

Yukawa textures, new physics, and nondecouplingGustavo C. Branco,^{*} M. N. Rebelo,[†] and J. I. Silva-Marcos[‡]*Departamento de Física and Centro de Física Teórica de Partículas (CFTP), Instituto Superior Técnico, Av. Rovisco Pais, 1049-001 Lisboa, Portugal*

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We point out that new physics can play an important role in rescuing some of the Yukawa texture-zero *Ansätze* which would otherwise be eliminated by the recent, more precise measurements of V_{CKM} . As an example, a detailed analysis of a four texture-zero *Ansatz* is presented, showing how the presence of an isosinglet vectorlike quark, which mixes with standard quarks, can render viable this Yukawa texture. The crucial point is the nondecoupling of the effects of the isosinglet quark, even for arbitrary large values of its mass.

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I. INTRODUCTION

The increasingly higher precision in the determination of the elements of the fermion mixing matrices, both in the quark and lepton sectors, is clearly one of the most significant recent developments in particle physics and provides a great challenge to flavor models.

In most of the attempts at understanding the observed pattern of fermion masses and mixing, one assumes the existence of family symmetries either Abelian or non-Abelian, leading to special flavor structures in the Yukawa matrices, often involving texture zeros and/or a Froggatt-Nielsen type [1] power structure of the matrix elements, in terms of a small expansion parameter. In the search for the allowed texture zeros, one may take a bottom-up approach, where one uses the input data on fermion masses and mixing to derive the Yukawa textures which are allowed by experiment. Some years ago, Ramond, Roberts, and Ross (RRR) [2], in a pioneering work, followed this bottom-up approach and made a systematic search for allowed quark Yukawa structures. Assuming symmetric or Hermitian Yukawa matrices and using the experimental data available at the time, RRR found a total of five possible solutions in a survey of all six and five texture-zero *Ansätze*. Meanwhile, with the impressive improvement in the experimental determination of the V_{CKM} matrix, all the texture-zero structures found in [2] have great difficulty in reproducing the data. One of the greatest challenges to these models arises from the precise determination of the rephasing invariant angle $\beta \equiv \arg(-V_{cd}V_{cb}^*V_{td}^*V_{tb})$. Indeed, it has been pointed out [3] that in a large class of texture-zero models which include all those considered by RRR, one cannot have a sufficiently large value of $\sin(2\beta)$, to conform to the present experimental value $\sin(2\beta) = 0.687 \pm 0.032$ [4]

Another important constraint arises from the experimental value of $B_d^0\text{-}\bar{B}_d^0$ mixing, combined with the recent

measurement of $B_s^0\text{-}\bar{B}_s^0$ mixing by D0 [5] and CDF [6], which leads to the extraction of the ratio $|V_{td}|/|V_{ts}|$ with relatively small errors. Very recently, the rephasing invariant phase $\gamma \equiv \arg(-V_{ud}V_{ub}^*V_{cd}^*V_{cb})$ has been measured by Belle [7–9] and BABAR [10], leading to the value $\gamma = (63_{-12}^{+15})^\circ$ [4]. In spite of the large experimental errors, the measurement of γ is of crucial importance due to the fact that its extraction from input data is essentially not affected by the presence of new physics (NP) contributions to $B_d^0\text{-}\bar{B}_d^0$ and $B_s^0\text{-}\bar{B}_s^0$ mixings.

