Constraining the Higgs sector from false vacua in the next-to-minimal supersymmetric standard model

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We study the mass, the mixing, and the coupling with the Z boson of the lightest Higgs boson in the next-to-minimal supersymmetric standard model with the \mathbb{Z}_3 symmetry. The vacuum structure of the Higgs potential is analyzed, and the new false vacua are discussed. The significant parameter region can be excluded by requiring the realistic vacuum to be deeper than false vacua. In numerical analysis, we also require the couplings to be perturbative up to the Grand Unified Theory scale. Our analysis results in constraints on the properties of the lightest Higgs boson.

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I. INTRODUCTION

Supersymmetric extension of the standard model is one of the promising candidates for physics beyond the weak scale. In particular, the minimal supersymmetric standard model (MSSM) is interesting. However, the MSSM has the so-called μ problem [[1](#page-12-0)]. The μ -term is the supersymmetric mass term of Higgs fields. In addition, Higgs fields have soft scalar mass terms due to supersymmetry (SUSY) breaking. To realize the successful electroweak symmetry breaking (EWSB), both sizes of the μ and soft scalar masses must be of the same order. Why can the two masses with different sources be of the same order? That is the μ problem. Furthermore, the LHC bounds $m_h > 115 \text{ GeV}$ [\[2,](#page-12-1)[3](#page-12-2)] also give a significant constraint on the Higgs sector of the MSSM.

The next-to minimal supersymmetric standard model (NMSSM) is the simplest extension of the MSSM by adding a singlet field S [\[4–](#page-12-3)[14](#page-12-4)] (for a review, see Ref. [[15](#page-12-5)]). The μ -term is forbidden by the \mathbb{Z}_3 discrete symmetry, but it is effectively induced though the coupling $\lambda S H_1 H_2$ in the superpotential after the scalar component of S develops superpotential after the scalar component of S develops its vacuum expectation value (vev). Furthermore, such a vev is determined by SUSY breaking terms. Thus, the vev is related with the size of SUSY breaking, and the μ -problem can be resolved.

In addition, the Higgs sector of the NMSSM has significantly different aspects from one in the MSSM (see, e.g., Refs. [[15](#page-12-5),[16](#page-12-6)]). The lightest Higgs mass at the tree level can be larger than the one in the MSSM, because the above coupling term $\lambda S H_1 H_2$ in the superpotential leads to a new quartic term of H₁ and H₂ in the Higgs potential. The a new quartic term of H_1 and H_2 in the Higgs potential. The larger value of λ would increase the lightest Higgs mass.
Moreover, the Higgs fields H, and H₂ are mixed with the Moreover, the Higgs fields H_1 and H_2 are mixed with the singlet S after the symmetry breaking. Such mixing changes the coupling between the Higgs scalars and vector bosons. Thus, the Higgs sector of the NMSSM has a rich structure.

Furthermore, the behavior of the Higgs potential and its vacuum structure are much more complicated in the NMSSM than those in the MSSM. The Higgs potential of the NMSSM should include the realistic minimum, where the successful EWSB is realized. In addition to the realistic vacuum, the Higgs potential may include other (local) minima, some of which do not break the electroweak symmetry correctly. If such false vacua are deeper than the realistic vacuum, the realistic vacuum may not be realized.¹ Hence, our parameter space of SUSY breaking terms and dimensionless couplings in the NMSSM would be constrained in order to avoid such false vacua such that false vacua are less deep than the realistic vacuum. In fact, numerical studies have been done, and also analytical studies along certain directions have been carried out $[27–36]$ $[27–36]$. Then, it was shown that significant parameter regions can be excluded by requirement to avoid false vacua. For example, the NMSSMTools² is the famous code to analyze several phenomenological aspects in the NMSSM [[37](#page-12-9)–[39](#page-12-10)], and it includes some of the analytical conditions to avoid certain false vacua.

Our purpose in this paper is to study phenomenological aspects of the Higgs sector in the NMSSM such as the physical mass spectrum of Higgs scalars and their mixing with taking into account analytical conditions to avoid false vacua. For false vacua, we consider directions, which are new and not included, e.g., in the NMSSMTools. Then, we will show that important parameter regions could be excluded by such conditions. Obviously, the parameter

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¹In addition, there may be wrong vacua, where squarks and sleptons develop their vevs. On such vacua, charge and/or color are broken $[9,10,17-26]$ $[9,10,17-26]$ $[9,10,17-26]$ $[9,10,17-26]$.

 2 See http://www.th.u-psud.fr/NMHDECAY/nmssmtools.html.

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region, where the Higgs masses are tachyonic on the realistic vacuum, is excluded. When the doublet Higgs and singlet Higgs scalars mix sizably, the lightest Higgs boson mass may become tachyonic. When there are such tachyonic modes, there would be a wrong vacuum deeper than the realistic vacuum. Thus, the parameter region with the tachyonic Higgs boson mass corresponds to the region, where false vacua are deeper than the realistic vacuum. Furthermore, the parameter region leading to deeper false vacua would be near and outside the region with the tachyonic Higgs boson mass on the realistic vacuum. Thus, wider regions of the parameter space, in particular, the parameter regions with sizable mixing between doublet and singlet Higgs scalars, would be excluded by requiring them to avoid false vacuum.

This paper is organized as follows. In Sec. [II,](#page-1-0) we review the Higgs sector of the NMSSM, in particular, the realistic vacuum and Higgs boson masses. In Sec. [III](#page-3-0), we study new false vacua, which can be deeper than the realistic vacuum. In Sec. [IV,](#page-5-0) we study numerically implications of our constraints. Section [V](#page-11-0) is devoted to conclusions and discussions.

II. REALISTIC VACUUM AND THE HIGGS MASSES IN THE NMSSM

We start our discussion with briefly reviewing the realistic vacuum and the masses of the Higgs bosons in the NMSSM. The NMSSM is defined by adding a gauge singlet chiral supermultiplet \hat{S} and imposing a global \mathbb{Z}_3 symmetry to the MSSM. Because of the \mathbb{Z}_3 symmetry, the superpotential consists of only terms involving three chiral supermultiplets; thus, dimensionful couplings as a supersymmetric Higgsino mass term and the tadpole term are forbidden. In the following, fields with a hat \hat{O} symbol represent superfields, and those without the symbol represent the corresponding scalar fields. The superpotential of the Higgs and the singlet superfields is given by

$$
\mathcal{W}_{\text{Higgs}} = -\lambda \hat{S} \hat{H}_1 \cdot \hat{H}_2 + \frac{1}{3} \kappa \hat{S}^3, \tag{1}
$$

where λ and κ are the Yukawa coupling constants of the Higgs fields and \hat{H} and \hat{H} are the down-type and the un-Higgs fields, and \hat{H}_1 and \hat{H}_2 are the down-type and the uptype Higgs supermultiplets defined as

$$
\hat{H}_1 = \begin{pmatrix} \hat{H}_1^0 \\ \hat{H}_1^- \end{pmatrix}, \qquad \hat{H}_2 = \begin{pmatrix} \hat{H}_2^+ \\ \hat{H}_2^0 \end{pmatrix}, \tag{2}
$$

respectively.

