

# Shape of the unitary triangle and phase conventions of the CKM matrix

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A shape of the unitary triangle versus a  $CP$  violating parameter  $\delta$  depends on the phase conventions of the Cabibbo-Kobayashi-Maskawa quark-mixing matrix, because the  $CP$  violating parameter  $\delta$  cannot directly be observed, so that it is not rephasing-invariant. In order to seek for a clue to the quark mass matrix structure and the origin of the  $CP$  violation, the dependence of the unitary triangle shape on the parameter  $\delta$  is systematically investigated.

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## I. INTRODUCTION

Usually, it is taken that any phase conventions of the Cabibbo-Kobayashi-Maskawa (CKM) [1,2] matrix are equivalent to each other because of the rephasing invariance. This is true, as far as the observable quantities are concerned. However, quark mass matrices ( $M_u, M_d$ ) are not rephasing invariant, although those are invariant under rephasing:  $M_u \rightarrow M'_u = A^\dagger M_u B_u$ ,  $M_d \rightarrow M'_d = A^\dagger M_d B_d$ . Sometimes, rephasing invariance is confused with rephasing invariance. Most experimentalists have an interest in relations among the observed values (masses  $m_{q_i}$  and CKM parameters  $|V_{ij}|$ ), which are rephasing invariant. On the other hand, most model builders take an interest in relations between mass matrix parameters and observable quantities, where those relations are model-dependent and are not rephasing invariant. Usually, model builders put some ansatz on the mass matrices ( $M_u, M_d$ ), which are given on a specific flavor basis. Then, the ansatz will give a constraint on the  $CP$  violating phases of the CKM matrix  $V = U_{uL}^\dagger U_{dL}$ . We would like to emphasize that a  $CP$  violating parameter  $\delta$  in the CKM matrix is not observable, and it depends on the phase convention of the CKM matrix (so that it depends on a mass matrix model). The observable quantities which are related to  $CP$  violation are angles  $(\phi_1, \phi_2, \phi_3) = (\beta, \alpha, \gamma)$  in the unitary triangle which are defined in Eq. (1.3) later. Only when we take a specific phase convention, the parameter  $\delta$  becomes observable, for example, such as the  $\delta_{13}$  parameter in the standard phase convention [3] of the CKM matrix. To investigate a phase convention with a reasonable value of  $\delta$  means to investigate a corresponding specific flavor basis on which a quark mass matrix model is described, although it is not directly.

For example, by noticing that predictions based on the maximal  $CP$  violation hypothesis [4] depend on the phase convention, the author [5] has recently pointed out that we can obtain successful predictions on the unitary triangle only when we adopt the original Kobayashi-Maskawa (KM) [1] phase convention and the Fritzsch-Xing [6] phase

convention. If we put the ansatz on the standard phase convention [3] of the CKM matrix, we will obtain wrong results on the unitary triangle. For experimental studies, what convention we adopt is not important, but, for model building of the quark and lepton mass matrices, it is a big concern. In the present paper, in order to look for a clue to the origin of the  $CP$  violating phase  $\delta$  (what elements in the quark mass matrices contain the  $CP$  violating phase  $\delta$  and how the magnitude of  $\delta$  is), we will systematically investigate whole phase conventions of the CKM matrix, comparing with the present experimental data of the unitary triangle.

Recent remarkable progress of the experimental  $B$  physics [7] has put the shape of the unitary triangle within our reach. The world average value of the angle  $\beta$  [8] which has been obtained from  $B_d$  decays is

$$\sin 2\beta = 0.736 \pm 0.049 \quad (\beta = 23.7^\circ \pm_{-2.0^\circ}^{+2.2^\circ}) \quad (1.1)$$

and the best fit [8] for the CKM matrix  $V$  also gives

$$\gamma = 60^\circ \pm 14^\circ, \quad \beta = 23.4^\circ \pm 2^\circ, \quad (1.2)$$

where the angles  $\alpha$ ,  $\beta$  and  $\gamma$  are defined by

$$\begin{aligned} \alpha &\equiv \phi_2 = \arg \left[ -\frac{V_{31} V_{33}^*}{V_{11} V_{13}^*} \right], \\ \beta &\equiv \phi_1 = \arg \left[ -\frac{V_{21} V_{23}^*}{V_{31} V_{33}^*} \right], \\ \gamma &\equiv \phi_3 = \arg \left[ -\frac{V_{11} V_{13}^*}{V_{21} V_{23}^*} \right]. \end{aligned} \quad (1.3)$$

Also we know the observed values [8] of the magnitudes  $|V_{ij}|$  of the CKM matrix elements:

$$\begin{aligned} |V_{us}| &= 0.2200 \pm 0.0026, & |V_{cb}| &= 0.0413 \pm 0.0015, \\ |V_{ub}| &= 0.00367 \pm 0.00047, \end{aligned} \quad (1.4)$$

$$\begin{aligned} \text{Re } V_{td} &= 0.0067 \pm 0.0008, \\ \text{Im } V_{td} &= -0.0031 \pm 0.0004. \end{aligned} \quad (1.5)$$

Thus, nowadays, we almost know the shape of the unitary triangle  $V_{ud}^* V_{ub} + V_{cd}^* V_{cb} + V_{td}^* V_{tb} = 0$ . We are interested

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what logic can give the observed magnitude of the  $CP$  violation.

