

Quark and leptonic mixing patterns from the breakdown of a common discrete flavor symmetryMartin Holthausen^{*} and Kher Sham Lim[†]*Max-Planck-Institut für Kernphysik, Saupfercheckweg 1, 69117 Heidelberg, Germany*

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Assuming the Majorana nature of neutrinos, we recently performed a scan of leptonic mixing patterns derived from finite discrete groups of order less than 1536. Here we show that the 3 groups identified there as giving predictions close to experiment also contain another class of Abelian subgroups that predict an interesting leading-order quark mixing pattern where only the Cabibbo angle is generated at leading order. We further broaden our study by assuming that neutrinos are Dirac particles and find 4 groups of order up to 200 that can predict acceptable quark and leptonic mixing angles. Since large flavor groups allow for a multitude of leading-order mixing patterns, we define a measure that is suitable to compare the predictivity of a given flavor group, taking this fact into account. We give the result of this measure for a wide range of discrete flavor groups and identify the group $(Z_{18} \times Z_6) \rtimes S_3$ as being most predictive in the sense of this measure. We further discuss alternative measures and their implications.

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I. INTRODUCTION

With the discovery of a Higgs-like resonance at 126 GeV, the Standard Model appears to be complete, and from a purely phenomenological standpoint, no new physics seems to be required up to a very large scale, e.g., up to the Planck scale. While the description of gauge interactions in the Standard Model is quite economical (requiring only 3 parameters), the fact that there are three generations of fermions is not explained in the Standard Model and necessitates the introduction of many additional parameters into the model. Furthermore, these flavor parameters show certain structures that may suggest a deeper explanation: the quark sector exhibits a strongly hierarchical mass spectrum and small mixing angles, while the lepton sector is less hierarchical and has larger mixing angles.

There have been many attempts in the literature to try and explain these structures using symmetries that act on the different families. Here we focus on models with non-Abelian discrete flavor symmetries, which are known to be able to describe the large mixing angles of the lepton sector. The general setup of such models is as follows: a discrete flavor group is broken to different subgroups in the charged lepton and neutrino sectors, and the mismatch between the two subgroups allows one to predict the Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix (up to permutations of rows and columns of the matrix) [1–6]. It should be noted that these predictions will in general be slightly perturbed by the inclusion of higher-dimensional operators and renormalization group running of parameters, which we will subsume under next-to-leading order (NLO) effects.

Recently, we performed a comprehensive scan of leptonic mixing parameters that can be obtained from remnant

symmetries which form a group of size smaller than 1536 [7]. We identified the groups $\Delta(6 \cdot 10^2)$, $(Z_{18} \times Z_6) \rtimes S_3$, and $\Delta(6 \cdot 16^2)$ as being the only ones that may reproduce the experimentally favored mixing angles. All three groups are either of the form $\Delta(6 \cdot n^2)$ [8] or a subgroup of such a group (see Ref. [9] for a recent study of these symmetry groups).

In this work, we study the question if also the quark mixing angles may be obtained to leading order (LO) as a result of mismatched remnant symmetries of non-Abelian discrete groups. Since the Cabibbo angle θ_c is roughly of similar size as the reactor mixing angle

$$\theta_{13} \simeq \frac{\theta_c}{\sqrt{2}} \simeq 9.2^\circ,$$

it would be interesting to obtain patterns in which all leptonic angles plus the Cabibbo angle are produced at leading order as a result of remnant symmetries. Since the other angles are smaller, it is prudent to assume them to be a result of NLO corrections. It turns out that if one assigns the left-handed quarks to the same 3-dimensional representations (of the same groups) that were found to be interesting for leptonic mixing, such an interesting quark mixing pattern may be derived. Especially, the group $(Z_{18} \times Z_6) \rtimes S_3$ seems particularly promising, giving a Cabibbo angle of $\sin \theta_c = 0.259$. In this setup the origin of the different patterns for the leptonic and quark sectors thus stems from the different remnant symmetries to which the original group is broken in the respective sectors, as is depicted in Fig. 1. The subgroups that give rise to an acceptable LO Cabibbo angle can be systematically parametrized, and we discuss some group theoretical aspects of the remnant group structure. We then broaden our discussion by giving up on the assumption that neutrinos should be Majorana particles, for which case we perform a scan of finite discrete groups up to the order of 200 with the help of the computer algebra program GAP [10–13].

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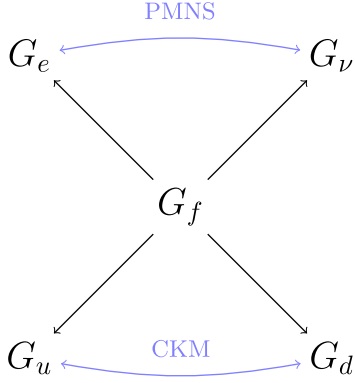


FIG. 1 (color online). Sketch of the setup considered in this paper. Different subgroups of the flavor group G_f emerge as remnant symmetries of the mixing matrices. The mismatch of these groups creates quark and lepton mixing.

In the final section of the paper, we discuss the usefulness of large flavor groups more generally. It should be clear that if one considers a very large flavor group, virtually any mixing pattern may be realized. If one would break the group $SU(3)$ down to discrete remnant groups, for example, the requirement of a breakdown to subgroups loses all predictivity. The question one might now ask oneself is the following: which setup is more predictive, the case of a small group (such as A_4 [14–20] or S_4 [1–3]) with large NLO corrections or a larger group with smaller NLO corrections. Under the assumption that NLO corrections are randomly drawn (which seems fine for many models), statistical arguments (*à la* anarchy) lead us to propose a measure of the predictive power of a chosen group.

II. PMNS AND CKM MATRICES FROM REMNANT SYMMETRIES

Here we briefly review the setup we are using to obtain the mixing matrices from remnant symmetries.