The realistic vacuum which breaks the electroweak (EW) symmetry successfully can be found by minimizing the Higgs potential. The Higgs potential is obtained from F-, D-terms and the soft SUSY-breaking terms, which are given by

$$
V_{\text{soft}} = m_{H_1}^2 H_1^{\dagger} H_1 + m_{H_2}^2 H_2^{\dagger} H_2 + m_S^2 S^{\dagger} S
$$

$$
- \left(\lambda A_{\lambda} S H_1 \cdot H_2 - \frac{1}{3} \kappa A_{\kappa} S^3 + \text{H.c.} \right), \quad (3)
$$

where $m_{H_1, H_2, S}^2$ and $A_{\lambda, \kappa}$ are soft masses and trilinear
countings of the soelers representively. For the EW sym couplings of the scalars, respectively. For the EW symmetry to be successfully broken, the neutral Higgs fields develop vevs while vevs of the charged Higgs fields are vanishing. Using the gauge transformations, without loss of generality, one can take $\langle H_2^+\rangle = 0$ and $\langle H_2^0\rangle \in \mathbb{R}^+$. The condition for vanishing $\langle H_2^-\rangle$ is to require that the charged condition for vanishing $\langle H_1^- \rangle$ is to require that the charged
Higgs, scalars, have positive masses squared. Then, the Higgs scalars have positive masses squared. Then, the potential of the neutral Higgs fields is given by,

$$
V = \lambda^2 |S|^2 (|H_1^0|^2 + |H_2^0|^2) + |F_S|^2 + V_D
$$

+ $m_{H_1}^2 |H_1^0|^2 + m_{H_2}^2 |H_2^0|^2 + m_S^2 |S|^2$
- $\left(\lambda A_\lambda H_1^0 H_2^0 S - \frac{1}{3} \kappa A_\kappa S^3 + \text{H.c.}\right),$ (4)

where F_S and V_D denote the F-term of \hat{S} and D-term potential,

$$
F_S^* = \kappa S^2 - \lambda H_1^0 H_2^0, \tag{5}
$$

$$
V_D = \frac{1}{8}(g_1^2 + g_2^2)(|H_1^0|^2 - |H_2^0|^2)^2.
$$
 (6)

Here, g_1 and g_2 denote the gauge coupling constants of $U(1)_Y$ and $SU(2)_L$, respectively. The Higgs sector of the NMSSM is characterized by the following parameters:

$$
\lambda, \qquad \kappa, \qquad m_{H_1}^2, \qquad m_{H_2}^2, \qquad m_S^2,
$$

\n
$$
A_{\lambda}, \quad \text{and} \quad A_{\kappa}.
$$
 (7)

In the following discussions, we assume that all of the soft masses, trilinear couplings, and Yukawa couplings are real for simplicity. Although the vevs of H_1^0 and S can be complex in general under this assumption it was shown in complex in general under this assumption, it was shown in Ref. [[40](#page-12-15)] that such CP-violating extrema are maxima rather than minima. Thus, it is reasonable to assume that the neutral Higgs fields develop real and nonvanishing vevs while the charged ones do not. Then, we denote vevs as

$$
\langle H_1^0 \rangle = v_1, \qquad \langle H_2^0 \rangle = v_2, \qquad \langle S \rangle = s.
$$
 (8)

Furthermore, as was discussed in Ref. [\[29\]](#page-12-16), the Higgs potential ([4\)](#page-1-1) is invariant under the replacements, λ , κ , $s \rightarrow -\lambda$ = κ = s and λ , $\nu_i \rightarrow -\lambda$ = ν_i . Therefore, we can $-\lambda$, $-\kappa$, $-s$ and λ , $v_1 \rightarrow -\lambda$, $-v_1$. Therefore, we can
always take λ and v_1 to be positive while κ , $u(\equiv \lambda s)$ and always take λ and v_1 to be positive while κ , $\mu (\equiv \lambda s)$ and λ , λ can have both signs. The existence of the minima of the Higgs potential is classified according to the signs of κ ,
s, and A_1 , and A_2 [29]. A_{λ} , A_{κ} can have both signs. The existence of the minima of s, and A_{λ} and A_{κ} [\[29\]](#page-12-16):
Case 1: for positive

- Case 1: for positive κ ,
(a) when sign[s] = sign
- (a) when $sign[s] = sign[A_\lambda] = -sign[A_\kappa]$, the minima
always exist always exist.

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- (b) when $\text{sign}[s] = -\text{sign}[A_{\lambda}] = -\text{sign}[A_{\kappa}]$, the min-
ima exist if $|A| > 3\lambda n, n |\lambda| |\lambda| (-|\kappa A_{\lambda}| + |\kappa |\kappa^2|)$ ima exist if $|A_{\kappa}| > 3\lambda v_1 v_2 |A_{\lambda}|/(-|sA_{\lambda}| + \kappa |s^2|)$
where the denominator is positive ima exist if $|A_{\kappa}| > 3\lambda v_1 v_2 |A_{\lambda}|/6$
where the denominator is positive.
- (c) when $\text{sign}[s] = \text{sign}[A_{\lambda}] = \text{sign}[A_{\kappa}]$, the minima
exist if $|A| \leq 3\lambda u, u_2 |A_3|/(|sA_3| + |\kappa|s^2|)$ exist if $|A_{\kappa}| < 3\lambda v_1 v_2 |A_{\lambda}|/(|sA_{\lambda}| + |\kappa|s^2|).$

Case 2: for negative κ ,

(a) when $\text{sign}[s] = \text{sign}[A_{\lambda}] = \text{sign}[A_{\kappa}],$ the minima
exist if $|A| < 3\lambda u, u_{\kappa}[A_{\kappa}] / (|sA_{\kappa}] - \kappa |s^2|)$ where exist if $|A_{\kappa}| < 3\lambda v_1 v_2 |A_{\lambda}|/(|sA_{\lambda}| - \kappa |s^2|)$ where exist if $|A_{\kappa}| \leq 3\lambda v_1 v_2 |A_{\lambda}|$
the denominator is positive.

The vevs are determined by the stationary conditions [\(4\)](#page-1-1) with respect to the neutral Higgs fields,

$$
\frac{\partial V}{\partial H_1^0} = \lambda^2 v \cos\beta (s^2 + v^2 \sin^2\beta) - \lambda \kappa v s^2 \sin\beta + \frac{1}{4} g^2 v^3 \cos\beta \cos2\beta + m_{H_1}^2 v \cos\beta - \lambda A_\lambda v s \sin\beta = 0,
$$
 (9a)

$$
\frac{\partial V}{\partial H_2^0} = \lambda^2 v \sin \beta (s^2 + v^2 \cos^2 \beta) - \lambda \kappa v s^2 \cos \beta - \frac{1}{4} g^2 v^3 \sin \beta \cos 2\beta + m_{H_2}^2 v \sin \beta - \lambda A_\lambda v s \cos \beta = 0,
$$
 (9b)

$$
\frac{\partial V}{\partial S} = \lambda^2 s v^2 + 2\kappa^2 s^3 - \lambda \kappa v^2 s \sin 2\beta + m_S^2 s - \frac{1}{2} \lambda A_\lambda v^2 \sin 2\beta + \kappa A_\kappa s^2 = 0,
$$
\n(9c)

where $v = \sqrt{v_1^2 + v_2^2}$, $\tan \beta = v_2/v_1$, and $g^2 = g_1^2 + g_2^2$. The vevs of the doublet Higgs fields must satisfy $v \approx 174$ GeV to give the correct masses to the gauge bosons. When two of the Higgs fields are nonvanishin where $v = \sqrt{v_1 + v_2}$, tan $p - v_2/v_1$, and $g - g_1 + g_2$. The vevs of the doublet riggs fields and must satisfy $v = 1/4$ GeV to give the correct masses to the gauge bosons. When two of the Higgs fields are nonvanishing, the nonvanishing without special relations among parameters. Therefore, a nontrivial solution of Eq. [\(9](#page-2-0)) is as follows: either three Higgs fields are nonvanishing or one Higgs field is nonvanishing [[34\]](#page-12-17). This fact is originated from the trilinear terms, $AA_{\lambda}H_1H_2S$, in the solt SUS i-breaking terms and the quartic term, $AKH_1H_2(S)$, in the r-ter justifies our strategy of analyses on false minima of the Higgs potential in the next section. $^{0}_{2}S$, in the soft SUSY-breaking terms and the quartic term, $\lambda \kappa H_1^0 H_2^0(S^*)^2$, in the F-term potential. This observation
our strategy of analyses on false minima of the Higgs potential in the next section