There are, in general, 9 independent phase conventions [9] of the CKM matrix. In the present paper, we define the expressions of the CKM matrix  $V$  as

$$V = V(i, k) \equiv R_i^T P_j R_k \quad (i \neq j \neq k), \quad (1.6)$$

where

$$R_1(\theta) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c & s \\ 0 & -s & c \end{pmatrix}, \quad R_2(\theta) = \begin{pmatrix} c & 0 & s \\ 0 & 1 & 0 \\ -s & 0 & c \end{pmatrix},$$

$$R_3(\theta) = \begin{pmatrix} c & s & 0 \\ -s & c & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad (1.7)$$

( $s = \sin\theta$  and  $c = \cos\theta$ ) and

$$P_1 = \text{diag}(e^{i\delta}, 1, 1), \quad P_2 = \text{diag}(1, e^{i\delta}, 1),$$

$$P_3 = \text{diag}(1, 1, e^{i\delta}). \quad (1.8)$$

The expressions  $V(1, 3)$ ,  $V(1, 1)$  and  $V(3, 3)$  correspond to the standard [3], original KM [2], and Fritzsche-Xing [6] phase conventions, respectively.

By the way, the CKM matrix structure (1.6) is related to a quark mass matrix model under the following specific assumption: We assume that the phase factors in the quark mass matrices  $M_f$  ( $f = u, d$ ) can be factorized by the phase matrices  $P_f$  as

$$M_f = P_{fL}^\dagger \tilde{M}_f P_{fR}, \quad (1.9)$$

where  $P_f$  are phase matrices and  $\tilde{M}_f$  are real matrices. (This is possible for a mass matrix which has specific zero-textures, for example, such as a model with nearest-neighbor interactions (NNI) [10]. For details, see the appendix.) The real matrices  $\tilde{M}_f$  are diagonalized by rotation (orthogonal) matrices  $R_f$  as

$$R_f^\dagger \tilde{M}_f R_f = D_f \equiv \text{diag}(m_{f1}, m_{f2}, m_{f3}), \quad (1.10)$$

[for simplicity, we have assumed that  $M_f$  are Hermitian (or symmetric) matrix, i.e.  $P_{fR} = P_{fL}$  (or  $P_{fR} = P_{fL}^*$ )], so that the CKM matrix  $V$  is given by

$$V = R_u^T P R_d, \quad (1.11)$$

where  $P = P_{uL}^\dagger P_{dL}$ . The quark masses  $m_{fi}$  are only determined by  $\tilde{M}_f$ . In other words, the rotation parameters are given only in terms of the quark mass ratios, and independent of the  $CP$  violating phases. In such a scenario, the  $CP$  violation parameter  $\delta$  can be adjusted without changing the quark mass values. In the present paper, by fixing the rotation matrices  $R_u$  and  $R_d$  (i.e. by fixing the quark masses), we tacitly assume that the  $CP$  violation is described only by the adjustable parameter  $\delta$ . Then, the

expression of the law of the  $CP$  violation depends on the phase conventions of the CKM matrix.

For example, the phase convention  $V(2, 3)$

$$V(2, 3) = R_2^T(\theta_{13}^u) P_1(\delta) R_1(\theta_{23}) R_3(\theta_{12}^d), \quad (1.12)$$

suggests the quark mass matrix structures

$$\tilde{M}_u = R_1(\theta_{23}^u) R_2(\theta_{13}^u) D_u R_2^T(\theta_{13}^u) R_1^T(\theta_{23}^u),$$

$$\tilde{M}_d = R_1(\theta_{23}^d) R_3(\theta_{12}^d) D_d R_3^T(\theta_{12}^d) R_1^T(\theta_{23}^d), \quad (1.13)$$

with  $\theta_{23} = \theta_{23}^d - \theta_{23}^u$ . Therefore, in order to seek for a clue to the quark mass matrix structure, we interest in the relations of the phase conventions (1.6) to the observed unitary triangle shape.

## II. REPHASING-INVARIANT QUANTITY $J$ VERSUS $\delta$

Of the three unitary triangles  $\Delta(ij)$  [ $(ij) = (12), (23), (31)$ ], which denote the unitary conditions

$$\sum_k V_{ki}^* V_{kj} = \delta_{ij}, \quad (2.1)$$

we usually discuss the triangle  $\Delta(31)$ , i.e.

$$V_{ud}^* V_{ub} + V_{cd}^* V_{cb} + V_{td}^* V_{tb} = 0, \quad (2.2)$$

because the triangle  $\Delta(31)$  is the most useful one for the experimental studies.

The rephasing-invariant quantity [11]  $J$  is given by

$$J = \frac{|V_{i1}| |V_{i2}| |V_{i3}| |V_{1k}| |V_{2k}| |V_{3k}|}{(1 - |V_{ik}|^2) |V_{ik}|} \sin\delta, \quad (2.3)$$

in the phase convention  $V(i, k)$ , where the  $CP$  violating phase  $\delta$  has been defined by Eq. (1.7). (We again would like to emphasize that the parameter  $\delta$  is not observable in the direct meaning, and it is model dependent. As we stated in Sec. I, the observable quantities which are related to  $CP$  violation are angles  $(\phi_1, \phi_2, \phi_3) = (\beta, \alpha, \gamma)$  in the unitary triangle.) Note that the 5 quantities (not 6 quantities)  $|V_{i1}|$ ,  $|V_{i2}|$ ,  $|V_{i3}|$ ,  $|V_{1k}|$ ,  $|V_{2k}|$  and  $|V_{3k}|$  in the expression  $V(i, k)$  are independent of the phase parameter  $\delta$ . (In other words, only the remaining 4 quantities are dependent of  $\delta$ .) Therefore, the rephasing-invariant quantity  $J$  is dependent on the parameter  $\delta$  only through the factor  $\sin\delta$ . A ‘‘maximal  $CP$  violation’’ means a maximal  $J$ , so that it means a maximal  $\sin\delta$ . Thus, the maximal  $CP$  violation hypothesis depends on the phase conventions.

