# *CP* violating lepton asymmetry from *B* decays in supersymmetric grand unified theories

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We investigate the effect of the dimuon *CP* asymmetry from the *B* decay modes, recently observed at 3.2 $\sigma$  deviation from the standard model (SM) by the D0 Collaboration, in the context of SU(5) and SO(10) grand unified theory models. We exhibit that a large amount of flavor violation between the second and the third generation is generated due to the large neutrino atmospheric mixing angle and this flavor violation can be responsible for the large *CP* asymmetry, i.e.,  $a_{sl}^s = (-12.7 \pm 5.0) \times 10^{-3}$  (assuming  $2\sigma$ error in the prediction of the standard model value of  $\Gamma_{12}^s/M_{12}^s$  and error in the lattice measurements of  $B_{B_s}f_{B_s}^2$ ) due to the presence of new phases which are not present in the Cabibbo-Kobayashi-Maskawa matrix in the Yukawa couplings. We also study the implication of the parameter space in these grand unified theory models with large *CP* violating lepton asymmetry for different phenomenologies, e.g.,  $Br(\tau \to \mu \gamma)$ ,  $Br(B_s \to \mu \mu)$  at the Fermilab, direct detection of dark matter in the ongoing detectors, and measurement of muon flux from solar neutrinos at the IceCube experiment.

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#### I. INTRODUCTION

Recently, the D0 Collaboration announced the appearance of a like-sign dimuon charge asymmetry in the semileptonic *b*-hadron decays measurement:  $A_{\rm sl}^b =$  $-0.00957 \pm 0.00251({\rm stat}) \pm 0.00146({\rm syst})$  [1]. In the standard model (SM), the prediction for the asymmetry is  $A_{\rm sl}^b({\rm SM}) = (-2.3^{+0.5}_{-0.6}) \times 10^{-4}$ , and the D0 experimental result differs from this by 3.2 standard deviations. In the absence of *CP* violation this quantity clearly vanishes, hence the D0 result leads us to a new physics (NP) which induces some *CP* violating flavor changing interactions beyond the SM.

The  $B\bar{B}$  mesons created in  $p\bar{p}$  collisions include both  $B_d(d\bar{b})$  and  $B_s(s\bar{b})$ . The quantities of the  $B_d$  system are well measured by B factories, and the unitarity triangle seems to be closed:  $V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$ . In this case, the asymmetry from the  $B_d$ - $\bar{B}_d$  oscillation allows for new phase ~11° [2]. A large *CP* violating phase could show up in the  $B_s$  system instead, namely, in the *b*-*s* transition. The D0 and CDF Collaborations have reported the existence of a *CP* violating phase,  $\phi_s$ , in the  $B_s$  system from the  $B_s \rightarrow J/\psi \phi$  decay [3,4]. In fact, their results differ from the SM prediction in a direction which is consistent with the signature of the like-sign dimuon asymmetry reported by the D0. This provides us an encouraging guide, pointing toward a source for new *CP* violation in the *b*-*s* transitions.

Supersymmetry (SUSY) is a very attractive candidate to build NP models. As it is well known, SUSY models have a natural dark matter candidate which is a neutralino as the lightest SUSY particle (LSP). Besides, the gauge hierarchy problem can be solved and a natural aspect of the theory can be developed from the weak scale to the ultrahigh energy scale. In fact, the gauge coupling constants of the standard model gauge symmetries can unify at a high scale using the renormalization group equations (RGEs) of the minimal SUSY standard model (MSSM). This indicates the existence of a grand unified theory (GUT) as the underlying principle for physics at the very high energy. The well-motivated SUSY GUT models have always been subjected to intense experimental and theoretical investigations. Identifying a GUT model, as currently is, will be a major focus of the upcoming experiments.

In SUSY models, the SUSY breaking mass terms for the squarks and sleptons must be introduced, and they provide sources for flavor changing neutral currents (FCNCs) and CP violation beyond the Kobayashi-Maskawa theory. In general, soft breaking terms generate too large FCNCs; hence a flavor universality is often assumed in the squark and slepton mass matrices to avoid large FCNCs in the meson mixings and lepton flavor violations (LFV) [5]. The flavor universality is expected to be realized by the Planck scale physics. However, even if the universality is realized at a high energy scale such as the GUT scale or the Planck scale, nonuniversality in the SUSY breaking sfermion masses is still generated through the evolutions of the RGEs, and this can lead to some small flavor violating transitions which could possibly be observed in the ongoing experiments. In some MSSM models with righthanded neutrinos, the induced FCNCs from the RGE effects are not large in the quark sector, while sizable effects can be generated in the lepton sector due to the large neutrino mixing angles [6]. Within GUTs, however, loop effects due to the large neutrino mixings can induce sizable FCNCs also in the quark sector since the GUT scale particles which connect the quark and lepton sectors can propagate in the loops [7]. As a result, the patterns of the induced FCNCs highly depend on the unification scenario and the heavy particle contents. Therefore, it is important to investigate FCNC effects to obtain a footprint of the GUT physics.

If the quark-lepton unification is manifested in a GUT model, the flavor violation in the *b*-*s* transition can be responsible for the large atmospheric neutrino mixing [8], and thus, the amount of flavor violation in the *b*-*s* transition (the second and the third generation mixing), which is related to the  $B_s$ - $\bar{B}_s$  mixing and its phase, has to be related to the  $\tau \rightarrow \mu \gamma$  decay [9–14] for a given particle spectrum. The branching ratio of the  $\tau \rightarrow \mu \gamma$  decay is being measured at the *B* factory, and thus, the future results on LFV and from the ongoing measurement of the  $B_s$ - $\bar{B}_s$  mixing phase will provide important information to probe the GUT scale physics.

In Refs. [9,11,13], the authors studied the correlation between the branching ratio of  $\tau \rightarrow \mu \gamma$  and the phase of the *b*-s transition in the frameworks of SU(5) and SO(10)GUT models. While performing the analysis, it is important to pay attention to the dependence on  $\tan\beta$ , which is the ratio of the vacuum expectation values of up- and down-type Higgs bosons. In the case of  $\tan\beta \leq 20$ , the gluino box diagram can dominate the SUSY contribution to the  $B_s$ - $\overline{B}_s$  mixing amplitude, while for large tan $\beta \gtrsim 30$ it can be dominated by the double penguin diagram contribution [15–17]. When the Dirac neutrino Yukawa coupling is the origin of the FCNC [we call this case a minimal type of SU(5)], the  $\tau \rightarrow \mu \gamma$  constraint gives a strong bound on the phase of the  $B_s$ - $\bar{B}_s$  mixing for smaller  $\tan\beta$ . On the other hand, when the Majorana neutrino Yukawa coupling is the source for the FCNC, both leftand right-handed squark mass matrices can have offdiagonal elements [we call this case a minimal type of SO(10)], the gluino box contribution is enhanced and a larger  $B_s$ - $B_s$  phase is possible compared to the SU(5) case. The double penguin contribution is proportional to  $\tan^4\beta$ , while the Br( $\tau \rightarrow \mu \gamma$ ) is proportional to tan<sup>2</sup> $\beta$ . As a result, for both SU(5) and SO(10) cases, a large phase of b-s transition is allowed. In that case, however,  $Br(B_s \rightarrow \mu \mu)$ constraint is more important since it is proportional to  $\tan^6\beta$  [18]. In other words, when the phase of the *b*-s transition is large due to the double penguin contribution,  $Br(B_s \rightarrow \mu \mu)$  has to be also enhanced. In fact, in [13] it was shown that a lower bound from  $Br(B_s \rightarrow \mu \mu)$  is obtained in the case of SU(5) GUT.

