

# Extraction of quark transversity distribution and Collins fragmentation functions with QCD evolution

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We study the transverse-momentum-dependent (TMD) evolution of the Collins azimuthal asymmetries in  $e^+e^-$  annihilations and semi-inclusive hadron production in deep inelastic scattering processes. All the relevant coefficients are calculated up to the next-to-leading-logarithmic-order accuracy. By applying the TMD evolution at the approximate next-to-leading-logarithmic order in the Collins-Soper-Sterman formalism, we extract transversity distributions for  $u$  and  $d$  quarks and Collins fragmentation functions from current experimental data by a global analysis of the Collins asymmetries in back-to-back dihadron productions in  $e^+e^-$  annihilations measured by BELLE and BABAR collaborations and semi-inclusive hadron production in deep inelastic scattering data from HERMES, COMPASS, and JLab HALL A experiments. The impact of the evolution effects and the relevant theoretical uncertainties are discussed. We further discuss the TMD interpretation for our results and illustrate the unpolarized quark distribution, transversity distribution, unpolarized quark fragmentation, and Collins fragmentation functions depending on the transverse momentum and the hard momentum scale. We make detailed predictions for future experiments and discuss their impact.

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## I. INTRODUCTION

The transversity distribution function is one of the three leading-twist quark distributions of a nucleon that describe completely a spin-1/2 nucleon [1–4]. Different from the other two, unpolarized and helicity distributions, the quark transversity is difficult to measure in experiment because of its chiral-odd nature [2]. In order to study it in a physical process, one has to couple it to another chiral-odd function. The first moments (integral over the longitudinal momentum fraction) of the quark transversity distributions lead to the quark contributions to the nucleon tensor charge, which is a fundamental property of the nucleon.

An important channel to investigate the quark transversity distribution is to measure the Collins azimuthal spin asymmetries in semi-inclusive hadron production in deep inelastic scattering (SIDIS) [5]. Measurements have been made by the HERMES Collaboration [6,7], the COMPASS Collaboration [8], and JLab HALL A [9] experiments. However, the extraction of the quark transversity distributions requires knowledge of the Collins fragmentation functions, which are different from the usual unpolarized fragmentation functions. It was further suggested to measure the Collins fragmentation functions from the azimuthal angular asymmetries of two back-to-back hadron

productions in  $e^+e^-$  annihilations [10]. Recently both the BELLE and BABAR collaborations have studied these asymmetries at the B-factories at a center of mass energy around  $\sqrt{s} \approx 10.6$  GeV [11–13]. Thanks to the universality of the Collins fragmentation functions [14], we will be able to combine the analyses of these two processes to constrain the quark transversity distributions. The effort to extract

the transversity distributions and Collins fragmentation functions has been carried out by the Torino-Cagliari-JLab group extensively in the last few years [15–17]. Transversity coupled to the so-called dihadron interference fragmentation functions is employed to study transversity in its collinear version in Ref. [18]. These results have demonstrated the powerful capability of the Collins asymmetry measurements in constraining the quark transversity distributions and hence the nucleon tensor charge in high energy scattering experiments. In this study we will implement, for the first time, the appropriate QCD evolution for the phenomenological studies of Refs. [15–17] and thus improve significantly our understanding of transversity distribution and Collins fragmentation functions. We will also show the consistency with previous phenomenological results. A brief summary of our results has been published in Ref. [19].

The appropriate QCD evolution for these low transverse-momentum hard processes is the so-called transverse-momentum-dependent (TMD) evolution, which follows from factorization theorems and has been well developed in recent years, following the pioneering works by Collins-

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Soper-Sterman (CSS) [20,21]. In particular, the Collins 2011 formalism of Ref. [22] constructs a well-defined universal Transverse Momentum Dependent distribution (TMD) that “absorbs” effects of soft gluon radiation which was traditionally signed out in a separate factor in Refs. [20,21] and defines a hard part function that contains the process dependence. This allows for an explicit universality of the TMD evolutions in the phenomenological applications of the hard scattering processes mentioned above.

The TMD evolution effects in the Collins asymmetries in the above processes have been estimated in Refs. [23]. The TMD factorization is an important step to derive the results for the physical observables we are interested in and has been shown to be valid for processes with two separate measured momentum scales  $Q_T \ll Q$ , such as SIDIS, Drell-Yan and  $e^+e^-$  annihilation into back-to-back hadrons. Here the small scale  $Q_T$  corresponds to the measured transverse momentum of, for instance, produced hadron in SIDIS or lepton pair in Drell-Yan. TMD factorization is formulated in such a way that one can calculate cross sections up to the values  $Q_T \sim \Lambda_{\text{QCD}}$  and thus incorporates nonperturbative information on the hadron structure. Schematically the TMD factorization expresses the transverse-momentum-dependent differential cross section as a convolution of a so-called hard part  $H$ , which is specific for the process and thus process dependent, and universal TMD parton distributions and/or TMD parton fragmentation functions, collectively called TMDs. These TMDs are universal (for the “naively time reversal odd” functions such as the Sivers function [24,25] and Boer-Mulders function [26], the universality is generalized [27,28]) and can be associated with properties of specific hadrons. In this sense TMDs represent the three-dimensional partonic structure of the incoming nucleons as well as outgoing hadrons. Evolution equations are used to calculate the dependence of TMDs on the hard scale  $Q$ . Since the definition of TMDs contains the so-called light-cone singularity [20], the detailed calculations depend on the scheme to regulate this singularity [20–22,29–35], which leads to the scheme dependence in the TMD factorization. Although there are different ways to formulate the TMD factorization and to define the TMD distribution and fragmentation functions, the energy evolution (historically called “resummation”) for the *physical observables* (including the transverse-momentum-dependent differential cross sections and spin asymmetries) will take the same form in all schemes. In particular, after solving the evolution equations, the final results are identical to each other in all TMD factorization schemes, where the TMDs are expressed in terms of their collinear counterparts with perturbatively calculable coefficients, and the evolution effects are included in the exponential factor—the so-called Sudakov-like form factors [36]. Therefore, in terms of a phenomenological study, all

TMD factorization and evolution calculations will be identical to that originally proven in the form of CSS [21]. Interpretation of results and individual functions depends of course on the scheme, and one should be very careful when giving interpretations.

TMD evolution is performed in coordinate  $b$  space, where  $b$  is conjugate to the  $k_\perp$  in momentum space through the Fourier transformation and corresponds to the transverse distance separating the quark/gluon fields. The usage of  $b$  space highly simplifies the expressions for the cross sections which become simple products of  $b$ -dependent TMDs in contrast to convolutions in  $k_\perp$  space. In order to calculate the measured cross sections (and individual TMDs) one performs a two-dimensional Fourier transform to the physical  $Q_T$  (or  $k_\perp$ ) space. A very unique feature of TMD/CSS formalism is the fact that the evolution kernel becomes nonperturbative at large separation distances  $b$ ; while at small  $b \ll 1/\Lambda_{\text{QCD}}$  it is perturbative and can be calculated order by order in strong coupling constant  $\alpha_s(1/b)$ . Over short transverse distance scales,  $1/b$  becomes a legitimate hard scale, and the  $b$  dependence of TMDs can be calculated in perturbation theory and related to their *collinear* counterparts, such as collinear parton distribution (PDFs), fragmentation functions (FFs), or multiparton correlation functions. The important nonperturbative part of the so-called soft factor that corresponds to the vacuum expectation value of Wilson loops is predicted [22] to be process independent, soft factor is also universal for distribution and fragmentation TMD and independent of the particular value of momentum fractions  $x_B$  or  $z_h$  measured. It may depend on the parton type, quark, or a gluon; in this paper we are going to consider only quark distribution and fragmentation TMDs. The information on the intrinsic nonperturbative motion of partons associated with the hadron wave function is encoded in nonperturbative inputs for TMD PDFs and FFs and in turn universal in different processes but in principle dependent on the parton/hadron type and on the value of  $x_B$  or  $z_h$ .

The implementation of the TMD formalism requires parametrization of the nonperturbative inputs [37–43] for the TMDs. The growth of  $\alpha_s(1/b)$  at large values of  $b$  can be tamed by the so-called  $b_*$  prescription (which we will follow in this paper) originally introduced in the CSS formalism [21] that allows one to avoid the Landau pole in the strong coupling constant and provides a smooth transition from perturbative to nonperturbative regimes. Fits of experimental data utilizing the  $b_*$  prescription have been well developed in the literature, in particular, in the publications of the Brock-Landry-Nadolsky-Yuan (BLNY) type of parametrizations [37,44]. Other choices have been made in the literature; see, for example, Refs. [38,45–48]. However, in all these implementations of the TMDs in the CSS formalism, an important step is to verify that they provide a robust method of treating nonperturbative physics

and can describe well the existing experimental data [39,41].

For the Collins asymmetries studied in this paper, we extend the CSS formalism to the azimuthal angular asymmetries and in the relevant hard processes. This involves the Collins-Soper (CS) evolution equation for the  $k_{\perp}$ -odd distribution and fragmentation functions, which were derived in Refs. [40,49–54]. In our calculations, we apply the TMD evolution at the approximate next-to-leading-logarithmic order (NLL) as specified below. The formalism follows the CSS procedure for the unpolarized cross section and is similar to that of Sivers asymmetries in SIDIS and Drell-Yan processes [40–42,55]. We will derive the perturbative coefficients at one-loop order as well.

There exists a freedom (scheme dependence) to separate out the so-called hard factor from the splitting function contribution in the CSS formalism [46]. This provides a useful way to interpret the final results in terms of the TMDs [22,56,57]. It allows one to interpret a part of the splitting functions in CSS as a universal TMDs splitting functions, and the difference in the coefficients can be regarded as a part of hard factors. Once rigorously defined, we shall have a unique interpretation of the CSS formalism in terms of TMDs. We will elaborate this interpretation in detail in our paper.

In applying the CSS evolution at the NLL order, we relate transversity TMD and Collins FF to the collinear quark transversity distribution and the collinear twist-3 fragmentation function and include the Dokshitzer-Gribov-Lipatov-Altarelli-Parisi (DGLAP)-type scale evolution of the latter two collinear distributions. The evolution of the transversity distribution is very well known [58–61], while the evolution of the twist-3 fragmentation function involves multiparton correlation functions [52,62], as a common feature of higher-twist correlation functions. In the following calculations, we will only keep the homogenous terms in the splitting kernel, which is an approximation to the complete evolution equation. To differentiate from the complete NLL computation, we denote it as NLL' (an approximate NLL). To achieve this precision, we include the most recent developments from both the theory and phenomenology sides [22,40–42,44,52,54,62–64].

The quark transversity distributions are important ingredients for several other spin related asymmetries. For example, they contribute to the azimuthal asymmetries of two-hadron fragmentation processes in SIDIS and  $e^+e^-$  annihilations [65] and single inclusive hadron production at large transverse momentum in  $pp$  collisions [66–68]. Future Relativistic Heavy Ion Collider measurements [69] are going to explore more phenomena related to the transverse spin and ultimately to the partonic three-dimensional structure of the nucleon. Our results will provide important cross checks and a step further toward a global analysis to all these spin asymmetries associated with the quark transversity distributions.

The rest of the paper is organized as follows. In Sec. II, we review the theoretical framework for the Collins azimuthal asymmetries in SIDIS and  $e^+e^-$  annihilations and derive the associated TMD evolution results and the relevant perturbative coefficients. We also reformulate the resummation formalism in an appropriate way to better connect to the recently developed TMD formalism in Sec. III F. In Sec. III, we perform the phenomenological studies and focus on the global fit of the quark transversity distribution and Collins fragmentation functions from the existing experimental data. We make predictions for future experiments and compare our results with previous analyses. Finally, we conclude our paper in Sec. IV.

## II. COLLINS AZIMUTHAL ASYMMETRIES IN SIDIS AND $e^+e^-$ ANNIHILATION

In this section, we discuss the asymmetries generated by transversity and Collins fragmentation functions in SIDIS and  $e^+e^-$  annihilation. We apply TMD evolution and represent the differential cross sections, spin-dependent and spin-independent ones, in a compact form.

### A. Collins azimuthal asymmetries in SIDIS

In the SIDIS, see Fig. 1, a lepton scatters on the nucleon target and produces a hadron in the final state,

$$e(\ell) + p(P) \rightarrow e(\ell') + h(P_h) + X, \quad (1)$$

by exchanging a virtual photon  $q_{\mu} = \ell_{\mu} - \ell'_{\mu}$  with invariant mass  $Q^2 = -q^2$ . We adopt the usual SIDIS variables [70],

$$S_{ep} = (P + \ell)^2, \quad x_B = \frac{Q^2}{2P \cdot q},$$

$$y = \frac{P \cdot q}{P \cdot \ell} = \frac{Q^2}{x_B S_{ep}}, \quad z_h = \frac{P \cdot P_h}{P \cdot q}, \quad (2)$$

with  $S_{ep} = (\ell + P)^2$  the center of mass energy square. The differential SIDIS cross section that includes the

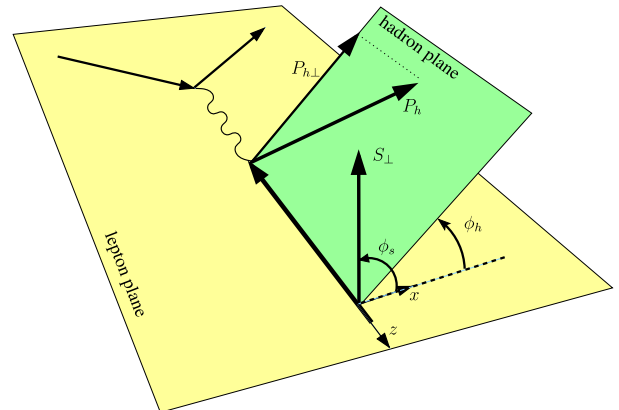


FIG. 1. SIDIS in the  $\gamma^*P$  center of mass frame.

Collins effect, the  $\sin(\phi_h + \phi_s)$  modulation, can be written as [71,72]

$$\frac{d^5\sigma(S_\perp)}{dx_B dy dz_h d^2P_{h\perp}} = \sigma_0(x_B, y, Q^2) \left[ F_{UU} + \sin(\phi_h + \phi_s) \times \frac{2(1-y)}{1+(1-y)^2} F_{UT}^{\sin(\phi_h+\phi_s)} + \dots \right], \quad (3)$$

where  $\sigma_0 = \frac{2\pi\alpha_{\text{em}}^2}{Q^2} \frac{1+(1-y)^2}{y}$  and  $\phi_s$  and  $\phi_h$  are the azimuthal angles for the nucleon spin and the transverse momentum of the outgoing hadron, respectively.  $F_{UU}$  and  $F_{UT}^{\sin(\phi_h+\phi_s)}$  are the spin-averaged and transverse spin-dependent structure functions. The latter is related to the convolution of transversity distribution and the Collins fragmentation function. Ellipses in Eq. (3) denote other structure functions that we do not consider in this paper.

The Collins asymmetry measured experimentally are related to the structure functions as follows:

$$A_{UT}^{\sin(\phi_h+\phi_s)} \equiv 2\langle \sin(\phi_h + \phi_s) \rangle = \frac{\sigma_0(x_B, y, Q^2)}{\sigma_0(x_B, y, Q^2)} \frac{2(1-y)}{1+(1-y)^2} \frac{F_{UT}^{\sin(\phi_h+\phi_s)}}{F_{UU}}. \quad (4)$$

Note that sometimes experimental results (for instance for the COMPASS Collaboration) are presented by factoring out the so-called depolarization factor  $D_{NN}$ :

$$D_{NN} = \frac{2(1-y)}{1+(1-y)^2}. \quad (5)$$

Both structure functions, i.e.,  $F_{UT}$  and  $F_{UT}^{\sin(\phi_h+\phi_s)}$ , depend on kinematical variables and on the hard scale  $Q^2$  in the reaction. It is important to realize that in order to have reliable calculations of corresponding structure functions one needs to take into account appropriate scale dependence which is generated by QCD evolution of TMD distribution and fragmentation functions.

Historically the solution of TMD evolution equations [21] is presented in the  $b$  space, where in SIDIS  $\vec{b}$  is the Fourier conjugate variable to  $\vec{P}_{h\perp}/z_h$ . The  $P_{h\perp}$ -dependent structure functions can be formulated in terms of the TMD factorization, and they can be (omitting  $x_B, z$  dependencies) written as

$$F_{UU}(Q; P_{h\perp}) = \frac{1}{z_h^2} \int \frac{d^2b}{(2\pi)^2} e^{i\vec{P}_{h\perp}\cdot\vec{b}/z_h} \tilde{F}_{UU}(Q; b) + Y_{UU}(Q; P_{h\perp}), \quad (6)$$

$$F_{\text{collins}}^\alpha(Q; P_{h\perp}) = \frac{1}{z_h^2} \int \frac{d^2b}{(2\pi)^2} e^{i\vec{P}_{h\perp}\cdot\vec{b}/z_h} \tilde{F}_{\text{collins}}^\alpha(Q; b) + Y_{\text{collins}}^\alpha(Q; P_{h\perp}), \quad (7)$$

where  $F_{\text{collins}}^\alpha$  is related to the spin-dependent structure function  $F_{UT}^{\sin(\phi_h+\phi_s)}$  as follows,

$$\sin(\phi_h + \phi_s) F_{UT}^{\sin(\phi_h+\phi_s)} = \epsilon^{\alpha\beta} S_\perp^\alpha [g_\perp^{\beta\rho} - 2\hat{e}_x^\beta \hat{e}_x^\rho] F_{\text{collins}}^\rho, \quad (8)$$

with the unit vector  $\hat{e}_x$  defined in Fig. 1. In Eqs. (6) and (7), the first TMD term dominates in the  $P_{h\perp} \ll Q$  region, and the second so-called Y-factor term dominates in the region of  $P_{h\perp} \gtrsim Q$  and assures the accuracy of the formula in the wide region of  $P_{h\perp}$ . We will neglect the corresponding Y factors as we will consider only the region of low  $\vec{P}_{h\perp}/z_h$ , and thus for spin-averaged and transverse spin-dependent structure functions, one has

$$F_{UU}(Q; P_{h\perp}) = \frac{1}{z_h^2} \int \frac{d^2b}{(2\pi)^2} e^{i\vec{P}_{h\perp}\cdot\vec{b}/z_h} \tilde{F}_{UU}(Q; b), \quad (9)$$

$$F_{UT}^{\sin(\phi_h+\phi_s)}(Q; P_{h\perp}) = \frac{1}{z_h^2} \int \frac{d^2b}{(2\pi)^2} e^{i\vec{P}_{h\perp}\cdot\vec{b}/z_h} \hat{P}_{h\perp}^\alpha \tilde{F}_{\text{collins}}^\alpha(Q; b), \quad (10)$$

and one notices that while spin-independent structure function is a scalar quantity, the spin-dependent structure function depends on the transverse direction  $\alpha = 1, 2$ ; see Eqs. (8) and (10).

### 1. Unpolarized structure function with evolution

The factorization formula for unpolarized structure function  $F_{UU}(Q; P_{h\perp})$  is well known and has the following interpretation (we choose the Ji-Ma-Yuan [29,73] scheme for the moment) in terms of unpolarized distribution and fragmentation functions in the  $b$  space [49],

$$\tilde{F}_{UU}(Q; b) = \sum_q e_q^2 \tilde{f}_1^q(x_B, b; \rho, \zeta, \mu) \tilde{D}_{h/q}(z_h, b; \rho, \hat{\zeta}, \mu) \times H(Q/\mu, \rho) S(b, \rho; \mu), \quad (11)$$

where  $\tilde{f}_1^q$  is the unpolarized TMD distribution;  $\tilde{D}_{h/q}$  is the unpolarized TMD fragmentation function; and  $\zeta^2 = 2(v \cdot P_A)^2/v^2$ ,  $\hat{\zeta}^2 = (2\tilde{v} \cdot P_h)^2/\tilde{v}^2$ , and  $\rho^2 = (2v \cdot \tilde{v})^2/v^2\tilde{v}^2$  represent the light-cone singularity regulation parameters.  $H$  is the hard factor associated with hard scattering, and  $S$  is the so-called soft function associated with the emission of soft gluons. The renormalization group scale  $\mu$  is arbitrary in full QCD; however, in truncated perturbative series, it is chosen to optimize the convergence in such a way that  $H$  does not have large logarithmic contributions,  $\log(Q/\mu)$ , and generically  $\mu = C_1 Q$  with  $C_1$  a parameter of order of 1. We will utilize  $C_1 = 1$  and thus  $\mu = Q$  in our calculations. Depending on different schemes, such as Ji-Ma-Yuan [29,73], CSS [20,21], or Collins-11 [22], the dependence on these parameters will be different. However, the final results for the structure functions are independent of the

schemes, as the actual cross sections do not depend on the auxiliary parameters. Note that historically the  $H$  factor is absorbed in the CSS formulation into the definition of Wilson coefficient functions that relate TMDs to the corresponding collinear distributions. The final results for the cross sections are the same in all schemes. However, a slight difference stems from the fact that  $H$  functions contain  $\alpha_s(\mu)$  with renormalization group scale  $\mu$  while coefficient functions, as will be explained below, contain  $\alpha_s(\mu_b)$  with a dynamical scale  $\mu_b$ . At each order of perturbation series, these differences are of a higher order in  $\alpha_s$ . We will dedicate the separate Sec. III F where we will discuss the TMD interpretation of our results and give explicit TMD formulas in TMD Collins-11 [22] formulation for all functions and structure functions considered in this paper.

Let us review the definition and the need of different factors. The TMD quark distributions in SIDIS is defined through the following matrix,

$$\begin{aligned} \mathcal{M}^{\alpha\beta} = & P^+ \int \frac{d\xi^-}{2\pi} e^{-ix\xi^- P^+} \int \frac{d^2b}{(2\pi)^2} e^{i\vec{b}\cdot\vec{k}_\perp} \\ & \times \langle PS | \bar{\psi}^\beta(\xi^-, 0, \vec{b}) \mathcal{L}_v^\dagger(\infty; \xi) \mathcal{L}_v(\infty; 0) \psi^\alpha(0) | PS \rangle, \end{aligned} \quad (12)$$

with the gauge link

$$\mathcal{L}_v(\infty; \xi) \equiv \exp\left(-ig \int_0^\infty d\lambda v \cdot A(\lambda v + \xi)\right). \quad (13)$$

This gauge link goes to  $+\infty$ , indicating that we adopt the definition for the TMD quark distributions for the SIDIS process. The unpolarized quark distribution is projected out from the above matrix as

$$\mathcal{M} = \frac{1}{2} [f_1^q(x, k_\perp) \gamma_\mu P^\mu + \dots], \quad (14)$$

$$f_1^q(x, k_\perp) = \frac{1}{4P^+} \text{Tr}[\gamma^+ \mathcal{M}]. \quad (15)$$

However, the above definition of the quark distribution contains a soft gluon contribution, which has to be subtracted from the naive definition. In addition, there is light-cone singularity if we take the gauge link along the light-front direction  $v$  with  $v^2 = 0$ . The way to regularize this singularity and subtract soft gluon contribution defines the scheme for the TMD factorization.

In the Ji-Ma-Yuan scheme, the gauge link in the TMD definition is chosen to be slightly off light cone,  $n = (1^-, 0^+, 0_\perp) \rightarrow v = (v^-, v^+, 0_\perp)$  with  $v^- \gg v^+$ . Similarly, for the TMD fragmentation function,  $\tilde{v}$  was introduced,  $\tilde{v} = (\tilde{v}^-, \tilde{v}^+, 0_\perp)$  with  $\tilde{v}^+ \gg \tilde{v}^-$ . Because of the additional directions  $v$  and  $\tilde{v}$ , there are additional invariants:

$\zeta^2 = (2v \cdot P)^2/v^2$ ,  $\hat{\zeta}^2 = (2\tilde{v} \cdot P_h)^2/\tilde{v}^2$ , and  $\rho^2 = (2v \cdot \tilde{v})^2/v^2\tilde{v}^2$ . Accordingly, the soft factor is defined as

$$S^{v,\tilde{v}}(b) = \langle 0 | \mathcal{L}_{\tilde{v}cb'}^\dagger(b) \mathcal{L}_{vb'a}^\dagger(b) \mathcal{L}_{vab}(0) \mathcal{L}_{\tilde{v}bc}(0) | 0 \rangle. \quad (16)$$

With soft factor subtraction, the TMD factorization for the unpolarized structure function can be rewritten as

$$\begin{aligned} F_{UU}(Q; b) = & \sum_q e_q^2 \tilde{f}_1^{q(\text{sub})} (x_B, b; \rho, \zeta, \mu) \\ & \times \tilde{D}_q^{(\text{sub})} (z_h, b; \rho, \hat{\zeta}, \mu) H_{UU}^{\text{JMY}}(Q/\mu, \rho), \end{aligned} \quad (17)$$

where the subtracted quark distribution and fragmentation functions are defined as

$$\tilde{f}_1^{q(\text{sub})} (x_B, b; \rho, \zeta, \mu) = \frac{\tilde{f}_1^q(z_h, b; \rho, \zeta, \mu)}{\sqrt{S(b, \rho; \mu)}}, \quad (18)$$

$$\tilde{D}_q^{(\text{sub})} (z_h, b; \rho, \hat{\zeta}, \mu) = \frac{\tilde{D}_q(z_h, b; \rho, \hat{\zeta}, \mu)}{\sqrt{S(b, \rho; \mu)}}, \quad (19)$$

with the soft factor  $S$  subtracted from the original TMDs. After solving the evolution equations and expressing the TMDs in terms of the integrated parton distributions, the final expressions for TMDs are obtained by setting  $\zeta^2 = \hat{\zeta}^2 = \rho Q^2$ . Note that in Eqs. (18) and (19) we understand the square root in the perturbative sense; i.e., for any quantity  $A = 1 + a_1\alpha_s + \dots$ , one has  $1/\sqrt{A} = 1 - 1/2a_1\alpha_s - \dots$ .

On the other hand, as explained in the Introduction the new Collins-11 approach [22] is an important improvement of the original CSS formalism and includes now the operator definition of TMDs, and the soft factor subtraction is taken to ensure the absence of light-cone singularities in the TMDs and the self-energy divergencies of the soft factors. According to this new scheme, the TMD distribution is defined as

$$\tilde{f}_1^{q\text{JCC}}(x, b; \zeta_F, \mu) = \tilde{f}_1^q(x, b; \zeta_F, \mu) \sqrt{\frac{S^{\tilde{n},v}(b)}{S^{n,\tilde{n}}(b)S^{n,v}(b)}}, \quad (20)$$

where  $\zeta_F^2 = x^2(2v \cdot P_A)^2/v^2 = 2(xP_A^+)^2 e^{-2y_n}$  with  $y_n$  the rapidity cutoff in the Collins-11 scheme. Fragmentation functions are defined analogously. The unpolarized structure function takes the form

$$\begin{aligned} F_{UU}(Q; b) = & \sum_q e_q^2 \tilde{f}_1^{q\text{JCC}}(x_B, b; \zeta_F, \mu) \\ & \times \tilde{D}_q^{\text{JCC}}(z_h, b, \zeta_D; \mu) H_{UU}^{\text{JCC}}(Q/\mu). \end{aligned} \quad (21)$$

One can see from Eqs. (17) and (21) that the formal expression for structure functions takes a very simple ‘‘parton model’’-like form. The underlying TMDs, however, unlike the parton model expression are computed with an appropriate QCD evolution procedure. In spite of differences in the schemes to define TMDs in Eqs. (17) and (21), the final result for the structure functions and the cross sections is scheme independent and reduces to that of the original CSS. One of the advantages of the TMD schemes, such as the Collins-11 [22] or Ji-Ma-Yuan approaches [29,73], is a possibility to define process-independent unpolarized TMDs or account for process dependence in  $k_\perp$ -odd TMDs directly and study individual TMD functions. Important process-independent universal nonperturbative contributions [43] can be also studied, and global TMD fits that include different processes are possible.

The corresponding TMDs depend on two scales,  $\zeta_F$  (or  $\zeta_D$ ) and  $\mu$ , with their dependence encoded in the TMD evolution equations. The rapidity evolution with respect to  $\zeta$  is given by the CS equation [20],

$$\frac{\partial \ln \tilde{f}_q(x_B, b; \zeta, \mu)}{\partial \ln \sqrt{\zeta_F}} = \frac{\partial \ln \tilde{D}_q(z_h, b, \zeta_D; \mu)}{\partial \ln \sqrt{\zeta_D}} = \tilde{K}(b, \mu), \quad (22)$$

where  $\tilde{K}(b, \mu)$  is the so-called CS kernel [20]. It can be computed perturbatively for small values of  $b$ . The dependence on the scale  $\mu$  arises from renormalization group equations for  $\tilde{f}_q$ ,  $\tilde{D}_q$ , and  $\tilde{K}$ ,

$$\frac{d\tilde{K}(b, \mu)}{d \ln \mu} = -\gamma_K(\alpha_s(\mu)), \quad (23)$$

$$\frac{d \ln \tilde{f}(x_B, b; \zeta, \mu)}{d \ln \mu} = \gamma_F(\alpha_s(\mu), \zeta_F/\mu^2), \quad (24)$$

$$\frac{d \ln \tilde{D}_q(z_h, b, \zeta_D; \mu)}{d \ln \mu} = \gamma_D(\alpha_s(\mu), \zeta_D/\mu^2), \quad (25)$$

where functions  $\gamma_K$ ,  $\gamma_F$ , and  $\gamma_D$  are anomalous dimensions of  $\tilde{K}$ ,  $\tilde{f}_q$ , and  $\tilde{D}_q$ , respectively. Note that the solution of these evolution equations does not depend on the scheme to define TMDs.

