Supersymmetric flat directions and resonant gravitino production

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We study resonant gravitino production in the early Universe in the presence of SUSY flat directions whose large vacuum expectation values (VEVs) break some but not all gauge symmetries. We find that for a large region of parameter space, the gravitino abundance is several orders of magnitude larger than the cosmological upper bound. Since flat directions with large VEVs are generically expected in supersymmetric theories, this result further exacerbates the gravitino problem.

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I. INTRODUCTION

Supersymmetric theories generically admit a large landscape of moduli space or flat directions along which the potential vanishes classically [\[1\].](#page-4-0) The flat directions can be described in terms of gauge invariant monomials that are built out of chiral superfields ϕ_k subject to specific constraints originating due to F- and D-flat requirements; in the context of the minimal supersymmetric standard model (MSSM), flat directions have been catalogued in [\[2,3\]](#page-4-1). A flat direction can be represented by a modulus field ϕ and the different SUSY preserving vacua along the flat direction, i.e. different choices of the flat direction vacuum expectation value (VEV), are not physically equivalent. Supersymmetry breaking lifts the flat directions, and in the early Universe ϕ can be displaced away from the origin with a large VEV.

Nonzero VEVs for flat directions typically break one or more gauge symmetries, and the corresponding gauge supermultiplet acquires a mass $\propto \varphi$, where φ is the VEV of ϕ . Since φ can be very large, scattering processes mediated by the heavy gauge bosons get suppressed, and the thermal history of the Universe can be very different from the standard thermal history of the Universe (see Ref. [\[4\],](#page-4-2) and references therein). For example, if a flat direction associated with a squark field gets a VEV it breaks all gauge symmetries. This then leads to a delay in thermalization after inflation which can suppress gravitino production [\[5](#page-4-3)–7].

If, on the other hand, the flat direction under consideration preserves some of the gauge symmetries, then there can be reasonably fast thermalization. In this paper we suggest a new mechanism for enhanced gravitino production in the presence of a SUSY flat direction with a large VEV in the context of a thermal Universe. We find that in a large region of the parameter space the gravitino abundance is several orders of magnitude larger than the cosmological upper bound. For concreteness we consider the specific flat direction $H_u H_d$. This breaks $SU(3)_C \times SU(2)_L \times U(1)_Y \rightarrow$ $SU(3)_C \times U(1)_{\text{FM}}$. This means that the gluon and gluino, and photon and photino do not get mass due to large φ (allowing for thermalization) while other particles coupled to the flat direction get a contribution to their mass proportional to φ . As we discuss below, there can be large resonant gravitino production in such a scenario when the intermediate particle in the s-channel process goes on the mass shell.

At finite temperature, the SUSY breaking scale, M_S , and the mass splitting between a particle and its superpartner, are set by the temperature T of the thermal bath (see for example [\[8\]](#page-4-4)). Then $M^2 - m^2 = \delta T^2 + m_0^2$, where δ denotes the splitting due to the finite temperature between the square of the sfermion mass M and the fermion mass m in units of T^2 , and m_0 is the zero temperature soft SUSY breaking parameter. In our scenario, the thermal splitting dominates over m_0^2 .

We further assume that $\varphi \gg T$. Quarks and charged leptons and their superpartners get a contribution to their mass $\propto \varphi$. Of these particles, those with a small Yukawa coupling to the flat direction will still be relativistic while others will be heavy.

 W and Z and their superpartners will be heavy due to their coupling with the flat direction. The photino and gluino get a mass $\propto T$ due to SUSY breaking as above. The gravitino is much lighter. The gravitino mass is $m_{\tilde{G}} \sim M_S^2/M_P = (\delta' T^2/M_P)$ where $M_P = M_{Pl}/\sqrt{8\pi} \approx$ 2.4×10^{18} GeV is the reduced Planck mass, and we take $\delta' \sim 0.1$.

Now consider the following scattering reaction: $A + f \longrightarrow f^* \longrightarrow f + G$, where f, A, G, f denote a charged (heavy) fermion, gluino/photino, gravitino and sfermion respectively. (For example, one could consider quark and gluino scattering to quark and gravitino.) Since $\varphi \gg T \gg m_0$ implying $\delta T^2, m_0^2 \ll m^2$, the initial state fermion and intermediate sfermion are almost degenerate

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in mass. Moreover, the sfermion in the s-channel exchange can be on the mass shell. This Breit-Wigner resonance then gives a large contribution to the scattering cross section and a very large abundance of gravitinos. The Boltzmann suppression of the incoming heavy fermion is compensated by the Breit-Wigner resonance factor.

