## Antihelium from dark matter

Eric Carlson,<sup>1,2,\*</sup> Adam Coogan,<sup>1,2,†</sup> Tim Linden,<sup>1,2,3,4,‡</sup> Stefano Profumo,<sup>1,2,§</sup> Alejandro Ibarra,<sup>5,¶</sup> and Sebastian Wild<sup>5,\*\*</sup>

<sup>1</sup>Department of Physics, University of California, 1156 High Street, Santa Cruz, California 95064, USA

<sup>2</sup>Santa Cruz Institute for Particle Physics, Santa Cruz, California 95064, USA <sup>3</sup>Department of Physics, University of Chicago, Chicago, Illinois 60637, USA

<sup>4</sup>Kavli Institute for Cosmological Physics, Chicago, Illinois 60637, USA

<sup>5</sup>Physik-Department T30d, Technische Universität München, James-Franck-Straße, 85748 Garching,

Germany

(Received 9 January 2014; published 8 April 2014)

Cosmic-ray antinuclei provide a promising discovery channel for the indirect detection of particle dark matter. Hadron showers produced by the pair annihilation or decay of Galactic dark matter generate antinucleons which can in turn form light antinuclei. Previous studies have only focused on the spectrum and flux of low energy antideuterons which, although very rarely, are occasionally also produced by cosmic-ray spallation. Heavier elements ( $A \ge 3$ ) have instead entirely negligible astrophysical background and a primary yield from dark matter which could be detectable by future experiments. Using a Monte Carlo event generator and an event-by-event phase space analysis, we compute, for the first time, the production spectrum of  ${}^{3}\overline{\text{He}}$  and  ${}^{3}\overline{\text{H}}$  for dark matter annihilating or decaying to  $b\bar{b}$  and  $W^{+}W^{-}$  final states. We then employ a semianalytic model of interstellar and heliospheric propagation to calculate the  ${}^{3}\overline{\text{He}}$  flux as well as to provide tools to relate the antihelium spectrum corresponding to an arbitrary antideuteron spectrum. Finally, we discuss prospects for current and future experiments, including GAPS and AMS-02.

DOI: 10.1103/PhysRevD.89.076005

PACS numbers: 95.35.+d

# I. INTRODUCTION

Within the paradigm of weakly interacting massive particle (WIMP) dark matter, the pair-annihilation or decay of dark matter particles generically yields high-energy matter and antimatter cosmic rays. While the former are usually buried under large fluxes of cosmic rays of more ordinary astrophysical origin, antimatter is rare enough that a signal from dark matter might be distinguishable and detectable with the current generation of experiments. While astrophysical accelerators of high-energy positrons such as pulsars' magnetospheres are well known, observations of cosmic antinuclei might provide a unique window into physics beyond the Standard Model and may provide a discovery route to unveil the nature of particle dark matter.

Measurements of the cosmic-ray antiproton spectrum by BESS [1–3] and PAMELA [4] currently provide the best limits on cosmic-ray antiprotons  $\bar{p}$  in excess of the astrophysical background. On a short time scale, AMS-02 will provide the most accurate cosmic-ray proton and antiproton spectrum to date, placing stringent limits on propagation parameters and excess signals. One well motivated origin for such an excess is the annihilation or

decay of WIMPs to hadronic final states-generic to models coupling WIMPs to the weak gauge bosons or quarks (e.g.  $W^+W^-$  or bb). While large astrophysical backgrounds often prohibit the clean disentanglement of exotic sources, a recent analysis projects that the one-year AMS-02 data will produce robust constraints on WIMP annihilation to heavy quarks below the thermal-relic cross section for dark matter masses  $30 \le m_{\gamma} \le 200$  GeV [5].

In addition to antiprotons, Ref. [6] proposed new physics searches using heavier antinuclei such as antideuteron  $(\overline{D})$ , antihelium-3 ( ${}^{3}\overline{\text{He}}$ ), or antitritium ( ${}^{3}\overline{\text{H}}$ ) forming from hadronic neutralino annihilation products. Although such production is of course highly correlated with the antiproton spectrum, the secondary astrophysical background decreases much more rapidly than the expected signal as the atomic number A is increased [7]. In particular, secondary antinuclei production from the spallation of high-energy cosmic rays-i.e. the scattering of cosmicray protons off of cold interstellar hydrogen and heliumquickly becomes kinematically suppressed for heavier nuclei for three reasons:

(i) The constituent nucleons must lie in a small volume of phase space in order to form antinuclei, leading to a production suppression of roughly  $10^{2A} - 10^{3A}$ . While this is the case for both primary (e.g. dark matter) and secondary antinuclei, the secondary background is further suppressed by the rapid falloff of cosmic-ray protons at high energies. The dominant spallation processes which generate  $\bar{p}$ ,  $\bar{D}$ , and  ${}^{3}\overline{\text{He}}/{}^{3}\overline{\text{H}}$  have production thresholds of  $7m_{p}$ ,  $17m_{p}$ ,

erccarls@ucsc.edu

acoogan@ucsc.edu

trlinden@uchicago.edu profumo@ucsc.edu

ibarra@tum.de

sebastian-wild@mytum.de

and  $30m_p$  respectively while the proton flux above 10 GeV falls as  $\phi_p \propto E^{-2.82}$  [8]. (ii) Because of the high production threshold, the

- (ii) Because of the high production threshold, the spallation products are typically highly boosted, carrying kinetic energies above 5 GeV/n (GeV per nucleon). For dark matter, the spectrum peaks instead below 1 GeV/n for annihilation channels where the hadronization frame is not boosted (e.g.  $q\bar{q}$  or near threshold  $W^+W^-$ ).
- (iii) Finally, in contrast to  $\bar{p}$ ,  $\bar{D}$  and  ${}^{3}\overline{\text{He}}$  easily fragment as they undergo inelastic collisions (due to their low binding energies). This prevents efficient energy loss during interstellar transport which would otherwise redistribute the higher-energy background spectrum toward lower energies.

