# **Covariant gauges without Gribov ambiguities in Yang-Mills theories**

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We propose a one-parameter family of nonlinear covariant gauges which can be formulated as an extremization procedure that may be amenable to lattice implementation. At high energies, where the Gribov ambiguities can be ignored, this reduces to the Curci-Ferrari-Delbourgo-Jarvis gauges. We further propose a continuum formulation in terms of a local action which is free of Gribov ambiguities and avoids the Neuberger zero problem of the standard Faddeev-Popov construction. This involves an averaging over Gribov copies with a nonuniform weight, which introduces a new gauge-fixing parameter. We show that the proposed gauge-fixed action is perturbatively renormalizable in four dimensions and we provide explicit expressions of the renormalization factors at one loop. We discuss the possible implications of the present proposal for the calculation of Yang-Mills correlators.

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## I. INTRODUCTION

The understanding of the long distance properties of non-Abelian gauge theories is a problem of topical importance. Perturbation theory breaks down at low energies since the running coupling constant increases without bound. The highly nontrivial infrared dynamics of Yang-Mills (YM) fields is thus thought to be accessible only through nonperturbative techniques. Among existing such approaches, only lattice calculations can directly access physical observables. In contrast, continuum methods, such as truncations of Schwinger-Dyson equations [1,2] or the nonperturbative renormalization group [3], are based on computing the basic correlation functions of Yang-Mills fields and require a gauge-fixing procedure. It is thus of key importance to have a quantitative understanding of such correlators.

When possible, gauge-fixed lattice calculations provide an important benchmark for continuum approaches. An important issue concerns the algorithmic complexity of fixing a gauge numerically. For instance, covariant gauges, which are the most convenient for continuum calculations, are not easily implemented on the lattice as they require one to find the roots of a large set of coupled nonlinear equations. The Landau gauge is a remarkable exception because it can be formulated as a minimization procedure well suited to numerical methods and which, for this reason, has been extensively studied [4-9]. Precise determinations of the ghost-antighost and gluon twopoint correlators in this gauge have now been obtained. In particular, these show the so-called decoupling behavior in the infrared, where both the gluon correlator and the ghost dressing function are finite at zero momentum [10–17].

However, the Landau gauge is a peculiar representative of the class of covariant gauges as it possesses additional symmetries. It is of great interest to investigate other gauges within both lattice and continuum approaches in order to distinguish the specific features of the Landau gauge from more generic ones as well as to study the gauge dependence—and thus the possible gauge independent feature—of Yang-Mills correlators.<sup>1</sup> Attempts to formulate general linear covariant gauges on the lattice were made in [21,22] and, later, in [23,24], but these were not completely satisfactory. In particular, although the proposal of [24] solves most of the problems afflicting the methods proposed earlier, it is limited to infinitesimal gauge transformations as a result of trying to enforce a linear gauge-fixing condition.

The proposals mentioned above rely on a suitable extremization procedure. An alternative strategy has been proposed in [25,26], which is based on sampling each gauge orbit with a nontrivial measure. This was shown to be tractable in lattice simulations, although numerically demanding, and exploratory physical studies were performed [27,28]. However, the corresponding continuum action appears to be nonrenormalizable [26,29], which makes a proper continuum limit of lattice calculations problematic [28]. To our knowledge, this has not been pursued further.

The second, related issue concerning gauge fixing in non-Abelian theories is the existence of Gribov ambiguities [30] for the most common choices of gauge, including covariant gauges. Continuum approaches are essentially

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<sup>&</sup>lt;sup>1</sup>For analytical studies of the infrared gluon and ghost two-point correlators including nonperturbative features, see, e.g., [18–20].

based on the standard Faddeev-Popov procedure, which neglects Gribov copies but which is assumed to be a valid starting point at sufficiently high energies. On the lattice, the Faddeev-Popov construction is, however, plagued by the Neuberger zero problem [31], due to the degenerate contribution of many Gribov copies with alternating signs. The easy way to cope with this issue is to pick up a single copy per gauge orbit. This is the essence of the so-called minimal Landau gauge [16]. However, such a procedure is not easy to formulate with continuum approaches, which complicates the task of comparing results. The Gribov-Zwanziger proposal [30,32,33] to restrict the path integral to the first Gribov region is not sufficient since the latter is not free of Gribov ambiguities [34].<sup>2</sup>

Recently, two of us proposed an alternative strategy in the case of the Landau gauge, namely, to average over Gribov copies in such a way as to lift their degeneracy in the Faddeev-Popov procedure and avoid the Neuberger zero problem [36].<sup>3</sup> This is somewhat similar to the proposal of [25,26] mentioned above, but where the average is restricted to Gribov copies along each gauge orbit and where we include a sign factor from the Faddev-Popov determinant. Such an averaging procedure can be formulated in terms of a local action, suitable to continuum approaches and, for a proper choice of the weighting functional, the gauge-fixed theory is perturbatively renormalizable in four dimensions. This introduces a new gaugefixing parameter, which controls the weight of the different copies. Remarkably, the resulting theory turns out to be perturbatively equivalent to a simple massive extension of the Landau gauge Faddeev-Popov action, namely, the Curci-Ferrari (CF) model [40], for what concerns the calculation of ghost and gluon correlators. This is an exciting result since a one-loop perturbative calculation in this model had been shown earlier to give a remarkably good description of lattice data down to the deep infrared regime [41]. In particular, the model was shown to possess infrared safe renormalization group trajectories, with no Landau pole.

The aim of the present paper is twofold and concerns the two issues mentioned above. In Sec. II, we express a oneparameter family of nonlinear covariant gauges as an extremization procedure valid for arbitrary, finite gauge transformations. The corresponding extremization functional generalizes the one of [24] and presents good properties for the purpose of numerical extremization (minimization) techniques. Neglecting Gribov ambiguities issues—which should be justified at high energies—and implementing the standard Faddeev-Popov procedure leads to the Curci-Ferrari-Delbourgo-Jarvis (CFDJ) Lagrangian [40,42]. The latter is a perfectly valid gauge-fixed Lagrangian, with all good properties, including unitarity, but with Gribov ambiguities.

In the second part of the paper, we extend the method of Ref. [36] to deal with these Gribov copies. This involves a suitable averaging procedure along each gauge orbit, which we treat formally using the replica trick, borrowed from the theory of disordered systems in statistical physics [43]. This allows us to formulate our gauge-fixing procedure in terms of a local action. The resulting gauge-fixed theory admits an elegant and powerful superfield description. It describes a set of replicated supersymmetric nonlinear sigma models coupled to a massive extension of the CFDJ Lagrangian, the general CF Lagrangian [40]. In contrast to the case of the Landau gauge studied in [36], we find that the nonlinear sigma model sector does not decouple in that case, leading to explicit differences with the CF model. This is presented in Sec. III. We analyze the symmetries of our gauge-fixed Lagrangian and prove its perturbative renormalizability in four dimensions to all orders in Sec. IV. We then derive the Feynman rules of the theory in Sec. V and we compute explicitly the renormalization factors at one-loop order in Sec. VI.

We emphasize that the extremization functional proposed in Sec. II is a slight generalization of the one routinely employed for the Landau gauge. It is thus interesting to investigate its numerical implementation by means of existing techniques, e.g., along the lines of Refs. [24,44,45]. We hope the present paper will motivate such studies. We expect that for some value of the weighting parameter, the average over Gribov copies described in Sec. III is essentially equivalent to picking up a random copy, as in the minimal Landau gauge. In that case, our proposal predicts specific features for the basic Yang-Mills correlators. For instance, we expect the ghost correlator to develop a mass gap at vanishing momentum, as discussed in Sec. VII, which may improve the infrared properties of perturbation theory. We also briefly mention in Sec. VII specific two-point correlators that can be computed by numerical and analytical means and which may carry interesting information concerning the role of Gribov copies.

Some technical details and additional material are presented in the Appendices. We discuss some aspects of the issue of numerical minimization in Appendix A. In particular, we show how the standard Los Alamos minimization algorithm can be straightforwardly generalized to the present proposal. Appendix B shows how to exploit fully the replica symmetry relevant to our proof of renormalizability. In Appendix C, we describe an alternative formulation of our proposal which does not use the

<sup>&</sup>lt;sup>2</sup>A refined version of the Gribov-Zwanziger scenario leads to predictions for the ghost and gluon two-point correlators which describe well the lattice data in the Landau gauge [35]. An extension of the Gribov-Zwanziger scenario to linear gauges has been studied in [19].

<sup>&</sup>lt;sup>3</sup>A similar proposal has been made earlier in Ref. [37]. We thank L. von Smekal for bringing this to our attention. For other proposals addressing the Neuberger zero problem, see, e.g., Refs. [38,39] and references therein.

superfield formalism. We provide explicit one-loop results in this context and discuss in detail the relation to the superfield formalism, which involves composite field renormalization. Finally, we discuss the specific features of the Landau gauge in Appendix D.

## **II. THE GAUGE-FIXING PROCEDURE**

The classical action of the SU(N) Yang-Mills theory reads, in *d*-dimensional Euclidean space,

$$S_{\rm YM}[A] = \frac{1}{4} \int_x (F^a_{\mu\nu})^2,$$
 (1)

where  $\int_{x} \equiv \int d^{d}x$  and

$$F^a_{\mu\nu} = \partial_\mu A^a_\nu - \partial_\nu A^a_\mu + g_0 f^{abc} A^b_\mu A^c_\nu, \qquad (2)$$

where  $g_0$  is the (bare) coupling constant and a summation over spatial and color indices is understood. In the following we use the convention that fields written without an explicit color index are contracted with the generators  $t^a$ of SU(N) in the fundamental representation and are thus  $N \times N$  matrix fields, e.g.,  $A_{\mu} = A_{\mu}^a t^a$ . Our normalization for the generators is such that

$$t^a t^b = \frac{\delta^{ab}}{2N} \mathbb{1} + \frac{i f^{abc} + d^{abc}}{2} t^c, \qquad (3)$$

with  $f^{abc}$  and  $d^{abc}$ , the usual totally antisymmetric and totally symmetric tensors of SU(N). In particular, we have

$$\operatorname{tr}(t^a t^b) = \frac{\delta^{ab}}{2}.$$
 (4)

In order to fix the gauge, we consider the functional

$$\mathcal{H}[A,\eta,U] = \int_{x} \operatorname{tr}\left[ (A^{U}_{\mu})^{2} + \frac{U^{\dagger}\eta + \eta^{\dagger}U}{2} \right]$$
(5)

for each field configuration  $A_{\mu}$ , where  $\eta$  is an arbitrary  $N \times N$  matrix field and

$$A^U_{\mu} = U A_{\mu} U^{\dagger} + \frac{i}{g_0} U \partial_{\mu} U^{\dagger}$$
 (6)

is the gauge transform of  $A_{\mu}$  with a gauge element  $U \in SU(N)$ . We define our gauge condition as (one of) the extrema of  $\mathcal{H}$  with respect to U. The latter can be obtained by writing  $U \rightarrow VU$  with  $V = e^{ig_0\lambda}$  and expanding in  $\lambda$ . Using  $A^V_{\mu} = A_{\mu} + D_{\mu}\lambda + O(\lambda^2)$ , with the usual covariant derivative

$$(D_{\mu}\varphi)^{a} = D_{\mu}^{ab}\varphi^{b} = \partial_{\mu}\varphi^{a} + g_{0}f^{abc}A_{\mu}^{b}\varphi^{c}$$
(7)

for any field  $\varphi$  in the adjoint representation of SU(N), we obtain the covariant gauge condition<sup>4</sup>

$$(\partial_{\mu}A^{U}_{\mu})^{a} = \frac{ig_{0}}{2}\operatorname{tr}[t^{a}(U\eta^{\dagger} - \eta U^{\dagger})].$$
(8)

This can be used as a gauge condition for any  $\eta$ . Alternatively, we can average over  $\eta$  with a given distribution  $\mathcal{P}[\eta]$ . Here, we choose a simple Gaussian distribution<sup>5</sup>

$$\mathcal{P}[\eta] = \mathcal{N} \exp\left(-\frac{g_0^2}{4\xi_0} \int_x \operatorname{tr} \eta^{\dagger} \eta\right), \qquad (9)$$

with  $\ensuremath{\mathcal{N}}$  a normalization factor.

Equation (5) is a simple generalization of the extremization functional routinely employed in lattice calculations in the Landau gauge [which is recovered for  $\eta = 0$ , that is, by choosing  $\xi_0 = 0$  in Eq. (9)] and presents similar good properties for the purpose of numerical minimization techniques.<sup>6</sup> In this line of thought, we emphasize that a somewhat similar extremization procedure has been proposed and implemented in actual lattice calculations in Ref. [24]. There, the authors considered a similar functional as (5), with  $i\eta$  constrained to belong to the Lie algebra of the gauge group, with the aim of enforcing a linear gauge condition.' We see from Eq. (8) that this is only valid for gauge transformations close to the identity:  $U = 1 + ig_0\lambda$ . Here, we do not insist on having a linear gauge fixing and Eq. (8) holds for arbitrary U along the whole gauge orbit. Another important difference lies in the sampling (9) over the matrix field  $\eta$ . Here, the latter is not restricted to the Lie algebra of the gauge group, which leads to a different gauge fixing (see below). However, we believe that the numerical implementation of Ref. [24] is not restricted to infinitesimal gauge transformations in principle and we do not expect the

<sup>*i*</sup>Linear covariant gauges correspond to demanding that  $\partial_{\mu}A^{U}_{\mu} = \phi$ , with  $\phi$  independent of *U*.

<sup>&</sup>lt;sup>4</sup>The gauge condition selects particular representatives U along the gauge orbit of a given field configuration A. In principle this can always be written as a condition in the space of field configurations, namely, in terms of A alone. For instance, the Landau gauge condition can be written  $\partial_{\mu}A_{\mu} = 0$ . In the present case, such a rewriting is difficult since the gauge transformation field U genuinely appears in the gauge-fixing condition.

<sup>&</sup>lt;sup>5</sup>We shall see below that this choice is convenient for being able to factor out the volume of the gauge group in a continuum formulation. Moreover, we mention that more complicated distributions, involving either non-Gaussian or derivative terms, would lead to nonrenormalizable actions in the procedure described in Sec. III.

<sup>&</sup>lt;sup>6</sup>Usual numerical minimization techniques require that the discretized version of the minimization functional be linear in the gauge transformation matrix U(x) at each lattice point. This is the case of the standard discretization of the Landau gauge term, the first one on the right-hand side of Eq. (5) and this is obviously true for the second,  $\eta$ -dependent term as well; see the discussion in Appendix A.

different sampling on  $\eta$  to be an issue for what concerns the question of numerical minimization. It would thus be of great interest to investigate whether the numerical methods employed in [24,44,45] apply to the present proposal.

