

# Consistent model for $\pi N$ transition distribution amplitudes and backward pion electroproduction

J. P. Lansberg,<sup>1</sup> B. Pire,<sup>2</sup> K. Semenov-Tian-Shansky,<sup>2,3,4</sup> and L. Szymanowski<sup>5</sup>

<sup>1</sup>*IPNO, Université Paris-Sud, CNRS/IN2P3, 91406 Orsay, France*

<sup>2</sup>*CPhT, École Polytechnique, CNRS, 91128 Palaiseau, France*

<sup>3</sup>*LPT, Université Paris-Sud, CNRS, 91404 Orsay, France*

<sup>4</sup>*IFPA, département AGO, Université de Liège, 4000 Liège, Belgium*

<sup>5</sup>*National Center for Nuclear Research (NCBJ), Warsaw, Poland*

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The extension of the concept of generalized parton distributions leads to the introduction of baryon to meson transition distribution amplitudes (TDAs), nondiagonal matrix elements of the nonlocal three quark operator between a nucleon and a meson state. We present a general framework for modeling nucleon to pion ( $\pi N$ ) TDAs. Our main tool is the spectral representation for  $\pi N$  TDAs in terms of quadruple distributions. We propose a factorized Ansatz for quadruple distributions with input from the soft-pion theorem for  $\pi N$  TDAs. The spectral representation is complemented with a  $D$ -term like contribution from the nucleon exchange in the cross channel. We then study backward pion electroproduction in the QCD collinear factorization approach in which the nonperturbative part of the amplitude involves  $\pi N$  TDAs. Within our two component model for  $\pi N$  TDAs, we update previous leading-twist estimates of the unpolarized cross section. Finally, we compute the transverse target single spin asymmetry as a function of skewness. We find it to be sizable in the valence region and sensitive to the phenomenological input of our  $\pi N$  TDA model.

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## I. INTRODUCTION

The familiar collinear factorization theorem [1,2] for exclusive electroproduction of pions off nucleons

$$e(k) + N(p_1) \rightarrow (\gamma^*(q) + N(p_1)) + e(k') \\ \rightarrow e(k') + \pi(p_\pi) + N'(p_2), \quad (1)$$

valid in the generalized Bjorken limit (large  $Q^2 = -q^2$  and  $s \equiv (p_1 + q)^2$ ;  $x_B = \frac{Q^2}{2p_1 \cdot q}$  and skewness variable  $\xi = -\frac{(p_2 - p_1) \cdot n}{(p_1 + p_2) \cdot n}$  being fixed<sup>1</sup>; and small  $-t \equiv (p_2 - p_1)^2$ ) gives rise to the description of this reaction in terms of the generalized parton distributions (GPDs) (see left panel of Fig. 1).

According to a conjecture made in [3,4], a similar collinear factorization theorem for the reaction (1) should be valid in the following complementary kinematical regime:

- (i) large  $Q^2$  and  $s$ ;
- (ii) fixed  $x_B$  and skewness variable  $\xi$ , which is now defined with respect to the  $u$ -channel momentum transfer:

$$\xi = -\frac{(p_\pi - p_1) \cdot n}{(p_\pi + p_1) \cdot n}; \quad (2)$$

- (iii) the  $u$ -channel momentum transfer squared  $u \equiv (p_\pi - p_1)^2$  (rather than  $t$ ) is small compared to  $Q^2$  and  $s$ .

Under these assumptions, the amplitude of the reaction (1) factorizes as it is shown on the right panel of Fig. 1. This requires the introduction of supplementary nonperturbative objects in addition to GPDs—nucleon to pion transition distribution amplitudes ( $\pi N$  TDAs). Technically,  $\pi N$  TDAs are defined through the  $\pi N$  matrix element of the trilocal three quark operator on the light-cone [5–9]:

$$\hat{O}_{\rho\tau\chi}^{\alpha\beta\gamma}(\lambda_1 n, \lambda_2 n, \lambda_3 n) \\ = \varepsilon_{c_1 c_2 c_3} \Psi_\rho^{c_1 \alpha}(\lambda_1 n)[\lambda_1 n; \lambda_0 n] \Psi_\tau^{c_2 \beta}(\lambda_2 n)[\lambda_2 n; \lambda_0 n] \\ \times \Psi_\chi^{c_3 \gamma}(\lambda_3 n)[\lambda_3 n; \lambda_0 n]. \quad (3)$$

Here  $\alpha, \beta, \gamma$  stand for quark flavor indices and  $\rho, \tau, \chi$  denote the Dirac spinor indices. Antisymmetrization stands over the color group indices  $c_{1,2,3}$ . Gauge links may be omitted in the lightlike gauge  $A \cdot n = 0$ .

The detailed account of this approach is presented in Refs. [10–12]. Apart from the description of hard exclusive pion electroproduction off a nucleon in the backward region, the same nonperturbative objects appear in the collinear factorized description of different exclusive reactions. Prominent examples are baryon-antibaryon annihilation into a pion and a lepton pair in the forward and backward directions [13–15].

<sup>1</sup> $n$  is the conventional light-cone vector occurring in the Sudakov decomposition of the relevant momenta.

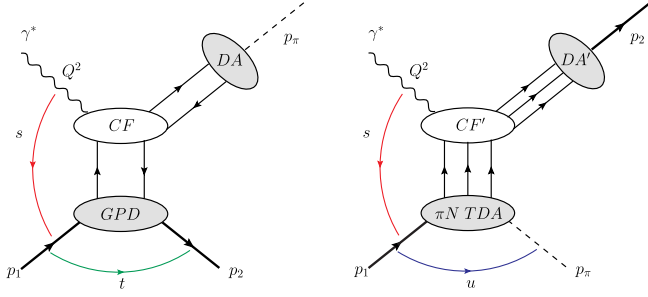


FIG. 1 (color online). Left: Collinear factorization for hard production of pions in the conventional hard meson production kinematics. Right: Collinear factorization for hard production of pions off nucleons in the backward kinematics.

The physical picture encoded in baryon to meson TDAs is conceptually close to that contained in baryon GPDs and baryon distribution amplitudes (DAs). Baryon to meson TDAs are matrix elements of a three quark operator (*i.e.* with baryonic number one) and characterize partonic correlations inside a baryon. This gives access to the momentum distribution of the baryonic number inside a nucleon. The same operator also defines the nucleon DA which can be seen as a limiting case of baryon to meson TDAs with the meson state replaced by the vacuum. In the language of the Fock state decomposition, baryon to meson TDAs are not restricted to the lowest Fock state as DAs. They rather probe the nonminimal Fock components with additional quark-antiquark pair:

$$\begin{aligned}
 |\text{Nucleon}\rangle &= |\Psi\Psi\Psi\rangle + |\Psi\Psi\Psi; \bar{\Psi}\Psi\rangle + \dots; \\
 |\text{Meson}\rangle &= |\bar{\Psi}\Psi\rangle + |\bar{\Psi}\Psi; \bar{\Psi}\Psi\rangle + \dots
 \end{aligned}
 \tag{4}$$

For baryon to meson TDAs, one may distinguish the Efremov-Radyushkin-Brodsky-Lepage (ERBL)-like domain in which all three momentum fractions of quarks are positive and two kinds of Dokshitzer-Gribov-Lipatov-Altarelli-Parisi (DGLAP)-like regions in which either one or two momentum fractions of quarks are negative. On Fig. 2, we show the interpretation of  $\pi N$  TDAs in the ERBL-like and in DGLAP-I, II region within the light-cone quark model [16]. As one can see from Fig. 2(a), the ERBL part is probing the nonminimal Fock components of the nucleon wave function. In the DGLAP-II Fig. 2(c) region, one rather probes the nonminimal Fock components of the meson state, while in the DGLAP-I Fig. 2(b) region there is a nonvanishing contribution of the minimal Fock states of baryon and meson. This interpretation, obviously, is justified only at a very low normalization scale. The evolution effects may significantly change it at higher scales.

Similarly to GPDs [17–19], by Fourier transforming  $\pi N$  TDAs to the impact parameter space ( $\Delta_T \rightarrow b_T$ ), one obtains additional insight on the nucleon structure in the transverse plane. This allows one to perform the femtomicroscopy of hadrons [20] from a new perspective. In particular, there are hints [21] that  $\pi N$  TDAs may be used as a tool to perform spatial imaging of the structure of the meson cloud of the nucleon. This point, which still awaits a

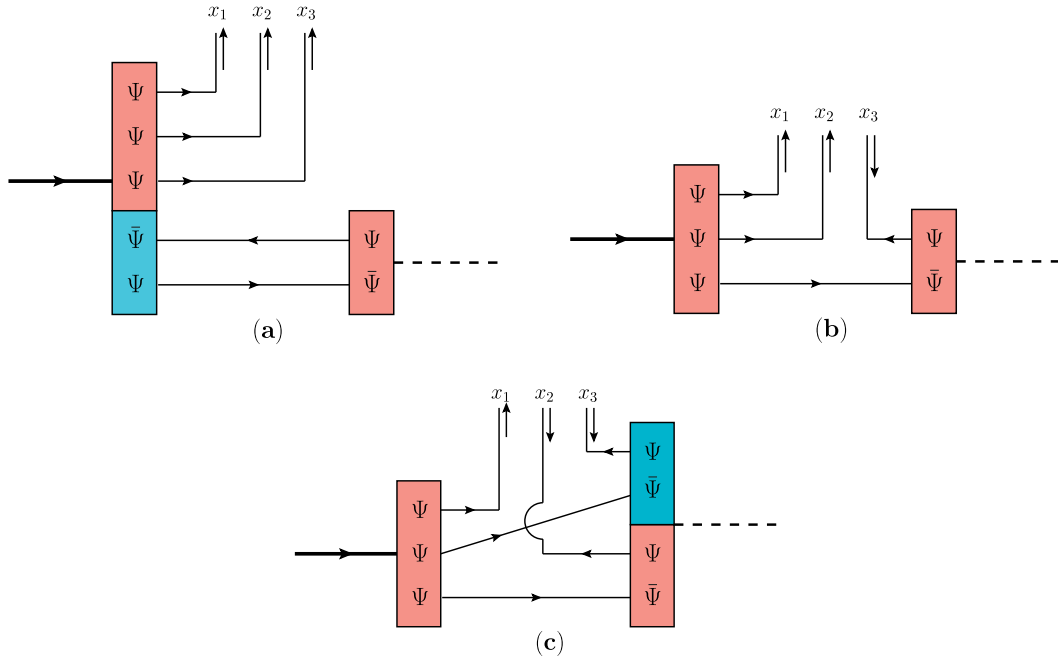


FIG. 2 (color online). Interpretation of  $\pi N$  TDAs within the light-cone quark model [16]. Small vertical arrows show the flow of the momentum. (a): Contribution in the ERBL region (all  $x_i$  are positive); (b): Contribution in the DGLAP-I region (one of  $x_i$  is negative). (c): Contribution in the DGLAP-II region (two  $x_i$  are negative).

detailed exploration, opens a fascinating window for the investigation of the various facets of the nucleon interior.

Our paper is organized as follows: In Sec. II, we provide a short summary of the basic properties of  $\pi N$  TDAs. In Sec. III, we review the spectral representation for  $\pi N$  TDAs and propose a factorized Ansatz for the quadruple distributions constrained by the soft pion theorem for  $\pi N$  TDAs. We complement the spectral representation with a  $D$ -term like contribution and build a two component model for  $\pi N$  TDAs. Sec. IV contains the details of calculation of  $\gamma^* N \rightarrow \pi N$  amplitude in the backward regime. In Sec. V,

we compute the unpolarized cross section and transverse target single spin asymmetry of backward  $\pi^+$  and  $\pi^0$  electroproduction off protons using our two component model for  $\pi N$  TDAs. Many technical details are relegated to Appendices A, B, C, and D. Our conclusions are presented in Sec. VI.

## II. GENERAL PROPERTIES OF $\pi N$ TDAS

At the leading twist-3, the parametrization of the Fourier transform of  $\pi N$  matrix elements of the three-local light-cone quark operator (3) can be written as

$$4(P \cdot n)^3 \int \left[ \prod_{j=1}^3 \frac{d\lambda_j}{2\pi} \right] e^{i \sum_{k=1}^3 x_k \lambda_k (P \cdot n)} \langle \pi_a(p_\pi) | \hat{O}_{\rho\tau\chi}^{\alpha\beta\gamma}(\lambda_1 n, \lambda_2 n, \lambda_3 n) | N_\iota(p_1) \rangle$$

$$= \delta(x_1 + x_2 + x_3 - 2\xi) \sum_{s.f.} (f_a)_{\iota}^{\alpha\beta\gamma} s_{\rho\tau,\chi} H_{s.f.}^{(\pi N)}(x_1, x_2, x_3, \xi, \Delta^2; \mu_F^2), \quad (5)$$

where  $P = \frac{p_1 + p_\pi}{2}$  is the average momentum and  $\Delta = p_\pi - p_1$  is the  $u$ -channel momentum transfer. The spin-flavor ( $s.f.$ ) sum in (5) stands over all independent flavor structures  $(f_a)_{\iota}^{\alpha\beta\gamma}$  and the Dirac structures  $s_{\rho\tau,\chi}$  relevant at the leading-twist;  $\iota$  ( $a$ ) is the nucleon (pion) isotopic index. The invariant amplitudes  $H_{s.f.}^{(\pi N)}$ , which are often referred to as the leading-twist  $\pi N$  TDAs, are functions of the light-cone momentum fractions  $x_i$  ( $i = 1, 2, 3$ ), the skewness variable  $\xi$  (2), the  $u$ -channel momentum-transfer squared  $\Delta^2$  and the factorization scale  $\mu_F$ .

For given isotopic contents (say proton to  $\pi^0$  TDA), the parametrization (5) of twist-3  $\pi N$  TDAs involves eight invariant functions  $V_{1,2}^{(\pi N)}$ ,  $A_{1,2}^{(\pi N)}$ ,  $T_{1,2,3,4}^{(\pi N)}$  (see Eq. (A1)). However, not all of them are independent. Taking into account the isotopic and permutation symmetries (see [12]), one may check that in order to describe all isotopic channels of the reaction (1), it suffices to introduce eight independent  $\pi N$  TDAs: four in the isospin- $\frac{1}{2}$  channel and four in the isospin- $\frac{3}{2}$  channel. This result is analogous to the case of leading twist nucleon DAs: initially, the parametrization [9] involves three proton DAs  $V^p$ ,  $A^p$  and  $T^p$ . However, due to the isotopic and permutation symmetries, these three functions may be expressed through the unique leading twist nucleon DA  $\phi_N \equiv V^p - A^p$ . Neutron DAs are expressed as  $\{V^n, A^n, T^n\} = \{-V^p, -A^p, -T^p\}$ .