From the expression (2.3), for the observed fact  $1 \gg |V_{us}|^2 \simeq |V_{cd}|^2 \gg |V_{cb}|^2 \simeq |V_{ts}|^2 \gg |V_{ub}|^2$ , the rephasing-invariant quantity  $J$  is classified in the following four types:

$$(A): J \simeq |V_{ub}| |V_{td}| \sin\delta, \quad (B): J \simeq |V_{us}| |V_{cb}| |V_{ub}| \sin\delta,$$

$$(C): J \simeq |V_{us}| |V_{cb}| |V_{td}| \sin\delta, \quad (D): J \simeq |V_{cb}|^2 \sin\delta. \quad (2.4)$$

TABLE I. Classification of  $V(i, k)$ : The cases are classified under the approximation of  $1 \gg |V_{us}|^2 \approx |V_{cd}|^2 \gg |V_{cb}|^2 \approx |V_{ts}|^2 \gg |V_{ub}|^2$ . For the types of  $J$ , see Eq. (2.4) in the text.

Phase convention	Type of $J$	$\delta$ -independent $r_i$	$\delta \approx \phi_\ell$
$V(1, 1) = R_1^T P_2 R_2 R_1$	A	$r_1$	$\delta \approx \phi_2$
$V(3, 3) = R_3^T P_1 R_1 R_3$	A	$r_3$	$\delta \approx \phi_2$
$V(1, 2) = R_1^T P_3 R_3 R_2$	B	$r_1$	$\delta \approx \phi_3$
$V(1, 3) = R_1^T P_2 R_2 R_3$	B	$r_1$	$\delta \approx \phi_3$
$V(2, 3) = R_2^T P_1 R_1 R_3$	B	$r_2$	$\delta \approx \phi_3$
$V(2, 1) = R_2^T P_3 R_3 R_1$	C	$r_2$	$\delta \approx \phi_1$
$V(3, 1) = R_3^T P_2 R_2 R_1$	C	$r_3$	$\delta \approx \phi_1$
$V(3, 2) = R_3^T P_1 R_1 R_2$	C	$r_3$	$\delta \approx \phi_1$
$V(2, 2) = R_2^T P_1 R_1 R_2$	D	$r_2$	No simple relation

The corresponding phase conventions  $V(i, k)$  are listed in Table I.

The present experimental values (1.2) suggest  $\alpha \approx 90^\circ$ . Since only the cases  $V(1, 1)$  and  $V(3, 3)$  can give  $\delta \approx \alpha$  as seen in Table I, the ‘‘maximal  $CP$  violation hypothesis’’ (i.e. maximal  $\sin\delta$  hypothesis) can give successful results only for the cases  $V(1, 1)$  and  $(3, 3)$  [5].

### III. ANGLES $\phi_i$ VERSUS $\delta$

In the present section, we systematically investigate the relations between the angles  $\phi_\ell$  ( $\ell = 1, 2, 3$ ) and the  $CP$  violating phase  $\delta$  for each case  $V(i, k)$ .

The angles  $(\phi_1, \phi_2, \phi_3) \equiv (\beta, \alpha, \gamma)$  on the unitary triangle  $\Delta(31)$  are given by the sine rule

$$\frac{r_1}{\sin\phi_1} = \frac{r_2}{\sin\phi_2} = \frac{r_3}{\sin\phi_3} = 2R, \quad (3.1)$$

where  $R$  is the radius of the circumscribed circle of the triangle  $\Delta(31)$ , and  $r_i$  are defined by

$$\begin{aligned} r_1 &= |V_{13}||V_{11}|, & r_2 &= |V_{23}||V_{21}|, \\ r_3 &= |V_{33}||V_{31}|. \end{aligned} \quad (3.2)$$

Then, the quantity  $J$  is rewritten as follows:

$$\begin{aligned} J &= 2r_m r_n \sin\phi_\ell = \frac{1}{R} r_\ell r_m r_n \\ &= \frac{1}{R} |V_{11}||V_{21}||V_{31}||V_{13}||V_{23}||V_{33}|, \end{aligned} \quad (3.3)$$

where  $(\ell, m, n)$  is a cyclic permutation of  $(1, 2, 3)$ . From Eqs. (2.3), (3.1), and (3.3), the angles  $\phi_\ell$  are given by the formula

$$\sin\phi_\ell = \frac{|V_{i1}||V_{i2}||V_{i3}||V_{1k}||V_{2k}||V_{3k}| \sin\delta}{|V_{m1}||V_{m3}||V_{n1}||V_{n3}|(1 - |V_{ik}|^2)|V_{ik}|}. \quad (3.4)$$

Of the three sides in the expression  $V(i, k)$ , only one side  $r_i$  is always independent of the phase parameter  $\delta$ . And, of the three angle  $\phi_i$ , only one (we express it with  $\phi_\ell$ ), except for the case  $V(2, 2)$ , is approximately equal to the phase

parameter  $\delta$ . In Table I, we also list the side  $r_i$  which is independent of  $\delta$  and the angle  $\phi_\ell$  which is approximately equal to  $\delta$ .