It is useful to express the soft SUSY-breaking masses in terms of other parameters by using the stationary conditions ([9\)](#page-2-0),

$$
m_{H_1}^2 = -\mu^2 - \frac{2\lambda^2}{g^2} m_Z^2 \sin^2 \beta - \frac{1}{2} m_Z^2 \cos 2\beta + \mu \left(\frac{\kappa}{\lambda} \mu + A_\lambda\right) \tan \beta, \tag{10a}
$$

$$
m_{H_2}^2 = -\mu^2 - \frac{2\lambda^2}{g^2} m_Z^2 \cos^2 \beta + \frac{1}{2} m_Z^2 \cos 2\beta + \mu \left(\frac{\kappa}{\lambda} \mu + A_\lambda\right) \cot \beta,
$$
 (10b)

$$
m_S^2 = -\frac{2\lambda^2}{g^2}m_Z^2 - \frac{2\kappa^2}{\lambda^2}\mu^2 + \frac{2\lambda\kappa}{g^2}m_Z^2\sin 2\beta + \frac{\lambda^2}{g^2}\frac{A_\lambda m_Z^2}{\mu}\sin 2\beta - \frac{\kappa}{\lambda}A_\kappa\mu,\tag{10c}
$$

where $m_Z^2 = \frac{1}{2}g^2v^2$ and $\mu = \lambda s$. Thus, given m_Z , we can use the following parameters use the following parameters:

$$
\lambda
$$
, κ , A_{λ} , A_{κ} , $\tan \beta$, and μ , (11)

instead of Eq. ([7](#page-1-2)). Using these parameters, the minimum of the realistic vacuum, which reproduces the observed Z-boson mass, can be written as

$$
V_{\min} = -\lambda^2 \frac{m_Z^4 \sin^2 2\beta}{g^4} - \frac{m_Z^4 \cos^2 2\beta}{2g^2} + \bar{V}_{\min}^S,
$$
 (12)

where \overline{V}_{\min}^S is the potential involving only $s = \mu/\lambda$,

$$
\bar{V}^{S}_{\text{min}} = \frac{\kappa^2}{\lambda^4} \mu^4 + \frac{2}{3} \frac{\kappa}{\lambda^3} A_{\kappa} \mu^3 + \frac{1}{\lambda^2} m_S^2 \mu^2, \qquad (13)
$$

with m_S^2 given by Eq. ([10c\)](#page-2-1). In the following section, we study false vacua and compare their denths with Eq. (12) study false vacua and compare their depths with Eq. [\(12](#page-2-2)).

The mass-squared matrices of the Higgs bosons at tree level are obtained from Eq. ([4\)](#page-1-1) by expanding the Higgs fields around their vevs. The number of degrees of freedom of the Higgs bosons is ten, and three of them are absorbed by gauge bosons via the Higgs mechanism. The remaining seven physical degrees correspond to threeCP-even Higgs bosons, two CP-odd Higgs bosons, and one charged Higgs boson. The mass-squared matrix of the CP-even Higgs bosons is real-symmetric and denoted as $M_{h,ij}^2$ where i, j runs over 1 to $\frac{2}{3}$ for the down type, the up type, and the singlet Higgs 3 for the down-type, the up-type, and the singlet Higgs scalars. It is given by

$$
M_{h,11}^2 = m_Z^2 \cos^2 \beta + \mu \left(\frac{\kappa}{\lambda} \mu + A_\lambda\right) \tan \beta, \tag{14a}
$$

$$
M_{h,22}^2 = m_Z^2 \sin^2 \beta + \mu \left(\frac{\kappa}{\lambda} \mu + A_\lambda\right) \cot \beta, \tag{14b}
$$

$$
M_{h,33}^2 = \frac{4\kappa^2}{\lambda^2} \mu^2 + \frac{\kappa}{\lambda} A_\kappa \mu + \frac{\lambda^2}{g^2} \frac{A_\lambda m_Z^2}{\mu} \sin 2\beta, \qquad (14c)
$$

$$
M_{h,12}^2 = 2\left(\frac{\lambda^2}{g^2} - \frac{1}{4}\right) m_Z^2 \sin 2\beta - \mu \left(\frac{\kappa}{\lambda} \mu + A_\lambda\right), \quad (14d)
$$

$$
M_{h,13}^2 = \frac{2\sqrt{2}\lambda}{g} \mu m_Z \cos\beta
$$

$$
- \frac{\sqrt{2}\lambda}{g} m_Z \left(A_{\lambda} + \frac{2\kappa}{\lambda}\mu\right) \sin\beta, \qquad (14e)
$$

$$
M_{h,23}^2 = \frac{2\sqrt{2}\lambda}{g} \mu m_Z \sin\beta
$$

$$
- \frac{\sqrt{2}\lambda}{g} m_Z \left(A_{\lambda} + \frac{2\kappa}{\lambda}\mu\right) \cos\beta.
$$
 (14f)

The mass-squared matrix of the CP-odd Higgs bosons, M_A^2 , is also real-symmetric and given by is also real-symmetric and given by

$$
M_{A,11}^2 = \frac{2\mu}{\sin 2\beta} \left(A_\lambda + \frac{\kappa}{\lambda} \mu \right),\tag{15a}
$$

$$
M_{A,22}^2 = \frac{\lambda^2}{g^2} m_Z^2 \left(\frac{A_\lambda}{\mu} + \frac{4\kappa}{\lambda}\right) \sin 2\beta - \frac{3\kappa}{\lambda} A_\kappa \mu,\qquad(15b)
$$

$$
M_{A,12}^2 = \frac{\sqrt{2}\lambda}{g} m_Z \left(A_\lambda - \frac{2\kappa}{\lambda} \mu \right),\tag{15c}
$$

where we have removed the Nambu-Goldstone mode.

It can be shown that the mass of the lightest CP-odd Higgs boson vanishes when κ goes to zero. This is because the Peccei-Quinn symmetry is restored in this limit. Hence, κ should not be very small to avoid tachyonic CP-odd Higgs bosons. Assuming $|\mu| > m_Z$ to be consistent with nonobservation of the charged Higgsinos, we can derive intuitive conditions to avoid tachyonic Higgs bosons from Eqs. (14) (14) (14) and (15) (15) (15) . First, from Eqs. $(14a)$ $(14a)$ – $(14c)$ $(14c)$, it can be understood that the mass of the lightest CP-even Higgs tends to become tachyonic if the following conditions are satisfied:

$$
\mu\left(\frac{\kappa}{\lambda}\mu + A_{\lambda}\right) \ll -m_Z^2,\tag{16}
$$

and/or

$$
\frac{\kappa}{\lambda}A_{\kappa}\mu \ll -m_Z^2. \tag{17}
$$

Necessary conditions to avoid the tachyonic Higgs bosons are to require the left-hand side of Eqs. (16) (16) (16) and (17) (17) (17) to be positive, i.e.

- (1) for positive κ , $A_{\lambda}\mu$ and $A_{\kappa}\mu$ should be positive.
(2) for peositive κ , A u should be peositive
- (2) for negative κ , $A_{\kappa}\mu$ should be negative, and $\lambda A_{\lambda} \mu > -\kappa \mu^2$ should be satisfied.

