# Slow roll during the waterfall regime: The small coupling window for supersymmetric hybrid inflation

Sébastien Clesse<sup>1,\*</sup> and Björn Garbrecht<sup>2,†</sup>

<sup>1</sup>DAMTP, Centre for Mathematical Sciences, Wilberforce Road, Cambridge CB3 0WA, United Kingdom <sup>2</sup>Institut für Theoretische Teilchenphysik und Kosmologie, RWTH Aachen University, Aachen 52056, Germany (Received 20 April 2012; published 13 July 2012)

It has recently been pointed out that a substantial amount of e-folds can occur during the waterfall regime of hybrid inflation. Moreover, Kodama *et al.* have derived analytic approximations for the trajectories of the inflaton and of the waterfall fields. Based on these, we derive here the consequences for *F*- and *D*-term supersymmetric hybrid inflation: a substantial amount of e-folds may occur in the waterfall regime, provided  $\kappa \ll M^2/M_P^2$ , where  $\kappa$  is the superpotential coupling, *M* the scale of symmetry breaking and  $M_P$  the reduced Planck mass. When this condition is amply fulfilled, a number of e-folds much larger than  $N_e \approx 60$  can occur in the waterfall regime and the scalar spectral index is then given by the expression found by Kodama *et al.*  $n_s = 1 - 4/N_e$ . This value may be increased up to unity, if only about  $N_e$  e-folds occur during the waterfall regime, such that the largest observable scale leaves the horizon close to the critical point of hybrid inflation, what can be achieved for  $\kappa \approx 10^{-13}$  and  $M \approx 5 \times 10^{12}$  GeV in *F*-term inflation. Imposing the normalization of the power spectrum leads to a lower bound on the scale of symmetry breaking.

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## I. INTRODUCTION

Among the large variety of inflation models (for a recent review, see e.g. [1]), the hybrid class [2,3] is particularly promising. In hybrid models, inflation is realized in a false vacuum, along a nearly flat valley of the scalar field potential. It ends with a waterfall phase, triggered when the inflaton field reaches a critical value, from which the potential in the transverse direction develops a tachyonic instability, forcing the fields to reach one of the global minima of the potential. The facts that inflation can be realized at sub-Planckian field values and that it is based on renormalizable operators only are two attractive features of hybrid models. Moreover, compared to most small field models [4], the initial conditions for the fields do not require any extreme fine-tuning because the inflationary valley is an attractor that can be reached from initial values located outside the valley [5-8].

In the usual description of hybrid models [2,3,9-15], inflation is assumed to stop nearly instantaneously with the onset of the waterfall phase, and the dynamics is restricted to an effective one-field slow-roll model. Under these assumptions, the original version of hybrid inflation exhibits a slightly blue power spectrum and is therefore usually considered as ruled out by observations. Moreover, when the  $Z_2$  symmetry of the potential is broken at the end of inflation, domain walls are formed with catastrophic consequences for cosmology. This problem can be solved by considering a complex auxiliary field, so that the broken symmetry is U(1), leading to the formation of cosmic strings. In this case, the power spectrum can be in agreement with the CMB data [12,16]. The fast waterfall phase itself has been the object of recent attention, especially to determine the contribution of isocurvature perturbations [17–22] as well as the level of non-Gaussianities [23–28].

However, it has been pointed out recently that inflation can continue during the waterfall stage for much more than 60 e-folds [29], so that the observable perturbation scales exit the Hubble radius during the waterfall. In such a case, the power spectrum of scalar perturbations is red, possibly in agreement with CMB observations [29–33], and any topological defect formed at the critical point of instability is conveniently stretched outside the observable Universe.

Hybrid inflation can be embedded in various high energy frameworks, like grand unified theories [34–36], string cosmology [37-42], extra dimensions [43], as well as supersymmetry (SUSY) [3,44–48] and supergravity [49,50]. Supersymmetric models are additionally attractive because they offer an explanation for the protection of the flatness of the inflationary valley against radiative corrections. F-term [3,44] and D-term [45,49] hybrid models are the most well-known realization of hybrid inflation in supersymmetry. In these models, radiative corrections lift up the flat directions of the potential, giving rise to a red power spectrum of scalar perturbations. In the absence of additional nonrenormalizable corrections, the classic prediction for the scalar spectral index [44] is  $n_s = 0.98$ . These models have been studied intensively in the effective one-field slow-roll approach. When the contribution of cosmic strings formed at the end of inflation is taken into account, the primordial power spectrum has been shown to be in agreement (even if in tension because of large values of the spectral index) with CMB observations [9,10] in some regions of the model parameter space. The predictions for the spectral index can be lowered when

<sup>\*</sup>s.clesse@damtp.cam.ac.uk

garbrecht@physik.rwth-aachen.de

#### SÉBASTIEN CLESSE AND BJÖRN GARBRECHT

nonrenormalizable operators are added to the potential [9,10,14,48,51], but the additional parameters limit the predictivity of the models. Moreover, the resulting models correspond to hilltop scenarios along the waterfall trajectory, and the initial conditions for the scalar fields that lead to phenomenologically viable inflation are less general than for the original models.

However, the possibility that inflation can continue during the waterfall and affect the observable predictions has not yet been explored for the *F*-term and *D*-term models. This is the main goal of this paper. We use the method of Kodama *et al.* [30] to integrate the two-field slow-roll dynamics during the waterfall phase and identify that for the small coupling regime satisfying  $\kappa \ll M^2/M_P^2$ , where  $\kappa$  is the superpotential coupling, *M* the scale of symmetry breaking and  $M_P$  the reduced Planck mass, inflation continues for more than 60 e-folds along waterfall trajectories.

In this case, the standard effective one-field approach is not valid and the observable predictions are modified. We evaluate the amplitude of the power spectrum of adiabatic perturbations, as well as its spectral index. When the number of e-folds realized classically during the waterfall is much larger than  $N_e$ , the number of e-folds between the time when observable modes leave the Hubble radius and the end of inflation, the spectral index is given by  $n_s = 1 - 4/N_e$ . We also calculate the amplitude of the power spectrum, and derive a new constraint on the scale of symmetry breaking. In the limit when the observable scales leave the Hubble radius near the critical instability point, the spectral index tends to unity, so that it is in principle to find model parameters that accommodate with any value of the spectral index in the rage  $1 - 4/N_e < n_s < 1$ .

In Sec. II, the F- and D-term hybrid models are reviewed. A common parametrization of their potential that is convenient in order to deal with their dynamics near the critical instability point is introduced. The slowroll parameters are derived and the slow-roll equations of motion are given. In Sec. III, we give a coarse picture of the waterfall dynamics that applies when the number of e-folds in the waterfall regime is much larger than 60 and calculate the amplitude and the spectral index of the power spectrum of adiabatic perturbations. Section IV is dedicated to a more precise analysis of the dynamics, and we show, that a spectral index close to unity can arise, provided the largest scales, that are observable today, left the horizon during inflation at the beginning of the waterfall phase. In Sec. V, we present estimates for the initial condition for the classical evolution of the waterfall field, that is induced by quantum diffusion. Section VI contains a summary and conclusions.