In Ref. [19], we also investigated the implication on the dark matter detection from the large  $B_s \cdot \overline{B}_s$  mixing. Assuming that the neutralino LSP is the dark matter candidate, the SUSY parameters are restricted by the relic density constraint. It was shown that the funnel region, in which the relic density constraint is satisfied through annihilation near the heavy Higgs pole, is favored by the flavor solution. Moreover, there is some correlation between flavor changing processes and the neutralino direct

detection cross section through the dependency on the *CP*-odd Higgs mass,  $m_A$ .

In this paper, we will sort out the GUT models, where the FCNC is due to the atmospheric neutrino mixing, to obtain a large *CP* asymmetry of the *B* decays. This investigation is important if the reported size of the like-sign dimuon charge asymmetry persists in the future with a smaller error. We show that it prefers the SO(10)-type boundary condition where Majorana neutrino couplings induce the FCNC and both left- and right-handed squark mass matrices have off-diagonal elements. Especially, for the SU(5) boundary condition where the Dirac neutrino Yukawa coupling induces the FCNC to produce a large *CP* asymmetry, a large value of  $\tan\beta$  is required and the SUSY mass spectrum is restricted. We will also study the implication of the dark matter direct and indirect detections from the constraints on the SUSY mass spectrum.

This paper is organized as follows: in Sec. II we discuss the resent results of *CP* violation in  $B_s$  decays; in Sec. III, we discuss the sources of FCNCs in the context of SUSY GUTs; in Sec. IV, we show constraints arising from the experimental constraints on different FCNC processes; in Sec. V, we discuss the constraints from the dark matter content of the Universe and predictions related to the direct and indirect detection experiments; and we conclude in Sec. VI.

## II. CP VIOLATION IN B<sub>s</sub> DECAYS

The dimuon like-sign asymmetry  $A_{sl}^b$  by D0 deviates from the SM prediction by  $3.2\sigma$ . The  $B\bar{B}$  samples created at the Tevatron include both  $B_d$  and  $B_s$ , and the asymmetry can be written as  $A_{sl}^b = (0.506 \pm 0.043)a_{sl}^d + (0.494 \pm 0.043)a_{sl}^s$  [1]. The pieces of  $a_{sl}^q$  (q = d, s) can be defined as  $a_{sl}^q = (r_q - \bar{r}_q)/(r_q + \bar{r}_q)$ , where  $r_q$  and  $\bar{r}_q$  are the ratios of  $B-\bar{B}$  mixings:  $r_q = P(\bar{B} \rightarrow B)/P(\bar{B} \rightarrow \bar{B})$  and  $\bar{r}_q = P(B \rightarrow \bar{B})/P(B \rightarrow B)$ . Since the  $B_d$  system is consistent with experiments, we assume that the *CP* asymmetry in the  $B_d-\bar{B}_d$  mixing is negligible. When we take the experimental data on dimuon asymmetry by CDF (1.6 fb<sup>-1</sup>) and on "wrong-charge" asymmetry in the semileptonic  $B_s$  decay by D0 into account, the asymmetry in  $B_s-\bar{B}_s$  mixing is extracted as follows [14,20–22]:

$$a_{\rm sl}^s = (-12.7 \pm 5.0) \times 10^{-3},$$
 (1)

which deviates from the SM prediction by about  $2.5\sigma$ .

When  $\Gamma_{12}^s \ll M_{12}^s$  ( $M_{12}^s$  is the mixing amplitude and  $\Gamma_{12}^s$  is the absorptive part of the mixing),  $a_{sl}^s$  is given as [23]

$$a_{\rm sl}^{s} = {\rm Im} \frac{\Gamma_{12}^{s}}{M_{12}^{s}} = \left| \frac{\Gamma_{12}^{s}}{M_{12}^{s}} \right| \sin \phi_{s}, \tag{2}$$

where  $\phi_s$  is  $\arg(-M_{12}^s/\Gamma_{12}^s)$ .

In many NP models, the  $\Delta B = 2$  (*B* is beauty) Hamiltonian can be easily modified (e.g. see for recent works motivated by the D0 results [14,20–22,24–26]). We parametrize the  $M_{12}^s$  as CP VIOLATING LEPTON ASYMMETRY FROM B ...

$$M_{12}^{s} = M_{12,\text{SM}}^{s} + M_{12,\text{NP}}^{s} = C_{s} M_{12,\text{SM}}^{s} e^{2i\phi_{B_{s}}}, \qquad (3)$$

where  $C_s$  is a real positive number. From the measurement of the mass difference,  $\Delta M_s = 2|M_{12}^s|$ , the experimental result is consistent with  $C_s = 1$ . When the  $\Delta B = 1$ Hamiltonian is the same (allowing modification at the 10% level) as the SM, even in the presence of new physics, the phase of  $\Gamma_{12}^s$  is almost the same as that of the SM, which is tiny ~0.04 (in usual phase convention where  $V_{cb}V_{cs}^*$ is almost real). In that case,  $\phi_s$  is the same as the phase  $[-2\beta_s = -2(\beta_s^{\text{SM}} + \phi_{B_s})]$  measured by  $B_s \rightarrow J/\psi\phi$ decay observation. Using the  $B_s \rightarrow J/\psi \phi$  decay, the decay width difference  $\Delta \Gamma_s = 2|\Gamma_{12}^s|\cos\phi_s$  is also measured. The parameters of  $B_s$ - $\overline{B}$  oscillations,  $\beta_s$  and  $\Delta\Gamma_s$ , have been measured at the Tevatron [3], and the CDF Collaboration showed their recent analysis till 5.2  $fb^{-1}$ of data [4]. It appears that the data statistics is very different over the periods  $(0-2.8 \text{ fb}^{-1} \text{ and } 2.8-5.2 \text{ fb}^{-1})$ . We will adopt their analysis for 0-5.2 fb<sup>-1</sup>. The CDF result on the phase  $2\beta_s$  differs from the SM prediction  $2\beta_s^{\text{SM}} \sim 0.04$  at the  $1\sigma$  level (D0 shows about  $2\sigma$  deviation for the same measurement for 2.8  $fb^{-1}$  data [3]), and the signature of the phase is consistent with the sign required to explain the anomalous like-sign dimuon charge asymmetry by D0.

