

Four-fermion limit of gauge-Yukawa theories

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We elucidate and extend the conditions that map gauge-Yukawa theories at low energies into time-honored gauged four-fermion interactions at high energies. These compositeness conditions permit us to investigate theories of composite dynamics through gauge-Yukawa theories. Here we investigate whether perturbative gauge-Yukawa theories can have a strongly coupled limit at high energy that can be mapped into a four-fermion theory. Interestingly, we are able to precisely carve out a region of the perturbative parameter space supporting such a composite limit. This has interesting implications on our current view on models of particle physics. As a template model we use an $SU(N_C)$ gauge theory with N_F Dirac fermions transforming according to the fundamental representation of the gauge group. The fermions further interact with a gauge singlet complex $N_F \times N_F$ Higgs boson that ceases to be a physical degree of freedom at the ultraviolet composite scale, where it gives away to the four-fermion interactions. We compute the hierarchy between the ultraviolet and infrared composite scales of the theory and show that they are naturally large and well separated. Our results show that some weakly coupled gauge-Yukawa theories can be viewed, in fact, as composite theories. It is therefore tantalizing to speculate that the standard model, with its phenomenological perturbative Higgs sector, could hide, in plain sight, a composite theory.

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I. THE COMPOSITE FACET OF GAUGE-YUKAWA THEORIES

Gauge-Yukawa theories are what make up our current best bet for a description of nature at the smallest scales: the standard model of particle physics (SM). However, in order to resolve any of the standing problems in the SM, it must be extended. In this work we dedicate ourselves to the study of a general set of gauge-Yukawa theories, inspired by the global symmetries of QCD and the electroweak theory. Keeping the initial discussion general we then argue that it is possible to reinterpret and make use of a certain class of gauge-Yukawa theories that is not ultraviolet (UV) safe. This amounts to having Landau poles in the couplings of the theory that cannot be tamed controllably at least within perturbation theory. Our results serve as a starting point of nonperturbative first principle numerical studies beyond the conformal window of asymptotically free theories [1–3].

A gauge-Yukawa theory can be described by a Lagrangian of the general form

$$\mathcal{L} = -\frac{1}{4g^2} F_{\mu\nu} F^{\mu\nu} + i\bar{\Psi}\not{D}\Psi + D_\mu\Phi D^\mu\Phi^\dagger + (Y\bar{\Psi}\Phi\Psi + \text{H.c.}) - V(\Phi), \quad (1)$$

$$V(\Phi) = m_0^2\Phi^\dagger\Phi + \lambda(\Phi^\dagger\Phi)^2, \quad (2)$$

where Y , m_0^2 , and λ may be matrices in the fermion Ψ and scalar Φ field space. To define the theory the appropriate gauge group(s) and corresponding representations must be specified, while the choice of the Yukawa and scalar couplings determines the global symmetries of the theory. This class of theories is renormalizable and has been extensively studied. When quantum effects are considered and counterterms are added to remove the ultraviolet divergences, all of the terms in the Lagrangian Eq. (1) receive corrections. Focusing on the fermionic and scalar sector of the theory the changes to the Lagrangian are given by

$$\begin{aligned} i\bar{\Psi}\not{D}\Psi &\rightarrow i(1 + \delta_{Z_\Psi})\bar{\Psi}\not{D}\Psi, \\ D_\mu\Phi D^\mu\Phi^\dagger &\rightarrow (1 + \delta_{Z_\Phi})D_\mu\Phi D^\mu\Phi^\dagger, \end{aligned} \quad (3)$$

$$\begin{aligned} m_0^2 &\rightarrow m_0^2 + \delta_{m^2} = m_r^2, \\ Y &\rightarrow Y + \delta_Y = Y_r, \\ \lambda &\rightarrow \lambda + \delta_\lambda = \lambda_r, \end{aligned} \quad (4)$$

where δ_{Z_Φ} and δ_{Z_Ψ} are the corrections from field-strength renormalization of the scalars and fermions. Through the renormalization procedure, a renormalization scale μ is introduced, and when the operators above change as the renormalization scale is varied, the theory moves along a renormalization group (RG) flow in the space of couplings. Defining the couplings at a given energy scale picks out a unique RG trajectory of the flow. Therefore in

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principle, a specific gauge-Yukawa theory has an infinite number of physically different paths in the RG flow. It can happen, however, that the theory possesses ultraviolet interacting fixed points that, depending on the dimension of the critical surface, can increase the predictivity of the theory [4,5].¹ This is, of course, also true for asymptotically free gauge theories such as QCD where the infrared dynamics of the theory is known once the external group-theoretical parameters of the theory are given, such as the number of quark flavors and colors.

To retain the canonical form of the renormalized Lagrangian, the field-strength renormalizations may be absorbed by a redefinition of the fields, $\Phi \rightarrow \Phi/(1 + \delta_{Z_\Phi})^{1/2}$, and $\Psi \rightarrow \Psi/(1 + \delta_{Z_\Psi})^{1/2}$, giving

$$\begin{aligned} \mathcal{L} = & -\frac{1}{4g^2} F_{\mu\nu} F^{\mu\nu} + i\bar{\Psi} \not{D} \Psi + D_\mu \Phi D^\mu \Phi^\dagger \\ & + (\tilde{Y} \bar{\Psi} \Phi \Psi + \text{H.c.}) - V(\Phi), \end{aligned} \quad (5)$$

with

$$V(\Phi) = m_\Phi^2 \Phi^\dagger \Phi + \tilde{\lambda} (\Phi^\dagger \Phi)^2. \quad (6)$$

The renormalized canonical parameters are in terms of the renormalized noncanonical ones given by

$$\begin{aligned} \tilde{Y} &= \frac{Y_r}{(1 + \delta_{Z_\Psi}) \sqrt{(1 + \delta_{Z_\Phi})}}, \\ m_\Phi^2 &= \frac{m_r^2}{(1 + \delta_{Z_\Phi})}, \quad \text{and} \quad \tilde{\lambda} = \frac{\lambda_r}{(1 + \delta_{Z_\Phi})^2}. \end{aligned} \quad (7)$$

In standard perturbation theory the denominators in the above expressions can be taken to unity, such that to lowest order $\tilde{Y} = Y_r$, $m_\Phi^2 = m_r^2$ and $\tilde{\lambda} = \lambda_r$. However, this identification breaks down if at strong coupling the field-strength renormalizations grow big. This is the situation we investigate.

In particular, we consider in this work gauge-Yukawa theories, where the scalars are composite fields appearing only below a certain energy scale Λ_{UV} . Above that scale, one should recover a theory of only fermions and gauge bosons. This means that the scalars must cease to propagate at the scale Λ_{UV} , and there we must set $\delta_{Z_\Phi} = -1$. This physical requirement on the scalar field translates into requirements for the scalar and Yukawa couplings as well as the mass term of the renormalized Lagrangian in Eq. (5), which we call *the compositeness conditions*. It is convenient to express these conditions in the following form:

$$\lim_{\mu \rightarrow \Lambda_{UV}} \tilde{Y}^{-2} = 0, \quad \lim_{\mu \rightarrow \Lambda_{UV}} \frac{\tilde{\lambda}}{\tilde{Y}^4} \approx \frac{\lambda_r}{Y_r^4}, \quad \lim_{\mu \rightarrow \Lambda_{UV}} \frac{m_\Phi^2}{\tilde{Y}^2} \approx \frac{m_r^2}{Y_r^2}, \quad (8)$$

¹Complete asymptotically safe theories have novel thermodynamic properties [6] and provide new ideas for model building [7,8].

where by the limit an inverse transformation from Eq. (5) to Eq. (1) is implied at the scale Λ_{UV} . This transformation is necessary because the canonical couplings diverge at the scale Λ_{UV} . In perturbation theory such a divergence is associated with the occurrence of a Landau pole. The approximation used requires also that the fermion wave function renormalization correction does not spoil Eq. (8).

We show now a particularly important case, where these conditions are matched onto a purely fermionic gauge theory at the composite scale. Consider the case when $\lambda_r = 0$ at the scale Λ_{UV} . The Lagrangian at the scale Λ_{UV} in this case reads

$$\mathcal{L} = -\frac{1}{4g_r^2} F_{\mu\nu} F^{\mu\nu} + i\bar{\Psi} \not{D} \Psi + (Y_r \bar{\Psi} \Phi \Psi + \text{H.c.}) - m_r^2 \Phi^\dagger \Phi, \quad (9)$$

where we assume that $\delta_{Z_\Psi} \ll 1$, or equivalently that the interactions are very weak at Λ_{UV} . Since there is no kinetic term for the scalars, we may eliminate them via their equations of motion, and the resulting Lagrangian is

$$\mathcal{L} = -\frac{1}{4g_r^2} F_{\mu\nu} F^{\mu\nu} + i\bar{\Psi} \not{D} \Psi + \frac{Y_r^2}{m_r^2} (\bar{\Psi} \Psi)^2, \quad (10)$$

which has the structure of a generalized gauged Nambu-Jona-Lasinio (gNJL) model [9]. The link between the four-fermion theory described above and a low energy gauge-Yukawa theory was first demonstrated in [10]. To connect the picture to the effective field theory language, we may choose as renormalization conditions $m_r^2(\Lambda_{UV}) = \Lambda_{UV}^2$ and $Y_r^2(\Lambda_{UV}) = G$, with G being the dimensionless four-fermion coupling. Then the above Lagrangian takes the form of the following effective field theory:

$$\mathcal{L} = -\frac{1}{4g_r^2} F_{\mu\nu} F^{\mu\nu} + i\bar{\Psi} \not{D} \Psi + \frac{G}{\Lambda_{UV}^2} (\bar{\Psi} \Psi)^2. \quad (11)$$

The attentive reader would have realized that to derive the gNJL effective theory from the gauge-Yukawa system we used not only the compositeness conditions Eq. (8) but also that $\lambda_r = 0$. It is therefore important to know when this requirement may be satisfied starting from the gauge-Yukawa theory. Consider the following limit:

$$\lim_{\mu \rightarrow \Lambda_{UV}} \frac{\tilde{\lambda}}{\tilde{Y}^2} \approx \lim_{\mu \rightarrow \Lambda_{UV}} \frac{\lambda_r}{(1 + \delta_{Z_\Phi}) Y_r^2}. \quad (12)$$

One observes that if λ_r does not vanish at the composite scale the above quantity diverges at Λ_{UV} . If, however, $\lambda_r \rightarrow 0$ in this limit, the ratio of $\frac{\lambda_r}{(1 + \delta_{Z_\Phi})}$ may go to a constant value, thus yielding

$$\lim_{\mu \rightarrow \Lambda_{UV}} \frac{\tilde{\lambda}}{\tilde{Y}^2} = \text{constant}. \quad (13)$$

This new condition will be added to the list of compositeness conditions given in Eq. (8), further reducing the number of gauge-Yukawa theories that may admit a composite realization of the gNJL type.

The previous conditions are nonperturbative in nature and can be exploited to investigate also the correspondence between the two types of theories. In particular, as we shall see, the correspondence enables us to study certain aspects of theories of composite dynamics through gauge-Yukawa theories that feature a RG region, where the theories can be treated perturbatively. This result shows that weakly coupled gauge-Yukawa theories at some intermediate energy scale are, *de facto*, composite theories. It is therefore tantalizing to speculate that the standard model with its perturbative Higgs sector could hide, in plain sight, a composite theory.

