Nonlinear preheating with scalar metric perturbations

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(Received 3 October 2007; published 18 January 2008)

We have studied preheating of field perturbations in a 3-dimensional lattice including the effect of scalar metric perturbations in two generic models of inflation: chaotic inflation with a quartic potential and standard hybrid inflation. We have prepared the initial state for the classical evolution of the system with vanishing vector and tensor metric perturbations, consistent with the constraint equations, the energy and momentum constraints. The nonlinear evolution inevitably generates vector and tensor modes, and this reflects on how well the constraint equations are fulfilled during the evolution. The induced preheating of the scalar metric perturbations is not large enough to backreact onto the fields, but it could affect the evolution of vector and tensor modes. This is the case in hybrid inflation for some values of the coupling *g* and the height of potential $V_0^{1/4}$. For example with $V_0^{1/4} \approx 10^{15}$ GeV, preheating of scalar perturbations is such that their source term in the evolution equation for tensor and vector fluctuations becomes comparable to that of the field anisotropic stress.

DOI: [10.1103/PhysRevD.77.023520](http://dx.doi.org/10.1103/PhysRevD.77.023520) PACS numbers: 98.80.Cq, 11.30.Pb, 12.60.Jv

I. INTRODUCTION

Cosmological observations, and, in particular, cosmic microwave background (CMB) measurements $[1-5]$ $[1-5]$, are consistent with an early period of inflation, which among other things accounts for the inferred flatness of the Universe and gives rise to the primordial curvature perturbation which would seed the large scale structure observed today. The value of the primordial power spectrum at large scales was first measured by the Cosmic Background Explorer experiment [[1\]](#page-12-0), and it has been confirmed by all subsequent CMB experiments. The spectrum is consistent with a Gaussian, and practically scale-invariant spectrum, although the latest data from the Wilkinson Microwave Anisotropy Probe [\[5](#page-12-1)] seems to prefer a red-tilted spectrum when no tensor and no other contributions are included, showing a positive correlation between the tensor-to-scalar ratio and the spectral index [[6](#page-12-2)]. Nevertheless, present data sets at most an upper limit on the level of the tensor contribution and non-Gaussianity, which even if small, could still be observed by the next generation of CMB experiments, like European Space Agency's Planck surveyor satellite [[7](#page-12-3)].

From the theoretical side, the quest now is for a realistic particle physics model of inflation and a better understanding of the inflationary and post-inflationary dynamics. In order to allow for the conversion of the vacuum energy into radiation at the end of inflation, the inflaton should couple to other fields. In the standard picture, this process called reheating takes place through the perturbative decay of the inflaton into light degrees of freedom, which thermalize into radiation. Nevertheless, previous to the perturbative decay, the evolution of the system may be dominated by nonperturbative effects as those of preheating [\[8](#page-12-4)[,9](#page-12-5)], i.e., parametric amplification of quantum field fluctuations in a background of oscillating fields. Through parametric resonance, field mode amplitudes grow exponentially within certain resonance bands in *k* space, this being a more efficient and faster way of transferring vacuum energy into radiation than the standard reheating mechanism. This process and its consequences for the subsequent cosmological evolution has been extensively studied in the literature for different kinds of models [\[10](#page-12-6)[–13\]](#page-12-7). The first stages of preheating can be studied within linear perturbation theory at first order [\[8\]](#page-12-4), but soon after the resonance develops one would need to improve on the perturbative expansion in order to take into account backreaction and rescattering effects. Backreaction effects can be partially incorporated by using the Hartree-Fock approximation [\[14](#page-12-8)[,15\]](#page-12-9). However, in order to take fully into account rescattering effects, i.e., mode-to-mode couplings, one has to resort to nonperturbative tools, like lattice calculations $[16–20]$ $[16–20]$. Preheating, although a very fast process compare with the cosmological scales, lasting at most only a few e-folds of expansion, may lead to a very rich phenomenology having to do, among others, with production of massive relics [\[21\]](#page-12-12), baryogenesis and leptogenesis [\[22](#page-12-13)[,23\]](#page-12-14), and black hole production [[20](#page-12-11)[,24](#page-12-15)].

In addition, in a cosmological framework metric perturbations might also be parametrically amplified during preheating. This has been studied, for example, using linear perturbation theory $[25,26]$ $[25,26]$ $[25,26]$ $[25,26]$ $[25,26]$, up to second order $[27]$ $[27]$ $[27]$, and with the Hartree-Fock approximation [[28](#page-12-19)]. A relevant question is whether or not this nonadiabatic excitation of field fluctuations leads to the amplification of super-Hubble curvature fluctuations [\[29](#page-12-20)–[31](#page-12-21)]. This may happen

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in the presence of an entropy/isocurvature perturbation mode, not suppressed on large scales during inflation, which sources the curvature perturbation when parametrically amplified during preheating [[32](#page-12-22)]. Another approach for the study of nonlinear super-Hubble cosmological perturbations during inflation relies on a gradient expansion, by assuming that the spatial derivatives are small compared to the time derivative [[33](#page-12-23),[34](#page-12-24)]. And more recently a covariant formalism has been developed, which would allow one to study the full nonlinear evolution of cosmological perturbations. It was also shown that the nonlinear covariant generalization stays constant on super-Hubble scales in the absence of a nonadiabatic source [\[35\]](#page-13-0).

Besides the amplification of the scalar perturbations, the nonlinear nature of the preheating process can also induce some level of primordial non-Gaussianity in the spectrum, to the level detectable by the Planck mission [[27](#page-12-18),[36](#page-13-1)]. In addition, it could enhance the tensor perturbations, giving rise to a stochastic background of gravitational waves within the reach of the future planned gravitational observatories [\[37](#page-13-2)[,38\]](#page-13-3).

Therefore, preheating after inflation can potentially alter the inflationary predictions. In many cases, like searching for non-Gaussianity or gravitational waves, we are after very small effects resonantly enhanced during preheating. These are by default at least second order in perturbation theory, for which the mixing of the different kinds of perturbations, scalar, vector, and tensor, is unavoidable. For example, beyond linear perturbation, tensors are seeded not only by scalars but also by vectors [\[39](#page-13-4)[,40\]](#page-13-5), the latter in turn being generated by the scalars. Aside from preheating effects, it has been shown [\[39](#page-13-4)] that secondary vector and tensor modes could induced a nonvanishing B-mode polarization in the CMB. And this effect becomes comparable or even dominates over that of primary gravitational waves for a primordial tensor-to-scalar ratio $r \leq 10^{-6}$. This is, for example, the predicted ratio for models with an inflationary energy scale of the order of $O(10^{15})$ GeV, i.e., 1 order of magnitude below the typical grand unification scale [[41](#page-13-6)]. Given the relevance, for inflationary model building, of the detection of a background of gravitational waves, it is important therefore to take into account all possible generating and/or amplifying mechanisms of the B-mode polarization during cosmological evolution. In particular, it would be interesting to include in the nonlinear simulations of preheating the effect of scalar, vector, and tensor metric perturbations all together. Although the backreaction effect on the fields might be quite small, as expected, they could, for example, backreact onto each other, affecting the final tensor spectrum.

