# Finding the effective Polyakov line action for SU(3) gauge theories at finite chemical potential

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Motivated by the sign problem, we calculate the effective Polyakov line action corresponding to certain SU(3) lattice gauge theories on a  $16<sup>3</sup> \times 6$  lattice via the "relative weights" method introduced in our previous papers. The calculation is carried out at  $\beta = 5.6$ , 5.7 for the pure gauge theory and at  $\beta = 5.6$  for the gauge field coupled to a relatively light scalar particle. In the latter example we determine the effective theory also at finite chemical potential and show how observables relevant to phase structure can be computed in the effective theory via mean field methods. In all cases a comparison of Polyakov line correlators in the effective theory and the underlying lattice gauge theory, computed numerically at zero chemical potential, shows accurate agreement down to correlator magnitudes of order 10−<sup>5</sup>. We also derive the effective Polyakov line action corresponding to a gauge theory with heavy quarks and large chemical potential and apply mean field methods to extract observables.

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# I. INTRODUCTION

The effective Polyakov line action  $S_p$  of a lattice gauge theory is defined by integrating out all degrees of freedom of the lattice gauge theory, under the constraint that the Polyakov line holonomies are held fixed. It is convenient to implement this constraint in temporal gauge  $(U_0(\mathbf{x}, t \neq 0) = 1)$ , so that

<span id="page-0-1"></span>
$$
\exp[S_P[U_x, U_x^{\dagger}]]
$$
  
=  $\int DU_0(x, 0)DU_k D\phi \left\{ \prod_x \delta[U_x - U_0(x, 0)] \right\} e^{S_L},$   
(1)

where  $\phi$  denotes any matter fields, scalar or fermionic, coupled to the gauge field, and  $S_L$  is the lattice action (note that we adopt a sign convention for the Euclidean action such that the Boltzman weight is proportional to  $\exp[+S]$ .<sup>1</sup> The effective Polyakov line action  $S_p$  can be computed analytically from the underlying lattice gauge theory at strong gauge couplings and heavy quark masses, and at leading order it has the form of an SU(3) spin model in  $D = 3$  dimensions,<sup>2</sup>

$$
S_{\text{spin}} = J \sum_{x} \sum_{k=1}^{3} (\text{Tr}[U_{x}] \text{Tr}[U_{x+\hat{k}}^{\dagger}] + \text{c.c.}) + h \sum_{x} (e^{\mu/T} \text{Tr}[U_{x}] + e^{-\mu/T} \text{Tr}[U_{x}^{\dagger}]). \tag{2}
$$

This model has been solved at finite chemical potential  $\mu$  by several different methods, including the flux representation [\[2\]](#page-17-0), stochastic quantization [\[3\]](#page-17-1), reweighting [\[1\],](#page-17-2) and the mean field approach [\[4\]](#page-17-3).

This paper is concerned with computing  $S_p$  from the underlying lattice gauge theory at gauge couplings which are not so strong, and matter fields which are not so heavy. The motivation is that since the phase diagram for  $S_{spin}$  has been determined over a large range of  $J$ ,  $h$ ,  $\mu$  by the methods mentioned above, perhaps the same methods can be successfully applied to solve  $S_p$ , providing that theory is known in the parameter range (of temperature, quark mass, and chemical potential) of interest. The phase diagram of the effective theory will mirror the phase diagram of the underlying gauge theory.

<span id="page-0-0"></span>There is a simple relationship between the effective Polyakov line action (PLA)  $S_P^{\mu=0}$  corresponding to zero chemical potential in the underlying lattice gauge theory, and the PLA  $S_p^{\mu}$  corresponding to finite  $\mu$  in the underlying theory:

$$
S_P^{\mu}[U_x, U_x^{\dagger}] = S_P^{\mu=0} [e^{N_{t}\mu} U_x, e^{-N_{t}\mu} U_x^{\dagger}]. \tag{3}
$$

This relationship was shown to be true to all orders in the strong-coupling/hopping parameter expansion [\[5\]](#page-17-4);

<span id="page-0-2"></span><sup>&</sup>lt;sup>1</sup>Temporal gauge is convenient but not essential. In the absence of gauge-fixing one could simply apply the Metropolis algorithm to simultaneous trial updates of neighboring timelike links  $U_0(\mathbf{x}, t) \to GU_0(\mathbf{x}, t)$ ,  $U_0(\mathbf{x}, t-1) \to U_0(\mathbf{x}, t-1)G^{\dagger}$ , where G is an SU(3) group element, which leave the Polyakov line holonomy fixed.

 $S_p$  has been computed to higher orders in the combined strong-coupling/hopping parameter expansion in Ref. [\[1\]](#page-17-2).

presumably it holds in general. However, if  $S_p$  is expressed in terms of the trace of Polyakov line holonomies, rather than the holonomies themselves, then certain ambiguities arise in the use of [\(3\).](#page-0-0) We will show how these ambiguities are resolved by computing the PLA numerically also at imaginary values  $\mu = i\theta/N_t$  of the chemical potential.

In order to determine the PLA at  $\mu = 0$  (and at imaginary  $\mu$ ) we make use of the "relative weights" method, which was introduced and tested on SU(2) lattice gauge theory in our two previous papers on this subject [\[5,6\].](#page-17-4) There is no sign problem for the SU(2) gauge group, but it is still a challenge to extract the PLA from the underlying gauge theory. The criterion for success of the method, for any SU(N) gauge group, is that spin-spin correlators

<span id="page-1-2"></span>
$$
G(R) = \frac{1}{N^2} \langle \text{Tr}[U_x] \text{Tr}[U_y^{\dagger}] \rangle, \qquad R = |\mathbf{x} - \mathbf{y}| \tag{4}
$$

computed in the effective theory agree with the corresponding Polyakov line correlators in the underlying lattice gauge theory. For SU(2) lattice gauge theory we found agreement at gauge couplings ranging from very strong couplings up to the deconfinement transition, for separations  $R$  up to twelve lattice spacings, and over a range of correlator values down to  $\tilde{O}(10^{-5})$ .<sup>3</sup> In this paper we will extend our previous work to the SU(3) gauge theory. It is ultimately previous work to the SU(3) gauge theory. It is ultimately our intention to compute the effective PLA for gauge fields coupled to light quarks. However, in this first investigation, we prefer to avoid the complexities of dynamical fermion simulations and study instead the gauge-Higgs theory

<span id="page-1-1"></span>
$$
S_L = \frac{\beta}{3} \sum_p \text{ReTr}[U(p)]
$$
  
+  $\frac{\kappa}{3} \sum_x \sum_{\mu=1}^4 \text{Re}[\Omega^{\dagger}(x)U_{\mu}(x)\Omega(x+\hat{\mu})],$  (5)

where  $\Omega(x)$  is a unimodular scalar field  $\Omega^{\dagger}(x)\Omega(x) = 1$ transforming under gauge transformations  $\Omega(x) \rightarrow$  $g(x)\Omega(x)$  in the fundamental representation. For  $\kappa \neq 0$ we determine the effective PLA at non-zero  $\mu$ , and solve the effective theory in the mean-field approximation, postponing more sophisticated methods [\[1](#page-17-2)–3] to a later study. We will also determine the PLA corresponding to a lattice SU(3) gauge theory with massive quarks and large chemical potential, and again apply mean field methods to compute observables.

In Sec. [II](#page-1-0) we will review the relative weights method, and show how the introduction of an imaginary chemical potential, in the gauge-matter system, allows us to determine the  $\mu$ -dependence of the center-symmetry-breaking terms. The PLA corresponding to SU(3) pure-gauge theories at  $\beta = 5.6$ , 5.7 on a  $16<sup>3</sup> \times 6$  lattice volume is derived in Sec. [III](#page-4-0). The main concern of this paper, which is the effective action for a gauge theory coupled to matter fields at finite chemical potential, is the subject of Sec. [IV,](#page-6-0) where we derive the PLA for the SU(3) gauge-Higgs model [\(5\)](#page-1-1) in the confinementlike region, again at  $\beta = 5.6$  on a  $16<sup>3</sup> \times 6$  lattice, and for  $\kappa = 3.6, 3.8, 3.9$ , which is just below the crossover to a Higgs-like region. The effective PLA for the gauge-Higgs theory at  $\kappa = 3.9$ , and the effective PLA for an SU(3) gauge field coupled to massive quarks, are solved in the mean field approximation, following Ref. [\[4\]](#page-17-3), in Sec. [V.](#page-12-0) We conclude in Sec. [VI](#page-16-0).

### <span id="page-1-0"></span>II. THE RELATIVE WEIGHTS METHOD

Let  $U$  denote the space of all Polyakov line (i.e.  $SU(3)$ ) spin) configurations  $U_x$  on the lattice volume. Consider any path through this configuration space  $U_x(\lambda)$  parametrized by  $λ$ . The relative weights method enables us to compute the derivative of the effective action  $S_P$  along the path

$$
\left(\frac{dS_P}{d\lambda}\right)_{\lambda=\lambda_0} \tag{6}
$$

at any point  $\{U_x(\lambda_0)\}\in \mathcal{U}$ . By computing appropriate path derivatives, the aim is to determine  $S_p$  itself.