To gain more insight on the gauge-fixing procedure described above, let us consider the ultraviolet regime where the standard Faddeev-Popov procedure is justified because Gribov copies are irrelevant. Setting, again,  $U \rightarrow e^{ig_0\lambda}U$  in (8) and expanding in  $\lambda$ , we obtain the Faddeev-Popov operator

$$\left\{\partial_{\mu}D^{ac}_{\mu}[A^{U}] + \frac{g_{0}^{2}}{2}\operatorname{tr}(t^{a}t^{c}U\eta^{\dagger} + \eta U^{\dagger}t^{c}t^{a})\right\}\delta^{(d)}(x-y), \quad (10)$$

where the derivatives act on the variable x and where the covariant derivative, defined in (7), is to be evaluated at  $A^{U}(x)$ . Introducing a Nakanishi-Lautrup field *ih* to account for the gauge condition (8) as well as ghost and antighost fields c and  $\bar{c}$  to cope for the corresponding Jacobian, the Faddeev-Popov gauge-fixed action reads, for a given external field  $\eta$ ,

$$S_{\rm gf}^{\eta}[A, c, \bar{c}, h, U] = S_{\rm YM}[A] + S_{\rm FP}^{\eta}[A, c, \bar{c}, h, U],$$
 (11)

with

$$S_{\rm FP}^{\eta}[A,c,\bar{c},h,U] = \int_{x} \left\{ \partial_{\mu}\bar{c}^{a}D_{\mu}[A^{U}]c^{a} + ih^{a}(\partial_{\mu}A_{\mu}^{U})^{a} + \frac{g_{0}}{2}\operatorname{tr}[\eta^{\dagger}R + R^{\dagger}\eta] \right\},$$
(12)

where we introduced<sup>8</sup>

$$R = (h - g_0 \bar{c}c)U. \tag{13}$$

It is important to notice here that the effective action (12) depends separately on A and U, not only on the combination  $A^U$ , which makes the standard Faddeev-Popov trick of factorizing out a volume of the gauge group inapplicable. Here, the sampling (9) over  $\eta$  is of great help since

$$\int \mathcal{D}\eta \mathcal{P}[\eta] e^{-\frac{g_0}{2} \int_x \operatorname{tr}[\eta^{\dagger} R + R^{\dagger} \eta]} \propto e^{\xi_0 \int_x \operatorname{tr}[R^{\dagger} R]} \qquad (14)$$

does not depend explicitly on U anymore.<sup>9</sup> The resulting gauge-fixed action is of the form  $S_{YM}[A] + S_{FP}[A^U, c, \bar{c}, h]$  and one can factor out the volume of the gauge group in the standard manner. Remarkably the calculation of tr $[R^{\dagger}R]$  in (14) yields, after some simple algebra,

$$S_{\rm gf}[A, c, \bar{c}, h] = S_{\rm YM}[A] + S_{\rm CFDJ}[A, c, \bar{c}, h],$$
 (15)

where

$$S_{\text{CFDJ}}[A, c, \bar{c}, h] = \int_{x} \left\{ \partial_{\mu} \bar{c}^{a} D_{\mu} c^{a} + i h^{a} \partial_{\mu} A^{a}_{\mu} + \xi_{0} \left[ \frac{(h^{a})^{2}}{2} - \frac{g_{0}}{2} f^{abc} i h^{a} \bar{c}^{b} c^{c} - \frac{g_{0}^{2}}{4} (f^{abc} \bar{c}^{b} c^{c})^{2} \right] \right\}$$
(16)

is known as the Curci-Ferrari-Delbourgo-Jarvis gaugefixing action [40,42]. Thus, the extremization of the functional (5) together with the Gaussian average (9) provide a nonperturbative formulation of this class of nonlinear covariant gauges.

The CFDJ gauges have been much studied in the literature [40,42,46–48] and are known to possess various good properties. For instance, they are perturbatively renormalizable in four dimensions. Also, they have a nilpotent BRST symmetry and are thus unitary. However, they have Gribov ambiguities, just as the Landau gauge. This is not a problem for lattice calculations as one may easily select a particular copy, as done in the so-called minimal Landau gauge.

## **III. AVERAGING OVER GRIBOV COPIES**

At an analytical level, the action (16) suffers from the Neuberger zero problem [31]. The Faddeev-Popov construction ignores the Gribov copies, which contribute to the partition function with alternating signs and eventually sum up to zero. In order to cope with this issue, we follow [36] and lift the degeneracy of the Gribov copies by means of a suitably chosen nonuniform weight.

#### A. The general procedure

Gribov copies correspond to the extrema  $U_i \equiv U_i[A, \eta]$ of the functional  $\mathcal{H}[A, \eta, U]$ , Eq. (5), for given A and  $\eta$ . For any operator  $\mathcal{O}[A]$ , we define the average over the Gribov copies of a given field configuration A as<sup>10</sup>

$$\langle \mathcal{O}[A] \rangle = \frac{\int \mathcal{D}\eta \mathcal{P}[\eta] \sum_{i} \mathcal{O}[A^{U_i}] s(i) e^{-\beta_0 \mathcal{H}[A,\eta,U_i]}}{\int \mathcal{D}\eta \mathcal{P}[\eta] \sum_{i} s(i) e^{-\beta_0 \mathcal{H}[A,\eta,U_i]}}, \quad (17)$$

<sup>10</sup>We also considered the following definition:

$$\langle \mathcal{O}[A] \rangle = \int \mathcal{D}\eta \mathcal{P}[\eta] \frac{\sum_{i} \mathcal{O}[A^{U_i}] s(i) e^{-\beta_0 \mathcal{H}[A,\eta,U_i]}}{\sum_{i} s(i) e^{-\beta_0 \mathcal{H}[A,\eta,U_i]}}.$$

Following the procedure described below, we can express this gauge fixing in terms of a local field theory. The latter is, however, more intricate—it induces nontrivial couplings between replica, see below—and we do not pursue this path further. We emphasize that in both cases, the average over  $\eta$  is performed *before* the one over the Yang-Mills field A.

<sup>&</sup>lt;sup>8</sup>Here,  $ih = ih^a t^a$  is to be seen as an Hermitian matrix field and similarly for c and  $\bar{c}$ .

<sup>&</sup>lt;sup>9</sup>We note that this is not true for the sampling proposed in [24].

where the sums run over all Gribov copies, s(i) is the sign of the functional determinant of the Faddeev-Popov operator (10) evaluated at  $U = U_i$ , and  $\beta_0$  is a free parameter which controls the lifting of degeneracy between Gribov copies<sup>11</sup> according to the value of the functional  $\mathcal{H}[A, \eta, U_i]$ . Equation (17) defines our gauge-fixing procedure. This is inspired from the averaging procedure put forward in [50] to deal with potentials with nontrivial landscapes in the context of the Random Field Ising Model.<sup>12</sup>

Once the average (17) has been performed for each individual gauge-field configuration, we average over the latter with the Yang-Mills weight, hereafter denoted by an overall bar:

$$\overline{\mathcal{O}[A]} = \frac{\int \mathcal{D}A\mathcal{O}[A]e^{-S_{\rm YM}[A]}}{\int \mathcal{D}Ae^{-S_{\rm YM}[A]}}.$$
(18)

To summarize, our gauge-fixing procedure amounts to average first over Gribov copies and then over Yang-Mills field configurations, that is

$$\overline{\langle \mathcal{O}[A] \rangle}.$$
 (19)

A crucial remark is in order here; observe that gaugeinvariant operators such that  $\mathcal{O}_{inv}[A^U] = \mathcal{O}_{inv}[A]$ , are blind to the average (17):  $\langle \mathcal{O}_{inv}[A] \rangle = \mathcal{O}_{inv}[A]$ , which guarantees that our gauge-fixing procedure does not affect physical observables. In particular, one has

$$\overline{\langle \mathcal{O}_{\rm inv}[A] \rangle} = \overline{\mathcal{O}_{\rm inv}[A]}.$$
(20)

It is crucial to introduce the denominator in (17) in order for this fundamental property to hold.

#### **B.** Functional integral formulation

The previous gauge fixing can be implemented within a field-theoretical framework by making use of the identity

$$\sum_{i} \mathcal{X}[U_i]s(i) = \int \mathcal{D}(U, c, \bar{c}, h) \mathcal{X}[U] e^{-S_{\text{FP}}^{\eta}[A^U, c, \bar{c}, h]}, \quad (21)$$

for any functional  $\mathcal{X}[U]$ , where  $S_{\text{FP}}^{\eta}$  is defined in Eq. (12). Here,  $\mathcal{D}(U, c, \bar{c}, h) \equiv \mathcal{D}U\mathcal{D}c\mathcal{D}\bar{c}\mathcal{D}h$ , with  $\mathcal{D}U$  the Haar measure on the gauge group. In the following, we collect the set of fields  $U, c, \bar{c}$  and h in a single symbol  $\mathcal{V}$ —we shall see shortly how this can be realized explicitly in a superfield formulation—and write  $\mathcal{D}(U, c, \bar{c}, h) = \mathcal{D}V$ . Using (21) with  $\mathcal{X}[U] = \mathcal{O}[A^U] \exp\{-\beta_0 \mathcal{H}[A, \eta, U]\}$  and performing the integral over the field  $\eta$  with the Gaussian measure (9), we obtain

$$\langle \mathcal{O}[A] \rangle = \frac{\int \mathcal{D}\mathcal{V}O[A^U] e^{-S_{\rm CF}[A,\mathcal{V}]}}{\int \mathcal{D}\mathcal{V}e^{-S_{\rm CF}[A,\mathcal{V}]}},\tag{22}$$

where we denoted

$$S_{\rm CF}[A, \mathcal{V}] \equiv S_{\rm CF}[A^U, c, \bar{c}, h], \qquad (23)$$

with

$$S_{\rm CF}[A, c, \bar{c}, h] = S_{\beta_0}[A, c, \bar{c}] + S_{\rm CFDJ}[A, c, \bar{c}, h].$$
(24)

The action  $S_{\text{CFDJ}}$  is defined in (16) and

$$S_{\beta_0}[A, c, \bar{c}] = \beta_0 \int_x \left\{ \frac{1}{2} (A^a_\mu)^2 + \xi_0 \bar{c}^a c^a \right\}.$$
 (25)

The gauge-fixing action (24) is a massive extension of the CFDJ action known as the CF action [40]. Here, the gauge-fixing parameter  $\beta_0$  induces a mass for both the gluon and the ghost fields.

We now introduce an elegant and compact superfield formulation which makes explicit some of the symmetries of the problem. First, introducing  $\hat{h}^a = ih^a + \frac{g_0}{2} f^{abc} \bar{c}^b c^c$ , the action (24) takes the ghost-antighost symmetric form

$$S_{\rm CF} = \int_{x} \left\{ \frac{\beta_0}{2} (A^a_{\mu})^2 + \frac{1}{2} (\partial_{\mu} \bar{c}^a D_{\mu} c^a + D_{\mu} \bar{c}^a \partial_{\mu} c^a) + \hat{h}^a \partial_{\mu} A^a_{\mu} + \xi_0 \left[ \beta_0 \bar{c}^a c^a - \frac{(\hat{h}^a)^2}{2} - \frac{g_0^2}{8} (f^{abc} \bar{c}^b c^c)^2 \right] \right\}.$$
(26)

The fields U, c,  $\bar{c}$  and  $\hat{h}$  can be put together in a matrix superfield  $\mathcal{V}$  that depends on the Euclidean coordinate x and two Grassmannian coordinates  $\theta$  and  $\bar{\theta}$  as

$$\mathcal{V}(x,\theta,\bar{\theta}) = \exp\left\{ig_0(\bar{\theta}c + \bar{c}\theta + \bar{\theta}\theta\hat{h})\right\}U,\tag{27}$$

where  $\hat{h}$  is seen as a real field.  $\mathcal{V}$  is a SU(N) matrix field on the superspace  $(x, \theta, \overline{\theta})$ . It is convenient to define an associated super gauge-field transform as

$$A^{\mathcal{V}}_{\mu} = \mathcal{V}A_{\mu}\mathcal{V}^{\dagger} + \frac{i}{g_0}\mathcal{V}\partial_{\mu}\mathcal{V}^{\dagger}.$$
 (28)

Similarly, we introduce the pure gauge  $(M = \theta, \overline{\theta})$ 

$$A_M^{\mathcal{V}} = \frac{i}{g_0} \mathcal{V} \partial_M \mathcal{V}^{\dagger}.$$
 (29)

<sup>&</sup>lt;sup>11</sup>We mention that the sign-weighted averages over Gribov copies proposed in [49] correspond to a flat weight in (17)  $(\beta_{0|2} = 0)$  and thus suffer from the Neuberger zero problem [37]. As was argued in [36] for the case of the Landau gauge, the

<sup>&</sup>lt;sup>17</sup>As was argued in [36] for the case of the Landau gauge, the denominator in (17) is a sum over real numbers—instead of integers in the case  $\beta_0 = 0$ —and the set of field configurations for which it may vanish is expected to be of zero measure.

The Grassmann subspace is taken to be curved with line element  $ds^2 = g_{MN}dNdM = 2g_{\theta\bar{\theta}}d\bar{\theta}d\theta$ , where

$$g_{\bar{\theta}\theta} = -g_{\theta\bar{\theta}} = \beta_0 \bar{\theta}\theta + 1,$$
  

$$g^{\bar{\theta}\theta} = -g^{\theta\bar{\theta}} = \beta_0 \bar{\theta}\theta - 1.$$
(30)

Accordingly, we define the invariant integration measure in Grassmann coordinates as [48]

$$\int_{\underline{\theta}} = \int d\theta d\bar{\theta} g^{1/2}(\theta, \bar{\theta}), \qquad (31)$$

where

$$g^{1/2}(\theta,\bar{\theta}) = \beta_0 \bar{\theta} \theta - 1.$$
(32)

Here and in the following, we denote the couple of Grassmann variables  $(\theta, \overline{\theta})$  by  $\underline{\theta}$ . It is an easy exercise to check that, in terms of the curved Grassmann space and of the fields (28) and (29), the Curcci-Ferrari action in (22) takes the particularly compact form of a generalized masslike term

$$S_{\rm CF}[A,\mathcal{V}] = \int_{x,\underline{\theta}} \operatorname{tr}\left\{ (A^{\mathcal{V}}_{\mu})^2 + \frac{\xi_0}{2} g^{MN} A^{\mathcal{V}}_N A^{\mathcal{V}}_M \right\}, \qquad (33)$$

which makes explicit a large group of symmetries corresponding to the isometries of the curved superspace. Equivalently, Eq. (33) can be written as

$$S_{\rm CF}[A,\mathcal{V}] = \frac{1}{g_0^2} \int_{x,\underline{\theta}} \operatorname{tr} \left\{ D_{\mu} \mathcal{V}^{\dagger} D_{\mu} \mathcal{V} + \frac{\xi_0}{2} g^{MN} \partial_N \mathcal{V}^{\dagger} \partial_M \mathcal{V} \right\},\tag{34}$$

where  $D_{\mu}\mathcal{V} = \partial_{\mu}\mathcal{V} + ig_0\mathcal{V}A_{\mu}$ . This is the action of a supersymmetric nonlinear sigma model coupled to the gauge field  $A_{\mu}$  in a gauge-invariant way. This form of the action makes explicit the invariance under the gauge transformations  $A \to A^{V_R}$  and  $\mathcal{V} \to \mathcal{V}V_R^{\dagger}$ , with  $V_R \equiv V_R(x)$  a local SU(N) matrix.<sup>13</sup> Finally, for later purposes, it is useful to rewrite, again, Eq. (33) as

$$S_{\rm CF}[A, \mathcal{V}] = \int_{x,\underline{\theta}} \left\{ \frac{1}{2} (L^a_\mu - A^a_\mu)^2 + \frac{\xi_0}{4} g^{MN} L^a_N L^a_M \right\}, \quad (35)$$

where we introduced the vector fields

$$L_{\mu} = \frac{i}{g_0} \mathcal{V}^{\dagger} \partial_{\mu} \mathcal{V} \quad \text{and} \quad L_M = \frac{i}{g_0} \mathcal{V}^{\dagger} \partial_M \mathcal{V}$$
 (36)

which belong to the adjoint representation of SU(N).

