

# Functional renormalization group study of the chiral phase transition including vector and axial-vector mesons

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The transition in quantum chromodynamics from hadronic matter to the quark-gluon plasma at high temperatures and/or net-baryon densities is associated with the restoration of chiral symmetry and can be investigated in the laboratory via heavy-ion collisions. We study this chiral transition within the functional renormalization group approach applied to the two-flavor version of the extended linear sigma model (eLSM). The eLSM is an effective model for the strong interaction and features besides scalar and pseudoscalar degrees of freedom also vector and axial-vector mesons. We discuss the impact of the quark masses and the axial anomaly on the order of the chiral transition. We also confirm the degeneracy of the masses of chiral partners above the transition temperature. We find that the mass of the  $a_1$  meson ( $\rho$  meson) decreases (increases) towards the chiral transition.

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## I. INTRODUCTION

Quantum chromodynamics (QCD) is the fundamental theory of the strong interaction. For massless quarks, the QCD Lagrangian has a global  $U(N_f)_R \times U(N_f)_L \cong SU(N_f)_V \times SU(N_f)_A \times U(1)_V \times U(1)_A$  symmetry, where  $N_f$  denotes the number of quark flavors. The  $U(1)_V$  symmetry corresponds to baryon-number conservation, which is always respected. At the quantum level,  $U(1)_A$  is broken to  $\mathbb{Z}(N_f)_A$ , a phenomenon which is referred to as the axial anomaly [1]. In the QCD vacuum, the remaining  $SU(N_f)_V \times SU(N_f)_A$  symmetry, termed “chiral symmetry” in the following, is further spontaneously broken to  $SU(N_f)_V$  by a nonvanishing quark condensate  $\langle \bar{q}q \rangle$ , inducing  $N_f^2 - 1$  Goldstone bosons [2–4]. For nonzero and degenerate quark masses, the chiral symmetry is also explicitly broken to  $SU(N_f)_V$ .

At high temperatures and/or net-baryon number densities, the quark condensate melts and chiral symmetry is effectively restored. This chiral transition is commonly associated with the so-called QCD transition between a hadronic phase and the quark-gluon plasma (QGP). The QGP state has existed during the early stages of the Universe. Experiments at accelerator facilities, such as the SPS and LHC at CERN, RHIC at BNL, or SIS–100/300 at the FAIR project in Darmstadt, aim to explore the QGP via heavy-ion collisions [5]. Above the chiral transition, the masses of chiral partners, such as the sigma and the pion or the  $\rho$  and the  $a_1$  meson, become degenerate [6]. In particular, dropping  $\rho$  and  $a_1$  meson masses were suggested as signatures for chiral symmetry restoration [7–9]. The

change of the spectral properties of the  $\rho$  meson during the chiral transition could, e.g., be detected via its decay into dileptons [10]. Modifications of the dilepton spectrum have been observed in Pb + Pb [11] and In + In [12] collisions. Concerning the axial anomaly, it was claimed in Ref. [13] that data for Au + Au collisions at RHIC energies show a reduction of the  $\eta'$  meson mass, which was interpreted as a precursor for an effective restoration of the  $U(1)_A$  symmetry [14].

In general, the order of the chiral transition depends on the number  $N_f$  of quark flavors and their masses [15]. Furthermore, for  $N_f = 2$ , the order depends on whether the  $U(1)_A$  symmetry is restored prior to the restoration of chiral symmetry [16], or whether it remains broken by the axial anomaly. For vanishing quark masses, Pisarski and Wilczek [17] have argued that, in the absence of the  $U(1)_A$  anomaly, the chiral phase transition for  $N_f \geq 2$  is of first order and, in the presence of the  $U(1)_A$  anomaly, can be of second order for  $N_f = 2$ . For an infinite anomaly strength and if the transition is of second order, it definitely falls into the  $O(4)$ -universality class (as originally conjectured by the authors of Ref. [17]). Recently, however, it was argued that the chiral transition can be of second order for  $N_f = 2$ , no matter whether the axial anomaly is present [18] or not [19,20]; only the associated universality class differs: it was conjectured that the second-order transition can be in the  $SU(2)_A \times U(2)_V$  class for finite anomaly strength, and in the  $U(2)_A \times U(2)_V$  class for zero anomaly strength, respectively. For nonzero quark masses, the second-order transition is smeared out into a crossover.

The chiral transition has been extensively investigated from first principles via lattice QCD [21–29]. The critical temperature for vanishing quark-chemical potential,  $\mu = 0$ , was estimated to be  $\approx 160$  MeV [29–33]. Lattice-QCD calculations do not agree upon whether the  $U(1)_A$

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symmetry has already been restored at the chiral transition temperature [34,35] or not [36–38]. Unfortunately, perturbative methods fail to achieve reliable results in the low-energy range, where the gauge coupling is strong. Besides, they are plagued by severe infrared divergences. Therefore, nonperturbative continuum methods, such as the functional renormalization group (FRG) [39–42], are complementary to lattice QCD and hence indispensable for exploring the nature of QCD matter.

FRG studies of the chiral transition using effective models for QCD have a long tradition [43]: a quark-meson model with  $U(2)_R \times U(2)_L$  symmetry has been investigated beyond the local potential approximation (LPA) in Refs. [44,45]. An  $O(N)$ -symmetric quark-meson model was studied in Ref. [46]. The phase structures of the chiral quark-meson model and the (Polyakov-)quark-meson(-diquark) model for the two lightest flavors as well as for  $2+1$  flavors were determined in Refs. [47–57].  $U(1)_A$ -violating terms in the FRG were introduced in Ref. [58]. For massless up and down quarks and a physical strange quark, the chiral transition was shown to be of first/second order without/with the  $U(1)_A$  anomaly in the framework of a quark-meson model [59,60]. Also nonvanishing external magnetic fields were studied in such a model [61,62]. Recent insights into second-order and fluctuation-induced first-order phase transitions in a  $U(2)_R \times U(2)_L$ -symmetric model with scalar and pseudoscalar mesons were provided e.g. in Refs. [63,64]. The influence of the  $U(1)_A$  anomaly in a purely mesonic model was studied in Ref. [18]. The infrared-stable  $U(2)_V \times U(2)_A$  fixed point detected in Ref. [19] was also found with the help of the FRG technique, but the subsequent stability analysis remained inconclusive [20]. FRG studies based on QCD degrees of freedom were presented in Refs. [41,65–69].

Vector-meson fields were introduced into a chiral effective nucleon-meson Lagrangian in Refs. [70–74] and the phase diagram at nonvanishing net-baryon density was studied within the FRG. However, the vector-meson degrees of freedom were only considered to be background fields, i.e., their fluctuations were neglected in the FRG flow. A first FRG study of (axial-)vector mesons in QCD at  $T = \mu = 0$  was performed in Ref. [75], revealing that the hadronic contributions to the flow in vacuum are dominated by the sigma meson and the pions.

A study of the chiral transition at nonzero temperature within the FRG including vector and axial-vector mesons is, however, still missing. In this work, we fill this gap by applying the FRG to a purely mesonic model. We ascertain the mass degeneracy of chiral partners above the transition and identify its order. We consider the so-called extended linear sigma model (eLSM) [76–78] as an effective model for the strong interaction at nonzero  $T$  and for vanishing  $\mu$ . This model has been shown to reproduce hadronic vacuum properties such as masses and decay widths to a surprising degree of accuracy [78]. Furthermore, it has the same

low-energy limit as QCD [79]. We study this model in a limit where it resembles the time-honored Sakurai model [80,81].

This paper is organized as follows: in Sec. II A we introduce the two-flavor version of the eLSM. The subsequent part (Sec. II B) discusses the FRG formalism to describe fluctuations of spin-zero and spin-one mesons. The numerical results for vanishing quark masses in the absence (or presence) of the axial anomaly are presented in Sec. III A (Sec. III B). The case of explicit breaking of chiral symmetry by nonzero quark masses is discussed in Sec. III C. Section IV concludes this work with a summary of our results and an outlook.

We use natural units  $\hbar = c = k_B = 1$  and work in a finite  $(3+1)$ -dimensional Euclidean spacetime volume  $V \times (0, 1/T]$  at nonzero temperature  $T$  with periodic boundary conditions and, consequently, a discrete momentum spectrum:  $q = (\omega_n, \vec{q})$ , where the Matsubara frequencies for bosonic fields are given by  $\omega_n = 2n\pi T$ . We use a shorthand notation for spacetime integrations:

$$\int_{\mathcal{V}} d^{3+1}x = \int_0^{1/T} d\tau \int_V d^3x = \int_x, \quad (1)$$

with  $\mathcal{V} = V/T$ . We employ Einstein's summation convention, i.e., indices appearing twice are summed over. If these indices are Lorentz indices,  $\mu = 0, 1, 2, 3$ , it does not matter whether they appear as co- or contravariant indices, because we work in Euclidean spacetime. We always use covariant Lorentz indices.

## II. METHODS

### A. Extended linear sigma model

At low energies, quarks and gluons are confined inside hadrons, which are thus the effective degrees of freedom. An effective theory for hadrons must incorporate the chiral symmetry of QCD, as well as its spontaneous breaking. We work with a mesonic linear sigma model [82,83] as an effective implementation of the strong interaction, which, besides scalar and pseudoscalar mesons, also includes vector and axial-vector mesons [6,76,84–86]: the so-called eLSM [77]. The scalar and pseudoscalar fields are the real and imaginary parts of a complex  $N_f \times N_f$  matrix  $\Sigma$  that lives in the  $[N_f^*, N_f]$  representation of the group  $U(N_f)_R \times U(N_f)_L$ . Under transformations of this group,  $\Sigma$  behaves as follows:

$$\Sigma \rightarrow U_R^\dagger \Sigma U_L, \quad (2)$$

where the group elements  $U_{R,L}$  are unitary matrices. In terms of hadronic fields,  $\Sigma = (\sigma_a + i\pi_a)t_a$ , with the generators  $t_a$  of  $U(N_f)$  in the fundamental representation ( $\text{tr}[t_a t_b] = \delta_{ab}/2$ ). Here,  $\sigma_a$  and  $\pi_a$  represent scalar and pseudoscalar degrees of freedom, respectively. Analogously, we define

right- and left-handed fields for (axial-)vector mesons (parametrized by axial-vector fields  $A_{a,\mu}$  and vector fields  $V_{a,\mu}$ ):  $R_\mu = (V_{a,\mu} + A_{a,\mu})t_a$ ,  $L_\mu = (V_{a,\mu} - A_{a,\mu})t_a$ . They transform as

$$R_\mu \rightarrow U_R^\dagger R_\mu U_R, \quad L_\mu \rightarrow U_L^\dagger L_\mu U_L. \quad (3)$$

The globally chirally symmetric Lagrangian is given by [77]

$$\begin{aligned} \mathcal{L} = & \text{tr}[(D_\mu \Sigma)^\dagger D_\mu \Sigma] + m_0^2 \text{tr}(\Sigma^\dagger \Sigma) + \lambda_1 [\text{tr}(\Sigma^\dagger \Sigma)]^2 + \lambda_2 \text{tr}[(\Sigma^\dagger \Sigma)^2] + \frac{1}{4} \text{tr}(L_{\mu\nu}^2 + R_{\mu\nu}^2) \\ & + \text{tr} \left[ \left( \frac{m_1^2}{2} + \Delta \right) (L_\mu^2 + R_\mu^2) \right] - \text{tr}[H(\Sigma + \Sigma^\dagger)] - c_A (\det \Sigma + \det \Sigma^\dagger) \\ & - ig_2 (\text{tr}\{L_{\mu\nu}[L_\mu, L_\nu]\} + \text{tr}\{R_{\mu\nu}[R_\mu, R_\nu]\}) + \frac{h_1}{2} \text{tr}(\Sigma^\dagger \Sigma) \text{tr}(L_\mu^2 + R_\mu^2) \\ & + h_2 \text{tr}(|R_\mu \Sigma|^2 + |\Sigma L_\mu|^2) + 2h_3 \text{tr}(\Sigma L_\mu \Sigma^\dagger R_\mu) - g_3 [\text{tr}(L_\mu L_\nu L_\mu L_\nu) + \text{tr}(R_\mu R_\nu R_\mu R_\nu)] \\ & - g_4 [\text{tr}(L_\mu L_\nu L_\nu L_\mu) + \text{tr}(R_\mu R_\nu R_\nu R_\mu)] - g_5 \text{tr}(L_\mu L_\mu) \text{tr}(R_\nu R_\nu) \\ & - g_6 [\text{tr}(L_\mu L_\mu) \text{tr}(L_\nu L_\nu) + \text{tr}(R_\mu R_\mu) \text{tr}(R_\nu R_\nu)], \end{aligned} \quad (4)$$

with the covariant derivative  $D_\mu \Sigma = \partial_\mu \Sigma + ig_1 (\Sigma L_\mu - R_\mu \Sigma)$  and the right-/left-handed field-strength tensors  $R_{\mu\nu} = \partial_\mu R_\nu - \partial_\nu R_\mu$  and  $L_{\mu\nu} = \partial_\mu L_\nu - \partial_\nu L_\mu$ . The term  $\det \Sigma + \det \Sigma^\dagger$  accounts for the  $U(1)_A$  anomaly by breaking  $U(N_f)_R \times U(N_f)_L$  to  $SU(N_f)_V \times SU(N_f)_A \times U(1)_V$ . Its strength is determined by the coupling constant  $c_A$ . The flavor-diagonal terms  $\text{tr}[H(\Sigma + \Sigma^\dagger)]$  and  $\text{tr}[\Delta(L_\mu^2 + R_\mu^2)]$  correspond to explicit symmetry breaking (ESB) in the (pseudo-)scalar and (axial-)vector sector, respectively:

$$\begin{aligned} H &= \sum_{i=1}^{N_f} h_0^{i^2-1} t_{i^2-1}, \\ \Delta &= \text{diag}[\delta_1, \delta_2, \dots, \delta_{N_f}] \\ &\propto \text{diag}[m_u^2, m_d^2, \dots, m_{N_f}^2]. \end{aligned} \quad (5)$$

For nonzero and degenerate quark masses  $m_u^2 = m_d^2 = \dots$  ( $h_0^0 \neq 0$ , while all other  $h_0^{i^2-1}$  vanish;  $\Delta \propto \mathbb{1}$  has no further impact), these terms break the  $U(N_f)_R \times U(N_f)_L$  symmetry to  $U(N_f)_V$ .