These three factors lead to precipitous decline in the secondary  $\overline{D}$  and  ${}^{3}\overline{\text{He}}$  backgrounds below ~5 GeV/n, enhancing the signal to background by several orders of magnitude for each increase in atomic number. Proposed antinuclei searches exploit this point and are designed to observe below 1 GeV/n where the secondary/primary ratio for  ${}^{3}\overline{\text{He}}$  is  $\lesssim 10^{-5}$ . This provides a truly zero-background channel for  $A \geq 3$  at the expense of a significantly lower signal flux and it is precisely this feature which motivates dark matter searches using antinuclei.

Dark matter production of antideuterons and the observational prospects at AMS-02 and GAPS have been thoroughly investigated (see e.g. [6,9–11,16–18]). For an optimistic scenario of ~100 GeV thermal WIMPs annihilating to  $b\bar{b}$ , the latter two state-of-the-art analyses predict  $\mathcal{O}(0.1-10)$   $\bar{D}$  signal events—with backgrounds a factor  $\mathcal{O}(10-50)$  smaller—to be measured by a GAPS long duration balloon flight (LDB+). It is thus naively expected that the extremely low <sup>3</sup>He flux will be difficult to observe. While this is likely to be true for upcoming experiments, a future satellite based mission could potentially probe this zero-background channel.

The outline of this paper is as follows. In Sec. II we discuss the coalescence model for the production of  ${}^{3}\overline{\text{He}}$  and calculate its formation rate relative to  $\overline{D}$ . In Sec. III we employ a simple diffusion model in order to calculate the expected flux of  ${}^{3}\overline{\text{He}}$  at the solar position, and the penetration of  ${}^{3}\overline{\text{He}}$  into the heliosphere. In Sec. IV we discuss flux scaling relations, calculate the flux, and discuss the possibility for  ${}^{3}\overline{\text{He}}$  observation in both the current and upcoming AMS-02 and GAPS-LDB(+) experiments, as well as a future GAPS satellite mission. Finally in Sec. V we discuss the significance of our results to the current search for cosmic-ray antinucleons and conclude.

# II. DARK MATTER PRODUCTION OF ANTIHELIUM

We consider a fermionic Majorana dark matter candidate of mass  $m_{\chi}$  annihilating into the colored or color-neutral final states  $b\bar{b}$  and  $W^+W^-$  through a generic, spin-0, *s*-channel resonance. In the absence of an analytic description of atomic nuclei formation, we employ the coalescence model as a simple, single-parameter phenomenological approach to describe the formation of light elements from the distributions of protons and neutrons in high energy collisions [12,13]. In the antideuteron case, the coalescence model assumes that nucleons with a relative invariant fourmomenta  $(k_n - k_p)^2 = (\Delta \vec{k})^2 - (\Delta E)^2$  less than a coalescence momentum  $p_0$ , will bind together and form a nucleus.

Early computations of the resulting antideuteron spectrum employed a fully factorized coalescence prescription in which the  $\bar{p}$  and  $\bar{n}$  momentum distributions were assumed to be uncorrelated and isotropic. However, as demonstrated in Ref. [11], angular correlations introduced by jet structure play a crucial role in the formation of antinuclei, especially for heavy dark matter masses where the parton showers become increasingly focused. Following more recent studies, we abandon the isotropic model and instead use the PYTHIA 8.156 [14,15] event generator to simulate the parton shower and subsequent hadronization. Using the phase space information from PYTHIA, we apply the coalescence prescription on an event-by-event basis, allowing for a full reconstruction of the nucleon distribution functions. In our Monte Carlo study, we also exclude contributions from baryons which are not spatially localized on the scale of the antideuteron's wave function (which spans  $\sim 2$  fm). This is implemented by stabilizing particles with lifetime  $\tau \gtrsim 2$  fm/c and, physically, stabilizes long-lived hadrons which decay weakly. While this simultaneous localization in position and momentum space is of the order of the Heisenberg limit our results are insensitive to several order-of-magnitude variations of  $\tau$ , which is held fixed throughout this analysis. This results from the significant gap between hadronic and weak decay time scales.

The coalescence function has a single parameter, the coalescence momentum  $p_0$ , which must be fit to available collider data. Following the approach of Refs. [16–18], we use  $e^+e^- \rightarrow \bar{D}$  measurements from ALEPH at the  $Z^0$  resonance, finding  $(5.9 \pm 1.8 \pm 0.5) \times 10^{-6}$  antideuterons per hadronic  $Z^0$  decay with  $\bar{D}$  momenta 0.62–1.03 GeV/*c* and polar angle  $|\cos \theta| < 0.95$  ([19]). Consistent with Refs. [18] and [17], our Monte Carlo simulations reproduce this rate for a coalescence momentum  $p_0^{A=2} = 0.192 \pm 0.030$  GeV/*c*.

For antihelium, the coalescence prescription is nearly identical. When more than three particles are involved there are two obvious ways to define the coalescence mechanism. One can either require that each of the relative momenta lie within a "minimum bounding momentum sphere" of diameter  $p_0^{A=3}$  (dubbed MBS here), or we can require that the relative invariant 4-momenta of each particle pair is less than  $p_0^{A=3}$  (dubbed particle pairing or PP here). If we consider a triangle with sides equal to the relative momenta of two particles, the two methods

#### ANTIHELIUM FROM DARK MATTER

coincide for obtuse and right triangles. For acute triangles, however, the value of  $p_0^{A=3}$  required to form a nucleus can be up to 15% larger than the PP case. The MBS prescription also avoids unnatural kinks in the required value of  $p_0^{A=3}$  as the inclusive angle of this triangle is varied. We therefore choose MBS which *always* underestimates the yield with respect to the particle-pairing method for identical values of  $p_0^{A=3}$ . From a simple Monte Carlo which assumes an isotropic distribution of nucleon momenta, we estimate that MBS produces only approximately 6% fewer antihelium, although this difference becomes compounded exponentially for heavier elements. Without an understanding of the strong dynamics of nuclear formation, it is not important to consider one method as "more accurate than another," but the difference should be kept in mind when comparing results between studies.

