# **Large-** $N_c$  relations for the electromagnetic nucleon-to- $\Delta$  form factors

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We examine the large- $N_c$  relations which express the electromagnetic  $N$ -to- $\Delta$  transition quantities in terms of the electromagnetic properties of the nucleon. These relations are based on the known large- $N_c$ relation between the  $N \to \Delta$  electric quadrupole moment and the neutron charge radius, and a newly derived large-*Nc* relation between the electric quadrupole (*E*2) and Coulomb quadrupole (*C*2) transitions. Extending these relations to finite, but small, momentum transfer, we find that the description of the electromagnetic  $N \to \Delta$  ratios ( $R_{EM}$  and  $R_{SM}$ ) in terms of the nucleon form factors predicts a structure which may be ascribed to the effect of the ''pion cloud.'' These relations also provide useful constraints for the  $N \rightarrow \Delta$  generalized parton distributions.

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# **I. INTRODUCTION**

The electromagnetic properties of the nucleon, such as magnetic moments and charge radii, provide benchmark information of the nucleon structure. In recent years the nucleon electromagnetic form factors (FFs) have been charted very precisely, thanks to the new generation of experiments that make use of the target- and recoilpolarization techniques; see  $[1-3]$  $[1-3]$  $[1-3]$  for recent reviews. These precise data allow, e.g., to map out the spatial densities in the nucleon, address the role of the meson cloud, and study the transition to the asymptotic regime.

The  $N \rightarrow \Delta$  FFs, describing the electromagnetic transition of the nucleon to its first excited state, contain complementary information, such as the sensitivity on the nucleon shape; see, e.g., [[4,](#page-3-2)[5\]](#page-3-3). It is therefore interesting to see that, in the limit of a large number of colors  $(N_c)$ [\[6,](#page-3-4)[7](#page-3-5)], QCD provides relations between the nucleon and  $N \rightarrow \Delta$  properties. For example, the relation between the isovector nucleon magnetic moment and the  $N \rightarrow \Delta$  transition magnetic moment  $(M1)$  is well known [\[8](#page-3-6)]. In this paper we establish a large- $N_c$  relation between the small electric quadrupole (*E*2) and Coulomb quadrupole (*C*2)  $N \rightarrow \Delta$  amplitudes, and relate them to the neutron charge radius; see Sec. II. Furthermore, we extend these relations to finite momentum transfer  $(Q^2)$  and hence express the  $N \rightarrow \Delta$  FFs in terms of the nucleon FFs; see Sec. III. We shall then use a recent empirical parametrization of the nucleon FFs to test the large- $N_c$  relations on the ratios  $E2/M1$  and  $C2/M1$ , for which precise experimental data are available as well. The main points of this study are summarized in Sec. IV.

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## **II. LARGE-***Nc* **RELATIONS**

To introduce the electromagnetic  $N \rightarrow \Delta$  transition we start with an effective  $\gamma N\Delta$  Lagrangian (see, e.g., [[9](#page-3-7),[10](#page-3-8)]):

<span id="page-0-2"></span>
$$
\mathcal{L}_{\gamma N\Delta} = \frac{3ie}{2M_N(M_N + M_\Delta)} \bar{N}T^3
$$
  
 
$$
\times \left[ g_M \partial_\mu \Delta_\nu \tilde{F}^{\mu\nu} + ig_E \gamma_5 \partial_\mu \Delta_\nu F^{\mu\nu} - \frac{g_C}{M_\Delta} \gamma_5 \gamma^\alpha (\partial_\alpha \Delta_\nu - \partial_\nu \Delta_\alpha) \partial_\mu F^{\mu\nu} \right] + \text{H.c., (1)}
$$

where *N* denotes the nucleon (spinor) and  $\Delta_{\mu}$  the  $\Delta$ -isobar (vector-spinor) fields,  $M_N$  and  $M_\Delta$  are, respectively, their masses,  $F^{\mu\nu}$  and  $\tilde{F}^{\mu\nu}$  are the electromagnetic field strength and its dual, and  $T^3$  is the isospin-1/2-to-3/2 transition operator. An important observation here is that the couplings  $g_M$ ,  $g_E$ , and  $g_C$  appear with the same structure of spin-isospin and field operators, and hence we expect them to scale with the same power of  $N_c$ , for large  $N_c$ .

