

Mass independent textures and symmetry

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A mass-independent texture is a set of linear relations of the fermion mass-matrix elements which imposes no constraint on the fermionic masses nor the Majorana phases. Magic and 2–3 symmetries are examples. We discuss the general construction and the properties of these textures, as well as their relation to the quark and neutrino mixing matrices. Such a texture may be regarded as a symmetry, whose unitary generators of the symmetry group can be explicitly constructed. In particular, the symmetries connected with the tribimaximal neutrino mixing matrix are discussed, together with the physical consequence of breaking one symmetry but preserving another.

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I. INTRODUCTION

It was found by Harrison, Perkins, and Scott [1] that neutrino mixing could be described by the tribimaximal PMNS matrix

$$U_{\text{HPS}} = \begin{pmatrix} \frac{2}{\sqrt{6}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \end{pmatrix} \quad (1)$$

whose mixing angles are given by $\sin^2\theta_{13} = 0$, $\sin^2\theta_{12} = 0.333$, and $\sin^2\theta_{23} = 0.50$. These values agree very well with the numbers $\sin^2\theta_{13} = 0.9^{+2.3}_{-0.9} \times 10^{-2}$, $\sin^2\theta_{12} = 0.314(1^{+0.18}_{-0.15})$, and $\sin^2\theta_{23} = 0.44(1^{+0.41}_{-0.22})$ obtained from a global fit of the experimental data [2].

The symmetric Majorana neutrino mass matrix M , in the basis of a diagonal charged lepton matrix, is related to the PMNS unitary mixing matrix $U = \{u_{ij}\}$ and the complex neutrino masses $m = \text{diag}(m_1, m_2, m_3)$ by

$$M = UmU^T = \left\{ \sum_k u_{ik} m_k u_{jk} \right\}. \quad (2)$$

The mass matrix M_{HPS} obtained by taking $U = U_{\text{HPS}}$ obeys a 2–3 symmetry [3,4] and a magic symmetry [5–7]. The former refers to the invariance of M_{HPS} under a simultaneous permutation of the second and third columns, and the second and third rows. The latter refers to the equality of the sum of each row and the sum of each column. Moreover, the correspondence is one to one [3,5] in that any symmetric mass matrix which is 2–3 and magic symmetric will lead to the mixing matrix U_{HPS} .

Textures are linear relations between mass-matrix elements. They have been studied extensively, especially for those with a fixed number of zeros [8]. They relate the matrix elements of the diagonalization matrix to the masses, and also the Majorana phases for Majorana neutrinos. We study in this paper *mass-independent textures*,

the type in which no constraints whatsoever are imposed on the masses nor the Majorana phases, so that whatever restrictions derived from them occur only among the diagonalization-matrix elements. For example, the 2–3 and magic symmetries mentioned above are both mass-independent textures. In fact, the 2–3 texture for M gives rise to a bimaximal mixing, and its magic texture gives rise to a trimaximal mixing [3–7], neither of which has anything to do with neutrino masses nor Majorana phases. In light of the importance of these two textures for neutrino mixing, we thought it worthwhile to carry out a general study of the construction and the properties of the mass-independent textures. Whether they are also important for quark mixing remains to be seen. When we mention a texture in what follows, we automatically mean a mass-independent texture unless stated otherwise.

We shall show that a symmetry for a mass matrix leads to a mass-independent texture, and a mass-independent texture gives rise to a symmetry. Thus a study of these textures is a study of symmetry. It should be mentioned that the symmetry here refers to a horizontal symmetry of the Standard Model with a left-handed Majorana mass, in which the sole isodoublet Higgs transforms like a singlet under the horizontal symmetry of fermions. In the more general case when additional Higgs are introduced which transforms nontrivially under the horizontal symmetry, the mass-matrix elements are linear combinations of the appropriate horizontal Clebsch-Gordan coefficients, and the Higgs expectation values which break the horizontal symmetry. If the number of arbitrary parameters are smaller than the number of independent mass-matrix elements, then one or several linear relations exists between the mass-matrix elements and a texture is present. However these textures may nor may not be mass-independent, so they may or may not correspond to symmetries in the present sense. An example of such a texture which is mass independent is given at the end of Sec. VI.

The construction and the general properties of mass-independent textures will be given in the next section. The relation between textures and symmetries will be

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discussed in Sec. III. Given a texture, the allowed form of the diagonalization matrix will be discussed in Sec. IV. It is generally rather restrictive unless a mass degeneracy exists. As a consequence, it is impossible for both types of quarks or both types of leptons to share a common texture, except in an approximate manner in which certain masses are regarded as degenerate. These discussions can be found in Sec. V. We will discuss in Sec. VI various ways symmetries can be assigned to the lepton mass matrices for the neutrino mixing matrix in the tribimaximal form, and the physical consequence of breaking one symmetry while preserving another. Finally, a summarizing conclusion is presented in Sec. VII.

II. MASS-INDEPENDENT TEXTURES

We consider two kinds of mass matrices M , symmetric and hermitian. The Majorana neutrino mass matrix M_ν is symmetric, but the charged fermion matrices $M_f = \mathcal{M}_f \mathcal{M}_f^\dagger$ ($f = u, d, e$) are hermitian, where \mathcal{M}_f is the Dirac mass matrix for fermion f .

A hermitian mass matrix M can be diagonalized by a unitary matrix V , so that $V^\dagger M V = \Lambda = \text{diag}(\lambda_1, \lambda_2, \lambda_3)$, with λ_i being the square masses. If v_i is the i th column of V , then the diagonalization relation is equivalent to an eigenvalue problem

$$M v_i = \lambda_i v_i, \quad (i = 1, 2, 3). \quad (3)$$

Given a normalized column vector $w = (w_1, w_2, w_3)^T$, a mass-independent texture for M can be constructed by equating w to one of its eigenvectors v_i . If $M w = \lambda_i w$, then it follows that

$$\frac{1}{w_1} \sum_{k=1}^3 M_{1k} w_k = \frac{1}{w_2} \sum_{k=1}^3 M_{2k} w_k = \frac{1}{w_3} \sum_{k=1}^3 M_{3k} w_k. \quad (4)$$

These two relations define a texture. All three expressions in (4) are equal to λ_i , but these two relations do not care what λ_i is, so the texture relations are mass independent.

