

Boosted multijet resonances and new color-flow variablesDavid Curtin,¹ Rouven Essig,¹ and Brian Shuve^{2,3}¹*C. N. Yang Institute for Theoretical Physics, Stony Brook University, Stony Brook, New York 11794, USA*²*Perimeter Institute for Theoretical Physics, 31 Caroline Street N, Waterloo, Ontario N2L 2Y5, Canada*³*Department of Physics and Astronomy, McMaster University, 1280 Main Street W, Hamilton, Ontario L8S 4L8, Canada*

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We use modern jet-substructure techniques to propose LHC searches for multijet-resonance signals without leptons or missing energy. We focus on three-jet resonances produced by R -parity-violating decays of boosted gluinos, showing that shape analyses searching for a mass peak can probe such gluinos up to masses of ~ 750 GeV (650 GeV) with 20 fb^{-1} (5 fb^{-1}) at the LHC at 8 TeV. This complements existing search strategies, which also include counting methods that are inherently more prone to systematic uncertainties. Since R -parity-violating gluinos lighter than all squarks hadronize before decaying, we introduce new color-flow variables, “radial pull” and “axis contraction,” which are sensitive to the color structure of the R -hadron’s decay. The former measures the inward pull of subjets in a fat jet, while the latter quantifies the inward drift of the N -subjettiness axes when changing the distance measure. We show that they can dramatically improve the discrimination of a boosted gluino signal vs QCD, $t\bar{t}$, and combinatoric background for $m_{\tilde{g}} \sim m_t$. Cuts on axis contraction also noticeably improve the resonance shape for heavy gluinos with $m_{\tilde{g}} \gtrsim 500$ GeV. With minor adaptations, these variables could find application in substructure searches for particles in different color representations or with other decay topologies. We also compare how several different Monte Carlo generators model the high-multiplicity QCD background. This provides evidence that the discriminating power of our color-flow observables are robust, and provides useful guidance for future substructure studies.

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I. INTRODUCTION

The Large Hadron Collider (LHC) is setting ever more stringent constraints on many beyond-Standard-Model (BSM) theories. The most constrained BSM theories are those that produce large amounts of missing transverse energy (MET) and/or leptons [1–9]. However, there are many theories that do not feature these signatures. One of the more experimentally challenging signals are jets [10] with no missing transverse energy or leptons, for which the background from ordinary quantum chromodynamics (QCD) processes is prodigious.

The particular signal that we study in this paper can be phrased in terms of a simplified model. In addition to the Standard Model particle content, we consider a colored particle that is pair-produced and decays to three light-flavored quarks [11–18]. A useful benchmark model for this scenario is the minimal supersymmetric (SUSY) Standard Model with baryon-number-violating R -parity violation (RPV) [19,20] and a gluino as the lightest supersymmetric particle (LSP): the colored particles are gluinos, which each decay to a quark and an off-shell squark that decays to two quarks via an RPV coupling (see Fig. 1). This leads to a six-jet signal, with two three-jet resonances from the two decaying gluinos. For other examples of multijet searches, see [21–27].

If leptons and neutrinos appear in RPV cascade decays, bounds on superpartner masses can still be ~ 1 TeV [28]. However, the bounds are weaker if the signal is entirely hadronic, which we consider here. In particular, for a gluino

LSP decaying to three jets, a model-independent bound on exotic color octets from LEP excludes gluino masses below 51 GeV [29], while searches at CDF and CMS have only excluded gluinos with masses in the range ~ 77 –144 GeV [30], ~ 200 –280 GeV [31], and ~ 280 –450 GeV [32]. A recent ATLAS search has closed the gap between 144–200 GeV and excludes gluinos up to 660 GeV [33]. The weakened bounds relative to R -parity-conserving SUSY make RPV an attractive possibility for natural SUSY models [34–37].

The six-jet signal is challenging to see due to the large QCD background. A further difficulty is the large

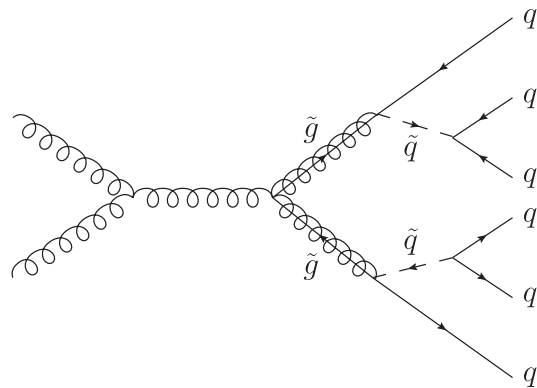


FIG. 1. The signal studied in this paper: pair production of gluinos, with each decaying to three quarks via an intermediate off-shell squark.

combinatorial ambiguity in correctly identifying the three jets from each gluino. The method in [17,30–32] uses a correlation between the sum of the transverse momentum ($p_{T,jjj}$) and the invariant mass (M_{jjj}) of three jets to select a phase space region with a high number of signals relative to combinatorial and QCD background events. Even so S/B often ends up being very small, motivating the investigation of complementary analysis methods.

The ATLAS study [33] exploits the fact that the jets from gluino decays tend to have similar p_T , while QCD six-jet events exhibit a p_T hierarchy. Counting the number of events on the high-end tail of the sixth-hardest-jet- p_T distribution excludes RPV gluinos up to 660 GeV [33], but does require an extremely reliable understanding of the background normalization. It is thus desirable to cross-check this result using an orthogonal search channel with a nearly independent set of systematic uncertainties (as also suggested in [33]).

This motivates the focus of our paper: we examine the decay and radiation pattern produced by two *boosted* gluinos, whose decay products tend to be collimated and fall into the same region of the detector, producing two hard *fat jets*. The study of boosted gluinos allows for a reconstruction of $m_{\tilde{g}}$ in the fat-jet invariant mass distribution, giving a cleaner and more robust signal than other methods, and allowing a shape analysis to extract the gluino mass peak. We study boosted gluinos using jet-substructure techniques [38–63], which have matured enormously in recent years and are being verified experimentally [1,3,4,6,64–72] (for some recent reviews see [73–75]). We achieve the best signal sensitivity using N -subjettiness [38,40] to isolate three-pronged fat jets, requiring two high- p_T fat jets with similar masses, and vetoing events with a large subjet p_T -hierarchy. In addition, we introduce two new color-flow variables, *radial pull* and *axis contraction*. *Radial pull* is based on the *pull* variable defined in [41], while *axis contraction* exploits the shift in the minimizing axes of N -subjettiness when changing the distance measure. Both variables help distinguish the *signal's* QCD radiation pattern when compared with the QCD background. The background radiation pattern has been simulated with several Monte Carlo programs.

The ATLAS study [33] examined boosted gluinos and excluded $m_{\tilde{g}} \sim 100\text{--}300$ GeV (light compared to \sqrt{s}), but we show that a boosted search is viable at the 8 TeV LHC (LHC8) up to $m_{\tilde{g}} \lesssim 750$ GeV, where $\sigma_{\tilde{g}\tilde{g}} \sim 0.2$ pb and the boosted fraction is only $\mathcal{O}(\text{few}\%)$. This shows that looking for relatively heavy gluinos in the boosted regime, first proposed for the Tevatron [76], carries over to the LHC in spite of the smaller boosted fraction and production cross section of a pp collider relative to a $p\bar{p}$ collider. We also define a search for top-mass gluinos ($m_{\tilde{g}} \sim m_t$) with spectacular background discrimination that would improve on the ATLAS limit. This is relevant for other simplified models.

The new variables, *radial pull* and *axis contraction*, are designed to measure the distribution of the soft QCD

radiation pattern inside each boosted-gluino fat jet. They should generalize to other examples of boosted jet studies [77], for example hadronic RPV decays of neutralino LSPs (as in [78]). Their use in our study relies on the fact that gluinos decaying via an off-shell squark generically live longer than the hadronization time scale, so that they form a color-singlet R -hadron before decaying. This leaves a measurable soft QCD radiation pattern within each boosted fat jet, which differs from a beam-connected color octet like that for an unhadronized gluino, combinatorics background, a boosted hadronic top quark, or QCD background. This effect of *color-connected* jets has been previously studied for a color singlet (such as W or h) decaying into two jets forming a color dipole [41–44,79–82], and Tevatron experimental results demonstrated its viability [64]; however, color flow has to our knowledge never been investigated for decaying R -hadrons or nondipole configurations.

In Sec. II, we discuss the color flow in the gluino R -hadron decay and introduce variables sensitive to the radiation pattern. Section III describes our Monte Carlo (MC) generation; Sec. IV details the substructure variables that distinguish boosted gluino decays from background, and shows the results for searches for heavy ($m_{\tilde{g}} \gtrsim 500$ GeV) and top-mass gluinos. Section V contains our conclusions. A brief review of RPV gluinos is in Appendix A. A detailed Monte Carlo comparison for the QCD background of substructure and color-flow observables is described in Appendix B to ensure robustness of our results.

II. NOVEL PROBES OF COLOR FLOW

Gluinos that decay to three quarks through an off-shell squark and the baryon-violating RPV coupling (which appears in the superpotential as $W \supset \lambda'' \bar{u} \bar{d} \bar{d}$) generically have a lifetime that is prompt but longer than the hadronization scale—see review in Appendix A. (We do not consider gluino decays originating from a displaced vertex or occurring outside the detector.) This means they first form color-singlet R -hadrons before decaying to three jets. The pattern produced by the radiation from these signal jets is different from QCD background jets or from jets that originate from a particle that is a color fundamental (like a top quark) or octet (like an unhadronized gluino decaying to three jets). In this section, we first give an intuitive explanation for the radiation pattern before introducing two new variables, *radial pull* and *axis contraction*, that attempt to quantify this. The new variables should, with slight adaptations, also be useful to distinguish background jets from jets originating from other particles like the Higgs or W -boson, which we study elsewhere [77].

A. Color connections in R -hadron decays

The soft radiation pattern of a jet produced during hadronization will depend on how the color of the parent quark or gluon is connected to the color of the other quarks or gluons in the event. Put simply, the radiation pattern of a

jet will be, on average, slightly “pulled” towards other jets (or the beam) to which it is color-connected. Furthermore, gluons have a larger color charge than quarks and will produce on average a somewhat wider radiation pattern. A number of variables make use of this to, for example, tag dipole-pairs of jets and distinguish quarks from gluons [41,43–46].

The color flow of gluino pair production is identical to that of gluon pair production, with gluinos being color-connected to the proton remnants in the beam. Therefore, if the gluinos were stable, we would expect the R -hadrons to be surrounded by a soft radiation pattern not unlike that of gluon jets. However, for decaying R -hadrons the situation is more interesting. Figure 2 shows the color flow of a decaying R -meson $\tilde{g}qq$ and R -baryon $\tilde{g}qqq$. Of the three hard jets from the decaying R -meson, one forms a “mesonic” singlet with one of the R -meson remnant spectator quarks, while two form a “baryonic” singlet with the other remnant quark (a similar pattern of color connection with the remnants holds for decaying gluinoballs $\tilde{g}g$).

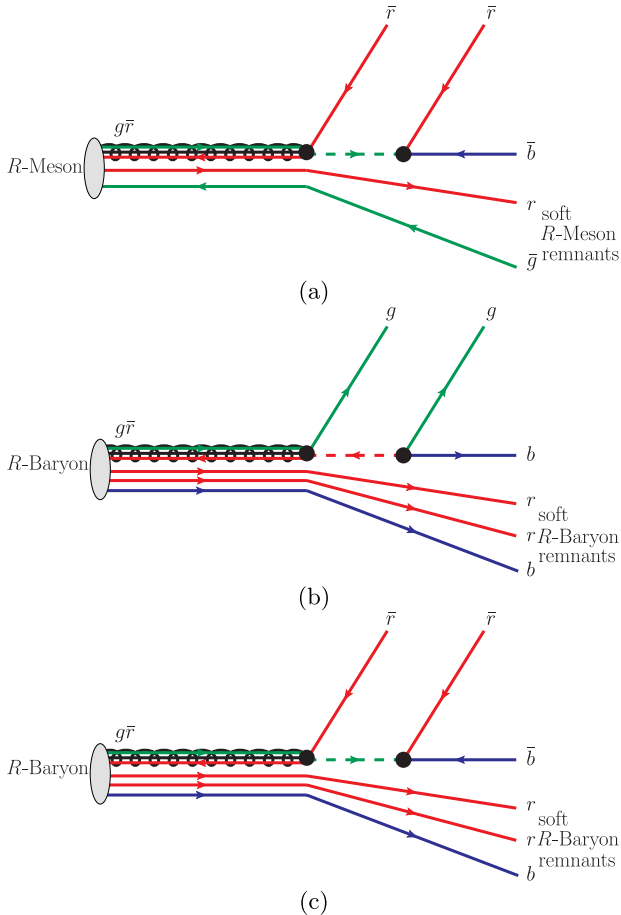


FIG. 2 (color online). (a) The color flow in R -meson ($\tilde{g}qq$) decay due to RPV gluino decay via an intermediate off-shell squark. (b) and (c): The two physically distinct possibilities for color flow in R -baryon ($\tilde{g}qqq$) decay. Forward/backward arrows indicate color/anticolor, which are also indicated with r, g, b .