The SM prediction on  $\Gamma_{12}^s/M_{12}^s$  is given by Lenz and Nierste [27]

$$\left|\frac{\Gamma_{12}^s}{M_{12}^s}\right|_{\rm SM} = (4.97 \pm 0.94) \times 10^{-3}.$$
 (4)

It was pointed out that theoretical prediction of the absolute value of  $a_{sl}^s$  is bounded from above and the bound is a little bit too small to explain the dimuon asymmetry by D0 if  $\Gamma_{12}^s$  is not modified [20,28]. This is because the experimental measurement of  $\Delta M_s = 2|M_{12}^s|$  is consistent with the SM prediction (which means  $C_s \approx 1$ ). Using the simple relation:

$$\left(\frac{\Delta\Gamma_s}{\Delta M_s}\right)^2 + (a_{\rm sl}^s)^2 = \left|\frac{\Gamma_{12}^s}{M_{12}^s}\right|^2 = \frac{1}{C_s^2} \left|\frac{\Gamma_{12}^s}{M_{12}^s}\right|^2_{\rm SM},$$
 (5)

we illustrate the current situation in Fig. 1. The solid circles correspond to the  $1\sigma$  boundaries in the case of  $C_s = 1$  by using the SM prediction by Lenz and Nierste. The colored (blue and red) solid curves correspond to the measurement from the  $B_s \rightarrow J/\psi\phi$  decay by CDF (0–5.2 fb<sup>-1</sup>). We assume that  $\phi_s = -2\phi_{B_s}$ , which means that  $\arg\Gamma_{12}^s = \arg\Gamma_{12,\text{SM}}^s$ . The horizontal colored (yellow) band is the  $1\sigma$  region of  $a_{\text{sl}}^s$ . As one can see, the  $1\sigma$  regions do not match well, independent of the choice of  $\phi_s$ , where  $\tan\phi_s = a_{\text{sl}}^s/(\Delta\Gamma_s/\Delta M_s)$ .

For the current situation, the combined analysis has a large error for  $a_{sl}^s$ ; the discrepancy is not very serious if the phase  $\phi_s$  is O(1) rad. However, the dimuon asymmetry measured by the D0 alone has a large center value [which corresponds to  $a_{sl}^s = (-19.4 \pm 6.1) \times 10^{-3}$ ], and if the



FIG. 1 (color online). The experimental and theoretical regions in the  $a_{s1}^s \Delta \Gamma_s / \Delta M_s$  plane. The yellow (shaded) region is the  $1\sigma$  region of the combined data from the semileptonic *B* decays. The red and blue lines are 95% and 68% boundaries from the  $B_s \rightarrow J/\psi \phi$  decay, assuming that the phase of  $\Gamma_{12}^s$  is the same as the phase of  $\Gamma_{12,SM}^s$ . The solid circles are the theoretical  $1\sigma$  boundaries using the numerical calculation in Ref. [27]. The dotted circle corresponds to the conservative theoretical region when one implements the remedies 2 and 3 in the text.

measurement of the dimuon asymmetry (or the wrongcharge muon asymmetry in the semileptonic  $B_s$  decays) becomes accurate in the future keeping the large center value of  $a_{sl}^s$ , one has to resolve this issue. To implement such possible future constraints, one can consider the following three typical remedies.

- (1) Add a ΔB = 1 effective Hamiltonian to modify Γ<sup>s</sup><sub>12</sub> or Γ<sup>d</sup><sub>12</sub> [21,22,25,26]: This is a direct resolution of this issue. If in the future measurements the phase of B<sub>s</sub> → J/ψφ is really diminished, this type of resolution will be needed. (In fact, the recent CDF data for the period 2.8–5.2 fb<sup>-1</sup> may indicate that the B<sub>s</sub> → J/ψφ phase is almost zero.) This effect in Γ<sup>s</sup><sub>12</sub> will be reflected in the τ(B<sub>s</sub>) measurement, where the ratio τ(B<sub>s</sub>)/τ(B<sub>d</sub>) can be predicted with high accuracy due to the cancellation of unknown nonperturbative effects. However, the
  - experimental measurement  $\tau(B_s)/\tau(B_d) = 0.965 \pm 0.017$ , with  $2\sigma$  deviation, still allows some room for new physics which produces about 5% effect in  $\Gamma_{12}^s$  [21].
- (2) Nonperturbative effects [22,28]: In this case, the numerical number in Eq. (4) is obtained by a two parameter expansion,  $\Lambda_{\text{OCD}}/m_b$  and  $\alpha_s(m_b)$ , using

operator product expansion and heavy quark expansion. In fact, it is known that nonperturbative effects may dominate in the  $D^0 - \overline{D}^0$  meson mixings, and it may be true that there is a large long distance contribution in the  $\Gamma_{12}^s$  (e.g. the intermediate states include  $D_s^+ D_s^-$ , which may lead to large nonperturbative effects). In the case of  $B_s$ - $\bar{B}_s$  mixings, each term of the next to leading order is about 30% of the leading order, and the expansion may be more reliable than in the case of  $D-\bar{D}$  mixing. However, a careful treatment is needed since the series may not be converging. Actually, the term which has the largest uncertainty in the next to leading order calculation gives a negative contribution to  $\Gamma_{12}^s$ , and the true numerical value may be larger than in Eq. (4). In that sense, the discrepancy is not so serious unless the  $B_s \rightarrow J/\psi \phi$  phase will become tiny with a small error in the future.

(3) Use the uncertainty of the bag parameter  $B_{B_s}$  and the decay constants  $f_{B_s}$ : The mixing amplitudes are proportional to  $B_{B_s}f_{B_s}^2$ , which has about 40% error. This factor is canceled in the ratio of  $\Gamma_{12}^s/M_{12}^s$ , and the SM prediction in Eq. (4) does not have the ambiguity. The parameter  $C_s$  in Eq. (5) may have the 40% error. However, since the ratio of the hadronic quantities for  $B_d$  and  $B_s$ , related to the SU(3) flavor violation, is more accurate [29]

$$\xi = \frac{f_{B_s} \sqrt{B_{B_s}}}{f_{B_d} \sqrt{B_{B_d}}} = 1.23 \pm 0.04, \tag{6}$$

the mass difference of  $B_d$  restricts the uncertainty of  $C_s$  less than 10% because of the relation

$$\left|\frac{M_{12}^s}{M_{12}^d}\right|_{\rm SM} = \frac{M_{B_s}}{M_{B_d}} \left|\frac{V_{ts}}{V_{td}}\right|^2 \xi^2.$$
(7)

Therefore, if one uses the full uncertainty of  $B_{B_s} f_{B_s}^2$ , one also has to modify  $|M_{12}^d|$  in the same rate as of  $|M_{12}^s|$ . In general, it is possible to do that, but one should be careful about the argument of  $M_{12}^d$  since the sin2 $\beta$  measurement is consistent with the SM. In SUSY models, the argument of  $M_{12}^d$  is related to the 13 mixing/23 mixing of the squark mass matrices, and in models where the FCNC is induced by the Dirac/Majorana neutrino Yukawa couplings, it is related to the size of the 13 neutrino mixing.

In this paper, we consider the case where  $\Gamma_{12}^s \simeq \Gamma_{12,SM}^s$ and the phase  $\phi_s$  comes from the  $M_{12}^s$  phase  $\phi_s = -2\phi_{B_s}$ , in SUSY models with *R*-parity conservation.