In most of the previous studies of preheating, fields are evolved in a background metric, i.e., neglecting the effect of metric perturbations themselves on the evolution of the fields. It is argued that the backreaction effect of these perturbations on the field evolution is small, and the approximation should hold to a good extent when dealing with scalar perturbations. Other studies take into account metric variables in a one-dimensional system [\[42\]](#page-13-7), or reducing the system to a one-dimensional system by the use of symmetries, either planar [[43](#page-13-8)] or radial [\[44\]](#page-13-9). These onedimensional studies also focus on scalar metric fluctuations, without vectors or tensors, integrating the system in a lattice with *N* sites. While using lattice techniques allows for a full nonlinear treatment of the problem, the procedure has its own limitations when dealing with an expanding universe. By discretizing the space and putting the system in a box of length *L*, one introduces both a comoving ultraviolet and infrared cutoff in the system. Because of the expansion of the Universe, the physical momentum is redshifted and the resonance bands during preheating move towards higher values of the comoving wave number. Therefore, in order to keep the comoving ultraviolet cutoff larger than the effective cutoff for preheating, we need a large ratio N/L . On the other hand, if we were interested in super-Hubble perturbations, the infrared cutoff would have to be smaller than the Hubble rate of expansion at least at the beginning of the evolution, which implies taking *L* as large as possible. However, limitations on computer memory and CPU resources prevent one from working with too big lattices. The advantage of effectively reducing the problem to a one-dimensional system lies on the possibility of considering large enough lattices, and therefore super-Hubble modes, with less computing time cost. We have extended these effectively one-dimensional studies to a 3 dimensional system, without using any explicit symmetry. We are going to consider preheating of inflaton fluctuations including scalar metric perturbations in a cubic 3 dimensional spatial lattice with N^3 sites, and length L . By considering *L* large enough would allow to include super-Hubble modes, but at the expense of quickly loosing the resonance bands during the evolution; unless we increment *N* accordingly, which is not viable in our case. Therefore, as a first step we focus on the possible effect of sub-Hubble metric perturbations during preheating.

In writing down the evolution equations for the scalar field in general relativity, we need first to choose a coordinate space-time (t, \mathbf{x}) . The choice of coordinates defines a threading of space-time (fixed **x**) and a slicing into hypersurfaces (fixed *t*). In the Arnowitt-Deser-Misner for-malism [\[45\]](#page-13-10), the space-time line element is given by:

$$
ds2 = g\mu\nu dx\mu dx\nu
$$

= N² dt² - \gamma_{ij} (dxⁱ + Nⁱ dt)(dx^j + N^j dt), (1)

with *N* the lapse function, N^i the shift vector field, and γ_{ij} the spatial metric. A choice of N and N^i fixes the gauge. Einstein equations plus the conservation of the stressenergy tensor can be split into a set of four constraint equations, the Hamiltonian and momentum constraints, and a set of evolution equations for the spatial metric and matter fields. The initial conditions for matter and metric

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variables must satisfy the constraint equations, and cannot be freely specified. This is the well-known initial-value problem in general relativity [\[46\]](#page-13-11). Once the constraint equations are imposed on the initial slide at $t = 0$, they are fulfilled at any time t by the evolved quantities.¹ We will work in the synchronous gauge, which means null shift vector $N^i = 0$ and lapse function $N = 1$. As a first step, we will only follow the evolution of scalar metric perturbations, neglecting vector and tensor modes. That is, we will choose initial conditions to ensure that the initial vectors vanish and the tensors are negligible, and later check to which extent they are generated by the nonlinear evolution, i.e., to which extent the constraint equations are fulfilled.

On the matter side, we have studied two different and characteristic types of inflationary models with scalar fields minimally coupled to gravity: chaotic models with a quartic potential $\lambda \Phi^4$, and standard supersymmetric hybrid models. Preheating in both of them has been extensively studied in the literature, including lattice simulations. For the chaotic quartic model the resonance starts in a single, narrow resonance band [\[10\]](#page-12-6) at a fixed value of the comoving wave number, but later on due to rescattering the resonance spreads to several bands in momentum space, until finally the spectrum is smoothed out [[16](#page-12-10)]. In hybrid models due to the tachyonic instability at the end of inflation [[12](#page-12-25)] the lower momentum modes are quickly amplified, and in a few oscillations of the background fields the whole process ends [\[18](#page-12-26)[,19\]](#page-12-27).

In Sec. II we give the set of equations for metric and field variables to be integrated in the lattice and discuss the issue of the initial conditions. In Sec. III we present the results for the chaotic model with a quartic potential; results for the hybrid model are given in Sec. IV. In both cases, we compare the evolution with and without scalar metric perturbations. The summary of our results is given in Sec. V.

II. EVOLUTION EQUATIONS AND INITIAL CONDITIONS

Our choice of gauge reduces the metric given in Eq. [\(1\)](#page-1-0) to the line element:

$$
ds^2 = e^{2\alpha(t,x)}dt^2 - e^{2\beta(t,x)}\tilde{\gamma}_{ij}dx^i dx^j, \qquad (2)
$$

where we have defined the lapse function $N = e^{\alpha}$, and the spatial metric $\gamma_{ij} = e^{2\beta} \tilde{\gamma}_{ij}$, with $Det(\tilde{\gamma}) = 1$. Although we are going to work in the synchronous gauge and later set $N = e^{\alpha} = 1$, we will keep the dependence on the lapse function explicit in this section for the sake of generality. The matter content is given in general by a set of scalar fields $\Phi_I(t, x)$, with the Lagrangian and stress-energy tensor

$$
\mathcal{L} = \frac{1}{2} \nabla_{\mu} \Phi^I \nabla^{\mu} \Phi_I - V(\Phi_I), \tag{3}
$$

$$
T_{\mu\nu} = \nabla_{\mu} \Phi^I \nabla_{\nu} \Phi_I - \frac{1}{2} g_{\mu\nu} \left(\nabla_{\sigma} \Phi^I \nabla^{\sigma} \Phi_I + 2V(\Phi_I) \right),\tag{4}
$$

where the index *I* counts the number of fields and summation is understood over repeated index; $V(\Phi_I)$ is the potential for the fields considered, and ∇_{μ} the covariant derivative for the metric $g_{\mu\nu}$. With this choice, the evolution equation for each scalar is given by

$$
\Phi''_I + 3\beta'\Phi'_I - D_i D^i \Phi_I + V_I - D_i \alpha D^i \Phi_I = 0, \quad (5)
$$

where now D_i is the spatial covariant derivative given by the spatial metric γ_{ij} , and we have defined

$$
\Phi'_I = e^{-\alpha} \partial_t \Phi_I \qquad \beta' = e^{-\alpha} \partial_t \beta. \tag{6}
$$

In the covariant formalism $[48]$ $[48]$ $[48]$, these are the covariant time derivatives along the unit four velocity u^{μ} = $dx^{\mu}/ds = (e^{-\alpha}, 0, 0, 0)$, with $f' = u^{\mu} \nabla_{\mu} f$ for any scalar quantity. The volume expansion is then given by $\nabla_{\mu}u^{\mu} =$ 3 β' , and the acceleration vector by $a^{\mu} = u^{\nu} \nabla_{\nu} u^{\mu} =$ $(0, D^i \alpha)$. Given the four vector u^{μ} , the stress-energy tensor can be decomposed as

$$
T_{\mu\nu} = (\rho + P)u_{\mu}u_{\nu} + g_{\mu\nu}P + q_{\mu}u_{\nu} + q_{\nu}u_{\mu} + \Pi_{\mu\nu},
$$
\n(7)

where ρ , *P*, q_{μ} , and $\pi_{\mu\nu}$ are, respectively, the energy density, pressure, momentum, and anisotropic stress in the frame defined by u^{μ} , and given by

$$
\rho = \frac{1}{2} (\Phi'_I \Phi^{II} + D^i \Phi_I D_i \Phi_I) + V(\phi_I),
$$
 (8)

$$
P = \frac{1}{2} \left(\Phi_I' \Phi^{II} - \frac{1}{3} D^i \Phi_I D_i \Phi_I \right) - V(\phi_I), \tag{9}
$$

$$
q_i = -\Phi'_I D_i \Phi^I, \tag{10}
$$

$$
\Pi_{ij} = D_i \Phi^I D_j \Phi_I - \frac{1}{3} \gamma_{ij} D_k \Phi^I D^k \Phi_I.
$$
 (11)