It should be mentioned that there is a second way of introducing spin-one degrees of freedom to this effective theory. Within the gauged linear sigma model (gLSM) [81,84], (axial-)vector mesons are treated as massive Yang-Mills fields, accounting for the phenomenon of vector-meson dominance [80,87]. This model is constructed by requiring local  $U(N_f)_R \times U(N_f)_L$  symmetry. The gauge principle calls for a universal coupling of right- and left-handed vector fields to (pseudo-)scalars as well as among spin-one fields themselves. But due to the nonzero mass of the ‘‘gauge bosons,’’ of course, the gLSM is not a true gauge theory and the local invariance is already broken down to a global one. Since chiral symmetry is of global nature in QCD anyway, it seems to be logical to work with the Lagrangian (4).

Moreover, the ‘‘local’’ version does not reproduce the correct phenomenology of  $\rho$  and  $a_1$  mesons [6,87], a problem which is solved by the globally symmetric eLSM [78].

In this study, we restrict ourselves to the isospin-symmetric two-flavor case, i.e., up and down quarks have the same mass. Hence we are dealing with scalar fields  $(\sigma, \vec{a}_0)$ , pseudoscalars  $(\eta, \vec{\pi})$ , and with  $(f_1, \vec{a}_1)$  as well as  $(\omega, \vec{\rho})$  in the (axial-)vector mesonic sector. The fields  $\sigma, \eta, \omega$ , and  $f_1$  are  $SU(2)$ -singlet states, whereas the others form isospin triplets. The field  $\eta$  does not correspond to the physical  $\eta/\eta'$  mesons, which are mixtures of  $\bar{n}n$  and  $\bar{s}s$  ( $n = u, d$  stands for the nonstrange up and down quarks,  $s$  for the strange quark). As a first step in applying the FRG to the eLSM, we want to keep things simple and set the constants  $g_2, g_3, \dots, g_6$  as well as  $h_1, h_2, h_3$  to zero. The above defined complex  $2 \times 2$ -matrices explicitly read

$$\Sigma = (\sigma + i\eta)t_0 + (\vec{a}_0 + i\vec{\pi}) \cdot \vec{t}, \quad (6)$$

$$R_\mu = (\omega_\mu + f_{1\mu})t_0 + (\vec{\rho}_\mu + \vec{a}_{1\mu}) \cdot \vec{t}, \quad (7)$$

$$L_\mu = (\omega_\mu - f_{1\mu})t_0 + (\vec{\rho}_\mu - \vec{a}_{1\mu}) \cdot \vec{t}, \quad (8)$$

and the different parts of  $\mathcal{L}$  can be expressed as

$$\begin{aligned} \text{tr}[(D_\mu \Sigma)^\dagger D_\mu \Sigma] &= \frac{1}{2} [\partial_\mu \sigma + g_1 (\eta f_{1\mu} + \vec{\pi} \cdot \vec{a}_{1\mu})]^2 \\ &+ \frac{1}{2} [\partial_\mu \eta - g_1 (\sigma f_{1\mu} + \vec{a}_0 \cdot \vec{a}_{1\mu})]^2 \\ &+ \frac{1}{2} [\partial_\mu \vec{a}_0 + g_1 (\vec{\rho}_\mu \times \vec{a}_0 + \eta \vec{a}_{1\mu} + \vec{\pi} f_{1\mu})]^2 \\ &+ \frac{1}{2} [\partial_\mu \vec{\pi} - g_1 (\vec{\pi} \times \vec{\rho}_\mu + \sigma \vec{a}_{1\mu} + \vec{a}_0 f_{1\mu})]^2, \end{aligned} \quad (9)$$

$$m_0^2 \text{tr}(\Sigma^\dagger \Sigma) = \frac{m_0^2}{2} (\sigma^2 + \vec{a}_0^2 + \eta^2 + \vec{\pi}^2), \quad (10)$$

$$\lambda_1 [\text{tr}(\Sigma^\dagger \Sigma)]^2 = \frac{\lambda_1}{4} (\sigma^2 + \vec{a}_0^2 + \eta^2 + \vec{\pi}^2)^2, \quad (11)$$

$$\lambda_2 \text{tr}[(\Sigma^\dagger \Sigma)^2] = \frac{\lambda_2}{4} \left\{ \frac{1}{2} (\sigma^2 + \vec{a}_0^2 + \eta^2 + \vec{\pi}^2)^2 + 2[(\sigma^2 + \vec{\pi}^2)(\eta^2 + \vec{a}_0^2) - (\sigma\eta - \vec{\pi} \cdot \vec{a}_0)^2] \right\}, \quad (12)$$

$$\begin{aligned} \frac{1}{4} \text{tr}(L_{\mu\nu}^2 + R_{\mu\nu}^2) &= \frac{1}{4} (\partial_\mu \omega_\nu - \partial_\nu \omega_\mu)^2 + \frac{1}{4} (\partial_\mu \vec{\rho}_\nu - \partial_\nu \vec{\rho}_\mu)^2 \\ &+ \frac{1}{4} (\partial_\mu f_{1\nu} - \partial_\nu f_{1\mu})^2 \\ &+ \frac{1}{4} (\partial_\mu \vec{a}_{1\nu} - \partial_\nu \vec{a}_{1\mu})^2, \end{aligned} \quad (13)$$

$$\frac{m_1^2}{2} \text{tr}(L_\mu^2 + R_\mu^2) = \frac{m_1^2}{2} (f_{1\mu}^2 + \vec{a}_{1\mu}^2 + \omega_\mu^2 + \vec{\rho}_\mu^2), \quad (14)$$

$$\text{tr}[H(\Sigma + \Sigma^\dagger)] = h_0^0 \sigma, \quad (15)$$

$$c_A (\det \Sigma + \det \Sigma^\dagger) = \frac{c_A}{2} (\sigma^2 - \vec{a}_0^2 - \eta^2 + \vec{\pi}^2). \quad (16)$$

Obviously, the  $\omega$  meson completely decouples from any interactions. This would not be the case had we included other terms from Eq. (4).

In the low-temperature broken phase, the isoscalar  $\sigma$  field acquires a nonvanishing expectation value  $\langle \sigma \rangle_0 = \langle \bar{q}q \rangle = \text{const} \neq 0$  (here, the angular brackets are the notation for expectation values and the subscript 0 denotes the absence of external sources). Therefore, one has to consider fluctuations around the physical ground state and thus a shift of the  $\sigma$  field by its expectation value:  $\sigma \rightarrow \langle \sigma \rangle_0 + \sigma$ . The expectation value  $\langle \sigma \rangle_0$  acts as the order parameter for the chiral phase transition. After accounting for this shift, integration by parts then gives rise to the bilinear terms  $g_1 \langle \sigma \rangle_0 \eta \partial_\mu f_{1\mu}$  and  $g_1 \langle \sigma \rangle_0 \vec{\pi} \cdot \partial_\mu \vec{a}_{1\mu}$ . They represent the so-called  $\pi - a_1$ - and  $\eta - f_1$ -mixing, leading to nondiagonal elements in the scattering matrix. Usually these terms are eliminated. Following Ref. [81], this is done by shifting the axial-vector fields:  $f_{1\mu} \rightarrow f_{1\mu} + w \partial_\mu \eta$  and  $\vec{a}_{1\mu} \rightarrow \vec{a}_{1\mu} + w \partial_\mu \vec{\pi}$  with  $w = g_1 \langle \sigma \rangle_0 / [m_1^2 + (g_1 \langle \sigma \rangle_0)^2]$ . In turn, the axial-vector fields become explicitly renormalization group (RG)-scale dependent (through the dependence of  $w$  on  $\langle \sigma \rangle_0$ ) and the pseudoscalar states need to be renormalized:  $\pi_a \rightarrow \sqrt{Z_\pi} \pi_a$ ,  $Z_\pi = 1 + (g_1 \langle \sigma \rangle_0)^2 / m_1^2$ . This provides the canonical normalization of all one-meson states, such that their Fourier components can be interpreted as creation and annihilation operators in the process of quantization [84]. For a precise discussion of the  $\sigma$

shift and its implications on the FRG flow we refer to Ref. [75]. Instead of redefining the  $a_1$  and  $f_1$  fields, one may also work with nondiagonal propagators as performed in Ref. [87].

The vacuum expectation value  $\langle \sigma \rangle_0$  is the minimum of the classical potential energy density  $V(\langle \sigma \rangle)$ :

$$\begin{aligned} V(\langle \sigma \rangle) &= \frac{1}{2} (m_0^2 - c_A) \langle \sigma \rangle^2 + \frac{1}{4} \left( \lambda_1 + \frac{\lambda_2}{2} \right) \langle \sigma \rangle^4 - h_0^0 \langle \sigma \rangle, \\ \left. \frac{dV}{d\langle \sigma \rangle} \right|_{\langle \sigma \rangle = \langle \sigma \rangle_0} &= 0. \end{aligned} \quad (17)$$

The wave-function renormalization  $Z_\pi$  is related to  $\langle \sigma \rangle_0$  and to the masses of the  $a_1$  and  $\rho$  mesons by

$$\langle \sigma \rangle_0 = \sqrt{Z_\pi} f_\pi \equiv f_\pi \frac{m_{a_1}}{m_\rho}, \quad (18)$$

where  $f_\pi \simeq 93$  MeV is the pion decay constant. The Kawarabayashi-Suzuki-Fayyazuddin-Riazuddin relation [88,89] predicts that  $Z_\pi = 2$ . This slightly differs from the value of  $Z_\pi \simeq (m_{a_1}/m_\rho)^2 \simeq 2.552$  quoted by the Particle Data Group (PDG) [90].

The identification of the mesonic fields with measured resonances listed in Ref. [90] is partly straightforward: the pions and the  $\eta$  (as the pure  $\bar{n}n$  state arising from unmixing the physical  $\eta$  and  $\eta'$ ) have a mass around 140 and 700 MeV, respectively. The vector fields  $\omega$  and  $\rho$  represent the  $\omega(782)$  and  $\rho(770)$  resonances. The axial vectors  $f_1$  and  $a_1$  correspond to the  $f_1(1285)$  and  $a_1(1260)$ . For the  $\sigma$  and the  $a_0$  fields, however, it is controversial whether they should describe  $\{f_0(500), a_0(980)\}$  or  $\{f_0(1370), a_0(1450)\}$ . It was argued in Refs. [76–78] that the latter option might be favored.

## B. Functional renormalization group

The Wilsonian RG performs the mode integration of (quantum-)statistical fluctuations from the ultraviolet (UV) to the infrared (IR) in a stepwise manner, i.e., it successively takes momentum shell by momentum shell into account [91–97]. The FRG is an implementation of this procedure which allows us to nonperturbatively formulate quantum field theories in terms of a differential equation. This flow equation dictates the scale ( $k$ -)dependence of the effective average action  $\Gamma_k$ , which interpolates between the bare interactions at some UV cutoff scale  $k_{\text{UV}} = \Lambda$  and the macroscopic physics including all fluctuations in the IR,  $k_{\text{IR}} = 0$ . A  $k$ -dependent term  $\Delta S_k$  is added to the action  $S$  in order to provide an effective cutoff at momenta  $q^2 \simeq k^2$ , such that only modes with  $q^2 \gtrsim k^2$  are integrated out in the RG flow. The term  $\Delta S_k$  regulates the scale evolution of  $\Gamma_k$  in such a way that the full effective action  $\Gamma \equiv \Gamma_{k \rightarrow 0}$  is obtained in the IR limit. The effective action  $\Gamma$  is the generating functional of one-particle irreducible

vertex diagrams of the theory. For  $k \rightarrow \Lambda$ , in contrast, the classical action is recovered:  $\Gamma_{k \rightarrow \Lambda} = S$ .

