functions $\phi_i(r, z)$ are given by

$$\phi_{T,L}(r, z) = C_{T,L} z(1-z) \exp \left[-\frac{m_f^2 R^2}{8z(1-z)} - \frac{2z(1-z)r^2}{R^2} + \frac{m_f^2 R^2}{2} \right]. \quad (15)$$

In contrast, in the Gauss-LC model, they are given by

$$\phi_T(r, z) = N_T [z(1-z)]^2 \exp(-r^2/2R_T^2), \quad (16)$$

$$\phi_L(r, z) = N_L z(1-z) \exp(-r^2/2R_L^2). \quad (17)$$

The parameters C_i , R , N_i and R_i are determined by the normalization condition of the wave function and by the meson decay width. In Table I we present the value of these parameters for the vector meson wave functions. It is

important to emphasize that predictions based on these models for the wave functions have been tested with success in ep and ultraperipheral hadronic collisions (see, e.g., Refs. [7,32,33]). In the DVCS case, as one has a real photon in the final state, only the transversely polarized overlap function contributes to the cross section. Summed over the quark helicities, for a given quark flavor f , it is given by [31],

$$(\Psi_\gamma^* \Psi)_T^f = \frac{N_c \alpha_{\text{em}} e_f^2}{2\pi^2} \{ [z^2 + \bar{z}^2] \epsilon_1 K_1(\epsilon_1 r) \epsilon_2 K_1(\epsilon_2 r) + m_f^2 K_0(\epsilon_1 r) K_0(\epsilon_2 r) \}, \quad (18)$$

where we have defined the quantities $\epsilon_{1,2}^2 = z\bar{z}Q_{1,2}^2 + m_f^2$ and $\bar{z} = (1-z)$. Accordingly, the photon virtualities are $Q_1^2 = Q^2$ (incoming virtual photon) and $Q_2^2 = 0$ (outgoing real photon).

Finally, in order to estimate the photon-pion cross section we must specify the dipole-pion scattering amplitude \mathcal{N}^π . As considered in Ref. [20] for the semi-inclusive processes, we assume the validity of the approximation expressed by Eq. (10), with the dipole proton scattering amplitude \mathcal{N}^p being given by the bCGC model, proposed in Ref. [31] and recently updated in Ref. [7], which is based on the CGC formalism and takes into account the impact parameter dependence of the dipole-proton scattering amplitude. As demonstrated in Refs. [7,33], this model is able to describe the vector meson production in ep and ultraperipheral hadronic collisions. In the bCGC model the dipole-proton scattering amplitude is given by [31]

$$\mathcal{N}^p(\hat{x}, \mathbf{r}, \mathbf{b}) = \begin{cases} \mathcal{N}_0 \left(\frac{rQ_s(b)}{2} \right)^{2(\gamma_s + \frac{\ln(2/rQ_s(b))}{\kappa 2Y})} & rQ_s(b) \leq 2 \\ 1 - e^{-A \ln^2(BrQ_s(b))} & rQ_s(b) > 2 \end{cases} \quad (19)$$

with $\kappa = \chi''(\gamma_s)/\chi'(\gamma_s)$, where χ is the LO BFKL characteristic function. The coefficients A and B are determined uniquely from the condition that $\mathcal{N}^p(\hat{x}, \mathbf{r}, \mathbf{b})$, and its derivative with respect to $rQ_s(b)$, is continuous at $rQ_s(b) = 2$. In this model, the proton saturation scale $Q_s(b)$ depends on the impact parameter:

$$Q_s(b) \equiv Q_s(\hat{x}, b) = \left(\frac{x_0}{\hat{x}} \right)^{\frac{1}{2}} \left[\exp \left(-\frac{b^2}{2B_{\text{CGC}}} \right) \right]^{\frac{1}{2\gamma_s}}. \quad (20)$$

TABLE I. Parameters for the boosted Gaussian and Gauss-LC wave functions for the different vector mesons.