The equations and solutions are discussed at length in Refs. [20–22,29,43,73]. Here we will present and discuss the final solution. At low values of  $b \ll 1/\Lambda_{\text{QCD}}$ ,  $1/b$  becomes a legitimate hard scale. One introduces [20] an auxiliary scale  $\mu_b = c_0/b$ , with  $c_0 = 2e^{-\gamma_E}$  and  $\gamma_E \approx 0.577$  the Euler constant. The  $b$  dependence of TMDs can be computed in the perturbative  $1/Q \ll b \ll 1/\Lambda_{\text{QCD}}$  region in terms of the collinear parton distribution and fragmentation functions. This region corresponds to the transverse momentum which is large compared to hadronic scale but still small compared to the hard scale

(i.e.,  $\Lambda_{\text{QCD}} \ll k_\perp \ll Q$ ). That is, TMDs in this region are expressed in terms of collinear distributions. This sort of relation will be explained later in the paper. Let us mention that the usage of such a relation helps to obtain a reliable description of the experimental data.

The energy evolution of TMDs from the scale  $\mu_b$  to the scale  $Q$  is encoded in the exponential factor,  $\exp[-S]$ , with the so-called Sudakov-like form factor, the perturbative part of which can be written as

$$S_{\text{pert}}(Q, b) = \int_{\mu_b^2}^{Q^2} \frac{d\bar{\mu}^2}{\bar{\mu}^2} \left[ A(\alpha_s(\bar{\mu})) \ln \frac{Q^2}{\bar{\mu}^2} + B(\alpha_s(\bar{\mu})) \right], \quad (26)$$

where the  $A$ - and  $B$ -coefficients can be expanded as perturbative series  $A = \sum_{n=1}^{\infty} A^{(n)}(\alpha_s/\pi)^n$  and  $B = \sum_{n=1}^{\infty} B^{(n)}(\alpha_s/\pi)^n$ . In our calculations, we will take  $A^{(1)}$ ,  $A^{(2)}$ , and  $B^{(1)}$  for the NLL accuracy. Because this part is spin independent as explained in the Introduction, these coefficients are the same as those in unpolarized cross sections [21] and are given by [21,37,38,40,56,74]

$$\begin{aligned} A^{(1)} &= C_F, \\ A^{(2)} &= \frac{C_F}{2} \left[ C_A \left( \frac{67}{18} - \frac{\pi^2}{6} \right) - \frac{10}{9} T_R n_f \right], \\ B^{(1)} &= -\frac{3}{2} C_F. \end{aligned} \quad (27)$$

One can see from Eqs. (9) and (10) that in order to reconstruct the measured cross section one needs to perform the Fourier transform over all values of  $b$ . The accuracy of the perturbative solution will deteriorate for large values of  $b$ . In fact  $\alpha_s(\mu_b)$  will hit the so-called Landau pole, which is a good indication of the presence of the nonperturbative physics. Thus, one needs to take into account the nonperturbative behavior of TMDs. The original CSS approach [21] proposed the so-called  $b_*$  prescription that introduces a cutoff value  $b_{\text{max}}$  and allows for a smooth transition from perturbative to nonperturbative regions and avoids the Landau pole singularity in  $\alpha_s(\mu_b)$ ,

$$b \Rightarrow b_* = b / \sqrt{1 + b^2/b_{\text{max}}^2}, \quad b_{\text{max}} < 1/\Lambda_{\text{QCD}}, \quad (28)$$

where  $b_{\text{max}}$  is a parameter in the prescription. From the above definition,  $b_*$  is always in the perturbative region where  $b_{\text{max}}$  is normally chosen to be around  $1 \text{ GeV}^{-1}$ . With the introduction of  $b_*$  in the Sudakov form factor, the total Sudakov-like form factor can be written as the sum of perturbatively calculable part and nonperturbative contribution

$$S_{\text{sud}}(Q; b) \Rightarrow S_{\text{pert}}(Q; b_*) + S_{\text{NP}}(Q; b), \quad (29)$$

where  $S_{\text{NP}}(Q; b)$  is defined as the difference from the original form factor and the perturbative one. This difference should vanish as  $b \rightarrow 0$ , i.e., in the perturbative region, and thus  $S_{\text{NP}}(Q; b)$  has the following generic form:

$$S_{\text{NP}}(Q; b) = g_2(b) \ln Q/Q_0 + g_1(b). \quad (30)$$

The nonperturbative generic functions  $g_2$  and  $g_1$  have very unique interpretations. In particular  $g_2$  includes the information on the large  $b$  behavior of the evolution kernel  $\tilde{K}$ . This function does not depend on the particular process; it does not depend on the scale and has no dependence on momentum fractions  $x_B$ ,  $z$ . This contribution should be parametrized phenomenologically, and an often-used parametrization is

$$g_2(b) = g_2 b^2, \quad (31)$$

which proved to be very reliable to describe Drell-Yan data and  $W^\pm$ ,  $Z$  boson production in the BLNY type of parametrizations [37]. This Gaussian-type parametrization suggests that the large  $b$  region is strongly suppressed [39] and in principle can be unreliable to describe data from lower energies which are more sensitive to moderate-to-high values of  $b$ . Other parametrizations were proposed in Refs. [39] and [44]. For instance that of Ref. [44] has the form

$$\tilde{f}_1^{q(\text{sub})}(x_B, b; Q^2, Q) = e^{-\frac{1}{2}S_{\text{pert}}(Q, b_*) - S_{\text{NP}}^{f_1}(Q, b)} \tilde{\mathcal{F}}_q(\alpha_s(Q)) C_{q \leftarrow i} \otimes f_1^i(x_B, \mu_b), \quad (33)$$

$$\tilde{D}_q^{(\text{sub})}(z_h, b; Q^2, Q) = e^{-\frac{1}{2}S_{\text{pert}}(Q, b_*) - S_{\text{NP}}^{D_q}(Q, b)} \tilde{\mathcal{D}}_q(\alpha_s(Q)) \hat{C}_{j \leftarrow q} \otimes D_{h/j}(z_h, \mu_b), \quad (34)$$

where we explicitly embed the scheme dependence of TMDs from Eqs. (18) and (19) in the coefficients  $\tilde{\mathcal{F}}_q$  and  $\tilde{\mathcal{D}}_q$ . Details on these functions are given in Ref. [57]. In the Ji-Ma-Yuan scheme,

$$\tilde{\mathcal{F}}_q = 1 + \frac{\alpha_s}{2\pi} C_F \left[ \ln \rho - \frac{1}{2} \ln^2 \rho - \frac{\pi^2}{2} - 2 \right], \quad (35)$$

$$\tilde{\mathcal{D}}_q = 1 + \frac{\alpha_s}{2\pi} C_F \left[ \ln \rho - \frac{1}{2} \ln^2 \rho - \frac{\pi^2}{2} - 2 \right], \quad (36)$$

while in the Collins-11 scheme,  $\tilde{\mathcal{F}}_q = 1 + \mathcal{O}(\alpha_s^2)$  and  $\tilde{\mathcal{D}}_q = 1 + \mathcal{O}(\alpha_s^2)$ . The final result for the structure function is  $\rho$  independent for the Ji-Ma-Yuan scheme, so we set  $\rho = 1$ . In Eqs. (33) and (34),  $\otimes$  represents the convolution in the momentum fraction of  $x$  or  $z$ ,

$$g_2(b) = g_2 \ln \left( \frac{b}{b_*} \right), \quad (32)$$

and allows us to describe simultaneously unpolarized multiplicities from SIDIS measurements by HERMES, low energy Drell-Yan as well as  $Z$  boson production up to LHC energies. In this paper we will follow the parametrization of Ref. [44] for  $g_2(b)$ .

The function  $g_1(b)$  contains information on the intrinsic nonperturbative transverse motion of bound partons; in case of a distribution TMD, it depends on the type of hadron and quark flavor as well as potentially on  $x_B$ . In case of a fragmentation TMD, it can depend on  $z_h$  and the type of the hadron produced and quark flavor. In other words,  $g_1(b)$  is tied to the particular TMD. Parameters in functions  $g_2(b)$  and  $g_1(b)$  depend on the cutoff value  $b_{\text{max}}$  in case  $b_*$  prescription is used. The nonperturbative factors could be also defined using different prescriptions, such as, for example, matching to perturbative form factors of Ref. [75] or using the complex  $b$  plane integration method of Ref. [76]. In this paper we use the standard CSS  $b_*$  prescription method that allows us to compare easily with existing phenomenology.

Therefore, with the TMD evolution, TMDs can be expressed as [22,56,57],

$$C_{q \leftarrow i} \otimes f_1^i(x_B, \mu_b) \equiv \sum_i \int_{x_B}^1 \frac{dx}{x} C_{q \leftarrow i} \left( \frac{x_B}{x}, \mu_b \right) f_1^i(x, \mu_b), \quad (37)$$

$$\hat{C}_{j \leftarrow q} \otimes D_{h/j}(z_h, \mu_b) \equiv \sum_j \int_{z_h}^1 \frac{dz}{z} \hat{C}_{j \leftarrow q} \left( \frac{z_h}{z}, \mu_b \right) D_{h/j}(z, \mu_b). \quad (38)$$

The same convolutions will be used for transversity and Collins fragmentation functions with appropriate coefficient functions later in the paper. The above coefficient functions are

$$C_{q \leftarrow q'}(x, \mu_b) = \delta_{q'q} \left[ \delta(1-x) + \frac{\alpha_s}{\pi} \left( \frac{C_F}{2} (1-x) \right) \right], \quad (39)$$

$$C_{q \leftarrow g}(x, \mu_b) = \frac{\alpha_s}{\pi} T_R x(1-x), \quad (40)$$

$$\begin{aligned} & \hat{C}_{q' \leftarrow q}(z, \mu_b) \\ &= \delta_{q'q} \left[ \delta(1-z) + \frac{\alpha_s}{\pi} \left( \frac{C_F}{2} (1-z) + P_{q \leftarrow q}(z) \ln z \right) \right], \end{aligned} \quad (41)$$

$$\hat{C}_{g \leftarrow q}(z, \mu_b) = \frac{\alpha_s}{\pi} \left( \frac{C_F}{2} z + P_{g \leftarrow q}(z) \ln z \right) \quad (42)$$

with the usual splitting functions  $P_{q \leftarrow q}$  and  $P_{g \leftarrow q}$  given by

$$P_{q \leftarrow q}(z) = C_F \left[ \frac{1+z^2}{(1-z)_+} + \frac{3}{2} \delta(1-z) \right], \quad (43)$$

$$P_{g \leftarrow q}(z) = C_F \frac{1+(1-z)^2}{z}. \quad (44)$$

The  $C$ -functions are chosen to be universal among different TMD schemes, whereas the functions  $\tilde{F}_q$  and  $\tilde{D}_q$  depend on the schemes. In Collins-11 schemes, both factors are equal to 1 up to one-loop order. In the Ji-Ma-Yuan scheme, they will depend on  $\rho$ . Again, this  $\rho$  dependence in individual TMDs will be cancelled out by the associated  $\rho$  dependence in the hard factor  $H$  in Eq. (17) when we calculate the structure function  $F_{UU}(b, Q)$ .

Substituting the results of Eqs. (33) and (34) into the factorization formula Eq. (17), we can write down the structure function  $\tilde{F}_{UU}$  in the  $b$  space as

$$\tilde{F}_{UU}(Q; b) = e^{-S_{\text{pert}}(Q, b_*) - S_{\text{NP}}^{\text{SIDIS}}(Q, b)} \tilde{F}_{UU}(b_*), \quad (45)$$

with the nonperturbative form factor decomposed into the distribution and fragmentation contributions,

$$S_{\text{NP}}^{\text{SIDIS}}(Q, b) = S_{\text{NP}}^{f_1}(Q, b) + S_{\text{NP}}^{D_1}(Q, b), \quad (46)$$

which should be determined from the global fit to the SIDIS,  $e^+e^-$ , and Drell-Yan data. In the standard CSS

resummation which we will follow in this paper, together with the hard factor in the TMD factorization of Eq. (17), the functions  $\tilde{F}_q$  and  $\tilde{D}_q$  are absorbed into the  $C$ -functions by applying the renormalization group equation for the running coupling constant in these two factors [46]. With that, we can write down  $\tilde{F}_{UU}(b_*)$  as

$$\begin{aligned} \tilde{F}_{UU}(b_*) &= \sum_q e_q^2 (C_{q \leftarrow i}^{\text{SIDIS}} \otimes f_1^i(x_B, \mu_b)) \\ &\times (\hat{C}_{j \leftarrow q}^{\text{SIDIS}} \otimes D_{h/j}(z_h, \mu_b)), \end{aligned} \quad (47)$$

where  $\sum_q$  runs over both quark and antiquark flavors and  $f_1^i(x_B, \mu_b)$  and  $D_{h/j}(z_h, \mu_b)$  are the usual unpolarized collinear parton distribution function and fragmentation function at the scale  $\mu_b = c_0/b_*$ . We emphasize that the above  $C$ -coefficients are the same for all TMD schemes if hard factors  $H$ ,  $\tilde{F}_q$ , and  $\tilde{D}_q$  are absorbed in their definition. In particular, in the Ji-Ma-Yuan scheme, the  $\rho$  dependence in  $H$  of Eq. (17),  $\tilde{F}_q$  in Eq. (33), and  $\tilde{D}_q$  in Eq. (34) are cancelled out. In the Collins-11 scheme when the hard factor  $H$  is absorbed in the definition of  $C$ -functions,  $C$ -functions become process dependent and equal to those of the standard CSS scheme. The final expressions for  $C^{\text{SIDIS}}$  and  $\hat{C}^{\text{SIDIS}}$  do not depend on  $\rho$ , and they are the same in the Collins-11 scheme, which are also the same as those used in the CSS literature [77–79],

$$\begin{aligned} C_{q \leftarrow q'}^{\text{SIDIS}}(x, \mu_b) &= \delta_{q'q} \left[ \delta(1-x) + \frac{\alpha_s}{\pi} \left( \frac{C_F}{2} (1-x) - 2C_F \delta(1-x) \right) \right], \end{aligned} \quad (48)$$

$$C_{q \leftarrow g}^{\text{SIDIS}}(x, \mu_b) = \frac{\alpha_s}{\pi} T_R x(1-x), \quad (49)$$

$$\hat{C}_{q' \leftarrow q}^{\text{SIDIS}}(z, \mu_b) = \delta_{q'q} \left[ \delta(1-z) + \frac{\alpha_s}{\pi} \left( \frac{C_F}{2} (1-z) - 2C_F \delta(1-z) + P_{q \leftarrow q}(z) \ln z \right) \right], \quad (50)$$

$$\hat{C}_{g \leftarrow q}^{\text{SIDIS}}(z, \mu_b) = \frac{\alpha_s}{\pi} \left( \frac{C_F}{2} z + P_{g \leftarrow q}(z) \ln z \right). \quad (51)$$

Of course, there is a freedom to have a separate hard factor in Eq. (45), so that the above  $C$ -coefficients will be modified accordingly; compare to Eqs. (39), (40), (41), and (42). This is referred to as scheme dependence [46] in the CSS resummation.

For the nonperturbative form factors, we will follow the parametrization of Ref. [44],

$$S_{\text{NP}}^{\text{SIDIS}}(Q, b) = g_2 \ln \left( \frac{b}{b_*} \right) \ln \left( \frac{Q}{Q_0} \right) + \left( g_q + \frac{g_h}{z_h^2} \right) b^2, \quad (52)$$

where  $Q_0^2 = 2.4 \text{ GeV}^2$ , for the spin-averaged contribution. In the above parametrization, the parameters  $g_q = g_1/2 = 0.106$ ,  $g_2 = 0.84$ , and  $g_h = 0.042 \text{ (GeV}^2)$  have been



determined from the analysis of SIDIS and Drell-Yan processes in Ref. [44]. In the fit of Ref. [44], it was found that the nonperturbative form factors do not depend on  $x$ . We will use the nonperturbative factor of Eq. (52) in this paper.

## 2. Collins structure function with evolution

Now, we turn to the Collins effects contribution to the single transverse spin asymmetry in SIDIS. We start again from the factorized TMD expression in the  $b$  space [49,80],

$$\begin{aligned} \tilde{F}_{\text{collins}}^\alpha(Q; b) &= \sum_q e_q^2 \tilde{h}_1^{q(\text{sub})}(x_B, b; \rho, \zeta, \mu) \\ &\times \tilde{H}_{1h/q}^{\perp\alpha(\text{sub})}(z_h, b; \rho, \hat{\zeta}, \mu) H(\rho, Q/\mu), \end{aligned} \quad (53)$$

where  $\tilde{h}_1^q$  is the TMD quark transversity distribution and  $\tilde{H}_{1h/q}^\perp$  is the Collins fragmentation function in the  $b$  space and is defined (omitting scale dependence) as

$$\tilde{H}_{1h/q}^{\perp\alpha}(z_h, b) = \int d^2 p_\perp e^{-ip_\perp \cdot b} p_\perp^\alpha H_{1h/q}^\perp(z_h, p_\perp). \quad (54)$$

Here  $H_{1h/q}^\perp(z_h, p_\perp)$  is the quark Collins function as defined in Ref. [52], which differs by a factor of  $(-1/z_h)$  from the so-called ‘‘Trento convention’’ [81],

$$H_{1h/j}^\perp(z_h, p_\perp) = -\frac{1}{z_h} H_{1h/j}^\perp(z_h, p_\perp)|_{\text{Trento}}, \quad (55)$$

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$$\hat{H}_{h/j}^{(3)}(z_h) = n^+ z_h^2 \int \frac{d\xi^-}{2\pi} e^{ik^+ \xi^-} \frac{1}{2} \left\{ \text{Tr} \sigma^{\alpha+} \langle 0 | \left[ iD_\perp^\alpha + \int_{\xi^-}^{+\infty} d\zeta^- g F^{\alpha+}(\zeta^-) \right] \psi(\xi) | P_h X \rangle \langle P_h X | \bar{\psi}(0) | 0 \rangle + \text{H.c.} \right\}, \quad (59)$$

where we have chosen the gauge link in Eq. (59) going to  $+\infty$  and  $F^{\mu\nu}$  is the gluon field strength tensor and we have suppressed the gauge links between different fields and other indices for simplicity. Since the Collins function is the same under different gauge links [14,82,83], we shall obtain the same result if we replace  $+\infty$  by  $-\infty$  in the above equation.

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$$\tilde{h}_1^{q(\text{sub})}(x_B, b, \rho; Q^2, Q) = e^{-\frac{1}{2}S_{\text{pert}}(Q, b_*) - S_{\text{NP}}^{h_1}(Q, b)} \tilde{\mathcal{H}}_{1q}(\alpha_s(Q)) \delta C_{q \leftarrow q'} \otimes h_1^{q'}(x_B, \mu_b), \quad (60)$$

$$\tilde{H}_{1h/q}^{\perp\alpha(\text{sub})}(z_h, b, \rho; Q^2, Q) = \left( \frac{-ib^\alpha}{2z_h} \right) e^{-\frac{1}{2}S_{\text{pert}}(Q, b_*) - S_{\text{NP}}^{D_1}(Q, b)} \tilde{\mathcal{H}}_c(\alpha_s(Q)) \delta \hat{C}_{q \leftarrow q'} \otimes \hat{H}_{h/q'}^{(3)}(z_h, \mu_b), \quad (61)$$

where again the scheme dependence is in the functions  $\tilde{\mathcal{H}}_{1q}(\alpha_s(Q))$  and  $\tilde{\mathcal{H}}_c(\alpha_s(Q))$ . They equal 1 up to one-loop order in the Collins-11 scheme. The  $C$ -coefficient functions are found to be

$$\delta C_{q \leftarrow q'}(x, \mu_b) = \delta_{q'q} [\delta(1-x) + \mathcal{O}(\alpha_s^2)], \quad (62)$$

with  $p_\perp$  the transverse component of the hadron with respect to the fragmenting quark momentum.

The following model-independent relation of Collins fragmentation function  $H_{1h/q}^\perp(z_h, p_\perp)$  and a twist-3 fragmentation function of quark flavor  $q$  to hadron  $h$ ,  $\hat{H}_{h/q}^{(3)}(z_h)$ , can be obtained [52]:

$$\hat{H}_{h/j}^{(3)}(z_h) = \int d^2 p_\perp \frac{|p_\perp^2|}{M_h} H_{1h/j}^\perp(z_h, p_\perp). \quad (56)$$

One often defines the following so-called *first* moment of the Collins fragmentation function:

$$H_{1h/j}^{\perp(1)}(z_h)|_{\text{Trento}} \equiv \int d^2 p_\perp \frac{|p_\perp|^2}{2z_h^2 M_h^2} H_{1h/j}^\perp(z_h, p_\perp)|_{\text{Trento}}. \quad (57)$$

We thus find that

$$\hat{H}_{h/j}^{(3)}(z_h) = -2z_h M_h H_{1h/j}^{\perp(1)}(z_h)|_{\text{Trento}}. \quad (58)$$

It is straightforward to show that  $\hat{H}_{h/j}^{(3)}(z_h)$  can be written as

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The TMD evolution for the quark transversity and Collins fragmentation functions have been derived in the literature [21,29,40,49,54]. When expressed in terms of the collinear transversity distribution  $h_1^q(x_B)$  and the twist-3 fragmentation function  $\hat{H}_{h/q}^{(3)}(z_h)$ , they can be written as

$$\delta\hat{C}_{q'\leftarrow q}^{(\text{SIDIS})}(z, \mu_b) = \delta_{q'q} \left[ \delta(1-z) + \frac{\alpha_s}{\pi} (\hat{P}_{q\leftarrow q}^c(z) \ln z) \right], \quad (63)$$

where the function  $\hat{P}_{q\leftarrow q}^c(z)$  has the following form, see Eq. (A6):

$$\hat{P}_{q\leftarrow q}^c(z) = C_F \left[ \frac{2z}{(1-z)_+} + \frac{3}{2} \delta(1-z) \right]. \quad (64)$$

Substituting the above results into the factorization formula, we obtain the final result for  $\tilde{F}_{\text{collins}}^\alpha$  as [21,29,40,49,54]

$$\tilde{F}_{\text{collins}}^\alpha(Q; b) = \left( \frac{-ib^\alpha}{2z_h} \right) e^{-S_{\text{pert}}(Q, b_*) - S_{\text{NP collins}}^{\text{SIDIS}}(Q, b)} \tilde{F}_{\text{collins}}(b_*), \quad (65)$$

with  $\tilde{F}_{\text{collins}}(b_*)$  given by

$$\begin{aligned} \tilde{F}_{\text{collins}}(b_*) &= \sum_q e_q^2 (\delta C_{q\leftarrow i} \otimes h_1^i(x_B, \mu_b)) \\ &\quad \times (\delta\hat{C}_{j\leftarrow q}^{(\text{SIDIS})} \otimes \hat{H}_{h/j}^{(3)}(z_h, \mu_b)). \end{aligned} \quad (66)$$

The convolutions are defined in Eqs. (37) and (38), and the relevant coefficient functions up to the first order in  $\alpha_s$  [compare to Eq. (63) to determine the relation to hard factor  $H$ ] are given by [52,54,64,79]

$$\delta\hat{C}_{q\leftarrow q}^{(\text{SIDIS})}(z, \mu_b)(x, \mu_b) = \delta_{q'q} \left[ \delta(1-x) + \frac{\alpha_s}{\pi} (-2C_F \delta(1-x)) \right], \quad (67)$$

$$\begin{aligned} \delta\hat{C}_{q'\leftarrow q}^{(\text{SIDIS})}(z, \mu_b) \\ = \delta_{q'q} \left[ \delta(1-z) + \frac{\alpha_s}{\pi} (\hat{P}_{q\leftarrow q}^c(z) \ln z - 2C_F \delta(1-z)) \right], \end{aligned} \quad (68)$$

where again the above  $C$ -coefficients contain the contributions from the hard factors in the TMD factorization. The hard factor is given in Eq. (A19) for the Ji-Ma-Yuan scheme and in Eq. (A20) for the Collins-11 scheme.

To achieve the evolution at the NLL order, we have to evaluate both the transversity  $h_1^q(x_B, \mu_b)$  and twist-3 fragmentation function  $H_{h/q}^{(3)}(z, \mu_b)$  up to the scale  $\mu_b = c_0/b_*$ . The evolution for the quark transversity is well known [84–87], and we will use the leading-order result

$$\frac{\partial}{\partial \ln \mu^2} h_1^q(x_B, \mu) = \frac{\alpha_s}{2\pi} \int_{x_B}^1 \frac{d\hat{x}}{\hat{x}} P_{q\rightarrow q}^{h_1}(\hat{x}) h_1^q(x_B/\hat{x}, \mu), \quad (69)$$

where the splitting kernel

$$P_{q\rightarrow q}^{h_1}(\hat{x}) = C_F \left[ \frac{2\hat{x}}{(1-\hat{x})_+} + \frac{3}{2} \delta(1-\hat{x}) \right]. \quad (70)$$

Note that, since gluon transversity distribution for nucleons does not exist [3], the quark transversity  $h_1^q$  does not mix with gluons in its evolution and it evolves as a nonsinglet quantity. On the other hand, the evolution equation for  $\hat{H}_{h/j}^{(3)}$  was derived in Refs. [52,62] and has a more complicated form. However, if we keep only the homogenous term, we can write down the evolution equation as [52,62]

$$\frac{\partial}{\partial \ln \mu^2} \hat{H}_{h/q}^{(3)}(z_h, \mu) = \frac{\alpha_s}{2\pi} \int_{z_h}^1 \frac{d\hat{z}}{\hat{z}} \hat{P}_{q\leftarrow q}^c(\hat{z}) \hat{H}_{h/q}^{(3)}(z_h/\hat{z}, \mu), \quad (71)$$

where the splitting kernel  $\hat{P}_{q\leftarrow q}^c$  of the homogenous term is given in Eqs. (A6) and (64) and is the same as that for the evolution of the quark transversity function, as pointed out in Ref. [62]. We will take this approximation in our numerical studies below. In order to differentiate from the complete NLL accuracy, we will call it NLL' or approximate NLL.

For the nonperturbative form factors, we follow the parametrizations of Ref. [44],

$$S_{\text{NP collins}}^{\text{SIDIS}}(Q, b) = g_2 \ln\left(\frac{b}{b_*}\right) \ln\left(\frac{Q}{Q_0}\right) + \left(g_q + \frac{g_h - g_c}{z_h^2}\right) b^2, \quad (72)$$

where we assume that the quark transversity follows the same parametrization as unpolarized TMD but introduce an additional parameter to constrain the  $p_\perp$  dependence in the Collins fragmentation. Therefore,  $g_c$  will be a free parameter in the fit. It is also worthwhile to emphasize that the  $\ln Q/Q_0$ -dependent part [i.e.,  $g_2 \ln(b/b_*)$  in our formalism above] is universal for all processes in the initial CSS formalism [20,21] as well as in the recent TMD formalism of Ref. [22]. The other contributions in the nonperturbative Sudakov form factor are  $Q$  independent and can be associated with corresponding TMD distribution and fragmentation functions at an initial scale; see, e.g., Refs. [22,42].

Finally performing Fourier transforms in Eqs. (9) and (10), we obtain the expressions for both spin-averaged and spin-dependent structure functions in the transverse-momentum space as

$$F_{UU}(Q; P_{h\perp}) = \frac{1}{z_h^2} \int_0^\infty \frac{dbb}{(2\pi)} J_0(P_{h\perp} b/z_h) e^{-S_{\text{pert}}(Q, b_*) - S_{\text{NP}}^{\text{SIDIS}}(Q, b)} \tilde{F}_{UU}(b_*), \quad (73)$$

$$F_{UT}^{\sin(\phi_h + \phi_s)}(Q; P_{h\perp}) = \frac{1}{z_h^2} \left( -\frac{1}{2z_h} \right) \int_0^\infty \frac{dbb^2}{(2\pi)} J_1(P_{h\perp} b/z_h) e^{-S_{\text{pert}}(Q, b_*) - S_{\text{NP}}^{\text{SIDIS}}(Q, b)} \tilde{F}_{\text{collins}}(b_*), \quad (74)$$

with  $J_{0,1}$  the usual Bessel functions.

Let us comment at this point about the usage of relations to collinear distributions in the structure functions  $\tilde{F}$  in Eqs. (47) and (66). One could in principle solve evolution equations starting at a particular scale  $Q_0$  instead of introducing dynamical scale  $\mu_b \propto 1/b$  and try to extract unknown functions, such as the Collins fragmentation function or transversity, directly from the data without relying on collinear or twist-3 functions. However, such a method has certain difficulties, both theoretically and phenomenologically. The theoretical difficulty consists in the fact that if one starts from a fixed scale  $Q_0$  then the  $\tilde{F}$  function will have potentially large logarithms of the type  $\ln(bQ_0)$  which are obviously not present in the  $\mu_b$  method due to the choice of  $\mu_b \sim 1/b$ . Phenomenologically it might also be difficult to model the unique  $x$ ,  $z$ , and  $b$  dependence as contained in the collinear function  $f(x, \mu_b)$ , which further builds in some dependence on the collision energy [38]. Presently there are no successful descriptions of experimental observables simultaneously at both low and high energies that use the method with fixed starting scale  $Q_0$ . The method with the fixed starting scale can be applied for processes where the measured scale  $Q$  is similar to  $Q_0$ , namely for processes where the most important contribution in the cross section comes from  $b \sim 1/Q \sim 1/Q_0$ . An example of such a description is a fit of Siverson functions in Refs. [41,88]. In our case, the characteristic scales of SIDIS,  $Q^2 \sim 2.4 \text{ GeV}^2$ , and  $e^+e^-$ ,  $Q^2 \sim 110 \text{ GeV}^2$ , are substantially different. It means that the regions of  $b$  explored are different and one needs to accurately take into account the correct  $b$  dependence of TMDs. That is why in this extraction we will use relations to collinear distributions, fragmentation functions, and twist-3 functions.

