

Stationary phase approximation and instanton-like states for cosmological in-in path integrals

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The path integral, which generates in-in correlation functions of a scalar field in a cosmological spacetime, is shown to admit nontrivial classical solutions as stationary phases. Although the solutions exist for the Lorentzian signature, their contribution to the path integral is reminiscent of that of the instantons in Euclidean field theories. When the scalar potential has more than one locally stable vacua, the correlation functions receive contributions from all of them via these instanton-like configurations, which is similar to tunneling. We present some explicit solutions for toy models and discuss possible implications of our results.

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I. INTRODUCTION

Understanding the physics of the early universe is both a challenge and an opportunity. With the recent observational advances in cosmology, one may hope to test new theories on energy scales that can never be reached by conventional accelerators and discover signs of various novel ideas. This also motivates one to have a comprehensive understanding of conventional approaches.

Inflation is the most attractive paradigm in solving the problems of the standard big-bang model, and its main predictions are in agreement with observations so far. However, most of these predictions are based on semiclassical reasoning, and a better understanding of inflationary theories in terms of well established physics is necessary for their robustness. In recent years, following the work [1,2], there has been a growing interest in calculating quantum loop corrections to cosmological correlation functions during inflation. Earlier, various cosmological implications of (loop) quantum effects have been studied (see e.g., Refs. [3–15]). One of the main reasons for the recent interest is the observation that interactions give rise to primordial non-Gaussianities [16], which can potentially be observed (see Ref. [17] for a review).

As usual, the main technique for evaluating quantum corrections is the (in-in) perturbation theory. Perturbative loop calculations corresponding to massless fields in inflationary spacetimes are plagued by infrared divergences, and there are different views in the literature whether infrared effects are real (see e.g., Refs. [18–32]; see also Refs. [33–35] for earlier work). On the other hand, loop contributions may also be anomalously sensitive to the UV cutoff as discussed in Ref. [36]. There are some attempts to get non-perturbative results such as the stochastic approach [37–41]. There are also some attempts to go beyond the one-loop

approximation [42–44] or to work out the complete one-loop effective action in a time-dependent background [45–51].

In this paper, we consider the in-in path integral for the generating functional of cosmological correlations corresponding to an interacting scalar field in a Friedmann-Robertson-Walker (FRW) spacetime. For a field theory defined in the flat space, instantons, if they are admitted, give valuable nonperturbative information about the vacuum structure of the theory (or one may say that instantons exist if vacuum is nontrivial). Our aim here is to see whether similar instanton-like classical solutions exist, which would correspond to nontrivial saddle points of the cosmological in-in path integrals. In searching for instanton solutions, one naturally makes a Wick rotation to the Euclidean signature [52]. However, it is not known how to Wick rotate a general cosmological spacetime. As an exception, if one considers the de Sitter space in conformal coordinates, which is appropriate for Wick rotation due to the special form of the metric, one sees that Euclidean continuation does not give an “inverted” scalar potential. Therefore, efforts of constructing Euclidean solutions directly reminiscent of the usual instantons would fail.¹

On the other hand, a cosmological in-in path integral is very different in a few important ways from an in-out path integral defined in flat space. Namely,

- (1) The boundary conditions are different compared to the in-out path integration.
- (2) In applying saddle point approximation to an in-out path integral, one searches for classical configurations that have finite action to have a well defined expansion scheme. However, an in-in path integral contains two action terms in the exponential with different signs, and there is a possibility of cancellation even for configurations with “infinite” action.

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¹Here, we only consider quantum fields in a fixed background. There exist gravitational instantons in the context of Euclidean quantum gravity in three-dimensional de Sitter space [53].

- (3) The cosmic expansion changes the classical dynamics in a crucial way.

Thus, one may still search for classical configurations corresponding to stationary phases of an in-in path integral in the Lorentzian signature. As we will discuss, all three properties listed above will be important in applying a rigorous stationary phase approximation.

Indeed, we will be able to show that when the scalar potential has more than one locally stable vacua, there are nontrivial classical configurations that correspond to stationary phases of the in-in path integral for the generating functional. Because of the existence of these solutions, the correlation function receives contributions from all of the vacua. For instance, the vacuum expectation value of the scalar (one-point function) becomes the sum of all of the minima of the potential even when the in-vacuum is specified around one of the vacua, which is like a tunneling effect.

As we will discuss, when the in-vacuum state is defined not at the asymptotic past infinity but at a finite time in the past, there appears some additional technical difficulties mainly related to the identification of the vacuum and the correct set of boundary conditions one must employ. We show that with some reasonable assumptions it is possible to construct instanton-like solutions for that case also.

The plan of the paper is as follows. In the next section, we review the in-in path integral formalism for an interacting scalar field propagating in a cosmological spacetime. Most of the material presented in that section is well known. As partially new results, we give a slightly different derivation of the equivalence of the path integral and operator formalisms, and we discuss Wick rotation for a scalar in de Sitter space (see also Ref. [54] for a rigorous discussion of Wick rotation). In Sec. III, we fix boundary conditions and apply stationary phase approximation to determine the equations obeyed by saddle points. It turns out that the results alter if the in-vacuum state is defined at finite or infinite times. In that section, we give several examples and elaborate physically on why instanton-like solutions do not exist in some situations and why they are admitted in some others. In conclusion, we discuss possible implications of our results and indicate some open problems.

II. REVIEW OF THE IN-IN PATH INTEGRAL FORMALISM

In this section, we would like to review the path integral derivation of the in-in correlation functions. Our aim is to fix our notation and discuss Wick rotation to the Euclidean signature as a first attempt to search for instanton solutions in de Sitter space. The background metric is taken as

$$\begin{aligned} ds^2 &= -dt^2 + a(t)^2(dx^2 + dy^2 + dz^2) \\ &= a(\eta)^2(-d\eta^2 + dx^2 + dy^2 + dz^2), \end{aligned} \quad (1)$$

where t and η are the proper and the conformal time coordinates, respectively. The scale factor of the de Sitter space is given by $a = \exp(Ht)$ or $a = -\eta_0/\eta$, where $\eta_0 = 1/H$. Most of our considerations below will be valid for an arbitrary scale factor $a(t)$, and we will freely switch between these two coordinate systems. The action of a minimally coupled real scalar field propagating in this background is given by

$$S[\phi, J] = -\frac{1}{2} \int d^4x \sqrt{-g} [\nabla_\mu \phi \nabla^\mu \phi + V(\phi)] - 2J\phi, \quad (2)$$

where we introduce an external source J , which can be turned on or off. In this paper we only consider real scalar fields with this canonical action.

A. General theory

Our main object of interest is to calculate the vacuum expectation value

$$\langle 0, t_0 | Q(t) | 0, t_0 \rangle, \quad (3)$$

where $Q(t)$ is a polynomial of the field variables and $|0, t_0\rangle$ is the ground state of the system at time t_0 . Preferably, one would let $t_0 \rightarrow -\infty$; however, in a realistic scenario t_0 can be finite as well (in that case there is a certain uncertainty even in the specification of the free vacuum [55]). One should also work with the vacuum of the interacting theory, and thus in perturbation theory corrections to the initially chosen free vacuum state must be taken into account.