The relative weights method is based on the observation that while the path integral in [\(1\),](#page-0-1) leading to the Boltzman weight  $e^{S_p}$ , may be difficult to compute directly for a particular configuration  $U_x$ , the ratio of such path integrals for slightly different Polyakov line configurations (the "relative weights") can be expressed as an expectation value, which can be computed by standard lattice Monte Carlo methods. Let

$$
U'_x = U_x \left(\lambda_0 + \frac{1}{2} \Delta \lambda\right), \qquad U''_x = U_x \left(\lambda_0 - \frac{1}{2} \Delta \lambda\right), \quad (7)
$$

denote two Polyakov line configurations that are nearby in U, with  $S'_L$ ,  $S''_L$  the lattice actions with timelike links  $U_0(\mathbf{x}, 0)$  on a  $t = 0$  timeslice held fixed to  $U_0(\mathbf{x}, 0) = U'_\mathbf{x}$  and  $U_0(\mathbf{x}, 0) = U''$  respectively. Defining  $U_0(x, 0) = U''_x$ , respectively. Defining

$$
\Delta S_P = S_P[U'_x] - S_P[U''_x],\tag{8}
$$

we have from  $(1)$ ,

$$
e^{\Delta S_P} = \frac{\int DU_k D\phi e^{S'_L}}{\int DU_k D\phi e^{S'_L}} \n= \frac{\int DU_k D\phi \exp[S'_L - S''_L]e^{S''_L}}{\int DU_k D\phi e^{S''_L}} \n= \langle \exp[S'_L - S''_L] \rangle'',
$$
\n(9)

<sup>&</sup>lt;sup>3</sup>There have been other approaches to the problem of determining the PLA, notably the Inverse Monte Carlo method [\[7\],](#page-17-5) and strong-coupling expansions [\[1\]](#page-17-2), but these have so far not demonstrated an agreement in the Polyakov line correlators beyond separations of two or three lattice spacings (for recent work, see [\[8\]](#page-17-6)).

where  $\langle \ldots \rangle$ " indicates that the VEV is to be taken in the probability measure

$$
\frac{e^{S_L''}}{\int DU_k D\phi e^{S_L''}}.\tag{10}
$$

Then

$$
\left(\frac{dS_P}{d\lambda}\right)_{\lambda=\lambda_0} \approx \frac{\Delta S_P}{\Delta \lambda}.\tag{11}
$$

We are therefore able to compute numerically the derivative of the true effective action  $S_p$  along any path in configuration space. The problem is to choose path derivatives which will enable us to deduce  $S_p$  itself.

### A. Symmetries of  $S_p$

<span id="page-2-0"></span>The PLA  $S_p$  inherits, from the underlying gauge theory, an invariance under local transformations

$$
U_x \to g_x U_x g_x^{\dagger}, \tag{12}
$$

where  $g_x$  is a position-dependent element of the SU(N) group. This means that  $S_p$  can depend on holonomies only through local traces of powers of holonomies  $Tr[U_x^p]$ ; there<br>can be no dependence on expressions such as  $Tr[UU]$ can be no dependence on expressions such as  $Tr[U_{x}U_{y}],$ since for  $x \neq y$  this term is not invariant under [\(12\)](#page-2-0). Equivalently, the invariance [\(12\)](#page-2-0) means that  $S_p$  depends only on the eigenvalues of the holonomies  $U_r$ . We take the term "Polyakov line" in an  $SU(N)$  theory to refer to the trace of the Polyakov line holonomy

$$
P_x \equiv \frac{1}{N} \text{Tr}[U_x]. \tag{13}
$$

<span id="page-2-1"></span>The SU(2) and SU(3) groups are special in the sense that  $P_x$ contains enough information to determine the eigenvalues of  $U_x$  providing, in the SU(3) case, that  $P_x$  lies in a certain region of the complex plane. Explicitly, if we denote the eigenvalues of  $U_x$  as  $\{e^{i\theta_1}, e^{i\theta_2}, e^{-i(\theta_1+\theta_2)}\}$ , then  $\theta_1, \theta_2$  are determined by separating (13) into its real and imaginary determined by separating [\(13\)](#page-2-1) into its real and imaginary parts, and solving the resulting transcendental equations

<span id="page-2-2"></span>
$$
\cos(\theta_1) + \cos(\theta_2) + \cos(\theta_1 + \theta_2) = 3\text{Re}[P_x],
$$
  
\n
$$
\sin(\theta_1) + \sin(\theta_2) - \sin(\theta_1 + \theta_2) = 3\text{Im}[P_x].
$$
\n(14)

In this sense the PLA for  $SU(2)$  and  $SU(3)$  lattice gauge theories at  $\mu = 0$  is a function of only the Polyakov lines  $P_x$ <sup>4</sup>

In a pure-gauge  $SU(N)$  theory, or in an  $SU(N)$  gauge theory with matter fields in zero N-ality representations of the gauge group, there is a sharp distinction between the confinement and deconfinement phases, based on whether or not the invariance with respect to global center symmetry is spontaneously broken. In the confinement phase, this means that the SU(3) PLA  $S_p$  must also be invariant under global transformations  $P_x \rightarrow zP_x$ , where z is an element of the center subgroup  $Z_3$ . Center symmetric actions are also independent of chemical potential, introduced via  $e^{\mu}$ ,  $e^{-\mu}$ factors in the  $U_0$  and  $U_0^{\dagger}$ . Only terms in the action which explicitly break center symmetry will depend on the chemical potential introduced in this way, and pass on that dependence, along with explicit center-symmetrybreaking, to the effective action.

Motivated by our previous work on the PLA of SU(2) lattice gauge theory [\[5,6\]](#page-17-4), we will focus on the Fourier (or "momentum") components  $a_k = a_k^R + i a_k^I$  of Polyakov line<br>configurations where configurations, where

$$
P_x = \sum_k a_k e^{ikx},\tag{15}
$$

<span id="page-2-5"></span>and compute via relative weights the path derivatives with respect to the real part of  $a_k$ 

$$
O_k(\alpha) = \frac{1}{L^3} \left( \frac{\partial S_P}{\partial a_k^R} \right)_{a_k = \alpha},\tag{16}
$$

where L is the extension of the cubic lattice and  $\alpha$  is real. We will see below that  $O_k$  has a simple dependence on the lattice momentum  $k_L$ , where

$$
k_L = 2\sqrt{\sum_{i=1}^{3} \sin^2(k_i/2)},
$$
 (17)

<span id="page-2-4"></span>and can be used to determine  $S_p$ , at least up to terms bilinear in the Polyakov lines.

#### B. Use of the imaginary chemical potential

<span id="page-2-3"></span>In the confinement phase of a pure gauge theory, the part of  $S_p$  which is bilinear in  $P_x$  is constrained by center symmetry to a single term of the form

$$
S_P = \sum_{xy} P_x P_y^{\dagger} K(x - y). \tag{18}
$$

In the presence of matter fields which break the center symmetry, other terms proportional to

$$
\sum_{x} (P_x + P_x^{\dagger}), \qquad \sum_{x} (P_x^2 + P_x^{2\dagger}),
$$

$$
\sum_{xy} (P_x P_y + P_x^{\dagger} P_y^{\dagger}) Q(x - y), \qquad (19)
$$

<sup>&</sup>lt;sup>4</sup>For  $N > 3$  colors, reconstruction of the eigenvalues would require traces of higher powers of the holonomy. The present paper is concerned specifically with the SU(3) gauge group, and the possible generalization of our procedure to larger gauge groups will not be considered here.

will appear in  $S_p$  at the bilinear level. Now  $S_p$  at finite chemical potential  $\mu$  is given by the change of variables shown in [\(3\)](#page-0-0), so one might naively imagine that these symmetry-breaking terms would convert to

$$
\sum_{x} (P_{x}e^{\mu/T} + P_{x}^{\dagger}e^{-\mu/T}), \qquad \sum_{x} (P_{x}^{2}e^{2\mu/T} + P_{x}^{2\dagger}e^{-2\mu/T}),
$$

$$
\sum_{xy} (P_{x}P_{y}e^{2\mu/T} + P_{x}^{\dagger}P_{y}^{\dagger}e^{-2\mu/T})Q(x-y),
$$
(20)

i.e. that terms linear in  $P_x$ ,  $P_x^{\dagger}$  are proportional to  $e^{\mu/T}$  and  $e^{-\mu/T}$ , respectively, while terms quadratic in P or P<sup>†</sup> are proportional to  $e^{2\mu/T}$  or  $e^{-2\mu/T}$ . But this is a little too simple. Going back to the Polyakov line holonomies, we see that  $S_p$  might contain, e.g. center-symmetry-breaking terms such as

$$
c_1 \sum_{x} (\text{Tr} U_x + \text{Tr} U_x^{\dagger}) + c_2 \sum_{x} (\text{Tr} U_x^2 + \text{Tr} U_x^{\dagger 2}). \tag{21}
$$

<span id="page-3-1"></span>Under the transformation

$$
U_x \to e^{\mu/T} U_x, \qquad U^{\dagger} \to e^{-\mu/T} U^{\dagger} \tag{22}
$$

these would go over to

$$
c_1 \sum_{x} (\text{Tr} U_x e^{\mu/T} + \text{Tr} U_x^{\dagger} e^{-\mu/T}) + c_2 \sum_{x} (\text{Tr} U_x^2 e^{2\mu/T} + \text{Tr} U_x^{\dagger 2} e^{-2\mu/T}). \tag{23}
$$

<span id="page-3-0"></span>Now we apply the SU(3) group identities

$$
\operatorname{Tr}[U_x^2] = 9P_x^2 - 6P_x^{\dagger}, \qquad \operatorname{Tr}[U_x^{\dagger 2}] = 9P_x^{\dagger 2} - 6P_x, \qquad (24)
$$

and obtain

$$
\sum_{x} \{ (3c_1 e^{\mu/T} - 6c_2 e^{-2\mu/T}) P_x + (3c_1 e^{-\mu/T} - 6c_2 e^{2\mu/T}) P_x^{\dagger} \} + 9c_2 \sum_{x} (P_x^2 e^{2\mu/T} + P_x^{\dagger 2} e^{-2\mu/T}).
$$
\n(25)

If we would reverse the order of operations, first applying the  $SU(3)$  group identities  $(24)$  and then the transformation [\(22\)](#page-3-1), we would have instead

$$
\sum_{x} \{ (3c_1 - 6c_2)e^{\mu/T} P_x + (3c_1 - 6c_2)e^{-\mu/T} P_x^{\dagger} \} + 9c_2 \sum_{x} (P_x^2 e^{2\mu/T} + P_x^{\dagger 2} e^{-2\mu/T}).
$$
\n(26)

It follows that if we only knew the effective action at  $\mu = 0$ in powers of  $P<sub>x</sub>$ , rather than directly in terms of holonomies, then the naive application of [\(22\)](#page-3-1) would lead to the wrong answer at  $\mu \neq 0$ .

This problem was raised, and a solution was proposed, already in Ref. [\[4\]](#page-17-3). The idea is to carry out the relative weights calculation in a lattice gauge theory with an imaginary chemical potential  $\mu/T = i\theta$ . This is done by simply multiplying the fixed configurations  $U'_x$ ,  $U''_x$  of timelike links at  $t = 0$  by an x-independent phase factor  $e^{i\theta}$ , and calculating the path derivatives of  $S_p$  at each  $\theta$  of a set of  $\theta$  values. This enables us to separate, in the path derivatives  $O_k(\alpha, \theta)$ , terms which are  $\theta$ -independent from terms which depend on  $cos(\theta)$ ,  $cos(2\theta)$  and so on. From knowledge of the  $\theta$ -dependence, we are able to work out the  $\mu$ -dependence of the various terms in  $S_p$ . This procedure will be illustrated in detail in Sec. [IV](#page-6-0) below.