By applying the CSS formalism, we utilize the well-established framework of the collinear parton distribution and fragmentation functions to parametrize the TMDs at the input scale. For the unpolarized case, this is an obvious advantage because of the existing global fits for the integrated PDFs. For the Collins fragmentation function case, it is also easier to parametrize TMDs in terms of the collinear twist-3 function, for which the usual DGLAP evolution can be applied. Another important point we want to emphasize is that there are DGLAP-type logarithms in the TMD formalism when  $b$  is small. The CSS formalism is the best way to resum these logarithms, by applying the relevant scales ( $\mu_b$ ) in the associated integrated parton distribution and fragmentation functions. This is an

important step to help the theory convergence in the perturbative calculations.

## B. Collins azimuthal asymmetries in $e^+e^-$

In this section we present the formulas for the Collins azimuthal asymmetries in back-to-back dihadron productions in  $e^+e^-$  annihilations,

$$e^+ + e^- \rightarrow h_1 + h_2 + X, \quad (75)$$

with center of mass energy  $S = Q^2 = (P_{e^+} + P_{e^-})^2$  and the two final state hadrons with momenta  $P_{h1}$  and  $P_{h2}$ , respectively. We further identify the longitudinal momentum fractions:  $z_{hi} = 2|P_{hi}|/Q$ . Therefore,  $z_{hi}$  represent the momentum fractions in the fragmentation functions which describe the fragmentation processes. Ideally, at leading order these two hadrons are produced in a back-to-back configuration. However, the gluon radiation and transverse-momentum dependence in the fragmentation processes will generate a nonzero imbalance between the two hadrons.

To describe the near-back-to-back imbalance between the two hadrons in  $e^+e^-$  annihilations, the TMD factorization can be used to calculate the differential cross sections. In particular, the Collins fragmentation function will lead to  $\cos 2\phi$  azimuthal angular asymmetries between these two hadrons. In the literature, there are two proposed experimental methods to investigate the Collins effects in this process: (1) one is to define a thrust axis in  $e^+e^-$  annihilation and measure the relative azimuthal angular correlation between the two hadrons in the two back-to-back jets, which is referred to as  $A_{12}$  asymmetries; (2) the other is to use one hadron as a reference to define the azimuthal angle of another hadron (in the back-to-back configuration), which is referred to as  $A_0$  asymmetries. In the former case, we will have to measure two azimuthal angles  $\phi_1$  and  $\phi_2$ , and the Collins effects lead to an azimuthal asymmetry proportional to  $\cos(\phi_1 + \phi_2)$ , whereas in the latter case only one azimuthal angle  $\phi_0$  is measured, and the Collins asymmetry appears as  $\cos(2\phi_0)$ . In the naive TMD factorization (Born level), both asymmetries can be formulated in terms of the Collins fragmentation functions for the hadrons. However, only for the second case, we can immediately generalize a QCD factorization in terms of the TMDs. For the first case, a certain modification has to be made to have a QCD factorization formula. The reason for this complication is that, in order to describe the case of method 1, one has to

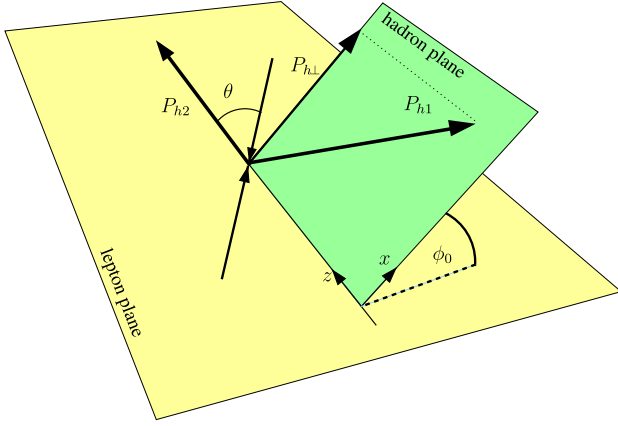


FIG. 2.  $e^+ + e^- \rightarrow h_1 + h_2 + X$  process in the frame of method 2.

define the jet direction, which is beyond the usual situation of the TMD factorization such as the TMD factorization in SIDIS and Drell-Yan lepton pair production.

In this paper, as a first step, we only consider the second case for the Collins asymmetries in  $e^+e^-$  annihilations. In this measurement, see Fig. 2, the transverse-momentum dependence is measured for the hadron ( $h_1$ ) relative to the direction of hadron ( $h_2$ ). The total transverse-momentum dependence comes from the TMD fragmentation functions for hadron  $h_1$  and hadron  $h_2$ , plus the soft factor generated from the soft gluon radiation. Again, we focus on the low transverse-momentum region, where TMD factorization is appropriate and reads [89,90]

$$\begin{aligned} & \frac{d^5 \sigma^{e^+e^- \rightarrow h_1 h_2 + X}}{dz_{h1} dz_{h2} d^2 P_{h\perp} d \cos \theta} \\ &= \frac{N_c \pi \alpha_{em}^2}{2Q^2} [(1 + \cos^2 \theta) Z_{uu}^{h_1 h_2} + \sin^2 \theta \cos(2\phi_0) Z_{collins}^{h_1 h_2}], \end{aligned} \quad (76)$$

where  $\theta$  is the polar angle between the hadron  $h_2$  and the beam of  $e^+e^-$ ;  $\phi_0$  is defined as the azimuthal angle of hadron  $h_1$  relative to that of hadron  $h_2$ , i.e., of the plane containing hadrons  $h_1$  and  $h_2$  relative to the plane containing hadron  $h_2$  and the lepton pair (see Fig. 2); and  $P_{h\perp}$  is the transverse momentum of hadron  $h_1$  in this frame. We can rewrite the contribution corresponding to  $Z_{collins}^{h_1 h_2}$  in Eq. (76) in the following form,

$$\sin^2 \theta \cos(2\phi_0) Z_{collins}^{h_1 h_2} = \sin^2 \theta (2\hat{e}_x^\alpha \hat{e}_x^\beta - g_\perp^{\alpha\beta}) Z_{collins}^{h_1 h_2 \alpha\beta}, \quad (77)$$

where the unit vector  $\hat{e}_x$  represents the transverse direction of the hadron in the hadron frame and is defined in Fig. 2. The tensor structure of this term leads to  $\cos 2\phi_0$  azimuthal asymmetries between the two hadrons.

The structure functions  $Z_{uu}^{h_1 h_2}$  and  $Z_{collins}^{h_1 h_2}$  have the following form,

$$\begin{aligned} Z_{uu}^{h_1 h_2}(Q; P_{h\perp}) &= \frac{1}{z_{h1}^2} \int \frac{d^2 b}{(2\pi)^2} e^{i\vec{P}_{h\perp} \cdot \vec{b}/z_{h1}} \tilde{Z}_{UU}^{h_1 h_2}(Q; b) \\ &+ Y_{uu}(Q; P_{h\perp}), \end{aligned} \quad (78)$$

$$\begin{aligned} Z_{collins}^{h_1 h_2 \alpha\beta}(Q; P_{h\perp}) &= \frac{1}{z_{h1}^2} \int \frac{d^2 b}{(2\pi)^2} e^{i\vec{P}_{h\perp} \cdot \vec{b}/z_{h1}} \tilde{Z}_{collins}^{h_1 h_2 \alpha\beta}(Q; b) \\ &+ Y_{collins}^{\alpha\beta}(Q; P_{h\perp}), \end{aligned} \quad (79)$$

where the first term depends on the TMD fragmentation functions for the two hadrons and dominates in the  $P_{h\perp}/z_{h1} \ll Q$  region and the second term dominates in the region of  $P_{h\perp}/z_{h1} \gtrsim Q$ . For  $\cos 2\phi_0$  asymmetries, we have an additional contribution from gluon radiation [89] associated with spin-averaged fragmentation functions. This contribution does not depend on the Collins fragmentation function and is proportional to  $P_{h\perp}^2/Q^2$ . It will become important at relatively large transverse momentum and should be included in the above  $Y$  terms. However, in the following, we only consider the low transverse-momentum region  $P_{h\perp} \ll Q$ , where this contribution is power suppressed as compared to the Collins contributions. In addition, in the experimental measurements, the double ratio of the  $\cos 2\phi$  asymmetries are reported for dihadron correlations in  $e^+e^-$  annihilations, where this contribution is cancelled out. Therefore, in the following analysis, we will include neither the contribution from gluon radiation independent of the Collins FF nor the  $Y$  term.

### 1. Experimentally measured Collins azimuthal asymmetries in $e^+e^-$

Let us now discuss the definitions of the asymmetries associated with Collins fragmentation functions in the actual experimental measurements. The Collins function generates  $\cos 2\phi_0$  modulation in the  $e^+e^-$  cross section; let us rewrite Eq. (76) as follows:

$$\begin{aligned} & \frac{d^5 \sigma^{e^+e^- \rightarrow h_1 h_2 + X}}{dz_{h1} dz_{h2} d^2 P_{h\perp} d \cos \theta} \\ &= \frac{\pi N_c \alpha_{em}^2}{2Q^2} (1 + \cos^2 \theta) Z_{uu}^{h_1 h_2} \cdot R^{h_1 h_2}(z_{h1}, z_{h2}, \theta, P_{h\perp}), \end{aligned} \quad (80)$$

$$R^{h_1 h_2}(z_{h1}, z_{h2}, \theta, P_{h\perp}) \equiv 1 + \cos(2\phi_0) \frac{\sin^2 \theta}{1 + \cos^2 \theta} \frac{Z_{collins}^{h_1 h_2}}{Z_{uu}^{h_1 h_2}}. \quad (81)$$

One could also define analogously the  $P_{h\perp}$ -integrated modulation

$$R^{h_1 h_2}(z_{h_1}, z_{h_2}, \theta) \equiv 1 + \cos(2\phi_0) \frac{\sin^2\theta}{1 + \cos^2\theta} \frac{\int dP_{h\perp} P_{h\perp} Z_{\text{collins}}^{h_1 h_2}}{\int dP_{h\perp} P_{h\perp} Z_{uu}^{h_1 h_2}}. \quad (82)$$

In order to eliminate false asymmetries, BELLE and BABAR consider the ratios of unlike-sign ‘‘U’’ ( $\pi^+\pi^- + \pi^-\pi^+$ ) over like-sign ‘‘L’’ ( $\pi^+\pi^+ + \pi^-\pi^-$ ) or charged ‘‘C’’ ( $\pi^+\pi^+ + \pi^-\pi^- + \pi^+\pi^- + \pi^-\pi^+$ ) pion pairs. In our formalism, they can be written as follows,

$$\frac{R^U(z_{h_1}, z_{h_2}, \theta, P_{h\perp})}{R^L(z_{h_1}, z_{h_2}, \theta, P_{h\perp})} \simeq 1 + \cos(2\phi_0) \frac{\langle \sin^2\theta \rangle}{\langle 1 + \cos^2\theta \rangle} \left( \frac{Z_{\text{collins}}^U}{Z_{uu}^U} - \frac{Z_{\text{collins}}^L}{Z_{uu}^L} \right), \quad (83)$$

$$\frac{R^U(z_{h_1}, z_{h_2}, \theta, P_{h\perp})}{R^C(z_{h_1}, z_{h_2}, \theta, P_{h\perp})} \simeq 1 + \cos(2\phi_0) \frac{\langle \sin^2\theta \rangle}{\langle 1 + \cos^2\theta \rangle} \left( \frac{Z_{\text{collins}}^U}{Z_{uu}^U} - \frac{Z_{\text{collins}}^C}{Z_{uu}^C} \right), \quad (84)$$

and likewise for the  $P_{h\perp}$ -integrated modula,

$$\frac{R^U(z_{h_1}, z_{h_2}, \theta)}{R^L(z_{h_1}, z_{h_2}, \theta)} \simeq 1 + \cos(2\phi_0) \frac{\langle \sin^2\theta \rangle}{\langle 1 + \cos^2\theta \rangle} \left( \frac{\int dP_{h\perp} P_{h\perp} Z_{\text{collins}}^U}{\int dP_{h\perp} P_{h\perp} Z_{uu}^U} - \frac{\int dP_{h\perp} P_{h\perp} Z_{\text{collins}}^L}{\int dP_{h\perp} P_{h\perp} Z_{uu}^L} \right), \quad (85)$$

$$\frac{R^U(z_{h_1}, z_{h_2}, \theta)}{R^C(z_{h_1}, z_{h_2}, \theta)} \simeq 1 + \cos(2\phi_0) \frac{\langle \sin^2\theta \rangle}{\langle 1 + \cos^2\theta \rangle} \left( \frac{\int dP_{h\perp} P_{h\perp} Z_{\text{collins}}^U}{\int dP_{h\perp} P_{h\perp} Z_{uu}^U} - \frac{\int dP_{h\perp} P_{h\perp} Z_{\text{collins}}^C}{\int dP_{h\perp} P_{h\perp} Z_{uu}^C} \right), \quad (86)$$

where the relevant functions are given by

$$Z_{uu}^U \equiv Z_{uu}^{\pi^+\pi^-} + Z_{uu}^{\pi^-\pi^+}, \quad Z_{uu}^L \equiv Z_{uu}^{\pi^+\pi^+} + Z_{uu}^{\pi^-\pi^-}, \quad Z_{uu}^C \equiv Z_{uu}^U + Z_{uu}^L, \quad (87)$$

$$Z_{\text{collins}}^U \equiv Z_{\text{collins}}^{\pi^+\pi^-} + Z_{\text{collins}}^{\pi^-\pi^+}, \quad Z_{\text{collins}}^L \equiv Z_{\text{collins}}^{\pi^+\pi^+} + Z_{\text{collins}}^{\pi^-\pi^-}, \quad Z_{\text{collins}}^C \equiv Z_{\text{collins}}^U + Z_{\text{collins}}^L. \quad (88)$$

Experimentally measured asymmetries  $A_0^{UL}$  and  $A_0^{UC}$  are then given by

$$A_0^{UL}(z_{h_1}, z_{h_2}, \theta, P_{h\perp}) \equiv \frac{\langle \sin^2\theta \rangle}{\langle 1 + \cos^2\theta \rangle} \left( \frac{Z_{\text{collins}}^U}{Z_{uu}^U} - \frac{Z_{\text{collins}}^L}{Z_{uu}^L} \right), \quad (89)$$

$$A_0^{UC}(z_{h_1}, z_{h_2}, \theta, P_{h\perp}) \equiv \frac{\langle \sin^2\theta \rangle}{\langle 1 + \cos^2\theta \rangle} \left( \frac{Z_{\text{collins}}^U}{Z_{uu}^U} - \frac{Z_{\text{collins}}^C}{Z_{uu}^C} \right), \quad (90)$$

$$A_0^{UL}(z_{h_1}, z_{h_2}, \theta) \equiv \frac{\langle \sin^2\theta \rangle}{\langle 1 + \cos^2\theta \rangle} \left( \frac{\int dP_{h\perp} P_{h\perp} Z_{\text{collins}}^U}{\int dP_{h\perp} P_{h\perp} Z_{uu}^U} - \frac{\int dP_{h\perp} P_{h\perp} Z_{\text{collins}}^L}{\int dP_{h\perp} P_{h\perp} Z_{uu}^L} \right), \quad (91)$$

$$A_0^{UC}(z_{h_1}, z_{h_2}, \theta) \equiv \frac{\langle \sin^2\theta \rangle}{\langle 1 + \cos^2\theta \rangle} \left( \frac{\int dP_{h\perp} P_{h\perp} Z_{\text{collins}}^U}{\int dP_{h\perp} P_{h\perp} Z_{uu}^U} - \frac{\int dP_{h\perp} P_{h\perp} Z_{\text{collins}}^C}{\int dP_{h\perp} P_{h\perp} Z_{uu}^C} \right). \quad (92)$$

## 2. Structure functions in $e^+e^-$ with QCD evolution

Corresponding structure functions  $Z_{uu}^{h_1 h_2}$  and  $Z_{\text{collins}}^{h_1 h_2}$  are defined as Fourier transforms of structure functions in  $b$  space,

$$Z_{uu}^{h_1 h_2}(Q; P_{h\perp}) = \frac{1}{z_{h_1}^2} \int \frac{d^2 b}{(2\pi)^2} e^{i\vec{P}_{h\perp} \cdot \vec{b}/z_{h_1}} \tilde{Z}_{uu}^{h_1 h_2}(Q; b), \quad (93)$$

$$Z_{\text{collins}}^{h_1 h_2}(Q; P_{h\perp}) = \frac{1}{z_{h_1}^2} \int \frac{d^2 b}{(2\pi)^2} e^{i\vec{P}_{h\perp} \cdot \vec{b}/z_{h_1}} (2\hat{P}_{h\perp}^\alpha \hat{P}_{h\perp}^\beta - g_\perp^{\alpha\beta}) \tilde{Z}_{\text{collins}}^{h_1 h_2 \alpha\beta}(Q; b), \quad (94)$$

where we only keep the term dominant in the low transverse-momentum region. According to the TMD factorization, we can write down

$$\tilde{Z}_{uu}^{h_1 h_2}(Q; b) = \sum_q e_q^2 D_{h_1/q}^{(\text{sub})}(z_{h_1}, b, \zeta_1; \mu) D_{h_2/\bar{q}}^{(\text{sub})}(z_{h_2}, b, \zeta_2; \mu) H_{uu}^{e^+ e^-}(Q; \mu), \quad (95)$$

$$\tilde{Z}_{\text{collins}}^{h_1 h_2 \alpha \beta}(Q; b) = \sum_q e_q^2 \tilde{H}_{1h_1/q}^{\perp \alpha(\text{sub})}(z_{h_1}, b, \zeta_1; \mu) \tilde{H}_{1h_2/\bar{q}}^{\perp \beta(\text{sub})}(z_{h_2}, b, \zeta_2; \mu) H_{\text{collins}}^{e^+ e^-}(Q; \mu), \quad (96)$$

where again  $\zeta_i$  and  $\rho$  are parameters to regulate the light-cone singularities in the TMD fragmentation functions:  $\zeta_i^2 = (2v_i \cdot P_{hi})^2 / v_i^2$  and  $\rho^2 = (2v_1 \cdot v_2)^2 / v_1^2 v_2^2$ . One-loop calculations can be performed for the above observables, and the relevant hard factors shall follow those in SIDIS calculations. In particular, for the  $Z_{uu}$  term, the hard factor is the same as that for Drell-Yan lepton pair production, which differs from SIDIS. This happens because in  $e^+ e^-$  annihilation, the virtual photon is timelike  $q^2 > 0$ , the same as that in Drell-Yan process, whereas in SIDIS, the virtual photon is spacelike  $q^2 < 0$ . Because of the spin independence of hard interaction in perturbative QCD, the hard factor  $Z_{\text{collins}}$  will be the same as  $Z_{uu}$ ,

$$H_{\text{collins}}^{e^+ e^- (JMY)}(Q; \mu) = H_{uu}^{e^+ e^- (JMY)}(Q; \mu) = 1 + \frac{\alpha_s(\mu)}{2\pi} C_F \left[ \ln \frac{Q^2}{\mu^2} + \ln \rho^2 \ln \frac{Q^2}{\mu^2} - \ln \rho^2 + \ln^2 \rho + 2\pi^2 - 4 \right], \quad (97)$$

in the Ji-Ma-Yuan scheme. For the Collins-11 scheme, we will obtain similar results:

$$H_{\text{collins}}^{e^+ e^- (JCC)}(Q; \mu) = H_{uu}^{e^+ e^- (JCC)}(Q; \mu) = 1 + \frac{\alpha_s(\mu)}{2\pi} C_F \left[ 3 \ln \frac{Q^2}{\mu^2} - \ln^2 \left( \frac{Q^2}{\mu^2} \right) + \pi^2 - 8 \right]. \quad (98)$$

Following the previous section, we first derive the evolution results for the TMD unpolarized and Collins fragmentation functions and substituting the results into the above factorization formulas of Eqs. (95) and (96) and obtain

$$\tilde{Z}_{uu}^{h_1 h_2}(Q; b) = e^{-S_{\text{pert}}(Q, b_*) - S_{\text{NP}}^{e^+ e^-}(Q, b)} \tilde{Z}_{uu}^{h_1 h_2}(b_*), \quad (99)$$

$$\begin{aligned} \tilde{Z}_{\text{collins}}^{h_1 h_2 \alpha \beta}(Q; b) &= \left( \frac{-ib^\alpha}{2z_{h_1}} \right) \left( \frac{-ib^\beta}{2z_{h_2}} \right) e^{-S_{\text{pert}}(Q, b_*) - S_{\text{NP}}^{e^+ e^-}(Q, b)} \tilde{Z}_{\text{collins}}^{h_1 h_2}(b_*). \end{aligned} \quad (100)$$

Again, the energy evolution effects are explicit in exponential factors, and

$$\begin{aligned} \tilde{Z}_{uu}^{h_1 h_2}(b_*) &= \sum_q e_q^2 (\hat{C}_{i \leftarrow q}^{(e^+ e^-)} \otimes D_{h_1/i}(z_{h_1}, \mu_b)) \\ &\quad \times (\hat{C}_{j \leftarrow \bar{q}}^{(e^+ e^-)} \otimes D_{h_2/j}(z_{h_2}, \mu_b)), \end{aligned} \quad (101)$$

$$\begin{aligned} \tilde{Z}_{\text{collins}}^{h_1 h_2}(b_*) &= \sum_q e_q^2 (\delta \hat{C}_{i \leftarrow q}^{(e^+ e^-)} \otimes \hat{H}_{h_1/i}^{(3)}(z_{h_1}, \mu_b)) \\ &\quad \times (\delta \hat{C}_{j \leftarrow \bar{q}}^{(e^+ e^-)} \otimes \hat{H}_{h_2/j}^{(3)}(z_{h_2}, \mu_b)), \end{aligned} \quad (102)$$

where the convolution is defined in Eq. (38) and the coefficient functions read

$$\hat{C}_{q' \leftarrow q}^{(e^+ e^-)}(z, \mu_b) = \delta_{q'q} \left[ \delta(1-z) + \frac{\alpha_s}{\pi} \left( \frac{C_F}{2} (1-z) + P_{q \leftarrow q}(z) \ln z + \frac{C_F}{4} (\pi^2 - 8) \delta(1-z) \right) \right], \quad (103)$$

$$\hat{C}_{g \leftarrow q}^{(e^+ e^-)}(z, \mu_b) = \frac{\alpha_s}{\pi} \left( \frac{C_F}{2} z + P_{g \leftarrow q}(z) \ln z \right), \quad (104)$$

$$\delta \hat{C}_{q' \leftarrow q}^{(e^+ e^-)}(z, \mu_b) = \delta_{q'q} \left[ \delta(1-z) + \frac{\alpha_s}{\pi} \left( \hat{P}_{q \leftarrow q}^c(z) \ln z + \frac{C_F}{4} (\pi^2 - 8) \delta(1-z) \right) \right], \quad (105)$$

with the functions  $P_{q \leftarrow q}$ ,  $P_{g \leftarrow q}$ , and  $\hat{P}_{q \leftarrow q}^c$  given in Eqs. (43), (44), and (64), respectively. Again, the above  $C$ -coefficient functions contain the contributions from the associated hard factors in the TMD factorization, similar to the case of SIDIS in

the last section (see the Appendix for detailed derivations). On the other hand, the nonperturbative form factors are parametrized as

$$S_{\text{NP}}^{e^+e^-}(Q, b) = g_2 \ln\left(\frac{b}{b_*}\right) \ln\left(\frac{Q}{Q_0}\right) + \left(\frac{g_h}{z_{h1}^2} + \frac{g_h}{z_{h2}^2}\right) b^2, \quad (106)$$

$$S_{\text{NPcollins}}^{e^+e^-}(Q, b) = g_2 \ln\left(\frac{b}{b_*}\right) \ln\left(\frac{Q}{Q_0}\right) + \left(\frac{g_h - g_c}{z_{h1}^2} + \frac{g_h - g_c}{z_{h2}^2}\right) b^2, \quad (107)$$

where we have utilized the universality arguments for these parameters. Performing Fourier transforms in Eqs. (93) and (94), we have

$$Z_{uu}^{h_1 h_2}(Q; P_{h\perp}) = \frac{1}{z_{h1}^2} \int_0^\infty \frac{dbb}{(2\pi)} J_0(P_{h\perp} b / z_{h1}) \times e^{-S_{\text{pert}}(Q, b_* - S_{\text{NP}}^{e^+e^-}(Q, b))} \tilde{Z}_{uu}^{h_1 h_2}(b_*), \quad (108)$$

$$Z_{\text{collins}}^{h_1 h_2}(Q; P_{h\perp}) = \frac{1}{z_{h1}^2} \frac{1}{4z_{h1} z_{h2}} \int_0^\infty \frac{dbb^3}{(2\pi)} J_2(P_{h\perp} b / z_{h1}) \times e^{-S_{\text{pert}}(Q, b_* - S_{\text{NPcollins}}^{e^+e^-}(Q, b))} \tilde{Z}_{\text{collins}}^{h_1 h_2}(b_*), \quad (109)$$

with  $J_2$  the associated Bessel function.

### III. GLOBAL FIT WITH TMD EVOLUTION

#### A. Parametrizations

As we have seen in previous sections, we have two unknown functions to be extracted from experimental data: collinear transversity distribution  $h_1^q$  and collinear twist-3 fragmentation function  $\hat{H}_{h/q}^{(3)}$ . The QCD evolution of both functions is known, and the  $x$  dependence of  $h_1^q$  and  $z$  dependence of  $\hat{H}_{h/q}^{(3)}$  at the initial scale  $Q_0$  should be parametrized.

In the global fit, we parametrize the quark transversity distributions as

$$h_1^q(x, Q_0) = N_h^q x^{a_q} (1-x)^{b_q} \frac{(a_q + b_q)^{a_q + b_q}}{a_q^{a_q} b_q^{b_q}} \times \frac{1}{2} (f_1^q(x, Q_0) + g_1^q(x, Q_0)), \quad (110)$$

at the initial scale  $Q_0$ , for up and down quarks  $q = u, d$ , respectively, where  $f_1^q$  are the unpolarized CT10 next-to-leading-order (NLO) quark distributions [91] and  $g_1^q$  are the

NLO de Florian, Sassot, Stratmann, Vogelsang (DSSV) quark helicity distributions [92]. In our parametrization we enforce the so-called Soffer positivity bound [93] of transversity distribution at the initial scale. This bound is known to be valid [60,61] up to NLO order in perturbative QCD. A possible violation of the Soffer bound was predicted in Ref. [94], so it is very interesting to determine phenomenologically if there are signs of such violation in experimental data. Many extractions of transversity, for instance those of Refs. [17,18], indeed show saturation of the Soffer bound for  $d$ -quark transversity. These possible violations happen in the region of large  $x$ , and thus future Jefferson Lab 12 data will allow us to shed light on the validity of the Soffer bound.

In this study, we assume that all the sea quark transversity distributions are negligible. With more data available in the future, we hope we can constrain the sea quark as well, in particular, with the Electron-Ion Collider. We leave estimates on possible nonzero sea quarks transversity distributions for future publications.

Similarly, we parametrize the twist-3 Collins fragmentation functions in terms of the unpolarized fragmentation functions,

$$\hat{H}_{\text{fav}}^{(3)}(z, Q_0) = N_u^c z^{\alpha_u} (1-z)^{\beta_u} D_{\pi^+/u}(z, Q_0), \quad (111)$$

$$\hat{H}_{\text{unf}}^{(3)}(z, Q_0) = N_d^c z^{\alpha_d} (1-z)^{\beta_d} D_{\pi^+/d}(z, Q_0), \quad (112)$$

which correspond to the favored and unfavored Collins fragmentation functions, respectively. We also utilize the newest NLO extraction of fragmentation functions [95]. The new de Florian, Sassot, Stratmann (DSS) FF set is capable of describing pion multiplicities measured by COMPASS and HERMES collaborations. In fact it is the *only* set of fragmentation functions that accurately describes COMPASS and HERMES data. The quality of the global fit improved from  $\chi^2/\text{d.o.f.} \approx 2.2$  for the previous DSS NLO fit [96] to  $\chi^2/\text{d.o.f.} \approx 1.2$  for the new NLO fit [95]. Extractions of leading-order (LO) FFs [96] have yielded a much less satisfactory description of the available pion data, and thus NLO sets ought to be used in extractions of TMDs. NLL accuracy allows us to utilize this set at NLO. We have verified that results presented here are in complete agreement with the previously published extraction of Ref. [19].