For R -baryons, there are two distinct possibilities: two baryonic singlets, one formed out of one hard jet and two spectators and the other formed out of two hard jets and one spectator; or three mesonic singlets incorporating one hard quark each.

The resulting soft radiation pattern expected in the decay of a *boosted* R -hadron is shown in *strongly exaggerated* schematic form in Fig. 3. The soft radiation field (purple region) of the *first* round of hadronization (during R -hadron formation) is contained in the center of the fat jet, and might contain evidence of the initial gluino’s color connection to the beam, indicated here by its leftward slant. However, this radiation field is extremely soft, as well as subject to the possible shortcomings of R -hadronization models, and it will not be our focus. Superimposed on this initial radiation field is the result of the R -hadron decay, and a *second* round of hadronization to resolve the color connections amongst its decay products. It is in the radiation fields of the three hard subjects of the fat jet that it may be possible to find evidence of the *overall singlet nature* of the R -hadron: there should be an overall pull towards the center, and possibly between two fat jets that form a baryonic singlet with part of the remnant. While there is substantial overlap in the signal and background distributions of color-flow variables, we do find that aggressive cuts on color-flow variables that isolate events with the patterns shown in Fig. 3 are very helpful in improving signal-to-background ratios for RPV gluino searches. Any such variable can also be viewed as a generalization of existing dipole taggers, and could also find application elsewhere.

B. Radial pull

The distribution of the radiation field of a jet can be measured with a variable called *pull* [41], defined as

$$\vec{t} = \sum_i \frac{p_T^i |r_i|}{p_T^{\text{jet}}} \vec{r}_i \quad (\text{pull}), \quad (1)$$

where \vec{r} is a vector in the $\eta - \phi$ plane pointing from the jet axis to the i th jet constituent, and p_T^{jet} (p_T^i) is the transverse momentum of the jet (i th jet constituent). The direction of the pull vector indicates an overall slant in the jet’s radiation distribution, while the magnitude contains limited information [41].

For a fat jet with N subjects, we can calculate the pull vector for each subject and combine them in a quantity that we call *radial pull*, defined as

$$t_r = \frac{1}{N} \sum_{j=1}^N \hat{t}_j \cdot \hat{n}_j \quad (\text{radial pull}), \quad (2)$$

where \hat{t}_j is the pull vector (normalized to unity) for the j th subject and \hat{n}_j is the unit vector from the fat-jet center to the subject axis, i.e. the difference between the subject’s and fat

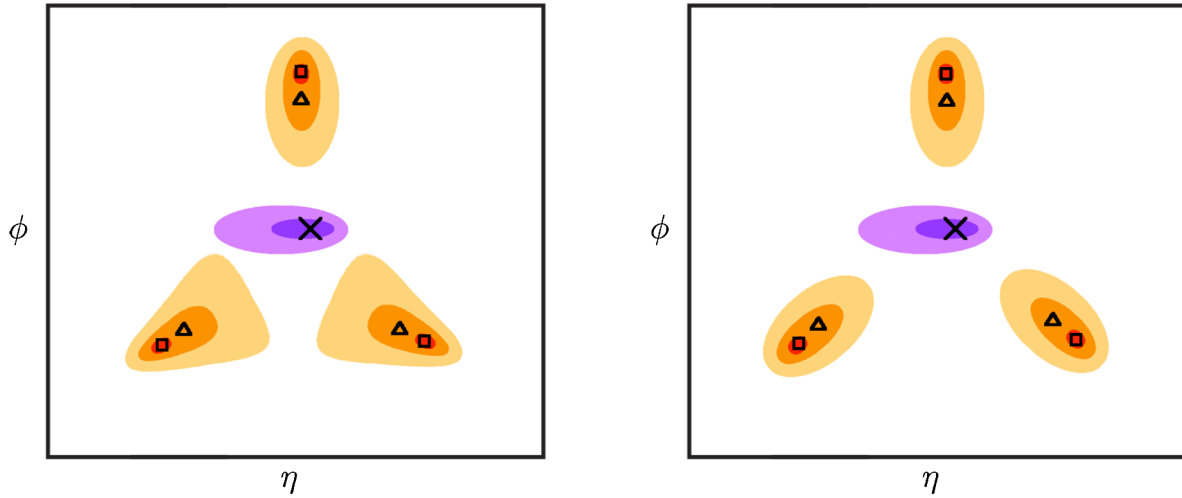


FIG. 3 (color online). Exaggerated schematic representation of the hypothesized radiation pattern in the $\eta - \phi$ plane produced by the R -hadron decays shown in Fig. 2. The radiation pattern from the shower and hadronization of the gluino is shown in purple at the center. The leftward slant indicates (in this example) a color connection to the beam, but this radiation pattern is extremely soft, hard to detect, and susceptible to uncertainties in the R -hadron formation model. The centers of the hard jets from RPV gluino decay are shown in red (they lie below the black squares), with orange indicating the shape of the soft radiation pattern resulting from a *second* round of showering and hadronization of the three quarks produced in the gluino decay. Squares and triangles indicate the location of axes minimizing the N -subjettiness variables $\tau_3^{\beta=1}$ and $\tau_3^{\beta=2}$, respectively, and the cross marks the fat-jet centroid, i.e. the original R -hadron direction. There are two possibilities for the radiation pattern: the *left* plot corresponds to the R -meson and the R -baryon in Figs. 2(a) and 2(b), respectively; the *right* plot only occurs for the R -baryon in Fig. 2(c) and is of limited importance, since R -baryons make up only $\sim 1\%$ of produced R -hadrons [121].

jet's three-momentum vectors, normalized to unity. By definition, $t_r \in [-1, 1]$.

Radial pull characterizes the degree to which a fat jet's subjects are color-connected to the fat-jet center. Radial pull is expected to be closer to -1 for the decay of a color-singlet R -hadron located at the fat-jet center (see Fig. 3), whereas fat jets from QCD are expected to have components with

color connections to the beam and other jets in the event, yielding a radial pull closer to $+1$. This is confirmed by MC simulations of the signal and background (in case of the background, with several different generators, see Sec. III and Appendix B). Figure 4 (left) shows the normalized distribution of t_r for a gluino with $m_{\tilde{g}} = 175$ GeV (red and orange) and QCD (green) and $t\bar{t}$ (cyan)

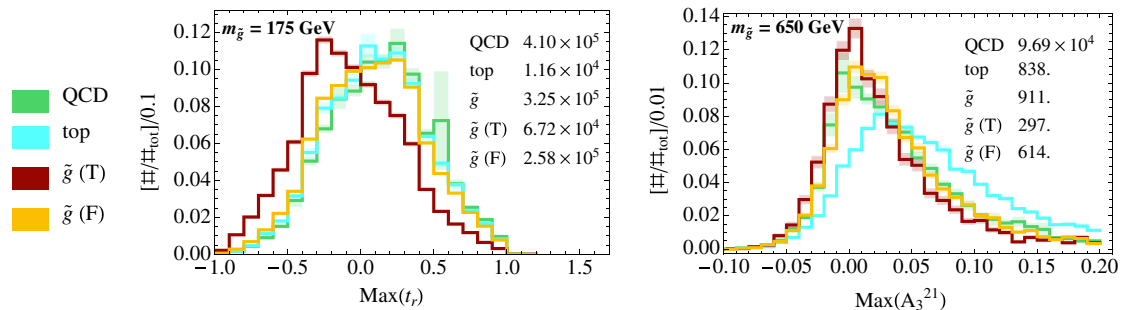


FIG. 4 (color online). Left: Normalized distributions of the new color-flow variable *radial pull* [t_r , Eq. (2)] for a gluino R -hadron with $m_{\tilde{g}} = 175$ GeV decaying to three jets via RPV (red and orange); QCD (green) and $t\bar{t}$ (cyan) backgrounds are also shown. The signal is divided into two components: red (orange) corresponds to events in which the hardest two fat jets are aligned (are not aligned) within $\Delta R = 0.3$ of the two gluino R -hadrons at the MC truth level. The red distribution is dominated by events where both fat jets reconstruct a decaying gluino, and thus constitute “good” (T) signal events, whereas the orange distribution shows events where the fat jets do not reconstruct a decaying gluino and are “bad” (F) signal events. For each event with two hard fat jets, the larger of the two radial pulls is shown in the histogram. The inset table shows the absolute sizes of the different samples, normalized to the number of expected events at LHC8 with 20 fb^{-1} . Basic generator- and trigger-level cuts have been included, same as for Fig. 10(a). Error bars indicate MC statistical uncertainty. Right: Same as plot on left, but now showing the normalized distribution of the new color-flow variable *axis-contraction* ($A_N^{\beta\beta'}$ with $\beta = 2$, $\beta' = 1$, $N = 3$) for $m_{\tilde{g}} = 650$ GeV. An equivalent set of cuts has been applied, same as for Fig. 7(a). In both cases the distribution of the good signal events differs markedly from the other (background) distributions.

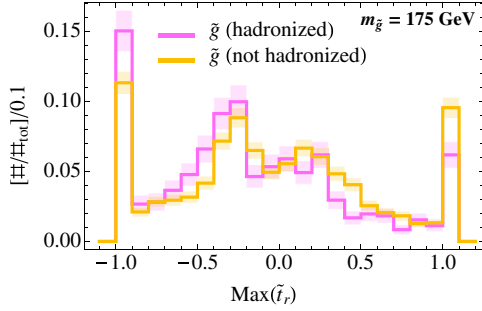


FIG. 5 (color online). Comparing the normalized distributions of $\max(\tilde{t}_r)$ (maximized over the two hardest fat jets in the event) for 175 GeV gluinos with and without formation of R -hadrons before decay. The kinematic cuts (though not the radial-pull cut) of the top-mass gluino analysis outlined in Sec. IV D have been applied. Comparing the first and last bin occupations readily distinguishes between gluinos that either form or do not form R -hadrons before decaying.

backgrounds (basic generator and trigger level cuts have been included). We divide the signal into two components: red (orange) in Fig. 4 corresponds to those events in which the hardest two fat jets line up (do not line up) within $\Delta R = 0.3$ with the three-momentum of the two gluino R -hadrons at the MC truth level. The red distribution is thus enriched with events where two fat jets originate from a decaying gluino, and thus constitute “good” (T) signal events. We see that the good signal events peak at t_r values closer to -1 and have a distinct distribution from the “bad” (F) signal events and from QCD and $t\bar{t}$ backgrounds.

We also tried an alternative definition of radial pull,

$$\tilde{t}_r = \frac{\sum_{j=1}^N \vec{t}_j \cdot \hat{n}_j}{\sum_{j=1}^N |\vec{t}_j \cdot \hat{n}_j|} \quad (\text{radial pull, alternative def.}) \quad (3)$$

This variable is most sensitive to the dominant subjet pull, and so \tilde{t}_r is peaked strongly at ± 1 . We find that it works less well in distinguishing signal from background compared to the definition in Eq. (2). However, Eq. (3) is better at distinguishing radiation patterns from hadronized gluinos (R -hadrons) vs unhadronized gluinos. This is evident in Fig. 5, where a ratio between the $+1$ and -1 bins distinguishes clearly between decaying hadronized and unhadronized gluinos. It is possible that for other types of signal Eq. (3) may be more useful, but in this paper, we use Eq. (2).