Neglecting other contributions, the s-channel resonant production cross section is given by (considering only the helcity $1/2$ component for the gravitino),

$$
\sigma(s) \approx \frac{1}{3.8.2.2} \frac{2N_g (M^2 - m^2)^2 \alpha_g}{4} \frac{(s - m^2)^2}{3m_{\tilde{G}}^2 M_P^2} \frac{(s - m^2)^2}{s (s - M^2)^2 + M^2 \Gamma^2},
$$
 (1)

where $\Gamma \ll M$ is the width of the intermediate on-shell sfermion, $\alpha_q = g^2/(4\pi)$ where g is the relevant gauge coupling, and we have used $m_{\tilde{G}} \ll m, M$. Feynman rules for gravitino interactions are in Ref. [\[9\]](#page-4-5), and N_g is $\sum_{A} Tr[T^{A}T^{A}]$ where T^{A} is the generator of the relevant gauge group. Since we are considering the goldstino part of the gravitino, which comes from SUSY breaking, the cross section should not be M_P suppressed (see for example [\[10\]](#page-4-6)). Using $m_{\tilde{G}}M_P = \delta'T^2$ and $(M^2 - m^2) = \delta T^2$ and assuming $\delta \sim \delta'$ we get

$$
\sigma(s) \approx \frac{N_g}{576} \frac{\alpha_g}{s} \frac{(s - m^2)^2}{(s - M^2)^2 + M^2 \Gamma^2}.
$$
 (2)

We let $\Gamma = M/z$ and take $z = 50,500$ in our analysis (though representative values for our scenario below may be much smaller). Lower values of Γ can increase σ .

This should be contrasted with the zero temperature case, say within gravity mediated scenario where $M^2 - m^2 \sim$ $m_0^2 \ll m_{\tilde{G}} M_P = M_S^2$ leading to a strong suppression factor. We emphasize again that this new and novel feature is due to distinctive character of supersymmetric theories at finite temperature.

The mechanism considered above is different from enhanced gravitino production during preheating which has been considered in Refs. [11–[19\].](#page-4-7)

II. BOLTZMANN EQUATION

Gravitinos are produced by the scattering of the decay products of the inflaton [\[20](#page-4-8)–39]. References [\[24,30\]](#page-4-9) provide a list of processes for gravitino production in the standard scenario for thermal gravitino production.

The number density of a species X_3 participating in reactions $X_1X_2 \rightleftharpoons X_3X_4$ can be obtained via the integrated Boltzmann equation,

$$
\dot{n}_3 + 3Hn_3 = \mathcal{C},\tag{3}
$$

where $\mathcal C$ is the collision integral. When the number density of X_3 is small, as we presume in our case where X_3 represents the gravitino, we can ignore the $X_3X_4 \rightarrow X_1X_2$ process. Then

$$
\dot{n}_3 + 3Hn_3 = \int d\Pi_1 d\Pi_2 f_1 f_2 W_{12}(s) \equiv A, \qquad (4)
$$

where f_i are phase space distribution functions and $d\Pi_i \equiv \frac{g_i}{(2\pi)^3}$ d^3p_i $\frac{\partial^2 p_i}{\partial E_i}$ *g_i* is the number of internal degrees of freedom of species i . Then, from Ref. [\[40\],](#page-5-0)

$$
A = \frac{T}{32\pi^4} \sum_{1,2} \int ds g_1 g_2 p_{12} W_{12} K_1 \left(\frac{\sqrt{s}}{T}\right), \qquad (5)
$$

where $W_{12}(s) = 4p_{12}\sqrt{s}\sigma_{CM}(s)$, σ_{CM} is the cross section in the center-of-mass frame and

$$
p_{12} = \frac{[s - (m_1 + m_2)^2]^{1/2} [s - (m_1 - m_2)^2]^{1/2}}{2\sqrt{s}} \tag{6}
$$

is the magnitude of the momentum of particle X_1 (or X_2) in the center-of-mass frame of the particle pair (X_1, X_2) . K_1 is the modified Bessel function of the second kind of order 1. Note that its exponential decay at large s provides the Boltzmann suppression associated with the incoming heavy quark.¹ For p_{12} , we get $(s - m^2)/(2\sqrt{s})$ for the incoming gaugino mass smaller than T and hence much smaller than m .

