# Can the renormalization group improved effective potential be used to estimate the Higgs mass in the conformal limit of the standard model?

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We consider the effective potential  $V$  in the standard model with a single Higgs doublet in the limit that the only mass scale  $\mu$  present is radiatively generated. Using a technique that has been shown to determine V completely in terms of the renormalization group (RG) functions when using the Coleman-Weinberg renormalization scheme, we first sum leading-log (LL) contributions to V using the one loop RG functions, associated with five couplings (the top quark Yukawa coupling x, the quartic coupling of the Higgs field y, the  $SU(3)$  gauge coupling z, and the  $SU(2) \times U(1)$  couplings r and s). We then employ the two loop RG functions with the three couplings  $x, y, z$  to sum the next-to-leading-log (NLL) contributions to V and then the three to five loop RG functions with one coupling y to sum all the  $N^2LL \dots N^4LL$ contributions to V. In order to compute these sums, it is necessary to convert those RG functions that have been originally computed explicitly in the minimal subtraction scheme to their form in the Coleman-Weinberg scheme. The Higgs mass can then be determined from the effective potential: the LL result is  $m_H = 219 \text{ GeV}/c^2$  and decreases to  $m_H = 188 \text{ GeV}/c^2$  at  $N^2LL$  order and  $m_H = 163 \text{ GeV}/c^2$  at  $N^4LL$ order. No reasonable estimate of  $m_H$  can be made at orders  $V_{NLL}$  or  $V_{N^3LL}$  since the method employed gives either negative or imaginary values for the quartic scalar coupling. The fact that we get reasonable values for  $m_H$  from the LL,  $N^2LL$ , and  $N^4LL$  approximations is taken to be an indication that this mechanism for spontaneous symmetry breaking is in fact viable, though one in which there is slow convergence towards the actual value of  $m_H$ . The mass 163 GeV/ $c^2$  is argued to be an upper bound on  $m_H$ .

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## I. INTRODUCTION

The leading-logarithm (LL) contribution to the effective potential V in the standard model in which there is a single scalar field and no mass scale in the classical limit, has been used to estimate the Higgs mass to be  $m_H$  = 224 GeV/ $c^2$  [\[1](#page-17-0)]. Subsequent investigations indicate that contributions beyond LL to V do not destabilize this result [\[2\]](#page-17-1). In this paper we propose to significantly improve the methods used in Refs. [[1](#page-17-0),[2\]](#page-17-1) and compute the resulting modification to the estimate of  $m_H$ . The value of  $m_H$ obtained using these improvements is much more realistic.

Since these results were obtained, it has been established that when the Coleman-Weinberg (CW) renormalization scheme is used to compute V, all  $N<sup>p</sup>LL$  contributions to V can be computed using the  $(p + 1)$  loop renormalization group (RG) functions when there is a single scalar field  $\phi$  without a classical mass term for this scalar in the action [\[3](#page-17-2)].

We first show how these techniques can be used to refine the approach of [\[1](#page-17-0)[,2](#page-17-1)]. In doing so, we overcome several shortcomings of the original calculation. First of all, the RG functions we use are those appropriate to the CW renormalization scheme, not the minimal subtraction (MS) scheme. This conversion from the MS scheme (in which the RG functions were originally computed) to the CW scheme was not carried out in [\[1,](#page-17-0)[2\]](#page-17-1). Next, we show how the  $N<sup>p</sup>LL$  contributions to V can be expressed exactly in terms of the  $(p + 1)$  loop CW RG functions. This shows that once the  $(p + 1)$  loop CW RG functions are known, we have an exact expression for the  $(p + 1)$  loop contributions to V without having to compute any Feynman diagrams and, in addition, we can sum all the  $N<sup>p</sup>LL$ contributions to V coming from all orders in the loop expansion. In [\[1](#page-17-0)[,2\]](#page-17-1) these contributions were only given as a power series in the couplings  $x$ ,  $z$ ,  $r$  and  $s$ . Finally, we compute the counterterm that takes into account all logindependent contributions to V beyond the  $N<sup>p</sup>LL$  order in a more consistent way than was done in [[1,](#page-17-0)[2\]](#page-17-1); rather than [\\*C](#page-0-1)orresponding author: tom.sherry@nuigalway.ie fixing this counterterm by the LL calculation and then

<span id="page-0-0"></span>

using this value at higher order, we determine the value of this counterterm at each order separately thereby taking into account how the value of the coupling y is adjusted. It is the methods of Ref. [[3\]](#page-17-2) that allow us to fix all logindependent contributions to  $V$  in terms of the RG functions when using the CW scheme. Our analytic approach supplements numerical techniques for investigating V using the RG equation (see e.g., Ref. [[4](#page-17-3)]).

In the next section we review how  $N<sup>p</sup>LL$  contributions to V can be computed in terms of the RG functions when the CW renormalization scheme is used, first considering the case in which there is a single  $O(N)$  scalar field with only a quartic self coupling and no classical mass term in the Lagrangian. The only mass scale in such a theory is radiatively induced. This is then extended so that the scalar couples to other fields (both vectors and spinors). The details of the solution at NLL are presented in Appendix [A](#page-12-0) along with an explanation of how the methodology can be extended to  $N^2LL$  and higher-order. Appendix [B](#page-15-0) presents a method of computing terms in the derivative expansion of the one loop effective action.

We have employed the CW renormalization scheme, as in this scheme all logarithmic dependence on the external field comes through a single form of logarithm,  $\ln(\phi^2/\mu^2)$ . Having this single logarithm simplifies the ansatz we make for V when there are multiple couplings (see Eq.  $(19)$ ) below), making it possible to find V in terms of the CW RG functions. If there are multiple couplings (say  $x$  and  $y$ ) then both  $\ln(x\phi^2/\mu^2)$  and  $\ln(y\phi^2/\mu^2)$  arise when using the MS renormalization scheme. This complicates the ansatz one has for V, making it no longer feasible to find V in terms of the MS RG functions. Furthermore, one must compute the radiative corrections dependent on  $\phi$  to the kinetic term  $(\partial_{\mu}\phi)^2$  in the effective Lagrangian when determining the radiatively generated Higgs mass  $m<sub>H</sub>$ ; this is unknown (and presumably nontrivial) in the MS scheme, whereas in the CW scheme it is defined to be equal to one at the value of  $\phi$  that minimizes V. [See Eq. ([18\)](#page-3-1) below.] For these reasons we use the CW scheme in our analysis.

We also note that the inclusion of a quadratic mass term  $m^2 \phi^2$  for the  $O(4)$  scalar field in the classical action results in multiple forms of the logarithm occurring in the ansatz for V (see Ref. [\[5](#page-17-4)]) and also necessitates consideration of a ''cosmological term'' (see Ref. [\[6](#page-17-5)]). These factors considerably complicate employing the RG equation to find the  $N<sup>p</sup>LL$  contributions to V; we thus restrict ourselves to the classically conformal case  $m = 0$  as originally suggested in Ref. [\[7\]](#page-17-6).

We then discuss the conversion of the RG functions from the MS scheme, in which they have been originally computed, to the CW scheme, which is necessary to implement our procedure for computing the  $N<sup>p</sup>LL$  contribution to V. We finally apply these results to the simplest version of the standard model in which there is a single scalar which is an  $SU(2)$  doublet and which has no mass at the classical level. The resulting expression for the effective potential at  $N^4LL$  order leads to an estimate of 163 GeV/ $c^2$  for the mass of the Higgs Boson. We regard this as an upper limit on the Higgs mass as lower order calculations lead to estimates that are considerably higher than this. In any case, the proposal [[7](#page-17-6)] that the Higgs mechanism is a consequence of radiative corrections to the effective potential in the conformally invariant classical limit of the standard model is seen to be viable.

We note that the potential V being considered here is the sum of all one particle irreducible (1PI) diagrams with external scalar fields whose momentum vanishes. This 1PI potential has been argued to be distinct from the ''effective potential,'' a quantity shown in Ref. [\[8\]](#page-17-7) to be convex and real. The relationship between the 1PI potential and the effective potential is discussed in Refs. [\[9](#page-17-8),[10](#page-17-9)] and reviewed in Refs. [[11](#page-17-10)[–13\]](#page-17-11). However, resolution of the convexity problem continues to be debated in the literature (see Refs. [[14](#page-17-12)–[16](#page-17-13)]). The most recent examination of the convexity problem explores the distinctions between the Euclidean and Minkowskian formulations of the effective potential [\[17\]](#page-17-14).

Although our work adopts the conventional approach of ascribing physical meaning to the 1PI potential [[9](#page-17-8)[–12\]](#page-17-15), it is important to note that our Higgs mass predictions in the standard model rely upon only the local properties of the 1PI potential near the minimum as extracted from the RG equation. Since this minimum occurs at nonzero field values, the minimum corresponds to the qualitative nonperturbative form of a spontaneous symmetry breaking effective potential [\[18\]](#page-17-16) and provides the lower bound on the region where the effective potential and 1PI potential coincide [\[9](#page-17-8)[,10](#page-17-9)[,12\]](#page-17-15). Therefore our analysis is not in conflict with Ref. [[14](#page-17-12)], which argues that the 1PI and effective potential must agree near the minimum and advocates the use of RG methods.

Finally we note that nonperturbative approaches are not isolated from the convexity problem. For example, the constraint effective potential [[19](#page-17-17)] in lattice approaches is nonconvex at finite volumes [\[20\]](#page-17-18), and lattice results are found to agree with the perturbative 1PI potential in appropriate regions of parameter space [\[21\]](#page-17-19). Functional flows of the exact renormalization group can be used to calculate an effective average action [\[22,](#page-17-20)[23\]](#page-17-21) and convexity constrains the regulators used in various truncation schemes used in these methods  $[24]$ .<sup>1</sup> Other alternatives to the effective potential include the Gaussian effective potential [\[26\]](#page-17-23) which is well suited to variational techniques.

<sup>&</sup>lt;sup>1</sup>The average effective action is calculated for scalar QED in Ref. [\[25\]](#page-17-24).

## II. SUMMING LOGARITHMS IN THE EFFECTIVE POTENTIAL

<span id="page-2-8"></span><span id="page-2-7"></span>We begin by considering an  $O(N)$  scalar field  $\phi$  with a classical potential  $V_{\text{cl}}$ 

$$
V_{\rm cl} = \lambda \phi^4 = \pi^2 y \phi^4, \qquad (1)
$$

<span id="page-2-4"></span>where  $\lambda$  is the usual scalar coupling constant but y is more useful as it removes explicit factors of  $\pi^2$  in RG functions. The coupling y is renormalized so that the effective potential V satisfies the CW renormalization condition [\[7\]](#page-17-6)

$$
\left. \frac{d^4 V(\phi)}{d\phi^4} \right|_{\phi=\mu} = 24\pi^2 y \tag{2}
$$

<span id="page-2-1"></span>is satisfied. Radiative corrections to the effective potential [\[7,](#page-17-6)[11](#page-17-10)[,27](#page-17-25)[,28\]](#page-17-26) with this renormalization condition take the form

$$
V(y, \phi, \mu) = \pi^2 \sum_{n=0}^{\infty} \sum_{m=0}^{n} y^{n+1} T_{nm} L^m \phi^4, \qquad (3)
$$

<span id="page-2-0"></span>where  $L = \ln(\frac{\phi^2}{\mu^2})$ . In order that there be no net dependence on the renormalization scale parameter  $\mu$ , V must satisfy

$$
\mu \frac{dV}{d\mu} = 0 = \left(\mu \frac{\partial}{\partial \mu} + \beta(y) \frac{\partial}{\partial y} - \phi \gamma(y) \frac{\partial}{\partial \phi}\right) V, \quad (4)
$$

where

$$
\mu \frac{dy}{d\mu} = \beta(y) = \sum_{n=2}^{\infty} b_n y^n \tag{5}
$$

and

$$
\frac{\mu}{\phi} \frac{d\phi}{d\mu} = -\gamma(y) = -\sum_{n=1}^{\infty} g_n y^n.
$$
 (6)

The RG Eq.  $(4)$  $(4)$  $(4)$  and its solution for V in Eq.  $(3)$  $(3)$  corresponds to the situation where there is no quadratic term for the scalar field, consistently maintaining the massless nature of the theory. In particular, extension to massive theories is achieved by including a mass term and anomalous mass dimension into the RG equation [\(4](#page-2-0)) [see Ref. [\[6\]](#page-17-5) for an analysis of a single-component massive scalar theory]. It is therefore not necessary for us to impose the  $V''(\phi = 0) = 0$  renormalization condition used by Coleman & Weinberg [[7\]](#page-17-6) to eliminate quadratic divergences. Furthermore, vacuum graphs do not generate divergences that are eliminated by renormalization of the cosmological term [\[6](#page-17-5)]. As outlined below, we also do not introduce quadratic counterterms into the phenomenological analysis of V.