The support domain of baryon to meson TDAs in the light-cone momentum fractions  $x_i$  ( $\sum_i x_i = 2\xi$ ) was established in [11]. It is given by the intersection of three stripes  $-1 + \xi \leq x_i \leq 1 + \xi$ . Instead of dealing with three dependent light-cone momentum fractions  $x_i$ , one can switch to the independent variables. A convenient choice of independent variables is the use of the so-called quark-diquark coordinates [11]. Because of the symmetry of the support of baryon to meson TDAs under rotations by the  $\frac{2\pi}{3}$  angle, there exist three equivalent choices of quark-diquark coordinates ( $i = \{1, 2, 3\}$ ):

$$w_i = x_i - \xi; \quad v_i = \frac{1}{2} \sum_{k,l=1}^3 \varepsilon_{ikl} x_k, \quad (6)$$

where  $\varepsilon_{ikl}$  is the totally antisymmetric tensor. The support domain of baryon to meson TDAs in terms of quark-diquark coordinates can be parameterized as

$$-1 \leq w_i \leq 1; \quad -1 + |\xi - \xi'_i| \leq v_i \leq 1 - |\xi - \xi'_i|, \quad (7)$$

where  $\xi'_i \equiv \frac{\xi - w_i}{2}$ .

As pointed out in [14], the scale dependence of  $\pi N$  TDAs is described by the appropriate generalization of the ERBL/DGLAP evolution equations. Splitting functions in this case turn out to be much more complicated, as they include different pieces in different (ERBL-like and DGLAP-like) kinematical regions.

Exactly as for the case of the usual parton distributions and GPDs, evolution of  $\pi N$  TDAs can also be treated in terms of renormalization of local operators corresponding to the Mellin moments of  $\pi N$  TDAs in  $x_i$ . Evolution properties of the local operators in question were extensively studied in connection with the scale dependence of nucleon DAs (see Refs. [22,23]). The conformal partial wave expansion of  $\pi N$  TDAs over the conformal basis of the Appel polynomials or the Jacobi and Gegenbauer polynomials represents an alternative strategy for the parametrization of  $\pi N$  TDAs in the spirit of the dual representation of GPDs [24,25] or complex conformal partial wave expansion [26].

Similar to the GPD case, the polynomiality property in  $\xi$  of the Mellin moments of  $\pi N$  TDAs in the light-cone momentum fractions  $x_i$  is the direct consequence of the underlying Lorentz symmetry. For  $n_1 + n_2 + n_3 = N$ , the highest power of  $\xi$  occurring in the  $(n_1, n_2, n_3)$ -th Mellin moment of  $V_{1,2}^{\pi N}$ ,  $A_{1,2}^{\pi N}$ ,  $T_{1,2}^{\pi N}$  is  $N + 1$ , while for  $T_{3,4}^{\pi N}$  it is  $N$ .

Consequently, TDAs  $V_{1,2}^{\pi N}$ ,  $A_{1,2}^{\pi N}$ ,  $T_{1,2}^{\pi N}$  include an analogue of the  $D$ -term contribution [27], which generates the highest possible power of  $\xi$ .

The most direct way to ensure both the polynomiality and the support properties for  $\pi N$  TDAs is to employ the spectral representation in terms of quadruple distributions, generalizing the familiar Radyushkin's double distribution representation for GPDs [28–31]. In phenomenological applications, an inviting strategy, which proved to be successful in the case of GPDs, is to construct a factorized Ansatz for the corresponding spectral densities. However, contrary to the GPD case,  $\pi N$  TDAs lack a comprehensible forward limit,  $\xi \rightarrow 0$ . This hampers the construction of the hypothetic factorized Ansatz for quadruple distributions with input at  $\xi = 0$  as suggested in [11].

In this paper, we build up a consistent model for  $\pi N$  TDAs relying on their chiral properties. Chiral dynamics constrains  $\pi N$  TDAs in the opposite limit,  $\xi \rightarrow 1$ . Indeed,  $\pi N$  TDAs are conceptually much related to pion-nucleon generalized distribution amplitudes ( $\pi N$  GDAs), which are defined through the cross-conjugated matrix element of the same three quark operator (3):

$$\langle 0 | \hat{O}_{\rho\tau\chi}^{\alpha\beta\gamma}(\lambda_1 n, \lambda_2 n, \lambda_3 n) | N_t(p_1) \pi_a(-p_\pi) \rangle. \quad (8)$$

A similar correspondence was previously established between pion GPDs and  $2\pi$  GDAs [27,32]. For simplicity, let us consider the pion to be massless ( $m = 0$ ). In this case the point  $\xi = 1$ ,  $\Delta^2 = M^2$ , where  $M$  stands for the nucleon mass, belongs simultaneously to both physical regions: that of  $\pi N$  GDAs and that of  $\pi N$  TDAs (see discussion in [12]). Moreover, it is at this very point that the soft-pion theorem [33] applies for  $\pi N$  GDAs. As argued in [34,35], this allows us to express  $\pi N$  GDAs at the threshold in terms of the nucleon DAs  $V^p$ ,  $A^p$  and  $T^p$ . In the chiral limit, the soft-pion theorem for GDAs also constrains  $\pi N$  TDAs similarly to the way [36] the soft-pion theorem [32] for  $2\pi$  GDAs in the chiral limit links the isovector pion GPD at  $\xi = 1$ ,  $\Delta^2 = 0$  to the pion DA  $\varphi_\pi$ .

In the chiral limit, the soft-pion theorem thus provides the normalization point for  $\pi N$  TDAs. The explicit form of the soft-pion theorem for  $\pi N$  TDAs was established in [12]. In this paper, we use this information as input for realistic modeling of  $\pi N$  TDAs based on the spectral representation in terms of quadruple distributions.

### III. SPECTRAL REPRESENTATION FOR $\pi N$ TDAS, FACTORIZED ANSATZ FOR QUADRUPLE DISTRIBUTIONS AND $D$ -TERM

In this section, we propose a two component model for  $\pi N$  TDAs involving the following contributions:

- (1) a spectral representation with input fixed at  $\xi = 1$  from the soft-pion theorem;

- (2) a nucleon-pole exchange in the  $u$ -channel which is a pure  $D$ -term like contribution complementary to the spectral representation.

To do so, we formulate the spectral representation constructed in [11] in a way suitable for the implementation of chiral constraints for  $\pi N$  TDAs. This allows us to propose a factorized Ansatz for quadruple distributions with input from the soft-pion theorem.

#### A. Toy exercise: GPD case

To give an idea of the new type of factorized Ansatz for quadruple distributions, let us first consider how one can constrain a GPD model from the  $\xi = 1$  limit rather than from the forward limit  $\xi = 0$ . Let us consider the standard double distribution representation for GPDs [28–31]:

$$H(x, \xi) = \int_{\Omega} d\beta d\alpha \delta(x - \beta - \alpha\xi) f(\beta, \alpha), \quad (9)$$

where  $\Omega$  is the usual domain in the spectral parameter space

$$\Omega = \{|\beta| \leq 1; |\alpha| \leq 1 - |\beta|\}, \quad (10)$$

and  $f(\beta, \alpha)$  is the double distribution. Let us perform the change of variables:  $\alpha = \frac{\kappa + \theta}{2}$ ,  $\beta = \frac{\kappa - \theta}{2}$ . This gives

$$H(x, \xi) = \int_{-1}^1 d\kappa \int_{-1}^1 d\theta \delta\left(x + \frac{1-\xi}{2}\theta - \frac{1+\xi}{2}\kappa\right) \frac{1}{2} F(\kappa, \theta), \quad (11)$$

where  $F(\kappa, \theta) \equiv f(\frac{\kappa-\theta}{2}, \frac{\kappa+\theta}{2})$ . Instead of the usual factorized Ansatz in (9) in the variables  $\{\beta, \alpha\}$ ,

$$f(\beta, \alpha) = h(\beta, \alpha) q(\beta), \quad (12)$$

let us employ in (11) the following factorized Ansatz in the variables  $\{\kappa, \theta\}$ :

$$F(\kappa, \theta) = 2\varphi(\kappa)h(\theta), \quad (13)$$

with the profile  $h(\theta)$  normalized according to

$$\int_{-1}^1 d\theta h(\theta) = 1. \quad (14)$$

Obviously, Eq. (11) then gives  $H(x, \xi = 1) = \varphi(x)$ .

In order to implement the so-called ‘‘Munich symmetry’’ [37]  $f(\beta, \alpha) = f(\beta, -\alpha)$ , which is the consequence of hermiticity and time-reversal invariance of nonforward matrix element entering the definition of GPDs, one should require that

$$h(\theta) \equiv \varphi(-\theta) \left[ \int_{-1}^1 d\theta \varphi(-\theta) \right]^{-1}. \quad (15)$$

Let us emphasize that, in the GPD case, symmetry requirements unambiguously fix the shape of the profile  $h$  in the factorized Ansatz (13).



Although it is but a toy model, the factorized Ansatz (13) may be applied to the case of quark isovector GPD of a pion  $H_\pi^{u-d}$ , which in the soft-pion limit [32] reduces to the pion DA  $\varphi_\pi$ :

$$\lim_{\xi \rightarrow 1} H_\pi^{u-d}(x, \xi, t=0) = \varphi_\pi(x). \quad (16)$$

### B. An alternative form of the spectral representation for $\pi N$ TDAs

Let us now apply the trick of previous subsection for the case of  $\pi N$  TDAs. According to [11], the spectral representation for  $\pi N$  TDAs reads

$$\begin{aligned} H^{(\pi N)}(x_1, x_2, x_3 = 2\xi - x_1 - x_2, \xi) \\ = \left[ \prod_{i=1}^3 \int_{\Omega_i} d\beta_i d\alpha_i \right] \delta(x_1 - \xi - \beta_1 - \alpha_1 \xi) \\ \times \delta(x_2 - \xi - \beta_2 - \alpha_2 \xi) \delta(\beta_1 + \beta_2 + \beta_3) \\ \times \delta(\alpha_1 + \alpha_2 + \alpha_3 + 1) \\ \times f(\beta_1, \beta_2, \beta_3, \alpha_1, \alpha_2, \alpha_3), \end{aligned} \quad (17)$$

where  $\Omega_i$  denote three copies of the usual domain (10) in the spectral parameter space. The spectral density  $f$  is an arbitrary function of six variables, which are subject to two constraints imposed by the two last delta functions in Eq. (17). Therefore,  $f$  is effectively a quadruple distribution. The spectral representation (17) by the very construction ensures the polynomiality and the support properties of  $\pi N$  TDAs.

Let us employ the particular choice of the quark-diquark coordinates  $(w_i, v_i)$  (6) and introduce the following combinations of the spectral parameters:

$$\begin{aligned} \kappa_i = \alpha_i + \beta_i; \quad \theta_i = \frac{1}{2} \sum_{k,l=1}^3 \varepsilon_{ikl} (\alpha_k + \beta_k); \\ \mu_i = \alpha_i - \beta_i; \quad \lambda_i = \frac{1}{2} \sum_{k,l=1}^3 \varepsilon_{ikl} (\alpha_k - \beta_k). \end{aligned} \quad (18)$$

The spectral representation (17) can then be rewritten as

$$\begin{aligned} H(w_i, v_i, \xi) \\ = \int_{-1}^1 d\kappa_i \int_{-(1-\kappa_i)/2}^{(1-\kappa_i)/2} d\theta_i \int_{-1}^1 d\mu_i \\ \times \int_{-(1-\mu_i)/2}^{(1-\mu_i)/2} d\lambda_i \delta\left(w_i - \frac{\kappa_i - \mu_i}{2}(1-\xi) - \kappa_i \xi\right) \\ \times \delta\left(v_i - \frac{\theta_i - \lambda_i}{2}(1-\xi) - \theta_i \xi\right) \\ \times \frac{1}{4} F_i(\kappa_i, \theta_i, \mu_i, \lambda_i). \end{aligned} \quad (19)$$

The working formulas for the calculation of  $\pi N$  TDAs in the ERBL-like and DGLAP-like domains are summarized in Appendix B 2.

We suggest using the following factorized Ansatz for the quadruple distribution  $F_i$  in (19):

$$F_i(\kappa_i, \theta_i, \mu_i, \lambda_i) = 4V(\kappa_i, \theta_i)h(\mu_i, \lambda_i), \quad (20)$$

with the profile function  $h(\mu_i, \lambda_i)$  normalized as

$$\int_{-1}^1 d\mu_i \int_{-(1-\mu_i)/2}^{(1-\mu_i)/2} d\lambda_i h(\mu_i, \lambda_i) = 1. \quad (21)$$

Note that the support of the profile function  $h$  is that of a baryon DA.

With the help of the spectral representation (19), one can check that in the limit  $\xi \rightarrow 1$   $H_i$  now reduces to

$$H(w_i, v_i, \xi = 1) = V(w_i, v_i). \quad (22)$$

We also note that the support properties of  $F_i(\kappa_i, \theta_i, \mu_i, \lambda_i)$  in the  $(\kappa_i, \theta_i)$ -plane correspond to that of baryon DAs. It is thus natural to use the combination of baryon DAs to which  $\pi N$  TDA reduces in the limit  $\xi \rightarrow 1$  due to the soft-pion theorem as input for  $V(w_i, v_i)$ .