If M is a symmetric mass matrix, then we can find a unitary V to make $V^T M V = \Lambda = \text{diag}(\lambda_1, \lambda_2, \lambda_3)$ diagonal. The λ_i 's here are generally complex: their norms are the neutrino masses, and their phases are the Majorana phases, though one of them is unphysical.

If v_i is the i th column vector of V , instead of (3) we now have $M v_i = \lambda_i v_i^*$. We shall refer to v_i as a *pseudoeigenvector*. Instead of (4) we have

$$\frac{1}{w_1^*} \sum_{k=1}^3 M_{1k} w_k = \frac{1}{w_2^*} \sum_{k=1}^3 M_{2k} w_k = \frac{1}{w_3^*} \sum_{k=1}^3 M_{3k} w_k. \quad (5)$$

For real w 's, there is no difference between (4) and (5).

Let us consider two illustrative examples. If $w = (1, 1, 1)^T / \sqrt{3}$, then (4) becomes $\sum_k M_{1k} = \sum_k M_{2k} = \sum_k M_{3k}$, so this texture is just the magic symmetry when M is a symmetric matrix. If $w = (0, 1, -1)^T / \sqrt{2}$, then (4)

requires $M_{12} = M_{13}$ and $M_{22} + M_{32} = M_{23} + M_{33}$. If M is symmetric, then the last equality becomes $M_{22} = M_{33}$, so this texture is just the 2–3 symmetry.

Suppose w and w' are two mutually orthogonal normalized column vectors. The texture imposed by asking both w and w' to be eigenvectors of M define a *full texture*, so named because in that case the third normalized eigenvector w'' is also known. Up to a phase it is just the unique vector orthogonal to w and w' . The diagonalization matrix V is then fully determined up to unimportant phases, and order of the eigenvectors, provided there is no mass degeneracy in M . The 2–3 and magic textures taken together define a full texture, whose diagonalization matrix is just $V = U_{\text{HPS}}$ [5,6]. We shall sometimes refer to what is defined in (4) or (5) as a *simple texture* to distinguish it from a full texture.

It is clear from (4) that unless one of the w_i 's is zero, the only diagonal matrix with any texture is a multiple of the identity matrix. If a w_i is zero, M_{ii} may be arbitrary, but the other matrix elements of the diagonal matrix must be equal. The only texture enjoyed by a general diagonal matrix is the ones defined with two vanishing w_i 's. This characterization of diagonal matrices with a nontrivial texture will be used later.

If M_a and M_b are hermitean mass matrices with the same texture, i.e., both have w as an eigenvector, then their inverses, their product, and their linear combination all have w as an eigenvector, so they all have the same texture. We will refer to this property of textures as *closure*. If w is real, then M^* also has the same texture, and the symmetric mass matrices are closed as well.

III. TEXTURE AND SYMMETRY

Mass-independent textures may be regarded as symmetries, and vice versa. A unitary transformation $f \rightarrow G_f f$ of the left-handed charged fermion $f (= u, d, e)$ leads to the transformation $M_f \rightarrow G_f^\dagger M_f G_f$ of its hermitean mass matrix. Thus M_f is invariant and G_f a symmetry if and only if $M_f G_f = G_f M_f$. This calls for simultaneous eigenvectors of G_f and M_f . Similarly, a unitary transformation $\nu \rightarrow G_\nu \nu$ of the left-handed Majorana neutrino leads to the transformation $M_\nu \rightarrow G_\nu^T M_\nu G_\nu$ of its symmetric mass matrix. Thus M_ν is invariant and G_ν a symmetry if and only if $M_\nu G_\nu = G_\nu^* M_\nu$. As a result, if v_i is a pseudoeigenvector of M_ν , then $G_\nu v_i$ is also a pseudoeigenvector of M_ν , making it possible for the pseudoeigenvectors of M_ν to be the eigenvectors of G_ν .

Given a symmetry G of a mass matrix M , all the eigenvectors of G are the (pseudo-) eigenvectors of M if G has no degenerate eigenvalues. In that case M possesses a full texture defined by the eigenvectors of G . However, if G is doubly degenerate, then only its nondegenerate eigenvector defines a (simple) texture for M . If it is triply degenerate, then it is a multiple of the unit matrix and everything is trivial.

Conversely, suppose M_f or M_ν has a mass-independent texture defined by w . To construct its symmetry operator G , we need to find two normalized column vectors v' and v'' which are mutually orthogonal and both orthogonal to w . Then the matrix

$$G = g_1 w w^\dagger + g_2 (v' v'^\dagger + v'' v''^\dagger) \quad (6)$$

obeys $M_f G = G M_f$ for hermitean mass matrices, and $M_\nu G = G^* M_\nu$ for symmetric mass matrices if g_i are real. This is so because $v' v'^\dagger + v'' v''^\dagger = w' w'^\dagger + w'' w''^\dagger$ if w' and w'' are the other two normalized (pseudo-)eigenvectors of M .

In order for G to be unitary, the numbers g_1 and g_2 must both be a pure phase. For neutrino mass matrix they also have to be real, so they are ± 1 . If by convention we fix $g_1 = -1$ and $g_2 = 1$, then the symmetry matrix G is unique and $G^2 = 1$. Of course $-G$ is unitary and it commutes with M as well, so the symmetry group for any Majorana neutrino mass matrix is at least $\mathcal{G}_w = Z_2 \times Z_2$, corresponding to the four possible signs of g_1 and g_2 .

For charged fermions, g_1 and g_2 could be any phase factor, so the symmetry group is $\mathcal{G}_w = U(1) \times U(1)$. If we want to limit ourselves to real matrices, then again it comes down to $\mathcal{G}_w = Z_2 \times Z_2$.

If the mass matrix has a full texture defined by the vectors w and w' , then up to a phase w'' is also known, so that we can take (v', v'') to be (w', w'') for the group \mathcal{G}_w , and to be (w, w'') for the group $\mathcal{G}_{w'}$. The generators of \mathcal{G}_w and $\mathcal{G}_{w'}$ commute with each other, so the symmetry group is then $\mathcal{G}_w \times \mathcal{G}_{w'}$.