Einstein equations give the evolution of the spatial metric components, plus 2 constraint equations. With our choice of gauge, the evolution equation for β reduces to

$$
3\beta'' + 3(\beta')^2 - D_i D^i \alpha = -\frac{\kappa}{2} (\rho + 3P) - A^i_j A^k_i, \quad (12)
$$

where $\kappa = 8\pi G_N = 1/m_P^2$, with $m_P = 2.42 \times 10^{18}$ GeV the reduced Planck mass, and A_j^i is the traceless part of the extrinsic curvature K^i_j [\[45\]](#page-13-10), which in our case is given by

$$
K_j^i = \frac{e^{-\alpha}}{2} \gamma^{ik} \partial_i \gamma_{kj} = \beta' \delta_j^i + A_j^i, \qquad (13)
$$

where $A^i_j = \tilde{\gamma}^{ik}\tilde{\gamma}'_{kj}/2$. The latter contains the vector, ten-

¹Although the discretization procedure will invariably introduce deviations from the constraints during the evolution [\[47\]](#page-13-13).

sor, and the traceless scalar mode, while β' gives the local expansion rate. The equation for A_j^i reads

$$
A_j^{i'} + 3\beta' A_j^i = e^{-\alpha} \left(D^i D_j e^{\alpha} - \frac{\delta_j^i}{3} D^k D_k e^{\alpha} \right)
$$

$$
- \left({}^{(3)}R_j^i - \frac{\delta_j^i}{3} {}^{(3)}R \right) + \kappa \left(\Pi_j^i - \frac{\delta_j^i}{3} \Pi \right), \tag{14}
$$

where $^{(3)}R^i_j$, $^{(3)}R$ are the Ricci tensor and scalar with respect to the spatial metric. For example for a flat spatial metric with an inhomogeneous scale factor, $\bar{\gamma}_{ij} = e^{2\beta} \delta_{ij}$, we have

$$
^{(3)}\bar{R}_{j}^{i} - \frac{\delta_{j}^{i}}{3}^{(3)}\bar{R} = e^{-2\beta} \left(\partial^{i} \beta \partial_{j} \beta - \partial^{i} \partial_{j} \beta \right) - \frac{\delta_{j}^{i}}{3} \left(\partial^{k} \beta \partial_{k} \beta - \partial^{k} \partial_{k} \beta \right), \quad (15)
$$

while the field source term is given by

$$
\Pi_j^i - \frac{\delta_j^i}{3} \Pi = e^{-2\beta} \left(\partial^i \Phi \partial_j \Phi - \frac{\delta_j^i}{3} \partial^k \Phi \partial_k \Phi \right).
$$
 (16)

The Hamiltonian and the momentum constraint are given, respectively, by

$$
6\beta^{i^2} - ^{(3)}R - A^i_j A^j_i = 2\kappa \rho, \qquad (17)
$$

$$
2D_i\beta' - D_jA_i^j = -\kappa\Phi'_I D_i\Phi^I. \tag{18}
$$

The full set of Eqs. (5) (5) , (12) (12) , and (14) (14) (14) have been integrated in a lattice in Ref. [\[49\]](#page-13-14) for a chaotic inflation model, where it was shown the viability of inflation starting with inhomogeneous initial conditions. We will instead follow the evolution starting just at the end of inflation. However, due to the complexity of the problem, as a first step we will only keep the effect of the scalar metric variable β , assuming that vector and tensors are subdominant and negligible. Afterward we will cross-check the consistency of this approximation. Therefore, keeping only the β dependent terms the final set of equations to be integrated is given by

$$
\Phi''_I + 3\beta'\Phi'_I - e^{-2\beta}\partial^i\partial_i\Phi_I + V_I
$$

-
$$
e^{-2\beta}(\partial^i\alpha + \partial^i\beta)\partial_i\Phi_I \simeq 0, \quad (19)
$$

$$
3\beta'' + 3(\beta')^2 - e^{-2\beta}(\partial^i\partial_i\alpha + \partial^i\alpha\partial_i\alpha + \partial^i\beta\partial_i\alpha)
$$

$$
\approx -\frac{\kappa}{2}(\rho + 3P).
$$
 (20)

The initial conditions for Φ , Φ' , β , β' must be compatible with the constraints. For the fields we follow the standard procedure, with the quantum field theory being replaced by an equivalent classical field theory. Equivalent in the sense that the expectation values of quantum variables are equal to the average values of the classical ones. The classical to quantum transition takes place during inflation, when interactions of the quantum fields can be neglected. Therefore, the field initial conditions for the subsequent classical evolution can be obtained from the linear theory of quantum-to-classical transition [\[50\]](#page-13-15).

The field is expanded in Fourier modes in a spatial lattice of volume $L³$ with periodic boundary conditions. The zero mode corresponds to the homogeneous value of the field given by its average value in the lattice, $\Phi(t)$ = $\langle \Phi(t, x) \rangle$. The nonzero modes are expanded in *k* space as

$$
\Phi_I(t, x) = \frac{1}{V} \sum_k q_I(t, k) e^{ikx}, \qquad (21)
$$

$$
\partial_t \Phi_I(t, x) = \frac{1}{V} \sum_k \partial_t q_I(t, k) e^{ikx}.
$$
 (22)

The initial vacuum state $q(0, k)$ for sub-Hubble modes corresponds to a complex Gaussian distribution with a random phase for each mode *k*, and root mean squared

$$
|q(0, k)|_{\text{rms}} \simeq \frac{1}{\sqrt{2\omega_k}},\tag{23}
$$

where $\omega_k = \sqrt{k^2 + V_{II}}$ is the initial frequency of each *k* mode. The initial time derivative for the field is then given by $\partial_t q(0, k) = -i \omega_k(0) q(0, k).$

Having set the initial field's values, we have to deal now with the initial conditions for the metric variable, such that the constraint equations are fulfilled at $t = 0$. Those are given by

$$
3\beta^{\prime^2} - e^{-2\beta} (\partial^k \beta \partial_k \beta + 2 \partial^k \partial_k \beta) = \kappa \rho, \qquad (24)
$$

$$
2\partial_i \beta' = -\kappa \Phi'_I \partial_i \Phi^I. \tag{25}
$$

The main restriction comes from the momentum constraint: without including metric vector variables, the right-hand side (RHS) of Eq. ([25](#page-3-1)) must reduce to a gradient term at least at $t = 0$. However, this is not the case in the synchronous gauge when studying single field models with initial conditions for the field as given in Eqs. ([21](#page-3-2)) and ([22\)](#page-3-3). In order to be consistent with our assumption of negligible vector and tensor modes, we can take instead a homogeneous initial profile for the field velocity, given by its background value, i.e, $\Phi' = \langle \partial_t \Phi(0, x) \rangle$. This will set our choice of initial conditions for the chaotic model studied in Sec. III. Another option often used in the literature could be to add an extra, noninteracting scalar field [\[43\]](#page-13-8). Its initial velocity can be adjusted such that the momentum constraint is trivially satisfied [[51](#page-13-16)]. The energy density of the noninteracting extra field would behave as radiation due to the gradient contribution in Eq. [\(8](#page-2-2)), and setting it to be negligible at $t = 0$, it would remain so during the evolution. We will use instead this choice for the hybrid model in Sec. IV, where the role of the ''extra'' field is played by the waterfall field already present in the model.