On the other hand, when κ is positive (negative), we can expect from Eq. ([15b](#page-3-1)) that $A_{\kappa}\mu$ should be negative (positive) to avoid the lightest CP-odd Higgs boson being tachyonic. Thus, there is a tension between the conditions for nontachyonic modes of the CP-even and the CP-odd Higgs bosons. This tension can be avoided if magnitudes of satisfied. One of the choices of A_{λ} and A_{κ} for positive κ is obtained as [411] A_{λ} and A_{κ} should be tuned so that both conditions are obtained as [\[41\]](#page-12-18)

$$
A_{\lambda}\mu > -\frac{k}{\lambda}\mu^2 \quad \text{and} \quad -\frac{4\kappa^2}{\lambda^2}\mu^2 < \frac{\kappa}{\lambda}A_{\kappa}\mu < 0, \quad (18)
$$

where tachyonic Higgs bosons can be also avoided when κ is negative. The condition (18) is the sufficient condition is negative. The condition (18) is the sufficient condition stating that parameters not satisfying this condition result in the tachyonic masses of the Higgs bosons. This condition is useful to understand the behavior of the tachyonic mass region. As we will show in Sec. [IV,](#page-5-0) the tachyonic mass region appears near the regions given by Eq. [\(18\)](#page-3-4). The condition [\(18\)](#page-3-4) implies that the effective Higgsino mass, $|\mu|$, should be larger than $|A_{\kappa}|$. Since μ is of order TeV scale not to introduce the little hierarchy problem, $|A_{\kappa}|$ must be relatively small.

Furthermore, we can derive other conditions by taking into account the off-diagonal terms. The mass of the lightest CP-even Higgs boson tends to become tachyonic when the mixings between the doublet Higgs and the singlet bosons are large. The mixing can be naively written as

$$
2\lambda \mu - (\lambda A_{\lambda} + 2\kappa \mu) \sin 2\beta. \tag{19}
$$

Requiring this mixing to be vanishing, we have the condition [\[41\]](#page-12-18)

$$
A_{\lambda} \simeq \frac{2\mu}{\sin 2\beta} - 2\frac{\kappa}{\lambda}\mu.
$$
 (20)

Thus, the tachyonic Higgs boson tends to appear when the left- and right-hand sides are not comparable. As we mentioned, the supersymmetric Higgsino mass should be about TeV scale. This implies that A_{λ} must be taken to be relatively large due to the factor $2/\sin 2B$. One might relatively large due to the factor $2/\sin 2\beta$. One might consider that small A_{λ} can be taken when κ/λ is larger
than 1 so that the left-hand side of Eq. (20) is tuned. In such than 1 so that the left-hand side of Eq. (20) (20) (20) is tuned. In such a parameter region, however, tachyonic Higgs bosons do not appear even if A_{λ} is larger than the right-hand side of Eq. (20). Indeed, as we will see in Sec. IV the tachvonic Eq. [\(20\)](#page-3-5). Indeed, as we will see in Sec. [IV,](#page-5-0) the tachyonic Higgs boson appears for small values of $\vert \kappa/\lambda \vert$. This is
because the element of the mass squared (14c) increases because the element of the mass squared $(14c)$ $(14c)$ increases and becomes larger than the off-diagonal element [\(14e\)](#page-2-3) and $(14f)$ $(14f)$ $(14f)$ as $|\kappa/\lambda|$ increases. The mixing of the singlet in the lightest Higgs boson is suppressed in this region the lightest Higgs boson is suppressed in this region.

The mass squared of the charged Higgs boson is

$$
m_{H^{\pm}}^2 = m_W^2 - \frac{2\lambda^2}{g^2}m_Z^2 + \frac{2\mu}{\sin 2\beta} \left(A_{\lambda} + \frac{\kappa}{\lambda}\mu\right),\qquad(21)
$$

where $m_W^2 = \frac{1}{2}g_2^2v^2$ is the mass squared of the W boson.
The charged Higgs boson mass squared can also be tawhere $m_W = \frac{1}{2}g_2v$ is the mass squared of the *w* boson.
The charged Higgs boson mass squared can also be tachyonic when λ is large enough. These mass-squared
matrices are used in numerical calculations to find tamatrices are used in numerical calculations to find tachyonic mass regions.

III. FALSE VACUA ALONG SPECIFIC DIRECTIONS

In this section, we show that false vacua in the Higgs potential can be found by considering specific directions. Hereafter, we analyze the Higgs potential involving only the neutral Higgs fields. As discussed in the previous section, when two of the Higgs fields develop their vevs, the other must develop its vev to satisfy the stationary conditions. Thus, analyses of the Higgs potential are constrained to cases of either one or three nonvanishing Higgs fields. Obviously, the vacua, where only one of the Higgs fields has nonvanishing vev, are unrealistic, and the conditions to avoid such vacua have been studied in

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Refs. [\[27](#page-12-7)[,34\]](#page-12-17). The realistic vacuum given in Eq. ([9\)](#page-2-0) is included along the direction where all of the three Higgs fields develop their vevs. The cases with three nonvanishing Higgs vevs also include false vacua on which the EWSB does not occur correctly. Analyses with three nonvanishing Higgs fields are so complicated in general that it cannot be performed analytically. However, analytical study is possible for specific directions in the field space along which some of the Higgs fields are related. In general, minima of the scalar potential appear when positive quartic terms balance with negative quadratic and trilinear terms. When the quartic terms in the scalar potential [\(4\)](#page-1-1) vanish, the false minima appear for large values of the Higgs fields, and hence these become deeper. Thus, we restrict our discussions to three possible cases in which three Higgs fields are aligned so that the D-term and/or F_S -term are vanishing. In Ref. [\[34\]](#page-12-17), the false vacuum with $|H_1| = |H_2| \neq 0$ and $S \neq 0$, which corresponds to $F_S = 0$ and $V_D = 0$, was studied. In fact, false vacua deeper than the realistic vacuum are easily found along these directions. Such directions should be avoided to stabilize the realistic minimum. In the following, we denote the neutral Higgs fields $H_{1,2}^0$ as $H_{1,2}$ for abbreviation.

A. $F_S = V_D = 0$ direction

First, we consider a direction where both of F_S and V_D are vanishing. The vacua along this direction were first analyzed in Ref. [\[34\]](#page-12-17), and it was shown that those can be deeper than the realistic vacuum. Along this direction, referred as A, the Higgs fields are not independent of each other, but related as

$$
H_1 = H_2,\tag{22a}
$$

$$
S^2 = \frac{\lambda}{\kappa} H_1 H_2. \tag{22b}
$$

Here, positive values of H_1 and H_2 are assumed since we are interested in the false vacua near the realistic vacual are interested in the false vacua near the realistic vacua. Then, κ must be positive to satisfy Eq. [\(22b\)](#page-4-0).

