

R -parity violating minimal supergravity model

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We present the minimal supersymmetric standard model with general broken R parity, focusing on minimal supergravity (MSUGRA). We discuss the origins of lepton number violation in supersymmetry. We have computed the full set of coupled one-loop renormalization-group equations for the gauge couplings, the superpotential parameters, and for all the soft supersymmetry breaking parameters. We provide analytic formulas for the scalar potential minimization conditions which may be iterated to arbitrary precision. We compute the low-energy spectrum of the superparticles and the neutrinos as a function of the small set of parameters at the unification scale in the general basis. Specializing to MSUGRA, we use the neutrino masses to set new bounds on the R -parity violating couplings. These bounds are up to five orders of magnitude stricter than the previously existing ones. In addition, new bounds on the R -parity violating couplings are also derived demanding a nontachyonic sneutrino spectrum. We investigate the nature of the lightest supersymmetric particle and find extensive regions in parameter space where it is *not* the neutralino. This leads to a novel set of supersymmetric signatures, which we classify.

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I. INTRODUCTION

The most widely studied supersymmetric scenario is the minimal supersymmetric standard model (MSSM) with *conserved* R parity [1–3]. The unification of the three Standard Model gauge couplings, g_i , at the scale $M_X = O(10^{16} \text{ GeV})$ [4], is a strong indication that supersymmetry (SUSY) is embedded in a unified model. In the simplest such model [1], SUSY breaking occurs in a hidden sector (decoupled from the Standard Model gauge interactions), and is communicated to our visible sector via gravity [5]. The scale of SUSY breaking in the visible sector is thus the Planck scale, $M_P = 10^{19} \text{ GeV}$.

The large number of parameters in the MSSM is restricted by making well-motivated simplifying assumptions at the unification scale. In the special case of the minimal supergravity model (MSUGRA), there are five parameters beyond those of the Standard Model:

$$M_0, M_{1/2}, A_0, \tan \beta, \text{sgn}(\mu). \quad (1)$$

These are the universal scalar mass M_0 , gaugino mass $M_{1/2}$, and trilinear scalar coupling A_0 , respectively, as well as the ratio of the Higgs vacuum expectation values (VEV's), $\tan \beta$, and the sign of the bilinear Higgs mixing parameter, μ . Given these five parameters at the unification scale, we can predict the full mass spectrum as well as the couplings of the particles at the weak scale via the supersymmetric

renormalization-group equations (RGEs). This is the most widely used model for extensive phenomenological and experimental tests of supersymmetry. It is the purpose of this paper to create an analogous model in the case of supersymmetry with *broken* R -parity (\mathcal{R}_p): the R -parity violating minimal supergravity model (\mathcal{R}_p MSUGRA).

The MSUGRA model with universal boundary conditions was first extended to include bilinear \mathcal{R}_p by Hempfling [6], focusing on the neutrino sector. A further detailed analysis in this framework was performed by Hirsch *et al.* [7]. de Carlos and White were the first to go beyond bilinear \mathcal{R}_p and consider the full set of \mathcal{R}_p couplings [8,9]. However, they restricted themselves to the third-generation Higgs-Yukawa couplings and used an approximate method to minimize the scalar potential. We detail below how we go beyond this work.

We shall consider the chiral superfield particle content

$$Q_i^x, \bar{D}_i^x, \bar{U}_i^x, L_i^a, \bar{E}_i, H_1^a, H_2^a. \quad (2)$$

Here $i = 1, 2, 3$ is a generation index, $x = 1, 2, 3$, and $a = 1, 2$ are $SU(3)$ and $SU(2)$ gauge indices, respectively. In supersymmetry, the lepton doublet superfields L_i^a and the Higgs doublet superfield coupling to the downlike quarks, H_1 , have the same gauge and Lorentz quantum numbers (this is an essential feature in our discussion below). When appropriate, we shall combine them into the chiral superfields $\mathcal{L}_{\alpha=0, \dots, 3}^a = (H_1^a, L_{i=1,2,3}^a)$. The gauge quantum numbers of the chiral superfields and the vector superfields are given in Table I.

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A. R -parity violation

R parity is defined as the discrete multiplicative symmetry [10]

$$R_p = (-1)^{2S+3B+L}, \quad (3)$$

where S is the spin, B the baryon number, and L the lepton number of the particle. All Standard Model particles including the two scalar Higgs doublets have $R_p = +1$; their superpartners have $R_p = -1$. When allowing for R -parity violation, the full renormalizable superpotential is given by [11]

$$\begin{aligned} W = & \epsilon_{ab}[(\mathbf{Y}_E)_{ij}L_i^a H_1^b \bar{E}_j + (\mathbf{Y}_D)_{ij}Q_i^{ax} H_1^b \bar{D}_{jx} \\ & + (\mathbf{Y}_U)_{ij}Q_i^{ax} H_2^b \bar{U}_{jx}] \\ & + \epsilon_{ab} \left[\frac{1}{2} \lambda_{ijk} L_i^a L_j^b \bar{E}_k + \lambda'_{ijk} L_i^a Q_j^{xb} \bar{D}_{kx} \right] \\ & + \frac{1}{2} \epsilon_{xyz} \lambda''_{ijk} \bar{U}_i^x \bar{D}_j^y \bar{D}_k^z - \epsilon_{ab} [\mu H_1^a H_2^b + \kappa^i L_i^a H_2^b]. \end{aligned} \quad (4)$$

Here $\mathbf{Y}_{E,D,U}$ are 3×3 matrices of Yukawa couplings; $\lambda_{ijk}, \lambda'_{ijk}, \lambda''_{ijk}$ are Yukawa couplings and κ_i are mass-dimension-1 parameters. ϵ_{ab} and ϵ_{xyz} are the totally antisymmetric tensors, with $\epsilon_{12} = \epsilon_{123} = +1$. The terms proportional to $\lambda, \lambda', \lambda''$, and κ_i violate R parity explicitly and it is their effect that we investigate in detail in this paper. The terms proportional to λ'' violate baryon number, whereas the terms proportional to λ, λ' , and κ_i violate lepton number. Baryon- and lepton-number violation cannot be simultaneously present in the theory, otherwise the proton will decay rapidly [12,13]. We discuss in detail in Sec. II how this can be guaranteed.

When extending MSUGRA to allow for R -parity violation, the particle content remains the same but we have additional interactions in the superpotential, Eq. (4), as well as the soft-breaking scalar potential [cf. Eq. (30)]. Thus within the \mathcal{R}_p MSUGRA the RGEs must be modified. The running of the gauge couplings is only affected at the two-loop level and the effects have been discussed in Ref. [14]. Reference [14] also contains the \mathcal{R}_p two-loop RGEs for the superpotential parameters. Here we restrict ourselves to the one-loop RGEs. In order to fix the notation, we present the RGEs for the superpotential couplings as well as the gauge couplings in Appendix A. Due to the flavor indices, the RGEs for the soft supersymmetry breaking terms are highly coupled to each other. In Appendix B, we discuss a very elegant method developed by Jack and Jones [15] to derive the full set of RGEs for the soft-supersymmetry breaking terms and apply it to the case of the \mathcal{R}_p MSUGRA. As we discuss, Jack and Jones' method is more easily implemented in a numerical computation. We also independently calculate the β functions of the theory by using the formulas from Ref. [16]. The resulting RGEs for the soft-supersymmetry breaking terms are given explicitly in Appendix C. We have checked that our results for the β functions in Appendixes C and D are in full

TABLE I. The particle content of the MSUGRA \mathcal{R}_p model in terms of superfields and their decomposition into components with their $SU(3)_c \times SU(2)_L \times U(1)_Y$ quantum numbers. x, X are $SU(3)$ representation and generator indices; a, A are $SU(2)$ representation and generator indices. $\alpha = 0, \dots, 3$ is the family index of the lepton superfield, and $i = 1, \dots, 3$ the usual family index of quarks, leptons, and their superpartners. The fermionic components of the superfields are two-component Weyl spinors.

Chiral superfields	$SU(3)_c \times SU(2)_L \times U(1)_Y$	Components
$Q_i^{a,x}$	$(\mathbf{3}, \mathbf{2}, \frac{1}{6})$	$\begin{pmatrix} \tilde{u}_L \\ \tilde{d}_L \end{pmatrix}, \begin{pmatrix} u_L \\ d_L \end{pmatrix}$
\bar{D}_i^x	$(\bar{\mathbf{3}}, \mathbf{1}, \frac{1}{3})$	\tilde{d}_R^*, d_R
\bar{U}_i^x	$(\bar{\mathbf{3}}, \mathbf{1}, -\frac{2}{3})$	\tilde{u}_R^*, u_R
$\mathcal{L}_a^a = \{H_1^a, L_i^a\}$	$(\mathbf{1}, \mathbf{2}, -\frac{1}{2})$	$\begin{pmatrix} \tilde{\nu}_\alpha \\ \tilde{e}_{L\alpha} \end{pmatrix} = \begin{pmatrix} h_1^0 \\ h_1^- \end{pmatrix}, \begin{pmatrix} \tilde{\nu}_i \\ \tilde{e}_{Li} \end{pmatrix},$ $\begin{pmatrix} \nu_\alpha \\ e_{L\alpha} \end{pmatrix} = \begin{pmatrix} \tilde{h}_1^0 \\ \tilde{h}_1^- \end{pmatrix}, \begin{pmatrix} \nu_i \\ e_{Li} \end{pmatrix}$
\bar{E}_i	$(\mathbf{1}, \mathbf{1}, \mathbf{1})$	\tilde{e}_R^*, e_R
H_2^a	$(\mathbf{1}, \mathbf{2}, \frac{1}{2})$	$\begin{pmatrix} h_2^+ \\ h_2^0 \end{pmatrix}, \begin{pmatrix} \tilde{h}_2^+ \\ \tilde{h}_2^0 \end{pmatrix}$
Vector superfields	$SU(3)_c \times SU(2)_L \times U(1)_Y$	Components
V_1	$(\mathbf{1}, \mathbf{1}, \mathbf{0})$	\tilde{B}, B_μ
V_2	$(\mathbf{1}, \mathbf{3}, \mathbf{0})$	$\tilde{W}_\mu^{(A)}, W_\mu^{(A)}$
V_3	$(\mathbf{8}, \mathbf{1}, \mathbf{0})$	$\tilde{G}^{(X)}, G_\mu^{(X)}$

agreement. Furthermore, where relevant, they agree with previous (subsets of) results which have been computed by the standard method [8,17].

Given the RGEs, we can compute the full model at the weak scale, including the mass spectrum and the couplings of all the particles as a function of our unified scale (M_X) boundary conditions. In our numerical results for the \mathcal{R}_p MSUGRA, we extend the parameters given in Eq. (1) by only *one* \mathcal{R}_p coupling. We thus have

$$\{\lambda, \lambda', \lambda''\}_1, M_0, M_{1/2}, A_0, \tan \beta, \text{sgn}(\mu) \quad (5)$$

as our free parameters at M_X . $\{\lambda, \lambda', \lambda''\}_1$ indicates that only one \mathcal{R}_p coupling is nonzero at M_X . We note that through the coupled RGEs many couplings can be nonzero at M_Z and this is taken into account in the numerical implementation of our RGEs.

Due to existing experimental bounds on the $(\lambda, \lambda', \lambda'')$ [18,19], the couplings are typically small and we thus expect the deviations from MSUGRA due to \mathcal{R}_p to be small. However, besides the RGEs discussed above, there are four important aspects where there are significant changes and which we discuss in detail in this paper: (i) the origin of

lepton number violation, (ii) minimizing the scalar potential, (iii) neutrino masses, and (iv) the nature of the lightest supersymmetric particle (LSP).

(i) Since the discovery of neutrino oscillations, we know that lepton *flavor* is violated. If the observed neutrinos have Majorana masses, then lepton *number* is violated as well. In the \mathcal{R}_p MSSM, the lepton number is naturally violated in the superpotential by the Yukawa couplings (λ, λ') as well as the mass terms κ_i . In Sec. II, we discuss the origin of these terms in high-energy unified theories and argue that they are just as well motivated as in the R -parity conserving case. For this we reanalyze the seminal work on Z_2 and Z_3 discrete gauge symmetries by Ibanez and Ross [20]. We find a slightly different set of allowed operators, but the conclusions remain the same.

We argue that within supergravity, with gravity-mediated supersymmetry breaking, it is natural to have both $\kappa_i=0$ and $\tilde{D}_i=0$ at the unification scale, M_X . This has not been taken into account in previous \mathcal{R}_p RGE studies. [Here \tilde{D}_i is the corresponding soft supersymmetry breaking bilinear term to κ_i , cf. Eq. (30).] This reduces the number of parameters we must consider to the set given in Eq. (5). At the weak scale, however, in general $\kappa_i, \tilde{D}_i \neq 0$, but these are then derived quantities.

(ii) Since the lepton doublet superfields L_i^a have the same gauge and Lorentz quantum numbers as the downlike Higgs doublet H_1 , we effectively have a five-Higgs-doublet model for which we must minimize the scalar potential. Within our RGE framework, this must be done in a consistent approach while maintaining the value of $\tan \beta$ given at the weak scale and also obtaining the correct radiative electroweak symmetry breaking [21]. In Ref. [7] (bilinear R -parity violation), points were tested to see if they minimize the potential for the case (in their notation) $\tilde{B}=\tilde{D}_i=A_0-1$. We have directly minimized the potential and do not make the latter additional assumption. Instead, we determine \tilde{B}, \tilde{D}_i via electroweak radiative breaking. If we obtain a point with radiative breaking of color or electric charge, we disregard it. We also go beyond the numerical approximations made in Ref. [8] to obtain the full result. The technical details of the iterative procedure are given in Sec. IV.

(iii) Due to the coupled \mathcal{R}_p RGEs, a nonzero λ or λ' together with $\mu(M_X) \neq 0$ will generate nonzero κ_i 's at the weak scale [8,22,23]. The κ_i 's lead to mixing between the neutrinos and neutralinos resulting in one nonzero neutrino mass at tree level [24–26]. Thus one or more nonvanishing (λ, λ') at M_X will result in one massive neutrino at the weak scale via the RGEs and the κ_i . Requiring this neutrino to be less than the cosmological bound on the sum of the neutrino masses determined by the Wilkinson Microwave Anisotropy Probe (WMAP) Collaboration [27] using their data combined with the 2 dimensional Far Galaxy Red-Shift Survey (2DFGRS) data [28],

$$\sum m_{\nu_i} < 0.71 \text{ eV}, \quad (6)$$

thus gives a bound on the (λ, λ') at M_X . These bounds are

determined in Sec. VII A and are very strict for the specific MSUGRA point SPS1a, but are fairly sensitive to the precise choice of parameters at M_X . The bounds are summarized in Table III. In Refs. [8,23], it was argued that such bounds exist, however no explicit bounds were determined and the full flavor effects were also not considered. Here we present for the first time a complete analysis of the corresponding bounds. In a future publication we will address the possibility of solving the atmospheric and solar neutrino problems within our framework.

(iv) In the MSSM and MSUGRA, the LSP is stable due to conserved R parity. It can thus have a significant cosmological relic density [29–31]. Observational bounds require the LSP to be charge- and color-neutral [31] with a strong preference for the lightest neutralino, $\tilde{\chi}_1^0$. In \mathcal{R}_p , the LSP is not stable and thus not constrained by the observational bounds on relic particles [32]. Therefore, any supersymmetric particle can be the LSP,

$$\tilde{g}, \tilde{\chi}_1^0, \tilde{\chi}_1^\pm, \tilde{q}_{i=1,\dots,6}, \tilde{\ell}_{i=1,\dots,6}, \tilde{\nu}_{j=1,2,3}, \quad (7)$$

where $\tilde{\chi}_1^0, \tilde{\chi}_1^\pm$ denote the lightest neutralino and chargino, and $\tilde{q}_i, \tilde{\ell}_i^\pm, \tilde{\nu}_j$ denote the right- and left-handed squarks and charged sleptons as well as the left-handed sneutrinos, respectively.

Depending on the nature of the LSP, the collider phenomenology will be completely different [34]. It is not feasible to study the full range of signatures resulting from the different possible LSPs in Eq. (7) or the different possible mass spectra. It is thus mandatory to have a well-motivated mass spectrum, including the LSP, as in the MSSM and MSUGRA. Below, in Sec. VII, we determine the nature of the LSP as well as the rest of the mass spectrum as a function of our input parameters. In the no-scale supergravity models, we find significant ranges where the $\tilde{\tau}$ is the LSP. In Sec. VIII we discuss the phenomenology of a $\tilde{\tau}$ LSP.

The case of a stau LSP, to our knowledge, was first discussed in Ref. [35] in the framework of third-generation bilinear R -parity violation. In Ref. [36], the case of trilinear \mathcal{R}_p was considered, with the focus on the comparison between charged Higgs and stau-LSP phenomenology. We go beyond this to present a systematic analysis of all possible stau decays depending on the dominant \mathcal{R}_p coupling and classify the resulting signatures. For a recent analysis on charged slepton LSP decays in the presence of trilinear or bilinear \mathcal{R}_p couplings, see Ref. [37]. There, only two-body decays are considered and the parameters are restricted to the simultaneous solution of the solar and atmospheric neutrino problems. In Sec. VIII we present the general analysis.

Very recently, in Ref. [38], the nature of the LSP in correlation with the neutrino properties was studied in bilinear \mathcal{R}_p , i.e., the trilinear couplings $\lambda_{ijk}, \lambda'_{ijk}, \lambda''_{ijk}$ are all set to zero by hand. Since also the dependence on the supersymmetry breaking parameters is not the focus of the investigation, this work is complementary to ours.

A stau LSP with R -parity *conservation* on the lab scale, i.e., the stau is stable in collider experiments, has been dis-

cussed in Ref. [39]. For completeness we mention that within R -parity conservation several authors have considered the case of a gluino LSP [40].

B. Outline

In Sec. II, we present the motivation for supersymmetry with broken R parity and discuss the possible origins of baryon- and lepton-number violation. We focus in particular on the origin of the $L_i H_2$ mixing. In Sec. III, we present the full set of parameters and interactions in the MSUGRA model with broken R parity, including the SUSY breaking parameters. In Sec. IV we discuss the radiative electroweak symmetry breaking including the full minimization of the Higgs potential. In Sec. V we determine the complete mass spectrum as a function of our parameters. In Sec. VI we discuss the boundary conditions we impose at M_X and their numerical effect. In Sec. VII we present our main results including the bounds we obtain on the \mathcal{R}_p Yukawa couplings from the WMAP constraint on the neutrino masses. In Sec. VIII we discuss the phenomenology of the stau LSP, classifying possible final-state signatures at colliders and computing the stau decay length. We offer our summary and conclusions in Sec. IX.

We present two methods for computing these equations in Appendixes A, B, and C. We present the complete set of RGEs at one loop in Appendix C. In Appendix D we compute the four-body decay of the stau.

II. ORIGINS OF LEPTON- AND BARYON-NUMBER VIOLATION

In this section, we investigate general aspects of the origin of baryon- and lepton-number violation in supersymmetry and thus the motivation for R -parity violation [12]. We then discuss in more detail the origin of the $\kappa_i L_i H_2$ terms in the context of only lepton-number violation. In particular, for the following, we would like to know under what conditions *after* supersymmetry breaking we can rotate away both the $\kappa_i L_i H_2$ terms and the corresponding soft breaking terms $\bar{D}_i \tilde{L}_i H_2$.

A. Discrete symmetries

In the MSSM in terms of the resulting superpotential, R parity is equivalent to requiring invariance under the discrete symmetry matter parity [12]. If instead we require invariance under baryon parity,

$$\begin{aligned} (Q, \bar{U}, \bar{D}) &\rightarrow -(Q, \bar{U}, \bar{D}), \\ (L, \bar{E}, H_1, H_2) &\rightarrow (L, \bar{E}, H_1, H_2), \end{aligned} \quad (8)$$

we allow for the terms $LL\bar{E}$, $LQ\bar{D}$, and LH_2 in the superpotential, while maintaining a stable proton. Similarly, lepton parity only allows for the $\bar{U}\bar{D}\bar{D}$ terms. Thus when allowing for a subset of R -parity violating interactions which ensure proton stability, we must employ a discrete symmetry which treats quark and lepton superfields differently. In grand unified theories (GUTs) this is unnatural, as we discuss below.

In string theories, we need not have a simple GUT gauge group. Thus models exist for both lepton- and baryon-number violation [41], and there is no preference for R_p conservation or \mathcal{R}_p . However, discrete symmetries can be problematic when gravity is included. Unless it is a remnant of a broken gauge symmetry, the discrete symmetry will be broken by quantum gravity effects [42]. The requirement that the original gauge symmetry be anomaly-free can be translated into a set of conditions on the charges of the discrete symmetry [20,43]. Considering the complete set of \mathbf{Z}_2 and \mathbf{Z}_3 discrete symmetries, and the particle content given in Table I, only the \mathbf{Z}_2 symmetry R parity, R_2 , and the \mathbf{Z}_3 symmetry $B_3=R_3L_3$ [44] are discrete gauge anomaly-free [20]. B_3 is baryon parity and allows for the interactions $LL\bar{E}$, $LQ\bar{D}$, and LH_2 but prohibits $\bar{U}\bar{D}\bar{D}$.