# II. SUSY HYBRID INFLATION CLOSE TO THE CRITICAL POINT

#### A. *F*-term inflation

The superpotential for F-term inflation is given by [3,44]

$$W = \kappa \hat{S}(\hat{H}\hat{H} - M^2), \qquad (1)$$

where  $\hat{S}$  is a gauge singlet superfield and  $\hat{H}(\hat{H})$  are superfields in the (anti-)fundamental representation of SU( $\mathcal{N}$ ). This gives rise to tree-level scalar potential

$$V_0 = \kappa^2 (|\bar{H}H - M^2|^2 + |S\bar{H}|^2 + |SH|^2), \qquad (2)$$

where now *S*, *H* and  $\bar{H}$  are complex scalar fields. When *S* acquires a vacuum expectation value (VEV), while  $\langle H \rangle = \langle \bar{H} \rangle = 0$  (angle brackets denote the VEV), as it is the case along the trajectory that supports hybrid inflation, there are  $\mathcal{N}$  Dirac fermions of mass  $\kappa S$ ,  $\mathcal{N}$  complex scalar fields  $H_+ = \frac{1}{\sqrt{2}}(H + \bar{H})$  of mass square  $m_+^2 = \kappa^2(|S|^2 - M^2)$  and  $\mathcal{N}$  complex scalar fields  $H_- = \frac{1}{\sqrt{2}}(H - \bar{H})$  of mass square  $m_-^2 = \kappa^2(|S|^2 + M^2)$ . The canonically normalized real scalar field  $\sigma = \sqrt{2}|S|$  is the inflaton field, while  $\psi = \sqrt{2}H_+$  is the waterfall field. Note that the *D* term forces  $|H| = |\bar{H}|$ , implying that the VEV of  $H_-$  is vanishing.

When  $\langle H_{-} \rangle = 0$ , the tree potential is

$$V_{0}(\sigma, \psi) = \kappa^{2} M^{4} \left[ \left( 1 - \frac{\psi^{2}}{4M^{2}} \right)^{2} + \frac{\sigma^{2} \psi^{2}}{4M^{4}} \right]$$

$$= \frac{\kappa^{2}}{4} \sigma_{c}^{4} \left[ \left( 1 - \frac{\psi^{2}}{2\sigma_{c}^{2}} \right)^{2} + \frac{\sigma^{2} \psi^{2}}{\sigma_{c}^{4}} \right].$$
(3)

The degrees of freedom enumerated above give rise to the one-loop corrections

$$V_{1} = \frac{\kappa^{4} \mathcal{N}}{128\pi^{2}} \left\{ (\sigma^{2} - \sigma_{c}^{2})^{2} \log \left( \kappa^{2} \frac{\sigma^{2} - \sigma_{c}^{2}}{2Q^{2}} \right) + (\sigma^{2} + \sigma_{c}^{2})^{2} \\ \times \log \left( \kappa^{2} \frac{\sigma^{2} + \sigma_{c}^{2}}{2Q^{2}} \right) - 2\sigma^{4} \log \left( \kappa^{2} \frac{\sigma^{2}}{2Q^{2}} \right) \right\},$$
(4)

where  $\sigma_c = \sqrt{2}M$  is the critical value and Q is an ultraviolet cutoff. SUSY *F*-term hybrid inflation takes place in the potential  $V = V_0 + V_1$ . For  $\sigma < \sigma_c$ , the scalar fields are in the waterfall regime, which is the concern of the present paper.

For the dynamics near the critical point  $\sigma_c$ , the first derivative of the radiatively induced potential,

$$\frac{\partial V_1(\sigma)}{\partial \sigma} \bigg|_{\sigma = \sigma_c} = \frac{\kappa^4 \mathcal{N}}{8\pi^2} \sigma_c^3 \log 2, \tag{5}$$

is of importance. The second derivatives are of order  $\kappa^4 M^2/(16\pi^2) \times \mathcal{O}(1)$ . These induce  $\eta$  parameters  $\eta = \kappa^2 (M_P/\sigma_c)^2/(16\pi^2) \times \mathcal{O}(1)$ , with  $M_P$  the reduced Planck mass. An  $\eta$  parameter larger than 1 violates the slow-roll conditions. Therefore, inflation is terminated due to the radiative corrections close to the critical point provided  $\kappa \gg (4\pi\sigma_c)/M_P \times \mathcal{O}(1)$ . We show below that a substantial amount of e-folds occurs after crossing the critical point provided the stronger constraint  $\kappa \ll \sigma_c^2/M_P^2$  holds. Hence, neglecting the second derivatives is a self-consistent approximation in that regime. In the form of the effective potential (4), the  $\mathcal{O}(1)$  factor would actually

encompass a term that logarithmically diverges at the critical point, due to the correction from the massless waterfall field. Moreover, when  $\sigma < \sigma_c$ , the potential (4) is ill defined, because of the negative mass-square instability of the waterfall field. This is due to the limitations of the method of calculating the effective potential and does not indicate a singular behavior in the time evolution of  $\sigma$ . One should expect that the IR divergence exhibited by the logarithm is regulated by the time evolution of sigma or perhaps the horizon size  $H^{-1}$ . However, even when the logarithm is large, in the regime  $\kappa \ll M^2/M_{\rm P}^2$ , its coefficient is small enough such that we can neglect this effect that would be intricate to deal with theoretically. The corrections that lead to the first derivative (5) of the effective potential originate from fields with positive mass square around the critical point. Therefore Eq. (5) reproduces the slope of the potential at the critical point in a reliable manner.

## B. D-term inflation

For this model, the superpotential is [45,49]

$$W = \kappa \hat{S} \, \bar{H} \, \hat{H}, \tag{6}$$

and the D term is

$$D = \frac{g}{2} (|H|^2 - |\bar{H}|^2 + m_{\rm FI}^2).$$
(7)

The superfields  $\hat{H}$  and  $\hat{H}$  are in the one-dimensional representation of a U(1) gauge group, and  $m_{\rm FI}$  is the Fayet-Iliopoulos term. The canonically normalized inflaton field is  $\sigma = \sqrt{2}|S|$  and the waterfall field  $\psi = \sqrt{2}|\hat{H}|$ . For  $\langle \psi \rangle = 0$ , there are two real scalar fields of mass square  $\kappa^2 \sigma^2/2 + g^2 m_{\rm FI}^2/4$  and two of mass square  $\kappa^2 \sigma^2/2 - g^2 m_{\rm FI}^2/4$ . When the field  $\sigma$  evolves below its critical value

$$\sigma_c = \frac{1}{\sqrt{2}} \frac{g}{\kappa} m_{\rm FI},\tag{8}$$

the mass square of the waterfall field becomes negative. In addition, there is a Dirac fermion of mass square  $\kappa^2 \sigma^2/2$ . This leads to the tree-level potential

$$V_{0} = \kappa^{2} (|H\bar{H}|^{2} + |SH|^{2} + |S\bar{H}|^{2}) + \frac{1}{2}D^{2}$$
  
$$= \frac{g^{2}}{8}m_{\rm FI}^{2} \left[ \left( 1 - \frac{\psi^{2}}{2m_{\rm FI}^{2}} \right)^{2} + 2\frac{\kappa^{2}}{g^{2}}\frac{\sigma^{2}\psi^{2}}{m_{\rm FI}^{4}} \right] \qquad (9)$$
  
$$= \frac{\kappa^{4}}{2g^{2}}\sigma_{c}^{4} \left[ \left( 1 - \frac{g^{2}}{4\kappa^{2}\sigma_{c}^{2}}\psi^{2} \right)^{2} + \frac{g^{2}}{2\kappa^{2}\sigma_{c}^{4}}\sigma^{2}\psi^{2} \right].$$

