# Valence quark and meson cloud contributions for the $\gamma * \Lambda \to \Lambda *$ and $\gamma * \Sigma^0 \to \Lambda *$ reactions

G. Ramalho,<sup>1</sup> D. Jido,<sup>2,3</sup> and K. Tsushima<sup>4</sup>

<sup>1</sup>CFTP, Instituto Superior Técnico, Universidade Técnica de Lisboa, Av. Rovisco Pais, 1049-001 Lisboa, Portugal

<sup>2</sup>Yukawa Institute for Theoretical Physics, Kyoto University, Kyoto 606-8502, Japan

<sup>3</sup>J-PARC Branch, KEK Theory Center, Institute of Particle and Nuclear Studies,

High Energy Accelerator Research Organization (KEK), 203-1, Shirakata, Tokai, Ibaraki, 319-1106, Japan

<sup>4</sup>CSSM, School of Chemistry and Physics, University of Adelaide, Adelaide SA 5005, Australia

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We estimate the valence quark contributions for the  $\gamma^* Y \to \Lambda^* (Y = \Lambda, \Sigma^0)$  electromagnetic transition form factors. We focus particularly on the case of  $\Lambda^* = \Lambda(1670)$  as an analogue reaction with  $\gamma^* N \to N(1535)$ . The results are compared with those obtained from chiral unitary model, where the  $\Lambda^*$ resonance is dynamically generated and thus the electromagnetic structure comes directly from the meson cloud excitation of the baryon ground states. The form factors for the case  $Y = \Sigma^0$  in particular, depend crucially on the two real phase (sign) combination, a phase between the  $\Lambda$  and  $\Lambda^*$  states, and the other, the phase between the  $\Lambda$  and  $\Sigma^0$  radial wave functions. Depending on the combination of these two phases, the form factors for the  $\gamma^* \Sigma^0 \to \Lambda^*$  reaction can be enhanced or suppressed. Therefore, there is a possibility to determine the phase combination by experiments.

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# I. INTRODUCTION

With the development of modern accelerators, the study of the meson and light baryon structure has become one of the most exciting topics in physics. Although the underlying theory of strong interaction, quantum chromodynamics (QCD) has been known for a long period, its complexity in the low energy region forces us to use some effective theories (aside from lattice QCD), either based on the quark and gluon degrees of freedom, or some effective interactions between the mesons and baryons. Among the various possible meson-baryon reactions, the reactions that involve strangeness are particularly interesting, due to the accessibility of modern accelerators to strange particles such as kaons, K, antikaons,  $\tilde{K}$ , and hyperons,  $\Sigma$  and  $\Lambda$ .

In this study we focus on the electromagnetic excitations of the  $\Lambda$  hyperon ground state. The  $\Lambda$  ground state  $\Lambda(1116)$ is  $J^P = \frac{1}{2}^+$  and belongs to the spin 1/2 octet baryon multiplet, in which the nucleons also belong. The lowest mass of the  $\Lambda$  excited state ( $\Lambda^*$ ) reported by the particle data group [1] is  $\Lambda(1405)$ , a  $J^P = \frac{1}{2}$  state. The  $\Lambda(1405)$ state has created a great deal of interest over the years for the following reasons: (i) it has been suggested as a dynamically generated state (molecularlike state) composed largely of the  $\pi\Sigma$  and  $\bar{K}N$  states [2–5]; (ii) it is difficult to classify in terms of naive quark models based on SU(6)symmetry. In the representation of spin-flavor SU(6) symmetry the  $\Lambda(1405)$  state can be a mixture of three distinct 3-quark states, including the  $\Lambda$ -singlet state [6–8]. However, its mass is difficult to predict in the Karl-Isgur model [8], as well as in the cloudy bag model (CBM) [9]. In the CBM, the  $\Lambda(1405)$  state was interpreted primarily as a  $\overline{KN}$  bound state [9]. Thus, there is a strong indication that the  $\Lambda(1405)$  state is a dynamically generated meson-baryon molecularlike state with a single or a double pole structure [9–19]. In particular, it was demonstrated that the  $\Lambda(1405)$  state is composed substantially of the meson-baryon components within the chiral unitary model [13]. Nevertheless, there are some works that support  $\Lambda(1405)$  as a 3-quark state [20–22].

Therefore, the study of the  $\gamma^* \Lambda \to \Lambda^*$  reaction is very interesting for the following reasons. In one aspect this reaction has a possible analogy with the  $\gamma^* N \to N^*(1535)$ reaction. Because  $\gamma^* \Lambda \to \Lambda^*$  is a transition between the  $J^P = \frac{1}{2}^+$  and  $J^P = \frac{1}{2}^-$  states, we have the possibility of interpreting the  $\Lambda(1405)$  state as a *p*-state excitation of one quark in the ground state  $\Lambda(1116)$ , analogous to  $N^*(1535)$ , a *p*-state excitation of the nucleon [23]. However, the  $\Lambda(1405)$  state has considerably lower mass than  $N^*(1535)$ . Furthermore, it has a larger mass difference with the nearest *d*-state partner  $\Lambda(1520)$  compared to the case of  $N^*(1535)$  and  $N^*(1520)$ . The mass order is even reversed for the  $\Lambda(1405)$  case. Because of the reasons discussed above, it is very difficult to interpret naively  $\Lambda(1405)$  as a simple *p*-state excitation of  $\Lambda(1116)$ .

Searching for the next higher mass excited state of  $\Lambda(1116)$  with  $J^P = \frac{1}{2}^-$ , one finds  $\Lambda(1670)$ , which can be an analogous with  $S_{11}$  excitation of the nucleon,  $N^*(1535)$ . Since  $\Sigma^0$  is the neutral  $\Sigma$  ground state  $(J^P = \frac{1}{2}^+)$  which belongs to the spin 1/2 octet baryon multiplet, and the  $\gamma^*\Sigma^0 \rightarrow \Lambda^*$  reaction is similar to the  $\gamma^*\Lambda \rightarrow \Lambda^*$  reaction, we also focus on the  $\gamma^*\Sigma^0 \rightarrow \Lambda^*$  reaction in this study. Because  $\Lambda(1116)$  and  $\Sigma^0(1193)$  are similar in masses, the two reactions differ mainly in the initial state quark configurations. As for the other interesting aspect, we note that the  $\Lambda(1670)$  resonance can also be described as a dynamically generated meson-baryon state [18,19], and the  $\gamma^*Y \rightarrow \Lambda^*$  transition form factors for  $Y = \Lambda$ ,  $\Sigma^0$ , were calculated in chiral unitary model [17].

In the previous works, a valence quark model was applied to study the  $\gamma^* N \rightarrow N^*(1535)$  reaction, and the corresponding transition form factors and helicity amplitudes were studied [23,24]. The reaction was also studied in coupledchannels chiral dynamics (chiral unitary model) [25]. In the chiral unitary model the contributions for the transition form factors come entirely from the meson-baryon states (meson cloud effect). For the  $\gamma^* N \rightarrow N^*(1535)$  reaction the transition form factors  $F_1^*$  (Dirac-type) and  $F_2^*$  (Paulitype) can be expressed in terms of the transverse  $(A_{1/2})$  and longitudinal  $(S_{1/2})$  helicity amplitudes [23,26]. In Ref. [23], it was found that the  $F_1^*$  can be explained very well just taking into account the valence quark effect. By contrast, the meson cloud seems to play a very important role for the  $F_2^*$ , in particular, in the low  $Q^2$  region [25]. Then, such different roles between the valence quark and meson cloud effects may be reflected in the experimentally extracted helicity amplitudes  $S_{1/2}$  and  $A_{1/2}$ . This possibility was indeed demonstrated in Ref. [24]. We will also briefly review these results.

Therefore, one of the main motivations of this study is to investigate whether or not the different roles of the valence quark and meson cloud effects observed for the  $\gamma^* N \rightarrow$  $N^*(1535)$  reaction can also be observed in the  $\gamma^* Y \to \Lambda^*$ reactions with  $Y = \Lambda$  and  $\Sigma^0$ . In particular, we focus on the structure of  $\Lambda(1670)$  in this study. Assuming that  $\Lambda(1670)$  is a radial *p*-state excitation of  $\Lambda(1116)$ , we estimate the valence quark contributions for the  $\gamma^* Y \rightarrow$  $\Lambda^*$  transition form factors as well as the helicity amplitudes. For this purpose, we use the covariant spectator quark model [23,27–29], which was successfully applied to the study of the  $\gamma^* N \rightarrow N^*(1535)$  reaction. The results of the covariant spectator quark model for the  $\gamma^* Y \to \Lambda^*$ reaction are also compared with those obtained with the chiral unitary model [17], where the  $\Lambda^*$  is generated as a meson-baryon molecularlike state such as the  $N\bar{K}$ ,  $\Lambda\eta$ , and  $\Xi K$  states. Then, one of the interests is the structure of the  $\Lambda(1670)$  state, namely, how it can be interpreted, either it is predominantly a meson-baryon molecularlike state, or dominated by the 3-valence-quark state. Furthermore, we also show that the  $\gamma^* \Sigma^0 \to \Lambda^*$  transition form factors depend crucially on the combination of the two unknown real phases (signs), a phase between the  $\Lambda$  and  $\Lambda^*$  threequark wave functions (to be denoted by  $\eta_{\Lambda^*}$ ), and a phase between the  $\Lambda$  and  $\Sigma^0$  wave functions (to be denoted by  $\eta_{\Lambda\Sigma^0}$ ).

This article is organized as follows. In Sec. II, we define the  $\gamma^* Y \rightarrow \Lambda^*$  ( $Y = \Lambda$ ,  $\Sigma^0$ ) transition form factors and their relations with the helicity amplitudes. In Sec. III, we present the covariant spectator quark model and estimate the valence quark contributions for  $\gamma^* Y \rightarrow \Lambda^*$ ( $Y = \Lambda$ ,  $\Sigma^0$ ). We discuss in Sec. IV the  $\Lambda(1670)$  state based on the chiral unitary model and estimate the contributions from the meson-baryon states in the  $\gamma^* Y \rightarrow \Lambda^*$ ( $Y = \Lambda$ ,  $\Sigma^0$ ) reactions. In Sec. V, we present the results from both models, and provide a discussion. Finally, in Sec. VI we provide the conclusions of the present study.

### **II. FORM FACTORS AND HELICITY AMPLITUDES**

The  $\gamma^* Y \rightarrow \Lambda^*$  electromagnetic transition current for *Y* a strangeness S = -1 and  $J^P = \frac{1}{2}^+$  state, and  $\Lambda^*$  a  $J^P = \frac{1}{2}^-$  excited state of the  $\Lambda$  ground state  $(J^P = \frac{1}{2}^+)$ , can be represented as [23,26]

$$J_{Y}^{\mu} = e \left[ \left( \gamma^{\mu} - \frac{q q^{\mu}}{q^{2}} \right) F_{1}^{Y}(Q^{2}) + \frac{i \sigma^{\mu\nu} q_{\nu}}{M_{\Lambda^{*}} + M_{Y}} F_{2}^{Y}(Q^{2}) \right] \gamma_{5}, \quad (1)$$

where  $F_i^Y$  (i = 1, 2) are the transition form factors, and q the four-momentum transfer (defined below) with  $Q^2 = -q^2$ . The factor e is the absolute electron charge given by  $e = \sqrt{4\pi\alpha}$  with  $\alpha$  being the electromagnetic fine structure constant. Note that the form factors are frame independent since Eq. (1) is Lorentz covariant. We are particularly interested in the cases  $Y = \Lambda$  and  $\Sigma^0$  in this study.

The current  $J_Y^{\mu}$  can be projected on the initial state  $u_Y(P_-, S_z)$  and final state  $\bar{u}_{\Lambda^*}(P_+, S'_z)$  Dirac spinors, where  $P_-$  ( $P_+$ ) is the initial (final) momentum,  $q = P_+ - P_-$ , and  $S_z$  ( $S'_z$ ) the spin projection.

