## <span id="page-0-4"></span>Inflation and majoron dark matter in the neutrino seesaw mechanism

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We propose that inflation and dark matter have a common origin, connected to the neutrino mass generation scheme. As a model we consider spontaneous breaking of global lepton number within the seesaw mechanism. We show that it provides an acceptable inflationary scenario consistent with the recent cosmic microwave background B-mode observation by the BICEP2 experiment. The scheme may also account for the baryon asymmetry of the Universe through leptogenesis for reasonable parameter choices.

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## I. INTRODUCTION

The need to account for neutrino mass [\[1,2\]](#page-4-0) as well as cosmological issues such as the explanation of dark matter [\[3\]](#page-4-1), inflation [\[4](#page-4-2)–6] and the baryon asymmetry [\[7\]](#page-4-3) suggests that the standard model must be extended. The recent measurement by the BICEP2 experiment of the tensorto-scalar ratio parameter  $r = 0.20^{+0.07}_{-0.05}$  [\[8\]](#page-4-4) of the primordial fluctuations of the cosmic microwave background (CMB) has caused tremendous interest, see for instance [\[9\]](#page-4-5) and references therein. The possible discovery of gravity waves, if confirmed, would certainly count as one of the greatest in cosmology. Apart from such intrinsic significance, the measurement of nonzero  $r$  implies important constraints on inflationary models of the Universe. Here we consider the simplest type-I seesaw scenario  $[10-15]$  $[10-15]$ <sup>1</sup> of neutrino mass generation in which lepton number is promoted to a spontaneously broken symmetry, within the standard  $SU(3)_c \otimes SU(2)_L \otimes U(1)_Y$  gauge framework [\[16,17\].](#page-4-7) In order to consistently formulate the spontaneous violation of lepton number within the  $SU(3)_{c} \otimes SU(2)_{L} \otimes U(1)_{Y}$ model, one requires the presence of a lepton-numbercarrying complex scalar singlet,  $\sigma$ , coupled to the singlet "right-handed" neutrinos  $\nu_R$ . The real part of  $\sigma$  drives inflation through a Higgs potential [\[18](#page-4-8)–22] while the imaginary part, which is the associated Nambu-Goldstone boson, is assumed to pick up a mass due to the presence of small explicit soft lepton number violation terms in the scalar potential, whose origin we need not specify at this stage. For suitable masses such a majoron

can account for the dark matter [\[23\],](#page-4-9) consistent with the CMB observations [\[24\]](#page-4-10).

We show how, for reasonable parameter choices, this simplest scenario for neutrino masses provides an acceptable inflationary scenario. The scheme has also the potential to account for baryogenesis through leptogenesis. A previous attempt relating inflation to neutrinos can be found in [\[25\]](#page-5-0) where a supersymmetric model was suggested in which the right-handed sneutrino drives chaotic inflation.

### A. Preliminary considerations

Our model is the simplest type-I seesaw extension of the standard  $SU(3)_c \otimes SU(2)_L \otimes U(1)_Y$  model with a global lepton number symmetry. In addition to the standard model fields we add three generations of righthanded neutrinos and a complex singlet  $\sigma$  carrying two units of lepton number. The relevant invariant Yukawa interactions are

$$
\mathcal{L}_y = -Y_D^{ij} \overline{\mathcal{E}_L^{j}} \tau_z \Phi^* \nu_R^i - \frac{1}{2} Y_N^i \sigma \overline{\nu_R^{ic}} \nu_R^i + \text{H.c.}, \qquad (1)
$$

<span id="page-0-5"></span>where  $\ell$  denotes the lepton doublet,  $\Phi$  is the Higgs boson and  $\tau_2$  is the second Pauli matrix. After symmetry breaking characterized by the lepton number violation scale  $v_{\rm L} = \langle \sigma \rangle$  [\[16,17\]](#page-4-7) and the usual electroweak scale  $\langle \Phi \rangle \equiv v_2$  the resulting seesaw scheme is characterized by singlet and doublet neutrino mass terms, described by

$$
\mathcal{M}_{\nu} = \begin{bmatrix} 0 & Y_D v_2 \\ Y_D^T v_2 & Y_N v_L \end{bmatrix},
$$
 (2)

in the basis  $\nu_L$ ,  $\nu_R$ .