The most straightforward way to introduce a path integral for (3) is to consider the generating functional

$$Z[J^+, J^-] = \int D\phi \langle 0, t_0 | \phi, t \rangle_{J^-} \langle \phi, t | 0, t_0 \rangle_{J^+}, \quad (4)$$

where $\int D\phi | \phi, t \rangle \langle \phi, t |$ is the identity operator constructed from the field variables at time t and the inner products in (4) are evaluated in the presence of two independent external sources J^+ and J^- coupled to field variables. Differentiating (4) with respect to J^+ or J^- at time t , and setting $J^+ = J^- = 0$ gives (3). It is easy to write the transition amplitudes in (4) in terms of path integrals to obtain

$$\begin{aligned} Z[J^+, J^-] &= \int D\phi \int \prod_{t_0}^t \mathcal{D}\phi^+ \mathcal{D}\phi^- e^{iS[\phi^+, J^+] - iS[\phi^-, J^-]} \\ &\quad \times \Psi_0[\phi^+(t_0)] \Psi_0^*[\phi^-(t_0)], \end{aligned} \quad (5)$$

where ϕ^+ and ϕ^- integrals are over all field configurations starting from time t_0 and ending at time t obeying

$$\phi^+(t, \vec{x}) = \phi^-(t, \vec{x}) = \phi(\vec{x}), \quad (6)$$

and $\Psi_0[\phi^\pm(t_0)]$ are the vacuum wave functionals corresponding to the inner products $\langle \phi^\pm(t_0) | 0, t_0 \rangle$. By introducing a Dirac-delta functional, it is also possible to rewrite the path integral as

$$Z[J^+, J^-] = \int \prod_{t_0}^t \mathcal{D}\phi^+ \mathcal{D}\phi^- e^{iS[\phi^+, J^+] - iS[\phi^-, J^-]} \times \Psi_0[\phi^+(t_0)] \Psi_0^*[\phi^-(t_0)] \delta[\phi^+(t) - \phi^-(t)], \quad (7)$$

where now there is no restriction imposed, and (7) reduces to (5) after integrating over $\phi^+(t)$ or $\phi^-(t)$.

B. Perturbative expansion

Before discussing the Wick rotation in Sitter space, it is instructive to see how perturbation theory works in the path integral formalism.² For that the free scalar action can be written as

$$S_0[\phi, J] = \frac{1}{2} \int d^4x [\phi L \phi] + 2J\phi, \quad (8)$$

where L is a (second order) differential operator. It is known that the basic role of the vacuum wave functionals in a (free) path integral is to impose the proper $i\epsilon$ prescription necessary to define a unique inverse of L (see Sec. 9.2 of Ref. [56]). Therefore, using an integral representation of the delta functional in (7), the free generating functional can be written as

$$\begin{aligned} Z_0[J^+, J^-] &= \int D\lambda(\vec{x}) \int \prod_{t_0}^t \mathcal{D}\phi^+ \mathcal{D}\phi^- e^{iS_0[\phi^+, J^+] - iS_0[\phi^-, J^-]} \\ &\times \exp\left(i \int d^3x \lambda(\vec{x}) [\phi^+(\vec{x}, t) - \phi^-(\vec{x}, t)]\right) \\ &= \int D\lambda(\vec{x}) \int \prod_{t_0}^t \mathcal{D}\Phi \exp\left(\frac{i}{2} \int_{t_0}^t dt' d^3x [\Phi^T \mathbf{L} \Phi + \Phi^T \mathcal{J}]\right), \end{aligned} \quad (9)$$

where we define³

$$\mathbf{L} = \begin{bmatrix} L & 0 \\ 0 & -L \end{bmatrix}, \quad \Phi = \begin{bmatrix} \phi^+ \\ \phi^- \end{bmatrix}, \quad (10)$$

$$\mathcal{J} = \begin{bmatrix} J^+(\vec{x}, t') + \lambda(\vec{x})\delta(t' - t) \\ -J^-(\vec{x}, t') - \lambda(\vec{x})\delta(t' - t) \end{bmatrix}.$$

Performing the Gaussian integral over Φ , one finds

²This issue is discussed in the Appendix of Ref. [1]. Our treatment of the delta functional in (7) is different from Ref. [1] but its effect turns out to be the same, namely to force the appropriate boundary conditions on the propagators to be consistent with the operator formalism.

³Note that it is possible to shift the upper limit of the time integral in (9) by an arbitrary positive number since in-in formalism guarantees that the contributions for times larger than t cancel. This justifies the delta functions in (10). We would like to thank the anonymous referee for pointing out this to us.

$$Z_0[J^+, J^-] = \int D\lambda(\vec{x}) \times \exp\left(-\frac{i}{2} \int_{t_0}^t dt' d^3x' \int_{t_0}^t dt'' d^3x'' \mathcal{J}^T \Delta \mathcal{J}\right), \quad (11)$$

where Δ is the inverse of \mathbf{L} (with the $i\epsilon$ prescription implied by the vacuum wave functionals.⁴) One can write Δ as

$$\Delta = \begin{bmatrix} \Delta^{++} & \Delta^{+-} \\ \Delta^{-+} & \Delta^{--} \end{bmatrix}, \quad (12)$$

where $L\Delta^{++} = 1$, $L\Delta^{--} = -1$, $L\Delta^{+-} = 0$, and $L\Delta^{-+} = 0$. Since L is a symmetric operator, Green functions obey

$$\begin{aligned} \Delta^{+-}(\vec{x}', t'; \vec{x}'', t'') &= \Delta^{-+}(\vec{x}'', t''; \vec{x}', t'), \\ \Delta^{++}(\vec{x}', t'; \vec{x}'', t'') &= \Delta^{++}(\vec{x}'', t''; \vec{x}', t'), \\ \Delta^{--}(\vec{x}', t'; \vec{x}'', t'') &= \Delta^{--}(\vec{x}'', t''; \vec{x}', t'). \end{aligned} \quad (13)$$

Inside the exponential of the functional integral (11), there are quadratic and linear λ terms. After performing the integrals over t' and t'' , the quadratic terms become

$$\exp\left(-\frac{i}{2} \int d^3x' d^3x'' \lambda(x') [\Delta^{++}(t) + \Delta^{--}(t) - \Delta^{+-}(t) - \Delta^{-+}(t)] \lambda(x'')\right). \quad (14)$$