The relations between  $\phi_i$  ( $i = 1, 2, 3$ ) and  $\delta$  are illustrated in Figs. 1–8. The curves have been evaluated by using the explicit expression (1.6) [not by using the formula (3.4)]. In general, there are five  $|V_{ij}|$  which are independent of the phase parameter  $\delta$ . For the cases that  $|V_{us}|$ ,  $|V_{cb}|$  and  $|V_{ub}|$  are  $\delta$ -independent  $V_{ij}$ , we have used the observed values (1.4) as the input values, i.e.  $|V_{us}| = 0.22$ ,  $|V_{cb}| = 0.0413$  and  $|V_{ub}| = 0.00367$ . When  $|V_{us}|$  ( $|V_{cb}|$ ) is  $\delta$ -dependent, but  $|V_{cd}|$  ( $|V_{ts}|$ ) is  $\delta$ -independent, we have, for convenience, used the input values  $|V_{cd}| = 0.22$  ( $|V_{ts}| = 0.0413$ ). When  $|V_{ub}|$  is  $\delta$ -dependent, but  $|V_{td}|$  is  $\delta$ -independent, we have, for convenience, used the input values  $|V_{td}| = 0.0084$ , which is a predicted value of  $|V_{td}|$  in the case  $V(1, 1)$  with the maximal  $\sin\delta$ . However, for the case  $V(2, 2)$ , since both  $|V_{ub}|$  and  $|V_{td}|$  are  $\delta$ -dependent, so that we cannot use such an approximate substitute. As seen in Table I, the case  $V(2, 2)$  needs a small value of  $\delta$  compared with other cases, so that the case is not so interesting. We omit the case  $V(2, 2)$  from the present study.

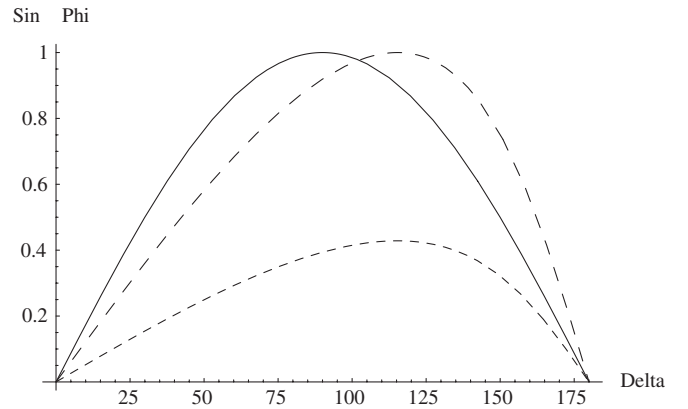


FIG. 1.  $\sin\phi_i$  ( $i = 1, 2, 3$ ) versus  $\delta$  in  $V(1, 1)$ . The curves  $\sin\alpha$ ,  $\sin\beta$ , and  $\sin\gamma$  are denoted by a solid line, a dotted line, and a dashed line, respectively.

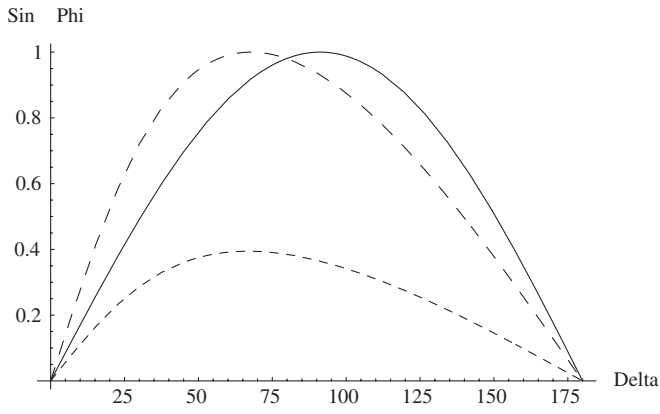


FIG. 2.  $\sin\phi_i$  ( $i = 1, 2, 3$ ) versus  $\delta$  in  $V(3, 3)$ . The curves  $\sin\alpha$ ,  $\sin\beta$ , and  $\sin\gamma$  are denoted by a solid line, a dotted line, and a dashed line, respectively.

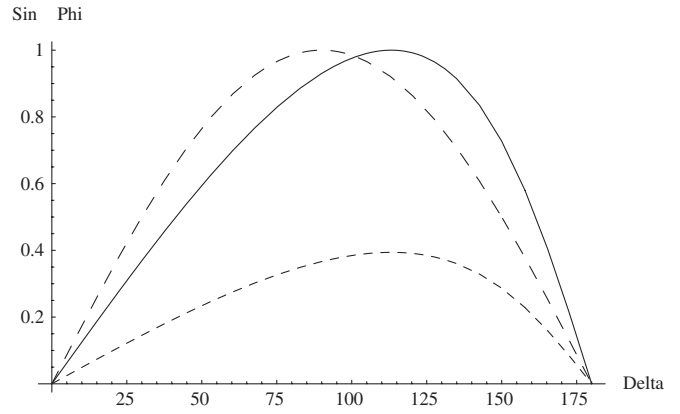


FIG. 5.  $\sin\phi_i$  ( $i = 1, 2, 3$ ) versus  $\delta$  in  $V(2, 3)$ . The curves  $\sin\alpha$ ,  $\sin\beta$ , and  $\sin\gamma$  are denoted by a solid line, a dotted line, and a dashed line, respectively.