For nuclei of atomic number A, the coalescence model predicts a production rate  $R(A) \propto p_0^{3(A-1)}$ , making <sup>3</sup>He predictions particularly sensitive to nuclear physics uncertainties. The choice of coalescence momentum is known to have significant dependence on the details of the underlying scattering process and is measured to be larger for A = 3 than A = 2 [20]. While heavy-ion collisions provide the only available constraints on <sup>3</sup>He production, they do not resemble the dynamics of dark matter annihilation. In an attempt to bracket the effect of this uncertainty on the resulting <sup>3</sup>He spectrum, we derive values for the A = 3coalescence momentum,  $p_0^{A=3}$ , using two different methods. In the first method, we choose to scale the antideuteron coalescence momentum,  $p_0^{A=2}$ , up to  $p_0^{A=3}$  following the theoretically motivated scaling of Ref. [21], in which  $p_0 \sim \sqrt{B}$  for total nuclear binding energy B:

$$p_0^{A=3} = \sqrt{B_{^3}\overline{_{\text{He}}}/B_{\bar{D}}} p_0^{A=2} = 0.357 \pm 0.059 \text{ GeV}/c.$$
 (1)

As a second method, we use heavy-ion results from the Berkeley Bevalac collider which fit  $\overline{D}$ ,  ${}^{3}\overline{H}$ , and  ${}^{3}\overline{He}$  coalescence momenta for several collision species (C + C up to Ar + Pb) at incident energies from 0.4–2.1 GeV/n [20]. Averaging the measured  $p_0^{A=3}/p_0^{A=2}$  (molecular targets excluded) we infer the relation

$$p_0^{A=3} = 1.28 p_0^{A=2} = 0.246 \pm 0.038 \text{ GeV}/c.$$
 (2)

Without parton-level production rates, such as  $pp \rightarrow {}^{3}\overline{\text{He}}$  at the LHC we need to rely on the outlined *ad hoc* schemes, which yield the largest systematic uncertainty on the final flux. In the remainder of this analysis, we use the binding energies to determine  $p_{0}^{A=3}$ .

Formation of antihelium-3 proceeds through two channels: directly through coalescence of  $\bar{p} \ \bar{p} \ \bar{n}$ , and through the formation and decay of tritium  $(\bar{p} \ \bar{n} \ \bar{n})$ . As noted in Ref. [22], the former channel is suppressed by the Coulomb repulsion of the antiprotons, while the tritium channel is not. Although it is not clear what this suppression factor is,

a conservative approach ignores the direct antihelium-3 channel completely. Tritium is stable on collider time scales, and therefore we can directly study the relative production rates. Data from the Bevalac [20] and CERN-SPS [23] heavy-ion collisions indicates that the ratio of tritium to antihelium-3 production rates  $\epsilon = R_{\rm H3}/R_{\rm He3}$  varies between 0 and 1, perhaps as an increasing function the center of mass energy with efficiency near unity around  $\mathcal{O}(50 \text{ GeV})$ . For the rest of this analysis we choose  $\epsilon = 1$ , but one may simply rescale dN/dE (or the final flux presented later) by a factor  $(1 + \epsilon)/2$  to regain full generality. We note that this uncertainty is small compared to the weakly constrained coalescence momentum.

In Fig. 1 we show ratios of the  ${}^{3}\overline{\text{He}}$  to  $\overline{\text{D}}$  injection spectra integrated over the energy band 0.1–0.25 GeV/n relevant for the upcoming GAPS long duration balloon flights (LDB



FIG. 1 (color online). Ratios of the production of  $({}^{3}\overline{\text{He}} + {}^{3}\overline{\text{H}})$  to  $\overline{\text{D}}$  for Majorana dark matter annihilating to  $b\overline{b}$  (left column) and  $W^+W^-$  (right column) final states integrated over the energy bands for the proposed GAPS (LDB) instrument. For each species, these bands were shifted for solar modulation according to a 500 MV Fisk potential. The solid blue vertical lines show nominal values for  $p_0^{A=2}$  with uncertainties (vertical shaded) while the horizontal lines show the A = 3 coalescence momentum extrapolated using the nuclear binding energy (blue dashed) and heavy-ion data (black dot dashed). White regions with no contours contained no Monte Carlo events.

and LDB+) as a function of the A = 2 and A = 3 coalescence momenta, for four different combinations of the dark matter pair-annihilation final state ( $b\bar{b}$  in the left panels, WW in the right panels) and mass (10, 1000 and 2000 GeV). The GAPS energy bands are quoted for kinetic energies at the top of Earth's atmosphere, after the particle momenta have been shifted by propagation through the heliosphere. Solar modulation will be discussed in detail in Sec. III, but for concreteness, we integrate the <sup>3</sup>He and  $\bar{D}$  yields over bands shifted according to a Fisk potential of 500 MV in Fig. 1.

The uncertainties on the coalescence momentum for A =2 are represented by the vertical shaded bands. For A = 3coalescence momenta, the two horizontal lines in each panel represent scaling with the binding energy (bluedashed line) and heavy-ion collisions (black dot dashed). Regions with no visible contours produced no antihelium in the  $2 \times 10^{10}$  annihilation events simulated while the "wavy" lines are due to limited Monte Carlo statistics. We see that for most masses and final states that are potentially detectable (see discussion in Sec. IV) one should expect  $10^{-3}$ - $10^{-2}$  antihelium for each detected antideuteron. In the case of 10 GeV annihilation to b-quarks, the ratio is slightly lower as antihelium with a GAPS detectable kinetic energy requires a total energy of around 4.5 GeV. However, this quickly increases toward the higher mass results as the dark matter mass is increased away from this threshold. The effects induced by propagation of  $\overline{D}$  relative to  ${}^{3}\overline{He}$  are explored in the next section, but are subdominant compared with the nuclear physics uncertainties here. In Sec. IV we compute the actual flux and determine the detection prospects for future experiments.