C. Axis contraction

A second variable to measure the QCD radiation pattern of a boosted heavy particle exploits previously unexplored properties of N -subjettiness, τ_N^β [38], which we first review. τ_N^β is based on the variable N -jettiness [39], and is the average of the p_T 's of jet-constituent particles, weighted by the distance to a set of N axes,

$$\tau_N^\beta \equiv \frac{1}{d_0} \sum_i p_{Ti} \min [(\Delta R_{1,i})^\beta, \dots, (\Delta R_{N,i})^\beta], \quad (4)$$

$$d_0 = \sum_i p_{Ti} R_0^\beta, \quad (5)$$

where R_0 is the fat-jet radius and the sum is over all jet constituents i . τ_N^β characterizes how well the radiation in the jet is aligned along these N chosen axes, which are labeled by $a = 1, \dots, N$. $\Delta R_{a,i}$ is the distance in the $\eta - \phi$ plane between axis a and constituent i , and the axes are chosen in each instance to minimize the value of τ_N^β [40] unless indicated otherwise. (This is implemented in the FastJet N -subjettiness plug-in [40] and provides substantially better discriminating power in boosted particle taggers than when the N -subjettiness axes are chosen using a traditional jet clustering algorithm such as anti- k_T [38].) β determines the weighting in the sum of radiation far from the axes; typically $\beta \sim 1-2$.

By comparing values of τ_N for different N , it is possible to characterize the number of subjets in the event. For example, if $\tau_{21} \equiv \tau_2/\tau_1 \ll 1$, the radiation in the jet is clustered around two separate axes within the jet, implying that the jet contains two prominent subjets. By contrast, if $\tau_{21} \sim 1$, then the radiation is distributed fairly evenly around the central jet axis and is not well characterized by two subjets. The ratio τ_{21} is useful for tagging jets from the decay of a boosted W -boson, whereas the ratio $\tau_{32} \equiv \tau_3/\tau_2$ can isolate jets with three distinct subjets, which is useful to tag boosted top quarks [38,40,73,75] as well as boosted RPV gluino decays, as we show below.

Varying the parameter β changes the sensitivity of τ_N^β to radiation far from the N -subjettiness axes, and can therefore probe the shape of the radiation inside the fat jet. This provides a sensitivity not just to the *number* of “hard subjetlike structures” in a fat jet (which might be accessed by reclustering) but also to the *shape* of their radiation patterns. Traditionally, this has been exploited in top-taggers [38,40] by setting $\beta \approx 1$ to make N -subjettiness home in on very tight radiation centers.

A novel way of exploiting this shape sensitivity is to study the β -variance of the axes which minimize τ_N^β . (The idea of varying the parameters of event shape variables to extract additional information was first proposed in [83].) Consider a fat jet with N well-defined subjets. We denote the $a = 1, \dots, N$ axes which minimize τ_N^β by

$$\vec{R}_{a,N}^\beta \equiv (\eta_{a,N}^\beta, \phi_{a,N}^\beta). \quad (6)$$

If the soft radiation field of a subjet associated with axis a is skewed towards one side, then the axis is shifted away from the center of the subjet, and this shift is more pronounced for higher values of β . Therefore, for example, the vector

$$\Delta \vec{R}_{a,N}^{\beta\beta'} \equiv \vec{R}_{a,N}^\beta - \vec{R}_{a,N}^{\beta'} \quad (\text{axis pull}), \quad (7)$$

which we call *axis pull*, should point in the direction of the skew for $\beta > \beta'$. This typically points in the same direction as the pull (1).

For the radiation pattern from gluinos, shown in Fig. 3, the skew of the radiation field should lie between the subjet axis and the fat jet center. To capture this effect, we define a scalar quantity called *axis contraction* as

$$A_N^{\beta\beta'} = \frac{\sum_{a=1}^N |\vec{R}_{a,N}^\beta - \vec{R}_{\text{cen}}|}{\sum_{a=1}^N |\vec{R}_{a,N}^{\beta'} - \vec{R}_{\text{cen}}|} - 1 \quad (\text{axis contraction}), \quad (8)$$

where \vec{R}_{cen} marks the fat-jet centroid, i.e. the fat-jet momentum in the $\eta - \phi$ plane, and $\beta > \beta'$. For our application we choose $(\beta, \beta') = (2, 1)$.

If the τ_N^β axes shift towards (away) from the centroid of the fat jet as β is changed from 1 to 2, then $A_N^{\beta\beta'}$ is smaller (larger) than 0. We therefore expect that for boosted RPV gluinos, A_3^{21} is on average *smaller* for *signal* fat jets that contain all of a gluino's decay products than for fat jets from kinematically identical QCD or $t\bar{t}$ backgrounds, or signal fat jets that do not contain the decay products of a single gluino. This is again confirmed by MC simulations of the signal and background, which are described in detail in Sec. III. For light gluinos, axis contraction performs comparably to radial pull, though the latter does have slightly better discrimination power. However, axis contraction is more suitable when cutting conservatively and wanting to preserve the largest amount of signal, as opposed to achieving maximum signal purity. This makes it more suitable for the heavy gluino case. Figure 4 (right) shows the normalized distributions of A_3^{21} for a gluino with $m_{\tilde{g}} = 650$ GeV (red and orange) and QCD (green) and $t\bar{t}$ (cyan) backgrounds (basic generator and trigger level cuts have been included). The discrimination power of the variable for this heavy gluinos becomes more apparent as additional cuts are applied; see Fig. 7(g).

Note that the small numerical range of the axis contraction variable over our event samples is not indicative of any unrealistic detector resolution required to observe its variation, but is just a matter of how the variable is normalized. Furthermore, the shapes of the jet- p_T distributions are very different at maximal vs minimal values of axis contraction (or radial pull). These differences are easily distinguished by the calorimeter and particle tracker.

The idea of using a shift in the N -subjettiness axes under a change of β to probe color flow is very general. One could imagine using A_2^{21} as a dipole tagger, for example. This will be investigated further in [77].

III. MONTE CARLO EVENT GENERATION

We simulated gluino pair production, R -hadron formation, and RPV decay with a developmental version of Pythia 8.165 [84–87] [88]. This was done for two ranges: “top-mass” gluinos with $m_{\tilde{g}} = 175$ GeV and heavy gluinos with $m_{\tilde{g}} = 500, 550, \dots, 1000$ GeV. The number

of unweighted signal events simulated for the heavy (light) gluino analysis was $\sim 10^5$ – 10^6 ($\sim 4 \times 10^7$) per mass point. All distributions were reweighted to correspond to a given luminosity at LHC8, usually 20 fb^{-1} . Samples without R -hadron formation before the decay were also generated for comparison. All signal cross sections were calculated at NLO in Prospino [89].

We used Sherpa 1.4.0 [90,91] to generate fully matched QCD (with 2–6 hard jets from the leading-order matrix element) and $t\bar{t}$ background samples (fully hadronic decays with ≤ 2 additional hard jets), with additional jets produced by the shower. Event generation was weighted to adequately sample high-multiplicity and high- p_T events. We generated separate backgrounds for the heavy and the top-mass gluino searches:

- (i) *Heavy gluino ($m_{\tilde{g}} \gtrsim 500$ GeV) search:* The relevant trigger is $H_T \gtrsim 850$ GeV along with at least one jet of $p_T > 200$ GeV [92], while the analysis itself requires two hard fat jets. Accordingly, we required background events at generator level to have two fat jets (anti- k_T , $R = 1.5$) each with $p_T > 500$ GeV, along with one thin jet (anti- k_T , $R = 0.4$) with $p_T > 200$ GeV. All generator-level cuts act at parton-level, and thresholds are correspondingly conservative compared to later cuts on the fully showered event. Because efficiencies of subsequent cuts are low, we generated a sample of ~ 50 million QCD events and ~ 10 million $t\bar{t}$ events. We find that the dominant background by far is QCD. Top backgrounds have a much smaller cross section and tend to produce fat jets that lie around $m_f \sim 175$ GeV, well below the gluino mass scale under study.
- (ii) *Top-mass gluino search:* This search uses a trigger requiring six thin jets (anti- k_T , $R = 0.4$) with $p_T \gtrsim 60$ GeV for full efficiency. Therefore background events were required at generator level to have two fat jets (anti- k_T , $R = 1.5$) with $p_T > 150$ GeV, as well as at least four thin jets with $p_T > 40$ GeV. Nevertheless, the poor trigger and cut efficiencies required the generation of around 100 million QCD events. The top, for which we generated ~ 20 million events, is subdominant to QCD for most of our subsequent chain of cuts, but can dominate after the final color-flow cuts.

As a check of the backgrounds generated with Sherpa, we also considered backgrounds generated with independent matrix element generators and showering programs: powheg 1.0 + Pythia 6.4.27 and powheg 1.0 + Pythia 8.1.65 for QCD, and Madgraph 5.1.5 + Pythia 6.4.27 and Madgraph 5.1.5 + Pythia 8.1.65 for $t\bar{t}$. The details of the MC comparison are in Appendix B. Overall, the different event generators are consistent with one another for jet-substructure and color-flow observables, although the tails of the distributions could differ in size by up to a factor of 10. Nevertheless, the broad conclusions of the efficacy of

jet substructure and the discriminating power of color-flow observables are supported by all analyses. For the rest of this paper we compare the signal to backgrounds generated with Sherpa, since it is the only generator which provides fully matched event samples with up to six jets at matrix-element level as well as supporting weighted event generation to fully sample the tails of distributions. Ultimately, experimental study of color-flow observables will be needed to determine which MC program has the best agreement with data, but the comparison from Appendix B gives a rough estimate of the dependence of generator effects on our observables. Because hadronization and RPV decay of gluinos is unique to Pythia 8, we were unfortunately unable to similarly estimate the generator dependence of the signal observables.

All events are analyzed in our own FastJet 3.0.2-based code [93], interfaced with the N -subjettiness plugin [40] to calculate τ_N^β and find minimizing axes. Histograms were combined and reweighted in Mathematica to the number of expected events at LHC8 for a given integrated luminosity. For a histogram bin containing events with weight $\{w_i\}$, the MC statistical error on the sum $\sum_i w_i$ is $\sqrt{\sum_i w_i^2}$, which we include in our distributions. We generated enough events to ensure that this statistical error is no larger than Poisson error corresponding to the actual expected number of LHC8 events, and that the distributions are as smooth as possible. Systematic errors are not included in our predictions, since the number of events surviving all the cuts is generally so small that Poisson uncertainties dominate. Detector effects are not explicitly modeled, but are unlikely to be a limiting factor since we only use the central part of the event ($|\eta| < 2.5$), our fat jets are extremely hard ($p_T > 600$ GeV) and low statistics necessitate a large bin size for fat-jet mass histograms anyway, precluding the use of any unrealistic mass resolution. Furthermore, as mentioned in the previous section, our color-flow variables do not rely on any unrealistic detector resolution to achieve their distinguishing power.

All signal and background samples include the underlying event and are generated using default parton distribution functions. To verify their validity, we applied several cross-checks to our background samples. We were able to reproduce the QCD six-jet distributions from [31] as well as jet-mass and N -subjettiness distributions from [65] (see Fig. 6). While the shape matched well across the entire range of all distributions (particularly for N -subjettiness), the normalization was half as large as the data. Therefore, we scaled up the cross section of our entire QCD background sample (supplied at LO by Sherpa) by a K -factor of 2. It was unnecessary to similarly rescale the top background: it is negligible for the heavy gluino search, and while it is the dominant background after color cuts are applied in the top-mass gluino search, it is nevertheless eliminated by an additional b -veto.