If now the  $N<sup>p</sup>LL$  contribution to V in Eq. ([3](#page-2-1)) is defined to be  $V_{N^pLL} = \pi^2 y^{p+1} S_p(yL) \phi^4$ , where

$$
S_n(yL) = \sum_{m=0}^{\infty} T_{n+m,m}(yL)^m
$$
 (7)

<span id="page-2-5"></span>so that

$$
V = \pi^2 \sum_{n=0}^{\infty} y^{n+1} S_n(yL) \phi^4,
$$
 (8)

<span id="page-2-2"></span>then Eq. ([4](#page-2-0)) is satisfied at order  $y^{n+2}$  provided  $S_n(\xi)$ satisfies

$$
\[(-2 + b_2 \xi) \frac{d}{d\xi} + b_2 - 4g_1\] S_0 = 0 \tag{9}
$$

<span id="page-2-3"></span>and

$$
\[(-2 + b_2 \xi) \frac{d}{d\xi} + (n+1)b_2 - 4g_1\]S_n
$$
  
+ 
$$
\sum_{m=0}^{n-1} \Biggl[ -2g_{n-m} + b_{n-m+2} \xi \frac{d}{d\xi}
$$
  
+ 
$$
(m+1)b_{n-m+2} - 4g_{n-m+1}\Biggr]S_m = 0 \quad (10)
$$

with the boundary condition

$$
S_n(0) = T_{n0}.\tag{11}
$$

Thus  $V$  can be determined by solving the coupled Eqs.  $(9)$ and [\(10\)](#page-2-3) provided the boundary values  $T_{n0}$  are known. These are fixed by the CW condition of Eq. [\(2](#page-2-4)); since  $L = 0$  when  $\phi = \mu$  Eqs. ([2\)](#page-2-4) and [\(8](#page-2-5)) together imply that

<span id="page-2-6"></span>
$$
24y = \sum_{k=0}^{\infty} y^{k+1} [16y^4 S_k'''(0) + 80y^3 S_k'''(0)
$$
  
+ 140y<sup>2</sup>S\_k''(0) + 100yS\_k'(0) + S\_k(0)]. (12)

Since  $g_1 = 0$ , together ([9\)](#page-2-2) and ([12](#page-2-6)) lead to

$$
T_{00} = 1,\t(13)
$$

$$
S_0(\xi) = \frac{1}{w},\tag{14}
$$

where  $w = 1 - \frac{1}{2}b_2 \xi$ . Equation ([12](#page-2-6)) then gives

$$
T_{10} = -\frac{25}{12}b_2\tag{15}
$$

so that Eq. ([10](#page-2-3)) can be solved when  $n = 1$ 

$$
S_1(\xi) = \frac{4g_2}{b_2 w} - \frac{4g_2 + \frac{25}{12}b_2^2}{b_2 w^2} - \frac{b_3}{b_2 w^2} \ln|w|
$$
  
=  $\frac{1}{4w} + \left(\frac{1}{4} \ln|w| - \frac{51}{4}\right) \frac{1}{w^2}$  (16)  
(for  $N = 4$ ).

This process can be continued indefinitely;  $S_p(\xi)$  can be determined in terms of  $b_2 \dots b_{p+2}, g_2 \dots g_{p+1}$  where these RG function coefficients are those appropriate to the CW scheme.

If in addition to y there are other couplings  $g_i(i =$  $1...N$ ) (Yukawa, gauge, etc.) in the theory then the CW renormalization condition ([2](#page-2-4)) must be supplemented by additional conditions. For example, in massless scalar electrodynamics in which a complex scalar  $\phi$  is coupled to a  $U(1)$  gauge field  $A<sub>\mu</sub>$  with coupling e, then the effective action takes the form [\[7](#page-17-6)]

$$
\Gamma = \int d^4x \Big[ -V(\phi) + \frac{1}{2} Z(\phi) |(\partial_\mu - ieA_\mu)\phi|^2
$$
  

$$
- \frac{1}{4} H(\phi)(\partial_\mu A_\nu - \partial_\nu A_\mu)^2 + \dots \Big].
$$
 (17)

<span id="page-3-1"></span>Infinities arise when computing  $V$ ,  $Z$  and  $H$  and so in addition to [\(2\)](#page-2-4) one requires renormalization conditions which we take to be

$$
H(\phi = \mu) = 1 = Z(\phi = \mu).
$$
 (18)

Application of the RG equation to determine higher-order corrections to  $Z(\phi)$  is discussed in Ref. [[29](#page-17-27)].

<span id="page-3-0"></span>Suppose that  $x$  and  $y$  are the only two couplings. (It is easy to extend our considerations to include more than two.) The expansion of Eq. [\(3](#page-2-1)) now generalizes to

$$
V = \pi^2 \sum_{n=1}^{\infty} \sum_{r=0}^{n+k} \sum_{k=0}^{\infty} T_{n+k-r,r,k} y^{n+k-r} x^r L^k \qquad (19)
$$

<span id="page-3-2"></span>and V satisfies the RG equation

$$
\left(\mu \frac{\partial}{\partial \mu} + \beta^x \frac{\partial}{\partial x} + \beta^y \frac{\partial}{\partial y} - \phi \gamma \frac{\partial}{\partial \phi}\right) V = 0. \quad (20)
$$

The RG functions are

$$
\beta^x = \mu \frac{dx}{d\mu} = \sum_{n=2}^{\infty} \beta_n^x = \sum_{n=2}^{\infty} \sum_{r=0}^n b_{n-r,r}^x x^r y^{n-r}, \qquad (21)
$$

$$
\beta^y = \mu \frac{dy}{d\mu} = \sum_{n=2}^{\infty} \beta^y_n = \sum_{n=2}^{\infty} \sum_{r=0}^n b^y_{n-r,r} x^r y^{n-r}, \qquad (22)
$$

<span id="page-3-5"></span>
$$
\gamma = -\frac{\mu}{\phi} \frac{d\phi}{d\mu} = \sum_{n=1}^{\infty} \gamma_n = \sum_{n=1}^{\infty} \sum_{r=0}^{n} g_{n-r,r} x^r y^{n-r}.
$$
 (23)

The  $N<sup>p</sup>LL$  contribution to V is now given by

$$
V_{N^pLL} = \pi^2 \sum_{k=0}^{\infty} p_{k+p+1}^k L^k \phi^4, \tag{24}
$$

<span id="page-3-10"></span>where

$$
p_n^k(x, y) = \sum_{r=0}^n T_{n-r,r,k} y^{n-r} x^r \qquad (n \ge k+1) \qquad (25)
$$

so that

$$
V = \sum_{p=0}^{\infty} V_{N^pLL}.
$$
 (26)

<span id="page-3-3"></span>The CW condition of Eq. ([2](#page-2-4)) now shows that for all  $n$ 

$$
24y\delta_{n0} = 24p_n^0 + 100p_n^1 + 280p_n^2 + 480p_n^3 + 384p_n^4.
$$
\n(27)

<span id="page-3-4"></span>Furthermore, the RG Eq. [\(20\)](#page-3-2) leads to

$$
\sum_{n=1}^{\infty} \sum_{k=0}^{n-1} \left[ -2kp_n^k L^{k-1} + \sum_{m=2}^{\infty} \left( \beta_m^x \frac{\partial}{\partial x} + \beta_m^y \frac{\partial}{\partial y} \right) p_n^k L^k - \sum_{m=1}^{\infty} \left( 4\gamma_m p_n^k L^k + 2k\gamma_m p_n^k L^{k-1} \right) \right] = 0.
$$
 (28)

Together,  $(27)$  $(27)$  $(27)$  and  $(28)$  $(28)$  $(28)$  fix V in terms of the CW RG functions.

<span id="page-3-6"></span>We employ a novel way of treating the sums in Eq.  $(24)$  $(24)$ , which involves using the method of characteristics [[3\]](#page-17-2). Beginning with the definition

$$
w_n^k(\bar{x}(t),\bar{y}(t),t)
$$

$$
= p_n^k(\bar{x}(t), \bar{y}(t)) \exp\biggl[-4\int_0^t \gamma_1(\bar{x}(\tau), \bar{y}(\tau))d\tau\biggr],\qquad(29)
$$

<span id="page-3-13"></span>where

$$
\frac{d\bar{x}(t)}{dt} = \beta_2^x(\bar{x}(t), \bar{y}(t)),\tag{30}
$$

$$
\frac{d\bar{y}(t)}{dt} = \beta_2^y(\bar{x}(t), \bar{y}(t))\tag{31}
$$

<span id="page-3-12"></span><span id="page-3-7"></span>with  $\bar{x}(0) = x$ ,  $\bar{y}(0) = y$  we find that

$$
\frac{d}{dt} w_n^k(\bar{x}, \bar{y}, t) = \left( \beta_2^x(\bar{x}, \bar{y}) \frac{\partial}{\partial \bar{x}} + \beta_2^y(\bar{x}, \bar{y}) \frac{\partial}{\partial \bar{y}} - 4\gamma_1(\bar{x}, \bar{y}) \right) w_n^k(\bar{x}, \bar{y}, t).
$$
\n(32)

<span id="page-3-8"></span>Equation [\(28\)](#page-3-4) is satisfied to order  $n - 1$  in L and  $n + 1$  in the couplings  $x$  and  $y$  provided

$$
p_{n+1}^n = \frac{1}{2n} \left( \beta_2^x \frac{\partial}{\partial x} + \beta_2^y \frac{\partial}{\partial y} - 4\gamma_1 \right) p_n^{n-1} \tag{33}
$$

<span id="page-3-14"></span><span id="page-3-11"></span>so that by Eqs. [\(29\)](#page-3-6), [\(32\)](#page-3-7), and ([33](#page-3-8))

$$
w_{n+1}^n(\bar{x}, \bar{y}, t) = \frac{1}{2n} \frac{d}{dt} w_n^{n-1}(\bar{x}, \bar{y}, t).
$$
 (34)

If now

<span id="page-3-9"></span>
$$
\bar{V}_{N^pLL}(\bar{x}, \bar{y}, t) = \pi^2 \sum_{k=0}^{\infty} w_{k+p+1}^k(\bar{x}, \bar{y}, t) L^k \phi^4 \qquad (35)
$$

so that if  $t = 0$ 

$$
\bar{V}_{N^pLL}(x, y, 0) = V_{N^pLL},\tag{36}
$$

then by [\(29\)](#page-3-6)

<span id="page-4-3"></span>
$$
\bar{V}_{LL}(\bar{x}(t), \bar{y}(t), t) = \pi^2 \sum_{n=0}^{\infty} \frac{L^n}{2^n n!} \frac{d^n}{dt^n} w_1^0(\bar{x}(t), \bar{y}(t), t) \phi^4
$$

$$
= \pi^2 w_1^0 \left( \bar{x} \left( t + \frac{L}{2} \right), \bar{y} \left( t + \frac{L}{2} \right), \frac{L}{2} \right) \phi^4
$$
(37)

and hence by [\(36\)](#page-3-9) we finally have a closed form expression for  $V_{LL}$ .

$$
V_{LL} = \pi^2 w_1^0 \left(\bar{x} \left(\frac{L}{2}\right), \bar{y} \left(\frac{L}{2}\right), \frac{L}{2}\right) \phi^4. \tag{38}
$$

The detailed computation of  $V_{NLL}$  presented in Appendix [A](#page-12-0) gives Eq. [\(A27](#page-14-0))

<span id="page-4-0"></span>
$$
V_{NLL} = \pi^2 \phi^4 \exp\left[-4 \int_0^{L/2} d\tau \gamma_1(\bar{x}^i(\tau))\right] \left\{ p_2^0 \left(\bar{x}^i \left(\frac{L}{2}\right)\right) + \int_0^{L/2} d\tau \left[(-\gamma_1(\bar{x}^i(\tau))\beta_2^{x^i}(\bar{x}^i(\tau)) + \beta_3^{x^i}(\bar{x}^i(\tau))\right) U_{ij}(0,\tau)\right] \times \left[ U_{jk} \left(\frac{L}{2}, 0\right) \frac{\partial}{\partial \bar{x}^k(\frac{L}{2})} p_1^0 \left(\bar{x}^i \left(\frac{L}{2}\right)\right) \right] + 4 \int_0^{L/2} d\tau \left[ \gamma_1^2(\bar{x}^i(\tau)) - \gamma_2(\bar{x}^i(\tau))\right] p_1^0 \left(\bar{x}^i \left(\frac{L}{2}\right)\right) \right\},\tag{39}
$$

<span id="page-4-4"></span>where by Eqs. ([27](#page-3-3)), ([33](#page-3-8)), and [\(A1\)](#page-12-1)

$$
p_1^0 = y,
$$
  $p_2^1 = \frac{1}{2}\beta_2^y - 2\gamma_1 y,$   $p_2^0 = -\frac{25}{6}p_2^1,$  (40)

and by Eqs.  $(A14)$  $(A14)$ – $(A18)$  $(A18)$ 

$$
\frac{d}{dt}\mathbf{U}(t,0) = \mathbf{U}(t,0)\mathbf{M},\tag{41}
$$

$$
\mathbf{U}^{-1}(t,0) = \mathbf{U}(0, t)
$$
  
=  $1 + \sum_{n=1}^{\infty} (-1)^n \int_0^t d\tau_1 ...$   
 $\times \int_0^{\tau_{n-1}} d\tau_n [\mathbf{M}(\tau_1) ... \mathbf{M}(\tau_n)]$  (42)

and

$$
\mathbf{M}_{ij} = \frac{\partial \beta_2^{x^j}}{\partial \bar{x}^i}.
$$
 (43)

The techniques used to find  $V_{NLL}$  in Eq. ([39](#page-4-0)) can be extended to obtain  $V_{N^2LL}$ . However, since the three loop RG functions needed for this extension have not been computed for the standard model, we will not pursue this calculation further.