The Green function inside the square bracket is annihilated by L , and thus it is not invertible. Therefore, the only way to make the λ path integral to be well defined is to impose these terms to cancel each other. In that case the λ integral becomes

$$\int D\lambda \exp\left(-i \int d^3x' d^3x'' \lambda(x') [[\Delta^{++}(t) - \Delta^{-+}(t)]J^+ + [\Delta^{--}(t) - \Delta^{+-}(t)]J^-]\right), \quad (15)$$

which is nonzero for arbitrary J^+ and J^- provided

$$\Delta^{++}(t) = \Delta^{-+}(t), \quad \Delta^{--}(t) = \Delta^{+-}(t). \quad (16)$$

These are the boundary conditions, which must be imposed on the homogenous solutions Δ^{+-} and Δ^{-+} to get a well defined path integral. When these are imposed, the λ integral decouples and the generating functional becomes

⁴Although in the free theory this procedure is relatively easy to implement, applying $i\epsilon$ prescription for the interacting case using the in-in perturbation theory is highly nontrivial. See Refs. [57,58] for clarification of this point.

$$Z_0[J^+, J^-] = \exp\left(-\frac{i}{2} \int_{t_0}^t dt' d^3x' \int_{t_0}^t dt'' d^3x'' \mathbf{J}^T \Delta \mathbf{J}\right), \quad (17)$$

where

$$\mathbf{J} = \begin{bmatrix} J^+ \\ -J^- \end{bmatrix}. \quad (18)$$

Differentiating this final expression with respect to J^+ and J^- , one may verify from the definition of Z that

$$\begin{aligned} \Delta^{++}(\vec{x}', t'; \vec{x}'', t'') &= -i \langle 0, t_0 | T \phi(\vec{x}', t') \phi(\vec{x}'', t'') | 0, t_0 \rangle, \\ \Delta^{--}(\vec{x}', t'; \vec{x}'', t'') &= -i \langle 0, t_0 | \bar{T} \phi(\vec{x}', t') \phi(\vec{x}'', t'') | 0, t_0 \rangle, \\ \Delta^{-+}(\vec{x}', t'; \vec{x}'', t'') &= -i \langle 0, t_0 | \phi(\vec{x}', t') \phi(\vec{x}'', t'') | 0, t_0 \rangle, \end{aligned} \quad (19)$$

where T and \bar{T} denotes time and antitime orderings, respectively. These are exactly the propagators obtained in the operator formalism. As usual, for an interacting theory with a polynomial potential $V(\phi)$, the generating functional can be expressed as

$$\begin{aligned} Z[J^+, J^-] &= \exp\left[\int -iV(-i\delta/\delta J^+)/2\right] \\ &\times \exp\left[i \int V(i\delta/\delta J^-)/2\right] Z_0[J^+, J^-], \end{aligned} \quad (20)$$

which can be evaluated order by order using perturbation theory.

C. de Sitter space and Wick rotation

At this moment, it is useful to discuss the specification of the vacuum state $|0, t_0\rangle$, which is closely related to Wick rotation. For that discussion, we specifically consider a real scalar field in the Poincaré patch of the de Sitter space and use conformal coordinates (η, \vec{x}) , where $\eta < 0$. The easiest way to specify the interacting vacuum at $\eta_0 = -\infty$ is to employ a projection by giving a small imaginary part to the time parameter η . In general, to project out an arbitrary ket-vector onto the interacting vacuum state defined at $\eta_0 = -\infty$, one may introduce the operator $\exp(-\epsilon H \Delta \eta)$ with $\Delta \eta \rightarrow \infty$ and $\epsilon > 0$, where H is the exact Hamiltonian.⁵ Since the unitary time evolution operator is given by $U = \exp(-iH\eta)$, such a projection can be naturally incorporated by assuming that the time parameter has a small negative imaginary piece. Therefore for fields evolving forward in time, which correspond to the $+$ branch in the in-in formalism, the time must be complexified as

$$\eta_+ = \eta_r(1 - i\epsilon), \quad (21)$$

where η_r is real and $\epsilon > 0$. Similarly, to project out an

arbitrary bra vector onto the interacting vacuum, time must be complexified as

$$\eta_- = \eta_r(1 + i\epsilon). \quad (22)$$

After these deformations of the integration contours, there is no need to keep the vacuum wave functionals in (5) and one writes

$$\begin{aligned} Z[J^+, J^-] &= \int D\phi \int \prod_{C_+} \mathcal{D}\phi^+ \int \prod_{C_-} \mathcal{D}\phi^- e^{iS[\phi^+, J^+] - iS[\phi^-, J^-]}, \end{aligned}$$

where C_+ and C_- are defined by (21) and (22) in the complex η plane (see Fig. 1).

Let us determine how introducing this complex tilt affects the propagators of a massless field in de Sitter space. It is well known that the scalar field operator can be expanded in terms of the mode functions as

$$\begin{aligned} \phi(\vec{x}, \eta) &= -H\eta \int \frac{d^3k}{(2\pi)^{3/2}} \frac{1}{\sqrt{2k}} \left[e^{i\vec{k}\cdot\vec{x} - ik\eta} \left[1 - \frac{i}{k\eta} \right] a_k \right. \\ &\quad \left. + e^{-i\vec{k}\cdot\vec{x} + ik\eta} \left[1 + \frac{i}{k\eta} \right] a_k^\dagger \right]. \end{aligned} \quad (23)$$

In evaluating the field operator $\phi(\vec{x}, \eta)$ on the contours C_+ and C_- , one should replace η by η_+ and η_- , respectively, which gives

$$\begin{aligned} \Delta^{-+}(\eta_-; \eta_+) &= \int d^3k e^{-ik\eta_- + ik\eta_+} \dots \\ &= \int d^3k e^{k\epsilon(\eta_r^+ + \eta_r^-)} \dots = \int d^3k e^{-k\epsilon} \dots \end{aligned} \quad (24)$$

and

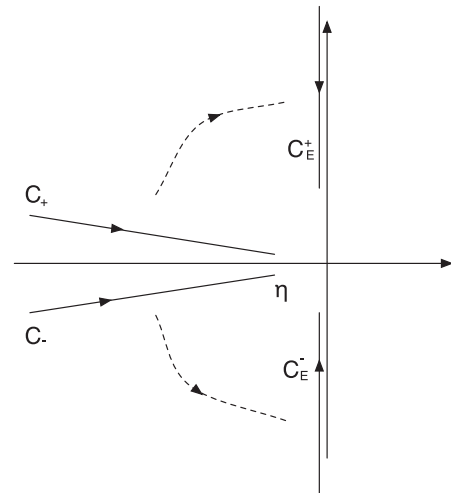


FIG. 1. The integration contours C_+ and C_- in the complex η plane. The Wick rotated contours are also shown as C_E^\pm .