Our investigations focus on the theory defined by the Lagrangian (4). In order to simplify the following discussion, we denote (pseudo-)scalar fields by  $\varphi_i$  and (axial-)vector fields by  $A_{i,\mu}$ . The field-strength tensors of the latter are denoted as  $F_{i,\mu\nu} = \partial_\mu A_{i,\nu} - \partial_\nu A_{i,\mu}$ . The fields  $\varphi_i$  and  $A_{i,\mu}$  are subject to thermal (for  $T > 0$ ) as well as to quantum fluctuations (also at  $T = 0$ ). Following the discussion in Ref. [98], we apply Stueckelberg's Lagrangian [99,100] with coupling  $\lambda_{St}$  to derive the FRG flow equation:

$$S = \int_x \mathcal{L} \rightarrow \int_x \left( \mathcal{L} + \frac{\lambda_{St}}{2} \partial_\mu A_{i,\mu} \partial_\nu A_{i,\nu} \right). \quad (19)$$

(Axial-)vector mesonic fields usually have three physical degrees of freedom. The additional term in Eq. (19), however, promotes the unphysical fourth to a physical one. Hence, in the following, all vector fields initially have four instead of three degrees of freedom. Although not necessary to ensure renormalizability [75], this formalism guarantees that we work with invertible inverse tree-level propagators [81]. Furthermore, this strategy allows one to derive the grand canonical partition function in a simple manner [98].

As a starting point for deriving the FRG flow equation of the theory at hand, we consider the scale-dependent generating functional  $W_k$  for connected Green's functions:

$$\begin{aligned} W_k[J_i, J_{i,\mu}] &\equiv \ln Z_k[J_i, J_{i,\mu}] \\ &= \ln \int \mathcal{D}\varphi_i \mathcal{D}A_{i,\mu} e^{-S[\varphi_i, A_{i,\mu}] - \Delta S_k[\varphi_i, A_{i,\mu}]} \\ &\quad \times e^{\int_x J_i \varphi_i + \int_x J_{i,\mu} A_{i,\mu}}. \end{aligned} \quad (20)$$

As discussed above, we add a regulator term  $\Delta S_k$  to the action,

$$\begin{aligned} \Delta S_k[\varphi_i, A_{i,\mu}] &= \frac{1}{2} \mathcal{V} \sum_q [\varphi_i(-q) R_k^S(q) \varphi_i(q) \\ &\quad + A_{i,\mu}(-q) R_{k,\mu\nu}^V(q) A_{i,\nu}(q)], \end{aligned} \quad (21)$$

which can be interpreted as a momentum-dependent mass term, and we also included sources  $J_i$  and  $J_{i,\mu}$  for scalar and vector fields. To ensure the required UV/IR limits for the flow of  $\Gamma_k$ , the regulator functions  $R_k^S(q)$  and  $R_{k,\mu\nu}^V(q)$  must fulfill the following relations:  $R_k^S(q), R_{k,\mu\nu}^V(q) \rightarrow 0$  for  $k \rightarrow 0$ , as well as  $R_k^S(q), R_{k,\mu\nu}^V(q) \rightarrow \infty$  for  $k \rightarrow \Lambda$ . On top of that, the regulators should satisfy  $R_k^S(q), R_{k,\mu\nu}^V(q) \sim k^2$  for  $q \rightarrow 0$  and  $R_k^S(q), R_{k,\mu\nu}^V(q) \sim 0$  for  $q \rightarrow \infty$ . Apparently, low-energy fluctuations ( $q^2 \ll k^2$ ) are effectively separated

from the RG integration process by giving them an additional ‘‘mass’’  $\sim k^2$ , while fast modes ( $q^2 \gg k^2$ ) are not influenced. The effective average action  $\Gamma_k + \Delta S_k$  is the Legendre transform of  $W_k$ , or in other words

$$\begin{aligned} \Gamma_k[\phi_{k,i}, \mathcal{A}_{k,i,\mu}] &= \mathcal{V} \sum_q [J_i(-q) \phi_{k,i}(q) + J_{i,\mu}(-q) \mathcal{A}_{k,i,\mu}(q)] \\ &\quad - W_k[J_i, J_{i,\mu}] - \Delta S_k[\phi_{k,i}, \mathcal{A}_{k,i,\mu}], \end{aligned} \quad (22)$$

where  $\phi_{k,i}(q) = \langle \varphi_i(q) \rangle \equiv \mathcal{V}^{-1} \delta W_k / \delta J_i(-q)$  and  $\mathcal{A}_{k,i,\mu}(q) = \langle A_{i,\mu}(q) \rangle \equiv \mathcal{V}^{-1} \delta W_k / \delta J_{i,\mu}(-q)$  are the expectation values of the fields in the presence of the sources  $J_i$  and  $J_{i,\mu}$ . Although the Legendre transform  $\Gamma_k + \Delta S_k$  is convex by definition, this does not hold for  $\Gamma_k$  itself, as  $\Delta S_k$  is not necessarily curved in the same way. Exclusively in the case  $k \rightarrow 0$ , where  $\Delta S_k \rightarrow 0$ ,  $\Gamma_{k \rightarrow 0} = \Gamma$  becomes the true Legendre transform of  $W_{k \rightarrow 0} \equiv W$ , and thus is definitely convex.

For fixed values of the fields, differentiation of Eq. (22) with respect to  $k$  yields the FRG flow equation:

$$\begin{aligned} \partial_k \Gamma_k &= \frac{1}{2} \mathcal{V} \sum_q \{ \text{tr}[\mathbf{G}_k^S(q, q) \partial_k \mathbf{R}_k^S(q)] \\ &\quad + \text{tr}[\mathbf{G}_{k,\mu\nu}^V(q, q) \partial_k \mathbf{R}_{k,\mu\nu}^V(q)] \}. \end{aligned} \quad (23)$$

Here,  $\mathbf{G}_k^S$  and  $\mathbf{G}_{k,\mu\nu}^V$  denote the full propagators for scalar and vector fields. Introducing the general field notation  $\Phi = (\phi_{k,i}, \mathcal{A}_{k,i,\mu})$  and using the fact that  $\mathcal{V} \mathbf{G}_k = (\mathbf{\Gamma}_k^{(2)} + \mathbf{R}_k)^{-1}$ , Eq. (23) simplifies to

$$\partial_k \Gamma_k = \frac{1}{2} \text{tr}[(\mathbf{\Gamma}_k^{(2)} + \mathbf{R}_k)^{-1} \partial_k \mathbf{R}_k]. \quad (24)$$

The momentum summation has been included in the definition of the trace. The propagator  $\mathbf{G}_k$  as well as  $\mathbf{\Gamma}_k^{(2)}$  and  $\mathbf{R}_k$  are matrix valued in momentum space and in all internal spaces. The matrix  $\mathbf{\Gamma}_k^{(2)}$  is the second functional derivative of  $\Gamma_k$  with respect to the fields:

$$(\mathbf{\Gamma}_k^{(2)})_{ij}(q', q) = \mathcal{V}^{-1} \frac{\delta^2 \Gamma_k}{\delta \Phi_i(q') \delta \Phi_j(-q)}. \quad (25)$$

In principle, the FRG equation and the resulting macroscopic physical observables should be independent of the form of the regulators, which are only restricted by the limits discussed above. In this case, all trajectories in coupling space predicted by different choices of  $\mathbf{R}_k$  start at the point  $\Gamma_{k \rightarrow \Lambda} = S$  and terminate at  $\Gamma_{k \rightarrow 0} = \Gamma$ . In practice, however, one needs to truncate the infinite hierarchy of flow equations arising from Eq. (24) in order to solve them. Indeed, this fact inevitably leads to a regulator-dependent bias, which, fortunately, can be minimized by working with the optimized Litim regulator [101].

One convenient truncation scheme is the expansion of  $\Gamma_k$  in terms of field derivatives [42,43]. For a purely scalar theory, this would read

$$\Gamma_k[\Phi] = \int_x \left[ U_k(\Phi) + \frac{1}{2} \mathcal{Z}_k(\Phi) \partial_\mu \Phi \cdot \partial_\mu \Phi + \mathcal{O}(\partial^4) \right]. \quad (26)$$

$U_k$  is the scale-dependent effective potential;  $\mathcal{Z}_k$  symbolizes the wave-function renormalization associated to the scaling of the kinetic part. The LPA assumes the wave-function renormalization in the derivative expansion (26) to be field independent and fixed to its initial value of 1,  $\mathcal{Z}_k(\Phi) = \mathcal{Z}_k = 1$ , which corresponds to a vanishing anomalous dimension. Momentum-dependent interactions are neglected in LPA. In the case of the eLSM, the second term in Eq. (26) is given by the kinetic terms of spin-zero and spin-one fields (modified by Stueckelberg's Lagrangian):

$$\Gamma_k[\phi_i, \mathcal{A}_i] = \int_x \left[ \frac{1}{2} \partial_\mu \phi_i \partial_\mu \phi_i + \frac{1}{4} \mathcal{F}_{i,\mu\nu} \mathcal{F}_{i,\mu\nu} + \frac{\lambda_{\text{St}}}{2} (\partial_\mu \mathcal{A}_{i,\mu})^2 + U_k(\phi_i, \mathcal{A}_i) \right], \quad (27)$$

$\mathcal{F}_{i,\mu\nu} = \partial_\mu \mathcal{A}_{i,\nu} - \partial_\nu \mathcal{A}_{i,\mu}$ . At nonzero temperature, it is technically advantageous to employ the three-dimensional version of Litim's optimal regulator  $\propto (k^2 - \vec{q}^2) \Theta(k^2 - \vec{q}^2)$  [102,103]. Remembering the above discussion about their various limits, the regulating functions are chosen as

$$R_{\vec{k}}^S(q) = (k^2 - \vec{q}^2) \Theta(k^2 - \vec{q}^2), \quad (28)$$

$$R_{k,\mu\nu}^V(q) = [\Pi_{\mu\nu}^T(q) + \lambda_{\text{St}} \Pi_{\mu\nu}^L(q)] (k^2 - \vec{q}^2) \Theta(k^2 - \vec{q}^2), \quad (29)$$

where we have defined the transversal and longitudinal projection operators  $\Pi_{\mu\nu}^T(q) = \delta_{\mu\nu} - q_\mu q_\nu / q^2$  and  $\Pi_{\mu\nu}^L(q) = q_\mu q_\nu / q^2$ , respectively. Since  $\partial_k \Gamma_k = \mathcal{V} \partial_k U_k$  for spatially uniform field configurations  $\Phi_i(x) = \Phi_i$ , and since in the limit  $V \rightarrow \infty$  we have  $1/V \sum_{\vec{q}} \rightarrow \int d^3 q / (2\pi)^3$ , using Eq. (28) as well as Eq. (29), Eq. (23) becomes

$$\partial_k U_k = T \sum_n \int_{V(k)} \frac{d^3 q}{(2\pi)^3} k \{ \text{tr} \bar{\mathbf{G}}_k^S(\omega_n, \vec{q}) + [\Pi_{\mu\nu}^T(q) + \lambda_{\text{St}} \Pi_{\mu\nu}^L(q)] \text{tr} \bar{\mathbf{G}}_{k,\nu\mu}^V(\omega_n, \vec{q}) \}, \quad (30)$$

where  $\bar{\mathbf{G}} = \mathcal{V} \mathbf{G}$  and  $V(k)$  denotes the spherical volume with radius  $k$  in three-momentum space.

### III. RESULTS

In this section, we numerically solve the FRG flow equations in LPA for the eLSM introduced in Sec. II A

for three scenarios: (i) the  $U(2)_R \times U(2)_L$ -symmetric case, (ii) the case with  $U(1)_A$  anomaly, and (iii) the case with  $U(1)_A$  anomaly and ESB. To this end, we discuss the expansion of the potential  $U_k$  in terms of the respective invariants under the given symmetry and fix the bare couplings in the UV such that the renormalization flow produces reasonable values for the physical observables in the IR. From the behavior of the chiral order parameter  $\langle \sigma \rangle_0$  as a function of temperature we infer the order of the phase transition and illustrate the restoration of chiral symmetry by computing various mesonic screening masses.

Let us remark that there are, in principle, two different strategies to proceed [47]. In the first strategy one expands the potential  $U_k$  around a (local) minimum. The advantage of this method is that one has to solve only a few flow equations (one for each coupling and an additional one for the scale-dependent order parameter). In doing so, however, we can only deduce the potential right at a local minimum. It is not clear whether this local minimum is also the global one. Especially in the case of a first-order transition with two emerging minima, it is crucial not just to know the potential at the expansion point but also at any other local extremum.