Let us denote the combination of nucleon DAs, to which  $\pi N$  TDA  $H$  reduces in the limit  $\xi \rightarrow 1$ , as  $V(y_1, y_2, y_3)$ . It is the function of three momentum fractions  $y_i$  ( $0 \leq y_i \leq 1$ ) satisfying the condition  $\sum_i y_i = 1$ . Then, according to the particular choice of quark-diquark coordinates in (17), one has to employ in (20):

$$\begin{aligned} V(\kappa_1, \theta_1) &\equiv \frac{1}{4} V\left(\frac{\kappa_1 + 1}{2}, \frac{1 - \kappa_1 + 2\theta_1}{4}, \frac{1 - \kappa_1 - 2\theta_1}{4}\right); \\ V(\kappa_2, \theta_2) &\equiv \frac{1}{4} V\left(\frac{1 - \kappa_2 - 2\theta_2}{4}, \frac{\kappa_2 + 1}{2}, \frac{1 - \kappa_2 + 2\theta_2}{4}\right); \\ V(\kappa_3, \theta_3) &\equiv \frac{1}{4} V\left(\frac{1 - \kappa_3 + 2\theta_3}{4}, \frac{1 - \kappa_3 - 2\theta_3}{4}, \frac{\kappa_3 + 1}{2}\right). \end{aligned} \quad (23)$$

The profile function  $h(\mu_i, \lambda_i)$  also has the support of a baryon DA:  $-1 \leq \mu_i \leq 1$ ;  $-\frac{1-\mu_i}{2} \leq \lambda_i \leq \frac{1-\mu_i}{2}$ . Contrary to the GPD case, no symmetry constraint from hermiticity and time-reversal invariance occurs for quadruple distributions. Therefore, we are free to employ an arbitrary shape for the profile function. For example, we may assume that it is determined by the asymptotic form of a baryon DA (120)  $y_1 y_2 y_3$  with  $\sum_i y_i = 1$ ):

$$h(\mu_i, \lambda_i) = \frac{15}{16} (1 + \mu_i)((1 - \mu_i)^2 - 4\lambda_i^2). \quad (24)$$

In fact, this is the simplest possible choice for the profile vanishing at the borders of its domain of definition. The normalization (21) is obviously ensured.

On Fig. 3, we present the result of the calculation of  $\pi^0 p$  TDAs from the factorized Ansatz (20) with the profile function (24) as a function of quark-diquark coordinates  $w \equiv w_3$ ,  $v \equiv v_3$  defined in (6). In accordance with the soft-pion theorem, in the  $\xi = 1$  limit, our  $\pi^0 p$  TDAs  $V_1^{\pi^0 p}$ ,

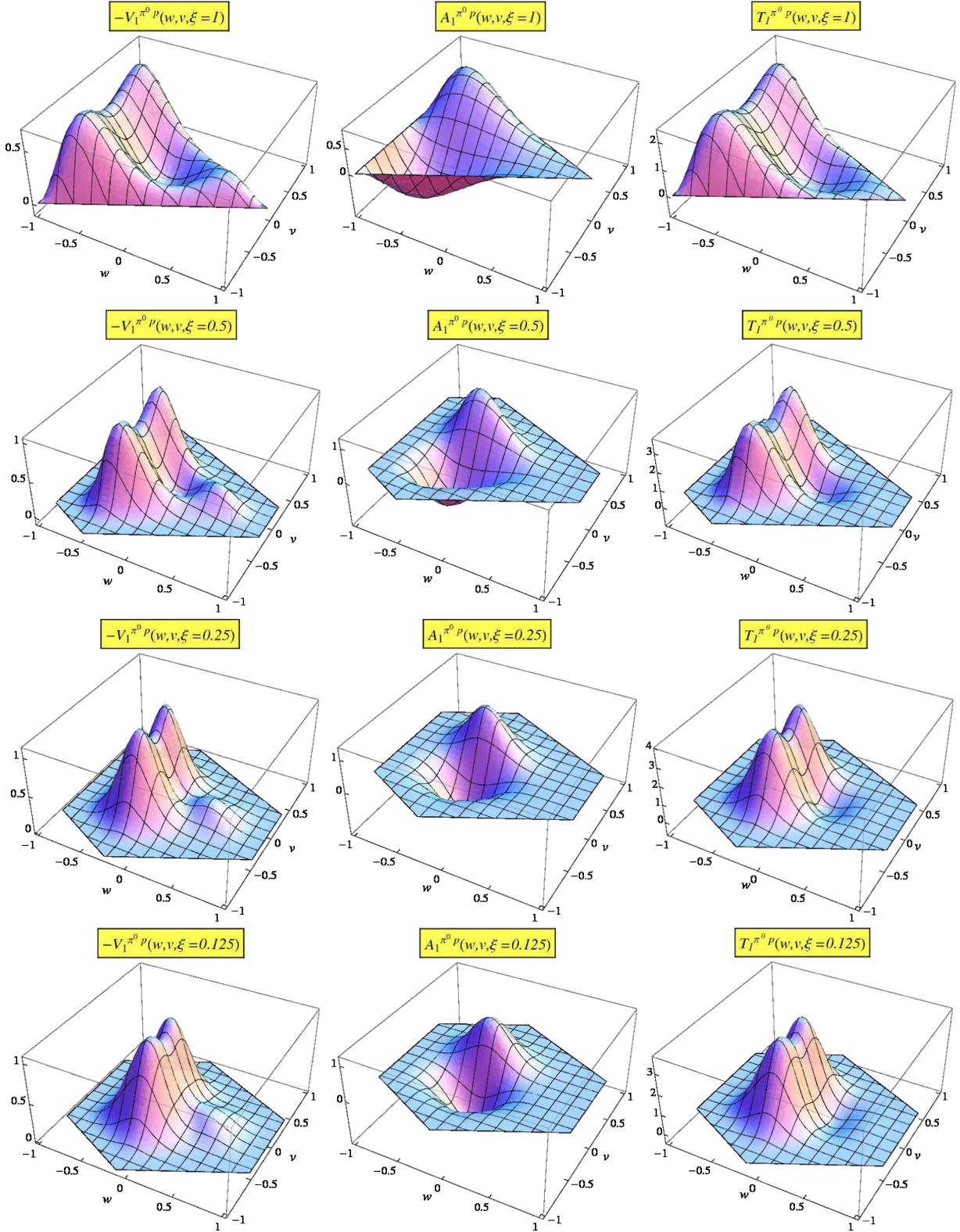


FIG. 3 (color online).  $\pi^0 p$  TDAs  $V_1^{\pi^0 p}$ ,  $A_1^{\pi^0 p}$  and  $T_1^{\pi^0 p}$  computed in the model based on the factorized Ansatz (20) with the profile function (24) as functions of quark-diquark coordinates  $w \equiv w_3$ ,  $v \equiv v_3$ . In the limit  $\xi = 1$ , as required by the soft-pion theorem, TDAs are reduced to the appropriate combinations of nucleon DAs  $V^p$ ,  $A^p$  and  $T^p$  (see Eq. (25)). For  $\xi < 1$ ,  $\pi N$  TDAs are obtained by “skewing”  $\xi = 1$  limit. CZ nucleon DAs [38] are used as numerical input.

$A_1^{\pi^0 p}$  and  $T_1^{\pi^0 p}$  are reduced to the following combination of nucleon DAs [12]<sup>2</sup>:

$$\begin{aligned} V_1^{\pi^0 p}(x_1, x_2, x_3, \xi = 1) &= -\frac{1}{2} \times \frac{1}{4} V^p\left(\frac{x_1}{2}, \frac{x_2}{2}, \frac{x_3}{2}\right); \\ A_1^{\pi^0 p}(x_1, x_2, x_3, \xi = 1) &= -\frac{1}{2} \times \frac{1}{4} A^p\left(\frac{x_1}{2}, \frac{x_2}{2}, \frac{x_3}{2}\right); \\ T_1^{\pi^0 p}(x_1, x_2, x_3, \xi = 1) &= \frac{3}{2} \times \frac{1}{4} T^p\left(\frac{x_1}{2}, \frac{x_2}{2}, \frac{x_3}{2}\right). \end{aligned} \quad (25)$$

We employ the Chernyak-Zhitnitsky (CZ) nucleon DA [38] as the numerical input.

Our spectral representation provides a lively  $x_i$  and  $\xi$  dependence for  $\pi N$  TDAs. However, the suggestion of a reasonable  $\Delta^2$  dependence still remains an open question. The most straightforward solution would be, similar to early attempts in the GPD case, to try a sort of factorized form of  $\Delta^2$  dependence for quadruple distributions (20):

$$F_i(\kappa_i, \theta_i, \mu_i, \lambda_i, \Delta^2) = 4V(\kappa_i, \theta_i)h(\mu_i, \lambda_i) \times G(\Delta^2), \quad (26)$$

where  $G(\Delta^2)$  is the  $\pi N$  transition form factor of the local three quark operator  $\hat{O}_{\rho\tau\chi}^{\alpha\beta\gamma}(0, 0, 0)$  (3). This leads to a factorized  $\Delta^2$ -dependence for  $\pi N$  TDAs:

$$H^{\pi N}(x_i, \xi, \Delta^2) = H^{\pi N}(x_i, \xi) \times G(\Delta^2). \quad (27)$$

The determination of  $G(\Delta^2)$  goes beyond the scope of the present paper. Let us however note that such a factorized form of the  $\Delta^2$  dependence is known to be oversimplified and was much criticized in the GPD case (see *e.g.* discussion in [39]).

### C. $D$ -term-like nucleon pole contribution

Similar to the GPD case,  $\pi N$  TDAs within the spectral representation (17) do not satisfy the polynomiality condition in its complete form. As it was pointed out in [12], the spectral representation for  $\pi N$  TDAs  $V_{1,2}^{(\pi N)}$ ,  $A_{1,2}^{(\pi N)}$ ,  $T_{1,2}^{(\pi N)}$  has to be complemented by an analogue of the  $D$ -term. TDAs  $T_{3,4}^{(\pi N)}$  do not require adding the  $D$ -term. This  $D$ -term has a pure ERBL-like support in  $x_i$  and hence it contributes only to the real part of the backward pion electroproduction amplitude (31). In this paper, we employ the simplest possible model for such a  $D$ -term which accounts for the contribution of the  $u$ -channel nucleon exchange into  $\pi N$  TDAs computed in [12]. This model shares many common features with the pion pole model for the polarized nucleon GPD  $\tilde{E}$  suggested in Sec. 2.4 of Ref. [40] (see Fig. 4).

<sup>2</sup>Note that Eq. (11) of [15] and Eq. (19) of [10] contain a sign error for  $T^{\pi^0 p}$  as well as erroneous overall factors 2. This affects the numerical results of these papers.

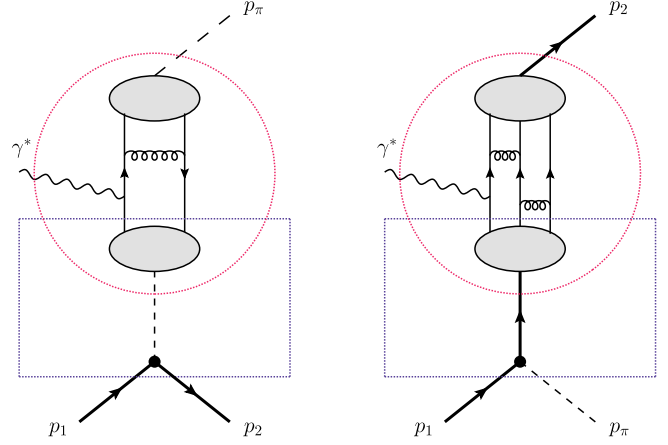


FIG. 4 (color online). Left: pion pole exchange model for the polarized GPD  $\tilde{E}$ ; lower and upper blobs depict pion DAs; the dashed circle contains a typical LO graph for the pion electromagnetic form factor in perturbative QCD; the rectangle contains the pion pole contribution into GPD. Right: nucleon pole exchange model for  $\pi N$  TDAs; dashed circle contains a typical LO graph for the nucleon electromagnetic form factor in perturbative QCD; the rectangle contains the nucleon pole contribution into  $\pi N$  TDAs.

The explicit expression for the contribution of the  $u$ -channel nucleon exchange into the isospin- $\frac{1}{2}$   $\pi N$  TDAs was established in [12]:

$$\begin{aligned} \{V_1, A_1, T_1\}^{(\pi N)_{1/2}}(x_1, x_2, x_3, \xi, \Delta^2)|_{N(940)} &= \Theta_{\text{ERBL}}(x_1, x_2, x_3) \times (g_{\pi NN}) \frac{M f_\pi}{\Delta^2 - M^2} \frac{1}{(2\xi)} \\ &\times \{V^p, A^p, T^p\}\left(\frac{x_1}{2\xi}, \frac{x_2}{2\xi}, \frac{x_3}{2\xi}\right); \\ \{V_2, A_2, T_2\}^{(\pi N)_{1/2}}(x_1, x_2, x_3, \xi, \Delta^2)|_{N(940)} &= \frac{1}{2} \{V_1, A_1, T_1\}^{(\pi N)_{1/2}}(x_1, x_2, x_3, \xi, \Delta^2)|_{N(940)}; \\ \{T_3, T_4\}^{(\pi N)_{1/2}}(x_1, x_2, x_3, \xi, \Delta^2)|_{N(940)} &= 0, \end{aligned} \quad (28)$$

where we employ the notation

$$\Theta_{\text{ERBL}}(x_1, x_2, x_3) \equiv \prod_{k=1}^3 \theta(0 \leq x_k \leq 2\xi); \quad (29)$$

$M$  denotes the nucleon mass;  $f_\pi$  is the pion weak decay constant and  $g_{\pi NN}$  is the pion-nucleon phenomenological coupling (see *e.g.* [41]).

