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Bulk-boundary interaction and the second law in Hořava-Lifshitz cosmology

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By defining Q as a function which realizes the energy transference between the bulk and the boundary of spacetime, as we interpret it here in the framework of the flat case of Hořava-Lifshitz cosmology, we discuss the validity of the second law of thermodynamics in light of the sign changes of Q (changes in the direction of energy transference) and its consequences through the cosmic evolution, in particular, whether the thermal equilibrium between the bulk and the boundary is reached or not. Additionally, we discuss possible phase transitions experienced by the bulk and the boundary (seen as sign changes in their heat capacities) through the cosmic evolution. The energy density in the bulk is modeled under a holographic perspective. As far as we know, currently there is not observational data on the bulk-boundary interaction.

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I. INTRODUCTION

An open question is whether the Universe is or is not a thermodynamical system. If this were true, the second law must be satisfied by any cosmological model that attempts to coherently describe the evolution. On the other hand, if the Universe is not a thermodynamical entity, it is still an interesting problem to explore. If the Universe admits a thermodynamical description, we must also speak of its physics regarding thermal equilibrium. But it seems very difficult to consider equilibrium and the possibility to attain it, for instance, between different present species (interacting or not). As we will see, an analogue situation is verified in Hořava-Lifshitz (HL) cosmology when we think about the bulk-boundary interaction.

Bulk-boundary interaction can lead to a new perspective for studying the cosmic evolution, and the HL cosmology [1] provides a good scenario to develop it [2]. In this work, we analyze the thermodynamical aspects for the aforementioned interaction where the emphasis will be focused on the thermal equilibrium, if exists, and the second law. A crucial point is to analyze if the second law is satisfied or not in this cosmology; a holographic philosophy will be used for modeling the energy density present at the bulk. $8\pi G = c = 1$ units will be used throughout this work.

The paper is organized as follows. In Sec. II we will discuss briefly some aspects of the function Q. In Sec. III, considering the framework of the HL cosmology (flat case) we discuss the bulk and boundary temperatures and the second law. In Sec. IV, we study the model by introducing a holographic scheme for the energy density present at the bulk. In Sec. V, we discuss the phase transitions experienced by the bulk and the boundary of the spacetime through the cosmic evolution. Finally, Sec. VI is devoted to conclusions.

II. Q FUNCTION

When two interacting fluids are considered, different *Ansätze* for Q (this function measures the energy transference between both fluids) can be seen in the literature, for instance,

$$Q = 3\lambda H\rho, \tag{1}$$

where λ is a constant parameter to be determined by observations, and this Q does not experience any sign changes through the evolution [3]. Either way, the "Ansatz philosophy" for the function Q, is a first approximation to describe the interaction according to the observational data [3,4]. In the present work, we will use Eq. (1) as an example of an interaction function between the bulk and the boundary, and later the case of a Q function which exhibits

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sign changes. Another approach, used here, is based on the holographic philosophy [2,5,6], where we can obtain an explicit form for Q after using some parametrization over ω (equation-of-state parameter) or q (deceleration parameter). For this case, it is possible to visualize sign changes in Q, a fact that is interesting if we are thinking, for instance, about eventual phase transitions experienced by the bulk or the boundary of the spacetime. The authors of Ref. [6] found some phase transitions (sign changes in the heat capacity) experienced by two interacting fluids, dark energy under a holographic scheme and dark matter considered as a pressureless fluid.

III. BULK/BOUNDARY INTERACTION AND THERMODYNAMICS

In the framework of the flat HL gravity we study its cosmology and the projectable version of this theory preserves the diffeomorphism invariance [1]. Here, the dynamical equation governing the cosmology is given by

$$\eta(2\dot{H} + 3H^2) = -p = -\omega\rho, \qquad (2)$$

and the energy density satisfies the nonconservation equation

$$\dot{\rho} + 3H(1+\omega)\rho = -Q,\tag{3}$$

where ρ represents the energy density present at the bulk, η is a dimensionless constant parameter associated to diffeomorphism invariance (this parameter is well confined to the range $0 < \eta < 1$; see Ref. [2]) and Q is the interaction term. In the HL cosmology, Q comes from the theory as an "integration constant" and it is not imposed by hand as done for the treatment of two interacting fluids. In Ref. [2] it was shown that in the HL cosmology Q does not vanish at late times; in fact, it never vanishes. Therefore, the thermal equilibrium between the bulk and the boundary of the spacetime cannot be reached. In consequence, we do not share the idea that equilibrium can take place during late times or the idea of a conservation equation for ρ as was done in Ref. [7]. An interesting discussion over restrictions on the thermal equilibrium between dark energy and the cosmological horizon can be seen in Ref. [8].