Meson	M_V/GeV	m_f/GeV	\hat{e}_f	N_T	C_T	R_T^2/GeV^{-2}	N_L	C_L	R_L^2/GeV^{-2}	R^2/GeV^{-2}
ρ	0.776	0.14	$1/\sqrt{2}$	4.47	0.911	21.9	1.79	0.853	10.4	12.9
ϕ	1.019	0.14	1/3	4.75	0.919	16.0	1.41	0.825	9.7	11.2
J/ψ	3.097	1.4	2/3	1.23	0.578	6.5	0.83	0.575	3.0	2.3

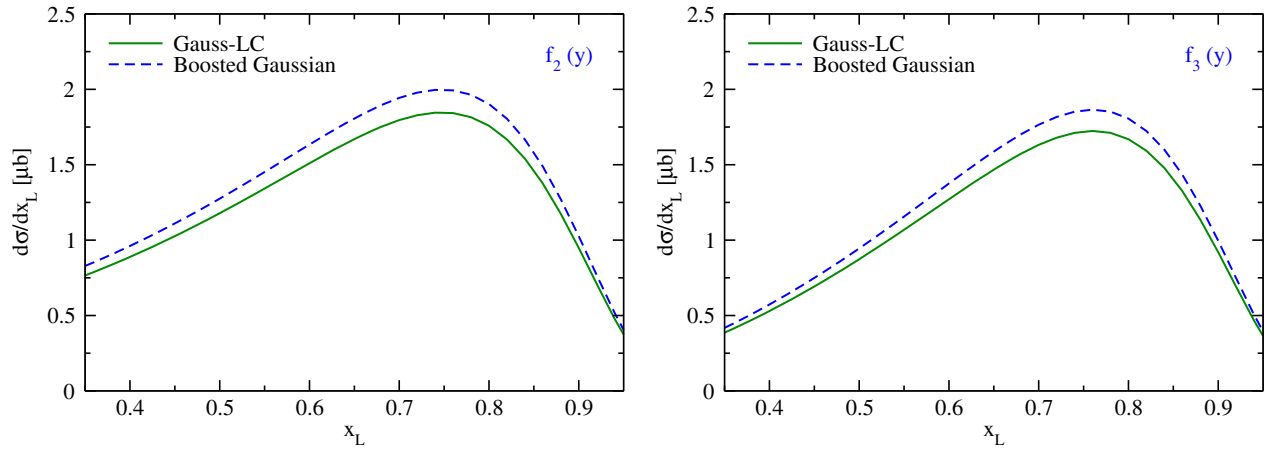


FIG. 2. Leading neutron spectra in exclusive ρ photoproduction considering two different models for the vector meson wave function (boosted Gaussian and Gauss-LC) and two different models for the pion flux (f_2 and f_3).

The parameter B_{CGC} was adjusted to give a good description of the t -dependence of exclusive J/ψ photoproduction. Moreover, the factors \mathcal{N}_0 and γ_s were taken to be free. The set of parameters which will be used here is the following: $\gamma_s = 0.6599$, $\kappa = 9.9$, $B_{\text{CGC}} = 5.5 \text{ GeV}^{-2}$, $\mathcal{N}_0 = 0.3358$, $x_0 = 0.00105$ and $\lambda = 0.2063$. Moreover, in order to estimate the dependence of our predictions on the choice of the model for \mathcal{N}^p , we also consider the IIMS [34,35] and GBW [36] models, as well as the numerical solution of the BK equation obtained in Ref. [37]. Such models were discussed in detail in Ref. [20]. For these models, we assume $\mathcal{N}^p(\hat{x}, \mathbf{r}, \mathbf{b}) = \mathcal{N}^p(\hat{x}, \mathbf{r})S(\mathbf{b})$ and $\sigma_{dp}(\hat{x}, \mathbf{r}) = \sigma_0 \cdot \mathcal{N}^p(\hat{x}, \mathbf{r})$, with the normalization of the dipole cross section (σ_0) being fitted to data, and that the \hat{t} -dependence of the photon-pion cross section can be approximated by an exponential ansatz, $d\sigma/d\hat{t} = d\sigma/d\hat{t}(\hat{t} = 0) \cdot e^{-B|\hat{t}|}$, with the slope being given by $B = \sigma_0/4\pi$. It is important to emphasize that the conclusions obtained in [20] are not modified if the bCGC model is used as input in the calculations.

Before discussing our results, a comment is in order. As in the semi-inclusive case, our predictions for the exclusive processes associated with a leading neutron are essentially parameter free, depending only on the choices of the models for the pion flux and on the dipole scattering amplitude. The main uncertainties are associated with the choice of R_q [in Eq. (10)] and the magnitude of the absorption effects which can arise by soft rescatterings. These latter are difficult to calculate [18,19] but are expected to modify, almost uniformly, all the x_L spectrum of the leading neutrons. As in Ref. [20], in what follows we assume that these effects can be mimicked by a factor K , which multiplies the right side of Eq. (1), changing the normalization of the spectra and which should be estimated from the analysis of experimental data. In spite of the efforts made in several studies of absorptive corrections in semi-inclusive processes [16–19,23,38], the magnitude of

these effects in exclusive processes remains an open question.