If it is known that the mass matrix M_f has the same eigenvalue for its eigenvectors w' and w'' , then the symmetry group is enlarged to $\mathcal{G}_w = U(1) \times U(2)$ because the symmetry operator is a more general

$$G = g_1 w w^\dagger + (u_{11} v' v'^\dagger + u_{22} v'' v''^\dagger + u_{12} v' v''^\dagger + u_{21} v'' v'^\dagger), \quad (7)$$

where g_1 is a phase factor and u_{ij} are the elements of any 2×2 unitary matrix u .

If the same degeneracy occurs in the Majorana neutrino mass matrix M_ν , then G has to be real to be a symmetry, so the numbers g_1 and u_{ij} have to be taken to be real. The symmetry group is then $Z_2 \times O(2)$, where $O(2)$ is the group of 2-dimensional real orthogonal matrices. Note that although $SO(2)$ is an abelian group, $O(2)$ itself is nonabelian.

Since neither the neutrino nor the charged fermion masses are exactly degenerate, these larger symmetries can only be approximate. Nevertheless, they may be useful in model constructions. An example of this kind will be discussed below.

Let us illustrate these various possibilities with some examples for the Majorana neutrino mass matrix M .

Suppose M has 2–3 texture defined by $w = (0, 1, -1)^T/\sqrt{2}$, then we can take $v' = (0, 1, 1)^T/\sqrt{2}$ and $v'' = (1, 0, 0)^T/\sqrt{2}$. With $g_1 = -1$ and $g_2 = 1$, its unitary symmetry matrix constructed from (6) is

$$G_{2-3} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}. \quad (8)$$

This is hardly surprising for a 2–3 texture because it is just the 2–3 permutation matrix. Note that if we did not require G to be unitary, then there are many other real matrices that commute with M . For example, taking $g_1 = 2$ and $g_2 = 0$,

$$G = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & -1 & 1 \end{pmatrix}, \quad (9)$$

is such a matrix.

Next let us assume M to possess the magic texture. Then $w = (1, 1, 1)^T/\sqrt{3}$ so we can take $v' = (1, -1, 0)^T/\sqrt{2}$ and $v'' = (1, 1, -2)^T/\sqrt{6}$. With $g_1 = -1$ and $g_2 = 1$, its unitary symmetry operator constructed from (6) is

$$G_{\text{magic}} = \frac{1}{3} \begin{pmatrix} 1 & -2 & -2 \\ -2 & 1 & -2 \\ -2 & -2 & 1 \end{pmatrix}. \quad (10)$$

If we did not require unitarity, then we may, for example, choose $g_1 = 3$ and $g_2 = 0$. The resulting democracy matrix [6]

$$G = \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} \quad (11)$$

also commutes with M , but it is neither unitary nor invertible.

Since G_{magic} is itself 2–3 symmetric, it commutes with G_{2-3} . Moreover, $G_{2-3}^2 = G_{\text{magic}}^2 = 1$, so the symmetry group for the neutrino mass matrix M_{HPS} is $\mathcal{G}_{2-3} \times \mathcal{G}_{\text{magic}}$, and both \mathcal{G}_{2-3} and $\mathcal{G}_{\text{magic}}$ are isomorphic to $Z_2 \times Z_2$, agreeing with the general theory discussed above.

To illustrate the degenerate scenario let us consider the mass matrix [9]

$$M = \begin{pmatrix} a & b & b \\ b & a & b \\ b & b & a \end{pmatrix}. \quad (12)$$

This mass matrix has a permutation symmetry S_3 because it is invariant under the exchange of any two rows and simultaneously the same two columns. It has a non-degenerate eigenvalue $a + 2b$ with eigenvector $v_1 = (1, 1, 1)^T/\sqrt{3}$, and a doubly degenerate eigenvalue $a - b$ with eigenvectors $v_2 = (-1, 0, 1)^T/\sqrt{2}$ and $v_3 = (1, -2, 1)^T/\sqrt{6}$. Taking $w = v_1$, $v' = v_2$, $v'' = v_3$ and $g_1 = \pm 1$ in (7), the unitary symmetry operator becomes

$$G_{\pm}(u_{11}, u_{12}, u_{21}, u_{22}) = \begin{pmatrix} \pm\frac{1}{3} + \frac{1}{2}u_{11} + \frac{1}{6}u_{22} - \frac{1}{\sqrt{12}}(u_{12} + u_{21}) & \pm\frac{1}{3} - \frac{1}{3}u_{22} + \frac{1}{\sqrt{3}}u_{12} & \pm\frac{1}{3} - \frac{1}{2}u_{11} + \frac{1}{6}u_{22} - \frac{1}{\sqrt{12}}(u_{12} - u_{21}) \\ \pm\frac{1}{3} - \frac{1}{3}u_{22} + \frac{1}{\sqrt{3}}u_{21} & \pm\frac{1}{3} + \frac{2}{3}u_{22} & \pm\frac{1}{3} - \frac{1}{3}u_{22} - \frac{1}{\sqrt{3}}u_{21} \\ \pm\frac{1}{3} - \frac{1}{2}u_{11} + \frac{1}{6}u_{22} + \frac{1}{\sqrt{12}}(u_{12} - u_{21}) & \pm\frac{1}{3} - \frac{1}{3}u_{22} - \frac{1}{\sqrt{3}}u_{12} & \pm\frac{1}{3} + \frac{1}{2}u_{11} + \frac{1}{6}u_{22} + \frac{1}{\sqrt{12}}(u_{12} + u_{21}) \end{pmatrix} \quad (13)$$

where u_{ij} are the matrix elements of a 2×2 real orthogonal matrix. The nonabelian group $Z_2 \times O(2)$ thus generated contains but is much larger than the permutation group S_3 . In particular, the S_3 generators are the identity $G(1, 0, 0, 1) = 1$, the two-cycle permutations $G(\frac{1}{2}, \frac{\sqrt{3}}{2}, \frac{\sqrt{3}}{2}, -\frac{1}{2}) = P_{12}$, $G(\frac{1}{2}, -\frac{\sqrt{3}}{2}, -\frac{\sqrt{3}}{2}, -\frac{1}{2}) = P_{23}$, $G(-1, 0, 0, 1) = P_{13}$, and the three-cycle permutations $G(-\frac{1}{2}, -\frac{\sqrt{3}}{2}, \frac{\sqrt{3}}{2}, -\frac{1}{2}) = P_{123}$ and $G(-\frac{1}{2}, \frac{\sqrt{3}}{2}, -\frac{\sqrt{3}}{2}, -\frac{1}{2}) = P_{132}$.