⁵In the following discussion we take H to be time independent. With slight modifications, the arguments must be generalized to time-dependent situations, at least in the adiabatic limit.

$$\begin{aligned}
 \Delta^{++}(\eta'_+; \eta''_+) &= \theta(\eta' - \eta'') \int d^3k e^{-ik\eta'_+ + ik\eta''_+} \dots \\
 &\quad + \theta(\eta'' - \eta') \int d^3k e^{-ik\eta'_+ + ik\eta''_+} \dots \\
 &= \theta(\eta' - \eta'') \int d^3k e^{k\epsilon(\eta'_+ - \eta''_+)} \dots \\
 &\quad + \theta(\eta'' - \eta') \int d^3k e^{k\epsilon(\eta''_+ - \eta'_+)} \dots \\
 &= \int d^3k e^{-k\epsilon} \dots, \tag{25}
 \end{aligned}$$

where the signs are fixed by paying attention to the orderings along the respective contours and any positive number multiplying ϵ is absorbed in ϵ . The crucial point is that properly complexifying the time coordinates gives the extra $\exp(-k\epsilon)$ factors in the momentum integrals, which are necessary for the UV convergence of the Green functions (see e.g., Ref. [7]). A similar calculation shows that the same damping factors appear for Δ^{+-} and Δ^{--} , which become well defined in the UV.

The above discussion clearly indicates how one should perform Wick rotation to the Euclidean signature.⁶ Since the propagators are well defined along the contours C_+ and C_- , and they are analytic functions of their complex variables provided $\epsilon > 0$, one can do the following replacements without changing the propagators⁷:

$$\eta_+ \rightarrow -i\eta_r, \quad \eta_- \rightarrow +i\eta_r.$$

After this rotation, the Lorentzian actions for $+$ and $-$ branches

$$\begin{aligned}
 S_{\pm}[\phi^{\pm}] &= \frac{1}{2} \int_{C_{\pm}} d\eta d^3x \left[(\partial_{\eta} \phi^{\pm})^2 - (\vec{\partial} \phi^{\pm})^2 - \frac{V(\phi^{\pm})}{\eta^2} \right] \\
 &\quad \times \frac{1}{\eta^2}
 \end{aligned}$$

will be transformed into

$$\begin{aligned}
 S_{E}^{\pm}[\phi^{\pm}] &= \frac{i}{2} \int d\eta_r d^3x \left[(\partial_{\eta_r} \phi^{\pm})^2 + (\vec{\partial} \phi^{\pm})^2 - \frac{V(\phi^{\pm})}{\eta_r^2} \right] \\
 &\quad \times \frac{1}{\eta_r^2}. \tag{27}
 \end{aligned}$$

Note that the relative signs of the kinetic and the potential energy terms are the same for the Lorentzian and the Euclidean actions since both signs are changed. Of course, this could be anticipated from the beginning since the sign change for the kinetic term arises due to time derivatives and the sign of the potential is changed due to the metric functions. However, it was necessary to work out the

⁶In flat space, Wick rotation of the in-in path integrals has been discussed in Ref. [59].

⁷In other words, by Wick rotation the oscillating functions damped by a small convergence factor are replaced by the exponentially decaying functions of the Euclidean time.

intermediate steps as we did above to make sure that analytical continuation can be performed without a problem.

As a result, we see that Wick rotation to the Euclidean signature in the Poincaré patch of the de Sitter space does not give an inverted potential, and therefore it is not possible to construct standard instanton solutions for the scalar fields, which are supposed to extrapolate between different vacua as in the case of flat spacetime.⁸ Nevertheless, we will see in the next section that it is possible to construct classical solutions in the Lorentzian signature, which are reminiscent of instantons. In the de Sitter space, if the scalar field is assumed to depend only on time, as we will suppose in the next section, then the Lorentzian and the Euclidean field equations will be the same due to the special property of the Wick rotation discussed above. Namely any Lorentzian solution would also satisfy Euclidean field equations and vice versa. Therefore, in de Sitter space these solutions also correspond to the saddle points of Euclidean path integrals similar to instantons.

It is useful to remember that our discussion in this subsection is carried out in the Poincaré patch of the de Sitter space, which is relevant for cosmology. The full de Sitter space has different properties, and viewing it as the hyperboloid in the Minkowski space of one higher dimension, the Wick rotation to the Euclidean signature gives a sphere.

III. STATIONARY PHASES AND INSTANTON-LIKE STATES

Consider the following path integral:

$$\begin{aligned}
 I &= \int D\phi \int \prod_{t_0}^t \mathcal{D}\phi^+ \mathcal{D}\phi^- \exp(iS[\phi^+] \\
 &\quad - iS[\phi^-]) \Psi_0[\phi^+(t_0)] \Psi_0^*[\phi^-(t_0)], \tag{28}
 \end{aligned}$$

which appears in the generating functional (5). Our aim is to see if stationary phase approximation can be used to evaluate (28). We take the scalar action (2) defined in a general FRW spacetime with the metric (1). There are three integration variables in (28), and the phase must be stationary with respect to each of them. Moreover, although their existence only affects the integral at t_0 , the presence of the vacuum wave functionals must also be taken into account.

A. Boundary conditions

Surface terms may arise in our discussion for two different reasons: either as a result of integrating by parts the field variables or from the variations of the action. The vanishing of the surface terms for the first case is important to have a well defined path integral. For example, in the free theory these integrations by parts are necessary to

⁸Note, however, that the relative signs of the kinetic and the spatial gradient terms are changed.

obtain the second order differential operator in the action. Boundary conditions causing these surface terms to vanish must be imposed on all paths contributing to the in-in path integral, defining the function space in which the functional integral is carried on. The surface terms in the second case will be important in searching for stationary phases of the path integral as we will discuss in the next subsection.

Although it might be important to determine the precise boundary conditions in a more detailed study, here we simply assume that necessary conditions are imposed at *spatial* infinity and concentrate on the surface terms arising in the time direction. These surface terms can only arise from the kinetic term in (2). Here, one has the option of choosing two different alternatives. If one insists on freely integrating by parts the + and - branches, the following conditions must be imposed on the fields separately:

$$\begin{aligned} \text{Strong conditions : } \frac{\partial \phi^\pm}{\partial t'}(t) &= 0, \\ a^3(t_0)\phi^\pm(t_0)\frac{\partial \phi^\pm}{\partial t'}(t_0) &= 0. \end{aligned} \quad (29)$$

On the other hand, in many cases it would be enough to require the absence of surface terms for simultaneous integration by parts of the + and - fields, which implies

$$\begin{aligned} \text{Weak conditions : } \frac{\partial \phi^+}{\partial t'}(t) - \frac{\partial \phi^-}{\partial t'}(t) &= 0, \\ a^3(t_0)\left[\phi^+(t_0)\frac{\partial \phi^+}{\partial t'}(t_0) - \phi^-(t_0)\frac{\partial \phi^-}{\partial t'}(t_0)\right] &= 0. \end{aligned} \quad (30)$$

As noted above, these boundary conditions determine the function space on which the path integration is carried out. Our main results will not depend on the choice of the strong or the weak boundary conditions. However, it would be important to find out the correct conditions for some applications.