III. RESULTS

Let us start our analysis by considering the exclusive ρ photoproduction associated with leading neutrons as analyzed by the H1 Collaboration [9]. In what follows we assume that $W = 60 \text{ GeV}$, $Q^2 = 0.04 \text{ GeV}^2$ and that $p_T < 0.2 \text{ GeV}$, where p_T is the transverse momentum of the leading neutron. Moreover, we assume initially that $R_q = 2/3$ and the bCGC dipole model. In Fig. 2 we analyze the dependence of our predictions on the choice of the vector meson wave function. We present our results for two different models of the pion flux. We find that the predictions are similar, with the Gauss-LC results being a lower bound. This conclusion is also valid for other models of the pion flux and for the ϕ and J/Ψ production. Consequently, in what follows we consider only the

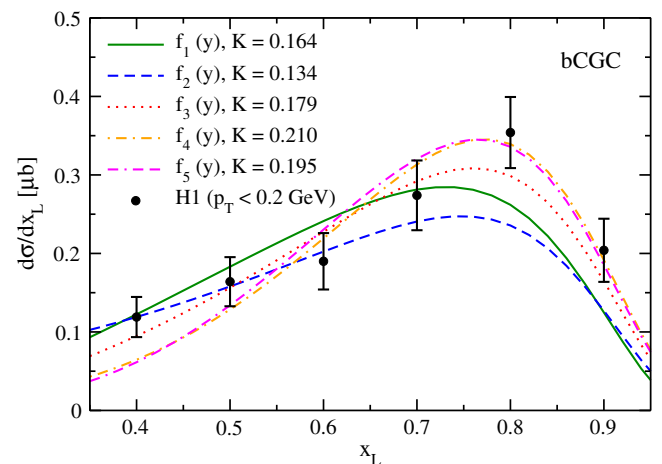


FIG. 3. Leading neutron spectra in exclusive ρ photoproduction considering different models of the pion flux. Data from Ref. [9].

Gauss-LC model for the vector meson wave functions. Let us now compare our predictions with the experimental data [9], considering different models for the pion flux. In order to constrain the value of the K factor associated with absorptive corrections, our strategy is the following: for a given model of the pion flux, R_q and dipole cross section, we estimate the total cross section. The value of K will be the value necessary to make our prediction consistent with the H1 data [9].

In Fig. 3 we present our predictions for the leading neutron spectra in exclusive ρ photoproduction considering different models for the pion flux. The corresponding K values are also presented. We obtain a reasonable agreement with the experimental data, with K values in the range $0.134 < K < 0.210$. It is important to emphasize that these values of K are strongly correlated with our choice for R_q . For example, if instead of $R_q = 2/3$ we assume $R_q = 1/3$, the corresponding K values should be multiplied by 4, since the exclusive cross sections depend quadratically on the dipole scattering amplitude. If we assume *a priori* that the magnitude of the absorptive correction factor is of the order of 0.7 for exclusive processes, as predicted in [19] for semi-inclusive ones, this implies a preference for the value $R_q = 1/3$. However, as the magnitude of these corrections for exclusive processes is still an open question, as well as the value of R_q , we refrain from drawing strong conclusions. Therefore, in what follows we only present results assuming $R_q = 2/3$, but the reader should keep in mind the quadratic correlation between K and R_q , implying that the same fits could be obtained with much bigger values of K and smaller values of R_q . With more data on different processes with leading neutron production, it may be possible to disentangle K from R_q . It is interesting to notice that leading neutron production is dominated by pion emission from the proton, i.e., $p \rightarrow \pi + n$. In all existing theoretical approaches this pion is soft and takes only a

small fraction of the incoming proton energy, leaving the neutron with most of it. This is the physical reason for the peak seen at $x_L \simeq 0.75$ in the x_L spectrum of the leading neutron.