To overcome this difficulty, in this paper we follow the second strategy, where  $U_k$  is discretized on a grid. Here we gain information about the entire form of the potential, but this strategy needs a lot of computational power as we have to solve flow equations for each grid point. Nevertheless, we utilize this approach because it allows us to figure out the transition order in a comparatively quick and uncomplicated fashion. We tune the potential in such a way that the physical configuration is located at  $\langle \sigma \rangle_0 = \sqrt{Z_\pi} f_\pi$  for  $k \rightarrow 0$ .

Remember also that the effective action  $\Gamma_k$  is a functional of the classical fields  $\phi_{k,i} = \langle \varphi_i \rangle$  and  $\mathcal{A}_{k,i,\mu} = \langle A_{i,\mu} \rangle$ , cf. Eq. (22), but for the sake of simplicity the brackets indicating expectation values will be omitted in the following, e.g.  $\langle \eta \rangle \rightarrow \eta$ . Another point is that we are setting certain fields to zero after the calculation of  $\Gamma_k^{(2)}$ , since we only need to consider as many fields to be nonvanishing as there are independent invariants.

#### A. Chiral limit without anomaly

For zero quark masses and in the absence of  $U(1)_A$ -symmetry breaking ( $h_0^0 = 0$  as well as  $c_A = 0$ ), the Lagrangian (4) is invariant under the full  $U(2)_R \times U(2)_L$  symmetry. In the LPA neither wave-function renormalization nor momentum-dependent interactions are taken into account. Since the involved (axial-)vector mesons typically have a mass of  $\lesssim 1.2$  GeV, an ultraviolet cutoff of  $\Lambda = 1.2$  GeV is chosen. After substituting the fields by their expectation values, in compliance with Eq. (27) we derive from Eq. (4) the following effective average action at the UV scale:

$$\begin{aligned}
 \Gamma_\Lambda = \int_x \left\{ \frac{1}{2} \partial_\mu \sigma \partial_\mu \sigma + \frac{1}{2} \partial_\mu \vec{a}_0 \cdot \partial_\mu \vec{a}_0 + \frac{1}{2} \partial_\mu \eta \partial_\mu \eta + \frac{1}{2} \partial_\mu \vec{\pi} \cdot \partial_\mu \vec{\pi} + \frac{1}{4} (\partial_\mu \omega_\nu - \partial_\nu \omega_\mu)^2 + \frac{1}{4} (\partial_\mu \vec{\rho}_\nu - \partial_\nu \vec{\rho}_\mu)^2 \right. \\
 + \frac{1}{4} (\partial_\mu f_{1\nu} - \partial_\nu f_{1\mu})^2 + \frac{1}{4} (\partial_\mu \vec{a}_{1\nu} - \partial_\nu \vec{a}_{1\mu})^2 + \frac{\lambda_{\text{St}}}{2} [(\partial_\mu \omega_\mu)^2 + (\partial_\mu \vec{\rho}_\mu)^2 + (\partial_\mu f_{1\mu})^2 + (\partial_\mu \vec{a}_{1\mu})^2] \\
 \left. + c_{1,\Lambda} \xi_1 + c_{2,\Lambda} \xi_1^2 + c_{3,\Lambda} \xi_2 + c_{4,\Lambda} \xi_3 + c_{5,\Lambda} \xi_4 \right\}. \quad (31)
 \end{aligned}$$

In Eq. (31) we have introduced the  $O(8)$  mass invariants  $\xi_1$  and  $\xi_4$  as well as the other  $U(2)_R \times U(2)_L$ -symmetric expressions  $\xi_2, \xi_3$  contained in Eq. (4). They are linear combinations of the different interaction terms in the effective potential, namely,

$$\xi_1 = \sigma^2 + \vec{a}_0^2 + \eta^2 + \vec{\pi}^2, \quad (32)$$

$$\xi_2 = (\sigma^2 + \vec{\pi}^2)(\eta^2 + \vec{a}_0^2) - (\sigma\eta - \vec{\pi} \cdot \vec{a}_0)^2, \quad (33)$$

$$\begin{aligned}
 \xi_3 = & (\vec{\pi} \cdot \vec{a}_{1\mu} + \eta f_{1\mu})^2 + (\vec{a}_0 \cdot \vec{a}_{1\mu} + \sigma f_{1\mu})^2 \\
 & + (\vec{\rho}_\mu \times \vec{a}_0 + \eta \vec{a}_{1\mu} + \vec{\pi} f_{1\mu})^2 \\
 & + (\vec{\pi} \times \vec{\rho}_\mu + \sigma \vec{a}_{1\mu} + \vec{a}_0 f_{1\mu})^2, \quad (34)
 \end{aligned}$$

$$\xi_4 = f_{1\mu}^2 + \vec{a}_{1\mu}^2 + \omega_\mu^2 + \vec{\rho}_\mu^2. \quad (35)$$

The scale-dependent couplings are defined as

$$\begin{aligned}
 c_{1,k} &= \frac{m_{0,k}^2}{2}, \\
 c_{2,k} &= \frac{1}{4} \left( \lambda_{1,k} + \frac{1}{2} \lambda_{2,k} \right), \\
 c_{3,k} &= \frac{\lambda_{2,k}}{2}, \\
 c_{4,k} &= \frac{g_{1,k}^2}{2}, \\
 c_{5,k} &= \frac{m_{1,k}^2}{2}. \quad (36)
 \end{aligned}$$

For the expansion of  $U_k$  we have to replace all nonvanishing field variables by appropriate expressions of the invariants  $\{\xi_1, \xi_2, \xi_3, \xi_4\}$ . In order to do this, we keep the fields  $\sigma, a_0^1, a_{10}^1$ , and  $\rho_0^1$  nonzero. All others are set to zero. Solving the four equations (32)–(35) for these four variables yields

$$\begin{aligned}
 \sigma^2 &= \frac{1}{2} \left( \xi_1 + \sqrt{\xi_1^2 - 4\xi_2} \right), \\
 (a_0^1)^2 &= \frac{1}{2} \left( \xi_1 - \sqrt{\xi_1^2 - 4\xi_2} \right), \\
 (a_{10}^1)^2 &= \frac{\xi_3}{\xi_1}, \\
 (\rho_0^1)^2 &= \xi_4 - \frac{\xi_3}{\xi_1}. \quad (37)
 \end{aligned}$$

At first glance, this mapping seems to be singular for  $\xi_1 \rightarrow 0$ , but we have checked that all parts of the flow equations  $\propto \xi_1^{-1}$  cancel for  $\xi_1 = 0$ , cf. also Refs. [63,104]. Furthermore, the mapping does not preserve Euclidean invariance, since we keep only the  $\mu = 0$ -component of the vector fields  $\rho_\mu^1$  and  $a_{1\mu}^1$ . This gives rise to unequal screening masses of some vector components with differences  $\propto \xi_2, \xi_3$ , or  $\xi_4$ , but this is also not relevant since we assume that only the sigma field acquires a nonvanishing vacuum expectation value (and hence  $\xi_1 \rightarrow \xi_{10} \equiv \sigma_0^2$  and  $\xi_2, \xi_3, \xi_4 \rightarrow 0$ ). This means that we are concerned with a one-dimensional investigation along the  $\xi_1$ -axis and that, in this limit, Euclidean symmetry is restored again.

Without axial anomaly and quark masses, we expect the chiral phase transition to be of first order as argued in Ref. [17] (among many other studies) and summarized by the ‘‘Columbia plot’’ [105,106]. Since  $\xi_2, \xi_3$ , and  $\xi_4$  are set to zero in the end, it is reasonable to truncate  $U_k$  at linear order in these invariants (although the flow equation generates terms of arbitrary order in these invariants), with coefficients that are functions of  $\xi_1$ :

$$\begin{aligned}
 U_k(\xi_1, \xi_2, \xi_3, \xi_4) = & V_k(\xi_1) + W_k(\xi_1)\xi_2 \\
 & + X_k(\xi_1)\xi_3 + Y_k(\xi_1)\xi_4. \quad (38)
 \end{aligned}$$

The physical vacuum is specified by the condition  $\partial U_k / \partial \sigma = 0$  for  $\sigma = \sigma_0$ , and the squared mass of the  $\sigma$  field is identical to the curvature of the effective potential:

$$\left. \frac{\partial U_k}{\partial \sigma} \right|_{\sigma=\sigma_0} = 2\sqrt{\xi_{10}} V_k'(\xi_{10}) = 0, \quad (39)$$

$$\left. \frac{\partial^2 U_k}{\partial \sigma^2} \right|_{\sigma=\sigma_0} = 2V_k''(\xi_{10}) + 4\xi_{10} V_k'''(\xi_{10}). \quad (40)$$

From Eq. (39) one sees that, for  $\xi_{10} \neq 0$ , the minimum of the effective potential can also be determined from the condition  $V_k' = 0$ . Once the system changes to the restored phase ( $\sigma_0^2 = \xi_{10} = 0$ ),  $V_k'$  can be nonzero, though.

The explicit flow equations for  $V_k$ ,  $W_k$ ,  $X_k$ , and  $Y_k$  are obtained by differentiating Eq. (30) and evaluating it for the physical configuration:

$$\partial_k V_k(\xi_1) = \partial_k U_k(\xi_1, \xi_2, \xi_3, \xi_4)|_{\xi_2, \xi_3, \xi_4=0} \equiv \frac{1}{2} \text{tr}(\mathbf{G}_k \partial_k \mathbf{R}_k)|_{\xi_2, \xi_3, \xi_4=0}, \quad (41)$$

$$\partial_k W_k(\xi_1) = \partial_k \partial_{\xi_2} U_k(\xi_1, \xi_2, \xi_3, \xi_4)|_{\xi_2, \xi_3, \xi_4=0} \equiv \frac{1}{2} \text{tr}(-\mathbf{G}_k \Gamma_{k, \xi_2}^{(3)} \mathbf{G}_k \partial_k \mathbf{R}_k)|_{\xi_2, \xi_3, \xi_4=0}, \quad (42)$$

$$\partial_k X_k(\xi_1) = \partial_k \partial_{\xi_3} U_k(\xi_1, \xi_2, \xi_3, \xi_4)|_{\xi_2, \xi_3, \xi_4=0} \equiv \frac{1}{2} \text{tr}(-\mathbf{G}_k \Gamma_{k, \xi_3}^{(3)} \mathbf{G}_k \partial_k \mathbf{R}_k)|_{\xi_2, \xi_3, \xi_4=0}, \quad (43)$$

$$\partial_k Y_k(\xi_1) = \partial_k \partial_{\xi_4} U_k(\xi_1, \xi_2, \xi_3, \xi_4)|_{\xi_2, \xi_3, \xi_4=0} \equiv \frac{1}{2} \text{tr}(-\mathbf{G}_k \Gamma_{k, \xi_4}^{(3)} \mathbf{G}_k \partial_k \mathbf{R}_k)|_{\xi_2, \xi_3, \xi_4=0}. \quad (44)$$

The equation for  $V_k$  turns out to be equivalent to the flow equation for free fields, as interactions are no longer present for  $\xi_2, \xi_3, \xi_4 = 0$ :

$$\begin{aligned} \partial_k V_k(\xi_1) = \frac{Tk^4}{6\pi^2} \sum_n & \left[ \frac{4}{\omega_n^2 + k^2 + 2V'_k} + \frac{1}{\omega_n^2 + k^2 + 2V'_k + 4\xi_1 V''_k} + \frac{3}{\omega_n^2 + k^2 + 2V'_k + 2\xi_1 W_k} \right. \\ & + \frac{12}{\omega_n^2 + k^2 + 2Y_k} + \frac{12}{\omega_n^2 + k^2 + 2(Y_k + \xi_1 X_k)} \\ & \left. + \frac{4}{\omega_n^2 + k^2 + 2Y_k/\lambda_{\text{St}}} + \frac{4}{\omega_n^2 + k^2 + 2(Y_k + \xi_1 X_k)/\lambda_{\text{St}}} \right]. \end{aligned} \quad (45)$$

Here, explicit  $\xi_1$ -dependences on the right-hand side are implied. The masses of the fields  $\rho$  and  $\omega$  as well as  $f_1$  and  $a_1$  are equal in the presence of  $SU(2)_V$  invariance. Equation (45) reveals some characteristics of the considered model: the  $4 + 1 + 3 = 8$  (pseudo-)scalar degrees of freedom are represented by the first line. The first term of Eq. (45) corresponds to the mass-degenerate  $\eta$  and  $\vec{\pi}$ . The second and the third describe the  $\sigma$  and the  $\vec{a}_0$ , respectively. As a cross-check, note that the mass eigenvalues of these spin-zero fields are equal to the ones quoted in Ref. [63]. The second line corresponds to the physical degrees of freedom of the (axial-)vector mesons [ $(4 \times 3) + (4 \times 3) = 12 + 12$  fields], whereas the last line corresponds to their unphysical degrees of freedom ( $4 + 4$ ) introduced via Stueckelberg's Lagrangian. Obviously, these eight additional degrees of freedom decouple from the flow for  $\lambda_{\text{St}} \rightarrow 0$ . The Matsubara sum over  $n$  can be carried out analytically, e.g. by a contour integral in the complex plane [107]. The flow of  $V_k(\xi_1)$  entangles with the flow of  $W_k(\xi_1)$ ,  $X_k(\xi_1)$ , and  $Y_k(\xi_1)$ . Thus the flow equations for these coefficients are necessary to obtain a closed set of differential equations. For the sake of clarity, they are presented in Appendix A.