This, however, does not completely solve the problem of proton decay. In supersymmetry, there are also dangerous dimension-5 operators which violate lepton or baryon number. The complete list is

$$\begin{aligned} O_1 &= [QQQL]_F, & O_2 &= [\bar{U}\bar{U}\bar{D}\bar{E}]_F, \\ O_3 &= [QQQH_1]_F, & O_4 &= [Q\bar{U}\bar{E}H_1]_F, \\ O_5 &= [LLH_2H_2]_F, & O_6 &= [LH_1H_2H_2]_F, \\ O_7 &= [\bar{U}\bar{D}^*E]_D, & O_8 &= [H_2^*H_1\bar{E}]_D, \\ O_9 &= [Q\bar{U}L^*]_D, & O_{10} &= [QQ\bar{D}^*]_D, \end{aligned} \quad (9)$$

where we have dropped gauge and generation indices. The subscripts F, D refer to taking the F or the D term of the given product of superfields. We differ from Ref. [20] in that we have dropped the operator $[H_2H_2e^*]_D$, which vanishes identically, and we included the operator $[QQd^*]_D$. As in Ref. [20], we have systematically studied which \mathbf{Z}_2 or \mathbf{Z}_3 symmetry allows for which dangerous dimension-5 operators. Our results are summarized in Table II. We find some slight discrepancies with Ref. [20]. Furthermore, we have added the bilinear superpotential term $\kappa_i L_i H_2$ (κ term) not presented in Ref. [20]. As expected, the μ term and the κ term go hand in hand in generalized baryon parity models (GBP) but the opposite is true for the generalized matter (GMP) or lepton (GLP) parity models: since the μ term should, phenomenologically, be a nonzero parameter, the GMP or GLP models containing the κ term are experimentally excluded. The requirement of neutrino masses excludes also the GMP and GLP models which do not allow for the $\Delta L=2$ term: LLH_2H_2 . These models do not have any other source within perturbation theory to incorporate neutrino masses. From the models left, i.e., [GMP : R_2 ; GLP : L_2, L_3 ; GBP : R_2L_2, R_3L_3] only two can be induced from broken and anomaly-free gauge symmetries: these are the GMP: R_2 (the usual R -parity case) and the GBP: $B_3=R_3L_3$.

Thus what we see from Table II is that although the MSSM R parity is capable of eliminating the dimension-4 operators, it is *not* capable of eliminating those of dimension

TABLE II. In the left column we have the complete list of independent \mathbf{Z}_2 and \mathbf{Z}_3 discrete symmetries as in [20]. GMP, GLP, GBP denote generalized matter parity, lepton parity, and baryon parity, respectively. In the top row we have the complete list of dimension-5 operators which violate baryon or lepton number [cf. Eq. (9)]. We have also included the operator H_1H_2 . The symbol \checkmark denotes that the corresponding operator is allowed by that discrete symmetry. There are a few discrepancies compared to Ref. [20].

	H_1H_2	LH_2	$QQQL$	$\bar{U}\bar{U}\bar{D}\bar{E}$	$QQQH_1$	$Q\bar{U}\bar{E}H_1$	LLH_2H_2	$LH_1H_2H_2$	$H_2^*H_1\bar{E}$	$Q\bar{U}L^*$	$\bar{U}\bar{D}^*\bar{E}$	$QQ\bar{D}^*$
GMP:												
\bar{R}_2	\checkmark		\checkmark	\checkmark			\checkmark					
A_2R_2		\checkmark			\checkmark	\checkmark	\checkmark		\checkmark	\checkmark	\checkmark	\checkmark
R_3	\checkmark		\checkmark	\checkmark								
R_3A_3		\checkmark			\checkmark	\checkmark	\checkmark		\checkmark	\checkmark	\checkmark	\checkmark
$R_3A_3L_3$				\checkmark	\checkmark			\checkmark				\checkmark
$R_3L_3^2$	\checkmark											
A_3								\checkmark				
$A_3L_3^2$		\checkmark	\checkmark			\checkmark	\checkmark		\checkmark	\checkmark	\checkmark	
GLP:												
\bar{L}_2	\checkmark				\checkmark		\checkmark					\checkmark
A_2L_2		\checkmark	\checkmark	\checkmark		\checkmark	\checkmark		\checkmark	\checkmark	\checkmark	
L_3	\checkmark				\checkmark							\checkmark
$R_3A_3^2L_3$			\checkmark					\checkmark				
$R_3A_3^2L_3^2$		\checkmark		\checkmark		\checkmark	\checkmark		\checkmark	\checkmark	\checkmark	
GBP:												
$\bar{R}_2\bar{L}_2$	\checkmark	\checkmark				\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	
$R_2A_2L_2$			\checkmark	\checkmark	\checkmark		\checkmark	\checkmark				\checkmark
R_3L_3	\checkmark	\checkmark				\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	
A_3L_3				\checkmark								
$R_3A_3L_3^2$			\checkmark		\checkmark							\checkmark

5. Both dimension-4 and dimension-5 baryon-number violating operators *are not* allowed if the Z_3 -discrete symmetry $B_3=R_3L_3$ is imposed instead of the R -parity (R_2) symmetry. In this paper, we study the phenomenology of the model based on the discrete Z_3 symmetry $B_3=R_3L_3$ [45].

B. Grand unified models

In GUTs, quarks and leptons are in common multiplets and this simple approach does not suffice. We consider the case of the gauge groups $SU(5)$ and $SO(10)$ separately.

1. $SU(5)$

In $SU(5)$ models, the trilinear and bilinear R -parity violating terms are given, respectively, by

$$h_{ijk}\Psi_i\Psi_jX_k, \quad k_i\Psi_i\Phi_5, \quad (10)$$

where Ψ is the $\mathbf{5}^*$ representation containing the \bar{D} and L superfields, X is the $\mathbf{10}$ representation containing the Q, \bar{U} , and \bar{E} superfields, and Φ_5 is the Higgs superfield in the $\mathbf{5}$ representation. h_{ijk} are Yukawa couplings and k_i dimension-1 couplings. i, j, k are generation indices. Unless $h_{ijk} \lesssim 10^{-13}$, this leads to unacceptably rapid proton decay. Thus this term must be forbidden by an additional symmetry. The generalization of matter parity where now Ψ and X

change sign prohibits both terms in Eq. (10) and guarantees that \mathcal{R}_p terms are not generated once $SU(5)$ is broken.

Alternative (discrete) symmetries can also be considered. In Ref. [24], a discrete fivefold R symmetry is constructed which prohibits the terms in Eq. (10). However, after breaking $SU(5) \rightarrow SU(3) \times SU(2) \times U(1)$ and integrating out the heavy fields, the operators $k_i\Psi_i\Phi_5$ are generated, resulting in bilinear R -parity violation. The size of the coupling depends on the vacuum expectation values of the large dimensional Higgs field representations which break $SU(5)$. Similar symmetries can also be constructed to obtain trilinear R -parity violation. This was done in the case of “flipped” $SU(5) \times U(1)$ in Ref. [46] and is easily transferred to the case of $SU(5)$. The question of whether it is possible to obtain \mathcal{R}_p in GUTs with a large $\Delta L/\Delta B$ hierarchy was also addressed in Ref. [47] employing a modified version of the minimal $SU(5)$, where a built-in Peccei-Quinn symmetry is broken at an intermediate scale.

2. $SO(10)$

In $SO(10)$ GUTs [48], $B-L$ is a gauge symmetry and thus R parity is conserved. Explicitly, the matter fields of a family are combined in a (spinorial) $\mathbf{16}$ representation and the operators

$$\mathbf{R}_{ijk} = \mathbf{16}_i \cdot \mathbf{16}_j \cdot \mathbf{16}_k \quad (11)$$

are not $SO(10)$ invariant. (Again, i, j, k are generation indices.) As in the $SU(5)$ case, one would now expect to generate R -parity violating terms after breaking $SO(10)$ and $B-L$. However, as shown in Ref. [49], surprisingly, this depends strongly on the Higgs representations chosen to perform the breaking.

If we include a $\mathbf{16}_H$ -Higgs representation to break $SO(10)$, as well as higher dimensional Higgs representations, we have the nonrenormalizable operators

$$\mathbf{N}_{ijkH} = \mathbf{16}_i \cdot \mathbf{16}_j \cdot \mathbf{16}_k \cdot \mathbf{16}_H \cdot G(\mathbf{H}), \quad (12)$$

where $G(\mathbf{H})$ is a function of the higher-dimensional Higgs representations. When the Higgs fields get vacuum expectation values, $SO(10)$ is broken and in general R -parity violating operators will be generated. The exact nature of the resulting R -parity violation depends on the employed Higgs fields and can be consistent with proton decay experiments [50].

Instead, we can explicitly exclude a $\mathbf{16}_H$ representation and break $SO(10)$ by a $\mathbf{126}$ -Higgs representation [49]. Since \mathbf{R}_{ijk} is an odd product of spinorial representations, it is itself a spinorial representation. Without $\mathbf{16}_H$ there is now no spinorial Higgs representation and thus no $SO(10)$ invariant combination

$$\mathbf{R}_{ijk} \cdot G'(\mathbf{H}), \quad (13)$$

where $G'(\mathbf{H})$ is a general tensor product of Higgs representations. Thus after spontaneous symmetry breaking the operators R_{ijk} cannot be generated and there is no explicit R -parity violation in the theory. However, in principle R parity can still be broken spontaneously with $\langle \tilde{\nu} \rangle \neq 0$ or $\langle \tilde{\nu}^c \rangle = 0$, where $\tilde{\nu}^c$ is a right-handed neutrino [which in this paper is only included in this discussion of $SO(10)$]. With the absence of a $\mathbf{16}_H$ it was shown in Ref. [49] that F flatness at the GUT scale requires $\langle \tilde{\nu}^c \rangle = 0$. This is also stable under the renormalization-group equations. At the GUT scale we must also have $\langle \tilde{\nu} \rangle = 0$, otherwise $SU(2)_L$ would be broken at M_{GUT} . Similarly at the weak scale, we must demand $\langle \tilde{\nu} \rangle = 0$ in order to avoid an unobserved Majoron. Thus in this model R parity is conserved at all energies and guaranteed by a gauge symmetry [49].

We conclude that *a priori* there is no preference in supersymmetric GUTs for or against R -parity violation. Finally, we note in passing that there exist few attempts in the literature to construct superstring models which accommodate the lepton-number \mathcal{R}_p couplings [51].

C. Origin of the $\kappa_i L_i H_2$ terms

It is well known that through a field redefinition of the L_i and H_1 fields, the κ_i terms in the superpotential Eq. (4) can be rotated away at any scale [24]. The full rotation matrix in the complex case was only given recently in Ref. [52]. After supersymmetry breaking, however, they can only be rotated away jointly with the corresponding soft breaking terms $\bar{D}_i \tilde{L}_i \tilde{H}_2$, if κ_i and \bar{D}_i are aligned [22,26]. Even if they are aligned at a given scale, this alignment is not stable under the

renormalization-group equations [8,22,23]. However, if κ_i and \bar{D}_i are aligned after supersymmetry breaking, then we can choose a basis where $\kappa_i = \bar{D}_i = 0$ at the supersymmetry breaking scale. At the electroweak scale, we then have a prediction for both κ_i and \bar{D}_i through the renormalization-group equations (RGEs), given the initial choice of basis. We are thus interested in the conditions for alignment after supersymmetry breaking in various unification scenarios, in order to predict $\kappa_i(M_Z)$ and $\bar{D}_i(M_Z)$.

We first consider the general superpotential of Eq. (4), restricted for the case $\mu = \kappa_i = 0$. It is invariant under a discrete R symmetry [53], where the chiral *superfields* have the following R -quantum numbers [54]:

L_i	\bar{E}_i	Q_i	\bar{U}_i	\bar{D}_i	H_1	H_2
0	-2	-1	-1	-1	0	0

The vector superfields have zero charge. Each term in the superpotential must have R charge-2, which is canceled by the charges of the Grassman coordinates. Thus all trilinear terms except $\bar{U}\bar{D}\bar{D}$ are allowed. Note that since this is an R symmetry, the fermionic components of the chiral and vector superfields have a different charge than the superfield. In particular, the R -parity even components of the chiral superfields have the quantum numbers of the conventional lepton number. With this somewhat unusual symmetry, we have ensured lepton-number conservation for the SM fields [56].

However, the phenomenology of this superpotential is unacceptable. Below we show that if $\mu, \kappa_i, \bar{B}, \bar{D}_i = 0$, the CP -odd Higgs boson mass $m_A = 0$ and the lightest chargino mass $M_{\tilde{\chi}_1^\pm} \leq \mathcal{O}(30 \text{ GeV})$, both in disagreement with observation. $m_A = 0$ due to the Peccei-Quinn symmetry of the superpotential. We thus demand $\kappa_i, \mu \neq 0$, in order to get consistent $SU(2) \times U(1)$ breaking and a sufficiently heavy chargino. This in turn introduces *lepton-number violation* for the low-energy SM fields.

The parameters κ_i and μ are dimensionful and in principle present before supersymmetry breaking. The only mass scale in the theory is the Planck scale (M_P), and we thus expect $\kappa_i, \mu = \mathcal{O}(M_P)$. Experiment requires $\mu = \mathcal{O}(M_Z)$ and $\kappa_i \ll M_Z$. (The latter strict requirement is due to neutrino masses, as we discuss in detail below.) This is the well-known μ problem [58], modified by the presence of the κ_i . In the following, we discuss the origin of the weak-scale μ and κ_i terms and their corresponding soft terms. We can then determine under what conditions the κ_i and \bar{D}_i can be simultaneously rotated away at the unification scale. We begin by discussing supergravity theories where there are several proposed solutions to the μ problem [55,58–60]. We review them here in light of the additional κ_i terms.

D. Supergravity

We consider a set of real scalar fields z_i for the hidden sector and a set y_a for the observable sector [1]. Collectively

we denote them Z_A . The supergravity Lagrangian depends only on the dimensionless scalar function (Kähler potential) [61],

$$\mathcal{G}(z_i, z^{i*}; y_a, y^{a*}) = -d(z_i, z^{i*}; y_a, y^{a*})/M_P^2 - \log(f(z_i; y_a)/M_P^3). \quad (14)$$

Here d determines the Kähler metric and f is the superpotential, which is a holomorphic function. The scalar potential is given by

$$\begin{aligned} V &= -M_P^4 \exp(-\mathcal{G}) [3 + \mathcal{G}_A (\mathcal{G}^{-1})^A_B \mathcal{G}^B] + \frac{1}{2} D_\alpha D^\alpha \\ &= \exp\left(\frac{d(Z_A, Z^{A*})}{M_P^2}\right) [(d^{-1})^A_B F^{A\dagger} F_B \\ &\quad - 3f^\dagger(Z^{A*})f(Z_A)/M_P^2] + \frac{1}{2} D_\alpha D^\alpha. \end{aligned} \quad (15)$$

Here $\mathcal{G}^A \equiv \partial\mathcal{G}/\partial Z_A$, and

$$(\mathcal{G}^{-1})^A_B \equiv \frac{\partial^2 \mathcal{G}^{-1}}{\partial Z_A \partial Z^{B*}}, \quad (16)$$

$$F_A \equiv \frac{\partial f(Z_A)}{\partial Z_A} + M^{-2} \frac{\partial d(Z_A, Z^{A*})}{\partial Z_A} f(Z_A), \quad (17)$$

and D^α is the auxiliary field of the vector superfield. The derivatives of d^{-1} are defined analogously.

The most general form of the low-energy scalar potential after supersymmetry breaking is [62]

$$\begin{aligned} V &= \left(\frac{\partial g(y)}{\partial y_a}\right)^\dagger \left(\frac{\partial g(y)}{\partial y_a}\right) + m_{3/2}^2 S_{ab} y_a y_b^\dagger \\ &\quad + m_{3/2} [h(y) + h^\dagger(y)] + \frac{1}{2} D^\alpha D_\alpha. \end{aligned} \quad (18)$$

Here $g(y_a)$ is the superpotential for the *low-energy* fields derived from $f(Z_A)$ and $m_{3/2}$ is the gravitino mass. The first and the last terms are the usual F - and D -term contributions to the scalar potential. The second and third terms arise from supersymmetry breaking. The general constant matrix S_{ab} has in principle arbitrary entries, i.e., the soft scalar masses can be nonuniversal.

$h(y)$ is a superpotential, i.e., a holomorphic function of the y_a . In the renormalizable case, it is at most trilinear in the fields y_a and contains the supersymmetry breaking A and B terms [63]. $g(y)$ and $h(y)$ are superpotentials of the same fields and due to gauge invariance thus contain the same terms. However, in general, the coefficients are independent and thus in particular the A and B terms need not be proportional to the corresponding terms in $g(y)$. But if the superpotential satisfies

$$f(z_i; y_a) = f_1(z_i) + f_2(y_a), \quad (19)$$

then the soft-breaking term $h(y)$ is a linear combination of the superpotential $g(y)$ and $y_a \partial g(y_a)/\partial y_a$ [62] and thus each term is proportional to the corresponding term in $g(y)$. The condition (19) is quite natural. If the z_i all transform nontrivially under only the hidden-sector gauge group and the y_a transform nontrivially only under the observable sector gauge group, then combined with the requirement of renormalizability we obtain the condition (19).

We now consider the *observable* sector superpotential given in Eq. (4). If our superpotential at the unification scale satisfies Eq. (19), the \tilde{D}_i will be aligned with the κ_i after supersymmetry breaking and they can be simultaneously rotated away. Or looked at differently, before supersymmetry breaking we can always rotate the fields \mathcal{L}_α^a such that $\kappa_i = 0$. If we then break supersymmetry at this scale, while obeying Eq. (19), we automatically obtain $\tilde{D}_i = 0$ as well, since the coefficients in $h(y_a)$ are proportional to those in $g(y_a)$. Thus in the case of a renormalizable superpotential we expect universal A and B terms and thus an alignment of κ_i and \tilde{D}_i at the unification scale.

E. Implementing a solution to the μ problem

The most widely discussed solution to the μ problem is to prohibit the $\mu H_1 H_2$ in the superpotential via a symmetry, for example, an R symmetry, and instead introduce a nonrenormalizable term into the Kähler potential, \mathcal{G} , which results in the μ term after supersymmetry breaking. By using the mass scale inherent in supersymmetry breaking, one then obtains $\mu = \mathcal{O}(M_Z)$. This was first proposed by Kim and Nilles [58], who introduced the nonrenormalizable term into the superpotential f . The R symmetry was global and the resulting axion was phenomenologically acceptable. Giudice and Masiero [59] introduced a nonholomorphic term into the Kähler metric function d instead, also invoking an R symmetry to prohibit terms in the superpotential. The details of the axion were not considered. In certain cases, the two mechanisms are equivalent [53]. In the following, we briefly consider the implications of Ref. [58] for the κ_i terms and extend this to Ref. [59].

In the context of R -parity violation, we have both a μ and a κ_i problem. As an example, we introduce the following nonrenormalizable terms into the superpotential:

$$f' = \frac{1}{M_P} (a z_1 z_2 H_1 H_2 + b_i z_3 z_4 L_i H_2), \quad (20)$$

assuming them to be invariant under the symmetries of the model. In general, we could have higher powers of the z_i . If the Peccei-Quinn [64] charges which prohibit the bilinear terms in the superpotential are lepton-flavor blind but distinguish H_1 and L_i , then we would expect the general form shown above. a, b_i are dimensionless constants. Due to the independent fields z_i , we cannot rotate away the b_i terms. After supersymmetry breaking, we get

$$\mu = \frac{\langle z_1 \rangle \langle z_2 \rangle}{M_P} = \mathcal{O}(M_Z), \quad (21)$$

$$\kappa_i = \frac{\langle z_3 \rangle \langle z_4 \rangle}{M_P} = O(M_Z). \quad (22)$$

If the fields z_i are hidden-sector fields and f' mixes the hidden and observable sectors, then the soft supersymmetry bilinears are in general not aligned with the κ_i since there are now the additional terms

$$\frac{1}{M_P} \left(\frac{\partial f_1}{\partial z_4} \langle z_3 \rangle + \frac{\partial f_1}{\partial z_3} \langle z_4 \rangle \right) b_i \tilde{\mathcal{L}}_i H_2, \quad (23)$$

which have independent coefficients from the purely hidden sector. Here we have made use of the hidden-sector function f_1 of Eq. (19). The resulting κ_i terms are still $O(M_Z)$. If $\partial f_1 / \partial z_{i=1,2,3,4} = 0$, then we have alignment.

Alternatively, the Peccei-Quinn charges can be such that H_1 has the same charge as the L_i . This is exactly the case of the $B_3 = R_3 L_3$ discrete symmetry we discussed in some detail in Sec. II A and that we follow in this paper. The charge of H_1 and the L_i under this symmetry is $-2/3$. In this case, $z_1 z_2 = z_3 z_4$ in Eq. (20) and the κ_i terms can be rotated away *before* supersymmetry breaking. No \tilde{D}_i soft terms are generated in supersymmetry breaking then and we have $\kappa_i = \tilde{D}_i = 0$ at the high scale.

We conclude that it is possible to have alignment of the bilinear terms at the supersymmetry breaking scale but not necessary. The eventual answer will depend on the underlying unified theory. We shall assume that we can rotate away the κ_i terms before supersymmetry breaking.

III. THE MINIMAL R-PARITY VIOLATING SUPERSYMMETRIC STANDARD MODEL

The model we consider has the particle content given in Table I and the superpotential given in Eq. (4). Within this superpotential, we shall make the assumption that at the unification scale, $M_X \simeq 10^{16}$ GeV, the terms $\kappa_i L_i H_2$ have been rotated to zero. For real parameters the orthogonal rotation on the fields \mathcal{L}_α which accomplishes this is given by

$$\mathcal{L}_\alpha = O_{\alpha\beta} \mathcal{L}'_\beta, \quad (24)$$

and explicitly in components

$$\begin{pmatrix} H_1 \\ L_1 \\ L_2 \\ L_3 \end{pmatrix} = \begin{pmatrix} c_3 & -s_3 & 0 & 0 \\ c_2 s_3 & c_2 c_3 & -s_2 & 0 \\ c_1 s_2 s_3 & c_1 s_2 c_3 & c_1 c_2 & -s_1 \\ s_1 s_2 s_3 & s_1 s_2 c_3 & s_1 c_2 & c_1 \end{pmatrix} \begin{pmatrix} \mathcal{L}'_0 \\ \mathcal{L}'_1 \\ \mathcal{L}'_2 \\ \mathcal{L}'_3 \end{pmatrix}, \quad (25)$$

where $c_i = \cos \theta_i$ and $s_i = \sin \theta_i$, and

$$\begin{aligned} c_1 &= \frac{\kappa_2}{\sqrt{\kappa_2^2 + \kappa_3^2}}, & s_1 &= \frac{\kappa_3}{\sqrt{\kappa_2^2 + \kappa_3^2}}, \\ c_2 &= \frac{\kappa_1}{\sqrt{\kappa^2}}, & s_2 &= \frac{\sqrt{\kappa_2^2 + \kappa_3^2}}{\sqrt{\kappa^2}}, \\ c_3 &= \frac{\mu}{\sqrt{\mu^2 + \kappa^2}}, & s_3 &= \frac{\sqrt{\kappa^2}}{\sqrt{\mu^2 + \kappa^2}}. \end{aligned} \quad (26)$$

Here we have introduced the notation $\kappa^2 = \sum_i \kappa_i^2$. The more general case of complex parameters is given in Ref. [52]; we shall restrict ourselves to real parameters here. After the above field redefinition, the only remaining superfield bilinear term is

$$\mu'' H'_1 H_2 \quad (27)$$

with $\mu'' = \sqrt{\mu^2 + \kappa^2}$ and $H'_1 \equiv \mathcal{L}'_0$. This will be our starting bilinear superpotential term at M_X in our RGE studies below.