### C. Background momentum modes

Since we are computing derivatives of  $S_p$  with respect to individual momentum components, there is a question about the other momentum modes which are not differentiated. Suppose we are differentiating with respect to the Fourier component  $a_k$ . Should the other components  $a_{q\neq k}$ be set to zero, or to something else?

There is clearly a danger in setting all other  $a_q = 0$ . This means that we are computing the path derivative in a highly atypical region of configuration space, a region which contributes essentially nothing to the partition function. For the purpose of determining  $S_p$ , it is safer to carry out the calculation in a region of  $U$  which has the optimum "energy-entropy" balance, and which provides the typical thermalized configurations found in a Monte Carlo simulation. Ideally, then, we would like to carry out the calculation of the path derivative  $O_k(\alpha)$  precisely at a configuration in  $U$  which is generated by the lattice Monte Carlo method.

This ideal is only attainable in the large volume,  $\alpha \rightarrow 0$ limit. In practice our procedure is as follows: We first run a standard Monte Carlo simulation, generate a configuration of Polyakov line holonomies  $U_x$ , and compute the Polyakov lines  $P_x$ . We then set the momentum mode  $a_k = 0$  in this configuration to zero, to obtain the configuration  $P_x$ , where

$$
\tilde{P}_x = P_x - \left(\frac{1}{L^3} \sum_y P_y e^{-iky}\right) e^{ikx}.\tag{27}
$$

Then define

$$
P''_x = \left(\alpha - \frac{1}{2}\Delta\alpha\right)e^{ikx} + f\tilde{P}_x,
$$
  

$$
P'_x = \left(\alpha + \frac{1}{2}\Delta\alpha\right)e^{ikx} + f\tilde{P}_x,
$$
 (28)

where  $f$  is a constant close to one. We derive the eigenvalues of the corresponding holonomies  $U''_x$  and  $U'_x$ , whose traces are  $P''_x$ ,  $P'_x$ , respectively, by solving

[\(14\)](#page-2-2). The holonomies themselves can be taken to be diagonal matrices, without any loss of generality, thanks to the invariance [\(12\)](#page-2-0). If we could take  $f = 1$ , then in creating  $P''_x$ ,  $P'_x$  we are only modifying a single momentum mode of the Polyakov lines of a thermalized configuration. However, there are two problems with setting  $f = 1$ . The first, which already came up in our SU(2) calculations, is that at  $f = 1$  and finite  $\alpha$  there are usually some lattice sites where  $|P'_x|, |P''_x| > 1$ , which is not allowed. In SU(3) there is the further problem that at some sites the SU(3) there is the further problem that at some sites the transcendental equations [\(14\)](#page-2-2) have no solution for real angles  $\theta_1$ ,  $\theta_2$ . So we are forced to choose f somewhat less than one; in practice we have used  $f = 0.8$ . The choice  $f = 1$  is only possible in the large volume,  $\alpha \rightarrow 0$  limit. We have checked that our numerical results are insensitive to small changes in f.

From the holonomy configurations  $U''_x$ ,  $U'_x$  we can compute  $\partial S_P/\partial a_k^R$  by the relative weights method. This procedure is repeated a number of times (ranging from 30 to 180, depending on the simulation), starting each time from a different thermalized configuration  $U_x$ , and the results for  $\partial S_P/\partial a_k^R$  are averaged. The standard deviation of the observable  $\partial S_P/\partial a_k^R$  within a sample of configurations is smallest at the low momenta which dominate the long range behavior of the correlator, and is typically an order of magnitude less than the average value of the correlator at the lowest  $k_L$ . As  $k_L$  increases and the value of the observable drops towards zero, the standard deviation is eventually on the order of the average value. Of course, the overall statistical error depends on the sample size. For the data shown below for  $k<sub>L</sub> = 0$  in Fig. [1,](#page-4-1) with a sample size of 160, the statistical error is two orders of magnitude smaller than the average values, which is smaller than the symbol size.

<span id="page-4-1"></span>

FIG. 1 (color online). The path derivative of  $S_p$  with respect to the real part of the mode at  $k_L = 0$ , evaluated at several values  $a_0 = \alpha$  of the  $k_L = 0$  mode. This is for an underlying pure gauge theory at  $\beta = 5.6$ . The data is fit to  $A\alpha + B\alpha^2$ , with  $A = 2K(0)$ . In this figure, and in all other figures below, the lattice volume of the underlying lattice gauge theory is  $16<sup>3</sup> \times 6$ .

### D. Limitations of the method

Effective actions have, in general, an infinite number of terms, and some truncation is unavoidable. At finite chemical potential,  $S_p$  can be expanded in powers of fugacity

$$
S_P = \sum_{s=-\infty}^{\infty} e^{s\mu/T} S_P^{(s)} [U_x, U_x^{\dagger}]. \tag{29}
$$

If this is a convergent series (rather than an asymptotic expansion), it implies that  $S_P^{(s)}$  must drop off with  $s > 0$ faster than any exponential of −s. But whether convergent or asymptotic, it is certain that as  $\mu$  increases one must keep a increasing number of terms in the sum in order to have an accurate approximation to the effective action. Since these higher terms will be very small in magnitude at zero or imaginary chemical potential, it is certain that they will be missed, beyond some order in the fugacity, in a relative weights computation.

In this paper we will be able to determine the contributions to  $S_p$  up to second order in fugacity, and to second order in products of the Polyakov line holonomies. These restrictions are not absolute, and can probably be overcome to some extent by further development of our method. But it should be clear from the start that we are always bound to miss terms in the sum that will become important at sufficiently large chemical potential. Hopefully our methods will determine enough of  $S_p$  that the interesting transitions in the  $\mu$ -T phase diagram for light quarks will be accessible, and that the large particle densities associated with such transitions are obtained at moderate, rather than enormous fugacities. But this issue can only be decided by investigation, of the sort we initiate here.

### <span id="page-4-0"></span>III. RESULTS FOR PURE GAUGE THEORY

We consider the effective action  $S_p$  corresponding to an underlying pure SU(3) lattice gauge on a  $16<sup>3</sup> \times 6$  lattice, at lattice couplings  $\beta = 5.6, 5.7$ . For these couplings the gauge theory is in the confinement phase; the deconfinement transition at  $N_t = 6$  lattice spacings in the time direction is at  $\beta = 5.89$ .

For a pure SU(3) gauge theory the bilinear form of the effective action is particularly simple, as already noted above. Expressing [\(18\)](#page-2-3) in momentum components, we have

$$
S_P = \sum_{k} a_k a_k^* \tilde{K}(k), \qquad (30)
$$

where

$$
K(x - y) = \frac{1}{L^3} \sum_{k} \tilde{K}(k) e^{-ik \cdot (x - y)}.
$$
 (31)

We see that for real  $\alpha$ 

<span id="page-5-0"></span>

FIG. 2 (color online). Path derivatives of  $S_p$  with respect to momentum modes  $a_k$ , evaluated at  $a_k = \alpha$  and then divided by  $\alpha$ , for 15 values of  $k<sub>L</sub>$ . The rescaled derivatives are shown for several values of  $\alpha$ , with the exception of the point at  $k<sub>L</sub> = 0$ , which is the value determined from the data in Fig. [1](#page-4-1). This is for an underlying pure gauge theory at  $\beta = 5.6$ . (a) data points fit by two straight lines. (b) the data points together with  $2K(k_L)$ , determined by the procedure explained in the text.

$$
\frac{1}{L^3} \left( \frac{\partial S_P}{\partial a_k^R} \right)_{a_k = \alpha} = 2 \tilde{K}(\mathbf{k}) \alpha. \tag{32}
$$

We compute the left hand side at several values of  $\alpha$ , and divide each result by  $\alpha$ . The values almost coincide within errors, apart from the values at  $k<sub>L</sub> = 0$ , where there is a small but noticeable (∼3%) deviation. For the data point at  $k_L = 0$  we therefore extrapolate to  $\alpha = 0$  by fitting the data to the curve  $A\alpha + B\alpha^2$ , as shown in Fig. [1.](#page-4-1)<sup>5</sup> Then  $2K(0) = A$  is the extrapolated value A is the extrapolated value.

<span id="page-5-1"></span>The data for

$$
\frac{1}{\alpha} \frac{1}{L^3} \left( \frac{\partial S_P}{\partial a_k^R} \right)_{a_k = \alpha} \tag{33}
$$

at all  $k<sub>L</sub>$  is displayed in Fig. [2\(a\),](#page-5-0) together with the value at  $k_L = 0$  extrapolated to  $\alpha = 0$ . In this and all other graphs with  $k_L$  on the x axis, we have used momenta k with components  $k_i = 2\pi m_i/L$  (L = 16 in this case), for the following triplets  $m = (m_1m_2m_3)$  of mode numbers:

$$
(000), (100), (110), (200), (210), (300), (311), (400),
$$
  
 $(322), (430), (333), (433), (443), (444), (554).$  (34)

The main point to notice in Fig. [2\(a\)](#page-5-0) is that most of the data fits on a straight line, with the exception of the point at  $k<sub>L</sub> = 0$ . This was also what we found for SU(2) gauge theory in our previous work [\[5,6\]](#page-17-4): the very low momentum data tends to bend away from a straight-line fit. There are no indications of rotational symmetry-breaking that might arise due to the cubic lattice. A new feature that has turned up in the SU(3) case is that the higher momentum points, at  $k_L \geq k_0 \approx 1.8$ , seem to fit a straight line with a slightly different slope than the line which fits the  $k_L < k_0$  data. This change of slope will be more pronounced in the further examples below.