In Fig. 4 we analyze the dependence of our predictions on the choice of the dipole scattering amplitude for two different models of the pion flux. As done before, we constrain the value of K by adjusting the predictions of the different dipole models to the experimental value of the total cross section. We find that the different predictions for the x_L spectra are very similar. However, the effective value of the absorptive correction K depends on the model of the dipole scattering amplitude as expected, since they predict different values for the B slope, which determines the normalization of the photon-pion cross section. Figures 3 and 4 are, in a sense, complementary, since what changes in the former (the flux factor) is kept fixed in the latter (where the dipole model is changed) and vice versa. In each curve the overall constant KR_q^2 is chosen so as to bring our calculations as close as possible to the experimental points. Comparing the curves we can conclude that the shape of the leading neutron spectrum is much more sensitive to the flux factor than to the dipole scattering amplitude \mathcal{N} . The normalization of the spectrum is hence determined by K and R_q , since \mathcal{N} is fixed from the analysis of other data. The values used for K are significantly smaller than those found in theoretical estimates. Larger values of K would be more plausible, implying a deviation from the valence quark scaling and the consequent change in the factor $2/3$. A comparison between the curves in Fig. 4 favors the choice of the pion flux f_3 , which, unlike f_2 , contains a t -dependent form factor. A similar preference was found in Ref. [39], where a combined analysis of E866 and HERA data was performed.

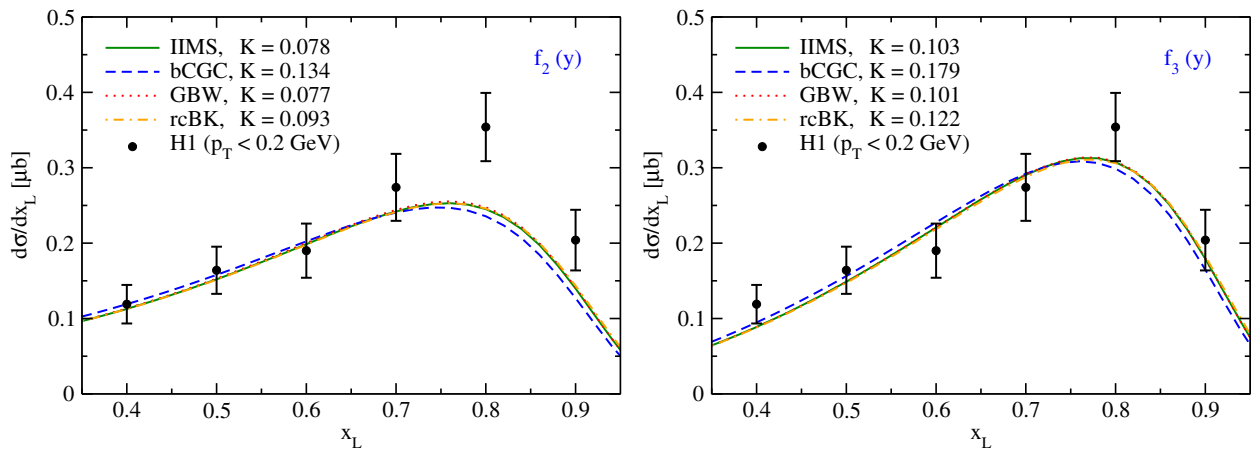


FIG. 4. Leading neutron spectra in exclusive ρ photoproduction considering different models for the dipole scattering amplitude and the pion flux. Data from Ref. [9].

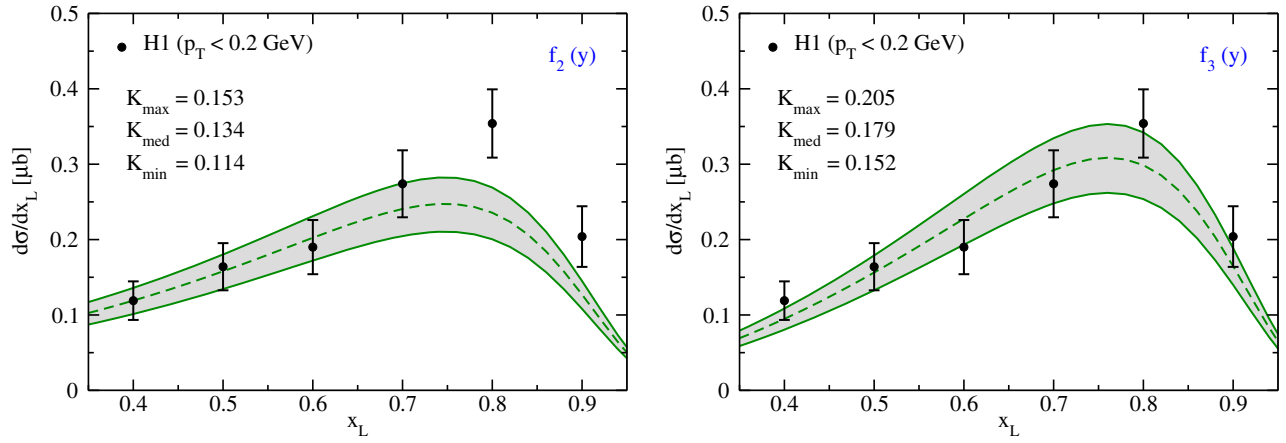


FIG. 5. Leading neutron spectra in exclusive ρ photoproduction obtained by considering the possible range of values of the K factor and two models for the pion flux. Data are from Ref. [9].