It is customary to characterize the three different types of the  $\gamma N\Delta$  transition in terms of the Jones-Scadron FFs [\[11\]](#page-3-9):  $G_M^*$ ,  $G_E^*$ ,  $G_C^*$ . The contribution of the effective couplings entering Eq. [\(1\)](#page-0-2) to these FFs can straightforwardly be computed, with the following result:

$$
G_M^*(Q^2) = g_M + (-M_\Delta \omega g_E + Q^2 g_C)/Q_+^2,
$$
  
\n
$$
G_E^*(Q^2) = (-M_\Delta \omega g_E + Q^2 g_C)/Q_+^2,
$$
  
\n
$$
G_C^*(Q^2) = -2M_\Delta(\omega g_C + M_\Delta g_E)/Q_+^2,
$$
\n(2)

where  $\omega$  is the photon energy in the  $\Delta$  rest frame:  $\omega$  =  $(M_{\Delta}^2 - M_N^2 - Q^2)/(2M_{\Delta})$  and we use the notation

$$
Q_{\pm} = \sqrt{(M_{\Delta} \pm M_N)^2 + Q^2}.
$$
 (3)

At  $Q^2 = 0$ , we immediately find

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$$
G_E^*(0) = -\frac{\Delta}{2(M_N + M_\Delta)} g_E, \tag{4a}
$$

$$
G_C^*(0) = -\frac{2M_{\Delta}^2}{(M_N + M_{\Delta})^2} \left[ \frac{M_{\Delta}^2 - M_N^2}{2M_{\Delta}^2} g_C + g_E \right]
$$
 (4b)

where  $\Delta \equiv M_{\Delta} - M_N$  is the  $\Delta$ -nucleon mass difference. In the large- $N_c$  limit this mass difference goes as  $1/N_c$ , whereas the baryon masses increase proportionally to  $N_c$ :

$$
M_{N(\Delta)} = O(N_c), \qquad \Delta = O(N_c^{-1}). \tag{5}
$$

<span id="page-1-1"></span>Given the fact that, for large  $N_c$ ,  $g_E$  and  $g_C$  scale with the same power of  $N_c$ , the first term in Eq.  $(4b)$  is suppressed by  $1/N_c^2$ , and we obtain the following relation:

$$
G_C^*(0) = \frac{2M_{\Delta}}{M_N + M_{\Delta}} \frac{2M_{\Delta}}{\Delta} G_E^*(0).
$$
 (6)

<span id="page-1-2"></span>Of special interest are the multipole ratios:  $R_{EM}$  =  $E2/M1$  and  $R_{SM} = C2/M1$ , which in terms of the Jones-Scadron FFs are given by

$$
R_{\rm EM} = -\frac{G_E^*}{G_M^*}, \qquad R_{\rm SM} = -\frac{Q_+ Q_-}{4M_\Delta^2} \frac{G_C^*}{G_M^*}. \tag{7}
$$

It is easy to see that the relation  $(6)$  $(6)$  $(6)$  tells us that these ratios are equal ( $R_{SM} = R_{EM}$ ) for large  $N_c$  and  $Q^2 = 0$ . Note also that using Eqs.  $(4a)$  $(4a)$  and  $(7)$  $(7)$  one easily recovers the result of Ref. [\[12\]](#page-3-10):  $R_{EM} = O(1/N_c^2)$ .