We now will discuss how the CW RG functions can be found if the MS RG functions are known.

# III. FINDING THE COLEMAN-WEINBERG RENORMALIZATION GROUP FUNCTIONS

The RG functions have been computed using dimensional regularization and minimal subtraction to five loop order in an  $O(N)$  scalar theory [[30](#page-17-28)] and to two loop order in the standard model [[31](#page-17-29)]. We will now examine how from these known results one can find the RG functions in the CW renormalization scheme.

First, we quote the MS values of the  $O(N)$  scalar model of Eq. [\(1\)](#page-2-7) to five loop order [\[30\]](#page-17-28)

<span id="page-4-1"></span>
$$
\tilde{\beta}(y) = \frac{N+8}{2}y^2 - \frac{3}{4}(3N+14)y^3 + \frac{1}{64}[33N^2+922N+2960+96(5N+22)\zeta(3)]y^4
$$
  
\n
$$
-\frac{4}{3}\left(\frac{3}{2}\right)^5 \frac{y^5}{7776}[-5N^3+6320N^2+80456N+196648+96(63N^2+764N+2332)\zeta(3).
$$
  
\n
$$
-288(5N+22)(N+8)\zeta(4)+1920(2N^2+55N+186)\zeta(5)]]+\frac{4}{3}\left(\frac{3}{2}\right)^6 \frac{y^6}{124416}[13N^4+12578N^3+808496N^2+6646336N+13177344. +16(-9N^4+1248N^3+67640N^2+552280N+1314336)\zeta(3)
$$
  
\n
$$
+768(-6N^3-59N^2+446N+3264)\zeta^2(3)-288(63N^3+1388N^2+9532N+21120)\zeta(4)
$$
  
\n
$$
+256(305N^3+7466N^2+66986N+165084)\zeta(5).-9600(N+8)(2N^2+55N+186)\zeta(6)
$$
  
\n
$$
+112896(14N^2+189N+526)\zeta(7)]+O(y^7)
$$
  
\n(44)

<span id="page-4-2"></span>and

$$
\tilde{\gamma}(y) = \frac{N+2}{16}y^2 - \frac{(N+2)(N+8)}{128}y^3 + \left(\frac{3}{2}\right)^4 \frac{y^4}{5184}(N+2)[5(-N^2+18N+100)]
$$

$$
-\left(\frac{3}{2}\right)^5 \frac{y^5}{186624}(N+2)[39N^3+296N^2+22752N+77056
$$

$$
-48(N^3-6N^2+64N+184)\zeta(3)+1152(5N+22)\zeta(4)] + \mathcal{O}(y^6). \tag{45}
$$

We next provide the two loop RG functions in the standard model in which there is a single scalar doublet with no mass term for this field in the classical action. The quartic scalar coupling y appears in Eq. ([1](#page-2-7)); the other couplings are the top quark Yukawa coupling

$$
x = \frac{g_t^2}{4\pi^2} \tag{46}
$$

<span id="page-5-5"></span>the  $SU(3)$  coupling

$$
z = \frac{g_3^2}{4\pi^2} \tag{47}
$$

<span id="page-5-6"></span>and the  $SU(2) \times U(1)$  couplings

$$
r = \frac{g_2^2}{4\pi^2},
$$
\n(48)

$$
s = \frac{g_1^2}{4\pi^2}.
$$
 (49)

To two loop order the RG functions in this simplest version of the standard model [[31](#page-17-29)] in the MS renormalization scheme are

$$
\tilde{\beta}^{x} = \tilde{\mu} \frac{dx}{d\tilde{\mu}}
$$
\n
$$
= \left[\frac{9}{4}x^{2} - 4xz - \frac{9}{8}xr - \frac{17}{24}xs\right] + \left[-\frac{3}{2}x^{3} + \frac{131}{128}x^{2}s + \frac{225}{128}x^{2}r + \frac{9}{2}x^{2}z - \frac{3}{2}x^{2}y + \frac{1187}{1728}xs^{2}\right]
$$
\n
$$
- \frac{3}{32}xrs + \frac{19}{72}xsz - \frac{23}{32}xr^{2} + \frac{9}{8}xrz - \frac{27}{2}xz^{2} + \frac{3}{4}xy^{2}\right] + \dots,
$$
\n(50)

$$
\tilde{\beta}^{y} = \tilde{\mu} \frac{dy}{d\tilde{\mu}}
$$
\n
$$
= \left[ 6y^{2} + 3xy - \frac{3}{2}x^{2} - \frac{9}{4}yr - \frac{3}{4}ys + \frac{3}{32}s^{2} + \frac{3}{16}rs + \frac{9}{32}r^{2} \right] + \left[ -\frac{39}{2}y^{3} - 9xy^{2} + \frac{27}{4}y^{2}r + \frac{9}{4}y^{2}s - \frac{3}{16}x^{2}y + 5xyz + \frac{45}{32}xyr + \frac{85}{96}xys - \frac{73}{128}yr^{2} + \frac{39}{64}yrs + \frac{629}{384}ys^{2} + \frac{15}{8}x^{3} - 2x^{2}z - \frac{1}{6}x^{2}s - \frac{9}{64}xr^{2} + \frac{21}{32}xrs - \frac{19}{64}xs^{2} + \frac{305}{256}r^{3} - \frac{289}{768}r^{2}s - \frac{559}{768}rs^{2} - \frac{379}{768}s^{3} \right] + \dots,
$$
\n(51)

$$
\tilde{\beta}^z = \tilde{\mu} \frac{dz}{d\tilde{\mu}} = \left[ -\frac{7}{2} z^2 \right] + \left[ \frac{11}{48} s z^2 + \frac{9}{16} r z^2 - \frac{13}{4} z^3 - \frac{x z^2}{4} \right] + \dots,\tag{52}
$$

$$
\tilde{\beta}^r = \tilde{\mu} \frac{dr}{d\tilde{\mu}} = \left[ -\frac{19}{12} r^2 \right] + \left[ \frac{3}{16} r^2 s + \frac{35}{48} r^3 + \frac{3}{2} r^3 z - \frac{3}{16} x r^2 \right] + \dots,\tag{53}
$$

$$
\tilde{\beta}^s = \tilde{\mu} \frac{ds}{d\tilde{\mu}} = \left[\frac{41}{12}s^2\right] + \left[\frac{199}{144}s^3 + \frac{9}{16}rs^2 + \frac{11}{6}zs^2 - \frac{17}{48}xs^2\right] + ..., \tag{54}
$$

<span id="page-5-4"></span><span id="page-5-3"></span><span id="page-5-2"></span>and

$$
\tilde{\gamma} = -\frac{\tilde{\mu}}{\phi} \frac{d\phi}{d\tilde{\mu}} = \left[\frac{3}{4}x - \frac{9}{16}r - \frac{3}{16}s\right] + \left[\frac{3}{8}y^2 - \frac{27}{64}x^2 + \frac{5}{4}xz + \frac{45}{128}xr + \frac{85}{384}xs - \frac{271}{512}r^2 + \frac{9}{256}rs + \frac{41}{1536}s^2\right] + \dots
$$
\n(55)

 $\sqrt{2}$ 

In the case of there being only an  $O(N)$  scalar field  $\phi$ , we follow the procedure outlined in Refs. [\[3,](#page-17-2)[32\]](#page-17-30) to convert from the RG functions of Eqs. ([44](#page-4-1)) and ([45](#page-4-2)) to those appropriate to the CW scheme. In the MS scheme, the computation results in an expansion of V that is similar to that of Eq.  $(3)$  $(3)$ ,

<span id="page-5-0"></span>
$$
V = \pi^2 \sum_{n=0}^{\infty} \sum_{m=0}^{n} y^{n+1} \tilde{T}_{nm} \tilde{L}^m \phi^4, \qquad (56)
$$

<span id="page-5-1"></span>where now  $\tilde{L} = \ln(\frac{y\phi^2}{\tilde{\mu}^2})$ . If the RG scale  $\tilde{\mu}$  in the MS scheme is rescaled

$$
\tilde{\mu} = y^{1/2} \mu, \tag{57}
$$

where  $\mu$  is the RG scale in the CW scheme, then the form of the expansion of Eq. [\(56\)](#page-5-0) becomes that of Eq. ([3](#page-2-1)). Finite renormalizations of the form

$$
y \to y(1 + a_1y + a_2y^2 + \ldots), \tag{58}
$$

$$
\phi \to \phi (1 + b_1 y + b_2 y^2 + \ldots) \tag{59}
$$

may then be required to adjust the coefficients  $\tilde{T}_{n0}$  in Eq. ([56](#page-5-0)) so that the CW RG condition of Eq. [\(2](#page-2-4)) is satisfied, but this can be done without altering  $\tilde{T}_{nm}(m > 0)$  and hence the terms in V that fix the RG functions are not changed [\[33\]](#page-17-31).

<span id="page-6-0"></span>With the rescaling of Eq.  $(57)$ 

$$
\beta(y) = \mu \frac{\partial y}{\partial \mu} = (\tilde{\mu}y^{-1/2}) \left( \frac{\partial (y^{1/2} \mu)}{\partial \mu} \right) \frac{\partial y}{\partial \tilde{\mu}} \n= \tilde{\beta}(y)/(1 - \tilde{\beta}(y)/(2y))
$$
\n(60)

<span id="page-6-1"></span>and similarly

$$
\gamma(y) = \tilde{\gamma}(y)/(1 - \tilde{\beta}(y)/(2y)).
$$
 (61)

Equations ([60](#page-6-0)) and [\(61](#page-6-1)) allow one to pass from the MS RG functions of Eqs. ([44\)](#page-4-1) and [\(45\)](#page-4-2) to the CW RG functions.

It is somewhat more complicated to convert the RG functions of Eqs.  $(45)$ – $(50)$  to the CW scheme since more than one type of logarithm arises when V is computed using the MS renormalization scheme. A computation of V in the CW scheme would allow one to infer the CW RG functions, but to obtain in this way the RG functions to order *n*, one must compute *V* to order  $(n + 1)$  [[33](#page-17-31)]. Since *V* in the standard model has only been computed to second order [[34](#page-17-32)] one cannot determine the CW RG functions to two loop order from V directly; other contributions to the effective action must be considered.

Suppose the couplings in a theory are  $g_i$  (with  $g_i =$  $(x, y, z, r, s)$  in the standard model) and that there is one scalar field  $\phi$ . When computing V using MS, logarithms of the form  $\tilde{L}_i = \ln(g_i \phi^2 / \tilde{\mu}^2)$  arise. At one loop order in MS, only these types of logarithms occur; beyond one loop order other more complicated logarithms arise [\[34\]](#page-17-32) but do not affect our discussion of how the MS and CW RG functions are related at two loop order. As in Refs. [\[3](#page-17-2),[5](#page-17-4)] we associate a separate renormalization scale  $\kappa_i$  with each of these logarithms so that now

$$
\tilde{L}_i = \ln\left(\frac{g_i \phi^2}{\kappa_i^2}\right). \tag{62}
$$

<span id="page-6-7"></span>A rescaling similar to that of Eq. [\(57\)](#page-5-1)

$$
\kappa_i = g_i^{1/2} \mu \tag{63}
$$

<span id="page-6-3"></span>leads to

$$
\beta^{g_i} = \mu \frac{\partial g_i}{\partial \mu} = \sum_j \tilde{\beta}_j^{g_i} \left( 1 + \frac{\beta^{g_j}}{2g^j} \right),\tag{64}
$$

$$
\gamma = -\frac{\phi}{\mu} \frac{\partial \phi}{\partial \mu} = \sum_{j} \tilde{\gamma}_{j} \left( 1 + \frac{\beta^{g_{j}}}{2g^{j}} \right),\tag{65}
$$

<span id="page-6-2"></span>where

$$
\tilde{\beta}_j^{g_i} = \kappa_j \frac{\partial g_i}{\partial \kappa_j},\tag{66}
$$

$$
\tilde{\gamma}_j = -\frac{\kappa_j}{\phi} \frac{\partial \phi}{\partial \kappa_j}.
$$
\n(67)

<span id="page-6-4"></span>Again,  $\mu$  is the CW mass parameter. We also see that

$$
\tilde{\beta}^{g_i} = \sum_j \tilde{\beta}_j^{g_i},\tag{68}
$$

$$
\tilde{\gamma} = \sum_{j} \tilde{\gamma}_{j},\tag{69}
$$

where  $\tilde{\beta}^{g_i}$  and  $\tilde{\gamma}$  are the MS RG functions.