The nucleon pole contribution into  $\pi^+ p$  and  $\pi^0 p$  expressed through isospin- $1/2$   $\pi N$  TDAs (28) reads

$$\begin{aligned} \{V_{1,2}, A_{1,2}, T_{1,2}\}^{\pi^+ p}|_{N(940)} &= -\sqrt{2} \{V_{1,2}, A_{1,2}, T_{1,2}\}^{\pi^0 p}|_{N(940)} \\ &\equiv -\sqrt{2} \{V_{1,2}, A_{1,2}, T_{1,2}\}^{(\pi N)_{1/2}}|_{N(940)}; \\ \{T_{3,4}\}^{\pi^+ p}|_{N(940)} &= \{T_{3,4}\}^{\pi^0 p}|_{N(940)} = 0. \end{aligned} \quad (30)$$

#### IV. CALCULATION OF $\gamma^*N \rightarrow \pi N$ AMPLITUDE

Within the factorized approach, the leading order (both in  $\alpha_s$  and  $1/Q$ ) amplitude for hard exclusive  $\gamma^*N \rightarrow \pi N$  reaction in the backward region,  $\mathcal{M}_{s_1 s_2}^\lambda$ , reads [10]

$$\begin{aligned} \mathcal{M}_{s_1 s_2}^\lambda &= -i \frac{(4\pi\alpha_s)^2 \sqrt{4\pi\alpha_{em}} f_N^2}{54f_\pi} \frac{1}{Q^4} \\ &\times \left[ \mathcal{S}_{s_1 s_2}^\lambda \int d^3x \int d^3y \left( 2 \sum_{\alpha=1}^7 T_\alpha + \sum_{\alpha=8}^{14} T_\alpha \right) \right. \\ &\left. - \mathcal{S}'_{s_1 s_2}{}^\lambda \int d^3x \int d^3y \left( 2 \sum_{\alpha=1}^7 T'_\alpha + \sum_{\alpha=8}^{14} T'_\alpha \right) \right], \quad (31) \end{aligned}$$

where  $f_\pi = 93$  MeV is the pion weak decay constant and  $f_N \sim 5.2 \cdot 10^{-3}$  GeV<sup>2</sup> is a constant, which determines the value of the dimensional nucleon wave function at the origin;  $\alpha_{em} \simeq \frac{1}{137}$  is the electromagnetic fine structure constant; and  $\alpha_s$  is the strong coupling constant. The convolution integrals in  $x_i$  and  $y_i$  in (31), respectively, stand over the supports of  $\pi N$  TDAs and nucleon DAs in  $T_\alpha$  and  $T'_\alpha$ . The spin structures  $\mathcal{S}$  and  $\mathcal{S}'$  are defined as

$$\begin{aligned} \mathcal{S}_{s_1 s_2}^\lambda &\equiv \bar{U}(p_2, s_2) \hat{\epsilon}(\lambda) \gamma^5 U(p_1, s_1); \\ \mathcal{S}'_{s_1 s_2}{}^\lambda &\equiv \frac{1}{M} \bar{U}(p_2, s_2) \hat{\Delta}_T \hat{\epsilon}(\lambda) \gamma^5 U(p_1, s_1), \end{aligned} \quad (32)$$

where  $\epsilon(\lambda)$  denotes the polarization vector of the virtual photon. We introduce the following notations:

$$\begin{aligned} \{I, I'\}(\xi, \Delta^2) &\equiv \int_{-1+\xi}^{1+\xi} dx_1 \int_{-1+\xi}^{1+\xi} dx_2 \int_{-1+\xi}^{1+\xi} dx_3 \delta(x_1 + x_2 + x_3 - 2\xi) \\ &\times \int_0^1 dy_1 \int_0^1 dy_2 \int_0^1 dy_3 \delta(y_1 + y_2 + y_3 - 1) \\ &\times \left( 2 \sum_{\alpha=1}^7 \{T_\alpha, T'_\alpha\} + \sum_{\alpha=8}^{14} \{T_\alpha, T'_\alpha\} \right); \\ \mathcal{C} &\equiv -i \frac{(4\pi\alpha_s)^2 \sqrt{4\pi\alpha_{em}} f_N^2}{54f_\pi}, \end{aligned} \quad (33)$$

and rewrite (31) as

$$\mathcal{M}_{s_1 s_2}^\lambda = \mathcal{C} \frac{1}{Q^4} [\mathcal{S}_{s_1 s_2}^\lambda I(\xi, \Delta^2) + \mathcal{S}'_{s_1 s_2}{}^\lambda I'(\xi, \Delta^2)]. \quad (34)$$

The expressions for the coefficients  $T_\alpha$  and  $T'_\alpha$  for the  $\gamma^*p \rightarrow \pi^0 p$  channel are presented in the Table I of Ref. [10]. The result for  $\gamma^*p \rightarrow \pi^+ n$  channel can be read from the same table with the obvious changes:

$$\begin{aligned} Q_u &\rightarrow Q_d; & Q_d &\rightarrow Q_u; \\ V^p, A^p, T^p &\rightarrow V^n, A^n, T^n \equiv -V^p, -A^p, -T^p; \\ V_{1,2}^{p\pi^0}, A_{1,2}^{p\pi^0}, T_{1,2,3,4}^{p\pi^0} &\rightarrow V_{1,2}^{p\pi^+}, A_{1,2}^{p\pi^+}, T_{1,2,3,4}^{p\pi^+}. \end{aligned} \quad (35)$$

We note that in Ref. [10] a somewhat inadequate parametrization of  $\pi N$  TDAs was employed. Within this parametrization,  $\pi N$  TDAs do not satisfy the polynomiality property in its simple form due to the appearance of kinematical singularities (see discussion in Ref. [12]). In this paper, we adopt the parametrization suggested in [12] in which polynomiality is explicit. The relation between the two parameterizations is given by Eq. (A2).

As we note,  $x_i$  and  $y_i$  dependencies in coefficients  $T_\alpha$  ( $T'_\alpha$ ) are factorized. One therefore anticipates that the convolution integrals in Eq. (31) have the following generic structure<sup>3</sup>:

$$\begin{aligned} &\int d^3x K_\alpha(x_1, x_2, x_3) [\text{combination of } \pi N \text{ TDAs}(x_1, x_2, x_3)] \\ &\int d^3y R_\alpha(y_1, y_2, y_3) [\text{combination of } N \text{ DAs}(y_1, y_2, y_3)]. \end{aligned} \quad (36)$$

$K_\alpha(x_1, x_2, x_3)$  and  $R_\alpha(y_1, y_2, y_3)$  refer to parts of the singular convolution kernel in  $T_\alpha$  ( $T'_\alpha$ ) depending on  $x_i$  and  $y_i$  respectively. These can be read from the Table I of Ref. [10].

The convolution integrals in  $y_i$  in (36) are similar to those occurring within the perturbative description of the nucleon electromagnetic form factor. The convolutions with singular kernels  $R_\alpha(y_1, y_2, y_3)$  do not generate any imaginary part since the nucleon DAs have purely ERBL support and vanish at the borders of their domain of definition. These integrals can be calculated in a straightforward way.

On the contrary, the convolution integrals in  $x_i$  with  $\pi N$  TDAs in (36) may, in principle, generate a nonzero imaginary part of the amplitude.  $\pi N$  TDAs, indeed, do not necessarily vanish on the cross over trajectories  $x_i = 0$ , separating ERBL-like and DGLAP-like regimes, as well as on the lines  $x_i = 2\xi$ .

Switching to quark-diquark coordinates (6), one may show that the following types of convolution kernels  $K_\alpha$  occur in (36):

$$\begin{aligned} K_I^{(\pm, \pm)}(w_i, v_i) &= \frac{1}{(w_i \pm \xi \mp i0)} \frac{1}{(v_i \pm \xi'_i \mp i0)}, \\ K_{II}^{(-, \pm)}(w_i, v_i) &= \frac{1}{(w_i - \xi + i0)^2} \frac{1}{(v_i \pm \xi'_i \mp i0)}. \end{aligned}$$

Throughout the following discussion, we adopt the convention that the first sign in the  $(\pm, \pm)$  index of a quantity corresponds to the one in the  $w \pm \xi$  denominator while the second sign corresponds to the one in the  $v \pm \xi'$  denominator.

<sup>3</sup>Here  $\alpha = 1, \dots, 14$  should be understood as a label. No summation over repeating  $\alpha$  is implied.



Thus, we have to deal with only two types of integrals:

$$I_I^{(\pm, \pm)}(\xi) = \int_{-1}^1 dw \int_{-1+|\xi-\xi'|}^{1-|\xi-\xi'|} dv \frac{1}{(w \pm \xi \mp i0)} \frac{1}{(v \pm \xi' \mp i0)} H(w, v, \xi), \quad (37)$$

and

$$I_{II}^{(-, \pm)}(\xi) = \int_{-1}^1 dw \int_{-1+|\xi-\xi'|}^{1-|\xi-\xi'|} dv \frac{1}{(w - \xi + i0)^2} \frac{1}{(v \pm \xi' \mp i0)} H(w, v, \xi), \quad (38)$$

for which we have to develop a method of calculation. The integration in (37) and (38) stands over the support (7) of  $\pi N$  TDAs in quark-diquark coordinates.

Using the formulas summarized in Appendix C, we establish the expression for the real and imaginary parts of  $I_I^{(\pm, \pm)}(\xi)$ :

$$\begin{aligned} \text{Re}I_I^{(+, \pm)}(\xi) &= \mathcal{P} \int_{-1}^1 dw \frac{1}{(w + \xi)} \mathcal{P} \int_{-1+|\xi-\xi'|}^{1-|\xi-\xi'|} dv \frac{1}{(v \pm \xi')} H(w, v, \xi) \pm \pi^2 H(-\xi, \mp \xi, \xi); \\ \text{Re}I_I^{(-, \pm)}(\xi) &= \mathcal{P} \int_{-1}^1 dw \frac{1}{(w - \xi)} \mathcal{P} \int_{-1+|\xi-\xi'|}^{1-|\xi-\xi'|} dv \frac{1}{(v \pm \xi')} H(w, v, \xi) \mp \pi^2 H(\xi, 0, \xi); \\ \text{Im}I_I^{(+, \pm)}(\xi) &= \mp \pi \mathcal{P} \int_{-1}^1 dw \frac{1}{w + \xi} H(w, \mp \xi', \xi) + \pi \mathcal{P} \int_{-1}^1 dv \frac{1}{v \pm \xi} H(-\xi, v, \xi); \\ \text{Im}I_I^{(-, \pm)}(\xi) &= \mp \pi \mathcal{P} \int_{-1}^1 dw \frac{1}{w - \xi} H(w, \mp \xi', \xi) - \pi \mathcal{P} \int_{-1+\xi}^{1-\xi} dv \frac{1}{v} H(\xi, v, \xi). \end{aligned} \quad (39)$$

Let us now consider the second type of integrals by rewriting it as

$$I_{II}^{(-, \pm)}(\xi) = \int_{-1}^1 dw \frac{1}{(w - \xi + i0)^2} \times \{ \mp i \pi H(w, \mp \xi', \xi) + J^{(\pm)}(w, \xi) \}, \quad (40)$$

where we introduced the notation

$$J^{(\pm)}(w, \xi) = \mathcal{P} \int_{-1+|\xi-\xi'|}^{1-|\xi-\xi'|} dv \frac{1}{v \pm \xi'} H(w, v, \xi). \quad (41)$$

Let us emphasize that in (40) we are dealing with convolution of the product of two generalized functions with the test function  $H(w, v, \xi)$ . In order to assign meaning to this ill-defined expression as it is done in Eq. (40),  $H(w, \mp \xi', \xi)$  and  $J^{(\pm)}(w, \xi)$  and their first derivatives in  $w$  should be continuous in the vicinity of  $w = \xi$ . One can check that these assumptions are justified by the use of the spectral representation (17) with continuous input quadruple distributions vanishing at the borders of their domain of definition.

We obtain the following contributions to the real and imaginary parts of the amplitude from (40):

$$\begin{aligned} \text{Re}I_{II}^{(-, \pm)}(\xi) &= \pm \pi^2 \left( \frac{dH(w, \mp \xi', \xi)}{dw} \right)_{w=\xi} - 2J^{(\pm)}(\xi, \xi) \\ &\quad + \mathcal{P} \int_{-1}^1 dw \frac{1}{(w - \xi)} \frac{(J^{(\pm)}(w, \xi) - J^{(\pm)}(\xi, \xi))}{(w - \xi)}, \\ \text{Im}I_{II}^{(-, \pm)}(\xi) &= \pm 2\pi H(\xi, 0, \xi) \mp \pi \mathcal{P} \int_{-1}^1 dw \frac{1}{(w - \xi)} \\ &\quad \times \frac{(H(w, \mp \xi', \xi) - H(\xi, 0, \xi))}{(w - \xi)} \\ &\quad - \pi \left( \frac{dJ^{(\pm)}(w, \xi)}{dw} \right)_{w=\xi}. \end{aligned} \quad (42)$$

The formulas for the calculation of the real and the imaginary parts of  $I_I^{(\pm, \pm)}(\xi)$  and  $I_{II}^{(-, \pm)}(\xi)$  in the model based on the factorized Ansatz for quadruple distributions (20) with input from the soft-pion theorem at  $\xi = 1$  and with the profile function  $h$  given by (24) are summarized in Appendix D.

We are going now to present the results of calculation of  $I(\xi)$  in our composite model for  $\pi N$  TDAs of Sec. III. As it was already pointed out, the coefficients  $T_\alpha, T'_\alpha$  (33), which can be read from the Table I of Ref. [10], are defined with respect to the alternative parametrization of  $\pi N$  TDAs. The relation between that parametrization and the one employed in the present paper is summarized in Appendix A. Within the parametrization of Ref. [10],  $I(\xi)$  receives contributions only from  $\pi N$  TDAs  $\{V_1, A_1, T_1, T_4\}_{[10]}$  while  $I'(\xi)$  receives contributions only from  $\pi N$  TDAs  $\{V_2, A_2, T_2, T_3\}_{[10]}$ .