Lepton mixing can be obtained from a flavor symmetry group via its breaking to remnant symmetries in the charged lepton and neutrino masses, respectively. The Cabibbo-Kobayashi-Maskawa (CKM) matrix can be derived in an analogous way using this method. The only difference is that usually different remnant symmetries are left of the up- and down-type quarks mass matrices. This is usually achieved in concrete models via spontaneous symmetry breaking of flavon fields in some vacuum alignment configurations. As in Ref. [7], we do not consider the breaking mechanisms or models to achieve such a vacuum configuration, but rather we want to find discrete symmetry groups that contain the residual symmetry groups that can give rise to LO prediction of PMNS and CKM matrices.

In this section we first assume that neutrinos are Majorana particles. The PMNS and CKM matrices are defined as

$$U_{\text{PMNS}} = V_e^\dagger V_\nu, \quad U_{\text{CKM}} = V_d^\dagger V_u, \quad (1)$$

where the unitary matrices V_s and V_ν diagonalize the mass matrices

$$V_s^T M_s M_s^\dagger V_s^* = \text{diag}(m_I^2, m_{\text{II}}^2, m_{\text{III}}^2) \quad (2)$$

and

$$V_\nu^T M_\nu V_\nu = \text{diag}(m_1, m_2, m_3). \quad (3)$$

We denote the symbol $s \in \{e, d, u\}$ and the numeral $I \in \{e, d, u\}$, $\text{II} \in \{\mu, s, c\}$, and $\text{III} \in \{\tau, b, u\}$. The mass matrices are defined as $\mathcal{L} = e^T M_e e^c + \frac{1}{2} \nu^T M_\nu \nu + d^T M_d d^c + u^T M_u u^c$. We assume that there is a discrete symmetry group G_f under which the left-handed lepton doublets $L = (\nu, e)$ transform under a faithful unitary 3-dimensional representation $\rho: G_f \rightarrow GL(3, \mathbb{C})$:

$$L \rightarrow \rho(g)L, \quad g \in G_f. \quad (4)$$

Analogously we assume that there is a discrete symmetry group G_Q under which the left-handed quark doublets $Q = (u, d)$ transforms:

$$Q \rightarrow \rho(g)Q, \quad g \in G_Q. \quad (5)$$

Since all the quark and lepton masses are different, these flavor symmetries have to be broken into 2 sets of different subgroups, i.e., $\{G_e, G_\nu\}$ for the leptonic sector and $\{G_d, G_u\}$ for the quark sector. In general the generators of G_d and G_u only generate the group G_Q , which is a proper subgroup of G_f ; hence, we only consider a direct breaking of G_f into residual symmetries G_d and G_u as we would like to find a common discrete group G_f that can simultaneously predict the LO PMNS and CKM matrix.¹ Within a set of the residual subgroups $\{G_e, G_\nu\}$, the intersection between the subgroups in the set is trivial as we would like to predict 3 different mixing angles in the leptonic sector. This condition is, however, relaxed for $\{G_d, G_u\}$ as we do not find any groups that predict 3 different quark mixing angles at LO. Subgroups from different set, e.g., G_e and G_d , can have nontrivial intersection. The mass matrix for each sector exhibits a residual symmetry, satisfying

$$\rho(g_s)^T M_s M_s^\dagger \rho(g_s)^* = M_s M_s^\dagger, \quad g_s \in G_s \quad (6)$$

and

$$\rho(g_\nu)^T M_\nu \rho(g_\nu) = M_\nu, \quad g_\nu \in G_\nu. \quad (7)$$

The residual subgroups $\{G_e, G_\nu\}$ and $\{G_d, G_u\}$ must be Abelian due to the experimental fact that all the masses of quarks and leptons are distinguishable. The 3-dimensional irreducible representation of the residual subgroups cannot be decomposed into three inequivalent 1-dimensional representations if they possess a non-Abelian character.² For Majorana neutrinos the residual subgroup is given by the Klein group $Z_2 \times Z_2$, while G_s

¹This possibility is also briefly discussed in Ref. [5].

²See Ref. [21] for the case where neutrinos masses are degenerate.

can be any Abelian subgroups of G_f with order $n \geq 3$. Once the generators of all the subgroups are specified in a certain representation, the mixing pattern of quark sector and leptonic sector can be determined via the unitary matrices Ω_s and Ω_ν satisfying

$$\Omega_{s,\nu}^\dagger \rho(g_{s,\nu}) \Omega_{s,\nu} = \rho(g_{s,\nu})_{\text{diag}}. \quad (8)$$

The unitary matrices Ω_s and Ω_ν are determined up to permutations of columns and also a diagonal phase matrix. The PMNS and CKM matrices are then determined by

$$U_{\text{PMNS}} = \Omega_e^\dagger \Omega_\nu, \quad U_{\text{CKM}} = \Omega_d^\dagger \Omega_u, \quad (9)$$

which are unique up to the permutations of rows and columns. The Dirac CP phases of the PMNS and CKM matrices can also be determined from this method.

III. MIXING PATTERN FROM COMMON DISCRETE SYMMETRIES

As shown in Ref. [7], a scan of finite discrete groups with order less than 1536 yields only 3 interesting groups that give LO leptonic mixing patterns which lie within 3-sigma of the current best fit. These 3 groups, namely, $\Delta(6 \cdot 10^2)$, $(Z_{18} \times Z_6) \rtimes S_3$, and $\Delta(6 \cdot 16^2)$, provide a good starting point to search for residual groups that can yield an acceptable CKM matrix at LO. By searching the Abelian subgroups contained in these 3 groups, we obtain the CKM matrix at LO in the following form:

$$U_{\text{CKM}} = \begin{pmatrix} \cos \tilde{\theta} & \sin \tilde{\theta} & 0 \\ -\sin \tilde{\theta} & \cos \tilde{\theta} & 0 \\ 0 & 0 & 1 \end{pmatrix}. \quad (10)$$

The values of $\sin \tilde{\theta}$ are given in Table I, and the form may be compared to best-fit values of the CKM matrix [22],

$$U_{\text{CKM}} \simeq \begin{pmatrix} 0.974 & 0.225 & 0.004 \\ 0.225 & 0.973 & 0.041 \\ 0.009 & 0.040 & 0.999 \end{pmatrix}, \quad (11)$$

indicating that NLO corrections of the order of $U_{cb} \sim \lambda_c^2 \sim 0.04$ are needed, which is to be contrasted with the case of A_4 , for example, where $U_{\text{CKM}} = \mathbb{1}_3$ at LO, and NLO corrections therefore have to be of the size $U_{cs} \sim \lambda_c \equiv \sin \theta_c \sim 0.22$. Since there is no mixing between all