Beyond perturbation theory one can use first principle lattice studies [11–15] for which our results can be viewed as exploratory in nature.

We introduce a concrete example in Sec. II that we use to elucidate the main points. It consists of an $SU(N_C)$ gauge theory featuring N_F Dirac fermions transforming according to the fundamental representation of the gauge group. They further interact with a gauge-singlet $N_F \times N_F$ complex scalar field via Yukawa interactions that at intermediate energies self interact. We show that it is possible to enforce the compositeness conditions in this theory while simultaneously discovering a controllable perturbative regime along the RG flow. This situation is similar to the SM, where at and around the electroweak scale all the couplings can be treated in perturbation theory. Because we have a clear perturbative regime, we divide the section in several subsections associated to different orders in perturbation theory. We show that the theory can admit a composite nature and furthermore estimate the ratio of the ultraviolet composite scale to the infrared chiral symmetry/confining scale as a function of the parameters of the theory. We offer our conclusions in Sec. III. A series of appendixes contain detailed computations used to derive the results in the main text.

II. THE COMPOSITE TEMPLATE

We start with an $SU(N_C)$ gauge theory with $N_C > 2$. The associated gauge fields A_μ^a have field strength $F_{\mu\nu}^a$ ($a = 1, \dots, N_C - 1$). We add N_F Dirac fermions Q_i^c with $i = 1, \dots, N_F$ and $c = 1, \dots, N_C$ transforming according to the fundamental representation of $SU(N_C)$. The fermions further interact with an $N_F \times N_F$ complex scalar H . The fundamental interaction Lagrangian reads

$$\begin{aligned} \mathcal{L} = & -\frac{1}{2} \text{Tr}[F^{\mu\nu} F_{\mu\nu}] + \text{Tr}[\bar{Q} i \not{D} Q] + \text{Tr}[\partial_\mu H^\dagger \partial^\mu H] \\ & + y \text{Tr}[\bar{Q} H Q] - V[H], \end{aligned} \quad (14)$$

with $\text{Tr}[\bar{Q} H Q] = \text{Tr}[\bar{Q}_L H Q_R + \bar{Q}_R H^\dagger Q_L]$ and

$$V[H] = m_H^2 \text{Tr}[H^\dagger H] + u \text{Tr}[H^\dagger H H^\dagger H] + v (\text{Tr}[H^\dagger H])^2. \quad (15)$$

We trace over both color and flavor indices. This theory has been investigated in much detail recently in [16–20] for a large number of interesting properties, not directly connected with compositeness. It has been studied earlier in connection with top-quark condensate models in [21,22], albeit in a different setup and limit than we are taking here.

The model has four classically marginal coupling constants given by the gauge coupling, the Yukawa coupling y , and the quartic scalar couplings: the single-trace coupling u and the double-trace coupling v . From these we define new rescaled couplings, useful in the large N_C and N_F limit, which read

$$\begin{aligned} \alpha_g &= \frac{g^2 N_C}{(4\pi)^2}, & \alpha_y &= \frac{y^2 N_C}{(4\pi)^2}, \\ \alpha_u &= \frac{u N_F}{(4\pi)^2}, & \alpha_v &= \frac{v N_F^2}{(4\pi)^2}. \end{aligned} \quad (16)$$

These are the appropriately normalized couplings which enable us to study the Veneziano limit of the theory, where $N_F, N_C \rightarrow \infty$, while N_F/N_C is kept constant. Note the additional power of N_F in the definition of the scalar double-trace coupling, which makes v/u go as $\alpha_v/(\alpha_u N_F)$.

The resulting compositeness conditions introduced in the previous section specialize to

$$\begin{aligned} \lim_{\mu \rightarrow \Lambda_{\text{UV}}} \alpha_y^{-1} &= 0, & \lim_{\mu \rightarrow \Lambda_{\text{UV}}} \frac{\alpha_u}{\alpha_y^2} &= \lim_{\mu \rightarrow \Lambda_{\text{UV}}} \frac{\alpha_v}{\alpha_y^2} = 0, \\ \lim_{\mu \rightarrow \Lambda_{\text{UV}}} \frac{y^2}{m_H^2} &= \frac{G}{\Lambda_{\text{UV}}^2}, \end{aligned} \quad (17)$$

where the last requirement gives the matching to the high energy four-fermion theory. The two first conditions can be investigated in any renormalization scheme, while the last one involving the mass only applies to mass-dependent schemes. In mass-independent schemes there will be corrections to the right-hand side of the latter condition [23], which are, however, unimportant to this work. The matching to the high energy theory is achieved in the following way: At the scale Λ_{UV} , where the couplings of the Lagrangian Eq. (14) formally diverge, the theory should instead be rewritten through the transformations given in Eq. (7). Assuming furthermore that

$$\lim_{\mu \rightarrow \Lambda_{\text{UV}}} \frac{\alpha_{u/v}}{\alpha_y} = \text{constant} \quad (18)$$

as explained in the previous section, it follows that the scalar sector of the theory is described by

$$\mathcal{L}_{\text{Composite}}^H = \sqrt{G} \text{Tr}[\bar{Q}_L H Q_R + \bar{Q}_R H^\dagger Q_L] - \Lambda_{\text{UV}}^2 \text{Tr}[H^\dagger H], \quad (19)$$

where the fields $Q_{L/R}$ and H now are the inversely transformed ones of Eq. (3). The renormalized mass parameter and Yukawa coupling are the inversely transformed ones defined in Eq. (4), where the renormalization conditions identifying them with the cutoff and the four-fermion coupling were imposed. By eliminating the auxiliary scalar degrees of freedom through their equation of motion, one obtains the four-fermion interaction²:

$$\mathcal{L}_{\text{Composite}}^H = \frac{2G}{\Lambda_{\text{UV}}^2} \text{Tr}[\bar{Q}_L T^a Q_R] \text{Tr}[\bar{Q}_R T^a Q_L]. \quad (20)$$

Here T^a was introduced through $H = h^a T^a$, with $a = 0, 1, \dots, N_F^2 - 1$ which are the generators of $SU(N_F)$, while $T^0 = \frac{1}{\sqrt{2N_F}} \mathbb{1}$. The normalization used is $\text{Tr} T^a T^b = \frac{1}{2} \delta^{ab}$.

We are now ready to provide a consistent renormalization group investigation of this gauge-Yukawa system superimposed with the compositeness conditions derived above. The renormalization group flow of a gauge-Yukawa theory arranges itself in a particular pattern in perturbation theory. As shown in [24] the beta functions of these theories in mass-independent schemes abide the Weyl consistency conditions [25]. These conditions have been further tested in [26]. These dictate a specific counting scheme to correctly take into account higher-order corrections. This counting scheme can in perturbation theory also be understood through the general pattern for the perturbative beta functions of the dimensionless couplings in mass-independent schemes:

$$\beta_g = \beta_g^{(1)}(g) + \beta_g^{(2)}(g, y) + \beta_g^{(3)}(g, y, \lambda) + \dots, \quad (21)$$

$$\beta_y = \beta_y^{(1)}(g, y) + \beta_y^{(2)}(g, y, \lambda) + \dots, \quad (22)$$

$$\beta_\lambda = \beta_\lambda^{(1)}(\lambda, g, y) + \dots, \quad (23)$$

where the superscripts denote the loop order of the terms and the parenthesis shows which couplings they depend on. This pattern is completely general, and shows that one may consider the running of the gauge coupling at one loop consistently without taking into account the running of Yukawa and the quartic couplings (leading order). Likewise one may analyze the two-loop running of the gauge coupling taking into account the one-loop running of the Yukawa consistently without taking into account the running of the quartics (next-to-leading order). At three loops, running of all couplings must be taken into account and the lowest consistent counting order is 3-2-1 loops in the gauge-Yukawa-quartic beta functions [next-to-next-to-leading order (NNLO)]. The Weyl consistency conditions, in fact, dictate that this is the only consistent counting scheme. We in this sense analyze the leading, next-to-leading and

next-to-next-leading order corrections to the RG flow and their physical implications on the four-fermion theory described above. In particular, we compute the distance in energy between the composite scale and the confinement scale of the theory, and show that large hierarchies are not only possible to establish, but seem to be a clear feature of these theories.

A. Leading order and weak compositeness conditions

The leading order analysis is an oversimplified case, which is not able to capture the composite nature of gauge-Yukawa theories. Nevertheless, we make a leading order analysis in this section for completeness, since it allows us to define the infrared scale and furthermore provides a pedagogic step towards the following sections.

To the leading order one needs only to consider the gauge beta function at one loop which reads

$$\beta_g = \partial_t \alpha_g = -\beta_0 \alpha_g^2 = -\frac{2}{3} \alpha_g^2 \left(11 - 2 \frac{N_F}{N_C} \right). \quad (24)$$

The Veneziano limit allows us to further take N_F/N_C to be any real non-negative number, called $x = N_F/N_C$. Depending on the number of flavors, the double zero at $\alpha_g = 0$ can either be an infrared or an ultraviolet Gaussian fixed point. The second case is also known as asymptotic freedom. In the first case the ultraviolet theory is not well defined unless higher orders introduce an interacting ultraviolet fixed point, in which case the theory becomes asymptotically safe [4,5].

Here we consider the case in which the theory is asymptotically free. This restriction allows us to assume that the wave function renormalization of the fermions will stay small near the composite scale, since they are at one loop produced by gauge interactions. As explained in the previous section, for consistency we should not consider the running of the scalar and Yukawa couplings at this order. According to the compositeness conditions Eq. (17) we should have

$$\lim_{\mu \rightarrow \Lambda_{\text{UV}}} \alpha_y^{-2} = 0. \quad (25)$$

To this order, a constant and formally divergent α_y is thus required. This is in clear tension with perturbation theory. Given that we want to avoid an uncontrollable nonperturbative analysis, to the leading order we therefore must take another approach by enforcing instead a weaker version of the compositeness conditions: Assuming that we are describing a four-fermion theory at a mass scale, which is at least a few times below the composite scale, we may consider α_y simply to some constant value smaller than one, as depicted in Fig. 1, to ensure validity of the perturbative analysis. We see that when next-to-leading order corrections are taken into account, this assumption is valid, since

²By using a Fierz identity, this can be recast into the form $G/\Lambda_{\text{UV}}^2 (\bar{Q}_L^i Q_{Rc}^j) (\bar{Q}_{Rj}^c Q_{Li}^c)$.

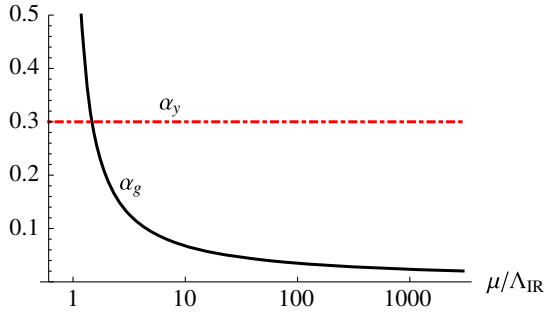


FIG. 1 (color online). Renormalization group evolution for the lowest order analysis, where the Yukawa coupling is constant and nonzero, while the gauge coupling runs. We have here defined the scale Λ_{IR} such that $\alpha_g(\Lambda_{\text{IR}}) = 1$. The scalar quartic couplings, not included here, are also constants to this order.

the Yukawa coupling will naturally grow at high energy and what we are describing here are boundary conditions in an energy range, where the Yukawa coupling is small enough for perturbation theory to hold. For the scalar self interactions we assume a similar behavior.