The dotted circle in Fig. 1 corresponds to the region when we used the  $2\sigma$  error of the Eq. (4) and 40% error from the  $B_{B_s} f_{B_s}^2$  and  $\Gamma_{12}^s = \Gamma_{12,\text{SM}}^s$ . Therefore, the absolute value of the phase  $\phi_s$  should be large  $\sim 50^\circ - 70^\circ$  to explain the large *CP* asymmetry  $a_{\text{sl}}^s$  using the  $2\sigma$  error in the

prediction of the SM value of  $\Gamma_{12}^s/M_{12}^s$  and 40% error from the  $B_{B_s}f_{B_s}^2$ .

By definition in Eq. (5), we obtain

$$\sin^2 \phi_{B_s} = \frac{\left(\frac{A_s^{\rm Nr}}{A_s^{\rm MN}}\right)^2 - (1 - C_s)^2}{4C_s},\tag{8}$$

where  $A_s^{\text{NP}} = |M_{12,\text{NP}}^s|$  and  $A_s^{\text{SM}} = |M_{12,\text{SM}}^s|$ . When  $C_s \simeq 1$ , we obtain  $2 \sin \phi_{B_s} \simeq A_s^{\text{NP}} / A_s^{\text{SM}}$ . Therefore, the NP contribution of  $M_{12}^s$  should be comparable to the SM contribution to obtain the large phase  $\phi_s \simeq -2\phi_{B_s}$ .

In SUSY models (for earlier studies on the dimuon asymmetry in SUSY models, see [30]), we need to realize  $A_s^{\text{NP}} \sim A_s^{\text{SM}}$  in order to explain the current combined data of *CP* asymmetry in *B* decay. As it is mentioned already in GUT models, the Dirac/Majorana neutrino Yukawa coupling can be a source for FCNC even in the quark sector. When the quark-lepton unification is manifested, the amount of  $A_s^{\text{NP}}$  is related to the lepton flavor violation  $\tau \rightarrow \mu \gamma$ , and will be bounded by the constraint on Br( $\tau \rightarrow \mu \gamma$ ). The main purpose of this paper is to study how to obtain a large  $A_s^{\text{NP}}$  when there is quark-lepton unification and after satisfying all the other experimental constraints.

## **III. FCNC SOURCES IN SUSY GUTS**

In SUSY GUT theories, it is often assumed that the SUSY breaking sfermion masses are flavor universal, but the off-diagonal elements of the mass matrices are generated by the loop effects. The FCNC sources are the Dirac/Majorana neutrino Yukawa couplings, which are responsible for the large neutrino mixings [6,7]. Since the left-handed leptons (L) and the right-handed down-type quarks  $(D^c)$  are unified in 5, the Dirac neutrino Yukawa couplings can be written as  $Y_{\nu ij} \mathbf{5}_i N_i^c H_{\mathbf{5}}$ , where  $N^c$  is the right-handed neutrino. The flavor nonuniversality of the SUSY breaking  $\tilde{D}^c$  masses is generated by the colored Higgs and the  $N^c$  loop diagram [8], and the nonuniversal part of the mass matrix is  $\delta M_{\tilde{D}^c}^2 \simeq -\frac{1}{8\pi^2} (3m_0^2 +$  $A_0^2)Y_{\nu}Y_{\nu}^{\dagger}\ln(M_*/M_{H_c})$ , where  $M_*$  is a cutoff scale (e.g. the Planck scale),  $M_{H_c}$  is a colored Higgs mass,  $m_0$  is the universal scalar mass, and  $A_0$  is the universal scalar trilinear coupling. The left-handed Majorana neutrino coupling  $LL\Delta_L$  [ $\Delta_L$  is an SU(2)<sub>L</sub> triplet] can also provide contributions to the light neutrino mass (type II seesaw [31]), and can generate the FCNC in the sfermion masses when the fermions are unified.

As a convention in this paper, we will call the model with the FCNC source arising from the Dirac neutrino Yukawa coupling as the minimal type of SU(5). In this case, the off-diagonal elements of **10** (Q,  $U^c$ ,  $E^c$ ) representations are small because they originate from the Cabibbo-Kobayashi-Maskawa (CKM) mixings. In a competitive model which we call the minimal type of SO(10), the Majorana couplings, which contribute to the neutrino

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mass, generate the off-diagonal elements for all sfermion species since the Majorana couplings  $f_{ij}L_iL_j\Delta_L$  can be unified to the  $f_{ij}$ **16**<sub>*i*</sub>**16**<sub>*j*</sub>**126** coupling [32].

We note that when the source is not specified, such as the case for the Dirac Yukawa coupling, the off-diagonal elements [of **10** multiplets in SU(5)] can be large in general. In our convention of SU(5) and SO(10) models, the sources of the off-diagonal elements are specified, and only the right-handed down-type squark mass matrix has sizable off-diagonal elements in SU(5), while both left- and right-handed squark mass matrices can have sizable off-diagonal elements in SO(10).

The nonuniversal part generated from the Dirac/ Majorana couplings,  $Y_{\nu}$  and f, is proportional to  $Y_{\nu}Y_{\nu}^{\dagger}$ and  $ff^{\dagger}$ . In general, therefore, the squark and slepton mass matrices due to the loop correction can be parametrized as

$$M_{\tilde{F}}^2 = m_0^2 [1 - \kappa_F U_F \operatorname{diag}(k_1, k_2, 1) U_F^{\dagger}], \qquad (9)$$

where F = Q,  $U^c$ ,  $D^c$ , L, and  $E^c$ . The unitary matrix  $U_F$  is equal to the neutrino mixing matrix in a limit [33]. The quantity  $\kappa_F$  denotes the amount of the off-diagonal elements and it depends on the sfermion species. In the minimal type of SU(5), since the offdiagonal elements of the SUSY breaking mass matrix for the left-handed lepton doublet get the correction,  $\delta M_{\tilde{L}}^2 \simeq -1/(8\pi^2)(3m_0^2 + A_0^2)\sum_k (Y_\nu)_{ik}(Y_\nu)_{jk}\ln(M_*/M_k)$ , where  $M_*$  is a scale that the flavor universality is realized,  $\kappa_L$ can be written as  $\kappa_L \sim (Y_{\nu}^{\text{diag}})_{33}^2 (3 + A_0^2/m_0^2)/(8\pi^2) \times \ln(M_*/M_R)$ , where  $M_R$  is a Majorana mass of the righthanded neutrino. If the GUT threshold effects are neglected, we have  $\kappa_{\bar{5}} = \kappa_L = \kappa_{D^c}$ , and  $U_{\bar{5}} = U_L =$  $U_{D^c}$ . In general, the fermion mass matrices arise from the sum of the Yukawa terms and the equality of  $U_{D^c}$  and  $U_L$ can be completely broken when there are cancellations among the minimal Yukawa term and additional Yukawa terms. Here, we consider a model where the (near) equality between  $U_{D^c}$  and  $U_L$  (especially for the 23 mixing angle of them) is maintained as a "minimal type" assumption. The assumption is natural if there is a dominant Yukawa contribution and corrections to fit realistic masses and mixings are small. The unitary matrices for Q,  $U^c$ , and  $E^c$  species are related to the CKM matrix, and can generate only negligible effects to the following discussion. Therefore, the SU(5) boundary condition, we assume, is as follows:

$$SU(5): \kappa_L = \kappa_{D^c}, \qquad U_L = U_{D^c},$$
  

$$\kappa_Q = \kappa_{U^c} = \kappa_{E^c} = 0.$$
(10)