The Yukawa coupling matrix  $Y_D$  generates the "Dirac" neutrino mass term, while  $Y_N$  gives the right-handed Majorana mass term. While the former is in principle

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<sup>&</sup>lt;sup>1</sup>Note that in [\[15\]](#page-4-11) this was called type II, just the opposite of what has become established.

arbitrary, the matrix  $Y_N$  characterizing the coupling of  $\sigma$  to the right-handed neutrinos is symmetric and can be taken diagonal and with real positive entries without loss of generality. The effective light neutrino mass, obtained by perturbative diagonalization of Eq. [\(2\)](#page-0-5) is of the form

$$
m_{\nu} \simeq Y_D Y_N^{-1} Y_D^T \frac{v_2^2}{v_L}.
$$
 (3)

<span id="page-1-2"></span>This relation is consistent with tiny neutrino masses of order  $10^{-1}$  electron volt. For example, assuming  $Y_D$  of  $\mathcal{O}(1)$ , one needs  $v_L \gtrsim 10^{14}$  GeV

$$
Y_N \approx \frac{10^{14} \text{ GeV}}{v_{\text{L}}}.\tag{4}
$$

## B. Scalar potential

We now turn to the dynamical justification of this  $s$ cenario, $\frac{2}{3}$  starting from the scalar potential. The tree level Higgs potential associated with the singlet and doublet scalar multiplets  $\sigma$  and  $\Phi$  is a simple extension of that which characterizes the standard model,

$$
V_{\text{tree}} = \lambda \bigg( \sigma^{\dagger} \sigma - \frac{v_{\text{L}^2}}{2} \bigg)^2 + \lambda_{\text{mix}}(\sigma^{\dagger} \sigma) (\Phi^{\dagger} \Phi) + V_{\Phi}, \quad (5)
$$

where  $V_{\Phi}$  is the SM potential. As will become clear later, inflation and neutrino masses require that  $\langle \sigma \rangle \gg \langle \Phi \rangle$ . We also consider  $\lambda_{\text{mix}}$  to be negligible in order to use the small decay width approximation [\[22\]](#page-4-12). The inflaton is identified with the real part of  $\sigma$ 

$$
\rho \equiv \sqrt{2} \Re[\sigma],\tag{6}
$$

<span id="page-1-1"></span>and we parametrize the effective potential in the leadinglog approximation, with the renormalization scale fixed at  $v_{\rm L}$ , as [\[26\]](#page-5-1)

$$
V = \lambda \left[ \frac{1}{4} (\rho^2 - v_{\rm L}^2)^2 + a \log \left[ \frac{\rho}{v_{\rm L}} \right] \rho^4 + V_0 \right],\tag{7}
$$

<span id="page-1-0"></span>where  $a = \frac{\beta_{\lambda}}{16\pi^2 \lambda}$  and the coefficient  $\beta_{\lambda}$  is given as

$$
\beta_{\lambda} = 20\lambda^2 + 2\lambda \left(\sum_{i} (Y_N^i)^2\right) - \sum_{i} (Y_N^i)^4.
$$
  

$$
\approx -\sum_{i} (Y_N^i)^4.
$$
 (8)

The last approximation  $\lambda \ll Y_N$  will be justified later. An analysis of the potential reveals that  $a \gtrsim -0.2$  ensures a consistent local minimum.

### C. Inflation scenarios

Here we consider the radiatively corrected  $\rho^4$  potential. Inflation takes places as the inflaton slowly rolls down to the potential minimum either from above ( $\sigma > v_L$ ) or from below  $(\sigma < v_I)$ . The inflationary slow-roll parameters are given by

$$
\epsilon(\rho) = \frac{1}{2} M_P^2 \left(\frac{V'}{V}\right)^2, \qquad \eta(\rho) = M_P^2 \left(\frac{V''}{V}\right),
$$
  