We now will use Eqs. [\(64](#page-6-2)) and ([65\)](#page-6-3) to find the CW RG functions to two loop order in the standard model, restricting ourselves to the limiting case in which only the three dominant couplings  $g_1 = x$ ,  $g_2 = y$  and  $g_3 = z$  are considered. If we use Roman numeral subscripts with the RG functions to denote the number of coupling constants present in a perturbative expansion (e.g.,  $\tilde{\beta}_{1II}^{x}$  is the term in the expansion of the  $\beta$  function for x in the MS scheme associated with the mass scale  $\kappa_1$  that has two powers of the coupling), then by Eqs.  $(64)$ – $(67)$  $(67)$  $(67)$  we see that

$$
\beta_{II}^{g_i} = \tilde{\beta}_{II}^{g_i},\tag{70}
$$

$$
\gamma_I = \tilde{\gamma}_I; \tag{71}
$$

<span id="page-6-10"></span><span id="page-6-6"></span>that is at lowest order the RG functions in the CW and MS schemes are the same. It also follows that

$$
\beta_{III}^{g_i} = \tilde{\beta}_{III}^{g_i} + \sum_{j} \frac{\tilde{\beta}_{jII}^{g_i} \beta_{II}^{g_j}}{2g_j},
$$
\n(72)

$$
\gamma_{II} = \tilde{\gamma}_{II} + \sum_{j} \frac{\tilde{\gamma}_{jl} \beta_{II}^{g_j}}{2g_j}.
$$
 (73)

<span id="page-6-5"></span>Equations [\(72](#page-6-5)) and [\(73](#page-6-6)) show that apart from standard RG functions, only the one loop multiscale RG quantities  $\tilde{\beta}_{jII}^{g_i}$ and  $\tilde{\gamma}_{jI}$  are needed to obtain the two loop CW RG functions  $\beta_{III}^{g_i}$  and  $\gamma_{II}$ .

To find  $\tilde{\gamma}_{iI}$  we note that the one loop scalar self energy in the standard model (with no classical mass term for the scalar and just the couplings  $x$ ,  $y$  and  $z$ ) only has a contribution coming from the top quark loop. Consequently the term  $Z(\phi)(\partial_{\mu}\phi)^2$  in the effective action only receives a logarithmic contribution of the form  $\ln(x\phi^2/\tilde{\mu}^2)$  and so we see that

$$
\tilde{\gamma}_{1I} = \tilde{\gamma}_I, \tag{74}
$$

$$
\tilde{\gamma}_{2I} = \tilde{\gamma}_{3I} = 0. \tag{75}
$$

<span id="page-6-9"></span><span id="page-6-8"></span>To obtain  $\tilde{\beta}_{1I}^y$ ,  $\tilde{\beta}_{2II}^y$  and  $\tilde{\beta}_{3II}^y$ , we note that at leading-log one loop order in the model we are considering [\[31\]](#page-17-29), V is given in the MS scheme by

<span id="page-7-0"></span>

$$
V = \pi^2 \left[ y + \left( 3y^2 \ln \frac{y \phi^2}{\tilde{\mu}^2} - \frac{3}{4} x^2 \ln \frac{x \phi^2}{\tilde{\mu}^2} \right) \right] \phi^4. \tag{76}
$$

If the RG equation of Eq. ([20](#page-3-2)) is to be satisfied for each of the three mass scales  $\kappa_i$  introduced in Eq. ([62](#page-6-7)), we find that consistency with Eqs. [\(74\)](#page-6-8) and ([75](#page-6-9)) occurs if

$$
\tilde{\beta}^{\,y}_{\,1II} = -\frac{3}{2}x^2 + 3xy,\tag{77}
$$

$$
\tilde{\beta}^{\,y}_{\,2II} = 6y^2,\tag{78}
$$

and

$$
\tilde{\beta}^{\,y}_{\,3II} = 0. \tag{79}
$$

Determining  $\tilde{\beta}_{1II}^z$ ,  $\tilde{\beta}_{2II}^z$  and  $\tilde{\beta}_{3II}^z$  is most easily done by considering the one loop contribution to the term  $-\frac{1}{4}H(\phi)F^2$  in the effective action where  $F^a_{\mu\nu}$  is the  $SU(3)$  field strength. As only a quark loop can contribute at one loop order to  $H(\phi)$ , then the only logarithmic

contribution to  $H(\phi)$  at one loop order is  $\ln(x\phi^2/\tilde{\mu}^2)$  in the MS scheme. However,  $H(\phi)$  dictates the function  $\tilde{\beta}^z$ on account of gauge invariance [[35](#page-17-33)] and so

$$
\tilde{\beta}_{1II}^z = \tilde{\beta}_{II}^z \tag{80}
$$

and

$$
\tilde{\beta} z_{II} = \tilde{\beta} z_{II} = 0. \tag{81}
$$

For  $\tilde{\beta}_{1II}^x$ ,  $\tilde{\beta}_{2II}^x$  and  $\tilde{\beta}_{3II}^x$  we note that the scalar-quarkquark vertex only receives a logarithmic contribution at one loop order of the form  $\ln(x\phi^2/\tilde{\mu}^2)$  and hence

$$
\tilde{\beta}_{1II}^x = \tilde{\beta}_{II}^x,\tag{82}
$$

$$
\tilde{\beta}^{\,x}_{\,2II} = \tilde{\beta}^x_{3II} = 0. \tag{83}
$$

Together, Eqs. ([74](#page-6-8))–([83\)](#page-7-0) result in Eqs. [\(72\)](#page-6-5) and [\(73\)](#page-6-6) yielding to two loop order in the CW scheme

$$
\beta^{x} = \left[\frac{9}{4}x^{2} - 4xz\right] + \left[-\frac{3}{2}x^{3} + \frac{9}{2}x^{2}z - \frac{3}{2}x^{2}y - \frac{27}{2}xz^{2} + \frac{3}{4}xy^{2}\right] + \frac{1}{2x}\left[\frac{9}{4}x^{2} - 4xz\right]^{2} + \dots
$$
  
=  $\left[\frac{9}{4}x^{2} - 4xz\right] + \left[\frac{33}{32}x^{3} - \frac{9}{2}x^{2}z - \frac{3}{2}x^{2}y + \frac{3}{4}xy^{2} - \frac{11}{2}xz^{2}\right] + \dots,$  (84)

$$
\beta^{y} = \left[ 6y^{2} + 3xy - \frac{3}{2}x^{2} \right] + \left[ -\frac{39}{2}y^{3} - 9xy^{2} - \frac{3}{16}x^{2}y + 5xyz + \frac{15}{8}x^{3} - 2x^{2}z \right] + \frac{1}{2x} \left[ -\frac{3}{2}x^{2} + 3xy \right] \left[ \frac{9}{4}x^{2} - 4xz \right]
$$
  
+ 
$$
\frac{1}{2y} \left[ 6y^{2} \right] \left[ 6y^{2} + 3xy - \frac{3}{2}x^{2} \right] + \dots
$$
  
= 
$$
\left[ 6y^{2} + 3xy - \frac{3}{2}x^{2} \right] + \left[ -\frac{3}{2}y^{3} + \frac{3}{16}x^{3} + x^{2}z - xyz - \frac{21}{16}x^{2}y \right] + \dots
$$
 (85)

<span id="page-7-3"></span><span id="page-7-2"></span>(which is the same result as is obtained from Eq. ([60](#page-6-0)) if  $x = z = 0$ )

$$
\beta^{z} = \left[ -\frac{7}{2}z^{2} \right] + \left[ -\frac{13}{4}z^{3} - \frac{1}{4}xz^{2} \right] + \frac{1}{2x} \left[ -\frac{7}{2}z^{2} \right] \left[ \frac{9}{4}x^{2} - 4xz \right] + \dots = \left[ -\frac{7}{2}z^{2} \right] + \left[ \frac{15}{4}z^{3} - \frac{67}{16}xz^{2} \right] + \dots \quad (86)
$$

<span id="page-7-4"></span>and

$$
\gamma = \left[\frac{3}{4}x\right] + \left[-\frac{27}{64}x^2 + \frac{3}{8}y^2 + \frac{5}{4}xz\right] + \frac{1}{2x}\left[\frac{3}{4}x\right]\left[\frac{9}{4}x^2 - 4xz\right] + \dots = \left[\frac{3}{4}x\right] + \left[\frac{27}{64}x^2 + \frac{3}{8}y^2 - \frac{xz}{4}\right] + \dots \tag{87}
$$

(Exact solutions for the one loop characteristic functions  $\bar{x}(t)$ ,  $\bar{y}(t)$ ,  $\bar{z}(t)$  appear in [[36](#page-17-34)].)

With these CW RG functions we can compute  $V_{NLL}$ using Eq. [\(39\)](#page-4-0) in the model we are considering.

#### <span id="page-7-5"></span>IV. APPLICATION TO THE STANDARD MODEL

We now show how the results of the previous two sections can be applied to the standard model in order to estimate the mass of the Higgs Boson. We only consider the case in which there is a single Higgs doublet with no classical mass term.

<span id="page-7-1"></span>As was pointed out in [\[1](#page-17-0),[2](#page-17-1)], there are three things to consider. First of all, we have the CW renormalization conditions of Eqs. ([2\)](#page-2-4) and ([18\)](#page-3-1). Next there is the stability condition

$$
\frac{d}{d\phi}V(\phi = \mu) = 0.
$$
\n(88)

This means that we identify  $\mu$  with the vacuum expectation value of  $\phi$ , that is  $\mu = 2^{-1/4} G_F^{-1/2}$ . Once these two requirements are satisfied, we can compute the Higgs mass by the formula

$$
m_H^2 = \frac{d^2 V(\phi = \mu)}{d\phi^2} / Z(\phi = \mu).
$$
 (89)

<span id="page-8-3"></span><span id="page-8-2"></span>With the renormalization condition of Eq. [\(18\)](#page-3-1) this just reduces to

$$
m_H^2 = \frac{d^2 V(\phi = \mu)}{d\phi^2}.
$$
\n
$$
(90)
$$

<span id="page-8-1"></span>If V is expanded in the form

$$
V = \sum_{p=0}^{\infty} V_{N^pLL},\tag{91}
$$

<span id="page-8-0"></span>where  $V_{NPLL}$  is the  $NPLL$  contribution to V, then we begin by estimating  $V$  by

$$
V_m = \sum_{p=0}^{m} V_{N^pLL} + \pi^2 K_m \phi^4.
$$
 (92)

The term  $\pi^2 K_m \phi^4$  in Eq. [\(92\)](#page-8-0) represents the parts of V coming from those terms in Eq. [\(91\)](#page-8-1) beyond  $N<sup>m</sup>LL$  which can be determined by imposing Eq. [\(2\)](#page-2-4)—the renormaliza-tion condition. As is discussed in Sec. [II](#page-2-8) above,  $V_{NPLL}$ can be determined in terms of the CW RG functions if they are known to  $p + 1$  loop order. From Sec. [II](#page-2-8) then,  $V_{LL}$ can be found using all five couplings  $(x, y, z, r, s)$ ,  $V_{NLL}$  can be found using the three couplings  $(x, y, z)$  and finally  $V_{N^2LL}$ ,  $V_{N^3LL}$  and  $V_{N^4LL}$  can be found using the single coupling y.

The role of  $K_m$  in Eq. [\(92\)](#page-8-0) is to ensure that the CW renormalization condition of Eq. [\(2](#page-2-4)) is satisfied. It is a "counterterm"; more explicitly in terms of the quantities  $p_n^k$  introduced in Eq. [\(25](#page-3-10)) [or the generalization of this expression to accommodate more than two couplings]

$$
K_m = \sum_{n=m+2}^{\infty} p_n^0.
$$
 (93)

Equations [\(12\)](#page-2-6) and ([27\)](#page-3-3) on their own only ensure that Eq. [\(2\)](#page-2-4) is satisfied up to a finite order  $m$  in the coupling constant expansion; the inclusion of the counterterm ensures that Eq. [\(2\)](#page-2-4) is satisfied to all orders. Once expressions for  $V_{LL} \dots V_{N^mLL}$  have been given in terms of the appropriate CW RG functions, there are still two unknowns: the counterterm  $K_m$  and the quartic scalar coupling y. These two are fixed by conditions [\(2](#page-2-4)) and [\(88\)](#page-7-1), then  $V_m$  is used in conjunction with Eq. ([90](#page-8-2)) to estimate  $m_H^2$ .

More explicitly,  $V_{LL}$  is given by Eq. [\(38\)](#page-4-3) with Eq. [\(29\)](#page-3-6) leading to

<span id="page-8-4"></span>
$$
V_{LL} = \pi^2 p_1^0 \left(\bar{x} \left(\frac{L}{2}\right), \bar{y} \left(\frac{L}{2}\right), \bar{z} \left(\frac{L}{2}\right), \bar{r} \left(\frac{L}{2}\right), \bar{y} \left(\frac{L}{2}\right)\right)
$$

$$
\times \exp\left[-4 \int_0^{L/2} d\tau \gamma_1(\bar{x}(\tau), \dots, \bar{s}(\tau))\right] \phi^4. \quad (94)
$$

We see by Eq. [\(27\)](#page-3-3),  $p_1^0 = y$  and by Eqs. ([55\)](#page-5-3) and ([71\)](#page-6-10),  $\gamma_1 = \frac{3}{4}x - \frac{9}{16}r - \frac{3}{16}s.$ 

When one computes derivatives of the characteristic functions  $\bar{x}(t) \dots \bar{s}(t)$  when evaluating  $V'_{LL}$ ,  $V''_{LL}$  and  $V'''_{LL}$ as required by Eqs. ([2\)](#page-2-4), ([8](#page-2-5)), and ([89](#page-8-3)) and Eqs. ([50](#page-5-2))–([54\)](#page-5-4), the one loop contributions to  $\tilde{\beta}^x \dots \tilde{\beta}^s$  in Eqs. ([84](#page-7-2))–[\(86\)](#page-7-3) are to be used since at one loop order the CW and MS RG functions are the same.