The rest can be obtained by applying the isospin relations. We also neglect the possible difference of the favored/unfavored fragmentation function of  $\bar{u}, \bar{d}$  and  $u, d$ :

$$\begin{aligned} \hat{H}_{\pi^+/\bar{u}}^{(3)}(z, Q_0) &= \hat{H}_{\pi^-/u}^{(3)}(z, Q_0) \\ &= \hat{H}_{\pi^-/\bar{d}}^{(3)}(z, Q_0) \\ &= \hat{H}_{\text{unf}}(z, Q_0), \end{aligned} \quad (113)$$

$$\begin{aligned}
\hat{H}_{\pi^+/\bar{d}}^{(3)}(z, Q_0) &= \hat{H}_{\pi^-/d}^{(3)}(z, Q_0) \\
&= \hat{H}_{\pi^-/\bar{u}}^{(3)}(z, Q_0) \\
&= \hat{H}_{\text{fav}}(z, Q_0). \tag{114}
\end{aligned}$$

Strange quark fragmentation deserves additional attention. Fragmentation of strange quarks to hadrons is different from just “unfavored” fragmentation functions, such as  $D_{\pi^+/\bar{u}}$ , and in order to take this into account, we will parametrize the strange quark unfavored Collins fragmentation function as

$$\begin{aligned}
\hat{H}_{\text{unfs}}^{(3)}(z, Q_0) &\equiv \hat{H}_{\pi^\pm/s,\bar{s}}^{(3)}(z, Q_0) \\
&= N_d^c z^{\alpha_d} (1-z)^{\beta_d} D_{\pi^\pm/s,\bar{s}}(z, Q_0). \tag{115}
\end{aligned}$$

We would like to emphasize that in the fit, we will solve the DGLAP evolution equations for both the transversity and Collins FF to the scale  $\mu_b = c_0/b_*$ , in order to be complete at the NLL' order. The numerical solution of DGLAP equations is performed in  $x$  space by the HOPPET evolution package [97]. The original code of HOPPET is modified by us so that transversity splitting functions are included, the initial scale for the evolution is chosen to be  $Q_0^2 = 2.4 \text{ GeV}^2$ , and the HOPPET code is executed using  $\alpha_s(Q_0) = 0.327$ . In our numerical calculations, we consistently use the two-loop order result for  $\alpha_s(\mu)$  with  $n_f = 5$  effective quark flavors and  $\Lambda_{\text{QCD}} = 0.225 \text{ GeV}$  such that  $\alpha_s(M_Z) = 0.118$ .

For the nonperturbative form factors, we use the following parameters from Ref. [44]:  $g_q = g_1/2 = 0.106$ ,  $g_2 = 0.84$ , and  $g_h = 0.042 \text{ (GeV}^2\text{)}$ . The NLL formula has a large negative contribution coming from  $C^{(1)} \propto -2C_F\delta(1-x)$ , see Eq. (48), and  $H^{(1)} \propto -8C_F$ , see Eq. (139); this makes the need for potentially large  $K$  factors in the description of the data. We assume that  $K$  factors will be largest in the lowest  $Q^2$  region where  $\alpha_s$  is relatively large. In fact the fits of SIDIS and Drell-Yan data of Ref. [44] revealed  $K_{\text{SIDIS}} \sim 2$  for COMPASS and HERMES and  $K_{DY} \sim 1$  for Drell-Yan data. In asymmetry  $K$  factors cancel, so we will not use them in present analysis.

The existing experimental data do not allow us to determine precisely shapes of all polarized distributions in coordinate space, and we make a simplifying assumption and allow for the Collins fragmentation function to modify its shape with respect to unpolarized fragmentation distributions and have  $g_c$  as a free parameter in the fit.

Therefore, we have total of 13 parameters in our global fit:  $N_u^h, N_d^h, a_u, a_d, b_u, b_d, N_u^c, N_d^c, \alpha_u, \alpha_d, \beta_d, \beta_u$ , and  $g_c \text{ (GeV}^2\text{)}$ .

## B. Experimental data

Let us also discuss available experimental data. In this paper we extract Collins fragmentation functions for pions and transversity distributions for  $u, d$  and favored/unfavored Collins fragmentation functions for pions. Thus, we will select the data involving pion production only.

The HERMES Collaboration measured Collins asymmetries in electron proton scattering at the laboratory electron beam energy 27.5 GeV in production of  $\pi^+, \pi^-$ , and  $\pi^0$  [98]. The data are presented in bins of  $x_B, z_h$ , and  $P_{h\perp}$ , respectively. Clear nonzero asymmetries were found for both  $\pi^+$  and  $\pi^-$ . Large negative asymmetry for  $\pi^-$  suggests that unfavored Collins fragmentation function is big and not suppressed with respect to the favored one.

The COMPASS Collaboration uses a muon beam of energy 160 GeV and have measured Collins asymmetries on both  $\text{NH}_3$  (proton) [99] and  $\text{LiD}$  (deuterium) [100] targets. The data are presented as a function of  $x_B, z_h$ , and  $P_{h\perp}$ . Results on the proton target are compatible with HERMES findings, and asymmetries are found to be compatible with zero on the deuteron target. The beam energy of COMPASS is higher than the energy of HERMES, and thus COMPASS reaches lower values of  $x_B \sim 10^{-3}$ . For each point in  $x_B$ , the scale  $Q^2$  is higher at COMPASS as one has  $Q^2 = sxy$ . Both experiments consider  $Q^2 > 1 \text{ GeV}^2$  in order to be in the perturbative region. The energies of  $\gamma^*p$  are also constrained as:  $W^2 > 10 \text{ GeV}^2$  for HERMES and  $W^2 > 25 \text{ GeV}^2$  for COMPASS in order to be outside of the resonance region. The COMPASS Collaboration considers the  $z_h > 0.2$  region, and the HERMES Collaboration uses  $0.2 < z_h < 0.7$  in order to minimize both target fragmentation effects and the exclusive reaction contribution. All other experimental cuts are described in Refs. [98–100].

Jefferson Lab’s HALL A published data of  $\pi^\pm$  pion production in 5.9 GeV electron scattering on the  $^3\text{He}$  (effective neutron) target [9]. Jefferson Lab operates at relatively low energy and reaches higher values of  $x_B \sim 0.35$ .

Information on Collins fragmentation functions is contained in  $e^+e^-$  at the energy  $\sqrt{s} \approx 10.6 \text{ GeV}$  data of the BELLE [12] and BABAR [101] collaborations. Note that a usual feature of TMD evolution is widening of distributions with the increase of the hard scale. Thus, it is very important to check our knowledge against available data on  $P_{h\perp}$  distributions and corresponding  $P_{h\perp}$  dependencies of asymmetries. For this reason, we include BABAR [101] data on  $P_{h\perp}$  dependence in our fit. We will also present predictions of  $P_{h\perp}$  dependence of the unpolarized cross section that will be the ultimate test of the model. As we mentioned in Sec. II B, we will use  $A_0$  data on Collins asymmetries in  $e^+e^-$  in our fit. Both the BELLE and BABAR collaborations require the momentum of the virtual photon  $P_{h\perp}/z_{h1} < 3.5 \text{ GeV}$  in order to remove contributions from hadrons assigned to the wrong hemisphere, and



it also helps to remove the contribution from gluon radiation. The analysis of BELLE is performed in  $(z_{h1}, z_{h2})$  bins with boundaries at  $z_{hi} = 0.2, 0.3, 0.5, 0.7,$  and  $1.0$ . The BABAR Collaboration chooses 6  $z_{hi}$  bins:  $[0.15 - 0.2], [0.2 - 0.3], [0.3 - 0.4], [0.4 - 0.5], [0.5 - 0.7],$  and  $[0.7 - 0.9]$ . A characteristic feature of the asymmetry is growth with  $z_{hi}$ , which is compatible with the  $z_{hi}$  dependence of the theoretical formula, and the asymmetry should vanish in the limit  $z_{hi} \rightarrow 0$ .

### C. Fitting procedure

We proceed with a global fit of SIDIS and  $e^+e^-$  data using the MINUIT package [102,103] by minimizing the total  $\chi^2$ ,

$$\chi^2(\{a\}) = \sum_{i=1}^N \sum_{j=1}^{N_i} \frac{(T_j(\{a\}) - E_j)^2}{\Delta E_j^2}, \quad (116)$$

for  $i = 1, \dots, N$  data sets each containing  $N_i$  data points. Experimental measurement of each point is  $E_j$ , experimental uncertainty is  $\Delta E_j$ , and the theoretical estimate,  $T_j$ , is calculated for a given set of parameters  $\{a\} = \{N_u^h, N_d^h, a_u, a_d, b_u, b_d, N_u^c, N_d^c, \alpha_u, \alpha_d, \beta_d, \beta_u, g_c\}$ . We include both statistical and systematical experimental uncertainties in quadrature. Normalization uncertainties are not included in this fit. We have in total  $N = 26$  sets, of which  $N_{\text{SIDIS}} = 20$  sets for SIDIS and  $N_{e^+e^-} = 6$  sets in  $e^+e^-$ . The formalism is valid for low values of  $P_{h\perp}/z \ll Q$ , so we include only SIDIS data for  $P_{h\perp}$  dependence using a conservative choice,  $P_{h\perp} < 0.8$  GeV. We also limit  $P_{h\perp}/z_{h1} < 3.5$  GeV from BELLE [12] and BABAR [101] data following the experimental cuts. The number of points is  $N_{\text{total}}^{\text{SIDIS}} = 140$  and  $N_{\text{total}}^{e^+e^-} = 122$ . The number of fitted parameters, 13, is adequate for fitting the total number of data points,  $N_{\text{total}} = 262$ . More flexible parametrizations will be explored in future publications. In the fit we use the average values of  $\langle x_B \rangle, \langle z_h \rangle, \langle y \rangle, \langle P_{h\perp} \rangle$  for each bin in SIDIS and  $\langle z_{h1} \rangle, \langle z_{h2} \rangle, \langle P_{h\perp} \rangle, \langle \sin^2 \theta \rangle / \langle 1 + \cos^2 \theta \rangle$  for each bin in  $e^+e^-$ .

We present an estimate at the 90% C.L. interval for the nucleon tensor charge contributions and estimate errors on our results using the strategy outlined in Refs. [104,105]. The method consists of exploring the parameter space  $\{a_i\}$  by exploring possible values of  $\chi^2$  so that

$$\chi^2(\{a_i\}) \leq \chi_{\text{min}}^2 + \Delta\chi^2, \quad (117)$$

where  $\Delta\chi^2$  corresponds to the so-called fit *tolerance*  $T \equiv \sqrt{\Delta\chi^2}$ . In the ideal case of uncorrelated measurements without unknown sources of error and Gaussian errors of the measured observables, the 68% C.L. corresponds to  $\Delta\chi^2 = 1$  and 90% C.L. to  $\Delta\chi^2 = 2.71$ . In the typical measurement of asymmetries or other observables, one encounters either

correlated measurements or some inconsistent data sets due to uncontrolled experimental and/or theoretical errors. In order to deal with those issues, the tolerance is changed with respect to the standard values.

A very rough idea of a good fit of the data set that contains  $N$  points is the resulting  $\chi^2$  being in the range of  $N \pm \sqrt{2N}$ . A more precise quantification of the allowed tolerance or  $\Delta\chi^2$  can be estimated by assuming that the calculated  $\chi^2$  follows the  $\chi^2$ -distribution for  $N$  degrees of freedom with the probability density function

$$\frac{1}{2\Gamma(N/2)} \left(\frac{\chi^2}{2}\right)^{N/2-1} \exp\left[-\frac{\chi^2}{2}\right]. \quad (118)$$

The most probable value is the 50th percentile  $\xi_{50}$  (compare to the goodness of fit):

$$\int_0^{\xi_{50}} d\chi^2 \frac{1}{2\Gamma(N/2)} \left(\frac{\chi^2}{2}\right)^{N/2-1} \exp\left[-\frac{\chi^2}{2}\right] = 0.5. \quad (119)$$

This percentile is of order of  $N$ . The 90th percentile,  $\xi_{90}$ , is accordingly

$$\int_0^{\xi_{90}} d\chi^2 \frac{1}{2\Gamma(N/2)} \left(\frac{\chi^2}{2}\right)^{N/2-1} \exp\left[-\frac{\chi^2}{2}\right] = 0.9. \quad (120)$$

The  $\Delta\chi^2$  is defined then as

$$\Delta\chi^2 \equiv \xi_{90} - \xi_{50}. \quad (121)$$

Analogously we can define

$$\Delta\chi_{68}^2 \equiv \xi_{68} - \xi_{50}. \quad (122)$$

for 68% C.L. In our particular case with 13 fitting parameters, we have  $N = N_{\text{total}} - 13 = 249$ ,  $\xi_{50} = 248.3$ , and  $\xi_{90} = 278.0$ , and thus  $\Delta\chi^2 = 29.7$ . It is comparable to  $\sqrt{2N} = 22.3$ . We also have  $\Delta\chi_{68}^2 = 10.6$ .

For each set of experimental data  $i$ , the 90% C.L. is defined as in Ref. [105],

$$\chi_i^2 \leq \left(\frac{\chi_{i\text{min}}^2}{\xi_{50}}\right) \xi_{90}; \quad (123)$$

note that the value of  $\xi_{90}$  is renormalized by  $\chi_{i\text{min}}^2 / \xi_{50}$  due to the fact that in the total global minimum  $\chi_{\text{min}}^2 = \sum_i \chi_{i\text{min}}^2$  the value of  $\chi_{i\text{min}}^2$  may be away from the possible minimal value.

In order to estimate errors on parameters and on the calculation of asymmetries, we will utilize a Monte Carlo sampling method explained in Ref. [72]. We are going to generate samples of parameters  $\{a_i\}$  in the vicinity of the minimum found by MINUIT  $\{a_0\}$  that defines the minimal value of total  $\chi_{\text{min}}^2$ . In order to account for correlations in

parameters and improve numerical performance, we will generate *correlated* parameter samples using CERNLIB's<sup>1</sup> Monte Carlo generators CORSET and CORGEN utilizing the correlation matrix found by MINUIT. We generate 135 sets of parameters  $\{a_i\}$  that satisfy

$$\chi^2(\{a_i\}) \leq \chi_{\min}^2 + \Delta\chi^2, \quad (124)$$

with  $\Delta\chi^2$  from Eq. (121). By definition these sets correspond to the hypervolume in parameter space that defines the 90% C.L. region. Any observable then will be calculated using these sets, and the maximum and minimal value found will define our 90% C.L. estimate. This Monte Carlo method underestimates the errors due to the limited number of generated parameter sets (135). The errors on asymmetries and functions that we quote are thus estimates, and we will use a more robust method to estimate the errors on the tensor charge. Errors on the tensor charge will be calculated using the evaluation of the  $\chi^2$ -profile by varying parameters of the model and careful analysis of the possible values.

#### D. Results

The resulting parameters after the minimization procedure are presented in Table I. Only the relative sign of transversity can be determined, and we present here a solution with positive  $u$  quark transversity as in Refs. [15–17,65,106]. Indeed transversity and helicity distributions can be related via the boost and rotation of corresponding operators; however, boost and rotation do not commute in quantum theory, and thus these two distributions are independent and in principle different. It is unlikely that they differ by sign, and thus we choose the same sign for  $u$ -quark transversity and  $u$ -quark helicity distribution [92], which is positive. Transversity of the  $d$  quark is negative. Favored and unfavored Collins FFs are of opposite signs, indeed  $N_u^c < 0$ ,  $N_d^c > 0$ , and of approximately the same magnitudes. It means that the *favored* Collins fragmentation function is positive and the *unfavored* Collins fragmentation function is negative; see Eq. (58). The corresponding sum rule [107,108] for Collins fragmentation functions reads

$$\sum_h \sum_{S_h} \int_0^1 dz_h z_h H_{1h/j}^{\perp(1)}(z_h)|_{\text{Trento}} = 0, \quad (125)$$

which suggests the compensation of favored and unfavored Collins fragmentation functions.

We observe that parameters that define the  $z$  dependence of Collins FFs  $\alpha_u$  and  $\alpha_d$  are different, and thus the  $z$ -shapes of the favorite and unfavorite Collins FFs are different. The same is true for transversity distributions; both the large- $x$  region controlled by  $b_u$  and  $b_d$  and the low- $x$  region controlled by  $a_u$  and  $a_d$  indicate that the  $x$ -shapes of

TABLE I. Fitted parameters of the transversity quark distributions for  $u$  and  $d$  and Collins fragmentation functions. The fit is performed by using the MINUIT minimization package. Quoted errors correspond to the MINUIT estimate.

$N_u^h = 0.85 \pm 0.09$	$a_u = 0.69 \pm 0.04$	$b_u = 0.05 \pm 0.04$
$N_d^h = -1.0 \pm 0.13$	$a_d = 1.79 \pm 0.32$	$b_d = 7.00 \pm 2.65$
$N_u^c = -0.262 \pm 0.025$	$\alpha_u = 1.69 \pm 0.01$	$\beta_u = 0.00 \pm 0.54$
$N_d^c = 0.195 \pm 0.007$	$\alpha_d = 0.32 \pm 0.04$	$\beta_d = 0.00 \pm 0.79$
$g_c = 0.0236 \pm 0.0007 \text{ (GeV}^2\text{)}$		
$\chi_{\min}^2 = 218.407$		$\chi_{\min}^2/n.\text{d.o.f} = 0.88$

transversity for  $u$  and  $d$  quarks are different. It might be well possible that the  $k_{\perp}$ -shape of transversity and Collins fragmentation functions is also flavor dependent; however, the current experimental data do not allow us to determine whether it is true or not.

The total  $\chi_{\min}^2 = 218.407$ , and  $\chi^2/n_{\text{d.o.f.}} = 218.407/249 = 0.88$ . We calculate the goodness of fit using the well-known formula [109]:

$$P(\chi_{\min}^2, n_{\text{d.o.f.}}) = 1 - \int_0^{\chi_{\min}^2} d\chi^2 \frac{1}{2\Gamma(n_{\text{d.o.f.}}/2)} \times \left(\frac{\chi^2}{2}\right)^{n_{\text{d.o.f.}}/2-1} \exp\left[-\frac{\chi^2}{2}\right]. \quad (126)$$

The goodness of fit describes how well it fits a set of observables. In principle if the model adequately describes the data, then one would expect  $\chi^2/n_{\text{d.o.f.}} \approx 1$ . In case  $\chi^2/n_{\text{d.o.f.}} \gg 1$ , the model fails to describe the data, and  $\chi^2/n_{\text{d.o.f.}} \ll 1$  means that the model starts fitting the statistical noise in the data. Notice that in our fit we obtained  $\chi^2/n_{\text{d.o.f.}} = 0.88$ , which means that the number of parameters is adequately chosen. An attempt to extract more information from the data, such as flavor dependence, etc., would lead to  $\chi^2/n_{\text{d.o.f.}} \ll 1$ . One of course can estimate a number of different hypotheses, and we leave those estimates for further publications.

We obtain that the probability that the fit indeed is the underlying mechanism for the measured asymmetries is  $P(218.407, 249) = 92\%$ . This gives us full confidence in the presented results. It is very important to note that we have used the data from two different processes implementing appropriate factorization and evolution. Thus, we have also presented phenomenological proof that these processes, SIDIS and  $e^+e^-$ , are consistent with TMD factorization.

The results of the fit including partial values of  $\chi^2$  are presented in Table II for SIDIS experiments and in Table III for  $e^+e^-$  experiments. One observes that  $\chi^2$  values are quite satisfactory and *homogeneous* for both SIDIS,  $\chi_{\text{SIDIS}}^2/N_{\text{total}}^{\text{SIDIS}} = 0.93$ , and  $e^+e^-$ ,  $\chi_{e^+e^-}^2/N_{\text{total}}^{e^+e^-} = 0.72$ . The TMD factorization approach is describing data of both SIDIS and  $e^+e^-$  adequately.

<sup>1</sup><http://cernlib.web.cern.ch/cernlib/>.

TABLE II. Partial  $\chi^2$  values of the global best fit for SIDIS experiments.

Experiment	Hadron	Target	Dependence	ndata	$\chi^2$	$\chi^2/\text{ndata}$
COMPASS [100]	$\pi^+$	LiD	$x$	9	11.16	1.24
COMPASS [100]	$\pi^-$	LiD	$x$	9	9.08	1.01
COMPASS [100]	$\pi^+$	LiD	$z$	8	3.26	0.41
COMPASS [100]	$\pi^-$	LiD	$z$	8	7.29	0.91
COMPASS [100]	$\pi^+$	LiD	$P_{h\perp}$	6	4.19	0.70
COMPASS [100]	$\pi^-$	LiD	$P_{h\perp}$	6	4.50	0.75
COMPASS [99]	$\pi^+$	NH <sub>3</sub>	$x$	9	21.46	2.38
COMPASS [99]	$\pi^-$	NH <sub>3</sub>	$x$	9	6.23	0.69
COMPASS [99]	$\pi^+$	NH <sub>3</sub>	$z$	8	7.80	0.98
COMPASS [99]	$\pi^-$	NH <sub>3</sub>	$z$	8	10.29	1.29
COMPASS [99]	$\pi^+$	NH <sub>3</sub>	$P_{h\perp}$	6	3.82	0.64
COMPASS [99]	$\pi^-$	NH <sub>3</sub>	$P_{h\perp}$	6	3.85	0.64
HERMES [98]	$\pi^+$	H	$x$	7	5.37	0.77
HERMES [98]	$\pi^-$	H	$x$	7	12.61	1.80
HERMES [98]	$\pi^+$	H	$z$	7	3.04	0.43
HERMES [98]	$\pi^-$	H	$z$	7	3.23	0.46
HERMES [98]	$\pi^+$	H	$P_{h\perp}$	6	1.60	0.27
HERMES [98]	$\pi^-$	H	$P_{h\perp}$	6	4.82	0.80
JLAB [9]	$\pi^+$	<sup>3</sup> He	$x$	4	3.90	0.98
JLAB [9]	$\pi^-$	<sup>3</sup> He	$x$	4	3.11	0.78
				140	130.65	0.93

The description of SIDIS data is very good. The data span the energy range starting from  $P_{\text{lab}}^{\text{JLAB}} = 5.9$  to  $P_{\text{lab}}^{\text{HERMES}} = 27.5$ , and to  $P_{\text{lab}}^{\text{COMPASS}} = 160$  GeV. The resolution scale changes also in a relatively wide region,  $1 \lesssim \langle Q^2 \rangle \lesssim 6$  (GeV<sup>2</sup>) for HERMES and  $1 \lesssim \langle Q^2 \rangle \lesssim 21$  (GeV<sup>2</sup>) for COMPASS. One can see from Table II that the description of the individual subsets is also very satisfactory.

As we mentioned in Sec. I, it is very important to include appropriate QCD evolution in order to be able to have a controlled accuracy and adequate description of  $e^+e^-$  data that is measured at  $Q^2 \approx 110$  GeV<sup>2</sup>. One can see from Table III that both the BELLE [12] and BABAR [101] data sets on  $A_0$  are described well. Both methods  $UC$  and  $UL$  from BELLE [12] and BABAR [101] appear to be consistent with our description, and also the  $P_{h\perp}$  dependence of

asymmetry is well described. We will give predictions for  $P_{h\perp}$ -dependent unpolarized cross sections in  $e^+e^-$  in the following section.

### E. Transversity, Collins fragmentation functions, and tensor charge

We plot transversity and the Collins fragmentation function in Fig. 3 at two different scales,  $Q^2 = 10$  and 1000 GeV<sup>2</sup>. In order to evaluate functions, we solve appropriate DGLAP equations for transversity, Eq. (69), and twist-3 collins functions, Eq. (71). Due to the fact that neither of the functions mixes with gluons, these distributions do not change drastically in the low- $x$  region due to DGLAP evolution.

Transversity enters directly in SIDIS asymmetry, and we find that the main constraints come from SIDIS data only;

TABLE III. Partial  $\chi^2$  values of the global best fit for  $e^+e^-$  experiments.

Experiment	Observable	Dependence	ndata	$\chi^2$	$\chi^2/\text{ndata}$
BELLE [12]	$A_0^{UL}$	$z$	16	13.02	0.81
BELLE [12]	$A_0^{UC}$	$z$	16	11.54	0.72
BABAR [101]	$A_0^{UL}$	$z$	36	34.61	0.96
BABAR [101]	$A_0^{UC}$	$z$	36	15.17	0.42
BABAR [101]	$A_0^{UL}$	$P_{h\perp}$	9	9.09	1.01
BABAR [101]	$A_0^{UC}$	$P_{h\perp}$	9	4.33	0.48
			122	87.76	0.72

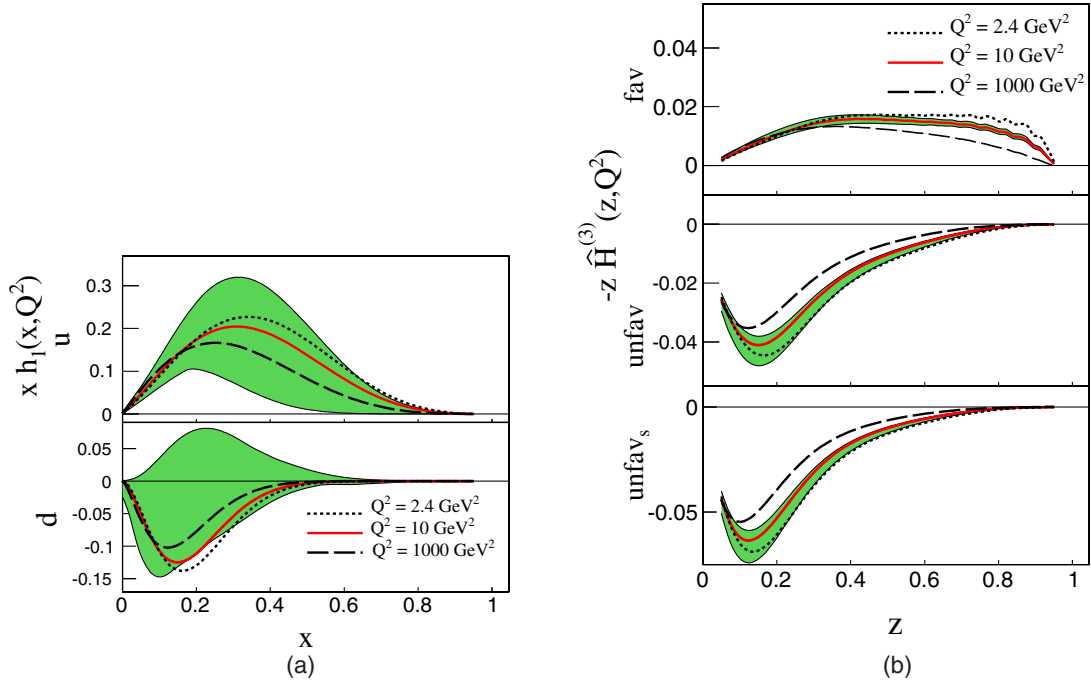
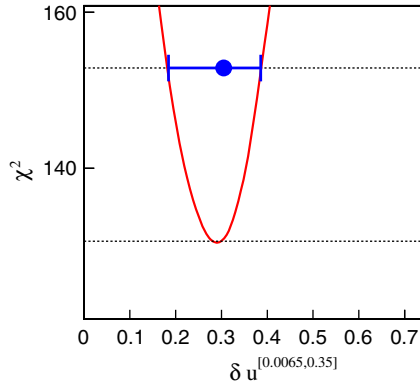


FIG. 3. Extracted transversity distribution (a) and Collins regimentation function (b) at three different scales,  $Q^2 = 2.4$  (dotted lines),  $Q^2 = 10$  (solid lines), and  $Q^2 = 1000$  (dashed lines)  $\text{GeV}^2$ . The shaded region corresponds to our estimate of the 90% C.L. error band at  $Q^2 = 10 \text{ GeV}^2$ .

its correlations with errors of the Collins FF turn out to be numerically negligible. We thus vary only  $\chi^2_{\text{SIDIS}}$  and use  $\Delta\chi^2_{\text{SIDIS}} = 22.2$  for 90% C.L. and  $\Delta\chi^2_{\text{SIDIS}} = 6.4$  for 68% C.L. calculated using Eq. (123). Since the experimental data have only probed the limited region  $0.0065 < x_B < 0.35$ , we define the following partial contribution to the tensor charge:

$$\delta q^{[x_{\min}, x_{\max}]}(Q^2) \equiv \int_{x_{\min}}^{x_{\max}} dx h_1^q(x, Q^2). \quad (127)$$

In Fig. 4, we plot the  $\chi^2$  Monte Carlo scanning of SIDIS data for the contribution to the tensor charge from such a region and find [19]



$$\delta u^{[0.0065, 0.35]} = +0.30^{+0.08}_{-0.12}, \quad (128)$$

$$\delta d^{[0.0065, 0.35]} = -0.20^{+0.28}_{-0.11}, \quad (129)$$

at 90% C.L. at  $Q^2 = 10 \text{ GeV}^2$ . Analogously in Fig. 5, we plot the  $\chi^2$  Monte Carlo scanning of SIDIS data at 68% C.L. at  $Q^2 = 10 \text{ GeV}^2$  and find

$$\delta u^{[0.0065, 0.35]} = +0.30^{+0.04}_{-0.07}, \quad (130)$$

$$\delta d^{[0.0065, 0.35]} = -0.20^{+0.12}_{-0.07}. \quad (131)$$

We notice that this result is comparable with previous TMD extractions without evolution [15–17] and the dihadron method [65,106].

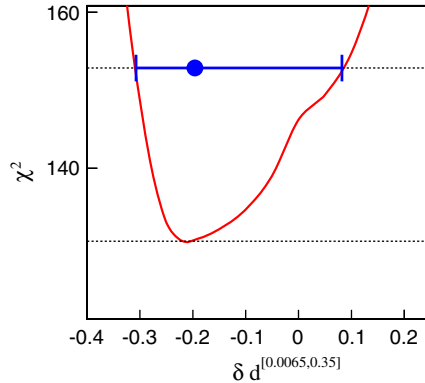


FIG. 4.  $\chi^2$  profiles for up and down quark contributions to the tensor charge. The errors of points correspond to the 90% C.L. interval at  $Q^2 = 10 \text{ GeV}^2$ .