More familiar matrix elements may be the helicity amplitudes. In this case the current  $J_Y^{\mu}$  is projected on the photon polarization states  $\epsilon_{\mu}^{(\lambda)}$ , where the polarizations can be longitudinal ( $\lambda = 0$ ) or transverse ( $\lambda = \pm$ ). As the photon polarizations depend on the frame, the helicity amplitudes are frame dependent. The most common choice of the reference frame is the final state rest frame,  $\Lambda^*$  at rest. In this frame we can define the transverse ( $A_{1/2}^{Y}$ ) and longitudinal ( $S_{1/2}^{Y}$ ) helicity amplitudes as [26]

$$A_{1/2}^{Y} = \sqrt{\frac{2\pi\alpha}{K}} \frac{1}{e} \left\langle \Lambda^{*}, S_{z}' = +\frac{1}{2} \left| \epsilon^{(+)} \cdot J_{Y} \right| Y, S_{z} = -\frac{1}{2} \right\rangle,$$
(2)

$$S_{1/2}^{Y} = \sqrt{\frac{2\pi\alpha}{K}} \frac{1}{e} \left\langle \Lambda^*, S_z' = +\frac{1}{2} \right| \epsilon^{(0)} \cdot J_Y \left| Y, S_z = +\frac{1}{2} \right\rangle \frac{|\mathbf{q}|_Y}{Q},$$
(3)

with  $\alpha = \frac{e^2}{4\pi}$ , and

$$K = \frac{M_{\Lambda^*}^2 - M_Y^2}{2M_{\Lambda^*}}.$$
 (4)

In the above  $|\mathbf{q}|_{Y}$  is the absolute value of the photon threemomentum  $\mathbf{q}$  in the  $\Lambda^*$  rest frame,

$$|\mathbf{q}|_{Y} = \frac{\sqrt{[(M_{\Lambda^{*}} + M_{Y})^{2} + Q^{2}][(M_{\Lambda^{*}} - M_{Y})^{2} + Q^{2}]}}{2M_{\Lambda^{*}}}.$$
 (5)

The subindex Y is to label the initial state.

In the  $\Lambda^*$  rest frame we can relate the helicity amplitudes with the form factors [26]:

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$$A_{1/2}^{Y} = -2b \bigg[ F_{1}^{Y} + \frac{M_{\Lambda^{*}} - M_{Y}}{M_{\Lambda^{*}} + M_{Y}} F_{2}^{Y} \bigg], \tag{6}$$

$$S_{1/2}^{Y} = \sqrt{2}b(M_{\Lambda^{*}} + M_{Y})\frac{|\mathbf{q}|_{Y}}{Q^{2}} \left[\frac{M_{\Lambda^{*}} - M_{Y}}{M_{\Lambda^{*}} + M_{Y}}F_{1}^{Y} - \tau F_{2}^{Y}\right], \quad (7)$$

with

$$\tau = \frac{Q^2}{(M_{\Lambda^*} + M_Y)^2},$$
(8)

and

$$b = e \sqrt{\frac{(M_{\Lambda^*} + M_Y)^2 + Q^2}{8M_Y(M_{\Lambda^*}^2 - M_Y^2)}}.$$
(9)

# **III. SPECTATOR QUARK MODEL**

In the spectator formalism [30-32] a baryon is represented as a 3-quark state and the wave function is expressed in terms of the corresponding baryon spin flavor [27,29,33]. Then the baryon system is decomposed as an off-mass-shell constituent quark, free to interact with electromagnetic fields, and two on-mass-shell quarks. Integrating over the on-mass-shell momenta we reduce the baryon to a quark-diquark system which has an effective diquark mass  $m_D$  [27,33,34].

The electromagnetic interaction with the quark is described in terms of a vector meson dominance parametrization (to be described later), that simulates the constituent quark internal structure. The quark structure parameterizes effectively the interactions with gluons and quark-antiquark polarization effects. The quark electromagnetic current was calibrated previously by the nucleon and decuplet baryon data [27,33], and also tested in the lattice regime for the nucleon elastic reaction as well as for the  $\gamma N \rightarrow \Delta$  transition [28,33,35,36]. The model was also applied to the physical regime to study the octet baryon and decuplet baryon systems [28,37–42], and some of the excited states of nucleon and  $\Delta$  [23,43,44].

## A. Wave functions

We next discuss the spin-flavor-radial wave functions of the systems relevant in this work, namely,  $\Lambda$ ,  $\Sigma^0$ , and  $\Lambda^*$ , where  $\Lambda^*$  is interpreted as a 3-quark excitation of the  $\Lambda$  ground state with negative parity. The structure of the  $\Lambda$  and  $\Sigma^0$  systems are based on Ref. [28], which studied the octet baryon electromagnetic properties. As for the  $\Lambda^*$ , based on the structure considered for the  $N^*(1535)$  in Ref. [23], we generalized it.

### 1. Spin-flavor wave functions

In Ref. [28] it was shown that the octet baryon systems can be described reasonably well in an S-state configuration for the quark-diquark system, and that the same structure of wave function applies for all the members of the octet baryons except for the flavor states. The general structure of the wave function is written by [28]

$$\Psi_Y(P,k) = \frac{1}{\sqrt{2}} \left[ \phi_S^0 | M_A \rangle_Y + \phi_S^1 | M_S \rangle_Y \right] \psi_Y(P,k), \quad (10)$$

where *P* is the total momentum of particle *Y*, *k* the diquark momentum,  $\psi_Y$  is the radial wave function, and  $\phi_S^{0,1}$  are the spin wave functions. The flavor wave functions are presented in Table I. The spin wave functions (the same for all the octet members) are expressed as [23,27,28]

$$\phi_S^0 = u_Y(P, S_z), \qquad \phi_S^1 = -(\varepsilon_P^*)_\alpha(\lambda_D) U_Y^\alpha(P, S_z), \quad (11)$$

where  $U_Y^{\alpha}$  is the vector-spinor [27,40],

$$U_Y^{\alpha}(P,s) = \frac{1}{\sqrt{3}} \gamma_5 \left(\gamma^{\alpha} - \frac{P^{\alpha}}{M_Y}\right) u_Y(P,S_z), \qquad (12)$$

and  $u_Y(P, S_z)$  the Y-Dirac spinor with the spin projection  $S_z$ . In Eq. (11),  $\varepsilon_P(\lambda_D)$  with  $\lambda_D = 0$ ,  $\pm$  are the spin-1 diquark polarization states defined in the fixed-axis representation as a function of the Y momentum [27,45]. For later discussions, we note that, even the flavor states can be well defined, the total wave functions can have sign ambiguities due to the normalization constants for the radial wave functions  $\psi_Y$ . Note however, that the sign is not relevant for the elastic reactions like the  $\Lambda$  and  $\Sigma$  electromagnetic form factors, since the results are proportional to the integral with the product of the two (real number) functions  $\psi_Y$ , although they have different arguments [28].

As for the  $\Lambda^*$  state with  $J^P = \frac{1}{2}^-$ , we use the analogy with  $N^*(1535)$  to represent the corresponding wave function. Assuming as in Ref. [23] that  $\Lambda^*$  is dominated by the internal quark states with a total spin 1/2 and has no *P* states inside the diquark (pointlike diquark), it is written by

$$\Psi_{\Lambda^*}(P,k) = \frac{1}{\sqrt{2}} [\Phi_\rho | M_A \rangle_\Lambda - \Phi_\lambda | M_S \rangle_\Lambda] \psi_{\Lambda^*}(P,k), \quad (13)$$

TABLE I. Flavor wave functions of  $\Lambda$  and  $\Sigma^0$ .

| Y   | $ M_S angle_Y$  | $ M_A angle_Y$   |
|---|---|--|
| $egin{array}{c} \Lambda \ \Sigma^0 \end{array}$ | $\frac{\frac{1}{2}[(dsu - usd) + s(du - ud)]}{\frac{1}{\sqrt{12}}[s(du + ud) + (dsu + usd) - 2(ud + du)s]}$ | $\frac{1}{\sqrt{12}} [s(du - ud) - (dsu - usd) - 2(du - ud)s] \\ \frac{1}{2} [(dsu + usd) - s(ud + du)]$ |

where  $\Phi_{\rho}$  and  $\Phi_{\lambda}$  are the spin states to be defined shortly, and  $\psi_{\Lambda^*}$  the  $\Lambda^*$  radial wave function. Note that  $\Lambda^*$  and  $\Lambda$  are described by the same flavor wave function (see Table I). The states  $\Phi_{\rho,\lambda}$  are defined, respectively, by [23],

$$\begin{split} \Phi_{\rho}(\pm) &= -\gamma_{5} \mathcal{N}'_{\Lambda^{*}}[(\varepsilon_{0} \cdot k)u_{\Lambda^{*}}(\pm) - \sqrt{2}(\varepsilon_{\pm} \cdot k)u_{\Lambda^{*}}(\mp)],\\ \Phi_{\lambda}(\pm) &= \gamma_{5} \mathcal{N}'_{\Lambda^{*}}[(\varepsilon_{0} \cdot \tilde{k})\varepsilon^{*}_{\alpha}U^{\alpha}_{\Lambda^{*}}(\pm) \\ &- \sqrt{2}(\varepsilon_{\pm} \cdot \tilde{k})\varepsilon^{*}_{\alpha}U^{\alpha}_{\Lambda^{*}}(\mp)], \end{split}$$
(14)

where  $\pm$  hold for the spin projections  $S_z = \pm \frac{1}{2}$ , and  $\varepsilon_0$  is a short notation for  $\varepsilon_P(0)$  of the diquark polarization associated with the  $\Lambda^*$ , and  $\mathcal{N}'_{\Lambda^*}$  is the normalization factor, and

$$\tilde{k} = k - \frac{P \cdot k}{M_{\Lambda^*}^2} P.$$
(15)

This last four-momentum reduces to the diquark threemomentum in the  $\Lambda^*$  rest frame. As for  $U^{\alpha}_{\Lambda^*}$ , it is defined by Eq. (12) with  $M_Y \to M_{\Lambda^*}$ . The normalization factor  $\mathcal{N}'_{\Lambda^*}$  can be represented as

$$\mathcal{N}_{\Lambda^*}' = \eta_{\Lambda^*} N, \tag{16}$$

where  $N = 1/\sqrt{-\tilde{k}^2}$ , and  $\eta_{\Lambda^*}$  is a relative phase (sign) between the  $\Lambda$  and  $\Lambda^*$  states to be discussed later.

The states  $\Phi_{\rho}$  and  $\Phi_{\lambda}$  in Eq. (14) are constructed, respectively, to be antisymmetric and symmetric for the interchange of the quarks 1 and 2 [23].

#### 2. Radial wave functions

Since the baryon and the diquark are on-mass shell in the spectator quark model, we can represent the baryon radial wave function in term of  $(P - k)^2$ . We can use then the dimensionless variable

$$\chi_B = \frac{(M_B - m_D)^2 - (P - k)^2}{M_B m_D},$$
 (17)

where  $M_B$  is the mass of the baryon B and  $m_D$  the diquark mass. Following Ref. [28], we take the form for the  $Y (= \Lambda, \Sigma^0)$  wave functions,

$$\psi_Y(P,k) = \frac{\mathcal{N}_Y}{m_D(\beta_1 + \chi_Y)(\beta_3 + \chi_Y)},$$
 (18)

where the values of  $\beta_1$  and  $\beta_3$  were fixed in Ref. [28] as  $\beta_1 = 0.0440$  and  $\beta_3 = 0.7634$ , and  $\mathcal{N}_Y$  is the normalization constant. We assume that  $\mathcal{N}_Y$  is *positive*. While  $\beta_1$  parameterizes the spacial long-range distribution of the quarks which are dominated by the light quarks,  $\beta_3$  regulates the short-range structure in a system with only one strange quark. The normalization constant,  $\mathcal{N}_Y$ , is determined by the condition

$$\int_{k} |\psi_{Y}(\bar{P}, k)|^{2} = 1,$$
(19)

where  $\bar{P} = (M_Y, 0, 0, 0)$  is the Y momentum in its rest frame, and  $\int_k$  stands for  $\int \frac{d^3\mathbf{k}}{2E_D(2\pi)^3}$ , where  $E_D$  is the diquark on-mass-shell energy. Note that Eq. (19) only determines the magnitude of  $\mathcal{N}_Y$ , but not the sign.