When eliminating  $m_{\rm FI}$  in favor of  $\sigma_c$ , the one-loop potential takes the same form as for the *F*-term case, Eq. (4). The  $\eta$  term at the critical point may be estimated as  $\eta = g^2 (M_{\rm P}/\sigma_c)^2/(8\pi^2) \times \mathcal{O}(1)$ . Therefore we must require that  $g \ll 2\sqrt{2}\sigma_c/M_{\rm P}$ , or, equivalently  $\kappa \ll 2m_{\rm FI}/M_{\rm P}$ , for inflation not to terminate at the critical point. The comments on the IR divergence of the second derivative and its relevance made above for the *F*-term model apply to the present case as well.

#### C. Common parametrization

Since the *F*- and *D*-term models share common features, it is convenient to use the parametrization

$$V = \Lambda \left[ \left( 1 - \alpha \frac{\psi^2}{\sigma_c^2} \right)^2 + 2\alpha \frac{\sigma^2 \psi^2}{\sigma_c^4} \right] + \beta \sigma_c^3 \sigma.$$
 (10)

The values of the particular parameters can be inferred from the expressions [Eqs. (3), (4), and (9)], and they are summarized as well in Table I.

We then follow Ref. [30] in introducing the parametrization

$$\sigma = \sigma_c \mathrm{e}^{\xi},\tag{11a}$$

$$\psi = \psi_0 \mathrm{e}^{\chi}. \tag{11b}$$

Throughout the slow-roll regime and after the crossing of the critical point,  $\xi < 0$  and  $|\xi| \ll 1$ , which is consistently verified by the explicit solutions. It is useful to note the derivatives

$$\frac{\partial V}{\partial \sigma} = \Lambda \frac{4\alpha \sigma \psi^2}{\sigma_c^4} + \beta \sigma_c^3, \qquad (12a)$$

$$\frac{\partial V}{\partial \psi} = \Lambda \left( \frac{4\alpha^2 \psi^3}{\sigma_c^4} + \frac{4\alpha \psi}{\sigma_c^2} \frac{\sigma^2 - \sigma_c^2}{\sigma_c^2} \right)$$

$$\approx \Lambda \left( \frac{4\alpha^2 \psi^3}{\sigma_c^4} + \frac{8\alpha \psi \xi}{\sigma_c^6} \right) \qquad (12b)$$

$$\approx \Lambda \left( \frac{4u \, \psi}{\sigma_c^4} + \frac{6u \, \psi_s}{\sigma_c^2} \right), \tag{12b}$$

$$\frac{\partial^2 V}{\partial \sigma^2} = \Lambda \frac{4\alpha \psi^2}{\sigma_c^4},\tag{12c}$$

$$\frac{\partial^2 V}{\partial \psi^2} = \Lambda \left( \frac{12\alpha^2 \psi^2}{\sigma_c^4} + \frac{4\alpha}{\sigma_c^2} \frac{\sigma^2 - \sigma_c^2}{\sigma_c^2} \right) \\ \approx \Lambda \left( \frac{12\alpha^2 \psi^2}{\sigma_c^4} + \frac{8\alpha\xi}{\sigma_c^2} \right),$$
(12d)

$$\frac{\partial^2 V}{\partial \sigma \partial \psi} = \Lambda \frac{8\alpha \sigma \psi}{\sigma_c^4},\tag{12e}$$

and the slow-roll parameters

TABLE I. Parameters to be substituted into the potential (10) in order to obtain the *F*- and *D*-term models close to the critical point.

	F term	D term
Λ	$\kappa^2 M^4$	$\frac{\kappa^4}{2g^2}\sigma_c^4 = \frac{g^2}{8}m_{\mathrm{FI}}^4$
$\sigma_c$	$\sqrt{2}M$	$\frac{g}{\sqrt{2\kappa}}m_{\rm FI}$
α	$\frac{1}{2}$	$\frac{g^2}{4\kappa^2}$
β	$\frac{\mathcal{N}\kappa^4}{16\pi^2}\log 2$	$\frac{\frac{8}{\sqrt{2\kappa}}m_{\rm FI}}{\frac{\frac{8^2}{4\kappa^2}}{\frac{4\kappa^2}{16\pi^2}}\log 2}$

$$\varepsilon_{\sigma} = \frac{1}{2} M_{\rm P}^2 \left(\frac{4\alpha\sigma\psi^2}{\sigma_c^4}\right)^2 + \frac{1}{2} M_{\rm P}^2 \frac{\beta^2 \sigma_c^6}{V_0^2}, \qquad (13a)$$
$$\varepsilon_{\psi} = \frac{1}{2} M_{\rm P}^2 \left(\frac{4\alpha^2\psi^3}{\sigma_c^4} + \frac{4\alpha\psi}{\sigma_c^2} \frac{\sigma^2 - \sigma_c^2}{\sigma_c^2}\right)^2$$

$$\approx \frac{1}{2} M_{\rm P}^2 \left( \frac{4\alpha^2 \psi^3}{\sigma_c^4} + \frac{8\alpha \psi \xi}{\sigma_c^2} \right)^2, \tag{13b}$$

$$\eta_{\sigma\sigma} = M_{\rm P}^2 \frac{4\alpha \psi^2}{\sigma_c^4},\tag{13c}$$

$$\eta_{\psi\psi} = M_{\rm P}^2 \left( \frac{12\alpha^2\psi^2}{\sigma_c^4} + \frac{4\alpha}{\sigma_c^2} \frac{\sigma^2 - \sigma_c^2}{\sigma_c^2} \right)$$
$$\approx M_{\rm P}^2 \left( \frac{12\alpha^2\psi^2}{\sigma_c^4} + \frac{8\alpha\xi}{\sigma_c^2} \right), \tag{13d}$$

$$\eta_{\sigma\psi} = M_{\rm P}^2 \frac{8\alpha\sigma\psi}{\sigma_c^4},\tag{13e}$$

where  $\varepsilon_X = \frac{1}{2}M_P^2[(\partial V/\partial X)/V]^2$  and  $\eta_{XY} = M_P^2[\partial^2 V/(\partial X\partial Y)]/V$ . The first derivatives enter the slow-roll equations of motion,

$$3H\frac{\partial X}{\partial t} = -\frac{\partial V}{\partial X},\tag{14}$$

$$H^2 = \frac{V}{3M_{\rm P}^2}.$$
 (15)