Figure 1(a) summarizes the dependence of  $\sigma_0$  on  $T$ . The order parameter  $\sigma_0$  becomes successively smaller and drops discontinuously to zero at  $T_c \approx 147.4$  MeV, indicating a first-order phase transition. The discontinuity occurring at

this temperature is marked with a dashed line. Figure 1(b) demonstrates how, as the temperature increases, the mesonic screening masses of chiral partners approach each other. These are (i)  $\rho$  and  $a_1$ , (ii)  $\omega$  and  $f_1$  (their masses are not shown explicitly, since  $m_\omega = m_\rho$  and  $m_{f_1} = m_{a_1}$ ), (iii)  $\sigma$  and  $\pi$ , as well as (iv)  $a_0$  and  $\eta$  ( $m_\eta = m_\pi$ ; thus we do not show  $m_\eta$  explicitly). The masses of chiral partners become degenerate at the transition temperature and above. Note that solid lines correspond to data interpolated using cubic splines. In cases where the data points are explicitly given in terms of colored crosses, however, the lines represent a cubic smoothing spline fit. Details are provided in Appendix B.

The  $\rho/a_1$  mass increases/decreases before the transition point is reached. Pions and  $\eta$  are the Goldstone bosons of chiral symmetry breaking, and thus necessarily massless in the broken phase. This is explained by Eq. (39): if  $\xi_{10} \neq 0$ , the physical minimum is located at the point where  $V'_k(\xi_1) = 0$ . Inspecting Eq. (45), we see that  $V'_k$  is indeed proportional to the (squared) mass of pion and  $\eta$ . However, for  $T > T_c$  the ground state is characterized by  $\xi_{10} = 0$ , and thus  $V'_k(0)$  (i.e., the masses of pions and  $\eta$ ) may differ from zero.

We tuned the UV parameters in the vacuum to achieve the most "realistic" mesonic screening masses and a non-zero value for  $\sigma_0$  of around 147.9 MeV (the tree-level value is  $\sigma_0 = \sqrt{Z_\pi} f_\pi \approx 148.8$  MeV). Apparently, the IR vacuum



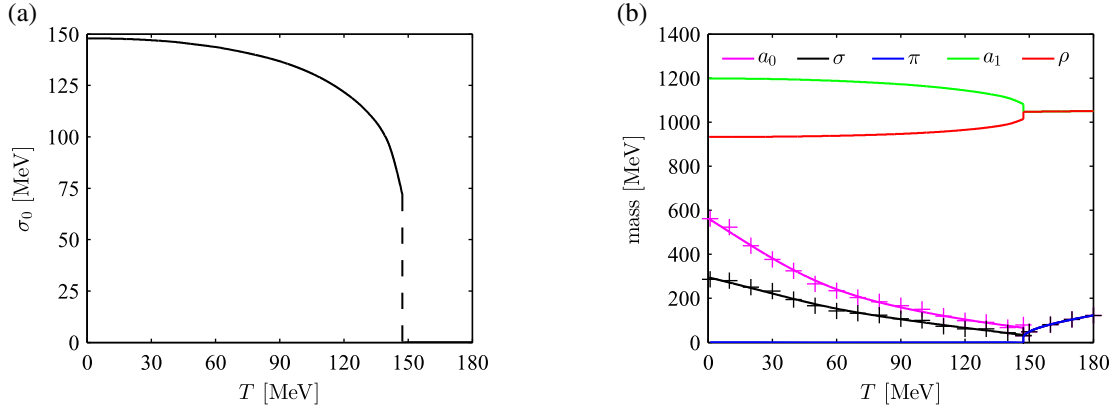


FIG. 1 (color online). Phase transition in the eLSM with  $U(2)_R \times U(2)_L$  symmetry. (a) Order parameter  $\sigma_0$  as a function of temperature. (b) Screening masses as a function of temperature.

masses of the  $\sigma$  and  $a_0$  mesons are far too small compared to what we expect from the PDG [90], no matter whether we choose the assignment  $\{f_0(500), a_0(980)\}$  or  $\{f_0(1370), a_0(1450)\}$ . We return to a discussion of this issue below. Furthermore, the masses of  $\omega$  and  $\rho$  are too heavy. The ratio between the masses of  $\rho$  and  $a_1$  is smaller than expected (experimentally it should be around 1.6).

### B. Chiral limit with $U(1)_A$ anomaly

As a second scenario we study how the  $U(1)_A$  anomaly influences the order of the transition and the mesonic masses. The coupling  $c_A$  in Eq. (4) is now nonzero and quantifies the strength of the  $U(1)_A$ -symmetry breaking. Proceeding similarly as above,  $\Gamma_\Lambda$  is slightly modified:

$$\Gamma_\Lambda = \int_x \left\{ \frac{1}{2} \partial_\mu \sigma \partial_\mu \sigma + \frac{1}{2} \partial_\mu \vec{a}_0 \cdot \partial_\mu \vec{a}_0 + \frac{1}{2} \partial_\mu \eta \partial_\mu \eta + \frac{1}{2} \partial_\mu \vec{\pi} \cdot \partial_\mu \vec{\pi} + \frac{1}{4} (\partial_\mu \omega_\nu - \partial_\nu \omega_\mu)^2 + \frac{1}{4} (\partial_\mu \vec{\rho}_\nu - \partial_\nu \vec{\rho}_\mu)^2 \right. \\ \left. + \frac{1}{4} (\partial_\mu f_{1\nu} - \partial_\nu f_{1\mu})^2 + \frac{1}{4} (\partial_\mu \vec{a}_{1\nu} - \partial_\nu \vec{a}_{1\mu})^2 + \frac{\lambda_{\text{St}}}{2} [(\partial_\mu \omega_\mu)^2 + (\partial_\mu \vec{\rho}_\mu)^2 + (\partial_\mu f_{1\mu})^2 + (\partial_\mu \vec{a}_{1\mu})^2] \right. \\ \left. + \bar{c}_{1,\Lambda} \bar{\xi}_1 + \bar{c}_{2,\Lambda} \bar{\xi}_1^2 + \bar{c}_{3,\Lambda} \bar{\xi}_1 \bar{\xi}_2 + \bar{c}_{4,\Lambda} \bar{\xi}_2 + \bar{c}_{5,\Lambda} \bar{\xi}_2^2 + \bar{c}_{6,\Lambda} \bar{\xi}_3 + c_{4,\Lambda} \xi_3 + c_{5,\Lambda} \xi_4 \right\}, \quad (46)$$

with the new invariants  $\bar{\xi}_1, \bar{\xi}_2, \bar{\xi}_3$ :

$$\bar{\xi}_1 = \sigma^2 + \vec{\pi}^2, \quad (47)$$

$$\bar{\xi}_2 = \eta^2 + \vec{a}_0^2, \quad (48)$$

$$\bar{\xi}_3 = (\sigma\eta - \vec{\pi} \cdot \vec{a}_0)^2. \quad (49)$$

The former invariants  $\xi_1$  and  $\xi_2$  are functions of the new invariants  $\bar{\xi}_1, \bar{\xi}_2$ , and  $\bar{\xi}_3$ :  $\xi_1 = \bar{\xi}_1 + \bar{\xi}_2$ ,  $\xi_2 = \bar{\xi}_1 \bar{\xi}_2 - \bar{\xi}_3$ . The origin of the new invariants is the  $U(1)_A$ -symmetry breaking term  $\sim \det \Sigma + \det \Sigma^\dagger$  in Eq. (4). The invariants  $\xi_3$  and  $\xi_4$  remain unchanged. The scale-dependent couplings of the effective potential are now defined as follows:

$$\bar{c}_{1,k} = \frac{1}{2} (m_{0,k}^2 - c_{A,k}), \\ \bar{c}_{2,k} = \frac{1}{4} \left( \lambda_{1,k} + \frac{1}{2} \lambda_{2,k} \right), \\ \bar{c}_{3,k} = \frac{1}{2} \left( \lambda_{1,k} + \frac{3}{2} \lambda_{2,k} \right), \\ \bar{c}_{4,k} = \frac{1}{2} (m_{0,k}^2 + c_{A,k}), \\ \bar{c}_{5,k} = \frac{1}{4} \left( \lambda_{1,k} + \frac{1}{2} \lambda_{2,k} \right), \\ \bar{c}_{6,k} = -\frac{\lambda_{2,k}}{2}, \\ c_{4,k} = \frac{g_{1,k}^2}{2}, \\ c_{5,k} = \frac{m_{1,k}^2}{2}. \quad (50)$$

We again want to expand  $U_k$  in terms of  $\bar{\xi}_1, \dots, \xi_4$ . For five different invariants we have to keep at least five fields nonzero. In addition to  $\sigma$ ,  $a_0^1$ ,  $a_{10}^1$ , and  $\rho_0^1$  we decided to take the  $\eta$  field into account and map those variables onto the set  $\{\bar{\xi}_1, \bar{\xi}_2, \bar{\xi}_3, \xi_3, \xi_4\}$ :

$$\begin{aligned}\sigma^2 &= \bar{\xi}_1, \\ (a_0^1)^2 &= \bar{\xi}_2 - \frac{\bar{\xi}_3}{\bar{\xi}_1}, \\ \eta^2 &= \frac{\bar{\xi}_3}{\bar{\xi}_1}, \\ (a_{10}^1)^2 &= \frac{\xi_3}{\bar{\xi}_1 + \bar{\xi}_2}, \\ (\rho_0^1)^2 &= \xi_4 - \frac{\xi_3}{\bar{\xi}_1 + \bar{\xi}_2}.\end{aligned}\quad (51)$$

Similarly to Sec. III A, the potential is now discretized with respect to  $\bar{\xi}_1$  and one assumes that the physical minimum is attained for  $\bar{\xi}_{10} \equiv \sigma_0^2$  and  $\bar{\xi}_2, \bar{\xi}_3 = 0$ . Thus, in the ansatz for the effective potential, we restrict ourselves to the linear order in  $\bar{\xi}_2, \bar{\xi}_3, \xi_3$ , and  $\xi_4$ :

$$U_k(\bar{\xi}_1, \bar{\xi}_2, \bar{\xi}_3, \xi_3, \xi_4) = \bar{V}_k(\bar{\xi}_1) + \bar{W}_k(\bar{\xi}_1)\bar{\xi}_2 + \bar{X}_k(\bar{\xi}_1)\bar{\xi}_3 + \bar{Y}_k(\bar{\xi}_1)\xi_3 + \bar{Z}_k(\bar{\xi}_1)\xi_4. \quad (52)$$

The flow equation for  $\bar{V}_k(\bar{\xi}_1)$  reads

$$\begin{aligned}\partial_k \bar{V}_k(\bar{\xi}_1) &= \frac{Tk^4}{6\pi^2} \sum_n \left[ \frac{3}{\omega_n^2 + k^2 + 2\bar{V}'_k} + \frac{1}{\omega_n^2 + k^2 + 2\bar{V}'_k + 4\bar{\xi}_1 \bar{V}''_k} + \frac{3}{\omega_n^2 + k^2 + 2\bar{W}_k} \right. \\ &+ \frac{1}{\omega_n^2 + k^2 + 2(\bar{W}_k + \bar{\xi}_1 \bar{X}_k)} + \frac{12}{\omega_n^2 + k^2 + 2\bar{Z}_k} + \frac{12}{\omega_n^2 + k^2 + 2(\bar{Z}_k + \bar{\xi}_1 \bar{Y}_k)} \\ &\left. + \frac{4}{\omega_n^2 + k^2 + 2\bar{Z}_k/\lambda_{\text{St}}} + \frac{4}{\omega_n^2 + k^2 + 2(\bar{Z}_k + \bar{\xi}_1 \bar{Y}_k)/\lambda_{\text{St}}} \right].\end{aligned}\quad (53)$$

Note that the  $\eta$  meson now attains a mass different from that of the pions: it becomes massive in the spontaneously broken phase, as it should, since  $U(1)_A$  is explicitly broken and there are only three (and no longer four) Goldstone bosons.