From this first oversimplified analysis one concludes that the asymptotically free theory develops a mass gap associated with the divergence of the gauge coupling at low energies. At these energies chiral symmetry breaks leading to the formation of the nonperturbative condensate

$$\langle \bar{Q}Q \rangle \propto \Lambda_{\text{IR}}^3. \quad (26)$$

The scale Λ_{IR} can be estimated to be [cf. Eq. (A7) in the appendix]

$$\Lambda_{\text{IR}} = \mu_0 \exp\left(-\frac{1}{\beta_0 \alpha_g(0)}\right). \quad (27)$$

This estimate is insensitive to the perturbative corrections from the Yukawa and scalar sectors, which contribute only at higher orders. Thus if the Yukawa and scalar sectors stay perturbative in the infrared (IR), the above expression provides a good estimate of the IR strong scale of the fully dynamical gauge-Yukawa theory.

B. Next-to-leading order analysis: the rise of the Yukawa coupling

For the next order in perturbation theory one needs to go to two loops in gauge coupling and one loop in the Yukawa, while the running of the scalar couplings is still not relevant. To this order, therefore, the Yukawa coupling is no longer a constant and its running and consequent backreaction on the gauge coupling are important. We have³

³The beta functions are in the $\overline{\text{MS}}$ -scheme [27–32]. It would also be interesting to investigate the compositeness conditions in other renormalization schemes such as the momentum subtraction scheme [33–36], since different schemes can be more or less suitable to explore different facets of gauge-Yukawa theories.

$$\beta_g = -\frac{2}{3}\alpha_g^2 \left[\left(11 - 2\frac{N_F}{N_C}\right) + \left(34 - \frac{N_F}{N_C} \left\{10 + 3\frac{N_C^2 - 1}{N_C^2}\right\}\right) \alpha_g + 3\frac{N_F^2}{N_C^2} \alpha_y \right], \quad (28)$$

$$\beta_y = \alpha_y \left[2 \left(1 + \frac{N_F}{N_C}\right) \alpha_y - 6\frac{N_C^2 - 1}{N_C^2} \alpha_g \right]. \quad (29)$$

Working in the Veneziano limit by defining $x = N_F/N_C$ at large N_F and N_C yields

$$\beta_g = -\frac{2}{3}\alpha_g^2 [(11 - 2x) + (34 - 13x)\alpha_g + 3x^2\alpha_y], \quad (30)$$

$$\beta_y = 2\alpha_y [(1 + x)\alpha_y - 3\alpha_g]. \quad (31)$$

We restrict $x < 11/2$, ensuring asymptotic freedom for the gauge coupling. In the absence of the Yukawa interactions, a well-known interacting infrared fixed point emerges at

$$\alpha_g^* = \frac{11 - 2x}{13x - 34}, \quad \text{for } \frac{34}{13} < x < \frac{11}{2}, \quad \text{and } \alpha_y = 0. \quad (32)$$

For x very close to $11/2$ this is the Banks-Zaks perturbative infrared fixed point. This fixed point, however, disappears in the presence of the Yukawa interactions.⁴ Therefore the next-to-leading order effects on the gauge beta function strengthens the infrared QCD-like behavior of the theory.

The RG flow of the gauge-Yukawa system for $x = 2$ is shown in Fig. 2. The arrows in the figure show the flow from the UV to the IR regime. In the UV two distinct phases form. The boundary between these two phases is approximately given by

$$\frac{\alpha_y}{\alpha_g} = \frac{2(x - 1)}{3(x + 1)}, \quad (33)$$

which is determined by the one-loop beta functions in both couplings [cf. Eq. (A24) in the appendix]. Below the red trajectory both couplings are asymptotically free meaning that the theory is noninteracting and well defined in the UV. This RG region, therefore, does not support a composite limit of theory. The composite limit emerges in the RG

⁴If the infrared fixed point should exist, it should be a fixed point also for the Yukawa interactions. By setting $\beta_y = 0$ we derive $\alpha_y = \frac{3}{1+x}\alpha_g$ which can be substituted in β_g yielding

$$\beta_g \rightarrow -\frac{2}{3}\alpha_g^2 \left[(11 - 2x) + \left(34 - 13x + 9\frac{x^2}{1+x}\right) \alpha_g \right],$$

showing that the presence of the Yukawa has eliminated the possibility of the infrared fixed point.

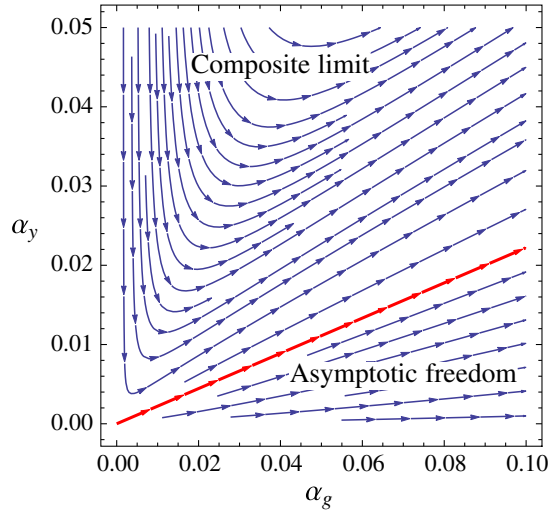


FIG. 2 (color online). The RG flow in the (α_g, α_y) plane for $x = 2$. Two distinct phases are present. The red trajectory indicates the phase boundary estimated from the one-loop beta functions: $\frac{\alpha_y}{\alpha_g} = \frac{2(x-1)}{3(x+1)}$.

region above the red trajectory, where the Yukawa coupling diverges in the UV, thus allowing the compositeness conditions given in Eq. (17) to be satisfied. We notice that the boundary Eq. (33) for $x \leq 1$ is outside the physical parameter space of the couplings. Therefore the composite limit is supported by the entire perturbative region of the physical space of couplings, i.e. the Yukawa coupling will also diverge in the UV. Thus $x = 1$ defines a boundary in the external parameter space.

We show in Fig. 3, again for $x = 2$, the actual running of the two couplings in the composite region for one particular RG trajectory. Considering the flow from UV to IR, initially $\alpha_y \gg \alpha_g$ due to the compositeness condition. Since α_y will decrease, while α_g increases towards the IR, at some intermediate scale μ_0 , their values cross, and once $3\alpha_g > (1+x)\alpha_y$, the sign of the Yukawa beta function changes, making it grow again in the deep IR.

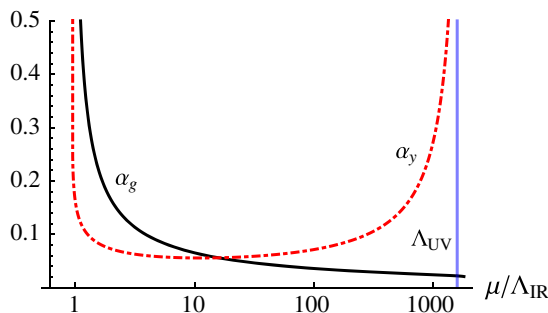


FIG. 3 (color online). Renormalization group evolution for the next-to-leading order analysis, where the Yukawa and gauge couplings run. We define the scale Λ_{IR} and Λ_{UV} such that $\alpha_g(\Lambda_{\text{IR}}) = \alpha_y(\Lambda_{\text{UV}}) = 1$. The scalar quartic couplings are constants to this order and are not included here.

This growth of α_y in the IR is therefore at most as fast as $3\alpha_g/(1+x)$.

The composite scale Λ_{UV} is identified with the Landau pole in the Yukawa coupling. We fix our perturbative initial conditions at the crossing scale μ_0 , and we ensure that perturbation theory is valid by requiring $\alpha_g(\mu_0) = \alpha_y(\mu_0) = C \ll 1$. This condition is for any x consistently above the boundary Eq. (33), ensuring that the theory is in the composite phase.

It is interesting to study the hierarchy between the composite scale and the chiral symmetry breaking one, as a function of both C and x . At the one-loop level, in both the gauge and Yukawa coupling, we can estimate it analytically to be

$$\log\left(\frac{\Lambda_{\text{UV}}}{\Lambda_{\text{IR}}}\right) = \frac{3\left(1 + \frac{\alpha_g(\mu_0) 2(1-x)}{\alpha_y(\mu_0) 3(1+x)}\right)^{\frac{11-2x}{2(1-x)}}}{2(11-2x)\alpha_g(\mu_0)}. \quad (34)$$

The expression is well defined for any x , and it takes the following simple form at $x = 1$:

$$\begin{aligned} \lim_{x \rightarrow 1} \log\left(\frac{\Lambda_{\text{UV}}}{\Lambda_{\text{IR}}}\right) &= \frac{1}{3\alpha_g(\mu_0)} \lim_{x \rightarrow 1} \left(1 + \frac{\alpha_g(\mu_0) 2(1-x)}{\alpha_y(\mu_0) 3(1+x)}\right)^{\frac{11-2x}{2(1-x)}} \\ &= \frac{\exp\left(\frac{3\alpha_g(\mu_0)}{2\alpha_y(\mu_0)}\right)}{3\alpha_g(\mu_0)}. \end{aligned} \quad (35)$$

To set the initial values of the couplings we use $\alpha_g(\mu_0) = \alpha_y(\mu_0) = C$ since in the composite phase there will always be a μ_0 such that this condition is fulfilled.

In Fig. 4 we compare the approximate analytical one-loop result with the next-to-leading order numerical calculation. To numerically estimate the value of the IR (UV) scale we use the approximate relation $\alpha_{g(y)}(\Lambda_{\text{IR(UV)}}) = 1$.

The ratio increases for small and large values of x for a fixed value of C . This is because for small x the first coefficient of the Yukawa beta function decreases, *de facto*, slowing the runaway behavior of the associated coupling in the UV. For large x , instead, the ratio becomes large since we are nearing the limit where asymptotic freedom is lost for the gauge coupling. Consequently the infrared scale is approaching zero.

Additionally, we explore the influence of the chosen value of the couplings $\alpha_y = \alpha_g = C$ at the scale where they are equal. Setting $x = 2.5$ associated to a region of x that does not influence dramatically the ratio $\Lambda_{\text{UV}}/\Lambda_{\text{IR}}$, as it is clear from Fig. 4(a), we vary the value C and plot again the ratio in Fig. 4(b). As one might have expected, smaller values of the couplings lead to a larger ratio of the scales since more RG running is needed to reach the UV and IR scales where the couplings become nonperturbative. We also observe that the approximate one-loop result overestimates the ratio.