(i) Bulk temperature

The Gibbs equation for the fluid at the bulk reads

$$T_b dS_b = d(\rho V) + p dV = \rho V \left(\frac{dV}{V} + \frac{d\rho}{\rho}\right), \quad (4)$$

and by using the deceleration parameter defined by $q = -(1 + \dot{H}/H^2)$ and $V = (4\pi/3)H^{-3}$ (where H^{-1} is the radius of the Hubble horizon), we obtain PHYSICAL REVIEW D 92, 123511 (2015)

$$T_b \dot{S}_b = \rho V \left[3(1+\omega)(1+q)H + \frac{\dot{\rho}}{\rho} \right], \quad (5)$$

so that, after using Eqs. (2) and (3), we have

$$T_b \dot{S}_b = \frac{8\pi}{3} \eta \left(\frac{q - \frac{1}{2}}{\omega} \right) \\ \times \left[3(1 + \omega)q - \frac{3\omega}{2(q - \frac{1}{2})} \left(\frac{Q}{3\eta H^3} \right) \right].$$
(6)

By using the integrability condition

$$\frac{\partial^2 S_b}{\partial T_b \partial V} = \frac{\partial^2 S_b}{\partial V \partial T_b},$$

we can obtain the evolution equation for the bulk temperature [9]

$$\frac{dT_b}{T_b} = -3H\left(\frac{\partial p}{\partial \rho}\right)dt = 3\frac{dz}{1+z}\omega \to T_b(z) =$$
$$= C\exp\left(3\int\frac{dz}{1+z}\omega_{\text{eff}}\right),\tag{7}$$

where $\omega_{\rm eff}$ is given by

$$\omega_{\text{eff}} = \omega \left[1 + \frac{1}{2(q-1/2)} \left(\frac{Q}{3\eta H^3} \right) \right], \quad (8)$$

where we have used again Eqs. (2) and (3). So, we have

$$T_{b}(z) = C_{0} \exp\left(3 \int \frac{dz}{1+z} \omega \left[1 + \frac{1}{2(q-1/2)} \times \left(\frac{Q}{3\eta H^{3}}\right)\right]\right), \tag{9}$$

where *C*₀ is a constant. In Sec. IV we will show the form of Eq. (9) by using different choices for *Q*. (ii) Boundary temperature

According to the holographic principle, the Hubble horizon (the boundary) has a temperature given by the simple expression

$$T_h = \frac{H}{2\pi},\tag{10}$$

and the associated entropy is given by [10]

$$S_h = 8\pi^2 H^{-2} \to T_h \dot{S}_h = 8\pi (1+q).$$
 (11)

In Sec. IV, we show that by using in Eq. (2) a holographic energy density, we obtain the following expression for $T_h(z)$:

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$$\frac{T_h(z)}{T_h(0)} = (1+z)^{3/2} \times \exp\left(q_1 \left[\frac{1}{1+z} \left(\frac{1+\bar{z}}{2(1+z)} - 1\right) - \left(\frac{1+\bar{z}}{2} - 1\right)\right]\right),\tag{12}$$

where we have used the parametrization $q(z) = 1/2 + q_1(z - \overline{z})/(1 + z)^2$ [11], where both quantities q_1 and \overline{z} are defined as positive. Then, we have $T_b(z)$ from the HL cosmology given by Eq. (9) and $T_h(z)$ from a holographic scheme given by Eq. (12). Under this scope we will study the second law.