TABLE I. LO Cabibbo angles $\sin \tilde{\theta}$, which are compatible with experimental results generated by flavor groups up to order 1536. Types A and B refer to different residual symmetries (see the text).

| n | G_f | GAP-Identification | $\sin \tilde{\theta}$ | Type |
|-----|-----------------------------------|--------------------|-----------------------|------|
| 5 | $\Delta(6 \cdot 10^2)$ | [600, 179] | 0.156 | A |
| | | | 0.309 | B |
| 9 | $(Z_{18} \times Z_6) \rtimes S_3$ | [648, 259] | 0.259 | A |
| 16 | $\Delta(6 \cdot 16^2)$ | n.a. | 0.195 | A |

three generations in Eq. (10), the CKM CP phase is undetermined in this setup and will be a result of NLO corrections.

Before we discuss the results of Table I, it is useful to recall [7] that the groups in Table I may be defined as being generated by the generators S , T , and $U(n, k)$, using the faithful irreducible representation $\rho: \{S, T, U(n, k)\} \rightarrow \{S_3, T_3, U_3(n, k)\}$ with

$$T_3 \equiv \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}, \quad S_3 \equiv \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix} \quad (12)$$

and

$$U_3(n, k) \equiv - \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & z_{n,k} \\ 0 & z_{n,k}^* & 0 \end{pmatrix}, \quad (13)$$

with $z_{n,k} = e^{2\pi i k/n}$, $n, k \in \mathbb{N}$. In the leptonic sector, if one uses $G_e = \langle T \rangle \cong Z_3$ and $G_\nu = \langle S, U(n, k) \rangle \cong Z_2 \times Z_2$, one gets the Trimaximal-2-like mixing matrix [7]

$$U_{\text{PMNS}} = U_{\text{HPS}} U_{13} \left(\theta = \frac{1}{2} \arg(z) \right), \quad (14)$$

with the 1-3 rotation matrix defined as

$$U_{13}(\theta) = \begin{pmatrix} \cos \theta & 0 & \sin \theta \\ 0 & 1 & 0 \\ -\sin \theta & 0 & \cos \theta \end{pmatrix}. \quad (15)$$

In the quark sector, we found two different types of solutions corresponding to different conserved subgroups. From the form (10) of the LO CKM matrix, it is already clear that the intersection between G_u and G_d has to be nonvanishing; otherwise, there would be full 3 by 3 mixing (as in the leptonic case). The generator of the intersection can in principle be any generator, but we will always take S for concreteness. As a result of the scan, we found 2 types of mixing patterns:

(i) type A:

$$G_d = \langle S, U(n, p) \rangle \cong Z_2 \times Z_2,$$

$$G_u = \langle (ST)^2 T U(n, m) \rangle \cong Z_4,$$

(ii) type B:

$$G_d = \langle S, U(n, p) \rangle \cong Z_2 \times Z_2,$$

$$G_u = \langle S, (U(n, m) T)^2 (U(n, m) T)^2 U(n, m) \rangle \\ \cong Z_2 \times Z_2.$$

Both left-handed quarks and leptons may be assigned to the same representation, which provides a possibility for model building of flavor symmetry in the context of grand unified theories.

Let us first discuss the case of type A. The LO CKM matrix of Eq. (10) results from the breakdown of G_f down to $G_d = \langle S, U(n, m) \rangle \cong Z_2 \times Z_2$ and $G_u = \langle (ST)^2 TU(n, p) \rangle \cong Z_4$. Note that $((ST)^2 TU(n, p))^2 = S$ is an element of both G_d and G_u .

The generator of the group G_u is given by

$$R_3(n, p) \equiv \rho((ST)^2 TU(n, p)) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & -z_{n,p} \\ 0 & z_{n,p}^* & 0 \end{pmatrix}, \quad (16)$$

with z defined in Eq. (13). Note that typically one needs to choose a different n th root in Eqs. (13) and (16) in order to obtain experimentally acceptable PMNS and CKM matrices. For example, if we choose the m th of n th root z in Eq. (13) and p th of n th root z in Eq. (16), the product of the unitary matrix,

$$\Omega_u = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 0 & \sqrt{2} \\ ie^{2\pi ip/n} & -ie^{2\pi ip/n} & 0 \\ 1 & 1 & 0 \end{pmatrix}, \quad (17)$$

that diagonalizes $R_3(n, p)$ with the unitary matrix,

$$\Omega_d = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 0 & \sqrt{2} \\ e^{2\pi im/n} & -e^{2\pi im/n} & 0 \\ 1 & 1 & 0 \end{pmatrix}, \quad (18)$$

that diagonalizes S_3 and $U_3(n, m)$ simultaneously will generate LO CKM matrix

$$U_{\text{CKM}} = \Omega_d^\dagger \Omega_u = \frac{1}{2} \begin{pmatrix} 1 + ie^{-2\pi i(m-p)/n} & 1 - ie^{-2\pi i(m-p)/n} & 0 \\ 1 - ie^{-2\pi i(m-p)/n} & 1 + ie^{-2\pi i(m-p)/n} & 0 \\ 0 & 0 & 2 \end{pmatrix} \quad (19)$$

or

$$\sin \tilde{\theta} = \frac{1}{2} \sqrt{2 - 2 \sin\left(\frac{2\pi(m-p)}{n}\right)}. \quad (20)$$

The interesting case quotes in Table I correspond to $(n = 5, p = 1, m = 2)$, $(n = 9, p = 1, m = 4)$, and $(n = 16, p = 1, m = 2)$, respectively. Since G_u and G_d have a nontrivial intersection, the group generated by the elements of G_u and G_d is not the full flavor group G_f . Rather, it is a subgroup of $U(2)$, depending on the values of n , p , and m . The groups generated by these remnant symmetries are isomorphic to $(Z_{10} \times Z_2) \rtimes Z_2$, $(Z_6 \times Z_2) \rtimes Z_2$, and QD_{32} (the quasidihedral group of order 32), respectively.³

³See Ref. [23] for a review on the type of groups above.