For the  $\Lambda^*$  wave function, we also take the form of Eq. (18), except that  $\chi_Y$  is replaced by  $\chi_{\Lambda^*}$ , meaning that the  $M_Y$  is replaced by  $M_{\Lambda^*}$ . This choice is equivalent to stating that  $\Lambda$  and  $\Lambda^*$  have the same radial wave function, and they are distinguished only by the spin states. It also means that the normalization constants in the radial wave functions  $\mathcal{N}_{\Lambda}$  and  $\mathcal{N}_{\Lambda^*}$  are equal<sup>1</sup> and therefore have the same sign.

We can now discuss the sign  $\eta_{\Lambda^*}$  in Eq. (16). Since it is already assumed that the normalization constant of the radial wave function  $\mathcal{N}_{\Lambda}$  is positive,  $\eta_{\Lambda^*}$  defines the sign of the  $\gamma^*\Lambda \to \Lambda^*$  transition form factors. Because we have no clue for the sign of the form factors until the date, we will keep the factor  $\eta_{\Lambda^*}$  in the following equations. We also call attention to the fact that the sign corresponding to the  $\gamma^*N \to N^*(1535)$  reaction is equivalent to  $\eta_{\Lambda^*} = 1$ , where this was determined by the experimentally extracted sign for the form factor  $F_1^*$  [23].

#### **B.** Electromagnetic transition current

The electromagnetic current for the transition  $\gamma^* Y \rightarrow \Lambda^*$  in a relativistic impulse approximation is given by [27,28,33]

$$J_{Y}^{\mu} = 3e \sum_{\Gamma} \int_{k} \bar{\Psi}_{\Lambda^{*}}(P_{+}, k) j_{q}^{\mu} \Psi_{Y}(P_{-}, k), \qquad (20)$$

where  $\Gamma = \{s, \lambda_D\}$  (the scalar diquark *s*, and the vector diquark polarizations  $\lambda_D = 0, \pm 1$ ), and  $j_q^{\mu}$  is the quark current operator associated with the quark 3. The factor 3 accounts for the contributions from the quark pairs (13) and (23) [the same contribution as that from the pair (12)].

### 1. Quark current

The quark current  $j_q^{\mu}$  (in *e* units) can be represented as [27,28,33,40,41]

$$j_{q}^{\mu} = j_{1}\hat{\gamma}^{\mu} + j_{2}\frac{i\sigma^{\mu\nu}q_{\nu}}{2M},$$
(21)

where M is the nucleon mass, and

$$\hat{\gamma}^{\mu} = \gamma^{\mu} - \frac{\not q q^{\mu}}{q^2}, \qquad (22)$$

and  $j_i$  (i = 1, 2) are the Dirac and Pauli quark operators, respectively. The inclusion of the term  $\oint q^{\mu}/q^2$  in the quark

<sup>&</sup>lt;sup>1</sup>For the radial wave functions with the structure of Eqs. (17) and (18), the normalization condition given by Eq. (19) uses  $\chi_B = 2(\frac{E_D}{m_D} - 1)$ , which is independent of the baryon mass. As a consequence, the normalization constant is independent of the baryon mass.

current is equivalent to using the Landau prescription [46,47] to the final electromagnetic current. The term restores current conservation but does not affect the observables calculated [46].

The operators  $j_i$  (i = 1, 2) act on the flavor states  $|M_A\rangle_Y$ and  $|M_S\rangle_Y$  written in terms of the symmetry with respect to the quark 3. The operators  $j_i$  can be decomposed into the sum of SU(3)-space operators [28,33],

$$j_i = \frac{1}{6}f_{i+}\lambda_0 + \frac{1}{2}f_{i-}\lambda_3 + \frac{1}{6}f_{i0}\lambda_s,$$
(23)

where  $\lambda_0 = \text{diag}(1, 1, 0)$ ,  $\lambda_3 = \text{diag}(1, -1, 0)$ , and  $\lambda_s = \text{diag}(0, 0, -2)$ , and  $f_{in}$   $(i = 1, 2, n = 0, \pm)$  define the constituent quark form factors. The operators act on the third quark, where the quark wave function is represented by  $q = (uds)^T$ .

The quark electromagnetic form factors are normalized as  $f_{1n}(0) = 1$   $(n = 0, \pm)$ ,  $f_{2\pm}(0) = \kappa_{\pm}$ , and  $f_{20}(0) = \kappa_s$ . The isoscalar  $(\kappa_+)$  and isovector  $(\kappa_-)$  anomalous magnetic moments are related with the *u* and *d* quark anomalous magnetic moments by  $\kappa_+ = 2\kappa_u - \kappa_d$  and  $\kappa_- = \frac{2}{3}\kappa_u - \frac{1}{3}\kappa_d$  [27]. As for  $\kappa_s$ , it is the strange quark anomalous magnetic moment [33,42].

### 2. Quark electromagnetic form factors

To parameterize the quark current (23), we adopt the structure inspired by the vector meson dominance mechanism as in Refs. [27,33]:

$$f_{1\pm} = \lambda_q + (1 - \lambda_q) \frac{m_v^2}{m_v^2 + Q^2} + c_{\pm} \frac{M_h^2 Q^2}{(M_h^2 + Q^2)^2},$$
  

$$f_{10} = \lambda_q + (1 - \lambda_q) \frac{m_{\phi}^2}{m_{\phi}^2 + Q^2} + c_0 \frac{M_h^2 Q^2}{(M_h^2 + Q^2)^2},$$
  

$$f_{2\pm} = \kappa_{\pm} \left\{ d_{\pm} \frac{m_v^2}{m_v^2 + Q^2} + (1 - d_{\pm}) \frac{M_h^2}{M_h^2 + Q^2} \right\},$$
  

$$f_{20} = \kappa_s \left\{ d_0 \frac{m_{\phi}^2}{m_{\phi}^2 + Q^2} + (1 - d_0) \frac{M_h^2}{M_h^2 + Q^2} \right\},$$
  
(24)

where  $m_v$ ,  $m_\phi$  and  $M_h$  are the masses, respectively, corresponding to the light vector meson  $m_v \simeq m_\rho$ , the  $\phi$  meson (associated with an  $s\bar{s}$  state), and an effective heavy meson with mass  $M_h = 2M$  to represent the short-range phenomenology. For the isoscalar component it should be  $m_v = m_\omega$ , but we neglect the small mass difference between the  $\rho$  and  $\omega$  mesons, and use  $m_\rho$ . The coefficients  $c_0, c_{\pm}$  and  $d_0, d_{\pm}$  were determined in the previous studies of the nucleon (model II) [27] and  $\Omega^-$  [33]. The values are respectively,  $c_+ = 4.160$ ,  $c_- = 1.160$ ,  $d_+ = d_- = -0.686$ ,  $c_0 = 4.427$ , and  $d_0 = -1.860$  [33]. The parameter  $\lambda_q = 1.21$  is fixed to give the correct quark number density in deep inelastic scattering [27].

In this study we use the values of the parameters determined by the study of the octet baryon electromagnetic form factors [28]:

$$\kappa_u = 1.6690, \qquad \kappa_d = 1.9287, \qquad \kappa_s = 1.4620.$$
 (25)

With the wave functions (10) and (13) one can write the quantity in Eq. (20) as

$$\begin{split} \sum_{\Gamma} \bar{\Psi}_{\Lambda^*} j^{\mu}_{q} \Psi_{Y} &= + \frac{\mathcal{A}}{2} \left\{ j^{A}_{1} \bar{\Phi}_{\rho} \, \hat{\gamma}^{\mu} \phi^{0}_{S} + j^{A}_{2} \bar{\Phi}_{\rho} \frac{i \sigma^{\mu\nu} q_{\nu}}{2M} \phi^{0}_{S} \right\} \\ &- \frac{\mathcal{A}}{2} \left\{ j^{S}_{1} \bar{\Phi}_{\rho} \, \hat{\gamma}^{\mu} \phi^{1}_{S} + j^{S}_{2} \bar{\Phi}_{\rho} \frac{i \sigma^{\mu\nu} q_{\nu}}{2M} \phi^{1}_{S} \right\}, \end{split}$$

$$(26)$$

where

$$j_i^A = {}_{\Lambda} \langle M_A | j_i | M_A \rangle_Y, \qquad j_i^S = {}_{\Lambda} \langle M_S | j_i | M_S \rangle_Y, \quad (27)$$

for i = 1, 2, and they are the coefficients that encapsulate the flavor effect [28,33,41], and  $\mathcal{A} = \mathcal{N}'_{\Lambda^*} \psi_{\Lambda^*}(P_+, k) \psi_Y(P_-, k)$ . In Eq. (26) the sum in the diquark polarizations  $\lambda_D$  is implicit for the vector diquark contributions (terms in  $\phi_s^1$ ).

The calculation of the coefficients  $j_i^{A,S}$  (i = 1, 2) gives

$$j_i^S = \frac{1}{6}f_{i+}, \qquad j_i^A = \frac{1}{18}(f_{i+} - 4f_{i0})$$
 (28)

for the  $\gamma^* \Lambda \rightarrow \Lambda^*$  reaction, and

$$j_i^S = -\frac{1}{\sqrt{12}}f_{i-}, \qquad j_i^A = \frac{1}{\sqrt{12}}f_{i-},$$
 (29)

for the  $\gamma^* \Sigma^0 \to \Lambda^*$  reaction. In the case of  $\gamma^* \Lambda \to \Lambda^*$ , the coefficients are the same as ones calculated in Ref. [28] for the elastic  $\Lambda$  electromagnetic form factors. As for the  $\gamma^* \Sigma^0 \to \Lambda^*$  reaction, they are explicitly calculated, and the coefficients are the same as those for the reaction  $\gamma^* \Lambda \to \Sigma^0$ , and reflect the isovector nature of the reaction [48]. In both reactions, there is no interference between the  $|M_A\rangle_Y$  and  $|M_S\rangle_Y$  states, which are in the initial and final states.

Note that, in the second term in Eq. (26), there is a dependence on the diquark polarization vectors  $\varepsilon_{P_+}^{\alpha}(\lambda_D)$  and  $\varepsilon_{P_-}^{\beta*}(\lambda_D)$ . As already mentioned, these states are defined according to the fixed-axis representation [45] and depend also on the masses of the final  $(M_{\Lambda^*})$  and initial  $(M_Y)$  states, respectively. Taking into account the sum in the diquark polarization states, we have [40,45],

$$\Delta^{\alpha\beta} \equiv \sum_{\lambda_D} \varepsilon^{\alpha}_{P_+}(\lambda_D) \varepsilon^{\beta*}_{P_-}(\lambda_D)$$
  
=  $-\left(g^{\alpha\beta} - \frac{P^{\alpha}_{-}P^{\beta}_{-}}{P_+ \cdot P_-}\right) - a\left(P_- - \frac{P_+ \cdot P_-}{M^2_{\Lambda^*}}P_+\right)^{\alpha}$   
 $\times \left(P_+ - \frac{P_+ \cdot P_-}{M^2_Y}P_-\right)^{\beta},$  (30)

with

$$a = \frac{M_{\Lambda^*} M_Y}{P_+ \cdot P_- (M_{\Lambda^*} M_Y + P_+ \cdot P_-)}.$$
 (31)

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The calculation of the current (20) is carried out by the reduction from Eq. (26) to the evaluation of a few matrix elements. We present in Appendix A the explicit expressions for these matrix elements.

The final result is given by

$$J_Y^{\mu} = +e \frac{1}{2} (3j_1^A + j_1^S) I_Y \hat{\gamma}^{\mu} \gamma_5 - e \frac{1}{2} (3j_2^A - j_2^S) I_Y \frac{i\sigma^{\mu\nu} q_{\nu}}{2M} \gamma_5, \qquad (32)$$

where

$$I_{Y}(Q^{2}) = -\eta_{\Lambda^{*}} \int_{k} N(\varepsilon_{0} \cdot \tilde{k}) \psi_{\Lambda^{*}}(P_{+}, k) \psi_{Y}(P_{-}, k).$$
(33)

The integral  $I_Y$  is covariant and includes the radial dependence of the wave functions. We call  $I_Y$  the overlap integral.