So the data seems to depend only on  $k_L$ , and fits a straight line in the ranges  $k_{\text{min}} < k_L < k_0$ , and  $k_L > k_0$ , where  $k_0 \approx 1.8$  is the point where the slope suddenly changes, and  $k_{\text{min}} = 0$ . We therefore write the kernel as a function of just  $k_L$ , rather than the wavevector k. The way that we fit the data is to first do a linear fit to  $c_1 - 4c_2k_L$  for the data in the range  $k_{\text{min}} < k_L < k_0$ , and a fit to  $b_1$  –  $4b_2k_L$  in the high momentum range  $k_L > k_0$ . Then set

$$
\tilde{K}^{\text{fit}}(k_L) = \begin{cases} \frac{1}{2}c_1 - 2c_2k_L & k_L \le k_0 \\ \frac{1}{2}b_1 - 2b_2k_L & k_L > k_0 \end{cases} (35)
$$

Next define the position-space kernel with a long distance cutoff  $r_{max}$ 

<span id="page-5-2"></span>

FIG. 3 (color online). The Polyakov line correlators for pure gauge theory at  $\beta = 5.6$ , computed from numerical simulation of the effective PLA  $S_p$ , and from simulation of the underlying lattice SU(3) gauge theory.

<sup>&</sup>lt;sup>5</sup>This implies, of course, that there must be terms in  $S_p$  which are higher order than quadratic. We will return to this issue later; for the moment we are concerned with computing only the bilinear terms.

<span id="page-6-1"></span>FINDING THE EFFECTIVE POLYAKOV LINE ACTION ... PHYSICAL REVIEW D 90, 014507 (2014)



FIG. 4 (color online). Same as Fig. [2\(a\),](#page-5-0) but for the pure gauge theory at  $\beta = 5.7$ .

$$
K(x - y) = \begin{cases} \frac{1}{L^3} \sum_{k} \tilde{K}^{\text{fit}}(k_L) e^{ik \cdot (x - y)} & |x - y| \le r_{\text{max}}\\ 0 & |x - y| > r_{\text{max}} \end{cases} \tag{36}
$$

The cutoff  $r_{\text{max}}$  is chosen so that, upon transforming this kernel back to momentum space, the resulting  $K(k)$  also fits the low-momentum data at  $k_L \leq k_{\text{min}}$ , where  $k_{\text{min}} = 0$ in this example. The procedure is described in more detail in [\[6\].](#page-17-7) The point  $k_0$  is determining by carrying out two straight line fits to the data in the regions  $k_{\text{min}} < k_L \leq k_0$ and  $k_L \geq k_0$ , and then checking that the two straight lines intersect at  $k_0$ . We vary  $k_0$  until this matching condition is satisfied. The quantity  $2K(k_L)$  obtained by this method is shown in Fig. [2\(b\),](#page-5-0) together with the data for [\(33\)](#page-5-1).

Once again, this is all very similar to our previous findings for the SU(2) PLA. The only difference is that we now have to allow for a different linear fit for higher momentum points, in this case for  $k_L > 1.8$ . The physical mechanism behind this abrupt change in slope at  $k<sub>L</sub> = k<sub>0</sub>$  is not yet clear to us.

Now that we have obtained the kernel  $K(x - y)$  we can simulate the effective PLA, which is an SU(3) spin model

<span id="page-6-2"></span>

FIG. 5 (color online). The Polyakov line correlators for pure gauge theory at  $\beta = 5.7$ , computed from numerical simulation of the effective PLA  $S_p$ , and from simulation of the underlying lattice SU(3) gauge theory.

<span id="page-6-3"></span>TABLE I. Parameters defining the effective Polyakov line action  $S_p$  for pure SU(3) lattice gauge theory on a  $16<sup>3</sup> \times 6$  lattice.

ß	$C_1$	$\mathcal{C}$	$k_0$	$\mathcal{D}_1$	$b_{\gamma}$	$r_{\rm max}$
5.6	7.15(5)	$0.79(1)$ 1.79		6.22(14)	0.66(1)	$\sqrt{29}$
5.7	12.41(5)			$1.60(1)$ $1.51$ $7.94(14)$	0.86(2)	

[\(18\)](#page-2-3), by standard lattice Monte Carlo methods, and calculate the spin-spin correlator [\(4\).](#page-1-2) We can compare this with the corresponding Polyakov line correlator computed in the underlying SU(3) lattice pure gauge theory, at  $\beta =$ 5.6 on a  $16<sup>3</sup> \times 6$  lattice volume. The comparison (including off-axis separations) is shown in Fig. [3.](#page-5-2) Allowing for the fact that the data is a little noisy beyond  $R = 4$ , this seems like good agreement.

The next example, coming a little closer to the deconfinement transition at  $\beta = 5.89$ , is the pure gauge theory at  $\beta = 5.7$ . We again calculate the observable [\(33\)](#page-5-1) at several  $\alpha$ values, and we find again that the data points overlap, excluding the point at  $k<sub>L</sub> = 0$ . Extrapolating this point to  $\alpha = 0$  by the same method as before, we find results for [\(33\)](#page-5-1) displayed in Fig. [4](#page-6-1). This time the change in slope is found at  $k_0 = 1.51$ . We determine the kernel  $K(x - y)$  by the procedure outlined above, and simulate the resulting  $S_p$ . The comparison of Polyakov line correlators at off-axis separations is shown in Fig. [5.](#page-6-2)

The parameters which define the effective action [\(18\)](#page-2-3) in these two examples are given in Table [I.](#page-6-3) Note the very substantial increase in parameters  $c_1$ ,  $c_2$  as we approach the deconfinement transition.

It should be emphasized that the bilinear action does not imply that the effective action is a free field theory (any more than a non-linear sigma model is a free field theory), and of course there are an infinite number of non-trivial connected n-point functions in the theory. It is not hard to see, in the context of a strong-coupling expansion, how the bilinear action can generate, e.g. a 3-point correlator  $\langle P_x P_y P_z \rangle$  in SU(3). We have computed the two-point Polyakov line correlator simply because it is the simplest thing to measure;  $n = 3$  point (and higher) correlators are left for future work.

# IV. RESULTS FOR SU(3) GAUGE-HIGGS THEORY

<span id="page-6-0"></span>We now add a scalar matter term, and consider the SU(3) gauge-Higgs theory [\(5\)](#page-1-1) at several different values of  $κ$ . There is an extensive literature on the SU(2) version of this theory (see, e.g. Bonati et al. [\[9\]](#page-17-8) and references therein), and it is well known from the work of Fradkin and Shenker [\[10\]](#page-17-9) and Osterwalder and Seiler [\[11\]](#page-17-10) that there is no complete separation of the phase diagram into a confining and a deconfining (or "Higgs") phase. This ties in with the fact that there is no local or semilocal gauge-invariant order parameter which would distinguish the two phases. In some

<span id="page-7-0"></span>

FIG. 6 (color online). On-axis Polyakov line correlators computed for the underlying gauge-Higgs theory at  $\beta = 5.6$  and a variety of  $\kappa$  values on a 16<sup>3</sup>  $\times$  6 lattice volume. The correlators have been computed using the Lüscher-Weisz noise reduction method.

regions of the  $β$ -κ phase diagram, however, there can be either a first-order transition, or a rapid crossover, from a "confinementlike" region to a "Higgs-like" region. The confinementlike region is characterized, as in real QCD, by an area-law falloff of Wilson loops (or an exponential drop in the Polyakov-line correlator) up to some string-breaking scale. In the Higgs-like region the behavior is more like the electroweak theory, with no string formation (or linear static potential) at any scale. In the present exploratory study, we are interested mainly in the confinementlike region, and we will work exclusively at the gauge coupling  $\beta = 5.6$  on a  $16<sup>3</sup> \times 6$  lattice volume as before.

Results for the Polyakov line correlators in the lattice gauge-Higgs theory at a variety of  $\kappa$  values are shown in Fig. [6](#page-7-0). A calculation of the Polyakov line susceptability does not reveal a phase transition, but there is a peak in the susceptability at  $\kappa \approx 4$ , indicative of a rapid crossover. Since we are interested in the effects of (relatively) light scalars in the confinementlike regime, we consider  $\kappa$ -values close to but just below the crossover, specifically at  $\kappa = 3.6, 3.8, 3.9.$ 

The new feature at  $\kappa > 0$  is that we have to determine the terms in the effective action  $S_p$  which explicitly break center symmetry, and also to sort out their behavior at finite chemical potential. Effective actions which result from integrating out degrees of freedom in the underlying theory will typically involve an infinite number of terms. Truncation to a finite number of terms is therefore essential. We first consider a PLA truncated to terms bilinear in  $Tr U_x$ and  $Tr U_x^2$  (and their complex conjugates), and apply the transform [\(3\)](#page-0-0) to obtain the action at finite  $\mu$ . We then use the identities [\(24\)](#page-3-0) to express  $S_p$  in terms of the Polyakov lines, and finally discard terms involving products of three or more of the  $P_x$ . Even with such a truncation, we will see that some of the terms are negligible, at least until  $e^{\mu/T}$  is quite large. So initially we have

$$
S_P = \sum_{xy} \text{Tr} U_x \text{Tr} U_y^{\dagger} K_1(x - y) + \sum_{xy} \text{Tr} U_x^2 \text{Tr} U_y^{\dagger 2} K_2(x - y) + a_1 \sum_x (\text{Tr} U_x + \text{Tr} U_x^{\dagger}) + a_2 \sum_x (\text{Tr} U_x^2 + \text{Tr} U_x^{\dagger 2}) + \sum_{xy} (\text{Tr} U_x \text{Tr} U_y + \text{Tr} U_x^{\dagger 2} \text{Tr} U_y^{\dagger 2}) Q_1(x - y) + \sum_{xy} (\text{Tr} U_x^2 \text{Tr} U_y^{\dagger} + \text{Tr} U_x^{\dagger 2} \text{Tr} U_y) Q_2(x - y) + \sum_{xy} (\text{Tr} U_x^2 \text{Tr} U_y^2 + \text{Tr} U_x^{\dagger 2} \text{Tr} U_y^{\dagger 2}) Q_3(x - y). \quad (37)
$$

Then at finite chemical potential, from [\(3\),](#page-0-0)

$$
S_{P} = \sum_{xy} \text{Tr} U_{x} \text{Tr} U_{y}^{\dagger} K_{1}(\mathbf{x} - \mathbf{y}) + \sum_{xy} \text{Tr} U_{x}^{2} \text{Tr} U_{y}^{\dagger 2} K_{2}(\mathbf{x} - \mathbf{y}) + a_{1} \sum_{x} (\text{Tr} U_{x} e^{\mu/T} + \text{Tr} U_{x}^{\dagger} e^{-\mu/T})
$$
  