The scalar potential along this direction can be written as

$$
V_A = \hat{F}H_2^4 - 2\hat{A}H_2^3 + \hat{m}^2H_2^2, \tag{23}
$$

where

$$
\hat{F} = \frac{2\lambda^3}{\kappa},\tag{24a}
$$

$$
\hat{A} = \lambda \sqrt{\frac{\lambda}{\kappa}} \Big(\epsilon_1 |A_\lambda| - \frac{1}{3} \epsilon_2 |A_\kappa| \Big), \tag{24b}
$$

$$
\hat{m}^2 = m_{H_1}^2 + m_{H_2}^2 + \frac{\lambda}{\kappa} m_S^2, \tag{24c}
$$

and

$$
\epsilon_1 \equiv \text{sign}[A_\lambda H_1 H_2 S] = \text{sign}[A_\lambda] \text{sign}[S], \quad (25a)
$$

$$
\epsilon_2 \equiv \text{sign}[\kappa A_\kappa S^3] = \text{sign}[A_\kappa] \text{sign}[S]. \tag{25b}
$$

The minimum of the potential becomes deeper when the trilinear term, \hat{A} , is positive. From Eqs. [\(25\)](#page-4-1), the trilinear term can be always taken to be positive using the sign of S and is given as

$$
\hat{A} = \lambda \sqrt{\frac{\lambda}{\kappa}} \left| A_{\lambda} - \frac{1}{3} A_{\kappa} \right|.
$$
 (26)

By minimizing the potential of Eq. (23) (23) with respect to H_2 , the value of H_2 at extremal is obtained as

$$
H_{2\text{ext}} = \frac{3\hat{A}}{4\hat{F}} \left(1 + \sqrt{1 - \frac{8\hat{m}^2 \hat{F}}{9\hat{A}^2}} \right),\tag{27}
$$

where $\hat{m}^2 \le \frac{9A^2}{8\hat{F}}$ is required for H_{2ext} to be real. Then, the minimum of the notential is obtained by inserting Eq. (27) minimum of the potential is obtained by inserting Eq. [\(27\)](#page-4-3) into Eq. (23) as

$$
V_{A,\min} = -\frac{1}{2} H_{2\text{ext}}^2 (\hat{A} H_{2\text{ext}} - \hat{m}^2). \tag{28}
$$

2 To realize the correct EWSB, the following necessary condition is required:

$$
V_{A,\min} \ge V_{\min}.\tag{29}
$$

B. $F_S \neq 0$ and $V_D = 0$ direction

Next, we analyze the direction where V_D is vanishing while F_S is nonvanishing. We refer this direction as the direction B . The vevs of the up-type and down-type Higgs scalars must always satisfy the relation

$$
H_1 = H_2 \tag{30}
$$

for V_D to be vanishing.

We parametrize the vev of S as

$$
S = sign[S]\beta H_2,\tag{31}
$$

where β is positive by definition. Then, the potential is given by

$$
V_B = \hat{F}H_2^4 - 2\hat{A}H_2^3 + \hat{m}^2H_2^2,\tag{32}
$$

where

$$
\hat{F} = \kappa^2 \beta^4 + \lambda^2 (1 + 2\beta^2) - 2\epsilon_1 \lambda |\kappa| \beta^2, \quad (33a)
$$

$$
\hat{A} = \left(\epsilon_2 \lambda |A_{\lambda}| - \frac{1}{3} \epsilon_3 |\kappa| |A_{\kappa}| \beta^2 \right) \beta, \tag{33b}
$$

$$
\hat{m}^2 = m_{H_1}^2 + m_{H_2}^2 + m_S^2 \beta^2, \tag{33c}
$$

and

$$
\epsilon_1 = \text{sign}[\kappa H_2(S^*)^2] = \text{sign}[\kappa],\tag{34a}
$$

$$
\epsilon_2 = \text{sign}[A_\lambda H_2 S] = \text{sign}[A_\lambda] \text{sign}[S],\tag{34b}
$$

$$
\epsilon_3 = \text{sign}[\kappa A_\kappa S^3] = \text{sign}[\kappa] \text{sign}[A_\kappa] \text{sign}[S]. \tag{34c}
$$

We can expect that the deepest direction will be found along the positive trilinear terms with $\kappa > 0$ and $A_{\lambda}A_{\kappa} < 0$ so that \hat{F} becomes smaller and \hat{A} becomes larger. From Eqs. [\(34\)](#page-4-4), the trilinear term can be always taken to be positive, and the quartic and the trilinear terms are given by

$$
\hat{F} = \kappa^2 \beta^4 + \lambda^2 (1 + 2\beta^2) - 2\lambda \kappa \beta^2, \qquad (35a)
$$

$$
\hat{A} = \left[\lambda A_{\lambda} - \frac{1}{3} \kappa A_{\kappa} \beta^2 \right] \beta. \tag{35b}
$$

The extremal value of H_2 and the minimum of the potential, $V_{B,\text{min}}(\beta)$, along this direction are given in the same form as Eqs. (27) and (28) by replacing \hat{F} , \hat{A} , and \hat{m}^2 with form as Eqs. [\(27\)](#page-4-3) and [\(28\)](#page-4-5) by replacing \hat{F} , \hat{A} , and \hat{m}^2 with Eqs. [\(35a](#page-5-1)), [\(35b\)](#page-5-1), and [\(33c](#page-4-6)). Note that the value of $V_{B,\text{min}}(\beta)$ depends on β . Then, the following condition is required to stabilize the realistic minimum,

$$
V_{B,\min}(\beta) \ge V_{\min},\tag{36}
$$

for any value of β .

It is important to note here that the case with one nonvanishing vev S, i.e.,

$$
H_1 = H_2 = 0, \t S \neq 0,
$$
 (37)

is included in the direction B , when we take the limit $\beta \rightarrow \infty$ and $H_2 \rightarrow 0$ by keeping S to be finite. If the minimum along this direction is the deepest among the ones included in the direction B, it can be found automatically by analyzing the direction B.

C. $F_s = 0$ and $V_p \neq 0$ direction

The last direction we analyze is that $F_s = 0$ and $V_D \neq 0$, referred by the direction C. From $F_S = 0$, we have a relation $(22b)$ $(22b)$ and parametrize the vev's as

$$
H_1 = \alpha H_2, \qquad S = \text{sign}[S]\beta H_2,\tag{38}
$$

where α and β should satisfy

$$
\beta^2 = \frac{\lambda}{\kappa} \alpha, \qquad (\kappa > 0). \tag{39}
$$

The potential is given by Eq. (23) with

$$
\hat{F} = \alpha (1 + \alpha^2) \frac{\lambda^3}{\kappa} + \frac{1}{8} g^2 (1 - \alpha^2)^2, \qquad (40a)
$$

$$
\hat{A} = \lambda \sqrt{\frac{\lambda}{\kappa}} \left| A_{\lambda} - \frac{1}{3} A_{\kappa} \right| \alpha^{3/2},\tag{40b}
$$

$$
\hat{m}^2 = \alpha^2 m_{H_1}^2 + m_{H_2}^2 + \frac{\lambda}{\kappa} \alpha m_S^2. \tag{40c}
$$

The extremal value of H_2 and the minimum of the potential, $V_{C,\text{min}}(\alpha)$, are given in the same form as Eqs. ([27](#page-4-3)) and ([28](#page-4-5)) by replacing \hat{F} , \hat{A} , and \hat{m}^2 with Eqs. [\(40a](#page-5-2))–([40c\)](#page-5-2). Note that the value of $V_{C,\text{min}}(\alpha)$ depends on α . If the extremal values satisfy

$$
H_{\text{1ext}}^2 + H_{\text{2ext}}^2 = (1 + \alpha^2)H_{\text{2ext}}^2 = v^2 \approx (174 \text{ GeV})^2,
$$
\n(41)

FIG. 1 (color online). Region excluded by the occurrence of a Landau pole on the $\lambda - \kappa$ plane. Solid (red), dashed (green), dotted (blue), and dashed-dotted (violet) curves correspond to dotted (blue), and dashed-dotted (violet) curves correspond to $tan \beta = 5, 3, 1.7, and 1.6$, respectively. The cutoff of the model is taken as the GUT scale (inside) and 10 TeV (outside). The region outside each curve is excluded.

this minimum can become the true vacuum. Otherwise, it is a false vacuum. Then, the following condition is required to stabilize the realistic minimum:

$$
V_{C,\min}(\alpha) \ge V_{\min},\tag{42}
$$

for any value of α .