The RGEs for the κ_i are given by (see Appendix A)

$$16\pi^2 \frac{d}{dt} \kappa^i = \kappa^i \gamma_{H_2}^{H_2} + \kappa^p \gamma_{L_p}^{L_i} + \mu \gamma_{H_1}^{L_i}, \quad (28)$$

where at one loop the anomalous dimension mixing L_i and H_1 is given by

$$\gamma_{L_i}^{H_1} = \gamma_{H_1}^{L_i} = -3 \lambda_{ijk}^* (\mathbf{Y}_D)_{jk} - \lambda_{ijk}^* (\mathbf{Y}_E)_{jk}, \quad (29)$$

with a summation over j, k implied. (The remaining anomalous dimensions are given in Appendix A.) Therefore, given $\mu \neq 0$ at M_X and a nonzero λ or λ' , we will in general generate a nonzero $\kappa_i(M_Z)$ [8,14,22,23]. Below we discuss special exceptional cases where this is not the case.

In order to fix all the parameters, we also need to know the general soft-supersymmetry breaking Lagrangian which we denote

$$\begin{aligned} -\mathcal{L}_{\text{soft}} &= \tilde{\mathcal{L}}_\alpha^\dagger (\mathbf{m}_{\tilde{\mathcal{L}}^2})_{\alpha\beta} \tilde{\mathcal{L}}_\beta + m_{H_2}^2 H_2^\dagger H_2 + \tilde{Q}^\dagger (\mathbf{m}_{\tilde{Q}}^2) \tilde{Q} \\ &+ \tilde{E}^\dagger (\mathbf{m}_{\tilde{E}}^2) \tilde{E} + \tilde{D}^\dagger (\mathbf{m}_{\tilde{D}}^2) \tilde{D} + \tilde{U}^\dagger (\mathbf{m}_{\tilde{U}}^2) \tilde{U} \\ &+ \epsilon_{ab} \left[(\mathbf{h}_U)_{ij} Q_i^a H_2^b \tilde{U}_j + \frac{1}{2} h_{\alpha\beta k} \tilde{\mathcal{L}}_\alpha^a \tilde{\mathcal{L}}_\beta^b \tilde{E}_k \right. \\ &\left. + h'_{\alpha j k} \tilde{\mathcal{L}}_\alpha^a Q_j^b \tilde{D}_k - b_\alpha \tilde{\mathcal{L}}_\alpha^a H_2^b + \text{H.c.} \right] \\ &+ \frac{1}{2} \epsilon_{xyz} h''_{ijk} \tilde{U}_i^x \tilde{D}_j^y \tilde{D}_k^z + \text{H.c.} \\ &+ \left[\frac{1}{2} M_1 \tilde{B} \tilde{B} + \frac{1}{2} M_2 \tilde{\mathcal{W}}^{(\Gamma)} \tilde{\mathcal{W}}^{(\Gamma)} \right. \\ &\left. + \frac{1}{2} M_3 \tilde{\mathcal{G}}^{(R)} \tilde{\mathcal{G}}^{(R)} + \text{H.c.} \right]. \end{aligned} \quad (30)$$

Here, $\tilde{F} \in [\tilde{Q}, \tilde{U}, \tilde{D}, \tilde{E}, \tilde{L}]$ denote the scalar component of the corresponding chiral superfield. $\mathbf{m}_{\tilde{F}^2}$ are the soft-breaking scalar masses. Note that these are 3×3 matrices for the squarks and for the lepton singlets. However, $(\mathbf{m}_{\tilde{L}^2})_{\alpha\beta}$ is a 4×4 matrix. $(\mathbf{h}_U)_{ij}, h_{\alpha\beta k}, h'_{\alpha jk}$, and h''_{ijk} as well as $b_\alpha = (\tilde{B}, \tilde{D}_i)$ are the soft-breaking trilinear and bilinear terms, respectively.

The RGEs for the \tilde{D}_i are given at one loop by

$$16\pi^2 \frac{d\tilde{D}_i}{dt} = [\gamma_{L_i}^{L_i} \tilde{D}^i + \gamma_{H_2}^{H_2} \tilde{D}^i] + \tilde{B} \gamma_{H_1}^{L_i} - 2\mu(\gamma_1)_{H_1}^{L_i} - 2[(\gamma_1)_{L_i}^{L_i} \kappa^i + (\gamma_1)_{H_2}^{H_2} \kappa^i], \quad (31)$$

with the anomalous dimensions (γ) and the functions (γ_i) defined in Appendixes B and C, respectively. These RGEs are clearly distinct from those for κ_i above. It is thus clear that given $\kappa_i(M_X) = \tilde{D}_i(M_X) = 0$, we will lose alignment between the two at the electroweak scale [8,14,22,23]. In order to describe the weak-scale physics, we thus require the full set of parameters given in Eqs. (4) and (30).

IV. ELECTROWEAK SYMMETRY BREAKING

The full scalar potential is given by

$$\mathbf{V}_{\text{scalar}} = \mathbf{V}_{\text{SUSY}} + \mathbf{V}_{\text{soft}}, \quad (32)$$

with the supersymmetric F -term and D -term scalar potential given by [65]

$$\begin{aligned} \mathbf{V}_{\text{SUSY}} &= \mathbf{V}_F + \mathbf{V}_D \\ &= \sum_{\Phi} \left| \frac{\partial W}{\partial \Phi} \right|^2 + \sum_{\ell=1}^3 \frac{g_\ell^2}{2} \sum_A \left(\sum_{m,n} \Phi_m^* T_{\ell,A}^{mn} \Phi_n \right)^2, \end{aligned} \quad (33)$$

respectively, and $\mathbf{V}_{\text{soft}} = -\mathcal{L}_{\text{soft}}$. In Eq. (33), the fields $\Phi_{m,n}$ denote the scalar fields in the theory, and $g_{\ell=1,2,3}$ are the gauge couplings with g_1 for $U(1)_Y$, g_2 for $SU(2)_L$, and g_3 for the $SU(3)_C$ gauge group. In order to simplify the expressions, we shall use the coupling $g \equiv \sqrt{\frac{3}{5}} g_1$. m, n, \dots and A, B, \dots are representation and gauge generator indices, respectively. The explicit expressions for \mathbf{V}_F and \mathbf{V}_D can be found in Ref. [66].

In the following, we shall focus on the complex neutral scalar fields: $h_2^0, \tilde{\nu}_\alpha \equiv (h_1^0, \tilde{\nu}_{i=1,2,3})$. For these the scalar potential is given by

$$\begin{aligned} \mathbf{V}_{\text{neutral}} &= (m_{H_2}^2 + |\mu_\alpha|^2) |h_2^0|^2 + [(m_{\tilde{L}}^2)_{\alpha\beta} + \mu_\alpha^* \mu_\beta] \tilde{\nu}_\alpha^* \tilde{\nu}_\beta \\ &\quad - (b_\alpha \tilde{\nu}_\alpha h_2^0 + b_\alpha^* \tilde{\nu}_\alpha^* h_2^{0*}) \\ &\quad + \frac{1}{8} (g^2 + g_2^2) (|h_2^0|^2 - |\tilde{\nu}_\alpha|^2)^2 + \Delta \mathbf{V}, \end{aligned} \quad (34)$$

where $\Delta \mathbf{V}$ denotes higher-order corrections [67]. In order to minimize this potential, it is convenient to write the complex neutral scalar fields in terms of CP -even x_2, r_α and CP -odd y_2, t_α real field fluctuations,

$$h_2^0 = x_2 + iy_2, \quad (35)$$

$$\tilde{\nu}_\alpha = r_\alpha + it_\alpha. \quad (36)$$

At the minimum the scalar fields thus take on the values $\langle x_2 \rangle = v_u$, $\langle r_\alpha \rangle = v_\alpha$, $[v_\alpha = (v_d, v_1, v_2, v_3)]$, and $\langle y_2 \rangle = \langle t_\alpha \rangle = 0$. The minimization conditions for $\mathbf{V}_{\text{neutral}}$ can be written as

$$\left. \frac{\partial \mathbf{V}_{\text{neutral}}}{\partial x_2} \right|_{\min} = 0, \quad \left. \frac{\partial \mathbf{V}_{\text{neutral}}}{\partial r_\alpha} \right|_{\min} = 0, \quad (37)$$

where ‘‘min’’ refers to setting the scalar fields to their values at the minimum. We then derive the following five minimization conditions, where $\alpha, \beta = 0, 1, 2, 3$ and there is an implied sum over repeated indices:

$$\begin{aligned} &\text{Re}[(m_{\tilde{L}}^2)_{\alpha\beta} + \mu_\alpha^* \mu_\beta] v_\alpha - \text{Re}(b_\beta) v_u \\ &\quad - \frac{1}{4} (g^2 + g_2^2) (|v_u|^2 - |v_\alpha|^2) v_\beta + \frac{1}{2} \frac{\partial \Delta \mathbf{V}}{\partial v_\beta} = 0, \\ &(m_{H_2}^2 + |\mu_\alpha|^2) v_u - \text{Re}(b_\beta) v_\beta \\ &\quad + \frac{1}{4} (g^2 + g_2^2) (|v_u|^2 - |v_\alpha|^2) v_u + \frac{1}{2} \frac{\partial \Delta \mathbf{V}}{\partial v_u} = 0. \end{aligned} \quad (38)$$

Here Re denotes the real value and we have written $(\partial \Delta \mathbf{V} / \partial r_\alpha)|_{\min}$ as $\partial \Delta \mathbf{V} / \partial v_\alpha$ and $(\partial \Delta \mathbf{V} / \partial x_2)|_{\min}$ as $\partial \Delta \mathbf{V} / \partial v_u$. Next, we solve this system of equations. We start by defining [68]

$$\tan \beta \equiv \frac{v_u}{v_d} \quad (39)$$

and

$$v^2 \equiv v_u^2 + v_d^2 + \sum_{i=1}^3 v_i^2 = \frac{2M_W^2}{g_2^2}, \quad (40)$$

where in our convention $v = 174$ GeV. Then the VEV's v_d and v_u can be written

$$v_d^2 = \cos^2 \beta \left(v^2 - \sum_{i=1}^3 v_i^2 \right), \quad (41)$$

$$v_u^2 = \sin^2 \beta \left(v^2 - \sum_{i=1}^3 v_i^2 \right), \quad (42)$$

with v_i being the three sneutrino VEV's. The advantage of using the definition given in Eqs. (39), (40) is that $\tan \beta$ is

the same in the R -parity conserved (RPC) and \mathcal{R}_p models. This facilitates the direct comparison, in particular when $v_i/v \ll 1$.

Using these definitions and the notation $(v_i^2) \equiv \sum_i v_i^2$, the five minimization conditions in Eq. (38) can be written as (again there is an implied sum over repeated indices)

$$(m_{H_1}^2 + \mu^2)v_d + [(\mathbf{m}_{L_i H_1}^2) + \kappa_i^* \mu]v_i - \tilde{B}v_u + \frac{1}{2}M_Z^2 \cos 2\beta v_d + \frac{1}{2}(g^2 + g_2^2)\sin^2 \beta v_d (v_i^2) + \frac{1}{2} \frac{\partial \Delta \mathbf{V}}{\partial v_d} = 0, \quad (43)$$

$$[(\mathbf{m}_{H_1 \tilde{L}_i}^2) + \mu^* \kappa_i]v_d + [(\mathbf{m}_{\tilde{L}_i}^2)_{ji} + \kappa_j^* \kappa_i]v_j - \tilde{D}_i v_u + \frac{1}{2}M_Z^2 \cos 2\beta v_i + \frac{1}{2}(g^2 + g_2^2)\sin^2 \beta v_i (v_j^2) + \frac{1}{2} \frac{\partial \Delta \mathbf{V}}{\partial v_i} = 0, \quad (44)$$

$$(m_{H_2}^2 + \mu^2 + |\kappa_i|^2)v_u - \tilde{B}v_d - \tilde{D}_i v_i - \frac{1}{2}M_Z^2 \cos 2\beta v_u - \frac{1}{2}(g^2 + g_2^2)\sin^2 \beta v_u (v_i^2) + \frac{1}{2} \frac{\partial \Delta \mathbf{V}}{\partial v_u} = 0. \quad (45)$$

In order to solve the above equations, we first derive μ in terms of v_u , v_d , and v_i from Eqs. (43) and (45). It is obtained after solving the quadratic equation

$$A\mu^2 + B\mu + \Gamma = 0, \quad (46)$$

with

$$A \equiv \tan^2 \beta - 1, \quad B \equiv -\kappa_i^* \frac{v_i}{v_d}, \quad (47)$$

$$\Gamma \equiv \left\{ \left[\bar{m}_{H_2}^2 + |\kappa_i|^2 - \frac{(g^2 + g_2^2)}{2}(v_i)^2 - \tilde{D}_i \frac{v_i}{v_u} \right] \tan^2 \beta - \left[\bar{m}_{H_1}^2 + (\mathbf{m}_{L_i H_1}^2) \frac{v_i}{v_d} \right] \right\} + \frac{1}{2}M_Z^2 (\tan^2 \beta - 1). \quad (48)$$

The solution to Eq. (46) can be written in a more familiar form,

$$|\mu|^2 = \frac{\left[\bar{m}_{H_1}^2 + (\mathbf{m}_{L_i H_1}^2) \frac{v_i}{v_d} + \kappa_i^* \mu \frac{v_i}{v_d} \right] - \left[\bar{m}_{H_2}^2 + |\kappa_i|^2 - \frac{1}{2}(g^2 + g_2^2)v_i^2 - \tilde{D}_i \frac{v_i}{v_u} \right] \tan^2 \beta}{\tan^2 \beta - 1} - \frac{1}{2}M_Z^2. \quad (49)$$

We recover the familiar minimization condition [69] in the RPC limit κ_i , v_i , \tilde{D}_i , $(\mathbf{m}_{L_i H_1}^2) \rightarrow 0$.

Equation (46), or equivalently Eq. (49), has two solutions for the parameter μ : $\mu > 0$ and $\mu < 0$. We thus retain the sign of μ as a free parameter. Furthermore, the factor $\kappa_i^*(v_i/v_d)$ that multiplies the μ parameter in Eq. (49) is small since, as we show below, $v_i \ll v_d$ to obtain a small neutrino mass, $m_\nu \lesssim O(\text{eV})$.

We can now express \tilde{B} in terms of μ, v_u, v_d, v_i from Eqs. (43) and (45),

$$\tilde{B} = \frac{\sin 2\beta}{2} \left\{ [\bar{m}_{H_1}^2 + \bar{m}_{H_2}^2 + 2|\mu|^2 + |\kappa_i|^2] + [(\mathbf{m}_{L_i H_1}^2) + \kappa_i^* \mu] \frac{v_i}{v_d} - \tilde{D}_i \frac{v_i}{v_u} \right\}, \quad (50)$$

where in both Eqs. (48) and (50) we have introduced the simplifying notation

$$\bar{m}_{H_2}^2 \equiv m_{H_2}^2 + \frac{1}{2v_u} \frac{\partial \Delta \mathbf{V}}{\partial v_u}, \quad (51)$$

$$\bar{m}_{H_1}^2 \equiv m_{H_1}^2 + \frac{1}{2v_d} \frac{\partial \Delta \mathbf{V}}{\partial v_d}. \quad (52)$$

Equation (44) can now be cast in the form

$$(M_\nu^2)_{ij} v_j = -[(\mathbf{m}_{H_1 \tilde{L}_i}^2) + \mu^* \kappa_i]v_d + \tilde{D}_i v_u - \frac{1}{2} \frac{\partial \Delta \mathbf{V}}{\partial v_i}, \quad (53)$$

where

$$(M_\nu^2)_{ij} = (\mathbf{m}_{L_i}^2)_{ji} + \kappa_i \kappa_j^* + \frac{1}{2}M_Z^2 \cos 2\beta \delta_{ij} + \frac{(g^2 + g_2^2)}{2} \sin^2 \beta (v^2 - v_u^2 - v_d^2) \delta_{ij}. \quad (54)$$

Here we outline the iterative numerical procedure we follow to obtain the minimum of the potential for a given value of $\tan \beta$.

- (i) We start in the RPC limit with $v_i=0$ and thus obtain from Eqs. (41), (42) initial values for v_u and v_d (in terms of $\tan\beta$).
- (ii) We solve Eq. (46) [or Eq. (49)] and Eq. (50) also first in the RPC limit, $v_i=0, \kappa_i=\tilde{D}_i=(\mathbf{m}_{H_1\tilde{L}_i}^2)=0$, and thus we obtain initial values for μ and \tilde{B} .
- (iii) We treat v_u, v_d and μ, \tilde{B} as known and solve the system of Eq. (53) in terms of the v_i . This system is linear and a lengthy analytical expression of the solution exists.
- (iv) We return to the first step and compute the corrected values of v_u, v_d including the v_i 's using Eqs. (41), (42). The reader should note that $\tan\beta=v_u/v_d$ remains exactly the same as in the R -parity conserving MSSM case [see Eqs. (41),(42)]. This is the advantage of this formulation—developed for the first time in Ref. [68]—and is used throughout this paper. In our calculation, we include the full one-loop corrections and the dominant two-loop ones as they have been calculated in the RPC case in Ref. [69] but not R -parity violating loop corrections [67].
- (v) We repeat the second step but use the nonzero values of v_i as well as the newly computed values of v_u, v_d . At this point we now also include the nonzero values of κ_i, \tilde{D}_i . The latter could have been included from the beginning but it is computationally more convenient to do this in the second iteration.
- (vi) We now iterate the procedure until convergence of $\mu, \tilde{B}, v_u, v_d, v_i$ is reached.

We have explicitly checked that our iteration procedure is very robust, and for all the initial parameters we display in our numerical results we have found the iteration procedure to converge.

Finally, it is well known that the MSSM provides a mechanism of breaking radiatively the electroweak $SU(2)_L \times U(1)_Y$ symmetry down to $U(1)_{\text{em}}$ [21]. Electroweak symmetry breaking in the MSSM occurs when $m_{H_2}^2 < 0$ in Eq. (45). This is indeed realized in the MSSM since $m_{H_2}^2$ is driven to negative values by the large top Yukawa coupling once we employ the RGEs. As we see from Eq. (C18) the \mathcal{R}_p couplings do not affect directly the “running” of $m_{H_2}^2$. However, they do affect the running of $m_{H_1}^2$ in Eq. (C17) through the mixed wave function H_1-L_i . These corrections turn out to be small, since $m_{L_i H_1}^2$ is small, in the minimal supergravity scenario we assume in this paper. Concluding, the radiative electroweak symmetry breaking in the \mathcal{R}_p case works in exactly the same way as in the RPC case.

V. PARTICLE AND SUPERPARTICLE MASSES

In the literature, it is common to make a specific basis choice for the CP -even neutral scalar fields $h_2^0, \tilde{\nu}_\alpha$, in particular the basis where only $v_u, v_d \neq 0$ and $v_i=0$. We shall present our results for particle and superparticle masses in

the generic basis, where all VEV'S can be nonzero, $v_u, v_d, v_i \neq 0$. We shall strictly follow the conventions of Grossman and Haber [66] which in the R -parity conserved limit coincide with those of Haber and Kane [2]. We list in turn the mass matrices and show how they depend on our basic parameters, as well as the minimum of the potential determined in the previous section. It is then straightforward for the reader to choose his/her favorite basis or to work with the basis-independent spectrum given below.

A. Gauge boson masses

For completeness and in order to fix our notation below, we write here the masses of the Z and W^\pm gauge bosons,

$$M_W^2 = \frac{1}{2} g_2^2 (v_u^2 + v_d^2), \quad (55)$$

$$M_Z^2 = \frac{1}{2} (g^2 + g_2^2) (v_u^2 + v_d^2), \quad (56)$$

where again $v_\alpha^2 \equiv v_d^2 + \sum_{i=1}^3 v_i^2$. The photon and the gluons are of course massless. The reader should note the participation of the sneutrino VEV's v_i in the masses of the Z - and W^\pm -gauge bosons.

B. CP -even Higgs-sneutrino masses

From Eq. (34), we see that after electroweak symmetry breaking, the sneutrinos, $\tilde{\nu}_i$, mix with the Higgs bosons $h_2^0, h_1^0 \equiv \tilde{\nu}_0$. If CP is conserved, the mass eigenstates separate into CP -even and CP -odd states. Following Grossman and Haber [66], let us denote with $\tilde{\nu}_+$ ($\tilde{\nu}_-$) the CP -even (CP -odd) sneutrino mass eigenstates. If R parity is broken, the mass of $\tilde{\nu}_+$ is in general different from the mass of $\tilde{\nu}_-$, i.e., there is a sneutrino-antisneutrino mass splitting. The CP -even Higgs-sneutrino mass eigenstates are denoted by $h^0, H^0, \tilde{\nu}_+^i$, where the mass $M_{h^0} < M_{H^0}$. They are obtained in the generic basis after the diagonalization of a 5×5 mass matrix

$$\mathcal{L} = -\frac{1}{2} (x_2, r_\gamma) \mathcal{M}_{CP\text{-even}}^2 \begin{pmatrix} x_2 \\ r_\delta \end{pmatrix}, \quad (57)$$

where

$$\mathcal{M}_{CP\text{-even}}^2 = \begin{pmatrix} \frac{b_\alpha v_\alpha}{v_u} + \frac{(g^2 + g_2^2)}{2} v_u^2 & -b_\delta - \frac{(g^2 + g_2^2)}{2} v_u v_\delta \\ -b_\gamma - \frac{(g^2 + g_2^2)}{2} v_u v_\gamma & (m_{\tilde{\nu}}^2)_{\gamma\delta} + \frac{(g^2 + g_2^2)}{2} v_\gamma v_\delta \end{pmatrix}, \quad (58)$$

with

$$(m_{\tilde{\nu}}^2)_{\alpha\beta} \equiv [(m_{\tilde{L}}^2)_{\alpha\beta} + \mu_\alpha^* \mu_\beta] - \frac{(g^2 + g_2^2)}{4} (v_u^2 - v_\gamma^2) \delta_{\alpha\beta}, \quad (59)$$

and where $v_\gamma^2 \equiv \sum_\gamma v_\gamma^2$. Recall that $b_\alpha = (\tilde{B}, \tilde{D}_i)$.