# III. ASTROPHYSICAL PRODUCTION AND PROPAGATION OF ANTIHELIUM

# A. The dark matter source term

In order to create a Galactic model for dark matter annihilation throughout the galaxy which will allow us to map the <sup>3</sup>He injection spectrum to the flux at Earth, we must assume a dark matter halo model, a WIMP annihilation cross section and a model of cosmic-ray transport. As a benchmark model, we choose a Navarro-Frenk-White (NFW) dark matter density profile, noting that Einasto and cored-isothermal profiles produce nearly identical results for the  $\overline{D}$  case [see the discussion in [18]]:

$$\rho_{\rm DM}(r) = \rho_0 \left(\frac{r_s}{r}\right)^{\alpha} \frac{1}{(1+r/r_s)^{\alpha+1}}$$
(3)

with inner slope  $\alpha = 1$ , scale radius  $r_s = 24.42$  kpc, and  $\rho_0$  chosen to reproduce the dark matter density  $\rho_{\odot} = 0.39$  GeV/cm<sup>3</sup> at the solar radius r = 8.5 kpc [24]. For dark matter annihilation at a position  $\vec{r}$ , the source term for antihelium may then be written as

$$Q_{\overline{\text{He}}}(T,\vec{r}) = \frac{1}{2} \frac{\rho_{\text{DM}}^2(\vec{r})}{m_{\chi}^2} \langle \sigma v \rangle (1+\epsilon) \frac{dN_{\overline{\text{H3}}}}{dT}, \qquad (4)$$

where the dN/dT term is the injection spectrum for tritium found in Sec. II,  $\epsilon$  is the ratio of the production rates of antihelium to tritium (we take  $\epsilon = 1$  as discussed earlier), and  $\langle \sigma v \rangle$  is the thermal annihilation cross section. The source term must then be propagated from the site of annihilation to Earth. This is typically broken down into two components: (i) interstellar propagation in which the cosmic rays interact with turbulent Galactic magnetic fields, the interstellar hydrogen and helium, and Galactic winds, and (ii) propagation through the heliosphere, which can significantly deplete the low energy flux as the solar wind deflects charged particles.

#### **B.** Propagation models

Interstellar propagation can be implemented via the wellknown stationary, cylindrically symmetric, two-zone diffusion model identical to the setup used for  $\overline{D}$  in Ibarra and Wild [18] with the exception of obvious replacements including the <sup>3</sup>He cross sections, charge, and atomic mass. We assume a diffusion zone of radius 20 kpc and variable height *L* with a thin, Galactic disk of half height h =100 pc containing the interstellar medium. The model is parametrized by an additional three components: an energy dependent diffusion constant  $K(T) = K_0 \beta \mathcal{R}^{\delta}$  with spectral index  $\delta$ ,  $\beta = v/c$  and rigidity  $\mathcal{R} \equiv p(\text{GeV})/Z$  where Z is proton number, and  $V_c$ , which characterizes Galactic wind convection. It is then possible to write the propagation in terms of the following transport equation:

$$0 = \frac{\partial n}{\partial t} = \nabla \cdot (K(T, \vec{r}) \nabla n) - \nabla \cdot (V_c \operatorname{sign}(z) \vec{k} n) - 2h\delta(z)\Gamma_{\operatorname{int}} n + Q_{\overline{\operatorname{He}}}(T, \vec{r}).$$
(5)

Here  $n(T, \vec{r})$  is the antihelium number density and  $\Gamma_{\text{int}}$  is the interaction rate for antihelium within the interstellar medium (ISM), described thoroughly in Sec. III C.

The four parameters  $L, K_0, \delta$ , and  $V_c$  are then varied over the space consistent with the measured ratio of boron to carbon, with values producing the MIN/MED/MAX flux tabulated in Ref. [25]. The resulting uncertainty in the flux spans 3 orders of magnitude. However, the  $\bar{D}$  and  ${}^{3}\overline{\text{He}}$ fluxes are tightly correlated to  $\bar{p}$  whose flux is well measured by PAMELA. The propagation uncertainty on the *maximal*  $\bar{D}$  (and  ${}^{3}\overline{\text{He}}$ ) flux allowed by the measured  $p/\bar{p}$  ratio is then reduced to within a factor 4 of the MED model [18].<sup>1</sup> Upcoming antiproton results from AMS-02 will tighten this upper limit and the large nuclear physics

<sup>&</sup>lt;sup>1</sup>We emphasize that propagation parameters are still fit using B/C and *not* to the measured  $p/\bar{p}$  ratio which is only used to constrain the maximal propagation model.

will certainly dominate in the case of antihelium. In particular, the <sup>3</sup>He flux is sensitive to the sixth power of  $p_0^{A=3}$ , making updated collider production rates for  $\bar{D}$  and <sup>3</sup>He a crucial factor in *any* estimate of an antinucleon flux.

The flux at the solar system is found by numerically integrating the dark matter annihilation rates over the dark matter halo and solving the transport equation analytically. For local dark matter density  $\rho_{\odot}$ , dark matter mass  $m_{\chi}$ , and thermal cross section  $\langle \sigma v \rangle$ , the antihelium flux at the boundary of the solar system is given by

$$\Phi_{\overline{\text{He}}}^{\text{IS}}(T) = \left(\frac{\rho_0}{0.39 \text{ GeV cm}^{-2}}\right)^2 \left(\frac{100 \text{ GeV}}{m_{\chi}}\right)^2 \times \left(\frac{\langle \sigma v \rangle}{3 \times 10^{-26} \text{ cm}^3/\text{s}}\right) \cdot P_{\text{num}}(T) \cdot \frac{dN(T)}{dT}, \quad (6)$$

where  $P_{\text{num}}(T)$  is the energy dependent numerical output of the propagation code and dN/dT is the <sup>3</sup>He injection spectrum from Sec. II.