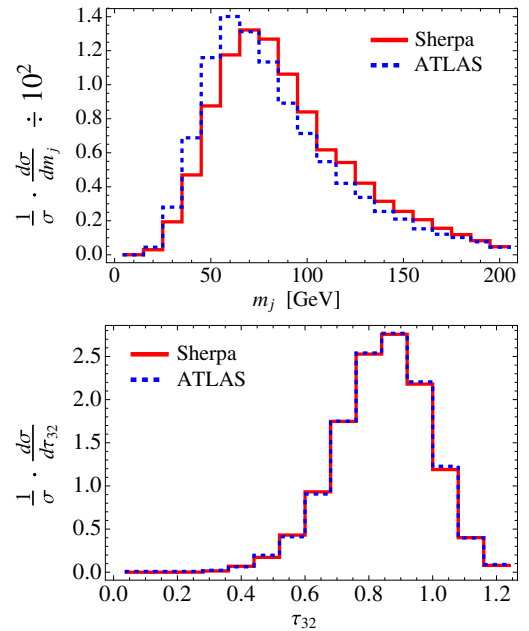


FIG. 6 (color online). Comparison of $300 < p_T < 400$ GeV, $R = 1.0$ anti- k_T fat jets from Sherpa and the ATLAS 7 TeV, 35 pb^{-1} analysis [65]. The plots are normalized to the same area. Top: Jet-mass distribution. Bottom: N -subjettiness ratio $\tau_{32} \equiv \tau_3/\tau_2$ distribution.

Only $\sim 10\%$ of top-mass gluinos and $\sim 1\%$ – 4% of heavy gluinos with a mass of 500–1000 GeV are boosted enough for all the decay products to potentially end up in two fat jets. Since our search attempts to reconstruct a resonance in the fat jet mass spectrum, the large majority of signal events have to be treated as combinatorics background. Therefore, we divide the signal sample into two groups: “good” (T) signal, which is enriched with boosted gluinos by requiring that the two hardest fat jets are within $\Delta R = 0.3$ of the truth-level gluinos, and “bad” (F) signal, i.e. the rest. This allows us to explicitly demonstrate that our cuts eliminate the QCD and $t\bar{t}$ as well as the combinatorics background.

IV. ANALYSIS

This section details our analysis strategy and results.

A. Strategy

We discuss here the set of variables used to distinguish signal from background. Our aim is to remove as much QCD background as possible and have the gluino resonance be clearly distinguishable from the background. In particular, we do not rely on a counting analysis, as this is more susceptible to uncertainties in the background normalization.

In addition to the generator- and trigger-level cuts discussed in Sec. III, we select events with the following properties:

- (1) Two hard fat jets of size $\Delta R = 1.5$, clustered with the anti- k_T algorithm [94]. Each fat jet contains the

three jets from a gluino decay if the gluino is boosted enough. We always consider only the two hardest fat jets in each event, and in many cases cut on the larger/smaller value of a variable evaluated for each jet (indicated with max/min).

- (2) Each fat jet should be three-pronged. We use the N -subjettiness variable τ_N^β defined in Eq. (4) to select events with small values of

$$\tau_{32} \equiv \tau_3^{\beta=1} / \tau_2^{\beta=1}. \quad (9)$$

- (3) The two signal fat jets should have comparable invariant masses, since both reconstruct the gluino resonance. We thus select events with small values of the *jet-mass symmetry* parameter

$$s_m \equiv \frac{|m_1 - m_2|}{(m_1 + m_2)/2}. \quad (10)$$

- (4) Each fat jet should contain three hard subjets with similar p_T , since the QCD background jets tend to have asymmetric p_T 's. We thus select events where the *subjet hierarchy* parameter

$$h_{31} \equiv \frac{p_{T3}}{p_{T1}}, \quad (11)$$

is close to 1. Here p_{Ti} is the i th subjet found by reclustering each fat jet's constituents with anti- k_T , $R = 0.4$. This variable is similar to the flow variable in [95]. Versions of both s_m and h_{31} have been used in [76].

- (5) The radiation pattern within each fat jet should be that of a color-singlet R -hadron. We thus select events with constraints on the new variables' *radial pull* or *axis contraction* defined in Eqs. (2) and (8), respectively. For radial pull, we use subjets obtained by reclustering the fat-jet constituents with anti- k_T , $R = 0.4$, the same as for h_{31} .

We also evaluated other substructure variables like *girth* [44,46] and *planar flow* [47,96], but they did not add any discriminating power in our case.

B. Pile-up considerations and effect of jet grooming

In the high luminosity environment of the LHC, the effects of pileup (PU) can distort the spectrum of jet observables such as its mass and N -subjettiness. The effects of pileup can be largely eliminated using one of several jet-grooming techniques [48–52,97]. While we do not explicitly include the effects of jet grooming in our main analysis, we verify here that an analysis based on variables outlined in Sec. IVA gives results that are applicable when jet grooming is included.

To see the effects of jet grooming, we trim [51] our samples by reclustering fat jets into subjets of $R = 0.4$ using the k_T algorithm and discarding all subjets with

$p_{T \text{ subjet}} / p_{T \text{ jet}} < f_{\text{cut}}$. We take $f_{\text{cut}} = 0.02$ ($f_{\text{cut}} = 0.05$) for the heavy (top-mass) gluino analysis, with the precise value chosen to eliminate most of the effects of PU based on a simple model with mean PU energy of 12 GeV per unit area and intraevent fluctuations of 3 GeV per unit area (characteristic of PU with 20 primary vertices per event) [98]. We choose a smaller f_{cut} for heavier gluinos than for $m_{\tilde{g}} \sim m_t$, since PU remains fixed even as the fat-jet p_T increases for heavier gluinos. These parameters are comparable to those used by [33].

Trimming does alter the distributions of some of our kinematic variables; in particular, it tends to shift N -subjettiness to lower values. However, we find that it is always possible to choose values of the cuts on τ_{32} , s_m , and h_{31} such that the overall signal and background efficiencies are essentially the same for trimmed and untrimmed samples, and so the outcomes of the ungroomed analyses remain the same when grooming is included. In fact, it is possible in some instances to achieve a background acceptance that is lower for the trimmed sample while maintaining the same signal efficiency; this makes our ungroomed analysis somewhat conservative, although we leave an optimization of jet grooming parameters to future work.

Our color-flow variables, however, must be calculated on the jets prior to grooming, because grooming can remove the soft radiation within and between subjets that distinguishes different color flows. To mitigate the effects of PU, it is preferable to include soft radiation over the smallest possible area. We find that, in most events, the values of the color-flow variables are dominated by radiation close to the subjet center, and we suggest that including only soft radiation within $R = 0.4$ of each subjet axis would reduce contamination from PU (this is similar to the method of PU mitigation used in [43]). Furthermore, we find that the scale of radiation driving the color-flow variables is ~ 12 – 30 GeV (and sometimes higher for heavy gluinos), which is above the characteristic scale of intraevent fluctuations in PU (~ 3 GeV for 20 primary vertices [98]). We do not, however, include PU in our MC samples, and we leave it to the experimental collaborations to study precisely how color-flow variables are affected by PU and to confirm their utility.

C. Results for $m_{\tilde{g}} \gtrsim 500$ GeV

Table I details our cuts, together with the signal and background efficiencies for $m_{\tilde{g}} \in [500, 850]$ GeV and the expected number of events at LHC8 at 20 fb^{-1} . We note, firstly, that due to the generator-level cuts outlined in Sec. III, the event numbers for background samples can only be compared to actual data numbers after the third cut, which requires two fat jets with $p_T > 600$ GeV. Secondly, even though we classify the entire gluino sample as “signal,” only the $\mathcal{O}(\text{few}\%)$ boosted fraction that ends up in a fat jet that reconstructs the gluino mass is of

TABLE I. Number of expected events at LHC8 with 20 fb^{-1} for signal and background after each cut for the heavy gluino analyses, and gluino pair production cross sections [89] in square brackets. The generator-level cuts outlined in Sec. III are only applied to the background samples. Labels “max” and “min” in the cut variables apply to the two values obtained for the *two hardest fat jets* in each event. The second line in each row shows the efficiency of each individual cut step. For signal, the numbers in brackets refer to the “good” combinatorially correct signal defined in Sec. III. The last p_T and axis-contraction cuts were optimized for each $m_{\tilde{g}}$, with the following thresholds $\{p_T^{\text{max}}, A_3^{\text{max}}\}$: $m_{\tilde{g}} = 500$: {600, 0.02}, $m_{\tilde{g}} = 550$: {600, 0.02}, $m_{\tilde{g}} = 600$: {600, 0.02}, $m_{\tilde{g}} = 650$: {700, 0.04}, $m_{\tilde{g}} = 700$: {750, 0.03}, $m_{\tilde{g}} = 750$: {800, 0.03}, $m_{\tilde{g}} = 800$: {850, 0.04}, $m_{\tilde{g}} = 850$: {900, 0.03}. (Masses and momenta in GeV.)

Analysis	Generator level cuts	Common cuts						Optimized cuts		
		Trigger: $H_T > 850 \text{ GeV}$, $p_T^j > 250 \text{ GeV}$	Two fat jets with $p_T > 600 \text{ GeV}$	$\max(\tau_{32}) < 0.7$	$\max(\tau_{32}) < 0.5$	$\max(s_m) < 0.1$	$\min(h_{31}) > 0.2$	Fat jet $\min(p_T) > p_T^{\text{max}}$	Axis contraction cut $\max(A_3^{21}) < A^{\text{max}}$	
$m_{\tilde{g}} = 500 \text{ GeV}$	QCD	4.7×10^6	4.7×10^6	1.6×10^6	9.7×10^4	2.1×10^3	380	88	88	37 ± 3
	99.9%	34%	6.1%	2.2%	18%	23%	100%	42%
	Top	6.9×10^3	6.8×10^3	2.4×10^3	840	50	13	0.56	0.56	0.14 ± 0.03
	99%	35%	35%	6.0%	26%	4.3%	100%	25%
$m_{\tilde{g}} = 550 \text{ GeV}$	Gluinos	8.3×10^4	5.5×10^4	5.9×10^3	2.6×10^3	310 (162)	110 (82)	69 (52)	69 (52)	$51 \pm 4 (41 \pm 4)$
	[4.1 pb]	...	66%	11%	44%	12 (14) %	36 (50) %	61 (64) %	100 (100) %	73 (78) %
	76%	12%	44%	13 (15) %	34 (47) %	60 (61) %	100 (100) %	$S/B = 1.4$
	76%	12%	44%	13 (15) %	34 (47) %	60 (61) %	100 (100) %	$S/B = 0.87$
$m_{\tilde{g}} = 600 \text{ GeV}$	QCD	4.7×10^6	4.7×10^6	1.6×10^6	9.7×10^4	2.1×10^3	380	88	53	23 ± 2
	99.9%	34%	6.1%	2.2%	18%	23%	61%	44%
	Top	6.9×10^3	6.8×10^3	2.4×10^3	840	50	13	0.56	0.25	0.080 ± 0.020
	99%	35%	35%	6.0%	26%	4.3%	45%	31%
$m_{\tilde{g}} = 650 \text{ GeV}$	Gluinos	2.4×10^4	2.0×10^4	2.9×10^3	1.3×10^3	170 (67)	53 (29)	33 (18)	26 (16)	$17 \pm 1 (12 \pm 1)$
	[1.2 pb]	...	84%	15%	44%	14 (14) %	31 (43) %	63 (60) %	78 (89) %	63 (76) %
	89%	18%	43%	13 (15) %	27 (37) %	67 (62) %	58 (77) %	$S/B = 0.71$
	89%	18%	43%	13 (15) %	27 (37) %	67 (62) %	58 (77) %	$S/B = 0.51$
$m_{\tilde{g}} = 700 \text{ GeV}$	QCD	4.7×10^6	4.7×10^6	1.6×10^6	9.7×10^4	2.1×10^3	380	88	35	21 ± 1
	99.9%	34%	6.1%	2.2%	18%	23%	40%	59%
	Top	6.9×10^3	6.8×10^3	2.4×10^3	840	50	13	0.56	0.11	0.049 ± 0.009
	99%	35%	35%	6.0%	26%	4.3%	20%	44%
$m_{\tilde{g}} = 750 \text{ GeV}$	Gluinos	1.3×10^4	1.2×10^4	2.1×10^3	910	120 (43)	33 (16)	22 (9.8)	13 (7.6)	$11 \pm 1 (6.8 \pm 0.7)$
	[0.65 pb]	...	89%	18%	43%	13 (15) %	27 (37) %	67 (62) %	58 (77) %	83 (90) %
	89%	18%	43%	13 (15) %	27 (37) %	67 (62) %	58 (77) %	$S/B = 0.51$
	89%	18%	43%	13 (15) %	27 (37) %	67 (62) %	58 (77) %	$S/B = 0.51$

TABLE I. (Continued).