The case of type B is analogous, and one finds

$$\sin \tilde{\theta} = \left| \cos\left(\frac{\pi(m-4p)}{n}\right) \right|, \quad (21)$$

where the case quoted in Table I corresponds to $(n = 5, p = 1, m = 1)$, which generates D_{20} , the dihedral group of size 20. Dihedral groups of this type have been considered before as an explanation of the LO Cabibbo angle [24], as we will comment on in more detail below.

To recapitulate: we have seen that the structure of the LO CKM mixing (10) may be understood as a result of symmetry breaking down to the subgroups of type A and type B. The groups $\Delta(6 \cdot 10^2)$, $(Z_{18} \times Z_6) \rtimes S_3$, and $\Delta(6 \cdot 16^2)$ are of the form $(Z_n \times Z_{n'}) \rtimes S_3$, where $Z_n \cong \langle (ST)^2(U(n, 1)T)^4 T \rangle$, $Z_{n'} \cong \langle STSU(n, 1)T^2 U(n, 1) \times T^2 U(n, 1)TU(n, 1) \rangle$, and $S_3 = \langle R', T^2 R' T R' \rangle$, where R' is short for $R' = (U(n, 1)T^2)^2(U(n, 1)T)^2 U(n, 1)$, one of the generators of G_u in type B. Using this structure, the interested reader may figure out the origin of the remnant symmetries for the general case. However, from the 3 concrete cases we have studied in detail, we can infer the origin of subgroups of types A and B from a group theoretical perspective. The subgroups of types A and B consist of groups of type $(Z_m \times Z_{m'}) \rtimes Z_2$, which are always subgroups of $(Z_n \times Z_{n'}) \rtimes S_3$ with $n^{(l)} \geq m^{(l)}$ (one of the Z_m can be trivial). Therefore, the 1-2 mixing structure of Eq. (10) is a byproduct that we obtain for free from the leptonic flavor symmetry. It is also interesting to imagine the possibility that G_Q is not a subgroup of $G_L = \langle G_e, G_\nu \rangle$, but that they are rather subgroups of yet a larger group $G_f = \langle G_Q, G_L \rangle$. However, from all the discrete groups that predict the experimentally favored values, G_Q is always a subgroup of $G_L \equiv G_f$; hence, an extension to a larger group will not yield new interesting predictions.

In this study we only considered groups that are interesting because they give a good LO description of leptonic mixing. If one does not require the quark flavor group to be identical to the lepton flavor group, one may search for a flavor group G_Q that predicts an adequate CKM matrix, independent of the leptonic flavor group G_f [24–27].

As we have noted above, the group generated by G_u and G_d is not the full flavor group G_f but a smaller group G_Q . The 3-dimensional representation $\mathbf{3}$ of G_f is decomposed into $\mathbf{3} = \mathbf{2} + \mathbf{1}$, where the 2-dimensional representation $\mathbf{2}$ of G_Q generates the Cabibbo angle. This is very similar (at least for the symmetry breaking of type B) to models where one assigns the first two quark generations to a 2-dimensional representation of a dihedral group D_n .⁴ One may therefore view the groups discussed here as completions of groups that only discuss the quark sector.

⁴The symmetry breaking of type A might be viewed as a generalisation thereof if one replaces dihedral with the involved groups, e.g. quasidihedral.

TABLE II. Lepton mixing parameters and LO CKM entries predicted by finite discrete groups with order 150 and 162. The smallest generators for G_e , G_ν and G_d , G_u that predict the quark and leptonic mixing angles on the right columns are listed.

| G_f | GAP-Identification | $\{G_e, G_\nu\}$ | $\{G_d, G_u\}$ | $\sin^2(\theta_{12})$ | $\sin^2(\theta_{13})$ | $\sin^2(\theta_{23})$ | $\sin \tilde{\theta}$ |
|--|--------------------|-------------------|----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| $\Delta(6 \cdot 5^2)$ | [150, 5] | $\{Z_{10}, Z_3\}$ | $\{Z_{10}, Z_{10}\}$ | 0.3428 | 0.0289 | 0.6217 | 0.309 |
| | | | | 0.3428 | 0.0289 | 0.3794 | |
| $\Sigma(3 \cdot 3^3) \rtimes Z_2$ | [162, 10] | $\{Z_6, Z_9\}$ | $\{Z_6, Z_6\}$ | 0.3403 | 0.0202 | 0.6013 | 0.5 |
| $(Z_9 \times Z_3) \rtimes \mathcal{S}_3$ | [162, 12] | $\{Z_{18}, Z_9\}$ | $\{Z_{18}, Z_{18}\}$ | 0.3403 | 0.0202 | 0.3996 | |
| | [162, 14] | $\{Z_{18}, Z_3\}$ | $\{Z_{18}, Z_{18}\}$ | | | | |

Let us recapitulate on the search for unified discrete symmetry from the group theoretical approach. We started our scan for groups that can yield sizeable leptonic mixing patterns with the assumption of Majorana neutrinos. From over a million groups, only groups of type $(Z_n \times Z_{n'}) \rtimes \mathcal{S}_3$ are found to be interesting, and such groups contain subgroups, which allow for a decent description of quark mixing by generating the Cabibbo angle at leading order. The symmetry breaking pattern indicated here might provide an interesting opportunity for model building.

IV. DIRAC NEUTRINOS AND THE MIXING PATTERNS

In this section we assume that neutrinos are Dirac particles and ask the question: What is the smallest finite discrete group G_f that can predict experimentally acceptable PMNS and CKM matrices. The residual symmetry group of neutrino masses is no longer restricted to be isomorphic to the Klein group but may be an arbitrary Abelian group. We scan all the Abelian subgroups of every discrete group G_f up to the size of 200. The two smallest finite discrete groups that predict experimentally acceptable entries for the quark and lepton mixing angles are of the order of 150 and 162, with the structure of the relevant remnant groups given in Table II. An exact definition of the groups in terms of 3-dimensional generators is provided in the Appendix, where we restrict ourselves to listing the smallest subgroups for $\{G_e, G_\nu\}$ and $\{G_u, G_d\}$ that predict the given values for the PMNS and CKM mixing parameters.