In the study of the impact of NP on the test of Yukawa textures, one has to specify what the assumptions are on the nature of NP. In most of the NP scenarios considered in the literature, one usually assumes that NP does not contribute significantly to the tree level decays of strange and B mesons. This implies that NP does not affect the extraction of $|V_{us}|$, $|V_{cb}|$, $|V_{ub}|$, and γ , from experimental data. With these four inputs, one can reconstruct the full V_{CKM} matrix and, in particular, the reference unitarity triangle [11–13]. However, we may assume that there may be significant contributions from NP to $B_d^0\text{-}\bar{B}_d^0$ and $B_s^0\text{-}\bar{B}_s^0$ mixing, thus affecting the extraction of $|V_{td}|$, $|V_{ts}|$ as well as β from the experiment. Apart from these effects, we may assume in these scenarios that NP decouples from low energy physics. This assumption holds in a large class of models beyond the SM and, in particular, in most of the supersymmetric extensions of the standard model (SM). Let us now consider the implications for the tests of Yukawa textures. If a particular texture predicts a value of β and/or of $|V_{td}|$ in disagreement with the experiment, it may be rescued by the presence of NP contributions to $B_d^0\text{-}\bar{B}_d^0$ mixing. However, this class of NP cannot rescue a texture which predicts a value $|V_{ub}|/|V_{cb}|$ and/or γ in disagreement with the experiment. This question is especially relevant, due to the fact that some of the most attractive Yukawa textures do have difficulty in conforming to the measured values of $|V_{ub}|/|V_{cb}|$ and γ .

In this paper we will show that there is a class of NP which can solve the above conflict and thus render viable those Yukawa textures. At this stage, it is worth recalling

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that in most proposals for family symmetries which could shed some light on the flavor puzzle, there is the underlying assumption that, even if the family symmetry is embedded in a grand unified theory, the heavy particles decouple and do not affect low energy physics, in particular, the masses and mixing observable at low energies. In this paper, we will consider a scenario with heavy fermions where the decoupling does not occur and in particular heavy fermions do affect the effective standard fermion mass matrices at low energies. We will show that the influence of heavy vectorlike quarks is such that they may render viable some of the texture-zero structures which would otherwise be eliminated by the more precise data presently available on the V_{CKM} matrix. The embedding of a family structure into a larger framework where heavy fermions are included has the following interesting feature. Let us consider a flavor model based on $SU(3)_c \times SU(2)_L \times U(1) \times F$ where F denotes a family symmetry responsible for the presence of a set of texture zeros in the three by three quark mass matrices M_u and M_d . This symmetry can be trivially embedded into a larger framework with isosinglet vectorlike heavy quarks Q by assuming that the SM fields keep their transformation properties under F and allowing for F to be softly broken by $SU(3)_c \times SU(2)_L \times U(1)$ invariant mass terms connecting Q to standard quarks. The striking feature of the example we will consider, with one singlet down-type vectorlike quark, is that its effect in the low energy standard fermion masses and mixing can be sizeable even in the limit where heavy quark masses are very large and deviations from unitarity of the V_{CKM} matrix are arbitrarily suppressed.

The paper is organized as follows. In the next section, we analyze in detail a four texture-zero Hermitian *Ansatz* and illustrate its difficulties in accommodating the present data on V_{CKM} . In Sec. III, we present an example of the nondecoupling of NP and analyze in an analytical qualitative way how the presence of vectorlike quarks can render the four texture-zero *Ansatz* compatible with our present knowledge on V_{CKM} . In Sec. IV, we provide an explicit example which is solved numerically, confirming our analysis of Sec. III. Finally, we present our conclusions in Sec. V.

II. A FOUR TEXTURE-ZERO HERMITIAN ANSATZ

Several Hermitian *Ansätze* with texture zeros have been studied in the literature. These *Ansätze* lead in general to predictions which usually consist of simple relations for the mixing angles expressed in terms of quark mass ratios. It is worth emphasizing that Hermiticity is as important as the existence of texture zeros, in order to obtain predictive *Ansätze*. Indeed, it has been shown [14] that if one drops the requirement of Hermiticity, most of the texture-zero *Ansätze* can be obtained, starting from arbitrary quark mass matrices M_u, M_d , by simply making weak-basis transformations. This shows that without the requirement of

Hermiticity those texture zeros have no physical implications.

For definiteness, we consider an especially interesting four-zero *Ansatz* which has been analyzed in detail in the literature [15–17]. The quark mass matrices M_u, M_d are assumed to have the form:

$$M_u = \lambda_u K_u^\dagger \begin{bmatrix} 0 & a_u & 0 \\ a_u & b_u & c_u \\ 0 & c_u & 1 - b_u \end{bmatrix} K_u; \quad (1)$$

$$M_d = \lambda_d \begin{bmatrix} 0 & a_d & 0 \\ a_d & b_d & c_d \\ 0 & c_d & 1 - b_d \end{bmatrix},$$

where $K_u = \text{diag}(e^{i\phi_1}, 1, e^{i\phi_3})$ and all other parameters are real.