(iii) Second law

According to Eqs. (6) and (11), we can write

$$\dot{S}_b + \dot{S}_h \ge 0 \rightarrow \frac{8\pi}{T_b} \eta \left(\frac{q-\frac{1}{2}}{\omega}\right) \left[(1+\omega)q - \frac{\omega}{2(q-\frac{1}{2})} \left(\frac{Q}{3\eta H^3}\right) \right] + \frac{8\pi}{T_h} (1+q) \ge 0, \tag{13}$$

where we have to consider the following two conditions: for Q > 0 the energy flow goes from the bulk to the boundary of spacetime and for Q < 0 the energy flows in the opposite direction. Now, from Eq. (13) we write

$$\frac{Q}{3\eta H^3} \le 2\left[\left(\frac{q-\frac{1}{2}}{\omega}\right)q(1+\omega) + (1+q)\frac{1}{\eta}\left(\frac{T_b}{T_h}\right)\right],\tag{14}$$

and, by recalling Eq. (2), $\rho H^{-2}/2\eta = (q - \frac{1}{2})/\omega > 0$, we must have q > 1/2 and $\omega > 0$ or q < 1/2 and $\omega < 0$ always. In Sec. IV we will compare both members of Eq. (14), for different choices of the function Q in order to verify the second law [whether or not the inequality given in Eq. (14) is satisfied].

IV. HOLOGRAPHIC ENERGY DENSITY AND Q FUNCTION

Before introducing a holographic energy density, we start by using the *Ansatz* given in Eq. (1). In this case, if we use Eq. (2) we can obtain

$$\frac{Q}{3\eta H^3} = 2\lambda \left(\frac{q-\frac{1}{2}}{\omega}\right) > 0, \tag{15}$$

and then, according to Eq. (8) we have $\omega_{\text{eff}} = \omega + \lambda$. In this case the bulk temperature becomes

$$T_b(z) = \mathcal{C}_0(1+z)^{3\lambda} \exp\left(3\int \frac{dz}{1+z}\omega\right), \quad (16)$$

where C_0 is a constant. Now, for the energy density we use the holographic model given by [12]

$$\rho(z) = 3[\alpha - \beta(1+q)]H^2(z).$$
(17)

Replacing this last expression in Eq. (3), after a straightforward calculation we obtain

$$\frac{Q}{3\eta H^3}(z) = -\left(2\left[1 - \frac{\alpha}{\eta} + \left(\frac{\beta}{\eta}\right)(1+q)\right]\left(q - \frac{1}{2}\right) + \left(\frac{\beta}{\eta}\right)(1+z)\frac{dq}{dz}\right).$$
(18)

In Ref. [2] it was shown that Q, given in Eq. (18), experiences sign changes through the evolution. For instance, by using the aforementioned q(z) parametrization we can see explicitly those sign changes. Also, from Eqs. (2) and (17) we can obtain the relation

$$\frac{q-\frac{1}{2}}{\omega} = \frac{3}{2} \left[\frac{\alpha}{\eta} - \left(\frac{\beta}{\eta} \right) (1+q) \right] \to \omega =$$
$$= \frac{2}{3} \left(\frac{q-\frac{1}{2}}{\frac{\alpha}{\eta} - \left(\frac{\beta}{\eta} \right) (1+q)} \right).$$
(19)

Finally, the horizon temperature can be obtained by replacing Eq. (17) in Eq. (2), so that we can obtain (by recalling that $T_h = H/2\pi$)

$$d\ln\left[\frac{T_h(z)}{T_h(0)}\right] = \left(\frac{1 + \left(\frac{\alpha}{\eta}\right)\omega(z)}{1 + \left(\frac{3\beta}{2\eta}\right)\omega(z)}\right)d\ln\left(1 + z\right)^{3/2}, \quad (20)$$

and if we consider Eq. (19) alongside the q parametrization given before, we obtain



FIG. 1 (color online). We see sign changes in $C_b + C_h$ for one sign change in the function Q; towards the future the negativity of this sum occurs in a narrow range of z. Similar behaviors can be observed if we consider two sign changes or none for the function Q.