#### C. Replicas

The evaluation of Yang-Mills correlators or of physical quantities with our gauge-fixing procedure involves two subsequent averages, see Eqs. (17)-(19). The average over the Gribov copies of a given gauge-field configuration A produces a complicated, highly nonlocal functional of the latter because of the nontrivial denominator in Eq. (17) or, equivalently, Eq. (22). A similar issue arises in the theory of disordered systems in statistical physics [43]. Consider, for instance, an Ising model in the presence of quenched disorder, which means that the typical time scale of disorder is slow as compared to that of the Ising degrees of freedom. In that case, one first averages over statistical fluctuations of the Ising spins for a given disorder configuration and then over the possible realizations of the latter. Such twostep averages can be efficiently dealt with by using the method of replicas [43]. In the present context, the matrix fields U play the role of the Ising spins and the gauge field A of the quenched disorder.

In its simplest version, the replica trick consists in writing formally the denominator of Eq. (22) as

$$\frac{1}{\int \mathcal{D}\mathcal{V}e^{-S_{\rm CF}[A,\mathcal{V}]}} = \lim_{n \to 0} \left( \int \mathcal{D}\mathcal{V}e^{-S_{\rm CF}[A,\mathcal{V}]} \right)^{n-1}$$
$$= \lim_{n \to 0} \int \prod_{k=1}^{n-1} \left( \mathcal{D}\mathcal{V}_k e^{-S_{\rm CF}[A,\mathcal{V}_k]} \right).$$
(37)

Here and below, the limit is to be understood as the value of the (analytically continued) function of n on the right-hand side when  $n \rightarrow 0$ . The average over the disorder field A can then be formally written as

$$\overline{\langle \mathcal{O}[A] \rangle} = \lim_{n \to 0} \frac{\int \mathcal{D}A(\prod_{k=1}^{n} \mathcal{D}\mathcal{V}_{k}) \mathcal{O}[A^{U_{1}}] e^{-S[A,\{\mathcal{V}\}]}}{\int \mathcal{D}A e^{-S_{\text{YM}}[A]}}, \quad (38)$$

where

$$S[A, \{\mathcal{V}\}] = S_{\rm YM}[A] + \sum_{k=1}^{n} S_{\rm CF}[A, \mathcal{V}_k].$$
 (39)

Finally, using Eq. (38) with  $\mathcal{O}[A] = 1$ , we obtain the more convenient expression

$$\overline{\langle \mathcal{O}[A] \rangle} = \lim_{n \to 0} \frac{\int \mathcal{D}A(\prod_{k=1}^{n} \mathcal{D}\mathcal{V}_{k}) \mathcal{O}[A^{U_{1}}] e^{-S[A,\{\mathcal{V}\}]}}{\int \mathcal{D}A(\prod_{k=1}^{n} \mathcal{D}\mathcal{V}_{k}) e^{-S[A,\{\mathcal{V}\}]}}.$$
 (40)

Here, the choice of the replica k = 1 is arbitrary because of the obvious symmetry between replicas.

<sup>&</sup>lt;sup>13</sup>In terms of the original fields U, c,  $\bar{c}$ , and h, see Eq. (27), such transformations only affect  $U \rightarrow UV_R^{\dagger}$  and leave c,  $\bar{c}$ , and h invariant. Here, it is essential to recall that the action  $S_{\rm CF}$  appearing in Eq. (22) is to be evaluated at  $A = A^U$ . The invariance mentioned here follows from the fact that  $A^U \rightarrow (A^{V_R})^{UV_R^{\dagger}} = A^U$ .

It may be necessary, e.g., for analytic approaches, to explicitly factor out the volume of the gauge group  $\int \mathcal{D}U$ . This can be done by performing the change of variables  $A \to A^{U_1}$  and  $U_k \to U_k U_1^{-1}$ ,  $\forall k > 1$  in (40). Renaming  $(c_1, \bar{c}_1, h_1) \to (c, \bar{c}, h)$ , we get

$$\overline{\langle \mathcal{O}[A] \rangle} = \lim_{n \to 0} \frac{\int \mathcal{D}(A, c, \bar{c}, h, \{\mathcal{V}\}) \mathcal{O}[A] e^{-S[A, c, \bar{c}, h, \{\mathcal{V}\}]}}{\int \mathcal{D}(A, c, \bar{c}, h, \{\mathcal{V}\}) e^{-S[A, c, \bar{c}, h, \{\mathcal{V}\}]}},$$
(41)

with  $\mathcal{D}(A, c, \bar{c}, h, \{\mathcal{V}\}) \equiv \mathcal{D}(A, c, \bar{c}, h)(\prod_{k=2}^{n} \mathcal{D}\mathcal{V}_k)$  and

$$S[A, c, \bar{c}, h, \{\mathcal{V}\}] = S_{\text{YM}}[A] + S_{\text{CF}}[A, c, \bar{c}, h]$$
$$+ \sum_{k=2}^{n} S_{\text{CF}}[A, \mathcal{V}_{k}], \qquad (42)$$

where we used the notation (23) in the last line. Thus, we see that Eq. (39) describes a collection of *n* gauged supersymmetric nonlinear sigma models coupled to the Yang-Mills field *A*. It is invariant under the local right color rotations  $A \to A^{V_R}$  and  $\mathcal{V}_k \to \mathcal{V}_k V_R^{\dagger}$ ,  $\forall k = 1, ..., n$ . This symmetry gets explicitly broken after one replica is singled out to extract the volume of the gauge group. The action (42) describes n - 1 gauged supersymmetric nonlinear sigma models coupled to a gauge-fixed Yang-Mills field with gauge-fixing action  $S_{CF}[A, c, \bar{c}, h]$ . As discussed below, the action (42) possesses a BRST symmetry as a remnant of the original gauge symmetry.

#### **IV. RENORMALIZABILITY**

### **A.** Symmetries

We now prove the perturbative renormalizability of the action (42) in d = 4. This is nontrivial given the presence of nonlinear sigma models, which are, in general, renormalizable in d = 2. Our proof follows standard arguments [51,52] and consists in identifying all local terms of mass dimension less than or equal to 4,<sup>14</sup> compatible with the symmetries of the theory in the effective action  $\Gamma$ .

Let us first list the symmetries of the action (42) that are realized linearly. Apart from the global SU(N) color symmetry and the isometries of the Euclidean space  $\mathbb{R}^4$ , there are the net ghost number conservation  $(c \rightarrow e^{ie}c, \ \bar{c} \rightarrow e^{-ie}\bar{c})$  and the isometries of the curved Grassmann space. The latter only impact the superfields:  $\mathcal{V}_k \rightarrow \mathcal{V}_k + X^M \partial_M \mathcal{V}_k$ , with  $M = \theta, \bar{\theta}$ , where  $X^M$  is one of the five independent Killing vectors on the Grassmann space [48]. At the level of the effective action, these symmetries simply imply that terms involving Grassmann variables should be written in a covariant way: integrals always come with the proper integration measure, see (31), and derivatives are contracted with proper tensors [48]. An important remark to be made is that these transformations apply to each individual replica superfield  $\mathcal{V}_k$ , independently of the others. This implies that each such superfield comes with its own set of Grassmann variables. There is also a discrete symmetry under the permutation of the replicas:  $\mathcal{V}_k \leftrightarrow \mathcal{V}_l$  for k, l = 2, ..., n.

These linear transformations are also symmetries of the effective action  $\Gamma$  and directly constrain the possible divergent terms. We shall also exploit the fact that the choice of the replica k = 1 singled out in (41)–(42), being arbitrary, the divergences associated with the fields c,  $\bar{c}$ , and h are the same as those associated with  $c_k$ ,  $\bar{c}_k$ , and  $h_k$  for  $k \ge 2$ .<sup>15</sup>

The action (42) also admits nonlinear symmetries. One is a BRST-like symmetry, corresponding to the infinitesimal transformation

$$sA^a_{\mu} = \partial_{\mu}c^a + g_0 f^{abc}A^b_{\mu}c^c, \qquad sc^a = -\frac{g_0}{2}f^{abc}c^bc^c,$$
  
$$s\bar{c}^a = ih^a, \qquad sih^a = \beta_0c^a, \qquad (43)$$

and

$$s\mathcal{V}_k = -ig_0\mathcal{V}_k c, \qquad k = 2, \dots, n.$$
(44)

In the sector  $(A, c, \mathcal{V}_k)$  this simply corresponds to a gauge transformation with Grassmann parameters  $c^a$ ; see the discussion below Eq. (42). For the analysis to follow, it proves convenient to employ the linear parametrization of the SU(N) superfield  $\mathcal{V}_k$ 

$$\mathcal{V}_{k} = (a_{k}^{0} + ib_{k}^{0})\mathbb{1} + i(a_{k}^{a} + ib_{k}^{a})t^{a}$$
(45)

with an implicit sum over the  $N^2 - 1$  color indices. Here, we choose the  $a_k^a$  as the  $N^2 - 1$  unconstrained superfields. The fields  $a_k^0$ ,  $b_k^0$ , and  $b_k^a$  are functions of  $a_k^a$ , determined by the constraint that  $\mathcal{V}_k \in SU(N)$ . In practice, we will not need their explicit expressions. The BRST transformation (44) of the basic field  $a_k^a$  reads

$$sa_{k}^{a} = g_{0} \left( -a_{k}^{0}c^{a} + \frac{1}{2}f^{abc}a_{k}^{b}c^{c} + \frac{1}{2}d^{abc}b_{k}^{b}c^{c} \right)$$
(46)

and those of the constrained fields are

<sup>&</sup>lt;sup>14</sup>This relies on Weinberg's theorem and assumes, in particular, that the free propagators decrease sufficiently fast at large momentum. We show in the next section that all free propagators decrease at least as fast as  $1/p^2$  at large p, which is a sufficient condition.

<sup>&</sup>lt;sup>15</sup>For instance, upon the change of variables  $A \to A^{U_2}$ ,  $U_k \to U_k U_2^{-1}$  for k > 2,  $U_2 \to U_2^{-1}$  and  $c \leftrightarrow c_2$ ,  $\bar{c} \leftrightarrow \bar{c}_2$  and  $h \leftrightarrow h_2$ , one gets that it is now the replica k = 2 which is singled out.

$$sa_{k}^{0} = \frac{g_{0}}{2N}a_{k}^{b}c^{b}, \qquad sb_{k}^{0} = \frac{g_{0}}{2N}b_{k}^{b}c^{b},$$
  

$$sb_{k}^{a} = g_{0}\left(-b_{k}^{0}c^{a} + \frac{1}{2}f^{abc}b_{k}^{b}c^{c} - \frac{1}{2}d^{abc}a_{k}^{b}c^{c}\right).$$
(47)

It is easy to check that  $s^2 = 0$  in the sector  $(A, c, V_k)$ . The full BRST transformation is, however, not nilpotent:  $s^2 = \beta_0 t$ , where *t* is another nonlinear symmetry of the problem [53], whose action on the primary fields is

$$t\bar{c}^{a} = c^{a}, \qquad tih^{a} = -\frac{g_{0}}{2}f^{abc}c^{b}c^{c}, \qquad (48)$$

and  $tA^a_{\mu} = tc^a = t\mathcal{V}_k = 0.$ 

Finally, there is a third family of nonlinearly realized symmetries, one for each replica, which corresponds to global left color rotations of the nonlinear sigma model fields  $\mathcal{V}_k \rightarrow V_{L,k}\mathcal{V}_k$  with  $V_{L,k} \in SU(N)$ . These symmetries are nonlinear because  $\mathcal{V}_k$  is a constrained superfield. Each replica superfield can be transformed independently of the others and there are thus  $(N^2 - 1) \times (n - 1)$  generators. The infinitesimal transformations are

$$\delta^a_k \mathcal{V}_l = i \delta_{kl} t^a \mathcal{V}_l. \tag{49}$$

In terms of the representation (45), the transformation of the basic field  $a_k^a$  reads

$$\delta_k^a a_l^b = \delta_{kl} \left( \delta^{ab} a_k^0 + \frac{1}{2} f^{abc} a_k^c - \frac{1}{2} d^{abc} b_k^c \right)$$
(50)

and those of the constrained fields are

$$\delta_{k}^{a}a_{l}^{0} = -\delta_{kl}\frac{a_{k}^{a}}{2N}, \qquad \delta_{k}^{a}b_{l}^{0} = -\delta_{kl}\frac{b_{k}^{a}}{2N}, \\ \delta_{k}^{a}b_{l}^{b} = \delta_{kl}\left(\delta^{ab}b_{k}^{0} + \frac{1}{2}f^{abc}b_{k}^{c} + \frac{1}{2}d^{abc}a_{k}^{c}\right).$$
(51)

We mention that the generators of the nonlinear symmetries considered above induce a closed (super)algebra:

$$\{s, s\} = 2\beta_0 t, \qquad [\delta_k^a, \delta_l^b] = i\delta_{kl} f^{abc} \delta_k^c,$$
  
$$[\delta_k^a, s] = [\delta_k^a, t] = [s, t] = 0.$$
 (52)

We wish to derive Slavnov-Taylor identities associated with the nonlinear symmetries described above in the form of Zinn-Justin equations [51,52]. To this aim, we introduce (super)sources coupled to both the (super)fields and their variations under *s* and  $\delta_k^a$ . We define<sup>16</sup>

$$S_{1} = \int_{x} \{ J^{a}_{\mu} A^{a}_{\mu} + \bar{\eta}^{a} c^{a} + \bar{c}^{a} \eta^{a} + ih^{a} M^{a} + \bar{K}^{a}_{\mu} s A^{a}_{\mu} + \bar{L}^{a} s c^{a} \}$$
  
+ 
$$\sum_{k=2}^{n} \int_{x,\underline{\theta}} \{ P^{0}_{k} a^{0}_{k} + P^{a}_{k} a^{a}_{k} + R^{0}_{k} b^{0}_{k} + R^{a}_{k} b^{a}_{k} + \bar{Q}^{0}_{k} s a^{0}_{k}$$
  
+ 
$$\bar{Q}^{a}_{k} s a^{a}_{k} + \bar{T}^{0}_{k} s b^{0}_{k} + \bar{T}^{a}_{k} s b^{a}_{k} \}$$
(53)

and consider the Legendre transform  $\Gamma$  of the functional  $W = \ln \int \mathcal{D}(A, c, \bar{c}, h, \{\mathcal{V}\})e^{-S+S_1}$  with respect to the sources  $J^a_{\mu}$ ,  $\eta^a$ ,  $\bar{\eta}^a$ ,  $M^a$  and  $P^a_k$ . It is a straightforward procedure to derive the desired identities [51,52].