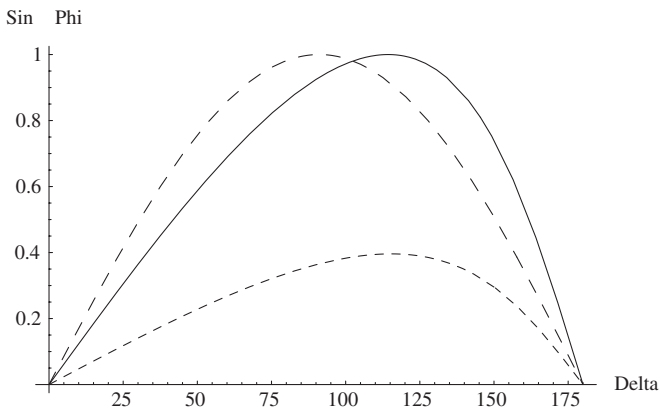


FIG. 3.  $\sin\phi_i$  ( $i = 1, 2, 3$ ) versus  $\delta$  in  $V(1, 2)$ . The curves  $\sin\alpha$ ,  $\sin\beta$ , and  $\sin\gamma$  are denoted by a solid line, a dotted line, and a dashed line, respectively.

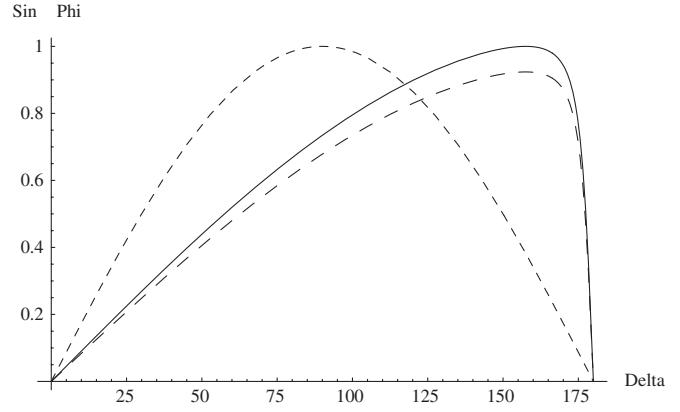


FIG. 6.  $\sin\phi_i$  ( $i = 1, 2, 3$ ) versus  $\delta$  in  $V(2, 1)$ . The curves  $\sin\alpha$ ,  $\sin\beta$ , and  $\sin\gamma$  are denoted by a solid line, a dotted line, and a dashed line, respectively.

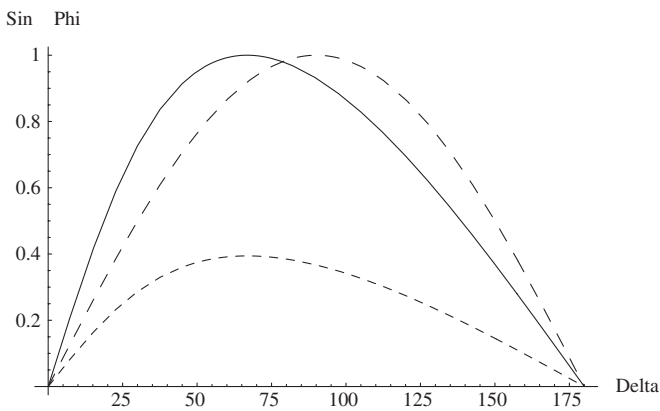


FIG. 4.  $\sin\phi_i$  ( $i = 1, 2, 3$ ) versus  $\delta$  in  $V(1, 3)$ . The curves  $\sin\alpha$ ,  $\sin\beta$ , and  $\sin\gamma$  are denoted by a solid line, a dotted line, and a dashed line, respectively.

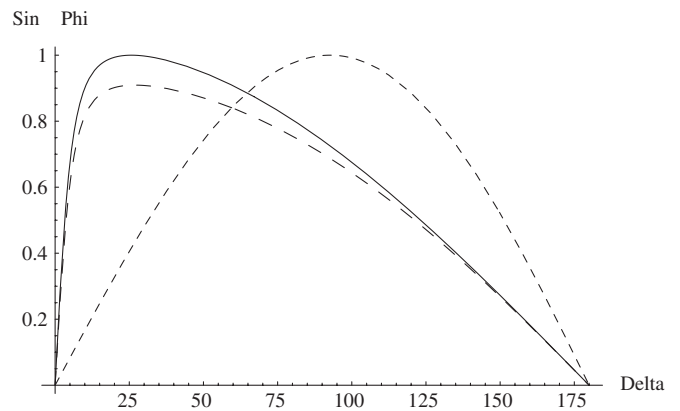


FIG. 7.  $\sin\phi_i$  ( $i = 1, 2, 3$ ) versus  $\delta$  in  $V(3, 1)$ . The curves  $\sin\alpha$ ,  $\sin\beta$ , and  $\sin\gamma$  are denoted by a solid line, a dotted line, and a dashed line, respectively.