+  $a_{2} \sum_{x} (\text{Tr} U_{x}^{2} e^{2\mu/T} + \text{Tr} U_{x}^{\dagger 2} e^{-2\mu/T}) + \sum_{xy} (\text{Tr} U_{x} \text{Tr} U_{y} e^{2\mu/T} + \text{Tr} U_{x}^{\dagger 2} \text{Tr} U_{y}^{\dagger 2} e^{-2\mu/T}) Q_{1}(\mathbf{x} - \mathbf{y})$   
+  $\sum_{xy} (\text{Tr} U_{x}^{2} \text{Tr} U_{y}^{\dagger} e^{\mu/T} + \text{Tr} U_{x}^{\dagger 2} \text{Tr} U_{y} e^{-\mu/T}) Q_{2}(\mathbf{x} - \mathbf{y}) + \sum_{xy} (\text{Tr} U_{x}^{2} \text{Tr} U_{y}^{2} e^{4\mu/T} + \text{Tr} U_{x}^{\dagger 2} \text{Tr} U_{y}^{\dagger 2} e^{-4\mu/T}) Q_{3}(\mathbf{x} - \mathbf{y}).$   
(38)

<span id="page-7-1"></span>Now apply the identities [\(24\)](#page-3-0) to express everything in terms of the Polyakov lines, and discard terms involving a product of three or more lines:

$$
S_P = \sum_{xy} P_x P_y^{\dagger} K(\mathbf{x} - \mathbf{y}) + \sum \{ (d_1 e^{\mu/T} - d_2 e^{-2\mu/T}) P_x + (d_1 e^{-\mu/T} - d_2 e^{2\mu/T}) P_x^{\dagger} \} + \sum_{xy} (P_x P_y Q(\mathbf{x} - \mathbf{y}, \mu) + P_x^{\dagger} P_y^{\dagger} Q(\mathbf{x} - \mathbf{y}; -\mu)),
$$
\n(39)

where  $d_1 = 9a_1$ ,  $d_2 = 6a_2$ ; and

$$
K(x - y) = 9K_1(x - y) + 36K_2(x - y),
$$
  
\n
$$
Q(x - y; \mu) = Q^{(1)}(x - y)e^{-\mu/T} + Q^{(2)}(x - y)e^{2\mu/T} + Q^{(4)}(x - y)e^{-4\mu/T},
$$
\n(40)

where

$$
Q^{(1)}(x - y) = -18Q_2(x - y),
$$
  
\n
$$
Q^{(2)}(x - y) = 9a_2\delta_{xy} + 9Q_1(x - y),
$$
  
\n
$$
Q^{(4)}(x - y) = 36Q_3(x - y).
$$
\n(41)

The problem is to determine the kernels  $K(x - y)$ ,  $Q(x - y)$  $y; \mu$ ) and the constants  $d_1, d_2$ . For this purpose it is useful to introduce an imaginary chemical potential  $\mu/T = i\theta$ , as discussed in Sec. [II B.](#page-2-4) In momentum space the bilinear action becomes

$$
\frac{1}{L^3}S_P = \sum_k a_k a_k^* \tilde{K}(k_L) + a_0(d_1 e^{i\theta} - d_2 e^{-2i\theta}) \n+ a_0^* (d_1 e^{-i\theta} - d_2 e^{2i\theta}) \n+ \sum_k (a_k a_{-k} \tilde{Q}(k_L, \mu) + a_k^* a_{-k}^* \tilde{Q}(k_L, -\mu)).
$$
\n(42)

Taking the derivative with respect to  $a_0^R$ , evaluated at  $a_0 = a_0^* = \alpha$ , we have

<span id="page-8-0"></span>

FIG. 7 (color online). A plot of  $L^{-3}\partial S_P/\partial a_0^R$  evaluated at  $a_0 = \alpha$ , plotted against the imaginary chemical potential  $\mu/T = i\theta$ . The data is fit to a truncated cosine series (44) to determine center-symmetry-breaking is fit to a truncated cosine series [\(44\)](#page-9-0) to determine center-symmetry-breaking terms. (a)  $\alpha = 0.0025$ , (b)  $\alpha = 0.005$ , (c)  $\alpha = 0.0075$ , (d)  $\alpha = 0.01$ .

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$$
\frac{1}{L^3} \left( \frac{\partial S_P}{\partial a_0^R} \right)_{a_0 = \alpha} = 2\tilde{K}(0)\alpha + (2d_1 + 4\tilde{Q}^{(1)}(0)\alpha)\cos(\theta) \n- (2d_2 - 4\tilde{Q}^{(2)}(0)\alpha)\cos(2\theta).
$$
\n(43)

<span id="page-9-0"></span>Fitting the data to

$$
\frac{1}{L^3} \left( \frac{\partial S_P}{\partial a_0^R} \right)_{a_0^R = \alpha} = A(\alpha) + B(\alpha) \cos(\theta) - C(\alpha) \cos(2\theta)
$$
\n(44)

allows us to determine

$$
\tilde{K}(0) = \frac{1}{2}\frac{dA}{d\alpha}, \qquad d_1 = \frac{1}{2}B(0), \qquad \tilde{Q}^{(1)}(0) = \frac{1}{4}\frac{dB}{d\alpha},
$$

$$
d_2 = \frac{1}{2}C(0), \qquad \tilde{Q}^{(2)}(0) = -\frac{1}{4}\frac{dC}{d\alpha}.
$$
(45)

<span id="page-9-2"></span>For  $k \neq 0$ , the derivative wrt  $a_k$  has terms proportional to  $a_{-k}$ . We set  $a_{-k}$  to some constant real value  $a_{-k} = \sigma$ . Then

$$
\frac{1}{L^3} \left( \frac{\partial S_P}{\partial a_k^R} \right)_{a_k = \alpha}^{\alpha_{-k} = \sigma} = 2\tilde{K}(k_L)\alpha + 4(\tilde{Q}^{(1)}(k_L)\cos(\theta) + \tilde{Q}^{(2)}(k_L)\cos(2\theta) + \tilde{Q}^{(4)}(k_L)\cos(4\theta))\sigma.
$$
\n(46)

First, setting  $\sigma = 0$ , we have

$$
\tilde{K}(k_L) = \frac{1}{2L^3} \frac{d}{d\alpha} \left(\frac{\partial S_P}{\partial a_k^R}\right)_{a_k = \alpha}^{a_k = 0}.
$$
 (47)

Then, at small but finite  $\sigma$ , we can determine the  $\tilde{Q}^{(n)}(k_L)$ <br>from the *A*-dependence of the data from the  $\theta$ -dependence of the data.

# A.  $\kappa = 3.9$

We begin by computing the derivative of  $S_P$  with respect to the zero-mode  $a_0^R$  at 15 values of the imaginary chemical potential in the range  $0 \le \theta < 2\pi$ , and four values of  $\alpha$ . At each  $\alpha$  we fit the results to a truncated cosine series [\(44\)](#page-9-0). The data and the fits are shown in Fig. [7](#page-8-0). We then plot  $A(\alpha)$ ,

<span id="page-9-1"></span>

FIG. 8 (color online). Coefficients A, B, C of the best fit to the data in Fig. [7](#page-8-0) by a truncated cosine series  $A + B \cos(\theta) + C \cos(2\theta)$ ; these coefficients are displayed in subfigures (a), (b), and (c), respectively. The coefficients are computed at several values of  $\alpha_0 = \alpha$ , and the lines shown are a best linear fit. From the slope and y-axis intercept of these lines, we are able to compute parameters of the center-symmetry-breaking terms, as explained in the text.

<span id="page-10-0"></span>

FIG. 9 (color online). Path derivatives of  $S_p$  with respect to momentum modes  $a_k^R$ , evaluated at  $a_k = a_k^* = \alpha$  and then divided<br>by  $\alpha L^3$  for 15 values of  $k<sub>k</sub>$ . This is for an underlying lattice by  $\alpha L^3$ , for 15 values of  $k_L$ . This is for an underlying lattice gauge-Higgs theory with  $\beta = 5.6$ ,  $\kappa = 3.9$ . Data points at  $k_L$ below and above  $k_L = 1.36$  fall on two straight lines, with a different slope for each line.

 $B(\alpha)$ ,  $C(\alpha)$  extracted from the cosine fits, and make a linear best fit to the results for A, B, C vs  $\alpha$ , as displayed in Fig. [\(8\).](#page-9-1) From the slope of the best fit lines we get the  $\alpha$ -derivatives of these quantities, and the y intercept gives us the values of A, B, C extrapolated to  $\alpha = 0$ . The  $\alpha$ derivatives and  $\alpha = 0$  values give us  $\tilde{K}(0)$ ,  $\tilde{Q}^{(1,2)}(0)$ ,  $d_1$ ,  $d_2$ , as explained above as explained above.

Next we compute the  $a_k$  derivatives at  $k \neq 0$  with  $\sigma =$  $a_{-k}$  set to zero. This result, together with our usual fit by two straight lines, is shown in Fig. [9.](#page-10-0) In this case it appears that the extrapolated  $\alpha \rightarrow 0$  value of  $2K(0)$  falls very near the y intercept of the first straight line. That means that we do not see a long-distance cutoff for the position-space kernel  $K(x - y)$ , at least on a 16<sup>3</sup> × 6 lattice, and on a lattice volume of this size every point is coupled to every other point in  $S_p$ . This all-points-to-all-points coupling makes the numerical simulation of the effective action a little more time-consuming than before (unless we just truncate the long-distance coupling by hand), but it is still possible.

Finally we consider  $\tilde{Q}(k_L, \mu)$ , with  $\mu/T = i\theta$ . Let us concentrate on the lowest non-zero momentum with components  $k_i = 2\pi m_i/L$ , with the mode number triplet  $(m_1m_2m_3) = (100)$ , and compute [\(46\)](#page-9-2) at 15 values of  $\theta$ , with  $\alpha = \sigma = 0.01$ . The error bars are large but what we find, seen in Fig. [10\(a\),](#page-10-1) is that the  $\theta$ -dependence seems to be dominated by a term proportional to  $cos(\theta)$ . However,  $Q(k_L, \mu)$  itself is almost negligible compared to  $\tilde{K}(k_L)$ , as seen in Fig. [10\(b\)](#page-10-1), where we plot a rough estimate of  $2\tilde{Q}^{(1)}(k_L)$  vs  $k_L$ , based on only three  $\theta$  values at each  $k_L$ .<br>Cortainly,  $\tilde{Q}(k_{L-1})$  will become important at sufficiently Certainly  $Q(k_L, \mu)$  will become important at sufficiently large and real  $\mu$  such that  $e^{\mu} > 10$ , but its contribution at  $\mu = 0$  can be ignored.