IV. DIAGONALIZATION MATRIX

Given a texture for a mass matrix M , we want to know what restriction that places on its diagonalization matrix V .

The vector w defining a texture is a (pseudo-)eigenvector of M . Let w' and w'' be the other two (pseudo-)eigenvectors. Then the three columns of V is just the three vectors w, w', w'' arranged in some order.

Although we do not know w' and w'' , we do know that they can be obtained from any orthonormal pair of basis vectors v', v'' in the plane orthogonal to w by a unitary rotation. We shall let $V_0 = (w, v', v'')$ be the unitary matrix whose first, second, and third columns are given by the vectors w, v' , and v'' , and let

$$\beta = \begin{pmatrix} \eta & \xi \\ -\xi^* & \eta \end{pmatrix} \quad (14)$$

be the unitary matrix that rotates (v', v'') to (w', w'') . Namely, $(w', w'') = (v', v'')\beta$. It is parametrized by a complex number ξ whose norm is not larger than 1, and $\eta = \sqrt{1 - |\xi|^2}$.

To write down an explicit mathematical form for V , it is convenient to introduce two auxiliary matrices. Let $P_{jk} = P_{kj}$ be the permutation matrix with 1 in the $(jk), (kj)$ and (ii) entries, and 0 elsewhere ($i \neq j \neq k \neq i$). Moreover, let P_{ii} be the identity matrix. Then $A' = P_{jk}A$ is the matrix obtained by permuting the j th and the k th rows of A , and $A'' = AP_{jk}$ is the matrix obtained by permuting the j th and the k th columns of A .

Furthermore, let $B_{jk}(\xi) = B_{kj}(\xi)$ be a block-diagonal unitary matrix with 1 in the (ii) entry, 0 elsewhere in the i th row and the i th column, and β in the j, k rows and columns. Then the matrix $A' = B_{jk}(\xi)A$ is obtained from A by making a unitary rotation of its j th and k th rows, and the matrix $A'' = AB_{jk}(\xi)$ is obtained from A by making a unitary linear transformation of its j th and k th columns.

Putting all these together, we are now ready to write down the general expression for V .

First consider the case when the eigenvalue λ_i of M is nondegenerate. Then up to an unimportant phase,

$$V = V_0 P_{1i} B_{jk}(\xi). \quad (15)$$

$V_0 P_{1i}$ is a unitary matrix made up of w, v', v'' , with w appearing in the i th row. $B_{jk}(\xi)$ is there to rotate (v', v'') into (w', w'') .

If $\lambda_i = \lambda_j$, then we are also free to have a unitary mixing of the i th and the j th eigenvectors of M , so the most general form of V is

$$V = V_0 P_{1i} B_{jk}(\xi) B_{ij}(\xi'). \quad (16)$$

Note that the matrix $B_{jk}(\xi) B_{ij}(\xi')$ has a zero in the (ik) position. Conversely, any 3×3 unitary matrix U with a zero in the (ik) position can be factorized into the form $B_{jk}(\xi) B_{ij}(\xi')$.

To see that, let w be the k th column of U , so that $w_i = 0$. From the discussion at the end of Sec. II, we know that we can find a diagonal matrix M with $M_{jj} = M_{kk}$ to have this texture. Taking $V_0 = UP_{1k}$, the most general form for V according to (13) is $V = UB_{ij}(-\xi') B_{jk}(-\xi)$. For later convenience the two parameters ξ and ξ' in (13) are now called $-\xi'$ and $-\xi$ respectively. The eigenvector w is now placed at the k th column rather than the i th column, so that the indices (i, j, k) in (13) become (k, i, j) respectively. Since M is diagonal, one of these V 's must be the identity. Setting V to be the identity, we see that

$$U = B_{jk}(-\xi)^\dagger B_{ij}(-\xi')^\dagger = B_{jk}(\xi) B_{ij}(\xi'), \quad (17)$$

proving the claim.

Eqs. (12)–(14) are subject to phase conventions. Since we may alter the phase of each column and each row at will, we may always premultiply each unitary matrix in these equations by a $\Delta(\delta_1, \delta_2, \delta_3) \doteq \text{diag}(e^{i\delta_1}, e^{i\delta_2}, e^{i\delta_3})$ to add phases to its rows, and post multiply it by a $\Delta(\delta'_1, \delta'_2, \delta'_3)$ to add phases to its columns. Of course most of these phases are not physically meaningful.

In particular, applying (14) to $U = U_{\text{HPS}}$ in Eq. (1), whose (13) entry is zero, we get

$$\Delta(0, 0, \pi) U_{\text{HPS}} = B_{23}(1/\sqrt{2}) B_{12}(1/\sqrt{3}). \quad (18)$$

We shall return to this factorization later.

Finally, if $\lambda_i = \lambda_j = \lambda_k$, everything is trivial, but we can use the formalism to write a general unitary matrix V in a factorized form. On the one hand, M is then a multiple of the identity matrix, so V can be any unitary matrix. Moreover, we can choose $V_0 P_{1i} = 1$. On the other hand, since we have three-fold degeneracy in the eigenvalues, we

may mix all three eigenvectors. There is more than one way to mix three eigenvectors, so V can be written in different ways.

Instead of (13) we now have

$$V = B_{jk}(\xi)B_{ij}(\xi')B_{ik}(\xi'') = B_{jk}(\xi)B_{ij}(\xi')B_{jk}(\xi'''). \quad (19)$$

The first equality allows a mixing of the i th and the j th eigenvectors, and then a mixing of the resulting i th and the k th eigenvectors. The second expression allows a mixing of the i th and the j th eigenvectors, and then a mixing of the resulting j th and the k th eigenvectors. Again, we may pre- or post- multiply every unitary matrix by a phase matrix Δ .