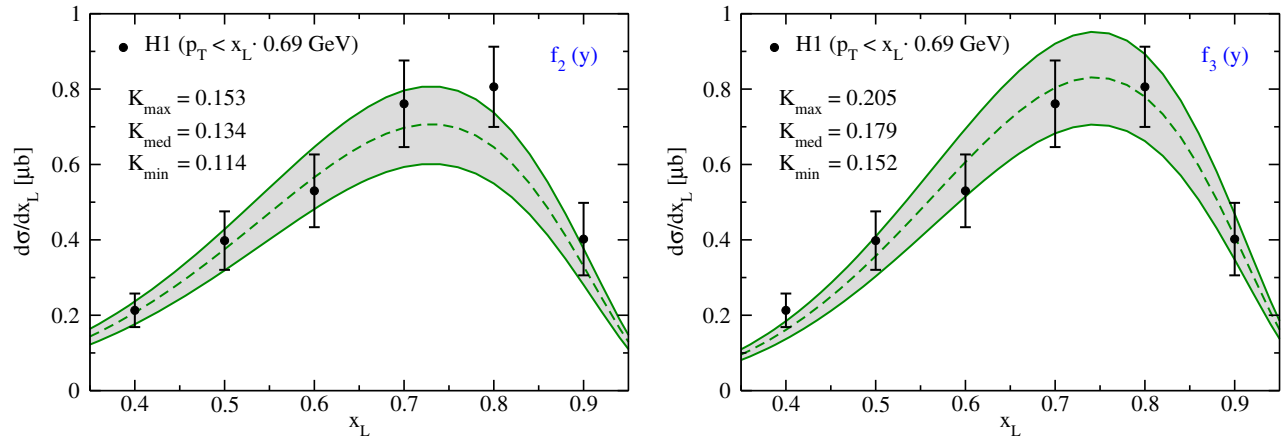


FIG. 6. Leading neutron spectra in exclusive ρ photoproduction obtained by considering the possible range of values of the K factor fixed using the other set of experimental data and two models for the pion flux. H1 data [9] are obtained by assuming that $p_T < 0.69 \cdot x_L$ GeV.

In what follows we only consider the bCGC model, which successfully describes the HERA data on exclusive processes. In Fig. 5 we present our predictions, taking into account the experimental uncertainty present in the H1 data for the total cross section [9], which in our analysis translates into a range of possible values for the K factor. These results indicate that the experimental data are better described using the pion flux $f_3(y)$. As a cross-check of our results, we can compare our predictions with other H1 data obtained by assuming $p_T < 0.69 \cdot x_L$ GeV. Assuming the same range of values for K obtained in Fig. 5, we can see in Fig. 6 that our predictions describe these data quite well.

Considering that the main input of our calculations has been fixed by the experimental data on exclusive ρ photoproduction, we can extend our analysis to other exclusive final states. We assume the Gauss-LC model for the vector meson wave function, the bCGC dipole scattering amplitude, the f_3 model for the pion flux and the same K values

needed to describe the ρ data. Initially, let us consider the kinematical range probed by HERA. As in the ρ case, we assume $W = 60$ GeV and $p_T < 0.2$ GeV. However, for ϕ and J/Ψ production we assume $Q^2 = 0.04$ GeV², while for the DVCS we consider that $Q^2 = 10$ GeV². The corresponding predictions for the leading neutron spectra in exclusive ϕ and J/Ψ production as well as in DVCS are presented in Fig. 7. For the HERA kinematical range we predict $\sigma(\gamma p \rightarrow \phi \pi n) = 25.47 \pm 3.70$ nb, $\sigma(\gamma p \rightarrow J/\Psi \pi n) = 0.22 \pm 0.03$ nb and $\sigma(\gamma^* p \rightarrow \gamma \pi n) = 0.008 \pm 0.001$ nb, where the uncertainty is estimated by taking into account the range of possible values of K . Finally, let us present our predictions for the kinematical range which may be probed in future ep colliders assuming $p_T < 0.2$ GeV. In Figs. 8 and 9 we show our results for the energy and photon virtualities, respectively. As expected, the leading neutron spectrum increases with the energy at