<span id="page-1-4"></span>Let us now recall the other relevant large- $N_c$  results. Namely, the magnetic  $N \rightarrow \Delta$  transition FF is related to the isovector anomalous magnetic moment of the nucleon,  $\kappa_V \approx 3.7$ , as [\[8](#page-3-6)]

$$
G_M^*(0) = \frac{1}{\sqrt{2}} \kappa_V,\tag{8}
$$

whereas the  $N \to \Delta$  quadrupole moment  $Q_{p \to \Delta^+}$  can be related to the neutron charge radius  $r_n$  as [[13](#page-3-11)]

$$
Q_{p\to\Delta^+} = \frac{1}{\sqrt{2}} r_n^2. \tag{9}
$$

<span id="page-1-3"></span>The latter relation can directly be expressed in terms of the  $E2$  Jones-Scadron  $FF<sup>1</sup>$ :

$$
G_E^*(0) = -\frac{M_\Delta^2 - M_N^2}{12\sqrt{2}} \left(\frac{M_N}{M_\Delta}\right)^{3/2} r_n^2.
$$
 (10)

Moreover, using the new relation  $(6)$  $(6)$  $(6)$ , we can express the *C*2  $N \rightarrow \Delta$  FF in terms of the neutron charge radius as well:

$$
G_C^*(0) = -\sqrt{2M_N M_\Delta} M_N r_n^2 / 6. \tag{11}
$$

<sup>1</sup>The exact relation between the two  $E2$  quantities is

$$
G_E^*(0) = -\frac{1}{12} (M_N/M_\Delta)^{3/2} (M_\Delta^2 - M_N^2) Q_{p \to \Delta^+}.
$$

Therefore, the  $\gamma N \rightarrow \Delta$  transition ratios in the large-*N<sub>c</sub>* limit can be expressed entirely in terms of the nucleon electromagnetic properties:

<span id="page-1-6"></span>
$$
R_{\text{EM}} = R_{\text{SM}} = \frac{1}{12} \Big(\frac{M_N}{M_\Delta}\Big)^{3/2} (M_\Delta^2 - M_N^2) \frac{r_n^2}{\kappa_V}.\tag{12}
$$

This is one of the central results of this work.<sup>2</sup>

Empirically, the large- $N_c$  limit value for  $M1$  is off by Empirically, the large- $N_c$  film value for  $M_1$  is on by<br>about 15%; compare  $G_M^*(0) = \kappa_V / \sqrt{2} \approx 2.62$  with the empirical value [\[15\]](#page-3-12):  $G_M^*(0) \approx 3.02$ . The value for *E*2,  $G_E^*(0) \approx 0.07$ , obtained from Eq. [\(10\)](#page-1-3) using the experimen-tal neutron charge radius [\[16\]](#page-3-13)  $(r_n^2 = -0.113 \pm 1.001)$ 0*:*003 fm2), is in better agreement with the empirical value [\[15\]](#page-3-12):  $G_E^*(0) \approx 0.075$ . The strength of *C*2,  $G_C^*(0) \approx 0.7$  is also somewhat smaller than the empirical value  $[5,10]$  $[5,10]$ :  $G_C^*(0) \simeq 1.0.$ 

<span id="page-1-5"></span>For the ratios we then obtain

$$
R_{\rm EM} = R_{\rm SM} = -2.77\%.\tag{13}
$$

For  $R_{EM}$  this large- $N_c$  prediction is in excellent agreement with experiment [\[17\]](#page-3-14):  $R_{EM} = -2.5 \pm 0.5\%$ . For  $R_{SM}$ , a direct measurement at the real-photon point is of course not possible. In the following section we will examine an extension of the large- $N_c$  prediction to finite  $Q^2$ , which will, in particular, allow for a direct comparison with the experimental data for  $R_{SM}$ .

### **III. EXTENSION TO FINITE MOMENTUM TRANSFER**

It would be desirable to extend the above relations to finite  $Q^2$ . For example, the most straightforward generalization of Eq.  $(8)$  $(8)$  gives  $[18]$ :

$$
G_M^*(Q^2) = \frac{1}{\sqrt{2}} \{ F_{2p}(Q^2) - F_{2n}(Q^2) \}, \tag{14}
$$

where  $F_{2p} - F_{2n}$  is the (isovector) combination of the proton (p)–neutron (n) Pauli FFs.