For  $V_{NLL}$  we need RG functions in the CW renormalization scheme to two loop order. These are given by Eqs. ([84](#page-7-2))–[\(86\)](#page-7-3) for the limiting case in which the standard model with only the three couplings  $(x, y, z)$  is being considered. These are used in conjunction with  $V_{NLL}$  in Eq. ([39](#page-4-0)). In this equation, we have

<span id="page-8-5"></span>
$$
w_1^0\left(\bar{x}\left(\frac{L}{2}\right), \bar{y}\left(\frac{L}{2}\right), \bar{z}\left(\frac{L}{2}\right)\right)
$$
  
=  $\bar{y}\left(\frac{L}{2}\right) \exp\left[-4 \int_0^{L/2} d\tau \left(\frac{3}{4}\bar{x}(\tau)\right)\right]$  (95)

and since by Eqs.  $(40)$  $(40)$  and  $(84)–(87)$  $(84)–(87)$  $(84)–(87)$  $(84)–(87)$ 

$$
p_1^0 = y
$$
,  $p_2^1 = 3y^2 - \frac{3}{4}x^2$ ,  $p_2^0 = -\frac{25}{6}p_2^1$ , (96)

<span id="page-8-6"></span>we also have

$$
w_2^0 = \left[ -\frac{25}{2} \bar{y}^2 \left( \frac{L}{2} \right) + \frac{25}{8} \bar{x}^2 \left( \frac{L}{2} \right) \right] \exp\left[ -4 \int_0^{L/2} d\tau \left( \frac{3}{4} \bar{x}(\tau) \right) \right].
$$
\n(97)

For consistency, the derivatives of  $\bar{x}(t)$ ,  $\bar{y}(t)$ ,  $\bar{z}(t)$  that arise when computing  $V_{NLL}$ ,  $V_{NLL}^{\prime\prime}$  and  $V_{NLL}^{\prime\prime\prime}$  are given by the one loop contributions to  $\beta^x$ ,  $\beta^y$ ,  $\beta^z$  occurring in Eqs. ([84](#page-7-2))–[\(86\)](#page-7-3).

Finally, for  $V_{N^2LL}$ ,  $V_{N^3LL}$  and  $V_{N^4LL}$  we have at our disposal only the CW RG functions associated with the single scalar coupling y. These RG functions are found by combining Eqs. [\(44\)](#page-4-1), [\(45](#page-4-2)), ([60](#page-6-0)), and ([61](#page-6-1)). Using them, the functions  $S_2 \ldots S_4$  appearing in Eq. [\(8](#page-2-5)) are given by

$$
S_2(\xi) = \frac{1}{4w} + \left(-\frac{175}{16} + \frac{1}{16}\ln|w| - \frac{21}{2}\zeta(3)\right)\frac{1}{w^2} + \left(\frac{1}{16}\ln^2|w| - \frac{103}{16}\ln|w| + \frac{3591}{16} + \frac{21}{2}\zeta(3)\right)\frac{1}{w^3},\tag{98}
$$

$$
S_{3}(\xi) = \left(-\frac{7}{8}\zeta(3) - \frac{1}{96}\right)\frac{1}{w} + \left(-\frac{7\pi^{4}}{40} + \frac{1}{16}\ln|w| + \frac{365}{4}\zeta(5) + \frac{1205}{64} + \frac{239}{8}\zeta(3)\right)\frac{1}{w^{2}} + \left(\frac{16363}{64} + \frac{\ln^{2}|w|}{64} - \frac{21}{4}\zeta(3)\ln|w| + 273\zeta(3) - \frac{351}{64}\ln|w|\right)\frac{1}{w^{3}} + \left(\frac{7\pi^{4}}{40} + \frac{1}{64}\ln^{3}|w| - \frac{239263}{48} - \frac{1733}{4}\zeta(3) + \frac{2719}{16}\ln|w| - \frac{311}{128}\ln^{2}|w| + \frac{63}{8}\zeta(3)\ln|w| - \frac{365}{4}\zeta(5)\right)\frac{1}{w^{4}},
$$
\n(99)

and

$$
S_{4}(\xi) = \left(-\frac{7\pi^{4}}{160} + \frac{45}{8}\zeta(3) - \frac{713}{768} + \frac{365}{32}\zeta(5)\right)\frac{1}{w} + \left[\frac{365\pi^{6}}{1008} - \frac{\ln|w|}{384} - \frac{3449}{6}\zeta(5) - \frac{4421}{24}\zeta(3) + \frac{139}{8}\zeta^{2}(3)\right] - \frac{36897}{32}\zeta(7) - \frac{7}{32}\zeta(3)\ln|w| - \frac{5347}{48} + \frac{337\pi^{4}}{320}\right]\frac{1}{w^{2}} + \left[-\frac{19325}{32}\zeta(3) - \frac{37595}{16}\zeta(5) - \frac{115387}{256}\right] + \frac{365}{8}\ln|w|\zeta(5) + \frac{441}{4}\zeta^{2}(3) + \frac{1203}{128}\ln|w| + \frac{1}{64}\ln^{2}|w| + \frac{239}{16}\ln|w|\zeta(3) + \frac{721\pi^{4}}{160} - \frac{7\pi^{4}}{80}\ln|w|\right]\frac{1}{w^{3}}
$$
  
+ 
$$
\left[-\frac{63}{32}\ln^{2}|w|\zeta(3) - \frac{1250731}{192} + \frac{1545}{8}\ln|w| + \frac{1}{256}\ln^{3}|w| - \frac{1323}{4}\zeta^{2}(3) + \frac{3297}{16}\ln|w|\zeta(3) - \frac{1055}{512}\ln^{2}|w|\right] - \frac{365}{16}\zeta(5) - \frac{119837}{16}\zeta(3) + \frac{7\pi^{4}}{160}\frac{1}{w^{4}} + \left[-\frac{365\pi^{6}}{1008} - \frac{3179\pi^{4}}{320} + \frac{7\pi^{4}}{40}\ln|w| + \frac{51712991}{384} + \frac{1625}{8}\zeta^{2}(3)\right] + \frac{1}{256}\ln^{4}|w| - \frac{13927}{32}\ln|w|\zeta(3) + \frac{36
$$

With one coupling, we have  $V_{N^pLL} = \pi^2 y^{p+1} S_p(yL) \phi^4$ for  $p = 2, 3, 4$ .

It is now possible to implement our program for determining the mass of the Higgs. This requires knowledge of  $x, z, r$  and s at the mass scale v. The couplings  $x, z, r$  and s are defined in terms of the Yukawa and gauge couplings  $g_t$ ,  $g_3$ ,  $g_2$  and  $g_1$  by Eqs. ([46](#page-5-5))–([49](#page-5-6)). These in turn are related to the measured quantities  $m_t$  (the top quark mass),  $\theta_w$  (the weak angle),  $M_W$  (the W- Boson mass),  $\alpha_s$  (the strong structure constant) and  $\alpha$  (the fine structure constant), all of which are known at the mass scale set by the Z Boson. These relations are

$$
x_0 = \frac{\alpha}{2\pi} \left( \frac{m_t}{M_W \sin \theta_w} \right)^2, \tag{101}
$$

$$
z_0 = \frac{\alpha_s}{\pi},\tag{102}
$$

$$
r_0 = \frac{\alpha}{\pi \sin^2 \theta_w},\tag{103}
$$

$$
s_0 = \frac{\alpha}{\pi \cos^2 \theta_w},\tag{104}
$$

<span id="page-9-4"></span><span id="page-9-3"></span>where the subscript 0 means that these are evaluated at the mass of the Z Boson. From the Particle Data Group [\[37\]](#page-17-35), at the mass of the Z Boson (91.1876 GeV/ $c^2$ ),  $\alpha = 1/128.91$ ,  $\alpha_s = .1176$ , <span id="page-9-0"></span> $\sin^2 \theta_w = .23119$ ,  $M_w = 80.398 \text{ GeV}/c^2$  and  $m_t =$ 171.3 GeV/ $c^2$ . It is now necessary to evaluate these couplings at the vacuum expectation value  $v = 2^{-1/4} G_F^{-1/2}$ (taking  $G_F$  to be  $1.16637 \times 10^{-5}$  (GeV/ $c^2$ )<sup>-2</sup>). To do this, we use the one loop limit of the RG equations that follow from Eqs. [\(50\)](#page-5-2)–([54](#page-5-4)) as a suitable approximation

$$
\mu \frac{dx}{d\mu} = \frac{9}{4}x^2 - 4xz,\tag{105}
$$

$$
\mu \frac{dz}{d\mu} = -\frac{7}{2}z^2,\tag{106}
$$

<span id="page-9-1"></span>
$$
\mu \frac{dr}{d\mu} = -\frac{19}{12}r^2,\tag{107}
$$

$$
\mu \frac{ds}{d\mu} = \frac{41}{12} s^2.
$$
 (108)

<span id="page-9-5"></span><span id="page-9-2"></span>Equations  $(106)$  $(106)$ – $(108)$  have solutions [\[36\]](#page-17-34)

$$
z = \frac{z_0}{1 + \frac{7}{2}z_0 \ln(\frac{\mu}{\mu_0})},\tag{109}
$$

$$
r = \frac{r_0}{1 + \frac{19}{12} r_0 \ln(\frac{\mu}{\mu_0})},\tag{110}
$$

$$
s = \frac{s_0}{1 - \frac{41}{12} s_0 \ln(\frac{\mu}{\mu_0})}.
$$
 (111)

<span id="page-10-0"></span>Dividing Eq. ([105\)](#page-9-2) by Eq. ([106\)](#page-9-0) leads to the homogeneous equation

$$
\frac{dx}{dz} = -\frac{9}{14} \left(\frac{x}{z}\right)^2 + \frac{8}{4} \left(\frac{x}{z}\right),\tag{112}
$$

<span id="page-10-1"></span>whose solution is

$$
x = \frac{(2/9)z}{1 - [(1 - 2/9(z_0/x_0)](z/z_0)^{-1/7}}.
$$
 (113)

Using  $(x_0, z_0, r_0, s_0)$  given by Eqs. [\(101](#page-9-3))–[\(104\)](#page-9-4) at the mass scale  $\mu_0 = 91.1876 \text{ GeV}/c^2$  then Eqs. [\(109\)](#page-10-0)–[\(111\)](#page-9-5) and [\(113](#page-10-1)) yield  $(x, z, r, s)$  at the mass scale  $\mu = v =$  $2^{-1/4}G_F^{-1/2}.$ 

We can now proceed to compute the Higgs mass at each order of the expansion of V in the  $N<sup>p</sup>LL$  expanion. With Eq. [\(92\)](#page-8-0) for  $V_m$ , we use Eq. ([2\)](#page-2-4) to fix  $K_m$  in terms of y and then use Eq. [\(88](#page-7-1)) to solve for y itself. In this paper the only acceptable values for y are positive in order to ensure physical stability of the theory for reasonable values of  $\phi^2$ , as will be discussed below. With these values of y (and  $K_m$ ) Eq. ([92\)](#page-8-0) can be used give an explicit expression for  $V_m$ . Equation [\(90\)](#page-8-2) can then be used to evaluate  $m_H^2$ . Only real and positive values of  $m_H^2$  are acceptable. We note that it is not necessary to find explicit results for the integrals and running couplings appearing in Eqs. [\(94\)](#page-8-4), [\(95\)](#page-8-5), and [\(97](#page-8-6)). The derivatives of these expressions at  $\phi = v$  that are needed to evaluate the Higgs mass are determined completely in terms of the RG functions and boundary values at  $\phi = v$ . Thus our methodology can be applied to very complicated models and is an important tool in its own right.

We present, in Table [I,](#page-10-2) the values of  $K_m$ ,  $\lambda = \pi^2 y$ ,  $m_H$ for  $m = 0, 1, 2, 3, 4$  when  $(x, y, z, r, s)$  contribute at LL order,  $(x, y, z)$  contribute at *NLL* order and only y contributes beyond that. (The units for  $m_H$  are  $GeV/c^2$ .) It is important to emphasize that the values for  $K_m$  listed in Table [I](#page-10-2) arise because of the functional dependence of  $K_m$ on the coupling y; first  $K_m$  is expressed in terms of y by using Eq.  $(2)$  and then y is fixed by Eq.  $(88)$  $(88)$  $(88)$ .

No entry occurs for  $m = 1$  or  $m = 3$  as the values of y that follow from  $V_1$  and  $V_3$  are negative and unacceptable. This appears to be due to the large negative contribution to  $S_1$  and  $S_3$  coming from terms of order  $\frac{1}{w^2}$  and  $\frac{1}{w^4}$ respectively.