#### C. Form factors

Combining Eqs. (1) and (32) with the coefficients in Eq. (28), we obtain the form factors for the  $\gamma^* \Lambda \to \Lambda^*$  reaction,

$$F_1^{\Lambda}(Q^2) = \frac{1}{6} [f_{1+}(Q^2) - 2f_{10}(Q^2)] I_{\Lambda}, \qquad (34)$$

$$F_2^{\Lambda}(Q^2) = \frac{1}{3} f_{20}(Q^2) \frac{M_{\Lambda^*} + M_{\Lambda}}{2M} I_{\Lambda}.$$
 (35)

As for the  $\gamma^* \Sigma^0 \to \Lambda^*$  reaction, using Eq. (29) we obtain

$$F_1^{\Sigma}(Q^2) = -\frac{1}{\sqrt{12}} f_{1-}(Q^2) I_{\Sigma},$$
(36)

$$F_2^{\Sigma}(Q^2) = +\frac{2}{\sqrt{12}}f_{2-}(Q^2)\frac{M_{\Lambda^*} + M_{\Sigma}}{2M}I_{\Sigma}.$$
 (37)

Note that the presence of the factor 2M in the form factor expressions, which is a consequence of the quark Pauli current expressed in terms of the nucleon mass M [27,33] in Eq. (21).

The overlap integral  $I_Y$  can be evaluated in the  $\Lambda^*$  rest frame to give a simple expression [23],

$$I_{Y}(Q^{2}) = \eta_{\Lambda^{*}} \int_{k} \frac{k_{z}}{|\mathbf{k}|} \psi_{\Lambda^{*}}(P_{+}, k) \psi_{Y}(P_{-}, k), \qquad (38)$$

where

$$P_{-} = (E_Y, 0, 0, -|\mathbf{q}|_Y), \qquad P_{+} = (M_{\Lambda^*}, 0, 0, 0), \quad (39)$$

with  $E_Y = \sqrt{M_Y^2 + |\mathbf{q}|_Y^2} = \frac{M_{\Lambda^*}^2 + M_Y^2 + Q^2}{2M_{\Lambda^*}}.$ 

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$$\eta_{\Lambda\Sigma} = \frac{\mathcal{N}_{\Lambda} \mathcal{N}_{\Sigma}}{|\mathcal{N}_{\Lambda} \mathcal{N}_{\Sigma}|},\tag{40}$$

which gives the relative sign between the  $\Lambda$  and  $\Sigma$  radial wave functions, we can write in the limit  $M_{\Lambda} = M_{\Sigma^0}$  as

$$\operatorname{sgn}(I_{\Sigma}) = \eta_{\Lambda\Sigma^0} \times \operatorname{sgn}(I_{\Lambda}).$$
(41)

This result is equivalent to state that the relative sign of the integrals  $I_{\Lambda}$  and  $I_{\Sigma}$  is given by the relative sign of  $\mathcal{N}_{\Lambda}$  and  $\mathcal{N}_{\Sigma}$  (or  $\eta_{\Lambda\Sigma^{0}}$ ). Since the  $M_{\Lambda}$  and  $M_{\Sigma^{0}}$  values are close, it is expected that relation (41) also holds for a certain region of  $Q^{2}$ . The phase  $\eta_{\Lambda\Sigma^{0}}$  is unknown at present, as the same reason for the sign of the  $\gamma^*\Lambda \to \Sigma^{0}$  transition magnetic moment  $\mu_{\Lambda\Sigma^{0}}$  is unknown [1]. If the sign of  $\mu_{\Lambda\Sigma^{0}}$  is determined, we may be able to fix the sign for the  $\gamma^*\Sigma^{0} \to \Lambda^*$  transition form factors within the present approach. Therefore, although we will assume  $\eta_{\Lambda\Sigma^{0}} = 1$  in the presentation of our results later, we will also discuss the alternative sign possibility.

For later discussions, it is also important to mention that the integral (33) has a behavior,

$$I_{Y}(Q^{2}) \propto |\mathbf{q}|_{Y}, \tag{42}$$

for small  $|\mathbf{q}|_{Y}$ . Recall that  $|\mathbf{q}|_{Y}$ , given by Eq. (5), is the photon three-momentum in the  $\gamma^* Y \rightarrow \Lambda^*$  reaction in the final  $\Lambda^*$  rest frame. See Appendix C of Ref. [23] for the derivation of the relation (42).

We can now discuss the  $Q^2$  range applicable for the present model. From the definition of the transition form factors (1), we can conclude that the Dirac-type form factor  $F_1^Y$  should be zero or vanish when  $Q^2 \rightarrow 0$ . However, in the present case if  $M_{\Lambda^*} \neq M_Y$ , one has  $F_1^*(0) \neq 0$ . That is a simple consequence of the relation (42), from which we can conclude that  $I_Y(0) \neq 0$  in the case of  $Q^2 = 0$ , when  $|\mathbf{q}|_Y = |\mathbf{q}|_{0Y} = \frac{M_{\Lambda^*}^2 - M_Y^2}{2M_{\Lambda^*}}$ . This result is equivalent with the Y and  $\Lambda^*$  states not being orthogonal in the spectator quark model.<sup>2</sup> The two states would be orthogonal only in the states as approximately orthogonal when  $|\mathbf{q}|_{0Y}$  is very small, which leads to  $I_Y(0) \approx 0$ . Then, we can assume that the condition  $I_Y(0) \approx 0$  is satisfied when  $Q^2 \gg |\mathbf{q}|_{0Y}^2$ .

Interpreting  $\Lambda^*$  as  $\Lambda(1670)$   $(M_{\Lambda^*} \simeq 1.670 \text{ GeV})$  the model is then applicable when  $Q^2 \gg |\mathbf{q}|_0^2 = 0.21 \text{ GeV}^2$   $(M_{\Lambda} = 1.116 \text{ GeV})$  for the reaction involving  $\Lambda$ , and when  $Q^2 \gg |\mathbf{q}|_0^2 = 0.17 \text{ GeV}^2$   $(M_{\Sigma^0} = 1.193 \text{ GeV})$  for the reaction involving  $\Sigma^0$ .

From Eq. (38) we may conclude that the signs of the overlap integrals for  $Y = \Lambda$  and  $Y = \Sigma$  depend on the relative sign of the  $\Lambda$  and  $\Sigma$  scalar wave functions. Defining the factor,

<sup>&</sup>lt;sup>2</sup>This is a consequence of the fact that we cannot simultaneously have *Y* and  $\Lambda^*$  at rest when  $Q^2 = 0$ , unless the particles have the same masses. Considering, for instance,  $\Lambda^*$  at rest in the following. According to Eq. (39), one gets  $P_+ = (M_{\Lambda^*}, 0, 0, 0)$ , but  $P_- = \left(\frac{M_{\Lambda^*}^2 + M_Y^2}{2M_{\Lambda^*}}, 0, 0, -|\mathbf{q}|_{0Y}\right)$ . Therefore, *Y* is not at rest.

# **IV. CHIRAL UNITARY MODEL**

In this section, we briefly explain the description of the  $\Lambda(1670)$  resonance and the calculation of the corresponding form factors in the chiral unitary approach. Here we consider the model presented in Refs. [17,18].

# A. Description of $\Lambda(1670)$

In the chiral unitary model,  $\Lambda(1670)$  is dynamically generated in *s*-wave meson-baryon scattering in the coupled channels of  $\bar{K}N$ ,  $\pi\Sigma$ ,  $\eta\Lambda$ ,  $K\Xi$ ,  $\pi\Lambda$ , and  $\eta\Sigma$ with zero total charge. Here we take small isospin breaking into account in the masses of the mesons and baryons. The *s*-wave scattering amplitude in these channels is calculated with the scattering equation given by

$$T(W) = V(W) + V(W)G(W)T(W),$$
 (43)

where W is the center of mass energy of the two-body system. Based on the N/D method, neglecting the left-hand cut, a solution of the scattering equation can be obtained by a simple algebraic equation [19]

$$T = (1 - VG)^{-1}V. (44)$$

For the interaction kernel V in Eq. (44) we take the lowest order of the chiral perturbation theory, which is the Weinberg-Tomozawa term, as

$$V_{ij} = -C_{ij} \frac{1}{4f^2} (2W - M_i - M_j) N_i N_j, \qquad (45)$$

with the coupling strength  $C_{ij}$ , the meson decay constant f being fixed as  $f = 1.123 f_{\pi}$  with  $f_{\pi} = 93$  MeV, the baryon mass  $M_i$ , and the normalization of baryon state  $N_i \equiv \sqrt{(M_i + E_i)/(2M_i)}$ , where  $E_i$  is the baryon energy in the center of mass frame. It is important to note that the coupling strength  $C_{ij}$  is fixed solely by the flavor SU(3) group structure of the channel, and thus once we fix the meson decay constant, there are no free parameters in the interaction (45). We do not include an explicit pole term in the interaction. This is the reason that the obtained resonance in the scattering amplitude is called a dynamically generated resonance.

The diagonal matrix G in Eq. (44) is the meson-baryon loop function given by

$$G_{i}(W) = i \int \frac{d^{4}p}{(2\pi)^{4}} \frac{2M_{i}}{p^{2} - M_{i}^{2} + i\epsilon} \frac{1}{(P - p)^{2} - m_{i}^{2} + i\epsilon},$$
(46)

with the center of mass energy P = (W, 0, 0, 0) and the meson mass  $m_i$ . The divergent loop function can be calculated in an analytic form using dimensional regularization, which isolates the divergent part from the integral. The remaining finite constant being called  $a_i$  is determined phenomenologically by experiments. Here we use the threshold branching ratios of  $K^- p$  to  $\pi \Lambda$  and  $\pi \Sigma$  observed by stopped  $K^-$  mesons in hydrogen [49,50]. In this study use the following  $a_i$  constants determined in Ref. [18]:

$$a_{\bar{K}N} = -1.84, \quad a_{\pi\Sigma} = -2.00, \quad a_{\pi\Lambda} = -1.83,$$
  
 $a_{\eta\Lambda} = -2.25, \quad a_{\eta\Sigma} = -2.38, \quad a_{K\Xi} = -2.67,$  (47)

with the scale of the dimensional regularization  $\mu = 630$  MeV.

Since the obtained amplitude is written in an analytic form, we can perform analytic continuation to the complex energy plain to look for resonances poles in the second Riemann sheet. The pole position for the  $\Lambda(1670)$  resonance in this model can be found at

$$z = 1680 - 20i \,[\text{MeV}].$$
 (48)

We also obtain the coupling strength  $g_{\Lambda^*}^i$  of  $\Lambda(1670)$  to the channel i as a residue of the scattering amplitude at the resonance pole. The values of the couplings are given in Ref. [17]. The couplings characterize the structure of  $\Lambda(1670)$ .  $\Lambda(1670)$  has large couplings to the  $\eta\Lambda$  and  $K\Xi$  channels. As discussed in Ref. [13] the values of the constants  $a_i$  are very important for the nature of the dynamically generated resonance. If we take the constants  $a_i$ determined in the natural renormalization scheme which excludes the Castillejo-Dalitz-Dyson pole contributions [13], we obtain a resonance pole at 1700 - 21i MeV [17]. This is not so different from the pole position (48) determined phenomenologically by the  $K^-p$  threshold branching ratios. This means that the resonance obtained in this parameter set is composed mostly by meson-baryon components.