Analysis	Generator level cuts	Trigger: $H_T > 850$ GeV, $p_T^{j1} > 250$ GeV	Two fat jets with $p_T > 600$ GeV	Common cuts				Optimized cuts		
				$\max(\tau_{32}) < 0.7$	$\max(\tau_{32}) < 0.5$	$\max(s_m) < 0.1$	$\min(h_{31}) > 0.2$	Fat jet $\min(p_T) > p_T^{\max}$	Axis contraction cut $\max(A_3^{21}) < A^{\max}$	
$m_{\tilde{g}} = 700$ GeV	QCD	4.7×10^6	4.7×10^6	1.6×10^3	9.7×10^4	2.1×10^3	380	88	22	11 ± 1
		...	99.9%	34%	6.1%	2.2%	18%	23%	25%	50%
	Top	6.9×10^3	6.8×10^3	2.4×10^3	840	50	13	0.56	0.070	0.018 ± 0.006
$m_{\tilde{g}} = 750$ GeV	Glueinos [0.38 pb]	7.6×10^3	7.1×10^3	1.6×10^3	700	99 (29)	26 (10)	16 (6.4)	7.4 (4.8)	$6.0 \pm 0.3 (4.2 \pm 0.2)$
		...	93%	23%	43%	14 (16) %	26 (36) %	64 (61) %	45 (75) %	82 (88) %
										$S/B = 0.55$
$m_{\tilde{g}} = 800$ GeV	QCD	4.7×10^6	4.7×10^6	1.6×10^6	9.7×10^4	2.1×10^3	380	88	15	7.6 ± 0.8
		...	99.9%	34%	6.1%	2.2%	18%	23%	17%	50%
	Top	6.9×10^3	6.8×10^3	2.4×10^3	840	50	13	0.56	0.029	0.0026 ± 0.002
$m_{\tilde{g}} = 850$ GeV	Glueinos [0.23 pb]	4.5×10^3	4.3×10^3	1.2×10^3	510	75 (18)	19 (6.5)	12 (4.0)	4.1 (2.6)	21%
		...	95%	28%	43%	15 (16) %	25 (35) %	67 (61) %	34 (66) %	3.1 (2.1)
										74 (82) %
$m_{\tilde{g}} = 850$ GeV	QCD	4.7×10^6	4.7×10^6	1.6×10^6	9.7×10^4	2.1×10^3	380	88	8.4	6.0 ± 0.5
		...	99.9%	34%	6.1%	2.2%	18%	23%	9.5%	72%
	Top	6.9×10^3	6.8×10^3	2.4×10^3	840	50	13	0.56	0.022	0.0029 ± 0.0009
$m_{\tilde{g}} = 850$ GeV	Glueinos [0.14 pb]	2.7×10^3	2.6×10^3	890	370	54 (11)	13 (3.5)	8.9 (2.3)	2.3 (1.3)	$1.8 \pm 0.2 (1.1 \pm 0.1)$
		...	97%	34%	42%	15 (17) %	24 (30) %	67 (68) %	25 (57) %	81 (84) %
										$S/B = 0.30$
$m_{\tilde{g}} = 850$ GeV	QCD	4.7×10^6	4.7×10^6	1.6×10^6	9.7×10^4	2.1×10^3	380	88	5.3	3.5 ± 0.4
		...	99.9%	34%	6.1%	2.2%	18%	23%	6.0%	66%
	Top	6.9×10^3	6.8×10^3	2.4×10^3	840	50	13	0.56	0.012	0.0013 ± 0.0005
$m_{\tilde{g}} = 850$ GeV	Glueinos [82 fb]	1.7×10^3	1.6×10^3	640	260	40 (6.7)	9.2 (2.1)	6.0 (1.3)	1.1 (0.62)	$0.79 \pm 0.08 (0.51 \pm 0.06)$
		...	98%	40%	41%	15 (16) %	23 (31) %	66 (61) %	18 (49) %	74 (82) %
										$S/B = 0.23$

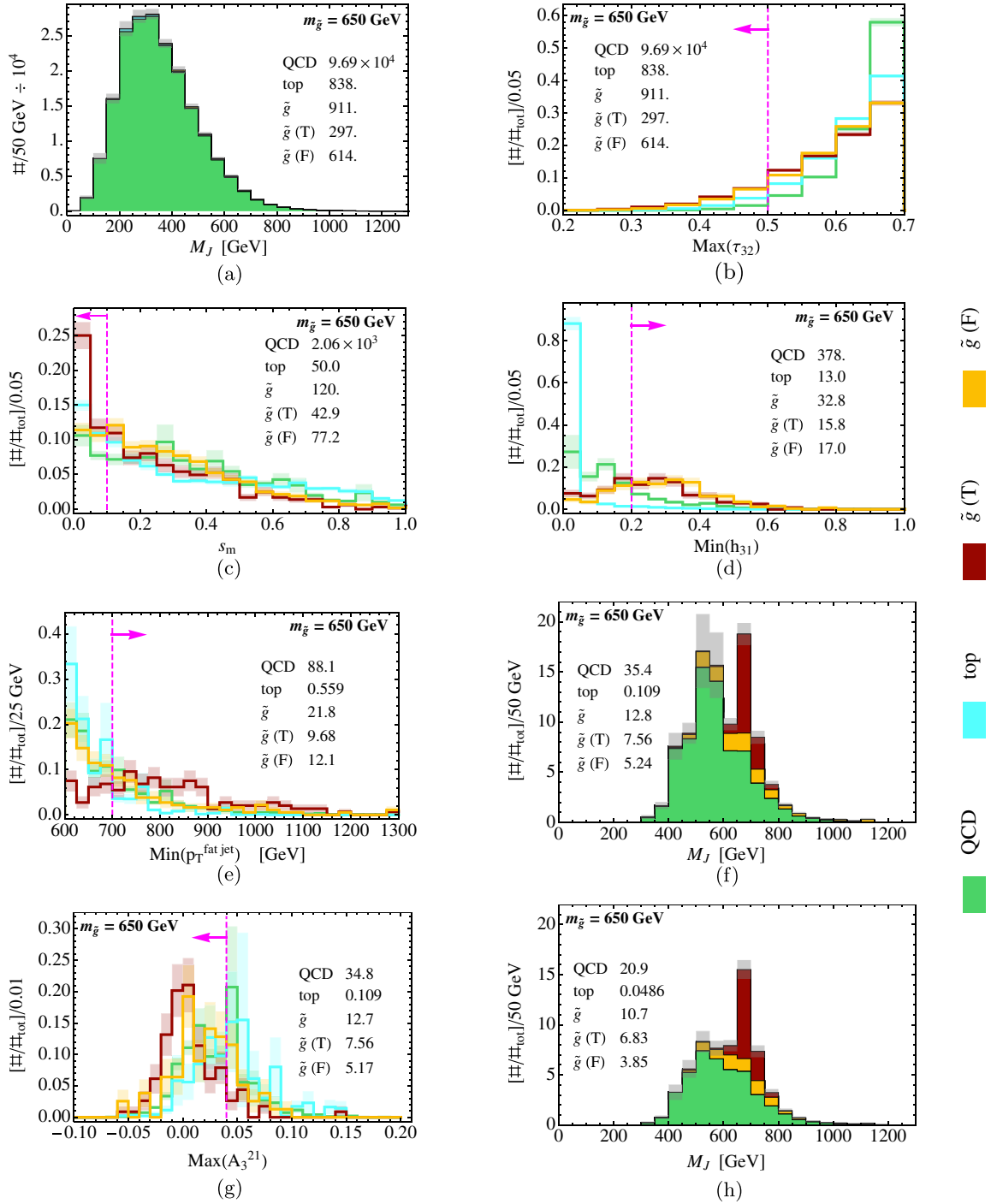


FIG. 7 (color online). Distributions for kinematic and substructure variables at various stages in our chain of cuts for $m_{\tilde{g}} = 650$ GeV. Unstacked distributions are separately normalized to unity, while stacked distributions show the actual number of events expected at LHC8 for 20 fb^{-1} . QCD ($t\bar{t}$) background is in green (cyan), while signal is in red (orange) for events whose fat-jet momenta are aligned within $\Delta R = 0.3$ of the gluino R -hadron momenta checked with MC truth. The shown distributions are (a) the invariant mass M_J of both hardest fat jets in each event (both are counted in the histogram), for events containing two fat jets with $p_T > 600$ GeV and $\tau_{32} < 0.7$, (b) the maximum N -subjettiness τ_{32} [Eq. (9)] of the two fat jets, with the same cuts applied, (c) the jet-mass symmetry s_m [Eq. (10)] after the cut $\max(\tau_{32}) < 0.5$, (d) the minimum subjet hierarchy h_{31} [Eq. (11)] of the two fat jets including the cut $s_m < 0.1$, (e) the minimum fat-jet momentum $p_T^{\text{fat jet}}$ of the two fat jets including the cut $\min(h_{31}) > 0.2$, (f) the resulting M_J , (g) the color variable axis contraction $\max(A_3^{21})$ [Eq. (8)], and (h) the final M_J including the cut $\max(A_3^{21}) < 0.03$. MC statistical error is shown in faint-colored bands with the color corresponding to the respective signal or background component. In M_J distributions, the gray error bands indicate the MC statistical error of the various components added in quadrature. A vertical magenta line indicates the applied cut, with the arrow pointing to the events that are kept. The inset shows the actual number of expected events at LHC8 with 20 fb^{-1} .

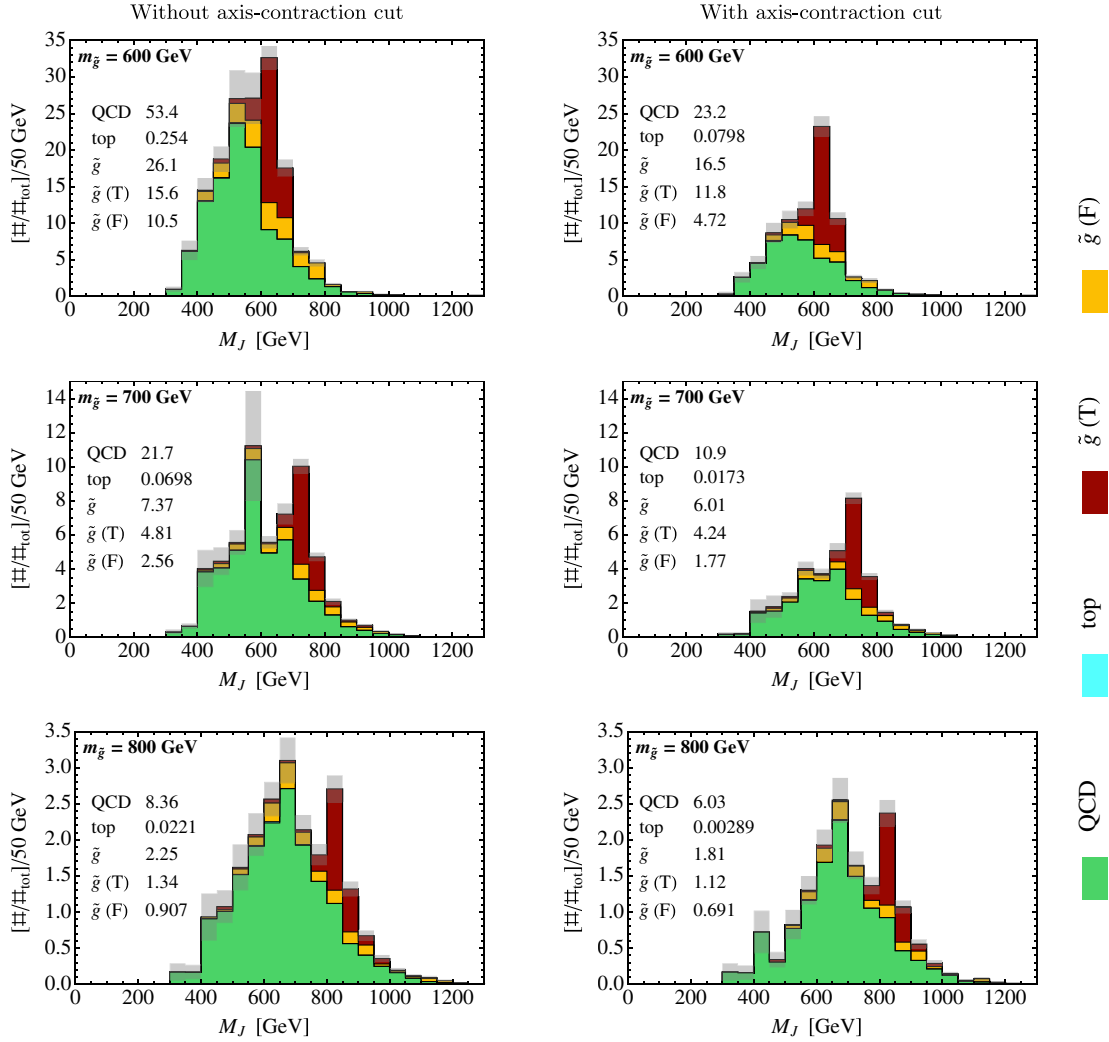


FIG. 8 (color online). From top to bottom, stacked fat-jet mass distributions of signal and background for $m_{\tilde{g}} = 600, 700, 800$ GeV, similar to Figs. 7(f) and 7(h). Plots on the left include the final *axis contraction* cut, while plots on the right do not.