# III. DYNAMICS OF THE WATERFALL: COARSE PICTURE

In this section, we determine the field trajectories for the last  $N_e$  e-folds of inflation that are relevant for CMB observations and calculate the scalar power spectrum amplitude and spectral index, in the generic case where inflation along the waterfall trajectories lasts for much more than  $N_e$  e-folds. This regime corresponds to

$$\alpha \psi^2 / \sigma_c^2 \ll |\xi| \tag{16}$$

$$4\Lambda\alpha\psi^2 \gg \beta\sigma_c^6. \tag{17}$$

It is referred to as phase 2(a) in Ref. [30]. Equations (12a) and (12b) and the slow-roll equations of motion (14) yield

$$\frac{d\xi}{d\chi} = \frac{1}{2} \frac{\psi_0^2}{\sigma_c^2} \frac{\mathrm{e}^{2\chi}}{\chi}.$$
 (18)

This relation can be integrated,

$$\xi^{2} = \frac{1}{2} \frac{\psi_{0}^{2}}{\sigma_{c}^{2}} e^{2\chi} = \frac{\psi^{2}}{2\sigma_{c}^{2}}.$$
 (19)

A sufficient condition for inflation to terminate is the violation of the slow-roll condition  $|\eta_{\psi\psi}| < 1$  that occurs for

$$\xi = \xi_{\text{end}} = -\frac{\sigma_c^2}{8\alpha M_{\text{P}}^2}.$$
(20)

At this point,  $\eta_{\sigma\psi} = \sqrt{2}$ , while  $\eta_{\sigma\sigma} = (\sigma_c^2)/(8\alpha M_P^2) \ll 1$ . Qualitatively, one may therefore explain the end of inflation as a consequence of the classical backreaction via the dimensionless couplings of both evolving fields,  $\sigma - \sigma_c$  and  $\psi$ , cf. also the discussion in Ref. [20]. Note that the  $\eta$  conditions imply that up to this point, no exponential tachyonic growth of modes of  $\psi$  and  $\sigma$  has yet occurred, such that it is justified to neglect quantum backreaction.

As a consistency check, we notice that the condition (16)is met for  $\xi = \xi_{end}$ , provided  $\sigma_c/M_P \ll 2$ , such that the VEV of the inflaton during inflation is sub-Planckian and the effects of (super-)gravity are perturbatively small. At this point, we also find  $\eta_{\sigma\psi} = 1$  and  $\eta_{\sigma\sigma} = \sigma_c^2 / (8\alpha M_P^2)$ . This latter term is much smaller than one in the F-term model, provided  $M \ll M_{\rm P}$  and in the *D*-term model provided  $m_{\rm FI} \ll M_{\rm P}$ . These conditions coincide with those one would impose from demanding (super-)gravity to be in the perturbative regime during inflation. The initial conditions chosen for the particular solution (19) correspond to a trajectory that trespasses the critical point where  $\xi = 0$  and  $\psi = 0$ . At the critical point however, the assumptions (16) and (17) are not valid. Nevertheless, if there are values of  $\xi$  such that  $\xi \ll \xi_{end}$  and the assumptions (16) and (17) hold on the trajectory (19), then Eq. (19) corresponds to a trajectory evolving from somewhere *close* to the critical point to  $\xi_{end}$ .

Using the relation dN = Hdt, where N parametrizes the number of e-folds, and substituting the trajectory (19) into Eq. (12a) leads to the equation

$$\frac{d\xi}{dN} = -\frac{8M_{\rm P}^2\alpha}{\sigma_c^2}\xi^2,\tag{21}$$

which can be integrated to

$$\xi = -\frac{\sigma_c^2}{8\alpha M_{\rm P}^2 (N_{\rm end} - N + 1)}.$$
 (22)

How many e-folds can occur in this regime? The trajectory (19) violates the condition (17), when  $\xi = -\frac{\kappa}{4\pi}\sqrt{\mathcal{N}\log 2}$ , where we set  $\mathcal{N} = 1$  for the *D*-term case.

Therefore, in order to achieve more than  $N_e$  e-folds on the trajectory (19), the condition

$$\kappa \ll \frac{\pi \sigma_c^2}{2\alpha M_{\rm P}^2 \sqrt{\mathcal{N} \log^2(N_{\rm e}+1)}}$$
(23)

must be satisfied. This is one of our main results for supersymmetric hybrid inflation in the waterfall regime.

It turns out, as described in Sec. IV, that a substantial amount of e-folds may also occur before the violation of condition (17). In Ref. [30], this is referred to as phase 1. The condition for this to happen has the same parametric dependence on  $\alpha$ ,  $\sigma_c$  and  $M_P$  as for the relation (23), but a different coefficient. Before moving to that analysis, we derive the predictions for the power spectrum, provided

that inflation in the last  $N_e$  e-folds is described by the trajectory (19); i.e. relation (23) is satisfied.

The instantaneous direction of the evolution of the fields can be parametrized by

$$\cos\vartheta = \frac{\dot{\sigma}}{\sqrt{\dot{\sigma}^2 + \dot{\psi}^2}},\tag{24}$$

where the dot denotes a derivative with respect to *t*. We refer to the linear combination of fields in that direction by *s*, which leads to the subscripts that we use in the following. On the trajectory (19),  $\cos \vartheta = 1/\sqrt{3}$ . The  $\eta$  parameter in the *s* direction is

$$\eta_{ss} = \eta_{\sigma\sigma} \cos^2 \vartheta + 2\eta_{\sigma\psi} \cos \vartheta \sin \vartheta + \eta_{\psi\psi} \sin^2 \vartheta. \quad (25)$$

The parameter  $\eta_{\sigma\sigma}$  is suppressed by a factor of  $M^2/M_{\rm P}^2$  or  $m_{\rm FI}^2/M_{\rm P}^2$ , respectively. The other parameters are evaluated for  $N_{\rm end} - N = N_{\rm e}$ , using Eqs. (19) and (21). For the scalar spectral index, one then finds [30]

$$n_{\rm s} = 1 + 2\eta_{ss} = 1 - \frac{4}{N_{\rm e}}.$$
 (26)

This result is generic for hybrid inflation in a wide parametric range [30], not only for the SUSY variants. The number of e-folds  $N_e$  is to be evaluated at the fiducial WMAP scale  $k = 0.002 \text{ Mpc}^{-1}$ . In order to obtain the value for  $N_e$ , we may approximate the energy scale of inflation that is dominated by  $\Lambda$ . We then obtain [52]

$$N_{\rm e} = 59.1 - \frac{4 - 3(1 + \bar{w}_{\rm reh})}{12(1 + \bar{w}_{\rm reh})} \log \frac{\Lambda}{\varrho_{\rm reh}} + \frac{1}{4} \log \frac{\Lambda}{(10^{16} \,\,{\rm GeV})^4},$$
(27)

where  $\rho_{\rm reh} < \Lambda$  denotes the energy density, below which the Universe is radiation dominated, and where  $\bar{w}_{\rm reh}$ denotes the mean equation of state parameter during the reheating phase (i.e. the end of inflation and the point, after which the Universe is radiation dominated). Its value depends on the details of the tachyonic preheating phase. If the tachyonic preheating process is not efficient,  $\bar{w}_{\rm reh} = 0$ and the Universe is matter dominated due to coherent oscillations of inflaton and waterfall field.