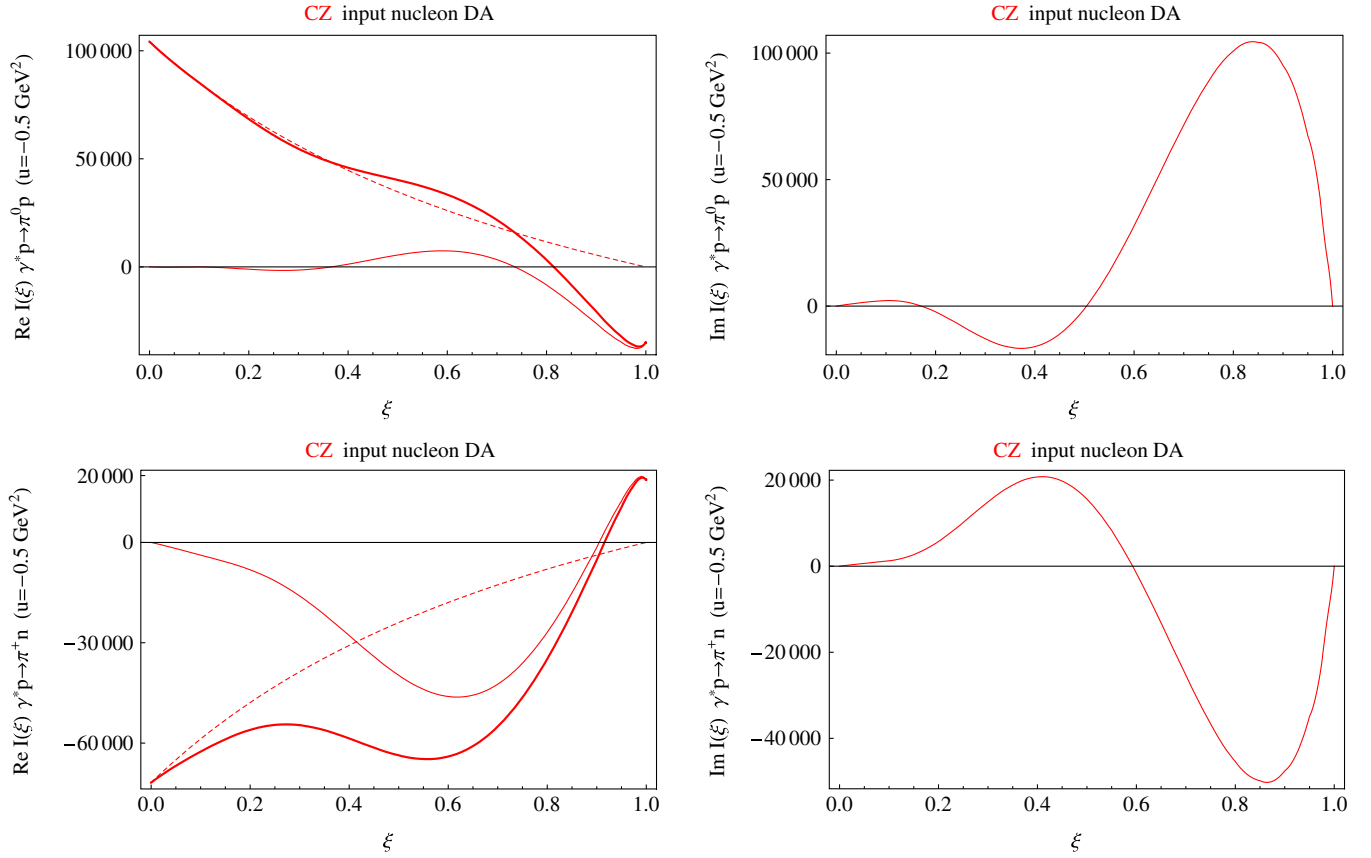


FIG. 5 (color online). Real and imaginary parts of  $I(\xi)$  for  $\gamma^* p \rightarrow \pi^0 p$  and  $\gamma^* p \rightarrow \pi^+ n$  backward production as functions of  $\xi$  computed in our two component model for  $\pi N$  TDAs. Dashed lines: nucleon pole contribution into  $\text{Re}I(\xi)$ ; thin solid line: spectral representation with input from the soft-pion theorem; solid line: sum of two contributions (for the real part).

One may establish the following relations for the spectral part of  $\pi N$  TDA model based on the factorized Ansatz (20) with input from the soft-pion theorem:

$$\begin{aligned} & \{V_1, A_1, T_1\}^{\pi N}(x_1, x_2, x_3, \xi) \Big|_{\text{spectral part}}^{[10]} \\ &= \{V_1, A_1, T_1\}^{\pi N}(x_1, x_2, x_3, \xi) \Big|_{\text{spectral part}}^{[12] \& \text{ this paper}} \end{aligned} \quad (43)$$

and

$$\{V_2, A_2, T_2, T_3, T_4\}^{\pi N}(x_1, x_2, x_3, \xi) \Big|_{\text{spectral part}}^{[10]} = 0. \quad (44)$$

Now we consider the nucleon-pole part (28) of the two-component model for  $\pi N$  TDAs

$$\begin{aligned} & \{V_1, A_1, T_1\}^{\pi N}(x_1, x_2, x_3, \xi) \Big|_{N(940)}^{[10]} \\ &= \frac{1 - \xi}{1 + \xi} \{V_1, A_1, T_1\}^{\pi N}(x_1, x_2, x_3, \xi) \Big|_{N(940)}^{[12] \& \text{ this paper}}, \\ & \{V_2, A_2\}^{\pi N}(x_1, x_2, x_3, \xi) \Big|_{N(940)}^{[10]} \\ &= \{V_1, A_1, T_1\}^{\pi N}(x_1, x_2, x_3, \xi) \Big|_{N(940)}^{[12] \& \text{ this paper}}, \\ & (T_2 + T_3)^{\pi N}(x_1, x_2, x_3, \xi) \Big|_{N(940)}^{[10]} \\ &= 2T_1^{\pi N}(x_1, x_2, x_3, \xi) \Big|_{N(940)}^{[12] \& \text{ this paper}}. \end{aligned} \quad (45)$$

Consequently, in our model, the following relation holds for the nucleon pole contribution into  $I$  and  $I'$ :

$$\text{Re} I(\xi, \Delta^2) \Big|_{N(940)} = \frac{1 - \xi}{1 + \xi} \text{Re} I'(\xi, \Delta^2) \Big|_{N(940)}. \quad (46)$$

On Fig. 5, we present the results in our model for the real and imaginary parts of  $I(\xi)$  for backward production of  $\pi^0$  (two upper panels) and  $\pi^+$  (two lower panels) off proton showing separately the spectral part, the pole part and their sum. The CZ phenomenological solution [9] for the nucleon DA is used as the numerical input for our model. For small  $\xi$  ( $\xi \lesssim 0.3 \div 0.5$ ), the real part is dominated by the contribution of the nucleon pole. The contribution of the spectral part to the real part becomes relatively more important for larger  $\xi$ . The nucleon pole contribution is purely real. The appearance of a significant imaginary part stemming from the spectral component of the model is a distinctive feature of our approach. This is crucial for the nonvanishing of the transverse target single spin asymmetry for backward pion electroproduction discussed in Sec. V.

### V. UNPOLARIZED CROSS SECTION AND TRANSVERSE TARGET SINGLE SPIN ASYMMETRY FOR BACKWARD PION PRODUCTION

Let us first specify our conventions for the backward pion electroproduction cross section. In the one photon exchange approximation, the unpolarized cross section of hard leptonproduction of a pion off a nucleon (1) can be decomposed as follows [42]:

$$\begin{aligned} \frac{d^4\sigma}{dsdQ^2d\varphi dt} &= \frac{\alpha_{\text{em}}(s - M^2)}{4(2\pi)^2(k_0^L)^2M^2Q^2(1 - \varepsilon)} \\ &\times \left( \frac{d\sigma_T}{dt} + \varepsilon \frac{d\sigma_L}{dt} + \varepsilon \cos 2\varphi \frac{d\sigma_{TT}}{dt} \right. \\ &\left. + \sqrt{2\varepsilon(1 + \varepsilon)} \cos\varphi \frac{d\sigma_{LT}}{dt} \right), \end{aligned} \quad (47)$$

where  $\varphi$  is the angle between the leptonic and hadronic planes;  $s = (p_1 + q)^2 \equiv W^2$  and  $t = (p_2 - p_1)^2$  are the Mandelstam variables;  $k_0^L$  is the initial state electron energy in the laboratory (LAB) frame (beam energy).  $\varepsilon$  is the polarization parameter of the virtual photon:

$$\varepsilon = \left[ 1 + 2 \frac{(k_0^L - k_0^L)^2 + Q^2}{Q^2} \tan^2 \frac{\theta_e^L}{2} \right]^{-1}, \quad (48)$$

where  $k_0^L$  is the energy of the final state electron in the LAB frame and  $\theta_e^L$  is the electron scattering angle in the LAB frame.

Within the suggested factorization mechanism for backward pion leptonproduction, only the transverse cross section  $\frac{d\sigma_T}{dt}$  receives a contribution at the leading twist level. Using the explicit expression relating scattering amplitudes of leptonproduction to those for virtual photoproduction (eq. (2.12) of Ref. [42]), we express  $\frac{d^2\sigma_T}{d\Omega_\pi}$  in the center of mass (CMS) system of the pion and final nucleon through  $\gamma^* N \rightarrow N\pi$  helicity amplitudes  $\mathcal{M}_{s_1 s_2}^\lambda$  defined in (31):

$$\begin{aligned} \frac{d^5\sigma}{dE'd\Omega_{e'}d\Omega_\pi} &= \Gamma \times \frac{\Lambda(s, m^2, M^2)}{128\pi^2 s(s - M^2)} \\ &\times \sum_{s_1, s_2} \left\{ \frac{1}{2} (|\mathcal{M}_{s_1 s_2}^1|^2 + |\mathcal{M}_{s_1 s_2}^{-1}|^2) + \dots \right\} \\ &= \Gamma \times \left( \frac{d^2\sigma_T}{d\Omega_\pi} + \dots \right). \end{aligned} \quad (49)$$

Here,  $\Omega_{e'}$  is the differential solid angle for the scattered electron in the LAB frame;  $\Omega_\pi$  is the differential solid angle of the produced pion in  $N'\pi$  CMS frame (see Fig. 6 for the definition of angular variables); by dots, we denote the subleading twist terms suppressed by powers of  $1/Q$ ;  $\Lambda$  is the usual Mandelstam function

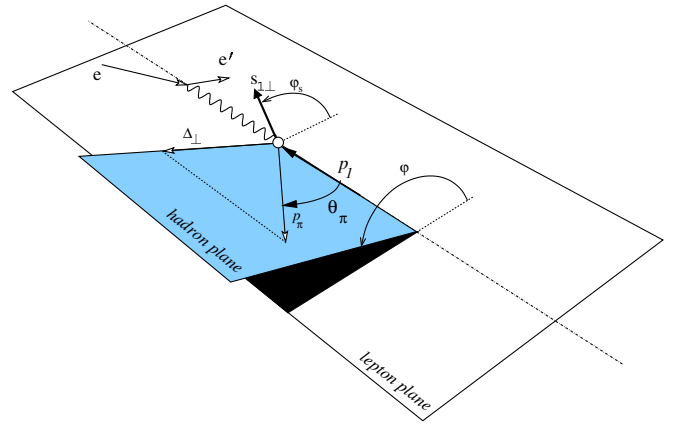


FIG. 6 (color online). Kinematics of electroproduction of a pion off a nucleon in the CMS frame of  $\gamma^*$  nucleon.

$$\Lambda(x, y, z) = \sqrt{x^2 + y^2 + z^2 - 2xy - 2xz - 2yz}. \quad (50)$$

$\Gamma$  is the virtual photon flux factor in Hand's convention [43] given by

$$\Gamma = \frac{\alpha_{\text{em}}}{2\pi^2} \frac{k_0^L}{k_0^L} \frac{s - M^2}{2MQ^2} \frac{1}{1 - \varepsilon}. \quad (51)$$

Our present goal is to establish the expression for the LO transverse cross section through the helicity amplitudes defined in (34). We rewrite our formula (34) as

$$\mathcal{M}_{\lambda}^{s_1 s_2} = C \frac{1}{Q^4} \bar{U}(p_2, s_2) \Gamma_H U(p_1, s_1), \quad (52)$$

where

$$\Gamma_H = \hat{\varepsilon}(\lambda) \gamma_5 I - \hat{\varepsilon}(\lambda) \frac{\hat{\Delta}_T}{M} \gamma_5 I'. \quad (53)$$

Let us now square the amplitude and sum over the transverse polarizations of the virtual photon and over the spin of outgoing nucleon:

$$\begin{aligned} |\mathcal{M}_T^{s_1}|^2 &= |C|^2 \frac{1}{Q^8} \sum_{\lambda_T} \text{Tr} \\ &\times \left\{ (\hat{p}_2 + M) \Gamma_H \frac{1 + \gamma_5 \hat{s}_1}{2} (\hat{p}_1 + M) \gamma_0 \Gamma_H^\dagger \gamma_0 \right\}. \end{aligned} \quad (54)$$

Let us first consider the trace

$$\begin{aligned} &\sum_{\lambda_T} \text{Tr} \{ (\hat{p}_2 + M) \Gamma_H (\hat{p}_1 + M) \gamma_0 \Gamma_H^\dagger \gamma_0 \} \\ &= - \sum_{\lambda_T} \varepsilon^\nu(\lambda) \varepsilon^{\mu*}(\lambda) \text{Tr} \left\{ (\hat{p}_2 + M) \left( \gamma^\nu \gamma_5 I - \gamma^\nu \frac{\hat{\Delta}_T}{M} \gamma_5 I' \right) \right. \\ &\quad \left. \times (\hat{p}_1 + M) \left( \gamma_5 \gamma^\mu I^* - \gamma_5 \frac{\hat{\Delta}_T}{M} \gamma^\mu (I')^* \right) \right\}. \end{aligned} \quad (55)$$

We employ the relation

$$\sum_{\lambda_T} \epsilon^\nu(\lambda) \epsilon^{\mu*}(\lambda) = -g^{\mu\nu} + \frac{1}{(p \cdot n)} (p^\mu n^\nu + p^\nu n^\mu) \quad (56)$$

to sum over the transverse polarizations of the virtual photon. We use the backward kinematics for the reaction (1) summarized in [10]:

$$\begin{aligned} p_1 \cdot n &= \frac{1 + \xi}{2}; & p_1 \cdot p &= \frac{M^2}{2(1 + \xi)}; \\ p_2 \cdot n &= O(1/Q^2); & p_2 \cdot p &= \frac{Q^2}{4\xi} + O(Q^0). \end{aligned} \quad (57)$$

Then for the part which is independent of the nucleon spin, we get

$$\begin{aligned} & \sum_{\lambda_T} \text{Tr}\{(\hat{p}_2 + M) \Gamma_H (\hat{p}_1 + M) \gamma_0 \Gamma_H^\dagger \gamma_0\} \\ &= \frac{2Q^2(1 + \xi)}{\xi} |I|^2 - \frac{2Q^2(1 + \xi)}{\xi} \frac{\Delta_T^2}{M^2} |I'|^2 + O(Q^0). \end{aligned} \quad (58)$$

Now we turn to the nucleon spin dependent part of the trace (54).

$$\begin{aligned} \sum_{\lambda_T} \text{Tr}\{(\hat{p}_2 + M) \Gamma_H \gamma_5 \hat{s}_1 (\hat{p}_1 + M) \gamma_0 \Gamma_H^\dagger \gamma_0\} &= \sum_{\lambda_T} \epsilon^\nu(\lambda) \epsilon^{\mu*}(\lambda) \left\{ (\hat{p}_2 + M) \gamma^\nu \hat{s}_1 (\hat{p}_1 + M) \gamma_5 \frac{\hat{\Delta}_T}{M} \gamma^\mu \right\} I(I')^* \\ &+ \sum_{\lambda_T} \epsilon^\nu(\lambda) \epsilon^{\mu*}(\lambda) \left\{ (\hat{p}_2 + M) \gamma^\nu \frac{\hat{\Delta}_T}{M} \hat{s}_1 (\hat{p}_1 + M) \gamma_5 \gamma^\mu \right\} I'(I)^* \\ &= 4 \frac{Q^2(1 + \xi)}{M\xi} \varepsilon(n, p, s_1, \Delta_T) (-iI(I')^* + iI'(I)^*) \\ &= -4 \frac{Q^2(1 + \xi)}{\xi} \frac{|\Delta_T|}{M} |\vec{s}_1| \sin(\varphi - \varphi_s) \text{Im}(I'(I)^*). \end{aligned} \quad (59)$$

In the last line of (59), we consider  $s_1$  as being purely transverse and choose the reference frame so that  $s_1$  has only an  $x$ -component. Then

$$\varepsilon(n, p, s_1, \Delta_T) = \frac{1}{2} |\Delta_T| |\vec{s}_1| \sin(\varphi - \varphi_s), \quad (60)$$

where  $\varphi$  is the angle between the leptonic and hadronic planes and  $\varphi_s$  is the angle between the leptonic plane the transverse target spin (see Fig. 6). We employ the conventions in which  $\varepsilon^{0123} = 1$  with  $\gamma_5 = -\frac{i}{4!} \varepsilon^{\mu\nu\rho\sigma} \gamma_\mu \gamma_\nu \gamma_\rho \gamma_\sigma$ . Finally, we conclude that

$$\begin{aligned} |\mathcal{M}_T^{s_1}|^2 &= |C|^2 \frac{1}{Q^6} \frac{(1 + \xi)}{\xi} \left( |I|^2 - \frac{\Delta_T^2}{M^2} |I'|^2 \right. \\ &\quad \left. - 2 \frac{|\Delta_T|}{M} |\vec{s}_1| \text{Im}(I'(I)^*) \sin\tilde{\varphi} \right) + O(1/Q^8), \end{aligned} \quad (61)$$

where  $\tilde{\varphi} \equiv \varphi - \varphi_s$ .