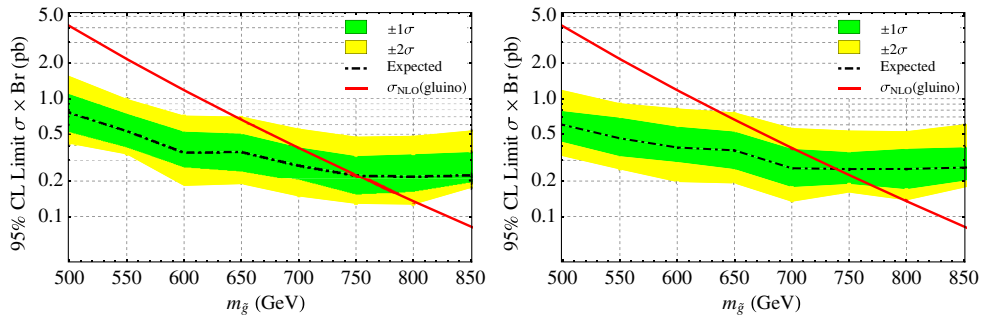


FIG. 9 (color online). Expected 95% confidence-level cross-section exclusion (and its $\pm 1\sigma$ and $\pm 2\sigma$ fluctuations) obtained with the CL_s method [100] for different gluino masses at LHC8 with 20 fb^{-1} of data. The left (right) exclusions were derived without (with) color-flow cuts on axis contraction [Eq. (8)], with a floating background normalization in all fits to pseudodata. In both cases the reach is ~ 750 GeV, though the color-flow cuts improve exclusion at lower masses and improve the resonance shape. Fixing the background normalization increases the reach by ~ 20 GeV (not shown). For 5 fb^{-1} , the reach is ~ 650 GeV (not shown).

TABLE II. Number of expected events at LHC8 with 20 fb^{-1} for signal and Sherpa background after each performed cut for the top-mass gluino analysis, and gluino pair production cross section [89] in square brackets. The generator-level cuts outlined in Sec. III are only applied to the background samples. Labels “max” and “min” in the cut variables apply to the two values obtained for the two hardest fat jets in each event. The percentage in each cell is the efficiency of that column’s individual cut step. For signal, the numbers in brackets refer to the “good” combinatorially correct signal defined in Sec. III. The cut chain after the second vertical double-line is illustrated in Fig. 10. Note that the efficiency of the “bad” signal for the color-flow cut is only 2.3%, compared to 12% for the “good” signal. See Table III for a comparison to other Monte Carlo generators.

Analysis	Generator level cuts	Trigger: 6		$p_T > 200 \text{ GeV}$	$p_T > 60 \text{ GeV}$	$\max(\tau_{32}) < 0.7$	$\max(\tau_{32}) < 0.5$	$\max(s_m) < 0.1$	$\min(l_{31}) > 0.5$	Radial pull cut	
		thin jets with	Two fat jets with							$\max(r_r) < -0.6$	b -veto
$m_{\tilde{g}} = 175 \text{ GeV}$	QCD	1.9×10^6	1.7×10^6	1.7×10^6	1.9×10^6	4.1×10^5	2.0×10^4	4.5×10^3	488	0.86	0.81 ± 0.24
	...	0.78%	88%	88%	0.78%	24%	4.8%	24%	11%	0.18%	94%
	Top	2.6×10^4	2.3×10^4	2.3×10^4	2.6×10^4	1.2×10^4	2.2×10^3	771	253	5.6	0.48 ± 0.25
	...	2.4%	88%	88%	2.4%	51%	19%	36%	33%	2.2%	8.6%
	Gluinos [1.9 nb]	1.1×10^6	9.5×10^5	9.5×10^5	1.1×10^6	3.3×10^5	2.0×10^4	6.1×10^3	1.5×10^3	128	120 ± 17
	...	2.9%	90%	90%	2.9%	34%	(6.6×10^3)	(3.2×10^3)	(1.0×10^3)	(118)	(111 ± 15)
							6.1%	30%	24%	8.7%	94%
							(9.9%)	(49%)	(31%)	(12%)	$S/B = 93$

interest. Therefore, while the signal efficiency is extremely low, a more relevant figure of merit is the $\sim 10\%$ final acceptance of that boosted fraction. The cuts are extremely effective at reducing background from $\sim 10^6$ events after the first fat-jet p_T cut to ~ 10 events after all other cuts, and we can reconstruct gluino resonances as heavy as $\sim 750 \text{ GeV}$. Finally, the last two cuts have been optimized for each $m_{\tilde{g}}$, mostly to target the boosted fraction ($p_T \gtrsim m_{\tilde{g}}$) and make the cut on axis contraction Eq. (8) more conservative for larger $m_{\tilde{g}}$ where there is less (boosted) signal available. Interestingly, for the conservative cuts necessary to retain high signal efficiency in the heavy gluino case, axis contraction turns out to be slightly better suited than radial pull, which is why we use the former in spite of the latter’s superior signal separation on the tail of the distribution.

Figure 7 illustrates the cut chain following the first fat-jet p_T and τ_{32} cuts, for $m_{\tilde{g}} = 650 \text{ GeV}$. As can be seen from the jet-mass distribution (a), the QCD background (green) completely dominates over both top background (cyan) and signal (split into “good” signal in red, where the hardest two fat jets are within $\Delta R = 0.3$ of the gluinos, and the rest in orange). Each unstacked histogram shows the unity-normalized distributions of a variable before cutting on it, for each signal and background component. The cut is indicated with a dashed magenta line, with events in the direction of the arrow kept for the next cut. Figs. 7(f) and 7(h) show the fat-jet mass distribution before and after a conservative cut on axis contraction, shown in 7(g), is applied. [For a distribution of axis contraction at the same stage of cuts as Figs. 7(a) and 7(b), see Fig. 4(b).] The color cut loses very little signal but reduces background by almost one half, and significantly improves the shape of the distribution, making the gluino peak stand out very clearly. This is also illustrated in Fig. 8 for a few other gluino masses. According to the MC comparison in Appendix B, the cuts on jet-substructure and color-flow observables in the heavy gluino analysis do not vary substantially among different generators, and the analysis is expected to be consistent within a small factor.

To estimate our analysis’s mass reach, we follow the maximum likelihood procedure for a shape analysis outlined in [99], but using the CL_s method [100]. This involves using our predictions for the final jet-mass distributions, e.g. Fig. 8, to produce large collections of pseudodata for the “background-only” and the “background + signal” hypotheses, where the signal is scaled by some overall signal strength μ . (We ignore the statistical uncertainties of the MC prediction, as for such low event rates the Poisson fluctuations dominate.) By comparing the distributions of the CL_s test statistic computed for those pseudodata sets one can arrive at an expected signal strength exclusion, as well as the exclusion’s $\pm 1\sigma$ and $\pm 2\sigma$ fluctuations. The background normalization was allowed to float in each of these fits individually, making this a true shape analysis.

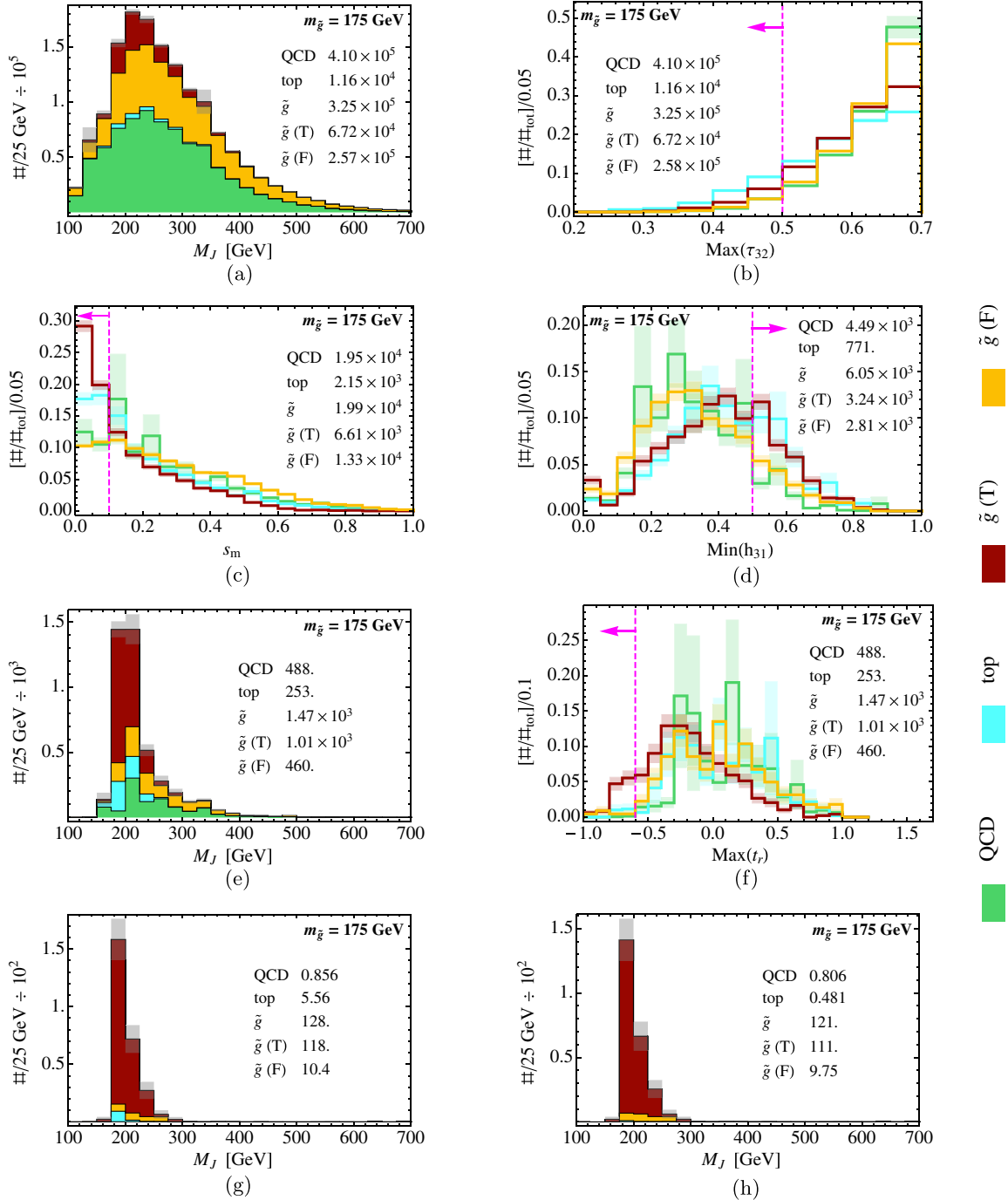


FIG. 10 (color online). Distributions for kinematic and substructure variables at various stages in our chain of cuts for $m_{\tilde{g}} = 175$ GeV. Unstacked distributions are separately normalized to unity, while stacked distributions show the actual number of events expected at LHC8 for 20 fb^{-1} . QCD ($t\bar{t}$) background is in green (cyan), while signal is in red (orange) for events whose fat-jet momenta are aligned within $\Delta R = 0.3$ of the gluino R -hadron momenta checked with MC truth. The shown distributions are (a) the invariant mass M_J of both hardest fat jets in each event, for events passing the six-jet (60 GeV) trigger and containing two fat jets with $p_T > 200$ GeV and $\tau_{32} < 0.7$, (b) the maximum N -subjettiness τ_{32} [Eq. (9)] of the two fat jets, with the same cuts applied, (c) the jet-mass symmetry s_m [Eq. (10)] after also $\max(\tau_{32}) < 0.5$, (d) the minimum subjet hierarchy h_{31} [Eq. (11)] of the two fat jets including also $s_m < 0.1$, (e) the resulting M_J distribution, (f) the color variable $radial\ pull\ \max(t_r)$ [Eq. (2)], (g) the M_J distribution after the radial pull cut, and (h) the same distribution after a b -veto. MC statistical error is shown in faint-colored bands with the color corresponding to the respective signal or background component. In M_J distributions, the gray error bands indicate the MC statistical error of the various components added in quadrature. A vertical magenta line indicates the applied cut, with the arrow pointing to the events that are kept. The inset shows the actual number of expected events at LHC8 with 20 fb^{-1} for each signal and background component. For a comparison of QCD backgrounds for jet-substructure observables from other MC programs, see Fig. 12.