Substituting σ_{CM} in A, we need to do the integral over s. We shall specifically consider the process

$$
\tilde{g} + q \longrightarrow \tilde{q}^* \longrightarrow q + \tilde{G}.\tag{7}
$$

For this process $g_1 = 2 \times 8$ and $g_2 = 2 \times 3$ and α_g is replaced by α_s . Throughout we shall ignore the variation of α_s with temperature and take $\alpha_s = 5 \times 10^{-2}$, as relevant for the temperatures in our scenario.

III. RESONANT GRAVITINO PRODUCTION

For obtaining A we first discuss the evolution of $M \sim m = h\varphi$, where h is a relevant Yukawa coupling.² We take the mass of the flat direction $m_φ$ to be related to the scale of SUSY breaking. Immediately after inflation, when the Universe is cold, $m_{\phi} = m_0$. When H decreases to H ~ $m_{\phi} = m_0$ at $t_0 \sim 1/m_0$, ϕ starts oscillating and thereafter φ decreases as $1/a^{3/2}$. (*a* is the scale factor of the Universe.) Subsequently at t_d the inflaton decays, the temperature becomes T_R (in the instantaneous decay approximation)

¹The derivation of A presumes a Maxwell-Boltzmann distribution for both incoming particles, while our gluino is relativistic. However it has been argued in Ref. [\[41\]](#page-5-1) that final abundances are insensitive to the statistics. We ignore gravitino decay in the Boltzmann equation as the gravitino lifetime is 10^{7-8} (100 GeV/ $m_{\tilde{G}}$) s [\[24\]](#page-4-9) and is not relevant during the gravitino production era. ²

When ϕ is oscillating, φ is the amplitude of oscillation, as the period of the oscillation m_{ϕ}^{-1} is much smaller than the time scale for gravitino production.

and then the temperature also determines the SUSY breaking scale and the mass of the flat direction: $m_{\phi}^2 =$ $h^{2}T^{2} + m_{0}^{2}$, where h' is the Yukawa coupling for some light field in thermal equilibrium.³ $H = 10T^2/M_{\text{Pl}} < m_\phi$ and ϕ continues to oscillate after t_d . The oscillating field can be thought of as a condensate of zero momentum particles.

We take the initial VEV of ϕ at t_0 to be φ_0 . Then for $t > t_d = \Gamma_d^{-1}$, where Γ_d is the inflaton decay rate, the quark mass is given by

$$
m^{2} = h^{2} \varphi_{0}^{2} \left(\frac{a_{0}}{a_{d}}\right)^{3} \left(\frac{a_{d}}{a}\right)^{3} = h^{2} \varphi_{0}^{2} \left(\frac{\Gamma_{d}}{m_{0}}\right)^{2} \left(\frac{T}{T_{R}}\right)^{3}, \quad (8)
$$

where we have used $a \sim t^{2/3}$ for $t_0 < t < t_d$ for an inflaton oscillating in a quadratic potential during reheating and $a \sim 1/T$ for $t > t_d$. T_R is the reheat temperature at t_d and is given by [\[45\]](#page-5-2)

$$
T_R = 0.55 g_{**}^{-1/4} \Gamma_d^{1/2} M_{\text{Pl}}^{1/2},\tag{9}
$$

where g_{**} is the number of relativistic degrees of freedom relevant when the flat direction VEV is large and many species are nonrelativistic. Taking the relativistic species to be the photino, photon, gluino and gluon, $q_{**} = 33.75$. We further define $m_d \equiv m(t_d) = m_{t0}(\Gamma_d/m_0)$, where $m_{t0} = h\varphi_0$.