The second derivative of the order  $m$  estimate for the effective potential, normalized to the scale  $v^2$ ,

$$
M_m = \frac{1}{v^2} \frac{d^2}{d\phi^2} V_m|_{\phi = v}
$$
 (114)

can be viewed as a function of the scalar field coupling  $\lambda$ once the counterterm  $K_m$  has been expressed in terms of  $\lambda$ . In Fig. [1](#page-10-3) we present curves for the dimensionless quantity

<span id="page-10-2"></span>TABLE I. Calculated results for the standard model to three significant digits.

m	$K_m$	$\lambda$	$m_H$
$\overline{0}$ $\mathbf{1}$	$-.0586$	.536	219
$\overline{2}$	$-.0431$	.439	188
3 $\overline{4}$	$-.0346$	.363	163

 $M_m(m = 0, 2, 4)$  for positive values of  $\lambda$  while  $M_m$  is positive. The crosses on the curves correspond to the values of  $\lambda$  and  $m_H$  found by our approach and listed in Table 1 for  $m = (0, 2, 4)$ . Table [I](#page-10-2) and Fig. [1](#page-10-3) suggest a tendency for both  $\lambda$  and  $m_H$  to decrease with increasing order m. We can gain further insight on this trend in the  $O(4)$  scalar theory by extracting the counterterm from the second derivative, normalized to the scale  $v^2$ ,

<span id="page-10-3"></span>

FIG. 1. The dimensionless ratio  $M_m = \frac{1}{v^2} \frac{d^2}{d\phi^2} V_m|_{\phi=v}$  plotted as a function of  $\lambda$ .

<span id="page-10-4"></span>

FIG. 2. The dimensionless quantity  $\tilde{M}_n = \frac{1}{v^2} \frac{d^2 (V_n - \pi^2 K_n \phi^4)}{d\phi^2} \bigg|_{\phi = v}$ <br>is plotted as a function of  $\lambda$  for the  $O(4)$  scalar theory. The upper curves represent the even orders  $(n = 0, 2, 4)$  and the lower curves represent the odd orders  $(n = 1, 3)$ .

$$
\tilde{M}_n = \frac{1}{v^2} \frac{d^2 (V_n - \pi^2 K_n \phi^4)}{d\phi^2} \bigg|_{\phi = v}.
$$
 (115)

For the pure scalar field theory case the resulting dimensionless expressions are shown as a function of  $\lambda$  in Fig. [2.](#page-10-4) One can see the distinction between even and odd orders in the Figure, and one can also see evidence of slow convergence towards a result which would lie between the even and odd envelopes of the curves. Because  $\tilde{M}_n$  represents the field-theoretical (i.e., counterterm-independent) contributions to the Higgs mass, it is evident that even orders provide an upper bound on  $m_H$  and odd orders provide a lower bound on  $m_H$ . Although the lower bound is trivial (i.e.,  $m_H = 0$ ), this does not obviate the interpretation of  $m_H$  at odd orders as an upper bound.

## V. DISCUSSION

In this paper we have presented a systematic way of using the RG equation to sum all of the logarithms contributing to V at order  $N^pLL$  in terms of the  $(p + 1)$  order RG functions, provided we use the CW renormalization scheme and have only one form of logarithm (here  $L =$  $log[\phi^2/\mu^2]$  contributing to V. We have applied our method of analysis to the conformal limit of the standard model with a single scalar field, as was originally envisaged by Coleman and Weinberg [\[7\]](#page-17-6). This has led to a surprisingly interesting sequence of estimates for the Higgs mass and the quartic scalar couplings.

It was not anticipated that the improvements to the approach, originally used in [[1](#page-17-0)[,2\]](#page-17-1), introduced in this paper and [[3](#page-17-2)] would lead to a sequence of decreasing estimates for the Higgs mass as listed in Table [I](#page-10-2) above. The values of these estimates suggest that increasing the order m to 6 and beyond (if that were feasible) would lead to Higgs mass estimates closer to the generally expected range of possible values. A compilation of predictions of the Higgs mass in different scenarios is given in Ref. [\[38\]](#page-17-36), and a discussion on its limits is given in Ref. [\[39\]](#page-17-37). In our approach we have made use of all known RG functions relevant to any part of the standard model. To make further progress using this approach will require knowledge of RG functions at a higher loop order than is currently available.

Even though we have not come up with a definitive prediction of the Higgs mass within the standard model, we feel that our results establish the viability of the Coleman-Weinberg mechanism to generate spontaneous symmetry breaking and to provide a mass for the Higgs scalar particle. We have done this by the use of the RGimproved effective potential. We propose that the masses generated in Sec. [IV](#page-7-5) above be viewed as a decreasing sequence of upper bounds on the actual Higgs mass in the standard model.

A significant insight into the standard model effective potential can be gained by applying our method of analysis to a simplified pure  $O(4)$  scalar field theory obtained

<span id="page-11-0"></span>TABLE II. Calculated results for the  $O(4)$  scalar theory to three significant digits.

m	$K_m$		$m_H$
$\overline{0}$	$-.0585$	.534	221
1			
$\overline{2}$	$-.0390$	.417	186
3			0
4	$-.0321$	.354	165

from the standard model by setting all couplings except  $\lambda = \pi^2 y$  to zero. We present in Table [II](#page-11-0) the results for  $K_m$ ,  $\lambda$  and  $m_H$  in this simplified model using exactly the same steps as were used to derive the results in Table [I](#page-10-2) for the standard model.

The similarity between the results of Tables [I](#page-10-2) and [II](#page-11-0) indicates that y is the dominant coupling in these considerations, much more than  $x, z, r$  or s. We note the vanishing values for  $K_m$ ,  $\lambda$  and  $m_H$  in Table [II](#page-11-0) for  $m = 1, 3$ . For this simplified model our method yields the acceptable but trivial solution  $\lambda = 0$  for all values of m. In Table [II](#page-11-0) we only include the nontrivial solutions for  $m = 0, 2, 4$ . For these nontrivial solutions we can plot  $V_m$  as a function of  $\phi$ for values of  $\phi$  near the VeV scale v, something which cannot be easily done in the standard model. This plot is provided in Fig. [3.](#page-11-1)

Remarkably, the plots of  $V_0$ ,  $V_2$  and  $V_4$  have the well known shape of a spontaneous symmetry breaking potential when restricted to  $\phi$  values near the location of the minimum. These potentials also have a singularity at  $\phi =$  $\pm v \exp(\pi^2/6\lambda)$  (i.e. when  $w = 0$ ). This is significantly far from the region near the minimum.

In addition to the positive and zero  $\lambda$ -solutions in the pure  $O(4)$  scalar field model referred to above, there are negative  $\lambda$  solutions. We have heretofore rejected negative  $\lambda$  solutions as unacceptable. In contrast to the standard model, in the  $O(4)$  model we can plot  $V_m$  as a function of  $\phi$ with these negative values of  $\lambda$ . We show the shape of  $V_m(\phi)$  for the appropriate negative  $\lambda$  values for  $m = 0, 2, 4$ in Fig. [4](#page-12-2) and for  $m = 1$ , 3 in Fig. [5.](#page-12-3)

<span id="page-11-1"></span>

FIG. 3.  $V_m$  is plotted as a function of  $\phi/v$  with  $\lambda$  as in Table [II.](#page-11-0)

<span id="page-12-2"></span>

FIG. 4. Shape of  $V_m$  as a function of  $\phi$  for  $m = 0, 2, 4$ .

<span id="page-12-3"></span>

FIG. 5. Shape of  $V_m$  as a function of  $\phi$  for  $m = 1, 3$ .

For the even *m* cases ( $m = 0, 2, 4$ ) we note the existence of a tightly bound minimum at  $\phi = 0$ , singularities at  $|\phi| < v$  (since  $\lambda < 0$ ) and local minima at  $\phi = \pm v$ . On the other hand, for the odd m cases  $(m = 1, 3)$  we note the existence of a highly unstable maximum at  $\phi = 0$ , singularities at  $|\phi| < v$  (since  $\lambda < 0$ ) and local minima at  $\phi = \pm \nu$ . The occurrence of a singularity at  $w = 0$  in  $V_m$ may be considered pathological but away from the singular points the form of  $V_m$  is interesting. Whether this feature has a role to play in the standard model is an open question which may be worth pursuing. It has been shown [\[3](#page-17-2)] in the scalar model that summing portions of the contributions to  $V_m$  beyond order  $m = 4$  may shift such singularities.

We have attempted setting  $K_m = 0$  in Eq. [\(92\)](#page-8-0), and then determining the single remaining unknown y by using either Eq. [\(2](#page-2-4)) or Eq. [\(88\)](#page-7-1). Neither of these attempts leads to acceptable values of y or  $m_H^2$ ; one must employ the counterterm  $K_m$  in Eq. [\(92\)](#page-8-0) to get reasonable values for these parameters at any value of  $m$ . In fact, by having introduced the counterterm, we are availing ourselves of information about terms, independent of  $L = \log_{\frac{\mu^2}{2}}$ , beyond the  $N<sup>p</sup>LL$  contribution to V. We have been unable to establish any other viable alternative to the counterterm approach.

Whereas in this paper we have used the CW renormalization scheme, preliminary investigations indicate that it may be possible to adapt our approach to incorporate the MS renormalization scheme, at least in the single coupling  $O(4)$  scalar model. Using the MS renormalization scheme to compute the LL and NLL contributions to V when there is only the coupling y, realistic values of  $m_H^2$  and y follow from Eqs. [\(88\)](#page-7-1) and ([90](#page-8-2)) only if the counterterm  $K_m$  of Eq. [\(92\)](#page-8-0) is included and the condition of Eq. ([2](#page-2-4)) is applied. Strictly speaking, Eq. [\(2\)](#page-2-4) is not part of the MS renormalization scheme, though it might possibly be used to fix the physical value of y in the MS scheme in a way analogous to using the gap equation to fix a physical mass.

We hope to develop this formalism in several other ways. First, inclusion of a mass term  $-m^2\phi^2$  into the classical action should be considered [[40](#page-17-38)]. Next, the inclusion of more scalars beyond an  $SU(2)$  doublet should be dealt with, as additional scalars are necessary [\[41\]](#page-17-39) in any supersymmetric extension of the standard model. A further problem to be addressed concerns working with summing logarithmic contributions to V in the standard model using MS RG functions rather than converting them to the CW scheme, even though this would entail having a separate logarithm for each coupling [see Eq. [\(62\)](#page-6-7)] and not being able to fix the terms  $p_{p+1}^0$  in Eq. ([24](#page-3-5)) by using some analogue of Eq. [\(27\)](#page-3-3). We would also like to see if the RG methods that have been developed could be employed in the consideration of other physical processes [[42](#page-17-40)], or the contributions to the effective action arising due to an external magnetic field [\[43\]](#page-17-41).

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# <span id="page-12-0"></span>APPENDIX A: METHOD OF CHARACTERISTICS SOLUTION AT  $NLL$  AND  $N^2LL$  ORDER

<span id="page-12-1"></span>The computation of  $V_{NLL}$  begins by noting that by Eq. ([28](#page-3-4))

$$
p_{n+2}^n + \gamma_1 p_{n+1}^n = \frac{1}{2n} \Biggl[ \Bigl( \beta_2^x \frac{\partial}{\partial x} + \beta_2^y \frac{\partial}{\partial y} - 4\gamma_1 \Bigr) p_{n+1}^{n-1} + \Bigl( \beta_3^x \frac{\partial}{\partial x} + \beta_3^y \frac{\partial}{\partial y} - 4\gamma_2 \Bigr) p_n^{n-1} \Biggr], \quad (A1)
$$

<span id="page-12-4"></span>so that together Eqs. [\(29\)](#page-3-6), [\(32\)](#page-3-7), [\(34\)](#page-3-11), and ([A1](#page-12-1)) imply that

$$
w_{n+2}^n = \frac{1}{2n} \left[ \frac{d}{dt} w_{n+1}^{n-1} + D(t) w_n^{n-1} \right],
$$
 (A2)

<span id="page-12-5"></span>where

$$
D(t) = -\gamma_1 \left( \beta_2^x \frac{\partial}{\partial \bar{x}} + \beta_2^y \frac{\partial}{\partial \bar{y}} - 4\gamma_1 \right) + \left( \beta_3^x \frac{\partial}{\partial \bar{x}} + \beta_3^y \frac{\partial}{\partial \bar{y}} - 4\gamma_2 \right).
$$
 (A3)

<span id="page-13-3"></span>Iterating Eq. [\(A2](#page-12-4)) shows that

$$
w_{n+2}^{n} = \frac{1}{2^{n} n!} \left[ \frac{d^{n}}{dt^{n}} w_{2}^{0} + \left( \frac{d^{n-1}}{dt^{n-1}} D(t) + \frac{d^{n-2}}{dt^{n-2}} D(t) \frac{d}{dt} + \dots \right. \right. \left. + D(t) \frac{d^{n-1}}{dt^{n-1}} \right) w_{1}^{0} \right]. \tag{A4}
$$

<span id="page-13-2"></span>One can inductively prove the identity

$$
\left(\frac{d^{n-1}}{dt^{n-1}}f + \frac{d^{n-2}}{dt^{n-2}}f\frac{d}{dt} + \dots + \frac{d}{dt}f\frac{d^{n-2}}{dt^{n-2}} + f\frac{d^{n-1}}{dt^{n-1}}\right)g
$$
\n
$$
= \frac{d^n}{dt^n}(\phi g) - \phi \frac{d^n}{dt^n}g \qquad \left(\frac{d\phi}{dt} \equiv f\right). \tag{A5}
$$