C. CP -odd Higgs-antisneutrino masses

The CP -odd Higgs-sneutrino mass eigenstates $A, \tilde{\nu}_-^i$ (and the massless Goldstone boson in the unitary gauge) are obtained in the generic basis after the diagonalization of a 5×5 mass matrix,

$$\mathcal{L} = -\frac{1}{2}(y_2, y_\gamma) \mathcal{M}_{CP\text{-odd}}^2 \begin{pmatrix} y_2 \\ y_\delta \end{pmatrix}, \quad (60)$$

where

$$\mathcal{M}_{CP\text{-odd}}^2 = \begin{pmatrix} \frac{b_\alpha v_\alpha}{v_u} & b_\delta \\ b_\gamma & (m_{\tilde{\nu}}^2)_{\gamma\delta} \end{pmatrix}. \quad (61)$$

For one generation, we obtain two nonzero eigenvalues with the eigenstates identified as the sneutrino and the CP -odd Higgs, respectively,

$$m_{\tilde{\nu}_-}^2 = \frac{1}{2} \left[m_{\tilde{\nu}}^2 + \frac{2\tilde{B}}{\sin 2\beta} + \sqrt{\left(m_{\tilde{\nu}}^2 - \frac{2B}{\sin 2\beta} \right)^2 + 4\tilde{D}_1^2(1 + \tan^2\beta)} \right], \quad (62)$$

$$M_{A^0}^2 = \frac{1}{2} \left[m_{\tilde{\nu}}^2 + \frac{2\tilde{B}}{\sin 2\beta} - \sqrt{\left(m_{\tilde{\nu}}^2 - \frac{2B}{\sin 2\beta} \right)^2 + 4\tilde{D}_1^2(1 + \tan^2\beta)} \right]. \quad (63)$$

Here $m_{\tilde{\nu}}$ is the one-generation version of Eq. (59). Notice the $\tan\beta$ enhancement (reduction) of the sneutrino (Higgs) mass is due exclusively to an R -parity violating contribution. For $\tilde{D}_1 \rightarrow 0$ we have $m_{\tilde{\nu}_-} = m_{\tilde{\nu}}$ and $M_{A^0}^2 = 2\tilde{B}/\sin 2\beta$ as it should be.

The generalization of the Higgs mass sum rule $M_{h^0}^2 + M_{H^0}^2 = M_{A^0}^2 + M_Z^2$ in the RPC case is written here as

$$\text{Tr}(\mathcal{M}_{CP\text{-even}}^2) = M_Z^2 + \text{Tr}(\mathcal{M}_{CP\text{-odd}}^2). \quad (64)$$

This is easily verified from the matrix forms of $\mathcal{M}_{CP\text{-even}}^2$ and $\mathcal{M}_{CP\text{-odd}}^2$ given above. Equation (64) leads to the following Higgs mass sum rule in the \mathcal{R}_p scenario:

$$M_{h^0}^2 + M_{H^0}^2 + \sum_{i=1}^3 M_{\tilde{\nu}_+^i}^2 = M_{A^0}^2 + M_Z^2 + \sum_{i=1}^3 M_{\tilde{\nu}_-^i}^2. \quad (65)$$

This sum rule is valid only at tree level and is altered by radiative corrections. If the heavy Higgs mass states A^0 and H^0 are degenerate and also the sneutrino-antisneutrino mass difference is small, then the light Higgs boson mass h^0 would be very close to the Z -boson mass.

D. Charged Higgs bosons-sleptons

The charged Higgs bosons mix with the charged sleptons,

$$\mathcal{L} = -(h_2^-, \tilde{e}_{L\gamma}, \tilde{e}_{Rk}) \mathcal{M}_{\text{charged}}^2 \begin{pmatrix} h_2^+ \\ \tilde{e}_{L\delta}^* \\ \tilde{e}_{Rl}^* \end{pmatrix}. \quad (66)$$

In the basis-independent notation, the 8×8 mass matrix is given by

$$\mathcal{M}_{\text{charged}}^2 = \begin{pmatrix} (m^2)_{11} + D & b_\delta^* + D_\delta & \lambda_{\beta\alpha l} \mu_\alpha^* v_\beta \\ b_\gamma + D_\gamma^* & (m^2)_{\delta\gamma} + \lambda_{\alpha\gamma l} \lambda_{\beta\delta l} v_\alpha v_\beta + D_{\gamma\delta} & h_{\alpha\gamma l} v_\alpha - \lambda_{\alpha\gamma l} \mu_\alpha^* v_u \\ \lambda_{\beta\alpha k}^* \mu_\alpha v_\beta & h_{\alpha\delta k}^* v_\alpha - \lambda_{\alpha\delta k} \mu_\alpha v_u & (\mathbf{m}_{\tilde{\mathbf{e}}})_{lk} + \lambda_{\alpha\beta k} \lambda_{\alpha\gamma l} v_\beta v_\gamma + D_{lk} \end{pmatrix}, \quad (67)$$

with

$$(m^2)_{11} \equiv m_{H_2}^2 + |\mu_\alpha|^2, \quad (68)$$

$$D \equiv \frac{1}{4}(g_2^2 + g^2)(v_u^2 - |v_\alpha|^2) + \frac{1}{2}g_2^2 |v_\alpha|^2, \quad (69)$$

$$D_\delta \equiv \frac{1}{2}g_2^2 v_u v_\delta, \quad (70)$$

$$(m^2)_{\gamma\delta} \equiv (m_{\tilde{L}}^2)_{\delta\gamma} + \mu_\gamma \mu_\delta^*, \quad (71)$$

$$D_{\gamma\delta} \equiv \frac{1}{4}(g_2^2 - g^2)(v_u^2 - v_\alpha^2) \delta_{\delta\gamma} + \frac{1}{2}g_2^2 v_\gamma v_\delta, \quad (72)$$

$$(D)_{lk} \equiv \frac{1}{2}g^2(v_u^2 - v_\alpha^2) \delta_{lk}. \quad (73)$$

The remaining parameters are given in Eqs. (4) and (30). Upon diagonalization of the mass matrix (67), we obtain the mass eigenstates: $G^\pm, H^\pm, \tilde{e}_{i=1, \dots, 6}$. It is not hard to prove that the determinant of Eq. (67) is zero and the Goldstone boson corresponds to the eigenvector $(-v_u, v_\alpha, 0, 0, 0)$.

E. Squarks

1. Down squarks

The down squark mass eigenstates $\tilde{d}_i, i=1, \dots, 6$ are given by diagonalizing the following mass matrix:

$$\mathcal{L} = -(\tilde{d}_{L_i}^*, \tilde{d}_{R_{i+3}}^*) \mathcal{M}_{\text{down}}^2 \begin{pmatrix} \tilde{d}_{L_j} \\ \tilde{d}_{R_{j+3}} \end{pmatrix}, \quad (74)$$

where in the $\{\tilde{d}_{L_i}, \tilde{d}_{R_{i+3}}\}$ basis we have

$$\mathcal{M}_{\text{down}}^2 = \begin{pmatrix} (\mathbf{m}_{\tilde{Q}}^2)_{ij} + \lambda'_{\alpha i l}{}^* \lambda'_{\gamma j l} v_\alpha v_\gamma + \left(\frac{1}{4}g_2^2 + \frac{1}{12}g^2\right)(v_u^2 - v_\alpha^2)\delta_{ij} & h'_{\alpha i j}{}^* v_\alpha - \lambda'_{\alpha i j}{}^* \mu_\alpha v_u \\ * & (\mathbf{m}_{\tilde{D}}^2)_{ij} + \lambda'_{\alpha l j}{}^* \lambda'_{\beta l i} v_\alpha v_\beta + \frac{1}{6}g^2(v_u^2 - v_\alpha^2)\delta_{ij} \end{pmatrix}. \quad (75)$$

The * denotes the complex conjugate of the transposed matrix element, i.e., in the above case $(\mathcal{M}_{\text{down}}^2)_{ij}^\dagger$.

2. Up squarks

The up-squark mass eigenstates $\tilde{u}_i, i=1, \dots, 6$ are determined by diagonalizing the following mass matrix given in the $\{\tilde{u}_{L_i}, \tilde{u}_{R_{i+3}}\}$ basis:

$$\mathcal{L} = -(\tilde{u}_{L_i}^*, \tilde{u}_{R_{i+3}}^*) \mathcal{M}_{\text{up}}^2 \begin{pmatrix} \tilde{u}_{L_j} \\ \tilde{u}_{R_{j+3}} \end{pmatrix}, \quad (76)$$

where

$$\mathcal{M}_{\text{up}}^2 = \begin{pmatrix} (\mathbf{m}_{\tilde{Q}}^2)_{ij} + (\mathbf{Y}_U \mathbf{Y}_U^\dagger)_{ji} v_u^2 - \frac{1}{4} \left(g_2^2 - \frac{1}{3}g^2\right)(v_u^2 - v_\alpha^2)\delta_{ij} & (\mathbf{h}_{\tilde{U}}^*)_{ij} v_u - (\mathbf{Y}_{\tilde{U}}^*)_{ij} \mu_\alpha v_1 \\ * & (\mathbf{m}_{\tilde{U}}^2)_{ij} + (\mathbf{Y}_U^\dagger \mathbf{Y}_U)_{ji} v_u^2 - \frac{1}{3}g^2(v_u^2 - v_\alpha^2)\delta_{ij} \end{pmatrix}. \quad (77)$$

F. Quarks

The down-quark masses are given by

$$(\mathbf{m}_d)_{ij} = \lambda'_{\alpha i j} v_\alpha, \quad (78)$$

the up-quark masses are

$$(\mathbf{m}_u)_{ij} = (\mathbf{Y}_U)_{ij} v_u, \quad (79)$$

and the coupling constants are defined in Eq. (4).

G. Neutrinos-neutralinos

The neutrinos mix with the neutralinos resulting in one massive neutrino at tree level and four massive neutralinos. where [70]

The neutrino-neutralino mass matrix (7×7 for three generations of neutrinos) in the $(-i\tilde{\mathcal{B}}, -i\tilde{\mathcal{W}}^{(3)}, \tilde{h}_2^0, \nu_\alpha)$ basis is given by

$$\mathcal{L} = -\frac{1}{2}(-i\tilde{\mathcal{B}}, -i\tilde{\mathcal{W}}^{(3)}, \tilde{h}_2^0, \nu_\alpha) \mathcal{M}_{\text{N}} \begin{pmatrix} -i\tilde{\mathcal{B}} \\ -i\tilde{\mathcal{W}}^{(3)} \\ \tilde{h}_2^0 \\ \nu_\beta \end{pmatrix}, \quad (80)$$

$$\mathcal{M}_N = \begin{pmatrix} M_1 & 0 & M_{Z^S W} \frac{v_u}{\sqrt{v_\gamma^2}} & -M_{Z^S W} \frac{v_\beta}{\sqrt{v_\gamma^2}} \\ 0 & M_2 & -M_{Z^C W} \frac{v_u}{\sqrt{v_\gamma^2}} & M_{Z^C W} \frac{v_\beta}{\sqrt{v_\gamma^2}} \\ M_{Z^S W} \frac{v_u}{\sqrt{v_\gamma^2}} & -M_{Z^C W} \frac{v_u}{\sqrt{v_\gamma^2}} & 0 & -\mu_\beta \\ -M_{Z^S W} \frac{v_\alpha}{\sqrt{v_\gamma^2}} & M_{Z^C W} \frac{v_\alpha}{\sqrt{v_\gamma^2}} & -\mu_\alpha & 0_{\alpha\beta} \end{pmatrix}, \quad (81)$$

with M_Z^2 given in Eq. (56) and $s_W \equiv \sin \theta_W$ is the electroweak mixing angle. The matrix (81) has five nonzero eigenvalues, i.e., four neutralinos and one neutrino. We denote the mass eigenstates which are obtained upon diagonalization of the matrix as $\tilde{\chi}_{1,\dots,4}^0, \nu_{i=1,\dots,3}$, with the masses $M_{\tilde{\chi}_1^0} < M_{\tilde{\chi}_2^0} < M_{\tilde{\chi}_3^0} < M_{\tilde{\chi}_4^0}$.

Since $M_1, M_2, M_Z \gg v_i$, the matrix Eq. (81) is suggestive of the well-known seesaw formula,

$$\mathcal{M}_N = \begin{pmatrix} M_{\tilde{\chi}} & m \\ m^T & 0 \end{pmatrix}, \quad (82)$$

where $M_{\tilde{\chi}}$ is the 4×4 neutralino mass matrix with mass eigenvalues typically $M_{\tilde{\chi}_i} \gtrsim O(10 \text{ GeV})$ [71]. The off-diagonal entry m is a 3×4 matrix with entries of order $g v_i$, or κ_i . In Sec. VII, we show and below we estimate that $\kappa_i \lesssim O(1 \text{ MeV})$ and thus $m \ll M_{\tilde{\chi}}$. The analogy with the Majorana seesaw mechanism is then obvious under the replacements

$$\begin{aligned} M_{\tilde{\chi}} &\equiv M_{\text{susy}} \Leftrightarrow M_{\text{Maj}}, \\ g v_i, \kappa_i &\Leftrightarrow M_{\text{Dirac}}. \end{aligned} \quad (83)$$

In addition, the 3×3 zero mass matrix in Eq. (82) can be filled by finite, loop low-energy threshold corrections in the \mathcal{R}_p MSSM as opposed to possible Higgs triplet contributions in other neutrino mass models. Therefore, neutrino masses will roughly be given by

$$m_\nu \sim \frac{m^2}{M_{\text{susy}}} \sim \frac{g^2 v_i^2}{M_{\text{susy}}} \lesssim 1 \text{ eV}. \quad (84)$$

For the last inequality, we have imposed the bound from WMAP in Eq. (6). Bearing in mind possible accidental cancellations (see below), we obtain

$$v_i, \kappa_i \lesssim 1 \text{ MeV} \quad \text{for} \quad M_{\text{susy}} \lesssim 1 \text{ TeV}. \quad (85)$$

A complete calculation of the one neutrino mass eigenvalue at tree level reads [68,75]

$$m_\nu = \frac{\mu(M_1 g^2 + M_2 g^2) \sum_{i=1}^3 \Lambda_i^2}{v_u v_d (M_1 g^2 + M_2 g^2) - 2\mu M_1 M_2}, \quad (86)$$

with

$$\Lambda_i \equiv v_i - v_d \frac{\kappa_i}{\mu}. \quad (87)$$

A redefinition of the phases of the gaugino fields \tilde{B} and \tilde{W} together with the gaugino universality assumption $M_1 = M_2 \equiv M_{1/2}$ can make M_1 and M_2 real and positive and so the numerator of Eq. (86) cannot be fine-tuned to zero [provided $\mu > O(100 \text{ GeV})$]. According to the universality assumption, the one-loop unification gaugino masses at the electroweak scale are $M_1 = \frac{5}{3}(\alpha_1^2/\alpha_{\text{GUT}}^2)M_{1/2}$ and $M_2 = (\alpha_2^2/\alpha_{\text{GUT}}^2)M_{1/2}$, where $\alpha_{\text{GUT}} = g_{\text{GUT}}^2/4\pi \approx 0.041$ is the grand unified coupling constant. Taking into account that $v_u v_d \ll \mu M_{1/2}$, which we find in our numerical results below, we arrive with an excellent approximation at a simple formula for the tree-level neutrino mass,

$$m_\nu = -\frac{16\pi\alpha_{\text{GUT}}}{5} \frac{\sum_{i=1}^3 \Lambda_i^2}{M_{1/2}}. \quad (88)$$

This implies $\Lambda_i \lesssim 1 \text{ MeV}$ for $M_{1/2} \lesssim 1 \text{ TeV}$. One can obtain a small Λ_i even with $v_i \sim \kappa_i \sim v$ but that requires a cancellation of one part in 10^5 . So the question arises, how can one naturally obtain a small Λ_i , i.e., $v_i \sim \kappa_i \lesssim O(1 \text{ MeV})$? We will come to this point in Sec. VII.

H. Leptons-charginos

The charged leptons mix with the charginos. The Lagrangian contains the (5×5 for three generations of leptons) lepton-chargino mass matrix as [76]

$$\mathcal{L} = -(-i\tilde{\mathcal{W}}^-, e_{L\alpha}^-) \mathcal{M}_C \begin{pmatrix} -i\tilde{\mathcal{W}}^+ \\ \tilde{h}_2^+ \\ e_{Rk}^+ \end{pmatrix} + \text{H.c.}, \quad (89)$$

where the mass eigenstates $\tilde{\chi}_{1,2}^\pm, \ell = (e, \mu, \tau)$ are given upon the diagonalization of the matrix,

$$\mathcal{M}_C = \begin{pmatrix} M_2 & g_{2\nu u} & 0_k \\ g_{2\nu\alpha} & \mu_\alpha & \lambda_{\beta\alpha k} \nu_\beta \end{pmatrix}. \quad (90)$$

VI. BOUNDARY CONDITIONS AT M_X

Due to the large number of parameters in the supersymmetry breaking sector [cf. Eq. (30)], we shall focus on the case of minimal supergravity models. These have a much simplified structure at the high scale, which we assume here to be the unification scale of the gauge couplings, $M_X = M_{\text{GUT}} = O(10^{16})$. At this scale, the soft SUSY breaking scalar masses have a common value, M_0 :

$$\begin{aligned} \mathbf{m}_{\tilde{Q}}(M_X) &= \mathbf{m}_{\tilde{u}}(M_X) = \mathbf{m}_{\tilde{d}}(M_X) \\ &= \mathbf{m}_{\tilde{L}}(M_X) = \mathbf{m}_{\tilde{e}}(M_X) \equiv M_0 \hat{\mathbf{1}}, \end{aligned} \quad (91)$$

$$m_{H_1}(M_X) = m_{H_2}(M_X) \equiv M_0, \quad (92)$$

where $\hat{\mathbf{1}}$ is the 3×3 unit matrix in flavor space. Motivated by the discussion of Sec. III, we shall assume that we can rotate away the κ_i terms before supersymmetry breaking and no \tilde{D}_i or $(\mathbf{m}_{\tilde{L}, H_1}^2)$ terms are generated through supersymmetry breaking at the unification scale M_X ,

$$\kappa_i(M_X) = 0, \quad \tilde{D}_i(M_X) = (\mathbf{m}_{\tilde{L}, H_1}^2)(M_X) = 0. \quad (93)$$

At the scale M_X , we shall assume one nonzero \mathcal{R}_p coupling at a time, i.e., one coupling from

$$\lambda_{ijk}(M_X) \neq 0, \quad \lambda'_{ijk}(M_X) \neq 0, \quad \lambda''_{ijk}(M_X) \neq 0. \quad (94)$$

Due to the CKM quark mixing, the λ' RGEs are coupled. Thus in the case of a single $\lambda'(M_X) \neq 0$ we will have more than one $\lambda'(M_Z) \neq 0$ at the weak scale. MSUGRA assumptions lead to the same prefactors, A_0 , of the supersymmetry breaking trilinear couplings $h_{ijk} \equiv A_0 Y_{ijk}$,

$$\begin{aligned} \mathbf{A}_{\mathbf{U}}(M_X) &= \mathbf{A}_{\mathbf{D}}(M_X) = \mathbf{A}_{\mathbf{E}}(M_X) \\ &= \mathbf{A}_\lambda(M_X) = \mathbf{A}_{\lambda'}(M_X) = \mathbf{A}_{\lambda''}(M_X) \equiv A_0 \hat{\mathbf{1}}. \end{aligned} \quad (95)$$

A common mass $M_{1/2}$ for the gauginos completes the MSUGRA boundary conditions at M_X ,

$$M_1(M_X) = M_2(M_X) = M_3(M_X) \equiv M_{1/2}. \quad (96)$$

No assumption for quark or lepton Yukawa unification has been made in our analysis. We thus have the six parameters

$$A_0, M_0, M_{1/2}, \tan \beta, \text{sgn}(\mu), \{\lambda, \lambda', \lambda''\}_1. \quad (97)$$

When determining the mass spectrum, in order to further simplify the number of input parameters we will restrict ourselves to a particular supergravity scenario called “no-scale” supergravity [77]. This scenario predicts a definite relation between A_0 and M_0 , namely

$$A_0 = M_0 = 0 \text{ GeV}. \quad (98)$$

The “no-scale” scenario, the simplest MSUGRA scenario, is experimentally excluded in the RPC case, but as we show below, it is allowed in the \mathcal{R}_p case. Our results for the bounds on the \mathcal{R}_p couplings from neutrino masses should be unaffected by this assumption provided $(M_0, |A_0|)/M_{1/2} < 10$. This is because $M_{1/2}$ dominates the renormalization-group behavior.

In this paper, we only address gravity-mediated supersymmetry breaking and do not consider other scenarios, such as gauge- (GMSB) [78] or anomaly-mediated (AMSB) [79] supersymmetry breaking. Although the low-energy spectrum formulas we displayed in the previous section are unchanged, the results for the bounds on the \mathcal{R}_p couplings or the LSP content change dramatically from one model to the other, as we will see shortly. We hope that this paper serves as a basis to study the phenomenology of other SUSY breaking models.