In our previous scan [7], we had assumed that neutrinos are Majorana particles and found only discrete groups with order of 600 and above that can lead to an acceptable leptonic mixing pattern. *A priori* we have no evidence up till now that neutrinos are Majorana particles, and by assuming that neutrinos are Dirac particles, we found two discrete groups that are relatively small in size which can predict experimentally acceptable LO mixing angles for quarks and leptons, which from a model building perspective are more economical. The CKM prediction can also be ignored if one only looks for smallest discrete flavor group that can yield the experimentally viable leptonic mixing angles with the assumption of Dirac neutrinos.

To be concrete, we will discuss the group $\Delta(6 \times 5^2)$ here in some detail and will relegate the remaining groups to the Appendix.⁵ The group $\Delta(6 \times 5^2)$ may be viewed as generated by

$$A = (TU(5, 1))^4 T^2, \quad B = (U(5, 1)T^2)^2 U(5, 1).$$

After symmetry breakdown to $G_e = \langle A \rangle \cong Z_3$ and $G_\nu = \langle B \rangle \cong Z_{10}$, the PMNS mixing angles of the first line in Table II are realized. The CKM predictions follow from breakdown to

$$G_d = \langle A^2 B^3 A^2 B^2 \rangle, \quad G_u = \langle ABA^2 BA^2 B^3 A \rangle.$$

For a definition of the other groups in Table II, the reader is referred to the Appendix.

From the definition of A and B in terms of generators of $\Delta(6 \cdot 10^2)$, it is clear that $\Delta(6 \cdot 5^2)$ is a subgroup of $\Delta(6 \cdot 10^2)$. Both groups predict the same PMNS matrix, stemming from different remnant symmetries. The group $\Delta(6 \cdot 10^2)$ is the smallest group that predicts LO leptonic mixing patterns in the 3-sigma region assuming Majorana neutrinos. If we lift this requirement and allow for Dirac neutrinos, the size of G_f is reduced by a factor of 4. This observation suggests that the leptonic mixing pattern has no correlation with the nature of neutrinos (i.e., whether $Z_2 \times Z_2$ is a subgroup of G_f or not) but rather the intrinsic representation of the group generators (i.e., different subgroups can give rise to the same mixing patterns, independent of the nature of neutrinos). The same argument also applies for $(Z_9 \times Z_3) \rtimes \mathcal{S}_3$ and $\Sigma(3 \cdot 3^3) \rtimes Z_2$ as these groups are subgroups of $(Z_{18} \times Z_6) \rtimes \mathcal{S}_3$. All the interesting groups in Table II predict a trivial Dirac CP phase in the leptonic sector, as in Ref. [7].

Combining the argument above and the observation in Sec. III, we can draw a general conclusion that only groups of type $(Z_n \times Z_{n'}) \rtimes \mathcal{S}_3$ can yield an experimentally favored LO PMNS matrix if the flavor symmetry group is broken in such a way that residual symmetries of the leptonic masses are still preserved, independent of whether neutrinos are Dirac or Majorana particles. No other (small) finite discrete groups can yield such an equally successful prediction.

⁵Note that the group $\Delta(6 \cdot 5^2)$ is also discussed in Ref. [28], however the author only searched for the subgroup Z_2 in $\Delta(6 \cdot 5^2)$, yielding another type of prediction.

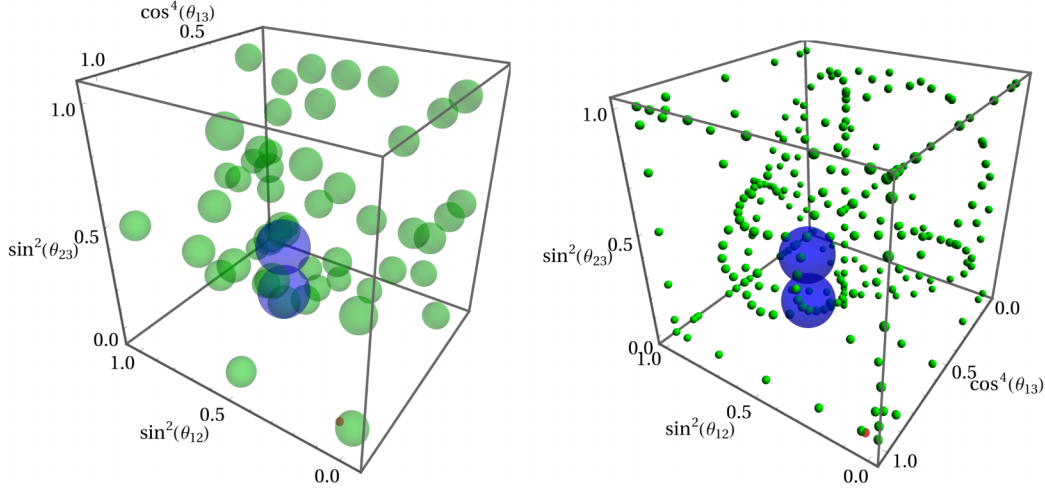


FIG. 2 (color online). The distribution $\mu(G_f)$ is plotted for groups S_4 (left) and $(Z_{18} \times Z_6) \rtimes S_3$ (right). The width of Gaussian distribution σ in 1-sigma deviation is plotted in green. The dark shaded blue region in the middle (red dotted region in the lower right) represents the 3-sigma global fit region for the leptonic (quark) mixing pattern.

In addition, the LO CKM mixing pattern can be obtained from the group of type $(Z_n \times Z_{n'}) \rtimes S_3$ if the size of the group is sufficiently large, as we have pointed out in Sec. III.