Again we tune the bare parameters such that most realistic meson masses are obtained in the IR. The order

parameter  $\sigma_0$  continuously decreases with increasing  $T$ , until it vanishes at a critical temperature of approximately 276.5 MeV; see Fig. 2(a). We conclude that the axial anomaly turns the first-order transition, found in the  $U(2)_R \times U(2)_L$ -symmetric theory, into a second-order phase transition with a significantly higher critical

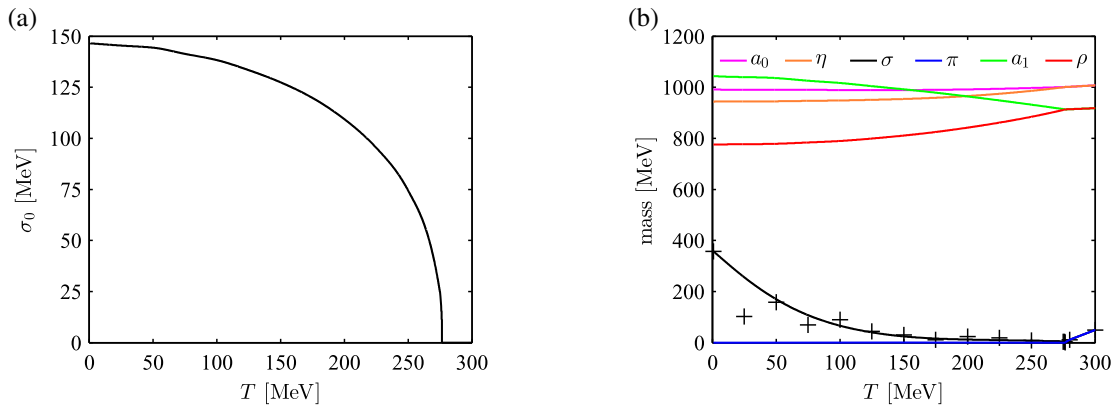


FIG. 2 (color online). Phase transition in the eLSM with  $SU(2)_V \times SU(2)_A \times U(1)_V$  symmetry. (a) Order parameter  $\sigma_0$  as a function of the temperature  $T$ . (b) Screening masses as a function of temperature.

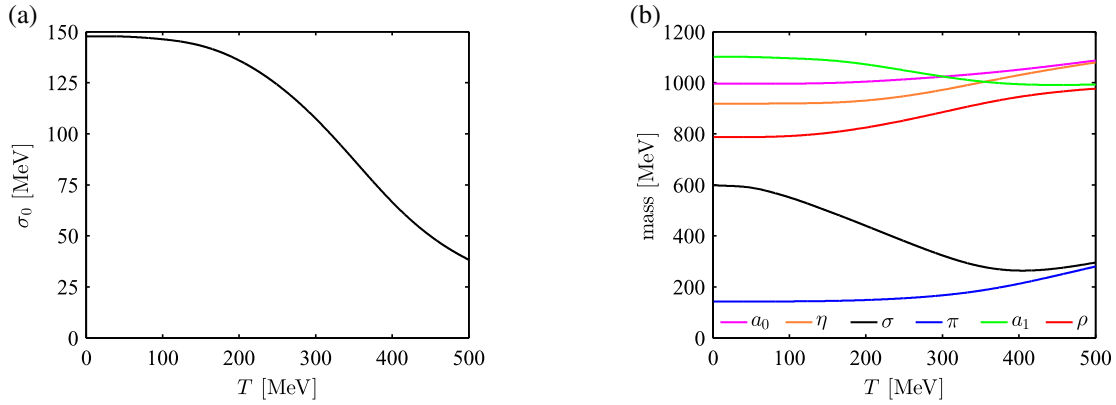


FIG. 3 (color online). Phase transition in the eLSM with ESB and  $U(1)_A$  anomaly. (a) Order parameter  $\sigma_0$  as a function of temperature. (b) Screening masses as a function of temperature.

temperature. Figure 2(b) shows the evolution of the masses of (pseudo)-scalar and (axial)-vector mesons. Again, there are four different pairs of chiral partners,  $(\rho, a_1)$ ,  $(\omega, f_1)$  (the masses of which are identical to the corresponding mesons in the first pair and thus not shown explicitly),  $(\sigma, \pi)$ , as well as  $(\eta, a_0)$ . The masses of chiral partners become degenerate at the transition temperature. The pions assume a non-vanishing mass above the critical temperature for the same reason as discussed in the previous section. At zero temperature, the  $\rho(\omega)$  meson mass is close to its physical value, but the  $a_1(f_1)$  mass is too small. The mass difference between vector  $(\omega, \rho)$  and axial-vector mesons  $(f_1, a_1)$  comes out to be  $\approx 268.7$  MeV and is of the same magnitude as for the  $U(2)_R \times U(2)_L$ -symmetric case ( $\approx 265.4$  MeV). The  $\eta$ -meson mass is too large compared with the values stated in Refs. [77,108]. The vacuum mass of the  $\sigma$  around 357.4 MeV is now only slightly smaller than the experimental value for the mass of  $f_0(500)$ , while the vacuum mass of  $a_0$  is very close to its experimental value. The data points for the mass of the  $\sigma$  fluctuate strongly as a function of temperature, since the potential is rather flat in this case and its curvature (the squared  $\sigma$  mass) is rather hard to determine numerically with reasonable accuracy.

### C. Explicitly broken chiral symmetry with anomaly

Finally, we apply our FRG analysis to the case of ESB due to nonzero and degenerate quark masses ( $h_0^0 \neq 0$ ). The truncation of the effective potential (52) is still valid. In the case of ESB, the root of  $\bar{V}'_k$  no longer coincides with the one of  $\partial U_k / \partial \sigma$  for nonzero vacuum expectation values of the  $\sigma$  field. The global minimum  $\bar{\xi}_{10}$  must now fulfill the following relation:

$$2\sqrt{\bar{\xi}_{10}} \bar{V}'_k(\bar{\xi}_{10}) - h_0^0 = 0, \quad (54)$$

i.e., the expansion coefficients  $\bar{W}_k, \bar{X}_k$ , etc., are evaluated for a shifted  $\bar{\xi}_{10}$ , producing massive pseudo-Goldstone bosons  $\pi$  (which have a nonzero mass even for  $\sigma_0 \neq 0$ ).

According to Eq. (54), the potential never has a global minimum at  $\bar{\xi}_1 = 0$ . Solving the flow equations on the  $\bar{\xi}_1$  grid, the results are shown in Fig. 3. In Fig. 3(a), we see that  $\sigma_0$  decreases and tends asymptotically towards zero. Consequently, the transition is a crossover transition. At an estimated pseudocritical temperature of  $T_{pc} \approx 354.8$  MeV, the curvature changes its sign. Figure 3(b) reveals that, with the choice  $h_0^0 = 3 \times 10^6$  MeV<sup>3</sup>, the pions exhibit a nonzero mass of  $\approx 142.5$  MeV in vacuum. The masses of chiral partners approach each other, but do not become identical. We further observe a dropping  $a_1$  meson mass but an increasing  $\rho$  mass. The vacuum  $\sigma$  mass of 598.5 MeV is a little larger than the physical value for the  $f_0(500)$  resonance. The remaining masses are in good agreement with the results of Sec. III B. The gap between the masses of the  $\rho$  and  $a_1$  mesons is now 314.5 MeV (compared to 268.7 MeV in the previous case).

## IV. SUMMARY AND OUTLOOK

The QCD transition is commonly associated with the restoration of chiral symmetry. Experimentally, this could be detected by a change of the in-medium masses of (axial)-vector mesons. It is therefore essential to include them in a theoretical analysis. Nonperturbative continuum methods, such as the FRG, provide new insights into the QCD transition, as they do not rely on weak couplings and are applicable at nonzero net-baryon density where lattice QCD suffers from the fermion-sign problem.

In this study, we investigated the chiral transition for two flavors by applying the FRG formalism to the eLSM, an effective low-energy model for QCD. Thus, our work is an extension of many studies involving two-flavor effective models for QCD, see e.g. Refs. [18,63,64], in the sense that vector and axial-vector mesonic degrees of freedom are now incorporated into the FRG flow. In order to derive the FRG flow equations with (axial)-vector mesons, Stueckelberg's Lagrangian has been employed [98–100]. We use the grid method and the LPA to compute the flow of

the effective potential. The order of the phase transition and the meson screening masses were determined in three different scenarios: (i) the chiral limit without  $U(1)_A$  anomaly, (ii) the chiral limit with  $U(1)_A$  anomaly, and (iii) the realistic case with nonvanishing quark masses and  $U(1)_A$  anomaly.

Overall, our numerical results are broadly consistent with previous findings. Regarding the full  $U(2)_R \times U(2)_L$ -symmetric theory, cf. Sec. III A, our conclusion is compatible with Ref. [64] and the statement of Pisarski and Wilczek [17] that the chiral phase transition is of first order for  $N_f = 2$  and massless quarks. Reducing the symmetry to  $SU(2)_V \times SU(2)_A \times U(1)_V$  (Sec. III B) turns it into a second-order transition, which is also in agreement with Ref. [17] as well as with the three-flavor study of Ref. [60]. Explicitly breaking chiral symmetry to an exact isospin symmetry generates a crossover transition (Sec. III C).

In comparison with the results from the Cornwall-Jackiw-Tomboulis (CJT) formalism [81] or lattice-QCD simulations [22,23,109], the pseudocritical temperature  $T_{pc} \approx 354.8$  MeV of the crossover transition comes out larger, cf.  $T_{pc} \approx 195$  MeV resp. 155 MeV in the aforementioned approaches. It is conceivable that this deviation arises from the lack of quark fields in our approach, which, being rather light degrees of freedom, evidently contribute substantially to the FRG flow [75], and from the fact that presently our approach ignores momentum-dependent vertices and interactions among (axial-)vector mesons. It has to be clarified how higher orders in the derivative expansion affect the transition. We hope that by additional investigations, as stated below, we are able to improve our results.

We note that at temperatures  $T > \Lambda/(2\pi)$  thermal fluctuations still contribute above the cutoff scale [55]. This means that, in principle, one should choose a larger cutoff in our scenarios with a continuous transition in order to include those fluctuations. We have checked that, choosing a cutoff  $\Lambda = 1.5$  GeV, the picture does not change dramatically. One should keep in mind that the eLSM is a low-energy description of the strong interaction; thus the cutoff should not be much larger than the confinement scale ( $\approx 1$  GeV).

In all three scenarios studied here, it was demonstrated how the masses of chiral partners become degenerate at the phase boundary and beyond; see Figs. 1(b)–3(b). The mass degeneracy is a necessary condition for the restoration of chiral symmetry [6–9]. Let us note that in our study the mass of the  $a_1$  decreases towards the chiral transition, but not the mass of the  $\rho$ , cf. Fig. 3(b). In the CJT study of Ref. [81] the authors also found an increasing  $\rho$  mass towards the chiral transition. In principle, Ref. [6] argues that the  $\rho$  meson mass has to increase in the framework of a gauged two-flavor LSM, but a globally symmetric LSM could also allow for a dropping  $\rho$  mass.

In the physically most realistic scenario with ESB and  $U(1)_A$  anomaly, the vacuum masses of  $(\sigma, a_0)$  come out

to be (598.5, 996.3) MeV. This is in the range of the masses of the light scalar resonances  $\{f_0(500), a_0(980)\}$ . However, Refs. [76–78] suggested that the chiral partners of  $\pi$  and  $\eta$  should be  $\{f_0(1370), a_0(1450)\}$ . This seems to be a natural scenario, if the latter are (predominantly) quark-antiquark states. Then the light resonances  $\{f_0(500), a_0(980)\}$  are most likely made of four quarks, e.g. in the form of resonances in the scattering continuum, or even bound states, of two pseudoscalar mesons. Also bound states of diquark and antidiquark molecules have been suggested to explain their nature. As suggested a long time ago by Jaffe [110], this “tetraquark” interpretation of the light scalar resonances would naturally explain the “inverse mass ordering” of these states. By construction, the FRG approach resums correlations of infinite order and thus, if  $\{f_0(500), a_0(980)\}$  are correlated states of pseudoscalar mesons, would naturally generate these mesons dynamically. This could be an explanation of why the masses of  $\sigma$  and  $a_0$  come out close to those of  $\{f_0(500), a_0(980)\}$ . [In fact, we were not able to find UV parameters such that the IR vacuum masses of  $\sigma$  and  $a_0$  are close to those of  $\{f_0(1370), a_0(1450)\}$ .] Note that the chiral transition was studied in the presence of both a light and a heavy scalar state in Ref. [111]. There it was shown that there is actually no conflict with the “tetraquark” scalar state being light and the heavy scalar state being the chiral partner of the pion.