Provided that isocurvature perturbations do not contribute significantly to the power spectrum, the value for  $n_s$ therefore lies somewhat below its most recently reported central observational value [53,54]. In order to maximize the value of  $n_s$ , one should therefore assume  $Q_{\rm reh} = \Lambda$ , corresponding to instantaneous reheating. This can be achieved provided the fields H and  $\bar{H}$  have large couplings to the standard model sector. Nonetheless, as it stands, the model is disfavored at more than  $2\sigma$  even if  $N_e \approx 60$  by CMB observations. However, note that provided less than  $N_e$  folds lie on the trajectory (19), one may expect larger values for  $n_s$ , because the horizon exit then occurs for VEVs at which the curvature of the potential is smaller than in the present case. We investigate this in Sec. IV. Finally, we derive the additional constraint from the amplitude of the power spectrum. The  $\varepsilon$  parameter in *s* direction is  $\varepsilon_s = \varepsilon_{\sigma} + \varepsilon_{\psi}$ . For the amplitude, we obtain

$$\mathcal{P}_{\mathcal{R}} = \frac{\Lambda}{24\pi^2 M_{\rm P}^4 \varepsilon_s} \bigg|_{N_{\rm end} - N = N_{\rm e}} = \frac{2^4 \alpha^2 \Lambda M_{\rm P}^2 N_{\rm e}^4}{9\pi^2 \sigma_c^6}, \quad (28)$$

i.e.

$$\mathcal{P}_{\mathcal{R}} = \frac{\kappa^2 M_{\rm P}^2 N_{\rm e}^4}{18\pi^2 M^2}$$
 for *F*-term inflation, (29a)

$$\mathcal{P}_{\mathcal{R}} = \frac{\kappa^4 M_{\rm P}^2 N_{\rm e}^4}{9\pi^2 g^2 m_{\rm FI}^2} \quad \text{for } D\text{-term inflation.} \quad (29b)$$

In conjunction with the constraint (23), this leads to lower bounds on the symmetry-breaking scales,

$$\frac{M^2}{M_{\rm P}^2} \gg \frac{9\mathcal{N}\log 2}{2N_{\rm e}^2} \mathcal{P}_{\mathcal{R}} \quad \text{for } F\text{-term inflation,} \qquad (30a)$$

$$\frac{m_{\rm Fl}^{\rm o}}{M_{\rm P}^{\rm o}} \gg \frac{9g^2 \log^2 2}{\pi^2} \, \mathcal{P}_{\mathcal{R}} \quad \text{for $D$-term inflation.} \tag{30b}$$

These relations together with Eq. (29) constitute another main result for SUSY-hybrid inflation in the waterfall regime.

In Fig. 1, we plot the relation between  $\kappa$  and M for the *F*-term model with  $\mathcal{N} = 1$  (notice that the influence of the parameter  $\mathcal{N}$  is not very significant), that is imposed by the normalization of the amplitude of the power spectrum (29a). Moreover, we mark the region in which  $\kappa$  is too large (or M is too small) in order to lead to a large enough

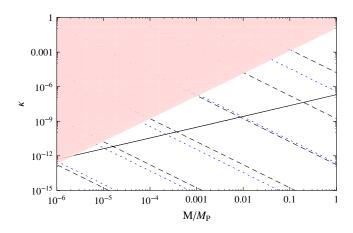


FIG. 1 (color online). Relation between  $\kappa$  and M for the *F*-term model with  $\mathcal{N} = 1$  (solid black line) that follows from the amplitude of the power spectrum (29a) and the number of e-folds (27). The light red (shaded) region corresponds to the regime of a nearly instantaneous waterfall transition, determined with Eq. (23), for which no more than a few number of e-folds are realized along classical waterfall trajectories. Dashed black lines are the isocontours of constant energy scale for inflation  $(V_*^{1/4})$ , respectively 10<sup>6</sup>, 10<sup>8</sup>, 10<sup>10</sup>, 10<sup>12</sup> and 10<sup>14</sup> GeV from left to right. Blue dotted lines are the isocontours of constant spectral index from Eq. (26), respectively 0.89, 0.90, 0.91, 0.92, 0.93 from left to right.

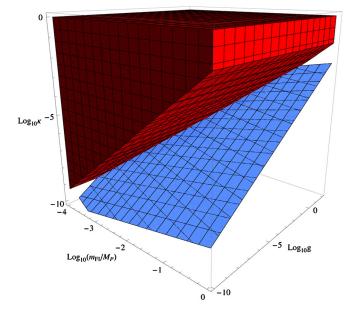


FIG. 2 (color online). Constraints on the 3D parameter space of D-term model from the normalization of the power spectrum (29b) (blue plane area). The top red region corresponds to the regime of nearly instantaneous waterfall, determined with Eq. (23).

number of e-folds (27). Lower bounds on the mass parameter  $M \gtrsim 10^{-6} M_{\rm P}$  and on the coupling  $\kappa \gtrsim 10^{-12}$  are deducted. Finally, we have plotted the corresponding energy scale of inflation at the critical point of instability. It is found to vary from  $10^6$  to  $10^{15}$  GeV.

CMB constraints on the three-dimensional parameter space of the *D*-term model are plotted in Fig. 2. The region allowed by the normalization of the power spectrum (29b) corresponds to a thin slice of this parameter space. We

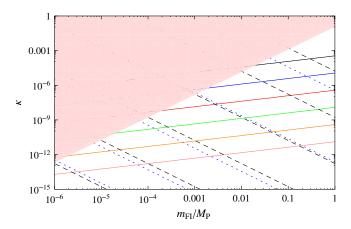


FIG. 3 (color online). Relation between  $\kappa$  and  $m_{\rm FI}$  for various values of g (from top to bottom: 1, 10<sup>-3</sup>, 10<sup>-6</sup>, 10<sup>-9</sup>, 10<sup>-12</sup>, 10<sup>-15</sup>) in the *D*-term model from the normalization of the power spectrum (29b) (blue lines). In the light red (shaded) region, that is determined by Eq. (23), the waterfall transition proceeds nearly instantaneously. Black dashed and blue dotted lines are isocontours of constant energy density and spectral index values, as in Fig. 1.

restrict  $\kappa < 4\pi$ , in order to keep the perturbation theory expansion valid. A corresponding two-dimensional diagram for various values of g is provided in Fig. 3. For  $0.1M_{\rm P} \lesssim m_{\rm FI} \lesssim M_{\rm P}$ , the spectral index can be in agreement (but in strong tension) with the WMAP constraints, provided a coupling to fermions of the order of unity and  $\kappa \approx 10^{-4}$ .

Let us notice also that the energy scale of inflation for the *D*-term model can be as low as a few TeV and the number of e-folds during the waterfall about  $N_e$ , provided  $g \approx 10^{-20}$ ,  $\kappa \approx 10^{-16}$  and  $m_{\rm FI} \approx 10^{-8} M_{\rm P}$ . This extreme case is of particular interest since the *D*-term model can then provide a mechanism for the recent acceleration of the Universe expansion [55].