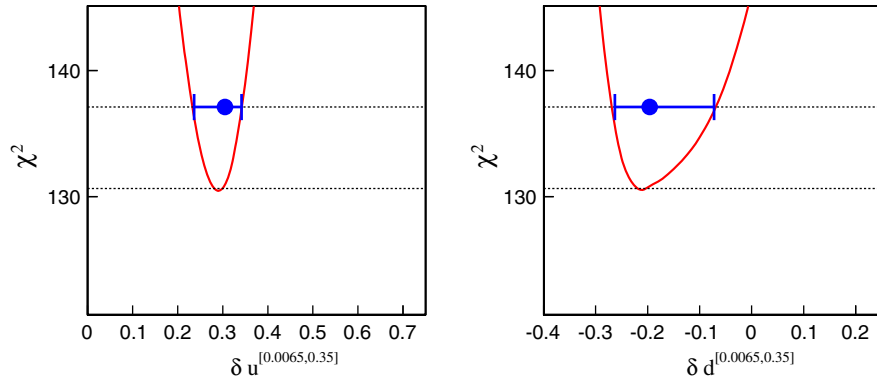


FIG. 5.  $\chi^2$  profiles for up and down quark contributions to the tensor charge. The errors of points correspond to the 68% C.L. interval at  $Q^2 = 10 \text{ GeV}^2$ .

Existing experimental data cover a limited kinematic region, and thus a simple extension of our fitted parametrization to the whole range of  $0 < x_B < 1$  will significantly underestimate the uncertainties, in particular, in the dominant large- $x_B$  regime. It is extremely important to extend the experimental study of the quark transversity distribution to both large and small  $x_B$  to constrain the total tensor charge contributions. This requires future

experiments to provide measurements at the Jefferson Lab 12 GeV upgrade [110] and the planned Electron-Ion Collider [4, 111, 112]. Nevertheless for completeness let us present our results on the tensor charge calculated over the whole kinematical region  $\delta q^{[0,1]}$ ,

$$\delta u^{[0,1]} = +0.39^{+0.16}_{-0.20}, \quad (132)$$

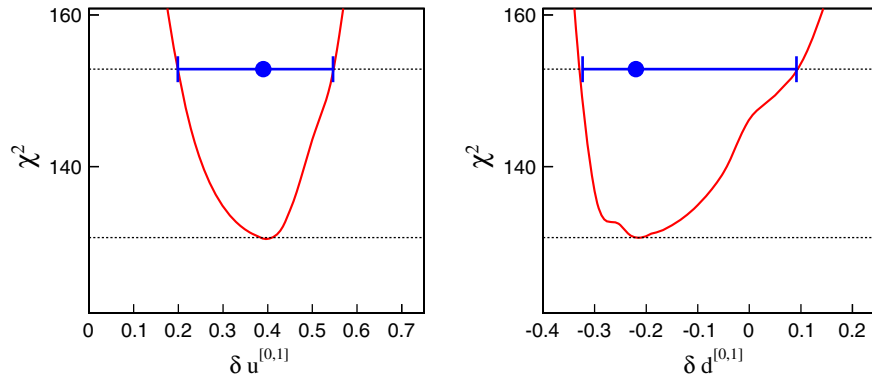


FIG. 6.  $\chi^2$  profiles for up and down quark contributions to the tensor charge in the whole kinematical region. The errors of points correspond to the 90% C.L. interval.

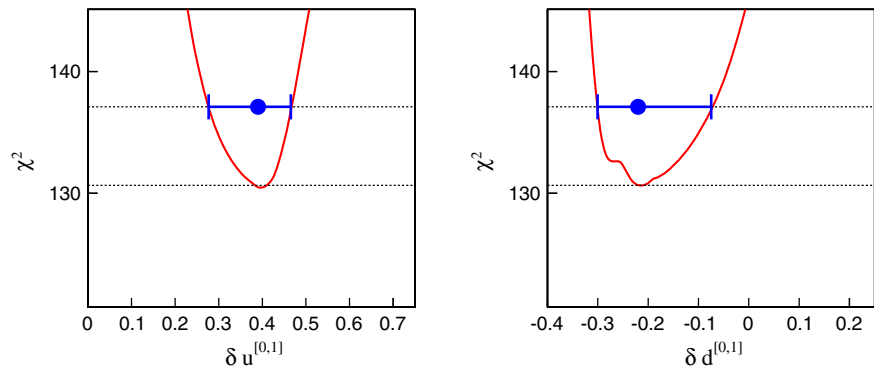


FIG. 7.  $\chi^2$  profiles for up and down quark contributions to the tensor charge in the whole kinematical region. The errors of points correspond to the 68% C.L. interval.

$$\delta d^{[0,1]} = -0.22_{-0.10}^{+0.31} \quad (133)$$

at 90% C.L. and

$$\delta u^{[0,1]} = +0.39_{-0.11}^{+0.07}, \quad (134)$$

$$\delta d^{[0,1]} = -0.22_{-0.08}^{+0.14} \quad (135)$$

at 68% C.L., both at  $Q^2 = 10 \text{ GeV}^2$ , as shown in Figs. 6 and 7. The tensor charge for the  $u$  quark can have a bigger contribution with respect to the  $d$  quark from the unexplored region of  $x$  according to our estimates.

## F. TMD interpretations of our results

As we mentioned in the Introduction and elaborated in Sec. II, there exists a TMD interpretation of CSS results. In particular the equations of the previous section that represent the solution of evolution equations are quite complicated. One might formulate the solutions of TMD evolution equations for TMD functions directly, in such a way that expressions will look very much like an extension of a simple partonlike model, for instance used in Ref. [113].

Let us start with writing the cross sections in terms of the individual TMDs,

$$\begin{aligned} F_{UU}(Q; P_{h\perp}) &= H_{\text{SIDIS}}(Q, \mu = Q) \sum_q e_q^2 \int_{k_{\perp}, p_{\perp}} f_1^q(x_B, k_{\perp}^2; Q) D_{h/q}(z_h, p_{\perp}^2; Q), \\ F_{UT}^{\sin(\phi_h + \phi_s)}(Q; P_{h\perp}) &= -H_{\text{SIDIS}}(Q, \mu = Q) \sum_q e_q^2 \int_{k_{\perp}, p_{\perp}} h_1^q(x_B, k_{\perp}^2; Q) \frac{\hat{P}_{h\perp} \cdot P_{\perp}}{M_h} H_{1h/q}^{\perp}(z_h, p_{\perp}^2; Q), \\ Z_{uu}^{h_1 h_2}(Q; P_{h\perp}) &= H_{e^+ e^-}(Q, \mu = Q) \sum_q e_q^2 \int_{p_{1\perp}, p_{2\perp}} D_{h/q}(z_{h1}, p_{1\perp}^2; Q) D_{h/\bar{q}}(z_{h2}, p_{2\perp}^2; Q), \\ Z_{\text{collins}}^{h_1 h_2}(Q; P_{h\perp}) &= H_{e^+ e^-}(Q, \mu = Q) \sum_q e_q^2 \int_{p_{1\perp}, p_{2\perp}} (2\hat{P}_{h\perp}^{\alpha} \hat{P}_{h\perp}^{\beta} - g_{\perp}^{\alpha\beta}) \frac{P_{1\perp}^{\alpha}}{M_{h1}} H_{1h/q}^{\perp}(z_{h1}, p_{1\perp}^2; Q) \frac{P_{2\perp}^{\alpha}}{M_{h2}} H_{1h/\bar{q}}^{\perp}(z_{h2}, p_{2\perp}^2; Q), \end{aligned} \quad (136)$$

where we have set the factorization scale  $\mu = Q$ , the evolution effects have been fully taken into account in the TMDs; and  $f_1^q(x_B, k_{\perp}^2; Q)$ ,  $h_1^q(x_B, k_{\perp}^2; Q)$ ,  $D_{h/q}(z, p_{\perp}^2; Q)$ , and  $H_{1h/\bar{q}}^{\perp}(z, p_{\perp}^2; Q)$  are the transverse-momentum-dependent unpolarized parton distribution function, quark transversity, unpolarized fragmentation function, and the Collins function at the scale  $\mu = Q$  and  $\zeta = Q^2$ , respectively. These TMDs are also understood as the soft factor subtracted TMDs.

The short-hand notations for the integrations have the following explicit forms:

$$\int_{k_{\perp}, p_{\perp}} \equiv \int d^2 k_{\perp} d^2 p_{\perp} \delta^2(z_h \vec{k}_{\perp} + \vec{p}_{\perp} - \vec{P}_{h\perp}), \quad (137)$$

$$\int_{p_{1\perp}, p_{2\perp}} \equiv \int d^2 p_{1\perp} d^2 p_{2\perp} \delta^2\left(\vec{P}_{h\perp} - \vec{p}_{1\perp} - \vec{p}_{2\perp} \frac{z_{h1}}{z_{h2}}\right). \quad (138)$$

As discussed in Sec. II, the TMDs and the associated hard factors depend on the scheme to regulate the light-cone singularities. However, in the final results for the structure functions, this scheme dependence cancels out between the TMDs and the hard factors. In the following, we present the results in the Collins-11 scheme [22]. The functions that

encode scheme dependence from Eqs. (33), (34), (60), and (61) are  $\tilde{\mathcal{F}}_q(\alpha_s(Q)) = 1$ ,  $\tilde{\mathcal{D}}_q(\alpha_s(Q)) = 1$ ,  $\tilde{\mathcal{H}}_{1q}(\alpha_s(Q)) = 1$ , and  $\tilde{\mathcal{H}}_c(\alpha_s(Q)) = 1$  at one loop. For all other schemes, the results can be obtained accordingly.

In the Collins-11 scheme, the associated hard factors can be written using Eqs. (A20) and (98) as

$$\begin{aligned} H_{\text{SIDIS}}(Q, \mu = Q) &= 1 + \frac{\alpha_s(Q)}{2\pi} C_F(-8), \\ H_{e^+ e^-}(Q, \mu = Q) &= 1 + \frac{\alpha_s(Q)}{2\pi} C_F(\pi^2 - 8). \end{aligned} \quad (139)$$

The TMDs are Fourier transformations of the relevant expressions in  $b$  space in Sec. II, Eqs. (33), (34), (60), and (61),

$$\begin{aligned} f_1^q(x, k_{\perp}^2; Q) &= \int_0^{\infty} \frac{dbb}{(2\pi)} J_0(k_{\perp} b) C_{q \leftarrow i}^{f_1} \otimes f_1^i(x, \mu_b) \\ &\times e^{-\frac{1}{2}S_{\text{pert}}(Q, b_*) - S_{\text{NP}}^{f_1}(Q, b)}, \end{aligned} \quad (140)$$

$$\begin{aligned} h_1^q(x, k_{\perp}^2; Q) &= \int_0^{\infty} \frac{dbb}{(2\pi)} J_0(k_{\perp} b) \delta C_{q \leftarrow i} \otimes h_1^i(x, \mu_b) \\ &\times e^{-\frac{1}{2}S_{\text{pert}}(Q, b_*) - S_{\text{NP}}^{h_1}(Q, b)}, \end{aligned} \quad (141)$$

$$D_{h/q}(z, p_{\perp}^2; Q) = \frac{1}{z^2} \int_0^{\infty} \frac{dbb}{(2\pi)} J_0(p_{\perp}b/z) \hat{C}_{i \leftarrow q}^{D_1} \otimes D_{h/i}(z, \mu_b) e^{-\frac{1}{2}S_{\text{pert}}(Q, b_*) - S_{\text{NP}}^{D_1}(Q, b)}, \quad (142)$$

$$\frac{p_{\perp}}{M_h} H_{1h/q}^{\perp}(z, p_{\perp}^2; Q) = \frac{1}{z^2} \int_0^{\infty} \frac{dbb^2}{(4\pi z)} J_1(p_{\perp}b/z) \delta \hat{C}_{i \leftarrow q}^{\text{collins}} \otimes \hat{H}_{h/i}^{(3)}(z, \mu_b) e^{-\frac{1}{2}S_{\text{pert}}(Q, b_*) - S_{\text{NP}}^{\text{collins}}(Q, b)}, \quad (143)$$

where the TMD evolution has been taken into account and one-loop results of  $\tilde{\mathcal{F}}_q$ ,  $\tilde{\mathcal{D}}_q$ ,  $\tilde{\mathcal{H}}_{1q}$ , and  $\tilde{\mathcal{H}}_c$  equal to 1 in the Collins-11 scheme have been applied, and  $C$ -functions are given in Eqs. (39), (40), (41), (42), (62), and (63). Using the relation to Trento conventions of Eq. (58), we can write

$$\frac{p_{\perp}}{M_h} H_{1h/q}^{\perp}(z, p_{\perp}^2; Q) = -\frac{1}{z^2} \int_0^{\infty} \frac{dbb^2}{(2\pi)} J_1(p_{\perp}b/z) \delta \hat{C}_{i \leftarrow q}^{\text{collins}} \otimes \hat{H}_{1h/j}^{\perp(1)}(z)|_{\text{Trento}}(z, \mu_b) e^{-\frac{1}{2}S_{\text{pert}}(Q, b_*) - S_{\text{NP}}^{\text{collins}}(Q, b)}, \quad (144)$$

$$\frac{p_{\perp}}{zM_h} H_{1h/q}^{\perp}(z, p_{\perp}^2; Q)|_{\text{Trento}} = \frac{1}{z^2} \int_0^{\infty} \frac{dbb^2}{(2\pi)} J_1(p_{\perp}b/z) \delta \hat{C}_{i \leftarrow q}^{\text{collins}} \otimes \hat{H}_{1h/j}^{\perp(1)}(z)|_{\text{Trento}}(z, \mu_b) e^{-\frac{1}{2}S_{\text{pert}}(Q, b_*) - S_{\text{NP}}^{\text{collins}}(Q, b)}. \quad (145)$$

We can also write explicitly the nonperturbative Sudakov form factor  $S_{\text{NP}}(Q, b)$  for all the TMDs discussed in our paper,

$$S_{\text{NP}}^{f_1^q}(Q, b) = S_{\text{NP}}^{h_1^q}(Q, b) = \frac{g_2}{2} \ln\left(\frac{b}{b_*}\right) \ln\left(\frac{Q}{Q_0}\right) + g_q b^2, \quad (146)$$

$$S_{\text{NP}}^{D_1}(Q, b) = \frac{g_2}{2} \ln\left(\frac{b}{b_*}\right) \ln\left(\frac{Q}{Q_0}\right) + \frac{g_h}{z^2} b^2, \quad (147)$$

$$S_{\text{NP}}^{\text{collins}}(Q, b) = \frac{g_2}{2} \ln\left(\frac{b}{b_*}\right) \ln\left(\frac{Q}{Q_0}\right) + \frac{g_h - g_c}{z^2} b^2, \quad (148)$$

where we have assumed that the nonperturbative Sudakov form factors are the same for  $f_1^q$  and  $h_1^q$  as a first study following Ref. [17]. With the expressions for individual TMDs given in Eqs. (140), (141), (142), and (145), and the

fitted parameters in this section, we are now ready to present all these TMDs as a function of both the longitudinal momentum fraction ( $x$  or  $z$ ) and the transverse component ( $k_{\perp}$  or  $p_{\perp}$ ).

In Fig. 8 we present the unpolarized  $u$ -quark distribution  $f_1$  at  $x = 0.1$  as a function of  $b$  (left) and  $k_{\perp}$  (right). We plot

$$f_1^q(x, b; Q) \equiv \frac{b}{(2\pi)} C_{q \leftarrow i}^{f_1} \otimes f_1^i(x, \mu_b) e^{-\frac{1}{2}S_{\text{pert}}(Q, b_*) - S_{\text{NP}}^{f_1}(Q, b)}, \quad (149)$$

while the  $k_{\perp}$  dependence is defined in Eq. (140). The distribution is calculated at three different scales:  $Q^2 = 2.4$  (dotted lines),  $Q^2 = 10$  (solid lines), and  $Q^2 = 1000$  (dashed lines)  $\text{GeV}^2$ . As one can see, at large scale  $Q^2 = 1000 \text{ GeV}^2$ , the distribution is highly dominated by the perturbative region of  $b < b_{\text{max}}$ , while at lower scales  $Q^2 = 2.4$  and  $10 \text{ GeV}^2$ , the distribution is shifted

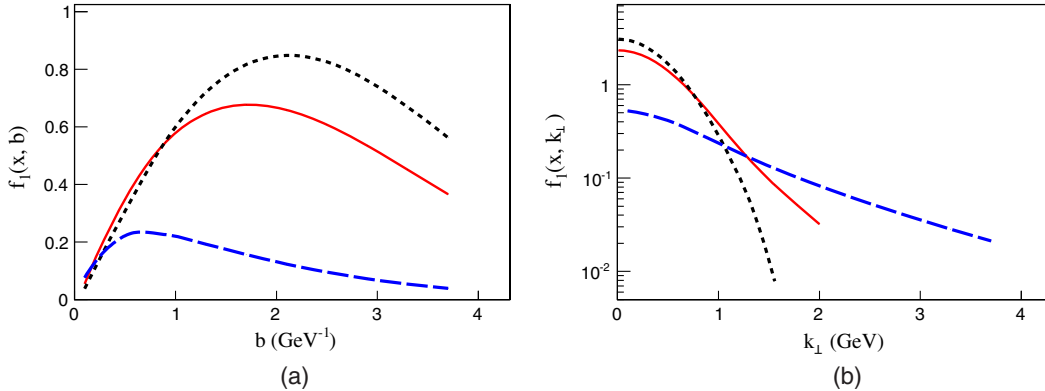


FIG. 8. Unpolarized  $u$ -quark distribution as a function of  $b$  (a) and as a function of  $k_{\perp}$  (b) at three different scales,  $Q^2 = 2.4$  (dotted lines),  $Q^2 = 10$  (solid lines), and  $Q^2 = 1000$  (dashed lines)  $\text{GeV}^2$ .

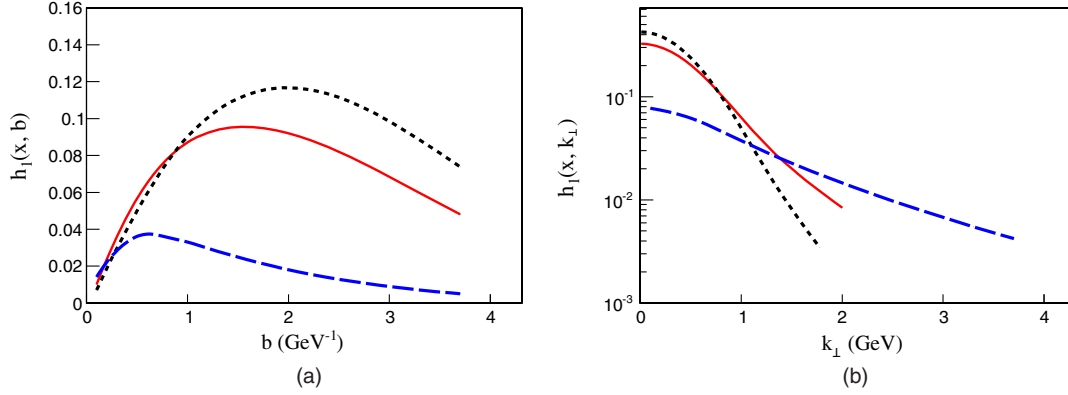


FIG. 9. Transversity  $u$ -quark distribution as a function of  $b$  (a) and as a function of  $k_{\perp}$  (b) at three different scales,  $Q^2 = 2.4$  (dotted lines),  $Q^2 = 10$  (solid lines), and  $Q^2 = 1000$  (dashed lines)  $\text{GeV}^2$ .

toward large values of  $b \sim 2 \div 3 \text{ GeV}^{-1}$ ; in this region of  $b$ , one needs to carefully account for nonperturbative effects of the TMD evolution and intrinsic motion of quarks. The distribution in  $k_{\perp}$  space is becoming wider with the growth of  $Q^2$  and has developed a perturbative tail, while at low values of  $Q^2$ , it resembles Gaussian-type parametrization used in tree-level extractions, for instance that of Refs. [17,113].

The same observation is true for transversity distribution. We present transversity  $u$ -quark distribution  $h_1$  at  $x = 0.1$  as a function of  $b$  and  $k_{\perp}$  in Fig. 9. We plot

$$h_1^q(x, b; Q) \equiv \frac{b}{(2\pi)} \delta C_{q \leftarrow i} \otimes h_1^i(x, \mu_b) e^{-\frac{1}{2}S_{\text{pert}}(Q, b) - S_{\text{NP}}^{h_1}(Q, b)}, \quad (150)$$

while  $k_{\perp}$  distribution is defined in Eq. (141). Note that coefficient functions for transversity distribution  $\delta C_{q \leftarrow i}$  are different from those of unpolarized distribution. This difference affects the shape of distributions in  $b$  and  $k_{\perp}$  space. Moreover the width of transversity can be different from that of unpolarized distribution as well; however,

features of TMD evolution are very similar in both cases. Generic results on the transversity TMD evolution were also presented in Ref. [64].

Unpolarized fragmentation TMD as a function of  $b$  is defined as

$$D_{h/q}(z, b; Q) \equiv \frac{1}{z^2} \frac{b}{(2\pi)} \hat{C}_{i \leftarrow q}^{D_1} \otimes D_{h/i}(z, \mu_b) e^{-\frac{1}{2}S_{\text{pert}}(Q, b) - S_{\text{NP}}^{D_1}(Q, b)}, \quad (151)$$

and as function of  $p_{\perp}$ , it can be calculated using Eq. (142). In Fig. 10 we present an unpolarized TMD FF at  $z = 0.4$  and at three different scales,  $Q^2 = 2.4$  (dotted lines),  $Q^2 = 10$  (solid lines), and  $Q^2 = 1000$  (dashed lines)  $\text{GeV}^2$ . Again as in the case of other TMDs above, one observes the widening of distributions in  $p_{\perp}$  and shift toward lower values  $b$  of the maximum of the distribution with the increase of  $Q^2$ . In the relatively low  $Q^2$  region, the effects of TMD evolution are quite moderate.

The Collins fragmentation function with evolution is presented for the first time in this paper. The  $b$ -dependent function can be defined as

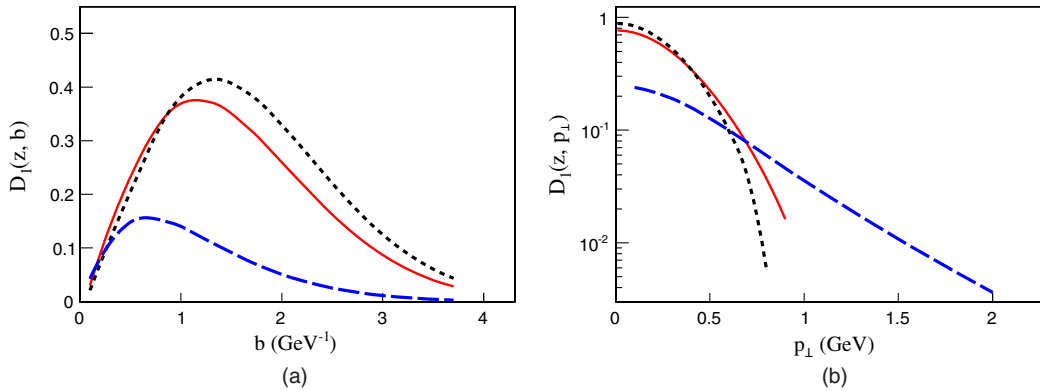


FIG. 10. Unpolarized FF  $u \rightarrow \pi^+$  as a function of  $b$  (a) and as a function of  $p_{\perp}$  (b) at three different scales,  $Q^2 = 2.4$  (dotted lines),  $Q^2 = 10$  (solid lines), and  $Q^2 = 1000$  (dashed lines)  $\text{GeV}^2$ .



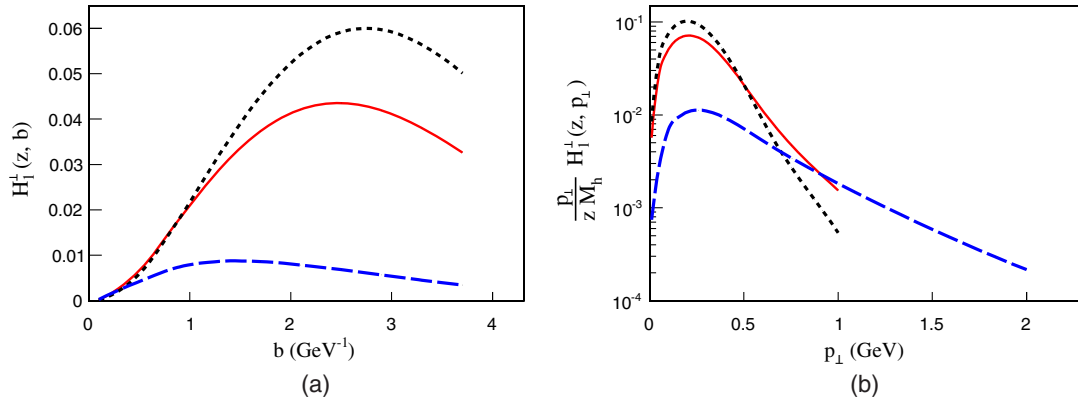


FIG. 11. Collins FF  $u \rightarrow \pi^+$  as a function of  $b$  (a) and as a function of  $p_\perp$  (b) at three different scales,  $Q^2 = 2.4$  (dotted lines),  $Q^2 = 10$  (solid lines), and  $Q^2 = 1000$  (dashed lines) GeV $^2$ .

$$H_{1h/q}^\perp(z, b; Q)|_{\text{Trento}} \equiv \frac{1}{z^2} \frac{b^2}{(2\pi)} \delta \hat{C}_{i \leftarrow q}^{\text{collins}} \otimes \hat{H}_{1h/j}^{\perp(1)}(z)|_{\text{Trento}}(z, \mu_b) e^{-\frac{1}{2} S_{\text{pert}}(Q, b_*) - S_{\text{NP}}^{\text{collins}}(Q, b)}, \quad (152)$$

and the  $p_\perp$ -dependent function is in Eq. (145). In Fig. 11 we present the TMD Collins FF at  $z = 0.4$  and at three different scales,  $Q^2 = 2.4$  (dotted lines),  $Q^2 = 10$  (solid lines), and  $Q^2 = 1000$  (dashed lines) GeV $^2$ . One observes the widening of distributions in  $p_\perp$  and shift toward lower values  $b$  of the maximum of the distribution with the increase of  $Q^2$ . Note that the TMD Collins FF has a kinematical zero due to the prefactor  $p_\perp/zM_h$ .

It is very important to make results of global fits available for usage in various applications. Some progress has been made, for example, by the TMDlib project; see

Ref. [114]. The results of this analysis will be available in a form of a computer package.

### G. Description of the experimental data

The description of the HERMES data [98] is shown in Fig. 12. One can see that the description is good for all  $x_B$ ,  $z$ , and  $P_{h\perp}$  dependencies. The formalism that we use is appropriate in the region of low  $P_{h\perp}$ , and we limit our description by  $P_{h\perp} < 0.8$  GeV. The data are in the region of  $1 \lesssim \langle Q^2 \rangle \lesssim 6$  (GeV $^2$ ). The estimate of the error band is presented as the shaded region.

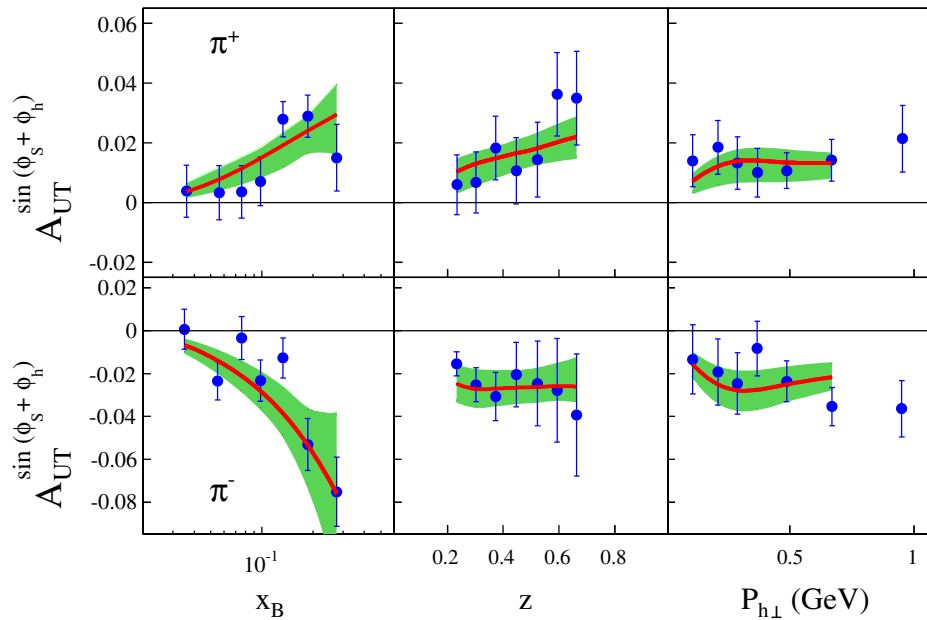


FIG. 12. Description of Collins asymmetries measured by the HERMES Collaboration [98] as a function of  $x_B$ ,  $z$ , and  $P_{h\perp}$  on the proton target. The shaded region corresponds to our estimate of the 90% C.L. error band.