Following [52], it proves convenient to introduce a generalized transformation  $\tilde{s}$  as

$$\tilde{s} = \sum_{\varphi} \int_{x} (\tilde{s}\varphi) \frac{\delta}{\delta\varphi} + \sum_{k=2}^{n} \int_{x,\underline{\theta}} (\tilde{s}a_{k}^{a}) \frac{\delta_{\theta}}{\delta a_{k}^{a}}$$
(54)

where the sum runs over the fields  $\varphi = A, c, \bar{c}, ih$ . Here, we introduced the covariant functional derivative  $\delta_{\theta}\Gamma/\delta\phi = (g^{-1/2})\delta\Gamma/\delta\phi$ , with any superfield  $\phi$ , where the metric factor g is defined in (31). This accounts for the curved Grassmann directions. The variations of the fields are defined as

$$\tilde{s}A^a_\mu = -\frac{\delta\Gamma}{\delta\bar{K}^a_\mu}, \qquad \tilde{s}c^a = -\frac{\delta\Gamma}{\delta\bar{L}^a}, \qquad \tilde{s}a^a_k = -\frac{\delta_\theta\Gamma}{\delta\bar{Q}^a_k}$$
(55)

and

$$\tilde{s}\bar{c}^a = ih^a, \qquad \tilde{s}ih^a = \beta_0 c^a.$$
 (56)

In analogy with Eq. (54), we introduce the transformations  $\tilde{t}$  and  $\tilde{\delta}_k^a$  defined by their action on the primary fields

$$\tilde{t}\bar{c}^a = c^a, \qquad \tilde{t}ih^a = -\frac{\delta\Gamma}{\delta\bar{L}^a}$$
 (57)

and

$$\tilde{\delta}^a_k a^b_l = \delta_{kl} \left( -\delta^{ab} \frac{\delta_{\theta} \Gamma}{\delta P^0_k} + \frac{1}{2} f^{abc} a^c_k + \frac{1}{2} d^{abc} \frac{\delta_{\theta} \Gamma}{\delta R^a_k} \right) \quad (58)$$

with all other variations being zero. With these notations the relevant symmetry identities read

$$\tilde{s}\Gamma = \sum_{k=2}^{n} \int_{x,\underline{\theta}} \left\{ P_{k}^{0} \frac{\delta_{\theta}\Gamma}{\delta\bar{Q}_{k}^{0}} + R_{k}^{0} \frac{\delta_{\theta}\Gamma}{\delta\bar{T}_{k}^{0}} + R_{k}^{a} \frac{\delta_{\theta}\Gamma}{\delta\bar{T}_{k}^{a}} \right\},$$
(59)

$$\tilde{t}\Gamma = 0, \tag{60}$$

and

<sup>&</sup>lt;sup>16</sup>Note that the variations of the (super)fields under  $s^2$ ,  $s\delta_k^a = \delta_k^a s$ , and  $\delta_k^a \delta_l^b$  can be fully expressed in terms of either the (super)fields themselves or their variations under *s* or  $\delta_k^a$ . Therefore, they do not require independent (super)sources.

TABLE I. Mass dimension and ghost number of the fields, sources, and Grassmann coordinates.

	Α	С	ī	ih	а	Ī	Ī	Р	R	$\bar{Q}$	Ī	$\theta$	$\partial_{\theta}$	dθ	$\bar{\theta}$	$\partial_{ar{ heta}}$	$d\bar{\theta}$
Dimension	1	1	1	2	0	2	2	2	2	1	1	-1	1	1	-1	1	1
Ghost number	0	1	-1	0	0	-1	-2	0	0	-1	-1	1	-1	-1	-1	1	1

$$\begin{split} \tilde{\delta}_{k}^{a}\Gamma &= \int_{x,\underline{\theta}} \left\{ \frac{1}{2N} \left( P_{k}^{0}a_{k}^{a} - R_{k}^{0}\frac{\delta_{\theta}\Gamma}{\delta R_{k}^{a}} - \bar{Q}_{k}^{0}\frac{\delta_{\theta}\Gamma}{\delta \bar{Q}_{k}^{a}} - \bar{T}_{k}^{0}\frac{\delta_{\theta}\Gamma}{\delta \bar{T}_{k}^{a}} \right) \\ &+ \left( R_{k}^{a}\frac{\delta_{\theta}\Gamma}{\delta R_{k}^{0}} + \bar{Q}_{k}^{a}\frac{\delta_{\theta}\Gamma}{\delta \bar{Q}_{k}^{0}} + \bar{T}_{k}^{a}\frac{\delta_{\theta}\Gamma}{\delta \bar{T}_{k}^{0}} \right) \\ &+ \frac{f^{abc}}{2} \left( R_{k}^{b}\frac{\delta_{\theta}\Gamma}{\delta R_{k}^{c}} + \bar{Q}_{k}^{b}\frac{\delta_{\theta}\Gamma}{\delta \bar{Q}_{k}^{c}} + \bar{T}_{k}^{b}\frac{\delta_{\theta}\Gamma}{\delta \bar{T}_{k}^{c}} \right) \\ &- \frac{d^{abc}}{2} \left( R_{k}^{b}a_{k}^{c} + \bar{Q}_{k}^{b}\frac{\delta_{\theta}\Gamma}{\delta \bar{T}_{k}^{c}} - \bar{T}_{k}^{b}\frac{\delta_{\theta}\Gamma}{\delta \bar{Q}_{k}^{c}} \right) \right\}. \end{split}$$
(61)

#### **B.** Constraining ultraviolet divergences

The proof of renormalizability follows standard lines [51,52]. It eventually boils down to constraining the form of the divergent part  $\Gamma^{div}$  of the effective action through the Zinn-Justin equations derived in the previous subsection. Standard power counting arguments imply that  $\Gamma^{div} = \int d^4x \mathcal{L}^{div}(x)$  with  $\mathcal{L}^{div}$  the most general local Lagrangian density including operators of mass dimension lower than or equal to 4 compatible with the symmetries of the problem. Here, one must take into account the fact that the Grassmann integration measure has mass dimension two<sup>17</sup>:  $[d\theta d\bar{\theta}] = 2$ . This implies that a contribution to  $\mathcal{L}^{div}$  of the form  $\int_{\underline{\theta}} \mathcal{L}_2(x, \underline{\theta})$  is such that the Lagrangian density  $\mathcal{L}_2$  is of mass dimension two; a contribution of the form  $\int_{\underline{\theta},\underline{\theta}'} \mathcal{L}_3(x, \underline{\theta}, \underline{\theta}')$  is such that  $\mathcal{L}_3$  is of mass dimension 0, etc.

The constraints from the linear symmetries listed below are trivially implemented. In order to write the most general local Lagrangian  $\mathcal{L}^{div}$  consistent with power counting and those symmetries, it is convenient to recall the dimension and ghost numbers of the building blocks of the action. These are resumed in Table I. Finally, we recall that each replica comes with its own set of Grassmann variables and thus with its own set of isometries. We can thus make a joint expansion in the number of free replica indices and Grassmann integrals. By inspection, we see that the divergent terms are at most linear in the sources. By analogy with (53), we write

$$\Gamma_{\rm div} = \Gamma_0 - \Gamma_1, \tag{62}$$

where  $\Gamma_0$  is independent of the sources and

$$\Gamma_{1} = \int_{x} \{ \bar{K}_{\mu}^{a} \tilde{s} A_{\mu}^{a} + \bar{L}^{a} \tilde{s} c^{a} \}$$
  
+ 
$$\sum_{k=2}^{n} \int_{x,\underline{\theta}} \{ P_{k}^{0} \tilde{a}_{k}^{0} + R_{k}^{0} \tilde{b}_{k}^{0} + R_{k}^{a} \tilde{b}_{k}^{a}$$
  
+ 
$$\bar{Q}_{k}^{0} X_{k}^{0} + \bar{Q}_{k}^{a} \tilde{s} a_{k}^{a} + \bar{T}_{k}^{0} Y_{k}^{0} + \bar{T}_{k}^{a} Y_{k}^{a} \}.$$
(63)

Here, the unknown functions  $\tilde{s}A^a_{\mu}$ ,  $\tilde{s}c^a$ , and  $\tilde{s}a^a_k$  are defined in Eq. (55) with  $\Gamma \rightarrow \Gamma_{\text{div}}$ . Notice that the functions  $\tilde{a}^0_k$ ,  $\tilde{b}^0_k$ , and  $\tilde{b}^a_k$  are of dimension zero and can only depend on the superfields  $a^b_l$ . When restricted to the divergent part of the effective action  $\Gamma_{\text{div}}$ , the variation (58) thus reads

$$\tilde{\delta}^a_k a^b_l = \delta_{kl} \Big( \delta^{ab} \tilde{a}^0_k + \frac{1}{2} f^{abc} a^c_k - \frac{1}{2} d^{abc} \tilde{b}^c_k \Big). \tag{64}$$

Inserting Eq. (63) in the symmetry identity (59) and extracting the terms linear in  $P_k^0$ ,  $R_k^0$ , and  $R_k^a$ , we find that

$$X_k^0 = \tilde{s}\tilde{a}_k^0, \qquad Y_k^0 = \tilde{s}\tilde{b}_k^0, \qquad Y_k^a = \tilde{s}\tilde{b}_k^a, \tag{65}$$

with the transformation  $\tilde{s}$  defined in (54). Similarly, extracting the terms linear in the remaining sources in Eq. (59) as well as the terms linear in the sources in Eqs. (60) and (61), we conclude that the renormalized transformations  $\tilde{s}$ ,  $\tilde{t}$ , and  $\tilde{\delta}_k^a$  satisfy the same algebra as the bare ones, Eq. (52), with the bare parameter  $\beta_0$  appearing explicitly.

In order to find the most general form for the transformations  $\tilde{s}$  and  $\tilde{\delta}_k^a$ , it proves convenient to group the fields  $a_k^a$  and the unknown functions  $\tilde{a}_k^0$ ,  $\tilde{b}_k^0$ , and  $\tilde{b}_k^a$  in the matrix

$$\tilde{\mathcal{V}}_k = (\tilde{a}_k^0 + i\tilde{b}_k^0)\mathbb{1} + i(a_k^a + i\tilde{b}_k^a)t^a.$$
(66)

The operator  $\tilde{s}$  is of dimension one and has a ghost number one. By inspection, we find the most general form of the renormalized BRST variations of the fields to be

$$\begin{split} \tilde{s}A^{a}_{\mu} &= \kappa_{1}\partial_{\mu}c^{a} + \tilde{g}f^{abc}A^{b}_{\mu}c^{c}, \qquad \tilde{s}c^{a} = -\frac{\tilde{g}}{2}f^{abc}c^{b}c^{c}, \\ \tilde{s}\tilde{\mathcal{V}}_{k} &= -i\tilde{g}\tilde{\mathcal{V}}_{k}c, \qquad k = 2, \dots, n. \end{split}$$
(67)

Similarly we get, for the most general form of the transformation  $\tilde{\delta}_k$ ,

$$\tilde{\delta}^a_k \tilde{\mathcal{V}}_l = i \delta_{kl} t^a \tilde{\mathcal{V}}_l, \tag{68}$$

<sup>&</sup>lt;sup>17</sup>This can be seen as follows. The metric of the Grassmann subspace (30) must be dimensionless. It follows that  $[\theta] = [\bar{\theta}] = [\rho_0^{-1/2}] = -1$ . The identity  $\int d\theta \theta = \int d\bar{\theta}\bar{\theta} = 1$  then implies that  $[d\theta] = [d\bar{\theta}] = 1$ .

which shows that  $\tilde{\mathcal{V}}_k$  transforms under a linear representation of SU(N). It follows that

$$\tilde{\mathcal{V}}_k^{\dagger} \tilde{\mathcal{V}}_k = Z\mathbb{1},\tag{69}$$

with Z a (possibly divergent) constant.

Finally, there remains to determine the sourceindependent term  $\Gamma_0$ , which satisfies

$$\tilde{s}\Gamma_0 = \tilde{t}\Gamma_0 = \tilde{\delta}^a_k \Gamma_0 = 0.$$
(70)

Using the fact that, by power counting, there can be at most two set of Grassmann variables, we parametrize the solution as

$$\Gamma_{0} = \int_{x} \mathcal{L}_{1}(A, c, \bar{c}, h) + \sum_{k=2}^{n} \int_{x,\underline{\theta}} \mathcal{L}_{2}(A, c, \bar{c}, h, a_{k}(\underline{\theta})) + \sum_{k,k'=2}^{n} \int_{x,\underline{\theta},\underline{\theta}'} \mathcal{L}_{3}(a_{k}(\underline{\theta}), a_{k'}(\underline{\theta}')).$$
(71)

Power counting implies that  $\mathcal{L}_3$  is of mass dimension zero. Therefore, it cannot involve the fields A, c,  $\bar{c}$ , or h. Similarly, it cannot involve any derivatives  $\partial_{\mu}$  or  $\partial_{M}$ . It is thus a potential term for the superfields  $a_k$  and  $a_{k'}$  (or equivalently  $\tilde{\mathcal{V}}_k$  and  $\tilde{\mathcal{V}}_{k'}$ ). The only possible such term compatible with the symmetry (68) is a function of  $\tilde{\mathcal{V}}_k^{\dagger}\tilde{\mathcal{V}}_k$  and  $\tilde{\mathcal{V}}_{k'}^{\dagger}\tilde{\mathcal{V}}_{k'}$ , which is trivial due to (69) so that  $\mathcal{L}_3 = 0$ .

Notice that, in the sector  $(A, c, \tilde{V}_k)$ , the transformation  $\tilde{s}$  is, up to a multiplicative factor  $\kappa_1$ , a (left) gauge transformation with Grassmannian gauge parameter  $c^a$  and effective coupling constant  $\tilde{g}/\kappa_1$ . A trivial solution to  $\tilde{s}\mathcal{L}_1 = 0$  is thus a Yang-Mills-like term with an appropriate field-strength tensor, see below. It is easy to check that apart from this term, the combinations

$$X = \frac{\beta_0}{2\kappa_1} (A^a_\mu)^2 - \tilde{s} (A^a_\mu \partial_\mu \bar{c}^a), \qquad (72)$$

$$Y = \beta_0 \bar{c}^a c^a - \tilde{s} \left[ \bar{c}^a \left( ih^a + \frac{\tilde{g}}{2} f^{abc} \bar{c}^b c^c \right) \right]$$
(73)

are the only independent solutions to  $\tilde{s}\mathcal{L}_1 = 0$  with the correct dimension, symmetries, and ghost number. Thus

$$\mathcal{L}_1 = \frac{Z_1}{4} (\tilde{F}^a_{\mu\nu})^2 + \kappa_2 X + \frac{\kappa_3}{2} Y,$$
(74)

with

$$\tilde{F}^a_{\mu\nu} = \partial_\mu A^a_\nu - \partial_\nu A^a_\mu + \frac{\tilde{g}}{\kappa_1} f^{abc} A^b_\mu A^c_\nu.$$
(75)

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Explicitly, one has

$$\mathcal{L}_{1} = \frac{Z_{1}}{4} (\tilde{F}_{\mu\nu}^{a})^{2} + \kappa_{2} \left\{ \frac{\beta_{0}}{2\kappa_{1}} (A_{\mu}^{a})^{2} - iA_{\mu}^{a}\partial_{\mu}h^{a} + \partial_{\mu}\bar{c}^{a}\tilde{s}A_{\mu}^{a} \right\} \\ + \kappa_{3} \left\{ \beta_{0}\bar{c}^{a}c^{a} + \frac{(h^{a})^{2}}{2} - \frac{\tilde{g}}{2}f^{abc}ih^{a}\bar{c}^{b}c^{c} - \frac{\tilde{g}^{2}}{4}(f^{abc}\bar{c}^{b}c^{c})^{2} \right\},$$
(76)

with  $\tilde{s}A^a_{\mu}$  given in (67). This is trivially invariant under  $\tilde{t}$  and  $\tilde{\delta}^a_k$ .