## IV. DYNAMICS OF THE WATERFALL: REFINED PICTURE

We apply now some more of the details that are derived in Ref. [30] to SUSY hybrid inflation. In particular, we consider initial conditions that satisfy relation (16) but that turn around the bound (17), such that

$$4\Lambda\alpha\psi^2\ll\beta\sigma_c^6.\tag{31}$$

In Ref. [30], this is referred to as phase 1(a). Use of the slow-roll equations of motion (14) and Eqs. (12a) and (12b) lead to the differential relation

$$\frac{d\xi}{d\chi} = \frac{\beta \sigma_c^4}{8\alpha\Lambda\xi},\tag{32}$$

which can be integrated to

$$\xi^{2} = \frac{\beta \sigma_{c}^{4}}{4\alpha\Lambda} (\chi - \chi_{1}) + \xi_{1}^{2}.$$
 (33)

Here,  $\xi_1$  and  $\chi_1$  should be determined by appropriate boundary conditions. The point on this trajectory, where the condition (31) is violated, is denoted by  $\xi_2$  and  $\chi_2$ . When  $\xi_2 \gg \xi_1$  and  $\chi_2 \gg \chi_1$ , one may approximate  $\xi_1 \approx 0, \chi_1 \approx 0$ , which is what we assume in the following. Using these approximations, we obtain

$$\chi_2 = \frac{1}{2} \log \frac{\beta \sigma_c^6}{4\alpha \Lambda \psi_0^2}, \qquad (34a)$$

$$\xi_2^2 = \frac{\beta \sigma_c^4}{4\alpha \Lambda} \chi_2. \tag{34b}$$

The number of e-folds before reaching  $(\xi_2, \chi_2)$  is

$$N_2 = \frac{1}{2M_{\rm P}^2} \sqrt{\frac{\Lambda \chi_2}{\alpha \beta}},\tag{35a}$$

$$N_2 = \frac{M^2}{\kappa M_{\rm P}^2} 2\pi \sqrt{\frac{2\chi_2}{\log 2}} \quad \text{for } F\text{-term inflation,} \qquad (35b)$$

$$N_2 = \frac{m_{\rm FI}^2}{\kappa M_{\rm P}^2} 2\pi \sqrt{\frac{\chi_2}{\log 2}} \quad \text{for } D\text{-term inflation.} \tag{35c}$$

#### SLOW ROLL DURING THE WATERFALL REGIME: THE ...

Therefore, also in the regime where relation (31) is valid, a substantial amount of e-folds may occur in the waterfall regime provided  $\kappa \ll M^2/M_{\rm P}^2$  or  $\kappa \ll m_{\rm FI}^2/M_{\rm P}^2$ , respectively.

Substituting the trajectory (33) into Eqs. (12a) and (14) and making use of the relation (31), one finds

$$\xi(N) = -N \frac{M_{\rm P}^2}{\Lambda} \beta \sigma_c^2, \qquad (36)$$

such that the fields are close to the critical point for N = 0.

We check whether before reaching  $\xi_2$ , the condition (16) may be violated. The inequality (16) holds for all points on the trajectory (33) before reaching ( $\xi_2$ ,  $\chi_2$ ), provided that

$$\chi_2 \gg \frac{\alpha \beta \sigma_c^4}{\Lambda},\tag{37a}$$

$$\chi_2 \gg \frac{\kappa^2}{128\pi^2} \log 2$$
 for *F*-term inflation, (37b)

$$\chi_2 \gg \frac{g^4}{256\pi^2 \kappa^2} \log 2$$
 for *D*-term inflation. (37c)

Since  $\chi_2$  is given the by logarithm in Eq. (34a) and  $\kappa \ll 1$ , it is immediately clear that above condition holds for the *F*-term case (barring the choice of large values for  $\psi_0$ ). For *D*-term inflation, Eq. (35c) and relation (37c) combine to

$$\frac{\kappa}{g} \gg \frac{m_{\rm FI}}{\sqrt{8N_2}M_{\rm P}},$$
(38)

which is satisfied because we already observe the stronger constraint  $\sigma_c \ll M_{\rm P} \Leftrightarrow \kappa/g \gg m_{\rm FI}/(\sqrt{2}M_{\rm P})$ . In conclusion, the condition (16) is fulfilled for both *F*- and *D*-term inflation at all times during phase 1(a), and when combining this with the results of Sec. III, it is fulfilled at all times during the slow-roll regime as well.

After the transition from phase 1 to phase 2 [the point  $(\xi_2, \chi_2)$ ], condition (31) no longer holds and is replaced by (17). The fields  $\xi$  and  $\chi$  satisfy the differential relation (18).

Compared to the trajectory (19), a solution can be determined that takes accurate account of the boundary conditions that arise at the end of phase 1 [30],

$$\xi(N) = \frac{-(c'-c)f(N) + c' + c}{(c'-c)f(N) + c' + c} \xi'_2,$$
 (39a)

$$f(N) = e^{16c' M_{\rm P}^2 \sqrt{\frac{\alpha \beta}{2\Lambda} (N - N_2)}},$$
(39b)

$$c = \sqrt{\chi_2/2},\tag{39c}$$

$$c' = \sqrt{c^2 - \frac{1}{4}},$$
 (39d)

$$\xi_2' = -c'\sigma_c^2 \sqrt{\frac{\beta}{2\alpha\Lambda}}.$$
 (39e)

A typical field trajectory is plotted in Fig. 4. At late times, the solution (39a) approaches the approximate form

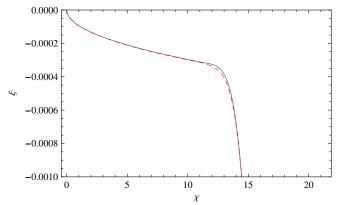


FIG. 4 (color online). Field trajectory in the  $(\chi, \xi)$  space for *F*-term inflation, with  $\kappa = 0.001$  and  $M = 0.1M_{\rm P}$ . The solid blue curve is obtained from Eqs. (19) and (22). It is in agreement with the red dashed curve, obtained by integrating numerically the exact classical dynamics.

(21). Notice that the initial conditions  $(\xi_2, \chi_2)$  depend on the initial condition for phase 1 through  $\psi_0$ , while the late-time behavior (21) is independent of these.

We can substitute  $\xi_{end}$ , Eq. (20), in the solution (39a), invert it and obtain the number of e-folds in phase 2 [30],

$$N_{\rm end} - N_2 = \frac{1}{16c'M_{\rm P}^2} \sqrt{\frac{2\Lambda}{\alpha\beta}} \log\left(\frac{\xi_{\rm end} - \xi_2'}{\xi_{\rm end} + \xi_2'} \frac{c + c'}{c - c'}\right), \quad (40)$$

which corresponds to an improved version of the estimate (23).