The resulting expected cross-section exclusions are compared to the gluino production cross section at LHC8 with 20 fb^{-1} in Fig. 9, both with and without the axis-contraction cut. The expected reach is $\sim 750 \text{ GeV}$ and could be as high as 800 GeV , surprisingly high for a fully boosted search. With 5 fb^{-1} of LHC8 data the reach is $m_{\tilde{g}} \sim 650 \text{ GeV}$, higher than the LHC7 CMS search [32] and comparable to the ATLAS *resolved* search [33], which was a pure counting experiment. Since the boosted analysis has $S/B \sim 1$ and is predominantly limited by statistics, more data should lead to better mass exclusion using the boosted analysis techniques discussed here.

Due to the small number of events surviving all the cuts, our estimate of the mass reach is not actually increased by the color-flow cuts, though the excluded cross section is increased for small $m_{\tilde{g}}$, where more events survive all cuts. Furthermore, the color-flow cuts do decrease the background at little cost to the signal and may be useful to control systematic uncertainties on the background.

Exclusion could be improved by studying the fat-jet mass distribution in control samples where no signal events are expected; a similar procedure was applied to the three-jet-mass distribution by CMS [31,32], effectively fixing the background normalization. This would increase our mass reach by $\sim 20 \text{ GeV}$, the small improvement being indicative that our simple shape analysis already does well in fixing the background normalization.

D. Results for $m_{\tilde{g}} \sim m_t$

While the recent ATLAS search for RPV gluinos excluded the mass range $m_{\tilde{g}} \sim 140\text{--}200 \text{ GeV}$ [33], we still consider gluinos in this mass window as they provide a large sample of highly boosted signal events with which we can demonstrate the full discriminating power of our color-flow variables. Furthermore, we show that a dramatically higher signal purity than in [33] can be achieved, which translates to a correspondingly higher cross-section exclusion.

We find that the trigger requiring six jets with $p_T > 60 \text{ GeV}$ yields the highest signal efficiency and tends to give boosted gluinos. Due to the abundance of signal we can cut hard on the substructure and color-flow variables. The cuts are outlined in Table II and illustrated in Fig. 10. While axis contraction was better suited for the soft cuts on color flow required by low signal numbers in the heavy gluino analysis, radial pull is more effective when we have enough signal to cut more aggressively. The result is an extremely powerful color-flow cut that keeps 12% of the combinatorially correct signal (of events surviving the previous cut step) but only 2% of combinatorially incorrect signal or $t\bar{t}$ background, and only 0.2% of Sherpa QCD background. The resulting mass distribution Fig. 10(g) is very signal-dominated and displays a clean resonance at 175 GeV [101]. Applying a b -veto (assuming 70% tagging efficiency for b quarks and a 1% light-jet mistag rate [102])

yields Fig. 10(h), with a resonance peak of ~ 100 gluino events and only about 1 background event in total. For other MC programs, as described in Appendix B, $S/B \sim 10$, which is smaller than Sherpa but still shows the discriminatory power of color-flow observables.

It is instructive to compare the radial-pull distribution after the kinematic substructure cuts Fig. 10(f) to Fig. 4 (left), which shows the same distribution before many of the kinematic and substructure cuts are applied. The similarity in the distributions (accounting for increased statistical uncertainty of the MC predictions after more cuts) suggests that radial pull is relatively uncorrelated with other substructure variables, and provides genuinely new discriminating power that cannot be accessed by simply making another cut more aggressive.

The counting experiment performed by ATLAS at LHC7 with 5 fb^{-1} has excluded top-mass gluinos with $\text{Br}(\tilde{g} \rightarrow qq\bar{q}) \lesssim 0.25$. Treating our nearly background-free sample in a similar way would exclude $\text{Br}(\tilde{g} \rightarrow qq\bar{q}) \lesssim 0.15$ at LHC8 with the same luminosity, decreasing to $\text{Br}(\tilde{g} \rightarrow qq\bar{q}) \lesssim 0.05$ at 20 fb^{-1} . Apart from excluding other possible RPV spectra, this can be relevant for different color representations decaying to three jets, which have different production cross sections. The shape analysis serves as a useful check on any discovered signal, since a resonance is clearly constructed. Furthermore, if a three-jet resonance in this mass range were to be found, one could use the alternative version of radial pull, Eq. (3), to distinguish a hadronized color octet from some other state, as illustrated in Fig. 5.

V. CONCLUSIONS

Jet-substructure variables are excellent tools in searches for boosted resonances. We have shown that such techniques can also be useful when applied to the boosted fraction of very heavy particles, such as RPV gluinos. Although this has recently been demonstrated in a LHC search for top-mass gluinos [33], we propose such search strategies also for heavier masses at LHC8. We use existing variables, such as N -subjettiness, jet-mass symmetry, and subjet hierarchy, as well as our new color-flow variables, radial pull and axis contraction, to isolate a high-purity signal sample. Our suggested analysis strategy with aggressive cuts on these variables can be competitive with existing search strategies [17,30–33], while providing nearly independent systematic uncertainties. With 20 fb^{-1} (5 fb^{-1}) at LHC8, it should be possible to probe boosted gluinolike resonances around the top mass with $\sigma \times \text{Br} \lesssim 0.3(0.1) \text{ nb}$, corresponding to $\sim 0.05(0.15)$ gluino branching fractions to three jets. Heavy gluinos can be excluded for $m_{\tilde{g}} \lesssim 750(650) \text{ GeV}$.

Additionally, we have shown that radial pull and axis contraction [103] appear to be powerful variables for distinguishing processes with different color flows, particularly for highly boosted events. We anticipate that they

have applications in studies of other boosted colored objects, and may prove useful in distinguishing different models in the case that a boosted resonance is discovered.

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APPENDIX A: REVIEW OF RPV GLUINOS

In addition to the superpotential terms of the minimal supersymmetric Standard Model, Standard Model gauge invariance allows terms that violate baryon (B) and lepton (L) number. B and L violation are strongly constrained by limits on proton decay and neutrino masses [20]. Often, R -parity is imposed to remove these dangerous terms. However, if lepton number is conserved, the B -violating RPV term

$$W_{\text{RPV}} = \frac{1}{2} \lambda''_{ijk} \bar{u}_i \bar{d}_j \bar{d}_k, \quad (\text{A1})$$

is allowed and only loosely constrained. Many theories accommodate RPV [104–108].

Models with $\lambda'' \neq 0$ can be challenging to observe, since the hadronic decay of supersymmetric particles suffers large QCD backgrounds. For RPV operators with one or more heavy-flavor quarks, multiple b -tags, leptons, and missing energy can help distinguish the signal from

backgrounds [34,36,37]. If the quarks are all light-flavored, however, no easy distinguishing property exists.

The couplings λ''_{ijk} are subject to various constraints for different $\{ijk\}$. The dominant constraint on λ''_{112} comes from nucleon-antinucleon oscillation through an intermediate strange squark and gluino. The precise bound depends on the off-diagonal entries of the unknown left-right strange squark mixing matrix. If there is no mixing suppression, the bound is $|\lambda''_{112}| \lesssim 10^{-6}$ for $m_{\tilde{g}} \sim \text{TeV}$ and $m_{\tilde{s}_R} \sim 5 \text{ TeV}$ [20]. The bound is greatly relaxed if the mixing is suppressed.

The coupling λ''_{121} induces strangeness-violating nucleon-antinucleon oscillation, leading to double nucleon decay processes such as $pp \rightarrow K^+ K^+$ and $nn \rightarrow K^0 K^0$. Bounds extracted from this process are highly sensitive to hadronic and nuclear matrix elements, and range from $|\lambda''_{121}| \lesssim 10^{-7}$ to 1 [106], with [20] quoting a value of $|\lambda''_{121}| \lesssim 10^{-6}$ for $m_{\tilde{s}_R} = m_{\tilde{g}} = 300 \text{ GeV}$.

The couplings λ''_{212} and λ''_{221} are even less constrained. Bounds can be imposed by requiring that the RPV couplings remain perturbative up to the GUT scale, giving $|\lambda''_{212}|, |\lambda''_{221}| \lesssim 1.25$.

We focus on models with light gluinos, which are pair-produced. Each light gluino decays through an off-shell squark to three jets. Models exist in which the gauginos are naturally lighter than the scalars, including “split SUSY” [109,110], leading to the possibility of a gluino LSP. The collider phenomenology depends on the gluino lifetime. Gluinos decaying through an off-shell squark and RPV couplings *always hadronize before decaying* and, depending on the magnitude of λ'' , may also give rise to displaced vertices. To see this, we estimate the gluino width in the limit $m_{\tilde{q}} \gg m_{\tilde{g}}$:

$$\Gamma_{\tilde{g}} \sim \frac{\alpha_s |\lambda''|^2 m_{\tilde{g}}^5}{384 \pi^2 m_{\tilde{q}}^4}. \quad (\text{A2})$$

Using a conservative value $|\lambda''| = 10^{-6}$, then $\Gamma_{\tilde{g}} \sim 10^{-17} \text{ GeV}$ with $m_{\tilde{g}} = 1 \text{ TeV}$ and $m_{\tilde{q}} = 5 \text{ TeV}$, while $\Gamma_{\tilde{g}} \sim 10^{-5} \text{ GeV}$ with the most relaxed bound $|\lambda''| = 1$. Since these are both well below Λ_{QCD} , the gluino forms an R -hadron prior to decay whenever $m_{\tilde{q}} > m_{\tilde{g}}$, even when the RPV couplings are $\mathcal{O}(1)$. We consider only prompt decays after hadronization; for long-lived and stopped gluinos, see e.g. [111,112].

APPENDIX B: MONTE CARLO COMPARISON

For the results presented in the main body of the paper, we have exclusively used Sherpa 1.4.0 to generate background event samples. Since our analysis includes a study of color-flow observables that may be sensitive to modeling of the parton shower and hadronization of colored objects, it is important to check how distributions of observables vary across Monte Carlo programs. Such a

TABLE III. Comparison of expected events and cut efficiencies at LHC8 with 20 fb^{-1} for signal and background for different event generators at each stage of the cuts from Table II. The number of background events after preselection cuts are normalized to the corresponding Sherpa values to facilitate comparison. The first row for each sample shows the expected number of events at LHC8 with 20 fb^{-1} , while the second row gives the cut efficiency. In the final column, the error bars are derived from the statistical uncertainty of the MC sample.