Analogously, extending the newly derived relation, Eq. ([6\)](#page-1-1), to finite, but small  $Q^2$ , we have

$$
G_C^*(Q^2) = \frac{4M_{\Delta}^2}{M_{\Delta}^2 - M_N^2} G_E^*(Q^2),\tag{15}
$$

or, equivalently, for the ratios, Eq. [\(7\)](#page-1-2),

$$
R_{\rm SM}(Q^2) = \frac{Q_+ Q_-}{M_\Delta^2 - M_N^2} R_{\rm EM}(Q^2). \tag{16}
$$

Finally, we may use the fact that, for small  $Q^2$ , the neutron electric FF is expressed as  $G_{En}(Q^2) \approx -r_n^2 Q^2/6$ , and hence an extension of Eq.  $(10)$  is given by

<sup>&</sup>lt;sup>2</sup>A similar set of relations for the  $\gamma N\Delta$  ratios was derived earlier by Buchmann  $[14]$  $[14]$  using a combination of the large- $N_c$ expansion and the spin-flavor symmetry. Our results rely solely on the large- $N_c$  limit.

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$$
G_E^*(Q^2) = \left(\frac{M_N}{M_\Delta}\right)^{3/2} \frac{M_\Delta^2 - M_N^2}{2\sqrt{2}Q^2} G_{En}(Q^2).
$$
 (17)

<span id="page-2-1"></span>Bringing these results together we obtain the following expression for the  $\gamma N\Delta$  ratios in terms of the nucleon form factors:

$$
R_{\text{EM}} = -\left(\frac{M_N}{M_\Delta}\right)^{3/2} \frac{M_\Delta^2 - M_N^2}{2Q^2} \frac{G_{En}}{F_{2p} - F_{2n}},\quad(18a)
$$

$$
R_{\rm SM} = -\left(\frac{M_N}{M_\Delta}\right)^{3/2} \frac{Q_+ Q_-}{2Q^2} \frac{G_{En}}{F_{2p} - F_{2n}}.
$$
 (18b)

The latter relations are tested in Fig. [1,](#page-2-0) where we show the  $Q^2$  dependence of the neutron electric FF and the resulting  $N \rightarrow \Delta$  transition ratios, compared to experimental data. The curves are obtained by using the empirical parametrization of the nucleon FFs by Bradford *et al.* [[19\]](#page-3-17), together with the relations of Eq.  $(18)$  $(18)$  $(18)$  for  $R_{EM}$  and  $R_{SM}$ .

The arrows on the *y* axis indicate the large  $N_c$  prediction, Eq. ([13](#page-1-5)). The numerical values at  $Q^2 = 0$  are slightly different from the ones obtained through Eq. [\(18\)](#page-2-1) (solid curves) only because the form-factor parametrization of

<span id="page-2-0"></span>

FIG. 1 (color online). Neutron electric FF,  $G_{En}$  (upper panel), in comparison with the  $N \rightarrow \Delta R_{EM}$  (middle panel) and  $R_{SM}$ (lower panel) ratios. The curve for  $G_{En}$  shows the empirical parametrization of Bradford *et al.* [\[19\]](#page-3-17). The curves for  $R_{EM}$  and  $R_{SM}$  are obtained using the relations of Eq.  $(18)$  $(18)$  with the empirical nucleon FFs  $[19]$ . The data for  $G_{En}$  are from doublepolarization experiments at MAMI [[24](#page-3-21)–[28](#page-3-22)] (red circles), NIKHEF [[29](#page-3-23)] (blue squares), and JLab [\[30](#page-3-24)–[32](#page-3-25)] (black triangles). The data for  $R_{EM}$  and  $R_{SM}$  are from BATES [\[33\]](#page-3-26) (blue squares), MAMI [[34](#page-3-27)–[36](#page-3-28)] (red circles), JLab/CLAS [\[37\]](#page-3-29) (black triangles), and JLab/HallA [\[38\]](#page-3-30) (blue stars).

Bradford *et al.*, which is used here, does not exactly reproduce the neutron charge radius.