In Fig. 2 we show the ratio  $P_{num}^{\overline{He}}/P_{num}^{\overline{D}}$  for the MIN/ MED/MAX propagation models and two values of the interaction rate,  $\Gamma_{int}$ . As we will discuss in Sec. III C, uncertainty in the antihelium cross section with interstellar gas can lead to a ~25% enhancement or suppression of the antihelium flux relative to that of antideuterons. Of mild importance is the higher nuclear binding energy of <sup>3</sup>He compared to the very weakly bound  $\overline{D}$  case. While this can more efficiently deplete the higher energy population where the nonannihilating inelastic cross section dominates, the low energies of interest here are not significantly enhanced by tertiary contributions which are ignored in our treatment.

In fact, the two-zone diffusion model neglects all diffusion in momentum space, the most important of which



FIG. 2 (color online). Propagation ratios  $R(T) = P_{num}^{\overline{\text{He}}}/P_{num}^{\overline{\text{D}}}$  for  $[P_{num}$  in Eq. (6)] which show the enhancement or suppression of the antihelium flux with respect to antideuterons. MIN/MED/MAX interstellar propagation models are shown in blue/red/ green for two values of the propagation cross section: Annihilation only (solid lines) and total inelastic (dashed lines). Also shown is the ratio of solar propagation functions in the force field approximation (black solid).

may be a proper treatment of interstellar reacceleration. Several of these schemes, including diffusive reacceleration, have been applied to the propagation of elements in more sophisticated numerical codes. While these attempts have been successful in reproducing otherwise anomalous peaks in the secondary to primary ratios of heavy elements such as B/C, they encounter problems for light elements. In particular, diffusive reacceleration results in a spectral bump near 2 GeV/n for p and He which is not observed and the primary injection spectra must be artificially broken to compensate. This leads to an overestimate of the primary p and He flux by a factor  $\sim 2$  [26]. As we are concerned with light and low energy nuclei, and no consensus on reacceleration has been reached for this regime, we proceed without incorporating any reacceleration mechanism. This results in a primary spectrum within 20% of measurements at low energies [26].

The second phase of propagation is through the heliosphere and is computed using the force field approximation of Gleeson and Axford [27]. The flux at the top of the atmosphere is given by

$$\Phi_{A,Z}^{\text{TOA}}(T_{\text{TOA}}) = \left(\frac{2m_A A T_{\text{TOA}} + A^2 T_{\text{TOA}}^2}{2m_A A T_{\text{IS}} + A^2 T_{\text{IS}}^2}\right) \Phi_{A,Z}^{\text{IS}}(T_{\text{IS}}), \quad (7)$$

where  $m_A$  is the nucleus' mass,  $T_{IS}$  is the kinetic energy per nucleon at the boundary of the solar system,  $T_{\text{TOA}}$  is kinetic energy per nucleon at the top of Earth's atmosphere, and  $T_{\rm IS} = T_{\rm TOA} + (e\phi_F |Z|/A)$ . The Fisk potential  $\phi_F$ describes the strength of the solar modulation and varies over an 11-year cycle. Here we take  $\phi_F = 500 \text{ MV}$ corresponding to the most optimistic detection scenario. The ratio of the  ${}^{3}\overline{\text{He}}$  to  $\overline{\text{D}}$  case is shown in Fig. 2. The lowered rigidity of <sup>3</sup>He causes a  $\sim 50\%$  suppression at low energies relative to the  $\overline{D}$  modulation factor. It has been shown that at GAPS energies, the force field approximation is within a factor 2 of the minimum and maximum values computed in a full numerical treatment of heliospheric  $\overline{D}$ transport [17]. Much of the discrepancy between analytic and numerical models should disappear when taking the ratio of modulation between antihelium and antideuterons as the first order rigidity modifications are already captured by the force field model.

#### C. Interaction cross sections

In this subsection we discuss  ${}^{3}\overline{\text{He}}$  interaction rates with the ISM and compare them to the  $\overline{D}$  case.  $\Gamma_{\text{int}}$  in Eq. (5) is given by

$$\Gamma_{\rm int} = (n_{\rm H} + 4^{2/3} n_{\rm He}) v \sigma_{\overline{\rm He}, p},\tag{8}$$

where we have assumed the H and He gas cross sections are related by a geometrical factor  $4^{2/3}$ . For the Galactic disk's interstellar hydrogen and helium densities we use  $n_{\rm H} = 1 \text{ cm}^{-3}$  and  $n_{\rm He} = 0.07 n_{\rm H}$ . *v* is the antihelium velocity

# CARLSON et al.

through the ISM, and  $\sigma_{\overline{\text{He}},p}$  is interaction cross section of antihelium with protons.