There are many questions left open for future study, e.g. one should investigate the order of the phase transition as a function of the anomaly strength. The first-order transition in Sec. III A should smoothly pass into one of second order, as shown in Sec. III B. Moreover, one needs to figure out when exactly the  $U(1)_A$  anomaly disappears for high temperatures. This can be done by assuming  $c_A$  to be proportional to an explicitly  $T$ -dependent instanton density. In order to decide whether the transition lies in the  $O(4)$ -universality class or not [17,18], the critical exponents have to be calculated. A natural next step in our analysis is to account for nontrivial wave-function renormalization factors, i.e., going beyond the LPA. One can readily extend our investigations to  $N_f = 3$  quark flavors, but in this case one has an additional order parameter (the strange condensate) which necessitates the use of a two-dimensional grid [60] and thus considerably increases the numerical effort. Baryonic degrees of freedom should also be involved in the FRG flow, since they noticeably influence the dilepton production [10]. The first candidate for such an extension would be the nucleon and its chiral partner [81,112,113], for which the  $N(1535)$  resonance could be an adequate choice [114]. As this resonance has a larger mass than the  $\Delta$  baryons, for reasons of consistency one should furthermore consider the fluctuations of spin-3/2 fields as well as their respective chiral partners [81,115]. Finally, another topic for future studies is to introduce quarks in the FRG. Since these are light degrees of freedom, quarks will substantially contribute to the

FRG flow. We expect that the inclusion of quarks will also lower the (unnaturally) large transition temperature found in the cases where the  $U(1)_A$  symmetry is explicitly broken.

### ACKNOWLEDGMENTS

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### APPENDIX A: FLOW EQUATIONS

The flow equations for the expansion coefficients of the effective potential  $U_k$  are generally of the form

$$\partial_k F_{k,i} = \frac{T}{2\pi^2} \sum_n \int_0^k dq \bar{q}^2 f_{k,i}(F_k, F'_k, F''_k, \omega_n^2, \bar{q}^2), \quad (\text{A1})$$

with  $F_k = (V_k, W_k, \dots)$ ,  $f_k = (v_k, w_k, \dots)$ , and  $q = |\vec{q}|$ . Dependences on  $\xi_1, \bar{\xi}_1$  were omitted. The derivatives  $F'_k = \partial F_k / \partial \xi_1$  and  $F''_k = \partial^2 F_k / (\partial \xi_1)^2$  (or  $F'_k = \partial F_k / \partial \bar{\xi}_1$  and  $F''_k = \partial^2 F_k / (\partial \bar{\xi}_1)^2$ , respectively) are approximated by finite differences, which leads to a solvable system:

$$\partial_k F_{k,i} = \frac{T}{2\pi^2} \sum_n \int_0^k dq \bar{q}^2 f_{k,i}(F_k, \omega_n^2, \bar{q}^2). \quad (\text{A2})$$

The functions  $f_{k,i}$  are specified in subsections A 1–A 2. The sum over Matsubara frequencies is performed analytically, e.g.

$$\begin{aligned} & \sum_n \int_0^k dq q^4 \frac{1}{E_\sigma^4(\omega_n^2 + q^2)} \\ &= \int_0^k dq q^4 \left[ \frac{\coth\left(\frac{q}{2T}\right)}{2qT(k^2 + 4\xi_1 V''_k + 2V'_k - q^2)^2} - \frac{\text{csch}^2\left(\frac{\sqrt{k^2 + 4\xi_1 V''_k + 2V'_k}}{2T}\right)}{8T^2(k^2 + 4\xi_1 V''_k + 2V'_k - q^2)^2} \right. \\ &+ \frac{q^2 \text{csch}^2\left(\frac{\sqrt{k^2 + 4\xi_1 V''_k + 2V'_k}}{2T}\right)}{8T^2(k^2 + 4\xi_1 V''_k + 2V'_k)(k^2 + 4\xi_1 V''_k + 2V'_k - q^2)^2} + \frac{q^2 \sinh\left(\frac{\sqrt{k^2 + 4\xi_1 V''_k + 2V'_k}}{T}\right) \text{csch}^2\left(\frac{\sqrt{k^2 + 4\xi_1 V''_k + 2V'_k}}{2T}\right)}{8T(k^2 + 4\xi_1 V''_k + 2V'_k)^{3/2}(k^2 + 4\xi_1 V''_k + 2V'_k - q^2)^2} \\ &\left. - \frac{3 \sinh\left(\frac{\sqrt{k^2 + 4\xi_1 V''_k + 2V'_k}}{T}\right) \text{csch}^2\left(\frac{\sqrt{k^2 + 4\xi_1 V''_k + 2V'_k}}{2T}\right)}{8T\sqrt{k^2 + 4\xi_1 V''_k + 2V'_k}(k^2 + 4\xi_1 V''_k + 2V'_k - q^2)^2} \right]. \quad (\text{A3}) \end{aligned}$$

Where necessary, the momentum integration is performed by using numerical quadrature.

The potentials  $V_k$  and  $\bar{V}_k$  are initialized as follows:

$$V_\Lambda(\xi_1) = a_\Lambda(\xi_1 - b_\Lambda^2)^2, \quad (\text{A4})$$

$$\bar{V}_\Lambda(\bar{\xi}_1) = \bar{a}_\Lambda(\bar{\xi}_1 - \bar{b}_\Lambda^2)^2, \quad (\text{A5})$$

where  $a_\Lambda = 4.0$ ,  $b_\Lambda = 297.6$  MeV,  $\bar{a}_\Lambda = 5.5$ , and  $\bar{b}_\Lambda = 255.0$  MeV. Furthermore, we have  $W_\Lambda(\xi_1) = 30$ ,  $X_\Lambda(\xi_1) = 20$ ,  $Y_\Lambda(\xi_1) = 2.87 \times 10^5$  MeV<sup>2</sup>,  $\bar{W}_\Lambda(\bar{\xi}_1) = 2.5 \times 10^5$  MeV<sup>2</sup>,  $\bar{X}_\Lambda(\bar{\xi}_1) = -1.0$ ,  $\bar{Y}_\Lambda(\bar{\xi}_1) = 31.0$ , and  $\bar{Z}_\Lambda = 1.27 \times 10^5$  MeV<sup>2</sup>. Under the assumption of ESB, the UV parameters change to  $\bar{W}_\Lambda(\bar{\xi}_1) = 2.0 \times 10^5$  MeV<sup>2</sup>,  $\bar{Y}_\Lambda(\bar{\xi}_1) = 45.0$ , and  $\bar{Z}_\Lambda = 1.1 \times 10^5$  MeV<sup>2</sup>, while the rest remains identical.

#### 1. Flow equations without $U(1)_A$ anomaly

In the case without  $U(1)_A$  anomaly, the quantities  $f_{k,i}$  in Eq. (A2) read

$$v_k = k \left( \frac{3}{E_{a_0}^2} + \frac{12}{E_{a_1}^2} + \frac{12}{E_\rho^2} + \frac{1}{E_\sigma^2} + \frac{4}{E_\pi^2} \right), \quad (\text{A6})$$

$$\begin{aligned} w_k = \frac{1}{2} k \left\{ - \frac{4[2V''_k(8W_k - 3\xi_1 W'_k) + 15\xi_1 W_k W'_k + 4\xi_1^2 W_k^2 + W_k^2]}{E_{a_0}^4 \xi_1 (W_k - 2V''_k)} + \frac{24X_k}{E_{a_1}^4 \xi_1} + \frac{192X_k^2}{E_{a_1}^6} \right. \\ \left. - \frac{4[2V''_k(2\xi_1^2 W''_k + 9\xi_1 W'_k + 6W_k) + \xi_1 W_k(3W'_k - 2\xi_1 W''_k) + 4\xi_1^2 W_k^2 + 3W_k^2]}{\xi_1 E_\sigma^4 (2V''_k - W_k)} - \frac{8(2\xi_1 W'_k + W_k)}{E_\pi^4 \xi_1} + \frac{16W_k^2}{E_\pi^6} - \frac{24X_k}{\xi_1 E_\rho^4} \right\}, \quad (\text{A7}) \end{aligned}$$

$$\begin{aligned}
x_k = \frac{1}{2}k \left\{ & -\frac{16\vec{q}^2 X_k^2}{E_\pi^4 E_{a_1}^2 (\omega_n^2 + \vec{q}^2)} + \frac{32\vec{q}^2 X_k^2}{E_{a_0}^4 E_{a_1}^2 (\omega_n^2 + \vec{q}^2)} - \frac{16\vec{q}^2 X_k^2}{E_\pi^2 E_{a_1}^4 (\omega_n^2 + \vec{q}^2)} + \frac{32\vec{q}^2 X_k^2}{E_{a_0}^2 E_{a_1}^4 (\omega_n^2 + \vec{q}^2)} \right. \\
& + \frac{32\vec{q}^2 (\xi_1 X'_k + X_k + Y'_k)^2}{E_{a_1}^2 E_\sigma^4 (\omega_n^2 + \vec{q}^2)} + \frac{32\vec{q}^2 (\xi_1 X'_k + X_k + Y'_k)^2}{E_{a_1}^4 E_\sigma^2 (\omega_n^2 + \vec{q}^2)} - \frac{16\vec{q}^2 X_k^2}{E_{a_0}^4 (\omega_n^2 + \vec{q}^2) (\xi_1 X_k + Y_k)} \\
& + \frac{4[X_k (\frac{4X_k}{\xi_1 X_k + Y_k} + \frac{1}{\xi_1}) - 3X'_k]}{E_{a_0}^4} + \frac{16\vec{q}^2 X_k^2}{E_\pi^4 E_\rho^2 (\omega_n^2 + \vec{q}^2)} + \frac{16\vec{q}^2 X_k^2}{E_\pi^2 E_\rho^4 (\omega_n^2 + \vec{q}^2)} \\
& - \frac{16\vec{q}^2 (\xi_1 X'_k + X_k + Y'_k)^2}{E_\sigma^4 (\omega_n^2 + \vec{q}^2) (\xi_1 X_k + Y_k)} - \frac{8\xi_1 \vec{q}^2 X_k^3}{E_\pi^4 Y_k (\omega_n^2 + \vec{q}^2) (\xi_1 X_k + Y_k)} - \frac{32\vec{q}^2 Y_k'^2}{E_\rho^4 E_\sigma^2 (\omega_n^2 + \vec{q}^2)} - \frac{32\vec{q}^2 Y_k'^2}{E_\rho^2 E_\sigma^4 (\omega_n^2 + \vec{q}^2)} \\
& \left. + \frac{16\vec{q}^2 Y_k'^2}{E_\sigma^4 Y_k (\omega_n^2 + \vec{q}^2)} + \frac{4 \left[ -2\xi_1 X_k'' - \frac{X_k}{\xi_1} + \frac{4(\xi_1 X'_k + X_k + Y'_k)^2}{\xi_1 X_k + Y_k} - 5X'_k - \frac{4Y_k'^2}{Y_k} \right] + \frac{8 \left( \frac{\xi_1 X_k^3}{\xi_1 X_k Y_k + Y_k^2} - 2X'_k \right)}{E_\pi^4} \right\}, \tag{A8}
\end{aligned}$$

$$\begin{aligned}
y_k = \frac{1}{2}k \left\{ & \frac{16\xi_1 \vec{q}^2 X_k^2}{E_\pi^4 E_{a_1}^2 (\omega_n^2 + \vec{q}^2)} + \frac{16\xi_1 \vec{q}^2 X_k^2}{E_\pi^2 E_{a_1}^4 (\omega_n^2 + \vec{q}^2)} - \frac{4(2X_k + 3Y'_k)}{E_{a_0}^4} - \frac{8\xi_1 \vec{q}^2 X_k^2}{E_\pi^4 (\omega_n^2 + \vec{q}^2) (\xi_1 X_k + Y_k)} \right. \\
& + \frac{32\xi_1 \vec{q}^2 Y_k'^2}{E_\rho^4 E_\sigma^4 (\omega_n^2 + \vec{q}^2)} + \frac{32\xi_1 \vec{q}^2 Y_k'^2}{E_\rho^2 E_\sigma^4 (\omega_n^2 + \vec{q}^2)} - \frac{16\xi_1 \vec{q}^2 Y_k'^2}{E_\sigma^4 Y_k (\omega_n^2 + \vec{q}^2)} + \frac{8 \left( -\frac{X_k Y_k}{\xi_1 X_k + Y_k} - 2Y'_k \right)}{E_\pi^4} \\
& \left. - \frac{4[Y_k (2\xi_1 Y_k'' + Y'_k) - 4\xi_1 Y_k'^2]}{E_\sigma^4 Y_k} \right\}, \tag{A9}
\end{aligned}$$

with

$$E_\sigma^2 = k^2 + \omega_n^2 + 2V'_k + 4\xi_1 V_k'', \tag{A10}$$

$$E_\pi^2 = k^2 + \omega_n^2 + 2V'_k, \tag{A11}$$

$$E_{a_0}^2 = k^2 + \omega_n^2 + 2V'_k + 2\xi_1 W_k, \tag{A12}$$

$$E_\rho^2 = k^2 + \omega_n^2 + 2Y_k, \tag{A13}$$

$$E_{a_1}^2 = k^2 + \omega_n^2 + 2Y_k + 2\xi_1 X_k. \tag{A14}$$

The meson masses are given by

$$m_\sigma = \sqrt{2V'_k + 4\xi_1 V_k''}, \tag{A15}$$

$$m_\pi = \sqrt{2V'_k}, \tag{A16}$$

$$m_{a_0} = \sqrt{2V'_k + 2\xi_1 W_k}, \tag{A17}$$

$$m_\rho = \sqrt{2Y_k}, \tag{A18}$$

$$m_{a_1} = \sqrt{2Y_k + 2\xi_1 X_k}. \tag{A19}$$

## 2. Flow equations with $U(1)_A$ anomaly

In the case with  $U(1)_A$  anomaly, the quantities  $f_{k,i}$  in Eq. (A2) read

$$\bar{v}_k = \frac{1}{2}k \left[ 24 \left( \frac{1}{E_{a_1}^2} + \frac{1}{E_\rho^2} \right) + \frac{6}{E_{a_0}^2} + \frac{2}{E_\eta^2} + \frac{2}{E_\sigma^2} + \frac{6}{E_\pi^2} \right], \tag{A20}$$