#### **B.** Transition amplitude

We calculate the transition amplitude of the  $\Lambda(1670)$  resonance using the method developed in Ref. [25]. In the following we adopt an alternative parametrization for the transition current to Eq. (1) as given in Ref. [17]:

$$J_{Y,\mathrm{NR}}^{\mu} = \mathcal{M}_{1}^{\mathrm{NR}} \sigma^{\mu} + \mathcal{M}_{2}^{\mathrm{NR}} P_{+}^{\mu} \sigma \cdot q + \mathcal{M}_{3}^{\mathrm{NR}} q^{\mu} \sigma \cdot q, \quad (49)$$

where  $\sigma^{\mu} = (0, \sigma)$  with the Pauli matrix  $\sigma^{i}$  for the hyperon spin space and  $P^{\mu}_{+} = (M_{\Lambda^{*}}, 0, 0, 0)$  and  $q^{\mu}$  are the  $\Lambda^{*}$  and photon momenta, respectively. The current  $J^{\mu}_{Y,NR}$  is projected on the Y and  $\Sigma^{0}$  Pauli spinors. This representation is equivalent to the transition current  $J^{\mu}_{Y}$  of Eq. (1) once one understands that the spin projection on the asymptotic state Dirac spinors  $u_{Y}(P_{-}, S_{z})$  and  $\bar{u}_{\Lambda^{*}}(P_{+}, S'_{z})$  is already performed in the  $\Lambda^{*}$  rest frame (39). The index NR is intend to indicate that we will make a nonrelativistic reduction of the operators and take the leading order contributions, but still the current itself is covariant. The parametrization (49) together with the gauge invariance condition,

$$\mathcal{M}_1^{\mathrm{NR}} + \mathcal{M}_2^{\mathrm{NR}} q \cdot P_+ + \mathcal{M}_3^{\mathrm{NR}} q^2 = 0, \qquad (50)$$

is equivalent to the representation of Eq. (1).

With these amplitudes the transition form factors are written as

$$F_{1}^{Y}(Q^{2}) = Q^{2} \frac{1}{e} \sqrt{\frac{1}{1+\tau}} \sqrt{\frac{M_{Y}}{M_{\Lambda^{*}}}} \left(\frac{M_{\Lambda^{*}}}{M_{Y}+M_{\Lambda^{*}}} \mathcal{M}_{2}^{\mathrm{NR}} + \mathcal{M}_{3}^{\mathrm{NR}}\right),$$
(51)

$$F_{2}^{Y}(Q^{2}) = (M_{Y} + M_{\Lambda^{*}})^{2} \frac{1}{e} \sqrt{\frac{1}{1+\tau}} \sqrt{\frac{M_{Y}}{M_{\Lambda^{*}}}} \times \left(-\frac{M_{\Lambda^{*}}}{M_{Y} + M_{\Lambda^{*}}} \mathcal{M}_{2}^{\mathrm{NR}} + \tau \mathcal{M}_{3}^{\mathrm{NR}}\right), \quad (52)$$

where  $\tau$  is given by Eq. (8),  $M_Y$  and  $M_{\Lambda^*}$  are the masses of the hyperon Y and  $\Lambda^*$ , respectively, and we set<sup>3</sup>  $M_{\Lambda^*} =$ 1670 MeV. In the above equations, the factor  $\frac{1}{e}$  must be included since the form factors defined by (1) are defined without e and the transition amplitudes  $\mathcal{M}_i^{\text{NR}}$  include the factor e as shown next. The absolute phases of  $F_1^Y$  and  $F_2^Y$ are arbitrary in the present model. Here we define the phases of the transition form factors obtained in the chiral unitary model so that the value of  $A_{1/2}^Y(Q^2)$  at  $Q^2 = 0$  for each hyperon Y should be real and positive. This is equivalent to set the value of  $F_2^Y(0)$  real and negative from Eq. (6) with  $F_1^Y(0) = 0$  thanks to gauge invariance.

The transition amplitudes  $\mathcal{M}_i^{\text{NR}}$  are calculated based on the Feynman diagrams shown in Fig. 1 in a nonrelativistic formulation in which the operators are expanded in terms of  $1/M_i$  and only the leading contributions are taken. The amplitudes are decomposed in terms of the Lorentz structures given by Eq. (49). As shown in Eqs. (51) and (52), the transition form factors can be expressed by  $\mathcal{M}_2^{\text{NR}}$  and  $\mathcal{M}_3^{\text{NR}}$ . Since it was found in Ref. [25] that diagram (c) has only  $\mathcal{M}_1^{\text{NR}}$  term, which is irrelevant for the form factors, we can actually omit diagram (c) for the present purpose. It should be noted that the amplitudes  $\mathcal{M}_2^{\text{NR}}$  and  $\mathcal{M}_3^{\text{NR}}$  remain finite although each process contains oneloop integral. Since diagram (b) has the  $\gamma BB$  vertex having the  $1/M_i$  factor, diagram (b) gives only subleading contribution in the nonrelativistic limit and we neglect diagram (b).

Each vertex in the diagrams is given by the chiral effective theory. The basic interactions of the mesons and baryons are given by the chiral Lagrangian:

$$\mathcal{L}_{MBB} = -\frac{D}{\sqrt{2}f} \operatorname{Tr}[\bar{B}\gamma_{\mu}\gamma_{5}\{\partial^{\mu}\Phi, B\}] -\frac{F}{\sqrt{2}f} \operatorname{Tr}[\bar{B}\gamma_{\mu}\gamma_{5}[\partial^{\mu}\Phi, B]], \quad (53)$$

with the meson and baryon fields,  $\Phi$  and B, defined by



FIG. 1. Feynman diagrams for the phototransition to the  $\Lambda^*$ . The solid, dashed, wavy, and double lines denote octet baryons, mesons, photon, and  $\Lambda^*$ , respectively. Diagram (b) gives subleading contribution in the nonrelativistic limit.

$$\Phi = \begin{pmatrix} \frac{1}{\sqrt{2}} \pi^{0} + \frac{1}{\sqrt{6}} \eta & \pi^{+} & K^{+} \\ \pi^{-} & -\frac{1}{\sqrt{2}} \pi^{0} + \frac{1}{\sqrt{6}} \eta & K^{0} \\ K^{-} & \bar{K}^{0} & -\frac{2}{\sqrt{6}} \eta \end{pmatrix}, \quad (54)$$
$$B = \begin{pmatrix} \frac{1}{\sqrt{2}} \Sigma^{0} + \frac{1}{\sqrt{6}} \Lambda & \Sigma^{+} & p \\ \Sigma^{-} & -\frac{1}{\sqrt{2}} \Sigma^{0} + \frac{1}{\sqrt{6}} \Lambda & n \\ \Xi^{-} & \Xi^{0} & -\frac{2}{\sqrt{6}} \Lambda \end{pmatrix}. \quad (55)$$

The meson-baryon coupling constants are obtained from the Lagrangian as  $g_A^i/(2f)$  with the axial coupling constant  $g_A^i$  given by *D* and *F* together with the Clebsch-Gordan coefficients. The parameters are fixed as

$$D = 0.85 \pm 0.06, \qquad F = 0.52 \pm 0.04,$$
 (56)

so as to reproduce the observed axial vector coupling for the octet baryons. The photon couplings to mesons and baryons are given by the gauge coupling:

$$\mathcal{L}_{\gamma B} = -e \operatorname{Tr}[\bar{B}\gamma_{\mu}[Q_{\mathrm{ch}}, B]]A^{\mu}, \qquad (57)$$

$$\mathcal{L}_{\gamma M} = ie \mathrm{Tr}[\partial_{\mu} \Phi[Q_{\mathrm{ch}}, \Phi]] A^{\mu}, \qquad (58)$$

with the charge matrix  $Q_{ch} = \text{diag}(\frac{2}{3}, -\frac{1}{3}, -\frac{1}{3})$  and e > 0. The Kroll-Ruderman terms of the  $\gamma MBB$  couplings are obtained by replacing the derivative acting on the meson fields  $\partial_{\mu} \Phi$  with the covariant derivative  $D_{\mu} \Phi = \partial_{\mu} \Phi + ieA_{\mu}[Q_{ch}, \Phi]$  in the Lagrangian (53). The  $\Lambda^*$  coupling to the meson and baryon has an *s*-wave form

$$\mathcal{L}_{\Lambda^* M_i B_i} = g^i_{\Lambda^*} \bar{\Lambda}^* \Phi_i B_i, \tag{59}$$

with the coupling constant  $g_{\Lambda^*}^i$  determined by the chiral unitary model. The explicit values are given in Ref. [17].

The amplitude given by  $-it = J \cdot \epsilon$  for diagram (a) with channel *i* is calculated as

$$-it_{a}^{i} = iQ_{M}A_{i}\int \frac{d^{4}p}{(2\pi)^{4}} \frac{(p-q)\cdot\sigma(2p-q)\cdot\epsilon}{(P_{+}-p)^{2}-M_{i}^{2}+i\epsilon} \times \frac{1}{(p^{2}-m_{i}^{2}+i\epsilon)((p-q)^{2}-m_{i}^{2}+i\epsilon)}, \quad (60)$$

with  $Q_M$  the meson (*M*) charge and  $A_i$  is given by  $A_i = g_A^i g_{\Lambda^*}^i M_i / f$ . After some algebra shown in Ref. [17,25], we obtain

<sup>&</sup>lt;sup>3</sup>In the previous work [17],  $M_{\Lambda^*} = 1680$  MeV was used. This value corresponds to the real part of the pole position for the  $\Lambda(1670)$  in the present model.

$$-it_{a}^{i} = iQ_{M}A_{i}2\int_{0}^{1}dx\int_{0}^{x}dy\int\frac{d^{4}p}{(2\pi)^{4}}\frac{(p+(y-1)q)\cdot\sigma}{(p^{2}-S_{a}^{i}+i\epsilon)^{3}}$$
$$\times(2p+(2y-1)q+2(1-x)P_{+})\cdot\epsilon,$$
(61)

where  $S_a^i$  is defined by

$$S_a^i = 2P_+ \cdot q(1-x)y - M_{\Lambda^*}^2 x(1-x) - q^2 y(1-y) + M_i^2 (1-x) + m_i^2 x.$$
(62)

In Eq. (61), only even powers of p give contribution after performing the integration. The  $\mathcal{M}_2^{NR}$  and  $\mathcal{M}_3^{NR}$  amplitudes can be calculated as finite numbers. After performing the integration, we get the  $\mathcal{M}_2^{NR}$  and  $\mathcal{M}_3^{NR}$  components for the channel *i* as

$$\mathcal{M}_{2a}^{i(\mathrm{NR})} = \frac{Q_M A_i}{(4\pi)^2} \int_0^1 dx \int_0^x dy \frac{2(y-1)(1-x)}{S_a^i - i\epsilon}, \quad (63)$$

$$\mathcal{M}_{3a}^{i(\mathrm{NR})} = \frac{Q_M A_i}{(4\pi)^2} \int_0^1 dx \int_0^x dy \frac{(y-1)(2y-1)}{S_a^i - i\epsilon}.$$
 (64)

In order to take into account the charge distribution of the constituent mesons and baryons, we multiply the transition amplitudes obtained above by the electromagnetic form factors of the mesons or baryons to which the photon couples. The  $Q^2$  dependence of the helicity amplitude of the  $\Lambda^*$  resonance, thus, stems from the form factors of the meson and baryons components and the intrinsic  $Q^2$  structure of the loops. For the mesons and baryons form factors, we take monopole form factors:

$$F(Q^2) = \frac{\Lambda^2}{\Lambda^2 + Q^2},\tag{65}$$

with

$$\Lambda_{\pi} = 0.727 \text{ [GeV]}, \tag{66}$$

$$\Lambda_K = 0.828 \,[\text{GeV}],\tag{67}$$

which are determined by the radii of the mesons. These values correspond to  $\langle r^2 \rangle = 0.44 \text{ fm}^2$  and  $\langle r^2 \rangle = 0.34 \text{ fm}^2$  for the pion and the kaon, respectively. For the baryon, we take the same form factor as for the corresponding meson to keep gauge invariance. Thanks to the practically negligible effect of the baryon terms, the approximation made there has no practical consequences.