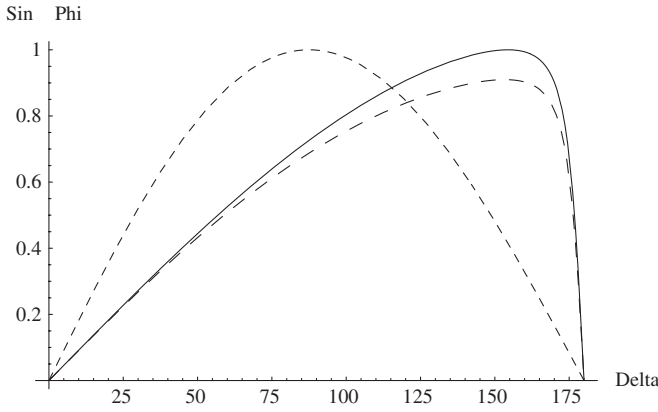


FIG. 8.  $\sin\phi_i$  ( $i = 1, 2, 3$ ) versus  $\delta$  in  $V(3, 2)$ . The curves  $\sin\alpha$ ,  $\sin\beta$ , and  $\sin\gamma$  are denoted by a solid line, a dotted line, and a dashed line, respectively.

As seen in Figs. 1–8, of the maximal values of the three  $\sin\phi_i$  ( $i = 1, 2, 3$ ), two can take  $(\sin\phi_i)_{\max} = 1$ , while one (we express it with  $\phi_s$ ) always takes a smaller value than one, i.e.  $(\sin\phi_s)_{\max} < 1$ . The angle  $\phi_s$  with  $(\sin\phi_s)_{\max} < 1$  is  $\phi_1$  for the cases A and B, and is  $\phi_3$  for the case C. If we assume that nature chooses the value of the phase parameter  $\delta$  such as  $\sin\phi_s$  is maximal, as shown in Table II, the cases  $V(i, k)$  with  $i \neq k$  can predict reasonable values of the angles  $\phi_i$  ( $i = 1, 2, 3$ ).

A more straightforward ansatz is as follow: the value of  $\sin\alpha$  has to take its maximal value  $\sin\alpha = 1$ . Then, all cases  $V(i, k)$  can give reasonable values of the angles as seen in Table II. However, this ansatz is merely other expression of the observed fact (1.2). In the maximal  $CP$  violation hypothesis, the hypothesis has been imposed on the  $CP$  violating phase parameter  $\delta$ , which is not a directly observable quantity. Therefore, the hypothesis could choose specific phase conventions  $V(1, 1)$  and  $V(3, 3)$  (consequently, specific quark mass matrix structures) as experimentally favorable ones. In contrast to the maximal  $CP$  violation hypothesis, the ansatz for the directly observable quantities such as  $(\sin\alpha)_{\max} = 1$  cannot choose a specific phase convention  $V(i, k)$  as a favorable one. It is unlikely that the ansatz  $\sin\alpha = 1$  gives a clue to the origin of the  $CP$  violating phase in the quark mass matrices.

#### IV. RADIUS OF THE CIRCUMSCRIBED CIRCLE

When we see the unitary triangle from the geometrical point of view, we find that the triangle  $\Delta(31)$  has the plumpest shape compared with other triangles  $\Delta(12)$  and  $\Delta(23)$ , so that the triangle  $\Delta(31)$  has the shortest radius  $R_{\min}$  of the circumscribed circle compared with the other cases  $\Delta(12)$  and  $\Delta(23)$ . Therefore, let us put the following assumption: the phase parameter  $\delta$  takes the value so that the radius of the circumscribed circle  $R(\delta)$  takes its minimum value. The radius  $R(\delta)$  is given by the sine rule (3.1). Note that the side  $r_i$  in the expression  $V(i, k)$  is independent of the parameter  $\delta$ . Therefore, the minimum of the radius  $R(\delta)$  means the maximum of  $\sin\phi_i(\delta)$  in the phase convention  $V(i, k)$ . In Table III, we list values of  $(\phi_1, \phi_2, \phi_3)$  at  $\delta = \delta_0$  at which  $\sin\phi_i$  takes its maximal value. As seen in Table III, all cases except for  $V(1, 1)$  and  $V(3, 3)$  [and also  $V(2, 2)$ ] can give favorable predictions. Therefore, this ansatz is also not useful to select a specific  $V(i, k)$ .

If we put further strong constraint that the phase parameter  $\delta$  takes own value so that  $\sin\phi_i(\delta)$  takes its maximal value  $\sin\phi_i = 1$ , then, we find that the possible candidates are only two:  $V(2, 3)$  and  $V(2, 1)$ . [The other cases cannot take the value  $\sin\phi_i = 1$  under the observed values (1.4) of  $|V_{us}|$ ,  $|V_{cb}|$  and  $|V_{ub}|$ .] When we take account of the forms of the quark mass matrices ( $M_u, M_d$ ) which are suggested by Eq. (1.11) from a specific phase convention  $V(i, k)$ , we are especially interested in the phase convention  $V(2, 3)$ . The phase convention (1.12) suggests the quark mass matrix structure (1.13). It is well known that if we require the zero-texture  $(M_d)_{11} = 0$  for the down-quark mass matrix  $M_d$ , we can obtain the successful prediction for  $|V_{us}|$  [12]

$$|V_{us}| \simeq \sqrt{\frac{m_d}{m_s}} = 0.22. \quad (4.1)$$

From the point of view of  $M_u$ - $M_d$  correspondence, if we also apply the zero-texture hypothesis to the up-quark mass matrix  $M_u$ , we obtain

TABLE II. Maximal  $\sin\phi_s$  hypothesis.