This boundary condition will be used for discussions in the following sections. In the minimal type of the SO(10) model, all  $U_F$  can have large mixings responsible for the neutrino mixings. If the threshold effects are neglected, one finds  $\kappa_{16} \simeq 15/4(f_{33}^{\text{diag}})^2(3 + A_0^2/m_0^2)/(8\pi^2) \ln M_*/M_U$ , where  $M_U$  is a SO(10) unification scale. In general, however, the equality of all  $\kappa_F$  in the SO(10) boundary condi-

tion is broken by threshold effects. A detail physical interpretation of this parametrization is given in [13,33]. The SO(10) boundary condition, we assume, is as follows: SO(10):  $\kappa = \kappa = \kappa = \kappa$ 

$$\begin{aligned} & SO(10). \ \kappa_Q - \kappa_{U^c} - \kappa_{D^c} - \kappa_L - \kappa_{E^c}, \\ & U_Q = U_{U^c} = U_{D^c} = U_L = U_{E^c}. \end{aligned}$$
(11)

When the Dirac neutrino Yukawa coupling  $Y_{\nu}$  or the Majorana coupling f is hierarchical, we obtain  $k_1, k_2 \ll 1$  and then the 23 element of the sfermion mass matrix is  $-1/2m_0^2 \kappa \sin 2\theta_{23} e^{i\alpha}$ . The magnitude of the FCNC between 2nd and 3rd generations is controlled by  $\kappa \sin 2\theta_{23}$ , where  $\theta_{23}$  is the mixing angle in the unitary matrix. The phase parameter  $\alpha$  also originates from the unitary matrix, and it will be the origin of a phase of the FCNC contribution.

We can modify the absolute value of  $B_d \cdot \bar{B}_d$  mixing amplitude  $|M_{12}^d|$  as well as its argument (the asymmetry from the  $B_d \cdot \bar{B}_d$  oscillation allows for new phase ~11° [2]) in order to enhance the asymmetry  $a_{sl}^s$ . The 13 element of the sfermion mass matrix is  $\kappa(-1/2k_2 \sin 2\theta_{12} \sin \theta_{23} + e^{i\delta} \sin \theta_{13} \cos \theta_{23})$  [33], where  $\theta_{ij}$  are the mixing angles and  $\delta$  is a phase in the unitary matrix. Choosing small values for the parameters  $k_2$  and  $\theta_{13}$ , one can realize the preferred situation.

# IV. CONSTRAINTS FROM THE FCNC PROCESSES IN SUSY GUTS

In the MSSM with flavor universality, the chargino box diagram dominates the SUSY contribution to  $M_{12}^s$ . In the general parameter space of the soft SUSY breaking terms, the gluino box diagram can dominate the SUSY contribution for a lower tan $\beta$  (i.e. tan $\beta \leq 20-30$ ). The gluino box contribution is enhanced if both left- and right-handed down-type squark mass matrices have off-diagonal elements [34], and therefore, it is expected that the SUSY contribution to the  $B_s$ - $\overline{B}_s$  mixing amplitude is large for the SO(10) model with type II seesaw, compared to the minimal type of the SU(5) model [11].

When the lepton flavor violation is correlated to the flavor violation in the right-handed down-type squark, the  $\tau \rightarrow \mu \gamma$  decay gives us the most important constraint to obtain the large  $B_s \cdot \bar{B}_s$  phase [11,12]. Furthermore, the squark masses are raised much more compared to the slepton masses due to the gaugino loop contribution since the gluino is heavier compared to the bino and the wino at low energy (assuming the gaugino mass universality at a high energy scale such as the GUT scale), and thus the lepton flavor violation will be more sizable compared to the quark flavor violation. The current experimental bound on the branching ratio of  $\tau \rightarrow \mu \gamma$  is [35]

$$\operatorname{Br}\left(\tau \to \mu \gamma\right) < 4.4 \times 10^{-8}.\tag{12}$$

In order to allow for a large phase in the  $B_s$ - $\bar{B}_s$  mixing, a large flavor-universal scalar mass at the cutoff scale is

preferable. The reasons are as follows. The gaugino loop effects are flavor invisible and they enhance the diagonal elements of the scalar mass matrices while keeping the offdiagonal elements unchanged. If the flavor-universal scalar masses at the cutoff scale become larger, both  $Br(\tau \rightarrow \mu \gamma)$  and  $\phi_{B_s}$  are suppressed. However,  $Br(\tau \rightarrow \mu \gamma)$  is much more suppressed compared to  $\phi_{B_s}$  for a given  $\kappa \sin 2\theta_{23}$  because the low energy slepton masses are sensitive to  $m_0$  while the squark masses are not so sensitive due to the gluino loop contribution to their masses. The large Higgsino mass,  $\mu$ , is also helpful to suppress the dominant chargino contribution, however, will become large when  $\mu$  is large due to the large left-right stau mixing.

In Fig. 2, we plot the magnitude of  $A_s^{NP}/A_s^{SM}$  as a function of  $m_5$  [the SUSY breaking mass of  $\overline{\mathbf{5}} = (D^c, L)$ at the unification scale], when the  $\tau \rightarrow \mu \gamma$  bound, Eq. (12) , is saturated, for various mass parameters in the case of  $\tan\beta = 10$ . We choose the unified gaugino mass as  $m_{1/2} =$ 300 GeV, and the universal scalar trilinear coupling as  $A_0 = 0$ . In the case of SU(5), the SUSY breaking mass of  $\mathbf{10} = (Q, U^c, E^c), m_{10}$ , can be different from  $m_5$ . As one can see, in order to achieve  $A_s^{\text{NP}}/A_s^{\text{SM}} \sim 1$ , the mass parameters should be around 2 TeV. In the case of SO(10), the sfermion masses are unified,  $m_0 = m_5 = m_{10}$ , and thus we only change  $\mu$  in the two plots in the figure. As one can see, the NP contribution in SO(10) can be much bigger than the SU(5) case. This is the consequence of the fact that both left- and right-handed squark mass matrices can have sizable off-diagonal elements from the Majorana neutrino coupling in SO(10) case.



FIG. 2. The possible SUSY contributions are plotted when the  $\tau \rightarrow \mu \gamma$  bound is saturated. The SO(10) boundary condition can give a larger SUSY contribution than the SU(5) boundary condition. We choose  $m_{1/2} = 300$  GeV and  $\tan \beta = 10$  for this plot. The detail to draw the plot is written in the text. The relation between the *CP* phase  $\phi_s = -2\phi_{B_s}$  and  $A_s^{NP}/A_s^{SM}$  is given in Eq. (8).