Having adjusted the initial field velocities in order to fulfill the momentum constraint, Eqs. (24) and (25) provide the initial values of β and β' at $t = 0$. For one-dimensional systems (or effectively one-dimensional) this procedure is consistent with neglecting vector and tensor perturbations, and the constraints are preserved by the evolution. However, in general this is not the case in 3 dimensions without additional symmetries, and vectors and tensors will be induced during the nonlinear evolution. Therefore, the departure from zero of the momentum constraint during the evolution may give us an estimation of the amplitude, for example, of the vector perturbations generated during preheating.² On the other hand, the scalar perturbations we consider will contribute to the source term for tensor perturbations, i.e, the RHS of Eq. ([14\)](#page-3-0), and we can compare this to the pure anisotropic scalar field source term, as those considered in [[38](#page-13-3)], and check whether or not they may become comparable during preheating.

III. CHAOTIC MODEL

We first study the chaotic inflation model with potential $V = \lambda \Phi^4/4$. In this model, inflation takes place for values of the background field larger than m_P , and ends approximately when $\phi(t) = \langle \Phi(t) \rangle \approx 2\sqrt{3}m_P$. Following mately when $t(t) = \langle \Phi(t) \rangle \approx 2\sqrt{3}$ Ref. [\[16](#page-12-10)], we start evolving the field when oscillations begin, at a slightly smaller value $\phi_0 = \langle \Phi(0) \rangle = \sqrt{3} m_P$. Approximately this is the value at which the conformal time derivative of the field vanishes. We rescale the field by its initial value ϕ_0 , and time will be given in units of its initial value φ_0 , and time will be given in units of $1/\sqrt{\lambda} \phi_0$. In program units, the initial background field $v_1/\sqrt{\lambda \varphi_0}$. In program units, the initial background lietd
velocity is $\dot{\phi}_0 = \langle \dot{\Phi}(0) \rangle = -1/\sqrt{2}$. The comoving wave .
! velocity is $\phi_0 = \langle \Psi(0) \rangle = -1/\sqrt{2}$. The comoving wave
number is given in units of $\sqrt{\lambda} \phi_0$. With this rescaling the coupling λ does not appear in the equations of motion, but it rescales the initial value of the fluctuations (the initial spectrum). Given that the development of the resonance for the inflaton fluctuations is not very sensitive to its value, we will take $\lambda = 10^{-4}$ for convenience, although in a realistic model it should be $\lambda \approx O(10^{-14})$ in order to match the amplitude of the primordial spectrum with the observed value.

The initial values for the field fluctuations are given by Eqs. (21) and (23) , and we adjust the initial value of the field velocity in order to fulfill the momentum constraint at $t = 0$, such that

$$
\Phi'(0) = \langle \partial_t \Phi(0) \rangle. \tag{26}
$$

Finally, the initial profiles for the local scale factor e^{β} and expansion rate β' are obtained from Eqs. ([24](#page-3-4)) and [\(25\)](#page-3-1), with $\langle e^{\beta(0)} \rangle = 1$.

We have run the simulations in a lattice with $N = 64$ and $L = 10\pi$, and periodic boundary conditions for the field. In program units, the smaller comoving wave number is $k = 0.2$, and the initial value of the average expansion rate $H_0 = \langle \beta'(0) \rangle \approx 1/\sqrt{2}$. That means that at the beginning of the simulation there are a few field modes that have crossed the horizon before inflation ends, with $k < a_0H_0$, with $a_0 = \langle e^{\beta(0)} \rangle$, and have not yet reentered. For those modes the amplitude of the spectrum has frozen around the time $k = a_* H_*$, and therefore the initial value would be slightly larger than Eq. [\(23\)](#page-3-5) by a factor a_0/a_* [[52](#page-13-17)], given by

$$
\ln \frac{a_0}{a_*} \simeq \frac{3}{2} \left(\frac{a_0}{a_*} k - 1 \right),\tag{27}
$$

with k given in program units. In Fig. $1(a)$ is shown the spectrum of field fluctuations $|a(t)\Phi_k|^2$ at different times, where $a(t) = \langle e^{\beta} \rangle$ is the averaged scale factor. We compare the results obtained when including scalar metric fluctuations (solid lines) with those obtained by evolving the field with a background metric (dashed lines). At low values of the comoving wave number *k* it can be seen the initial enhancement of the amplitude for the superhorizon modes, which is further amplified by the parametric resonance. But the main features of the resonance in this model are unchanged by the inclusion of superhorizon modes and/ or metric fluctuations, and have been well established in the literature [[10](#page-12-6)[,16](#page-12-10)[,17,](#page-12-28)[53\]](#page-13-18). First, field fluctuations grow in a narrow resonance regime, with the resonance peak located at $k \approx 1.27$. At later times, rescattering effects lead to the appearance of multiple peaks, until finally the spectrum becomes smooth at small momentum, with an ultraviolet cutoff increasing in time. It would be followed by a long stage of thermalization, during which the transfer of power from low to high momentum continues in the free turbulence regime [[53\]](#page-13-18). However, this stage is difficult to study numerically because of the limitations of the lattice cutoff, fixed by the choice of the lattice size, which soon becomes smaller than the physical cutoff which is increasing with the scale factor.

The main difference when including metric fluctuations can be seen at smaller momentum during the first stages of the resonance. The initial superhorizon modes tend to be amplified at the same time than the main resonance peak, and this effect is enhanced by the metric fluctuations. This was already observed in Ref. [[43](#page-13-8)] in the $1 + 1$ dimensional system. Our simulations show that the effect prevails in $3 + 1$ dimensions. Nevertheless, at later times the tendency seems to be reversed, and the amplitude of the long wavelength modes starts to be smaller than when integrating the system with a background metric. This might be an indication that due to the initial increase, the transfer of power from long to short wavelengths starts before and it reaches sooner the stage of free turbulence.

The spectrum of the scalar metric fluctuation $|\beta_k|^2$ is shown in Fig. [1\(b\).](#page-5-0) The initial spectrum (top solid line)

²Tensor perturbations, being transverse and traceless, do not contribute to the momentum constraint.