Type	$V(i, k)$	$s$	$(\sin\phi_s)_{\max} (< 1)$ at $\delta = \delta_0$			$(\sin\phi_2)_{\max} = 1$ at $\delta = \delta_0$			
			$\phi_1$	$\phi_2$	$\phi_3$	$\delta_0$	$\phi_1$	$\phi_3$	$\delta_0$
A	$V(1, 1)$	$s = 1$	$25.4^\circ$	$64.6^\circ$	$90.0^\circ$	$115.3^\circ$	$23.2^\circ$	$66.8^\circ$	$90.0^\circ$
A	$V(3, 3)$	$s = 1$	$23.2^\circ$	$65.7^\circ$	$91.1^\circ$	$66.8^\circ$	$21.4^\circ$	$68.8^\circ$	$91.1^\circ$
B	$V(1, 2)$	$s = 1$	$22.8^\circ$	$91.0^\circ$	$66.2^\circ$	$114.8^\circ$	$22.8^\circ$	$67.2^\circ$	$113.8^\circ$
B	$V(1, 3)$	$s = 1$	$23.2^\circ$	$90.0^\circ$	$66.8^\circ$	$66.9^\circ$	$23.2^\circ$	$66.8^\circ$	$66.9^\circ$
B	$V(2, 3)$	$s = 1$	$23.2^\circ$	$90.0^\circ$	$66.8^\circ$	$113.2^\circ$	$23.2^\circ$	$66.8^\circ$	$113.2^\circ$
C	$V(2, 1)$	$s = 3$	$22.5^\circ$	$90.0^\circ$	$67.5^\circ$	$157.5^\circ$	$22.5^\circ$	$67.5^\circ$	$157.5^\circ$
C	$V(3, 1)$	$s = 3$	$25.7^\circ$	$88.9^\circ$	$65.4^\circ$	$26.9^\circ$	$24.6^\circ$	$65.4^\circ$	$25.7^\circ$
C	$V(3, 2)$	$s = 3$	$25.6^\circ$	$65.5^\circ 88.9^\circ$	$65.5^\circ$	$153.3^\circ$	$24.5^\circ$	$65.5^\circ$	$154.4^\circ$

TABLE III. Minimal circumscribed circle hypothesis. The hypothesis requires a maximal  $\sin\phi_i$  in the phase convention  $V(i, k)$ . The underlined values are obtained by the maximal  $\sin\phi_i$  requirement.

Type	$V(i, k)$	$\phi_1$	$\phi_2$	$\phi_3$	$\delta_0$
A	$V(1, 1)$	<u>25.4°</u>	64.6°	90.0°	115.3°
A	$V(3, 3)$	23.2°	66.8°	<u>90.0°</u>	67.8°
B	$V(1, 2)$	<u>22.8°</u>	91.0°	66.2°	114.8°
B	$V(1, 3)$	<u>23.2°</u>	90.0°	66.8°	66.9°
B	$V(2, 3)$	23.2°	<u>90.0°</u>	66.8°	113.2°
C	$V(2, 1)$	22.5°	<u>90.0°</u>	67.5°	157.5°
C	$V(3, 1)$	25.7°	88.9°	<u>65.4°</u>	26.9°
C	$V(3, 2)$	25.6°	88.9°	<u>65.5°</u>	153.3°

$$|V_{ub}| \simeq s_{13}^u \simeq \sqrt{\frac{m_u}{m_t}} = 0.0036 \quad (4.2)$$

from  $(M_u)_{11} = (m_{u3} - m_{u1})c_{13}^u s_{13}^u c_{23}^u$ , where we have used the quark mass values [13] at  $\mu = m_Z$ . The prediction is in excellent agreement with the observed value (1.4). [If we put  $(M_u)_{11} = 0$  on the mass matrix  $M_u$  which is suggested from the phase convention  $V(3, 3)$ , we will obtain  $|V_{ub}/V_{cb}| \simeq \sqrt{m_u/m_c} = 0.059$ , which is in poor agreement with the observed value  $|V_{ub}/V_{cb}| = 0.089_{-0.014}^{+0.015}$ .] Therefore, from the phenomenological point of view, we are interested in the phase convention  $V(2, 3)$  rather than the phase convention  $V(3, 3)$ .

## V. CONCLUDING REMARKS

In conclusion, we have investigated the dependence of the unitary triangle shape on the  $CP$  violating parameter  $\delta$  which is dependent on the phase conventions of the CKM matrix. The phase conventions are, generally, classified into the 9 expressions  $V(i, k)$ , Eq. (1.6), which suggests the quark mass matrix structures (1.9) with Eq. (1.11). If we require that the angle  $\alpha$  ( $\equiv \phi_2$ ) takes  $\sin\alpha = 1$ , all cases can predict favorable values of  $(\phi_1, \phi_2, \phi_3)$  as seen in Table II.

However, we want to select a specific phase convention  $V(i, k)$  in order to seek for a clue to the quark mass matrix structure and the origin of the  $CP$  violation. Then, the most naive and simplest hypothesis is the well-known ‘‘maximal  $CP$  violation hypothesis,’’ which means the requirement  $\sin\delta = 1$ . The ansatz selects the cases  $V(1, 1)$  and  $V(3, 3)$ . The relations between  $V(3, 3)$  and the quark mass matrices  $(M_u, M_d)$  have already discussed in Refs. [6,14].