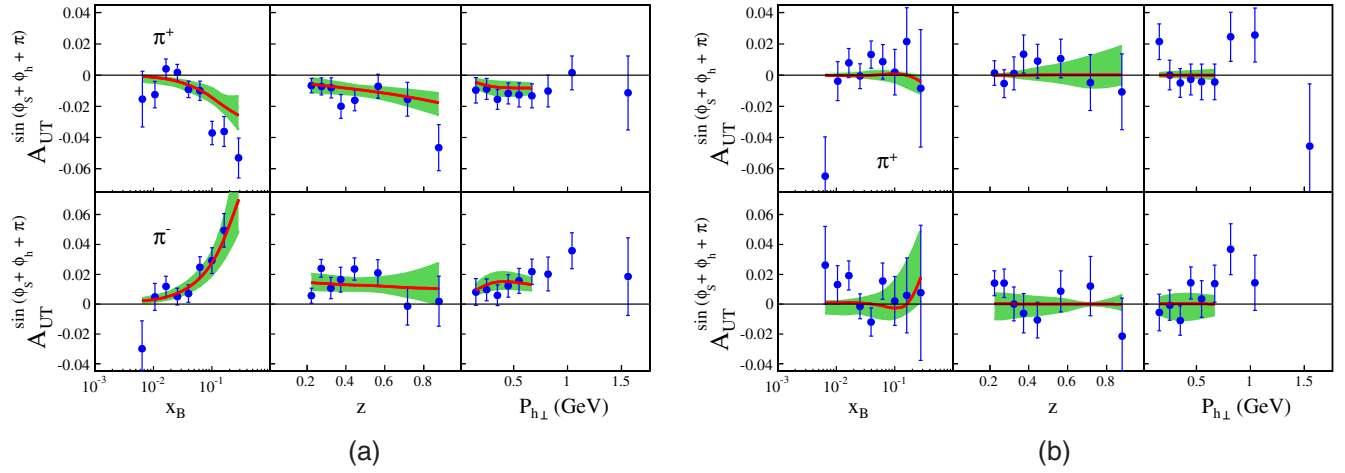


FIG. 13. Description of Collins asymmetries measured by the COMPASS Collaboration as a function of  $x_B$ ,  $z$ , and  $P_{h\perp}$  on (a)  $\text{NH}_3$  proton [99] and (b) LiD deuterium [100] targets. The shaded region corresponds to our estimate of a 90% C.L. error band.

One can see from Fig. 12 that both the data and the model obey the kinematical suppression of asymmetries at low  $z_h$  and  $P_{h\perp}$ . Additionally the data indicate that asymmetry becomes smaller in the region of small  $x_B$ , and thus transversity becomes small in the small- $x_B$  region as well, as can be seen in Fig. 3(a). Positive asymmetry of  $\pi^+$  production implies that the product of  $u$ -quark transversity and the favored Collins fragmentation function is positive. We choose the solution with positive  $u$ -quark transversity (the same sign as  $u$ -quark helicity distribution) and obtain that the favored Collins fragmentation function is positive; see Fig. 3(b). Large negative asymmetry of  $\pi^-$  production indicates that the so-called unfavored Collins fragmentation function is large and negative, and indeed it is the case; see Fig. 3(b). Measurements on proton targets are dominated by  $u$ -quark functions as far as  $e_u^2/e_d^2 = 4$ , and thus we have better precision for the extraction of the  $u$ -quark transversity and tensor charge  $\delta u$ .

The COMPASS data [99,100] extend the region of the resolution scale by a factor of 3,  $\langle Q^2 \rangle \lesssim 21$  ( $\text{GeV}^2$ ). We present results of our description in Fig. 13. Again we exclude the region of  $P_{h\perp} > 0.8$  GeV where the relation  $P_{h\perp}/\langle z \rangle < Q$  is not satisfied. The COMPASS data extend the region of  $x_B$  up to  $x_B \sim 10^{-2}$ , and the measured asymmetry indicates that transversity is rather small in the small- $x$  region. Indeed the extracted transversity shown in Fig. 3(a) becomes small in the small- $x$  region. The COMPASS data on the effective deuterium target, Fig. 13(b), indicate that the sum of  $u$ -quark and  $d$ -quark transversities is small, and thus both functions are approximately of the same size, as can be seen in Fig. 3(a).

A description of JLab's HALL A data [9] is shown in Fig. 14. The data extend the region of  $x_B$  toward large  $x$ , and one can see that our fit is compatible with the data. The measurement on the effective neutron target ( $^3\text{He}$ ) is sensitive to  $d$ -quark functions; however, the current

experimental errors are too big to allow a better extraction of  $d$ -quark transversity.

Both the BELLE [12] and BABAR [101] collaborations measured the Collins asymmetries in  $e^+e^-$  at  $\sqrt{s} \approx 10.6$  GeV. Comparison of the BELLE data [12] on  $A_0$  asymmetries for both the  $UL$  and  $UC$  methods is presented in Fig. 15. The data are measured in four different bins of  $z_{h1}, z_{h2}$ , and one can see that the description of the data is very good. The asymmetry becomes small when  $z_{h1}, z_{h2}$  become small due to kinematical suppression, and one can see from Fig. 15 that our calculations are compatible with this behavior.

In Fig. 16 we present a description of the BABAR data [101] on  $A_0$  asymmetries for both the  $UL$  and  $UC$  methods. The data are in six bins of  $z_{h1}, z_{h2}$  with six points in each bin. This allows for better extraction of the shape of Collins fragmentation functions. One can see that also in this case the description is very good. The large- $z$  region deserves a special comment. One expects that the formalism will

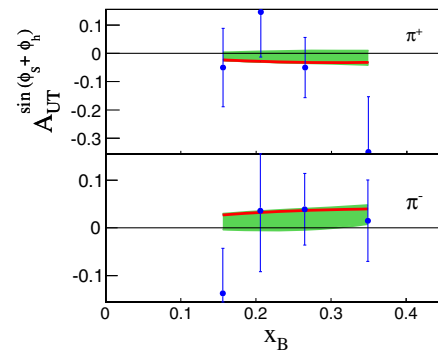


FIG. 14. Description of Collins asymmetries measured by the JLab's HALL A [9] as a function of  $x_B$  on the effective neutron target. The shaded region corresponds to our estimate of a 90% C.L. error band.

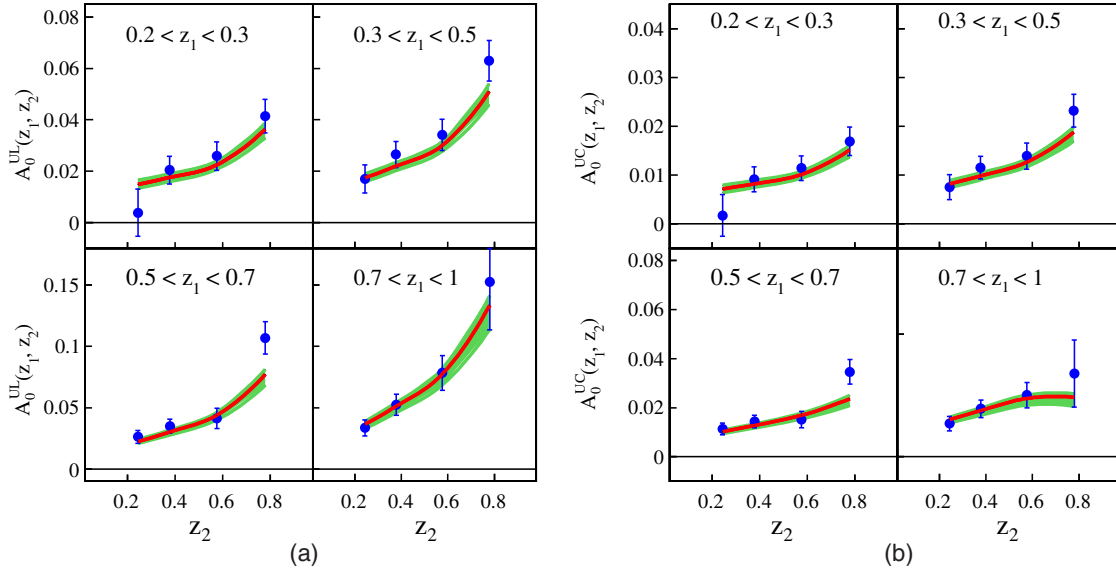


FIG. 15. Collins asymmetries in  $e^+e^-$  at  $\sqrt{s} = 10.6$  GeV measured by the BELLE Collaboration [12] as a function of  $z_{h2}$  in different bins of  $z_{h1}$ , (a)  $UL$  and (b)  $UC$ . Calculations are performed with parameters from Table I. The shaded region corresponds to our estimate of a 90% C.L. error band.

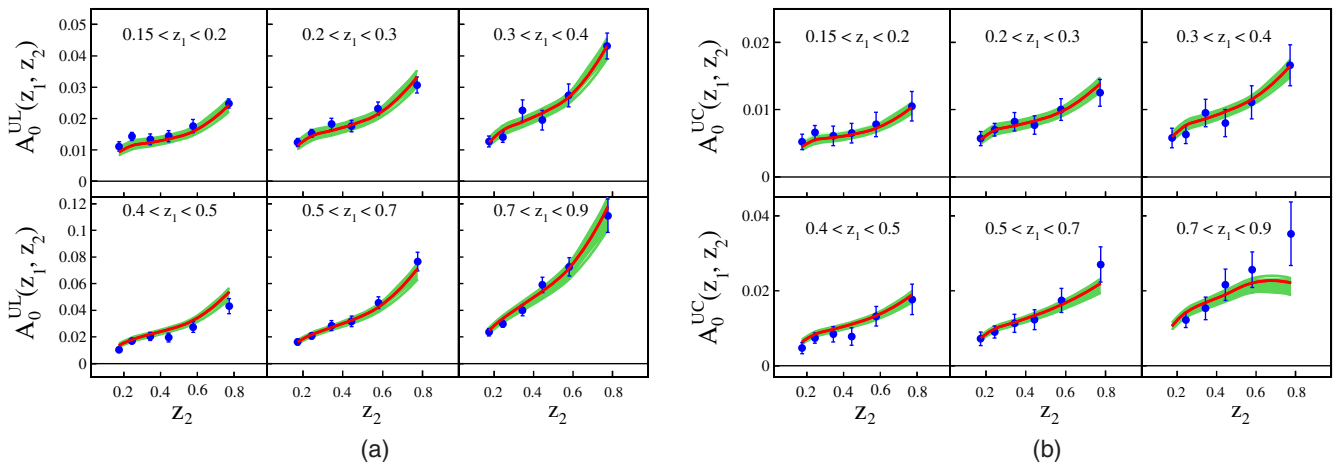


FIG. 16. Collins asymmetries in  $e^+e^-$  at  $\sqrt{s} = 10.6$  GeV measured by the BABAR Collaboration [101] as a function of  $z_{h2}$  in different bins of  $z_{h1}$ , (a)  $UL$  and (b)  $UC$ . Calculations are performed with parameters from Table I. The shaded region corresponds to our estimate of a 90% C.L. error band.

become unreliable when  $z_{h1} \rightarrow 1$  and/or  $z_{h2} \rightarrow 1$  due to the influence of exclusive pion production. Indeed one can see from Figs. 15 and 16 that in large- $z$  bins the quality of the description deteriorates. Nevertheless both the magnitude and the shape of the data are reproduced perfectly in the plot. It is achieved by allowing parameters that describe the shape of favored and unfavored Collins fragmentation functions be different and independent of each other. Additionally the correct  $Q^2$  evolution reproduces the shape much better compared to the case of the absence of the evolution. Note that we have not attempted to fit the data without TMD evolution, and thus our conclusion is valid only for a comparison of results with and without evolution using parameters of the NLL fit.

Even though *a priori* it is very difficult to expect a perfect description of the data in the whole  $z$  region, our fit indeed is capable of reproducing the data very well. Both  $A_0^{UL}$  and  $A_0^{UC}$  are described very well and we observe no tension between the measurements, which indicates the robustness of the method.  $A_0^{UL}$  and  $A_0^{UC}$  have slightly different sensitivity to different combinations of Collins fragmentation functions as can be seen from Eq. (8), and the usage of both measurements helps to constrain the functions better. We believe that favored Collins fragmentation functions are well determined and future experimental data could test our findings.

Finally we present a comparison of our calculations with the  $P_{h\perp}$  dependence of  $e^+e^-$  asymmetries in Fig. 17. Both  $A_0^{UL}$  and  $A_0^{UC}$  are described very well.

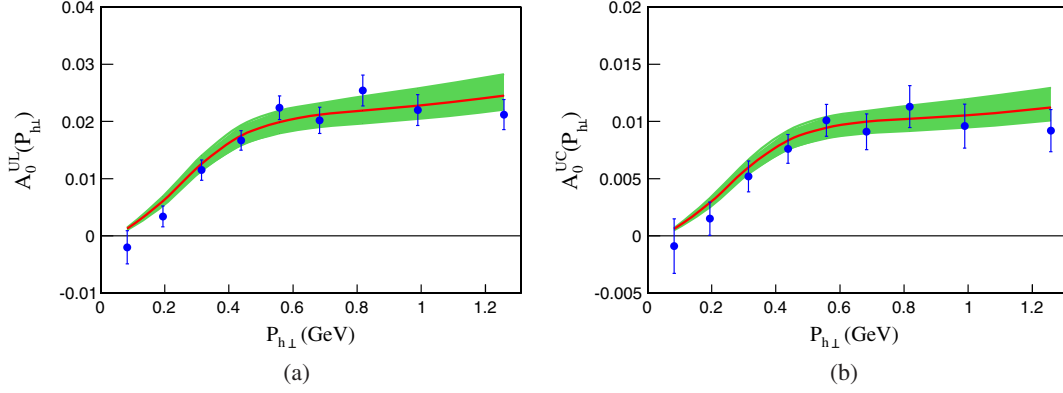


FIG. 17. Collins asymmetries in  $e^+e^-$  at  $\sqrt{s} = 10.6$  GeV measured by the *BABAR* Collaboration [101] as a function of  $P_{h\perp}$ , (a) *UL* and (b) *UC*. Calculations are performed with parameters from Table I. The shaded region corresponds to our estimate of 90% C.L. error band.

From the comparisons of the data and theoretical computations, we can deduce that the TMD evolution at NLL' can describe both the  $e^+e^-$  and SIDIS data adequately well. The highest resolution scale in our analysis is quite big,  $Q^2 = s \approx 110$  GeV<sup>2</sup>, and we found that using appropriate QCD evolution was essential in order to describe the data. It allows us to have a controlled theoretical precision of our computations. Let us study the sensitivity of our results to the theoretical precision of computations. We will fix the parameters to the NLL' fit results presented in Table I and calculate asymmetries in different kinematical configurations using *tree-level* approximation, i.e., without TMD evolution, leading logarithmic accuracy (LL), and NLL' accuracy. As far as parameters are defined by fitting at NLL', we expect that

NLL' will describe the data better than the LL or tree approximation. We will not attempt to fit data at either the tree approximation or LL, even though such fits can be well performed and may give reasonable descriptions of the data. By computing results with three different precisions with fixed parameters, we will be able to answer two different questions:

- (1) How big are the effects of inclusion of higher orders in the calculation of a particular asymmetry in a particular kinematical region?
- (2) How sensitive are experimental data to the inclusion of higher orders in perturbative expansion?

We show NLL', LL, and no TMD evolution results for asymmetry as a function of  $x_B$ ,  $z$ , and  $P_{h\perp}$  for HERMES in Fig. 18. The computation at LL is done by using only  $A^{(1)}$

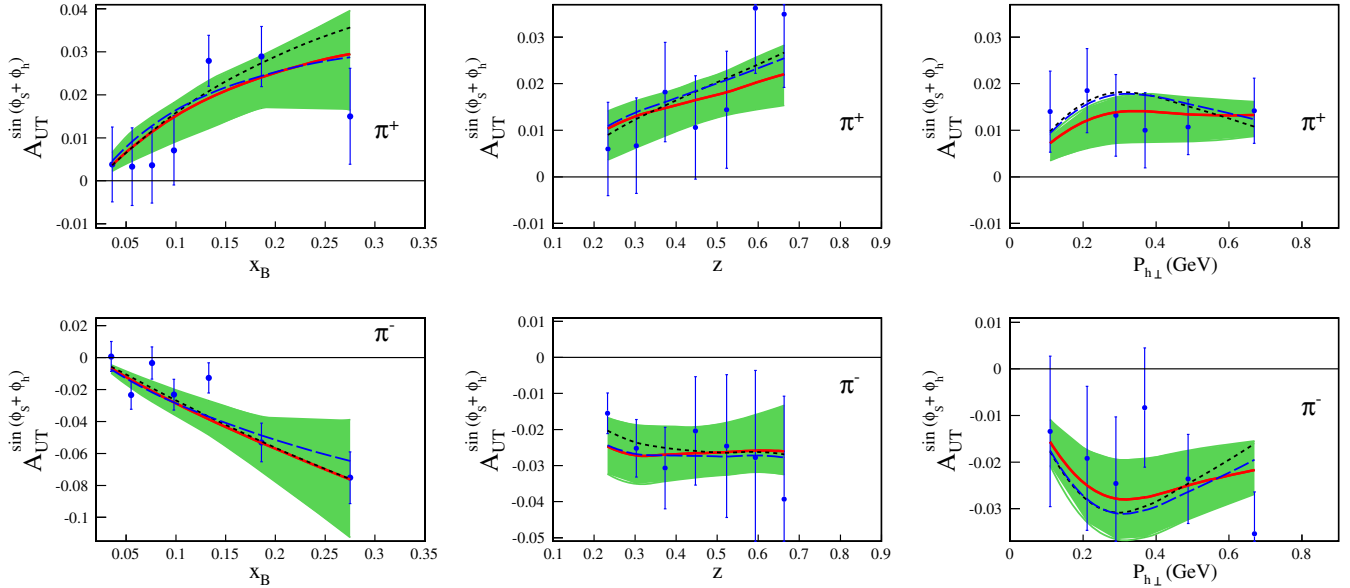


FIG. 18. Collins asymmetries measured by the HERMES Collaboration [98] as a function of  $x_B$ ,  $z$ , and  $P_{h\perp}$  in the production of  $\pi^+$  (left panels) and  $\pi^-$  (right panels). The solid line corresponds to the full NLL' calculation, the dashed line to the LL calculation, and the dotted to the calculation without TMD evolution. Calculations are performed with parameters from Table I. The shaded region corresponds to our estimate of a 90% C.L. error band.

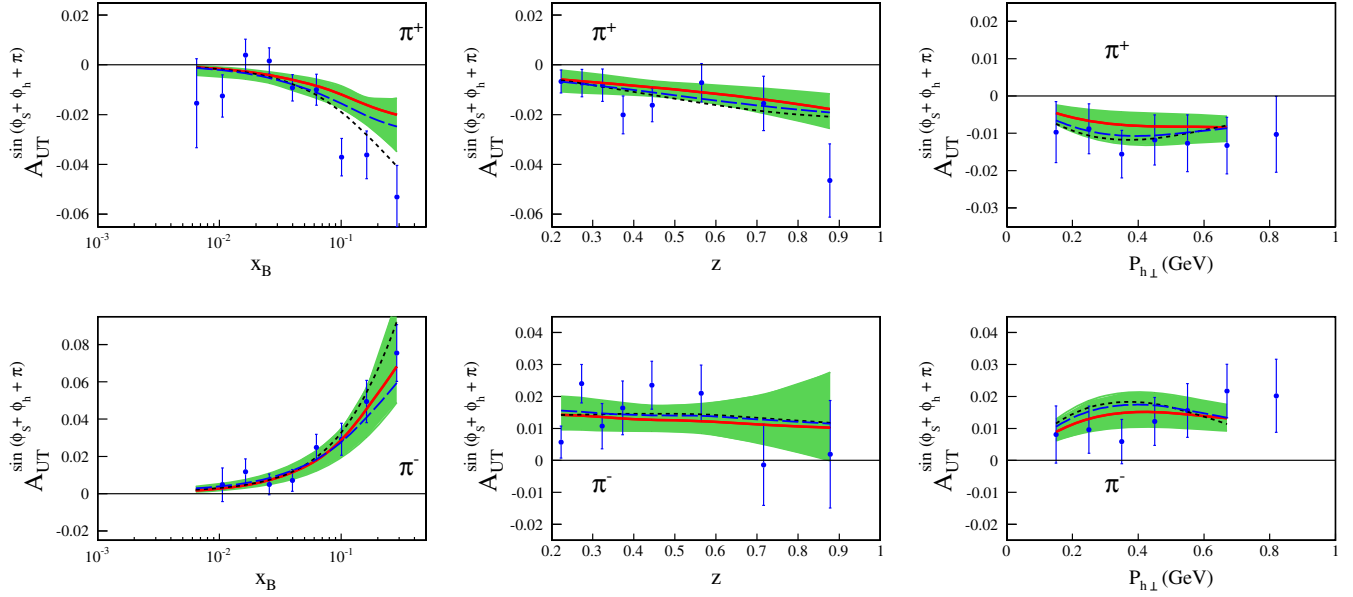


FIG. 19. Description of Collins asymmetries measured by the COMPASS Collaboration on the  $\text{NH}_3$  proton [99] as a function of  $x_B$ ,  $z$ , and  $P_{h\perp}$  in the production of  $\pi^+$  (left panels) and  $\pi^-$  (right panels). The solid line corresponds to the full  $\text{NLL}'$  calculation, the dashed line to the LL calculation, and the dotted line to the calculation without TMD evolution. Calculations are performed with parameters from Table I. The shaded region corresponds to our estimate of a 90% C.L. error band.

in the perturbative Sudakov form factor and  $C^{(0)}$ -coefficient function. No TMD evolution implies that the perturbative Sudakov form factor and parameter  $g_2$  are set to zero; accordingly we use DSS LO for fragmentation functions and CTEQ6LO for distribution functions and set the scale to  $Q_0^2 = 2.4 \text{ GeV}^2$ . The dotted line in Fig. 18 shows the result without TMD evolution. One can see that at low energy the results are quite similar for all three calculations. This happens due to the fact that in *ratios* most of the numerical effects of evolution *cancel* out. The precision of existing SIDIS experimental data is such that it does not allow us to distinguish among different theoretical

accuracies used to calculate TMDs. It happens due to the fact that both the energy and  $Q^2$  are quite low for SIDIS. The difference grows as we consider COMPASS data in Fig 19, and the data become sensitive to the choice of accuracy. One can also see from Fig. 18 that the difference in different precisions (no evolution, LL,  $\text{NLL}'$ ) is comparable with the error band of the  $\text{NLL}'$  extraction. One can conclude that *results* of phenomenological extraction using different precisions will be very similar if low energy experiments are used. In fact low energy experimental data are dominated by nonperturbative physics, and even tree-level approximation (no TMD evolution) grasps well the

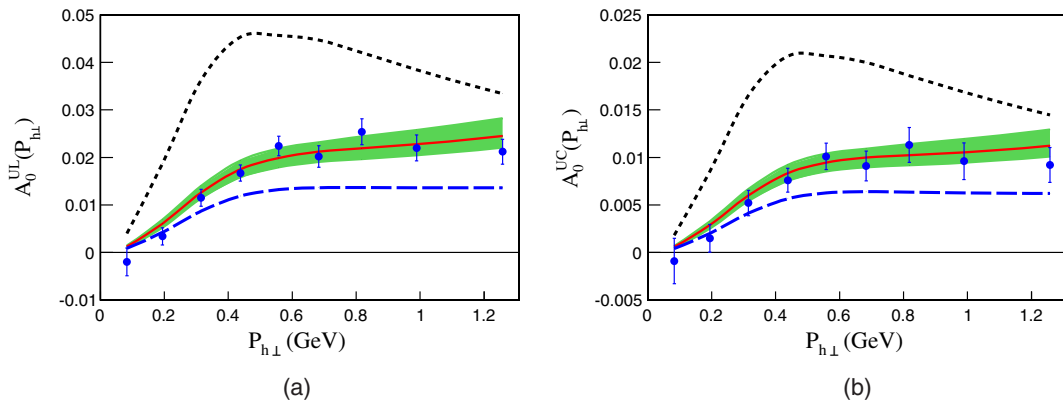


FIG. 20. Collins asymmetries measured by the *BABAR* [101] Collaboration as a function of  $P_{h\perp}$  in the production of unlike sign “U” over like sign “L” (a) and charged “C” (b) pion pairs at  $Q^2 = 110 \text{ GeV}^2$ . The solid line corresponds to the full  $\text{NLL}'$  calculation, the dashed line to the LL calculation, and the dotted line to the calculation without TMD evolution. Calculations are performed with fixed parameters using the  $\text{NLL}'$  fit from Table I; note that parameters are fixed and were fitted neither with LL nor without TMD evolution calculations. Fits of the data with LL or no TMD evolution approximation may well be possible.

features of the underlying physics. We will in fact see that our results compare very well to the results of the Torino-Cagliari-JLab group [17].

In Fig. 20 we show theoretical computations for  $e^+e^-$  without TMD evolution (dotted line), LL accuracy (dashed line), and the complete NLL' accuracy (solid line). The difference between these computations diminishes when we include higher orders, which means that the theoretical uncertainty improves. We conjecture that the difference between NLL' and next-to-next-to-leading-logarithmic (NNLL) will be smaller than the difference between NLL' and LL and thus be comparable to experimental errors. One can also observe that asymmetry at  $Q^2 = 110 \text{ GeV}^2$  is suppressed by a factor 2–3 with respect to tree-level calculations due to the Sudakov form factor. One can also conclude that NLL accuracy is essential for  $e^+e^-$  data. Notice that we present calculations with fixed parameters determined by the NLL' fit. The difference between different curves shows sensitivity to the theoretical accuracy and to the inclusion of higher order. The observation that calculation without TMD evolution or LL cannot describe the data with these parameters does not mean that a *fit* of the data without TMD evolution or LL is impossible. In fact such fits are most probably possible and could yield results of similar quality of the description of the data. There is no doubt, however, that higher-order computations such as NLL have the advantage of having better control of theoretical uncertainty. The fact that we utilize NLO collinear distributions is very encouraging, and these distributions describe inclusive data sets much better than LO distributions. We also observe that  $e^+e^-$

experiments are very sensitive to the inclusion of higher-order corrections. This can be clearly seen from Fig. 21 where we compute Collins asymmetries measured by the *BABAR* [101] Collaboration as a function of  $z_{h2}$  in different bins of  $z_{h1}$ . One can see that the importance of higher orders increases with the increasing value of  $z_h$ .

## H. Predictions for unpolarized multiplicities in SIDIS and $e^+e^-$

We predict an unpolarized cross section of charged pion production to be measured by the BELLE, *BABAR*, and BESIII collaborations and given by the formula

$$\frac{d\sigma_0^C}{dP_{h\perp}^2} \equiv \frac{1}{\langle 1 + \cos^2\theta \rangle} \frac{d^3\sigma^{e^+e^- \rightarrow h_1 h_2 X}}{dz_{h1} dz_{h2} dP_{h\perp}^2} = \frac{N_c \pi^2 \alpha_{\text{em}}^2}{2Q^2} Z_{uu}^{h_1 h_2}, \quad (153)$$

where  $h_1, h_2$  can be any charged pion,  $z_1 = z_2 = 0.3$ . The prediction is given in Fig. 22. As one can see, we predict that the measured cross section will be wider for the BELLE and *BABAR* collaborations  $Q^2 = 110 \text{ GeV}^2$  with respect to the BESIII Collaboration  $Q^2 = 13 \text{ GeV}^2$ . At the same time, the BESIII Collaboration  $Q^2 = 13 \text{ GeV}^2$  cross section will be larger than that measured by the BELLE and *BABAR* collaborations at  $Q^2 = 110 \text{ GeV}^2$ . In Fig. 22 we divide the predicted cross section for the BESIII collaboration by a factor 110 in order to compare widths with the expected cross section at the BELLE and *BABAR* collaborations. Effective widening of the cross section with the growth of  $Q^2$  is a sign of TMD evolution, and the future

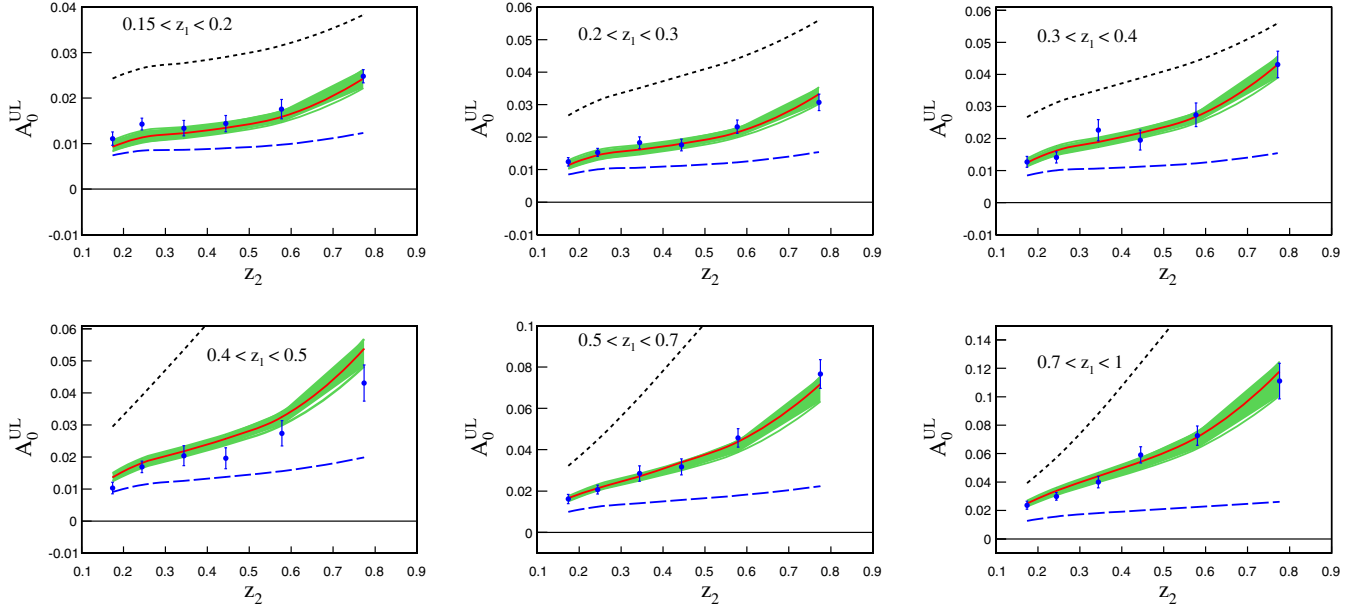


FIG. 21. Collins asymmetries measured by the *BABAR* [101] Collaboration as a function of  $z_{h2}$  in the production of unlike sign “U” over like sign “L” pion pairs at  $Q^2 = 110 \text{ GeV}^2$ . The solid line corresponds to the full NLL' calculation, the dashed line to the LL calculation, and the dotted line to the calculation without TMD evolution. Calculations are performed with parameters from Table I. The shaded region corresponds to our estimate of a 90% C.L. error band.