FIG. 1 (color online). Left-hand plot (a): Spectrum of inflaton field fluctuations, normalized by the initial value of the field, $a(t)^2 |\Phi_k|^2$, at different times, (a) $t = 0$, (b) $t = 10^3$, (c) $t = 10^4$, (d) $t = 5 \times 10^4$, (e) $t = 10^5$, (f) $t = 5 \times 10^5$. Solid lines are the spectrum with scalar metric fluctuations included. Dashed lines show the spectrum without metric fluctuations. Right-hand plot (b): Spectrum of scalar metric fluctuations $a(t)^2 |\beta_k|^2$ at different times. We have defined $a(t)^2 \equiv \langle e^{\beta} \rangle^2$.

behaves like

$$
|\beta_k(0)|^2 \approx \kappa \frac{\omega_k}{k^4} |\Phi_k|^2, \qquad (28)
$$

for $k > 1$, showing the same enhancement at low k (superhorizon) than that of the field. With time, the spectrum is redshifted like the inverse squared of the average scale factor $a(t)$, but it retains the resonance peak structure of the field. The final spectrum (dot-dash-dashed line) is given by the initial spectrum redshifted by a factor $a(t)^{-2}$, but with the initial power at low *k* smoothed out, and showing the same cutoff at large *k*. The field amplitude in this model is redshifted like $a(t)^{-1}$, but the rescaled field mode $a(t)\Phi_k$ exponential increases due to parametric resonance. This is not the case for the metric fluctuation, where the fluctuation is not really parametrically amplified.

When looking at the averaged values of fields, energies and variance, the inclusion of scalar metric fluctuations has a negligible effect. In Fig. $2(a)$ is shown the average total energy density $\langle \rho \rangle$ with respect to the average scale factor. The system behaves as radiation with $\langle \rho \rangle \propto a(t)^{-4}$, and the average value is the same than that obtained without metric fluctuations. There is at the beginning a slight difference in the gradient contribution of the field $\langle e^{-2\beta} | \nabla \Phi |^2 \rangle$, but it practically disappears once the resonance broadens due to rescattering effects³ at around $a(t) \approx 50$. The gradient

term of the metric fluctuation $\langle e^{-2\beta}|\nabla \beta|^2 \rangle$ initially follows that of the field, redshifted as a^{-4} , but the resonance in the field is not strong enough to keep this tendency and soon it starts decreasing faster as a^{-6} . In Fig. [2\(b\)](#page-6-0) we have included the variance of the field $\langle \Phi^2 \rangle - \langle \Phi \rangle^2$ (solid and dashed lines) and metric fluctuation $\langle \beta^2 \rangle - \langle \beta \rangle^2$ (solid line). Although the variance is initially larger with an inhomogeneous scale factor β , the difference again practically disappears when the resonance develops. The dispersion in β is larger at the beginning than that of the field, but again it is less affected by rescattering effects.

Finally, we have checked to which extent metric vectors fluctuations can be neglected in the field and scalar metric evolution by checking the momentum constraint Eq. ([25\)](#page-3-1). Although initially we set the vectors and tensor fluctuations to zero, they are sourced by scalar perturbations. The failure to satisfy this constraint during the evolution can be interpreted as an indication of their presence. This can be seen in Fig. [3](#page-6-1), where we have plotted, as a function of the scale factor, C_M defined by

$$
C_M = \left\langle \left| \partial_i \beta' + \frac{\kappa}{2} \Phi' \partial_i \Phi \right|^2 \right\rangle^{1/2}, \tag{29}
$$

which shows to which extend Eq. (25) (25) is verified. This will accounts for the terms neglected in Eq. [\(18\)](#page-3-6), such that

$$
C_M = \left\langle \left| \frac{1}{2} D_j A_i^j \right|^2 \right\rangle^{1/2}.
$$
 (30)

We include for comparison also the result without scalar

 3 For comparison with the times at which the power spectrum is plotted, we have the standard time dependence of a radiation plotted, we have the standard time
dominated universe, with $a(t) \sim \sqrt{t}$.

FIG. 2 (color online). (a) Left-hand plot: average total energy density $\langle \rho \rangle$ versus the average scale factor *a*(*t*) (black solid line). Also shown are the average gradient contribution of the field Grad = $\langle e^{-2\beta}|\nabla \Phi|^2/2\rangle$ and that of the scalar metric fluctuation Grad(β) = $\langle e^{-2\beta}|\nabla\beta|^2/2\rangle$. The dashed gray (red) line shows the gradient of the field without metric perturbations. (b) Right-hand plot: variance of the field (solid bottom line) and scalar metric fluctuation (solid top line). The field variance without metric fluctuations (dashed line) is also shown for comparison.

metric fluctuations, i.e., with a homogeneous scale factor. Although when including β the constraint C_M seems to be better fulfilled by an order of magnitude with less dispersion, in either case it just follows the behavior of the field fluctuation, with C_M decreasing initially like $\langle \Phi' \partial_i \Phi \rangle \propto$ $a(t)^{-3}$ until the exponential increase due to the resonance of the field. Indeed the behavior of C_M indicates that also

FIG. 3 (color online). Average momentum constraint C_M (see text), with (solid line) and without (dashed line) metric fluctuation.

the gradient of the local Hubble parameter follows that of the field, with $\langle \partial_i \beta' \rangle \propto a(t)^{-3}$ instead of being redshifted like $a(t)^{-2}$. We have checked that the behavior of C_M is not a numerical artifact due to discretization by integrating the system for other choices of *L* and *N*.

Therefore, vectors (and by extension tensors) could be generated with an initial amplitude similar to that of scalar fluctuations. However, due the behavior of the gradient term for β in Fig. $2(a)$, the source term in their evolution Eq. [\(14\)](#page-3-0) will be soon dominated by the contribution of the scalar field. Given that the resonance is not strong enough to be fully transferred from the fields to the scalar metric fluctuations, we might anticipate that the same would happen for the other kinds of perturbations. Nevertheless, scalar metric fluctuations affect the field spectrum at the start, although their effects are quickly redshifted, and vectors and tensors may lead to a similar effect. It would be interesting to study to which extent metric fluctuations apart from the scalar ones help to enhance the power of the lower modes, whether this process lasts for longer or just speeds up the transfer of power through the spectrum, but this is beyond the scope of the present work.

IV. HYBRID MODEL

Preheating effects strongly depend on the model considered, and models with an stronger resonance will lead to different conclusions also about metric perturbations. Because of that, we study now a different kind of model, a standard supersymmetric hybrid model of inflation, where it is known that the field resonance is stronger and

FIG. 4 (color online). (a) Left-hand plot: average total energy density $\langle \rho \rangle$, potential $\langle V \rangle$, kinetic $\langle \Phi^2 + N^2 \rangle / 2$, and gradient $\langle e^{-2\beta}((\nabla \Phi)^2 + \nabla N)^2) \rangle / 2$ energy density, versus time (solid lines). The average gradient contribution of the scalar metric fluctuation $\langle e^{-2\beta}|\nabla\beta|^2\rangle/2$ (solid gray/green line), scale by a factor of 100, is also shown. The dashed lines show the contributions without metric perturbations, total energy density, potential and field gradient energy density. Field quantities are given in units of the initial energy density $g^2 \phi_0^4$, that of the metric fluctuation in units of $g^2 \phi_0^4/m_P^2$. (b) Right-hand plot: variance of the fields (solid lines), and scalar metric fluctuation scaled by a factor of 100 (solid gray/green bottom line). The field variance without metric fluctuations (dashed lines) is also shown for comparison. The dot-dashed lines are the average field oscillations scaled by a factor of 10^{-2} . Field quantities are given in units of ϕ_0^2 , that of the metric variable in units of $(\phi_0/m_p)^2$. We have taken: $\phi_0 = 0.005m_p$, $\kappa = 0.01$, $\eta_{\phi} = 0.05$.