Hence, we establish the following formula for the LO unpolarized cross section (49) through the coefficients  $I$ ,  $I'$ , introduced in (34):

$$\frac{d^2 \sigma_T}{d\Omega_\pi} = |C|^2 \frac{1}{Q^6} \frac{\Lambda(s, m^2, M^2)}{128 \pi^2 s (s - M^2)} \frac{1 + \xi}{\xi} \left( |I|^2 - \frac{\Delta_T^2}{M^2} |I'|^2 \right). \quad (62)$$

Within our kinematics

$$\Delta_T^2 = \frac{(1 - \xi)(\Delta^2 - 2\xi(\frac{M^2}{1+\xi} - \frac{m^2}{1-\xi}))}{1 + \xi}, \quad (63)$$

where  $m$  is the pion mass.

On Fig. 7, we present our estimates for the unpolarized cross section  $\frac{d^2 \sigma_T}{d\Omega_\pi}$  (62) of backward production of  $\pi^0$  and  $\pi^+$  off protons for  $Q^2 = 10 \text{ GeV}^2$  and  $u = -0.5 \text{ GeV}^2$  in nb/sr as a function of  $x_B$ .

We use the two component model for  $\pi N$  TDAs presented in Secs. III and IV. In order to quantify the sensitivity of our model prediction on the input nucleon DAs, we show the cross section for the case of four phenomenological solutions fitting the nucleon electromagnetic form factor: CZ [9] (solid lines), Chernyak-Ogloblin-Zhitnitsky (COZ) [38] (dotted lines), King and Sachrajda (KS) [44] (dashed lines), Gari and Stefanis (GS) [45] (dash-dotted lines) and Braun, Lenz and Wittmann next-to-next-to-leading order (BLW NNLO) [46,47]. The magnitude of these cross sections is large enough for a detailed investigation to be carried at high luminosity experiments such as J-lab@12 GeV and EIC. We recall that the scaling law for the cross section (62) is  $1/Q^8$ .

On the upper panel of Fig. 8, we show the  $Q^2$  dependence of the unpolarized differential cross section of



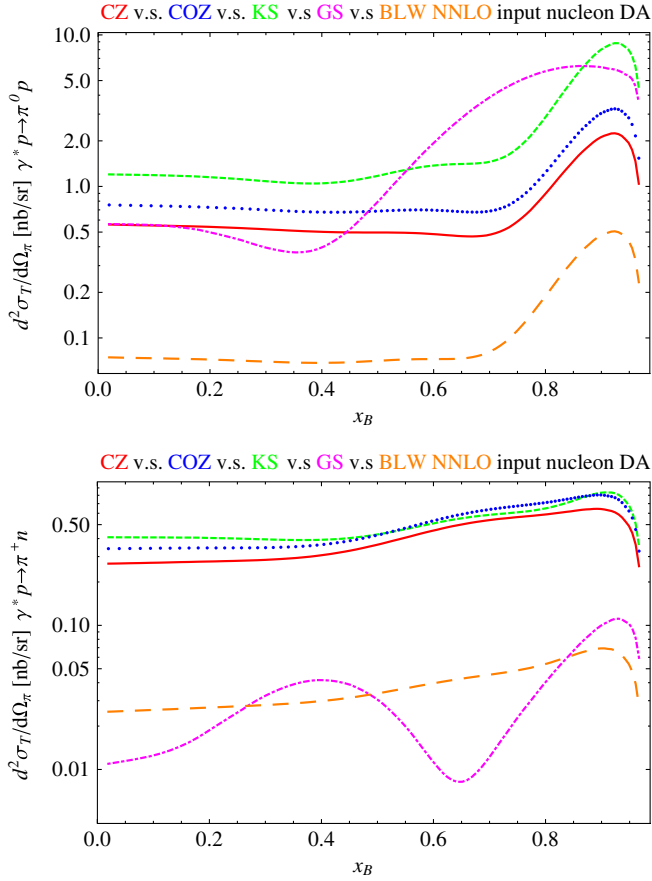


FIG. 7 (color online). Unpolarized cross section  $\frac{d^2\sigma_T}{d\Omega_\pi}$  (in nb/sr) for backward  $\gamma^* p \rightarrow p\pi^0$  (upper panel) and for backward  $\gamma^* p \rightarrow n\pi^+$  (lower panel) as the function of  $x_B$  computed in the two component model for  $\pi N$  TDAs for  $Q^2 = 10 \text{ GeV}^2$ ,  $u = -0.5 \text{ GeV}^2$  as a function of  $x_B$ . CZ [9] (solid lines), COZ [38] (dotted lines), KS [44] (short dashes), GS [45] (dash-dotted lines) and BLW NNLO [46,47] (long dashes) nucleon DAs were used as inputs for our model.

$\gamma^* p \rightarrow n\pi^+$  for fixed  $\xi = 0.25$  which is characteristic for the J-lab kinematics and for  $\Delta_T^2 = 0$ . The plot exhibits the expected universal  $1/Q^8$  scaling behavior; the shape of  $\pi N$  TDAs, indeed, affects only the overall normalization. On the lower panel of Fig. 8, similarly to [48], we show instead the same cross section as the function of  $Q^2$  for fixed  $W = 2.0 \text{ GeV}$  and  $\Delta_T^2 = 0$ . Let us emphasize that the scaling behavior in the latter case is shadowed due to the fact that, for fixed  $W$ , the running of  $Q^2$  also imposes variation of the scaling variable  $\xi$ .

Asymmetries, being ratios of the cross sections, are less sensitive to perturbative corrections. Therefore, they are usually considered to be more reliable to test the factorized description of hard reactions. For the backward pion electroproduction, an evident candidate is the transverse target single spin asymmetry (STSA) [49] defined as

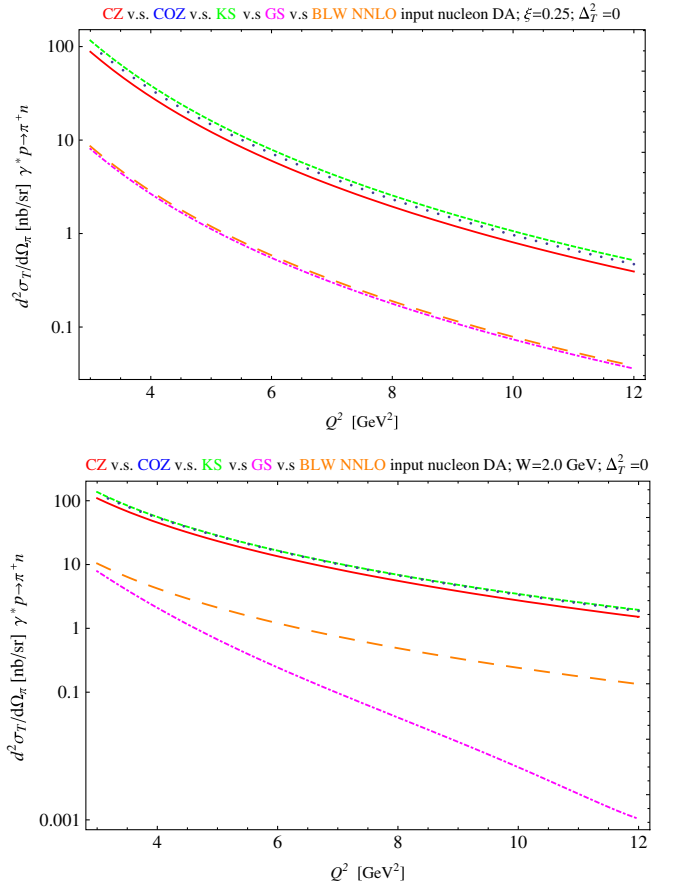


FIG. 8 (color online). Upper panel: unpolarized cross section  $\frac{d^2\sigma_T}{d\Omega_\pi}$  (in nb/sr) for backward  $\gamma^* p \rightarrow n\pi^+$  for fixed  $\xi = 0.25$  and  $\Delta_T^2 = 0$  as a function of  $Q^2$  in the two component model for  $\pi N$  TDAs. Lower panel: unpolarized cross section  $\frac{d^2\sigma_T}{d\Omega_\pi}$  (in nb/sr) for backward  $\gamma^* p \rightarrow n\pi^+$  for fixed  $W = 2.0 \text{ GeV}$  and  $\Delta_T^2 = 0$  as a function of  $Q^2$  in the two component model for  $\pi N$  TDAs. CZ [9] (solid lines), COZ [38] (dotted lines), KS [44] (short dashes), GS [45] (dash-dotted lines) and BLW NNLO [46,47] (long dashes) nucleon DAs are used as inputs for the model.

$$\begin{aligned} \mathcal{A} &= \frac{1}{|\bar{s}_1|} \left( \int_0^\pi d\bar{\varphi} |\mathcal{M}_T^{s_1}|^2 - \int_\pi^{2\pi} d\bar{\varphi} |\mathcal{M}_T^{s_1}|^2 \right) \\ &\quad \times \left( \int_0^{2\pi} d\bar{\varphi} |\mathcal{M}_T^{s_1}|^2 \right)^{-1} \\ &= -\frac{4}{\pi} \frac{|\Delta_T|}{M} \frac{\text{Im}(I'(I)^*)}{|I|^2 - \frac{\Delta_T^2}{M^2} |I'|^2}. \end{aligned} \quad (64)$$

As argued in Sec. IV, within the two component model for  $\pi N$  TDAs, the nonvanishing of the numerator in the last equality of (64) is achieved due to the interference of the spectral part contribution into  $\text{Im}I(\xi)$  and of the nucleon pole part contribution into  $\text{Re}I'(\xi)$ .

On Fig. 9, we show the result of our calculation of the STSA for backward  $\pi^0$  and  $\pi^+$  electroproduction off protons for  $Q^2 = 10 \text{ GeV}^2$  and  $u = -0.5 \text{ GeV}^2$ . CZ [9],

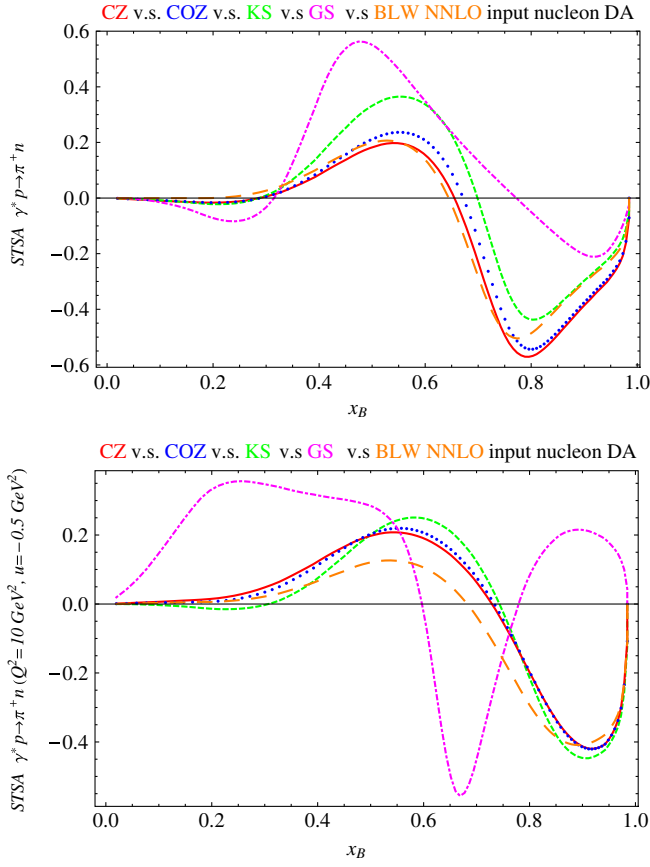


FIG. 9 (color online). Transverse target single spin asymmetry (64) for backward  $\gamma^* p^1 \rightarrow p \pi^0$  (upper panel) and for backward  $\gamma^* p^1 \rightarrow n \pi^+$  (lower panel) as a function of  $x_B$  computed with the two component model for  $\pi N$  TDAs for  $Q^2 = 10 \text{ GeV}^2$ ,  $u = -0.5 \text{ GeV}^2$  as a function of  $x_B$ . We show the results of our model with different input nucleon DAs: CZ (solid line), COZ (dotted line), KS (short dashes), GS (dot dashed line) and BLW NNLO (long dashes) used as input.

COZ [38], KS [44] and GS [45] nucleon DAs are used as phenomenological input for our model. We conclude that STSA turns out to be sizable in the valence region. Its measurement should therefore be considered as a crucial

test of the applicability of our collinear factorized scheme for backward pion electroproduction.