To continue, one must consider the infinite and the finite t_0 cases separately. For t_0 finite, we assume that $a(t_0)$ is well defined; i.e., we exclude the situations involving a big-bang singularity. For the other case $t_0 = -\infty$, we will assume, having of course inflation in mind, that $a(t_0) \rightarrow 0$ as $t_0 \rightarrow -\infty$ [which we simply write as $a(-\infty) = 0$]. Let us first discuss the $t_0 = -\infty$ case for which the boundary conditions can be determined unambiguously. We would like to remind the reader that the letter t is reserved to denote the present time, and we use t' as a dummy variable if necessary.

B. The case $t_0 = -\infty$

The path integral (28) is over all paths extending from $t_0 = -\infty$ to time t (+ branch) and then back to $t_0 = -\infty$ (- branch). Therefore, a stationary phase of (28) is a path, conveniently named as $\Phi_{\text{cl}}(t', \vec{x})$, which has independent + and - branches, denoted by $\phi_{\text{cl}}^\pm(t', \vec{x})$, respectively (the

branches are connected at time t). The variation around such a path can be parametrized by $\delta\phi^+$ and $\delta\phi^-$ obeying

$$\delta\phi^+(t, \vec{x}) = \delta\phi^-(t, \vec{x}) = \delta\phi(\vec{x}), \quad (31)$$

where $\delta\phi(\vec{x})$ corresponds to the variation of the path at the “boundary” time t . The path Φ_{cl} is stationary if

$$\frac{\delta}{\delta\phi^\pm}(S[\phi^+] - S[\phi^-])_{\Phi_{\text{cl}}} = 0. \quad (32)$$

From this variation, the following surface term arises (as usual surface terms along spatial directions are assumed to vanish by suitable boundary conditions):

$$\begin{aligned} \lim_{t_0 \rightarrow -\infty} \left[\delta\phi^+ a^3 \frac{\partial \phi_{\text{cl}}^+}{\partial t'} - \delta\phi^- a^3 \frac{\partial \phi_{\text{cl}}^-}{\partial t'} \right]_{t_0}^t \\ = \delta\phi \left[\frac{\partial \phi_{\text{cl}}^+}{\partial t'}(t) - \frac{\partial \phi_{\text{cl}}^-}{\partial t'}(t) \right] a(t)^3 \\ - \delta\phi^+(t_0) \frac{\partial \phi_{\text{cl}}^+(t_0)}{\partial t'} a(t_0)^3 \\ + \delta\phi^-(t_0) \frac{\partial \phi_{\text{cl}}^-(t_0)}{\partial t'} a(t_0)^3 = 0, \end{aligned} \quad (33)$$

where the condition (31) is used. Since $\delta\phi$ is independent, (33) implies $\partial\phi_{\text{cl}}^+(t, \vec{x})/\partial t = \partial\phi_{\text{cl}}^-(t, \vec{x})/\partial t$. Together with the boundary condition $\phi_{\text{cl}}^+(t, \vec{x}) = \phi_{\text{cl}}^-(t, \vec{x})$ (recall that ϕ_{cl}^+ and ϕ_{cl}^- denote two different branches of the same path Φ_{cl}), one sees that the path corresponding to the stationary phase must obey

$$\phi_{\text{cl}}^+ = \phi_{\text{cl}}^- \equiv \phi_{\text{cl}} \quad (34)$$

for all times in the region $(-\infty, t)$, because they have the same initial value data. The remaining terms in (33) vanish since we consider FRW spacetimes with $a(-\infty) = 0$, and thus (33) is satisfied. Thus, requiring the phase to be stationary with respect to the variations of the boundary variable in (28) implies the equality of the + and - paths. On the other hand, independent variations $\delta\phi^\pm$ imply the same condition for ϕ_{cl} :

$$\frac{\delta S[\phi_{\text{cl}}]}{\delta\phi} = 0; \quad (35)$$

i.e., ϕ_{cl} must obey the classical equations of motion.

One may see that Φ_{cl} satisfies all the weak boundary conditions (30) and only the two of the strong boundary conditions (29). Therefore, if one insists on imposing the strong boundary conditions, then $\partial\phi_{\text{cl}}(t, \vec{x})/\partial t = 0$ must also be satisfied.

Till now we have not yet worked out the vacuum wave functionals in (28), which directly affects the integrations over $\phi^\pm(-\infty)$. The vacuum wave functional of the free theory is a Gaussian centered around $\phi = 0$. The exact form of the vacuum wave functional in an interacting theory is not known, but in perturbation theory there must arise corrections to the Gaussian functional. In any

case, if the theory is expanded around $\phi = 0$, the vacuum wave functionals are expected to be oscillating wave functionals around that point. Consequently, the stationary phase approximation applied to $\phi^\pm(-\infty)$ integrations implies

$$\phi_{\text{cl}}(-\infty, \vec{x}) = 0. \quad (36)$$

If one perturbatively expands around a different vacuum, say $\phi = \phi_0$ corresponding to a minimum of the potential, then (36) must be replaced by $\phi_{\text{cl}}(-\infty, \vec{x}) = \phi_0$.

We thus conclude that any classical configuration obeying the equations of motion (35) and the asymptotic boundary condition (36) gives rise to a stationary phase of the integral (28) [with an additional constraint $\partial\phi_{\text{cl}}(t, \vec{x})/\partial t = 0$ if strong boundary conditions are imposed]. Here, there

appears only a single boundary condition (36), and the situation is obviously different from the boundary conditions arising in a typical in-out path integral having two asymptotic regions. This is the point (1) mentioned in the Introduction, and it will be crucial in constructing classical solutions as stationary phases.

Let us now expand the generating functional (5) around a solution ϕ_{cl} . We define new integration variables as

$$\phi^+ = \phi_{\text{cl}} + \hat{\phi}^+, \quad \phi^- = \phi_{\text{cl}} + \hat{\phi}^-, \quad \phi = \phi_b + \hat{\phi}, \quad (37)$$

where ϕ_b is the boundary value of ϕ_{cl}

$$\phi_b(\vec{x}) \equiv \phi_{\text{cl}}(t, \vec{x}). \quad (38)$$

In these new variables (5) becomes

$$\begin{aligned} Z[J^+, J^-]_{\text{inst}} &= \int D\hat{\phi} \int \prod_{-\infty}^t D\hat{\phi}^+ D\hat{\phi}^- e^{iS[\phi_{\text{cl}} + \hat{\phi}^+, J^+] - iS[\phi_{\text{cl}} + \hat{\phi}^-, J^-]} \Psi_0[\phi^+(-\infty)] \Psi_0^*[\phi^-(-\infty)] \\ &= \exp\left[i \int \phi_{\text{cl}}(J^+ - J^-)\right] \int D\hat{\phi} \int \prod_{-\infty}^t D\hat{\phi}^+ D\hat{\phi}^- e^{i\hat{S}[\phi_{\text{cl}}; \hat{\phi}^+, J^+] - i\hat{S}[\phi_{\text{cl}}; \hat{\phi}^-, J^-]} \hat{\Psi}_0[\hat{\phi}^+(-\infty)] \hat{\Psi}_0^*[\hat{\phi}^-(-\infty)], \end{aligned} \quad (39)$$

where $\hat{\Psi}_0$ denotes the new vacuum wave functionals and the hatted integration variables must obey

$$\hat{\phi}^+(t, \vec{x}) = \hat{\phi}^-(t, \vec{x}) = \hat{\phi}(\vec{x}). \quad (40)$$