driven in what is called the tachyonic regime [\[12](#page-12-25)[,18](#page-12-26)[,19\]](#page-12-27). The potential is given by:

$$
V = V_0 + \frac{g^2}{4}N^4 + g^2(\Phi - \Phi_c^+)(\Phi - \Phi_c^-)N^2 + \frac{1}{2}m_\phi^2\Phi^2,
$$
\n(31)

where as before Φ is the inflaton field, with a small mass m_{ϕ}^2 , and *N* is the waterfall field which triggers the phase transition at the end of inflation. Inflation takes place in the false vacuum, with $\langle N \rangle \simeq 0$ and $V \simeq V_0$. When the inflaton field overcomes the critical value Φ_c^{\pm} , the squared field dependent mass for *N* becomes negative, due to the tachyonic instability field fluctuations grow exponentially, and both fields move towards the global minimum of the potential at $\phi_0 = (\Phi_c^+ + \Phi_c^-)/2$ and $N_0 =$ the potential at $\phi_0 = (\Phi_c^2 + \Phi_c^2)/2$ and $N_0 = (\Phi_c^2 - \Phi_c^2)/\sqrt{2}$. The potential in ([31](#page-7-0)) reduces to the standard supersymmetric hybrid model when $\Phi_c^- = -\Phi_c^+$, but it also includes other supersymmetric hybrid potentials when the soft trilinear term is included [[54](#page-13-19)]. Here on we will take $\Phi_c^+ = 2\phi_0$, and $\Phi_c^- = 0$; other choices of these parameters will only shift the background values of the fields at the global minimum, without affecting the evolution of fields and field fluctuations. The vacuum energy V_0 driving inflation is adjusted such that the potential energy

vanished at the global minimum, i.e., $V_0 \approx g^2 N_0^4 / 4 =$ $g^2 \phi_0^4$. As before, in order to eliminate the coupling from the evolution equations we rescale the fields by ϕ_0 , the potential and energy density by $g^2 \phi_0^4$, time will be given in units of $1/(g\phi_0)$, and wave number in units of $g\phi_0$. The value of the coupling then sets the initial value of the fluctuations.

Working only with the background fields during inflation, i.e., $\phi = \langle \Phi \rangle$, the Cosmic Background Explorer amplitude of the primordial spectrum is given by:

$$
P_{\mathcal{R}}^{1/2} \simeq \left(\frac{H}{\dot{\phi}}\right) \left(\frac{H}{2\pi}\right) \simeq \frac{g}{4\pi\sqrt{3}\,\eta_{\phi}} \left(\frac{\phi_0}{m_P}\right),\tag{32}
$$

with $\eta_{\phi} = m_{\phi}^2/(3H^2)$ one of the slow-roll parameters, which also gives the tilt of the spectrum with the spectral index $n_S \approx 1 + 2\eta_{\phi}$. Depending on the values of the parameters in the potential, the coupling *g* and the mass m_{ϕ}^2 , the scale of hybrid inflation can range from the unification scale, with $\sqrt{g}\phi_0 \simeq O(10^{15} \text{ GeV})$, down to the supersymmetric breaking scale $O(1 \text{ GeV})$. Numerically, a too low value of the scale means a lower value of the Hubble rate of expansion and requires more integration time until the fields start oscillating. Therefore we will work here with models near the grand unified theory scale and start with $\phi_0 = 0.005 m_P \approx 1.2 \times 10^{16}$ GeV, $g = 0.01$, $\eta_{\phi} = 0.05$,

and $V_0^{1/4} \approx 1.2 \times 10^{15}$ GeV. With these values of the parameters⁴ we have $P_R^{1/2} \approx 5 \times 10^{-5}$ and a very small tensor-to-scalar ratio $r \approx 8 \times 10^{-6}$.

We begin the evolution of the system just before the end of inflation, i.e., some fraction of e-fold $N_e \approx 0.05$ before the end with the value of the background inflaton field just above the critical value $\langle \Phi(0) \rangle = \Phi_c^+ e^{\eta_\phi N_e}$. We use the slow-roll condition to set the initial value of the background velocity given by $\langle \dot{\Phi} (0) \rangle \simeq -2 \eta_{\phi} \phi_0 / m_P$ in program units. The background value of the waterfall field $\langle N \rangle$ would be around zero, although fluctuations very quickly drive this to a nonzero value. Numerically, it does not make much difference if we take a nonvanishing initial value, and we have set $\langle N(0) \rangle \simeq 10^{-8}$ and $\langle N(0) \rangle = 0$. The initial values of the inflaton fluctuations are given by Eqs. (21) (21) (21) – [\(23\)](#page-3-5). But now, in order to fulfill the momentum constraint at $t = 0$, we adjust the initial value of the *N* fluctuations, identifying this field as the extra field considered in [\[51\]](#page-13-16). Therefore, we have taken:

$$
N(0) = \Phi(0) - \langle \Phi(0) \rangle + \langle N(0) \rangle, \tag{33}
$$

$$
\dot{N}(0) = \langle \dot{\Phi}(0) \rangle - \dot{\Phi}(0), \tag{34}
$$

and the momentum constraint at $t = 0$ is given by

$$
2\partial_i \beta' = -\kappa \langle \dot{\Phi}(0) \rangle \partial_i \Phi(0). \tag{35}
$$

With the choice of parameters given above, the Hubble rate of expansion is rather small and practically the expansion effects are going to be negligible during the tachyonic resonance. In particular, in program units we have $H(0) \equiv$ resonance. In particular, in program units we have $H(0) =$
 $\langle \beta'(0) \rangle = \phi_0 / (\sqrt{3} m_P) \approx 3 \times 10^{-3}$. This also means that at the beginning of the evolution all modes considered are well inside the Hubble radius.

As before, we integrate the system in a cubic lattice with $N^3 = 64^3$ sites and $L = 10\pi$, and periodic boundary conditions for the fields. Preheating in this model proceeds through the exponential growth of the waterfall field fluctuations due to the tachyonic instability in the potential, which makes those of the inflaton to grow at the same rate [\[12](#page-12-25)[,18\]](#page-12-26) and those of any other field coupled to it [\[23](#page-12-14)[,54\]](#page-13-19). This lasts only a few oscillations of the fields, depending on parameter values, during which the energy density ends more or less equally distributed among potential, kinetic, and the gradient contribution of the fields. The tachyonic instability ends when the field fluctuations of Φ and *N* render the effective squared mass for *N* always positive during the oscillation, i.e., when the variances of the fields become $O(\phi_0)$. Following this there would be a period of bubble collisions [\[19\]](#page-12-27) and then a stage of turbulence with the transfer of momentum towards the ultraviolet, and finally the thermalization of the system. As with the previous chaotic model, we concentrate here in the first two stages without really following the system into the turbulence regime. These can be seen in Figs. [4,](#page-7-1) where in the left-hand side (LHS) we have plotted the total energy density, potential, kinetic, and gradient, and in the RHS the variance of the fields. All quantities are given in program units, i.e., energy densities are normalized to the initial vacuum energy V_0 , and the variances to the field value ϕ_0 . We include for comparison the results with no metric perturbations. There is no qualitative difference between both cases, except for a slight shift in time. In the RHS plot we have also included the averaged gradient term for the scalar metric perturbation $\langle e^{-2\beta}|\nabla\beta|^2\rangle$, rescaled by a factor of 100. This term is always negligible with respect to the field contributions, and therefore metric perturbations has little or no effect on the evolution of the fields. However, in this case the metric fluctuations are also exponentially amplified, following the same resonance pattern than that of the fields. This can be seen in Fig. [5.](#page-9-0) On the LHS it is shown the evolution with time of the waterfall field spectrum $|N_k|^2$ and in the RHS that of $|\beta_k|^2$. Both spectra behave during the resonance as $e^{2\mu_k \Delta t}$, with a growth index $\mu_k \sim 0.3$ for the lowest modes, slightly larger for β_k . In addition, the size of our lattice $L = 10\pi$ is small enough to keep the physical ultraviolet cutoff in momentum for the fields, with the largest momentum mode kept outside the resonance band and being hardly enhanced. But this is not the case for the scalar metric fluctuations, for which all modes are preheated. The initial scalar metric spectrum is derived from the energy con-straint Eq. ([24](#page-3-4)), and therefore $|\beta_k|^2 \propto O((\phi_0/m_P)^2)$. The exponential growth is not enough, being only slightly larger than that of the field, to make the scalar metric contribution in the evolution equations comparable to that of the fields. In particular in the evolution equation for the traceless metric components, Eq. ([14](#page-3-0)), the source term due to the scalar metric fluctuations would be of the order $O(e^{-2\beta}|\nabla \beta|^2)$, and therefore suppressed by a factor $O((\phi_0/m_P)^2)$ with respect to the field source term. For the same reason, the traceless components A_i^j would be of the order $\kappa e^{-2\beta} |\nabla \Phi|^2 \sim O((\phi_0/m_P)^2)$, and their contribution to the evolution of fields (5) (5) , and scalar metric fluctuation [\(12\)](#page-2-1), negligible.