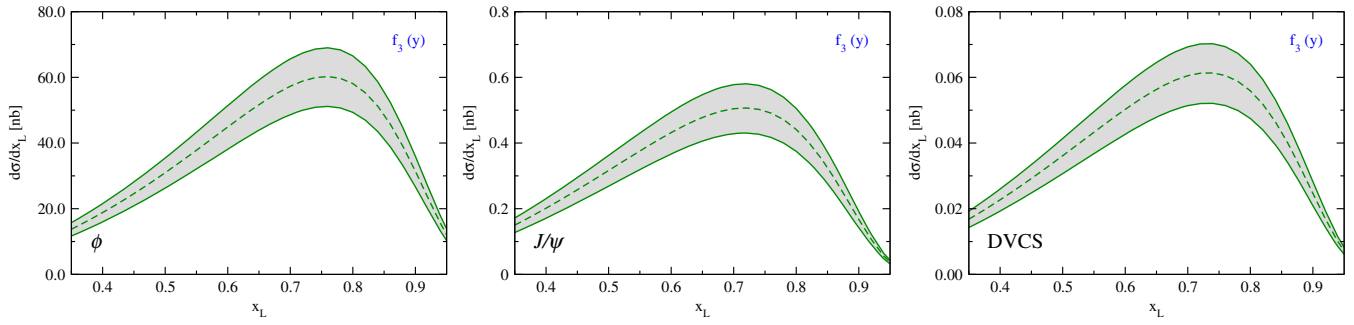


FIG. 7. Predictions for the leading neutron spectra in exclusive ϕ , J/Ψ and DVCS production in the HERA kinematical range: $W = 60$ GeV and $p_T < 0.2$ GeV.

fixed Q^2 and decreases with the virtuality at fixed W . In particular, for $W = 1$ TeV and $Q^2 = 5$ GeV² we predict $\sigma(\gamma^*p \rightarrow \rho\pi n) = 6.55 \pm 0.95$ nb, $\sigma(\gamma^*p \rightarrow \phi\pi n) = 1.71 \pm 0.25$ nb, $\sigma(\gamma^*p \rightarrow J/\Psi\pi n) = 1.20 \pm 0.17$ nb and $\sigma(\gamma^*p \rightarrow \gamma\pi n) = 0.16 \pm 0.02$ nb. We believe that for these values of total cross sections, the experimental analysis of the exclusive processes associated with a leading neutron is feasible in future ep colliders. In particular, as the cross sections strongly increase when $Q^2 \rightarrow 0$, the

analysis of the vector meson photoproduction in ep collisions can be useful to understand leading neutron spectra, which are of crucial importance in particle production in cosmic ray physics. Another possibility is the study of this process in ultraperipheral hadronic collisions, with the leading neutron being a tag for exclusive production. In principle, these processes can be studied in the future at the LHC. Such a proposition will be discussed in detail in a forthcoming publication.