Another interesting feature is that for the theory to remain perturbative in an intermediate regime, say

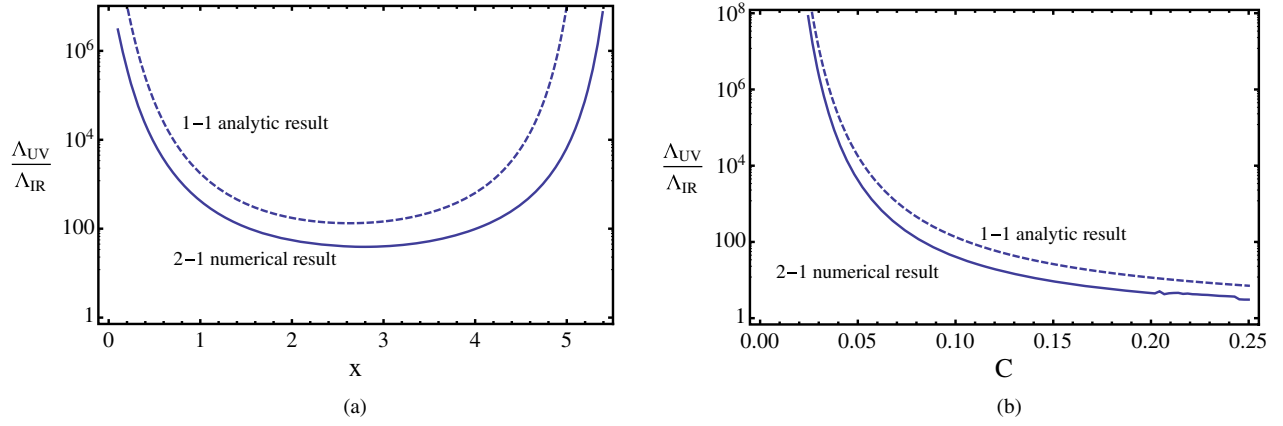


FIG. 4 (color online). The ratio between the scale of UV compositeness (Λ_{UV}) and the one associated to infrared gauge coupling divergence (Λ_{IR}), as a function of the number of flavors/colors, parametrized by x and the common value, C , of the gauge and Yukawa coupling at the scale where they are equal. We vary x in (a) and C in (b). The one-loop estimate is presented in dashes while the next-to-leading perturbative (2-1) numerical result is represented by the solid curve.

$C < 0.1$, the ratio of the scales, as a function of x , cannot be too small, and typically should be larger than 100, implying a hierarchy of scales of at least two orders of magnitudes. This has interesting phenomenological consequences which will be discussed later.

It is straightforward to see that the compositeness conditions in Eq. (17) are satisfied to this order. The conditions for the scalar sector are satisfied by imposing the weaker version of the conditions discussed in the previous leading order case. Following that reasoning, to the next-to-leading order in perturbation theory, we have shown that gauge-Yukawa theories can be naturally viewed as stemming from a compositeness paradigm for a wide region of the RG phase diagram, e.g. the one in Fig. 2.

C. Next-to-next-to-leading order: the awakening of the scalars

In the previous sections, we were able to draw a consistent picture of compositeness in the gauge-Yukawa sector, and we were furthermore able to provide estimates for the hierarchy between the ultraviolet composite scale and the infrared confinement scale. From the ultraviolet theory point of view, the scalars are merely auxiliary fields. For consistency of the analysis in the previous sections, they should therefore not play any physical role. In this section, we investigate the influence of the scalars on the above results, and provide the needed constraints on the scalar coupling phase space, needed to ensure consistency of the previous analysis.

The next order in the RG analysis requires the one-loop beta functions for the quartic couplings, the two-loop terms in the Yukawa beta function, and the three-loop terms in the gauge beta function. This system of RG equations obeys the Weyl consistency conditions and reflects the back-reaction from the scalars on the running of the Yukawa coupling, which in turn backreacts on the gauge coupling.

Since the scalars do not carry gauge charge, they do not contribute to the three-loop terms for the gauge coupling. Additionally, since we are considering a mass-independent renormalization scheme, we can independently take into account the running of the mass, where one loop is also sufficient. In the Veneziano limit, the beta functions to this order read [18,20]

$$\beta_g = -\frac{2}{3}\alpha_g^2 \left[(11-2x) + (34-13x)\alpha_g + 3x^2\alpha_y + \frac{81x^2}{4}\alpha_g\alpha_y - \frac{3x^2(7+6x)}{4}\alpha_y^2 + \frac{2857+112x^2-1709x}{18}\alpha_g^2 \right], \quad (36)$$

$$\beta_y = 2\alpha_y \left[(1+x)\alpha_y - 3\alpha_g + (8x+5)\alpha_g\alpha_y + \frac{20x-203}{6}\alpha_g^2 - 8x\alpha_u - \frac{x(x+12)}{2}\alpha_y^2 + 4\alpha_u^2 \right], \quad (37)$$

and for the scalar sector

$$\beta_u = 4 \left[2\alpha_u^2 + \alpha_u\alpha_y - \frac{x}{2}\alpha_y^2 \right], \quad (38)$$

$$\beta_v = 4[\alpha_v^2 + 4\alpha_u\alpha_v + 3\alpha_u^2 + \alpha_v\alpha_y], \quad (39)$$

$$\beta_{m_H^2} = \partial_t m_H^2 = 4m_H^2[\alpha_y + \alpha_v + 2\alpha_u]. \quad (40)$$

The RG structure of this theory is quite rich and has been intensively studied in recent years [4,5,16–20,37]. Here, we are interested in a new point of view, which concerns compositeness.

In this section we must show that the scalar self interactions can be consistent with the compositeness

picture that emerged above and has been driven, so far, by the Yukawa interactions. Specifically, considering as in the above analysis an intermediate RG scale μ_0 , where perturbation theory is well defined, we have to ensure that the scalar couplings stay perturbative up to the composite scale, where they furthermore have to satisfy the compositeness conditions given in Eqs. (8) and (13). The reason for this requirement is that if the scalar couplings grew strong before the composite scale, the analysis of the previous sections would be invalidated.

There are two other issues which may arise; the first is that according to the mass-independent scheme, the scalars remain dynamical as long as $m_H(\mu) < \mu$. For $m_H(\mu) = \mu$, the scalars will decouple before reaching the scale where they should be seen as auxiliary fields, and therefore this situation should be avoided. The second issue arises when the effective potential develops a global minimum away from the origin due to quantum corrections. For consistency of our analysis, this has to be avoided between the scales μ_0 and Λ_{UV} , since the vacuum expectation value of the scalar fields was earlier assumed to be zero in the analysis of the compositeness condition on the Yukawa coupling and in the calculation of the scale hierarchy. However, at lower scales there is no inconsistency with having a symmetry breaking through the scalar sector, rather than the gauge sector. This would correspond to another interesting possibility that we are, however, not considering here.

To summarize, the aim of this section is to understand and provide the criteria under which the following occur:

- (1) The scalar sector stays perturbative up to the composite scale, where it furthermore must satisfy the compositeness conditions.
- (2) The scalars do not decouple before the infrared confinement scale.
- (3) The minimum of the effective potential at the origin remains stable under quantum corrections between the composite and confinement scales.

We now demonstrate that there is a subset of theories which do obey the above three constraints on the scalar sector. First of all, we need to ensure that there is no Landau pole in the scalar couplings between μ_0 and Λ_{UV} . To lowest order in perturbation theory, we have shown in the appendix [cf. Eqs. (A35)–(A36) and (A48)] that the initial conditions on the scalar couplings must satisfy the following inequality, to not become strong at intermediate scales:

$$\{|\alpha_u(\mu_0)|, 2|\alpha_v(\mu_0)|\} < \frac{2(11-2x)}{24} \frac{C}{\left(1 - \frac{2}{3} \frac{x-1}{x+1}\right)^{\frac{11-2x}{2(1-x)}} - 1}, \quad (41)$$

where we used the renormalization condition of the previous section $\alpha_g(\mu_0) = \alpha_y(\mu_0) = C$.

There is another subtle effect, which can lead to a Landau pole, due to tangential divergence, as explained

in the appendix [cf. Eqs. (A38)–(A39)]. Here we can in the general case at best impose an overconstraint inequality, ensuring no Landau poles. For the α_u coupling it reads

$$-1 - \sqrt{1+4x} < 4 \frac{\alpha_u(\mu_0)}{C} < -1 + \sqrt{1+4x}. \quad (42)$$

For the α_v coupling, the situation is more complex [cf. Eq. (A47)]. The following constraints, however, will ensure no Landau poles at intermediate scales:

$$\alpha_v(\mu_0) > \frac{\alpha_y(\mu_0) + 4\alpha_u(\mu_0)}{2} \left(-1 + \sqrt{1 - \frac{12}{\left(4 + \frac{\alpha_y(\mu_0)}{\alpha_u(\mu_0)}\right)^2}} \right), \quad (43)$$

$$\alpha_v(\mu_0) < \frac{\alpha_y(\mu_0) + 4\alpha_u(\mu_0)}{2} \left(-1 - \sqrt{1 - \frac{12}{\left(4 + \frac{\alpha_y(\mu_0)}{\alpha_u(\mu_0)}\right)^2}} \right). \quad (44)$$

If $\alpha_u(\mu_0)$ is negative, the additional constraint, $\left(4 + \frac{\alpha_y(\mu_0)}{\alpha_u(\mu_0)}\right)^2 > 12$, must be imposed, which can be expressed more clearly as

$$\begin{aligned} \alpha_u(\mu_0) &> -\frac{\alpha_y(\mu_0)}{4 + \sqrt{12}} \approx -0.13\alpha_y(\mu_0) \\ \text{and } \alpha_u(\mu_0) &< \frac{\alpha_y(\mu_0)}{\sqrt{12} - 4} \approx -1.87\alpha_y(\mu_0). \end{aligned} \quad (45)$$

To ensure that there are no Landau poles in the infrared regime, before the confinement scales, similar constraints can be put, which are also provided in the appendix [cf. Eqs. (A36) and (A48)].

At high scales the coupling α_v may also exhibit tangential divergence, as explained in the appendix [cf. Eq. (A44)]. This is avoided by imposing the following constraint on the theory parameters:

$$x > -4 + 3\sqrt{3} - \sqrt{6(7 - 4\sqrt{3})} \approx 0.54. \quad (46)$$

The constraint does not depend on the initial perturbative values of the scalar couplings and must be satisfied regardless. Thus we can conclude that for $x < 0.54$, the perturbative theory cannot show a composite nature of the type we are considering, but for any other values $0.54 < x < 5.5$, there are well-defined regions where compositeness is expected.

When these constraints are satisfied, the only Landau pole appearing in the UV regime is the one driven by the Yukawa coupling. It is then clear that in perturbation theory the running of the scalar couplings at the composite scale

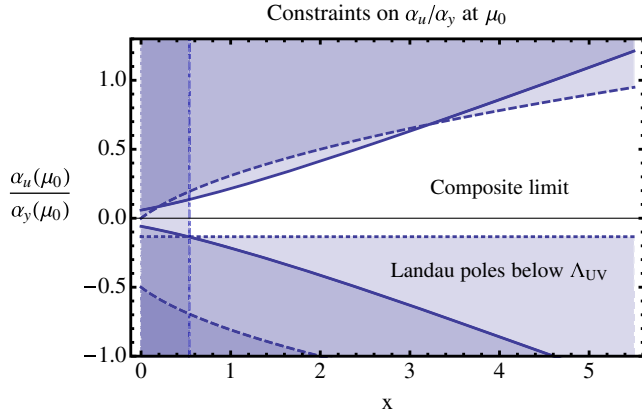


FIG. 5 (color online). The constraints on $\alpha_u(\mu_0)$ in terms of $\alpha_y(\mu_0)$ for different values of x . The strong coupling constraint Eq. (41) is shown in solid, while the perturbative tangential divergence constraints on α_u Eq. (42), and on α_v Eqs. (45)–(46), are shown in dashes, dots, and dot dashes, respectively.

may only diverge as fast as the Yukawa coupling, and thus the extra condition in Eq. (13), in agreement with an Nambu-Jona-Lasinio (NJL)-type four-fermion theory interpretation, is automatically satisfied. In the appendix we have furthermore shown that the value of Eq. (13) is at one loop exactly fixed by the theory parameters, and is independent of the initial values of the couplings [cf. Eqs. (A31) and (A45)]. In particular, near the composite scale the sign of α_u is always negative, while the sign of α_v is always positive. The consequence of this on the stability of the potential will be analyzed at the end.