To employ Eq. ([A5\)](#page-13-2) to simplify Eq. [\(A4](#page-13-3)) we need to commute the functional derivatives appearing in  $D(t)$  [see Eq. [\(A3\)](#page-12-5)] through  $\frac{d}{dt}$  so that they act on g before  $\frac{d}{dt}$  does. (This step was not considered properly in Eq. (B22) of Ref. [[3\]](#page-17-2).) In order to do this, we first write  $D(t)$  in Eq. ([A3\)](#page-12-5) in the form

$$
D(t) = A^i \frac{\partial}{\partial \bar{x}^i(t)} + B,\tag{A6}
$$

<span id="page-13-7"></span><span id="page-13-4"></span>where

$$
\bar{x}^1(t) \equiv \bar{x}(t),\tag{A7}
$$

$$
\bar{x}^2(t) \equiv \bar{y}(t),\tag{A8}
$$

$$
A^1(\bar{x}^i(t)) \equiv -\gamma_1 \beta_2^x + \beta_3^x, \tag{A9}
$$

$$
A^2(\bar{x}^i(t)) \equiv -\gamma_1 \beta_2^y + \beta_3^y, \tag{A10}
$$

and

$$
B(\bar{x}^i(t)) \equiv 4(\gamma_1^2 - \gamma_2). \tag{A11}
$$

Furthermore, using Eqs. [\(30\)](#page-3-12) and [\(31\)](#page-3-13),

$$
\frac{d}{dt} = \beta_2^x(\bar{x}(t), \bar{y}(t)) \frac{\partial}{\partial \bar{x}(t)} + \beta_2^y(\bar{x}(t), \bar{y}(t)) \frac{\partial}{\partial \bar{y}(t)} + \frac{\partial}{\partial t}
$$
\n
$$
\equiv \Lambda^i \frac{\partial}{\partial \bar{x}^i} + \frac{\partial}{\partial t}.
$$
\n(A12)

We now note that

$$
A^{i} \frac{\partial}{\partial \bar{x}^{i}} \frac{df}{dt} = A^{i} \frac{\partial}{\partial \bar{x}^{i}} \left( \Lambda^{j} \frac{\partial}{\partial \bar{x}^{j}} + \frac{\partial}{\partial t} \right) f
$$
  

$$
= A^{i} \left[ \left( \Lambda^{j} \frac{\partial}{\partial \bar{x}^{j}} + \frac{\partial}{\partial t} \right) \frac{\partial f}{\partial \bar{x}^{i}} + \frac{\partial \Lambda^{j}}{\partial \bar{x}^{i}} \frac{\partial f}{\partial \bar{x}^{j}} \right]
$$
  

$$
= A^{i} \left[ \frac{d}{dt} \delta_{ij} + (\mathbf{M})_{ij} \right] \frac{\partial f}{\partial \bar{x}^{j}}, \qquad (A13)
$$

<span id="page-13-0"></span>where

$$
(\mathbf{M})_{ij} = \frac{\partial \Lambda_j}{\partial \bar{x}^i},\tag{A14}
$$

and so by iterating we obtain

$$
A^{i}\frac{\partial}{\partial \bar{x}^{i}}\left(\frac{d}{dt}\right)^{p} f = A^{i}\left[\left(\frac{d}{dt} + \mathbf{M}\right)^{p}\right]_{ij}\frac{\partial f}{\partial \bar{x}^{j}}.\tag{A15}
$$

If we now define

$$
(\mathbf{U}(t,0))_{ij} = \delta_{ij} + \sum_{n=1}^{\infty} \int_0^t d\tau_1 \int_0^{\tau_1} d\tau_2 \dots \int_0^{\tau_{n-1}} d\tau_n [\mathbf{M}(\tau_n) \mathbf{M}(\tau_{n-1}) \dots \mathbf{M}(\tau_2) \mathbf{M}(\tau_1)]_{ij},
$$
(A16)

then it is evident that

$$
\frac{d}{dt}(\mathbf{U}(t,0)f) = \mathbf{U}(t,0)\left(\frac{d}{dt} + \mathbf{M}\right)f\tag{A17}
$$

<span id="page-13-1"></span>and that

$$
\mathbf{U}^{-1}(t,0) = \mathbf{U}(0, t)
$$
  
=  $1 + \sum_{n=1}^{\infty} (-1)^n \int_0^t d\tau_1 ...$   
 $\times \int_0^{\tau_{n-1}} d\tau_n [\mathbf{M}(\tau_1) ... \mathbf{M}(\tau_n)].$  (A18)

<span id="page-13-5"></span>(An operator analogous to U arises in standard perturbation theory.) Together, Eqs. [\(A14](#page-13-0))–([A18\)](#page-13-1) show that

$$
A^{i}\frac{\partial}{\partial \bar{x}^{i}}\left(\frac{d}{dt}\right)^{p} f = A^{i} \left[ \mathbf{U}(0, t) \left(\frac{d}{dt}\right)^{p} \mathbf{U}(t, 0) \right]_{ij} \frac{\partial}{\partial \bar{x}_{j}} f. \quad (A19)
$$

We now find that by Eqs. ([A5\)](#page-13-2), [\(A6](#page-13-4)), and ([A19\)](#page-13-5),

<span id="page-13-6"></span>
$$
\begin{split}\n\left(\frac{d^{n-1}}{dt^{n-1}}D(t) + \frac{d^{n-2}}{dt^{n-2}}D(t)\frac{d}{dt} + \ldots + \frac{d}{dt}D(t)\frac{d^{n-2}}{dt^{n-2}} + D(t)\frac{d^{n-1}}{dt^{n-1}}\right)w_1^0(\bar{x}^i(t), t) \\
&= \frac{d^n}{dt^n}(\tilde{Z}_j(t)\zeta_{1j}^0(\bar{x}^i(t), t)) - \tilde{Z}_j(t)\frac{d^n}{dt^n}\zeta_{1j}^0(\bar{x}^i(t), t) + \frac{d^n}{dt^n}(\tilde{B}(t)w_1^0(\bar{x}^i(t), t)) - \tilde{B}(t)\frac{d^n}{dt^n}w_1^0(\bar{x}^i(t), t),\n\end{split} \tag{A20}
$$

where

$$
\tilde{Z}_j(t) \equiv \left( \int_0^t d\tau A^i(\bar{x}^i(\tau)) \mathbf{U}_{ij}(0, \tau) \right), \tag{A21}
$$

$$
\tilde{\zeta}_{1j}^0(\bar{x}^i(t),t) \equiv \mathbf{U}_{jk}(t,0) \frac{\partial}{\partial \bar{x}^k(t)} w_1^0(\bar{x}^i(t),t), \quad (A22)
$$

and

$$
\tilde{B}(t) = \int_0^t d\tau B(\bar{x}^i(\tau)).
$$
\n(A23)

Upon combining Eqs. ([35](#page-3-14)), ([A4\)](#page-13-3), and [\(A20](#page-13-6)) we obtain

<span id="page-14-1"></span>
$$
\bar{V}_{NLL}(\bar{x}^{i}(t), t) = \pi^{2} \phi^{4} \sum_{k=0}^{\infty} \frac{1}{k!} \left(\frac{L}{2}\right)^{k} \left[\left(\frac{d}{dt}\right)^{k} w_{2}^{0}(\bar{x}^{i}(t), t) + \left(\frac{d}{dt}\right)^{k} (\tilde{Z}_{j}(t) \zeta_{1j}^{0}(\bar{x}^{i}(t), t)) - \tilde{Z}_{j}(t) \left(\frac{d}{dt}\right)^{k} \zeta_{1j}^{0}(\bar{x}^{i}(t), t) + \left(\frac{d}{dt}\right)^{k} (\tilde{B}(t) w_{1}^{0}(\bar{x}^{i}(t), t)) - \tilde{B}(t) \left(\frac{d}{dt}\right)^{k} w_{1}^{0}(\bar{x}^{i}(t), t) \right].
$$
\n(A24)

If we now employ Taylor's theorem with Eq. ([A24\)](#page-14-1), it follows that

$$
\bar{V}_{NLL} = \pi^2 \phi^4 \bigg[ w_2^0 \bigg( \bar{x}^i \bigg( t + \frac{L}{2} \bigg) , t + \frac{L}{2} \bigg) + \bigg( \tilde{Z}_j \bigg( t + \frac{L}{2} \bigg) - \tilde{Z}_j(t) \bigg) \zeta_{1j}^0 \bigg( \bar{x}^i \bigg( t + \frac{L}{2} \bigg) , t + \frac{L}{2} \bigg) + \bigg( \tilde{B} \bigg( t + \frac{L}{2} \bigg) - \tilde{B}(t) \bigg) w_1^0 \bigg( \bar{x}^i \bigg( t + \frac{L}{2} \bigg) , t + \frac{L}{2} \bigg) \bigg]
$$
\n(A25)

and so by Eq. ([36](#page-3-9))

$$
V_{NLL} = \pi^2 \phi^4 \bigg[ w_2^0 \bigg( \bar{x}^i \bigg( \frac{L}{2} \bigg), \frac{L}{2} \bigg) + \tilde{Z}_j \bigg( \frac{L}{2} \bigg) \zeta_{1j}^0 \bigg( \bar{x}^i \bigg( \frac{L}{2} \bigg), \frac{L}{2} \bigg) + \tilde{B} \bigg( \frac{L}{2} \bigg) w_1^0 \bigg( \bar{x}^i \bigg( \frac{L}{2} \bigg), \frac{L}{2} \bigg) \bigg] \tag{A26}
$$

<span id="page-14-0"></span>or, more explicitly

$$
V_{NLL} = \pi^2 \phi^4 \exp\left[-4 \int_0^{L/2} d\tau \gamma_1(\bar{x}^i(\tau))\right] \left\{ p_2^0 \left(\bar{x}^i \left(\frac{L}{2}\right)\right) + \int_0^{L/2} d\tau \left[(-\gamma_1(\bar{x}^i(\tau))\beta_2^{x^i}(\bar{x}^i(\tau)) + \beta_3^{x^i}(\bar{x}^i(\tau))\right) U_{ij}(0,\tau)\right] \right\}
$$

$$
\cdot \left[ \mathbf{U}_{jk} \left(\frac{L}{2}, 0\right) \frac{\partial}{\partial \bar{x}^k(\frac{L}{2})} p_1^0 \left(\bar{x}^i \left(\frac{L}{2}\right)\right) \right] + 4 \int_0^{L/2} d\tau \left[\gamma_1^2(\bar{x}^i(\tau)) - \gamma_2(\bar{x}^i(\tau))\right] p_1^0 \left(\bar{x}^i \left(\frac{L}{2}\right)\right) \right\}.
$$
(A27)

<span id="page-14-2"></span>We have used the fact that  $\tilde{B}(0) = 0 = \tilde{Z}_i(0)$ .  $V_{N^2LL}$  can be computed using the approach used to obtain  $V_{NLL}$ . To begin, just as Eq. ([A1](#page-12-1)) follows from Eq. [\(28\)](#page-3-4), we find that

$$
p_{n+3}^n + \gamma_1 p_{n+2}^n + \gamma_2 p_{n+1}^n = \frac{1}{2n} \Biggl[ \Bigl( \beta_2^x \frac{\partial}{\partial x} + \beta_2^y \frac{\partial}{\partial y} - 4 \gamma_1 \Bigr) p_{n+2}^{n-1} + \Bigl( \beta_3^x \frac{\partial}{\partial x} + \beta_3^y \frac{\partial}{\partial y} - 4 \gamma_2 \Bigr) p_{n+1}^{n-1} + \Bigl( \beta_4^x \frac{\partial}{\partial x} + \beta_4^y \frac{\partial}{\partial y} - 4 \gamma_3 \Bigr) p_n^{n-1} \Biggr].
$$
\n(A28)

<span id="page-14-3"></span>With the definitions of Eqs. [\(29\)](#page-3-6)–([31](#page-3-13)), we see that Eqs. ([34](#page-3-11)), ([A2\)](#page-12-4), and [\(A28](#page-14-2)) together lead to

$$
w_{n+3}^{n}(\bar{x}(t), \bar{y}(t), t) = \frac{1}{2n} \bigg[ -\gamma_{2} \frac{d}{dt} w_{n}^{n-1} - \gamma_{1} \bigg( \frac{d}{dt} w_{n+1}^{n-1} + D(t) w_{n}^{n-1} \bigg) + \frac{d}{dt} w_{n+2}^{n-1} + \bigg( \beta_{3}^{x} \frac{\partial}{\partial \bar{x}} + \beta_{3}^{y} \frac{\partial}{\partial \bar{y}} - 4 \gamma_{2} \bigg) w_{n+1}^{n-1} + \bigg( \beta_{4}^{x} \frac{\partial}{\partial \bar{x}} + \beta_{4}^{y} \frac{\partial}{\partial \bar{y}} - 4 \gamma_{3} \bigg) w_{n}^{n-1} \bigg]
$$
  

$$
= \frac{1}{2n} \bigg[ \frac{d}{dt} w_{n+2}^{n-1} + D(t) w_{n+1}^{n-1} + \Delta(t) w_{n}^{n-1} \bigg],
$$
 (A29)

where

$$
\Delta(t) = \left[\gamma_1^2 - \gamma_2\right] \left[\beta_2^x \frac{\partial}{\partial \bar{x}} + \beta_2^y \frac{\partial}{\partial \bar{y}} - 4\gamma_1\right] - \gamma_1 \left[\beta_3^x \frac{\partial}{\partial \bar{x}} + \beta_3^y \frac{\partial}{\partial \bar{y}} - 4\gamma_2\right] + \left[\beta_4^x \frac{\partial}{\partial \bar{x}} + \beta_4^y \frac{\partial}{\partial \bar{y}} - 4\gamma_3\right].
$$
 (A30)

Again one can iterate Eq. [\(A29\)](#page-14-3) to obtain  $w_{n+3}^n$  in terms of  $w_1^0$ ,  $w_2^0$  and  $w_3^0$  as well as the two and three loop RG functions in the CW scheme. The summations needed to compute  $V_{N^2LL}$  can then be performed using the same techniques as were used to find  $V_{NLL}$  in Eq. ([A27\)](#page-14-0). However, since the three loop RG functions have not been computed for the standard model, we will not pursue this calculation further.