The direction C includes the direction along which only H_2 has the nonvanishing vev, i.e.,

$$
H_1 = S = 0, \t H_2 \neq 0. \t (43)
$$

This can be easily seen by taking $\alpha = 0.3$ Furthermore, the direction C includes the direction A which corresponds to direction C includes the direction A which corresponds to $\alpha = 1$. Therefore, all of the false minima which can be found along the directions proposed so far are included in the direction B and C . Hence, it is enough to analyze the potential along these two directions.

IV. NUMERICAL ANALYSIS

In this section, we present numerical results of the constraints from which the false vacua studied in the previous section should not be deeper than the realistic one. In addition, we (i) take into account that physical masses of the CP-even, -odd and charged Higgs scalars are nontachyonic and (ii) require that the parameters λ , κ
and the top Yukawa coupling have no Landau pole until the and the top Yukawa coupling have no Landau pole until the Grand Unified Theory (GUT) scale ($\simeq 1.6 \times 10^{16}$ GeV).
For condition (ii) we solve renormalization group (RG) For condition (ii), we solve renormalization group (RG) equations at one-loop order [[10](#page-12-12),[42](#page-12-19)] from the EWSB scale to the GUT scale, requiring that λ and $|\kappa|$ are smaller than 2π at the GUT scale 115.431 2π at the GUT scale [\[15](#page-12-5)[,43\]](#page-12-20).

³The direction with only $H_1 = 0$ can be found by taking $\rightarrow 0$ and $H_2 \rightarrow \infty$ keeping H, finite. $\alpha \rightarrow 0$ and $H_2 \rightarrow \infty$, keeping H_1 finite.

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TABLE I. Parameter sets used in the numerical calculation.

Point	$tan \beta$	μ (GeV)	A_{λ} (GeV)	A_{κ} (GeV)
		200	300	-50
		200	-300	-50
\mathcal{F}		400	-300	-50
		200	660	-50

Figure [1](#page-5-3) shows the region excluded by the occurrence of a Landau pole on the $\lambda - \kappa$ plane. We take a cutoff of the model to be the GUT scale (inside) and 10 TeV (outside) model to be the GUT scale (inside) and 10 TeV (outside) for reference and use the running top quark mass, $m_t = 165$ GeV, as the input. Solid (red), dashed (green), dotted (blue), and dashed-dotted (violet) curves correspond to tan $\beta = 5, 3, 1.7$, and 1.6, respectively. The region outside each curve is excluded. One can see that, for the GUT scale cutoff, λ is more constrained as tan β is smaller, while
the upper bound on κ stays constant around 0.63. This is the upper bound on κ stays constant around 0.63. This is because RG evolution of λ is directly connected with the top Yukawa coupling. When $\tan \beta$ is small, the top Yukawa top Yukawa coupling. When $tan \beta$ is small, the top Yukawa coupling at low energy is large, and it grows quickly as the energy scale goes up. Then, λ is driven to a large value as the top Yukawa coupling grows. On the other hand, RG the top Yukawa coupling grows. On the other hand, RG evolution of κ is proportional to κ^2 and depends on the top Yukawa coupling only through λ . Therefore, κ starts to grow after the top Yukawa coupling, and λ become suffigrow after the top Yukawa coupling, and λ become suffi-
ciently larger than 2π . As we can see in Fig. 1, the ciently larger than 2π . As we can see in Fig. [1,](#page-5-3) the maximum value of λ becomes small drastically for $tan \beta < 2$, and it disappears when $tan \beta \le 1.5$. For the 10 TeV cutoff, the upper bounds on λ and κ do not change
with respect to tan β and are about 1.9 and 1.6 respectively with respect to tan β and are about 1.9 and 1.6, respectively. The result is understood by the fact that the RG evolutions of λ and κ are determined by the values of these couplings
at the EWSB scale, and the evolutions are almost indepenat the EWSB scale, and the evolutions are almost independent of the top Yukawa coupling. This is simply because the cutoff is close to the EWSB scale, and the top Yukawa coupling does not grow very much even for small $tan \beta$. In the following, we choose moderate values of $tan \beta$ to analyze the constraints from the unrealistic minima.

We use the parameter sets given in Table [I](#page-6-0) as illustrating examples. For radiative corrections to the Higgs potential, we fix the soft masses of the third generation of left-handed squark, $m_{\tilde{Q}}$, and right-handed stop and sbottom, $m_{\tilde{t}}$ and $m_{\tilde{b}}$, as

$$
m_{\tilde{Q}_3} = 1000 \text{ GeV}, \qquad m_{\tilde{l}} = m_{\tilde{b}} = 500 \text{ GeV}, \qquad (44)
$$

respectively. The trilinear term of stop is chosen as nearly maximal mixing so that the lightest Higgs boson mass becomes the largest. The points 1 and 4 in Table [I](#page-6-0)correspond to the case $1.(a)$ shown in Sec. [II](#page-1-0), and the points 2 and 3 in Table [I](#page-6-0) correspond to the case 1.(b) explained in Sec. [II](#page-1-0), respectively. For the point 4 , A_{λ} is chosen so that the mixing among the doublet and the singlet Higgs vanishes for small among the doublet and the singlet Higgs vanishes for small positive κ . κ/λ . Note that on these points, the minimum is found for

FIG. 2 (color online). Contour plot of the Higgs masses on the $\lambda - \kappa$ plane. The values of the Higgs masses are indicated near each curves and left bottom in GeV unit in the figure. The filled region is excluded by the curves and left bottom in GeV unit in the figure. The filled region is excluded by the tachyonic Higgs masses, and the red solid line represents the Landau pole condition.

FIG. 3 (color online). The masses of the lightest and the second-lightest Higgs boson (top) and the mixings of H_1 , H_2 , and S in the lightest Higgs boson (bottom) for the point 1. The left and right figures correspond to $\kappa = 0.1$ and 0.5, respectively.

In Figs. [2,](#page-6-1) we show the contour plot of the lightest *CP*-even Higgs mass in the $\lambda - \kappa$ plane. The values of the Higgs boson mass are shown near each curve or bottom the Higgs boson mass are shown near each curve or bottom left in the figure. The (light blue) filled region represents the tachyonic mass region of physical Higgs bosons, and the red solid curve represents the Landau pole condition. The Figs. $2(a)-2(d)$ $2(a)-2(d)$ $2(a)-2(d)$ correspond to the points 1, 2, 3 and 4, respectively. One can see that the tachyonic region appears only in small κ/λ region in Fig. [2\(a\)](#page-6-2) while it also
appears in Jarge κ/λ region in Figs. 2(b) and 2(c). The appears in large κ/λ region in Figs. [2\(b\)](#page-6-2) and [2\(c\).](#page-6-2) The help violent property of the tachyonic mass region can be understood behavior of the tachyonic mass region can be understood intuitively by Eq. (18) . First, as we discussed in the Sec. [II](#page-1-0), the mass of the CP-even Higgs boson tends to become tachyonic if one of the diagonal elements in the squaredmass matrix is negative. The negative diagonal elements can be avoided when Eq. [\(18\)](#page-3-4) is satisfied for positive A_{λ} .
The second condition of Eq. (18) imposes the lower bound The second condition of Eq. (18) imposes the lower bound on $\kappa/\lambda \geq |A_{\kappa}|/4\mu$ for negative A_{κ} . The lower bound is 0.03 0.06 for the points 1 and 2, while the lower bound is 0.03 for the point 3. Hence the diagonal elements are negative only for very small κ/λ . Second, the Higgs boson mass
also tends to become tachyonic when the off-diagonal also tends to become tachyonic when the off-diagonal elements are comparable to the diagonal ones. The offdiagonal elements become larger as κ/λ becomes smaller.
Thus the tachyonic mass region appears in the small κ/λ Thus, the tachyonic mass region appears in the small κ/λ
region for positive A_2 . For pegative A_3 , the first condition region for positive A_{λ} . For negative A_{λ} , the first condition
of Eq. (18) gives a stronger constraint on κ/λ because the of Eq. [\(18\)](#page-3-4) gives a stronger constraint on κ/λ because the