In the lower  $\tan\beta$  case, however, the amount of nonuniversality  $\kappa$  has to be large  $\geq 0.3$  to achieve  $A_s^{\text{NP}}/A_s^{\text{SM}} \sim 1$ , especially in SU(5). Such a sizable  $\kappa$  is possible if  $A_0/m_0$  is large, but the large  $\kappa$  is not preferable as long as it is the RGE induced origin from the Planck scale and the GUT scale. Besides, the muon g - 2 anomaly [36] is also suppressed when  $\tau \rightarrow \mu \gamma$  is suppressed. This is not good since the deviation of g - 2 from the SM prediction is now estimated about  $3.2\sigma$  [37] to  $4\sigma$  level [38]. When  $\tan\beta$  is larger (> 30-40), the so-called double Higgs penguin diagram dominates the contribution rather than the box diagram, and  $\kappa$  can be smaller  $\leq 0.1$  to achieve  $A_s^{\text{NP}}/A_s^{\text{SM}} \sim 1$ . In this case, we do not need to suppress  $\tau \rightarrow \mu\gamma$ , and the muon g - 2 anomaly can be explained.

The double Higgs penguin (flavor changing neutral Higgs interaction) is generated as follows [18]. In SUSY models, only the holomorphic coupling is allowed for the Yukawa coupling. When SUSY is broken, the nonholomorphic coupling is generated by the finite corrections. For the down-type quark, the Yukawa coupling is

$$\mathcal{L}^{\text{eff}} = Y_d Q D^c H_d + Y'_d Q D^c H_u^*.$$
(13)

The second term is the nonholomorphic term, and  $Y'_{d23}$ and  $Y'_{d32}$  are roughly proportional to  $\tan\beta$ . Since we work on the basis where the down-type quark mass matrix  $(M_d = Y_d v_d + Y'_d v_u)$  is diagonal, the following flavor changing Higgs coupling can be obtained:

$$Y'_d Q D^c H^*_u - Y'_d \frac{v_u}{v_d} Q D^c H_d.$$
(14)

The dominant flavor changing neutral Higgs coupling is roughly obtained from the second term, and it is proportional to  $\tan^2\beta$ . The  $B_s$ - $\bar{B}_s$  mixing can be generated from a double penguin diagram, and the mixing amplitude is proportional to  $\tan^4\beta$ . Since the Br( $\tau \rightarrow \mu\gamma$ ) is proportional to  $\tan^2\beta$ , the double penguin contribution for large  $\tan\beta$  is preferable to obtain  $A_s^{NP}/A_s^{SM} \sim 1$  satisfying the  $\tau \rightarrow \mu\gamma$  constraint in GUT models.

The effective flavor changing Higgs couplings are written as

$$X_{RL}^{Sij}(\bar{d}_i P_R d_j) S^0 + X_{LR}^{Sij}(\bar{d}_i P_L d_j) S^0,$$
(15)

where  $S^0$  represents for the neutral Higgs fields, S = [H, h, A], where *H* and *h* stand for heavier and lighter *CP* even neutral Higgs fields, and *A* is a *CP* odd neutral Higgs field (pseudo-Higgs field). The couplings are

$$X_{RL}^{Sij} = Y'_{dij} \frac{1}{\sqrt{2}\cos\beta} [\sin(\alpha - \beta), \cos(\alpha - \beta), -i], \quad (16)$$

$$X_{LR}^{Sij} = Y'_{dji} \frac{1}{\sqrt{2}\cos\beta} [\sin(\alpha - \beta), \cos(\alpha - \beta), i], \quad (17)$$

where  $\alpha$  is a mixing angle for *h* and *H*. The double penguin diagram including both left- and right-handed Higgs penguins which is proportional to the factor

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$$\frac{\sin^2(\alpha - \beta)}{m_H^2} + \frac{\cos^2(\alpha - \beta)}{m_h^2} + \frac{1}{m_A^2},$$
 (18)

and the double penguin contribution is naively proportional to  $X_{RL}^{23} X_{LR}^{23}/m_A^2$  [15–17]. On the other hand, the double lefthanded (or double right-handed) penguin contribution  $\propto$  $(X_{LR}^{23})^2$  [or  $(X_{RL}^{23})^2$ ] is tiny because  $\cos(\alpha - \beta) \approx 0$  and  $m_A \approx m_H$  for  $\tan\beta \gg 1$  and  $m_A > m_Z$ .

In the flavor-universal SUSY breaking, the right-handed penguin coupling  $X_{RL}^{23}$  is tiny, and the double penguin contribution cannot be sizable even for a large tan $\beta$ . However, when the right-handed mixing is generated in the SUSY GUT models, the double penguin diagram can be sizable for large tan $\beta$ . We note that if there is a FCNC source in the right-handed squark mass matrix, we do not need the off-diagonal elements in the left-handed squark mass matrix in order to generate the sizable double penguin contribution. Therefore, even in the minimal type of the SU(5) model, the double penguin contribution can be sizable when tan $\beta$  is large.

In Fig. 3, we plot the  $\tan\beta$  dependence of  $A_s^{\text{NP}}/A_s^{\text{SM}}$ when  $\text{Br}(\tau \to \mu\gamma)$  saturates the experimental bound for two Higgsino masses  $\mu$ . We choose the unified gaugino mass as  $m_{1/2} = 300$  GeV, and the SUSY breaking scalar masses as  $m_0 = m_5 = m_{10} = 800$  GeV, and  $A_0 = 0$ . We assume  $m_{H_u}^2 = m_{H_d}^2$  for the SUSY breaking Higgs mass at the unification scale just for reducing the number of parameter. As one can see,  $A_s^{\text{NP}}/A_s^{\text{SM}}$  becomes smaller for  $\tan\beta \sim 20$ . This is because  $\text{Br}(\tau \to \mu\gamma)$  is proportional to  $\tan^2\beta$  while the box contribution does not depend on  $\tan\beta$ . The double penguin contribution is proportional to  $\tan^4\beta$ , and thus the amplitude can become larger for  $\tan\beta > 30$ .



FIG. 3. The possible SUSY contributions are plotted as a function of  $\tan\beta$  when the  $\tau \rightarrow \mu\gamma$  bound is saturated. The SO(10) boundary condition can give a larger SUSY contribution than the SU(5) boundary condition. We choose  $m_{1/2} = 300$  GeV and  $m_0 = 800$  GeV. The detail to draw the plot is written in the text.

For large  $\tan\beta > 40$ , the constraint from  $Br(B_s \rightarrow \mu \mu)$ [39]

$$\operatorname{Br}(B_s \to \mu \,\mu) < 4.3 \times 10^{-8}, \tag{19}$$

becomes more important, since it is proportional to  $\tan^6\beta$ . In the plots, the lines are terminated when the  $B_s \rightarrow \mu\mu$  bound is saturated.