The comparison of off-axis Polyakov line correlators at  $\beta = 5.6$ ,  $\kappa = 3.9$  computed for  $S_p$  and for the underlying lattice gauge-Higgs theory is shown in Fig. [11](#page-11-0). On-axis data points derived from the underlying theory using Lüscher-Weisz noise reduction [\[12\]](#page-17-11) are also displayed in this figure.

### B.  $\kappa = 3.8, 3.6$

As  $\kappa$  is reduced, the effective theory should approach the pure gauge result discussed in Sec. [III.](#page-4-0) Even a small reduction away from the crossover, from  $\kappa = 3.9$  to

<span id="page-10-1"></span>

FIG. 10 (color online). (a) A plot of the path derivative data vs imaginary chemical potential, analogous to Fig. [7](#page-8-0), but this time with the derivative taken with respect to the (100) momentum mode at  $\alpha = \sigma = 0.01$ . Statistics are not good enough to determine the coefficient of the cos $(2\theta)$  term. From data of this sort, taken over a range of k<sub>L</sub>, we can in principle determine the semilocal kernel  $Q(x - y, \mu)$  of the center-symmetry-breaking term involving a product of Polyakov line variables. (b) A rough estimate of  $2\tilde{Q}^{(1)}(k_L)$  vs  $k_L$ , shown in comparison with  $2\tilde{K}(k_A)$ comparison with  $2K(k_L)$ .

<span id="page-11-0"></span>

FIG. 11 (color online). The Polyakov line correlators for the gauge-Higgs theory at  $\beta = 5.6$  and  $\kappa = 3.9$ , corresponding to the lightest matter field in our set of  $\kappa$  values, computed from numerical simulation of the effective PLA  $S_p$ , and from simulation of the underlying lattice SU(3) gauge theory. On-axis data points denoted "LW lattice SU(3)" are derived from the underlying theory with Lüscher-Weisz noise reduction.

 $\kappa = 3.8$  has a large effect on the Polyakov line correlator, as we see in Fig. [6.](#page-7-0)

The effective action at  $\kappa = 3.8$  is determined by the same means as at the larger  $\kappa = 3.9$  value. The main difference is that the center-symmetry-breaking terms proportional to  $e^{\pm 2i\theta}$  are consistent with zero, within error bars. We only show the results, in Fig. [12](#page-11-1), for the zero-mode derivative, which can be compared to Fig. [7](#page-8-0) above. Note that the coefficient of the  $cos(2\theta)$  term is essentially consistent with zero. It is unlikely that this and higher terms in fugacity are exactly zero, but they are too small to be detected with our current statistics. The Polyakov line correlator comparison at  $\kappa = 3.8$  is displayed in Fig. [13](#page-12-1).

We have also carried out our procedure for  $\kappa = 3.6$ , and the corresponding correlator comparison is shown in Fig. [14.](#page-12-2) In this case the mass of the matter field is so large that the results are not far from the pure-gauge result at  $\beta = 5.6$ . The parameters which determine the effective action  $S_p$  at  $\beta = 5.6$  and  $\kappa = 3.6,3.8, 3.9$  are shown in Table [II](#page-12-3).

<span id="page-11-1"></span>

FIG. 12 (color online). Same as Fig. [7](#page-8-0), but this time at  $\kappa = 3.8$ . (a)  $\alpha = 0.005$ ; (b)  $\alpha = 0.010$ ; (c)  $\alpha = 0.015$ .



<span id="page-12-1"></span>

FIG. 13 (color online). The Polyakov line correlators for the gauge-Higgs theory at  $\beta = 5.6$  and  $\kappa = 3.8$ , computed from numerical simulation of the effective PLA  $S_p$ , and from simulation of the underlying lattice SU(3) gauge theory. In the latter case we show off-axis points computed by standard methods, together with on-axis points using Lüscher-Weisz noise reduction.

<span id="page-12-2"></span>

FIG. 14 (color online). The Polyakov line correlators for the gauge-Higgs theory at  $\beta = 5.6$  and  $\kappa = 3.6$ , corresponding to the heaviest scalar in our set of  $\kappa$  values, computed from numerical simulation of the effective PLA  $S_p$ , and from simulation of the underlying lattice SU(3) gauge theory.

# <span id="page-12-0"></span>V. MEAN FIELD APPROACH TO SOLVING THE EFFECTIVE ACTION

In this section we solve the effective theory  $S_p$  in [\(39\)](#page-7-1), derived for the gauge-Higgs action [\(5\)](#page-1-1) at finite chemical potential, and also for the effective theory derived for heavy quarks at large chemical potential. In both cases the effective action  $S_p$  still has a sign problem. As noted in the Introduction, the sign problem in the effective model can be attacked by a variety of methods [1–[4\],](#page-17-2) which have been successfully applied to the SU(3) spin model [\(2\)](#page-0-2). Here we will implement the mean field approach, following closely the treatment in [\[4\]](#page-17-3), and postponing the treatment by other procedures to later work. The mean field method is, of course, an approximation, but it is worth noting that the approximation typically improves the more spins are coupled, in the action, to any given spin. For an action such as  $S_p$ , in which not only nearest neighbor spins, but spins separated by any distance  $\leq r_{\text{max}}$  are coupled together, it is possible that the mean field treatment provides a better approximation than one might otherwise expect in  $D = 3$ dimensions.

### A. The gauge-Higgs model

The starting point is the effective bilinear action [\(39\)](#page-7-1), where  $K(x - y)$  is determined from the parameters in Table [II](#page-12-3). While  $Q(x - y, \mu)$  is consistent with zero, at the level of our present statistics, we will carry it along just to show how it is included in the mean field approach. Reintroducing the holonomies via the definition [\(13\),](#page-2-1) the bilinear action has the form

$$
S_P = \sum_{xy} \text{Tr} U_x \text{Tr} U_y^{\dagger} \frac{1}{9} K(x - y)
$$
  
+ 
$$
\sum_{x} \left\{ \frac{1}{3} (d_1 e^{\mu/T} - d_2 e^{-2\mu/T}) \text{Tr} U_x \right\}
$$
  
+ 
$$
\frac{1}{3} (d_1 e^{-\mu/T} - d_2 e^{2\mu/T}) \text{Tr} U_x^{\dagger} \right\}
$$
  
+ 
$$
\sum_{xy} \left( \text{Tr} U_x \text{Tr} U_y \frac{1}{9} Q(x - y, \mu) \right)
$$
  
+ 
$$
\text{Tr} U_x^{\dagger} \text{Tr} U_y^{\dagger} \frac{1}{9} Q(x - y; -\mu) \right). \tag{48}
$$

Introducing a notation for the double sum over sites  $x, y$ that excludes  $x = y$ 

$$
\sum_{(x,y)} \equiv \sum_{x} \sum_{y \neq x} \tag{49}
$$

<span id="page-12-3"></span>TABLE II. Parameters defining the effective Polyakov line action  $S_p$  for SU(3) gauge-Higgs theory at  $\beta = 5.6$  and  $\kappa = 3.6, 3.8, 3.9$  on a  $16^3 \times 6$  lattice.

κ			$\kappa_0$	$\boldsymbol{\nu}$	$D_{\hat{}}$	max	$\iota$	$\mathfrak{a}_2$
3.6	8.53(6)	0.99(4)	.68	6.68(14)	0.71(2)	$\sqrt{39}$	0.0062(7)	${}< 0.001$
3.8	9.77(8)	.18(2)	1.63	6.77(17)	0.72(2)	$\sqrt{41}$	0.0195(4)	< 0.001
3.9	12.55(13)	.69(4)	1.36	8.16(17)	0.89(2)	no cutoff	0.0585(8)	0.0115(2)

we have

$$
S_{P} = \sum_{(x,y)} \text{Tr} U_{x} \text{Tr} U_{y}^{\dagger} \frac{1}{9} K(x-y) + \sum_{x} \text{Tr} U_{x} \text{Tr} U_{x}^{\dagger} \frac{1}{9} K(0) + \sum_{x} \left\{ \frac{1}{3} (d_{1} e^{\mu/T} - d_{2} e^{-2\mu/T}) \text{Tr} U_{x} + \frac{1}{3} (d_{1} e^{-\mu/T} - d_{2} e^{2\mu/T}) \text{Tr} U_{x}^{\dagger} \right\} + \sum_{(x,y)} \left( \text{Tr} U_{x} \text{Tr} U_{y} \frac{1}{9} Q(x-y,\mu) + \text{Tr} U_{x}^{\dagger} \text{Tr} U_{y}^{\dagger} \frac{1}{9} Q(x-y;\mu) \right) + \sum_{x} \left( \text{Tr} U_{x} \text{Tr} U_{x} \frac{1}{9} Q(0,\mu) + \text{Tr} U_{x}^{\dagger} \text{Tr} U_{x}^{\dagger} \frac{1}{9} Q(0;\mu) \right).
$$
\n(50)

Let us focus on the two semilocal terms

$$
T_1 = \sum_{(x,y)} \text{Tr} U_x \text{Tr} U_y^{\dagger} \frac{1}{9} K(x - y),
$$
  
\n
$$
T_2 = \sum_{(x,y)} \left( \text{Tr} U_x \text{Tr} U_y \frac{1}{9} Q(x - y, \mu) + \text{Tr} U_x^{\dagger} \text{Tr} U_y^{\dagger} \frac{1}{9} Q(x - y; -\mu) \right), \tag{51}
$$

and write

$$
\mathrm{Tr}U_x = (\mathrm{Tr}U_x - u) + u, \qquad \mathrm{Tr}U_x^{\dagger} = (\mathrm{Tr}U_x^{\dagger} - v) + v. \tag{52}
$$

Then

$$
T_1 = \sum_{(xy)} \{u \text{Tr} U_y^{\dagger} + v \text{Tr} U_x - uv\} \left(\frac{1}{9} K(x - y)\right) + E_1
$$
  
=  $J_0 \sum_x (v \text{Tr} U_x + u \text{Tr} U_x^{\dagger}) - uv J_0 V + E_1,$  (53)

where we have defined

$$
E_1 = \sum_{(\mathbf{x}\mathbf{y})} (\text{Tr} U_x - u)(\text{Tr} U_y^{\dagger} - v) \frac{1}{9} K(\mathbf{x} - \mathbf{y}),
$$
  