### **V. RESULTS**

We first present the results of the valence quarks (spectator quark model) and meson cloud (chiral unitary model) for the  $\gamma^* N \rightarrow N^*(1535)$  transition form factors in the charge +1 channel (namely for the proton target case). Although some of the results were already reported in the previous works [23,25], we present again some results of the form factors, since they are important and can help make the later discussions more clear. After analyzing the results for the  $\gamma^* N \to N^*(1535)$ reaction, we will discuss the reaction  $\gamma^* Y \to \Lambda^*$  for  $Y = \Lambda$  and  $\Sigma^0$ . The results of the  $\gamma^* Y \to \Lambda^*$  reactions will be compared with those of  $\gamma^* N \to N^*(1535)$ .

We recall that the applicable region of the present valence quark model is  $Q^2 \gtrsim 1 \text{ GeV}^2$ . As for the chiral unitary model, we cannot extend the results for an arbitrary large  $Q^2$ , because the amplitudes are calculated using the vertex given by the chiral perturbation theory. Therefore, we expect the results of both formalisms can be compared in the region  $Q^2 = 1-2 \text{ GeV}^2$ , where the correlation between the two effects can possibly determine the final result for the transition form factors.

About the chiral unitary model we recall that the contributions from the valence quarks (or baryon core) for the form factors are real numbers. The results from the chiral unitary model are based on a meson-baryon coupledchannels formalism [17,25], and the states are constructed as a consequence of the meson cloud dressing of the bare octet baryons, and the transition amplitudes are calculated by photon couplings to the hadron constituents. In the diagrams with the baryon dressing (see Fig. 1) one can have on-mass-shell states for the mesons or baryons; therefore, the amplitudes and the form factors become complex number functions. As we have already mentioned, the absolute phase is fixed so as to make  $A_{1/2}$  to be real and positive, or equivalently  $F_2$  real and negative, at  $Q^2 = 0$ .

## A. $\gamma^* N \rightarrow N^*$ (1535) form factors

The results of the covariant spectator quark model and the chiral unitary model for the  $\gamma^* N \rightarrow N^*(1535)$  transition form factors are presented in Fig. 2. The individual results for the helicity amplitudes were presented in Ref. [23] (for the valence quarks) and in Ref. [25] (for the meson cloud). The results from the covariant spectator quark model are restricted to the region  $Q^2 >$ 1 GeV<sup>2</sup>, since the applicability of the model requires  $Q^2 \gg \left(\frac{M_s^2 - M^2}{2M_s}\right)^2 \simeq 0.21 \text{ GeV}^2$ , where  $M_s$  corresponds to this case to the  $N^*(1535)$  mass [23].

For the  $\gamma^*N \rightarrow N^*(1535)$  reaction we can observe different roles of the valence quark and meson cloud degrees of freedom. Since in both cases for  $F_1^*$  and  $F_2^*$  the imaginary part is small, we will focus only on the real part. In Fig. 2 one can notice the dominance of the valence quark effect for the Dirac-type form factor  $F_1^*$ , with the prediction very close to the data [51,52] for  $Q^2 > 1$  GeV<sup>2</sup>. In this case, the meson cloud contributions are about an order of magnitude smaller than those of the valence quarks. As for the Pauli-type form factor  $F_2^*$ , one can see, on the other hand, that the meson cloud contributions are sufficient to explain the data for  $Q^2 < 1$  GeV<sup>2</sup>. Furthermore, the valence and meson cloud contributions have opposite signs with similar magnitude for  $Q^2 > 1$  GeV<sup>2</sup>. The cancellation between the two contributions may be the main reason of



FIG. 2 (color online). Valence quark and meson cloud contributions for the  $\gamma^* N \rightarrow N^*(1535)$  transition form factors,  $F_1^*(Q^2)$  and  $F_2^*(Q^2)$ . While the valence quark contributions are obtained by the covariant spectator quark model [23], those of the meson cloud contributions are obtained by the chiral unitary model [25]. Data are from CLAS [51] and MAID [52].

the experimental result,  $F_2^* \simeq 0$  for  $Q^2 > 1$  GeV<sup>2</sup> (see  $F_2^*$  in Fig. 2).

For later convenience, we also study the falloff of the form factors for the valence quark contributions in the large  $Q^2$  region. Apart from logarithm corrections (very smooth variation with  $Q^2$ ) [23], the falloff behavior of the form factors can be expressed by  $F_1^*(Q^2) \approx \left(\frac{\Lambda_1^2}{\Lambda_1^2+Q^2}\right)^2$  and  $F_2^*(Q^2) \approx \left(\frac{\Lambda_2^2}{\Lambda_2^2+Q^2}\right)^3$ , where  $\Lambda_1^2 \approx 2.6 \text{ GeV}^2$  and  $\Lambda_2^2 \approx 2.7 \text{ GeV}^2$  for  $Q^2 \approx 2 \text{ GeV}^2$ . Therefore, the  $\gamma^*N \rightarrow N^*(1535)$  transition form factors have much slower falloff than that for the nucleon elastic form factors, where the corresponding cutoff is  $\Lambda^2 = 0.71 \text{ GeV}^2$ .

We recall that the individual contributions, those from the valence quarks and meson cloud, are based on the different frameworks. The valence quark contributions are estimated by a constituent quark model that takes into account the quark internal electromagnetic structure (including possible quark-antiquark internal excitations), but it does not include the processes where a meson is created by the overall baryon. On the other hand, the meson cloud contributions are estimated in the meson-baryon interactions where both states are considered as structureless particles but modified by monopole meson form factors (see Sec. IV). Because of the differences in the degrees of freedom used in the two approaches described above, we cannot simply combine the individual contributions to get total results for the form factors. However, the opposite signs of the individual contributions for  $F_2^*$  are very suggestive, that a strong cancellation between the valence quark and meson cloud effects may take place in a unified approach.

The results shown for the  $\gamma^* N \rightarrow N(1535)$  reaction suggest that the form factor representation may be very convenient to analyze the transition between the nucleon and the first excited state of the nucleon with a negative parity. Using the form factor representation, it is clear that while  $F_1^*$  is dominated by the valence quark contributions,  $F_2^*$  may be a result of the competition between the valence quark and meson cloud effects. This simple separation is not obvious in the helicity amplitude representation.

The results obtained for the  $\gamma^* N \rightarrow N^*(1535)$  reaction, and the simplified interpretation in terms of the individual (valence quarks and meson cloud) contributions, raise a question, namely, whether or not such a trend can be observed for similar reactions. Therefore, we next study the  $\gamma^* \Lambda \rightarrow \Lambda^* (Y = \Lambda, \Sigma^0)$  reactions with  $\Lambda^* = \Lambda(1670)$ .

# B. $\gamma^* \Lambda \to \Lambda^*$ and $\gamma^* \Sigma^0 \to \Lambda^*$ transition form factors

We now discuss the  $\gamma^* Y \rightarrow \Lambda^*$  reactions, for  $Y = \Lambda$  and  $\Sigma^0$ . As in the previous section we will compare the contributions from the valence quarks and those from the meson cloud dressing for the corresponding form factors. The results of the valence quark contributions derived from the covariant spectator quark model are given in Sec. III [Eqs. (34)–(37)]. The meson cloud contributions calculated in Ref. [17] using the chiral unitary model, are reviewed in Sec. IV [Eqs. (51) and (52)].

## 1. Results of spectator quark model

First, we discuss the valence quark contributions, which are presented here for the first time, using the covariant spectator quark model. As mentioned already, the valence quark contributions depend on the two different phases (signs),  $\eta_{\Lambda^*}$ , the relative sign between the  $\Lambda$  and  $\Lambda^*$  states, and  $\eta_{\Lambda\Sigma^0}$  given by the relative sign between the  $\Lambda$  and  $\Sigma^0$ radial wave function normalization constants. We first consider  $\eta_{\Lambda^*} = 1$  case, since it is equivalent to the phase for the  $N^*(1535)$ -nucleon case as already discussed. The sign was determined by the experimental form factor data<sup>4</sup> [23] (see Fig. 2). As for the reaction involving the  $\Sigma^0$ , we take  $\eta_{\Lambda\Sigma^0} = 1$ , which is equivalent to state that the  $\Lambda$  and  $\Sigma$  radial wave function normalization constants are both positive.

<sup>&</sup>lt;sup>4</sup>The sign of the  $N^*(1535)$  wave function was adjusted to generate  $F_1^*(Q^2) < 0$ , in agreement with the data from Refs. [51,52]. In fact, for  $F_1^*$  the valence quark contributions give a very good approximation to describe the data [23,24].



FIG. 3 (color online). Valence quark contributions for the  $\gamma^* Y \to \Lambda(1670)$  form factors, for  $Y = \Lambda$  (left panel), and  $Y = \Sigma^0$  (right panel).

The results are presented in Fig. 3. The  $Q^2$  region shown is extended up to  $Q^2 = 8 \text{ GeV}^2$ , in order to observe better the falloff behavior of the  $F_1^Y$  and  $F_2^Y$  taking advantage of the covariant nature of the model. We focus on the region  $Q^2 > 1 \text{ GeV}^2$  that satisfies the model applicable condition,  $Q^2 \gg 0.2 \text{ GeV}^2$  for both the reactions, since  $|\mathbf{q}|_{0Y}^2 \approx$  $0.2 \text{ GeV}^2$ . We can observe in Fig. 3 the slow falloff for both form factors in both reactions, particularly for  $F_1^Y$ . We will come back later to the falloff of the form factors.

Another interesting point in Fig. 3 is the magnitude of the form factors  $F_1^Y$  and  $F_2^Y$  which is very similar for both cases  $\Lambda$  and  $\Sigma^0$ . However, the form factors for the reaction with  $\Sigma^0$  dominates over the one with  $\Lambda$  in the high  $Q^2$ region. This is particularly noticeable for  $F_2^Y$ .

The similarity between the results for  $\gamma^* \Lambda \to \Lambda^*$  and  $\gamma^* \Sigma^0 \to \Lambda^*$  can be understood by the expressions for the form factors given by Eqs. (34) and (35) and Eqs. (36) and (37). In both cases there is a dependence on the overlap integral  $I_Y$ . As the scalar wave functions have the same parametrization for the  $\Lambda$  and  $\Sigma^0$  the difference in the overlap integrals in their respective rest frames are only due to the masses ( $M_{\Lambda}$  and  $M_{\Sigma}$ ), leading to almost the same results for both cases. Therefore, the main difference in the form factors comes from the flavor factors that are multiplied by the overlap integrals. Although the flavor factors contain the functions  $f_{i+}$ ,  $f_{i-}$  and  $f_{i0}$  (i = 1, 2) which are dependent on  $Q^2$ , we can make a simple estimate in the exact *SU*(3) limit taking  $f_{i+} = f_{i-} = f_{i0}$  (i = 1, 2). In this limit we have  $F_i^{\Sigma}(Q^2) = \sqrt{3}F_i^{\Lambda}(Q^2)$  (i = 1, 2) consistent with the magnitude shown in Fig. 3. We note that our results are different from those in Ref. [53] obtained using a constituent quark model, and also different from those of the chiral unitary model [17] which shows  $|F_2^{\Sigma}| \gg |F_2^{\Lambda}|$ for  $Q^2 \simeq 0$  as seen later. This relation comes from the  $\Lambda^*$ decay widths to  $\gamma \Lambda$  ( $\Gamma_{\gamma \Lambda}$ ) and  $\gamma \Sigma^0$  ( $\Gamma_{\gamma \Sigma^0}$ ), which are predicted to be  $\Gamma_{\gamma\Sigma^0} \gg \Gamma_{\gamma\Lambda}$  (in general  $\Gamma_{\gamma\gamma} \propto$  $|A_{1/2}^{Y}(0)|^2 \propto |F_2^{Y}(0)|^2$ ). We recall again that the results of the present valence quark model are valid for  $Q^2 \gg$  $0.2 \text{ GeV}^2$  and the region near  $Q^2 = 0$  is excluded, and

thus we cannot predict the corresponding decay widths reliably.