## VI. CONCLUSIONS

For the first time, we have managed to build a consistent model of  $\pi N$  TDAs in their whole domain of definition. It satisfies general constraints imposed by the underlying QCD such as isospin symmetry, the Lorentz invariance manifested through the polynomiality property of the Mellin moments of  $\pi N$  TDAs in the light-cone momentum fractions, as well as the chiral properties. We used this model in the estimates of the unpolarized cross section and the transverse target single spin asymmetry for backward  $\pi^+$  and  $\pi^0$  electroproduction off protons. Our results make us hope for bright experimental prospects for measuring baryon to meson TDAs with high luminosity lepton beams such as COMPASS, J-lab@ 12 GeV and EIC [50]. Experimental data from J-lab@ 6 GeV on backward  $\pi^+$ ,  $\pi^0$ ,  $\eta$  and  $\omega$  meson production are currently being analyzed [51]. We eagerly wait for the first evidences of the factorized picture for backward electroproduction reactions as suggested in our approach.

## ACKNOWLEDGMENTS

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## APPENDIX A: PARAMETRIZATION OF LEADING TWIST $\pi N$ TDAS

The parametrization of the leading twist-3  $\pi N$  TDAs of given flavor contents suggested in [12], which we employ in this paper, reads

$$\begin{aligned}
& 4(P \cdot n)^3 \int \left[ \prod_{j=1}^3 \frac{d\lambda_j}{2\pi} \right] e^{i \sum_{k=1}^3 x_k \lambda_k (P \cdot n)} \langle \pi(p_\pi) | \hat{O}_{\rho\tau\chi}(\lambda_1 n, \lambda_2 n, \lambda_3 n) | N(p_1) \rangle \\
&= \delta(x_1 + x_2 + x_3 - 2\xi) i \frac{f_N}{f_\pi M} [V_1^{\pi N}(x_1, x_2, x_3, \xi, \Delta^2) (\hat{P}C)_{\rho\tau} (\hat{P}U)_\chi + A_1^{\pi N}(x_1, x_2, x_3, \xi, \Delta^2) (\hat{P}\gamma^5 C)_{\rho\tau} (\gamma^5 \hat{P}U)_\chi \\
&+ T_1^{\pi N}(x_1, x_2, x_3, \xi, \Delta^2) (\sigma_{P\mu} C)_{\rho\tau} (\gamma^\mu \hat{P}U)_\chi + V_2^{\pi N}(x_1, x_2, x_3, \xi, \Delta^2) (\hat{P}C)_{\rho\tau} (\hat{\Delta}U)_\chi \\
&+ A_2^{\pi N}(x_1, x_2, x_3, \xi, \Delta^2) (\hat{P}\gamma^5 C)_{\rho\tau} (\gamma^5 \hat{\Delta}U)_\chi + T_2^{\pi N}(x_1, x_2, x_3, \xi, \Delta^2) (\sigma_{P\mu} C)_{\rho\tau} (\gamma^\mu \hat{\Delta}U)_\chi \\
&+ \frac{1}{M} T_3^{\pi N}(x_1, x_2, x_3, \xi, \Delta^2) (\sigma_{P\Delta} C)_{\rho\tau} (\hat{P}U)_\chi + \frac{1}{M} T_4^{\pi N}(x_1, x_2, x_3, \xi, \Delta^2) (\sigma_{P\Delta} C)_{\rho\tau} (\hat{\Delta}U)_\chi], \tag{A1}
\end{aligned}$$

where  $f_\pi$  is the pion weak decay constant and  $f_N$  is a constant, which determines the value of the dimensional nucleon wave function at the origin;  $U$  is the usual Dirac spinor and  $C$  is the charge conjugation matrix. We employ Dirac's "hat" notation:  $\hat{a} \equiv \gamma_\mu a^\mu$  and adopt the conventions:  $\sigma^{\mu\nu} = \frac{1}{2}[\gamma^\mu, \gamma^\nu]$ ;  $\sigma^{\nu\nu} \equiv v_\mu \sigma^{\mu\nu}$ , where  $v_\mu$  is an arbitrary 4-vector.

The relation of the parametrization (A1) for  $\pi N$  TDAs to that of [10] is given by

$$\begin{aligned}
 \{V_1, A_1, T_1\}_{[10]}^{\pi N} &= \left( \frac{1}{1+\xi} \{V_1, A_1, T_1\}^{\pi N} - \frac{2\xi}{1+\xi} \{V_2, A_2, T_2\}^{\pi N} \right) \Big|_{[12] \text{ \& this paper}} ; \\
 \{V_2, A_2\}_{[10]}^{\pi N} &= \left( \{V_2, A_2\}^{\pi N} + \frac{1}{2} \{V_1, A_1\}^{\pi N} \right) \Big|_{[12] \text{ \& this paper}} ; \\
 T_3^{\pi N} \Big|_{[10]} &= T_2^{\pi N} \Big|_{[12] \text{ \& this paper}} + \frac{1}{2} T_1^{\pi N} \Big|_{[12] \text{ \& this paper}} ; \\
 T_2^{\pi N} \Big|_{[10]} &= \left( \frac{1}{2} T_1^{\pi N} + T_2^{\pi N} + T_3^{\pi N} - 2\xi T_4^{\pi N} \right) \Big|_{[12] \text{ \& this paper}} ; \\
 T_4^{\pi N} \Big|_{[10]} &= \left( \frac{1+\xi}{2} T_3^{\pi N} + (1+\xi) T_4^{\pi N} \right) \Big|_{[12] \text{ \& this paper}} .
 \end{aligned} \tag{A2}$$

## APPENDIX B: AN ALTERNATIVE FORM OF SPECTRAL REPRESENTATION FOR GPDS AND BARYON TO MESON TDAS

### 1. GPD in the ERBL and DGLAP regions

From (11), one can derive the following expressions for GPD in the DGLAP and the ERBL regions:

(1) For  $-1 \leq x \leq -\xi$  (DGLAP 1 region):

$$H(x, \xi) = \frac{1}{1-\xi} \int_{-1}^{(1-\xi+2x)/(1+\xi)} d\kappa F\left(\kappa, \frac{\kappa(1+\xi)-2x}{1-\xi}\right); \tag{B1}$$

(2) For  $-\xi \leq x \leq \xi$  (ERBL region):

$$H(x, \xi) = \frac{1}{1-\xi} \int_{(-1+\xi+2x)/(1+\xi)}^{(1-\xi+2x)/(1+\xi)} d\kappa F\left(\kappa, \frac{\kappa(1+\xi)-2x}{1-\xi}\right); \tag{B2}$$

(3) For  $\xi \leq x \leq 1$  (DGLAP 2 region):

$$H(x, \xi) = \frac{1}{1-\xi} \int_{(-1+\xi+2x)/(1+\xi)}^1 d\kappa F\left(\kappa, \frac{\kappa(1+\xi)-2x}{1-\xi}\right). \tag{B3}$$

### 2. Set of working formulas for $\pi N$ TDAs in the ERBL-like and DGLAP-like regions

In order to be able to compute  $\pi N$  TDAs from the spectral representation (19), we perform integrals over  $\mu$  and  $\lambda$  with the help of two  $\delta$ -functions. We omit the index  $i$  referring to the choice of quark-diquark coordinates in the formulas of this Appendix.

The resulting domain of integration in  $(\kappa, \theta)$  is defined by the inequalities:

$$\begin{aligned}
 -1 &\leq \kappa \leq 1; \\
 -\frac{1-\kappa}{2} &\leq \theta \leq \frac{1-\kappa}{2}; \\
 \frac{-1+\xi+2w}{1+\xi} &\leq \kappa \leq \frac{1-\xi+2w}{1+\xi}; \\
 \frac{\kappa}{2} - \frac{1}{1+\xi} \left( w - 2v + \frac{1-\xi}{2} \right) &\leq \theta \leq -\frac{\kappa}{2} + \frac{1}{1+\xi} \left( w + 2v + \frac{1-\xi}{2} \right).
 \end{aligned} \tag{B4}$$

Below, we summarize the explicit expressions for  $\pi N$  TDAs from the spectral representation (19) in the ERBL-like and DGLAP-like regions. Let us introduce the following notation for the integrand:

$$F(\dots) \equiv F\left(\kappa, \theta, \frac{\kappa(1+\xi)-2w}{1-\xi}, \frac{\theta(1+\xi)-2v}{1-\xi}\right). \tag{B5}$$

(1) For  $w \in [-1; -\xi]$  and  $v \in [\xi'; 1 - \xi' + \xi]$  (DGLAP-like type I domain):

$$H(w, v, \xi) = \frac{1}{(1 - \xi)^2} \int_{-1}^{(1-2v+w)/(1+\xi)} d\kappa \int_{(\kappa/2)-1/(1+\xi)(w-2v+(1-\xi)/2)}^{(1-\kappa)/2} d\theta F(\dots) \quad (\text{B6})$$

(2) For  $w \in [-1; -\xi]$  and  $v \in [-\xi'; \xi']$  (DGLAP-like type II domain):

$$H(w, v, \xi) = \frac{1}{(1 - \xi)^2} \int_{-1}^{(1-\xi+2w)/(1+\xi)} d\kappa \int_{(\kappa/2)-1/(1+\xi)(w-2v+(1-\xi)/2)}^{-(\kappa/2)+1/(1+\xi)(w+2v+(1-\xi)/2)} d\theta F(\dots) \quad (\text{B7})$$

(3) For  $w \in [-1; -\xi]$  and  $v \in [-1 + \xi' - \xi; -\xi']$  (DGLAP-like type I domain):

$$H(w, v, \xi) = \frac{1}{(1 - \xi)^2} \int_{-1}^{(1+2v+w)/(1+\xi)} d\kappa \int_{-(1-\kappa)/2}^{-(\kappa/2)+1/(1+\xi)(w+2v+(1-\xi)/2)} d\theta F(\dots). \quad (\text{B8})$$

(4) For  $w \in [-\xi; \xi]$  and  $v \in [\xi'; 1 - \xi + \xi']$  (DGLAP-like type II domain):

$$H(w, v, \xi) = \frac{1}{(1 - \xi)^2} \int_{(-1+\xi+2w)/(1+\xi)}^{(1-2v+w)/(1+\xi)} d\kappa \int_{(\kappa/2)-1/(1+\xi)(w-2v+(1-\xi)/2)}^{(1-\kappa)/2} d\theta F(\dots). \quad (\text{B9})$$

(5) For  $w \in [-\xi; \xi]$  and  $v \in [-\xi'; +\xi']$  (ERBL-like domain):

$$H(w, v, \xi) = \frac{1}{(1 - \xi)^2} \int_{(-1+\xi+2w)/(1+\xi)}^{(1-\xi+2w)/(1+\xi)} d\kappa \int_{(\kappa/2)-1/(1+\xi)(w-2v+(1-\xi)/2)}^{-(\kappa/2)+1/(1+\xi)(w+2v+(1-\xi)/2)} d\theta F(\dots).$$

(6) For  $w \in [-\xi; \xi]$  and  $v \in [-1 + \xi - \xi'; -\xi']$  (DGLAP-like type II domain):

$$H(w, v, \xi) = \frac{1}{(1 - \xi)^2} \int_{(-1+\xi+2w)/(1+\xi)}^{(1+2v+w)/(1+\xi)} d\kappa \int_{-(1-\kappa)/2}^{-(\kappa/2)+1/(1+\xi)(w+2v+(1-\xi)/2)} d\theta F(\dots). \quad (\text{B10})$$

(7) For  $w \in [\xi; 1]$  and  $v \in [-\xi'; 1 - \xi + \xi']$ , the result coincides with (B9) as it certainly should be, since this is the part of the same DGLAP-like type II domain.

(8) For  $w \in [\xi; 1]$  and  $v \in [\xi'; -\xi']$  (DGLAP-like type II domain):

$$H(w, v, \xi) = \frac{1}{(1 - \xi)^2} \int_{(-1+\xi+2w)/(1+\xi)}^1 d\kappa \int_{-(1-\kappa)/2}^{(1-\kappa)/2} d\theta F(\dots). \quad (\text{B11})$$

(9) For  $w \in [\xi; 1]$  and  $v \in [-1 + \xi - \xi'; \xi']$ , the result coincides with (B10), since this is the part of the same DGLAP-like type II domain.