$$
\zeta^2(\rho) = M_P^4 \left(\frac{V'V'''}{V^2}\right), \tag{9}
$$

where prime denotes a derivative with respect to  $\rho$  and  $M_P =$  $2.4 \times 10^{18}$  is the (reduced) Planck mass. The slow-roll approximation is valid as long as the conditions  $\epsilon$ ,  $|\eta|$ ,  $\zeta^2$  ≪ 1 hold. In this case, the scalar spectral index  $n<sub>s</sub>$ , the tensorto-scalar ratio  $r$ , and the running of the spectral index  $\alpha$  are given by

$$
n_s \approx 1 - 6\epsilon + 2, \qquad r \approx 16\epsilon,
$$
  

$$
\alpha \equiv \frac{dn_s}{d\ln k} \approx 16\epsilon \eta - 24\epsilon^2 - 2\zeta^2.
$$
 (10)

The amplitude of the curvature perturbation  $\Delta_{\mathcal{R}}$  is

$$
\Delta_{\mathcal{R}}^2 = \frac{V}{24\pi^2 M_P^4 \epsilon} \bigg|_{k_0},\tag{11}
$$

and is taken as  $\Delta_{\mathcal{R}}^2 = 2.215 \times 10^{-9}$  to fit PLANCK CMB anisotropy measurements [\[27\]](#page-5-2), with the pivot scale chosen at  $k_0 = 0.05$  Mpc<sup>-1</sup>. Finally, the number of e-folds realized during inflation is

$$
N = \frac{1}{\sqrt{2}M_P} \int_{\rho_e}^{\rho_0} \frac{d\rho}{\sqrt{\epsilon(\rho)}},
$$
(12)

where  $\rho_0$  is the field value that corresponds to  $k_0$  and  $\rho_e$ denotes the value of  $\rho$  at the end of inflation, i.e. when  $\epsilon(\rho_e) \approx 1$ .

At this stage we have four parameters  $(Y_D, a, v_L \text{ and } \lambda)$ for five observables  $(m_{\nu}, r, n_{s}, \alpha \text{ and } \Delta_{\mathcal{R}}^2)$ . Once we calculate  $\rho_e$  and  $\rho_0$ ,  $\lambda$  is fixed from the constrain on  $\Delta_{\mathcal{R}}^2$  and we find that  $\lambda \approx 10^{-17} - 10^{-12}$  in the parameter space of the model, which justifies the approximation made in Eq. [\(8\)](#page-1-0). We are then left with a (i.e.  $Y_N$ ),  $Y_D$  and  $v_L$  and neutrino masses further constrain the relation between  $Y_N$  and  $Y_D$ . The predicted values of r,  $n_s$  and  $\alpha$  are therefore predicted for fixed values of a and  $v_{BL}$ .

We will consider two limits:  $v_L > M_P$ , the so-called Higgs inflation as well as  $v_L \ll M_P$  when the scalar potential considered in Eq. [\(7\)](#page-1-1) reduces to the radiatively corrected quartic inflation [\[28\]](#page-5-3).

1. Higgs inflation This scenario requires trans-Planckian vevs. The seesaw relation, Eq. [\(4\)](#page-1-2) imposes  $Y_N \ll 1$  in order to suppress the

 $2$ For simplicity, we take a one-generation neutrino seesaw scheme with 0.1 eV mass scale in the analysis of our proposed inflationary scenario.

<span id="page-2-0"></span>

FIG. 1 (color online). Majoron inflation: The tensor-to-scalar ratio r is shown vs the spectral index  $n_s$ . Black line is the Majoron inflation scenario with  $v_L > M_P$ . The small black points on each branch, from left to right, indicate the values  $v_L/M_P = 12, 14, 20$ and 100. The dashed branch corresponds to  $\sigma < v_L$  and the solid one to  $\sigma > v_L$ . The point and the triangle are the quartic and quadratic inflation predictions, respectively. The blue (gray) line is for  $v_L \ll M_P$ . The contours are the 68% and 95% CL allowed region, combining PLANCK, WP, highL and BICEP2, given in [\[8\]](#page-4-4) and N is taken to be 60.