We discuss next the rate of the falloff of the form factors, again apart logarithm corrections. We measure the falloff based on  $F_1^*(Q^2) \approx \left(\frac{\Lambda_1^2}{\Lambda_1^2 + Q^2}\right)^2$  and  $F_2^*(Q^2) \approx \left(\frac{\Lambda_2^2}{\Lambda_2^2 + Q^2}\right)^3$  for  $Q^2 \approx 2 \text{ GeV}^2$ . While for  $\gamma^* \Lambda \to \Lambda^*$ , we have  $\Lambda_1^2 = 3.6 \text{ GeV}^2$  and  $\Lambda_2^2 = 3.6 \text{ GeV}^2$ , for  $\gamma^* \Sigma^0 \to \Lambda^*$  we have  $\Lambda_1^2 = 3.1 \text{ GeV}^2$  and  $\Lambda_2^2 = 3.2 \text{ GeV}^2$ . In all cases, we have slower falloff than that for the  $\gamma^* N \to N^*(1535)$  reaction. Since in the flavor symmetric limit the falloff should be same among the octet baryons, the differences among N and  $\Lambda$  (or  $\Sigma^0$ ), and  $N^*$  and  $\Lambda^*$ , are a consequence of a special role of the strange quark which breaks flavor symmetry.

There are two factors that can cause the slower falloff of the  $\gamma^* Y \to \Lambda^*$  transition form factors than the one for the  $\gamma^* N \rightarrow N^*(1535)$  reaction. The first one is the difference in the quark distributions between the nucleon- $N^*(1535)$ and the  $Y - \Lambda^*$  systems. The second one is the difference in the kinematics between the two systems. As for the difference in the quark distributions,  $\Lambda$  and  $\Sigma^0$  are more compact systems than that of the nucleon, because they have one heavier strange quark in contrast with the nucleon which have only the light quarks. Therefore,  $\Lambda$  and  $\Sigma^0$  are characterized by the radial wave functions (18) with a larger extension in the momentum space, and consequently the overlap integral becomes larger than the one for the  $\gamma^* N \rightarrow N^*(1535)$  reaction. However, this is not the main factor, since the parameters corresponding to the  $\Lambda$  and  $\Sigma^0$ wave functions are not different significantly from those of the nucleon.<sup>5</sup> The second factor is the difference in the kinematics between the two reactions. For the radial wave functions given by Eq. (18), it is possible to show that the systems with the same parametrization are characterized

<sup>&</sup>lt;sup>5</sup>While the  $\Lambda$  and  $\Sigma^0$  radial wave functions are characterized by the parameter  $\beta_3 \simeq 0.76$  [28], that of the nucleon system is parameterized by  $\beta_2 \simeq 0.72$  [27] (smaller momentum scale). In both cases the additional range parameter is  $\beta_1 \approx 0.05$  [27,28].

by the overlap integrals  $I_Y$ , which are functions of the ratio  $\frac{|\mathbf{q}|_Y}{M_Y}$  (see Appendix B). Therefore, the falloff of  $I_Y$  is determined by the factor  $\frac{|\mathbf{q}|_Y}{M_Y}$ . The larger the ratio, the larger the falloff is. Comparing the values of  $\frac{|\mathbf{q}|_A}{M_A}$  with the corresponding ratio  $\frac{|\mathbf{q}|}{M}$  for the  $\gamma^* N \rightarrow N^*(1535)$  reaction, the latter has the larger ratio for  $Q^2 = 0$  ( $\frac{|\mathbf{q}|}{M} = 0.48$  compared with  $\frac{|\mathbf{q}|_A}{M_A} = 0.41$ ) and this is also true for larger values of  $Q^2$ . This means the overlap integral has stronger falloff for  $\gamma^* N \rightarrow N^*(1535)$ , and it is reflected on the faster falloff of the form factors.

To compare the falloff for the reactions  $\gamma^* \Lambda \to \Lambda^*$  and  $\gamma^* \Sigma^0 \to \Lambda^*$ , we need to analyze them in more detail to explain the difference in the observed behavior, namely, the falloff for the reaction involving  $\Sigma^0$  is faster (smaller cutoffs) than that for the reaction involving  $\Lambda$ . In this case, the ratios  $\frac{|\mathbf{q}|_Y}{M_Y}$  are close,  $\frac{|\mathbf{q}|_{\Lambda}}{M_{\Lambda}} = 0.41$  and  $\frac{|\mathbf{q}|_{\Sigma}}{M_{\Sigma}} = 0.36$ , for  $Q^2 = 0$ . The important effect now is the contribution from the flavor factors in the form factors, given by Eqs. (34)–(37). The form factors for the reaction involving  $\Lambda$  have dependence on the strange quark form factors  $f_{10}$  and  $f_{20}$ , and that these functions have slower falloff with  $Q^2$  than those with the reaction involving the  $\Sigma^0$ , which depend only on the light quark form factors. Then, the

corresponding transition form factors for the reaction with  $\Lambda$  also have slower falloff than that for the reaction with  $\Sigma^0$ .

### 2. Results of chiral unitary model

Next we show the result of the transition form factors calculated in the chiral unitary model. In Figs. 4 and 5, we show the results of the Dirac- and Pauli-type form factors,  $F_1^Y(Q^2)$  and  $F_2^Y(Q^2)$ , for the  $\gamma^* Y \rightarrow \Lambda^*$  transition, respectively. As seen in the figures, in the meson cloud model the  $F_2^Y$  form factors for both cases are 1 order of magnitude larger than the  $F_1^Y$  form factors. This is a tendency that is very similar to that for the N(1535) case.

In Figs. 4 and 5 we also show the contribution coming from each meson separately. For the  $\Lambda$  transition form factors, the pion contribution is very small. This is because only the isoscalar component of the photon current can contribute the  $\gamma^* \Lambda \rightarrow \Lambda^*$  transition in the isospin symmetric limit, while the pion with isospin 1 can couple only to the isovector part of the photon current. Thus, with very small isospin breaking effect there is little pion cloud contribution in the  $\gamma^* \Lambda \rightarrow \Lambda^*$  transition. It is also interesting to mention that for the  $\gamma^* \Lambda \rightarrow \Lambda^*$  transition there is cancellation between  $K^-$  and  $K^+$  contributions, while the





FIG. 4 (color online). Meson cloud contributions for the Diractype form factor  $F_1^Y(Q^2)$  of the  $\gamma^* Y \to \Lambda^*$  transition for  $Y = \Lambda$ (left panels) and  $Y = \Sigma^0$  (right panels). The solid line shows the total contribution coming from diagram (a), while the dashed, dotted, and dot-dashed lines denote the  $K^-$ ,  $\pi$ , and  $K^+$  contributions, respectively.

FIG. 5 (color online). Meson cloud contributions for the Paulitype form factor  $F_2^Y(Q^2)$  of the  $\gamma^* Y \to \Lambda^*$  transition for  $Y = \Lambda$ (left panels) and  $Y = \Sigma^0$  (right panels). The solid line shows the total contribution coming from diagram (a), while the dashed, dotted and dot-dashed lines denote the  $K^-$ ,  $\pi$  and  $K^+$  contributions, respectively.

 $\gamma^* \Sigma^0 \to \Lambda^*$  transition is dominated by the  $K^+$  cloud component. In this way, for both form factors  $F_1^Y$  and  $F_2^Y$ , the transition from  $\Sigma^0$  is larger than that from  $\Lambda$ . Especially for the Pauli-type form factor,  $F_2^{\Sigma}$  is almost 7 times larger than  $F_2^{\Lambda}$ . This cancellation is also found for the helicity amplitudes (see Ref. [17] for the details).

#### 3. Comparison of the two models

Finally, we compare the valence quark contributions with those from the meson cloud for the reactions involving  $\Lambda$  and  $\Sigma^0$ . The comparison is shown in Fig. 6, for the reactions involving  $\Lambda$  (left panel) and  $\Sigma^0$  (right panel). Note that the chiral unitary model results have both real and imaginary parts, and the absolute phases are fixed at  $Q^2 = 0$  to give a real and positive value of  $A_{1/2}^Y(0)$ , or equivalently real and negative  $F_2^Y(0)$ , as mentioned before.

One can see in Fig. 6, the imaginary part is small in general. Therefore, we will focus only on the real part hereafter. Another interesting point is that while both form factors for the reaction involving  $\Lambda$  are dominated by the valence quark contributions, this is not the case for the reaction involving  $\Sigma^0$ . For the latter case, one can see that the valence quark contributions for  $F_1^{\Sigma}$  are larger than those from the meson cloud (about 2.5 times near  $Q^2 = 2.5 \text{ GeV}^2$ ), although the magnitude is similar for  $F_2^{\Sigma}$ .

A point of particular importance about  $F_2^{\Sigma}$  is the relative sign between the valence and meson cloud contributions.

Since, as mentioned before, the factor  $\eta_{\Lambda\Sigma^0}$  is unknown at present, we cannot decide if there is a positive or negative interference between the contributions. The results for the form factors involving  $\Sigma^0$  are determined using  $\eta_{\Lambda^*}\eta_{\Lambda\Sigma^0} = 1$ . If this is the case, there is a combination of the signs to enhance the total magnitude of  $F_2^{\Sigma}$ . On the other hand, if the sign is opposite,  $\eta_{\Lambda^*}\eta_{\Lambda\Sigma^0} = -1$ , one can expect a cancellation between the valence quark and meson cloud effects, leading to the result  $F_2^{\Sigma} \approx 0$ , or to a magnitude similar to  $F_1^{\Sigma}$ . An example of a quark model with  $F_2^{\Sigma} > 0$  can be found in Refs. [53,54]. Note that in the case of  $\eta_{\Lambda^*}\eta_{\Lambda\Sigma^0} = -1$ , the reaction  $\gamma^*\Sigma^0 \to \Lambda^*$  has similar properties with the reaction  $\gamma^* N \rightarrow N^*(1535)$ , discussed previously. This result suggests that the experimental determination of the sign for  $F_2^{\Sigma}$  is very important to pin down the relative phase between the  $\Lambda$  and  $\Sigma^0$  wave functions in the present model as we explain next.

From the discussions above, we conclude that if  $\eta_{\Lambda^*}\eta_{\Lambda\Sigma^0} = +1$ , it is expected that  $F_2^{\Sigma}$  becomes larger in magnitude. In the alternative case,  $\eta_{\Lambda^*}\eta_{\Lambda\Sigma^0} = -1$ ,  $F_2^{\Sigma}$  should be smaller in magnitude, and comparable with  $F_1^{\Sigma}$ . Therefore, once the sign  $\eta_{\Lambda^*}$  is known,  $\eta_{\Lambda\Sigma^0}$  can be inferred from the result for  $F_2^{\Sigma}$ . Note also that in our model  $\eta_{\Lambda^*}$  can be fixed by the results for the reaction  $\gamma^*\Lambda \to \Lambda^*$ , since the valence quark effect dominates that transition  $(F_1^{\Sigma} \propto \eta_{\Lambda^*})$ . Then  $\gamma^*\Lambda \to \Lambda^*$  can be used to determine  $\eta_{\Lambda\Sigma^0}$ , which fixes also the sign of  $\mu_{\Lambda\Sigma^0}$ .



FIG. 6 (color online). Valence quark and meson cloud contributions for the  $\gamma^* Y \to \Lambda^*$  transition form factors for  $Y = \Lambda$  (left panel), and  $Y = \Sigma^0$  (right panel).



FIG. 7 (color online). Valence quark and meson cloud contributions for the  $\gamma^* Y \to \Lambda^*$  helicity amplitudes for  $Y = \Lambda$  (left panel) and  $Y = \Sigma^0$  (right panel).

The covariant spectator quark model can also be used to calculate the valence quark contributions for the  $\gamma^* \Lambda \rightarrow \Sigma^0$  form factors in general and the transition magnetic moment  $\mu_{\Lambda\Sigma^0}$  in particular. Assuming that the valence quark effect is the leading contribution as demonstrated reasonable for the octet baryon system [28], one can conclude that  $\mu_{\Lambda\Sigma^0} \propto -\eta_{\Lambda\Sigma^0}$  [48], namely, the sign of  $\mu_{\Lambda\Sigma^0}$  is the opposite to that of  $\eta_{\Lambda\Sigma^0}$ . Thus, once we determined the sign of  $\eta_{\Lambda\Sigma^0}$  corresponding to the reaction  $\gamma^*\Sigma^0 \rightarrow \Lambda^*$ , we can determine the sign of  $\mu_{\Lambda\Sigma^0}$ .