After the inflaton decays, the energy density ρ_{ϕ} in the flat direction condensate is $\frac{1}{2}m_{\phi}^2\varphi^2$ while the energy density of the radiation $\rho_{rad} = (\pi^2/30)g_{**}T^4$. For the parameter values we consider below, the Universe is radiation dominated after inflaton decay, and therefore

$$
T = T_R \left(\frac{t_d}{t}\right)^{\frac{1}{2}}.\tag{10}
$$

A in Eq. (5) is a function of T. A can now be expressed as a function of t and we can solve Eq. [\(4\)](#page-1-1) to obtain the number density of gravitinos. We will finally like to obtain the gravitino number density at t_e when the flat direction condensate decays, or the resonant mechanism terminates.

The condensate decays (perturbatively) at t_f when its decay rate $\Gamma_{\phi} = m_{\phi}^3 / \varphi^2$ equals *H* [\[46,47\].](#page-5-3) (We discuss alternate mechanisms for condensate decay below.) Then at any temperature, for $m_{\phi} \sim h' T$,

$$
\Gamma_{\phi} = h^{\prime 3} T^3 / \varphi^2 = h^{\prime 3} T^3 / [\varphi_d^2 T^3 / T_R^3] = h^{\prime 3} T_R^3 / \varphi_d^2, \quad (11)
$$

using $\varphi^2 \sim 1/a^3 \sim T^3$. Now $\varphi_d^2 = \varphi_0^2 (a_0/a_d)^3 = \varphi_0^2 (t_0/t_d)^2 =$ $\varphi_0^2(\Gamma_d/m_0)^2$. Then,

$$
t_f = \frac{\varphi_0^2 \Gamma_d^2}{h'^3 T_R^3 m_0^2}.
$$
 (12)

Let T_m be the temperature when the condensate thermal mass $h'T$ equals m_0 . If t_f obtained above is greater than $t_m = t_d (T_R/T_m)^2$, then one should use $m_\phi = m_0$ to obtain t_f . t_f is then obtained as in Eq. (46) of Ref. [\[7\]](#page-4-10) as

$$
t_f = \frac{\varphi_0^{4/5} \Gamma_d^{1/5}}{m_0^2}.
$$
 (13)

In our numerical analysis below the thermal mass $h'T$ for ϕ is less than m_0 at t_d itself for the low reheat temperature that we consider.

It may happen that the resonant phenomena breaks down before t_f at some time t_r . We require the sfermion and fermion masses to be much larger than T. Now the quark mass $\sim T^{3/2}$ and so falls faster than the temperature. Defining T_r via $m(T_r) = T_r$ and using Eq. [\(8\)](#page-2-0) we get

$$
T_r = \left(\frac{m_0}{\Gamma_d}\right)^2 \left(\frac{T_R}{m_{r0}}\right)^2 T_R = \left(\frac{T_R}{m_d}\right)^2 T_R. \tag{14}
$$

As $t \sim a^2 \sim 1/T^2$ for $t > t_d$,

$$
t_r = \left(\frac{m_d}{T_R}\right)^4 t_d. \tag{15}
$$

The final gravitino abundance is the abundance at t_e $min(t_f, t_r)$ when resonant gravitino production ends and is given by

$$
Y(t_e) \equiv \frac{n(t_e)}{s(t_e)},\tag{16}
$$

where s is the entropy density. We obtain the gravitino number density by solving the integrated Boltzmann equation till t_e . Now the temperature at t_e just after the resonant gravitino production ends is $T'_e = (g_{**}/g_*)^{1/4}T_e$, where $T_e = T_R(t_d/t_e)^{1/2}$ is the temperature just before the end of resonant gravitino production. Then the entropy density is $s(t_e) = (2\pi^2/45)g_*T_e^3$ We take $g_* = 228.75$. Note that the energy density in ϕ is subdominant when the flat direction decays for the cases considered below.

After the flat direction condensate decays, the gravitino mass is given by the expression relevant to the mechanism of supersymmetry breaking. In gravity mediated supersymmetry breaking, $m_{3/2} \sim m_0 \sim 100-1000$ GeV. The abundance obtained above can then be compared with the corresponding upper limit of 10^{-14} obtained in Ref. [\[48\]](#page-5-4) from various cosmological constraints for $m_{3/2} \sim 100$ GeV. For $m_{3/2} \sim 1000$ GeV the upper limit is 10⁻¹⁶.