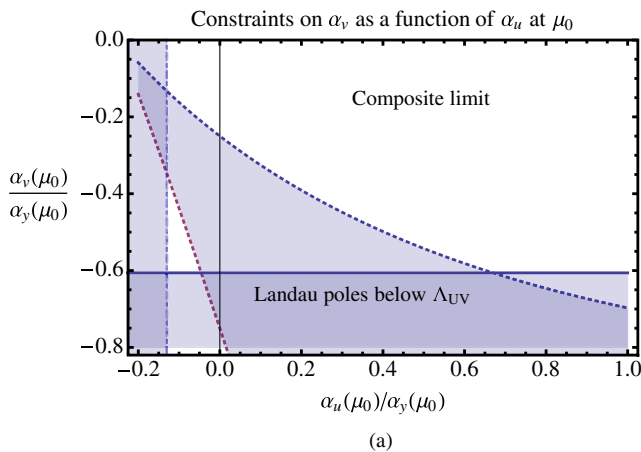
Intuitive understanding of the constraints for the quartic couplings may most easily be obtained from a visualization, and in Fig. 5 we display the constraints Eqs. (41)–(42)

and (45)–(46) in terms of the ratio $\frac{\alpha_u(\mu_0)}{\alpha_y(\mu_0)}$. From the figure we see that, although the absence of unwanted Landau poles is strongly constraining the parameter space, a range of initial values for α_u is still consistent with the composite picture. We note in particular that the quartic coupling α_u is always constrained to be smaller than the Yukawa coupling, and that for any x , the coupling α_u cannot be smaller than $-0.13\alpha_y$.

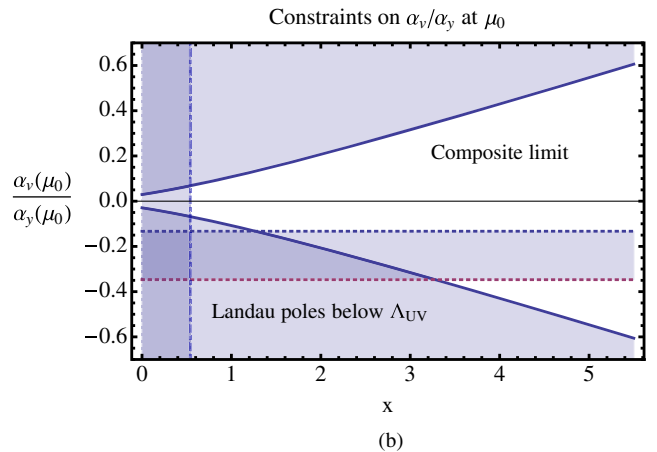
As mentioned, the picture for the other coupling, α_v , is more involved, and the constraints depend on the values of x and α_y as well as the ratio $\frac{\alpha_u}{\alpha_y}$. We start by examining the latter dependence, coming from Eqs. (43)–(44), which is depicted in Fig. 6(a).

The allowed regions for $\alpha_v(\mu_0)$ depend on the ratio α_u/α_y in a nontrivial way, but notice that this dependence only constrains α_v in the region of negative values, while leaving positive values for $\alpha_v(\mu_0)$ unconstrained. For values of α_u/α_y larger than ~ 0.7 the region excluded by Eq. (43) is fully contained within the absolute lower bound coming from the strong coupling constraints Eq. (41), making the former constraint irrelevant. The region where Eqs. (43)–(44) are most relevant is the one where α_u/α_y takes small values, the lowest value allowed from Eq. (45) being $\frac{\alpha_u(\mu_0)}{\alpha_y(\mu_0)} \simeq -0.13$. In Fig. 6(b) we therefore display the strong coupling constraints Eq. (41) (independent of α_u/α_y) alongside the constraints, Eqs. (43)–(44), evaluated at $\frac{\alpha_u(\mu_0)}{\alpha_y(\mu_0)} = -0.13$. For larger values of α_u/α_y , the horizontal band in Fig. 6(b) moves downwards and closes the small window of allowed parameter space in the lower right corner for $\frac{\alpha_u(\mu_0)}{\alpha_y(\mu_0)} = -0.05$, as one can infer from Fig. 6(a).

To test the validity of the approximations made in the calculations of the constraints above, we perform a full



(a)



(b)

FIG. 6 (color online). Constraints on the initial value of the coupling α_v in terms of α_y . Figure 6(a) displays the x -independent constraints coming from Eqs. (43)–(44) (dots), and Eq. (45) (dot dashes), while the lowest x -dependent bound is also displayed (solid), which can be inferred from 6(b). Figure 6(b) displays the x -dependent strong coupling constraints Eq. (41) (solid), and Eq. (46) (dot dashes), while the x -independent constraints Eqs. (43)–(44) (dots) are displayed for $\frac{\alpha_u(\mu_0)}{\alpha_y(\mu_0)} = -0.13$. For larger values of $\frac{\alpha_u(\mu_0)}{\alpha_y(\mu_0)}$ the horizontal band moves downwards and closes the small window in the lower right corner for $\frac{\alpha_u(\mu_0)}{\alpha_y(\mu_0)} = -0.05$, as one can infer from 6(a).

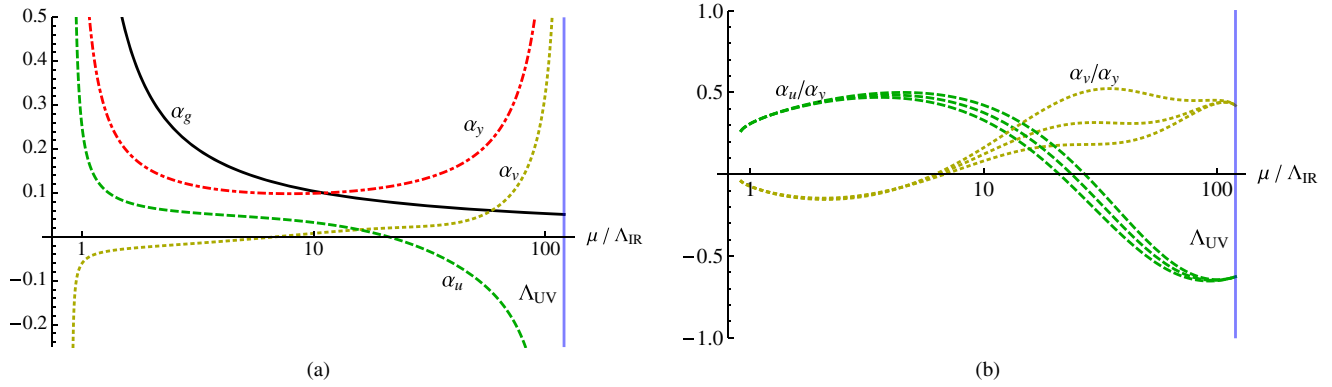


FIG. 7 (color online). The RG evolution of the one loop system of gauge, Yukawa, and quartic couplings for a benchmark model, which respects the constraints for composite theories, where $x = 2.5$ gives the smallest hierarchy between Λ_{UV} and Λ_{IR} [see Fig. 4(a)]. Figure 7(a) shows the composite signature, where the divergence of the Yukawa and scalar couplings at Λ_{UV} is expected, and implies a possible composite interpretation of the theory. Figure 7(b) shows that the ratios α_v/α_y and α_u/α_y are well behaved in the entire region and run for different initial conditions to a unique fixed value at Λ_{UV} , implying that the possible composite theory is of NJL type.

RG running of the coupled system of equations including the scalar couplings. As a benchmark model, we choose $x = 2.5$ [giving the smallest hierarchy between Λ_{UV} and Λ_{IR} , cf. Fig. 4(a)], and $\alpha_g(\mu_0) = \alpha_y(\mu_0) = 0.1$, guaranteeing composite behavior in the gauge-Yukawa sector, as well as $\alpha_u(\mu_0)/\alpha_y(\mu_0) = 0.3$ and $\alpha_v(\mu_0)/\alpha_y(\mu_0) = 0.1$ to respect the constraints for the quartics. A numerical solution to the RG equations at one loop in all beta functions generates the running couplings shown in Fig. 7, where also the running of the ratios α_u/α_y and α_v/α_y is shown. The result shows that the quartic couplings are well behaved between Λ_{IR} and Λ_{UV} , where respectively the gauge and the Yukawa coupling poles are located. The plot of the running of ratios demonstrates that they run to a unique constant at the composite scale, which signals that a possible composite UV completion is of four-fermion NJL type. Including the complete NNLO information in the RG

equations, given at the beginning of this section, we find a very similar picture for the benchmark model, as shown in Fig. 8(a). As predicted, we thus see that the initial conditions for the scalar couplings in this setup are not relevant for the UV behavior, in contrast to the situation for the simplest standard model extensions tailored for compositeness [38].

Next we consider the running of the mass and note that from its beta function the mass-squared parameter cannot change sign in perturbation theory. We further require the sign of m_H^2 to be positive to match the ultraviolet gNJL theory and to ensure stability of the scalar vacuum at the origin (for the Coleman-Weinberg instabilities concerning the case $m_H^2 = 0$ see [22]). The compositeness conditions tell us that the mass parameter must also diverge at the composite scale. At perturbative values, however, it must be ensured that $m_H(\mu) < \mu$ for every $\mu > \mu_0$, since otherwise

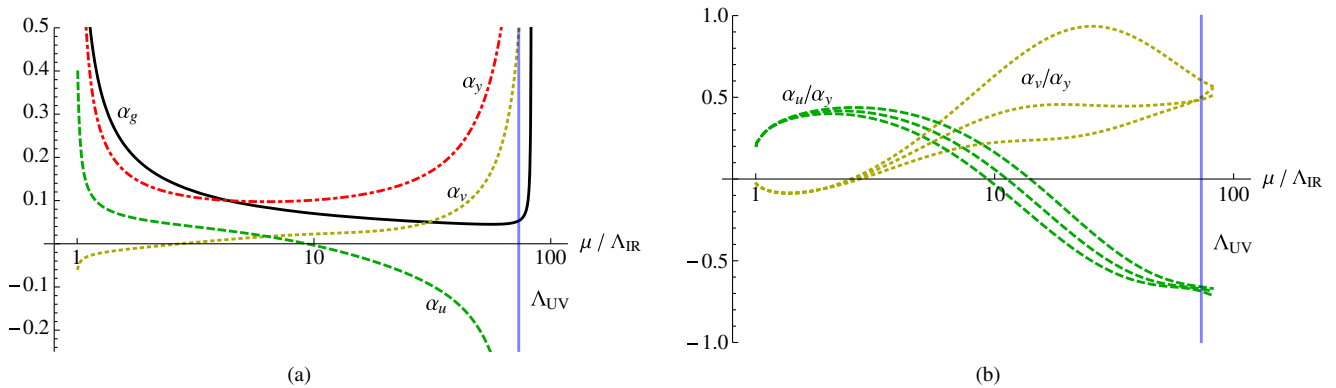


FIG. 8 (color online). The evolution of the system of gauge, Yukawa, and quartic couplings for same values of parameters as in Fig 7. The running of the couplings is shown in (a), while the evolution of some ratios of the couplings are shown in (b). The conclusions are equivalent to that in Fig 7, however, the scale of IR divergence is now closer to the point where the couplings are initially defined, while the UV divergence is delayed by approximately the same amount. In addition, we also here see that the ratios between the quartic couplings and the Yukawa couplings stay well-defined, even when the couplings start to diverge, in accordance with the expected composite-like behavior of four-fermion interactions.