Direct measurements of the antihelium-proton annihilation and inelastic cross sections needed in Eq. (8) are not available. Instead, we use the parametrizations from Moskalenko, Strong, and Ormes [28] for the total inelastic, nonannihilating inelastic, and annihilation cross sections. For an atomic nucleus (A, |Z|) impingent on a stationary proton with kinetic energy per nucleon *T*, these are given in mb by

$$\sigma_{\bar{p}A}^{\text{tot}} = A^{2/3} [48.2 + 19T^{-0.55} + (0.1 - 0.18T^{-1.2})Z + 0.0012T^{-1.5}Z^2], \qquad (9)$$

$$\sigma_{\bar{p}A}^{\rm ann} = \sigma_{\bar{p}A}^{\rm tot} - \sigma_{\bar{p}A}^{\rm non-ann}, \qquad (10)$$

$$\sigma_{\bar{p}A}^{\text{non-ann}} = \sigma_{pA}^{\text{inel}} \tag{11}$$

In the last equation, we assume that the nonannihilating inelastic cross section for an antiproton-nucleus interaction is the same as the proton-nucleus interaction which can be well approximated by

$$\begin{split} \sigma_{pA}^{\text{inel}} &= 45A^{0.7}[1+0.016\sin(5.3-2.63\ln A)] \\ &\times \begin{cases} 1-0.62e^{-T/0.2}\sin\left[\frac{10.9}{(10^3T)^{0.28}}\right], & T\leq 3; \\ 1, & T>3. \end{cases} \end{split}$$

In Fig. 3 we plot the three cross sections for antihelium and antideuterons as a function of the kinetic energy per nucleon. For the special case of  $\overline{D}$ , we take the parametrization from Tan and Ng [29] for total-inelastic cross section, and an empirically determined nonannihilating



FIG. 3 (color online). Proton-antinuclei inelastic scattering cross sections as parametrized in Ref. [28]. The nonannihilating inelastic cross section for antideuterons is taken from Ref. [7]. Shown are the total inelastic (black), nonannihilating inelastic (red), and annihilation cross sections for antihelium (solid) and antideuterons (dashed).

inelastic cross section which is very small due to the exceptionally low binding energy of  $\overline{D}$  [7]. Peaking at approximately 4 mb, this leads to a much higher probability of annihilation during inelastic scattering than the antihelium case. We see that antihelium possesses an inelastic cross section roughly 2 times larger than antideuterons at 1 GeV/n, while the opposite is true of the annihilation cross sections. In principle this implies a proportionally larger tertiary contribution for antihelium, where nuclear excitations remove kinetic energy during scattering. In order to determine the relevance of this, one must also estimate the typical number of scatterings during propagation. Assuming a cosmic-ray residence time  $\tau_{\rm res} \approx$  $5 \times 10^6$  yr [30] (which is only a weak function of rigidity, scaling at most as  $\mathcal{R}^{-0.6}$ ) [30], a mean hydrogen density  $n_{\rm H} = 1 \text{ cm}^{-2}$ , and a typical interaction cross section  $\sigma \approx 100$  mb, the number of scatters can be found by comparing the residence path length  $c\tau_{\rm res}$  with the meanfree path  $\lambda$ :

$$N_{\text{scatters}} = \frac{c\tau_{\text{res}}}{\lambda} = c\tau_{\text{res}} n_H \sigma \approx 0.2.$$
(12)

With only a 20% chance of scattering, and given the small amount of energy removed during the inelastic process, we ignore all tertiary contributions in our semianalytic treatment of interstellar propagation.

To bracket the impact of uncertainty in the antinucleusproton cross section, we use two methods: MethodANN and MethodINN which use the annihilation and totalinelastic cross sections respectively in Eq. (8). For  ${}^{3}\overline{\text{He}}$ , MethodINN leads to roughly a 40% lower flux than MethodANN, while for  $\overline{\text{D}}$ , the results are nearly indistinguishable because of nearly identical total-inelastic and annihilation cross sections. When examining the ratio of the resulting  ${}^{3}\overline{\text{He}}$  to  $\overline{\text{D}}$  flux, we see in Fig. 2, an enhancement (suppression) of order 25% when using the annihilation (total-inelastic) cross sections.

Now that the dark matter properties and propagation models have been fixed and the transport equation solved, we can translate the injection spectra calculated in Sec. II into detectable fluxes at the top of the Earth's atmosphere.

# IV. <sup>3</sup>He FLUX AND DETECTION PROSPECTS FOR CURRENT AND FUTURE EXPERIMENTS

We have calculated injection spectra and propagation functions for  ${}^{3}\overline{\text{He}}$ , discussed the most important differences with respect to  $\bar{D}$ , and presented ratios for the conversion of  $\bar{D}$  spectrum into  ${}^{3}\overline{\text{He}}$ . For concreteness, we reiterate the procedure here and show the most important scaling relations.

With an antideuteron flux (or event rate)  $\Phi_{\bar{D}}$  calculated within the coalescence framework described in Sec. II, the antihelium flux is related through the following equation:

$$\Phi_{\overline{\text{He}}}(T_{\text{TOA}})$$

$$= R_{\text{IS}}(T_{\text{IS}}) \cdot R_{\text{solar}}(T_{\text{IS}}) \left(\frac{p_0^{A=3}}{\bar{p}_{A=3}}\right)^6$$

$$\times \left(\frac{\bar{p}_{A=2}}{p_0^{A=2}}\right)^3 \cdot R_{\text{PP}}(T_{\text{IS}}, m_{\chi}, f) \cdot \Phi_{\bar{D}}(T_{\text{IS}} - e\phi_F/2), \quad (13)$$

where  $\bar{p}_{A=3} = 0.357 \text{ GeV}/c$  and  $\bar{p}_{A=2} = 0.192 \text{ GeV}/c$ . Here,  $T_{\text{IS}} = T_{\text{TOA}} + (2/3)e\phi_F$ .  $R_{\text{PP}}$  is the particle production ratio, shown for GAPS energies from Fig. 1 for the benchmark coalescence momenta. It is only a weak function of energy for the low energies relevant to these studies.  $R_{\text{IS}}(T_{\text{IS}})$  and  $R_{\text{solar}}(T_{\text{IS}})$  are interstellar propagation ratios and the shifted solar ratios shown in Fig. 2. This expression allows one to easily take more detailed analyses of  $\bar{D}$  spectra, rates or counts (as found in, for example, Refs. [17,18]) and scale them to the <sup>3</sup>He case, as well as incorporate new coalescence momentum measurements when they become available.