$$\omega(z) = \frac{2q_1}{3} \frac{z - \bar{z}}{(\frac{\alpha}{\eta} - \frac{3\beta}{2\eta})(1+z)^2 - (\frac{\beta}{\eta})q_1(z-\bar{z})},$$
 (21)

and then, the solution for T_h is given in Eq. (12). We end this section with a brief discussion about thermal equilibrium between the bulk and the boundary. When we think about thermal equilibrium, we do it in the framework of classical thermodynamics, where the thermal equilibrium is reached when both temperatures are equal and stay equal throughout the evolution. However, in this case the thermal equilibrium appears difficult to achieve. A thermodynamical criterion to visualize if the thermal equilibrium is kept once it is reached, is verifying that the sum of both heat capacities (bulk and boundary) stays negative. Given that the heat capacity of the horizon (boundary) is always negative $C_h = T_h(\partial S_h/\partial T_h) = -4/T_h^2$, in Fig. 1 we observe sign changes in the sum of the heat capacities of the bulk and the boundary, C_b and C_h respectively; this is a signal of nonthermal equilibrium. The negativity of the sum extends somewhat towards the near future and then experiences a sign change as well as in the recent past; here we have used the function Q with one change of sign through the evolution. A similar behavior can be observed when we consider a function Q with two sign changes or none. So, according to Fig. 1, the thermal equilibrium (negativity of $C_h + C_h$) can be seen as a transient stage, at least, in the framework of the present discussion. Nothing else can be said. It is difficult to conceive of thermal equilibrium also, if, for instance we are thinking about the (quantum) concept of entanglement: the bulk and the boundary (seen as two interacting systems) should reach the thermal equilibrium and, sooner or later, maintain it together with the growth of the entanglement entropy, but, if we look at Ref. [13] we can see that the aforementioned scheme has not been rigorously proven (despite the list of evidences given there). By using Eqs. (6)–(8), it is straightforward to obtain the heat capacity of the bulk, $C_b =$ $T_b(\partial S_b/\partial T_b) = -(6\pi T_b T_b \omega_{\rm eff})^{-1} f(z)$, where f(z) is given by the rhs of Eq. (6); as shown in Fig. 1 this heat capacity does not have a definite sign through the cosmic evolution.

V. THE SECOND LAW AND PHASE TRANSITIONS

From Fig. 2, we can see that for Q > 0, the second law is always satisfied, independently of the following conditions: $T_b(0) < T_h(0), T_b(0) = T_h(0)$ or $T_b(0) > T_h(0)$. Recent results given by the observational data indicate that $Q \neq 0$,



FIG. 2 (color online). According to Eq. (14), whose right-hand side is represented by the blue line and Eq. (15) besides the quotient T_b/T_h given from Eqs. (16) and (12), we can see that the inequality given in Eq. (14) is fully satisfied, i.e., the second law is satisfied.



FIG. 3 (color online). According to Eqs. (14) and (18), with $\beta = 0$, and the quotient T_b/T_h given from Eqs. (9) and (12), we can see regions where the second law is violated and this occurs independently of the values of $T_b(0)$ and $T_h(0)$. As in Fig. 2 we represent the right-hand side of Eq. (14) by a blue line.

but, the question of whether the function Q is always positive is still open.

If we consider one sign change (one zero) in the function Q, from Fig. 3, we can visualize a strong dependence on the values of $T_b(0)$ and $T_h(0)$ when speaking of the validity of the second law. For the case when $T_b(0) < T_h(0)$ we observe a violation region from $z_0 \approx 0.047$ towards the future and a nonviolation region from z_0 to the past. When $T_b(0) = T_h(0)$, we observe a violation region of the second law in the future, and finally, for the case when $T_b(0) > T_h(0)$ we observe a narrow region in the recent past $0.047 \le z \le 0.19$ where the second law is violated. Based on some results exposed in the Appendix, for the

case shown in Fig. 4, we can observe that the second law is satisfied; nevertheless the sign (three zeros) of Q changes. What does it mean? Whatever it is, in the absence of observational data for both temperatures, $T_b(0)$ and $T_h(0)$, we cannot say anything conclusive yet. So, apparently, we have problems with the second law only when Q experiences one sign change. In other words, everything seems to indicate that the second law is fulfilled if the function Q stays positive. But in the case shown in Fig. 4, the second law is also satisfied although Q experiences three sign changes. This fact redounds what has been said, that is, based on this analysis we cannot say anything conclusive.



FIG. 4 (color online). According to Eq. (14) and the expression for Q given in Eq. (18), the quotient T_b/T_h given in Eqs. (9) and (12) is shown. In this case, the second law is also satisfied; the right-hand side of Eq. (14) is represented in the right-hand side plot. The behavior of Q and its three signs changes is shown: Q(-0.2 < z < 0.38) > 0, Q(0.38 < z < 12.2) < 0 and Q(z > 12.2) > 0. We note that $Q(z \to \infty) \to 0$ and $Q(z \to -1)$ diverges. A maximum of Q(z) is localized around z = 0.