For completeness, we also present in Fig. 7 the results for the helicity amplitudes  $A_{1/2}^{Y}$  and  $S_{1/2}^{Y}$  converted from  $F_{1}^{Y}$ and  $F_{2}^{Y}$  by Eqs. (6) and (7), assuming the same phases as the form factors.<sup>6</sup> For the  $\gamma^* \Lambda \rightarrow \Lambda^*$  reaction we can also observe the dominance of the valence quark contributions over the meson cloud contributions. As for the  $\gamma^* \Sigma^0 \rightarrow \Lambda^*$ reaction, the more interesting point is the closeness of the valence and meson cloud contributions for the  $S_{1/2}^{\Sigma}$  amplitude. Also in this case we can conclude that if  $\eta_{\Lambda^*} \eta_{\Lambda \Sigma^0} =$  $+1, S_{1/2}^{\Sigma}$  is enhanced, while the alternative case,  $\eta_{\Lambda^*} \eta_{\Lambda \Sigma^0} = -1$ , we expect a substantial reduction of the  $S_{1/2}^{\Sigma}$  amplitude. The similarity in the behavior for  $S_{1/2}^{\Sigma}$  and  $F_{2}^{\Sigma}$ , as discussed before, is a consequence of the partial suppression of the  $F_1^*$  contribution for the  $S_{1/2}^{\Sigma}$  amplitude, due to the factor  $\frac{M_{\Lambda^*}-M_Y}{M_{\Lambda^*}+M_Y}$ . Another interesting point in Fig. 7 is the flatness of the valence quark model result for  $A_{1/2}^Y$  as a function of  $Q^2$  around the region  $Q^2 = 2 \text{ GeV}^2$ . This is because the region  $Q^2 = 2 \text{ GeV}^2$  is the turning point of changing the  $Q^2$  dependence in the amplitude. For the larger  $Q^2$  region, however, the expected falloff with  $Q^2$  can be observed.

## **VI. CONCLUSIONS**

In this study we have analyzed the contributions from the valence quark and meson cloud effects for the  $\gamma^* B \rightarrow$  $B^*$  reactions with B = N,  $\Lambda$ ,  $\Sigma^0$ , and  $B^* = N(1535)$ ,  $\Lambda(1670)$ . While the valence quark contributions are estimated using a constituent quark model [23,27,28], those of the meson cloud are estimated using the chiral unitary model [17,25]. In the chiral unitary model, N(1535) has some components other than meson-baryon dynamics as discussed in Ref. [13], but for the calculation of the transition form factors we take only coupling of the photon current to the meson component and do not take into account of photon couplings to genuine quark components. In this approach,  $\Lambda(1670)$  is almost composed of meson-baryon components [17]. Since the valence and meson cloud effects are calculated by the different formalisms we cannot simply combine both contributions to obtain the final, total results for the transition form factors. Nevertheless, the magnitude and signs of the individual contributions presented here are sufficient to conclude that it is possible to have a cancellation from the two effects, the valence quark and

<sup>&</sup>lt;sup>6</sup>The  $A_{1/2}^{Y}$  helicity amplitude shown in Ref. [17] has a different absolute phase from the present work.

meson cloud effects, in a consistent, unified approach including both effects.

For the  $\gamma^* N \to N^*(1535)$  reaction, we have found difference in signs for the two contributions for the Pauli-type form factor  $F_2^*$ , which can be the main reason for the experimental observation,  $F_2^* \simeq 0$  for  $Q^2 > 2$  GeV<sup>2</sup>.

As for the reactions  $\gamma^* Y \rightarrow \Lambda(1670)$   $(Y = \Lambda, \Sigma^0)$ , we conclude that generally the valence quark contributions dominate for the  $Y = \Lambda$  case, but the two contributions are similar for the reaction with  $Y = \Sigma^0$ . A particularly interesting case is the form factor  $F_{\Sigma}^{\Sigma}$ . Namely, if we assume the same sign for the  $\Lambda$  and  $\Sigma$  radial wave function normalization constants  $(\eta_{\Lambda\Sigma^0} = 1)$  and  $\eta_{\Lambda^*} = 1$ , we have an enhancement for  $F_{\Sigma}^{\Sigma}$ . Instead, if we assume  $\eta_{\Lambda^*}\eta_{\Lambda\Sigma^0} = -1$ , we have a substantial cancellation between the two effects. Then, the  $F_{\Sigma}^{\Sigma}$  contribution for the reaction cross section would be very small.

A consequence of the observation made above is that the  $\gamma^* \Sigma^0 \rightarrow \Lambda^*$  reaction can provide an indirect method to determine  $\eta_{\Lambda\Sigma^0}$ , which can be used to pin down the sign of  $\mu_{\Lambda\Sigma^0}$  consistently within the present approach. This can be of fundamental importance, because the sign of the  $\gamma^* \Lambda \rightarrow \Sigma^0$  transition form factors, and, in particular, the sign of the transition magnetic moment,  $\mu_{\Lambda\Sigma^0}$ , is not determined experimentally. Also, this sign has not been related consistently with the other reactions so far. Although the sign is predicted to be negative within the unitary symmetry approach [55] (the same sign with the other reaction was not studied within the approach.

From the discussion made above we conclude that the theoretical and experimental studies of the reactions  $\gamma^* N \rightarrow N^*(1535)$  and  $\gamma^* Y \rightarrow \Lambda^*$ , with  $Y = \Lambda$ ,  $\Sigma^0$ , as well as the correlations between them, are very interesting topics of investigation. The results from these transition form factors can be used to estimate the light and strange quark distributions in the baryons, as well as to predict other reactions.

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# APPENDIX A: CURRENT MATRIX ELEMENTS IN THE COVARIANT SPECTATOR QUARK MODEL

The calculation of the matrix elements for a transition between a  $J^P = \frac{1}{2}^+$  initial state and a  $J^P = \frac{1}{2}^-$  final state follows the same steps as that of Appendix B in Ref. [23] for the  $\gamma^*N \rightarrow N(1535)$  reaction. Here it is sufficient to note that in the transition current involving  $\Psi_{\Lambda^*}$  the terms in  $(\varepsilon_{\pm} \cdot \tilde{k})$  vanish in the *k* integral. Therefore, only the terms proportional to the integral

$$I_{Y} = -\eta_{\Lambda^{*}} \int_{k} N(\varepsilon_{0} \cdot \tilde{k}) \psi_{\Lambda^{*}} \psi_{Y}, \qquad (A1)$$

survive in the current. The minus sign is introduced for convenience.

With this simplification we can derive the following results:

$$\int_{k} [\bar{\Phi}_{\rho} \hat{\gamma}^{\mu} \phi_{S}^{0}] \psi_{\Lambda^{*}} \psi_{Y} = -I_{Y} \{ \bar{u}_{\Lambda^{*}} \hat{\gamma}^{\mu} \gamma_{5} u_{Y} \}, \quad (A2)$$

$$\int_{k} \left[ \bar{\Phi}_{\rho} \frac{i\sigma^{\mu\nu} q_{\nu}}{2M} \phi_{S}^{0} \right] \psi_{\Lambda^{*}} \psi_{Y} = I_{Y} \left\{ \bar{u}_{\Lambda^{*}} \frac{i\sigma^{\mu\nu} q_{\nu}}{2M} \gamma_{5} u_{Y} \right\}, \quad (A3)$$

$$\int_{k} [\bar{\Phi}_{\lambda} \hat{\gamma}^{\mu} \phi_{S}^{1}] \psi_{\Lambda^{*}} \psi_{Y} = \frac{1}{3} I_{Y} \{ \bar{u}_{\Lambda^{*}} \hat{\gamma}^{\mu} \gamma_{5} u_{Y} \}, \qquad (A4)$$

$$\int_{k} \left[ \bar{\Phi}_{\lambda} \frac{i \sigma^{\mu\nu} q_{\nu}}{2M} \phi_{S}^{1} \right] \psi_{\Lambda^{*}} \psi_{Y} = -\frac{1}{3} I_{Y} \left\{ \bar{u}_{\Lambda^{*}} \frac{i \sigma^{\mu\nu} q_{\nu}}{2M} \gamma_{5} u_{Y} \right\}.$$
(A5)

Inserting these results into the expression of the current, we obtain

$$J_{Y}^{\mu} = +e\frac{1}{2}(3j_{1}^{A}+j_{1}^{S})I_{Y}\hat{\gamma}^{\mu}\gamma_{5} - e\frac{1}{2}(3j_{2}^{A}-j_{2}^{S})I_{Y}\frac{i\sigma^{\mu\nu}q_{\nu}}{2M}\gamma_{5}.$$
(A6)

### **APPENDIX B: OVERLAP INTEGRAL**

Consider the overlap integral in the final state ( $\Lambda^*$ ) rest frame, given by Eq. (38), with the radial wave functions of Eq. (18),

$$I_{Y}(Q^{2}) = \eta_{\Lambda^{*}} \frac{\mathcal{M}_{\Lambda} \mathcal{M}_{Y}}{m_{D}^{2}} \int_{k} \frac{k_{z}}{|\mathbf{k}|} \left\{ \frac{1}{(\beta_{1} + \chi_{\Lambda^{*}})(\beta_{3} + \chi_{\Lambda^{*}})} \times \frac{1}{(\beta_{1} + \chi_{Y})(\beta_{3} + \chi_{Y})} \right\}.$$
(B1)

In the above equation,  $\chi_B$  is determined by Eq. (17) for the momenta defined by Eq. (39). Therefore,

$$\chi_{\Lambda^*} = 2 \left( \frac{E_D}{m_D} - 1 \right), \tag{B2}$$

and

$$\chi_Y = 2 \left( \frac{E_Y}{M_Y} \frac{E_D}{m_D} + \frac{|\mathbf{q}|_Y}{M_Y} k_z - 1 \right), \tag{B3}$$

where

$$\frac{E_Y}{M_Y} = \sqrt{1 + \frac{|\mathbf{q}_Y|^2}{M_Y^2}}.$$
 (B4)

From Eq. (B2) we can see that  $\chi_{\Lambda^*}$  has no dependence on  $Q^2$  (or  $|\mathbf{q}|_Y$ ), and that from Eq. (B3)  $\chi_Y$  is a function of the ratio  $\frac{|\mathbf{q}|_Y}{M_Y}$ . Therefore, we can write

$$I_Y(Q^2) = I_Y\left(\frac{|\mathbf{q}|_Y}{M_Y}\right). \tag{B5}$$

Furthermore, since  $\chi_Y$  increases when  $\frac{|\mathbf{q}|_Y}{M_Y}$  increases, we can conclude that the absolute value of the integrand

function in Eq. (B1) decreases with  $\frac{|\mathbf{q}|_Y}{M_Y}$  for a given **k**, and therefore  $|I_Y|$  decreases when the ratio  $\frac{|\mathbf{q}|_Y}{M_Y}$  increases. These results show that, when we have two reactions described by the same radial wave function (the same values for the parameters  $\beta_1$  and  $\beta_3$ ), the reaction with larger ratio  $\frac{|\mathbf{q}|_Y}{M_Y}$  for a given  $Q^2$ , gets the smaller value for  $|I_Y|$ .

A simple consequence of the above result applies for the nucleon- $N^*(1535)$  and  $\Lambda - \Lambda^*$  transition form factors, when the wave functions are parameterized exactly the same,  $\frac{|\mathbf{q}|}{M}$  for the nucleon case is larger than  $\frac{|\mathbf{q}|_{\Lambda}}{M_{\Lambda}}$  for the  $\Lambda$  case, and we have

$$|I_{\Lambda}(Q^2)| > |I_N(Q^2)|.$$
 (B6)

This relation also explains the faster falloff of the  $\gamma^* N \rightarrow N^*(1535)$  transition form factors than that of the  $\gamma^* \Lambda \rightarrow \Lambda^*$  transition form factors.

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