It is clear from Eq. (1) that the trace of each matrix was factored out so that one has, by construction,

$$\text{Tr}(M_u) = \lambda_u; \quad \text{Tr}(M_d) = \lambda_d. \quad (2)$$

The convention of phases adopted in K_u corresponds to the factoring out of all phases in M_u and M_d and the elimination of the maximum number of nonphysical ones. It is clear that no nonfactorizable phases remain in this *Ansatz* due to the existence of one zero off-diagonal entry in both M_u and M_d . It was shown in Ref. [3] that the absence of nonfactorizable phases leads to important restrictions on $\sin 2\beta$.

The presence of several zeros in this Hermitian *Ansatz* renders the analytical diagonalization of the mass matrices quite simple. The column vectors of the unitary matrices U^u, U^d which diagonalize M_u, M_d can be determined, in each case, via the vector product of the first and third rows, with the inclusion of the mass eigenvalues. Each one of the three columns can be expressed as

$$(-m'_i, a, 0) \times (0, c, 1 - b - m'_i) \quad \frac{1}{N_i}, \quad (3)$$

where we have omitted the u, d , subindices, N_i is a normalization factor, and m'_i denotes the i th mass eigenvalue divided by the sum of the three mass eigenvalues. The mass eigenvalues do not depend on K_u and thus both in the up and down quark sectors, the four parameters a, b, c, λ , can be expressed in terms of quark masses, leaving only one free parameter in each sector, which we may choose to be $b_{(u,d)}$. This allows one to write each of the unitary matrices U^u, U^d in terms of quark mass ratios, a single free parameter, and the phases ϕ_1, ϕ_3 which have been factored out.

In this way one obtains the well-known texture-zero relations [2,17–19] valid to leading order:

$$\left| \frac{V_{ub}}{V_{cb}} \right| = \sqrt{\frac{m_u}{m_c}}, \quad \left| \frac{V_{td}}{V_{ts}} \right| = \sqrt{\frac{m_d}{m_s}}, \quad (4)$$

$$|V_{us}| = \left| \sqrt{\frac{m_d}{m_s}} e^{i\phi_1} - \sqrt{\frac{m_u}{m_c}} \right|,$$

which are verified by a wide class of models [18]. Furthermore, as pointed out in the introduction, it has been shown [3] that texture-zero *Ansätze* with no non-factorizable phases, such as this one, cannot reach values of $\sin(2\beta)$ as high as the present central value [4]:

$$\sin(2\beta) = 0.687 \pm 0.032. \quad (5)$$

It was already pointed out in Ref. [17] that the relation obtained for $|\frac{V_{ub}}{V_{cb}}|$ was problematic, and strongly disfavored this *Ansatz*, due to the smallness of the ratio $\sqrt{\frac{m_u}{m_c}}$. At present the constraint has become even more severe, since the new experimental average for $|V_{ub}|$ went up significantly. The current experimental values for these two V_{CKM} entries are [4]

$$|V_{ub}| = (4.31 \pm 0.30) \times 10^{-3}, \quad (6)$$

$$|V_{cb}| = (41.6 \pm 0.6) \times 10^{-3},$$

while the values of the quark mass matrices are taken as [4]

$$m_u = 1.5\text{--}3.0 \text{ (Mev)}, \quad m_c = 1250 \pm 0.090 \text{ (Mev)},$$

$$m_t \simeq 300 \text{ (Gev)}, \quad m_d = 3\text{--}7 \text{ (Mev)}, \quad (7)$$

$$m_s = 95 \pm 25 \text{ (Mev)}, \quad m_b = 4.2 \pm 0.07 \text{ (Gev)},$$

$$m_u/m_d = 0.3\text{--}0.7, \quad m_s/m_d = 17\text{--}22.$$

The new theoretically clean and significantly improved constraint on $|V_{td}|/|V_{ts}|$ is [4]