\n
$$
J_0 = \frac{1}{9} \sum_{\mathbf{x} \neq 0} K(\mathbf{x}).
$$
\n(54)

Likewise

$$
T_2 = 2\sum_{x} (u \text{Tr} U_x J_2(\mu) + v \text{Tr} U_x^{\dagger} J_2(-\mu)
$$

$$
- (u^2 J_2(\mu) + v^2 J_2(-\mu)) V + E_2,
$$
(55)

where

$$
E_2 = \sum_{(x,y)} \left\{ (\text{Tr} U_x - u)(\text{Tr} U_y - u) \frac{1}{9} Q(x - y, \mu) + (U_x^{\dagger} - v)(\text{Tr} U_y^{\dagger} - v) \frac{1}{9} Q(x - y; -\mu) \right\},
$$
  

$$
J_2(\mu) = \frac{1}{9} \sum_{x \neq 0} Q(x, \mu), \qquad J_2(-\mu) = \frac{1}{9} \sum_{x \neq 0} Q(x, -\mu). \quad (56)
$$

Putting it all together,

$$
S_P = \sum_{x} \text{Tr} U_x \left\{ J_0 v + \frac{1}{3} (d_1 e^{\mu/T} - d_2 e^{-2\mu/T} + 2J_2(\mu)u) \right\}
$$
  
+ 
$$
\sum_{x} \text{Tr} U_x^{\dagger} \left\{ J_0 u + \frac{1}{3} (d_1 e^{-\mu/T} - d_2 e^{2\mu/T} + 2J_2(-\mu) v) \right\}
$$
  
- 
$$
u v J_0 V - (u^2 J_2(\mu) + v^2 J_2(-\mu)) V
$$
  
+ 
$$
\sum_{x} \text{Tr} U_x \text{Tr} U_x^{\dagger} \frac{1}{9} K(0)
$$
  
+ 
$$
\frac{1}{9} \sum_{x} \{ \text{Tr} U_x \text{Tr} U_x Q(0, \mu) + \text{Tr} U_x^{\dagger} \text{Tr} U_x^{\dagger} Q(0, -\mu) \}
$$
  
+ 
$$
E_1 + E_2.
$$
 (57)

The mean field approximation amounts to dropping  $E_1$ ,  $E_2$ , and then choosing the constants  $u$ ,  $v$  such that the free energy of the resulting theory is minimized. The justification is that  $E_1$ ,  $E_2$  depend only on the differences Tr $U_x - u$ and  $Tr U_x^{\dagger} - v$ , and the choice of u, v minimizing the free energy sets the expectation value of these differences to zero. The approximation can be improved by treating  $E_1$ ,  $E<sub>2</sub>$  as small corrections to the leading mean field result, as carried out for the  $SU(3)$  spin model in [\[4\],](#page-17-3) but for now we will just work in the leading approximation, neglecting  $E_1, E_2.$ 

<span id="page-13-0"></span>Let us define

$$
A(\mu) = J_0 v + \frac{1}{3} (d_1 e^{\mu/T} - d_2 e^{-2\mu/T}) + 2J_2(\mu)u,
$$
  
\n
$$
B(\mu) = J_0 u + \frac{1}{3} (d_1 e^{-\mu/T} - d_2 e^{2\mu/T}) + 2J_2(-\mu)v,
$$
  
\n
$$
a_0 = \frac{1}{9} K(0), \quad a_2(\mu) = \frac{1}{9} Q(0, \mu), \quad a_2(-\mu) = \frac{1}{9} Q(0, -\mu).
$$
  
\n(58)

The partition function of the effective model, in the mean field approximation, is then

$$
Z_{mf} = \exp[-uvJ_0V - (u^2J_2(\mu) + v^2J_2(-\mu))V]
$$
  
 
$$
\times \left\{ \exp\left[a_0 \frac{\partial^2}{\partial A \partial B} + a_2(\mu) \frac{\partial^2}{\partial A^2} + a_2(-\mu) \frac{\partial^2}{\partial B^2}\right] \right\}
$$
  
 
$$
\times \int DU e^{ATrU + BTrU^{\dagger}} \right\}^V.
$$
 (59)

<span id="page-14-0"></span>We introduce the rescalings

$$
u = u'e^{-\mu/T}
$$
,  $v = v'e^{\mu/T}$ ,  $A = A'e^{\mu/T}$ ,  $B = B'e^{-\mu/T}$ , (60)

and follow the steps in Ref. [\[4\],](#page-17-3) which will not be reproduced here. The upshot is that if we denote  $Z_{mf} = \exp[-f_{mf}V/T]$ , where V is the lattice volume in  $D = 3$  dimensions, then

$$
f_{mf}/T = u'v'J_0 + u'^2 e^{-2\mu/T} J_2(\mu)
$$
  
+ 
$$
v'^2 e^{2\mu/T} J_2(-\mu) - \log F[A', B'], \quad (61)
$$

where $6$ 

$$
F[A', B']
$$
  
=  $\exp \left[a_0 \frac{\partial^2}{\partial A' \partial B'} + a_2(\mu) e^{-2\mu/T} \frac{\partial^2}{\partial A'^2} + a_2(-\mu) e^{2\mu/T} \frac{\partial^2}{\partial B'^2}\right]$   
 $\times \sum_{s=-\infty}^{\infty} e^{3\mu s} \det[D_{ij}^{-s} I_0[2\sqrt{A'B'}]],$  (62)

and  $D_{ij}^{-s}$  is the *i*, *j*th component of a matrix of differential operators

$$
D_{ij}^s = \begin{cases} D_{i,j+s} & s \ge 0 \\ D_{i+|s|,j} & s < 0 \end{cases}, \quad D_{ij} = \begin{cases} \left(\frac{\partial}{\partial B'}\right)^{i-j} & i \ge j \\ \left(\frac{\partial}{\partial A'}\right)^{j-i} & i < j \end{cases}.
$$
\n
$$
(63)
$$

Since [\(58\)](#page-13-0) can be inverted to give  $u'$ ,  $v'$  in terms of  $A'$ ,  $B'$ , we find the minimum of  $f_{mf}$  by solving the stationarity conditions

$$
\begin{aligned}\n\left\{\frac{\partial u'}{\partial A'}v' + u'\frac{\partial v'}{\partial A'}\right)J_0 + 2\left(u'\frac{\partial u'}{\partial A'}\right)e^{-2\mu/T}J_2(\mu) \\
&+ 2v'\left(\frac{\partial v'}{\partial A'}\right)e^{2\mu/T}J_2(-\mu) - \frac{1}{F}\frac{\partial F}{\partial A'} = 0, \\
\left\{\frac{\partial u'}{\partial B'}v' + u'\frac{\partial v'}{\partial B'}\right)J_0 + 2\left(u'\frac{\partial u'}{\partial B'}\right)e^{-2\mu/T}J_2(\mu) \\
&+ 2v'\left(\frac{\partial v'}{\partial B'}\right)e^{2\mu/T}J_2(-\mu) - \frac{1}{F}\frac{\partial F}{\partial B'} = 0,\n\end{aligned} \tag{64}
$$

numerically.

For the present we are ignoring the  $Q(x - y)$  kernel, which is certainly negligible at small to moderate  $\mu$ . In this case one can show that

$$
u' = J_0 \frac{\partial}{\partial A'} (u'v'), \qquad v' = J_0 \frac{\partial}{\partial B'} (u'v'), \quad (65)
$$

and the stationarity conditions simplify to

$$
u' - \frac{1}{F} \frac{\partial F}{\partial A'} = 0, \qquad v' - \frac{1}{F} \frac{\partial F}{\partial B'} = 0.
$$
 (66)

But we also have, in the mean field approximation, that [\[4\]](#page-17-3)

$$
\langle \text{Tr} U_x \rangle = \frac{1}{F} \frac{\partial F}{\partial A}, \qquad \langle \text{Tr} U_x^{\dagger} \rangle = \frac{1}{F} \frac{\partial F}{\partial B}, \qquad (67)
$$

which, together with the stationarity conditions, imply the self-consistency conditions

$$
u = \langle \text{Tr} U_x \rangle, \qquad v = \langle \text{Tr} U_x^{\dagger} \rangle. \tag{68}
$$

For phase structure the relevant observables are  $u, v$  and the scalar "quark" number density

$$
n = -\frac{df_{mf}}{d\mu} = -T\left(\frac{\partial}{\partial\mu} + \frac{\partial A'}{\partial\mu}\frac{\partial}{\partial A'} + \frac{\partial B'}{\partial\mu}\frac{\partial}{\partial B'}\right)f_{mf} = \frac{1}{F}\frac{\partial F}{\partial\mu/T}
$$
(69)

where  $f_{mf}$  is evaluated at the stationary point, so that derivatives of  $f_{mf}$  wrt A', B' vanish. These observables are plotted as a function of  $\mu/T$  in Fig. [\(15\)](#page-15-0) for the case of  $\beta = 5.6$ ,  $\kappa = 3.8$ . We see no evidence of a phase transition. The case of  $\beta = 5.6$ ,  $\kappa = 3.9$  is more problematic. In this case, the mean field solution yields a negative number density at finite  $\mu$ , which we consider to be an unphysical result. The error may lie in the mean field method itself, but more likely it is due to the neglect of center symmetrybreaking terms which are bilinear in the Polyakov lines. Although such terms appear to be unimportant at  $\mu = 0$ , we can see from our data [e.g. Fig. [10\(a\)](#page-10-1)] that they exist, and presumably become relevant at finite  $\mu$ . We will return to this example, and a comparison of mean field and complex Langevin techniques, in a subsequent paper [\[13\]](#page-17-12).

<sup>&</sup>lt;sup>6</sup>In practice  $F[A', B']$  is evaluated by expanding the exponen-<br>containing differential operators in a Taylor series, and tial containing differential operators in a Taylor series, and truncating the series. In this particular gauge-Higgs example,  $a_0$  is very small compared to  $J_0$ , and the expansion to first order makes hardly any difference to the result at zeroth order. The sum over s is also truncated to  $|s| \leq s_{\text{max}}$ , and we have checked the increasing the cutoff beyond  $s_{\text{max}} = 3$  makes no difference to the increasing the cutoff beyond  $s_{\text{max}} = 3$  makes no difference to the result. result.