V. TOWARD QUANTIFYING THE PREDICTIVE POWER OF DISCRETE GROUPS

As mentioned in the introduction, large flavor groups generically have many different Abelian subgroups, and, since in the setup we are considering here the LO mixing pattern is a result of the mismatched remnant symmetries, this implies that for very large flavor groups, any mixing pattern should be able to be reproduced. Heuristically, it is therefore clear that one should prefer small flavor groups (which are also less cumbersome from a model builder's viewpoint). However, we have seen from our scan that only groups that are larger than the order of 100 predict the experimentally favored PMNS and CKM mixing pattern at LO. One may wonder what the difference is between such a large group and an anarchical [29–31] drawing of three angular values from the Haar measure.⁶

In this section we aim to give a quantitative measure of the predictivity of discrete flavor groups. The scenario we have in mind is the following: we assume the LO quark and/or lepton mixing to be determined from mismatched remnant symmetries, where we take each possible LO mixing pattern to be equally likely. We further assume that NLO corrections are randomly scattered around the LO values. This seems to be well motivated from a model-building perspective, as quite often there is a multitude of

⁶See also Refs. [32,33] for a critical take on anarchy in the lepton sector.

higher-dimensional operators contributing at NLO order.⁷ We discard the comparison of CP phases, as the Dirac CP phase in the leptonic sector is not known, while the CKM CP phase in general is not predicted in our approach.

We will work in the coordinates $c_{13}^4 \equiv \cos^4 \theta_{13}$, $s_{12}^2 \equiv \sin^2 \theta_{12}$, and $s_{23}^2 \equiv \sin^2 \theta_{23}$ for which the invariant Haar measure of $SU(3)$ is flat. Under the anarchy hypotheses, in this space each point is equally likely, $pdV = dc_{13}^4 ds_{12}^2 ds_{23}^2$. Without NLO corrections, the discrete group would predict a sum of Delta functions $pdV = \sum_i \delta^{(3)}(\vec{x} - \vec{x}_i) dc_{13}^4 ds_{12}^2 ds_{23}^2$ centered about the possible LO predictions $\vec{x}_i = (c_{13}^4, s_{12}^2, s_{23}^2)^T$. Since we expect the NLO corrections to be anarchically distributed around the LO predictions, we smear out the Delta functions to 3-dimensional Gaussians $p_f^{(i)} = \exp(-(\vec{x} - \vec{x}_i)^2 / \sigma^2)$ centered around the i th LO mixing with variance given by

$$\sigma^2 = \text{Min}(\sigma_{\text{CKM}}^2) + \text{Min}(\sigma_{\text{PMNS}}^2), \quad (22)$$

the quadratic sum of the shortest distance between the best-fit CKM angles \vec{x}_{CKM} and PMNS angles \vec{x}_{PMNS} to a LO prediction of the group

$$\text{Min}(\sigma_{\text{CKM/PMNS}}) \equiv \inf_i |\vec{x}_i - \vec{x}_{\text{CKM/PMNS}}|. \quad (23)$$

⁷Since in typical models (e.g., Refs. [19,20,34,35]) these higher dimensional operators do not respect any remnant symmetries, this agnostic approach seems warranted. However, it should be stressed that this does not apply for all models, and in a particular model, the structure of NLO corrections might very well be predictive [36]. Such setups usually forbid higher-dimensional operators in the superpotential; care has to be taken to keep Kähler corrections under control [37].

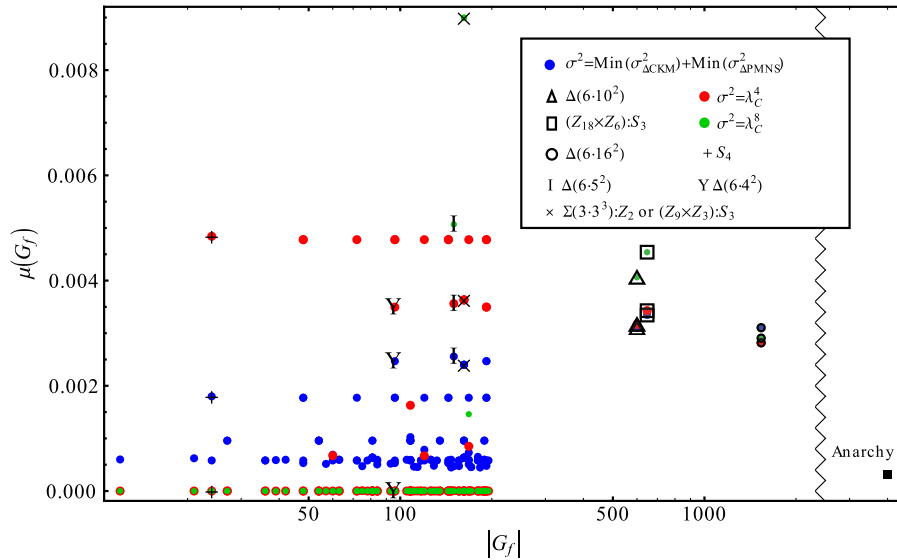


FIG. 3 (color online). The goodness of prediction $\mu(G_f)$ for discrete flavor symmetry groups G_f is plotted. The goodness of prediction for anarchy is represented by a black square in the plot. Groups that are relevant for our analysis are highlighted. See the main text for more explanation.

The total normalized distribution p_f of a discrete group G_f is given by the sum of all the $p_f^{(i)}$. For illustration in Fig. 2, we show the p_f distribution in the space of $(c_{13}^4, s_{12}^2, s_{23}^2)$ for the group S_4 and $(Z_{18} \times Z_6) \rtimes S_3$. The group $(Z_{18} \times Z_6) \rtimes S_3$ predicts more mixing patterns than S_4 with smaller covariance σ^2 , as its predicted PMNS matrix values are more accurate at LO.

As a measure of predictivity, we now propose the integration of p_f within the 3-sigma region from global fits

$$\mu(G_f) \equiv \int_{V_{\text{exp}}} p_f(c_{13}^4, s_{12}^2, s_{23}^2) dc_{13}^4 ds_{12}^2 ds_{23}^2, \quad (24)$$

which we interpret as a proxy for the goodness of the mixing angle prediction up to the NLO correction by a particular flavor symmetry group. For example, we have $\mu(S_4) = 1.8 \times 10^{-3}$ and $\mu((Z_{18} \times Z_6) \rtimes S_3) = 4 \times 10^{-3}$. The larger group that needs smaller NLO corrections therefore beats the smaller group with larger NLO corrections—a result that should not come as a surprise to the reader, who has followed us thus far.