$$|V_{td}|/|V_{ts}| = 0.208^{+0.008}_{-0.006}. \quad (8)$$

Taking into account the small experimental error, this result deviates significantly from the value predicted by the *Ansatz*, $|V_{td}|/|V_{ts}| = \sqrt{\frac{m_d}{m_s}}$ which leads to the range

$$0.213 < |V_{td}|/|V_{ts}| < 0.243, \quad (9)$$

where we took into account the experimental constraint on m_s/m_d given above.

Next we briefly describe how the value of γ , ($\gamma \equiv \arg(-V_{ud}V_{ub}^*V_{cd}^*V_{cb})$) for this *Ansatz* can be derived analytically. It is clear from Eq. (1) that in our parametrization $\arg V_{ud} = \phi_1$, to an excellent approximation. The phase ϕ_1 is fixed by the experimental value of $|V_{us}|$, given by Eq. (4). Using the central values for the quark mass ratios:

$$\sqrt{\frac{m_d}{m_s}} = \sqrt{\frac{1}{20}} = 0.224; \quad \sqrt{\frac{m_u}{m_c}} = 0.042 \quad (10)$$

together with [4] $|V_{us}| = 0.2257 \pm 0.0021$, one obtains

$$\phi_1 = -87^\circ. \quad (11)$$

Now, to leading order, one has $V_{ub}/V_{cb} = -\sqrt{\frac{m_u}{m_c}}$, implying $\arg(V_{ub}^*V_{cb}) \simeq \pi$ and one obtains in good approximation

$$\gamma \simeq \arg(V_{ud}V_{cd}^*). \quad (12)$$

Using the fact that in leading order in our parametrization

$$V_{cd} = -\sqrt{\frac{m_d}{m_s}} + \sqrt{\frac{m_u}{m_c}} e^{i\phi_1} \quad (13)$$

and taking central values for the quark mass ratios, one obtains

$$\arg(V_{cd}^*) = 169^\circ, \quad (14)$$

which finally leads to

$$\gamma = \arg V_{ud} + \arg V_{cd}^* = (-87^\circ + 169^\circ) = 82^\circ. \quad (15)$$

It is clear that in the framework of this *Ansatz*, the value of γ is very constrained, even allowing for one σ deviations from central values of the experimental parameters. At present, the current experimental value [4] $\gamma = (63^{+15}_{-12})^\circ$ has large errors and therefore it is not possible to exclude the *Ansatz* only on the grounds of the γ constraint. However, it is clear that the *Ansatz* tends to give values for γ larger than the central experimental value.

Concerning β , it was shown in a previous work [3] that only $\arg(V_{cd})$ contributes significantly, so that in this framework we have

$$\beta \simeq -\arg V_{cd} \simeq 180^\circ - 169^\circ = 11^\circ. \quad (16)$$

In summary, the four texture-zero *Ansatz* of Eq. (1) has serious difficulties in accommodating the recent, more precise, experimental data on V_{CKM} . It is useful to separate these difficulties of the *Ansatz* in two classes:

- (i) The *Ansatz* predicts too small of a value for β and too large of a value for $|V_{td}|/|V_{ts}|$.
- (ii) The *Ansatz* predicts too small of a value for $|V_{ub}|/|V_{cb}|$ and too large of a value for γ .