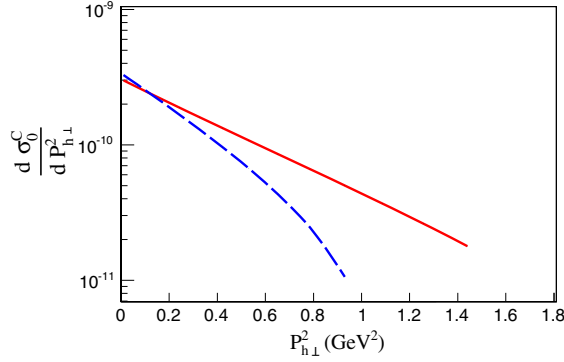


FIG. 22. Prediction for the unpolarized cross section in  $e^+e^-$  at  $Q^2 = 110 \text{ GeV}^2$  to be measured by the BELLE and *BABAR* collaborations (solid line) and BESIII Collaboration at  $Q^2 = 13 \text{ GeV}^2$  (divided by a factor 110, dashed line) as a function of  $P_{h\perp}^2$ .

data from the BELLE and *BABAR* collaborations will be crucial for our understanding of the evolution.

Similar behavior is shown in Fig. 23 of the unpolarized cross section predicted for Electron-Ion Collider (EIC) at  $\sqrt{s} = 70 \text{ GeV}$  and at  $Q^2 = 10 \text{ GeV}^2$  and  $Q^2 = 100 \text{ GeV}^2$ , choosing  $\langle z_h \rangle = 0.36$  and  $\langle y \rangle = 0.53$ . We plot

$$\frac{d^4\sigma}{dx_B dy dz_h d^2P_{h\perp}} = \pi\sigma_0(x_B, y, Q^2)F_{UU}. \quad (154)$$

The ultimate test of the TMD evolution will be in measurements of unpolarized cross sections. We highly encourage the BELLE, *BABAR*, and BESIII collaborations to perform the analysis of the data on unpolarized cross sections. Such measurements will allow us to test predictions of TMD evolution and will allow for a better understanding of unpolarized TMD fragmentation functions that can be measured directly only at  $e^+e^-$  facilities.

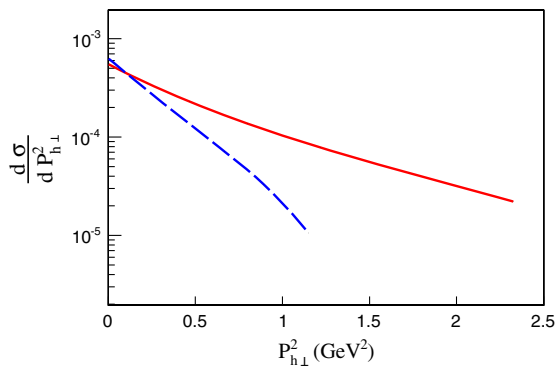


FIG. 23. Prediction for the unpolarized cross section for an Electron-Ion Collider of energy  $\sqrt{s} = 70 \text{ GeV}$  at  $Q^2 = 100 \text{ GeV}^2$  (solid line) and  $Q^2 = 10 \text{ GeV}^2$  (divided by a factor 200, dashed line) as a function of  $P_{h\perp}^2$ .

The universality of TMD evolution will be further tested in future measurements at the Electron-Ion Collider. EIC can easily span several decades in  $Q^2$  and allow for a much better understanding of the nucleon 3D structure. The data of EIC combined with that of Jefferson Lab 12 will cover a very wide region of  $x$  and provide multibinning data needed for future phenomenological analysis. We plan to study the impact of EIC and Jefferson Lab 12 data in future publications.

### I. Predictions for future experiments in SIDIS and $e^+e^-$

BESIII is collecting data [115] in  $e^+e^-$  at  $Q^2 \approx 13 \text{ GeV}^2$ . The preliminary results are compatible with *bigger* asymmetries predicted by two of us in Ref. [41]. Here we present updated predictions assuming the same binning as *BABAR* and the following values of  $\langle \sin^2\theta \rangle / \langle 1 + \cos^2\theta \rangle = 0.65$  at each bin; we also integrate the result in the region of  $P_{h\perp} < 1.5 \text{ GeV}$ . Actual values of asymmetry will depend on the details of binning and kinematics. The predictions are presented in Fig. 24. We give predictions for  $A_0^{UL}$  asymmetries, and we predict enhancement of the asymmetry by a factor 2–3; compare to Fig. 16(a). Note that our predictions from Fig. 24 will have to be scaled with actual experimental values of  $\langle \sin^2\theta \rangle / \langle 1 + \cos^2\theta \rangle_{\text{exp}}$  from BESIII. The predictions for the BESIII measurements are compared to BESIII data in Ref. [116]; one can see from Figs. 3 and 4 of Ref. [116] that predictions match the data perfectly well.

Measurements of Collins asymmetries are going to be performed at the Jefferson Lab 12 GeV upgrade [110] and the planned Electron-Ion Collider [4,111,112]. The high precision of Jefferson Lab 12 measurements will eventually allow for better determination of transversity distributions in the high- $x$  region and low- $x$  region along with a higher span in  $Q^2$  being covered by EIC.

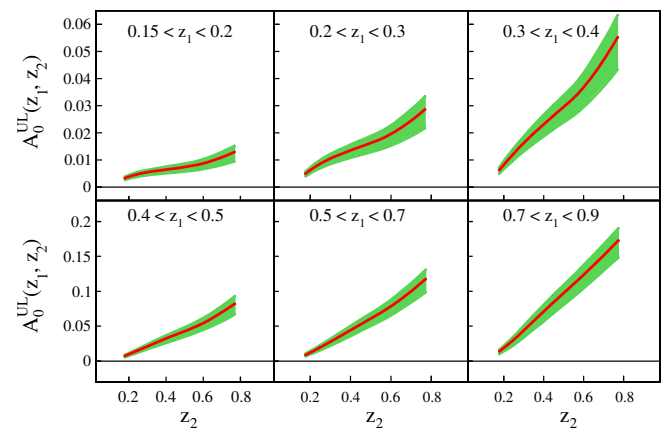


FIG. 24. Predictions for  $UL$  Collins asymmetries in  $e^+e^-$  at  $Q^2 = 13 \text{ GeV}^2$  to be measured by BESIII [115] as a function of  $z_{h2}$  in different bins of  $z_{h1}$ .

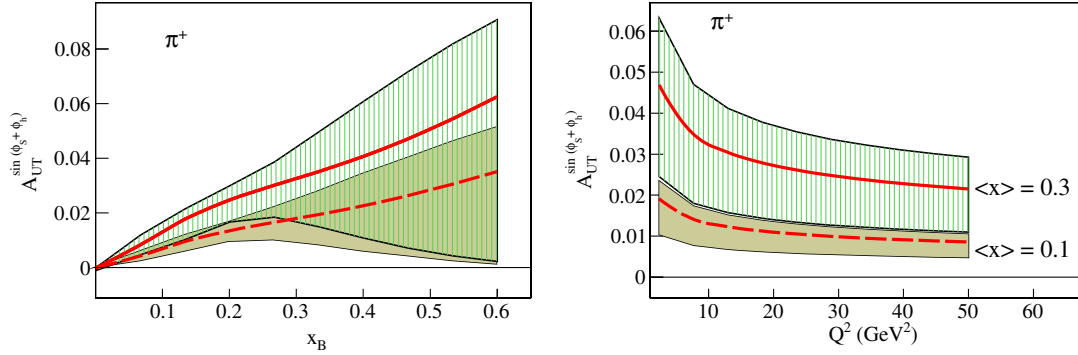


FIG. 25. Predictions for Collins asymmetry as a function of  $x_B$  [left panel at  $Q^2 = 10 \text{ GeV}^2$  (solid line and vertical-line hashed region) and  $Q^2 = 100 \text{ GeV}^2$  (dashed line and shaded region)] and  $Q^2$  (right panel,  $\langle x_B \rangle = 0.3$ ,  $\langle z \rangle = 0.36$  (solid line and vertical-line hashed region) and  $\langle x_B \rangle = 0.1$ ,  $\langle z \rangle = 0.36$  (dashed line and shaded region)] for  $\pi^+$  production on protons in SIDIS to be by EIC at an energy of  $\sqrt{s} = 70 \text{ GeV}$ . One can see that we predict rather moderate suppression of asymmetries with the growth of  $Q^2$ .

The Electron-Ion Collider is going to allow studies of evolution in  $Q^2$  and energy  $\sqrt{s}$  of single spin asymmetries. It is going to provide a big leverage arm in  $Q^2$  and will have a variable center of mass energy  $\sqrt{s}$ . We present here predictions of Collins asymmetry as a function of  $x_B$  for two different values of  $Q^2 = 10 \text{ GeV}^2$  and  $Q^2 = 100 \text{ GeV}^2$  in Fig. 25 (left panel). Note that  $x_B$  and  $Q^2$  are correlated via  $Q^2 = sx_B y$ ; we also fix average values of  $z_h$  and  $P_{h\perp}$ ,  $\langle z_h \rangle = 0.36$  and  $\langle P_{h\perp} \rangle = 0.4 \text{ GeV}$ . One can see from Fig. 25 that we predict a moderate decrease of the asymmetry with  $Q^2$ . Measurements in the low- $x$  region are going to provide information on sea quark transversity. Our current extraction neglects sea quarks, so the asymmetry becomes very small in the low- $x$  region.

Suppression of asymmetries with the growth of  $Q^2$  due to TMD evolution has been the subject of investigation of numerous papers; see, for instance, Refs. [23,41,42,88,117]. The choice of the nonperturbative Sudakov factor is essential for the accurate description of the data and the reliable prediction of the  $Q^2$  dependence of the asymmetries. We present our predictions for the  $Q^2$  dependence of the Collins asymmetry to be measured at EIC in Fig. 25 (right panel). Note that  $Q^2$  suppression is to be studied at fixed values of  $x_B$ . The asymmetry itself depends strongly on the value of  $x_B$ . In Fig. 25 (right panel), we show our predictions for  $\langle x_B \rangle = 0.3$  and  $\langle x_B \rangle = 0.1$ ; in both cases the future data will constrain parameters that define nonperturbative input for the TMD evolution. One can see that our choice of a nonperturbative Sudakov factor results in a moderate  $Q^2$  dependence; the asymmetry is suppressed only by a factor of  $\sim 2$  at fixed  $x_B$  in a wide region of  $Q^2$  from 2.4 up to  $50 \text{ GeV}^2$ . This behavior is consistent with results of Ref. [41].

The Jefferson Lab 12 GeV program is going to extend our knowledge of the underlying distributions in the large- $x$  region. Both proton and neutron ( $^3\text{He}$ ) targets will provide information of distributions of  $u$  and  $d$  quarks. We present

predictions for JLab 12 at the 11 GeV incident electron beam on proton and  $^3\text{He}$  (effective neutron) targets in Fig. 26. One can see that we predict sizable asymmetries of the order of 10%; future data are going to highly improve the knowledge of transversity in the large- $x$  region. Currently the error band is very big; see Fig. 26. In order to give predictions in Fig. 26, we fixed the average kinematical variables,  $\langle y \rangle = 0.57$ ,  $\langle z_h \rangle = 0.5$ , and  $\langle P_{h\perp} \rangle = 0.38 \text{ GeV}$ .

## J. Comparison to other extractions

Tree-level extraction of transversity and Collins fragmentation functions was performed by the Torino-Cagliari-JLab group in papers [15–17]. In Fig. 27(a) we present a comparison of extracted transversity at NLL and the result of Ref. [17]. We also compare to the extraction of transversity via the dihadron fragmentation method [18] Fig. 27(b). One can see that all three extractions give consistent results in the explored region of  $x_B$ . Within error bands of each extraction, results are compatible with each

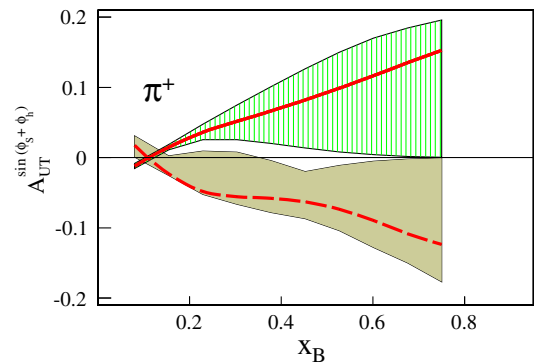


FIG. 26. Predictions for Collins asymmetry as a function of  $x_B$  for  $\pi^+$  production at Jefferson Lab 12 GeV on proton target (solid line and vertical-line hashed region) and effective neutron target (dashed line and shaded region).



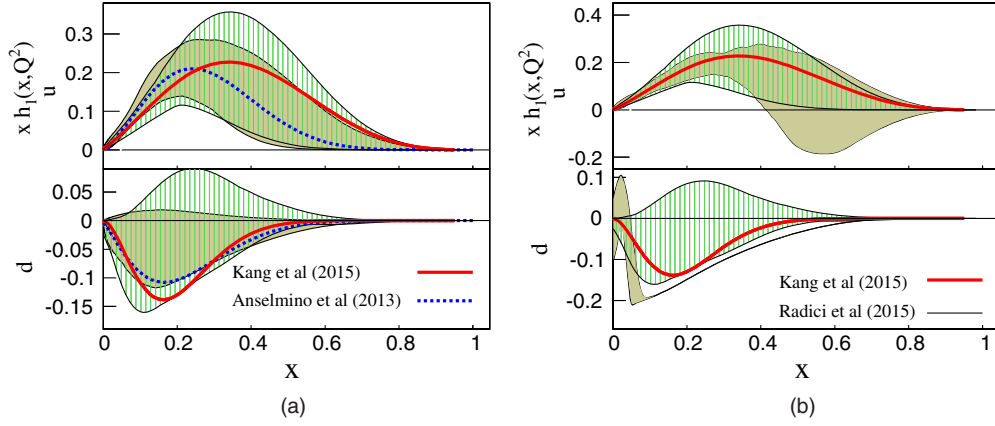


FIG. 27. (a) Comparison of extracted transversity (solid lines and vertical-line hashed region)  $Q^2 = 2.4 \text{ GeV}^2$  with the Torino-Cagliari-JLab 2013 extraction [17] (dashed lines and shaded region). (b) Comparison of extracted transversity (solid lines and shaded region) at  $Q^2 = 2.4 \text{ GeV}^2$  with Pavia 2015 extraction [18] (shaded region).

other. One can see that the experimental data indeed show some tension with the Soffer bound for the  $d$  quark in the high- $x$  region as predicted in Ref. [94]. This saturation happens in the region not explored by the current experimental data, so future data from Jefferson Lab 12 will be very important to test the Soffer bound and to constrain the transversity and tensor charge.

The functions themselves are slightly different as can be seen by comparing solid and dashed lines in Fig. 27(a). In fact Ref. [17] uses the tree-level TMD expression (no TMD evolution) for extraction, and we use the NLL TMD formalism. Results should be different even though in asymmetries, as we saw, at low energies results with NLL TMD are comparable with the tree level. At higher energies and  $Q^2$ , the situation changes, and extracted functions must be different. At the same time, one should remember TMD evolution does not act as a universal  $Q^2$  suppression factor. A complicated Fourier transform should be performed that mixes  $Q^2$  and  $b$  dependence, and thus the resulting functions are different in shape but comparable in magnitude. It is also very encouraging that tree-level TMD extractions yielded results very similar to our NLL extraction. This makes the previous phenomenological results valid even though the appropriate TMD evolution was not taken into account. It also means that we need to have experimental data on unpolarized cross sections differential in  $P_{h\perp}$ . As we have seen, the effects of evolution should be evident in the data, and those measurements will help to establish the validity of the modern formulation of TMD evolution.

We compare extracted Collins fragmentation functions  $-zH^{(3)}(z)$  in Fig. 28 at  $Q^2 = 2.4 \text{ GeV}^2$  with the extraction of Torino-Cagliari-JLab 2013 [17]. The resulting Collins FFs have the same signs, but shapes and sizes are slightly different. Indeed one could expect it as far as  $Q^2$  of  $e^+e^-$  is different, and the evolution effect must be more evident. At the same time, those functions for both tree-level and NLL

TMD give the same (or similar) theoretical asymmetries that are well compared to the experimental data of SIDIS and  $e^+e^-$ . The favored Collins fragmentation function is much better determined by the existing data, as one can see from Fig. 28 that the functions at  $Q^2 = 2.4 \text{ GeV}^2$  are compatible within error bands. The unfavored fragmentation functions are different; however, those functions are not determined very well by existing experimental data.

We also compare the tensor charge from our and other extractions in Fig. 29. The contribution to the tensor charge of Ref. [18] is found by extraction using the so-called dihadron fragmentation function that couples to the collinear transversity distribution. The corresponding functions have DGLAP-type evolution known at LO and were used in Ref. [18]. The results plotted in Fig. 29 correspond to our estimates of the contribution to the  $u$  quark and  $d$  quark in the region of  $x[0.065, 0.35]$  at  $Q^2 = 10 \text{ GeV}^2$  at 68% C.L. (label 1) and the contribution to the  $u$  quark and  $d$  quark in the same region of  $x$  and the same  $Q^2$  using the

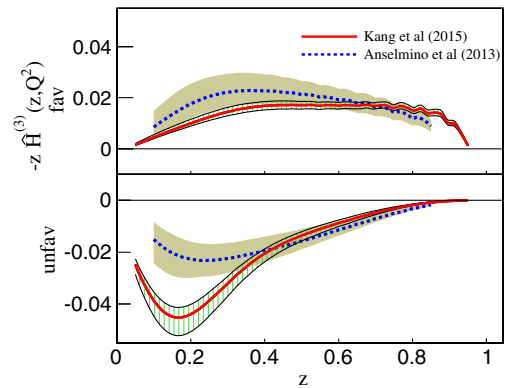


FIG. 28. Comparison of extracted Collins fragmentation functions (solid lines and vertical-line hashed region) at  $Q^2 = 2.4 \text{ GeV}^2$  with the Torino-Cagliari-JLab 2013 extraction [17] (dashed lines and shaded region).

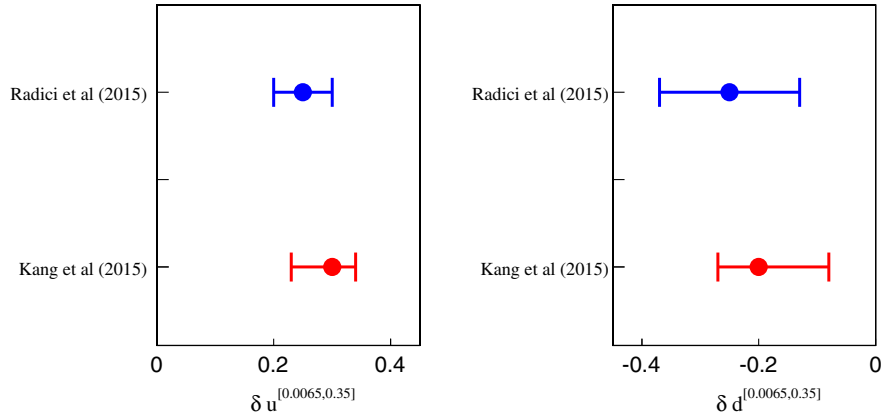


FIG. 29. Comparison of tensor charge  $\delta q^{[0.0065, 0.35]}$  for the  $u$  quark and  $d$  quark from this paper at 68% C.L. and the result from Ref. [18] at 68% C.L. Both results are at  $Q^2 = 10 \text{ GeV}^2$ .

so-called flexible scenario,  $\alpha_s(M_Z^2) = 0.125$ , of Ref. [18]. One can see that our extraction has *excellent* precision for both the  $u$  quark and  $d$  quark. The fact that the central values and errors of extracted tensor charges are in good agreement in both methods, ours and Ref. [18], is very positive and allows for future investigations of transversity including all available data in a global fit.

Our results compare well with extractions from Ref. [17]. Even though correct TMD evolution was not used in Ref. [17], the effects of DGLAP evolution of collinear distributions were taken into account, and the resulting fit is of good quality,  $\chi^2/\text{d.o.f.} = 0.8$  for the so-called standard parametrization of Collins fragmentation functions. In fact the probability that the model of Ref. [17] correctly describes the data is  $P(0.8 \cdot 249, 249) = 99\%$ . The tensor charge was estimated at 95% C.L. using two different parametrizations for Collins fragmentation functions. In Fig. 30 we compare our results with calculations from Ref. [17] at 95% C.L. at  $Q^2 = 0.8 \text{ GeV}^2$  and

calculations at 68% at  $Q^2 = 1 \text{ GeV}^2$  of Ref. [18]. Even though we compare the tensor charge at different values of  $Q^2$ , its evolution is quite slow, so the good agreement of all three methods is a good sign. We conclude that the tensor charge perhaps is very stable with respect to evolution effects that are included in phenomenological extractions. It also means that phenomenological results of Ref. [17] and other extractions without TMD evolution are valid phenomenologically. One should remember, of course, that TMD evolution is more complicated if compared to DGLAP evolution (even though formal solutions are simpler in TMD case). The usage of nonperturbative kernels makes it very important to actually demonstrate that the proper evolution is indeed exhibited by the experimental data. Once the correct evolution and nonperturbative Sudakov factor are established, the results of Ref. [17] should be improved by utilizing the appropriate TMD evolution that we have formulated in this paper.

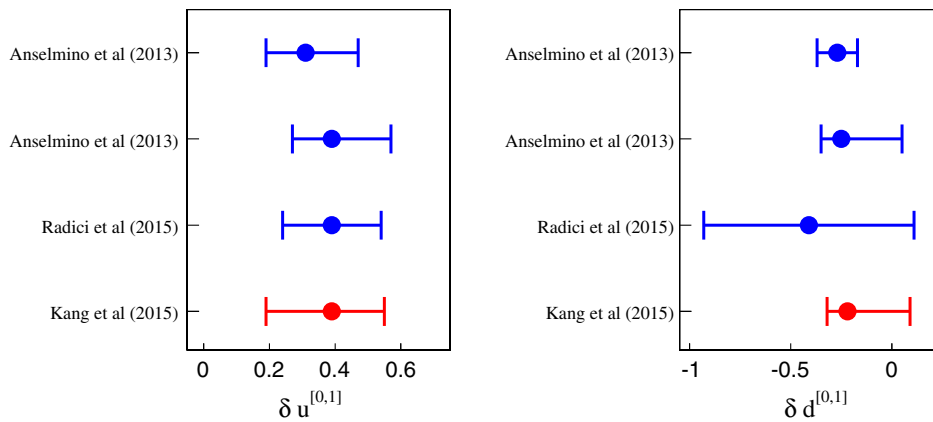


FIG. 30. Comparison of tensor charge  $\delta q^{[0, 1]}$  for the  $u$  quark and  $d$  quark in the whole region of  $x$  from this paper at 90% C.L. at  $Q^2 = 10 \text{ GeV}^2$  and the result from Ref. [18] at 68% C.L. and  $Q^2 = 1 \text{ GeV}^2$ , and Ref. [17] at the 95% C.L. standard and polynomial fit at  $Q^2 = 0.8 \text{ GeV}^2$ .

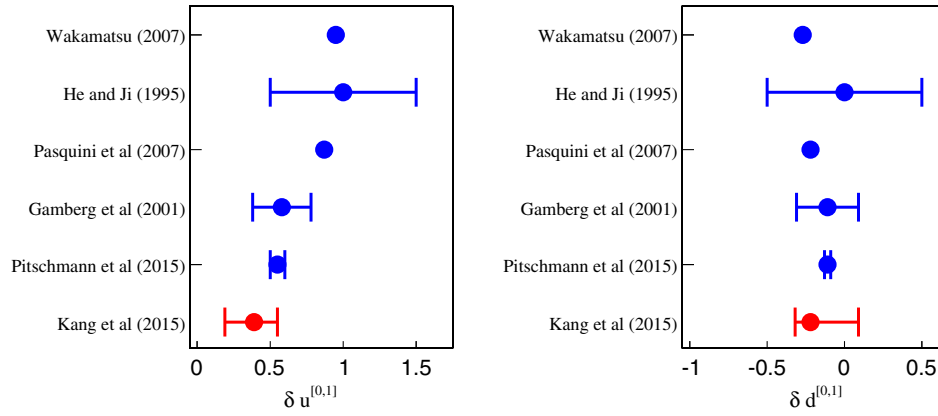


FIG. 31. Comparison of tensor charge  $\delta q^{[0,1]}$  for the  $u$  quark and  $d$  quark in the whole region of  $x$  from this paper at 90% C.L. at  $Q^2 = 10 \text{ GeV}^2$  and the results from Refs. [118–122].

In Fig. 31 we compare tensor charge  $\delta q^{[0,1]}$  for  $u$  and  $d$  quarks from this paper at 90% C.L. at  $Q^2 = 10 \text{ GeV}^2$  and the results from various model estimates of Refs. [118–122]. One can see that our results are close to results of Ref. [119] that actually used the approximate mass degeneracy of the light axial vector mesons [ $a_1(1260)$ ,  $b_1(1235)$  and  $h_1(1170)$ ] and pole dominance to calculate the tensor charge. Dyson Schwinger Equation (DSE) calculations of the tensor charge of Ref. [118] are also close to our results.

Finally we present our estimates for the isovector nucleon tensor charge  $g_T = \delta u - \delta d$ ,

$$g_T = +0.61_{-0.51}^{+0.26}, \quad (155)$$

at 90% C.L. and

$$g_T = +0.61_{-0.25}^{+0.15} \quad (156)$$

at 68% C.L. at  $Q^2 = 10 \text{ GeV}^2$ . This result can be compared to lattice QCD calculations.

In Fig. 32 we compare our results on isovector nucleon tensor charge with extractions of Radici *et al.* [18] at  $Q^2 = 4 \text{ GeV}^2$ , Anselmino *et al.* [17] standard and polynomial at  $Q^2 = 0.8 \text{ GeV}^2$ , and a series of lattice computations. Bali *et al.* [123] estimate  $g_T$  at  $m_\pi \approx 150 \text{ MeV}$  using RQCD with two-flavor NPI Wilson-clover fermions, Gupta *et al.* [124] use  $2 + 1 + 1$ -flavor HISQ lattices generated by the MILC Collaboration with the lowest  $m_\pi = 130 \text{ MeV}$ , Green *et al.* [125] use  $2 + 1$ -flavor BMW clover-improved Wilson action with pion masses between 149 and 356 MeV, Aoki *et al.* use gauge configurations generated by the RBC and UKQCD collaborations with  $(2 + 1)$ -flavor QCD with domain wall fermions, and Bhattacharya *et al.* [126] use two ensembles of highly improved staggered quarks lattices generated by the MILC Collaboration with  $2 + 1 + 1$  dynamical flavors at a lattice spacing of 0.12 fm and with light-quark masses corresponding to pions with masses 310 and 220 MeV.

References to other calculations of  $g_T$  on the lattice can be found, for instance, in Ref. [126]. Reference [127] uses  $n_f = 2$  lattice QCD, based on clover-improved Wilson fermions. One can see from Fig. 32 that all phenomenological extractions indicate *small* values for the isovector nucleon tensor charge compared to lattice QCD. DSE computations of  $g_T$  at  $Q^2 = 4 \text{ GeV}^2$  were performed in Ref. [118], and the result is different from most of the lattice computations and closer to the phenomenological extraction from the data. Earlier DSE calculations of the tensor charge were performed in Ref. [128] in the QCD-like theory with the Landau gauge, and the quark tensor charge in the nucleon was estimated as  $\delta u \approx 0.8$  and  $\delta d \approx -0.2$  at  $Q = 2 \text{ GeV}$ .