VII. RESULTS

In the following numerical analysis, we use a version of SOFTSUSY [80] which has been augmented with \mathcal{R}_p couplings. The beta functions for the \mathcal{R}_p MSSM couplings and masses contain the full one-loop \mathcal{R}_p and RPC contributions. The beta functions for the RPC MSSM couplings and masses also contain the two-loop pure RPC corrections. As discussed in Sec. V, small neutrino masses imply that the sneutrino VEV's must be small. Although we derive their values from the minimization of the scalar potential, we neglect them in the calculation of sparticle masses. This is a good approximation, valid to $O(v_i/M_{\text{SUSY}}) \ll 1$, when considering only the spectrum of sparticles and not the small mixing induced by \mathcal{R}_p couplings. We have checked that the error induced in the sparticle masses is much smaller than the current theoretical uncertainty in the RPC part of the calculation [81–83]. The \mathcal{R}_p contribution to the SM Yukawa couplings and fermion masses, however, is taken into account as described in Sec. V. Radiative electroweak symmetry breaking and the determination of sneutrino VEV's follows the discussion in Sec. IV. SOFTSUSY adds one-loop RPC threshold corrections to the sparticle and Higgs masses, and takes one-loop RPC threshold corrections into account when calculating the Yukawa and gauge couplings. For further details on the RPC part of the calculation, consult Ref. [80]. Numerical results from the augmented version of the program SOFTSUSY, i.e., beta functions, neutrino masses, electroweak breaking, the mass spectrum, bounds on the couplings, etc., have been carefully checked with an independent FORTRAN code [84].

We use the input parameters [85] $m_t=174.3$ GeV, $\alpha_s^{\overline{MS}}(M_Z)=0.1172$, and $m_b(m_b)^{\overline{MS}}=4.25$ GeV, corresponding to $m_b^{\text{pole}}=5.0$ GeV at the three-loop level. Other SM \overline{MS} masses input are $m_u(2 \text{ GeV})=3.0 \times 10^{-3}$ GeV, $m_c(m_c)=1.2$ GeV, $m_d(2 \text{ GeV})=6.75 \times 10^{-3}$, and $m_s(2 \text{ GeV})=0.1175$ GeV. The pole lepton masses are taken as $m_e=5.11 \times 10^{-4}$ GeV, $m_\mu=0.10566$ GeV, and $m_\tau=1.777$ GeV. The Fermi constant $G_F=1.16637 \times 10^{-5}$ GeV⁻², the fine-structure constant $\alpha(0)^{-1}=137.0359976$, and $M_Z=91.1876$ GeV are used to determine the electroweak gauge couplings.

A. Bounds on lepton-number violating couplings

1. Procedure

We first use the numerical analysis of the RGEs to set bounds upon the lepton-number violating couplings ($\lambda_{ijk}, \lambda'_{ijk}$) from the cosmological neutrino mass bound and requiring the absence of negative mass-squared scalars other than the Higgs bosons and sneutrinos. (This does not refer to the physical mass and thus does not constitute a tachyon.) Neutrinos contribute to the hot dark matter and as such can free-stream out of smaller-scale fluctuations during matter domination in the early universe. This changes the shape of the matter power spectrum and suppresses the amplitude of fluctuations. Combining the 2dFGRS data [28] together with the WMAP measurement [27], one can thus set a bound on the neutrino mass at 95% C.L.,

$$\sum_i m_{\nu_i} < 0.71 \text{ eV}. \quad (99)$$

Scalar mass squared values can be driven negative during the RG evolution between the GUT and the weak scale, as happens to the Higgs boson in radiative electroweak symmetry breaking. But if any of the electrically charged or color MSSM scalar fields develop negative mass squared values, QED or QCD would be broken, in conflict with observation. We therefore reject such values of λ, λ' .

Neutrino mass and charge- and color-breaking minima bounds depend not only upon the \mathcal{R}_p couplings, but also on the RPC SUSY breaking parameters. For a definite quantitative analysis, we therefore take an example set of SUSY breaking parameters. We choose the SPS1a MSUGRA point [86] which has the following parameter values: $M_0=100$ GeV, $M_{1/2}=250$ GeV, and trilinear couplings $A_0=-100$ GeV at M_X . $\tan\beta(M_Z)=10$ and $\mu>0$ are also imposed.

As stated in Sec. I, a single nonzero \mathcal{R}_p coupling at M_X will generate through the coupled RGEs nonzero $\kappa_i(M_Z)$, $\tilde{D}_i(M_Z)$, and $(\mathbf{m}_{H_1\tilde{L}_i}^2)(M_Z)$. This is seen explicitly in the RGEs in Eqs. (28), (29), (B3), and (B16), where the anomalous dimension $\gamma_{L_i}^{H_1}$ couples μ and κ_i as well as the soft-breaking sfermion masses, e.g., $\mathbf{m}_{\tilde{D}}^2$, with $(\mathbf{m}_{H_1\tilde{L}_i}^2)$. Since the anomalous dimension

$$\gamma_{L_i}^{H_1} \propto (\mathbf{Y}_E \mathbf{\Lambda}_E + \mathbf{Y}_D \mathbf{\Lambda}_D), \quad (100)$$

$\kappa_i(M_Z)$, $\tilde{D}_i(M_Z)$, and $(\mathbf{m}_{H_1\tilde{L}_i}^2)(M_Z)$ are also proportional to $(\mathbf{Y}_E \mathbf{\Lambda}_E + \mathbf{Y}_D \mathbf{\Lambda}_D)$. Through κ_i , \tilde{D}_i , $(\mathbf{m}_{H_1\tilde{L}_i}^2) \neq 0$ at the weak scale, we obtain nonzero sneutrino VEV's, as can be seen from Eq. (53). This in turn gives us a nonzero neutrino mass as seen in Eq. (88). In order to estimate the resulting neutrino mass, we naively integrate the RGEs assuming constant parameters and insert our result into Eq. (88). We obtain

$$m_\nu \approx - \frac{16\pi\alpha_{\text{GUT}}}{5M_{1/2}} \left[\frac{v_d}{16\pi^2} \right]^2 \left[\ln \frac{M_{\text{GUT}}}{M_Z} \right]^2 \left[\sum_{i=1}^3 (3\lambda'_{ijq} \cdot (Y_D)_{jq} + \lambda_{ijq} \cdot (Y_E)_{jq}) \right]^2 f^2 \left(\frac{\mu^2}{M_0^2}; \frac{A_0^2}{M_0^2}; \frac{\tilde{B}}{M_0^2}; \tan\beta \right), \quad (101)$$

where f is a complicated dimensionless function of the SUSY parameters with typical values $O(10)$. A similar result was obtained some years ago by Nardi [23]. In Eq. (101), we explicitly see the dependence of the induced neutrino mass on the product of \mathcal{R}_p and Higgs-Yukawa couplings from Eq. (100). Given a neutrino mass bound, e.g., Eq. (99), we can thus derive bounds on the \mathcal{R}_p couplings. In the case where the downlike quark or the charged lepton mass matrix are diagonal, only the \mathcal{R}_p couplings λ'_{ikk} or λ_{ikk} induce neutrino masses. Thus in the case of the $LL\tilde{E}$ operators, since we do not include lepton mixing, we only obtain bounds on λ_{ikk} , cf. Table IV. For the quarks we include the CKM mixing and thus obtain bounds on all λ' , cf. Table III.

Equation (101) works as an order of magnitude estimate. Setting $\alpha_{\text{GUT}}=0.041$, $M_{1/2}=250$ GeV, $\tan\beta=10$, $Y_b=0.18$, and $f=10$ and using the WMAP bound Eq. (99), we obtain

$$\sum_{i=1}^3 [3\lambda'_{ijq} \cdot (Y_D)_{jq} + \lambda_{ijq} \cdot (Y_E)_{jq}] < 2 \times 10^{-5}. \quad (102)$$

With $Y_b=0.18$, we thus obtain the single bound $\lambda'_{333} < 3 \times 10^{-5}$. Full numerical integration shows that $\lambda'_{333} < 6 \times 10^{-6}$. Note that the only $\tan\beta$ dependence in Eq. (101) is in the function f .

Another interesting remark arises from Eq. (101): the higher the ultraviolet scale is (here denoted as M_{GUT}), the larger the resulting neutrino mass and the stronger the bound on the λ', λ . Therefore, for the MSUGRA scenario, $M_{\text{GUT}} \approx 2 \times 10^{16}$ GeV, the bounds are stronger than for the GMSB model where M_{GUT} must be taken at the intermediate energies 10^{11} GeV.

TABLE III. Upper bounds upon trilinear λ' couplings for SPS1a in the quark mass eigenbasis at the weak scale M_Z and in the weak eigenbasis at the GUT scale M_{GUT} . The quark mixing assumption is shown in the first row for each case. Input parameters are given in the text. A superscript of t, ν denotes the fact that the strongest bound comes from the absence of tachyons or the neutrino mass constraint, respectively.

	No mixing		Up mixing		Down mixing	
	M_{GUT}	M_Z	M_{GUT}	M_Z	M_{GUT}	M_Z
λ'_{111}	$1.3 \times 10^{-3\nu}$	4.3×10^{-3}	$1.3 \times 10^{-3\nu}$	4.2×10^{-3}	$7.2 \times 10^{-4\nu}$	2.3×10^{-3}
λ'_{211}	$1.3 \times 10^{-3\nu}$	4.3×10^{-3}	$1.3 \times 10^{-3\nu}$	4.2×10^{-3}	$7.2 \times 10^{-4\nu}$	2.3×10^{-3}
λ'_{311}	$1.3 \times 10^{-3\nu}$	4.3×10^{-3}	$1.3 \times 10^{-3\nu}$	4.1×10^{-3}	$7.1 \times 10^{-4\nu}$	2.3×10^{-3}
λ'_{121}	0.13^t	0.39	0.13^t	0.38	$3.6 \times 10^{-4\nu}$	1.1×10^{-3}
λ'_{221}	0.13^t	0.39	0.13^t	0.38	$3.6 \times 10^{-4\nu}$	2.3×10^{-3}
λ'_{321}	0.13^t	0.39	0.13^t	0.38	$3.5 \times 10^{-4\nu}$	1.1×10^{-3}
λ'_{131}	0.15^t	0.40	0.15^t	0.40	$6.4 \times 10^{-4\nu}$	1.8×10^{-3}
λ'_{231}	0.15^t	0.40	0.15^t	0.40	$6.4 \times 10^{-4\nu}$	1.8×10^{-3}
λ'_{331}	0.15^t	0.40	0.15^t	0.40	$6.4 \times 10^{-4\nu}$	1.8×10^{-3}
λ'_{112}	0.13^t	0.39	0.13^t	0.38	$3.6 \times 10^{-4\nu}$	1.1×10^{-3}
λ'_{212}	0.13^t	0.39	0.13^t	0.38	$3.6 \times 10^{-4\nu}$	1.1×10^{-3}
λ'_{312}	0.13^t	0.39	0.13^t	0.38	$3.5 \times 10^{-4\nu}$	1.1×10^{-3}
λ'_{122}	$7.5 \times 10^{-5\nu}$	2.5×10^{-5}	$7.2 \times 10^{-5\nu}$	2.4×10^{-4}	$7.4 \times 10^{-5\nu}$	2.4×10^{-4}
λ'_{222}	$7.5 \times 10^{-5\nu}$	2.5×10^{-5}	$7.5 \times 10^{-5\nu}$	2.4×10^{-4}	$7.4 \times 10^{-5\nu}$	2.4×10^{-4}
λ'_{322}	$7.5 \times 10^{-5\nu}$	2.5×10^{-5}	$7.5 \times 10^{-5\nu}$	2.4×10^{-4}	$7.3 \times 10^{-5\nu}$	2.3×10^{-4}
λ'_{132}	0.15^t	0.40	$1.7 \times 10^{-2\nu}$	5.1×10^{-2}	$5.4 \times 10^{-5\nu}$	1.4×10^{-4}
λ'_{232}	0.15^t	0.40	$1.7 \times 10^{-2\nu}$	5.1×10^{-2}	$5.4 \times 10^{-5\nu}$	1.5×10^{-4}
λ'_{332}	0.15^t	0.40	$1.7 \times 10^{-2\nu}$	5.0×10^{-2}	$5.3 \times 10^{-5\nu}$	1.5×10^{-4}
λ'_{113}	0.13^t	0.39	$3.3 \times 10^{-3\nu}$	1.0×10^{-2}	$5.7 \times 10^{-4\nu}$	1.8×10^{-3}
λ'_{213}	0.13^t	0.39	$3.3 \times 10^{-3\nu}$	1.0×10^{-2}	$5.7 \times 10^{-4\nu}$	1.9×10^{-3}
λ'_{313}	0.13^t	0.39	$3.2 \times 10^{-3\nu}$	1.0×10^{-2}	$5.7 \times 10^{-4\nu}$	1.9×10^{-3}
λ'_{123}	0.13^t	0.39	$4.6 \times 10^{-4\nu}$	1.4×10^{-3}	$4.8 \times 10^{-5\nu}$	1.6×10^{-4}
λ'_{223}	0.13^t	0.39	$4.6 \times 10^{-4\nu}$	1.4×10^{-3}	$4.8 \times 10^{-5\nu}$	1.6×10^{-3}
λ'_{323}	0.13^t	0.39	$4.5 \times 10^{-4\nu}$	1.4×10^{-3}	$4.8 \times 10^{-5\nu}$	1.6×10^{-4}
λ'_{133}	$2.2 \times 10^{-6\nu}$	6.3×10^{-6}	2.6×10^{-5}	3.9×10^{-5}	$2.2 \times 10^{-6\nu}$	6.3×10^{-6}
λ'_{233}	$2.2 \times 10^{-6\nu}$	6.3×10^{-6}	$2.2 \times 10^{-6\nu}$	1.4×10^{-3}	$2.2 \times 10^{-6\nu}$	6.3×10^{-6}
λ'_{333}	$2.1 \times 10^{-6\nu}$	6.2×10^{-6}	$2.1 \times 10^{-6\nu}$	6.2×10^{-6}	$2.1 \times 10^{-6\nu}$	6.2×10^{-6}

We also have to remark here on another independent source for neutrino masses in the \mathcal{R}_p MSUGRA scenario coming from finite threshold effects involving squark-quark or slepton-lepton loops. The resulting neutrino masses are given by [66,87]

$$\begin{aligned}
(m_\nu^{\text{loop}})_{ij} = & \frac{1}{32\pi^2} \sum_{k,l} \lambda_{ikl} \lambda_{jlk} m_k^\ell \sin 2\phi_k^\ell \ln \frac{m_{\tilde{\ell}_{k_1}}^2}{m_{\tilde{\ell}_{k_2}}^2} \\
& + \frac{3}{32\pi^2} \sum_{k,l} \lambda'_{ikl} \lambda'_{jlk} m_k^d \sin 2\phi_k^d \ln \frac{m_{\tilde{d}_{l_1}}^2}{m_{\tilde{d}_{l_2}}^2},
\end{aligned} \tag{103}$$

with m_k^ℓ (m_k^d) the lepton (down-quark) masses, ϕ^ℓ (ϕ^d) the slepton (squark) mixing angles, and $m_{\tilde{l}_i}$ ($m_{\tilde{d}_i}$) are the slepton (squark) mass eigenstates [88]. More details are found in

Refs. [66,87]. Since the mixing in the first and second generation is negligible and also sleptons are almost degenerate, the finite neutrino effects of Eq. (103) are not significant for the heaviest neutrino as compared to the ones induced from Eq. (101). For the third generation we find

$$\frac{m_\nu^{\text{loop}}}{m_\nu} = \frac{\ln \frac{m_{\tilde{b}_1}}{m_{\tilde{b}_2}}}{\frac{\alpha_{\text{GUT}}}{M_{1/2}} \frac{3m_b}{\pi} \left(\ln \frac{M_{\text{GUT}}}{M_Z} \right)^2 f^2} \approx 10^{-2}. \tag{104}$$

The above estimate shows that bounds derived from Eq. (101) are stronger than those derived from Eq. (103) [89]. Thus the new bounds on the \mathcal{R}_p couplings presented in Table II are determined using the constraint Eq. (99), the full solution to the one-loop RGEs, and an accurate numerical diagonalization of the neutralino/neutrino mass matrix.

2. Quark bases

Before discussing our results, we must insert a discussion on bases. In our initial parameter set at the GUT scale [cf. Eq. (97)], the \mathcal{R}_p couplings are given in the weak-current eigenstate basis. Similarly, the Higgs-Yukawa coupling matrices $\mathbf{Y}_E, \mathbf{Y}_D, \mathbf{Y}_U$ and the corresponding mass matrices are also given in this basis, i.e., in general they are not diagonal. The matrices are diagonalized by rotating the left- and right-handed charged lepton and quark fields from the weak basis (w) to the mass basis (m),

$$(e_{L,R}^m)_i = (\mathbf{E}_{L,R})_{ij} (e_{L,R}^w)_j, \quad (105)$$

$$(u_{L,R}^m)_i = (\mathbf{U}_{L,R})_{ij} (u_{L,R}^w)_j, \quad (106)$$

$$(d_{L,R}^m)_i = (\mathbf{D}_{L,R})_{ij} (d_{L,R}^w)_j. \quad (107)$$

In general, the rotation of the left-handed fields (e.g., \mathbf{U}_L) is different from the right-handed fields (\mathbf{U}_R). In the weak basis, due to the nondiagonal elements in $\mathbf{Y}_E, \mathbf{Y}_D, \mathbf{Y}_U$, the RGEs for different \mathcal{R}_p couplings are coupled. Thus given one coupling at M_X in the weak basis, we will in general generate an entire set at M_Z (in the weak basis). In order to perform this computation, we must know the explicit form for the Higgs-Yukawa matrices. However, experimentally all we know is the CKM matrix at the weak scale,

$$\mathbf{V}_{\text{CKM}} = \mathbf{U}_L^\dagger \mathbf{D}_L, \quad (108)$$

as well as the diagonal matrices in the mass eigenstate basis,

$$[\mathbf{m}_d]_{\text{diag}}(M_Z) = \text{diag}(m_d, m_s, m_b)(M_Z), \quad (109)$$

$$[\mathbf{m}_u]_{\text{diag}}(M_Z) = \text{diag}(m_u, m_c, m_t)(M_Z). \quad (110)$$

For \mathbf{V}_{CKM} , we use the central values of the mixing angles in the ‘‘standard’’ parametrization detailed in Ref. [85],

$$s_{12} = 0.2195, \quad s_{23} = 0.039, \quad s_{13} = 0.0031. \quad (111)$$

We neglect the CP -violating phase $\delta_{13} = 0$.

In order to perform the computation, we shall make the following simplifying assumptions.

(i) Due to the uncertainty concerning the neutrino masses and mixings, we shall assume here that \mathbf{Y}_E is diagonal in the weak current basis and thus

$$(\mathbf{E}_{L,R})_{ij} = \delta_{ij}. \quad (112)$$

We shall return to the discussion of massive neutrinos and their mixings in our framework in a future publication.

(ii) We shall assume that $\mathbf{Y}_{D,U}$ are real and symmetric. Thus $\mathbf{U}_L = \mathbf{U}_R$ and $\mathbf{D}_L = \mathbf{D}_R$.

(iii) When determining bounds below, we consider three extreme cases: (a) no-mixing, (b) the mixing is only in the down quark sector, (c) the mixing is only in the up-quark sector. This corresponds to

$$\begin{aligned} \text{(a)} \quad & \mathbf{D}_{L,R} = \mathbf{1}, \quad \mathbf{U}_{L,R} = \mathbf{1}, \\ \text{(b)} \quad & \mathbf{D}_{L,R} = \mathbf{V}_{\text{CKM}}, \quad \mathbf{U}_{L,R} = \mathbf{1}, \\ \text{(c)} \quad & \mathbf{U}_{L,R} = \mathbf{V}_{\text{CKM}}, \quad \mathbf{D}_{L,R} = \mathbf{1}. \end{aligned} \quad (113)$$

In these three scenarios, the mass matrices at the weak scale and in the weak current basis are then given by

$$\begin{aligned} \text{(a)} \quad & \mathbf{m}_d(M_Z) = [\mathbf{m}_d]_{\text{diag}}(M_Z), \\ & \mathbf{m}_u(M_Z) = [\mathbf{m}_u]_{\text{diag}}(M_Z), \\ \text{(b)} \quad & \mathbf{m}_d(M_Z) = \mathbf{V}_{\text{CKM}}^* [\mathbf{m}_d]_{\text{diag}}(M_Z) \cdot \mathbf{V}_{\text{CKM}}^T, \\ & \mathbf{m}_u(M_Z) = [\mathbf{m}_u]_{\text{diag}}(M_Z), \\ \text{(c)} \quad & \mathbf{m}_d(M_Z) = [\mathbf{m}_d]_{\text{diag}}(M_Z), \\ & \mathbf{m}_u(M_Z) = \mathbf{V}_{\text{CKM}}^* [\mathbf{m}_u]_{\text{diag}}(M_Z) \cdot \mathbf{V}_{\text{CKM}}^T. \end{aligned} \quad (114)$$

Thus in each scenario, the matrices $\mathbf{m}_d(M_Z), \mathbf{m}_u(M_Z)$ are determined uniquely in terms of their eigenvalues and the CKM matrix.

The Higgs-Yukawa matrices $\mathbf{Y}_{D,U}$ are proportional to the mass matrices. Therefore, in each scenario of Eqs. (113), (114) the RGEs are fully determined. Given a set of \mathcal{R}_p couplings at M_X (of which we will only choose one here to be nonzero), we can then compute the \mathcal{R}_p couplings (including κ_i) at the weak scale in the weak current basis. Given the full set of parameters at M_Z , we can diagonalize the neutrino/neutralino mass matrix in Eq. (81) and compute the neutrino mass. For a check this neutrino mass should be identical with the one derived in Eq. (86). We can then use the experimental bound on the neutrino mass, Eq. (99), to determine a bound on the \mathcal{R}_p coupling, *in the weak current basis*.