Let us now consider the nonlinear sigma model sector  $\mathcal{L}_2$ . The constraint  $\tilde{\delta}_k^a \mathcal{L}_2 = 0$  is trivially accounted for by using the SU<sub>R</sub>(N) invariants  $\tilde{\mathcal{V}}_k^{\dagger} \partial ... \partial \tilde{\mathcal{V}}_k$ , with an arbitrary number of bosonic and Grassmannian derivatives, as building blocks. The term with no derivatives is trivial due to (69). The isometries of the embedding superspace and the fact that  $\mathcal{L}_2$  can only contain local terms of mass dimension lower than two restricts the set of possible invariants to  $(M = \theta, \bar{\theta})$ 

$$\tilde{L}_{k,\mu} = \frac{i}{\tilde{g}} \tilde{\mathcal{V}}_k^{\dagger} \partial_{\mu} \tilde{\mathcal{V}}_k \quad \text{and} \quad \tilde{L}_{k,M} = \frac{i}{\tilde{g}} \tilde{\mathcal{V}}_k^{\dagger} \partial_M \tilde{\mathcal{V}}_k.$$
(77)

Both  $\tilde{L}_{k,\mu}$  and  $\tilde{L}_{k,M}$  have mass dimension one. Their ghost numbers are 0 for  $\tilde{L}_{k,\mu}$ , 1 for  $\tilde{L}_{k,\bar{\theta}}$  and -1 for  $\tilde{L}_{k,\theta}$ . The variation of  $\tilde{L}_{k,\mu}$  under  $\tilde{s}$  is

$$\tilde{s}\tilde{L}^{a}_{k,\mu} = Z\partial_{\mu}c^{a} + \tilde{g}f^{abc}\tilde{L}^{b}_{k,\mu}c^{c}.$$
(78)

It follows that  $L_{k,\mu}/Z - A_{\mu}/\kappa_1$  transforms covariantly

$$\tilde{s}\left(\tilde{L}^{a}_{k,\mu}-\frac{Z}{\kappa_{1}}A^{a}_{\mu}\right)=\tilde{g}f^{abc}\left(\tilde{L}^{b}_{k,\mu}-\frac{Z}{\kappa_{1}}A^{b}_{\mu}\right)c^{c}.$$
(79)

Similarly,  $\tilde{L}_{k,M}$  transform covariantly:

$$\tilde{s}\tilde{L}^{a}_{k,M} = -\tilde{g}f^{abc}\tilde{L}^{b}_{k,M}c^{c}.$$
(80)

The most general dimension two Lagrangian  $\mathcal{L}_2$  satisfying  $\tilde{s}\mathcal{L}_2 = 0$  is thus

$$\mathcal{L}_{2} = \frac{Z}{2} \left( \tilde{L}^{a}_{k,\mu} - \frac{Z}{\kappa_{1}} A^{a}_{\mu} \right)^{2} + \frac{Z_{3}}{4} g^{MN} \tilde{L}^{a}_{k,N} \tilde{L}^{a}_{k,M}.$$
(81)

We see that the most general divergent part compatible with the symmetries has the same form as the bare Lagrangian. This demonstrates the (multiplicative) renormalizability of the present theory. So far we have eight independent renormalization constants  $\kappa_{1,2,3}$ ,  $Z_{1,2,3}$ , Z, and  $\tilde{g}$ . As described in Appendix B, the original symmetry between the replicas k = 1 and  $k \ge 2$ , mentioned in Sec. IVA, leads to the relations

$$Z_2 Z^2 = \kappa_1 \kappa_2 \quad \text{and} \quad Z_3 Z^2 = \kappa_3 \tag{82}$$

which reduce the number of independent renormalization constants to six. In particular, it follows that all replicas contribute a mass term for the gauge field

$$\frac{Z_2 Z^2}{2\kappa_1^2} \int_{\underline{\theta}} (A^a_{\mu})^2 = \frac{\beta_0 \kappa_2}{2\kappa_1} (A^a_{\mu})^2,$$
(83)

identical to the one in (76). The total  $A^2$  contribution is thus proportional to n, as expected from the replica symmetry.

### C. Relation with perturbation theory

To make a link with perturbation theory, we introduce the usual renormalized fields and constants as

$$A = \sqrt{Z_A} A_r, \qquad c = \sqrt{Z_c} c_r,$$
  
$$\bar{c} = \sqrt{Z_c} \bar{c}_r, \qquad ih = \sqrt{Z_h} ih_r \qquad (84)$$

and

$$\beta_0 = Z_\beta \beta, \qquad \xi_0 = Z_\xi \xi, \qquad g_0 = Z_g g. \tag{85}$$

It is useful to also introduce rescaled Grassmann variables  $\theta_r$  and  $\bar{\theta}_r$  such that the measure (32) reads  $\beta_0 \bar{\theta} \theta - 1 = \beta \bar{\theta}_r \theta_r - 1$ . We thus define

$$\theta = Z_{\beta}^{-1/2} \theta_{r}, \qquad \partial_{\theta} = Z_{\beta}^{1/2} \partial_{\theta_{r}}, \qquad d\theta = Z_{\beta}^{1/2} d\theta_{r}, \bar{\theta} = Z_{\beta}^{-1/2} \bar{\theta}_{r}, \qquad \partial_{\bar{\theta}} = Z_{\beta}^{1/2} \partial_{\bar{\theta}_{r}}, \qquad d\bar{\theta} = Z_{\beta}^{1/2} d\bar{\theta}_{r}.$$
(86)

Accordingly we introduce a renormalized metric as

$$g_r^{M_r N_r}(\underline{\theta_r}) = g^{MN}(\underline{\theta}), \qquad (87)$$

with  $M_r, N_r = \theta_r, \bar{\theta}_r$ . In particular, this implies

$$\int_{\underline{\theta}} = Z_{\beta} \int_{\underline{\theta_r}},\tag{88}$$

where  $\int_{\underline{\theta_r}} = \int d\theta_r d\overline{\theta}_r (\beta \overline{\theta}_r \theta_r - 1)$ . Finally, parametrizing the bare nonlinear model superfields as

$$\mathcal{V}_k = \exp\{ig_0\Lambda_k\},\tag{89}$$

we define the corresponding renormalized superfields as

$$\Lambda_k = \sqrt{\frac{Z_\Lambda}{Z_\beta}} \Lambda_{r,k}.$$
(90)

The replica symmetry imply that  $Z_{\Lambda}$  is the same for all  $k \ge 2$ . Here, we extracted a factor  $\sqrt{Z_{\beta}}$  in such a way that the kinetic term of the fields  $\Lambda^a_{r,k}$  is normalized as

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$$\frac{1}{2} \int_{\underline{\theta}} (\partial_{\mu} \Lambda_{k}^{a})^{2} = \frac{Z_{\Lambda}}{2} \int_{\underline{\theta_{r}}} (\partial_{\mu} \Lambda_{r,k}^{a})^{2}.$$
(91)

For later use, we also mention the identities

$$\int_{\underline{\theta}} A^a_{\mu} \partial_{\mu} \Lambda^a_k = \sqrt{Z_{\beta} Z_{\Lambda} Z_A} \int_{\underline{\theta_r}} A^a_{r,\mu} \partial_{\mu} \Lambda^a_{r,k} \qquad (92)$$

and

$$\frac{\xi_0}{4} \int_{\underline{\theta}} g^{MN} \partial_N \Lambda_k^a \partial_M \Lambda_k^a = \frac{\xi_0}{4} \int_{\underline{\theta}} \Lambda_k^a \Box_{\underline{\theta}} \Lambda_k^a$$
$$= Z_\beta Z_\Lambda Z_\xi \frac{\xi}{4} \int_{\underline{\theta}_r} \Lambda_{r,k}^a \Box_{\underline{\theta}_r} \Lambda_{r,k}^a, \quad (93)$$

where

$$\Box_{\underline{\theta}} = \frac{1}{\sqrt{g}} \partial_M \sqrt{g} g^{MN} \partial_N$$
$$= 2\beta_0 (\theta \partial_\theta + \bar{\theta} \partial_{\bar{\theta}}) + 2(1 - \beta_0 \bar{\theta} \theta) \partial_\theta \partial_{\bar{\theta}} \qquad (94)$$

and where  $\Box_{\underline{\theta_r}}$  is defined accordingly with the renormalized metric (87).

To make link with the divergent constants of the previous section, we need to relate the matrix (66) to the superfield  $\Lambda_k$ . We write

$$\tilde{\mathcal{V}}_k = \sqrt{Z} \exp\{ig_0 \tilde{\Lambda}_k\}$$
(95)

and expand the exponentials in (89) and (95). Comparing with the linear parametrizations, Eqs. (45) and (66), we find  $\tilde{\Lambda}_k = \Lambda_k / \sqrt{Z} + \mathcal{O}(\Lambda_k^2)$ . Inserting (84), (85), and (95) in the expressions (76) and (81) and demanding that the effective action written in terms of renormalized quantities be finite, we obtain the following relations for the divergent parts of the various renormalization factors:

$$Z_{1} = 1/Z_{A}, \qquad \kappa_{2}/\kappa_{1} = 1/(Z_{\beta}Z_{A}),$$
  

$$\kappa_{2}\kappa_{1} = 1/Z_{c}, \qquad \kappa_{3} = \xi_{0}/(Z_{\beta}Z_{\xi}Z_{c}),$$
  

$$\tilde{g}/\kappa_{1} = g_{0}/(Z_{g}\sqrt{Z_{A}}), \qquad Z = Z_{\Lambda}Z_{g}^{2}/Z_{\beta}, \qquad (96)$$

as well as the constraint

$$Z_h = Z_\beta Z_c, \tag{97}$$

where we used Eq. (82).

To end this section, we mention that the above results generalize those of Ref. [36] corresponding to the case of the Landau gauge,  $\xi_0 = 0$ . As shown there and rederived in detail in Appendix D, in that case the number of independent renormalization factors is reduced from 6 to 3 thanks to further nonrenormalization theorems. In particular, one has  $Z_A Z_c Z_\beta = Z_g \sqrt{Z_A} Z_c = Z_\Lambda / Z_c = 1$ .

## V. FEYNMAN RULES

The superfield formalism makes transparent the consequences of the supersymmetries—the isometries of the curved Grassmann space—for loop diagrams. Here, we employ the exponential parametrization (89). Expanding the action (42) in powers of the (super)fields  $\Lambda_k$ , we obtain the vertices of the theory. We work in Euclidean momentum space. Because of the curvature of the Grassmann subspace, it is of no use to introduce Grassmann Fourier variables. Inverting the quadratic part of the action to obtain the free two-point correlators therefore requires a bit of Grassmann algebra. The various correlators in the  $(A, c, \bar{c}, h)$  sector read

$$[A^{a}_{\mu}(-p)A^{b}_{\nu}(p)] = \delta^{ab} \left\{ \frac{P^{T}_{\mu\nu}(p)}{p^{2} + n\beta_{0}} + \frac{\xi_{0}P^{L}_{\mu\nu}(p)}{p^{2} + \beta_{0}\xi_{0}} \right\}, \quad (98)$$

where  $P^L_{\mu\nu}(p) = p_{\mu}p_{\nu}/p^2$  and  $P^T_{\mu\nu}(p) = \delta_{\mu\nu} - p_{\mu}p_{\nu}/p^2$ ,

$$[c^{a}(-p)\bar{c}^{b}(p)] = \frac{\delta^{ab}}{p^{2} + \beta_{0}\xi_{0}},$$
(99)

$$[ih^{a}(-p)ih^{b}(p)] = \frac{-\beta_{0}\delta^{ab}}{p^{2} + \beta_{0}\xi_{0}}$$
(100)

and

$$[ih^{a}(-p)A^{b}_{\mu}(p)] = \frac{i\delta^{ab}p_{\mu}}{p^{2} + \beta_{0}\xi_{0}}.$$
 (101)

Here, the square brackets represent an average with the action (42), with *n* finite. The correlators (98)–(101) assume similar forms as in the CF model with the exception that the square mass term in the transverse part of the gauge-field correlator gets a factor *n* from the replicated superfield sector.<sup>18</sup>

The correlator of the superfields  $\Lambda_k$  reads

$$\left[\Lambda_{k}^{a}(-p,\underline{\theta})\Lambda_{l}^{b}(p,\underline{\theta}')\right] = \delta^{ab} \left[\frac{\delta_{kl}\delta(\underline{\theta},\underline{\theta}')}{p^{2} + \beta_{0}\xi_{0}} + \frac{\xi_{0}(1+\delta_{kl})}{p^{2}(p^{2} + \beta_{0}\xi_{0})}\right],$$
(102)

where  $\delta(\underline{\theta}, \underline{\theta}') = g^{-1/2}(\underline{\theta})(\overline{\theta} - \overline{\theta}')(\theta - \theta')$  is the covariant Dirac delta function on the curved Grassmann space:  $\int_{\underline{\theta}} \delta(\underline{\theta}, \underline{\theta}') f(\underline{\theta}) = f(\underline{\theta}')$ . Notice that, for  $\xi_0 \neq 0$ , there is a nontrivial correlation between different replicas. Finally, there are nontrivial mixed correlators

$$[ih^{a}(-p)\Lambda_{k}^{b}(p,\underline{\theta})] = \frac{\delta^{ab}}{p^{2} + \beta_{0}\xi_{0}}$$
(103)

and

$$[\Lambda_k^a(-p,\underline{\theta})A_\mu^b(p)] = \frac{i\xi_0 \delta^{ab} p_\mu}{p^2(p^2 + \beta_0\xi_0)}.$$
 (104)

Besides the obvious replica symmetry between the replicas  $k \ge 2$ , the symmetry with the fields  $(c, \bar{c}, h)$  of the replica k = 1 is encoded in the structure of the correlator (102). It is made explicit by using Eq. (27) for the replica k, with  $U_k = \exp\{ig_0\lambda_k\}$  and writing

$$\Lambda_{k}^{a}(p,\underline{\theta}) = \lambda_{k}^{a}(p) + \bar{\theta}c_{k}^{a}(p) + \bar{c}_{k}^{a}(p)\theta + \bar{\theta}\theta ih_{k}^{a}(p) + \cdots$$
(105)

where the dots stand for terms nonlinear in the fields. Identifying the coefficients of the terms  $\theta$ ,  $\bar{\theta}$ , and  $\bar{\theta}\theta$  on both sides of Eq. (102), we obtain  $[c_k^a \bar{c}_l^b] = \delta_{kl} [c^a \bar{c}^b]$  and  $[ih_k^a i h_l^b] = \delta_{kl} [ih^a i h^b]$ . Doing the same exercise with Eq. (104) one finds that the mixed terms  $[h_k^a A_{\mu}^b] = 0 \neq$  $[h^a A_{\mu}^b]$ . The replica k = 1 which has been singled out to factor out the volume of the gauge group has a nontrivial mixing with the gauge field.

The interaction vertices are obtained from terms higher than quadratic in the fields. From Eq. (42), it appears clearly that the vertices of the sector ( $A, c, \bar{c}, h$ ) are identical to those of the CF model or, equivalently to those of the CFDJ action. These include the Yang-Mills vertices with three and four gluons as well as a standard gluon-ghostantighost vertex whose expression depends on whether one employs the nonsymmetric or the symmetric version of the CF action, Eqs. (16) and (26), respectively. In addition, there is a four-ghost vertex whose expression also depends on the choice of the nonsymmetric or symmetric CF action. Finally, in the case of the nonsymmetric formulation, there is a  $hc\bar{c}$  vertex.<sup>19</sup> These vertices are well known and we do not recall their expressions here. In the following, we use the nonsymmetric version of the theory.