 μ parameter is larger than A_{κ} . The lower bound on κ/λ is
obtained as $|A_{\lambda}/\mu| = 1.5$ and 0.0.75 for the points 2 and obtained as $|A_{\lambda}/\mu| = 1.5$ and 0.75 for the points 2 and
3. respectively. Thus, the tachyonic mass region appears 3, respectively. Thus, the tachyonic mass region appears for relatively large κ/λ . For the point 4 where the parame-
ters are tuned so that the mixing of the doublet and the ters are tuned so that the mixing of the doublet and the singlet Higgs bosons vanishes, according to Eq. ([20](#page-3-5)). In this case, the tachyonic region disappears. This is because for small κ/λ , the second term of Eq. [\(20\)](#page-3-5) is negligible
compared to the first term and hence the singlet does not compared to the first term, and hence the singlet does not mix. The physical masses of the CP-even Higgs bosons are mainly determined by the diagonal elements, and the second condition of Eq. ([18](#page-3-4)) cannot be applied in this case.

To see the above explanation more concretely, we show the masses of the Higgs bosons and the mixings in the lightest Higgs boson for the point 1 in Figs. [3.](#page-7-0) The mixing, $N_i(i = 1, 2, S)$, is defined as

$$
h_1 = N_1 H_1 + N_2 H_2 + N_S S, \tag{45}
$$

where h_1 represents the lightest Higgs boson and $\sum N_i^2 = 1$.
Figures 3(a) and 3(b) show the masses of the lightest Figures $3(a)$ and $3(b)$ show the masses of the lightest Higgs boson h_1 and the second lightest Higgs boson h_2 for $\kappa = 0.1$ and 0.5, respectively. Figures [3\(c\)](#page-7-1) and [3\(d\)](#page-7-1) show the mixings of the down-type, up-type Higgs H_1 , H_2 and the singlet S in the lightest Higgs boson corresponding to Figs. $3(a)$ and $3(b)$. It is seen in Fig. $3(a)$ that the mass of h_1 is the most degenerate to that of h_2 at $\lambda = 0.2$, and it becomes tachyonic at $\lambda = 0.4$.

FIG. 4 (color online). Contour plot of the mixings of the up-type Higgs (left) and the singlet (right) in the lightest CP-even Higgs boson on the $\lambda - \kappa$ plane. Panels (a) and (b), (c) and (d), and (e) and (f) correspond to the point 1, 2, and 3, respectively.

From Fig. [3\(c\)](#page-7-1), one can see that for $\lambda \le 0.2$, the lightest
Higgs boson consists of mainly H_2 and the mixings of the Higgs boson consists of mainly H_2 , and the mixings of the Higgs bosons are constant. The mixings of H_1 and S increase at $\lambda = 0.2$ where the mass of h_1 is the closest to that of h_2 . The mixings of H, and S become maximum at that of h_2 . The mixings of H_1 and S become maximum at other hand, as is seen in Fig. [3\(b\),](#page-7-1) the mass of the h_1
increases slowly as λ increases. The mixing of H, and S $\lambda = 0.4$ where the mass of h_1 becomes tachyonic. On the increases slowly as λ increases. The mixing of H_1 and S
is small, and the main component of h_1 is the un-type is small, and the main component of h_1 is the up-type Higgs. Thus, the tachyonic mass of h_1 appears when the mixing of H_1 and S becomes sizeable.

Figures [4](#page-8-0) show the square of the mixing of the up-type Higgs (Figs. $4(a)$, $4(c)$, and $4(e)$) and the singlet (Fig. [4\(b\)](#page-8-1), [4\(d\)](#page-8-1), and 4(f)) in the lightest CP -even Higgs boson on the $\lambda - \kappa$ plane. From top to bottom, the figures
correspond to the points from 1 to 3 in Table J. The values correspond to the points from 1 to 3 in Table [I](#page-6-0). The values of the mixing are indicated near each curve, and the filled region is excluded by the tachyonic Higgs masses. The red curve represents the Landau pole condition. From the Figs. $4(a)$ and $4(b)$, one can see that for the point 1, the tachyonic region appears when the mixing squared of H_2 (S) in the lightest Higgs boson is smaller (larger) than about 0.5. The qualitative behavior can be understood by the discussion in Sec. II . On the point 1, the tachyonic region is mainly determined by the CP-even Higgs boson and tachyonic masses of the CP-even Higgs boson appear when κ/λ
satisfied E when κ/λ is small so that Eqs. [\(18\)](#page-3-4) and ([20](#page-3-5)) are not satisfied. For small κ/λ , $M_{h,33}^2$ becomes very small, and/
or M^2 becomes large in this region because of the or $M_{h,23}^2$ becomes large in this region because of the mixing with the singlet. The large mixing between the mixing with the singlet. The large mixing between the up-type Higgs and the singlet results in large mass splitting between the lightest and the second-lightest Higgs boson, and hence the mass of the lightest Higgs boson becomes tachyonic. For the points 2 and 3, we can see from Figs. $4(c)$ – $4(f)$ that the tachyonic region appears when the mixing squared of the up-type Higgs in the CP-even Higgs boson is smaller than 0.7 while the mixing of the singlet is almost zero. On these points, the

FIG. 5 (color online). Excluded region of the lightest CP-even Higgs mass [(a) and (c)] and the coupling of the Z boson [(b) and (d)]. The upper panels correspond to points 1 and 2, and the lower ones to point 2.

tachyonic mass regions are mainly determined by the CP-odd Higgs boson. The diagonal element of the CP -odd Higgs boson decreases for the negative A_{λ} while
the off-diagonal element increases negatively. Therefore the off-diagonal element increases negatively. Therefore, the physical mass of the CP-odd Higgs boson becomes tachyonic unless κ/λ is relatively large. In this situation,

the diagonal element $M_{h,11}^2$ decreases, and the off-
diagonal element M^2 increases for the small κ/λ region diagonal element $M_{h,12}^2$ increases for the small κ/λ region
due to tan *8* enhancement. Hence, the mixing between the due to tan β enhancement. Hence, the mixing between the up-type and the down-type Higgs bosons significantly reduces the lightest Higgs boson mass. The mixing with the singlet does not play an important role for this choice

FIG. 6 (color online). The same plots for point 3 (upper panels) and 4 (lower panels) as Fig. [5.](#page-9-0)

FIG. 7 (color online). The same figure as Fig. [5\(a\)](#page-9-2) and [5\(b\).](#page-9-2) The region of tachyonic squark masses is indicated by yellow.

of the signs because $M_{h,33}^2$ is always larger than $M_{h,11}^2$ even
for negative values. Our numerical results show that the for negative values. Our numerical results show that the up-type and the down-type Higgs doublets mix almost maximally in the lightest Higgs boson, and the lightest Higgs boson becomes tachyonic.