Another selection rule is a minimal circumscribed circle hypothesis, which requires a maximal value of  $\sin\phi_i$  in the phase convention  $V(i, k)$ . The hypothesis selects all cases except for  $V(i, i)$  ( $i = 1, 2, 3$ ) as favorable ones. Only when we put a stronger constraint  $\sin\phi_i = 1$ , we can select cases  $V(2, 3)$  and  $V(2, 1)$ . [In other cases,  $\sin\phi_i$  cannot

take  $\sin\phi_i = 1$  under the observed values (1.4) of  $|V_{us}|$ ,  $|V_{cb}|$  and  $|V_{ub}|$ .] We are interested in the case  $V(2, 3)$  because the suggested quark mass matrices predict successful relations  $|V_{ub}| \simeq \sqrt{m_u/m_t}$  and  $|V_{us}| \simeq \sqrt{m_d/m_s}$  under the simple texture-zero hypotheses  $(M_u)_{11} = 0$  and  $(M_d)_{11} = 0$ , respectively.

Although, in the present paper, we did not discuss the neutrino mixing matrix [15]  $U = U_{eL}^\dagger U_{\nu L}$ , where  $U_{eL}^\dagger M_e U_{eR} = D_e$  and  $U_{\nu L}^\dagger M_\nu U_{\nu R}^* = D_\nu$ , the expressions  $V(i, k)$  will also be useful for studies of the neutrino mixings. If we obtain data of  $CP$  violation in the lepton sector in the near future, we can select a favorable expression  $V(i, k)$  for the mixing matrix  $U$ , and thereby we will be able to get a clue for investigating structures of  $M_e$  and  $M_\nu$  individually.

## APPENDIX: CONDITIONS ON A MASS MATRIX WHICH IS FACTORIZED INTO A REAL MATRIX BY PHASE MATRICES

We show that a mass matrix  $M$  with a specific texture-zero can always be factorized by phase matrices  $P_L$  and  $P_R$  as

$$M = P_L^\dagger \tilde{M} P_R, \quad (A1)$$

where  $\tilde{M}$  is a real matrix, and

$$\begin{aligned} P_L &= \text{diag}(e^{i\delta_1^L}, e^{i\delta_2^L}, e^{i\delta_3^L}), \\ P_R &= \text{diag}(e^{i\delta_1^R}, e^{i\delta_2^R}, e^{i\delta_3^R}). \end{aligned} \quad (A2)$$

When we denote

$$M_{ij} = |M_{ij}| e^{i\phi_{ij}}, \quad (A3)$$

we obtain 9 relations

$$\phi_{ij} = -(\delta_i^L - \delta_j^R). \quad (A4)$$

Although we have 6 parameters  $\delta_i^L$  and  $\delta_i^R$ , the substantial number of the parameters is 5. Therefore, we have 4 independent relations among the phases  $\phi_{ij}$ . In order that the phase parameters  $\phi_{ij}$  are free each other, 5 of 9 mass matrix elements must be zero.

Let us do it in the concrete. From the relations (A4), we obtain

$$\delta_1^L = \delta_1^R - \phi_{11} = \delta_2^R - \phi_{12} = \delta_3^R - \phi_{13}, \quad (A5)$$

$$\delta_2^L = \delta_1^R - \phi_{21} = \delta_2^R - \phi_{22} = \delta_3^R - \phi_{23}, \quad (A6)$$

$$\delta_3^L = \delta_1^R - \phi_{31} = \delta_2^R - \phi_{32} = \delta_3^R - \phi_{33}. \quad (A7)$$

By eliminating  $\delta_i^R$  from the relations (A5)–(A7), we obtain the following 4 independent relations among  $\phi_{ij}$ :

$$\phi_{11} + \phi_{22} = \phi_{12} + \phi_{21}, \quad (A8)$$

$$\phi_{22} + \phi_{33} = \phi_{23} + \phi_{32}, \quad (A9)$$

$$\phi_{33} + \phi_{11} = \phi_{31} + \phi_{13}, \quad (\text{A10})$$

$$\phi_{12} + \phi_{23} + \phi_{31} = \phi_{21} + \phi_{32} + \phi_{13}. \quad (\text{A11})$$

If a matrix element  $M_{ij}$  is zero, the corresponding phase parameter  $\phi_{ij}$  becomes unsettled. Every relations (A8)–(A11) contain such unsettled phases more than one in order that the mass matrix  $M$  can always be transformed into the real matrix  $\tilde{M}$  by phase matrices  $P_L$  and  $P_R$  as Eq. (A1). Therefore, 4 zero-textures are, at least, required.

Of course, if the phase parameters  $\phi_{ij}$  satisfy the relations (A8)–(A11), the mass matrix  $M$  can always be transformed into a real matrix  $\tilde{M}$  as Eq. (A1) without texture-zeros.

As such a typical mass matrix form which can be factorized as Eq. (A1), a model with a NNI form [10] is well known:

$$M = \begin{pmatrix} 0 & a & 0 \\ a' & 0 & b \\ 0 & b' & c \end{pmatrix}, \quad (\text{A12})$$

We should recall that Branco, Lavoura, and Mota [16] have shown that any quark mass matrix form ( $M_u, M_d$ ) can be transformed into the NNI form (A12) by rephasing without losing generality. However, even the mass matrix form  $M_f$  in Eq. (1.9) has a NNI form, in the present investigation, it means a case that the NNI form is an original form without rephasing.

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