For comparison with experiment we must rotate to the quark mass eigenstate bases in scenarios (b) and (c), Eq. (113). To do this, we follow the procedure of Ref. [90]. For scenario (b), with all the mixing in the down-quark sector, we obtain the \mathcal{R}_p interactions for the superfields in the quark mass eigenbasis,

$$\begin{aligned} \mathcal{W}_{\mathcal{R}_p}^{(a)} \supset & \lambda'_{ijk} (V_{\text{CKM}}^\dagger)_{mk} [N_i (V_{\text{CKM}})_{jl} D_l - E_i U_j] \bar{D}_m \\ & + \frac{1}{2} \lambda''_{ijk} (V_{\text{CKM}}^\dagger)_{mj} (V_{\text{CKM}}^\dagger)_{nk} \bar{U}_i \bar{D}_m \bar{D}_n. \end{aligned} \quad (115)$$

Referring to Eq. (115), we define the rotation of the couplings to the quark mass basis (denoted with a tilde),

$$\tilde{\lambda}'_{ijk} = \lambda'_{ijm} (V_{\text{CKM}}^*)_{mk}, \quad (116)$$

$$\tilde{\lambda}''_{ijk} = \lambda''_{imn} (V_{\text{CKM}}^*)_{mj} (V_{\text{CKM}}^*)_{nk}. \quad (117)$$

For scenario (c), with all mixing in the up sector, and the superfields in the quark mass eigenstate basis, the superpotential terms are

TABLE IV. Upper bounds upon trilinear λ couplings for SPS1a at the weak scale M_Z and at the GUT scale M_{GUT} . Input parameters are given in the text. A superscript of t, ν denotes the fact that the strongest bound comes from the absence of tachyons or neutrino masses, respectively.

	M_{GUT}	M_Z
λ_{121}	0.080^ν	0.12
λ_{131}	0.080^ν	0.12
λ_{231}	0.55^t	0.61
λ_{122}	$4.4 \times 10^{-4\nu}$	6.7×10^{-4}
λ_{132}	0.55^t	0.61
λ_{232}	$4.4 \times 10^{-4\nu}$	6.6×10^{-4}
λ_{123}	0.50^t	0.58
λ_{133}	$2.6 \times 10^{-5\nu}$	3.9×10^{-5}
λ_{233}	$2.6 \times 10^{-5\nu}$	3.9×10^{-5}

$$\begin{aligned} \mathcal{W}_{\mathcal{R}_p}^{(b)} \supset & \lambda'_{ijk} [N_i D_j - E_i U_l (V_{\text{CKM}}^\dagger)_{jl}] \bar{D}_k \\ & + \frac{1}{2} \lambda''_{ijk} (V_{\text{CKM}})_{li} \bar{U}_l \bar{D}_j \bar{D}_k. \end{aligned} \quad (118)$$

This implies the rotation of \mathcal{R}_p couplings,

$$\tilde{\lambda}'_{ijk} = \lambda'_{ilk} (V_{\text{CKM}}^*)_{jl}, \quad (119)$$

$$\tilde{\lambda}''_{ijk} = \lambda''_{ijk} (V_{\text{CKM}})_{il}, \quad (120)$$

where in the first term we have taken the rotation of the EUD term.

Another set of bounds applied on the \mathcal{R}_p couplings λ'_{ijk} arises from the requirement of no sneutrino tachyons, i.e., we require the physical mass $m_\nu^2 \geq 0$. The resulting bound has been observed first by de Carlos and White [9] and can be estimated as

$$\sum_{jk} \lambda_{ijk}^{\prime 2} (M_X) < \frac{m_0^2 + 0.5M_{1/2}^2 + \frac{1}{2} M_Z^2 \cos 2\beta}{13m_0^2 + 49M_{1/2}^2 - \frac{3}{2} A_0 M_{1/2} - 12A_0^2}. \quad (121)$$

For the SPS1a benchmark scenario this bound sets *all* $\lambda'_{ijk}(M_X)$ to be less than 0.13, in good agreement with the exact numerical solutions of the RGEs in Table II below.

3. Discussion of the bounds

Table III displays the strongest upper bounds upon trilinear λ' couplings coming either from the neutrino mass constraint or the absence of tachyons at MSUGRA point SPS1a as described in Sec. VII A 1 above. The different bounds coming from altering the quark mixing assumption are displayed. In each case, the upper bound at M_{GUT} is shown in the weak eigenbasis, and the corresponding bound is obtained when the couplings and masses of the MSSM are run down to M_Z and rotated to the quark mass eigenbasis as in Eqs. (116), (117), (119), and (120). Neglecting quark mixing, we see that some of the bounds come from the absence of

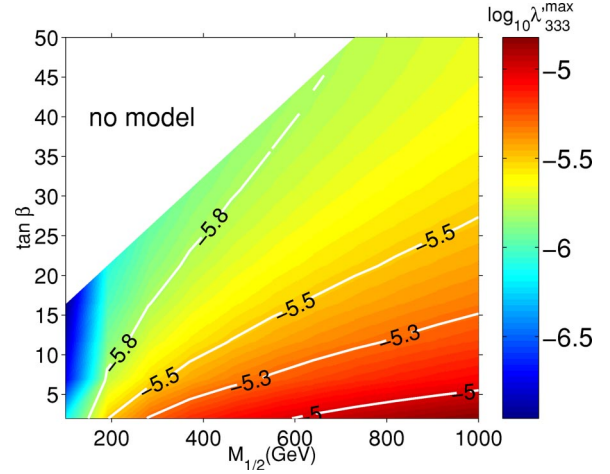


FIG. 1. Upper bound upon $\lambda'_{333}(M_{\text{GUT}})$ as a function of the no-scale MSUGRA parameter point, assuming all quark mixing resides in the down sector at the weak scale. The background color displays the bound as measured by the bar on the right-hand side. Contours of isobound are also shown. In the top left-hand white region there is no tachyon-free model for any value of the coupling.

tachyons, and allow large couplings of around 0.4 at M_Z . However, for λ'_{ijj} , the diagonal components of \mathbf{Y}_D produce a nonzero κ through the RGEs, which in turn generates a neutrino mass. These bounds are much stronger and are of order $O(10^{-3} - 10^{-5})$. It should be noted that the neutrino bounds are sensitive to the down-quark mass inputs, because the RGEs generate κ proportional to \mathbf{Y}_D . When the CKM mixing is assumed to be in the up-quark sector, $\lambda'_{i23}, \lambda'_{i13}$, and λ'_{i32} acquire stronger bounds coming from neutrino masses because the larger up-quark Yukawa couplings in \mathbf{Y}_U also begin to mix the \mathbf{Y}_D through the RGEs. When all down quarks are mixed at M_Z , *any* λ'_{ijk} produces κ terms and therefore a nonzero neutrino mass. In this case, all of the bounds are strong: $O(10^{-3} - 10^{-5})$.

Table IV shows the equivalent bounds for the λ parameters. These bounds are *not* sensitive to assumptions about quark mixing because the RGE generation of κ proceeds through the charged-lepton Yukawa couplings, which we have assumed to be diagonal in the weak basis at M_Z . Changing this assumption should drastically change the presented results. We see that three of the nine λ couplings are not very strongly constrained; they are allowed to be $O(1)$. If the \mathbf{Y}_E were strongly mixed, this would no longer be the case and the neutrino mass constraint would provide stronger constraints, which we expect to be at the level of $O(10^{-1}) - O(10^{-5})$, similar to the six couplings that are constrained by neutrino masses in Table IV.

We may ask how much the bounds in Tables III and IV depend upon the supersymmetry breaking parameters. In order to investigate this issue, we scan over the parameters of the no-scale MSUGRA [77], a simple hypersurface of MSUGRA parameter space where $m_0 = A_0 = 0$. The remaining parameters ($\tan \beta$ and $M_{1/2}$) are varied in Fig. 1 and the maximum possible value of $\log[\lambda'_{333}(M_{\text{GUT}})]$ is displayed as the background color, as referenced by the bar on the right-hand side. The white region marked “no model” has tachy-

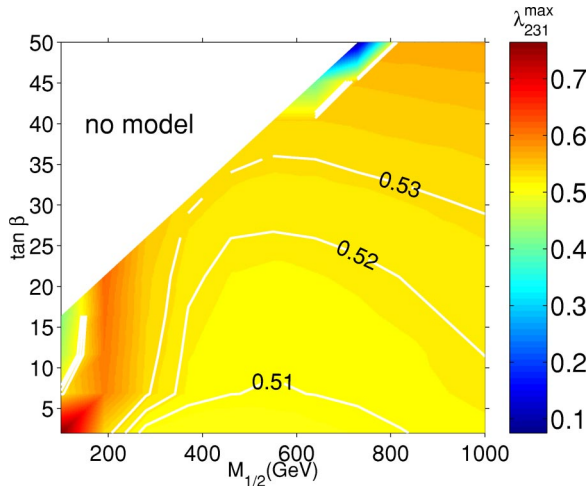


FIG. 2. Upper bound upon $\lambda_{231}(M_{\text{GUT}})$ as a function of the no-scale MSUGRA parameter point. The background color displays the bound as measured by the bar on the right-hand side. Contours of isobound are also shown. In the top left-hand white region there is no tachyon-free model for any value of the coupling.

ons for any value of λ'_{333} and so is not valid. White contours of $\lambda'_{333}(\text{max})=10^{-5}$, $10^{-5.3}$, $10^{-5.5}$, and $10^{-5.8}$ are shown from bottom to top, respectively. The strongest bound comes from the neutrino mass constraint, and we see a variation of two orders of magnitude on the bound across the parameter space, the strongest bounds coming from the low $M_{1/2}$ region. The reader should note the $M_{1/2}$ dependence of the neutrino mass in the simple formula Eq. (88). This strong variation of the neutrino bound is also apparent for the case of other λ' couplings. Figure 2 shows the variation of the upper bound on $\lambda_{231}(M_{\text{GUT}})$ with the no-scale MSUGRA parameter point. The strongest bound comes from the no-tachyon constraint, and we see only a small variation of the bound across the parameter space, the strongest bounds coming from the high $\tan\beta$ region, at low $M_{1/2}$. [Recall the $M_{1/2}$ sensitivity in Eq. (121).] The behavior of small variation in the tachyon bound with supersymmetry breaking parameters is replicated for other lepton-number violating couplings. The weak bound of ≈ 0.5 over much of the parameter space is dependent upon the no-charged lepton mixing at the M_Z assumption.

It is instructive to compare the bounds derived here in a representative scenario of MSUGRA in Tables III and IV with the 2σ bounds at M_Z collected in Table 1 in Ref. [18] for a rather generic R -parity violating scenario. For comparison we choose the no-mixing scenario, i.e., case (a) in Eqs. (113), (114) and squark and slepton masses of order of 100 GeV in the latter. For the $\lambda'_{ijk}L_iQ_j\bar{D}_k$ couplings, we obtain here a one order of magnitude improvement for λ'_{211} , two orders of magnitude for $\lambda'_{311}, \lambda'_{122}$, three orders of magnitude for λ'_{133} , four orders of magnitude for $\lambda'_{222}, \lambda'_{322}$, five and up to six orders of magnitude for $\lambda'_{233}, \lambda'_{333}$. The sneutrino tachyon constraint of Eq. (121) sets slightly stronger bounds on the couplings $\lambda'_{323}, \lambda'_{223}, \lambda'_{232}, \lambda'_{132}, \lambda'_{331}$. In the case of the \mathcal{R}_p couplings $\lambda_{ijk}L_iL_jE_k$ we obtain two order of magnitude stronger bounds than in Ref. [18] for the

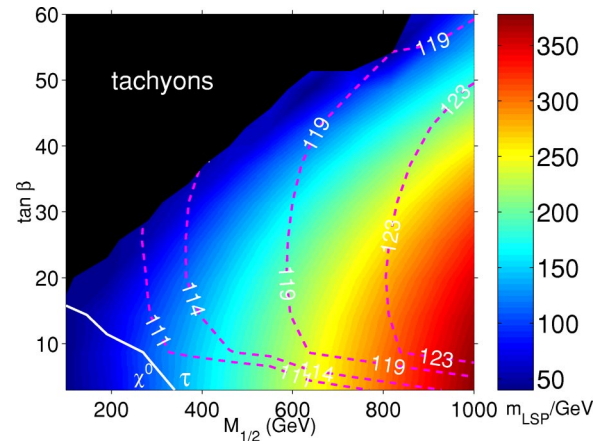


FIG. 3. No-scale supergravity in the R -parity conserved limit. Labeled constraints coming from tachyons are shown. The background color displays the LSP mass, which can be read off from the bar on the right-hand side. Dashed contours are contours of lightest Higgs mass. The white line delineates the labeled regions of $\tilde{\tau}$ LSP and χ_1^0 LSP.

couplings: $\lambda_{122}, \lambda_{322}, \lambda_{133}, \lambda_{233}$. Sneutrino tachyons do not set better limits in this case. Comparison of the quark mixing cases (b) or (c) of Eqs. (113), (114) derived in Table III with Table IV of Ref. [18] show similar orders of magnitude, but stronger bounds for some of the couplings.

B. LSP content in the no-scale model

As outlined in the Introduction, in \mathcal{R}_p MSUGRA the \mathcal{R}_p couplings can affect the weak-scale particle mass spectrum via the RGEs. They can also affect the interpretation of the resulting spectrum, since with \mathcal{R}_p the LSP is no longer stable, and thus no longer subject to cosmological constraints on stable relics. In the \mathcal{R}_p MSUGRA the LSP need not be electrically and color-neutral. Before discussing the \mathcal{R}_p case, we briefly review the RPC case.

1. The RPC case

To begin with, we perform the scan in the free parameters $M_{1/2}$ and $\tan\beta$ in R -parity conserved no-scale MSUGRA. The LSP mass and contours of equal lightest-Higgs mass are displayed in Fig. 3. The background color displays the LSP mass according to the scale on the right-hand side of the plot. The region disallowed by tachyons is shown in black. In the bottom left-hand side of the plot is a white line which shows the boundary of the LSP identity. Below the line, the LSP is the lightest neutralino, whereas above it the LSP is a right-handed stau. A charged LSP is ruled out in the R -parity conserved scenario from cosmological constraints, and so the entire region above the white line is ruled out. This bound comes from limits on abundances of anomalously heavy isotopes [31]. LEP2 [91] places a lower bound on the Standard Model Higgs mass of $m_h > 114.4$ GeV. This can also be applied to the MSSM Higgs boson when $\sin(\alpha-\beta) \approx 1$, which is the case in all of our results. The theoretical uncertainty upon the lightest Higgs mass is estimated to be ± 3 GeV [92], so we place a cautious lower bound on SOFTSUSY's

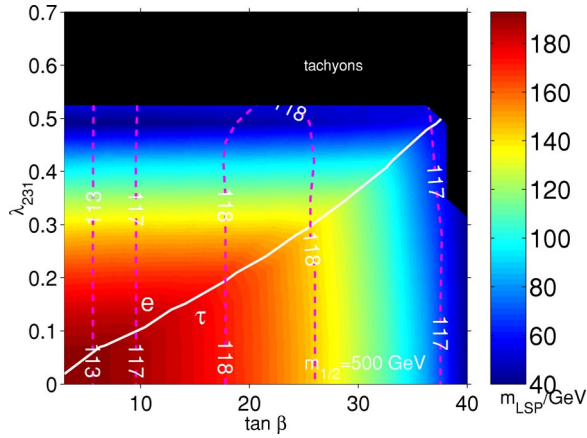


FIG. 4. LSP content of no-scale MSUGRA for $M_{1/2} = 500$ GeV, λ_{231} nonzero at M_{GUT} , and weak-scale mixing entirely in the down quarks. The mass of the LSP is displayed in the background and corresponds to the bar on the right-hand side. Regions ruled out by the presence of tachyons are in black. The white line delineates labeled regions of different LSP content (e for selectrons and τ for staus). The dashed lines display contours of equal lightest Higgs mass.

prediction of 111 GeV. Even so, we see from Fig. 3 that there is no parameter space left with both a heavy enough Higgs and a neutral LSP. Thus no-scale supergravity is ruled out for the R -parity conserved MSSM. However, even a very tiny R_p coupling will make the LSP unstable on cosmological time scales and the neutral LSP constraint is then no longer applicable. For small couplings $< O(0.1)$, the spectrum can be approximated by the R -parity conserved case, and so Fig. 3 can still be used. We see that the entire region above the Higgs mass contour of 111 GeV would be allowed, for stau LSP masses above 96 GeV [93].

2. The R_p case

We now map out some parts of no-scale MSUGRA for $M_{1/2} = 500$ GeV. Because we wish to show the effects of R -parity violation on the spectrum, we pick cases where the upper bound on the R_p trilinear coupling is weak. This obviously occurs when the tachyon bound is the stronger of the two we have shown in Tables III and IV. We display one λ -type coupling (Fig. 4), one of type λ' (Fig. 5), and one of type λ'' (Fig. 6).

Figure 4 shows the variation of the nature of the LSP with $\tan \beta$ and $\lambda_{231}(M_{\text{GUT}})$. The case (b) of Eqs. (113), (114) is considered. For $M_{1/2} = 500$ GeV, as assumed here, we see from the equal Higgs mass contours that the lower bound of 111 GeV on the lightest-Higgs mass does not pose a very severe constraint for $\tan \beta > 3$. The LSP mass varies up to 190 GeV in the plane, but this value is a function of $M_{1/2}$. The diagonal white line separates regions of selectron LSP (above the white line) and stau LSP (below the white line). Note that there is an independent (2σ) bound for the coupling λ_{231} from the known ratios $R_\tau = \Gamma(\tau \rightarrow e \nu \bar{\nu}) / \Gamma(\tau \rightarrow \mu \nu \bar{\nu})$ corresponding to [18,94]: $\lambda_{231}(M_{\text{GUT}}) < 0.046 \times (m_{e_R}^- / 100 \text{ GeV})$. Comparing this bound with the nature of

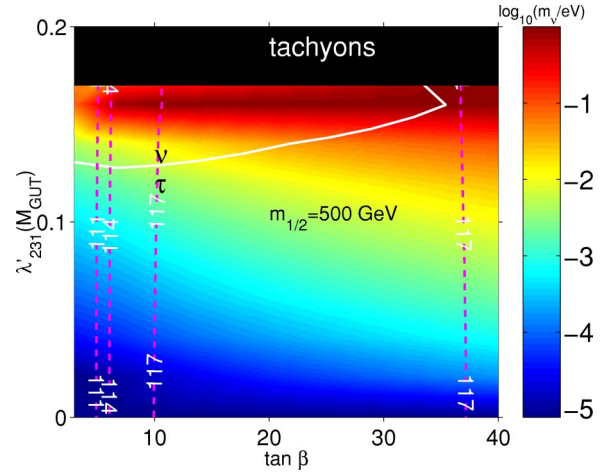


FIG. 5. LSP content of no-scale MSUGRA for $M_{1/2} = 500$ GeV, λ'_{231} nonzero at M_{GUT} , and weak-scale mixing entirely in the up quarks. The logarithm of the mass of the heaviest neutrino is displayed in the background and corresponds to the bar on the right-hand side. Regions ruled out by the presence of tachyons are in black. The white line delineates labeled regions of different LSP content. The dashed lines display contours of equal lightest Higgs mass.

the LSP in Fig. 4, we observe that the scalar tau LSP is favored for $\tan \beta \geq 4$ unless the above laboratory bound is evaded by taking $M_{1/2} \geq 500$ GeV.

In Fig. 5, we show the variation of the nonzero neutrino mass in the $\tan \beta - \lambda'_{231}(M_{\text{GUT}})$ plane. Neutrino masses provide the upper bound upon λ'_{231} for mixing in the up-quark sector [case (c) in Eqs. (113), (114)], as assumed here. For larger values of $\lambda'_{231} \approx 0.15$, neutrino masses of $O(0.1 \text{ eV})$ are possible. In this case, above the white line, the LSP is a tau sneutrino, and below it the LSP is the stau. The laboratory bound for the coupling $\lambda'_{231}(M_{\text{GUT}})$ reads [18,94]:

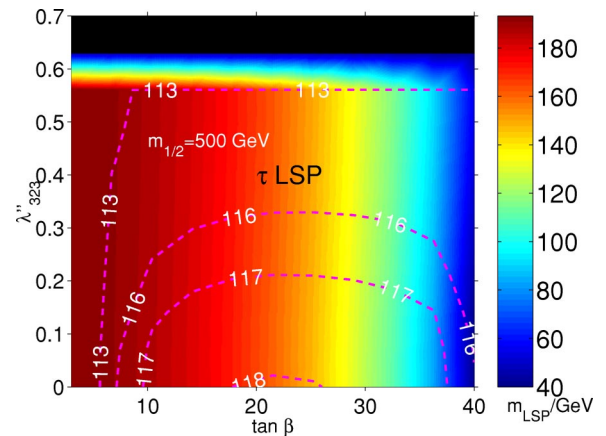


FIG. 6. LSP content of no-scale MSUGRA for $M_{1/2} = 500$ GeV, λ''_{323} nonzero at M_{GUT} , and weak-scale quark mixing in the down sector. The mass of the LSP is displayed in the background and corresponds to the bar on the right-hand side. Regions ruled out by the presence of tachyons are in black. There is a stau LSP throughout all of the parameter space. The dashed lines display contours of equal lightest Higgs mass.

$\lambda'_{231}(M_{\text{GUT}}) < 0.057 \times (m_{\tilde{b}_L}/100 \text{ GeV})$, and since we find that for the inputs of Fig. 5 the bottom squark mass is about 1.2 TeV, the laboratory bound is evaded: the stronger bound on λ'_{231} comes from the sneutrino tachyon, as is shown in the upper half of Fig. 5.

Finally, we investigate the case of baryon number violation. The case (b) of Eqs. (113), (114) is considered. Figure 6 shows how the no-scale MSUGRA LSP mass varies with $\tan\beta$ and $\lambda''_{323}(M_{\text{GUT}})$. There is little variation with the \mathcal{R}_p coupling, contrary to the lightest Higgs mass, which is displayed in the form of contours. The previous bound on λ''_{323} (see Table IV of bound [18]) apart from the theoretical perturbativity bound comes from the leptonic Z -width ratio and is $\lambda''_{323}(M_{\text{GUT}}) < 0.015$ for quark mixing solely in the down-quark sector, and with a little variation from $M_{1/2}$. We observe from Fig. 6 that the stau is again the LSP.