The vertices of the replicated nonlinear sigma model sector are obtained by expanding the exponential (89) in powers of  $\Lambda_k$ . In this part of the action, being linear in the gauge field A, there are vertices with an arbitrary number of  $\Lambda_k$  legs and either one or zero gluon leg. Vertices with no gluon legs involve two (normal or Grassmann) derivatives whereas those with one gluon leg come with one normal derivative  $\partial_{\mu}$ . Furthermore, since the color structure of these vertices only involve the antisymmetric tensor  $f^{abc}$ , there is no cubic  $\Lambda_k^3$  vertex:  $f^{abc}\partial_{\mu}\Lambda_k^a\partial_{\mu}\Lambda_k^b\Lambda_k^c = f^{abc}g^{MN}\partial_N\Lambda_k^a\partial_M\Lambda_k^b\Lambda_k^c = 0$ . Finally we emphasize that such vertices do not couple different replicas and are local in Grassmann variables, i.e., they are proportional to  $\prod_{i=1}^{n-1} \delta(\theta_i, \theta_{i+1})$  where  $\theta_i$  are the couples of

<sup>&</sup>lt;sup>18</sup>The CF model is recovered for n = 1.

<sup>&</sup>lt;sup>19</sup>An alternative formulation of the theory consists in integrating out the field *h* explicitly. This generates a quadratic term  $(\partial_{\mu}A^{a}_{\mu})^{2}$  and renormalizes the four-ghost and the ghost-gluon vertices.

Grassmann variables associated to the  $n \Lambda_k$  legs.<sup>20</sup> As an example, the lowest order vertex with  $\Lambda_k$  legs, coming from the  $A_u \partial_u \Lambda_k \Lambda_k$  term in the action, reads

$$\frac{\delta_{\theta}}{\delta\Lambda_{k}^{a}(p_{1},\underline{\theta})} \frac{\delta_{\theta'}}{\delta\Lambda_{l}^{b}(p_{2},\underline{\theta}')} \frac{\delta}{\delta A_{\mu}^{c}(p_{3})} S$$

$$= i \frac{g_{0}}{4} f^{abc} \delta_{kl} (2\pi)^{d} \delta^{(d)}(p_{1}+p_{2}+p_{3}) \delta(\underline{\theta},\underline{\theta}')(p_{1}-p_{2})_{\mu}.$$
(106)

For one-loop calculations, only the cubic and quartic vertices are needed. These include all the vertices of the CF model described above, the cubic  $A\Lambda_k^2$  vertex (106), as well as the quartic vertices  $A\Lambda_k^3$  and  $\Lambda_k^4$ . The expression of the latter is quite cumbersome and we do not give it here. In fact, at one loop, those quartic vertices appear in tadpole diagrams for the  $\Lambda$ - $\Lambda$  and the A- $\Lambda$  self-energies, as depicted in Figs. 4 and 5, respectively.

To end this section, we mention that we recover the Feynman rules of [36] for  $\xi_0 = 0$ . In that case the superfield propagator (102) is local in Grassmann space which leads to dramatic simplifications. In particular, closed loops involving the superfields  $\Lambda_k$  vanish and the latter thus effectively decouple in the calculation of the gauge-field and/or ghost correlators. It follows that the  $\xi_0 = 0$  is perturbatively equivalent to the corresponding (Landau gauge) CF model. This is not the case for  $\xi_0 \neq 0$ . The superfields do not decouple and the theory is not equivalent to the CF model.

### VI. RENORMALIZATION AT ONE LOOP

In this section, we illustrate the renormalizability of the theory by computing the divergent parts of the various vertex functions at one-loop order using the Feynman rules described above. We explicitly check that the six renormalization factors introduced in Sec. IV C are enough to make the theory finite. We work with renormalized fields and parameters<sup>21</sup> and employ dimensional regularization with  $d = 4 - \varepsilon$ .

We introduce the following notation for renormalized two-point vertex functions in momentum space:

$$\left. \frac{\delta^2 \Gamma}{\delta \varphi_1^a(p) \delta \varphi_2^b(-p)} \right|_0 = \delta^{ab} \Gamma^{(2)}_{\varphi_1 \varphi_2}(p), \tag{107}$$

where  $\varphi_{1,2}$  denote renormalized fields in the sector  $(A_r, c_r, \overline{c}_r, ih_r)$  and the derivative on the left-hand side is evaluated at vanishing sources. Here, we explicitly extract a trivial color factor. We use a similar definition and notation for vertex functions involving the superfields  $\Lambda_{r,k}$ ,



FIG. 1. One-loop diagrams for the vertex  $\Gamma_{AA}^{(2)}$ . We use the standard graphical conventions for the gluon (wiggly) and ghost (dashed) lines. The plain line represents the superfield correlator (102). The second diagram on the second line involves a mixed A- $\Lambda$  correlator (104).

which now involves a covariant functional derivative  $\delta/\delta\varphi^a(p) \rightarrow \delta_{\theta}/\delta\Lambda^a_{r,k}(p,\underline{\theta})$ , as defined in (54).

The one-loop diagrams contributing to the gluon selfenergy are depicted in Fig. 1. There are the usual diagrams of the CF model plus two diagrams involving the superfield sector. Let us illustrate the calculation of Feynman diagrams with superfield loops on the example of the  $\Lambda_k$ -loop diagram. Using the expression of the correlator (102) and the vertex (106), its contribution to  $\delta^{ab}\Gamma_{A_uA_v}(p)$  reads

$$\frac{g^2}{8} f^{acd} f^{bef} \int \frac{d^d q}{(2\pi)^d} (q-r)_{\mu} (q-r)_{\nu} \\
\times \sum_{k,l=2}^n \int_{\underline{\theta},\underline{\theta}'} [\Lambda_k^c(-q,\underline{\theta}) \Lambda_l^f(q,\underline{\theta}')] [\Lambda_k^d(-r,\underline{\theta}) \Lambda_l^e(r,\underline{\theta}')] \\
= -\delta^{ab} \frac{g^2 N}{2} \beta \xi (n-1) \\
\times \int \frac{d^d q}{(2\pi)^d} \frac{(q-r)_{\mu} (q-r)_{\nu}}{q^2 (q^2 + \beta \xi) (r^2 + \beta \xi)} \left\{ 1 + \frac{n+2}{4} \frac{\beta \xi}{r^2} \right\}$$
(108)

where r = p - q and where we used  $f^{acd} f^{bcd} = N\delta^{ab}$ . In computing the Grassmann structure, we used  $\delta(\underline{\theta}, \underline{\theta}) = 0$  and  $\int_{\underline{\theta}} 1 = \beta$ . The UV divergent piece of the resulting momentum integral is obtained as a simple pole in  $1/\epsilon$ . The second term in brackets on the last line of (108) is UV finite. The divergent contribution of this loop diagram is thus proportional to the number of replicated nonlinear sigma model fields n - 1. Moreover, the superficial degree of divergence of the integral being zero, its divergent part is a constant and can be obtained by simply setting p = 0. It reads

$$-\delta^{ab} \frac{g^2 N}{16\pi^2 \varepsilon} (n-1)\beta \xi \delta_{\mu\nu}.$$
 (109)

This is a divergent contribution to the gluon square mass. Each replica  $k \ge 2$  contributes the same. There is a similar contribution from the ghost loop, hence from the replica k = 1, which reads

<sup>&</sup>lt;sup>20</sup>We recall that each replica comes with its own set of Grassmann variables. We omit the replica index k on the latter for simplicity.

<sup>&</sup>lt;sup>21</sup>Grassmann variables are understood as renormalized ones throughout this section; see (86).

$$-\delta^{ab} \frac{g^2 N}{16\pi^2 \varepsilon} \beta \xi \delta_{\mu\nu}.$$
 (110)

We see that the replica k = 1 contributes the same as all the other replicas and the resulting contribution is thus proportional to  $n\beta$ , as expected from the replica symmetry. A simple calculation reveals that other contributions to the gluon mass only come from transverse gluon loops and are thus proportional to the transverse gluon mass  $n\beta$ . It follows that the total contribution to the gluon mass renormalization is proportional to  $n\beta$ , as expected from our general proof of renormalizability; see Sec. IV C.

The relevant one-loop diagrams are shown in Figs. 1–5 and can be evaluated along similar lines. Introducing the notation  $\kappa = g^2 N/8\pi^2 \varepsilon$ , we obtain, for the divergent parts of the two-point vertex functions,

$$\Gamma_{A_{\mu}A_{\nu}}^{(2)\text{div}}(p) = n\beta\delta_{\mu\nu}Z_{A}Z_{\beta}\left\{1 + \kappa\frac{3+\xi}{4}\right\} + p^{2}P_{\mu\nu}^{T}(p)Z_{A}\left\{1 - \kappa\left(\frac{13}{6} - \frac{\xi}{2}\right)\right\}, \quad (111)$$



FIG. 2. One-loop diagrams for the vertices  $\Gamma_{ihih}^{(2)}$  (left) and  $\Gamma_{Aih}^{(2)}$  (right). Double lines stands for the field *ih*.



FIG. 3. One-loop diagrams for the vertex  $\Gamma_{c\bar{c}}^{(2)}$ . The last two diagrams involve the mixed *h*-*A* correlator (101).



FIG. 4. One-loop diagrams for the vertex  $\Gamma_{\Lambda\Lambda}^{(2)}$ 



FIG. 5. One-loop diagrams for the vertex  $\Gamma_{A\Lambda}^{(2)}$ .

$$\Gamma_{ihih}^{(2)\text{div}}(p) = -\xi Z_h Z_{\xi} \left(1 + \kappa \frac{\xi}{4}\right), \qquad (112)$$

$$\Gamma_{ihA_{\mu}}^{(2)\text{div}}(p) = ip_{\mu}\sqrt{Z_{A}Z_{h}}\left(1+\kappa\frac{\xi}{4}\right),\qquad(113)$$

$$\Gamma_{c\bar{c}}^{(2)\text{div}}(p) = p^2 Z_c \left(1 - \kappa \frac{3-\xi}{4}\right) + \beta \xi Z_c Z_\beta Z_\xi \left(1 + \kappa \frac{\xi}{4}\right),$$
(114)

$$\Gamma_{\Lambda_k A_\mu}^{(2)\text{div}}(p,\underline{\theta}) = -ip_\mu \sqrt{Z_A Z_\Lambda Z_\beta} \left(1 + \kappa \frac{\xi}{6}\right), \qquad (115)$$

and

$$\Gamma_{\Lambda_{k}\Lambda_{l}}^{(2)\text{div}}(p,\underline{\theta},\underline{\theta}') = p^{2}\delta_{kl}\delta(\underline{\theta},\underline{\theta}')Z_{\Lambda}\left\{1-\kappa\left(\frac{3}{4}-\frac{\xi}{12}\right)\right\} + \frac{\xi}{2}\delta_{kl}\Box_{\underline{\theta}}\delta(\underline{\theta},\underline{\theta}')Z_{\Lambda}Z_{\xi}Z_{\beta}\left\{1+\kappa\frac{\xi}{12}\right\}.$$
(116)

Here, we used the definition (94) for the Laplace operator on the curved Grassmann space as well as the identity  $\Box_{\underline{\theta}} \delta(\underline{\theta}, \underline{\theta}') = -2 + 2\beta \delta(\underline{\theta}, \underline{\theta}')$ . The divergent parts of the renormalization factors are easily obtained as

$$Z_A = 1 + \kappa \left(\frac{13}{6} - \frac{\xi}{2}\right),$$
 (117)

$$Z_c = 1 + \kappa \left(\frac{3}{4} - \frac{\xi}{4}\right),\tag{118}$$

$$Z_{\beta} = 1 - \kappa \left(\frac{35}{12} - \frac{\xi}{4}\right), \tag{119}$$

$$Z_{\xi} = 1 + \kappa \left(\frac{13}{6} - \frac{\xi}{4}\right),\tag{120}$$

$$Z_{\Lambda} = 1 + \kappa \left(\frac{3}{4} - \frac{\xi}{12}\right). \tag{121}$$

We verify Eq. (97) at this order of approximation:

$$Z_h = Z_\beta Z_c = 1 - \kappa \frac{13}{6}.$$
 (122)

The nine divergent structures of Eqs. (111)–(116) are renormalized by the five independent counterterms (117)–(121). Observe, in particular, that nontrivial correlations between different replicas do develop but are UV finite. The contrary would spoil the renormalizability of the theory. The remaining renormalization constant  $Z_g$  can be determined from the ghost-gluon vertex  $Ac\bar{c}$ . At one-loop order, there is only one diagram involving superfields contributing to the latter, which is trivially finite. Therefore, the calculation of the divergent contribution follows the corresponding one in the CF model and the renormalization factor can be taken from the existing literature, see, e.g., Refs.  $[54,55]^{22}$ :

$$Z_g = 1 - \kappa \frac{11}{6}.$$
 (123)

We notice that the divergent parts of the renormalization factors are independent of *n* at one loop. We thus recover the expressions of the independent factors  $Z_A$ ,  $Z_c$ ,  $Z_\beta$ ,  $Z_\xi$ , and  $Z_g$  of the CF model (which, we recall, corresponds to n = 1), where  $Z_{\beta}$  is identified to the square mass renormalization factor; see [54,55].<sup>23</sup> We do not see any reason for this trivial *n* dependence to hold beyond one loop and we expect explicit differences with the CF model to arise at higher loop orders. We stress that such differences already arise at one-loop order in the finite parts of the vertex functions. Similarly, the factor  $Z_{\Lambda}$  is a specific feature of the present theory which, contrarily to the CF model, is an actual gauge-fixed version of Yang-Mills theories. It is interesting to relate it to the normalization Z of the SU(N)matrix superfields; see Eq. (69). The last relation (96) gives, at one loop,

$$Z = 1 - \kappa \frac{\xi}{3}.$$
 (124)

Again, we check that, in the Landau gauge, we recover the results of [36], as discussed in Appendix D: Z = 1 and  $Z_{\Lambda} = Z_c$ .

As a final comment, we mention that in a wide variety of renormalization schemes, one can deduce the beta functions of the theory in the UV from the divergent parts of the counterterms, obtained here at one loop. In particular, from Eq. (123), we recover the universal one-loop beta function for the coupling constant for any finite value of n. Therefore, the presence of replicated scalar fields does not affect the asymptotic freedom of the theory, as expected since these fields arise from a particular gauge-fixing procedure. This happens because the replicated scalar fields scalar fields which cancel their contribution to the beta function. Just as the renormalizability of the theory described here,

this is a consequence of the supersymmetry of the action (34).

### VII. SUMMARY AND PERSPECTIVES

We have proposed a formulation of a class of nonlinear covariant gauges as an extremization procedure, which has good properties for the purpose of numerical minimization techniques. It is of great interest to investigate its possible lattice implementation, e.g., along the lines of Refs. [24,44,45]. Ignoring Gribov ambiguities, which is probably a valid procedure in the UV regime, this class of gauge is equivalent to the CFDJ gauges. Gribov ambiguities, which corresponding to various possible extrema, can be handled in lattice calculations, e.g., by selecting a given extremum (minimum) as done in the minimal Landau gauge.