We can go a step further and apply the measure to anarchy and obtain

$$\mu(\text{anarchy}) = \int_{V_{\text{exp}}} \mathbb{1}_{[0,1]^3} dc_{13}^4 ds_{12}^2 ds_{23}^2 = 3.22 \times 10^{-4}, \quad (25)$$

which might be interpreted as the least predictive theory. Any flavor theory should certainly be more predictive than anarchy.

The result of $\mu(G_f)$ for each discrete group up to the order 200 and some of the interesting groups identified by us in Ref. [7] are plotted with blue points in Fig. 3.⁸ By this measure the group $(Z_{18} \times Z_6) \rtimes S_3$ therefore wins the title of the most predictive group smaller than 1536.

Note that the absolute value of $\mu(G_f)$ alone has no intrinsic meaning; rather, it is used to compare the goodness of prediction for different flavor groups. A higher value of $\mu(G_f)$ implies a more accurate prediction of mixing angles with a smaller size of the group. Groups that do not predict experimentally favored values have smaller values of $\mu(G_f)$. Even though a larger group tends to predict more accurate values of mixing angles, its sizable order would in general reduce the value of $\mu(G_f)$. From Fig. 3 we observe that $\Delta(6 \cdot 16^2)$ yields a lower $\mu(G_f)$ value than $(Z_{18} \times Z_6) \rtimes S_3$ and $\Delta(6 \cdot 10^2)$, despite that $\Delta(6 \cdot 16^2)$ predicts a more accurate mixing pattern. Ignoring the CKM contributions, we can also obtain a similar plot in Fig. 4 by choosing $\sigma^2 = \text{Min}(\sigma_{\text{PMNS}}^2)$. The result of $\mu(G_f)$ for each flavor group contains the same trend as in Fig. 3. One should note that by combining the different subgroups of G_f in pairs, we essentially give up the information of the assumption that neutrinos are Majorana, as one needs to pair up only a Klein group with another Abelian subgroup if this additional assumption is made.

⁸Some of the higher-order groups that yield the same $\mu(G_f)$ as the lower-order group contain the same lower-order group as their subgroup. For instance, the group $S_4 \times Z_2$ and $S_4 \times Z_3$ yields the same order of $\mu(G_f)$ as the group S_4 .

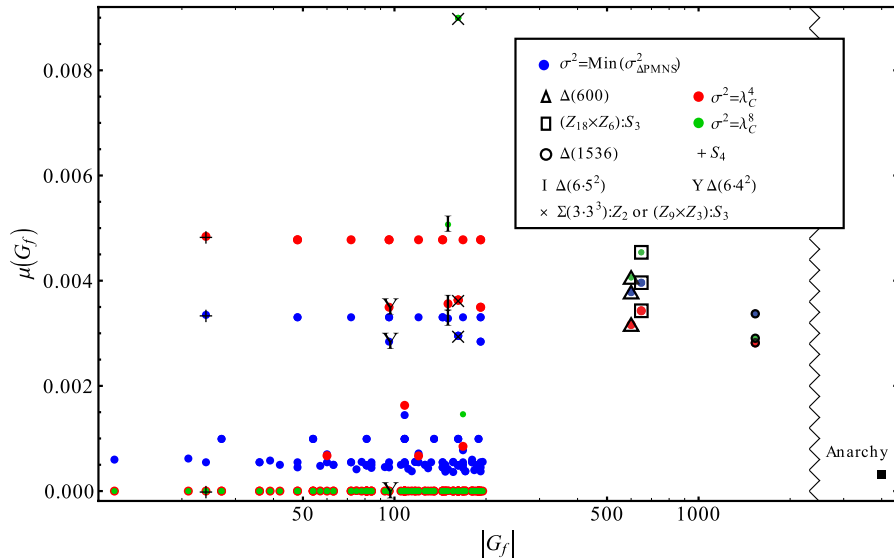


FIG. 4 (color online). The goodness of prediction $\mu(G_f)$ for discrete flavor symmetry groups G_f is plotted, with only the leptonic mixing patterns considered.

The width of the Gaussian distribution defined in Eq. (22) is only one of the possibilities that we can choose. It is believed that the NLO correction of the leptonic mixing angle has to be of the order of the Cabibbo angle squared, $\sigma = \lambda_C^2$, or the fourth power of Cabibbo angle $\sigma = \lambda_C^4$. We also plotted the result of $\mu(G_f)$ obtained with these assumptions, and the only significant change in Figs. 3 and 4 comes from the group S_4 , $\Delta(6 \cdot 4^2)$, $\Delta(6 \cdot 5^2)$, $(Z_9 \times Z_3) \rtimes S_3$, and $\Sigma(3 \cdot 3^3) \rtimes Z_2$. These changes can be understood as the result of higher volume covered by the integration due to more narrow Gaussian width. With $\sigma = \lambda_C^2$, the spread of the Gaussian distribution is larger; hence, smaller groups tend to yield higher values of $\mu(G_f)$. On the contrary the Gaussian width is too narrow for $\sigma = \lambda_C^4$; hence, only groups that predict a very accurate LO PMNS matrix will generate a higher $\mu(G_f)$. In fact, $\mu(G_f)$ from anarchy is higher than certain groups, particularly S_4 and $\Delta(6 \cdot 4^2)$. The decreasing value of $\mu(G_f)$ with respect to the increasing size of the group agrees with our naive expectation that higher-order groups tend to yield a lower value of $\mu(G_f)$ due to more possible combinations of the mixing patterns.