If V is the mixing matrix, then $V = B_{23}(s_{23})B_{13}(s_{13}e^{-i\delta})B_{12}(s_{12})$ is just the Chau-Keung parametrization [10], and $V = B_{23}(-s_2)\Delta(0, 0, \delta)B_{12}(-s_1)B_{23}(s_3)$ is just the Kobayashi-Maskawa parametrization [11].

V. TEXTURES FROM MIXING MATRICES

Let V_f be the unitary matrix that diagonalizes $M_f = \mathcal{M}_f \mathcal{M}_f^\dagger$ ($f = u, d, e$), so that $V_f^\dagger M_f V_f = \Lambda_f$ is diagonal. Let V_ν the unitary matrix that diagonalizes the left-handed Majorana neutrino mass matrix M_ν , so that $V_\nu^T M_\nu V_\nu = \Lambda_\nu$ is diagonal. Then the CKM quark mixing matrix is $U_{\text{CKM}} = V_u^\dagger V_d$, and the PMNS neutrino mixing matrix is $U_{\text{PMNS}} = V_e^\dagger V_\nu$.

Mixing matrices can be measured experimentally, but the individual mass matrices cannot. We want to know what can be said about the texture of the mass matrices once a mixing matrix is known.

Since the mixing matrix is a product of two diagonalization matrices, there is no way to determine both of them unless something else is specified. We start by assuming both mass matrices to have the same texture, i.e., they share some symmetry, and ask whether that is enough to nail them down, and if so, whether this assumption is consistent with experiments.

Let us deal with the neutrino mixing matrix, and assume it to be given by U_{HPS} of Eq. (1). If both M_e and M_ν have a texture defined by \tilde{w} , then \tilde{w} is an eigenvector of M_e and a pseudo-eigenvector of M_ν . Given any unitary matrix X , $w \doteq X^\dagger \tilde{w}$ is an eigenvector of $M'_e \doteq X^\dagger M_e X$ and a pseudo-eigenvector of $M'_\nu \doteq X^\dagger M_\nu X$, hence the transformed mass matrices M'_e and M'_ν also share a common texture w . Their diagonalization matrices are now $V'_e = X^\dagger V_e$ and $V'_\nu = X^\dagger V_\nu$.

In particular, if we choose $X = V_e$, then $M'_e = \Lambda_e$ is diagonal, and the diagonalization matrix for M'_ν is $V'_\nu = V_e^\dagger V_\nu = U_{\text{PMNS}} = U_{\text{HPS}}$. The vector w defining the common texture comes from one of the columns of $V'_\nu = U_{\text{HPS}}$ —we shall call the texture Cp if it is taken from column p . The matrix M'_e shares the same texture and it is diagonal, hence according to the discussion at the end of Sec. II, two of its matrix elements must be the same,

$(M'_e)_{jj} = (M'_e)_{kk}$. Moreover, we need to have $w_i = 0$ for $i = j, k$. Since in reality the charged lepton masses are all different, this mass equality cannot be satisfied, so it is impossible for M'_e and M'_ν , or M_e and M_ν , to share the same texture.

However, since the electron and the muon masses are much smaller than the τ mass, as a first approximation we might want to regard them to be equal. Even so, the condition $w_i = w_3 = 0$ cannot be satisfied for any Cp because the third row of U_{HPS} does not contain a vanishing element.

Similarly, if we choose $X = V_\nu$, then $M'_\nu = \Lambda_\nu$ is diagonal, and the diagonalization matrix of M'_e is $V'_e = V_\nu^\dagger V_e = U_{\text{PMNS}}^\dagger = U_{\text{HPS}}^\dagger$. The vector w for the common texture is taken from one of the columns of $V'_e = U_{\text{HPS}}^\dagger$, or equivalently, one of the rows of U_{HPS} . We shall label the texture Rp if it is taken from the p th row of U_{HPS} . Since M'_ν is diagonal and it must share the same texture Rp , the condition $(M'_\nu)_{ii} = (M'_\nu)_{jj}$ requires the neutrinos to have a two-fold mass degeneracy. This cannot happen because neither the solar nor the atmospheric gap is zero, so once again we come to the same conclusion that the charged lepton and the neutrino mass matrices cannot share the same texture. However, since the solar gap is smaller than the atmospheric gap, one might be willing as a first approximation to assume $(M'_\nu)_{11} = (M'_\nu)_{22}$. In that case we must still require $w_3 = 0$. The only zero element is the third column of U_{HPS} is the first one, so we conclude that such an approximate symmetry could be valid, but only for the texture $R1$. In this way $R1$ distinguishes itself for being the most symmetrical texture among these six discussed.

A similar discussion can be carried out for the CKM mixing matrix. However, since U_{CKM} has no zero element, in order to obtain an approximate symmetry, not only do we have to assume a two-fold mass degeneracy for the u or the d quarks, we must also be willing to approximate the small (13) or the (31) elements of the CKM matrix to be zero.

Without the benefit of a common texture, to make any headway it is necessary to specify how the PMNS matrix is split up into V_e^\dagger and V_ν . One common practice is to require $V_e = 1$, namely, M_e to be diagonal. In that case $V_\nu = U_{\text{HPS}}$ and M_ν enjoys the textures $C1$, $C2$ and $C3$, any two of which implies the third and define a full texture. The $C2$ texture is just the magic texture and the $C3$ texture is just the 2–3 texture; the $C1$ texture will be discussed in the next section. Alternatively, we can require $V_\nu = 1$ or M_ν diagonal. In that case $V_e = U_{\text{HPS}}^\dagger$ and M_e enjoys the $R1$, $R2$, $R3$ textures.

It is also possible to make use of (15) to assign half of U_{HPS} to V_e^\dagger and half to V_ν . The textures obtained this way as well as their symmetry operators will be discussed in the next section as well.

These considerations can be generalized to any U_{PMNS} . The discussion is similar but the textures Rp and Cp will

be modified. Moreover, we no longer have (15), but using either the Kobayashi-Maskawa or the Chau-Keung parametrization of the mixing matrix, we can always factorize the PMNS matrix into three factors, two of which can be attributed to V_e^\dagger and one to V_ν , or vice versa.