The new action \hat{S} contains quadratic and higher order powers of the field variable, which can be written explicitly as

$$\hat{S}[\hat{\phi}, J] = -\frac{1}{2} \int d^4x \sqrt{-g} [\nabla_\mu \hat{\phi} \nabla^\mu \hat{\phi} + \hat{V}(\hat{\phi}) - 2J\hat{\phi}], \quad (41)$$

where the new potential \hat{V} is given by

$$\hat{V}(\hat{\phi}) = V(\hat{\phi} + \phi_{\text{cl}}) - V(\phi_{\text{cl}}) - V'(\phi_{\text{cl}})\hat{\phi}. \quad (42)$$

The terms linear in $\hat{\phi}^\pm$ cancel out after an integration by parts since ϕ_{cl} obeys equations of motion and surface terms vanish owing to the boundary conditions (40).

Differentiating with respect to J^+ and setting all external sources to zero, one finds from (39) that

$$\langle \hat{\phi} \rangle = \phi_{\text{cl}}, \quad (43)$$

where we assume that $\hat{\phi} = 0$ is a minimum of $\hat{V}(\hat{\phi})$ and the path integral in (39) is perturbatively evaluated around this vacuum implying $\langle \hat{\phi} \rangle = 0$. A nonzero vacuum expectation value such as (43) cannot be generated in perturbation theory.

It is very crucial to note that in expanding the action functionals in (35) around ϕ_{cl} , the same zeroth order term $S[\phi_{\text{cl}}]$ cancels each other in the exponential. As a result,

for the in-in path integral there is no need to assume $S[\phi_{\text{cl}}]$ to be finite to have a well defined expansion around ϕ_{cl} . This last property, which is also mentioned in the Introduction, allows a more general set of field configurations to become stationary phases.

As one would expect, in the following we will assume ϕ_{cl} to depend only on time:

$$\phi_{\text{cl}} = \phi_{\text{cl}}(t'), \quad (44)$$

which is suitable for cosmological applications. To warm up for our actual construction, we start studying simple models in flat space.⁹

Free massless scalar in flat space: The field equation $\ddot{\phi}_{\text{cl}} = 0$ can be solved as $\phi_{\text{cl}} = c_1 + c_2 t'$. The only solution obeying (36) is $\phi_{\text{cl}} = 0$, and thus there is no solution.

Free massive scalar in flat space: The equation of motion $\ddot{\phi}_{\text{cl}} + m^2 \phi_{\text{cl}} = 0$ has oscillating solutions. To impose (36) we first keep t_0 finite, and thus the solution becomes $\phi_{\text{cl}} = c \sin(m(t' - t_0))$. However, $t_0 \rightarrow -\infty$ limit is not well defined, and thus there is no solution for this case either.

Massless $\lambda\phi^4$ theory in flat space: The equation $\ddot{\phi}_{\text{cl}} + \lambda\phi_{\text{cl}}^3 = 0$ has two oscillating solutions that can be expressed in terms of elliptic functions. The solution obeying the necessary boundary condition at t_0 (once more keeping t_0 finite first) is

⁹In flat space the second line of (33) does not vanish identically. One should impose an extra ‘‘Dirichlet’’ boundary condition at infinity to set $\delta\phi^\pm(-\infty) = 0$.

$$\phi_{\text{cl}} = \pm c \left(\frac{2}{\lambda}\right)^{1/4} \text{sn} \left[c \left(\frac{\lambda}{2}\right)^{1/4} (t' - t_0), -1 \right], \quad (45)$$

where sn is the Jacobi elliptic function, but $t_0 \rightarrow -\infty$ limit is ill defined since the argument of sn does not converge. As another try, one may scale the constant c to rewrite the solution as

$$\phi_{\text{cl}} = \pm \frac{c}{t_0} \left(\frac{2}{\lambda}\right)^{1/4} \text{sn} \left[\frac{c}{t_0} \left(\frac{\lambda}{2}\right)^{1/4} (t' - t_0), -1 \right]. \quad (46)$$

This time, the argument of the sn function has a well defined limit as $t_0 \rightarrow -\infty$, but one ends up with the trivial solution $\phi_{\text{cl}} = 0$ due to the extra factor of t_0 that appeared in front.

Although it is possible to consider more examples, these are enough for one to convince oneself that in flat space no solution can be found for scalar fields. The reason is that the classical solutions are necessarily oscillating for all times and (36) only fixes the phase of the oscillation. Therefore, the solution automatically becomes ill defined at time t due to the infinite amount of oscillations it had performed on the way. It is clear that the expansion of the Universe, which shows up as a cosmic friction term in the scalar field equation, might change this situation. Let us therefore consider some examples in de Sitter space.

Free massless scalar in de Sitter space: The equation $\ddot{\phi}_{\text{cl}} + 3H\dot{\phi}_{\text{cl}} = 0$ can be solved as $\phi_{\text{cl}} = c_1 + c_2 \exp(-3Ht')$. To impose (36) let us first keep t_0 finite again, which gives $\phi_{\text{cl}} = \phi_0(1 - \exp[-3H(t' - t_0)])$. Sending $t_0 \rightarrow -\infty$, one finds $\phi_{\text{cl}} \rightarrow \phi_0$ for all finite t' , which is a trivial solution.

Free massive scalar in de Sitter space: The equation of motion $\ddot{\phi}_{\text{cl}} + 3H\dot{\phi}_{\text{cl}} + m^2\phi_{\text{cl}} = 0$ can be solved by assuming $\phi_{\text{cl}} = \exp(\beta t')$ where $\beta^2 + 3H\beta + m^2 = 0$. The solution obeying (36) can be written as $\phi_{\text{cl}} = c(\exp[\beta_+(t' - t_0)] - \exp[\beta_-(t' - t_0)])$. The real part of β is always negative, and therefore $\phi_{\text{cl}} \rightarrow 0$ as $t_0 \rightarrow -\infty$ for all finite t' , which shows that there is no suitable solution.