VI. CONCLUSION

In summary, we have extended our search for discrete symmetry groups that can give an experimentally favored LO prediction for the PMNS and the CKM matrix. With the assumption of Majorana neutrinos, we obtain a sizable prediction of the LO CKM matrix from groups that predict the PMNS matrix in the 3-sigma region, as shown in Ref. [7]. We found a group theoretical reason that explains

the emergence of such a LO Cabibbo angle; mainly, it is due to the structure of $(Z_m \times Z_{m'}) \rtimes Z_2$, which is a generic subgroup of $(Z_n \times Z_{n'}) \rtimes S_3$. By relaxing the condition of Majorana neutrinos, we performed a scan of all discrete symmetry groups up to the order of 200 and obtained 3 groups that predicted acceptable LO PMNS and CKM matrices. All 3 groups are subgroups of the groups found in the Majorana case, indicating that mixing pattern predictions are independent of whether neutrinos are Dirac or Majorana particles. We extrapolated our result and concluded that only groups that are of the type $(Z_n \times Z_{n'}) \rtimes S_3$ can give experimentally favored values of the PMNS (and CKM) matrix, which can provide a new starting point for model building.

The groups we have found are generally large and prompt us to define a measure to quantify the predictivity of a given flavor group, taking into account the size of the group. Our measure $\mu(G_f)$ rewards the smallness of a group while punishing large groups that give many different predictions, depending on the breaking pattern. While this measure is nonunique, it is (to our knowledge) the first attempt to quantify more sociological ways of distinguishing fruitful starting points for model building.

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APPENDIX: DEFINITION OF SUBGROUPS

In Table III we define the generators for groups found in Table II.

 TABLE III. Generators for $\{G_e, G_\nu\}$ and $\{G_d, G_u\}$ that predict the experimentally favored mixing angles in Table II.

| G_f | GAP-Identification | Generators of subgroups |
|-----------------------------------|--------------------|---|
| $\Delta(6 \cdot 5^2)$ | [150, 5] | $\langle G_e, G_\nu \rangle = \left\langle \left(\begin{array}{ccc} 0 & -(-1)^{3/5} & 0 \\ 0 & 0 & -\sqrt[5]{-1} \\ -\sqrt[5]{-1} & 0 & 0 \end{array} \right), \left(\begin{array}{ccc} (-1)^{3/5} & 0 & 0 \\ 0 & 0 & \sqrt[5]{-1} \\ 0 & \sqrt[5]{-1} & 0 \end{array} \right) \right\rangle$ $\langle G_u, G_d \rangle = \left\langle \left(\begin{array}{ccc} (-1)^{3/5} & 0 & 0 \\ 0 & 0 & -(-1)^{2/5} \\ 0 & -1 & 0 \end{array} \right), \left(\begin{array}{ccc} (-1)^{3/5} & 0 & 0 \\ 0 & 0 & (-1)^{3/5} \\ 0 & -(-1)^{4/5} & 0 \end{array} \right) \right\rangle$ |
| $\Sigma(3 \cdot 3^3) \rtimes Z_2$ | [162, 10] | $\langle G_e, G_\nu \rangle = \left\langle \left(\begin{array}{ccc} 0 & 0 & -1 \\ 0 & -\sqrt[3]{-1} & 0 \\ -1 & 0 & 0 \end{array} \right), \left(\begin{array}{ccc} 0 & 0 & (-1)^{2/3} \\ (-1)^{2/3} & 0 & 0 \\ 0 & -\sqrt[3]{-1} & 0 \end{array} \right) \right\rangle$ $\langle G_u, G_d \rangle = \left\langle \left(\begin{array}{ccc} 0 & 0 & -1 \\ 0 & -\sqrt[3]{-1} & 0 \\ -1 & 0 & 0 \end{array} \right), \left(\begin{array}{ccc} 0 & 0 & \sqrt[3]{-1} \\ 0 & -1 & 0 \\ -1 & 0 & 0 \end{array} \right) \right\rangle$ |
| $(Z_9 \times Z_3) \rtimes S_3$ | [162, 12] | $\langle G_e, G_\nu \rangle = \left\langle \left(\begin{array}{ccc} 0 & 0 & (-1)^{5/9} \\ 0 & (-1)^{8/9} - (-1)^{5/9} & 0 \\ (-1)^{5/9} & 0 & 0 \end{array} \right), \right.$ $\left. \left(\begin{array}{ccc} 0 & 0 & (-1)^{5/9} - (-1)^{8/9} \\ -(-1)^{5/9} & 0 & 0 \\ 0 & -(-1)^{5/9} & 0 \end{array} \right) \right\rangle$ $\langle G_u, G_d \rangle = \left\langle \left(\begin{array}{ccc} 0 & 0 & (-1)^{5/9} \\ 0 & (-1)^{8/9} - (-1)^{5/9} & 0 \\ (-1)^{5/9} & 0 & 0 \end{array} \right), \right.$ $\left. \left(\begin{array}{ccc} 0 & 0 & (-1)^{8/9} - (-1)^{5/9} \\ 0 & (-1)^{8/9} - (-1)^{5/9} & 0 \\ -(-1)^{8/9} & 0 & 0 \end{array} \right) \right\rangle$ |
| $(Z_9 \times Z_3) \rtimes S_3$ | [162, 14] | $\langle G_e, G_\nu \rangle = \left\langle \left(\begin{array}{ccc} 0 & 0 & (-1)^{5/9} \\ 0 & -(-1)^{8/9} & 0 \\ (-1)^{5/9} & 0 & 0 \end{array} \right), \right.$ $\left. \left(\begin{array}{ccc} 0 & \sqrt[9]{-1} - (-1)^{4/9} & 0 \\ 0 & 0 & (-1)^{4/9} \\ \sqrt[9]{-1} - (-1)^{4/9} & 0 & 0 \end{array} \right) \right\rangle$ $\langle G_u, G_d \rangle = \left\langle \left(\begin{array}{ccc} 0 & 0 & (-1)^{5/9} \\ 0 & -(-1)^{8/9} & 0 \\ (-1)^{5/9} & 0 & 0 \end{array} \right), \right.$ $\left. \left(\begin{array}{ccc} 0 & 0 & -(-1)^{8/9} \\ 0 & -(-1)^{8/9} & 0 \\ (-1)^{8/9} - (-1)^{5/9} & 0 & 0 \end{array} \right) \right\rangle$ |

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