Figures [5](#page-9-0) and [6](#page-9-1) show the constraints from the false vacua on the lightest Higgs mass boson [(a) and (c)] and the coupling squared with Z boson normalized by that in the standard model (SM) [(b) and (d)] in the $\lambda - \kappa$ plane.
Figures 5(a)–5(d) correspond to the points 1 and 2 and Figures $5(a)$ – $5(d)$ correspond to the points 1 and 2, and Figs. $6(a)$ – $6(d)$ correspond to the points 3 and 4, respectively. The same as in Fig. [2](#page-6-1), the values of the lightest Higgs boson mass are indicated near the curves in Figs. $5(a)$, $6(a)$, $5(c)$, and $6(c)$, and those of the coupling squared with Z boson are indicated in Figs. $5(b)$, $6(b)$, $5(d)$, and $6(d)$. The regions excluded by the constraints from the direction A, B, and C are indicated by the filled region colored as green, orange, and purple, respectively. The blue filled region represents the tachyonic region. In Fig. $5(a)$, one can see that the constraint from the direction C excludes the region outside the tachyonic region, while the constraints from the direction B exclude inside the tachyonic region. The region excluded by the direction A is very narrow and appears near the horizontal axis. The region excluded by the direction B is simply connected from the realistic vacuum along the tachyonic region. However, the region excluded by the direction C appears outside the tachyonic region and hence is not connected to the realistic minimum. The region cannot be found by only taking tachyonic masses into account. From the Fig. $5(a)$, one can see that it excludes the large region of the lightest Higgs boson mass. From the Fig. [5\(b\)](#page-9-2), one can see that the constraint from the C direction excludes the region of the coupling squared from 0.7 to 0.9. This implies that the lightest Higgs boson has the SM-like coupling with the Z boson and consists of almost purely the up-type Higgs in most of the allowed region. It is noted that there exists a small region allowed between the tachyonic mass region and the region excluded by the direction C. In this region, the coupling with the Z boson is about half of that in the SM. However, as shown in Fig. [7,](#page-10-0) squark masses become tachyonic in this region. Thus, this region is not realistic because color and charge symmetry are spontaneously broken. In the Figs. $5(c)$ and $6(a)$, the constraints from the directions B and C exclude regions outside the tachyonic region. The constraint from the direction A also excludes the similar but smaller regions. From these figures, large regions on the $\lambda - \kappa$ plane are excluded. The allowed region corre-
sponds to the small λ region where the mass of the lightest sponds to the small λ region where the mass of the lightest
Higgs boson is smaller than 120 GeV and the coupling Higgs boson is smaller than 120 GeV, and the coupling squared is the SM-like. For the point 4, we can see from

FIG. 8 (color online). The same figure as Fig. $5(a)$ and $5(b)$ for the cutoff 10 TeV.

the Figs. $6(c)$ and $6(d)$ that there is no region excluded by the false vacua. As explained before, the mixing of the Higgs bosons is vanishing due tothe choice of parameters satisfying Eq. (20) (20) (20) . In this case, the false vacua along the directions A, B, and C are not deeper than the realistic vacuum, and hence the realistic minimum is stable. The lightest Higgs boson mass as well as the coupling squared with the Z boson are not constrained at all. However, an unnatural tuning between A_{λ} ,
 μ and tan β is required. The behavior of the constraints or μ , and tan β is required. The behavior of the constraints or the depth of the false minima on the parameters is very complicated, and it is difficult to understand qualitatively. However, as we have seen, our constraint can exclude a sizeable region on the parameter space which cannot be found by the tachyonic Higgs mass. Thus, it is important to include the constraints from the false vacua in phenomenological studies.

So far, we have shown numerical results for the GUT scale cutoff. The NMSSM with the 10 TeV cut-off scale is also interesting in view of the heavy Higgs boson without little hierarchy [\[32](#page-12-21)[,44–](#page-12-22)[46](#page-12-23)]. We show the constraints for the point 1 with 10 TeV cutoff in Fig. [8.](#page-10-1) One can see that the large region on the $\lambda - \kappa$ plane is excluded by our con-
straints, although the lightest Higgs boson with the mass straints, although the lightest Higgs boson with the mass 125 GeV and the SM-like coupling can be obtained. In the region between the tachyonic Higgs boson mass and the region excluded by the C direction, squark masses become tachyonic, and hence the region is not allowed phenomenologically.

V. CONCLUSION

We have studied the mass, the mixings, and the couplings with the Z boson of the lightest Higgs boson in the viewpoint of the structure of vacua in the next-tominimal supersymmetric standard model with the \mathbb{Z}_3 symmetry.

In Sec. [II,](#page-1-0) we have shown the intuitive conditions for which the tachyonic masses for the CP-even and -odd Higgs bosons can be avoided. The conditions are derived by requiring that the diagonal elements should not be negative, and the off-diagonal elements are not comparable to the diagonal ones. These give rough bounds on the parameters which are useful to understand the behavior of the tachyonic masses. In Sec. III , we have shown the new directions along which unrealistic vacua can appear. The EW symmetry is not broken successfully on these unrealistic vacua, and hence these vacua should not be chosen as our vacuum. We have seen that the depth of the false vacua is characterized by the SUSY-breaking scale. Therefore, the false vacua can become deeper than the realistic vacuum. We have also shown that the false vacua studied in the previous works [[34](#page-12-17)] are included in the new directions.

In Sec. [IV,](#page-5-0) we have shown our numerical results on the mass. First, we have seen that the region of tachyonic Higgs mass appears for small κ/λ for positive A_{λ} and

negative A_{κ} while it also appears for large κ/λ for negative A_{κ} and A_{κ} . In the former case, the lightest CP -even Higgs α -boson becomes tachyonic when the mixing of the singlet A_{λ} and A_{κ} . In the former case, the lightest CP-even Higgs becomes larger than 0.5, while in the latter case, the tachyonic mass of the CP-odd Higgs boson appears when the mixing of the up-type Higgs becomes larger than 0.7. Then, we have shown that the new false vacua appear outside the tachyonic Higgs mass region and large mixing of the lightest Higgs boson cannot be large because κ/λ region. This result implies that the mass and the a large value of λ is excluded in both cases. In fact, we have
shown that by imposing the constraint that the realistic shown that by imposing the constraint that the realistic vacuum is deeper than the new false vacua, and the gauge and Yukawa couplings are perturbative up to the GUT scale, important parameter regions for the Higgs mass around 125 GeV can be excluded. The large mixing of the up-type, down-type, and the singlet Higgs in the lightest Higgs boson is also excluded by the new false vacua, and the lightest Higgs boson consists of mainly the uptype Higgs boson in the allowed region. Then, we have seen that the coupling squared with the Z boson of the lightest Higgs boson is very close to that of the SM. On the other hand, we have seen that the mass and the mixing are not constrained by the tachyonic Higgs mass, and the false vacua if the parameters satisfy Eq. (20) (20) . In this case, however, the parameters should be tuned so that the mixing of the doublet and the singlet Higgs boson vanishes. Our analysis can be extended to the case with the 10 TeV cut-off.

In general, our constraints exclude a wider parameter region than the constraint to avoid the tachyonic Higgs masses. In most cases, the region with small κ/λ is ex-
cluded. Furthermore, the component of the un-type Higgs cluded. Furthermore, the component of the up-type Higgs in the lightest Higgs boson is also constrained. In most cases, the region with such a component less than 0.9 is disfavored. That implies that the lightest Higgs boson is SM-like. However, the region with Eq. [\(20\)](#page-3-5) is exceptional, the tachyonic modes do not appear, and the false vacua are less deep than the realistic vacuum.

In the end of the conclusion, we comment on the lifetime of the false vacua. As was discussed in Ref. [\[34\]](#page-12-17), if the lifetime of vacua is longer than the age of the Universe, a realistic vacuum becomes metastable, and the parameter space is not constrained. A Euclidean action for bounce solutions [[47](#page-12-24),[48](#page-12-25),[48](#page-12-25)[–51\]](#page-12-26) should be larger than 400 for the lifetime to be longer than the age of the Universe. We estimated the Euclidian action for the new false vacuum and found the order of 10–100. Thus, our results will be still valid if the lifetime is taken into consideration. However, detailed studies of the lifetime are important to obtain more serious constraints. We will study these aspects in our future works. At any rate, the NMSSM has several interesting aspects. It is important to study those aspects of the NMSSM by taking into account our new false vacua.

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