Massless $\lambda\phi^4$ theory in de Sitter space: In this case, the equation for ϕ_{cl} becomes $\ddot{\phi}_{\text{cl}} + 3H\dot{\phi}_{\text{cl}} + \lambda\phi_{\text{cl}}^3 = 0$, which cannot be solved analytically. However, the dynamics is well understood: the Hubble term damps the oscillations about the equilibrium point $\phi = 0$ of the $\lambda\phi^4$ potential. Assuming initially that the expansion rate is small compared to the frequency of the oscillations, one can write $\phi = Af$, where A represents the slowly varying amplitude and f is the rapidly oscillating function. Taking $A \gg H$, $\dot{A} \sim AH$ and $\dot{f} \gg Hf$ correspond to an expansion that is slow compared to the oscillations. Under these assumptions, the field equation can be approximately solved by imposing

$$2\dot{A} + 3HA = 0, \quad \ddot{f} + \lambda A^2 f^3 = 0, \quad (47)$$

which shows that the amplitude decreases exponentially $A = A_0 \exp(-3H(t - t_0)/2)$ and f is given by the Jacobi elliptic function sn . Therefore, the solution can be written as¹⁰

$$\phi_{\text{cl}} \simeq A \left(\frac{2}{\lambda}\right)^{1/4} \text{sn} \left[\left(\frac{\lambda}{2}\right)^{1/4} \int^t A(t') dt', -1 \right], \quad (48)$$

and it exponentially collapses to zero as $t_0 \rightarrow -\infty$. It is easy to see that if the expansion rate is not small compared to the initial oscillation frequency, the solution vanishes more rapidly in the limit.

One can have a physical understanding of these negative results as follows. As noted before, the in-in path integral is given by the sum over all paths extending from $t_0 = -\infty$ to t and then back to t_0 again. The trivial path $\phi = 0$ corresponding to the “ground state” is clearly a stationary phase of the integral and the perturbation theory works around this path. Consider now the paths with $\phi(t_0) = 0$ having arbitrary initial velocities at t_0 (such paths exist in the function space provided they satisfy the boundary conditions we discussed in the previous subsection). These paths can be viewed as spontaneous quantum fluctuations around the vacuum, and among them only stationary phases have a chance to give a significant contribution to the in-in path integral. In flat space, all classical solutions with $\phi(t_0) = 0$, i.e., all vacuum fluctuations, necessarily oscillate indefinitely, and their contribution to the path integral averages out to zero, which explains the absence of solutions in that case. On the other hand, in de Sitter space fluctuations starting with finite initial velocities at $t_0 = -\infty$ are all damped by the expansion of the Universe near infinity so that they all vanish “before” escaping from the asymptotic region. Therefore, the problem is that the solutions either oscillate too much (flat space) or are damped too much (de Sitter space).¹¹

The above comments suggest where to look for non-trivial stationary phases solutions. Take a scalar field propagating in an *expanding* FRW space with a potential pictured in Fig. 2. A stationary phase ϕ_{cl} must obey

$$\ddot{\phi}_{\text{cl}} + 3\frac{\dot{a}}{a}\dot{\phi}_{\text{cl}} + \frac{\partial V}{\partial \phi} = 0. \quad (49)$$

Assume that we are expanding around the vacuum $\phi_a = 0$. Solutions with small initial velocities are damped around $\phi_a = 0$, and the corresponding solution in the limit $t_0 \rightarrow -\infty$ is the trivial one $\phi_{\text{cl}} = \phi_a = 0$. Consider now the paths with larger initial velocities $\dot{\phi}_{\text{cl}}(t_0) > 0$ such that the

¹⁰The period of the $\text{sn}[x, -1]$ is given by the complete elliptic integral of first kind $K(x)$ as $2K(-1) \simeq 2.62$, which shows that the amplitude A in (48) also fixes the frequency of the oscillations.

¹¹As a side comment, let us mention that we are focusing on solutions that have finite velocities $\partial\phi_{\text{cl}}(t_0)/\partial t'$ so that the second line of (33) is satisfied. It would be interesting to study solutions with infinite initial velocities still satisfying (33), which might beat the infinite damping of the cosmic expansion.

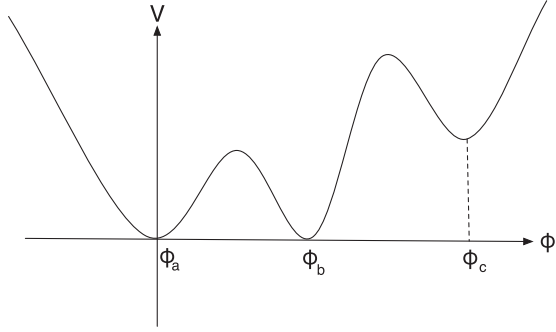


FIG. 2. A scalar potential supporting instanton-like solutions.

scalar jumps over the first bump in the right and performs damped oscillations around the second vacuum ϕ_b . It is clear that in the $t_0 \rightarrow -\infty$ limit the solution becomes¹²

$$\phi_{\text{cl}} = \phi_b. \quad (50)$$

Similarly, if $\dot{\phi}_{\text{cl}}(t_0)$ is chosen large enough so that the scalar overshoots the vacuum ϕ_b and starts performing damped oscillations around the locally stable vacuum ϕ_c , then the limiting solution corresponding to all such initial data becomes

$$\phi_{\text{cl}} = \phi_c. \quad (51)$$

Thus, all solutions starting from $\phi_{\text{cl}}(t_0) = 0$ with finite initial velocities asymptotically become $\phi_{\text{cl}} = \phi_a = 0$, $\phi_{\text{cl}} = \phi_b$, or $\phi_{\text{cl}} = \phi_c$, which are the nontrivial stationary phases. Note that these solutions also satisfy the strong boundary conditions (29).

The existence of these solutions implies that even one starts from the vacuum around $\phi_a = 0$; i.e., even when the vacuum wave functionals in (5) are chosen accordingly, the generating functional (5) will get contributions from the vacua ϕ_b and ϕ_c via the above instanton-like solutions, and one should write

$$Z = Z_a + Z_b + Z_c, \quad (52)$$

where Z_a , Z_b , and Z_c can be calculated using perturbation theory around the corresponding vacuum. Moreover one finds

$$\langle \phi \rangle = \phi_a + \phi_b + \phi_c, \quad (53)$$

and thus these solutions change the vacuum expectation value of the scalar from its naive perturbative value. This is very similar to tunneling to the perturbatively inaccessible vacua.

C. The case of finite t_0

For finite t_0 , there appears additional technical difficulties. One of the main problems is that in the absence of an

¹²Note that the $t_0 \rightarrow -\infty$ limit is equivalent to the $t' \rightarrow +\infty$ limit.

asymptotic region even the free vacuum cannot be uniquely determined in an expanding universe [55] (recall how one defines the Bunch-Davies vacuum). On the other hand, in an interacting theory one cannot use the trick of giving a small imaginary piece to time coordinate to project onto the exact vacuum, and thus even perturbation theory might become difficult to apply. Moreover, as we will see in a moment, the existence of stationary phases depends on whether one imposes the strong or the weak boundary conditions, discussed above.