The value of  $g_T$  extracted from the data may influence searches of Beyond the Standard Model (BSM) physics that depend on  $g_T$  [130–132]. In particular the precision of determination of the elementary BSM tensor coupling

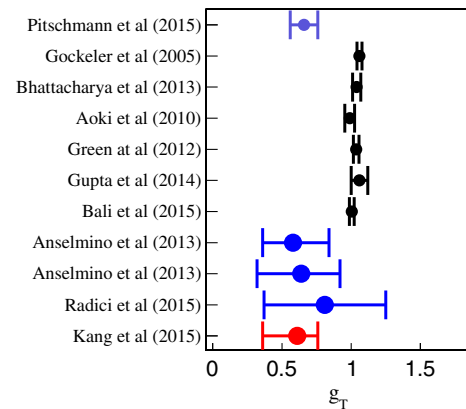


FIG. 32. Comparison of the isovector nucleon tensor charge  $g_T$  from this paper at 68% C.L. at  $Q^2 = 10 \text{ GeV}^2$  and the result from Ref. [18] at 68% C.L. and  $Q^2 = 4 \text{ GeV}^2$ , and Ref. [17] at the 95% C.L. standard and polynomial fit at  $Q^2 = 0.8 \text{ GeV}^2$ . Other points are lattice computation at  $Q^2 = 4 \text{ GeV}^2$  of Refs. [123–127,129]. Reference [118] is a DSE calculation at  $Q^2 = 4 \text{ GeV}^2$ .

$\epsilon_T \propto m_W^2/\Lambda_T^2$  allows us to determine the lower limit for the possible BSM physics scale  $\Lambda_T$ . The most recent limit [132] is  $|\epsilon_T g_T| < 6.4 \times 10^{-4}$  at 90% C.L.. An analysis of the precision of  $\epsilon_T$  as a function of the precision of the extraction of the tensor charge, the central value of  $g_T$ , and relative error  $\Delta g_T/g_T$  was presented in Ref. [132]. Presently lattice QCD calculations have the best precision; however, the tensor charge computed must be confirmed by the extraction from the experimental data.

One can see that our determination of  $g_T$  is the most precise existing extraction from experimental data; it turns out to be different from lattice QCD computations. Future experimental data will allow both to improve our extractions and test the reliability of lattice QCD calculations.

The isoscalar nucleon tensor charge  $g_T^0 = \delta u + \delta d$  can be readily computed using our results. We present the result for  $g_T^0$  for completeness,

$$g_T^0 = +0.17_{-0.30}^{+0.47}, \quad (157)$$

at 90% C.L. at  $Q^2 = 10 \text{ GeV}^2$ .

References [133–135] explore the large- $N_c$  behavior of parton distributions in QCD and predict that

$$|h_1^u(x) - h_1^d(x)| \gg |h_1^u(x) + h_1^d(x)|; \quad (158)$$

we indeed observe that transversities for  $u$  and  $d$  quarks are of similar magnitude and opposite signs and  $g_T > g_T^0$ , and thus our results are compatible with large- $N_c$  predictions.

#### IV. SUMMARY

In this paper, we have performed a global analysis of the Collins azimuthal asymmetries in  $e^+e^-$  annihilation and SIDIS processes, for the first time, with full QCD dynamics taken into account, including the appropriate TMD evolution effects at the NLL' order and perturbative QCD corrections at the NLO. The valence quark contributions to the nucleon tensor charge were estimated based on our analysis. Let us summarize the major results of this comprehensive study.

First, the full QCD evolution effects are crucial to describe the Collins asymmetries in the back-to-back dihadron productions in  $e^+e^-$  annihilations, where current data come from the  $B$ -factories at the center of mass energy around 10.6 GeV. At this energy range, the TMD evolution has a significant effect on the asymmetry distributions as functions of the transverse momentum and the longitudinal momentum fractions carried by the hadrons in the fragmentation processes. These features have been clearly demonstrated in Figs. 20–21. In particular, the transverse-momentum dependence illustrates the effects coming from the Sudakov resummation form factors where the perturbative part plays an important role due to the large value of the resolution scale  $Q \approx 10.6 \text{ (GeV)}$ . The

associated scale evolution effects in the  $\hat{H}^{(3)}(z)$  are another important aspect in the calculations. The evolution kernel is different from that of the unpolarized fragmentation function, and it changes the functional form dependence of  $z_{h1}$  and  $z_{h2}$ . In addition, there is cancellation between favored and unfavored Collins fragmentation functions; not only the shape but also the size are modified with the full evolution effects taken into account.

Second, because of the relative narrow  $Q^2$  range in the current SIDIS data, the evolution effects are not so evident as compared to those in  $e^+e^-$  annihilation processes. This was shown in Figs. 18 and 19. However, we would like to emphasize that, in order to precisely constrain the quark transversity distributions, we need to perform the complete QCD evolution in the theoretical calculations of the asymmetries to compare to the experimental data. This will become more important with high precision data from future experiments at the Jefferson Lab 12 GeV upgrade [110] and the planned Electron-Ion Collider [4,111,112].

Third, the quark transversity distributions from our analysis are comparable to previous determinations, including the leading-order analysis of the same Collins asymmetries in SIDIS and  $e^+e^-$  annihilation processes and the dihadron fragmentation channel in DIS and  $e^+e^-$  processes; see Fig. 27. In particular, the consistency between the Collins asymmetry analysis and the dihadron fragmentation analysis is a strong encouragement toward a future global fit to include all experimental data to constrain the quark transversity distributions.

We observe, however, the Collins fragmentation functions from our analysis are quite different from those determined from the leading-order analysis in Ref. [17], although they are in the same order of magnitude. To further test the evolution effects, we emphasize the importance of future experiment measurements, in particular, in the energy range different from  $B$ -factories, such as those from the BEPC II at the experiment BESIII. We have made predictions for these experiments in Figs. 22 and 24. The recent measurement of BESIII [116] has shown a rather good agreement with our calculations. We encourage the BELLE, BABAR, and BESIII collaborations to perform the analysis of the data on unpolarized cross sections as such data are a curtail for our understanding of TMD fragmentation functions.

Finally, we summarize the nucleon tensor charge contribution from our analysis,

$$\delta u^{[0.0065,0.35]} = +0.30_{-0.12}^{+0.08}, \quad (159)$$

$$\delta d^{[0.0065,0.35]} = -0.20_{-0.11}^{+0.28} \quad (160)$$

at 90% C.L. at  $Q^2 = 10 \text{ GeV}^2$ , in the kinematic range covered by the current experiments, and

$$\delta u^{[0.0065,0.35]} = +0.30_{-0.07}^{+0.04}, \quad (161)$$

$$\delta d^{[0.0065,0.35]} = -0.20_{-0.07}^{+0.12} \quad (162)$$

at 68% C.L. at  $Q^2 = 10 \text{ GeV}^2$ .

If we extend to the complete  $x$  range by assuming the model dependence in our fit, we would obtain

$$\delta u^{[0,1]} = +0.39_{-0.20}^{+0.16}, \quad (163)$$

$$\delta d^{[0,1]} = -0.22_{-0.10}^{+0.31} \quad (164)$$

at 90% C.L. at  $Q^2 = 10 \text{ GeV}^2$  and

$$\delta u^{[0,1]} = +0.39_{-0.11}^{+0.07}, \quad (165)$$

$$\delta d^{[0,1]} = -0.22_{-0.08}^{+0.14} \quad (166)$$

at 68% C.L. at  $Q^2 = 10 \text{ GeV}^2$ . We emphasize that the above constraints depend on the functional form in our analysis, and the numbers quoted here should be taken cautiously. It is, nevertheless, interesting to compare to previous determinations. In Fig. 27 we show the comparisons of the nucleon tensor charges between our results and other determinations, together with some model calculations and the lattice computations.

Many improvements can be made in the future. First, more experimental data are on the horizon from the 12 GeV upgrade of Jefferson Lab experiments, which actually will cover the large- $x$  region and is of crucial importance to constrain the quark transversity distribution in that region. Since the nucleon tensor charge contribution is an integral of the quark transversity distribution, future Jefferson Lab data will be very important to reduce the uncertainties quoted above, and the uncertainties we cannot address at the moment, such as the kinematic extension to obtain  $\delta q^{[0,1]}$ .

The TMD evolution and the procedure to perform the global analysis will be an important part in the future analysis for other observables, for example, the Sivers asymmetries in SIDIS. We plan to carry out this analysis in a future publication.

A number of improvements can be pursued in the theoretical part of the formalism. In this paper, we have taken the approximate evolution kernel for the twist-3

quark-gluon-quark correlation contribution to the fragmentation function  $\hat{H}^{(3)}(z)$ . For a complete analysis, we should include other terms in this evolution equation. Although it may not be possible to have closed evolution equations for both  $\hat{H}^{(3)}(z)$  and the related twist-3 fragmentation functions  $H_D(z_1, z_2)$ , one should be able to estimate the contributions from these additional terms. Second, with more experimental data available, we shall include the flavor dependence in the nonperturbative form factors in the Collins fragmentation function in the CSS resummation formalism. In this paper, we have assumed that they are flavor independent. The flavor dependence of distribution and fragmentation functions will be explored in the future analysis with more data available, in particular, the data on the transverse-momentum dependence of the asymmetries in  $e^+e^-$  annihilation processes.

As a final remark, we would like to emphasize that our results and the methodology in the analysis will play an important role in phenomenological applications of perturbative QCD to the vast experimental data on SIDIS, Drell-Yan, and  $e^+e^-$  and in the extraction of the relevant TMD parton distributions of the nucleon.

## ACKNOWLEDGMENTS

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## APPENDIX: ONE-LOOP CALCULATION OF THE COLLINS ASYMMETRY IN SIDIS

To study the perturbative corrections and extract the hard factor in Eq. (53), we need to carry out a calculation for  $\tilde{F}_{\text{collins}}$  at one-loop order. The leading-order expression and the virtual diagram contributions follow those in the previous calculations for, e.g., the Sivers single spin asymmetry in SIDIS [40,53]. For the real gluon radiation, we use the results in Ref. [52],

$$\begin{aligned} F_{\text{collins}}^\beta |_{P_{h\perp} \ll Q} &= \frac{z_h P_{h\perp}^\beta}{(\tilde{P}_{h\perp}^2)^2} \frac{\alpha_s}{2\pi^2} C_F \int_{x_B}^1 \frac{dx}{x} \int_{z_h}^1 \frac{dz}{z} \sum_q e_q^2 h_1^q(x)(z) \left\{ \hat{H}_{h/q}^{(3)}(z) \delta(\hat{\xi} - 1) \left[ \frac{2\xi^2}{(1-\xi)_+} \right] \right. \\ &\quad \left. + \delta(\xi - 1) \left[ -2\hat{\xi} \left( z^3 \frac{\partial}{\partial z} \frac{\hat{H}_{h/q}^{(3)}(z)}{z^2} \right) + \hat{H}_{h/q}^{(3)}(z) \frac{2\hat{\xi}^2}{(1-\hat{\xi})_+} \right] + 2\delta(\hat{\xi} - 1) \delta(\xi - 1) \hat{H}_{h/q}^{(3)}(z) \ln \frac{z_h^2 Q^2}{\tilde{P}_{h\perp}^2} \right\}, \quad (A1) \end{aligned}$$

where  $\xi = x_B/x$ ,  $\hat{\xi} = z_h/z$ , and we only keep the most important diagonal contributions from  $\hat{H}_{h/q}^{(3)}(z)$ , and the contributions from  $\hat{H}_D(z_1, z_2)$  can be found from Ref. [52]. By applying the Fourier transform (some of the useful integrals are listed in the Appendix of Ref. [40] and Eq. (42) of Ref. [136]), we obtain the following result for  $\tilde{F}_{\text{collins}}^\beta(Q, b)$ ,

$$\begin{aligned}
\tilde{F}_{\text{collins}}^\beta|_{\text{real}} &= \frac{\alpha_s}{2\pi} C_F \left( \frac{ib^\alpha}{2} \right) \int_{x_B}^1 \frac{dx}{x} \int_{z_h}^1 \frac{dz}{z} \sum_q e_q^2 h_1^q(x) \hat{H}_{h/q}^{(3)}(z) \left\{ \left( -\frac{1}{\epsilon} + \ln \frac{c_0^2 \hat{\xi}^2}{b^2 \mu^2} \right) \right. \\
&\times \left[ \delta(1 - \hat{\xi}) \left( \frac{2\xi}{(1-\xi)_+} \right) + \delta(1 - \xi) \left( \frac{2\hat{\xi}}{(1-\hat{\xi})_+} + 2\delta(\hat{\xi} - 1) \right) \right] \\
&+ 2\delta(1 - \xi)\delta(1 - \hat{\xi}) \left[ \frac{1}{\epsilon^2} - \frac{1}{\epsilon} \ln \frac{Q^2}{\mu^2} + \frac{1}{2} \left( \ln \frac{Q^2}{\mu^2} \right)^2 - \frac{1}{2} \left( \ln \frac{Q^2 b^2}{c_0^2} \right)^2 - \frac{\pi^2}{12} \right] \\
&\left. - 2\delta(1 - \xi)\delta(1 - \hat{\xi}) \left( -\frac{1}{\epsilon} + \ln \frac{c_0^2}{b^2 \mu^2} \right) \right\}, \tag{A2}
\end{aligned}$$

where we have partially integrated out the derivative terms in the previous equation to simplify the above expression. Clearly, the real diagrams contributions contain soft divergence ( $1/\epsilon^2$ ), which will be cancelled by the virtual diagrams contributions. The virtual diagram contributes to a factor,

$$\frac{\alpha_s}{2\pi} C_F \left[ -\frac{2}{\epsilon^2} - \frac{3}{\epsilon} + \frac{2}{\epsilon} \ln \frac{Q^2}{\mu^2} + \frac{1}{6} \pi^2 + 3 \ln \frac{Q^2}{\mu^2} - \left( \ln \frac{Q^2}{\mu^2} \right)^2 - 8 \right] \tag{A3}$$

After canceling out these divergences, we have the total contribution at one-loop order,

$$\begin{aligned}
\tilde{F}_{\text{collins}}^\beta &= \frac{\alpha_s}{2\pi} \int_{x_B}^1 \frac{dx}{x} \int_{z_h}^1 \frac{dz}{z} \sum_q e_q^2 h_1^q(x) \hat{H}_{h/q}^{(3)}(z) \left( \frac{ib^\alpha}{2} \right) \left\{ \left( -\frac{1}{\epsilon} + \ln \frac{c_0^2 \hat{\xi}^2}{b^2 \mu^2} \right) (\hat{P}_{q \rightarrow q}^c(\hat{\xi}) \delta(1 - \xi) + P_{q \rightarrow q}^{h_1}(\xi) \delta(1 - \hat{\xi})) \right. \\
&\left. + \delta(1 - \xi)\delta(1 - \hat{\xi}) C_F \left[ 3 \ln \frac{Q^2 b^2}{c_0^2} - \left( \ln \frac{Q^2 b^2}{c_0^2} \right)^2 - 8 \right] \right\}, \tag{A4}
\end{aligned}$$

where  $P$  represents the associated splitting kernels. They can be derived from the above results,

$$P_{q \rightarrow q}^{h_1}(\xi) = C_F \left[ \frac{2\xi}{(1-\xi)_+} + \frac{3}{2} \delta(1 - \xi) \right], \tag{A5}$$

$$\hat{P}_{q \rightarrow q}^c(\hat{\xi}) = C_F \left[ \frac{2\hat{\xi}}{(1-\hat{\xi})_+} + \frac{3}{2} \delta(1 - \hat{\xi}) + \dots \right], \tag{A6}$$

where we only list the part we have shown in the above from the contribution from the  $\hat{H}^{(3)}(z)$  term. In general, the evolution of twist-3 correlation functions involves multiple parton correlation contributions, for which there is no homogenous form.

To demonstrate the TMD factorization and calculate the hard factor in the TMD factorization, we have to calculate the transverse-momentum dependence in the quark transversity distribution and the Collins fragmentation function at one-loop order. For the transversity distribution, we have

$$h_1(x_B, k_\perp)|_{\text{real}} = \frac{\alpha_s}{2\pi^2} C_F \frac{1}{k_\perp^2} \int_{x_B}^1 \frac{dx}{x} h_1(x) \left[ \frac{2\xi}{(1-\xi)_+} + \delta(1 - \xi) \left( \ln \frac{x_B^2 \zeta^2}{k_\perp^2} - 1 \right) \right] \tag{A7}$$

for the unsubtracted distribution in the Ji-Ma-Yuan (JMY) scheme. Adding the virtual contribution,

$$h_1(x_B, k_\perp)|_{\text{virtual}} = h_1(x_B) \frac{\alpha_s}{2\pi} C_F \left[ -\frac{1}{\epsilon^2} - \frac{5}{2\epsilon} + \frac{1}{\epsilon} \ln \frac{x_B^2 \zeta^2}{\mu^2} + \frac{x_B^2 \zeta^2}{\mu^2} - \frac{1}{2} \ln^2 \left( \frac{x_B^2 \zeta^2}{\mu^2} \right) - \frac{5}{12} \pi^2 - 2 \right], \tag{A8}$$

we obtain the total contribution for the unsubtracted quark transversity TMD at the one-loop order,

$$h_1^{\text{unsub}}(x_B, b; \zeta, \mu)|_{\alpha_s} = \frac{\alpha_s}{2\pi} \int_{x_B}^1 \frac{dx}{x} h_1(x) \left\{ \left( -\frac{1}{\epsilon} + \ln \frac{c_0^2}{b^2 \bar{\mu}^2} \right) P_{q \rightarrow q}^{h_1}(\xi) - C_F \delta(1 - \xi) \ln \frac{c_0^2}{b^2 \mu^2} \right. \\ \left. + C_F \delta(1 - \xi) \left[ \frac{3}{2} \ln \frac{b^2 \mu^2}{c_0^2} + \ln \frac{x_B^2 \zeta^2}{\mu^2} - \frac{1}{2} \ln^2 \left( \frac{x_B^2 \zeta^2 b^2}{c_0^2} \right) - 2 - \frac{\pi^2}{2} \right] \right\}, \quad (\text{A9})$$

in the JMY scheme. Therefore, the subtracted TMD quark transversity distribution can be written as

$$h_1^{\text{sub(JMY)}}(x_B, b; \zeta, \mu)|_{\alpha_s} = \frac{\alpha_s}{2\pi} \int_{x_B}^1 \frac{dx}{x} h_1(x, \bar{\mu}) \left\{ \ln \frac{c_0^2}{b^2 \bar{\mu}^2} P_{q \rightarrow q}^{h_1}(\xi) \right. \\ \left. + C_F \delta(1 - \xi) \left[ \left( \frac{3}{2} + \ln \rho \right) \ln \frac{b^2 \mu^2}{c_0^2} + \ln \frac{x_B^2 \zeta^2}{\mu^2} - \frac{1}{2} \ln^2 \left( \frac{x_B^2 \zeta^2 b^2}{c_0^2} \right) - 2 - \frac{\pi^2}{2} \right] \right\}, \quad (\text{A10})$$

where we have also applied the renormalization for the integrated transversity distribution. By setting  $x_B^2 \zeta^2 = \rho \mu_b^2$  and  $\mu = \mu_b$  as the initial scales for the TMD evolutions, we obtain the  $C$ -coefficient and the hard function  $\mathcal{H}_{1q}$  of Eq. (60) as

$$\tilde{\mathcal{H}}_{1q}^{\text{(JMY)}} = 1 + \frac{\alpha_s}{2\pi} C_F \left[ \ln \rho - \frac{1}{2} \ln^2 \rho - \frac{\pi^2}{2} - 2 \right], \quad \delta C_{q \rightarrow q}(\xi, \mu_b) = \delta(1 - \xi) (1 + \mathcal{O}(\alpha_s^2)). \quad (\text{A11})$$

Similarly, we can carry out the calculations in the Collins 2011 (JCC) scheme, for which we have the TMD quark transversity at one-loop order,

$$h_1^{\text{sub(JCC)}}(x_B, b; \zeta, \mu)|_{\alpha_s} = \frac{\alpha_s}{2\pi} \int_{x_B}^1 \frac{dx}{x} h_1(x, \bar{\mu}) \left\{ \ln \frac{c_0^2}{b^2 \bar{\mu}^2} P_{q \rightarrow q}^{h_1}(\xi) + C_F \delta(1 - \xi) \left[ \frac{3}{2} \ln \frac{b^2 \mu^2}{c_0^2} + \frac{1}{2} \ln^2 \left( \frac{\zeta_c^2}{\mu^2} \right) - \frac{1}{2} \ln^2 \left( \frac{\zeta_c^2 b^2}{c_0^2} \right) \right] \right\}. \quad (\text{A12})$$

Applying the above result in Eq. (60) and setting  $\zeta_c = \mu = \mu_b$  as the initial scales for the TMD evolutions, we have

$$\tilde{\mathcal{H}}_{1q}^{\text{(JCC)}} = 1 + \mathcal{O}(\alpha_s^2), \quad \delta C_{q \rightarrow q}(\xi, \mu_b) = \delta(1 - \xi) (1 + \mathcal{O}(\alpha_s^2)). \quad (\text{A13})$$

The above calculations can be extended to the Collins fragmentation function. The transverse-momentum dependence can be calculated from perturbative QCD and written in terms of the twist-3 fragmentation function,

$$H_1^\perp(z_h, p_\perp)|_{\text{real}} = \frac{\alpha_s}{2\pi^2} C_F \frac{1}{(p_\perp^2)^2} \int \frac{dz}{z} \left[ -2\hat{\xi} \left( z^3 \frac{\partial}{\partial z} \frac{\hat{H}_{h/q}^{(3)}(z)}{z^2} \right) + \hat{H}_{h/q}^{(3)}(z) \left( \frac{2\hat{\xi}^2}{(1 - \hat{\xi})_+} + \delta(1 - \hat{\xi}) \left( \ln \frac{\zeta^2}{p_\perp^2} - 2 \right) \right) \right]. \quad (\text{A14})$$

Fourier transforming into  $b$  space and adding the virtual diagram contribution [similar to that in Eq. (A8)], we obtain the unsubtracted Collins fragmentation function at one-loop order,

$$\tilde{H}_1^{\perp \alpha \text{sub}}(x_B, b; \zeta, \mu)|_{\alpha_s} = \frac{ib^\alpha}{2} \frac{\alpha_s}{2\pi} \int \frac{dz}{z} \hat{H}_{h/q}^{(3)}(z) \left\{ \left( -\frac{1}{\epsilon} + \ln \frac{c_0^2 \hat{\xi}^2}{b^2 \bar{\mu}^2} \right) \hat{P}_{q \rightarrow q}^c(\hat{\xi}) - C_F \delta(1 - \hat{\xi}) \ln \frac{c_0^2}{b^2 \mu^2} \right. \\ \left. + C_F \delta(1 - \hat{\xi}) \left[ \frac{3}{2} \ln \frac{b^2 \mu^2}{c_0^2} + \ln \frac{\hat{\xi}^2}{\mu^2} - \frac{1}{2} \ln^2 \left( \frac{\hat{\xi}^2 b^2}{c_0^2} \right) - 2 - \frac{\pi^2}{2} \right] \right\}, \quad (\text{A15})$$

in the JMY scheme. For the subtracted Collins fragmentation function, we have

$$\tilde{H}_1^{\perp \alpha \text{sub(JMY)}}(x_B, b; \zeta, \mu)|_{\alpha_s} = \frac{ib^\alpha}{2} \frac{\alpha_s}{2\pi} \int \frac{dz}{z} \hat{H}_{h/q}^{(3)}(z) \left\{ \ln \frac{c_0^2 \hat{\xi}^2}{b^2 \bar{\mu}^2} \hat{P}_{q \rightarrow q}^c(\hat{\xi}) \right. \\ \left. + C_F \delta(1 - \hat{\xi}) \left[ \left( \frac{3}{2} + \ln \rho \right) \ln \frac{b^2 \mu^2}{c_0^2} + \ln \frac{\hat{\xi}^2}{\mu^2} - \frac{1}{2} \ln^2 \left( \frac{\hat{\xi}^2 b^2}{c_0^2} \right) - 2 - \frac{\pi^2}{2} \right] \right\}. \quad (\text{A16})$$

Similarly, we obtain the subtracted Collins fragmentation function in the JCC scheme,

$$\tilde{H}_1^{\perp\alpha\text{sub(JCC)}}(x_B, b; \zeta, \mu)|_{\alpha_s} = \frac{ib^\alpha \alpha_s}{2} \frac{C_F}{2\pi} \int \frac{dz}{z} \hat{H}_{h/q}^{(3)}(z) \left\{ \ln \frac{c_0^2 \hat{\xi}^2}{b^2 \bar{\mu}^2} \hat{P}_{q \rightarrow q}^c(\hat{\xi}) + C_F \delta(1 - \hat{\xi}) \left[ \frac{3}{2} \ln \frac{b^2 \mu^2}{c_0^2} + \frac{1}{2} \ln^2 \left( \frac{\hat{\xi}^2}{\mu^2} \right) - \frac{1}{2} \ln^2 \left( \frac{\hat{\xi}^2 b^2}{c_0^2} \right) \right] \right\}. \quad (\text{A17})$$

From the above results, we derive the associated  $C$ -functions,

$$\begin{aligned} \tilde{\mathcal{H}}_c^{(\text{JMY})} &= 1 + \frac{\alpha_s}{2\pi} C_F \left[ \ln \rho - \frac{1}{2} \ln^2 \rho - \frac{\pi^2}{2} - 2 \right], \\ \delta \hat{C}_{q \rightarrow q}(\hat{\xi}, \mu_b) &= \delta(1 - \hat{\xi}) + \frac{\alpha_s}{2\pi} C_F \hat{P}_{q \rightarrow q}^c(\hat{\xi}) \ln \hat{\xi}^2, \\ \tilde{\mathcal{H}}_c^{(\text{JCC})} &= 1 + \mathcal{O}(\alpha_s^2), \delta \hat{C}_{q \rightarrow q}(\hat{\xi}, \mu_b) \\ &= \delta(1 - \hat{\xi}) + \frac{\alpha_s}{2\pi} C_F \hat{P}_{q \rightarrow q}^c(\hat{\xi}) \ln \hat{\xi}^2. \end{aligned} \quad (\text{A18})$$

Finally, we can obtain the hard factors in both schemes. For example, in the Ji-Ma-Yuan scheme,

$$\begin{aligned} H_{\text{collins}}^{(\text{DIS})\text{JMY}}(Q; \mu) &= H_{UU}^{(\text{DIS})\text{JMY}}(Q; \mu) \\ &= 1 + \frac{\alpha_s}{2\pi} C_F \left[ \ln \frac{Q^2}{\mu^2} + \ln \rho^2 \ln \frac{Q^2}{\mu^2} - \ln \rho^2 + \ln^2 \rho + \pi^2 - 4 \right]. \end{aligned} \quad (\text{A19})$$

Note that the hard part is the same for  $F_{UU}$  and  $F_{UT}$ , which is why we used the same notation  $H$  in Eqs. (17) and (53). Similarly, for the Collins-11 TMD scheme, we have

$$\begin{aligned} H_{\text{collins}}^{(\text{DIS})\text{JCC}}(Q; \mu) &= H_{UU}^{(\text{DIS})\text{JCC}}(Q; \mu) \\ &= 1 + \frac{\alpha_s}{2\pi} C_F \left[ 3 \ln \frac{Q^2}{\mu^2} - \ln^2 \left( \frac{Q^2}{\mu^2} \right) - 8 \right]. \end{aligned} \quad (\text{A20})$$

These hard factors can be calculated from the factorization of  $\tilde{F}_{\text{collins}}^\alpha$ , or from simply the virtual graphs for both the cross sections and the parton distribution and fragmentation functions. We will get the consistent results.

In the end, the  $C$ -functions in Eq. (53) can be calculated from the above results,

$$\delta C^{(\text{SIDIS})}(\xi) = \delta C(\xi) \times \tilde{\mathcal{H}}_{1q} \times \sqrt{H_{\text{collins}}^{(\text{SIDIS})}(\mu = Q)}, \quad (\text{A21})$$

$$\delta \hat{C}^{(\text{SIDIS})}(\hat{\xi}) = \delta \hat{C}(\hat{\xi}) \times \tilde{\mathcal{H}}_c \times \sqrt{H_{\text{collins}}^{(\text{SIDIS})}(\mu = Q)}, \quad (\text{A22})$$

where the scheme dependence is cancelled out between  $\mathcal{H}_{1q}$  and  $H_{\text{collins}}^{(\text{SIDIS})}$ . In particular, the  $\rho$  dependence disappears in the JMY scheme when applying the above formulas to calculate the  $C$ -functions in the standard CSS resummation. Similarly, we can calculate the  $C$ -functions for the  $e^+e^-$  annihilation processes,

$$\hat{C}^{(e^+e^-)}(\hat{\xi}) = \hat{C}(\hat{\xi}) \times \tilde{\mathcal{D}}_q \times \sqrt{H_{uu}^{(e^+e^-)}(\mu = Q)}, \quad (\text{A23})$$

$$\delta \hat{C}^{(e^+e^-)}(\hat{\xi}) = \delta \hat{C}(\hat{\xi}) \times \tilde{\mathcal{H}}_c \times \sqrt{H_{\text{collins}}^{(e^+e^-)}(\mu = Q)}. \quad (\text{A24})$$

Again, the scheme dependence is cancelled out between the last two factors in the above equations. Comparing the SIDIS and  $e^+e^-$  processes, we also find out that the difference comes from the hard factors.

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