right handed neutrino mass. For instance for  $v_L = 10^3$  Mp, one gets  $Y_N \approx 10^{-6}$ , a value similar to the electron Yukawa coupling. The Coleman-Weinberg radiative corrections are negligible in this case and we consider only the tree level potential. Black lines in Fig. [1](#page-2-0) show the predicted values of r and  $n_s$  obtained by varying  $v_L$  and taking the number of e-foldings  $N = 60$ . The allowed 68% and 95% CL contours are indicated. The dashed line is when the inflaton rolls from "below" ( $\rho < v_{BL}$ ) while the solid one is for the opposite case. Both branches converge toward quadratic (indicated by a triangle) inflation in the limit  $\rho \to \infty$ ,  $(n<sub>s</sub>, r) = (0.967, 0.132)$ . We show various values of  $v<sub>L</sub>$  as small circles. The small vev limit, depicted by a big circle corresponds to the textbook quartic inflation potential,  $(n<sub>s</sub>, r) = (0.951, 0.262)$ . The running of the spectral index,  $\alpha$ , is depicted in Fig. [2.](#page-2-1) In Fig. [3](#page-2-2) we show the connection between inflation and neutrino masses, in the plane  $Y_N$  vs  $v_{\rm L}$ . The black lines are upper bounds on  $Y_N$  for a given Dirac coupling  $Y_D$ . We also show some values of a corresponding to each  $Y_N$  and  $v_L$  for completeness. The numerical results for this case are displayed in Table [I.](#page-3-0)

The sub-Planckian inflationary scenario  $v_L \ll M_P$ , in principle physically more attractive, is well approximated by the quartic potential. In this case,  $Y_N$  can be large so that the radiative corrections to the  $\rho^4$  potential should be taken into account. The quantum corrections allow us to depart

<span id="page-2-1"></span>

FIG. 2 (color online). Majoron inflation:  $\alpha$  vs  $n_s$  for various  $v_{BL}$ values. See caption of Fig. [1](#page-2-0) for more details.

from the fixed textbook prediction of quartic inflation to lie closer to the BICEP2 region. Figure [1](#page-2-0) and Fig. [2](#page-2-1) show the effect of the coupling of the inflaton to right handed neutrinos on the inflationary observables. The blue line, departing from the quartic inflation prediction is obtained by varying a, and consequently  $Y_N$  in the range [−0.2, 0] corresponding to a variation of  $Y_N$  around ≈10<sup>-3</sup>. If  $v_L$  is taken to lie around  $10^{14}$  GeV then  $Y_N \approx 10^{-2}$  reproduces the correct neutrino mass scale. We display in Table [II](#page-3-1) the numerical results for this case.

<span id="page-2-2"></span>

FIG. 3 (color online). Majoron inflation:  $Y_N$  vs  $v_L$  for various  $Y_D$ . Dashed lines show some values of the coefficient a of the Coleman-Weinberg term in the potential. Solid black lines are upper bounds on  $Y_N$  for the corresponding Dirac neutrino Yukawa coupling  $Y_D$ .

<span id="page-3-0"></span>

Solutions above the VEV ( $\rho > v_{\rm L}$ )							
$v_L(M_P)$	$\log_{10}(\lambda)$	$n_{\rm s}$	r	$\alpha(10^{-4})$	$V^{1/4}$ (10 <sup>16</sup> GeV)	$\rho_0(M_P)$	$\rho_e(M_P)$
1.	$-12.8521$	0.951168	0.260263	$-7.96468$	2.30678	22.2218	3.14626
5.	$-13.0093$	0.954908	0.237136	$-7.05625$	2.25373	24.2634	6.61037
10	$-13.2351$	0.958581	0.211972	$-6.37463$	2.1914	28.1285	11.5137
20.	$-13.599$	0.962148	0.184081	$-5.89025$	2.11546	37.1396	21.4642
50.	$-14.2262$	0.964453	0.159253	$-5.80242$	2.04021	66.1458	48.6058
100	$-14.7789$	0.965456	0.147557	$-5.72255$	2.00167	115.805	98.5958
500.	$-16.1392$	0.966211	0.137189	$-5.66368$	1.96554	515.506	498.588
1000.	$-16.7367$	0.9663	0.135828	$-5.6565$	1.96065	1015.47	998.587
				Solutions below the VEV ( $\rho < v_{\rm L}$ )			
$v_L(M_P)$	$\log_{10}(\lambda)$	$n_{\rm s}$	r	$\alpha(10^{-4})$	$V^{1/4}$ (10 <sup>16</sup> GeV)	$\rho_0(M_P)$	$\rho_e(M_P)$
8.	$-13.9086$	0.87488	0.000385304	$-0.150585$	0.452484	0.111018	6.70982
9.	$-13.5255$	0.900769	0.00148882	$-0.460638$	0.6344	0.27599	7.69622
10.	$-13.3033$	0.918822	0.00377031	$-0.949789$	0.800289	0.541141	8.68529
15.	$-13.1004$	0.95579	0.0279442	$-3.49461$	1.32046	3.17548	13.6523
20.	$-13.2562$	0.964198	0.0518562	$-4.54129$	1.54118	7.05055	18.6357
30.	$-13.5959$	0.967596	0.0798131	$-5.09597$	1.71661	16.0451	28.6191
50.	$-14.0675$	0.96807	0.102141	$-5.30133$	1.8258	35.3404	48.6058
500.	$-16.1213$	0.966555	0.131662	$-5.63496$	1.94544	484.653	501.416
1000.	$-16.7278$	0.966472	0.133065	$-5.64214$	1.9506	984.613	1001.42