The important point we wish to emphasize is that, while difficulties of class (i) can be avoided by assuming NP contributions to $B_d^0\text{--}\bar{B}_d^0$ and $B_s^0\text{--}\bar{B}_s^0$ mixings, those of class (ii) remain a challenge to the *Ansatz* even in the presence of NP contributions to mixing. It is useful to parametrize NP contributions to mixing in the following way:

$$M_{12}^{(q)} = (M_{12}^{(q)})^{\text{SM}} r_q^2 e^{-2i\phi_q}, \quad q = d, s. \quad (17)$$

The SM corresponds to $r_q = 1$, $\phi_q = 0$. In the presence of NP, instead of β one measures $\beta - \phi_d$ and $\Delta M_{B_q} = (\Delta M_{B_q})^{\text{SM}} r_q^2$. It is clear that even a small contribution of ϕ_d (i.e. $\phi_d = -11^\circ$) together with a small deviation of r_d/r_s from unity can rescue the *Ansatz* from discrepancies of class (i). On the contrary, the extraction of $|V_{ub}|/|V_{cb}|$ and γ from the experiment is unaffected by the presence of NP in the mixing. At this stage it should be noted that in

most of the extensions of the SM, including the supersymmetric ones, there are NP contributions to the mixing [20–26].

An alternative way of checking that this interesting *Ansatz* is in conflict with the experiment is through the use of the following exact unitarity relation [27]:

$$\frac{\sin\beta}{\sin(\gamma + \beta)} = \frac{|V_{ub}| |V_{ud}|}{|V_{cb}| |V_{cd}|} \quad (18)$$

as well as another unitarity relation, that holds to an excellent approximation [27]:

$$\frac{\sin\gamma}{\sin(\gamma + \beta)} \simeq \frac{|V_{td}|/|V_{ts}|}{|V_{us}|}. \quad (19)$$

Replacing in Eq. (18) the values obtained in this *Ansatz* for $|V_{ud}| \simeq 1$, $|V_{cd}|$ and the ratio $|V_{ub}|/|V_{cb}|$ we obtain the prediction $\sin\beta/\sin(\gamma + \beta) \simeq 0.19$. This is to be compared to the value computed with experimental central values $[\sin\beta/\sin(\gamma + \beta)]_{\text{exp}} \simeq 0.37$. Likewise for the relation given by Eq. (19), where in this case the *Ansatz* predicts $\sin\gamma/\sin(\gamma + \beta) \simeq 0.99$, while the value computed with the experimental central values is 0.89. We have also used the unitarity relations of Eqs. (18) and (19) to verify the validity of our approximate analytical evaluation of the elements of V_{CKM} , predicted by the *Ansatz*.

Given the difficulties of this *Ansatz* in conforming to the experimental data, one may wonder whether the *Ansatz* may be “saved” if implemented in a larger framework. In the next section, we show that this is indeed the case. We describe a scenario where the presence of NP can fully rescue the four texture-zero *Ansatz* by embedding it into a minimal extension of the SM with one additional down vectorial isosinglet quark. This framework could result from a family symmetry of the Lagrangian leading to the existing texture zeros, which is softly broken by mass terms involving the additional heavy quark.

III. AN EXAMPLE OF NONDECOUPLING

Let us consider a model with only one $Q = -1/3$ isosinglet vectorlike quark. Vectorlike quarks arise in a variety of extensions of the SM, in particular, within the framework of grand unified theories based on E_6 . Another motivation for introducing vectorlike quarks arises if one requires spontaneous CP violation [28–31] in the context of supersymmetric extensions of the SM [32,33]. Vectorlike quarks are essential in order to generate a complex V_{CKM} from vacuum phases [34].

It can be easily shown that, without loss of generality, one may choose a weak basis where M_u , the up quark mass matrix, is real and diagonal, and the down quark matrix \mathcal{M}_d can be cast in the form

$$\mathcal{M}_d = \left(\begin{array}{ccc|c} & & & 0 \\ & m_d & & 0 \\ - & - & - & 0 \\ & M_D & & H \end{array} \right) \quad (20)$$

with m_d a Hermitian 3×3 matrix, M_D a 1×3 matrix, and H a single entry. The matrix \mathcal{M}_d is diagonalized by the usual bi-unitary transformation

$$U_L^\dagger \mathcal{M}_d U_R = \begin{pmatrix} \bar{m} & 0 \\ 0 & \bar{M} \end{pmatrix}, \quad (21)$$

where $\bar{m} = \text{diag}(m_d, m_s, m_b)$ and \bar{M} is the heavy quark mass. One can write U_L in block form,