We have exhibited, in Figs. 3–6, viable regions of MSSM parameter space where the LSP is the selectron, the stau, or the stau sneutrino. Different LSP content drastically alters the collider signatures of the models. The analysis above showed a preference to the stau being the LSP. We discuss this in some more detail in Sec. VIII, below.

C. Sneutrino-antisneutrino mixing with stau LSP

Models which violate lepton number by two units ($\Delta L = 2$) and generate neutrino masses also result in a mass splitting of scalar neutrinos and antineutrinos of the same flavor usually referred to in the literature as sneutrino-antisneutrino mixing [95–97]. If the sneutrino mass difference $\Delta m_{\tilde{\nu}} = m_{\tilde{\nu}_+} - m_{\tilde{\nu}_-}$ is large and the sneutrino branching ratio into a charged lepton is experimentally significant, then a like sign-dilepton signal in $e^+e^- \rightarrow \tilde{\nu}_- \tilde{\nu}_+$ with $\tilde{\nu} \rightarrow l^- + X$ could be observed [95]. Like the B -meson mass splitting, the observability of the sneutrino mixing effects depends on the ratio

$$x_{\tilde{\nu}} \equiv \frac{\Delta m_{\tilde{\nu}}}{\Gamma_{\tilde{\nu}}}, \quad (122)$$

where $\Gamma_{\tilde{\nu}}$ is the total sneutrino decay rate. As we have already seen from Figs. 4–6, in the no-scale scenario the stau, $\tilde{\tau}$, is the LSP when the \mathcal{R}_p couplings are small. In this (approximately RPC) case, the specific flavor $\ell = (e, \mu)$ sneutrino $\tilde{\nu}_\ell$ decays, via charginos and neutralinos, into $\tilde{\nu}_\ell \rightarrow \ell^- \tilde{\tau}^+ \nu_\tau$ and $\tilde{\nu}_\ell \rightarrow \nu_\ell \tilde{\tau}^+ \tau^+$. In this case, the probability of tagging a like-sign dilepton in the process $\tilde{\nu}_\ell \rightarrow l^- \tilde{\tau}^+ \nu_\tau$ is $\mathcal{P}(\ell^\pm \ell^\pm) = \mathcal{P}(\ell^+ \ell^+) + \mathcal{P}(\ell^- \ell^-)$ with [95]

$$\mathcal{P}(\ell^\pm \ell^\pm) = \frac{x_{\tilde{\nu}}^2}{2(1+x_{\tilde{\nu}}^2)} [\mathcal{B}(\tilde{\nu}_\ell \rightarrow \ell^- \tilde{\tau}^+ \nu_\tau)]^2. \quad (123)$$

We investigate below the magnitude of this probability in the no-scale model with $M_{1/2} = 500 \text{ GeV}$ and $\tan\beta = 20$ and with one dominant \mathcal{R}_p coupling $\lambda'_{122}(M_{\text{GUT}}) = 7.5 \times 10^{-5}$. Furthermore, we consider no-quark mixing in determining the

relevant bounds from the neutrino masses. In this model, the stau is the LSP. We first calculate the sneutrino mass squared difference

$$\Delta m_{\tilde{\nu}}^2 = \frac{\Delta m_{\tilde{\nu}}^2}{2m_{\tilde{\nu}}} = \frac{m_{\tilde{\nu}_+}^2 - m_{\tilde{\nu}_-}^2}{2m_{\tilde{\nu}}}, \quad (124)$$

where $m_{\tilde{\nu}}$ is the average mass of $m_{\tilde{\nu}_\pm}$. The sneutrino mass difference has been calculated in Ref. [98] in a general basis-independent manner. With our choice λ'_{122} we generate at the electroweak scale the nonzero \mathcal{R}_p -parameter set: $v_1, \kappa_1, \tilde{D}_1, (\mathbf{m}_{H_1 \tilde{L}_1}^2)$. The other \mathcal{R}_p parameters remain zero [99]. This simplifies our calculation for the sneutrino mass splitting, since we can use the case of one sneutrino generation [the other two decouple from the mass matrices Eqs. (59), (61)]. The sneutrino mass splitting reads [98]

$$\Delta m_{\tilde{\nu}}^2 = - \frac{2\tilde{B}^2 M_Z^2 m_{\tilde{\nu}} \sin^2 \beta \sin^2 \delta}{(M_{H^0}^2 - m_{\tilde{\nu}}^2)(M_{h^0}^2 - m_{\tilde{\nu}}^2)(M_{A^0}^2 - m_{\tilde{\nu}}^2)}, \quad (125)$$

with

$$\cos \delta = \pm \frac{|v_d \tilde{B} + v_1 \tilde{D}_1|}{(v_d^2 + v_1^2)^{1/2} (\tilde{B}^2 + \tilde{D}_1^2)^{1/2}}. \quad (126)$$

Notice that Eq. (125) does not depend on the superpotential parameters, in contrast to the neutrino mass in Eq. (86). It is helpful to see the numerical values [100] for the parameters at the electroweak scale starting from the no-scale model defined by $M_{1/2} = 500 \text{ GeV}$, $\tan\beta = 20$, and $\lambda'_{122}(M_{\text{GUT}}) = 7.5 \times 10^{-5}$. We obtain $\tilde{B}(M_Z) = 33\,238 \text{ GeV}^2$, $m_{\tilde{\nu}} = 357 \text{ GeV}$, $M_{h^0} = 91 \text{ GeV}$, $M_{H^0} = 816 \text{ GeV}$, $M_{A^0} = 816 \text{ GeV}$, $v_d(M_Z) = 8.7 \text{ GeV}$, $v_1(M_Z) = -0.0012 \text{ GeV}$, $\tilde{D}_1 = -0.74 \text{ GeV}^2$, and $(\mathbf{m}_{H_1 \tilde{L}_1}^2) = 2.5 \text{ GeV}^2$. Applying these values to Eqs. (125), (126), we obtain $\sin^2 \delta = 1.3 \times 10^{-8}$ and $\Delta m_{\tilde{\nu}} = 2.5 \text{ eV}$. The sneutrino mass splitting is of the same order as the neutrino mass obtained from Eq. (86), since for $\mu(M_Z) = 817 \text{ GeV}$ and $\kappa_1(M_Z) = 3.5 \times 10^{-4} \text{ GeV}$ we have $m_\nu = 1.2 \text{ eV}$ [101].

In order to calculate the probability $\mathcal{P}(\ell^\pm \ell^\pm)$ we still need the total sneutrino decay rate and the branching ratio $\mathcal{B}(\tilde{\nu}_\ell \rightarrow \ell^- \tilde{\tau}^+ \nu_\tau)$. In the above scenario, the right-handed selectron of the third generation (we call it stau here, although it is in fact an admixture of the three charged sleptons with the charged Higgs boson states) is the LSP with a mass $m_{\tilde{\tau}} = 162 \text{ GeV}$. The rates for the chargino- and neutralino-mediated sneutrino decays (which we assume to be the dominant ones) are [95]

$$\Gamma(\tilde{\nu}_\ell \rightarrow \ell^- \tilde{\tau}^+ \nu_\tau) = \frac{g_2^4 m_{\tilde{\nu}}^3 m_\tau^2 \tan^2 \beta f_{\chi^+} (m_{\tilde{\tau}}^2 / m_{\tilde{\nu}}^2)}{1536 \pi^3 (M_W^2 \sin 2\beta - M_2 \mu)^2},$$

$$\Gamma(\tilde{\nu}_\ell \rightarrow \nu_\ell \tilde{\tau}^\pm \tau^\mp) = \frac{g^4 m_\nu^5 f_{\chi^0} (m_\tau^2/m_\nu^2)}{3072 \pi^3 M_1^4}, \quad (127)$$

with

$$f_{\chi^+}(x) = (1-x)(1+10x+x^2) + 6x(1+x) \ln x, \\ f_{\chi^0}(x) = 1 - 8x + 8x^3 - x^4 - 12x^2 \ln x. \quad (128)$$

In the no-scale model under consideration, we obtain $M_1(M_Z) = 206$ GeV and $M_2(M_Z) = 411$ GeV with the gauge couplings $g(M_Z) = 0.3574$ and $g_2(M_Z) = 0.6525$. Thus from Eq. (127) we obtain $\Gamma(\tilde{\nu}_\ell \rightarrow \ell^- \tilde{\tau}^+ \nu_\tau) = 210$ eV and $\Gamma(\tilde{\nu}_\ell \rightarrow \nu_\ell \tilde{\tau}^\pm \tau^\mp) = 1.2 \times 10^5$ eV. So $x_\nu = 2 \times 10^{-5}$ and $\mathcal{B}(\tilde{\nu}_\ell \rightarrow \ell^- \tilde{\tau}^+ \nu_\tau) = 1.7 \times 10^{-3}$. We conclude that in this numerical example the probability for like-sign dileptons, Eq. (123), is $\mathcal{P}(\ell^\pm \ell^\pm) = 6 \times 10^{-16}$, far too small to be observable. Of course this result depends on the parameter space and the probability $\mathcal{P}(\ell^\pm \ell^\pm)$ is bigger for smaller values of $M_{1/2}$ and larger $\tan \beta$ values [see Eq. (127)]. However, if we take into account the current experimental data, $M_{1/2} \gtrsim 200$, then $\mathcal{P}(\ell^\pm \ell^\pm) \lesssim 10^{-9}$. We obtain similar results for the other \mathcal{R}_p couplings.

The above benchmark computation can be helpful to the reader in order understand the typical magnitude of the parameters we are dealing with in this paper.

VIII. STAU-LSP PHENOMENOLOGY

As discussed in Sec. I, in the case of \mathcal{R}_p , the LSP need not be the lightest neutralino, $\tilde{\chi}_1^0$. In the previous section we have investigated the nature of the LSP in the MSUGRA scenario and have found regions in parameter space with different LSP's. In Fig. 4, we have a selectron or stau LSP, in Fig. 5 we have found a tau sneutrino or a stau, and in Fig. 6 we have found a stau LSP. The bounds in Table III imply that if there is any appreciable CKM mixing in the down-quark sector at the weak scale, λ'_{ijk} must be very small. We also see some strict bounds upon the λ_{ijk} in Table IV. If the \mathcal{R}_p couplings are very small, the spectrum has negligible perturbation from the R -parity conserved case, the LSP content of which is displayed in Fig. 3. The allowed parameter space with $m_{h^0} > 111$ GeV in Fig. 3 then leads to a stau LSP. Thus we see a preference for a stau LSP in many no-scale R -parity violating scenarios.

In the RPC MSSM, the collider phenomenology relies crucially on the $\tilde{\chi}_1^0$ LSP, with all produced sparticles decaying in the detector to $\tilde{\chi}_1^0$ plus other \mathcal{R}_p -even particles. This results in missing transverse energy as a typical signature for all production processes. In the \mathcal{R}_p MSSM, the RGEs and thus the spectrum are altered. This changes the decay chains. Since typically all decay chains end in the LSP, the nature of the LSP is essential in determining the supersymmetric signatures. A detailed investigation is beyond the scope of this paper. We shall focus here on a classification of the signatures for the main production processes in the case of a stau LSP.

A. Stau decays

The following discussion of the stau LSP is somewhat analogous to the discussion in Ref. [34] for the $\tilde{\chi}_1^0$ LSP. In determining the final-state signature, it is important to know how the stau LSP decays. We shall assume that there is a hierarchy among the \mathcal{R}_p -coupling constants with one dominant coupling, similar to the SM Yukawa couplings in the mass eigenstate basis. We furthermore assume the mixing due to κ_i is small, as seen in the previous sections of this paper. Then there are two important distinct cases.

(i) *The stau couples to the dominant operator.* The dominant operator is in the set $\{L_{e,\mu} L_\tau \bar{E}_{e,\mu,\tau}, L_e L_\mu \bar{E}_\tau, L_\tau Q_i \bar{D}_j\}$. In this case, the stau simply decays via the two-body mode. For the dominant operator $L_\tau Q_1 \bar{D}_1$, for example, we then obtain [102]

$$\Gamma(\tilde{\tau}^- \rightarrow \bar{u} + d) = \frac{N_c \lambda_{311}'^2 M_\tau}{16\pi}, \quad (129)$$

where $N_c = 3$ is the number of colors. The complete list of \mathcal{R}_p , two-body decays is given in Ref. [102]. For a recent treatment of two-body stau decays, also see [37]. For the above two-body decay mode, the decay length is given by

$$c \tau_\tau = 3.3 \times 10^{-11} \text{ m} \left(\frac{10^{-3}}{\lambda'_{311}} \right)^2 \left(\frac{100 \text{ GeV}}{M_\tau} \right) \quad (130)$$

which in an experiment must be multiplied by the relevant Lorentz boost factor γ_L of the stau. Only for very small coupling ($\lambda' \lesssim 10^{-7}$) is the decay length relevant.

(ii) *The stau does not couple to the dominant operator.* The dominant operator is in the set $\{L_e L_\mu \bar{E}_{e,\mu}, L_{e,\mu} Q_i \bar{D}_j, \bar{U}_i \bar{D}_j \bar{D}_k\}$. In this case the $\tilde{\tau}$ decays via a four-body mode. For the operator $L_\mu Q_1 \bar{D}_1$ there are four decay modes via the neutralino,

$$\tilde{\tau}^- \rightarrow \tau^- + (\tilde{\chi}_1^0)^* \rightarrow \tau^- + \begin{cases} \mu^- + u + \bar{d} \\ \mu^+ + \bar{u} + d \\ \nu_\mu + d + \bar{d} \\ \bar{\nu}_\mu + d + \bar{d}, \end{cases} \quad (131)$$

and three decay modes via the chargino,

$$\tilde{\tau}^- \rightarrow \nu_\tau + (\tilde{\chi}_1^-)^* \rightarrow \nu_\tau + \begin{cases} \mu^- + d + \bar{d} \\ \mu^- + u + \bar{u} \\ \nu_\mu + d + \bar{u}. \end{cases} \quad (132)$$

As an example, we compute here the decay $\tilde{\tau}^- \rightarrow \tau^- \mu^- u \bar{d}$. The details of the computation, in particular the four-body phase space, are given in Appendix D. The result is

$$\begin{aligned} \Gamma(\tilde{\tau}^- \rightarrow \tau^- \mu^+ \bar{u}d) &= \frac{KN_c \lambda'^2 |a_\tau|^2}{2^5 \pi^5 M_\chi^2 \tilde{m}^4} M_\tau^7 (|b_\mu|^2 + |b_u|^2 + |a_d|^2) \\ &\quad - b_\mu b_u^* + b_\mu a_d^* + b_u a_d^*) \\ &\approx \frac{KN_c \lambda'^2 g^4}{2^3 \pi^5 M_\chi^2 \tilde{m}^4} M_\tau^7, \end{aligned} \quad (133)$$

where $K = 1/(720 \times 2^5) = 1/23\,040$. $a_{\tau,d}, b_{\mu,u}$ are neutralino coupling constants given in Appendix D. M_χ is the neutralino mass and \tilde{m} is the universal scalar fermion mass. We have assumed massless final-state particles and neglected the momenta compared to M_χ, \tilde{m} . In the last step, we have set the couplings $a_{\tau,d} = b_{\mu,u} = g$, the weak-coupling constant. If the four-body decay is the dominant decay mode, the decay length can be estimated as

$$\begin{aligned} c \tau_{\tilde{\tau}^-} &= 6.210^{-6} \text{ m} \left(\frac{10^{-3}}{\lambda'} \right)^2 \left(\frac{M_\chi}{100 \text{ GeV}} \right)^2 \\ &\quad \times \left(\frac{\tilde{m}}{100 \text{ GeV}} \right)^4 \left(\frac{100 \text{ GeV}}{M_{\tilde{\tau}^-}} \right)^7. \end{aligned} \quad (134)$$

For reasonable supersymmetric masses and couplings, this could lead to detached vertices in the detector. This is a very promising signature for the stau LSP.

If the two-body decay is allowed, i.e., the relevant coupling is not suppressed, it usually dominates over the four-body decay. In order to estimate the required hierarchy of couplings for the four-body decay to be relevant, we consider the ratio

$$\frac{\Gamma_4(\tilde{\tau}^- \rightarrow \tau^- \mu^+ \bar{u}d)}{\Gamma_2(\tilde{\tau}^- \rightarrow \bar{u}d)} = O\left(\frac{\lambda'_{211}}{\lambda'_{3ij}} \frac{2Kg^4 M_{\tilde{\tau}^-}^6}{\pi^4 M_\chi^2 \tilde{m}^4} \right) > 1. \quad (135)$$

Assuming the sparticle masses are roughly equal, this corresponds to $\lambda'_{211}/\lambda'_{3ij} \gtrsim O(10^3)$ for the four-body decay mode to dominate over the two-body one. If, for example, $M_\chi = \tilde{m} = 2M_{\tilde{\tau}^-}$, we obtain $\lambda'_{211}/\lambda'_{3ij} \gtrsim O(10^4)$, which is not an unreasonable hierarchy between generations.

B. Collider signatures

At a collider, the main supersymmetric pair production processes are

$$\tilde{g}\tilde{g}, \tilde{q}\tilde{q}, \tilde{\ell}^+\tilde{\ell}^-, \tilde{\chi}_i^0\tilde{\chi}_j^0, \tilde{\chi}_i^+\tilde{\chi}_j^-, \tilde{\chi}_i^0\tilde{\chi}_j^\pm. \quad (136)$$

Here we investigate the possible signatures for these processes in the case of a stau LSP. In order to determine the final state within the detector, we must know the decay patterns of the particles. This depends strongly on the supersymmetric spectrum and thus upon which point in SUSY breaking the parameter space is being studied. For this first study, we shall assume the mass ordering

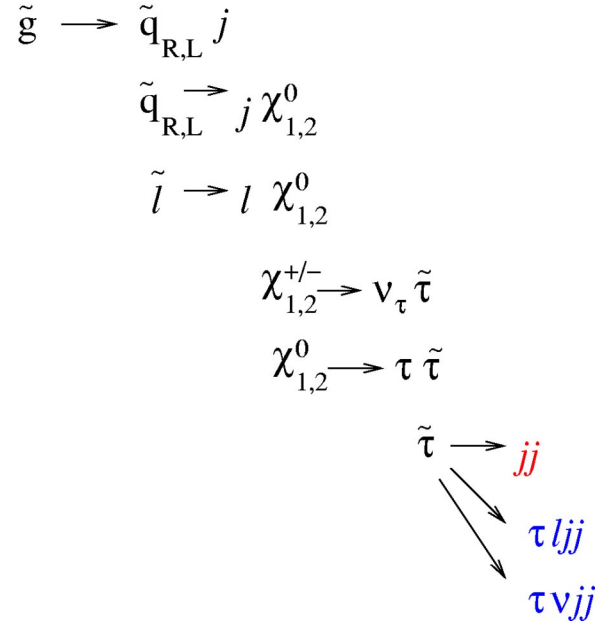


FIG. 7. Possible dominant links in a sparticle decay chain with a stau LSP and R -parity violation. The two-body decay mode of the LSP is shown first, followed by two four-body modes.

$$m_{\tilde{g}} > m_{\tilde{q}} > m_{\tilde{\ell}} > m_{\tilde{\chi}_1^\pm} > m_{\tilde{\chi}_1^0} > m_{\tilde{\tau}}, \quad (137)$$

which we typically obtain (with or without \mathcal{R}_p) within MSUGRA. If there are no near-degenerate particles, a produced supersymmetric particle will dominantly cascade in two-particle decays down the mass chain (137). We display this decay chain in Fig. 7. We have added at the end both two- (first value) and four-particle (last two values) stau decays. Final state quarks are denoted by “ j ” to indicate a jet. We can use this decay chain to determine a qualitative picture of the possible final-state signatures. Note that due to the strict bounds on the \mathcal{R}_p couplings which we have determined, we only expect these to be relevant in the stau-LSP decay. Furthermore, in determining signatures we shall assume that either the two-body or the four-body stau decay dominates. We do not consider the case of comparable partial decay widths.

At the Tevatron and CERN Large Hadron Collider (LHC), the largest production cross sections are for gluinos and squarks. If we consider, for example, $\tilde{q}_R \bar{\tilde{q}}_R$ production, then the dominant decay mode for the squark is

$$\tilde{q}_R \rightarrow j \chi_1^0 \rightarrow j \tau^\pm \tilde{\tau}^\mp \quad (138)$$

and the final-state signature will be

$$\tilde{q}_R \bar{\tilde{q}}_R \rightarrow \begin{cases} 6j + \tau^\pm \tau^\pm & \text{for } \tilde{\tau} \rightarrow jj \\ 6j + \ell\ell + 2(\tau^\pm \tau^\pm) & \text{for } \tilde{\tau} \rightarrow \tau\ell jj \\ 6j + \nu\nu + 2(\tau^\pm \tau^\pm) & \text{for } \tilde{\tau} \rightarrow \tau\nu jj \\ 6j + \nu\ell + 2(\tau^\pm \tau^\pm) & \text{for } \tilde{\tau} \rightarrow \tau(\nu, \ell)jj. \end{cases} \quad (139)$$

Here, any charge combination for the leptons ℓ is allowed, since they result from the decay of a virtual (Majorana) neutralino (cf. Appendix D). This can give us a like-sign dilepton signature. Otherwise, we see that we have a large number of jets in the final state independent of the decay mode of the stau. (This would be reduced for dominant operators $LL\bar{E}$.) This makes it more difficult to observe isolated high p_T charged leptons. We can obtain missing transverse momentum from the final-state neutrinos, but it will be extremely diluted due to the many-body decays. The most promising signatures are like-sign dileptons together with the direct detection of τ 's [103], which is of course difficult.

For $\tilde{q}_L\tilde{q}_L$ production, we expect a larger likelihood for the cascade decay through the heavier neutralinos and also through the charginos. This can lead to a trilepton signature [104] which can be extended by the *additional* τ 's. This requires a detailed analysis, but we expect this to be more promising than the $\tilde{q}_R\tilde{q}_R$ outlined above.