FIG. 5 (color online). According to Eqs. (15), (9) and (12), we can observe a possible phase transition in the future; the bulk temperature (T_b) increases even if the bulk energy decreases (here, Q > 0 always). The blue line corresponds to the horizon temperature (T_h) .

Finally, according to Figs. 5 and 6, we can visualize phase transitions, independently of the behavior of Q (with or without sign changes). This fact is itself interesting to study, at least in HL cosmology. Finally, in Fig. 7 at least where Q > 0, we do not observe phase transitions.



FIG. 6 (color online). We compare the Eqs. (9), (12) and (18) when $\beta = 0$. We observe a sign change in Q experienced in the past ($z = \overline{z} = 0.54$) and we can see a possible phase transition for $0 \le z \le \overline{z}$ namely, the bulk temperature (T_b) increases and the horizon temperature (T_h) decreases while Q > 0. From z = 0 to the future the bulk temperature and the horizon temperature continue to grow.

A. Plots

All the involved parameters are taken from Ref. [2]: $\alpha/\eta = 0.6$, $\beta/\eta = 0.1$, $q_1 = 3.36$ and $\bar{z} = 0.54$, and in Eq. (16) we have used $0.6 < \lambda < 1$. In all cases, we have used the ω parametrization given in Eq. (21). Additionally, we have added an Appendix where we show explicitly the involved integrals expressed all in terms of elemental functions.

VI. FINAL REMARKS

We have discussed the second law in HL cosmology by using a Q-interaction function, which measures the energy transference between the bulk and the boundary of the spacetime besides a holographic model for the energy density in the bulk. By using a Q function which does not experience sign changes through the cosmic evolution, we have verified the second law, but, after using a Q function which experiences one sign change, we observe problems with the second law, that is, the second law is verified except in a narrow region in the recent past $0.047 \le z \le 0.19$ when we consider $T_h(0) > T_h(0)$, the second law is verified except in the future when we consider $T_b(0) = T_h(0)$ and, if we consider $T_b(0) < T_h(0)$, we observe a violation of the second law at the region z < 0.047. But, when we consider a Q function which experiences three sign changes, the second law is satisfied independently of the values of $T_h(0)$ and $T_h(0)$.

On the other hand, if we have Q > 0 always, we can see a phase transition in the future, namely, the bulk temperature increases even if the bulk is losing energy. When Qexperiences one sign change (in the past), we also observe phase transitions towards the future. And, when Q experiences three sign changes, we do not observe phase transitions.

So, if the second law must be respected during cosmic evolution even in the presence of interaction, then, according to what is shown here, Q should not change sign (or whether, if we take into account the case with three sign changes of Q?). Nevertheless, our work and conclusions are fully dependent on the observational data. $Q \neq 0$ is a fact well established by observations, but the challenge remains in the possibility of confirming sign changes of Qin future observations. If this is so, we should expect very interesting consequences for the late cosmology, particularly, if the second law is or is not is verified in our Universe.

According to Figs. 2–7 we have attempted to give a message about the validity of the second law in HL cosmology. To be clear, it is only a message. Perhaps, we are *setting sail* into a new ocean.

Finally, we have seen that the sum of the heat capacities does not maintain the same sign (negative) through the cosmic evolution. This can be interpreted as a clear signal



FIG. 7 (color online). According to Eqs. (18), (9) and (12), we have plotted the zone around z = 0, where we can see two sign changes of Q. Here, we do not observe phase transitions, independently of the values of $T_b(0)$ and $T_h(0)$, at least where Q > 0.

of nonequilibrium between the bulk and the boundary. In this case the thermal equilibrium may be a transient stage; we do not know yet. So, in HL cosmology under a holographic scheme for the energy density and by considering the bulk-boundary interaction, we do not visualize thermal equilibrium.