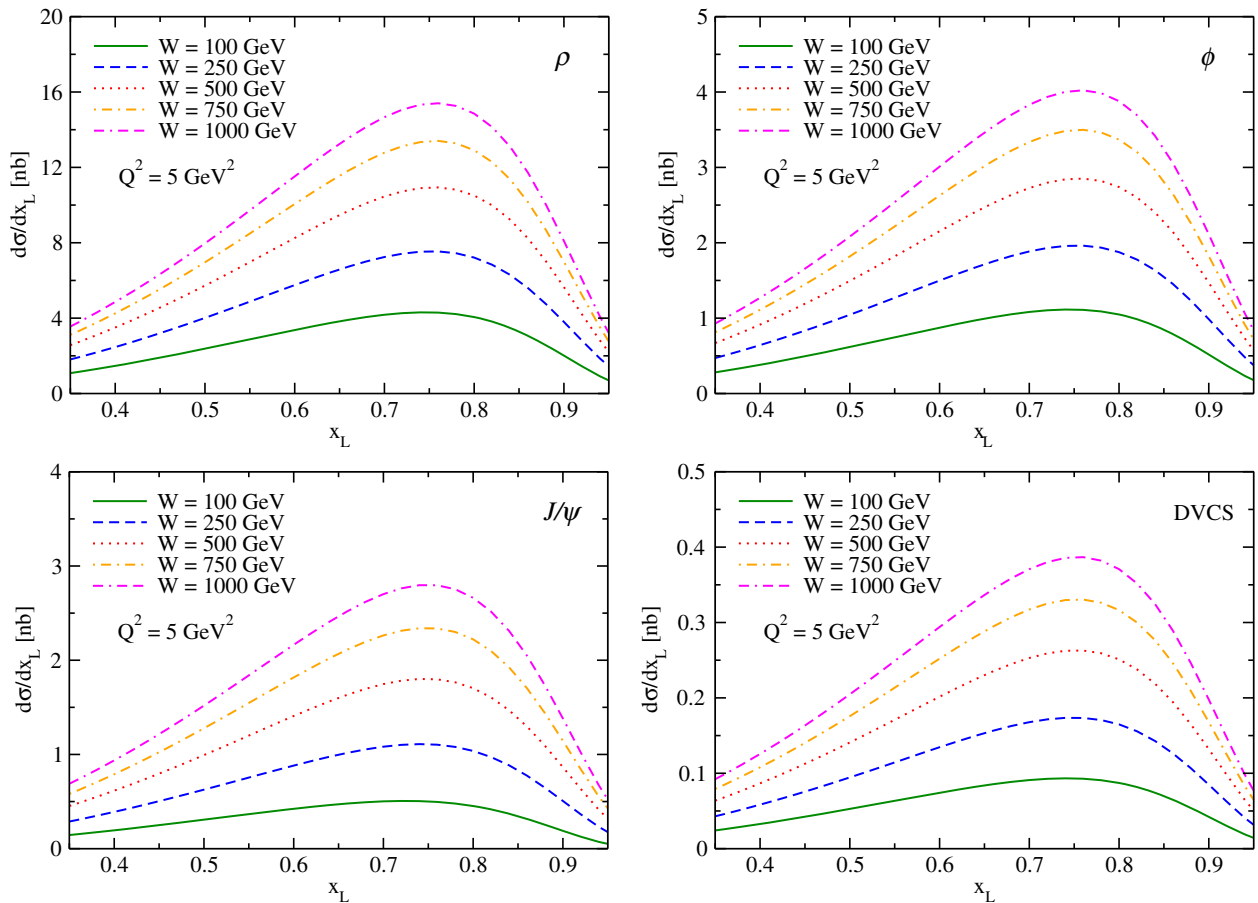


FIG. 8. Energy dependence of the leading neutron spectra in exclusive ρ , ϕ , J/Ψ and DVCS production in the kinematical range of the future ep colliders ($Q^2 = 5$ GeV²).