³Thermal corrections to the flat direction potential of the form $h^{2}T^{2}|\phi|^{2}$ and $\alpha_{g}^{2}T^{4}\log(|\phi|^{2})$, along with nonrenormalizable terms, have been considered in Refs. [42–[44\].](#page-5-5) We presume that thermal corrections to the flat direction potential is effectively quadratic with a contribution of h/T to the mass. For the light field with Yukawa coupling h' to be relativistic and in thermal equilibrium, its mass $h' \varphi(t)$ should be less than $T(t)$.

In addition to resonant gravitino production involving heavy quarks and squarks, gravitinos are also produced by the usual nonresonant thermal scattering of relativistic particles during reheating [\[33,34,36](#page-4-11)–39] and after reheating. The total abundance generated will be proportional to T_R . We shall choose Γ_d such that the reheat temperature is low enough $(\leq 10^6 \text{ GeV})$ to suppress gravitino production via nonresonant thermal production.

IV. RESULTS

We now consider plausible values of φ_0 . The nonzero vacuum energy during inflation breaks SUSY and can give large positive masses of order H_I to the flat direction, where H_I is the Hubble parameter during inflation [\[49,50\].](#page-5-6) Then quantum fluctuations during inflation give a VEV of order H_I [\[49\]](#page-5-6). Assuming that the field does not vary much until t_0 , $\varphi_0 \sim H_I \leq 10^{13}$ GeV.

Alternatively, in some theories the contribution to the flat direction potential during inflation due to H_I is negative at the origin [\[49,50\].](#page-5-6) This correction to the potential, along with nonrenomalizable terms, leads to a shifted minimum of the potential. Then one obtains a large VEV of order M_{Pl} [\[50\]](#page-5-7), or 10^{12–14} GeV on including GUT interactions [\[51\]](#page-5-8). The shifted minimum of the potential for ϕ is $\Lambda(H/\Lambda)^{1/(n+1)}$ for nonrenormalizable terms of the form ϕ^{2n+4}/Λ^{2n} , $n \ge 1$, where Λ is the scale of some new physics [\[51,52\]](#page-5-8). ϕ oscillates about this time-dependent minimum which decreases as H decreases. When $H \sim m_0$ at t_0 , the potential minimum goes to zero and the field oscillates about the origin in a quadratic potential with curvature m_0^2 . Then $\varphi_0 \sim \Lambda (H(t_0)/\Lambda)^{1/(n+1)}$, where $H(t_0) =$ m_0 [\[52\].](#page-5-9) If $\Lambda \sim 10^{16}$ GeV, or M_{Pl} , then we get $\varphi_0 \sim 10^9$ GeV, or 3×10^{10} GeV, for $n = 1$. For larger n , φ_0 will be larger.

For our analysis below we present a few cases with different parameter values. We take $\Gamma_d = 10^{-6}$ GeV to ensure that the reheat temperature of 8×10^5 GeV is below the upper bound of 10^6 GeV for (nonresonant) thermal gravitino production [\[53\]](#page-5-10). t_d is then 10⁶ GeV⁻¹.

For $\varphi_0 = 10^{16}$ GeV we take $z = 50$, $\delta = 0.1$, $h = 0.5$, $h' = 10^{-5}$ and $m_0 = 100$ GeV. For $\varphi_0 = 10^{17}$ GeV we take $z = 500$, $\delta = 0.1$, $h' = 10^{-5}$, $m_0 = 100$ GeV with $h = 0.1$ and $m_0 = 1000$ GeV with $h = 0.2$. For the first case we obtain $Y = 1 \times 10^{-5}$ at $t_e = 4 \times 10^7$ GeV⁻¹. For the second case we obtain $Y = 3 \times 10^{-8}$ at $t_e = 3 \times 10^8$ GeV⁻¹. For the third case we obtain Y = 7×10^{-4} at $t_e = 3 \times 10^6$ GeV⁻¹. All these abundances are much larger than the cosmological upper bounds of 10^{-14,-16} mentioned above.

We further point out that for $\varphi_0 \leq 10^{15}$ GeV the collision integral on the rhs of the integrated Boltzmann equation is so large that one gets an abundance much larger than 1. While this is in conflict with the assumption of a small gravitino abundance presumed while obtaining Eq. [\(4\),](#page-1-1) it

indicates that the gravitino number density would be equal to the equilibrium gravitino number density in such cases. The abundance at t_e is then $Y(t_e) = n_{\tilde{G}}^{\text{eq}}(t_e)/s(t_e) \approx$ 8×10^{-3} , where the equilibrium gravitino number density $n_{\tilde{G}}^{\text{eq}}(t_e) = 3\zeta(3)/(4\pi^2)2T_e^3$.