We have applied the method proposed in Ref. [36] to deal with Gribov ambiguities in an analytical way, which amounts to averaging over the Gribov copies along each gauge orbit with a suitable weight. This lifts the degeneracy between the different copies and avoids the usual Neuberger zero problem. We have shown that our averaging procedure can be formulated as a local action that is perturbatively renormalizable in d = 4. This requires a set of six independent renormalization factors. We have provided explicit expressions of the latter at one-loop order in perturbation theory.

The resulting gauge-fixed theory has the form of the CF model augmented by a nontrivial sector of replicated scalar, ghost, and antighost fields, which can be written as supersymmetric nonlinear sigma models coupled to the gauge field; see Eq. (42). This extends the proposal of Ref. [36] away from the particular case of the Landau gauge and provides a more generic framework. For instance, unlike in the Landau gauge, the nonlinear sigma model fields do not decouple in the perturbative calculation of ghost and gluon correlators and the present gauge-fixed version of the Yang-Mills theory exhibits explicit differences with the standard CF model.

The present proposal opens the way to both lattice and continuum studies of Yang-Mills correlators in covariant gauges away from the Landau gauge. In particular, this allows one to study the gauge dependence of such correlators as well as to gain a more generic—and maybe deeper—understanding of their structure as a function of momentum and, possibly, of the role of Gribov copies. Assuming that, for some range of the weighting parameter  $\beta_0$ , the average over Gribov copies proposed here is essentially equivalent to randomly picking up a single one,<sup>24</sup> we expect the action (42) to provide a good

<sup>&</sup>lt;sup>22</sup>The only difference is the mass term  $n\beta$  for the transverse part of the gluon correlator. This does not affect the divergent contribution.

<sup>&</sup>lt;sup>23</sup>It follows that the nonrenormalization theorems proved in [47,48] for the CF model are satisfied at one loop: with the present definitions of the renormalization factors, they read, for the divergent parts,  $Z_A Z_c Z_\beta = Z_g \sqrt{Z_A} Z_c = Z_A^2 / Z_{\xi}^2$ . Note that in Refs. [47,48,54] the renormalization factor  $Z_{\xi}$  is defined as  $Z_A/Z_{\xi}$ .

<sup>&</sup>lt;sup>24</sup>A possible picture is, e.g., that the minima of (5)—the analog of the first Gribov region in the Landau gauge—are deeper in average than other extrema in the landscale of (5). In that case, we expect the minima to be essentially equiprobable for  $\beta_0$  not too large and other extrema to be suppressed for  $\beta_0$  not too small.

starting point for an analytic description of the lattice results. An important observation is that the weighting parameter  $\beta_0$  provides an effective mass to the various degrees of freedom of the theory, see Sec. V, and thus regulates infrared fluctuations. As a consequence, we expect perturbation theory to be well defined down to the deep infrared, as in the case of the Landau gauge [41].

A key point in the scenario described in [36] is to absorb the trivial *n* dependence of the transverse gluon correlator, see Eq. (98), in a renormalized square mass parameter  $m^2$ , defined as  $n\beta_0 = Z_{m^2}m^2$ , in such a way that the latter survives the limit  $n \rightarrow 0$ . It appears natural to employ a similar renormalization scheme in the present case in order to recover the Landau gauge scenario in the limit  $\xi_0 \rightarrow 0$ . Similarly, the ghost mass in (99) should vanish in this limit. This suggests including an n dependence in the renormalization of the parameter  $\xi_0$  as well such that  $\beta_0 \xi_0$  is finite in the limit  $n \to 0$  (to be taken before the Landau gauge limit). A simple choice is  $\xi_0/n = Z_{\xi'}\xi'$ , with  $\xi'$  a finite *n*-independent renormalized gauge-fixing parameter. In this scenario, one has  $\beta_0 \xi_0 \to \text{const.}$  whereas  $\xi_0 \to 0$  in the limit  $n \to 0$ . Thus, the only departures from the Landau gauge which survive this limit are those involving the product  $\beta_0 \xi_0$ . For instance, a definite prediction is that the ghost correlator, not only its dressing function, is finite at vanishing momentum.<sup>25</sup> Similarly, we expect from (98) the longitudinal component of the gluon correlator to develop a mass gap at zero momentum and to be suppressed by loop effects since its tree-level expression is  $\propto \xi_0 \rightarrow 0$ .

We stress that the average over Gribov copies proposed here could, in principle, be implemented on the lattice, e.g., along the lines of Ref. [56], at least in the case where minima dominate. This offers an interesting possibility to measure new nontrivial quantities such as, in the notations of Sec. III,  $\overline{\langle A \rangle \langle A \rangle}$  which, as opposed to the correlator  $\overline{\langle AA \rangle}$ , concerns correlations between different gauge orbits and might thus bring some information concerning the role of Gribov copies in computing gauged-fixed correlators. This deserves further investigation.

Finally, we mention that since the BRST symmetry of the gauge-fixed Yang-Mills action proposed here is not nilpotent, see Eq. (52), the standard proof of unitarity does not apply, a situation similar to that of the CF model [40,46,57]. It is important to study the question of unitarity in the present context and, in particular, the possible role of the replicated (super)fields and/or of the limit  $n \rightarrow 0$ .

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## APPENDIX A: LATTICE FORMULATION AND MINIMIZATION ALGORITHM

Let us briefly discuss how the Los Alamos minimization algorithm [58], routinely used in lattice calculations in the minimal Landau gauge, can be generalized to the functional (5); see also [59]. We consider the case of the SU(2) group for simplicity.<sup>26</sup> Introducing the lattice link variable  $W_{\mu}(x) = \exp\{-iag_0A_{\mu}(x)\}$  and the rescaled matrix field  $M(x) = a^2g_0^2\eta(x)/2$ , where *a* is the lattice spacing, a simple discretization of the extremization functional (5) reads [16,58,59], up to an irrelevant constant,

$$\mathcal{H}_{\text{latt}}[W, M, U] = \operatorname{Re}\operatorname{tr}\sum_{x} \left\{ M^{\dagger}(x)U(x) - \sum_{\mu=1}^{d} W^{U}_{\mu}(x) \right\},$$
(A1)

with  $W^U_{\mu}(x) = U(x)W_{\mu}(x)U^{\dagger}(x+\hat{\mu})$  the gauge transformed link variable, where  $\hat{\mu}$  denotes a lattice link in the direction  $\mu$ . The second term on the right-hand side of Eq. (A1) is the usual discretized version of the Landau gauge extremization functional. Note that this term, being the trace of a SU(2) matrix, is bounded from below by  $-2dN_{\text{latt}}$ , where  $N_{\text{latt}}$  is the number of lattice sites. It is easy to prove that the first term is also bounded from below by  $-\sum_x \sqrt{2\text{tr}[M^{\dagger}(x)M(x)]}$ . For a finite lattice and for a given matrix field M(x), the previous functional therefore admits a minimum.<sup>27</sup>

The Los Alamos procedure, that we aim at generalizing in this appendix, relies on minimizing the functional (A1) by making a gauge transformation on one site x. An essential property in this respect is that the functional to be extremized is linear in the gauge transformation matrix U(x) at each lattice site x. As is well known, this is the case of the second term of (A1), and the first term obviously shares this property. Under the previous restriction, minimizing the functional (A1) reduces to minimizing

$$\mathcal{H}_{\text{latt}}[W, M, U] = \text{const} - \text{Re}\,\text{tr}\{U(x)B(x)\},\qquad(A2)$$

where the matrix B(x) can be given the following compact expression:

<sup>&</sup>lt;sup>25</sup>The possibility of a nonperturbative infrared finite ghost correlator in the Feynman gauge has been discussed in Ref. [20] in the context of Schwinger-Dyson equations.

 $<sup>^{26}</sup>$ A possible generalization to SU(3) consists in applying the SU(2) minimization step described below to the three SU(2) subgroups of SU(3) alternatively.

<sup>&</sup>lt;sup>27</sup>With the averaging over *M* proposed here, see Eq. (A6) below, the typical value of the lower bound is  $\sim -2(d + g_0\sqrt{\xi_0})N_{\text{latt}}$ .

$$B(x) = -M^{\dagger}(x) + \sum_{\mu=-d}^{a} W_{\mu}(x)U^{\dagger}(x+\hat{\mu})$$
 (A3)

with  $W_{-\mu}(x) \cong W^{\dagger}_{\mu}(x - \hat{\mu})$  and  $W_0(x) \cong 0$ . Note that the matrix *B* is a generic 2 × 2 matrix with complex entries because  $\eta$ , and consequently *M*, are unrestricted in our implementation, see Sec. II. However, since only the real part of the trace appears in Eq. (A2), the extremization procedure is only sensitive to the matrix ( $\sigma_{a=1,2,3}$  denotes the Pauli matrices)

$$C(x) = \frac{1}{4}\operatorname{tr}(B(x) + B^{\dagger}(x)) + \frac{\sigma_a}{4}\operatorname{tr}[\sigma_a(B(x) - B^{\dagger}(x))],$$
(A4)

which is proportional to a SU(2) matrix. As is well known [60], the minimum of (A2) is attained for  $U(x) = U_{\min}(x)$  with:

$$U_{\min}(x) = \frac{C^{\dagger}(x)}{\sqrt{\det C(x)}}.$$
 (A5)

The generalization of the standard Los Alamos algorithm for computing the average of some operator  $\mathcal{O}$  goes as follows:

- (i) For each configuration of the link variables  $W_{\mu}(x)$ and of the noise field M(x), apply the gauge transformation  $U(x) = U_{\min}(x)$  at all even lattice sites (which can be treated independently) and U(x) = 1at all odd lattice sites. This decreases the functional (A1). Then perform another minimization step on odd lattice sites. Repeat these operations until a local minimum is reached.<sup>28</sup>
- (ii) Compute the operator  $\mathcal{O}$  for this gauge configuration, and repeat the previous point with another matrix field M. Perform the average over the matrix field M with weight, see Eq. (9),

$$\mathcal{P}_{\text{latt}}[M] = \exp\left\{-\frac{1}{\xi_0 g_0^2} \text{tr} \sum_x [M^{\dagger}(x)M(x)]\right\}.$$
(A6)

(iii) Finally, average over gauge links with the (discretized) Yang-Mills action.

Of course, the above considerations do not guarantee that the algorithm converges fast enough for actual implementations,<sup>29</sup> but our point here is to demonstrate on this particular example that standard algorithms used in the Landau gauge may be easily generalized to the functional (A1). The Los Alamos minimization step is the basis of more refined methods such as the (stochastic) overrelaxation algorithm [62]. We hope this will motivate lattice studies of the present proposal.

### **APPENDIX B: REPLICA SYMMETRY**

There is an obvious permutation symmetry among the replicas k > 2. The latter guarantees for instance that  $Z_2$  and  $Z_3$  do not depend on k; see Eq. (82). There is also a less obvious permutation symmetry between the replicas k > 2 and k = 1, which has been (arbitrarily) singled out to factor out the volume of the gauge group. To exploit this symmetry we employ a parametrization of  $\tilde{V}_k$  similar to (27)

$$\tilde{\mathcal{V}}_{k} = \sqrt{Z} \exp\left\{i\tilde{g}(\bar{C}_{k}\theta + \bar{\theta}C_{k} + \bar{\theta}\theta\hat{H}_{k})\right\}\tilde{U}_{k},\qquad(\text{B1})$$

with  $\hat{H}_{k}^{a} = iH_{k}^{a} + \frac{\tilde{g}}{2}f^{abc}\bar{C}_{k}^{b}C_{k}^{c}$  and  $\tilde{U}_{k}^{\dagger}\tilde{U}_{k} = 1$ . Here, we introduced the fields  $(C_{k}, \bar{C}_{k}, H_{k}, \tilde{U}_{k})$  in order to take into account a possible renormalization between the bare fields introduced in (27) and the variables of the effective action  $\Gamma$ . A simple calculation leads to

$$\begin{split} \int_{\underline{\theta}} \mathcal{L}_{2} &= \frac{Z_{2}Z^{2}}{\kappa_{1}} \left\{ \frac{\beta_{0}}{2\kappa_{1}} (A^{a}_{\mu})^{2} - iA^{a}_{\mu}\partial_{\mu}H^{a}_{k} \right. \\ &+ \partial_{\mu}\bar{C}^{a}_{k}(\kappa_{1}\partial_{\mu}C^{a}_{k} + \tilde{g}f^{abc}A^{b}_{\mu}C^{c}_{k}) \right\}_{A=A^{\bar{U}_{k}}} \\ &+ Z_{3}Z^{2} \left\{ \beta_{0}\bar{C}^{a}_{k}C^{a}_{k} + \frac{(H^{a}_{k})^{2}}{2} - \frac{\tilde{g}}{2}f^{abc}iH^{a}_{k}\bar{C}^{b}_{k}C^{c}_{k} \right. \\ &- \frac{\tilde{g}^{2}}{4}(f^{abc}\bar{C}^{b}_{k}C^{c}_{k})^{2} \right\}. \end{split}$$
(B2)

To make contact with the original fields  $(c_k, \bar{c}_k, h_k)$  to which the replica symmetry applies, we introduce possible renormalization factors as

$$C_k^a = \hat{Z}_c c_k^a + \cdots \qquad \bar{C}_k^a = \hat{Z}_c \bar{c}_k^a + \cdots$$
$$iH_k^a = \hat{Z}_h ih_k^a + \hat{Z}_A \partial_\mu A_\mu^a + g_0 \hat{Z}_{c\bar{c}} f^{abc} \bar{c}_k^b c_k^c + \cdots \qquad (B3)$$

where the dots stand for terms involving  $\lambda_k$  and nonlocal contributions. Here we included all possible local terms having the correct dimension, ghost number, and symmetry properties. The replica symmetry guarantees that the factors  $\hat{Z}_{c,h,A,c\bar{c}}$  above do not depend on *k*. Inserting (B3) in the equation above, setting  $\tilde{U}_k = 1$ , and identifying terms

<sup>&</sup>lt;sup>28</sup>Note that there are equivalent classes of noise fields M which lead to the same extremization problem, Eq. (A2). Indeed, it is always possible to add to M a traceless, real matrix, such that C is not changed in Eq. (A4). We stress, however, that we extremize the functional (A1) with respect to U at fixed M such that the existence of such equivalent classes has no influence on the minimization procedure. Our formulation relies on taking into account all the matrices belonging to the equivalence class and averaging over them with possibly different weights.

<sup>&</sup>lt;sup>29</sup>In practice, it may be important to couple the previous procedure with some Fourier acceleration methods; see [61].

involving  $(c_k, \bar{c}_k, h_k)$  with the corresponding ones involving  $(c, \bar{c}, h)$  in (76), we obtain, after some algebra,

$$\hat{Z}_c = \hat{Z}_h = 1$$
 and  $\hat{Z}_{c\bar{c}} = \hat{Z}_A = 0$ , (B4)

as well as the two relations

$$Z_2 Z^2 = \kappa_1 \kappa_2 \quad \text{and} \quad Z_3 Z^2 = \kappa_3, \tag{B5}$$

which reduce the number of independent renormalization constants to six. Note that  $Z_A = 0$  guarantees that there is no term  $(\partial_{\mu}A^a_{\mu})^2$ . The relation  $Z_2Z^2 = \kappa_1\kappa_2$  guarantees that all replicas contribute the same to the gluon mass squared, which thus scales as *n*.