# <span id="page-15-0"></span>APPENDIX B: THE DERIVATIVE EXPANSION OF THE EFFECTIVE ACTION

This paper has been concerned with contributions to the effective action coming from the first few terms in the derivative expansion when the background field is either a scalar or vector field [[44](#page-18-0)]. In this appendix we show how terms in this derivative expansion can be computed. Operator regularization [\[45\]](#page-18-1) will be used in calculation. This technique has the advantages of not explicitly breaking any classical symmetries of the theory (since no regulating parameter is inserted into the initial action) and of avoiding all explicit divergences at every stage of the calculation.

<span id="page-15-2"></span>To illustrate this technique, we first consider a simple scalar model with a classical action

$$
S^{(0)} = \int d^4x \left( -\frac{1}{2} (\partial_\mu \phi)^2 - \frac{1}{2} m^2 \phi^2 - \frac{1}{6} \mu \phi^3 - \frac{1}{24} \lambda \phi^4 \right).
$$
\n(B1)

If we split  $\phi$  into the sum of a background part f and a quantum fluctuation  $h$  then performing the path integral over the quantum fluctuation leads to the one loop contribution to the effective action

<span id="page-15-1"></span>
$$
iS^{(1)} = -\frac{1}{2}\text{tr}\ln(p^2 + m^2 + \mu f + \frac{1}{2}\lambda f^2), \qquad (p = -i\partial).
$$
\n(B2)

Regulating the logarithm in Eq. ([B2\)](#page-15-1) using the zeta function [\[45\]](#page-18-1)

$$
\ln H = -\frac{d}{ds} \bigg|_0 H^{-s} = -\frac{d}{ds} \bigg|_0 \frac{1}{\Gamma(s)} \int_0^\infty \, \text{d} \, t \, \text{d} \, t \, \text{d} \, t \, \text{d} \, t^{s-1} \, e^{-iHt}, \tag{B3}
$$

we see that Eq. [\(B2](#page-15-1)) can be written

$$
iS^{(1)} = \frac{1}{2} \frac{d}{ds} \frac{1}{\Gamma(s)} \int_0^\infty dt t (it)^{s-1}
$$
  
 
$$
\times \text{tr}\left\{ \exp{-i\left(p^2 + m^2 + \mu f + \frac{1}{2}\lambda f^2\right)t} \right\} \Big|_0. \quad (B4)
$$

If now  $f \rightarrow v + f$  where v is a constant, and if  $H =$  $H_0 + H_1$  where

$$
H_0 = p^2 + m^2 + \mu v + \frac{1}{2}\lambda v^2, \tag{B5}
$$

$$
H_1 = (\mu + \lambda v)f + \frac{\lambda f^2}{2},
$$
 (B6)

then upon applying the Schwinger expansion [\[45\]](#page-18-1)

$$
\text{tr}e^{-i(H_0+H_1)t} = \text{tr}\bigg[e^{-iH_0t} + (-it)H_1e^{-iH_0t} + \frac{1}{2}(-it)^2
$$
  
 
$$
\times \int_0^1 du H_1e^{-i(1-u)H_0t}H_1e^{-iuH_0t} + \dots \bigg]
$$
(B7)

and keeping terms at most quadratic in  $f$  we obtain

$$
iS_2^{(1)} = \frac{1}{2} \frac{d}{ds} \frac{\kappa^{2s}}{\Gamma(s)} \int_0^\infty \frac{di t (it)^{s-1} \text{tr} \Big\{ (-it) e^{-iH_0 t} \Big[ (\mu + \lambda v) f + \frac{\lambda f^2}{2} \Big] + \frac{(-it)^2}{2} \int_0^1 du e^{-i(1-u)H_0 t} (\mu + \lambda v) f e^{-iuH_0 t} (\mu + \lambda v) f \Big\} \Big|_0^1,
$$
 (B8)

where  $\kappa^{2s}$  is a dimensionful parameter inserted to ensure that  $S^{(1)}$  is dimensionless. [One could have introduced  $\kappa^2$  in Eq. ([B2\)](#page-15-1) to keep the argument of the logarithm dimensionless in that equation.]

The functional trace in Eq. ([A8\)](#page-13-7) can most easily be computed using momentum eigenstates  $|p\rangle$ ,  $|q\rangle$  and configuration eigenstates  $|x\rangle$ ,  $|y\rangle$  wherein *n* dimensions  $(2\pi)^{n/2}\langle x|p\rangle = e^{ip\cdot x}$  so that

$$
iS_{2}^{(1)} = \frac{1}{2} \frac{d}{ds} \frac{\kappa^{2s}}{\Gamma(s)} \int_{0}^{\infty} \frac{di t (it)^{s-1} e^{-i(m^{2} + \mu v + (1/2)\lambda v^{2})t}}{\Gamma(s)} \Big\{ (-it) \int dp dx \langle p|e^{-ip^{2}t} \vert x \rangle \langle x| (\mu + \lambda v) f + \frac{\lambda f^{2}}{2} \vert p \rangle + \frac{1}{2} (-it)^{2} \int dp dq dx dy \int_{0}^{1} du \langle p|e^{-i(1-u)p^{2}t} \vert x \rangle \langle x| (\mu + \lambda v) f| q \rangle \langle q|e^{-iuq^{2}} \vert y \rangle \langle y| (\mu + \lambda v) f| p \rangle \Big\} \Big|_{0}^{1}, \quad (B9)
$$

$$
iS_{2}^{(1)} = \frac{1}{2} \frac{d}{ds} \frac{\kappa^{2} s}{\Gamma(s)} \int_{0}^{\infty} dite^{-i(m^{2} + \mu v + (1/2)\lambda v^{2})t} \left\{ -(it)^{s} \int \frac{dpdx}{(2\pi)^{4}} e^{-ip^{2}t} \left[ (\mu + \lambda v)f(x) + \frac{\lambda}{2} f^{2}(x) \right] \right. \\ + \frac{1}{2} (it)^{s+1} (\mu + \lambda v)^{2} \int \frac{dpdqdxdy}{(2\pi)^{8}} e^{-i[(1-u)p^{2} + uq^{2}]t} e^{-i(p-q)\cdot(x-y)} f(x)f(y) \left\} \Big|_{0}.
$$
 (B10)

<span id="page-16-0"></span>To obtain those terms which contribute to the effective action at one loop order which are second order in derivatives of the background field, we expand  $f(y)$  about x up to second order so that

$$
\int \frac{dpdqdxdy}{(2\pi)^8} e^{-i[(1-u)p^2 + uq^2]t} e^{-i(p-q)\cdot(x-y)} f(x)f(y)
$$
\n
$$
\approx \int \frac{dpdqdxdy}{(2\pi)^8} e^{-i[(1-u)p^2 + uq^2]t} e^{-i(p-q)\cdot(x-y)} f(x) \left[ f(x) + (x-y)^{\alpha} f_{,\alpha}(x) + \frac{1}{2}(x-y)^{\alpha}(x-y)^{\beta} f_{,\alpha\beta}(x) \right].
$$
\n(B11)

If now we write in Eq. [\(B11\)](#page-16-0)

$$
(x - y)^{\alpha} e^{-i(p-q)\cdot(x-y)} = -i \frac{\partial}{\partial q^{\alpha}} e^{-i(p-q)\cdot(x-y)},
$$
\n(B12)

$$
(x - y)^{\alpha}(x - y)^{\beta}e^{-i(p-q)\cdot(x-y)} = (-i)^{2}\frac{\partial}{\partial q^{\alpha}}\frac{\partial}{\partial q^{\beta}}e^{-i(p-q)\cdot(x-y)}
$$
(B13)

and then perform an integration by parts with respect to  $q$  we find that

$$
iS_{2}^{(2)} = \frac{1}{2} \frac{d}{ds} \frac{\kappa^{2} s}{\Gamma(s)} \int_{0}^{\infty} dite^{-i(m^{2} + \mu v + \frac{1}{2}\lambda v^{2})t} \left[ -(it)^{s} \frac{i}{(4\pi it)^{s}} \int dx \left[ (\mu + \lambda v) f(x) + \frac{1}{2} \lambda f^{2}(x) \right] + \frac{1}{2} (it)^{s+1} \int_{0}^{1} du (\mu + \lambda v)^{2} [f^{2}(x) + (it) u(1 - u) f(x) \partial^{2} f(x)] \right] \Big|_{0},
$$
\n(B14)

where we have used the integral

$$
\int \frac{d^n p}{(2\pi)^n} e^{-ip^2 t} = \frac{i}{(4\pi i)^{n/2}}.
$$
\n(B15)

<span id="page-16-1"></span>The integrals over  $t$  and  $u$  are now standard and we end up with

$$
iS_{2}^{(1)} = \frac{i}{32\pi^{2}} \int dx \left[ \left( \mu + \lambda v \right) f(x) + \frac{1}{2} \lambda f^{2}(x) \right] \left[ m^{2} + \lambda v + \frac{1}{2} \lambda v^{2} \right] \left[ 1 - \ln \left( \frac{m^{2} + \mu v + \frac{1}{2} \lambda v^{2}}{\kappa^{2}} \right) \right] - \frac{1}{2} (\mu + \lambda v)^{2} f^{2}(x) \ln \left( \frac{m^{2} + \mu v + \frac{1}{2} \lambda v^{2}}{\kappa^{2}} \right) + \frac{1}{2} \frac{(\mu + \lambda v)^{2} f(x) \partial^{2} f(x)}{(m^{2} + \mu v + \frac{1}{2} \lambda v^{2})} \right].
$$
 (B16)

Equation ([B16](#page-16-1)) agrees with what was obtained using different techniques in Ref. [[44](#page-18-0)].

The approach outlined for the simple scalar model of Eq. [\(B1](#page-15-2)) can easily be applied to compute terms in the derivative expansion of the effective action in more complicated models. For scalar electrodynamics with the classical action

$$
S_{\phi} = \int d^4x \bigg[ -(\partial_{\mu} + ieV_{\mu})\phi^*(\partial^{\mu} - ieV^{\mu})\phi
$$

$$
- \lambda(\phi^*\phi)^2 - \frac{1}{4}(\partial_{\mu}V_{\nu} - \partial_{\nu}V_{\mu})^2 \bigg], \qquad (B17)
$$

we again let  $\phi = f + h$  where f is the background field. Using the gauge fixing term

$$
S_{gf} = -\frac{1}{2\alpha} \int d^4x \left[ \partial \cdot V + \frac{ie\alpha}{2} (f^*h - fh^*) \right]^2 \quad (B18)
$$

and the attendant ghost action

$$
S_{gh} = \int d^4x \bar{c} \left[ \partial^2 - \frac{1}{2} e^2 \alpha (2f^* f + f^* h + f h^*) \right], \quad (B19)
$$

we find that the one loop effective action is given by

$$
iS^{(1)} = \text{Indet}[p^{2} + e^{2}\alpha(f_{1}^{2} + f_{2}^{2})]
$$
  
\n
$$
-\frac{1}{2}\text{Indet}\begin{bmatrix}p^{2} + 3\lambda f_{1}^{2} + (\lambda + \alpha e^{2})f_{2}^{2} & (2\lambda - \alpha e^{2})f_{1}f_{2} & -2ef_{2,\nu} \\ (2\lambda - \alpha e^{2})f_{1}f_{2} & p^{2} + (\lambda + \alpha e^{2})f_{1}^{2} + 3\lambda f_{2}^{2} & 2ef_{1,\nu} \\ -2ef_{2,\mu} & 2ef_{1,\mu} & p^{2}(T + \frac{1}{\alpha}L)_{\mu\nu} + e^{2}(f_{1}^{2} + f_{2}^{2})g_{\mu\nu} \end{bmatrix},
$$
\n(B20)

where  $f_1$  and  $f_2$  are the real and imaginary parts of f and  $T_{\mu\nu} = g_{\mu\nu} - p_{\mu}p_{\nu}/p^2$ ,  $L_{\mu\nu} = p_{\mu}p_{\nu}/p^2$  are a complete set of orthogonal projection operators.

Operator regularization can now be applied to this expression in the same way as it was applied to Eq. [\(B2](#page-15-1)); after the replacement  $f_1 \rightarrow v + f_1$  the Schwinger expansion is used to obtain all terms second order in  $f_1$  and  $f_2$  and these fields can then be expanded out to second order in a Taylor expansion about some point  $x$ . One could also expand in powers of the external field strength and its derivatives.

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