$$\bar{w}_k = \frac{1}{2}k \left[ \frac{32\bar{\xi}_1\bar{W}_k'^2}{E_{a_0}^2 E_\sigma^4} + \frac{32\bar{\xi}_1\bar{W}_k'^2}{E_{a_0}^4 E_\sigma^2} + \frac{192\bar{\xi}_1\bar{Y}_k^2}{E_{a_1}^6} - \frac{24\bar{Y}_k}{E_{a_1}^4} - \frac{4(2\bar{\xi}_1\bar{W}_k'' + \bar{W}_k')}{E_\sigma^4} - \frac{4(3\bar{W}_k' + \bar{X}_k)}{E_\pi^4} + \frac{8\bar{\xi}_1\bar{X}_k^2}{E_\pi^4 E_\eta^2} + \frac{8\bar{\xi}_1\bar{X}_k^2}{E_\pi^2 E_\eta^4} - \frac{24\bar{Y}_k}{E_\rho^4} \right], \quad (\text{A21})$$

$$\begin{aligned} \bar{x}_k = \frac{1}{2}k \left\{ -\frac{32\bar{W}_k'^2}{E_{a_0}^2 E_\sigma^4} - \frac{32\bar{W}_k'^2}{E_{a_0}^4 E_\sigma^2} + \frac{24\bar{X}_k^2}{E_\pi^4 E_{a_0}^2} + \frac{24\bar{X}_k^2}{E_\pi^2 E_{a_0}^4} - \frac{24\bar{Y}_k}{E_{a_1}^4 \bar{\xi}_1} - \frac{192\bar{Y}_k^2}{E_{a_1}^6} + \frac{4\bar{X}_k^2}{E_\eta^4(-\bar{V}_k' + \bar{W}_k + \bar{\xi}_1\bar{X}_k)} \right. \\ \left. - \frac{2\bar{X}_k^2}{E_\eta^2(\bar{V}_k' - \bar{W}_k - \bar{\xi}_1\bar{X}_k)^2} + \frac{4\bar{X}_k(\bar{W}_k - \bar{V}_k')}{E_\pi^2 E_\eta^2 \bar{\xi}_1(-\bar{V}_k' + \bar{W}_k + \bar{\xi}_1\bar{X}_k)} + \frac{2\bar{X}_k^2}{E_\pi^2(\bar{V}_k' - \bar{W}_k - \bar{\xi}_1\bar{X}_k)^2} \right. \\ \left. + \frac{4[\bar{X}_k(\frac{1}{\bar{\xi}_1} - \frac{\bar{X}_k}{-\bar{V}_k' + \bar{W}_k + \bar{\xi}_1\bar{X}_k}) - 3\bar{X}_k']}{E_\pi^4} + \frac{32(\bar{W}_k' + \bar{\xi}_1\bar{X}_k' + \bar{X}_k)^2}{E_\eta^4 E_\sigma^2} + \frac{32(\bar{W}_k' + \bar{\xi}_1\bar{X}_k' + \bar{X}_k)^2}{E_\eta^2 E_\sigma^4} \right. \\ \left. - \frac{4\bar{X}_k}{E_\pi^2 E_\eta^2 \bar{\xi}_1} - \frac{4(2\bar{\xi}_1\bar{X}_k'' + \frac{\bar{X}_k}{\bar{\xi}_1} + 5\bar{X}_k')}{E_\sigma^4} + \frac{24\bar{Y}_k}{\bar{\xi}_1 E_\rho^4} \right\}, \quad (\text{A22}) \end{aligned}$$

$$\begin{aligned} \bar{y}_k = \frac{1}{2}k \left\{ -\frac{16\bar{q}^2\bar{Y}_k^2}{E_\pi^4 E_{a_1}^2(\omega_n^2 + \bar{q}^2)} + \frac{32\bar{q}^2\bar{Y}_k^2}{E_{a_0}^4 E_{a_1}^2(\omega_n^2 + \bar{q}^2)} - \frac{16\bar{q}^2\bar{Y}_k^2}{E_\pi^2 E_{a_1}^4(\omega_n^2 + \bar{q}^2)} + \frac{32\bar{q}^2\bar{Y}_k^2}{E_{a_0}^2 E_{a_1}^4(\omega_n^2 + \bar{q}^2)} \right. \\ \left. + \frac{32\bar{q}^2(\bar{\xi}_1\bar{Y}_k' + \bar{Y}_k + \bar{Z}_k)^2}{E_{a_1}^2 E_\sigma^4(\omega_n^2 + \bar{q}^2)} + \frac{32\bar{q}^2(\bar{\xi}_1\bar{Y}_k' + \bar{Y}_k + \bar{Z}_k)^2}{E_{a_1}^4 E_\sigma^2(\omega_n^2 + \bar{q}^2)} - \frac{16\bar{q}^2\bar{Y}_k^2}{E_{a_0}^4(\omega_n^2 + \bar{q}^2)(\bar{\xi}_1\bar{Y}_k + \bar{Z}_k)} \right. \\ \left. + \frac{4\bar{Y}_k(5\bar{\xi}_1\bar{Y}_k + \bar{Z}_k)}{E_{a_0}^4 \bar{\xi}_1(\bar{\xi}_1\bar{Y}_k + \bar{Z}_k)} + \frac{16\bar{q}^2\bar{Y}_k^2}{E_\pi^4 E_\rho^2(\omega_n^2 + \bar{q}^2)} + \frac{16\bar{q}^2\bar{Y}_k^2}{E_\pi^2 E_\rho^4(\omega_n^2 + \bar{q}^2)} \right. \\ \left. - \frac{16\bar{q}^2[\bar{Z}_k(\bar{\xi}_1\bar{Y}_k' + \bar{Y}_k)^2 2\bar{Z}_k\bar{Z}_k'(\bar{\xi}_1\bar{Y}_k' + \bar{Y}_k) - \bar{\xi}_1\bar{Y}_k\bar{Z}_k'^2]}{E_\sigma^4 \bar{Z}_k(\omega_n^2 + \bar{q}^2)(\bar{\xi}_1\bar{Y}_k + \bar{Z}_k)} - \frac{8\bar{\xi}_1\bar{q}^2\bar{Y}_k^3}{E_\pi^4 \bar{Z}_k(\omega_n^2 + \bar{q}^2)(\bar{\xi}_1\bar{Y}_k + \bar{Z}_k)} \right. \\ \left. - \frac{32\bar{q}^2\bar{Z}_k'^2}{E_\rho^4 E_\sigma^2(\omega_n^2 + \bar{q}^2)} - \frac{32\bar{q}^2\bar{Z}_k'^2}{E_\rho^2 E_\sigma^4(\omega_n^2 + \bar{q}^2)} - \frac{4\bar{Y}_k}{E_\eta^4 \bar{\xi}_1} + \frac{4\left(\frac{\bar{Y}_k}{\bar{\xi}_1} + \frac{2\bar{\xi}_1\bar{Y}_k^3}{\bar{\xi}_1\bar{Y}_k\bar{Z}_k + \bar{Z}_k^2} - 3\bar{Y}_k'\right)}{E_\pi^4} \right. \\ \left. + \frac{4\left[-2\bar{\xi}_1\bar{Y}_k'' - \frac{\bar{Y}_k}{\bar{\xi}_1} + \frac{4\bar{Z}_k(\bar{\xi}_1\bar{Y}_k' + \bar{Y}_k)^2 + 8\bar{Z}_k\bar{Z}_k'(\bar{\xi}_1\bar{Y}_k' + \bar{Y}_k) - 4\bar{\xi}_1\bar{Y}_k\bar{Z}_k'^2}{\bar{Z}_k(\bar{\xi}_1\bar{Y}_k + \bar{Z}_k)} - 5\bar{Y}_k'\right]}{E_\sigma^4} \right\}, \quad (\text{A23}) \end{aligned}$$

$$\begin{aligned} \bar{z}_k = \frac{1}{2}k \left\{ \frac{16\bar{\xi}_1\bar{q}^2\bar{Y}_k^2}{E_\pi^4 E_{a_1}^2(\omega_n^2 + \bar{q}^2)} + \frac{16\bar{\xi}_1\bar{q}^2\bar{Y}_k^2}{E_\pi^2 E_{a_1}^4(\omega_n^2 + \bar{q}^2)} - \frac{8\bar{Y}_k}{E_{a_0}^4} - \frac{8\bar{\xi}_1\bar{q}^2\bar{Y}_k^2}{E_\pi^4(\omega_n^2 + \bar{q}^2)(\bar{\xi}_1\bar{Y}_k + \bar{Z}_k)} + \frac{32\bar{\xi}_1\bar{q}^2\bar{Z}_k'^2}{E_\rho^4 E_\sigma^2(\omega_n^2 + \bar{q}^2)} \right. \\ \left. + \frac{32\bar{\xi}_1\bar{q}^2\bar{Z}_k'^2}{E_\rho^2 E_\sigma^4(\omega_n^2 + \bar{q}^2)} - \frac{16\bar{\xi}_1\bar{q}^2\bar{Z}_k'^2}{E_\sigma^4 \bar{Z}_k(\omega_n^2 + \bar{q}^2)} + \frac{4\left(-\frac{2\bar{Y}_k\bar{Z}_k}{\bar{\xi}_1\bar{Y}_k + \bar{Z}_k} - 3\bar{Z}_k'\right)}{E_\pi^4} \right. \\ \left. - \frac{4[\bar{Z}_k'(\bar{Z}_k - 4\bar{\xi}_1\bar{Z}_k') + 2\bar{\xi}_1\bar{Z}_k\bar{Z}_k'']}{E_\sigma^4 \bar{Z}_k} \right\}, \quad (\text{A24}) \end{aligned}$$

with

$$E_\rho^2 = k^2 + \omega_n^2 + 2\bar{Z}_k, \quad (\text{A29})$$

$$E_\sigma^2 = k^2 + \omega_n^2 + 2\bar{V}_k' + 4\bar{\xi}_1\bar{V}_k', \quad (\text{A25})$$

$$E_{a_1}^2 = k^2 + \omega_n^2 + 2\bar{Z}_k + 2\bar{\xi}_1\bar{Y}_k. \quad (\text{A30})$$

$$E_\pi^2 = k^2 + \omega_n^2 + 2\bar{V}_k', \quad (\text{A26})$$

In this case, the meson masses read

$$E_{a_0}^2 = k^2 + \omega_n^2 + 2\bar{W}_k, \quad (\text{A27})$$

$$m_\sigma = \sqrt{2\bar{V}_k' + 4\bar{\xi}_1\bar{V}_k'}, \quad (\text{A31})$$

$$E_\eta^2 = k^2 + \omega_n^2 + 2\bar{W}_k + 2\bar{\xi}_1\bar{X}_k, \quad (\text{A28})$$

$$m_\pi = \sqrt{2\bar{V}_k'}, \quad (\text{A32})$$

$$m_{a_0} = \sqrt{2\bar{W}_k}, \quad (\text{A33})$$

$$m_\eta = \sqrt{2\bar{W}_k + 2\bar{\xi}_1\bar{X}_k}, \quad (\text{A34})$$

$$m_\rho = \sqrt{2\bar{Z}_k}, \quad (\text{A35})$$

$$m_{a_1} = \sqrt{2\bar{Z}_k + 2\bar{\xi}_1\bar{Y}_k}. \quad (\text{A36})$$

## APPENDIX B: DATA INTERPOLATION

$n$  data points  $y_j$  at sites  $x_j$  are approximated by a cubic spline  $f$ , such that the following expression is minimized:

$$p \sum_{j=1}^n w_j [y_j - f(x_j)]^2 + (1-p) \int \lambda(t) |D^2 f(t)|^2 dt. \quad (\text{B1})$$

The first term is an error measure, whereas the second is a roughness measure. The default value for the weights  $w_j$  as well as for the weight function  $\lambda$  is one. The integration has to be performed over the smallest interval containing all data sites.  $p$  is a smoothing parameter. This method is used via the MATLAB `csaps` function.

For both fits in Fig. 1, all weights are equal to one and  $p$  is chosen to be  $1 \times 10^{-4}$ . In Fig. 2, we have  $p = 1 \times 10^{-5}$  and  $w_1 = 1 \times 10^4$  at  $x_1 = 1.0$  MeV.

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