The left-handed flavor changing Higgs coupling  $X_{LR}^{23}$  can be generated by the chargino diagram even if the flavor violating source is only the CKM mixing. Therefore, the mixing amplitude can be enhanced when only the righthanded down-type squark mass matrix has the off-diagonal element such as in the SU(5) case. The left-handed source of the FCNC is also helpful to enhance the mixing amplitude since it can give a constructive contribution to the lefthanded penguin. In fact, in the SO(10) boundary condition, there is additional phase freedom from the Yukawa matrix, and the phases of the off-diagonal elements for left- and right-handed squark mass matrices are independent in the basis where the down-type quark mass matrix is real and positive diagonal matrix. As a consequence, even if we fix the phase of  $M_{12,SUSY}^s$ , there remains one more phase freedom. In Fig. 2, actually, we choose the additional phase in the left-handed off-diagonal element to make the constructive contribution to the mixing amplitude. Therefore, the mixing amplitude under the SO(10) boundary condition can be larger than the case in SU(5).

It is interesting to note that the chargino contribution to  $b \rightarrow s\gamma$  is destructive when the Higgs penguin contribution is constructive [13,16]. This is roughly because the electric charge of the down quark is negative, and the signatures of amplitudes for  $b \rightarrow s\gamma$  and the finite correction of the down-type quark mass matrix are opposite. As a result, the SO(10) boundary condition can be also preferable from the  $b \rightarrow s\gamma$  constraint.

We comment on the GUT threshold effects for the boundary conditions, Eqs. (10) and (11). In the SO(10) case, the flavor violation pattern in the lepton sector and the quark sector can depend on the SO(10) symmetry breaking vacua. Actually, in order to forbid a rapid proton decay, the quark flavor violation should be larger than the lepton flavor violation among the symmetry breaking vacua [40]. Namely, it is expected that  $\kappa_O$ ,  $\kappa_{U^c}$ , and  $\kappa_{D^c}$  are much larger than  $\kappa_L$  and  $\kappa_{E^c}$ . For example, if only the Higgs fields (8, 2,  $\pm 1/2$ ) are light compared to the breaking scale (which is the most suitable case), one obtains  $\kappa_O = \kappa_{U^c} =$  $\kappa_{D^c}$ , and only quark flavor violation is generated, while the lepton flavor violation is not generated. On the other hand, when the flavor violation is generated from the minimal type of SU(5) vacua with the type I seesaw, it is expected that  $\kappa_L$  is always larger than  $\kappa_{D^c}$  since the right-handed Majorana mass scale is less than the scale of colored Higgs mass. Therefore, the existence of b-s transition indicated by the experimental results in Fermilab predicts the sizable lepton flavor violation in the minimal type of the SU(5)

model. In other words, if the results of a large  $B_s$ - $\overline{B}_s$  phase are really an evidence of NP, the minimal types of SU(5) GUT models are restricted severely [10–12].

# V. CONSTRAINTS FROM THE NEUTRALINO DARK MATTER

The cosmic microwave background anisotropy measurement by WMAP [41] put a stringent constraint on the SUSY parameter space through the dark matter requirement. Within  $2\sigma$ , the neutralino relic density should be  $0.106 < \Omega h^2 < 0.121$ . This is assuming that dark matter consists solely of a neutralino, i.e. smaller relic density cannot be a priori excluded. In SUSY models with universal gaugino and sfermion masses,  $m_{1/2}$  and  $m_0$ , respectively, it is well known that there are five distinct regions that satisfy the relic density constraint: (a) the bulk region where both  $m_{1/2}$  and  $m_0$  are small, (b) the neutralino-stau coannihilation region where the lightest stau mass is almost degenerate with the neutralino mass, (c) the focus point (FP)/hyperbolic region at large  $m_0$  where the  $\mu$ parameter becomes small and the lightest neutralino gets more Higgsino content, (d) the funnel region where the heavy Higgs masses  $(m_A \text{ and } m_H)$  are about twice the neutralino mass, and (e) the neutralino-stop coannihilation region where the lightest stop mass is suppressed by large off-diagonal terms when the trilinear coupling parameter  $A_0$  is large. In our analysis we assume that the Higgs soft masses are not tied to  $m_0$ , and from this assumption we have two more free parameters  $\mu$  and  $m_A$ . In such models there could be another dark matter region, in addition to the five regions above, i.e. the neutralino-sneutrino coannihilation region at large  $m_A$  and/or  $\mu$  [42].

The neutralino dark matter hypothesis is very attractive, and there are lots of activities, experimentally and theoretically, to discover the dark matter candidate. As a weakly interacting massive particle (WIMP), the lightest neutralino can in principle be detected directly by ultrasensitive detectors. Such experiments are now reaching  $O(10^{-8} \text{ pb})$  sensitivity level for certain values of neutralino mass [43,44]. Neutralino particles in the Galaxy can also give out some indirect signals through their annihilation, in particular, from some regions where neutralinos can accumulate due to some gravitational potential attractors. It was pointed out that high energy neutrino flux from the Sun can potentially be a clear signal of dark matter annihilation in the Sun [45], and this is currently being searched by the IceCube experiment and its upgrade with DeepCore which can lower the neutrino energy threshold for the detector [46].

To study the dark matter aspect of our model, we calculate the neutralino relic density, the neutralino-proton elastic scattering cross section, and the muon flux induced by solar neutrinos from neutralino annihilation. For the muon flux calculation we use the DARKSUSY program version 5.0.5 [47] which utilizes the results of WIMPSIM [48], interfaced with our own spectrum program. Solar neutrino from WIMP dark matter in various models has recently also been analyzed in [49–51]. We assume the NFW profile [52] for our analysis. As mentioned in [49], there are uncertainties in the neutralino-nucleon cross section due to the strange quark role in the interaction. We use their default values for  $\Sigma_{\pi N}$  and  $\Delta_s$ , i.e.  $\Sigma_{\pi N} = 64$  MeV, and  $\Delta_s^{(p)} = -0.09$ .

Since the parameter space in the minimal type of SU(5) is restrictive (in other words, the mass spectrum is constrained), the discovery potential of this region appears to be very promising at the LHC, and at the direct and indirect dark matter search experiments [19]. Since small values of  $\mu$  are not preferable due to the  $\tau \rightarrow \mu \gamma$  constraint, the WMAP relic density prefers the funnel solution (i.e. the neutralinos annihilate through the heavy Higgs bosons pole). It is also true that a small value of  $m_A$  is preferred to enhance the double penguin contribution. In that case, the spin-independent neutralino-nucleon scattering cross section can be enhanced.