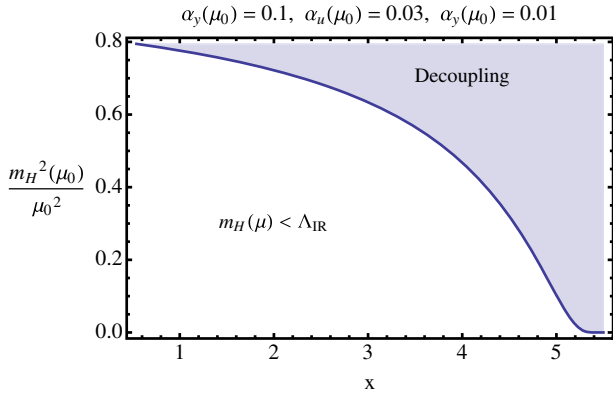


FIG. 9 (color online). Initial mass values $m_H^2(\mu_0)$ which in the white region respect the requirement Eq. (49), ensuring that the scalars do not decouple above the scale Λ_{IR} .

the scalar fields would decouple at a scale μ^* , where $m_H(\mu^*) = \mu^*$. In the perturbative regime, however, this can be easily achieved by choosing $m_H(\mu_0) < \mu_0$, since the growth in m_H is logarithmic in μ/μ_0 , and thus never exceeds μ . If we instead ask for the stronger constraint that the decoupling scale should be the strong IR scale of the previous sections, and not the m_H scale, we need to impose the following constraint:

$$m_H^2(\mu_0) < \Lambda_{\text{IR}}^2 \left(\frac{\mu_0}{\Lambda_{\text{IR}}} \right)^{\gamma_0}, \quad (47)$$

where γ_0 is the one-loop coefficient of $\beta_{m_H^2}$ evaluated at μ_0 , i.e.

$$\gamma_0 = 4[\alpha_y(\mu_0) + \alpha_v(\mu_0) + 2\alpha_u(\mu_0)]. \quad (48)$$

Taking the IR scale to be the strong IR scale of the previous section, we get

$$m_H^2(\mu_0) < \mu_0^2 \exp\left(-\frac{2-\gamma_0}{\beta_0 \alpha_g(\mu_0)}\right). \quad (49)$$

This parameter choice ensures that the scale hierarchies computed in the previous section remain valid, when taking the scalar sector into account. One can imagine other possibilities that can lead to the generation of new intermediate scales with interesting phenomenological applications that we, however, do not consider here. We illustrate the requirement Eq. (49) in Fig. 9 for the benchmark parameters mentioned above while varying x .

Finally we must ensure stability of the potential. The scalar fields are well defined in the regime $\Lambda_{\text{IR}} < H < \Lambda_{\text{UV}}$. The scalar potential must for these values be positive to ensure the global minimum of the origin in field space. As shown in the appendix [cf. Eq. (A58)], in the large N_F limit the constraint ensuring stability of the potential reduces to

$$m_H^2 > 0. \quad (50)$$

Thus, if all the previous compositeness constraints are satisfied, the potential will automatically stay positive, in the entire domain of possible field values of H .

We have thus witnessed the emergence of a perturbative consistent picture of a subclass of gauge-Yukawa theories featuring Landau poles. We argued that these theories suggest a composite picture because they are, in fact, gNJL theories. We have furthermore shown that large scale hierarchies in these theories between the ultraviolet composite scale of the otherwise elementary scalar and the infrared scale leading to chiral symmetry breaking are a general feature. This result can be seen as the stepping stone towards realistic theories of SM fermion masses not at odds with flavor changing neutral currents constraints.

III. CONCLUSIONS

The discovery of the Higgs boson made it imperative to explore the phase diagrams of nonsupersymmetric gauge-Yukawa theories and analyze their physical meaning. One interesting outcome has been the emergence of the first controllable four-dimensional example of a complete asymptotically safe theory [4,5]. Here the elementary scalars were needed by the dynamics to render the theory ultraviolet finite without the aid of any other superimposed symmetry.

On the other hand it is well known that the standard model is neither complete asymptotically free nor safe. That means that there are gauge-Yukawa theories that cannot be considered UV finite. In this work we therefore analyzed the conditions that map gauge-Yukawa theories into time-honored gauged four-fermion interactions. Four-fermion interactions emerge naturally when heavy degrees of freedom are integrated out. The set of extended compositeness conditions discussed here is nonperturbative in nature and permits us to investigate theories of composite dynamics through gauge-Yukawa theories.

We have shown that there are regimes along the RG flow in which the gauge-Yukawa description can be treated perturbatively. We used as a template an $SU(N_C)$ gauge theory featuring N_F Dirac fermions transforming according to the fundamental representation of the gauge group. The fermions further interact with a gauge-singlet complex $N_F \times N_F$ Higgs boson that ceases to be a propagating degree of freedom at the composite scale. We used the perturbative analysis to constrain the underlying gauge-Yukawa theory in order to enforce the compositeness conditions and showed that they can be nontrivially fulfilled.

Within our example we argued that the theory leads to dynamical spontaneous symmetry breaking at an infrared dynamical scale Λ_{IR} and determined the ratio between the scale of the four-fermion interactions (the UV composite scale of the scalar H) and the infrared one as a function of the external parameters of the theory, i.e. number of flavors

and colors. We showed that these two scales are very well separated with a ratio that can easily be two orders of magnitude or much more. This would naturally allow us to investigate, for example, the electroweak finite temperature phase transition within perturbation theory as recently summarized for a large number of gauge-Yukawa theories in [39].

Interestingly if one identifies the infrared composite scale with the electroweak scale and the four-fermion interactions with the ones needed to give masses to the SM fermions, one discovers that in this type of theory the composite scalars can be light and the four-fermion interactions do not lead to flavor changing neutral currents. Since, however, the main focus of our work is elucidating the structure of the gauge-Yukawa theories we leave the phenomenological analyses to another work. Nevertheless we cannot refrain from speculating that our results suggest the intriguing possibility that the standard model with its deceiving perturbative Higgs sector could hide, in plain sight, a composite theory.

ACKNOWLEDGMENTS

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APPENDIX: GENERAL ANALYTIC ANALYSIS OF THE COMPOSITENESS CONDITIONS AT ONE LOOP

It is possible to study the compositeness conditions in a general perturbative gauge-Yukawa theory analytically, by analyzing the gauge-Yukawa-quartic system of beta functions, at one loop in all couplings. We consider the subspace of theories represented by the Lagrangian in Eqs. (14)–(15). The compositeness conditions on the couplings were given in Eq. (17) and read

$$\begin{aligned} \lim_{\mu \rightarrow \Lambda_{UV}} \alpha_y^{-1} &= 0, & \lim_{\mu \rightarrow \Lambda_{UV}} \frac{\alpha_u}{\alpha_y^2} &= \lim_{\mu \rightarrow \Lambda_{UV}} \frac{\alpha_v}{\alpha_y^2} = 0, \\ \lim_{\mu \rightarrow \Lambda_{UV}} \frac{y^2}{m_H^2} &= \frac{G}{\Lambda_{UV}^2}. \end{aligned} \quad (A1)$$

We imagine a situation where the theory considered is valid perturbatively around some energy scale μ_0 . We can then investigate, using the one-loop running of the couplings, whether the compositeness conditions will be satisfied at some higher scale Λ_{UV} . The one-loop beta functions in the Veneziano limit of the theory will in general take the form

$$\beta_{\alpha_g} = \partial_t \alpha_g = -\beta_0 \alpha_g^2, \quad (A2)$$

$$\beta_{\alpha_y} = \partial_t \alpha_y = \alpha_y (c_y \alpha_y - c_g \alpha_g), \quad (A3)$$

$$\beta_{\alpha_u} = \partial_t \alpha_u = \alpha_u (d_u \alpha_u + d_y \alpha_y) - d_{yy} \alpha_y^2, \quad (A4)$$

$$\beta_{\alpha_v} = \partial_t \alpha_v = \alpha_v (f_v \alpha_v + d_y \alpha_y + f_u \alpha_u) + f_{uu} \alpha_u^2, \quad (A5)$$

where $t = \ln \mu / \mu_0$ and all coefficients are positive definite in any gauge theory, except for β_0 . In infrared-free gauge theories $\beta_0 < 0$. Here we do not consider such theories, as we require the gauge sector to be perturbatively well defined at the composite scale, and thus require $\beta_0 > 0$. Notice that the coefficient d_y is the same in β_{α_u} and β_{α_v} for any gauge theory. Also notice that β_{α_u} is decoupled from α_v , which holds to all orders in the Veneziano limit. The one-loop truncation of RG equations allows us to first solve the gauge sector, then the Yukawa sector, and finally the quartic sector sequentially.

1. The gauge sector and the strong scale

The solution of $\alpha_g(t)$ is well known and reads

$$\frac{1}{\alpha_g(t)} = \frac{1}{\alpha_g(0)} + \beta_0 t. \quad (A6)$$

It has a strong confinement scale at the point, where the left-hand side vanishes, which reads

$$t_s = \ln \frac{\Lambda_{IR}}{\mu_0} = -\frac{1}{\beta_0 \alpha_g(0)}, \quad (A7)$$

where we defined t_s .

2. The Yukawa sector and the composite scale

The beta function β_{α_g} can be used to reduce β_{α_y} to an ordinary differential equation in terms of $R_{gy} = \alpha_g / \alpha_y$, which for $c_g \neq \beta_0$ reads

$$\frac{dR_{gy}}{d \ln \alpha_g} = a(R_{gy} - b), \quad (A8)$$

where

$$a = 1 - \frac{c_g}{\beta_0}, \quad b = \frac{c_y}{c_g - \beta_0}. \quad (A9)$$

It follows that a and b have opposite signs and furthermore $a < 1$. The case $c_g = \beta_0$, where b is not well defined, will be considered in a moment.

It is easy to check that Eq. (A8) has the solution:

$$R_{gy}(t) = (R_{gy}(0) - b) \left(\frac{\alpha_g(t)}{\alpha_g(0)} \right)^a + b. \quad (A10)$$

The compositeness condition for R_{gy} reads

$$R_{gy}(t_L) = 0, \quad \text{for } 0 < t_L = \ln \frac{\Lambda_{UV}}{\mu_0} < \infty, \quad (A11)$$

where we defined t_L . Due to asymptotic freedom the last condition on t_L can also be stated in terms of α_g :

$$R_{gy}(t_L) = 0, \quad \text{for } 1 > \frac{\alpha_g(t_L)}{\alpha_g(0)} > 0. \quad (\text{A12})$$

It can then be seen that if $a > 0$ (i.e. $c_g < \beta_0$), and thus $b < 0$, the compositeness condition will always be satisfied.