VI. NEUTRINO MIXING

In this section we split the tribimaximal mixing matrix U_{HPS} in different ways to discuss the resulting textures on the leptonic mass matrices. In subsection VIA, we assume $V_e = 1$ and M_e diagonal. The resulting textures $C1$, $C2$, $C3$ taken from the three columns of U_{HPS} are discussed one by one, in each case the texture relations, the unitary symmetry operators, the diagonalization matrix and the Jarlkog invariant are worked out. This allows us to parametrize the PMNS matrix if one of these symmetries is preserved while the other is broken. In subsection VIB, we assume $V_\nu = 1$ and M_ν diagonal, and discuss the resulting textures $R1$, $R2$, $R3$ taken from the three rows of U_{HPS} in a similar way. As mentioned before, the texture $R1$ is the only one among these six ‘‘diagonal textures’’ which can be regarded as an approximate symmetry for the diagonal leptonic mass matrix as well. In subsection VIC, we consider ‘‘factorized textures’’ by splitting U_{HPS} equally among the two leptonic mass matrices. The two cases considered differ only by a permutation, with the second case also being the result of a horizontal $Z_2 \times S_3$ group with three Higgs doublets in some mass limits [12].

A. M_e diagonal

1. $C1$

For $C1$ we have $w = (2, -1, -1)^T/\sqrt{6}$. The resulting texture relations (4) are

$$\begin{aligned} 2M_{11} + 3M_{12} - M_{13} &= 2(M_{22} + M_{23}), \\ 2M_{11} - M_{12} + 3M_{13} &= 2(M_{23} + M_{33}). \end{aligned} \quad (20)$$

where the symmetry $M_{ij} = M_{ji}$ of the neutrino mass matrix has been used.

In particular, if M is diagonal, then (17) requires $M_{11} = M_{22} = M_{33}$, hence the diagonal M_e cannot possess this texture even if we assume the electron and muon masses to be degenerate. This agrees with the general conclusion obtained in the last section.

Setting $V_0 = U_{\text{HPS}}$ and $i = 1$ in Eq. (12), the mixing matrix for the $C1$ texture is

$$\begin{aligned} U_{\text{PMNS}} &= V_\nu = U_{\text{HPS}} B_{23}(\xi) \\ &= \begin{pmatrix} 2/\sqrt{6} & \eta/\sqrt{3} & \xi/\sqrt{3} \\ -1/\sqrt{6} & \eta/\sqrt{3} - \xi^*/\sqrt{2} & \eta/\sqrt{2} + \xi/\sqrt{3} \\ -1/\sqrt{6} & \eta/\sqrt{3} + \xi^*/\sqrt{2} & -\eta/\sqrt{2} + \xi/\sqrt{3} \end{pmatrix}. \end{aligned} \quad (21)$$

The Jarlskog invariant is $J = \text{Im}(U_{11}U_{22}U_{12}^*U_{21}^*) = -(\sqrt{6}/18)\eta \text{Im}(\xi)$, where U stands for U_{PMNS} .

The unitary symmetry operator G for this texture can be obtained from (6), by setting $g_1 = -1$ and $g_2 = 1$ as per our convention discussed in Sec. III. The vectors v' and v'' can be taken from the second and third columns of U_{HPS} . The result is

$$G_{C1} = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & 2 & -1 \\ 2 & -1 & 2 \end{pmatrix}. \quad (22)$$

2. $C2$

For $C2$ we have $w = (1, 1, 1)^T/\sqrt{3}$. This is just the magic texture whose texture relations and symmetry operator $G_{C2} = G_{\text{magic}}$ have been discussed in Sec. III. Again, the row sums of the diagonal M_e are all equal only when all the charged lepton masses are equal, so M_e cannot possess this texture even in the approximation $m_e = m_\mu$, as concluded in the last section.

With $V_0 P_{1i} = U_{\text{HPS}}$, the mixing matrix for the $C2$ texture is

$$\begin{aligned} U &= U_{\text{HPS}} B_{13}(\xi) \\ &= \begin{pmatrix} \sqrt{2/3}\eta & 1/\sqrt{3} & \sqrt{2/3}\xi \\ -\eta/\sqrt{6} - \xi^*/\sqrt{2} & 1/\sqrt{3} & \eta/\sqrt{2} - \xi/\sqrt{6} \\ -\eta/\sqrt{6} + \xi^*/\sqrt{2} & 1/\sqrt{3} & -\eta/\sqrt{2} - \xi/\sqrt{6} \end{pmatrix}. \end{aligned} \quad (23)$$

This parametrization was first obtained in [13] with $\sqrt{2/3}\xi = u$. The Jarlskog invariant is $-\sqrt{2/3} \text{Im}(\xi)$.

3. $C3$

For $C3$ we have $w = (0, -1, 1)^T/\sqrt{2}$. This is just the 2–3 texture whose texture relations and symmetry operator $G_{C3} = G_{2-3}$ have been discussed in Sec. III. Since $m_\mu \neq m_\tau$, the diagonal M_e cannot possess this texture.

With $V_0 P_{1i} = U_{\text{HPS}}$, the mixing matrix for the $C3$ texture is

$$\begin{aligned} U &= U_{\text{HPF}} B_{12}(\xi) \\ &= \begin{pmatrix} 2\eta/\sqrt{6} - \xi^*/\sqrt{3} & 2\xi/\sqrt{6} + \eta/\sqrt{3} & 0 \\ -\eta/\sqrt{6} - \xi^*/\sqrt{3} & -\xi/\sqrt{6} + \eta/\sqrt{3} & 1/\sqrt{2} \\ -\eta/\sqrt{6} - \xi^*/\sqrt{3} & -\xi/\sqrt{6} + \eta/\sqrt{3} & -1/\sqrt{2} \end{pmatrix}. \end{aligned} \quad (24)$$

The Jarlskog invariant

$$J = \text{Im}(U_{22}U_{23}^*U_{32}^*U_{33}) \quad (25)$$

is zero in this case, so we might as well take ξ to be real. In that case, with s real and $c = \sqrt{1 - s^2}$ real, we can rewrite U in a more familiar form

$$U = \begin{pmatrix} c & s & 0 \\ -s/\sqrt{2} & c/\sqrt{2} & 1/\sqrt{2} \\ -s/\sqrt{2} & c/\sqrt{2} & -1/\sqrt{2} \end{pmatrix}. \quad (26)$$

Thus in the presence of a 2–3 texture, the reactor angle is zero, the atmospheric mixing is maximal, but the solar angle remains to be free.