Generator		Preselection: 6 thin jets with $p_T > 60 \text{ GeV}$ and 2 fat jets with $p_T > 200 \text{ GeV}$	$\max(\tau_{32}) < 0.5$	$\max(s_m) < 0.1$	$\min(h_{31}) > 0.5$	Radial pull cut $\max(t_r) < -0.6$	b -veto
Gluino	Pythia 8	9.5×10^5	3.3×10^5	6.1×10^3	1.5×10^3	128	120 ± 17
		...	34%	30%	24%	8.7%	94%
QCD	Sherpa	1.7×10^6	2.0×10^4	4.5×10^3	488	0.86	0.81 ± 0.24
		...	1.2%	24%	11%	0.18%	94%
	powheg + Pythia 6	1.7×10^6 (normalized)	1.7×10^4 0.98%	3.7×10^3 22%	696 19%	8.4 1.2%	$7.9^{+20}_{-6.4}$ 94%
	powheg + Pythia 8	1.7×10^6 (normalized)	2.0×10^4 1.2%	4.5×10^3 22%	808 18%	8.9 1.1%	$8.8^{+12}_{-5.5}$ 94%
Top	Sherpa	2.3×10^4	2.2×10^3	771	253	5.6	0.48 ± 0.25
		...	9.7%	36%	33%	2.2%	8.6%
	Madgraph 5 + Pythia 6	2.3×10^4 (normalized)	2.0×10^3 8.9%	900 44%	270 30%	14 5.0%	1.2 ± 0.4 8.6%
	Madgraph 5 + Pythia 8	2.3×10^4 (normalized)	1.2×10^3 5.3%	451 37%	113 25%	5.1 4.5%	0.44 ± 0.11 8.6%

comparison also provides useful guidance for future substructure studies. We focus on QCD backgrounds, since these are dominant after all cuts, but also check $t\bar{t}$ distributions. We generated multijet backgrounds at parton-level with the Dijet package of POWHEG 1.0 [113–115], and the events were showered with the AUET2B tune [116,117] of Pythia 6.4.27 [84]. POWHEG is an NLO generator, and the Dijet package generates three-parton final states. We chose the combination of powheg + Pythia 6 because ATLAS found this provided a better detector-level description of the internal structure of high- p_T jets than Pythia 6 alone [33]. As a further check, we also showered the parton-level events with the default tune of Pythia 8.1.65 [87]. Matched top backgrounds were generated in Madgraph 5.1.5 [118] and showered in Pythia 6 and Pythia 8. Since the hadronization of gluinos and their subsequent RPV decay is only implemented in Pythia 8, we are unable to check the color-flow distributions of the signal against another MC program.

We compare distributions of the radial pull, axis contraction, τ_{32} , s_m , and h_{31} variables defined in the text at various stages of the cut chain. We use the cuts from our top-mass gluino analysis (Table II), as some of these cuts were sensitive to the tails of certain observables such as radial pull. We summarize our results in Table III, in which we show the cut efficiencies for QCD and top backgrounds generated by each MC program. In the table, we normalize the number of events after preliminary cuts to the Sherpa value, both because that event sample was normalized to data and to allow a direct comparison of each cut's efficiency between MC generators. Furthermore, the fact that Sherpa generates matched samples with up to six partons

suggests that it may give the best estimate of the number of events following the trigger and fat-jet cuts.

Table III shows that, overall, the MC programs are consistent in their modeling of jet substructure, although the tails of the subjet hierarchy and radial pull distributions can differ somewhat between programs; the total efficiency after all cuts can vary by about a factor of 10 for QCD. In spite of the more pessimistic estimates from powheg + Pythia 6 and powheg + Pythia 8, however, there remains a gain in S/B of $\mathcal{O}(10)$ from the radial pull cut, and $S/B \gtrsim 10$ for the mean number of events after all cuts for QCD. Furthermore, an optimization of the cut with the new MC program allows for some recovery of the gain in S/B . For top backgrounds, the agreement is better, with a gain of ~ 2 for the radial pull cut, and with the number of events passing all cuts agreeing within a factor of 2–3. All MC generators studied thus show that radial pull, in conjunction with other jet substructure cuts, is extremely effective at isolating a pure signal sample for top-mass gluinos, although the degree of improvement differs between programs. Experimental study is needed to determine the precise gain anticipated from such cuts.

We now provide details of the MC comparison for the QCD background. The Dijet package of POWHEG is a NLO parton-level event generator. It generates dijet events at leading (Born) order, and then includes NLO corrections to generate three-parton events. Higher multiplicity jets are generated by the subsequent parton shower, making the efficiency of passing the six-jet trigger extremely low. The only cut that can be placed on QCD events is the p_T of the dijet system prior to NLO emission (called the Born p_T)

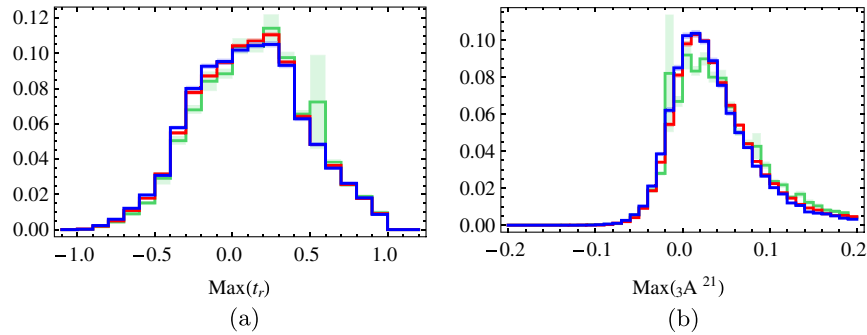


FIG. 11 (color online). Distributions of QCD samples from powheg + Pythia 6 (red), powheg + Pythia 8 (blue), and Sherpa (green) after requiring six thin jets with $p_T > 60$ GeV and two fat jets with $p_T > 200$ GeV. (a) Radial pull $\text{Max}(t_r)$. (b) Axis contraction $\text{Max}(A_3^2)$.

[119]. This is in contrast with Sherpa, where six-parton final states could be generated directly with p_T cuts on each parton. To choose the value of the POWHEG Born p_T cut, we use the fact that parton emissions beyond LO are typically softer than the original hard scale; therefore, if applying a fat dijet cut of $p_T > 200$ GeV to the showered sample (as in our top-mass gluino analysis), the corresponding cut on the Born p_T should be similar but somewhat softer than this [120]. We use the Born cut $p_T > 140$ GeV, and we confirmed its validity by checking that the cross-section passing trigger and fat-jet cuts are the same for other generator-level cuts up to 140 GeV. With this cut, only 0.05% of MC events pass the preliminary six-jet trigger and fat-jet cuts; even though we generated 500 million

QCD events, we still suffer from small statistics for aggressive values of our substructure cuts, and only 1–2 such events pass the final radial pull cut from Sec. IV D. The results for powheg + Pythia therefore have a much higher uncertainty than for Sherpa.

In Fig. 11, we compare the shapes of radial pull and axis contraction distributions for QCD samples generated with each MC program. The distributions are shown after the preliminary cuts of the top-mass gluino analysis (six-jet trigger and two fat jets $p_T > 200$ GeV), and the shapes are similar for all generators. We also plot the distributions in Fig. 12 of each substructure variable before the cut on this variable as listed in Table II. These distributions are largely consistent across different

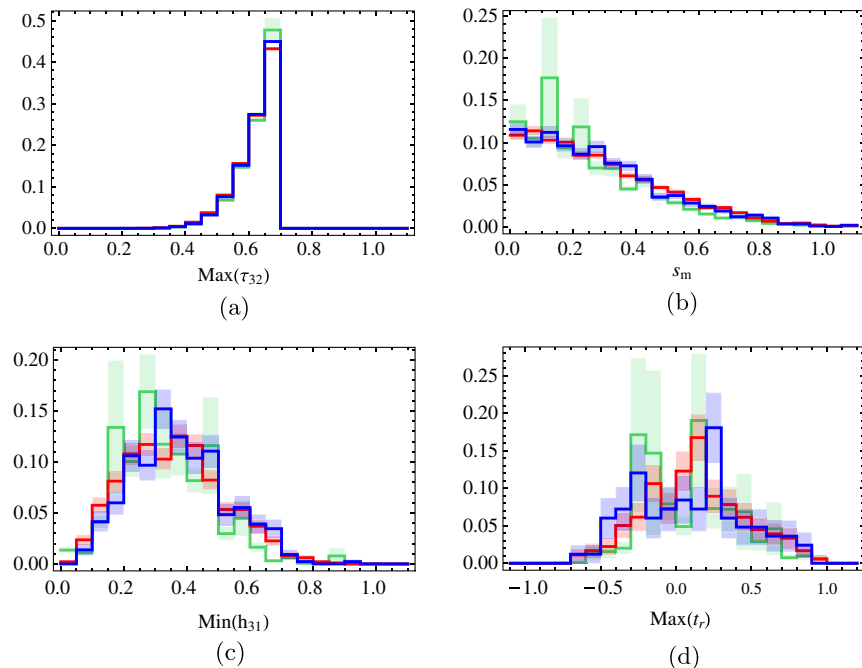


FIG. 12 (color online). Distributions of QCD samples from powheg + Pythia 6 (red), powheg + Pythia 8 (blue), and Sherpa (green), following the cuts in Table II. (a) Maximum N -subjettiness (τ_{32}) distribution prior to cut $\tau_{32} < 0.5$. (b) Jet mass symmetry (s_m) distribution prior to cut $s_m < 0.2$. (c) Subjet hierarchy (h_{31}) distribution prior to cut $h_{31} > 0.5$. (d) Radial pull [$\text{Max}(t_r)$] distribution before the cut $\text{Max}(t_r) < -0.6$.

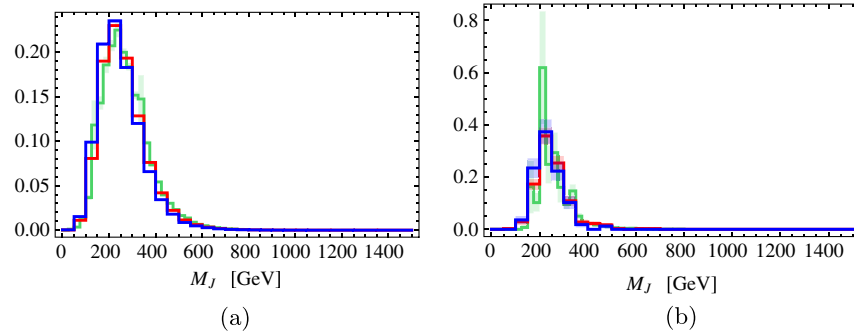


FIG. 13 (color online). Fat-jet mass distributions of QCD samples from powheg + Pythia 6 (red), powheg + Pythia 8 (blue), and Sherpa (green). (a) Jet mass distribution after requiring six thin jets with $p_T > 60$ GeV and two fat jets with $p_T > 200$ GeV. (b) Jet mass distribution after all cuts in Table II prior to the cut on t_r .

MC programs; the least-well-modeled variables are the subjet hierarchy h_{31} and the radial pull $\text{Max}(t_r)$, where we find that the cut efficiency of $\min(h_{31}) > 0.5$ varies by at most a factor of 2 between MC descriptions, while the cuts on $\text{Max}(t_r)$ have efficiencies in the Pythia samples that are approximately six times higher than the Sherpa prediction. Some of this variation, especially for the h_{31} cut, may be due to Sherpa generating up to six jets at the matrix-element level while POWHEG must rely on the shower. This suggests that Sherpa's predictions might be more trustworthy. At any rate, the statistical uncertainties are very large because only one or two MC events pass cuts from the Pythia samples.

For completeness, we also plot the fat-jet mass distributions before and after jet-substructure cuts in Fig. 13.

Figures 11 and 12 therefore demonstrate that our substructure analysis is generally robust across different MC event generators for QCD backgrounds. The most sensitive observables are the tails of the radial pull and axis contraction variables, which can change background cut efficiencies by up to a factor of ~ 10 , but S/B is so large that the results are not invalidated. Together with the already outlined comparison of top backgrounds, which are anyway subdominant to QCD after a b -veto, this provides evidence that the Sherpa analysis is reliable.

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