We then compute the flux at the top of Earth's atmosphere for a set of benchmark cases using the same dark matter models we considered in Sec. II and the propagation setup described in Sec. III. In particular, we adopt  $p_0^{A=2} = 0.192$ ,  $p_0^{A=3} = 0.357$ , MED propagation parameters, and use the slightly more optimistic "MethodANN" value for the antihelium interaction cross section with the ISM.

In Fig. 4 we present the flux at the top of the Earth's atmosphere for dark matter annihilating to  $W^+W^-$  and  $b\bar{b}$ final states with a thermally averaged pair annihilation cross section  $\langle \sigma v \rangle = 3 \times 10^{-26} \text{ cm}^3/\text{s}$  as well as propagation uncertainties. Also shown are the latest sensitivities for AMS-02, GAPS(LDB/LDB+) [31] and a GAPS(SAT) mission as proposed in Ref. [32]. We note that the propagation uncertainties largely cancel after applying  $\bar{p}$ constraints from PAMELA while the uncertainty in the A =3 coalescence momentum leads to a flux uncertainty of 1-3 orders of magnitude (not shown), independent of  $\bar{p}$ constraints. The astrophysical <sup>3</sup>He background peaks with a flux of  $10^{-12}$  [m<sup>2</sup> s sr GeV/n]<sup>-1</sup> at approximately 20 GeV/n [7]. This is off scale over all energies shown and rapidly declines at lower energies. By 1 GeV/n the flux has already dropped by another factor  $10^2$ . Over the low energies covered by GAPS it can be considered zero relative to the primaries.

For the case of decaying dark matter, the flux can be easily estimated from the annihilation case by modifying terms in Eq. (6). First, the squared terms become linear as the reaction rate now traces the dark matter density  $\rho_{\rm DM}$  rather than  $\rho_{\rm DM}^2$ . The numerical factor and thermal cross section can then be replaced by finding an "equivalent lifetime,"  $\tau$ , which provides an average flux equal to the annihilation case (for  $\langle \sigma v \rangle = 3 \times 10^{-26} \text{ cm}^3/\text{s}$ ). The term containing  $\langle \sigma v \rangle$  is then replaced by  $(\tau_0/\tau)$ . As benchmarks, for dark matter decaying to  $b\bar{b}$  with mass  $m_{\mu}^{\text{dec}} = 20 \text{ GeV}$ 



FIG. 4 (color online). Flux of  ${}^{3}\overline{\text{He}}$  at the top of the atmosphere produced by dark matter annihilating to  $W^{+}W^{-}$  (top) and  $b\bar{b}$  (bottom) final states assuming an NFW dark matter density profile. Flux is multiplied by 100 for  $W^{+}W^{-}$  with  $m_{\chi} = 1, 2$  TeV. The shaded vertical bands represent the energy bands and proposed sensitivities for various GAPS and AMS-02 observations. Shaded uncertainty bands represent the MIN/MAX interstellar propagation models, although these are reduced to within a factor 4 of the central value after applying constraints from PAMELA measurements of the  $\bar{p}$  spectrum. Nuclear physics uncertainties are not shown.

we find  $\tau_0 \approx 7.5 \times 10^{26}$  s, while for dark matter decaying to  $W^+W^-$  with mass  $m_{\chi}^{\text{dec}} = 200 \text{ GeV}, \ \tau_0 \approx 7.5 \times 10^{27} \text{ s.}$ Here we note that  $m_{\chi}^{\text{dec}} = 2m_{\chi}$ .

In the case of annihilation to heavy quarks, the very recent analysis of Ref. [5] has updated antiproton constraints on WIMP annihilation to heavy quarks. Specifically, a thermal WIMP annihilating to heavy quarks is ruled out by current Fermi and PAMELA measurements up to approximately 30 GeV while AMS-02 should probe a thermal cross section up to ~200 GeV very soon. The antiproton flux is a very important indicator which is directly correlated to the production of heavier antinuclei. However, the coalescence momentum for  $\overline{D}$  and  ${}^{3}\overline{\text{He}}$  can float independently of such measurements and it is therefore not unreasonable that a  $\overline{D}$  excess could be observed in spite of an expected exclusion from antiprotons. For antihelium, antiproton constraints are even less direct than the case of  $\overline{D}$  due to the unconstrained coalescence momentum.

It is clear that the current generation of experiments is very unlikely to be sensitive to primary antihelium from dark matter annihilation. Future generation satellite born experiments using a GAPS(SAT) detector, as initially proposed in Ref. [32], could potentially be sensitive to WIMPs annihilating to  $W^+W^-$  near threshold and  $b\bar{b}$  at  $\leq 10$  GeV. Unfortunately, higher masses quickly become undetectable, particularly in the  $W^+W^-$  case. If a convincing  $\bar{D}$  signal is observed at GAPS or AMS-02, follow-up <sup>3</sup>He observations may be needed to confidently rule out misidentified astrophysical secondaries.

There are two important technical instrumental differences in  ${}^{3}\overline{\text{He}}$  detection compared to  $\overline{\text{D}}$  which are not incorporated into our analysis. GAPS works by measuring x-ray cascades emitted during the formation of exotic atoms from antimatter and the gas target. This technique requires the particle to stop completely inside the detector, and the large volume and weight required could be prohibitive for satellite based missions. This also reduces the high-energy acceptance for heavier nuclei such as helium. Finally, searches at even lower energies increase the importance of geomagnetic field effects and would require a satellite very close to the geomagnetic poles.