B. M_ν diagonal

1. R1

For R1, take $w = (\sqrt{2}, 1, 0)^T/\sqrt{3}$. Then (4) becomes

$$M_{12} = \sqrt{2}(M_{11} - M_{22}), \quad M_{23} = -\sqrt{2}M_{13}. \quad (27)$$

A diagonal matrix may satisfy these relations provided $M_{11} = M_{22}$, hence if we are allowed to ignore the solar gap, then the diagonal M_ν may possess this texture approximately, as concluded in the last section. This is the only texture among all the R_i 's and C_i 's that has this property of being approximately common to both the leptonic mass matrices.

Setting $V_0 P_{1i} = U_{\text{HPS}}^T$, we get from (12) that

$$U_{\text{PMNS}} = V_e^\dagger = B_{23}(\xi)^\dagger U_{\text{HPS}} \\ = \begin{pmatrix} \sqrt{2}/\sqrt{3} & 1/\sqrt{3} & 0 \\ (\xi - \eta)/\sqrt{6} & (\eta - \xi)/\sqrt{3} & (\eta + \xi)/\sqrt{2} \\ -(\xi^* + \eta)/\sqrt{6} & (\xi^* + \eta)/\sqrt{3} & (\xi^* - \eta)/\sqrt{2} \end{pmatrix}. \quad (28)$$

Since one of the matrix elements is zero, the Jarlskog invariant $J = 0$, hence we might as well let ξ to be real. According to the breaking pattern of (25), the solar and the reactor angles maintain their HPS values, but the atmospheric angle can change.

The unitary symmetry operator is

$$G_{R1} = \frac{1}{3} \begin{pmatrix} -1 & -2\sqrt{2} & 0 \\ -2\sqrt{2} & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}. \quad (29)$$

2. R2

For R2, take $w = (-1, \sqrt{2}, \sqrt{3})^T/\sqrt{6}$. Then (4) becomes

$$\sqrt{2}(M_{11} - M_{22}) - M_{12} - \sqrt{6}M_{13} - \sqrt{3}M_{23} = 0, \\ \sqrt{3}(M_{11} - M_{33}) - \sqrt{6}M_{12} - 2M_{13} - \sqrt{2}M_{23} = 0. \quad (30)$$

For the diagonal M_ν to share this texture, both the solar and the atmospheric gaps must be put to zero.

With $V_0 P_{1i} = U_{\text{HPS}}^T$, we get from (12) that

$$U_{\text{PMNS}} = V_e^\dagger = B_{13}(\xi)^\dagger U_{\text{HPS}} \\ = \begin{pmatrix} (2\eta + \xi)/\sqrt{6} & (\eta - \xi)/\sqrt{3} & \xi/\sqrt{2} \\ -1/\sqrt{6} & 1/\sqrt{3} & 1/\sqrt{2} \\ (-\eta + 2\xi^*)/\sqrt{6} & (\eta + \xi^*)/\sqrt{3} & -\eta/\sqrt{2} \end{pmatrix}. \quad (31)$$

The Jarlskog invariant is $-\eta \text{Im}(\xi)/6$, and the unitary symmetry operator is

$$G_{R2} = \frac{1}{3} \begin{pmatrix} 2 & \sqrt{2} & \sqrt{3} \\ \sqrt{2} & 1 & -\sqrt{6} \\ \sqrt{3} & -\sqrt{6} & 0 \end{pmatrix}. \quad (32)$$

3. R3

For R3, take $w = (-1, \sqrt{2}, -\sqrt{3})^T/\sqrt{6}$. Then (4) becomes

$$\sqrt{2}(M_{11} - M_{22}) - M_{12} + \sqrt{6}M_{13} + \sqrt{3}M_{23} = 0, \\ \sqrt{3}(M_{11} - M_{33}) - \sqrt{6}M_{12} + 2M_{13} + \sqrt{2}M_{23} = 0. \quad (33)$$

Again, for the diagonal M_ν to share this texture, both the solar and the atmospheric gaps must be put to zero.

Setting $V_0 P_{1i} = U_{\text{HPS}}^T$, we get from (12) that

$$U_{\text{PMNS}} = V_e^\dagger = B_{12}(\xi)^\dagger U_{\text{HPS}} \\ = \begin{pmatrix} (2\eta + \xi)/\sqrt{6} & (\eta - \xi)/\sqrt{3} & -\xi/\sqrt{2} \\ (-\eta + 2\xi^*)/\sqrt{6} & (\eta + \xi^*)/\sqrt{3} & \eta/\sqrt{2} \\ -1/\sqrt{6} & 1/\sqrt{3} & -1/\sqrt{2} \end{pmatrix}. \quad (34)$$

The Jarlskog invariant is $\eta \text{Im}(\xi)/6$ and the unitary symmetry operator is

$$G_{R3} = \frac{1}{3} \begin{pmatrix} 2 & \sqrt{2} & -\sqrt{3} \\ \sqrt{2} & 1 & \sqrt{6} \\ -\sqrt{3} & \sqrt{6} & 0 \end{pmatrix}. \quad (35)$$

C. Factorized textures

Since $\Delta(0, 0, \pi)U_{\text{HPS}}$ has the factorized form (15), we may let

$$V_e^\dagger = B_{23}(1/\sqrt{2})Y^\dagger = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1/\sqrt{2} & 1/\sqrt{2} \\ 0 & -1/\sqrt{2} & 1/\sqrt{2} \end{pmatrix} Y^\dagger, \quad (36)$$

and

$$V_\nu = YB_{12}(1/\sqrt{3}) = Y \begin{pmatrix} \sqrt{2}/\sqrt{3} & 1/\sqrt{3} & 0 \\ -1/\sqrt{3} & \sqrt{2}/\sqrt{3} & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad (37)$$

where Y is some unitary matrix. We have chosen a differ-

ent phase convention [$U_{\text{PMNS}} = \Delta(0, 0, \pi)U_{\text{HPS}}$] in this subsection for later convenience.