<span id="page-3-1"></span>TABLE II. Radiatively corrected quartic potential: The values of parameters for number of e-folds  $N = 60$ .



# D. Dark matter and leptogenesis

In the limit where lepton number is an exact symmetry of the Lagrangian, lepton number violation is purely spontaneous so that the associated Nambu-Goldstone boson, i.e.

the majoron, given as the imaginary part of  $\sigma$ , is strictly massless. However soft explicit lepton number violation may arise from a variety of sources, including quantum gravity effects [\[29,30\]](#page-5-4). Motivated by these considerations

## INFLATION AND MAJORON DARK MATTER IN THE … PHYSICAL REVIEW D 90, 055023 (2014)

in fact the KeV majoron has been suggested as a viable dark matter candidate [\[23\]](#page-4-9) much before the precise CMB observations from WMAP and PLANCK were available. Being a Goldstone boson associated with the spontaneous breaking of ungauged lepton number, the massive majoron will decay to a pair of neutrinos through a small coupling dictated by Noether's theorem to be proportional to the small neutrino mass [\[17\]](#page-4-13). The existence of this two– neutrino decay mode modifies the power spectrum of the cosmic microwave background temperature anisotropies [\[24\]](#page-4-10). One can determine the majoron lifetime and mass values required by the CMB observations in order for the majoron dark matter picture of the Universe to be consistent. It has been shown that the majoron provides an acceptable decaying dark matter scenario for suitably chosen mass values [\[31\]](#page-5-5) which depend on whether or not the majorons are thermal or not. If the majoron production cannot be thermal, as it may be the case in the first inflationary scenario we considered, due to the smallness of the  $Y_N$  and  $\lambda_{\text{mix}}$  couplings, one can still consider nonthermal mechanisms such as freeze-in [\[32\]](#page-5-6) or scalar field oscillations [\[33,34\]](#page-5-7). Moreover, in such nonthermal case, the mass of the majoron is not constrained to be of  $\mathcal{O}(Kev)$  and can lie in a large range depending on the details of the mechanism under consideration.

Turning now to leptogenesis [\[35\]](#page-5-8) we note that after spontaneous lepton number violation occurs at the scale  $v_L$ the type I seesaw mechanism is generated and the Universe reheats at the same time. The presence of right-handed neutrinos with direct couplings to the inflaton field is an important ingredient for leptogenesis [\[36\].](#page-5-9)

## II. CONCLUSIONS

We have suggested that neutrino masses, inflation and dark matter may have a common origin. We have illustrated this with the simplest type-I seesaw model with spontaneous breaking of global lepton number. The resulting inflationary scenario is consistent with the recent CMB B-mode observation by the BICEP2 experiment. On the other hand, the scheme may also account for majoron dark matter and possibly also leptogenesis induced through the out-of-equilibrium decays of the right-handed neutrinos, for reasonable parameter values. If supersymmetry is invoked, then one has a majoron version of the supersymmetric type I seesaw, in which lepton flavor violation processes may be within the reach of future experiments.

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