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APPENDIX: SOME RESULTS FOR THE *Q* FUNCTION

According to Eq. (16) besides the given q and ω parametrizations, the bulk temperature is

$$\frac{T_b(z)}{T_b(0)} = (1+z)^{3\lambda} \exp\left(\frac{2q_1}{A}([I_1(z) - I_1(0)] - (1+\bar{z})[I_2(z) - I_2(0)])\right),$$
(A1)

where

$$I_1(z) = \frac{2}{\sqrt{\Delta}} \arctan\left(\frac{2(1+z) - B/A}{\sqrt{\Delta}}\right), \qquad (A2)$$

and

$$I_2(z) = \frac{1}{C} \left[\left(\frac{B}{2A} \right) I_1(z) + \ln \left(\frac{1+z}{\sqrt{R(z)}} \right) \right], \quad (A3)$$

with $0.6 < \lambda < 1$, $A = \alpha/\eta - 3\beta/2\eta$, $B = (\beta/\eta)q_1$, $C = (1 + \overline{z})(B/A)$, $\Delta = 4C - (B/A)^2$ and $R(z) = (1 + z)^2 - (B/A)(1 + z) + C$. And $\alpha/\eta = 0.6$, $\beta/\eta = 0.1$, $q_1 = 3.36$ and $\overline{z} = 0.54$. This case corresponds to using Eq. (15) where there is not a sign change of Q.

(i) Following Eq. (18), if we consider $\beta = 0$ we have

$$\frac{Q}{3\eta H^3} = -2\left(1 - \frac{\alpha}{\eta}\right)\left(q - \frac{1}{2}\right),\qquad(A4)$$

(one sign change in Q) and then, according to Eq. (9), the bulk temperature is

$$\frac{T_b(z)}{T_b(0)} = \exp\left[q_1(1-\bar{z})\right] \\ \times \exp\left[-2q_1\left\{\frac{1}{1+z} - \left(\frac{1+\bar{z}}{2}\right)\frac{1}{(1+z)^2}\right\}\right].$$
(A5)

(ii) Considering Eq. (18), and $\alpha \neq 0$ and $\beta \neq 0$, and according to Eq. (9) we have (with x = 1 + z)

$$\frac{T_b(x)}{T_b(1)} = \exp\left[\frac{2q_1}{A}\left\{\left(\frac{\alpha}{\eta} - \frac{\beta}{\eta}\right)(I_1(x) - I_1(1)) - \left[\left(\frac{\alpha}{\eta} - \frac{\beta}{2\eta}\right)\bar{x} + \left(\frac{\beta}{\eta}\right)q_1\right](I_2(x) - I_2(1))\right\}\right] \\
\times \exp\left[\frac{2q_1^2}{A}\left(\frac{\beta}{\eta}\right)\{2\bar{x}(I_3(x) - I_3(1)) - \bar{x}^2(I_4(x) - I_4(1))\}\right],$$
(A6)

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where

$$I_{3}(x) = -\left(\frac{1}{1+C-\frac{B}{A}}\right)\frac{1}{x} + \frac{(\frac{B}{A}-2)}{(1+C-\frac{B}{A})^{2}}\ln\left(\frac{x}{\sqrt{AR(1+x)}}\right) + 2\left(\frac{(1-C-(\frac{B}{A})+(\frac{B}{A})^{2}/2}{(1+C-\frac{B}{A})^{2}\sqrt{\Delta}}\right)\arctan\left(\frac{2(1+x)-\frac{B}{A}}{\sqrt{\Delta}}\right),$$
(A7)

and

$$I_{4}(x) = A\left(\frac{2 - \frac{B}{A}}{(1 + C - \frac{B}{A})^{2}}\right)\frac{1}{x} - \frac{A}{2}\left(\frac{1}{1 + C - \frac{B}{A}}\right)\frac{1}{x^{2}} + 3\left(\frac{1 - C/3 - \frac{B}{A} + (B/A)^{2}/3}{(1 + C - \frac{B}{A})^{3}}\right)\ln\left(\frac{x}{\sqrt{AR(1 + x)}}\right) + 3\left(\frac{(1 - C)(\frac{B}{A}) - (\frac{B}{A})^{2} + (\frac{B}{A})^{3}/3 + 2(C - 1/3)}{(1 + C - \frac{B}{A})^{3}\sqrt{\Delta}}\right)\arctan\left(\frac{2(1 + x) - \frac{B}{A}}{\sqrt{\Delta}}\right),$$
(A8)

where we have defined

$$R(1+x) = (1+x)^2 - \left(\frac{B}{A}\right)(1+x) + C$$

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