## APPENDIX C: FORMULATION WITHOUT SUPERFIELDS

#### 1. Feynman rules

As mentioned in the main text, we can equivalently formulate the present gauge-fixed Yang-Mills action without introducing the superfield formulation. This amounts, e.g., to work with the action (42) expressed through Eqs. (23)–(26). Eliminating the fields  $\hat{h}$  and  $\hat{h}_k$  through the shifts  $\hat{h}^a \rightarrow \hat{h}^a + \partial_\mu A^a_\mu / \xi_0$ , and similarly for  $\hat{h}_k$ , we obtain

$$S = S_{\rm YM}[A] + S_{\rm gf}[A, c, \bar{c}] + \sum_{k=2}^{n} S_{\rm gf}[A^{U_k}, c_k, \bar{c}_k], \quad (C1)$$

where

$$\begin{split} S_{\rm gf}[A,c,\bar{c}] &= \int_x \left\{ \frac{\beta_0}{2} (A^a_\mu)^2 + \frac{(\partial_\mu A^a_\mu)^2}{2\xi_0} \right. \\ &+ \frac{1}{2} (\partial_\mu \bar{c}^a D_\mu c^a + D_\mu \bar{c}^a \partial_\mu c^a) \\ &+ \beta_0 \xi_0 \bar{c}^a c^a - \frac{g_0^2 \xi_0}{8} (f^{abc} \bar{c}^b c^c)^2 \right\}. \end{split}$$
(C2)

To obtain the Feynman rules, we parametrize the SU(N) matrix fields  $U_k$  as

$$U_k = \exp\left\{ig_0\lambda_k\right\} \tag{C3}$$

and expand in powers of  $\lambda_k$ . The free two-point correlators are given by Eqs. (98) and (99) and

$$[c_{k}^{a}(-p)\bar{c}_{l}^{b}(p)] = \delta^{ab} \frac{\delta_{kl}}{p^{2} + \beta_{0}\xi_{0}},$$
 (C4)

$$[\lambda_k^a(-p)\lambda_l^b(p)] = \delta^{ab} \frac{\xi_0(1+\delta_{kl})}{p^2(p^2+\beta_0\xi_0)},$$
 (C5)

$$[\lambda_k^a(-p)A_{\mu}^b(p)] = \delta^{ab} \frac{i\xi_0 p_{\mu}}{p^2(p^2 + \beta_0\xi_0)}.$$
 (C6)

The vertices are obtained in a straightforward manner.

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#### 2. One-loop results

We have computed explicitly the divergent parts of the various two-point vertex functions at one-loop order. The number of vertices—and thus of diagrams—is considerably larger than with the superfield formulation but the calculation is straightforward. We introduce the renormalized fields

$$c_k = \sqrt{Z_c} c_{r,k}, \qquad \bar{c}_k = \sqrt{Z_c} \bar{c}_{r,k}, \qquad \lambda_k = \sqrt{Z_\lambda} \lambda_{r,k},$$
(C7)

where the replica symmetry implies that the factor  $Z_c$  is the one already introduced in (84) and that  $Z_{\lambda}$  does not depend on k. We obtain, for the divergent part of the gluon two-point vertex,

$$\Gamma_{A_{\mu}A_{\nu}}^{(2)\text{div}}(p) = n\beta\delta_{\mu\nu}Z_{A}Z_{\beta}\left\{1 + \kappa\frac{3+\xi}{4}\right\} + p^{2}P_{\mu\nu}^{T}(p)Z_{A}\left\{1 - \kappa\left(\frac{13}{6} - \frac{\xi}{2}\right)\right\} - \frac{n}{\xi}p^{2}P_{\mu\nu}^{L}(p)\frac{Z_{A}}{Z_{\xi}}\left\{1 + \kappa\frac{\xi}{4}\right\}.$$
(C8)

The first two lines are identical to Eq. (111) and the last line is the renormalization of the  $(\partial_{\mu}A^{a}_{\mu})^{2}/\xi$  term in the formalism with the *h* fields integrated out. One readily checks that the renormalization factors obtained in Sec. VI cancel the divergences in (C8).

The ghost vertex function is unchanged as compared to the previous calculation in Sec. VI. We thus reproduce Eq. (114):

$$\Gamma_{c\bar{c}}^{(2)\text{div}}(p) = p^2 Z_c \left( 1 - \kappa \frac{3-\xi}{4} \right) + \beta \xi Z_c Z_\beta Z_\xi \left( 1 + \kappa \frac{\xi}{4} \right),$$
(C9)

which is finite. The calculation of the replicated ghost vertex function involves the same diagrams as those of  $\Gamma_{c\bar{c}}^{(2)}$  plus some loops involving the fields  $\lambda_k$ . It is a nontrivial check that the latter exactly cancel out (not only their divergent parts) as expected from the replica symmetry:

$$\Gamma^{(2)}_{c_k \bar{c}_l}(p) = \delta_{kl} \Gamma^{(2)}_{c \bar{c}}(p).$$
(C10)

The two-point vertices involving the fields  $\lambda_k$  read

$$\Gamma_{\lambda_{k}A_{\mu}}^{(2)\text{div}}(p) = -ip_{\mu}\beta Z_{\beta}\sqrt{Z_{A}Z_{\lambda}}\left(1+\kappa\frac{\xi}{6}\right) -ip_{\mu}\frac{p^{2}}{\xi}\frac{\sqrt{Z_{A}Z_{\lambda}}}{Z_{\xi}}\left\{1-\kappa\left(\frac{3}{4}-\frac{\xi}{6}\right)\right\} \quad (C11)$$

and

$$\Gamma_{\lambda_{k}\lambda_{l}}^{(2)\text{div}}(p) = \delta_{kl}\beta p^{2}Z_{\lambda}Z_{\beta}\left\{1 - \kappa\left(\frac{3}{4} - \frac{\xi}{12}\right)\right\} + \delta_{kl}\frac{p^{4}}{\xi}\frac{Z_{\lambda}}{Z_{\xi}}\left\{1 - \kappa\left(\frac{3}{2} - \frac{\xi}{12}\right)\right\}.$$
 (C12)

We check that the four divergent structures in (C11) and (C12) are canceled by the factors  $Z_A$ ,  $Z_\beta$ , and  $Z_\xi$  determined previously and

$$Z_{\lambda} = 1 + \kappa \left(\frac{11}{3} - \frac{\xi}{3}\right). \tag{C13}$$

Finally, the coupling renormalization factor  $Z_g$  is unchanged as compared to the calculation of Sec. VI. As expected from the general analysis of Sec. IV B, the theory can be made finite by adjusting six independent renormalization factors. The factor  $Z_{\Lambda}$  of the superfield formulation is replaced by  $Z_{\lambda}$  in the present one. Those two factors are not independent as we now show.

### 3. Relation to superfield formalism

We wish to relate the formulation of perturbation theory of the present section, in terms of the basic fields  $\lambda_k$ ,  $c_k$ ,  $\bar{c}_k$ , and  $h_k$ , to that of the main text, in terms of the superfields  $\Lambda_k$ . Identifying the representations (27) and (89) and using the Campbell-Haussdorf formula for the product of exponentials, we get

$$\Lambda_k = \lambda_k + \bar{\theta}c'_k + \bar{c}'_k\theta + \bar{\theta}\theta\hat{h}'_k \tag{C14}$$

with

$$c'_{k} = c_{k} + \frac{ig_{0}}{2}[c_{k}, \lambda_{k}] - \frac{g_{0}^{2}}{12}[[c_{k}, \lambda_{k}], \lambda_{k}] + \cdots, \quad (C15)$$

$$\bar{c}'_{k} = \bar{c}_{k} + \frac{ig_{0}}{2}[\bar{c}_{k}, \lambda_{k}] - \frac{g_{0}^{2}}{12}[[\bar{c}_{k}, \lambda_{k}], \lambda_{k}] + \cdots, \quad (C16)$$

and

$$\hat{h}'_{k} = \hat{h}_{k} + \frac{ig_{0}}{2} [\hat{h}_{k}, \lambda_{k}] - \frac{g_{0}^{2}}{12} \{ [[\hat{h}_{k}, \lambda_{k}], \lambda_{k}] - [[\bar{c}_{k}, c_{k}], \lambda_{k}] \} + \cdots, \quad (C17)$$

where the dots denote higher order nonlinear terms. The above relations highlight the fact that the superfield  $\Lambda_k$  is a nonlinear composite of the original fields  $\lambda_k$ ,  $c_k$ ,  $\bar{c}_k$ , and  $h_k$ . They hold for the basic fields to be integrated over in the path integral. Instead, the variables of the effective action  $\Gamma$ correspond to averages of these integration variables in the presence of nontrivial sources, e.g.,  $\langle \Lambda_k \rangle$ , where the brackets denote the relevant average in the presence of sources as in Eq. (53). In the following, we omit the brackets for simplicity. At the level of the effective action, we thus need to take into account the nontrivial renormalization of the nonlinear composite fields (C15)–(C17). In particular, one has, at linear order,

$$c'_k = \sqrt{Z'_c} c_k + \cdots, \qquad (C18)$$

$$\bar{c}'_k = \sqrt{Z'_c}\bar{c}_k + \cdots, \qquad (C19)$$

$$\hat{h}'_k = \sqrt{Z'_h} i h_k + \cdots, \qquad (C20)$$

where the dots stands for nonlinear and/or nonlocal contributions and where the composite field renormalization factors  $Z'_c$  and  $Z'_h$  do not depend on the replica index due to the replica symmetry. At linear order, we write

$$\Lambda_{k} = \lambda_{k} + \sqrt{Z_{c}'}\bar{\theta}c_{k} + \sqrt{Z_{c}'}\bar{c}_{k}\theta + \sqrt{Z_{h}'}\bar{\theta}\theta ih_{k} + \cdots$$
(C21)

or, equivalently, introducing renormalized fields as in Eqs. (84) and (90),

$$\sqrt{\frac{Z_{\Lambda}}{Z_{\beta}}}\Lambda_{r,k} = \sqrt{Z_{\lambda}}\lambda_{r,k} + \sqrt{\frac{Z_{c}Z_{c}'}{Z_{\beta}}} \left(\bar{\theta}_{r}c_{r,k} + \bar{c}_{r,k}\theta_{r} + \sqrt{\frac{Z_{h}'}{Z_{c}'}}\bar{\theta}_{r}\theta_{r}ih_{r,k}\right) + \cdots,$$
(C22)

where we used  $Z_h = Z_\beta Z_c$ ; see Eq. (97).

Now it is easy to check that, for the quadratic part of the effective action to have the desired expressions in terms of either the renormalized superfields  $\Lambda_{r,k}$  or the renormalized fields  $\lambda_{r,k}$ ,  $c_{r,k}$ ,  $\bar{c}_{r,k}$ , and  $h_{r,k}$ , we must have

$$\Lambda_{r,k} = \lambda_{r,k} + \bar{\theta}_r c_{r,k} + \bar{c}_{r,k} \theta_r + \bar{\theta}_r \theta_r i h_{r,k} + \cdots . \quad (C23)$$

We conclude that

$$Z'_h = Z'_c \tag{C24}$$

and that

$$Z_{\lambda} = \frac{Z_{\Lambda}}{Z_{\beta}} = \frac{Z_c Z'_c}{Z_{\beta}}.$$
 (C25)

The first equality in Eq. (C25) is satisfied at one loop; see Eqs. (117)–(121). The second equality can be checked at one loop by a direct calculation of the composite field renormalization factor  $Z'_c$ . Using Eq. (C15) and the appropriate Feynman rules, we obtain, after a simple calculation,

$$Z_c' = 1 + \kappa \frac{\xi}{6}.$$
 (C26)

This agrees with the second equality in (C25).

# **APPENDIX D: LANDAU GAUGE**

The case  $\xi_0 = 0$  studied in [36] exhibits various simplifications as compared to the general class of gauges studied here. The first obvious one is the fact that the *h* sector does not receive any loop corrections, i.e.,

$$\frac{\delta\Gamma}{\delta ih^a} = \frac{\delta S}{\delta ih^a} = \partial_\mu A^a_\mu. \tag{D1}$$

This can be seen, e.g., by applying a infinitesimal shift  $ih \rightarrow ih + f$  under the defining path integral for  $\Gamma$ . In terms of the divergent constants introduced in Sec. IV B, this implies that  $\kappa_2 = 1$  or, equivalently [48,63],

$$Z_A Z_\beta Z_c = 1, \tag{D2}$$

where we used the relation (97).

The next simplification comes from the fact that for  $\xi_0 = 0$ , the superfield correlator (102) is ultralocal in Grassmann space, i.e., it is proportional to  $\delta(\underline{\theta}, \underline{\theta}')$  and the mixed correlator (104) vanishes. As pointed out in Ref. [36], since all vertices of the theory are also local in Grassmann space (for  $\xi_0 = 0$  there are no term involving Grassmann derivatives) it follows that closed loops involving superfields are proportional to  $\delta(\underline{\theta}, \underline{\theta}) = 0$ . An important consequence is that the  $\Lambda_k$  sector effectively decouples in the (perturbative) calculation of correlators in the sector  $(A, c, \overline{c}, h)$  at all orders. The only effect of the superfields  $\Lambda_k$  is the mass term  $n\beta_0$  for the gauge field.

A first consequence of this dramatic simplification for the renormalizability of the theory is that the usual nonrenormalization theorems of the CF model with  $\xi_0 = 0$  are valid. One of them is the relation (D2) above. The second one comes from the Taylor theorem, which states that the ghost-antighost-gluon vertex in a particular momentum configuration does not receive loop corrections [64]. It follows that

$$Z_g \sqrt{Z_A} Z_c = 1. \tag{D3}$$

We observe that Eqs. (D2) and (D3) together with the last relation in Eq. (96) imply that  $Z = Z_{\Lambda}/Z_c$ . The second equality in Eq. (C25), thus shows that the normalization Z of the nonlinear sigma model superfields is directly related to the composite field renormalization discussed in Appendix C:  $Z = Z'_c$ .

Another consequence of the absence of loops of the superfield is the fact that there can be no loop diagram with only one external  $\Lambda_k$  leg. This is easy to show by direct inspection. It follows that those vertices are tree-level exact, i.e.,

$$\frac{\left. \frac{\delta_{\theta} \Gamma}{\delta \Lambda_k^a} \right|_{\Lambda_k = 0} = \frac{\delta_{\theta} S}{\delta \Lambda_k^a} \bigg|_{\Lambda_k = 0} = -\partial_{\mu} A_{\mu}^a. \tag{D4}$$

Taking into account the rescaling (86) of Grassmann variables, which implies, together with (90), that

$$\delta \mathcal{F}[\Lambda] = \int_{x,\underline{\theta}} \frac{\delta_{\theta} \mathcal{F}}{\delta \Lambda} \delta \Lambda = \sqrt{Z_{\beta} Z_{\Lambda}} \int_{x,\underline{\theta}_r} \frac{\delta_{\theta} \mathcal{F}}{\delta \Lambda} \delta \Lambda_r, \quad (D5)$$

for any given functional  $\mathcal{F}[\Lambda]$ , we conclude that  $Z_A Z_\Lambda Z_\beta = 1$ . When combined with Eq. (D2), this gives

$$Z_{\Lambda} = Z_c, \tag{D6}$$

or, equivalently, Z = 1. Equations (71), (76), (81), together with the relations (82), (96), (D2), (D3), and (D6), reproduce the results of [36]. In the Landau gauge, the number of independent renormalization factors is reduced from 6 to 3. The relations (D2), (D3), and (D6) are readily checked from the one-loop results of Sec. VI for  $\xi = 0$ .

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