On the other hand, if $a < 0$ (i.e. $c_g > \beta_0$) we have to impose an extra condition, since the composite scale in this case can be written as

$$\left(\frac{\alpha_g(t_L)}{\alpha_g(0)}\right)^{|a|} = 1 - \frac{R_{gy}(0)}{b}. \quad (\text{A13})$$

The lower bound $t_L > 0$ (i.e. $\frac{\alpha_g(t_L)}{\alpha_g(0)} < 1$) is always satisfied, since $\frac{R_{gy}(0)}{b} > 0$. But the upper bound $t_L < \infty$ implies that $\frac{\alpha_g(t_L)}{\alpha_g(0)} > 0$ and leads to a constraint on the parameter space:

$$R_{gy}(0) < b, \quad (\text{for } a < 0). \quad (\text{A14})$$

Using the expression for $\alpha_g(t)$ in Eq. (A6) we can derive a general expression for the composite scale Λ_{UV} , for any a and b satisfying the compositeness conditions:

$$t_L = \ln \frac{\Lambda_{UV}}{\mu_0} = \frac{1}{\beta_0 \alpha_g(0)} \left[\left(1 - \frac{R_{gy}(0)}{b}\right)^{\frac{1}{a}} - 1 \right]. \quad (\text{A15})$$

Finally, for the special case $a = 0$, i.e. $c_g = \beta_0$, the RG equation for R_{gy} reads

$$\left. \frac{dR_{gy}}{d \ln \alpha_g} \right|_{c_g=\beta_0} = \frac{c_y}{\beta_0}. \quad (\text{A16})$$

From the general solution

$$R_{gy}(t) = R_{gy}(0) + \frac{c_y}{\beta_0} \ln \frac{\alpha_g(t)}{\alpha_g(0)}, \quad (\text{A17})$$

it is readily seen that the compositeness condition parametrized by

$$\alpha_g(t_L) = \alpha_g(0) \exp\left(-\frac{\beta_0}{c_y} R_{gy}(0)\right) < \alpha_g(0) \quad (\text{A18})$$

is always satisfied, since the coefficients in the exponential are positive definite. Furthermore the composite scale here reads

$$t_L = \ln \frac{\Lambda_{UV}}{\mu_0} = \frac{1}{\beta_0 \alpha_g(0)} \left[\exp\left(\frac{\beta_0}{c_y} R_{gy}(0)\right) - 1 \right]. \quad (\text{A19})$$

This is not in contradiction with Eq. (A15), since it can be seen to be contained in that expression by noting that

$$\lim_{a \rightarrow 0} \left(1 + a \frac{R_{gy}(0)}{-ab}\right)^{\frac{1}{a}} = \exp\left(\frac{R_{gy}(0)}{-ab}\right) = \exp\left(\frac{\beta_0}{c_y} R_{gy}(0)\right). \quad (\text{A20})$$

The hierarchy of scales between the composite and strong scales can now be computed:

$$\begin{aligned} t_L - t_s &= \ln \frac{\Lambda_{UV}}{\Lambda_{IR}} = \frac{1}{\beta_0 \alpha_g(0)} \left(1 - \frac{R_{gy}(0)}{b}\right)^{\frac{1}{a}} \\ &= \frac{1}{\beta_0 \alpha_g(0)} \left(1 + R_{gy}(0) \frac{\beta_0 - c_g}{c_y}\right)^{\frac{\beta_0}{\beta_0 - c_g}}. \end{aligned} \quad (\text{A21})$$

As we noted before, the expression is regular for $(\beta_0 - c_g) \rightarrow 0$.

a. The $SU(N)$ case

Let us be specific and restrict to the case in Eq. (30) discussed in the paper, where

$$\beta_0 = \frac{22 - 4x}{3}, \quad c_y = 2(1 + x), \quad c_g = 6. \quad (\text{A22})$$

The parameters a and b then read

$$a = \frac{2(1-x)}{11-2x}, \quad b = \frac{3(x+1)}{2(x-1)}. \quad (\text{A23})$$

For $x \leq 1$ we get that $a \geq 0$ and the compositeness conditions are always satisfied from the above analysis. For $x > 0$ we get that $a < 0$ and $b > 0$. The compositeness conditions are in this case only satisfied if furthermore $b > R_{gy}(0)$ or equivalently

$$\frac{\alpha_y(0)}{\alpha_g(0)} > \frac{2(x-1)}{3(x+1)}. \quad (\text{A24})$$

Since $\frac{\alpha_y(0)}{\alpha_g(0)} > 0$ is always true, this constraint holds automatically for $x \leq 1$. Thus for any $x < 11/2$ (such that $\beta_0 > 0$) we can uniquely impose the compositeness condition in Eq. (A24). Finally, the hierarchy of scales is given by

$$\ln \frac{\Lambda_{UV}}{\Lambda_{IR}} = \frac{3\left(1 + \frac{\alpha_y(0)}{\alpha_g(0)} \frac{(1-x)}{3(1+x)}\right)^{\frac{2(11-2x)}{2(11-2x)}}}{2(11-2x)\alpha_g(0)}. \quad (\text{A25})$$

3. The quartic scalar sector

From the compositeness conditions Eq. (A1) it follows that the quartic couplings may diverge only as fast as α_y at the composite scale. This specifically means that Landau poles in the quartic couplings entering before t_L , defined above, are not allowed. At the level of perturbation theory this is already

implicit, since otherwise the above analysis would suffer from large corrections from the quartic couplings.

We consider, as before, the RG evolution of ratios. In particular, consider

$$R_{yu} = \frac{\alpha_y}{\alpha_u}, \quad R_{yv} = \frac{\alpha_y}{\alpha_v}. \quad (\text{A26})$$

The RG equation for R_{yu} can be written in terms of R_{gy} as follows:

$$\frac{dR_{yu}}{d \ln R_{gy}} = \frac{d_u + c_g R_{gy} R_{yu} + (d_y - c_y) R_{yu} - d_{yy} R_{yu}^2}{c_y - (c_g - \beta_0) R_{gy}}. \quad (\text{A27})$$

This equation is not well defined at $c_y - (c_g - \beta_0) R_{gy} = 0$, which is a problem we will get back to. To investigate the compositeness conditions, however, we only need to understand the asymptotic behavior as $t \rightarrow t_L$, and since $R_{gy}(t_L) = 0$, and $c_y > 0$, the above equation is well defined in limit $t \rightarrow t_L$. The asymptotic RG behavior is thus given by

$$\begin{aligned} \left. \frac{dR_{yu}}{d \ln R_{gy}} \right|_{t \rightarrow t_L} &= \frac{d_u}{c_y} + \left(\frac{d_y}{c_y} - 1 \right) R_{yu} - \frac{d_{yy}}{c_y} R_{yu}^2 \\ &= \rho_0 + \rho_1 R_{yu} - \rho_2 R_{yu}^2, \end{aligned} \quad (\text{A28})$$

where to keep the notation light we introduced the coefficients ρ_i . Defining some intermediary scale $t_* \lesssim t_L$, where the asymptotic solution is viable, we can parametrize this solution by

$$R_{yu}(t)|_{t \approx t_L} = \frac{\rho_1 - \Delta_\rho \tanh\left(K - \frac{\Delta_\rho}{2} \ln \frac{R_{gy}(t)}{R_{gy}(t_*)}\right)}{2\rho_2}, \quad (\text{A29})$$

where the discriminant Δ_ρ reads

$$\Delta_\rho = \sqrt{\rho_1^2 + 4\rho_0\rho_2}. \quad (\text{A30})$$

The integration constant K is a number that has to be fixed by matching $R_{yu}(t_*)|_{t \approx t_L}$ to the full solution given in terms of $R_{yu}(0)$ at the scale t_* , and is for this analysis unimportant. The important result is that the solution exists and that the ratio of couplings R_{yu} at the composite scale is fixed, since $R_{gy}(t_L) = 0$ and $\tanh(\infty) = 1$, and reads

$$R_{yu}(t_L) = \frac{\rho_1 - \Delta_\rho}{2\rho_2}, \quad (\text{A31})$$

which is also a fixed point of the RG equation (A28). Notice that this value is negative, meaning that α_u diverges to

negative infinity as fast as α_y diverges to positive infinity, while keeping their ratio constant. This is potentially a problem for the stability of the potential near the composite scale. We comment on it after considering the other quartic coupling α_v as well. Let us comment on the region of validity of the above approximation. Since $R_{yu}(t_L) \neq 0$ for any parameter value, the asymptotic solution will be a good approximation as long as $R_{gy}(t) \ll 1$. This can be expressed in terms of the initial conditions:

$$t_L > t_{\text{asympt}} \gg \frac{1}{\beta_0 \alpha_g(0)} \left[\left(\frac{R_{gy}(0) - b}{1 - b} \right)^{\frac{1}{a}} - 1 \right], \quad (\text{A32})$$

which for $a = 0$ exponentiates to

$$t_L > t_{\text{asympt}} \gg \frac{1}{\beta_0 \alpha_g(0)} \left[\exp\left(\frac{\beta_0}{c_y} (R_{gy}(0) - 1)\right) - 1 \right]. \quad (\text{A33})$$

Next we address the issue of divergence in Eq. (A27). The potential problem is that if for some $t_s < t < t_L$ the denominator goes to zero, i.e. $R_{gy}(t) = \frac{c_y}{c_g - \beta_0} = b$, then the quartic coupling will diverge at t . If $\beta_0 > c_g$ then it is automatically never satisfied since $R_{gy}(t) > 0$. Let us consider the case $c_g > \beta_0$, meaning that $a < 0$ and $b > 0$. From the general solution it is readily found that $R_{gy}(t) = b$ only occurs for $t = t_s$, which is consistent and not a problem.

Finally, as a last condition on α_u , we must ensure that it does not have Landau poles in the whole region $t_s < t < t_L$. We ensure this by negation: consider the case where α_u does have a pole at a scale $t_s < t_u < t_L$. Near this scale α_u is much bigger than α_y , and to a good approximation the RG equation reads

$$\beta_{\alpha_u} \approx d_u \alpha_u^2. \quad (\text{A34})$$

This is similar to the RG equation for α_g , and analogously its strong scale reads

$$t_u = \frac{1}{d_u \alpha_u(0)}. \quad (\text{A35})$$

Ensuring that perturbation theory is valid in the region $t_s < t < t_L$ thus requires that $t_u < t_s$ or $t_u > t_L$. Formally this gives

$$d_u |\alpha_u(0)| < \begin{cases} \beta_0 \alpha_g(0) & \text{for } \alpha_u(0) < 0 \quad (\Leftrightarrow t_u < t_s) \\ \beta_0 \alpha_g(0) \left[\left(1 - \frac{R_{gy}(0)}{b} \right)^{\frac{1}{a}} - 1 \right]^{-1} & \text{for } \alpha_u(0) > 0 \quad (\Leftrightarrow t_u > t_L). \end{cases} \quad (\text{A36})$$

One can also include the corrections to this, by including the term $\alpha_y(t)\alpha_u(t)$ in β_{α_u} and setting $\alpha_y(t) \approx \alpha_y(0)$, which is a good approximation for intermediary scales. One then finds the strong scale of α_u to be

$$t_u = \frac{1}{d_y \alpha_y(0)} \log \left(1 + \frac{d_y \alpha_y(0)}{d_u \alpha_u(0)} \right), \quad (\text{A37})$$

which makes small corrections to the above bound on $\alpha_u(0)$. Finally, including all terms and assuming $\alpha_y(t) \approx \alpha_y(0)$, one can solve for $\alpha_u(t)$ exactly. Defining $A = d_u$, $B = d_y \alpha_y(0)$ and $C = -d_{yy} \alpha_y(0)^2$, and the discriminant $D = \sqrt{B^2 - 4AC}$, which is always real, since $C < 0$, the solutions reads

$$\alpha_u(t)|_{t_{\text{intermed}}} = -\frac{B + D \tanh \left[\frac{1}{2} D t - \tanh^{-1} \left(\frac{B + 2A \alpha_u(0)}{D} \right) \right]}{2A}. \quad (\text{A38})$$