It would be straightforward now to derive a general expression for the power spectrum in a situation where  $N_{\rm end} - N_2 < N_{\rm e}$ , such that the horizon exit of the largest observable scales occurs in phase 1(a). The result is however somewhat complicated and shows no advantage over a numerical evaluation, while having the disadvantage of incurring an inaccuracy due to the matching of phases 1(a) and 2(a). There is however an interesting limiting case, where simple estimates can be obtained: while in Sec. III, we have discussed the situation where the number of e-folds during the waterfall regime is much larger than  $N_{\rm e}$ , i.e.  $N_{\rm end} \gg N_{\rm e}$ , we now consider the situation where just enough e-folds occur in the waterfall regime, i.e.  $N_{\rm end} > N_{\rm e}$  and  $N_{\rm end} - N_{\rm e} \ll N_{\rm e}$ . When  $\varepsilon_{\sigma} \gg \varepsilon_{\psi}$ , as is the case sufficiently close to the critical point, the amplitude of the power spectrum in phase 1(a) is

$$\mathcal{P}_{\mathcal{R}} = \frac{\Lambda}{24\pi^2 M_{\rm P}^2 \varepsilon_{\sigma}} = \frac{\Lambda^3}{12\pi^2 \beta^6 M_{\rm P}^6 \sigma_c^6}.$$
 (41)

The prediction for the scalar spectral index now depends on the initial condition for  $\psi$ ; i.e. the choice of  $\psi_0$  in the present parametrization. However, as explained in Sec. V, the auxiliary field dynamics at the critical instability point is not classical but dominated by quantum diffusion effects.

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The classical regime is nevertheless reached quickly and our initial value  $\psi_0$  must be seen as the value that would take the auxiliary field if the classical trajectory was traced back up to the critical instability point.

Note moreover that when  $\dot{\sigma} \gg \dot{\psi}$ ,

$$\cos\vartheta \approx 1 - N^2 M_{\rm P}^4 \frac{32\alpha^2 \psi^2}{\sigma_c^6}.$$
 (42)

When we impose that the exit scale is close to the critical point, the superpotential coupling must be of order  $\kappa \sim M^2/M_{\rm P}^2$  or  $\kappa \sim m_{\rm FI}^2/M_{\rm P}^2$ . Close to the critical point, when assuming that  $\psi$  is given by a value close to its lower bound (53), it then turns out that the effective  $\eta$  parameter (25) is suppressed by  $M^2/M_{\rm P}^2$  or  $m_{\rm FI}^2/M_{\rm P}^2$ . Therefore, the scalar spectral index approaches values very close to unity when the horizon exit of the largest observable scale occurs close to the critical point of SUSY hybrid inflation. It should therefore be possible to find parameters that produce all possible values for the scalar spectral index  $n_{\rm s}$  between the values (26) and 1. The parameters for which the horizon exit occurs close to the critical point can be estimated from Figs. 1-3 from the intersection of the exclusion region, for which less than  $N_e$  e-folds occur below the critical point and the relation between  $\kappa$  and Mor  $m_{\rm FI}$ , respectively. In particular, for *F*-term inflation, we can estimate that  $\kappa \approx 10^{-13}$  and  $M \approx 10^{12}$  GeV, in order to yield a value of  $n_s$  close to its presently observed central value. A precise determination of this point in parameter space and a quantitative analysis of how much tuning is required, such that the spectral index falls within the allowed range, will be subject of a future study.

Calculating the possible values of  $\kappa$ , M or  $m_{\rm FI}$  for a given  $n_{\rm s}$  using the present methods does not appear to be possible in a simple analytic way. For example, Eq. (40) cannot be solved for  $\kappa$  in terms of elementary functions. Due to the simple nature of the slow-roll equations, a numerical study should however be feasible.

## V. FROM QUANTUM DIFFUSION TO CLASSICAL DYNAMICS

The statistical distribution of the initial auxiliary field values  $\psi_0$  can be evaluated by studying the quantum diffusion near the critical instability point [29,56]. The coarse-grained auxiliary field can be described by a Klein-Gordon equation to which a random noise field  $\lambda(t)$  is added [57]. This term acts as a classical stochastic source term. In the slow-roll approximation, the evolution is given by the first order Langevin equation

$$\dot{\psi} + \frac{1}{3H} \frac{\mathrm{d}V}{\mathrm{d}\psi} = \frac{H^{3/2}}{2\pi} \lambda(t), \tag{43}$$

which can be rewritten by using Eq. (12b)

$$\dot{\psi} \simeq \frac{H^{3/2}}{2\pi} \lambda(t) + H \frac{4\alpha \psi M_{\rm P}^2}{\sigma_c^2} \left(1 - \frac{\sigma^2}{\sigma_c^2}\right). \tag{44}$$

The two-point correlation function of the noise field obeys

$$\langle \lambda(t) \rangle = 0, \qquad \langle \lambda(t)\lambda(t') \rangle = \delta(t-t').$$
 (45)

In the limit of *H* constant (this approximation is valid at the critical instability point when the expansion is governed by the evolution of  $\sigma$  in the false vacuum), this equation can be integrated exactly. Under a convenient change of variable [58],

$$x \equiv \frac{\sigma^2}{\sigma_c^2} = \exp\left(-2N\frac{M_{\rm P}^2}{\Lambda}\beta\sigma_c^2\right),\tag{46}$$

one gets

$$\frac{d\psi}{dx} = -\frac{H^{1/2}}{4\pi r x}\lambda(x) - \frac{4\alpha\psi M_{\rm P}^2(1-x)}{2\sigma_c^2 r x},$$
 (47)

with  $r \equiv M_{\rm P}^2 \beta \sigma_c^2 / \Lambda$ . This equation has an exact solution

$$\psi(x) = C \exp(C_2 x - C_2 \ln x) - C_1 \exp(C_2 x - C_2 \ln x)$$
$$\times \int_1^x \exp(-C_2 x' + C_2 \ln x') \lambda(x') dx', \qquad (48)$$

where  $C_1 \equiv H^{1/2}/(4\pi r)$ ,  $C_2 \equiv 2\alpha/(\sigma_c^2 r)$  and *C* is a constant of integration. The variance of the auxiliary field distribution is then obtained by taking the two point correlation function of  $\psi(x)$ . By assuming an initial delta distribution for  $\psi$  at  $\sigma \gg \sigma_c$ , one obtains

$$\langle \psi^2(x) \rangle = \frac{H^2}{8\pi^2 r} \left[ \frac{\exp(x)}{ax} \right]^a \Gamma(a, ax), \tag{49}$$

where we have defined  $a \equiv 4\alpha M_{\rm P}^2/(\sigma_c^2 r) = 4\alpha \Lambda/(\beta \sigma_c^4)$ and where  $\Gamma$  is the upper incomplete gamma function. Near the instability,  $x \simeq 1$  and one thus has

$$\langle \psi^2(x\simeq 1) \rangle \simeq \frac{H^2}{8\pi^2 r} \left(\frac{e}{a}\right)^a \Gamma(a,a).$$
 (50)

By using recurrence relations as well as the asymptotic behavior of the  $\Gamma$  function, one can find

$$\left(\frac{\mathrm{e}}{u}\right)^{u} \times \Gamma(u, u) \sim \sqrt{\frac{\pi}{2}} \frac{1}{\sqrt{u}} \quad \text{when } u \to \infty,$$
 (51)

such that

$$\langle \psi^2(x\simeq 1) \rangle \simeq \frac{H^2}{8\pi^{3/2}r\sqrt{2a}} = \frac{H^2\sqrt{\Lambda}}{16\pi^2 M_{\rm P}^2\sqrt{2\pi\beta\alpha}}.$$
 (52)

At the critical instability point, the average value of  $\psi$  over the whole Universe is zero, and Eq. (52) describes the statistical distribution of the field around zero. However, over a small patch that will contain our observable Universe, the average value is nonzero and increases statistically with time due to the second term of Eq. (44). But the variance  $\langle \psi^2 \rangle$  in this patch is still given by Eq. (52).