However, given that the main suppression of the scalar metric terms with respect to those of the fields is due to factors of the order of $O(\phi_0/m_P)$, we have checked whether this scenario changes when increasing the rate of expansion and/or decreasing the value of η_{ϕ} and the coupling *g*. In particular, we want to check whether or not the source term due to metric perturbations in the

⁴Strictly speaking we also have a blue tilted spectrum with a rather large tilt, $n_S \approx 1.1$, excluded by observations [\[5](#page-12-1)[,6](#page-12-2)] when the tensor contribution is negligible. We could always take a smaller value for both the coupling and η_{ϕ} and satisfy all constraints. Our choice of η_{ϕ} for this value of the inflationary scale is motivated by numerical considerations, in order to shorten the first stage of the integration from the end of inflation up to the point when fluctuations starts growing.

FIG. 5 (color online). (a) Left-hand plot: time variation of the waterfall field spectrum $|N_k|^2$, in program units. (b) Right-hand plot: same for the scalar metric fluctuation $|\beta_k|^2$. We have taken: $\phi_0 = 0.005m_P$, $\kappa = 0.01$, $\eta_{\phi} = 0.05$. In both plots, the curves are ordered by their comoving momentum, increasing from top to bottom by $\Delta k = 2\pi/L = 0.2$, starting with $k = 0.2$ (top curve) up to $k = 10.8$ (bottom curve).

evolution equation for the traceless modes Eq. [\(14\)](#page-3-0) can be comparable to that of the fields. In Fig. [6](#page-9-1) we have plotted the averaged value of the field's gradient and that of the scalar metric variable β , normalized by the initial vacuum energy density, for different choices of the parameters. In all of them we have increased the rate of expansion by an order of magnitude, with $\phi_0/m_P = 0.05$ and then $H_0 \approx$ 3×10^{-2} in program units. Keeping first the other two parameters the same than in the previous plots, η_{ϕ} = 0.05 and $g = 0.01$, we have already that the scalar metric contribution becomes comparable to that of the fields (solid lines). In this case, due to the larger Hubble expansion rate, tachyonic preheating ends during the first oscillation of the fields. Decreasing the value of $\eta_{\phi} = 0.01$, i.e., making the inflaton potential flatter, slightly delays the beginning of the oscillations and the start of the resonance (dashed lines), but qualitatively the behavior of the gradient terms remain the same with $\eta_{\phi} = 0.05$. The same occurs when decreasing the value of the coupling, with $g = 0.001$ and $\eta_{\phi} = 0.01$ (dot-dashed lines). By lowering the value of the coupling we decrease the initial value (relative to the total energy density) of the fluctuations. Until the fields start oscillating, they behave approximately like massless fields, and the gradient terms are redshifted like $a(t)^{-4}$, with the effective scale factor $a(t) \equiv \langle e^{\beta} \rangle$. When further decreasing η_{ϕ} (dot-dot-dashed lines), the initial value for the gradient terms is further decreased, but the relative enhancement during the resonance remains the same. However in this case the gradient of the fields decreases faster after the tachyonic resonance

FIG. 6 (color online). Comparison of the gradient of the fields (black lines), Grad = $\langle e^{-2\beta}((\nabla \Phi)^2 + \nabla N)^2) \rangle / 2$, and that of the scalar metric fluctuation (gray/red lines) $Grad(\beta)$ = $\langle e^{-2\beta} (\nabla \beta)^2 \rangle / 2$, normalized by the initial energy density, for different parameter values and $N = 64$, $L = 10\pi$; $g = 0.01$ and $\eta_{\phi} = 0.05$ (solid lines); *g* = 0.01 and $\eta_{\phi} = 0.01$ (dashed lines); $g = 0.001$ and $\eta_{\phi} = 0.01$ (dot-dashed lines); $g = 0.01$ and η_{ϕ} = 0.001 (dot-dot-dashed lines); in a lattice with *N* = 64 and $L = 10\pi$. We also include for comparison the results obtained in a lattice with $N = 128$, $L = 10\pi$, and $g = 0.001$, $\eta_{\phi} = 0.01$ (dotted lines). For all we have taken $\phi_0 = 0.05 m_p$.

than that of β , such that the latter soon dominates after the resonance.

Nevertheless, when increasing the scale of inflation we loose the physical cutoff in our lattice, in the sense that the highest momentum mode is further enhanced once tachyonic reheating ends. In this case, it just takes less than one oscillation for the variance of the fields to grow enough to render the effective squared mass of the waterfall field positive. From the numerical simulations we have that for $g = 0.01$ and $\eta_{\phi} = 0.05$ this happens when $a(t) \approx$ 2.3; for $g = 0.01$ and $\eta_{\phi} = 0.01$ when $a(t) \approx 3.5$; and for $g = 0.001$ and $\eta_{\phi} = 0.01$ when $a(t) \approx 5.6$. Therefore, practically all modes have been redshifted inside the resonance band by the time the fields hit first the global minimum. In order to check the dependence of the results on the lattice cutoff, we have run a simulation with $N =$ 128 and $L = 10\pi$, i.e., doubling the maximum value of the comoving momentum, when $g = 0.001$ and $\eta_{\phi} = 0.01$, such that still at the end of the resonance there are modes left outside the resonance band. This is shown in Fig. [6](#page-9-1) by the dotted lines. The values of the gradients behave the same than before, and they do not differ by more than a factor $O(2)$.