# **V. DISCUSSION AND CONCLUSIONS**

Due to the low production rate of cosmic-ray antinuclei in interstellar proton-gas interactions, the observation of such particles remains an intriguing avenue for a positive signal from dark matter annihilation. We have, for the first time, modeled the production rates of A = 3 cosmic-ray antinuclei by employing the PYTHIA event generator to reconstruct the angular distribution of baryons on an eventby-event basis. Noting that the larger binding energy of  ${}^{3}\overline{\text{He}}$  compared to  $\overline{D}$  theoretically motivates a larger coalescence momentum for  ${}^{3}\overline{\text{He}}$ , we have shown that the expected  ${}^{3}\overline{\text{He}}$  flux at the solar position lies significantly above the "4 order of magnitude" suppression of A = 3 antinuclei compared to A = 2 antinuclei, which is naively expected by the coalescence model. While it is still likely that  $\overline{\text{D}}$  would be discovered well before  ${}^{3}\overline{\text{He}}$ , this analysis shows that observations of  ${}^{3}\overline{\text{He}}$  are both technically feasible for future experiments, and may be essential to confirm that any  $\overline{\text{D}}$  observation does, in fact, correspond to the discovery of a dark matter particle.

Using the known instrumental configurations of current experiments, we have also shown that <sup>3</sup>He is not detectable by AMS-02, or the current configuration of GAPS LDB+. However, the signal can possibly be detected by a future GAPS satellite mission. Moreover, an observation of  $\overline{D}$  during either of the earlier missions will greatly constrain the parameter space of astrophysical propagation models, allowing for a more accurate forecast of the instrumental qualities necessary in order to detect the <sup>3</sup>He signal with a future satellite mission.

## ACKNOWLEDGMENTS

S. P. is partly supported by the U.S. Department of Energy under Contract No. DE-FG02-04ER41268. The simulations for this research were carried out on the UCSC supercomputer Hyades, which is supported by National Science Foundation (Award No. AST-1229745) and UCSC. T. L. is supported by the National Aeronautics and Space Administration through Einstein Postdoctoral Fellowship Award No. PF3-140110. A. I. and S. W. were partially supported by the DFG cluster of excellence "Origin and Structure of the Universe," the TUM Graduate School and the Studienstiftung des Deutschen Volkes.

- [1] S. Haino et al., Phys. Lett. B 594, 35 (2004).
- [2] T. Maeno et al., Astropart. Phys. 16, 121 (2001).
- [3] S. Orito et al., Phys. Rev. Lett. 84, 1078 (2000).
- [4] O. Adriani et al., Phys. Rev. Lett. 102, 051101 (2009).
- [5] M. Cirelli and G. Giesen, J. Cosmol. Astropart. Phys. 04 (2013) 015.
- [6] F. Donato, N. Fornengo, and P. Salati, Phys. Rev. D 62, 043003 (2000).
- [7] R. Duperray, B. Baret, D. Maurin, G. Boudoul, A. Barrau, L. Derome, K. Protasov, and M. Buénerd, Phys. Rev. D 71, 083013 (2005).
- [8] O. Adriani et al., Science 332, 69 (2011).
- [9] H. Baer and S. Profumo, J. Cosmol. Astropart. Phys. 12 (2005) 008.
- [10] A. Ibarra and S. Wild, Phys. Rev. D 88, 023014 (2013).

- [11] M. Kadastik, M. Raidal, and A. Strumia, Phys. Lett. B 683, 248 (2010).
- [12] S. T. Butler and C. A. Pearson, Phys. Rev. 129, 836 (1963).
- [13] A. Schwarzschild and C. Zupan, Phys. Rev. 129, 854 (1963).
- [14] T. Sjöstrand, S. Mrenna, and P. Skands, J. High Energy Phys. 05 (2006) 026.
- [15] T. Sjöstranda, S. Mrennac, and P. Skandsa, Comput. Phys. Commun. 178, 852 (2008).
- [16] Y. Cui, J. D. Mason, and L. Randall, J. High Energy Phys. 11 (2010) 017.
- [17] N. Fornengo, L. Maccione, and A. Vittino, J. Cosmol. Astropart. Phys. 09 (2013) 031.
- [18] A. Ibarra and S. Wild, J. Cosmol. Astropart. Phys. 02 (2013) 021.

#### ANTIHELIUM FROM DARK MATTER

- [20] M. C. Lemaire, S. Nagamiya, S. Schnetzer, H. Steiner, and I. Tanihata, Phys. Lett. B 85, 38 (1979).
- [21] P. Chardonnet, J. Orloff, and P. Salati, Phys. Lett. B 409, 313 (1997).
- [22] P. Chardonnet, J. Orloff, and P. Salati, Phys. Lett. B 409, 313 (1997).
- [23] A. Bussiére, G. Giacomelli, E. Lesquoy, R. Meunier, L. Moscoso, A. Muller, F. Rimondi, S. Zucchelli, and S. Zylberajch, Nucl. Phys. B174, 1 (1980).
- [24] R. Catena and P. Ullio, J. Cosmol. Astropart. Phys. 08 (2010) 004.
- [25] F. Donato, N. Fornengo, D. Maurin, P. Salati, and R. Taillet, Phys. Rev. D 69, 063501 (2004).

- [26] I. V. Moskalenko, A. W. Strong, J. F. Ormes, and M. S. Potgieter, Astrophys. J. 565, 280 (2002).
- [27] L. J. Gleeson and W. I. Axford, Astrophys. J. 154, 1011 (1968).
- [28] I. V. Moskalenko, A. W. Strong, J. F. Ormes, and M. S. PotgieterAstrophys. J. 565, 280 (2002).
- [29] L. C. Tan and L. K. Ng, J. Phys. G 9, 227 (1983).
- [30] M. Hillas, in 26th International Cosmic Ray Conference: ICRC XXVI, Invited Rapporteur, and Highlight Papers, Salt Lake City, Utah, USA, 1999.
- [31] C. Hailey, T. Aramaki, P. von Doetinchem, and R. A. Ong, arXiv:1305.0234
- [32] K. Mori, C. J. Hailey, E. A. Baltz, W. W. Craig, M. Kamionkowski, W. T. Serber, and P. Ullio, Astrophys. J. 566, 604 (2002).