<span id="page-15-0"></span>

FIG. 15 (color online). Mean field solution of the effective Polyakov line action  $S_p$  corresponding to a gauge-Higgs theory at  $\beta = 5.6$ ,  $\kappa = 3.8$ , at finite values of the chemical potential. (a) The expectation value of Polyakov lines  $\langle \text{Tr} U \rangle$  and  $\langle \text{Tr} U^{\dagger} \rangle$  vs  $\mu/T$ . (b) Particle number density vs  $\mu/T$ .

# B. The heavy quark model

Let  $\zeta$  represent the hopping parameter for Wilson fermions, or  $1/2m$  for staggered fermions, and  $h = \zeta^{N_t}$ . In the limit that  $\zeta \to 0$  and  $e^{\mu} \to \infty$  in such a way that  $\zeta e^{\mu}$  is finite, the lattice action simplifies drastically [\[14\]](#page-17-13). In temporal gauge,

$$
\exp[S_L] = \prod_x \det[1 + he^{\mu/T} U_0(\mathbf{x}, 0)]^p
$$
  
× det[1 + he<sup>-\mu/T</sup>U<sup>†</sup>( $\mathbf{x}, 0$ )]<sup>p</sup> exp[S<sub>plaq</sub>], (70)

where  $p = 1$  for four-flavor staggered fermions, and  $p =$  $2N_f$  for Wilson fermions ( $N_f$  is the number of flavors), and where the determinant refers to color indices since the Dirac indices have already been accounted for. Since the determinants only involve the Polyakov loop holonomies, the effective PLA is derived trivially once one has derived

the  $S_P^{pg}$  for the pure gauge theory defined by the plaquette action  $S_{\text{plaq}}$ :

$$
\exp[S_P] = \prod_x \det[1 + he^{\mu/T} U_x]^p
$$
  
 
$$
\times \det[1 + he^{-\mu/T} U_x^{\dagger}]^p \exp[S_P^{pg}]. \tag{71}
$$

The determinants can be expressed entirely in terms of Polyakov line operators, using the identities

$$
\det[1 + he^{\mu/T}U_x]
$$
  
= 1 + he^{\mu/T}\text{Tr}[U\_x] + h^2e^{2\mu/T}\text{Tr}[U\_x^{\dagger}] + h^3e^{3\mu/T},  
\det[1 + he^{-\mu/T}U\_x^{\dagger}]  
= 1 + he^{-\mu/T}\text{Tr}[U\_x^{\dagger}] + h^2e^{-2\mu/T}\text{Tr}[U\_x] + h^3e^{-3\mu/T}. (72)

This leads us to the mean field expression<sup>7</sup>

$$
Z_{mf} = \left\{ e^{-J_0uv} \int dU(1 + he^{\mu/T} \text{Tr}[U] + h^2 e^{2\mu/T} \text{Tr}[U^{\dagger}] + h^3 e^{3\mu/T})^p \right\}
$$
  
\n
$$
\times (1 + he^{-\mu/T} \text{Tr}[U^{\dagger}] + h^2 e^{-2\mu/T} \text{Tr}[U] + h^3 e^{-3\mu/T})^p \exp[AT\text{Tr}U + BT\text{Tr}[U^{\dagger}] \right\}^V
$$
  
\n
$$
= \left\{ e^{-J_0uv} \left( 1 + he^{\mu/T} \frac{\partial}{\partial A} + h^2 e^{2\mu/T} \frac{\partial}{\partial B} + h^3 e^{3\mu/T} \right)^p \right\}
$$
  
\n
$$
\times \left( 1 + he^{-\mu/T} \frac{\partial}{\partial B} + h^2 e^{-2\mu/T} \frac{\partial}{\partial A} + h^3 e^{-3\mu/T} \right)^p \int dU \exp[AT\text{Tr}U + BT\text{Tr}U^{\dagger}] \right\}^V
$$
  
\n
$$
= \left\{ e^{-J_0u'v'} \left( a_1 + a_2 e^{-\mu/T} \frac{\partial}{\partial A'} + a_3 e^{\mu/T} \frac{\partial}{\partial B'} + a_4 e^{-2\mu/T} \frac{\partial^2}{\partial A'^2} + a_5 e^{2\mu/T} \frac{\partial^2}{\partial B'^2} + a_6 \frac{\partial^2}{\partial A' \partial B'} \right)^p
$$
  
\n
$$
\times \sum_{s=-\infty}^{\infty} e^{3\mu s} \det[D_{ij}^{-s}I_0[2\sqrt{A'B'}]] \right\}^V,
$$
  
\n(73)

<sup>&</sup>lt;sup>7</sup>A term  $a_0 \frac{\partial^2}{\partial A \partial B}$  in the leading exponential containing  $J_0uv$  is neglected, since  $a_0$  is two orders of magnitude smaller than  $J_0$ .

<span id="page-16-1"></span>

FIG. 16 (color online). Mean field solution of the effective Polyakov line action  $S_p$  corresponding to a gauge theory on a  $16<sup>3</sup> \times 6$ lattice at  $\beta = 5.6$ , with heavy staggered fermions of mass  $m = 2.32$  in lattice units. (a) The expectation value of Polyakov lines  $\langle \text{Tr} U \rangle$ and  $\langle \text{Tr} U^{\dagger} \rangle$  vs  $\mu/T$ . (b) Particle number density vs  $\mu/T$ .

where

$$
a_1 = 1 + h^3(e^{3\mu/T} + e^{-3\mu/T}) + h^6
$$
  
\n
$$
a_2 = (h + h^5)e^{\mu/T} + (h^2 + h^4)e^{-2\mu/T},
$$
  
\n
$$
a_3 = (h + h^5)e^{-\mu/T} + (h^2 + h^4)e^{2\mu/T}
$$
  
\n
$$
a_4 = h^3e^{-\mu/T}, \qquad a_5 = h^3e^{\mu/T}, \qquad a_6 = h^2 + h^3, (74)
$$

and in this case  $A = J_0v$ ,  $B = J_0u$ , with rescalings as in [\(60\)](#page-14-0). Defining

$$
G(A', B') = \left(a_1 + a_2 e^{-\mu/T} \frac{\partial}{\partial A'} + a_3 e^{\mu/T} \frac{\partial}{\partial B'} + a_4 e^{-2\mu/T} \frac{\partial^2}{\partial A'^2} + a_5 e^{2\mu/T} \frac{\partial^2}{\partial B'^2} + a_6 \frac{\partial^2}{\partial A' \partial B'}\right)^p
$$

$$
\times \sum_{s=-\infty}^{\infty} e^{3\mu s} \det[D_{ij}^{-s} I_0[2\sqrt{A'B'}]], \tag{75}
$$

then the mean field self-consistency conditions  $u = \langle \text{Tr} U_x \rangle$ ,  $v = \langle \text{Tr} U_x^{\dagger} \rangle$ , equivalent to a stationarity condition on the mean field free energy are condition on the mean field free energy, are

$$
\frac{B'}{J_0} - \frac{1}{G} \frac{\partial G}{\partial A'} = 0 \quad \text{and} \quad \frac{A'}{J_0} - \frac{1}{G} \frac{\partial G}{\partial B'} = 0, \quad (76)
$$

which can be solved numerically.

As an example, we have solved the heavy quark model for staggered quarks ( $p = 1$ , four flavors) at  $\beta = 5.6$ ,  $N_t = 6$  and  $h = 10^{-4}$ , which corresponds to a mass  $m = 2.32$  in inverse lattice spacing. The result is shown in Fig. [16.](#page-16-1) Note that the number density saturates for large  $\mu/T$  at  $n = 3$  particles/lattice site, as is appropriate for staggered quarks with three colors.

# VI. CONCLUSIONS

<span id="page-16-0"></span>We have tested the relative weights method for extracting the effective Polyakov line action from both pure SU(3) lattice gauge theory and in an SU(3) gauge-Higgs theory in the "confinementlike" phase. In the latter case we have shown how to compute the effective action also in the case of finite chemical potential. In all cases studied so far there is excellent agreement between Polyakov line correlators computed in the effective action and in the underlying gauge theory at zero chemical potential. Mean field methods have been employed to determine the expectation value of observables in the effective action, corresponding to the gauge-Higgs theory [\(5\)](#page-1-1) at  $\beta = 5.6$ ,  $\kappa = 3.8$ , and to a gauge theory with massive quarks, as a function of chemical potential.

So far we have computed the effective action up to terms bilinear in the Polyakov lines, at zeroth order in fugacity, and terms linear in the Polyakov lines, up to second order in the fugacity. It is straighforward, i.e. only a matter of increased statistics, to extract also the fugacity dependent bilinear terms. We believe that the method can be extended to derive terms involving products of three or four Polyakov lines by fitting the path derivatives [\(16\)](#page-2-5) to polynomials in  $\alpha$ , and by computing second derivatives of  $S_p$  with regard to momentum modes. It is important to determine at least the magnitude of  $\mu$ -dependent terms which are neglected at  $\mu = 0$ , as compared to terms which are kept, because this will give us an estimate of how far out we can go in  $\mu$  before the neglected terms become important. This problem is currently under investigation.

The next steps in our program are as follows. First, since any effective Polyakov line action at finite  $\mu$  has a sign problem, it is essential to assess the reliability of mean field theory in this context, or to find another technique, such as the complex Langevin [\[3\]](#page-17-1) or the density of states method [\[15\]](#page-17-14), to deal with the problem. One thing we can do along these lines is to compare mean field and complex Langevin solutions of the effective actions we have derived so far. This work is well underway, and the results will be reported shortly [\[13\]](#page-17-12). We would then like to extract terms in the effective action for gauge-Higgs theory, such as bilinear terms to second order in fugacity, which have been neglected so far. The final step is to replace the scalar field with fermion fields, and solve for the effective Polyakov line action. The application of our method to the case of gauge fields coupled to fermions was already outlined in the Appendix of Ref. [\[5\].](#page-17-4) We have now seen that the introduction of an imaginary chemical potential is essential, and this technique should supplement the approach in [\[5\]](#page-17-4). One can then vary parameters, and search

for phase transitions. Of course the ultimate goal, if it proves feasible by these methods, is work out at least some of the phase diagram in the  $\mu$ -T plane for SU(3) lattice gauge fields coupled to light dynamical quarks, i.e. QCD. The work reported in this paper is intended as one of the necessary steps in that direction.

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