After some e-folds of inflation, the classical regime is reached and the classical evolution of  $\psi$  proceeds faster than quantum diffusion,  $H^{-1}\dot{\psi} > H$ . By using Eqs. (12b), (14), (31), and (36), one finds that this happens when

$$\psi > \frac{\Lambda^{3/2}}{8\sqrt{3}N\beta M_{\rm P}^5}.$$
(53)

We should therefore see the classical dynamics of  $\psi$  during the waterfall as emerging in a patch of the Universe where the quantum diffusion was previously dominating. But the time when inflation takes place, the dynamics of the field  $\sigma$ remains classical. In particular, when combining the estimates (52) and (53), one may see that typically,  $N \ll 1$ when the classical evolution begins: for *F*-term inflation,  $N \sim \kappa M^6/M_P^6$  and for *D*-term inflation,  $N \sim gm_{\rm Fl}^6/M_P^6$ .

Besides the problem of the quantum diffusion of the auxiliary field, one must also take care that the inflaton itself is classical. For the original hybrid model, the regime dominated by the quantum stochastic fluctuations of the inflaton has been studied in Ref. [56] and leads to a strong reduction of the number of e-folds realized during the waterfall. This argument imposes the additional condition  $|d\sigma/dN| \gg H/(2\pi)$ . During the phase 1(a), the classical evolution of  $\sigma$  is governed by (36), so that this condition can be rewritten

$$\frac{12\pi^2 M_{\rm P}^6 \beta^2 \sigma_c^6}{\Lambda^3} \gg 1.$$
 (54)

It is satisfied provided  $\kappa M_P^3/M^3 \gg 1$  for the *F*-term model, and  $\kappa M_P^3/m_{FI}^3 \gg 1$  for the *D*-term model. It therefore appears for the *D*-term model that in the range  $0.1M_P \leq m_{FI} \leq M_P$ ,  $g \sim O(1)$  and  $\kappa \approx 10^{-4}$  that was found to be in agreement with CMB observations, the quantum effects of  $\sigma$  during the phase 1a can be important. However, in that particular case, since the inflaton is driven by the second term of (12a) during the last 60 e-folds of inflation (phase 2a), we argue that its dynamics is classical during this phase such that observable predictions are not affected by the quantum stochastic effects of  $\sigma$  at the critical instability point.

#### VI. SUMMARY AND CONCLUSIONS

In the present work, we have explored the prospects of SUSY F- and D-term models of accounting for the observed normalization and spectral index of the primordial perturbation power spectrum. We have focused on the parametric regime where all scales that are observable today have left the horizon during the waterfall stage. It is particularly interesting to confront these scenarios with observational data, because they only rely on the scale of symmetry breaking and the superpotential coupling for F-term inflation and, in addition, the gauge coupling for D-term inflation. When the scale of symmetry breaking is small compared to the Planck scale, the influence of nonrenormalizable operators that is expected within the supergravity completion of these models, is suppressed. Besides, the trajectories of the scalar fields are attracted to the valley of the hybrid inflation potential, is what makes these models rather predictive.

In order to derive our results, we use the analytical methods that have been introduced in Ref. [30]. A more accurate numerical study will be the subject of future work. The main conclusions, that we presently achieve, are as follows:

- (i) Inflation proceeds in the waterfall regime, provided  $\kappa \ll M^2/M_{\rm P}^2$  or  $\kappa \ll m_{\rm FI}^2/M_{\rm P}^2$ , respectively. More accurate relations are given by Eqs. (23) and (40).
- (ii) When the number of e-folds of inflation in the waterfall regime is much larger than 60, the dynamics can be well approximated as in Sec. III. There is no restriction on the scale of symmetry breaking, but the normalization of the power spectrum imposes a relation with the superpotential coupling κ, cf. Eqs. (29) and (41) and Figs. 1–3. The spectral index n<sub>s</sub>, Eq. (26) (cf. also Ref. [30]), then takes values below its present central observational value. It can be in agreement (but in strong tension) with WMAP only for the *D*-term model with 0.1M<sub>P</sub> ≤ m<sub>FI</sub> ≤ M<sub>P</sub>, g ~ O(1) and κ ≈ 10<sup>-4</sup>.
- (iii) It is therefore interesting to study how larger values of  $n_{\rm s}$  can be achieved. In Sec. IV, we have shown that provided the largest observed scales leave the horizon close to the critical point, the deviation of  $n_{\rm s}$  from unity is suppressed as  $M^2/M_{\rm P}^2$  or  $m_{\rm FI}^2/M_{\rm P}^2$ , respectively. The point, where just enough e-folds of inflation in the waterfall regime occur and the power spectrum is normalized in accordance with the observed values can be inferred from Figs. 1–3. In particular, for *F*-term inflation, we can estimate that this situation occurs for  $\kappa \approx 10^{-12}$  and  $M \approx 5 \times 10^{12}$  GeV.

When the observed limits on  $n_s$  further tighten around its presently observed value, it is in order to further study the latter possibility. For the purpose of determining the parameters more accurately than by the order-of-magnitude estimate in the present work, it will be necessary to perform a numerical study instead of the present analytic approximations. In particular, this is necessary because of the inaccuracies in the matching between the phases 1 and 2, as explained in Sec. IV, and because we did not consider the possible contribution of isocurvature modes. An interesting question will be how the observational uncertainty in  $n_s$  will translate into allowed ranges of  $\kappa$  and M or  $m_{\rm FI}$ , because this will quantify the amount of parametric tuning that is required for SUSY hybrid inflation to match observations.

Finally, we have mentioned for the *D*-term model an extreme case in which the energy scale of inflation is only a few TeV, so that the model can provide a mechanism to the current cosmic acceleration of the expansion, accordingly

to Ref. [55]. This occurs for  $g \approx 10^{-20}$ ,  $\kappa \approx 10^{-16}$  and  $m_{\rm FI} \approx 10^{-8} M_{\rm P}$ .

We conclude that SUSY hybrid models will remain interesting proposals in order to explain the observations of the primordial power spectrum, even when it is further confirmed that  $n_s$  is substantially below 0.98. Even without effects from additional nonrenormalizable operators, smaller values of  $n_s$  are predicted provided the largest observed scales left the horizon during the waterfall regime. Note that also in this situation, the appealing features of the model, which are the dependence on a small number (two or three) of renormalizable operators only, the natural

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emergence of inflationary field configurations due to the attractor property of the potential and finally, the motivation from SUSY [15] remain intact.

## ACKNOWLEDGMENTS

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