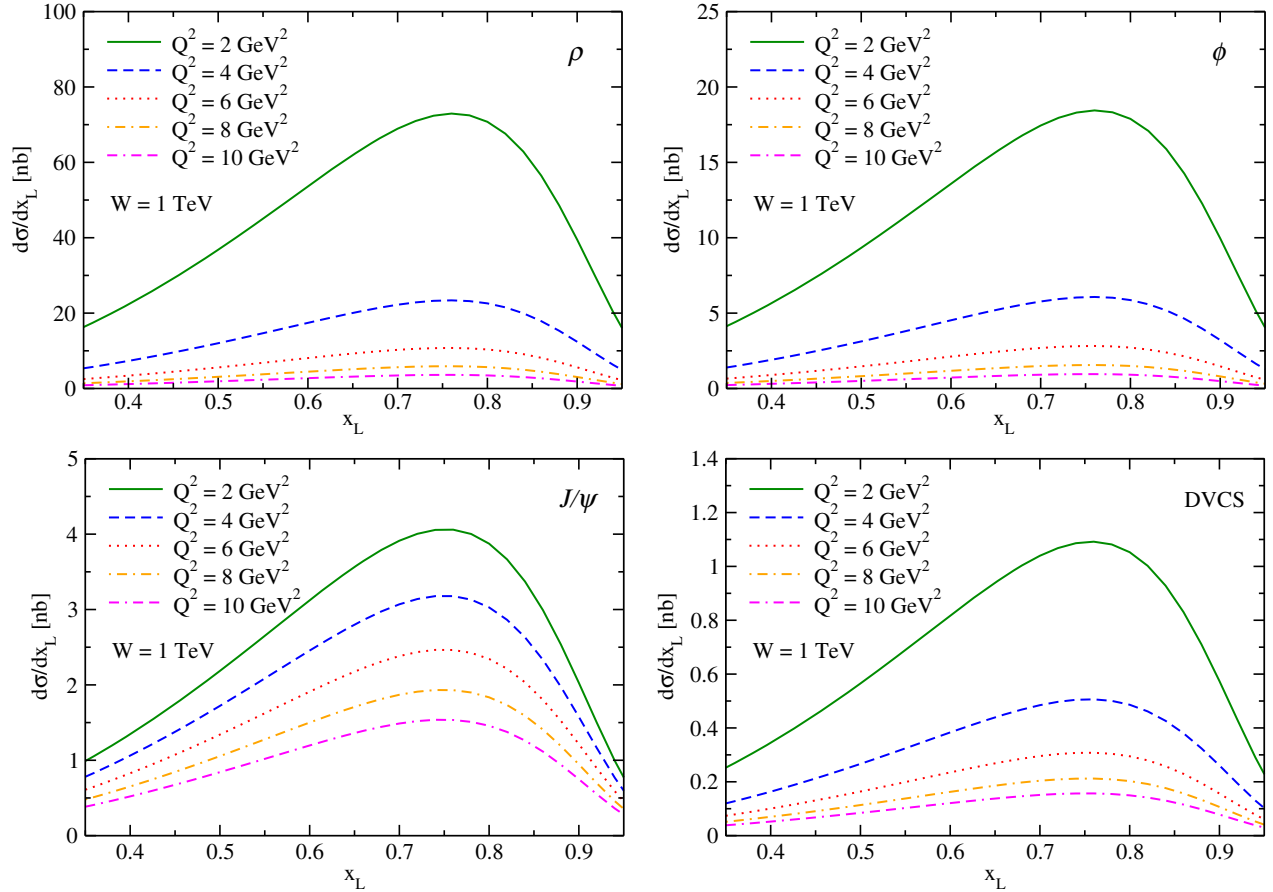


FIG. 9. Dependence on the virtuality of the leading neutron spectra in exclusive ρ , ϕ , J/Ψ and DVCS production in the kinematical range of the future ep colliders ($W = 1$ TeV).

IV. SUMMARY

One of the important goals in particle physics is to understand the production of leading particles, i.e., the production of baryons which have large fractional longitudinal momentum ($x_L \geq 0.3$) and the same valence quarks (or at least one of them) as the incoming particles. Recent measurements of leading neutron spectra in ep collisions at HERA have shed new light on this subject. However, the description of the semi-inclusive and exclusive leading neutron processes still does not have a satisfactory theoretical description. In a previous work [20], we proposed studying semi-inclusive leading neutron production using the color dipole formalism, which successfully describes both inclusive and diffractive HERA data, taking into account the QCD dynamics and its nonlinear effects, which are expected to be present at high energies. Making use of very simple assumptions about the relation between the dipole-pion and the dipole-proton scattering amplitudes and about the absorptive corrections, we demonstrated that the semi-inclusive data can be described by the dipole formalism and that Feynman scaling is expected at high energies. In this paper we have extended our analysis to

exclusive processes associated with a leading neutron. Considering the same assumptions used for the semi-inclusive case, we have analyzed in detail the dependence of our predictions on the choices of the vector meson wave function, of the dipole model and of the pion flux. We demonstrated that the HERA data on the exclusive ρ photoproduction associated with a leading neutron can be quite well described by the color dipole formalism. Assuming the validity of this approach, we have presented, for the first time, predictions for the exclusive ϕ , J/Ψ and γ production in ep collisions for the energies of HERA and future colliders. Our results indicate that the experimental analysis of these processes is feasible and that they can be used to understand this long-standing problem in high energy physics.

Finally, it is important to emphasize that the current sources of uncertainties in the computation of leading neutron spectra are as follows: (i) the strength of the absorptive corrections represented by the factor K , (ii) the validity of the additive quark model for the photon-pion cross section, (iii) the strength of the contribution from direct fragmentation of the proton into neutrons, (iv) the precise

form of the pion flux, and (v) the precise form of the dipole cross section. With sufficient experimental information, we can rule out candidates of the pion flux and of the photon-pion cross section. We believe that it is possible to constrain the unknown numbers and assumptions with the help of more experimental data on other processes with tagged leading neutrons, such as those on D^* production [40] and

those with dijet production [41]. Work along this line is in progress.

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