Without specifying Y , this is completely general. We shall discuss in this subsection two examples in which Y is chosen to maintain the number of zeros in both V_e and V_ν .

I. $Y = \mathbf{1}$

From (4), we obtain the full textures of M_e and M_ν to be

$$(M_e)_{12} = (M_e)_{13} = (M_e)_{22} - (M_e)_{33} = 0, \quad (38)$$

and

$$(M_\nu)_{13} = (M_\nu)_{23} = (M_\nu)_{11} - (M_\nu)_{22} + \frac{1}{\sqrt{2}}(M_\nu)_{12} = 0. \quad (39)$$

Explicitly, these mass matrices are of the form

$$M_e = \begin{pmatrix} a & 0 & 0 \\ 0 & b & c \\ 0 & c & b \end{pmatrix}, \quad (40)$$

$$M_\nu = \begin{pmatrix} d & \sqrt{2}(e-d) & 0 \\ \sqrt{2}(e-d) & e & 0 \\ 0 & 0 & f \end{pmatrix}. \quad (41)$$

Using (33) and (34), we can relate these parameters to the fermion masses. The results are

$$\begin{aligned} a &= m_e^2, & b &= (m_\mu^2 + m_\tau^2)/2, \\ c &= (-m_\mu^2 + m_\tau^2)/2, \end{aligned} \quad (42)$$

and

$$\begin{aligned} d &= (2m_{\nu_1} + m_{\nu_2})/3, & e &= (2m_{\nu_2} + m_{\nu_1})/3, \\ f &= m_{\nu_3}. \end{aligned} \quad (43)$$

Since both M_e and M_ν have a full texture, each has two symmetry operators. The symmetry operators for M_e computed from (6) and (37) are

$$G_e = \begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad G'_e = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \quad (44)$$

and the symmetry operators for M_ν computed from (6) and (38) are

$$\begin{aligned} G_\nu &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix}, \\ G'_\nu &= \begin{pmatrix} 1/3 & -2\sqrt{2}/3 & 0 \\ -2\sqrt{2}/3 & -1/3 & 0 \\ 0 & 0 & 1 \end{pmatrix}. \end{aligned} \quad (45)$$

2. $Y = P_{23}P_{12}$

This is similar to the previous case, except that a permutation (132) is applied to the rows of V_e and V_μ . Hence

$$V_e = \begin{pmatrix} 0 & 1/\sqrt{2} & 1/\sqrt{2} \\ 0 & -1/\sqrt{2} & 1/\sqrt{2} \\ 1 & 0 & 0 \end{pmatrix}, \quad (46)$$

and

$$V_\nu = \begin{pmatrix} -1/\sqrt{3} & \sqrt{2}/\sqrt{3} & 0 \\ 0 & 0 & 1 \\ \sqrt{2}/\sqrt{3} & 1/\sqrt{3} & 0 \end{pmatrix}. \quad (47)$$

The full textures of M_e and M_ν are then obtained from (37) and (38) by making a (132) permutation to their rows and columns:

$$M_e = \begin{pmatrix} b & c & 0 \\ c & b & 0 \\ 0 & 0 & a \end{pmatrix}, \quad (48)$$

$$M_\nu = \begin{pmatrix} e & 0 & \sqrt{2}(e-d) \\ 0 & f & 0 \\ \sqrt{2}(e-d) & 0 & d \end{pmatrix}. \quad (49)$$

The symmetry operators in (41) and (42) will also be similarly permuted.

Using (43)–(46), the relation between the fermion masses and the mass-matrix parameters is once again given by (39) and (40). Hence

$$M_e = \begin{pmatrix} (m_\mu^2 + m_\tau^2)/2 & (m_\tau^2 - m_\mu^2)/2 & 0 \\ (m_\tau^2 - m_\mu^2)/2 & (m_\mu^2 + m_\tau^2)/2 & 0 \\ 0 & 0 & m_e^2 \end{pmatrix}, \quad (50)$$

and

$$M_\nu = \begin{pmatrix} (2m_{\nu_2} + m_{\nu_1})/3 & 0 & \sqrt{2}(m_{\nu_2} - m_{\nu_1})/3 \\ 0 & m_{\nu_3} & 0 \\ \sqrt{2}(m_{\nu_2} - m_{\nu_1})/3 & 0 & (2m_{\nu_1} + m_{\nu_2})/3 \end{pmatrix}. \quad (51)$$

This coincides with the result of a $Z_2 \times S_3$ horizontal symmetry [12] in the limit $m_e = 0$ and $m_{\nu_3} = (2m_{\nu_2} + m_{\nu_1})/3$.

VII. CONCLUSION

We have investigated the construction and the general property of mass-independent textures (Sec. II), and showed that they can be interpreted as symmetries of mass matrices (Sec. III). An explicit recipe is given to construct the unitary symmetry operators and symmetry groups (Sec. III), together with several illustrative examples (Sec. VI). We found the symmetry group corresponding to any simple texture for a charged fermion mass matrix to be $U(1) \times U(1)$, and that for a Majorana neutrino

mass matrix to be $Z_2 \times Z_2$. If the mass matrix has a degenerate eigenvalue, then its symmetry group is $U(1) \times U(2)$ and $Z_2 \times O(2)$ respectively. Whatever a texture is, we found that both members of an isodoublet cannot simultaneously possess the same texture, though they may do so approximately for the texture $R1$ of the neutrino tribimaximal mixing matrix (Sec. V). Various textures arriving from the tribimaximal neutrino mixing matrix were considered, together with the symmetries they satisfied. This includes the six “diagonal textures“ $C1$, $C2$, $C3$ and $R1$, $R2$, $R3$, as well as two “factorized textures“, one of which

coincides with the result of a minimal $Z_2 \times S_3$ horizontal symmetry in some mass limit (Sec. VI). We have also investigated possible patterns of symmetry breaking from the tribimaximal neutrino mixing, assuming one of the horizontal symmetries to remain intact while the others are broken (Sec. VI).

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