In any case, during the short period of the resonance all long wavelength modes are excited, and immediately after it seems to start a very effective transfer of momentum from the infrared to the ultraviolet. This can be seen in Fig. [7,](#page-10-0) where as an example we have plotted the spectrum of the waterfall field $|N_k|^2$ (LHS plot) and that of $|\beta_k|^2$ (RHS plot), for $\phi_0 = 0.05m_p$, $g = 0.01$, $\eta_{\phi} = 0.01$. On the latter, it can be seen more clearly the point at which the tachyonic resonance ends at around $t \approx 30$ when the highest modes stop to be exponentially amplified and the restart of the enhancement of the highest modes at around $t \approx 40$. The same happens for the other model parameters considered in Fig. [5](#page-9-0) at different time intervals. Given that the growth of the gradients practically ends with the tachyonic resonance as expected, we do not expect their evolution to be much affected by the lack of high momentum modes. As in the standard case without metric fluctuations, the resonance will be followed by the turbulence regime, which study we do not pursue here but it would be necessary in order to see the scaling followed by the metric perturbation terms there. In Fig. [5](#page-9-0) the β terms decay as fast as that of the field, if not slower, but this tendency remains to be further checked. But we would like to stress that, even if nonlinear metric perturbations are not preheated enough to affect the evolution of the fields, they may become comparable to the field source term in their own evolution equation, depending mainly on the scale of inflation. Our first study here indicates that this is the case when $\phi_0/m_P > 0.05$, which sets the effective rate of expansion during the numerical evolution, and it does not depend much on the value of the coupling *g*, neither on that of the scale of inflation. For example a hybrid model with $\phi_0/m_P = 0.05$ and $\eta_{\phi} \approx 0.01$, we will get the right amplitude of the primordial spectrum with $g \approx 10^{-4}$, which means a scale of inflation $V_0^{1/4} \approx 10^{15}$ GeV. The same scale is obtained with $g = 0.01$ and $\phi_0/m_P = 0.005$, but in the former model we may expect preheating of the metric perturba-

FIG. 7 (color online). (a) Left-hand plot: Time variation of the waterfall field spectrum $|N_k|^2$, in program units, for $\phi_0 = 0.05 m_P$, $g = 0.01$, and $\eta_{\phi} = 0.01$. $N = 64$ and $L = 10\pi$ (b) Right-hand plot: same for the scalar metric fluctuation $|\beta_k|^2$. In both plots, the curves are ordered by their comoving momentum, increasing from top to bottom by $\Delta k = 2\pi/L = 0.2$, starting with $k = 0.2$ (top curve) up to $k = 10.8$ (bottom curve).

tions to be non-negligible, whereas in the latter we have checked that this is not the case.

As it was mentioned in the introduction, we are restricted to work with not that large lattices, such that only sub-Hubble modes with $k \geq 2\pi/L$ can be taken into account. The lattice size and resolution define both the infrared and ultraviolet momentum cutoff. However, the tachyonic resonance band for the hybrid model includes all modes with physical momentum less than a cludes all modes with physical momentum less than a
few times $m_N = \sqrt{2}gN_0$ [[12,](#page-12-25)[18](#page-12-26)], including super-Hubble modes. We may expect therefore that the same happens for the scalar perturbations, with β_k following the same resonance pattern than the field fluctuations. Nevertheless, although the numerical simulations is in a sense incomplete, not considering the infrared part of the spectrum, at the same time those are the less energetic, and therefore they do not contribute much to the variance of the fields and averaged energy densities. Given that the resonance ends when the kinetic, potential, and gradient energy densities of the fields become comparable, we do not expect that including a larger fraction of modes with smaller momenta will change the details of the resonance. Neither will they contribute much to the gradient terms of the scalar metric fluctuations in the RHS of Eq. ([14\)](#page-3-0). Because of that, we do not expect that the results will qualitatively change when including also the super-Hubble modes.

V. SUMMARY

We have studied the first stages of preheating following inflation in a 3 dimensional spatial lattice, including both field and metric perturbations. This extends previous onedimensional studies of the problem as those of Refs. [\[42](#page-13-7)– [44](#page-13-9)]. We have worked in the synchronous gauge, starting the evolution of the system immediately after inflation. The set of evolution equations for fields and metric variables are given by Eqs. (5) (5) , (12) (12) (12) , and (14) (14) (14) . During inflation, vector modes decay, and tensor modes are subdominant with respect to the scalar ones. Thus we have taken them, vectors and tensors, initially to vanish. This means that we have to take initial profiles for the field and field velocity consistent with the momentum constraint Eq. ([18](#page-3-6)). The nonlinear evolution of the system couples all kinds of modes to each other, and any of them will be inevitably produced. Nevertheless, as a first step we have only followed the evolution of the scalar metric perturbations and their effect on the field fluctuations. We have studied two different kinds of models of inflation, a chaotic model of inflation and a standard hybrid one, which are representative of different patterns for the parametric resonance. In the former, the resonance starts in the narrow resonance regime with field mode fluctuations produced in a narrow range of comoving wave number [[8\]](#page-12-4). Later on, rescattering effects redistribute the resonance among several bands, for higher and lower comoving momentum values [[16](#page-12-10),[17](#page-12-28)]. In the hybrid model the resonance is driven by the tachyonic instability in the waterfall field, and due to that it results in a more explosive and faster production of particles [\[12](#page-12-25)[,18,](#page-12-26)[19](#page-12-27)]. In both cases, scalar metric perturbations hardly affect the evolution of field fluctuations, and the evolution of the field resonance is practically unchanged. For the chaotic model of Sec. II, however, there is an initial enhancement of the field power at lower wave numbers that was also observed in Ref. [\[43\]](#page-13-8). In addition, we have also included the effect of superhorizon modes in our simulations with larger initial amplitudes. Looking at the end of the integration range in time, there might be also some indication that this initial transfer of power towards lower modes helps in entering earlier the stage of free turbulence, where the effect is reverse. But given the size of our numerical simulations we cannot follow the system fully into that regime, and further studies would be required in order to confirm this tendency.

Although the field resonance does not feel the presence of metric fluctuations, even in the nonlinear regime, the scalar metric perturbations follow the same resonance pattern than the field, as seen in Fig. $1(b)$ for the chaotic model and Fig. [5\(b\)](#page-9-2) for the hybrid model. In the case of the chaotic model the amplitude of metric perturbations is not really amplified above the initial value, and the power spectrum of the metric fluctuations simply reproduced the peak structure seen for the field. This means that for the chaotic model metric fluctuations are not really enhanced by the parametric resonance, they do not become comparable to the field source terms in the evolution equations, and we can safely neglect them. On the contrary, in the hybrid model the scalar metric perturbations clearly feel the tachyonic resonance of the waterfall field, in a similar way to any other field coupled to it like the inflaton field. The scalar metric initial amplitude is amplified by approximately the same factor $\sim e^{\mu_k \Delta t}$ than the amplitude of the field fluctuation, with $\mu_k \sim 0.3$ being the growth index. Although metric fluctuations never become large enough to affect the field evolution, they may give rise to a source term comparable to that of the field in the evolution equation for the traceless modes, Eq. [\(14](#page-3-0)), depending on the model parameters *g* and ϕ_0/m_P , with $V_0^{1/4} = \sqrt{g} \phi_0$. For example, this is the case for $g = 10^{-4}$ and $\phi_0/m_P =$ 0.05, i.e., for a scale of inflation $V_0^{1/4} \approx 10^{15} \text{ GeV}$. Whether this term helps to enhance or not, for example, the production of gravitational waves during preheating [\[37](#page-13-2)[,38\]](#page-13-3), requires the study of the full set of evolution equations for the metric perturbations, which is beyond the scope of this paper and is left for further study.

ACKNOWLEDGMENTS

We acknowledge the PLANCK-HFI collaboration for providing computing resources. We have used the SUNDIALS package, ''SUite of Nonlinear and Differential, ALgebraic equation Solver" [\[55\]](#page-13-20) for the numerical integration. This work has been partially funded by the MEC (Spain)-IN2P3 (France) agreement, Reference No. IN2P3 06-03.

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