We can bypass some of these difficulties since we are employing a semiclassical approximation. Taking $\phi = 0$ as the minimum of the scalar potential, it is reasonable to assume that the vacuum wave functionals are oscillating functionals of the field variables around this minimum. Therefore, in the stationary phase approximation, the presence of the vacuum wave functionals in the path integral implies $\phi_{\text{cl}}^{\pm}(t_0) = 0$ as a result of $\phi^{\pm}(t_0)$ integrals in (28). The variation of the phase in the path integral is still given by (33) (with finite t_0), and setting the coefficient of the first line to zero again implies $\phi_{\text{cl}}^+ = \phi_{\text{cl}}^-$. Therefore, stationary phases must obey

$$\phi_{\text{cl}}^+ = \phi_{\text{cl}}^- \equiv \phi_{\text{cl}}, \quad \phi_{\text{cl}}(t_0) = 0, \quad (54)$$

similar to the $t_0 = -\infty$ case.

The rest of the discussion depends on which boundary conditions are imposed in the function space. If one assumes the weak boundary conditions (30), then the only way to set the second line of (33) to zero is to impose $\partial \phi_{\text{cl}}(t_0)/\partial t' = 0$ [note that $\delta \phi^{\pm}(t_0)$ variations are independent], which then gives $\phi_{\text{cl}} = 0$. Thus, there is no solution for weak boundary conditions.

On the other hand, to satisfy the second strong condition involving $a(t_0)$ in (29), one should impose Dirichlet or Neumann conditions for + and - branches. When the Neumann condition is chosen either for ϕ^+ or ϕ^- , then one gets $\phi_{\text{cl}} = 0$. Therefore, instanton-like solutions exist only when Dirichlet conditions are imposed, i.e., $\phi^{\pm}(t_0) = 0$. In that case the second line of (33) is also satisfied since $\delta \phi^{\pm}(t_0) = 0$, and as a result one finds

$$\phi_{\text{cl}}(t_0) = 0, \quad \frac{\partial \phi_{\text{cl}}(t)}{\partial t'} = 0, \quad (55)$$

where the second condition follows from the first set of strong boundary conditions in (29).

It is not difficult to construct nontrivial classical solutions¹³ (actually infinitely many of them) satisfying (55). As an example consider again massless $\lambda \phi^4$ theory in flat space. The solution (45) already satisfies the condition $\phi_{\text{cl}}(t_0) = 0$, and imposing the second one in (55) gives

¹³Note that the function space with strong boundary conditions is smaller than the function space with weak boundary conditions. Therefore, one should not be surprised to see that the same path integral has stationary phases in the first space but not in the second one.

$$\phi_{\text{cl}}^{(n)}(t') = \pm \left(\frac{2}{\lambda}\right)^{1/2} \frac{(2n+1)K(-1)}{t-t_0} \times \text{sn}\left[\frac{(2n+1)K(-1)}{t-t_0}(t'-t_0), -1\right], \quad (56)$$

where $K(x)$ is the elliptic integral of first kind, $K(-1)$ equals the half period of the Jacobi elliptic function $\text{sn}[x, -1]$, and n is an integer. Note that as n gets larger, both the amplitude and the frequency of the oscillations grow, which shows the necessity of imposing an upper limit (cutoff) for n . The vacuum expectation value of the scalar still vanishes due to $\phi \rightarrow -\phi$ symmetry [note \pm signs in (56)]:

$$\langle \phi \rangle = 0. \quad (57)$$

Note also that as $t_0 \rightarrow -\infty$, $\phi_{\text{cl}} \rightarrow 0$ consistent with our earlier considerations.

Expanding the theory around one of these solutions gives the potential

$$\hat{V} = 3\lambda\phi_{\text{cl}}^{(n)}(t')^2\hat{\phi}^2 + 2\lambda\phi_{\text{cl}}^{(n)}(t')\hat{\phi}^3 + \frac{\lambda}{2}\hat{\phi}^4, \quad (58)$$

where $\hat{\phi}$ is the fluctuation field. The potential contains time-dependent coupling constants and specifically a time-dependent mass term. Since $\phi_{\text{cl}}^{(n)}$ oscillates about zero, the shape of the potential also changes in time due to sign flips of the cubic term. From (56), the mass term becomes independent of λ and the cubic interaction term has the strength $\sqrt{\lambda}$, which cannot arise in any perturbative expansion in λ .

Similar solutions can be seen to exist for different scalar potentials and for scalars propagating in an expanding FRW universe, although it might not be possible to write down analytical expressions. For instance, in the massless $\lambda\phi^4$ theory defined in an exponentially expanding spacetime (which is not de Sitter space since t_0 is finite), the solution becomes very much like (48), where the initial value of the time-dependent amplitude must be quantized to satisfy (55). Indeed, (48) becomes more and more reliable for larger amplitudes, and the solution approaches (56).

IV. CONCLUSIONS

Path integral formulation gives valuable nonperturbative information about the structure of quantum field theories, which is otherwise hard, if not impossible, to acquire. Likewise, it is not going to be surprising to see that in-in path integrals will reveal some nonperturbative aspects of quantum contributions to cosmological correlations. Despite its evident importance, the path integral formalism applied to in-in correlation functions is not studied too much. Although one may encounter earlier works like Refs. [3,5], (to our knowledge) even the very basic result

on the equivalence of the operator and the path integral approaches in perturbation theory has been proved relatively recently in Ref. [1]. Similarly, a quantitative in-in path integral treatment of the cosmological perturbation theory has been given about two years ago [60], which is very novel. Our work confirms that in-in path integral formalism will be an important technique in searching for nonperturbative effects for cosmological correlation functions, and some surprising results may still emerge.

Including the contributions of nontrivial stationary phases to in-in path integrals, some perturbative quantum results are destined to change, and this might have important theoretical and observational consequences. For example, in discussing the effects of symmetry breaking and Higgs mechanism in cosmology, the existence of instanton-like states must definitely be considered since they allow all locally stable minima to contribute the cosmological correlations. Namely, even when a gauge symmetry is broken by a nonzero vacuum expectation of a scalar in a certain cosmological era, correlation functions might still be modified by the presence of the symmetric vacuum via instanton-like states. On the other hand, if time-dependent solutions (i.e., instanton-like solutions whose time dependence survives the cosmic damping) exist similar to (56), they might affect the spectrum of cosmological perturbations (as by changing the tilt of the spectrum) since the spectrum is sensitive to the shape of the scalar potential, and these solutions give rise to potentials with time-dependent coupling constants like (58).

It is possible to extend this work in different directions. It would be very interesting to apply the present arguments to a quantum mechanical problem to test the validity of the stationary phase approximation in calculating in-in path integrals. As an inevitable extension, one can consider gauge fields coupled to scalars and work out how the existence of instanton-like solutions might have an impact on the Higgs mechanism on cosmological scales. It would also be an important exercise to include the gravitational degrees of freedom and determine the semiclassical gravitational contributions, which might affect the standard cosmological perturbation theory. Of course, the problems involving the gauge and the gravitational fields have an extra complication related to gauge degrees of freedom, but it is worthwhile to understand how the standard picture will be modified. In that way one might be more confident about inflation or find a caveat in its main arguments.

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