### APPENDIX C: ON THE RELEVANT GENERALIZED FUNCTIONS

Sohotsky's formula (see *e.g.* Chapter II of [52]) reads:

$$\frac{1}{x \pm i0} = \mp i\pi\delta(x) + \mathcal{P}\frac{1}{x}, \quad (\text{C1})$$

where  $\mathcal{P}$  stands for the Cauchy principal value prescription. The generalized function  $\mathcal{P}\frac{1}{x}$  is then defined as  $\frac{d}{dx} \mathcal{P}\frac{1}{x} = -\mathcal{P}\frac{1}{x^2}$ . For an arbitrary test function  $\varphi(x)$ ,

$$\left(\mathcal{P}\frac{1}{x^2}, \varphi(x)\right) = \mathcal{P} \int dx \frac{\varphi(x) - \varphi(0)}{x^2}. \quad (\text{C2})$$

Employing (C1) and (C2) one can establish the familiar relation:

$$\frac{d}{dx} \frac{1}{x \pm i0} = \mp i\pi\delta'(x) - \mathcal{P}\frac{1}{x^2}. \quad (\text{C3})$$

The formula (C3) concerns the conventional generalized functions dealing with the class of test functions defined on  $(-\infty; \infty)$  and sufficiently fast decreasing at the infinity. In our case, we have to consider a different class of generalized functions dealing with the test functions defined on the



interval  $[A; B]$  ( $A < 0, B > 0$  so that the singularity point  $x = 0$  belongs to the interval). Let  $\varphi(x)$  be a test function defined on the interval  $[A; B]$ . Then

$$\begin{aligned} & \int_A^B \left( \frac{d}{dx} \left( \mathcal{P} \frac{1}{x} \right), \varphi(x) \right) \\ & \equiv \left( \frac{d}{dx} \left( \mathcal{P} \frac{1}{x} \right), \varphi(x) \right)_{[A,B]} \\ & = \frac{1}{x} \varphi(x) \Big|_{x=A}^{x=B} - \lim_{\epsilon \rightarrow 0} \left( \int_A^{-\epsilon} + \int_{\epsilon}^B \right) dx \frac{\varphi'(x)}{x}. \end{aligned} \quad (\text{C4})$$

Let us consider the integral term at the right-hand side of Eq. (C4):

$$\begin{aligned} & \lim_{\epsilon \rightarrow 0} \left( \int_A^{-\epsilon} + \int_{\epsilon}^B \right) dx \frac{\varphi'(x)}{x} \\ & = \lim_{\epsilon \rightarrow 0} \left( \int_A^{-\epsilon} + \int_{\epsilon}^B \right) dx \frac{\frac{d}{dx}(\varphi(x) - \varphi(0))}{x} \\ & = \frac{1}{x} (\varphi(x) - \varphi(0)) \Big|_{x=A}^{x=B} + \mathcal{P} \int_A^B dx \frac{1}{x} \frac{\varphi(x) - \varphi(0)}{x}. \end{aligned} \quad (\text{C5})$$

Thus we conclude that

$$\begin{aligned} & \left( \mathcal{P} \frac{1}{x^2}, \varphi(x) \right)_{[A,B]} \\ & \equiv - \left( \frac{d}{dx} \left( \mathcal{P} \frac{1}{x} \right), \varphi(x) \right)_{[A,B]} \\ & = - \varphi(0) \frac{1}{x} \Big|_{x=A}^{x=B} + \mathcal{P} \int_A^B dx \frac{1}{x} \frac{\varphi(x) - \varphi(0)}{x}. \end{aligned} \quad (\text{C6})$$

So finally we establish the following relation:

$$\begin{aligned} & \left( \frac{1}{(x \pm i0)^2}, \varphi(x) \right)_{[A,B]} = \pm i \pi (\delta'(x), \varphi(x))_{[A,B]} \\ & \quad + \left( \mathcal{P} \frac{1}{x^2}, \varphi(x) \right)_{[A,B]} \\ & = \mp i \pi \varphi'(0) + \varphi(0) \frac{(B-A)}{AB} \\ & \quad + \mathcal{P} \int_A^B dx \frac{1}{x} \frac{\varphi(x) - \varphi(0)}{x}, \end{aligned} \quad (\text{C7})$$

that represents the version of (C3) adopted for the use on the finite interval.

#### APPENDIX D: CALCULATION OF THE CONVOLUTION INTEGRALS

In the calculation of  $\text{Re}I_I^{(\pm, \pm)}(\xi)$  and  $\text{Re}I_{II}^{(-, \pm)}(\xi)$ , we encountered the following double principal value integrals:

$$\mathcal{P} \int_{-1}^1 dw \frac{1}{(w \pm \xi)} \mathcal{P} \int_{-1+|\xi-\xi'|}^{1-|\xi-\xi'|} dv \frac{1}{(v \pm \xi')} H(w, v, \xi); \quad (\text{D1})$$

$$\mathcal{P} \int_{-1}^1 dw \frac{1}{(w - \xi)^2} \mathcal{P} \int_{-1+|\xi-\xi'|}^{1-|\xi-\xi'|} dv \frac{1}{(v \pm \xi')} H(w, v, \xi). \quad (\text{D2})$$

We propose here a strategy of computation of these integrals once  $H(w, v, \xi)$  is parameterized with the help of the spectral representation (19) with the use of the factorized Ansatz (20). The procedure generalizes the way of proceeding with the principal value integrals when computing the real part of the elementary DVCS amplitude with GPDs parameterized through Radyushkin's factorized Ansatz. The following steps are to be performed:

- (1) By interchanging the order of integration in (D1) and (D2),  $w$  and  $v$  integrals may be computed using the two delta functions. One is left with four integrations over the spectral parameters.
- (2) After suitable change of variables, two principal value integrations can be performed analytically.
- (3) The double integration over the remaining two spectral parameters is performed numerically. The corresponding integrands possess only logarithmic singularities which are perfectly integrable. In this way, we managed to reduce the problem of performing highly singular principal value integrals (D1) and (D2) to much less singular integration. This allows us to construct a stable and reliable numerical procedure.

We present below the results for the principal value integral (D1) for the case of the factorized Ansatz (20) with the profile  $h(\mu, \lambda)$ , given by Eq. (24).

$$\begin{aligned} & \mathcal{P} \int_{-1}^1 dw \frac{1}{(w \pm \xi)} \mathcal{P} \int_{-1+|\xi-\xi'|}^{1-|\xi-\xi'|} dv \frac{1}{(v \pm \xi')} H(w, v, \xi) \\ & = \frac{60\eta^{(\pm, \pm)}}{(1-\xi)^5} \int_{-1}^1 d\kappa \int_{-(1-\kappa)/2}^{(1-\kappa)/2} d\theta Z_1(a^{(\pm, \pm)}(\kappa, \theta, \xi), b^{(\pm, \pm)}(\kappa, \theta, \xi), c^{(\pm)}(\kappa, \xi)) V(\kappa, \theta). \end{aligned} \quad (\text{D3})$$

Here,  $\eta$  is the sign factor:

$$\eta^{(\pm, +)} = 1; \quad \eta^{(\pm, -)} = -1. \quad (\text{D4})$$

The coefficient functions  $a^{(\pm,\pm)}$ ,  $b^{(\pm,\pm)}$  are defined as follows:

$$a^{(\pm,+)}(\kappa, \theta, \xi) = \frac{1}{2} \left( \frac{1-\kappa}{2} + \theta \right) (1 + \xi); \quad a^{(\pm,-)}(\kappa, \theta, \xi) = \frac{1}{2} \left( \frac{1-\kappa}{2} - \theta \right) (1 + \xi); \quad (\text{D5})$$

$$\begin{aligned} b^{(-,+)}(\kappa, \theta, \xi) &= -\frac{1}{2} \left( \frac{1-\kappa}{2} - \theta \right) (1 + \xi); & b^{(-,-)}(\kappa, \theta, \xi) &= -\frac{1}{2} \left( \frac{1-\kappa}{2} + \theta \right) (1 + \xi); \\ b^{(+,+)}(\kappa, \theta, \xi) &= 2\xi - \frac{1}{2} \left( \frac{1-\kappa}{2} - \theta \right) (1 + \xi); & b^{(+,-)}(\kappa, \theta, \xi) &= 2\xi - \frac{1}{2} \left( \frac{1-\kappa}{2} + \theta \right) (1 + \xi). \end{aligned} \quad (\text{D6})$$

The first (second) sign in the indices of  $\eta^{(\pm,\pm)}$ ,  $a^{(\pm,\pm)}$ ,  $b^{(\pm,\pm)}$  corresponds to that in the  $w \pm \xi$  ( $v \pm \xi'$ ) denominator in (D1) respectively. The coefficient functions  $c^{(\pm)}$  are defined as

$$c^{(\pm)}(\kappa, \xi) = \frac{1}{2} (\kappa(1 + \xi) + (1 - \xi) \pm 2\xi). \quad (\text{D7})$$

The sign in the index corresponds to the one in the  $w \pm \xi$  denominator of (D1).

The explicit expression for  $Z_1(a, b, c)$  in (D3) reads:

$$\begin{aligned} Z_1(a, b, c) &= -\frac{a^3}{6} + ba^2 + \frac{3ca^2}{2} - \frac{b^2a}{2} + \frac{3c^2a}{2} - \frac{b^3}{3} - \frac{c^3}{6} + bc^2 - \frac{b^2c}{2} + \left( \frac{ab^2}{2} - \frac{ac^2}{2} \right) \log \left( \frac{(b-c)^2}{a^2} \right) \\ &\quad - \left( \frac{a^2c}{2} - \frac{b^2c}{2} \right) \log \left( \frac{(a-b)^2}{c^2} \right) + abc \left( \frac{1}{2} \log \left( \frac{a^2}{b^2} \right) \log \left( \frac{(a-b)^2}{c^2} \right) - \log \left( 1 - \frac{a}{b} \right) \log \left( \frac{a^2}{b^2} \right) - 2\text{Li}_2 \left( \frac{a}{b} \right) \right. \\ &\quad \left. + \log \left( \frac{(b-c)^2}{b^2} \right) \log \left( \frac{c}{b} \right) + 2\text{Li}_2 \left( 1 - \frac{c}{b} \right) \right), \end{aligned} \quad (\text{D8})$$

where  $\text{Li}_2(z)$  is the usual dilogarithm function

$$\text{Li}_2(z) = -\int_0^z dz \frac{\log(1-z)}{z}. \quad (\text{D9})$$

One may check, that for real  $a, b$  and  $c$ , no imaginary part appears in (D8) as it should be, since it is the result of integration of a real function over a real interval. The imaginary part occurring from dilogarithms for  $\frac{a}{b} > 1$  and  $\frac{c}{b} < 0$  is exactly canceled by the imaginary parts stemming from logarithms in the last line of (D8) due to the well known property of dilogarithm:

$$\text{Im}(\text{Li}_2(z + i0)) = -\pi \log z \quad \text{for } z > 1. \quad (\text{D10})$$

We now turn to the second principal value integral (D2). For the factorized Ansatz (20), with the profile  $h(\mu, \lambda)$ , given by Eq. (24) the result reads

$$\begin{aligned} \mathcal{P} \int_{-1}^1 dw \frac{1}{(w - \xi)^2} \mathcal{P} \int_{-1+|\xi-\xi'|}^{1-|\xi-\xi'|} dv \frac{1}{(v \pm \xi')} H(w, v, \xi) \\ = \frac{60\eta^{(-,\pm)}}{(1-\xi)^5} \int_{\kappa_0}^1 d\kappa \int_{-(1-\kappa)/2}^{(1-\kappa)/2} d\theta Z_2(a^{(-,\pm)}(\kappa, \theta, \xi), b^{(-,\pm)}(\kappa, \theta, \xi), c^{(-)}(\kappa, \xi)) V(\kappa, \theta) \\ + \frac{60\eta^{(+,\pm)}}{(1-\xi)^5} \int_{-1}^{\kappa_0} d\kappa \int_{-(1-\kappa)/2}^{(1-\kappa)/2} d\theta \tilde{Z}_2(a^{(+,\pm)}(\kappa, \theta, \xi), b^{(+,\pm)}(\kappa, \theta, \xi), c^{(-)}(\kappa, \xi)) V(\kappa, \theta), \end{aligned} \quad (\text{D11})$$

where  $\eta^{(-,\pm)}$ ,  $a^{(-,\pm)}$ ,  $b^{(-,\pm)}$ ,  $c^{(-)}$  are given by (D4)–(D7) and  $\kappa_0$  is defined by the equation  $c^{(-)}(\kappa_0, \xi) = 0$ :

$$\kappa_0 = \frac{-1 + 3\xi}{1 + \xi}. \quad (\text{D12})$$

The explicit expressions for  $Z_2(a, b, c)$  and  $\tilde{Z}_2(a, b, c)$  read

$$\begin{aligned}
 Z_2(a, b, c) = & -\frac{1}{2}(a-b+c)(5a+3b+c) + (a-b)c \log((a-b)^2) - \frac{ac(a \log(a^2) - b \log(b^2))}{a-b} \\
 & + \frac{1}{2}(a^2 - b^2) \log\left(\frac{(a-b)^2}{c^2}\right) + ab \log\left(\frac{b^2}{c^2}\right) + bc \log(c^2) + a(b-c) \log\left(\frac{a^2 c^2}{(b-c)^4}\right) \\
 & - \frac{1}{2}a(b+c) \log\left(\frac{a^2}{b^2}\right) \log\left(\frac{(b-a)^2}{c^2}\right) + a(b+c) \left( \log\left(1 - \frac{a}{b}\right) \log\left(\frac{a^2}{b^2}\right) - \log\left(\frac{c}{b}\right) \log\left(\left(1 - \frac{c}{b}\right)^2\right) \right) \\
 & + 2\text{Li}_2\left(\frac{a}{b}\right) - 2\text{Li}_2\left(1 - \frac{c}{b}\right); \\
 \tilde{Z}_2(a, b, c) = & Z_2(a, b, c) + \left(\frac{1}{a-b} + \frac{1}{c}\right)c \left(b^2 - a^2 + ab \log\left(\frac{a^2}{b^2}\right)\right). \tag{D13}
 \end{aligned}$$

To complete the calculation of the real and imaginary parts of  $I_{II}^{(-,\pm)}$  (42), we also present the explicit expression for  $\left(\frac{dH(w, \mp \xi', \xi)}{dw}\right)_{w=\xi}$  and  $\left(\frac{dJ^{(\pm)}(w, \xi)}{dw}\right)_{w=\xi}$  (see Eq. (41)).

Using the formulas summarized in Appendix B 2 one may check that

$$\begin{aligned}
 \left(\frac{dH(w, \mp \xi', \xi)}{dw}\right)_{w=\xi} = & \frac{1}{(1-\xi)^3} \int_{\kappa_0}^1 d\kappa \int_{-(1-\kappa)/2}^{(1-\kappa)/2} d\theta 4V(\kappa, \theta) \left\{ \mp h^{(0,1)}\left(\frac{\kappa(1+\xi) - 2\xi}{1-\xi}, \frac{\theta(1+\xi)}{1-\xi}\right) \right. \\
 & \left. - 2h^{(1,0)}\left(\frac{\kappa(1+\xi) - 2\xi}{1-\xi}, \frac{\theta(1+\xi)}{1-\xi}\right) \right\}, \tag{D14}
 \end{aligned}$$

where  $h^{(1,0)}(\mu, \lambda) \equiv \frac{\partial}{\partial \mu} h(\mu, \lambda)$ ;  $h^{(0,1)}(\mu, \lambda) \equiv \frac{\partial}{\partial \lambda} h(\mu, \lambda)$  and we use the fact that  $h$  vanishes at the border of its domain of definition:  $h(-1, \lambda) = 0$ .

Finally,

$$\left(\frac{dJ^{(\pm)}(w, \xi)}{dw}\right)_{w=\xi} = \frac{60\eta^{(-,\pm)}}{(1-\xi)^5} \int_{\kappa_0}^1 d\kappa \int_{-(1-\kappa)/2}^{(1-\kappa)/2} d\theta V(\kappa, \theta) Z_3(a^{(-,\pm)}(\kappa, \theta, \xi), b^{(-,\pm)}(\kappa, \theta, \xi), c^{(-)}(\kappa, \xi)), \tag{D15}$$

where

$$Z_3(a, b, c) = (a-b)(a+b+2c) - a(b+c) \log\left(\frac{a^2}{b^2}\right). \tag{D16}$$

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