The gravitino abundance is larger for smaller m_d and larger δ , as they increase the phase space available for resonant production. Because m and M are very close in mass, $M - m \approx (\delta T^2 + m_0^2)/(2m) \ll \Gamma/2$, the initial value of \sqrt{s} lies within the Breit-Wigner peak in the integral over s in the cross section. Increasing δ , or decreasing m_d , allows one to sample more of the Breit-Wigner resonance and thus gives a larger contribution. m_d is a function of h, φ_0 , Γ_d , and m_0 . Decreasing h, φ_0 or Γ_d , or increasing m_0 (which makes the condensate oscillate earlier), decreases m_d and increases the gravitino abundance. At later times one samples more of the Breit-Wigner resonance as $\delta T^2 \sim 1/a^2$ while $m\Gamma \sim 1/a^3$.

Even though A contains a Boltzmann suppression factor because of the heavy incoming quark, the resonance effect overcomes this suppression, as mentioned earlier. We have verified that for incoming energies away from resonance the gravitino production cross section is indeed suppressed.

We have only considered one channel for gravitino production for this flat direction. One can consider processes involving other particles such as photinos and charged leptons. Other flat directions with large VEVs can also lead to resonant gravitino production. For example, the flat direction parametrized by the monomial LLe will break $SU(2)_L \times U(1)_Y$. Gluons and gluinos could then participate in resonant gravitino production as above.

V. DISCUSSION AND CONCLUSION

Our results indicate that there can be large gravitino production through a resonant process in a thermal Universe in the presence of a large VEV for a SUSY flat direction that breaks some but not all gauge symmetries. For the parameters considered in the previous section we find that the gravitino abundance exceeds the cosmological upper bounds, and in many cases can equal the large equilibrium abundance. Since large VEVs for SUSY flat directions is a generic feature in supersymmetric cosmological scenarios, our results are very relevant to the understanding of the gravitino problem in the early Universe.

Lowering the reheat temperature (by decreasing Γ_d) increases the gravitino abundance. This is in contrast with the standard nonresonant thermal production scenario in which the abundance is proportional to the reheat temperature. This implies that if we consider lowering the reheat temperature, the standard solution to the gravitino problem, it will lead to even more gravitino production from the resonant scattering process discussed above.

One mechanism to decrease the large gravitino abundance obtained above is to invoke the quick decay of the flat direction. The longevity of flat directions has been debated in Refs. [\[47,54](#page-5-11)–62]. However it has been argued in Refs. [\[59,62\]](#page-5-12) that even if nonperturbative rapid decay via parametric resonance occurs for scenarios with multiple flat directions it leads to a redistribution of energy of the condensate amongst the fields in the D flat superspace and hence to practically the same cosmological consequences, including at least as large masses as in the scenario with only perturbative decay.

Scattering of particles of the thermal bath off the flat direction condensate can lead to the decay of the condensate [\[2,42,43\],](#page-4-1) though thermal effects are less important for larger values of *n*. For example, for $n = 3$ the condensate decays much after the decay of the inflaton [\[43\]](#page-5-13). Decay via fragmentation into solitonic states called Q-balls [63–[71\]](#page-5-14) or Q-axitons [\[72\]](#page-5-15) due to inhomogeneities in the condensate may also be relevant. The relevant time scale for Q-ball and Q-axiton formation is $10^{2-4}m^{-1}$, where m is a mass scale associated with the flat direction [\[63,64,68,72\]](#page-5-14), which can decrease the lifetime of the flat directions considerably to even less than t_d . However, Q-balls or -axitons may not form if there is no related conserved charge associated with the flat direction (usually baryon or lepton number).

In conclusion, we have pointed out that there could be excessive gravitino production in the early Universe through a resonant mechanism in the presence of flat directions in supersymmetric theories. The final abundance can exceed the cosmological bound on the gravitino abundance by several orders of magnitude. This result would be relevant for typical supersymmetric scenarios of the early Universe and exacerbates the well-known gravitino problem. Mechanisms for the quick decay of the flat directions may need to be invoked to suppress the final gravitino abundance.

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