In Fig. 4, we show the allowed region in the  $m_A$ - $\mu$ plane when  $A_s^{\text{NP}}/A_s^{\text{SM}} = 1$  in the SU(5) case,  $\kappa_L = \kappa_{D^c}$ . For the left panel we choose as SUSY parameters  $\tan\beta =$ 40,  $m_{1/2} = 500$  GeV,  $m_0 = 1$  TeV, and  $A_0 = 0$ . The same parameters are used for the right panel except for  $m_{1/2} =$ 800 GeV. The yellow (light shaded region on the right side of the graphs) and gray (appearing mostly on the left side of the graphs) regions are excluded by the  $\tau \rightarrow \mu \gamma$  and  $B_s \rightarrow \mu \mu$  constraints, respectively. The red-brown (dark shaded region mostly on the right bottom side of the graphs) region is excluded because the lightest stau is the LSP there, and therefore the neutralino cannot be the dark matter candidate. The WMAP relic density range is obeyed for the neutralino in the thick blue (dark) bands. The solid green (thin light colored) lines are contours for the scalar neutralino-proton elastic scattering cross section of 5, 1,  $0.1 \times 10^{-8}$  pb, respectively, from left to right. The almost horizontal dashed green lines are for the spin-dependent cross section, 5, 10,  $50 \times 10^{-8}$  pb, respectively, from top to bottom. We also show contours of muon flux, labeled as Ex-y, where x is the assumed detector energy threshold in GeV and y is the flux in  $\text{km}^{-2}$  yr<sup>-1</sup>. We show two cases for E (threshold): 100 and 10 GeV, dotted and solid lines, respectively. As we can see, the Br( $\tau \rightarrow \mu \gamma$ ) constraint is important. In the left plot, the funnel happens at relatively small  $m_A$ , and a large part is allowed. In the right plot, however, the funnel is shifted to the right due to the larger neutralino mass. In the later case, we need much larger  $\mu$  to satisfy the Br( $\tau \rightarrow \mu \gamma$ ) constraint, although there would be an upper bound on  $\mu$  due to the sneutrino LSP region [42].

The muon flux rate is correlated to the neutralino-proton scattering cross section since this cross section determines the number of neutralinos accumulated in the core of the Sun, hence the neutralino annihilation rate there.



FIG. 4 (color online). (Left panel) The  $m_A$ - $\mu$  plane in the SU(5) model with  $\tan\beta = 40$ ,  $m_{1/2} = 500$  GeV,  $m_0 = 1$  TeV,  $A_0 = 0$ , and  $A_s^{\text{NP}}/A_s^{\text{SM}} = 1$ , showing various constraints from flavor and dark matter sectors as discussed in the text. (Right panel) Same plot for  $m_{1/2} = 800$  GeV.

Since protons constitute a large portion of the Sun, both the spin-dependent and independent neutralino-proton cross sections are comparably important. For most of the MSSM parameter space the spin-dependent part is larger than the scalar part, and this leads to a widespread misconception that for solar neutrino flux calculation only the spin-dependent cross section is important. However, there are some regions of the parameter space where the scalar and the spin-dependent cross section are about the same order of magnitude, and in this case the scalar contribution is also significant in determining the flux. This was also pointed out by [49]. We can see in Fig. 4 that for small  $m_A$ the scalar cross section is quite large, and the muon flux is following the scalar contour. For large  $m_A$ , however, the spin-dependent part becomes larger than the scalar part, and the muon flux contour is flattened out. Furthermore, the solar neutrino flux also depends on the branching fractions of the neutralino annihilation. Near the stau LSP region, the lighter stau mass is relatively small, enhancing the  $\tau^+\tau^-$  channel, which in turn increases the flux (visible on the left panel). In the middle of the funnel region, the neutralino relic density is much below the lower bound of the WMAP range. We rescale down the muon flux due to this fact, and this is seen as a drop on the muon flux rate contour.

The IceCube with DeepCore can potentially detect neutrinos with energy down to 10 GeV [53]. It appears that for the left plot large allowed region, i.e., the entire left band of the funnel region and part of the right band, can be detected. Note, however, that we should also consider the backgrounds to have a clear discovery [50], and therefore a sufficiently large amount of data would need to be collected. For the plot on the right side, however, it would be very difficult for the IceCube with DeepCore to probe the WMAP region not already excluded by the Br( $\tau \rightarrow \mu \gamma$ ) constraint.

In the SO(10) case, the figures for the same parameter space remain unchanged qualitatively, except for a lower  $\mu$  region where the chargino contribution of the Higgs penguin from the left-handed squark FCNC is important. As mentioned in the previous section, when the SO(10) breaking vacua is chosen to satisfy the proton decay constraint while gauge unifications are maintained, there is no stringent constraint from  $\tau \rightarrow \mu \gamma$ , and a larger region of parameter space is allowed. Consequently, the dark matter direct and indirect detections will play more significant roles in excluding the parameter space.

#### **VI. DISCUSSIONS**

In this paper, we investigated the effect of the recent dimuon CP asymmetry from B decay modes observed at 3.2 $\sigma$  deviation from the standard model by the D0 Collaboration in the context of R-parity conserving SUSY GUT models and show that a large amount of flavor violation between the second and the third generation can be generated. Not only does the large flavor violation arise due to a large atmospheric mixing angle, but also new CPphases are obtained from the Yukawa interactions in grand unification, and they are responsible for the observed large CP asymmetry.

Because of the quark-lepton unification, the CP asymmetry is restricted due to the  $\tau \rightarrow \mu \gamma$  bound. This restriction depends on the source of flavor violation [Dirac neutrino Yukawa coupling in the minimal type of SU(5)or Majorana neutrino Yukawa coupling in the minimal type of SO(10)], SUSY mass spectrum (larger SUSY breaking masses are preferred), and dominant diagram (box diagram for lower  $\tan\beta$  or double Higgs penguin diagram for larger  $\tan\beta$ ). We find that large values of  $\tan\beta$  (= 30–50) are preferred because the CP asymmetry is enhanced via the double Higgs penguin diagram, whose contribution is proportional to  $\tan^4\beta$  and a sizable contribution to the flavor violating b-s transition can be easily realized. We found that the minimal type of SO(10) is preferred due to the fact that both left- and right-handed squark mass matrices can have FCNC sources. The intermediate values of  $\tan\beta$  $(\tan\beta = 20-30)$  are not very preferable. In the case of the minimal type of SU(5),  $\tan\beta$  should be large to make the double penguin diagram dominant. In that case,  $B_s \rightarrow \mu \mu$  is enhanced and it provides a lower bound of  $Br(B_s \rightarrow \mu \mu)$ , which is about  $1 \times 10^{-8}$ , in order to obtain a large *CP* asymmetry.

The symmetry breaking vacua can be chosen to make the quark-lepton unification relaxed in the SO(10) case, while in the SU(5) case where the neutrino Dirac Yukawa coupling is the source of FCNCs, the leptonic FCNC is always larger than the quark FCNC. Therefore, the bound from the

LFV in the SU(5) is more stringent, and in other words, the spectrum is more predictive to obtain the large CP asymmetry indicated by the like-sign dimuon charge asymmetry. In fact, in order to satisfy the dark matter content of the Universe, the CP asymmetry prefers the funnel solution where the lightest neutralinos annihilate through the heavier Higgs bosons pole. Such a restriction on the spectrum from the flavor physics would allow us to observe this parameter space at the LHC and at the direct and indirect dark matter detection facilities more easily. We showed that the high energy neutrino flux from the Sun from the neutralino annihilation can be detectable at IceCube with DeepCore for some regions of parameter space.

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