We can see that the prediction of the  $Q^2$  dependence for both ratios is in very good agreement with the experimental data, even at higher momentum transfers. At low  $Q^2$ , it is very interesting to see that the slight bump in  $G_{En}$  around  $Q^2 \approx 0.2$  GeV<sup>2</sup> results in a shoulder structure in both of the ratios, which seems to be consistent with the experimental data. Friedrich and Walcher, in their model analysis of the nucleon FF data [\[20](#page-3-18)], observe such bump structures in all four nucleon electromagnetic FFs and attribute them to the effects of the "pion cloud." The present large- $N_c$  relations show that analogous effects must then arise in  $R_{EM}$  and  $R_{SM}$  at low  $Q^2$ . Although the available data for  $R_{EM}$  and  $R_{SM}$  seem to support this finding, it would certainly be necessary to improve on the accuracy of the data in the region of  $Q^2 \approx 0.10{\text -}0.25 \text{ GeV}^2$ , to provide convincing evidence for such structures.

We emphasize again that the relations of Eq. [\(18\)](#page-2-1) are derived assuming that the momentum transfer is small,  $Q^2 \ll 1$  GeV<sup>2</sup>. Nevertheless, it is intriguing to see that their phenomenological success extends into the region of intermediate  $Q^2$ , as is explored in Fig. [1](#page-2-0).

It is perhaps useful to point out that the excellent agreement of the large- $N_c$  relations with experimental data for these quantities makes it interesting to study the quarkloop effects (which are suppressed in the large- $N_c$  limit [\[7\]](#page-3-5)) by comparing the quenched versus full QCD calculations for these quantities. Presently, quenched lattice QCD calculations for  $R_{EM}$  and  $R_{SM}$  are done at larger (than physical) pion masses [[21](#page-3-19)], but indeed they compare favorably with chiral perturbation theory predictions [\[22\]](#page-3-20). It would be interesting to see if this agreement persists for pion masses down to the physical point.

### **IV. CONCLUSION**

In the large- $N_c$  limit of QCD, the properties of the  $N$ -to- $\Delta$  transition can be related to the properties of the nucleon. We have shown here how the *E*2 and *C*2  $\gamma N\Delta$ transitions are related to each other and to the neutron charge radius [Eq.  $(12)$  $(12)$  $(12)$ ]. We have extended these relations to low momentum transfers, thus relating the  $R_{\text{EM}}$  and  $R_{\text{SM}}$ ratios to the ratio of the neutron electric form factor over the nucleon isovector Pauli form factor [Eq. ([18](#page-2-1))].

Using an empirical representation of the nucleon form factors, we have tested the prediction of the large- $N_c$ relations for  $R_{EM}$  and  $R_{SM}$  ratios versus the available experimental data. The predictions for the ratios show a remarkable consistency with experiment. We note however that the predictions for the absolute strength of the transitions are less successful phenomenologically; the large- $N_c$  relations underestimate the  $M1$  and  $C2$  strength by about 20%. Evidently, the relations for the ratios work much better.

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It is particularly interesting to see that the large-*Nc* relations translate the structures in the nucleon form factors, which arise due to the long-range effects, into a dip structure in  $R_{EM}$  and a shoulder structure in  $R_{SM}$  around  $Q^2 \approx 0.15$ –0.25 GeV<sup>2</sup>. This prediction calls for more precise measurements in that  $Q^2$  range to confirm that such structures are indeed present. Finally, we remark that even at higher momentum transfers the large- $N_c$  relations for the ratios are in surprisingly good agreement with experiment, while the perturbative QCD prediction [\[23\]](#page-3-31) (i.e.,  $R_{EM} \rightarrow$  $+100\%$  and  $R_{SM} \rightarrow$  const, as  $Q^2 \rightarrow \infty$ ) is nowhere in sight.

The large- $N_c$  relations between the nucleon and  $N \rightarrow \Delta$ electromagnetic form factors can also be used to constrain the first moment of the  $N \rightarrow \Delta$  generalized parton distri-

butions (GPDs); see  $\lceil 5 \rceil$  for more details. The relations examined here are relevant for the *E*2 and *C*2  $N \rightarrow \Delta$ GPDs, and may shed light on the quark distributions inducing the  $\Delta$ -resonance excitation.

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