$$U_L = \begin{pmatrix} K & R \\ S & T \end{pmatrix}, \quad (22)$$

where K is the usual 3×3 V_{CKM} matrix. U_L is the matrix that diagonalizes $\mathcal{M}_d \mathcal{M}_d^\dagger$, and the following relations can be readily derived [35] in the limit $M_D, H \gg \mathcal{O}(m_d)$

$$\bar{M}^2 \simeq (M_D M_D^\dagger + H^2) \equiv M^2, \quad (23)$$

$$\bar{m}^2 \simeq K^\dagger m_{\text{eff}} m_{\text{eff}}^\dagger K, \quad (24)$$

with

$$m_{\text{eff}} m_{\text{eff}}^\dagger \simeq m_d m_d^\dagger - \frac{(m_d M_D^\dagger M_D m_d^\dagger)}{M^2}. \quad (25)$$

Note that K is the mixing matrix connecting standard quarks and has small deviations from unitarity given by $K^\dagger K = 1 - S^\dagger S$, with

$$S \simeq -\frac{M_D m_d^\dagger K}{M^2} \left(1 + \frac{\bar{m}^2}{M^2} \right). \quad (26)$$

At this stage, it should be noted that the mass terms M_D, H are $SU(2) \times U(1)$ invariant and thus they can be much larger than the electroweak scale. If one makes the natural assumption that $M_D M_D^\dagger$ and H^2 are of the same order of magnitude it is clear that in Eq. (25), the second term contributing to $m_{\text{eff}} m_{\text{eff}}^\dagger$, has a magnitude comparable to that of $m_d m_d^\dagger$. This is the crucial point which makes it possible to rescue the four texture *Ansatz* considered in the previous section, through the introduction of a vectorlike isosinglet quark. Let us assume that there is a family symmetry which leads to the four texture-zero *Ansatz*, in the 3×3 quark mass matrices involving standard quarks. The $SU(2) \times U(1)$ invariant mass terms M_D, H may break softly the family symmetry. It is clear that the presence of the second term contributing to $m_{\text{eff}} m_{\text{eff}}^\dagger$ in Eq. (25), does affect the predictions of the *Ansatz*, allowing for it to be in agreement with the present experimental data. In what follows, we explain how this is possible, first through a qualitative analysis and then in the next section through an exact numerical example.

that down-type vectorial isosinglet quarks can also play an important role in generating sufficient CP violation in models with universal strength of Yukawa couplings [41,42].

V. CONCLUSIONS

We have studied the impact of new physics on tests of Yukawa texture-zero *Ansätze*, emphasizing that the greatest challenge for these textures arises from the measured values of $|V_{ub}|/|V_{cb}|$ and the rephasing invariant angle γ . This stems from the fact that while the presence of new physics contributions to $B_d^0-\bar{B}_d^0$ and/or $B_s^0-\bar{B}_s^0$ mixings can solve eventual discrepancies in the predictions for β , $|V_{td}|$, $|V_{ts}|$, the extracted values of $|V_{ub}|/|V_{cb}|$ and γ are unaffected by the presence of new physics contributions to mixing. We then show that the presence of new physics which does not decouple at low energies can save some of the most interesting *Ansätze* which would otherwise be in conflict with the experiment. We illustrate these effects through a specific four texture-zero *Ansatz* which is studied in the context of a minimal extension of the SM with an isosinglet vectorlike heavy quark which mixes with the standard quarks. We show that the presence of the heavy quark is sufficient to render viable the *Ansatz* which would otherwise be eliminated by the recent measurements of

$|V_{ub}|/|V_{cb}|$ and γ . The crucial point is the nondecoupling of the effects of the heavy quark, even in the limit where its mass is arbitrarily large. It is clear that analogous considerations may in principle be applied to other texture-zero *Ansätze* which, without the input of new physics, would be ruled out by experiment. It should be emphasized that the violation of the four-zero ansatz only means that there is no experimentally viable additional family symmetry in the quark sector of the standard model, leading to this texture and, of course, it does not invalidate any of the general predictions of the standard model.

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