If the argument of \tanh is real, there is never a Landau pole, since $\tanh \in [-1, 1]$ on the real domain. The argument

can turn complex if $|B + 2A\alpha_u(0)| > D$, which potentially can lead to a Landau pole. Here one has to compute t_u case by case and compare with t_s and t_L . To avoid this, one can ensure that there is never a pole, by overconstraining the argument of \tanh to always be real, i.e.

$$|B + 2A\alpha_u(0)| < D \Leftrightarrow -B - D < 2A\alpha_u(0) < -B + D \quad (\text{A39})$$

$$\begin{aligned} &\Rightarrow -1 - \sqrt{1 + \frac{4d_u d_{yy}}{d_y^2}} < 2 \frac{d_u \alpha_u(0)}{d_y \alpha_y(0)} \\ &< -1 + \sqrt{1 + \frac{4d_u d_{yy}}{d_y^2}}. \end{aligned} \quad (\text{A40})$$

We now move on to the coupling α_v , through R_{yv} , as we did for α_u above. Its RG equation can be written as

$$\frac{dR_{yv}}{d \ln R_{gy}} = \frac{(c_g R_{gy} - c_y + d_y) R_{yu}^2 R_{yv} + f_u R_{yu} R_{yv} + f_{uu} R_{yv}^2 + f_v R_{yu}^2}{(R_{gy}(\beta_0 - c_g) + c_y) R_{yu}^2}. \quad (\text{A41})$$

This is in general not a useful description; however, asymptotically the equation simplifies to

$$\begin{aligned} \frac{dR_{yv}}{d \ln R_{gy}} \Big|_{t \approx t_L} &= \frac{f_{uu}}{c_y R_{yu}^2(t_L)} R_{yv}^2 + \left(\rho_1 - \frac{f_u}{c_y |R_{yu}(t_L)|} \right) R_{yv} \\ &+ \frac{f_v}{c_y} = \eta_2 R_{yv}^2 + \eta_1 R_{yv} + \eta_0, \end{aligned} \quad (\text{A42})$$

where we defined the coefficients η_i . Note that $\eta_0 > 0$ and $\eta_2 > 0$, while η_1 can take any real value, in general. The general solution reads

$$R_{yv}(t)|_{t \approx t_L} = -\frac{\eta_1 + \Delta_\eta \tanh \left(\frac{1}{2} \Delta_\eta \ln \frac{R_{gy}(t)}{R_{gy}(t_*)} + K_v \right)}{2\eta_2}, \quad (\text{A43})$$

where t_* is defined as before and

$$\Delta_\eta = \sqrt{\eta_1^2 - 4\eta_0\eta_2} > 0. \quad (\text{A44})$$

The positivity constraint on this expression is a requirement we have to impose to satisfy the compositeness conditions; for imaginary Δ_η the above expression switches from \tanh to \tan , and leads to Landau poles in α_v before the composite scale. This is therefore a

constraint on the possible theory space of gauge-Yukawa theories we are considering. Furthermore we get that

$$R_{yv}(t_L) = -\frac{\eta_1 - \Delta_\eta}{2\eta_2}. \quad (\text{A45})$$

Finally, we repeat the exercise of removing a possible parameter region that violates perturbation theory in the region $t_s < t < t_L$ by considering the strong scale of α_v . To a first approximation it is simply

$$t_v = \frac{1}{f_v \alpha_v(0)}, \quad (\text{A46})$$

which leads to the equivalent bounds as in Eq. (A36). Perturbation theory is ensured if $t_v < t_s$ for $\alpha_v(0) < 0$ and $t_v > t_L$ for $\alpha_v(0) > 0$. Finally, we can again solve the full differential equation by assuming that at intermediate scales $\alpha_y(t) \approx \alpha_y(0)$ and $\alpha_u(t) \approx \alpha_u(0)$, which are good approximations in the composite phase space. Defining this time $A = f_v$, $B = d_y \alpha_y(0) + f_u \alpha_u(0)$ and $C = f_{uu} \alpha_u(0)^2$ and the discriminant $D = \sqrt{B^2 - 4AC}$, the solution is given by the same expression as for α_u in Eq. (A38). However, note that this time $C > 0$ and thus the discriminant can turn complex, i.e. for $B^2 < 4AC$. Considering this case, the expression is rewritten in terms of \tan :

$$\alpha_v(t)|_{t_{\text{intermed}}} = -\frac{B - (iD) \tan\left[\frac{1}{2}(iD)t + \tan^{-1}\left(\frac{B+2A\alpha_v(0)}{(iD)}\right)\right]}{2A}. \quad (\text{A47})$$

In this case there are many poles, since $\tan(\pi/2 + n\pi) = \pm\infty$ for all integer n . The scales at which these occur are given by

$$t_v = \frac{\pi - 2 \tan^{-1}\left(\frac{B+2A\alpha_v(0)}{(iD)}\right) + n \cdot 2\pi}{(iD)}. \quad (\text{A48})$$

This leads to the extra constraint, i.e. the smallest negative t_v has to be less than t_s and the smallest positive t_v has to be bigger than t_L . This constraint is relevant whenever $4f_v f_{uu} > (f_u + d_y \frac{\alpha_v(0)}{\alpha_u(0)})^2$, while in the opposite case one should consider a constraint equivalent to Eq. (A39).

a. The $SU(N)$ case

Let us apply the above analysis to the case considered in this paper. The beta function coefficients read

$$d_u = 8, \quad d_y = 4, \quad d_{yy} = 2x, \quad (\text{A49})$$

$$f_v = 4, \quad f_u = 16, \quad f_{uu} = 12. \quad (\text{A50})$$

From these we derive the relevant parameters:

$$\rho_0 = \frac{4}{1+x}, \quad \rho_1 = \frac{1-x}{1+x}, \quad \rho_2 = \frac{x}{1+x},$$

$$\Delta_\rho = \frac{\sqrt{(1-x)^2 + 16x}}{1+x}, \quad (\text{A51})$$

$$\eta_0 = \frac{2}{1+x}, \quad \eta_1 = -\frac{\sqrt{(1-x)^2 + 16x}}{1+x},$$

$$\eta_2 = \frac{24x^2}{(1+x)(x-1+\sqrt{(1-x)^2 + 16x})^2}. \quad (\text{A52})$$

Notice that $\eta_1 < 0$ for any x . The expression for Δ_η takes a lengthy expression, but its constraint Eq. (A44) leads to

$$x > -4 + 3\sqrt{3} - \sqrt{6(7-4\sqrt{3})} \approx 0.54. \quad (\text{A53})$$

For a given x it is always possible to find initial parameter values for α_g , α_y , α_u and α_v such that the constraints Eqs. (A24), (A36), (A39), and (A44), and the ones related to Eqs. (A46)–(A48), are satisfied. We consider the details in this paper.

We have furthermore found that the ratio of quartic couplings over α_y is completely fixed at the composite scale, independent of initial conditions, and given by Eqs. (A31) and (A45).

4. Running mass and stability of the potential

The RG equation describing the running of the scalar mass term is given by

$$\beta_{m_H^2} = \partial_t m_H^2 = m_H^2 (h_y \alpha_y + h_v \alpha_v + h_u \alpha_u), \quad (\text{A54})$$

where for $SU(N_C)$ the parameters read in the Veneziano limit $h_y = 4$, $h_v = 4$, $h_u = 8$. This expression shows that, where it is valid, the scalar mass term cannot change sign, since its beta function is proportional to the squared mass itself. The initial condition $m_H^2 > 0$ should then ensure that spontaneous symmetry breaking will not occur in the range where the above expression may be applied.

The further condition $m_H^2(\mu) < \mu^2$ should also be satisfied to make sure that no scalar states decouple at energies higher than the strong scale. However, this constraint is not related to compositeness and can be relaxed to instead read $m_H^2(\mu) < \mu_0$ such that the composite nature of the theory, which is probed for $\mu > \mu_0$, stays intact, while the IR physics defined by $\mu < \mu_0$ may have different phases. In our analysis we have constrained the IR phase to be dominated by strong gauge interactions.

Proceeding to study the stability conditions on the potential, we first note that the scalar fields are well defined for field values $m_H(\mu) < H < \Lambda_{UV}$, where as argued above $m_H(\mu) < \mu_0$. For a positive mass term it is clear that the potential has a minimum at the origin, $\langle H \rangle = 0$, which preserves the $U(N_F) \times U(N_F)$ symmetry of the classical theory. To ensure consistency of our analysis, we must make sure that this symmetry is obeyed for large field values as well and at every scale in the region $\mu_0 < \mu < \Lambda_{UV}$. It is enough to study the diagonal field $H = \text{diag}(h_1, \dots, h_{N_F})$ since this can be rotated into any other H by $U(N_F) \times U(N_F)$ transformations. In terms of h_i the potential reads

$$V = m_H^2 \sum_{i=1}^{N_F} h_i^2 + u \sum_{i=1}^{N_F} h_i^4 + v \left(\sum_{i=1}^{N_F} h_i^2 \right)^2. \quad (\text{A55})$$

We consider the general case where u and v can take both positive and negative values. As argued before if all h_i are small (i.e. $h_i \ll m_H$) then one sees that the minimum is at the origin, since $m_H > 0$. Let us now consider what happens for large values of some of the fields h_i ; in particular take for $i = 1, \dots, n$ the fields $h_i \rightarrow \Lambda_{UV}$, while for $i = n+1, \dots, N_F$ keep $h_i \ll m_H$. Then the potential is dominated by the large fields and reads approximately as

$$V \approx m_H^2 (n\Lambda_{UV}^2) + u(n\Lambda_{UV}^4) + v(n^2\Lambda_{UV}^4). \quad (\text{A56})$$

Positivity of this potential requires

$$\frac{m_H^2}{n\Lambda_{UV}^2} + \frac{u}{n} + v \geq 0. \quad (\text{A57})$$

In terms of the rescaled couplings from Eq. (16), this becomes

$$\frac{m_H^2 N_F^2}{n(4\pi\Lambda_{UV})^2} + \frac{\alpha_u N_F}{n} + \alpha_v \geq 0 \Rightarrow m_H^2 > 0. \quad (\text{A58})$$

Thus, in the large N_F limit already assumed constraint $m_H^2 > 0$ ensures that the potential stays positive in the entire region of field values.

For completeness, let us discuss the finite N_F case, and thus consider the unrescaled couplings. If u is negative, then the strongest constraint comes from $n = 1$, yielding the constraint

$$\frac{m_H^2}{\Lambda_{UV}^2} + v \geq -u \quad \text{for } u < 0. \quad (\text{A59})$$

If v is negative, the strongest constraint comes from $n = N_F$; thus,

$$\frac{m_H^2}{\Lambda_{UV}^2} + u \geq -v N_F \quad \text{for } v < 0. \quad (\text{A60})$$

If both u and v are negative, one has to maximize the function $u + nv$ for n , and ensure that the general constraint Eq. (A57) is satisfied.

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