The gluino decays via the squarks adding an extra jet to the final state. In this case, it might be more promising to consider nondominant decay modes, including a possible direct \mathcal{R}_p decay of the neutralino. An estimate of the relative rates for a pure W -ino neutralino is

$$\frac{\Gamma(\tilde{\chi}_1^0 \rightarrow \mu + 2j)}{\Gamma(\tilde{\chi}_1^0 \rightarrow \tau\tilde{\tau})} \approx \frac{3\lambda'^2 \left(\frac{M_{\tilde{\chi}_1^0}}{\tilde{m}}\right)^4}{32\pi^3} \lesssim 3 \times 10^{-7} \quad (140)$$

for $\lambda' < 10^{-2}$, and where we have neglected the stau mass. This is hopeless, unless the neutralino and the stau are nearly degenerate.

At the Tevatron and LHC, the pair production of sleptons is about two to three orders of magnitude lower than the production of squarks or gluinos, for equal mass. However, we expect the mass to be lower [cf. Eq. (137)], and also the signal cleaner. At a future linear collider e^+e^- facility this is typically an ideal mode for searches or the measurement of MSSM parameters. As we can see from the decay chain in Fig. 7, the slepton dominantly decays as

$$\tilde{\ell}^+ \rightarrow \chi_1^0 \ell^+ \rightarrow \tau^\pm \tilde{\tau}^\mp \ell^+. \quad (141)$$

We then obtain the final-state signatures

$$\tilde{\ell}^- \tilde{\ell}^+ \rightarrow \begin{cases} 4j + \ell\ell + \tau^\pm \tau^\pm & \text{for } \tilde{\tau} \rightarrow jj \\ 4j + 2(\ell\ell) + 2(\tau^\pm \tau^\pm) & \text{for } \tilde{\tau} \rightarrow \tau\ell jj \\ 4j + \ell\ell + \nu\nu + 2(\tau^\pm \tau^\pm) & \text{for } \tilde{\tau} \rightarrow \tau\nu jj \\ 4j + \ell\ell + \nu\ell + 2(\tau^\pm \tau^\pm) & \text{for } \tilde{\tau} \rightarrow \tau(\nu, \ell)jj. \end{cases} \quad (142)$$

In the second case, the sign of the charge of the two leptons from the stau decays is arbitrary due to the intermediate (Majorana) neutralino. Thus we can have like-sign trileptons, which is a very promising signature.

Similarly, using the results from Fig. 7, we expect the as-dominant signatures for neutralino pair production

$$\tilde{\chi}_1^0 \tilde{\chi}_1^0 \rightarrow \begin{cases} 4j + \tau^\pm \tau^\pm & \text{for } \tilde{\tau} \rightarrow jj \\ 4j + \ell\ell + 2(\tau^\pm \tau^\pm) & \text{for } \tilde{\tau} \rightarrow \tau\ell jj \\ 4j + \nu\nu + 2(\tau^\pm \tau^\pm) & \text{for } \tilde{\tau} \rightarrow \tau\nu jj \\ 4j + \nu\ell + 2(\tau^\pm \tau^\pm) & \text{for } \tilde{\tau} \rightarrow \tau(\nu, \ell)jj, \end{cases} \quad (143)$$

depending on the decay of the stau-LSP decay, which in turn depends on the dominant \mathcal{R}_p coupling. For chargino pair production we have

$$\tilde{\chi}_1^- \tilde{\chi}_1^+ \rightarrow \begin{cases} 4j + \nu_\tau \nu_\tau & \text{for } \tilde{\tau} \rightarrow jj \\ 4j + \ell\ell + \nu_\tau \nu_\tau + \tau^+ \tau^- & \text{for } \tilde{\tau} \rightarrow \tau\ell jj \\ 4j + \nu\nu + \nu_\tau \nu_\tau + \tau^+ \tau^- & \text{for } \tilde{\tau} \rightarrow \tau\nu jj \\ 4j + \nu\ell + \nu_\tau \nu_\tau + \tau^+ \tau^- & \text{for } \tilde{\tau} \rightarrow \tau(\nu, \ell)jj, \end{cases} \quad (144)$$

assuming the chargino decays directly to the stau LSP. If we produce the heavier electroweak gauginos, we can cascade decay through the lighter gauginos producing more charged leptons. For the neutralino we have promising multilepton signatures, whereas for the chargino we expect a significant amount of missing p_T .

In summary, as promising signatures in the case of the stau LSP, we have (i) a detached vertex from the long-lived stau, particularly in the case of the four-body stau decay; (ii) multilepton final states; and (iii) multi-tau final states, requiring efficient tau tagging.

The four-body decay of the stau results in more final-state leptons than the two-body decay and is thus possibly more promising.

IX. SUMMARY AND CONCLUSIONS

We have investigated for the first time the general \mathcal{R}_p MSSM in the context of MSUGRA. We have studied in some detail the origin of lepton-number violation and have found that with respect to the dimension-5 operators, baryon parity is preferred over R parity. We have then shown that in a wide class of models, both κ_i and \tilde{D}_i are zero after supersymmetry breaking at the unification scale. We have taken this as our boundary conditions at M_X in order to investigate the resulting model in considerable detail.

In order to embed the model within the unification picture, we have computed the full set of renormalization group equations in the Appendixes. We have used two methods, including a novel method of Jones *et al.*, which is particularly conducive to the numerical implementation. We then developed an iterative algorithm which solves the RGEs, minimizes the potential of the five neutral, scalar, CP -even fields, while implementing weak-scale Yukawa and gauge boundary conditions. The algorithm is stable and has been checked by an independent program. This is one of the main technical advances in this paper. Given the minimum, we determined the complete supersymmetric spectrum, including also the mass of the heaviest neutrino.

We have then shown that the \mathcal{R}_p couplings in this model

are severely constrained by the upper bound on the neutrino masses, as summarized in Tables III and IV. Thus when embedding the \mathcal{R}_p MSSM in MSUGRA, the neutrino mass bound is the strictest and most universal, i.e., it applies to all lepton-number violating couplings. This is one of the main results of this paper.

We have then looked in detail at the nature of the LSP. We have found solutions with a selectron, tau sneutrino, and stau LSP besides the usual neutralino LSP, with the stau most favored in the no-scale MSUGRA model. This significantly affects collider phenomenology. We present a first discussion of this broad topic in Sec. VIII. We have also studied the phenomenology of sneutrino-antisneutrino mixing in this model, but we do not expect any significant effect.

We conclude that the \mathcal{R}_p MSSM is as viable as the RPC-MSSM. As we show, it differs considerably both conceptually and phenomenologically from the RPC. The intimate connection with neutrino masses is an outstanding feature which we shall discuss in more detail in a forthcoming publication.

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APPENDIX A: NOTATION AND ANOMALOUS DIMENSIONS

The chiral superfields of the R_p MSSM and the \mathcal{R}_p MSSM have the following $G_{SM} = SU(3)_c \times SU(2)_L \times U(1)_Y$ quantum numbers:

$$\begin{aligned} L: & (1,2, -\frac{1}{2}), \quad \bar{E}: (1,1,1), \quad Q: (3,2, \frac{1}{6}), \\ \bar{U}: & (3,1, \frac{2}{3}), \quad \bar{D}: (3,1, -\frac{1}{3}), \quad H_1: (1,2, -\frac{1}{2}), \\ H_2: & (1,2, \frac{1}{2}). \end{aligned} \quad (A1)$$

The \mathcal{R}_p MSSM superpotential is then given by

$$\begin{aligned} W = & \epsilon_{ab} [(\mathbf{Y}_E)_{ij} L_i^a H_1^b \bar{E}_j + (\mathbf{Y}_D)_{ij} Q_i^{ax} H_1^b \bar{D}_{jx} \\ & + (\mathbf{Y}_U)_{ij} Q_i^{ax} H_2^b \bar{U}_{jx}] - \epsilon_{ab} [\mu H_1^a H_2^b + \kappa^i L_i^a H_2^b] \\ & + \epsilon_{ab} [\frac{1}{2} (\Lambda_{E^k})_{ij} L_i^a L_j^b \bar{E}_k + (\Lambda_{D^k})_{ij} L_i^a Q_j^{xb} \bar{D}_{kx}] \\ & + \frac{1}{2} \epsilon_{xyz} (\Lambda_{U^i})_{jk} \bar{U}_i^x \bar{D}_j^y \bar{D}_k^z. \end{aligned} \quad (A2)$$

We denote an $SU(3)$ color index of the fundamental representation by $x, y, z = 1, 2, 3$. The $SU(2)_L$ fundamental representation indices are denoted by $a, b, c = 1, 2$ and the generation indices by $i, j, k = 1, 2, 3$. We have introduced the twelve 3×3 matrices

$$\mathbf{Y}_E, \quad \mathbf{Y}_D, \quad \mathbf{Y}_U, \quad \Lambda_{E^k}, \quad \Lambda_{D^k}, \quad \Lambda_{U^i}, \quad (A3)$$

for all the Yukawa couplings. This implies the following conventions in the Martin and Vaughn [16] notation:

$$\begin{aligned} Y^{L_i^a Q_j^{bx} \bar{D}_{ky}} &= Y^{L_i^a \bar{D}_{ky} Q_j^{bx}} = Y^{\bar{D}_{ky} L_i^a Q_j^{bx}} = Y^{Q_j^{bx} L_i^a \bar{D}_{ky}} \\ &= Y^{Q_j^{bx} \bar{D}_{ky} L_i^a} = Y^{\bar{D}_{ky} Q_j^{bx} L_i^a} = (\Lambda_{D^k})_{ij} \epsilon_{ab} \delta_x^y \\ &\equiv \lambda'_{ijk} \epsilon_{ab} \delta_x^y, \end{aligned} \quad (A4)$$

$$\begin{aligned} Y^{L_i^a L_j^b \bar{E}_k} &= Y^{L_i^a \bar{E}_k L_j^b} = Y^{\bar{E}_k L_i^a L_j^b} = (\Lambda_{E^k})_{ij} \epsilon_{ab} \\ &= -(\Lambda_{E^k})_{ji} \epsilon_{ab} \equiv \lambda_{ijk} \epsilon_{ab}, \end{aligned} \quad (A5)$$

$$\begin{aligned} Y^{\bar{U}_{ix} \bar{D}_{jy} \bar{D}_{kz}} &= Y^{\bar{D}_{jy} \bar{U}_{ix} \bar{D}_{kz}} = Y^{\bar{D}_{jy} \bar{D}_{kz} \bar{U}_{ix}} = \epsilon_{xyz} (\Lambda_{U^i})_{jk} \\ &= -\epsilon_{xyz} (\Lambda_{U^i})_{kj} \equiv \epsilon_{xyz} \lambda''_{ijk}. \end{aligned} \quad (A6)$$

The soft SUSY breaking Lagrangian is given by

$$\begin{aligned} -\mathcal{L} = & m_{H_1}^2 H_1^\dagger H_1 + m_{H_2}^2 H_2^\dagger H_2 + \tilde{L}^\dagger (\mathbf{m}_{\tilde{L}}^2) \tilde{L} + \tilde{L}_i^\dagger (\mathbf{m}_{\tilde{L}_i H_1}^2) H_1 \\ & + H_1^\dagger (\mathbf{m}_{H_1 \tilde{L}_i}^2) \tilde{L} + \tilde{Q}^\dagger (\mathbf{m}_{\tilde{Q}}^2) \tilde{Q} + \tilde{E}^\dagger (\mathbf{m}_{\tilde{E}}^2) \tilde{E} + \tilde{D}^\dagger (\mathbf{m}_{\tilde{D}}^2) \tilde{D}^\dagger \\ & + \tilde{U}^\dagger (\mathbf{m}_{\tilde{U}}^2) \tilde{U}^\dagger - [\tilde{B} H_1 H_2 + \tilde{D}_i \tilde{L}_i H_2 + \text{H.c.}] \\ & + [(\mathbf{h}_E)_{ij} \tilde{L}_i H_1 \tilde{E}_j + (\mathbf{h}_D)_{ij} \tilde{Q}_i H_1 \tilde{D}_j + (\mathbf{h}_U)_{ij} \tilde{Q}_i H_2 \tilde{U}_j \\ & + (\mathbf{h}_{E^k})_{ij} \tilde{L}_i \tilde{L}_j \tilde{E}_k + (\mathbf{h}_{D^k})_{ij} \tilde{L}_i \tilde{Q}_j \tilde{D}_k + (\mathbf{h}_{U^i})_{jk} \tilde{U}_i \tilde{D}_j \tilde{D}_k \\ & + \text{H.c.}], \end{aligned} \quad (A7)$$

where we have introduced the soft SUSY breaking trilinear couplings

$$\mathbf{h}_E, \quad \mathbf{h}_D, \quad \mathbf{h}_U, \quad \mathbf{h}_{E^k}, \quad \mathbf{h}_{D^k}, \quad \mathbf{h}_{U^i}, \quad (A8)$$

defined analogously as the Yukawa couplings in Eqs. (A4)–(A6).

In general, the one-loop renormalization-group equations for the Yukawa couplings are given by [16]

$$\frac{d}{dt} Y^{ijk} = Y^{ijp} \left[\frac{1}{16\pi^2} \gamma_p^k \right] + (k \leftrightarrow i) + (k \leftrightarrow j) \quad (A9)$$

and the anomalous dimensions are

$$\gamma_i^j = \frac{1}{2} Y_{ipq} Y^{jpq} - 2 \delta_i^j \sum_a g_a^2 C_a(i). \quad (A10)$$

We have denoted by $C_a(f)$ the quadratic Casimir of the representation f of the gauge group G_a . For details, see Appen-

dix A of Ref. [14]. All equations in this section are valid in the DR renormalization scheme.

The one-loop anomalous dimensions are given by [14,105]

$$\gamma_{L_j}^{L_i} = (\mathbf{Y}_E \mathbf{Y}_E^\dagger)_{ij} + (\Lambda_{E^q} \Lambda_{E^q}^\dagger)_{ij} + 3(\Lambda_{D^q} \Lambda_{D^q}^\dagger)_{ij} - \delta_j^i \left(\frac{3}{10} g_1^2 + \frac{3}{2} g_2^2 \right), \quad (\text{A11})$$

$$\gamma_{E_j}^{E_i} = 2(\mathbf{Y}_E^\dagger \mathbf{Y}_E)_{ji} + \text{Tr}(\Lambda_{E^i} \Lambda_{E^i}^\dagger) - \delta_j^i \left(\frac{6}{5} g_1^2 \right), \quad (\text{A12})$$

$$\gamma_{Q_j}^{Q_i} = (\mathbf{Y}_D \mathbf{Y}_D^\dagger)_{ij} + (\mathbf{Y}_U \mathbf{Y}_U^\dagger)_{ij} + (\Lambda_{D^q}^\dagger \Lambda_{D^q})_{ji} - \delta_j^i \left(\frac{1}{30} g_1^2 + \frac{3}{2} g_2^2 + \frac{8}{3} g_3^2 \right), \quad (\text{A13})$$

$$\gamma_{D_j}^{D_i} = 2(\mathbf{Y}_D^\dagger \mathbf{Y}_D)_{ji} + 2 \text{Tr}(\Lambda_{D^i}^\dagger \Lambda_{D^i}) + 2(\Lambda_{U^q} \Lambda_{U^q}^\dagger)_{ij} - \delta_j^i \left(\frac{2}{15} g_1^2 + \frac{8}{3} g_3^2 \right), \quad (\text{A14})$$

$$\gamma_{U_j}^{U_i} = 2(\mathbf{Y}_U^\dagger \mathbf{Y}_U)_{ji} + \text{Tr}(\Lambda_{U^i} \Lambda_{U^i}^\dagger) - \delta_j^i \left(\frac{8}{15} g_1^2 + \frac{8}{3} g_3^2 \right), \quad (\text{A15})$$

$$\gamma_{H_1}^{H_1} = \text{Tr}(3 \mathbf{Y}_D \mathbf{Y}_D^\dagger + \mathbf{Y}_E \mathbf{Y}_E^\dagger) - \left(\frac{3}{10} g_1^2 + \frac{3}{2} g_2^2 \right), \quad (\text{A16})$$

$$\gamma_{H_2}^{H_2} = 3 \text{Tr}(\mathbf{Y}_U \mathbf{Y}_U^\dagger) - \left(\frac{3}{10} g_1^2 + \frac{3}{2} g_2^2 \right), \quad (\text{A17})$$

$$\gamma_{L_i}^{H_1} = \gamma_{H_1}^{L_i} = -3(\Lambda_{D^q}^* \mathbf{Y}_D)_{iq} - (\Lambda_{E^q}^* \mathbf{Y}_E)_{iq}. \quad (\text{A18})$$

Note that here, $H_{1,2}, L, Q$ represent the fields $H_{1,2}^a, L^a, Q^a$, where a is the index of the fundamental representation of $SU(2)$ (i.e., no factors of ϵ_{ab} are factored). The β functions for the Yukawa couplings [14] and for the bilinear superpotential couplings are combinations of the above anomalous dimensions (A1)–(A18). The two-loop anomalous dimensions in the \mathcal{R}_p MSSM can be found in [14]. We present the one-loop beta functions for the superpotential couplings and masses for completeness.

The RGEs for the Yukawa couplings (including full family dependence) are given by

$$16\pi^2 \frac{d}{dt} (\mathbf{Y}_E)_{ij} = (\mathbf{Y}_E)_{ik} \gamma_{E_k}^{E_j} + (\mathbf{Y}_E)_{ij} \gamma_{H_1}^{H_1} - (\Lambda_{E^j})_{ki} \gamma_{L_k}^{H_1} + (\mathbf{Y}_E)_{kj} \gamma_{L_k}^{L_i}, \quad (\text{A19})$$

$$16\pi^2 \frac{d}{dt} (\mathbf{Y}_D)_{ij} = (\mathbf{Y}_D)_{ik} \gamma_{D_k}^{D_j} + (\mathbf{Y}_D)_{ij} \gamma_{H_1}^{H_1} - (\Lambda_{D^j})_{ki} \gamma_{L_k}^{H_1} + (\mathbf{Y}_D)_{kj} \gamma_{Q_k}^{Q_i}, \quad (\text{A20})$$

$$16\pi^2 \frac{d}{dt} (\mathbf{Y}_U)_{ij} = (\mathbf{Y}_U)_{ik} \gamma_{U_k}^{U_j} + (\mathbf{Y}_U)_{ij} \gamma_{H_2}^{H_2} + (\mathbf{Y}_U)_{kj} \gamma_{Q_k}^{Q_i}, \quad (\text{A21})$$

$$16\pi^2 \frac{d}{dt} (\Lambda_{E^k})_{ij} = (\Lambda_{E^l})_{ij} \gamma_{E_l}^{E_k} + (\Lambda_{E^k})_{il} \gamma_{L_l}^{L_j} + (\mathbf{Y}_E)_{ik} \gamma_{H_1}^{L_j} - (\Lambda_{E^k})_{jl} \gamma_{L_l}^{L_i} - (\mathbf{Y}_E)_{jk} \gamma_{H_1}^{L_i}, \quad (\text{A22})$$

$$16\pi^2 \frac{d}{dt} (\Lambda_{D^k})_{ij} = (\Lambda_{D^l})_{ij} \gamma_{D_l}^{D_k} + (\Lambda_{D^k})_{il} \gamma_{Q_l}^{Q_j} + (\Lambda_{D^k})_{lj} \gamma_{L_l}^{L_i} - (\mathbf{Y}_D)_{jk} \gamma_{H_1}^{L_i}, \quad (\text{A23})$$

$$16\pi^2 \frac{d}{dt} (\Lambda_{U^i})_{jk} = (\Lambda_{U^i})_{jl} \gamma_{D_l}^{D_k} + (\Lambda_{U^i})_{lk} \gamma_{D_l}^{D_j} + (\Lambda_{U^l})_{jk} \gamma_{U_l}^{U_i}. \quad (\text{A24})$$

Here $t = \ln(Q)$, and Q is the renormalization scale. The RGEs for the bilinear terms are

$$16\pi^2 \frac{d}{dt} \mu = \mu \{ \gamma_{H_1}^{H_1} + \gamma_{H_2}^{H_2} \} + \kappa^i \gamma_{L_i}^{H_1}, \quad (\text{A25})$$

$$16\pi^2 \frac{d}{dt} \kappa^i = \kappa^i \gamma_{H_2}^{H_2} + \kappa^p \gamma_{L_p}^{L_i} + \mu \gamma_{L_i}^{L_i}. \quad (\text{A26})$$

APPENDIX B: A METHOD TO DERIVE THE SOFT SUSY BREAKING RGE

A straightforward way to derive the RGEs for the soft SUSY breaking couplings and masses is by a direct use of the explicit formulas at one loop given in [16]. This is a somewhat tedious job. A very elegant method which is also very helpful for numerical calculations is the one described in Ref. [15]. All the soft SUSY RGEs can be derived from the anomalous dimensions (A11)–(A18) by the action of an operator which is given below [106]. The method works not only at one loop but it has also been proven to all orders in perturbation theory [15]. In principle, one could apply the operators (B1)–(B5) below to the two-loop anomalous dimensions derived in Ref. [14] and write down the full two-loop coupled RGEs in the most general case. However, here we restrict ourselves to the one-loop case. In particular, the soft β functions for the bilinear \mathbf{b}^{ij} , trilinear \mathbf{h}^{ijk} , and scalar masses $(\mathbf{m}^2)_j^i$ soft SUSY breaking terms can be read from

$$16\pi^2 \frac{d\mathbf{b}^{ij}}{dt} = \gamma_l^i \mathbf{b}^{jl} + \gamma_l^j \mathbf{b}^{il} - 2(\gamma_1)_l^i \mu^{jl} - 2(\gamma_1)_l^j \mu^{il}, \quad (\text{B1})$$

$$16\pi^2 \frac{d\mathbf{h}^{ijk}}{dt} = \gamma_i^j \mathbf{h}^{jkl} + \gamma_j^i \mathbf{h}^{ikl} + \gamma_i^k \mathbf{h}^{jil} - 2(\gamma_1)_l^i \mathbf{Y}^{jkl} - 2(\gamma_1)_l^j \mathbf{Y}^{ikl} - 2(\gamma_1)_l^k \mathbf{Y}^{jil}, \quad (\text{B2})$$

$$16\pi^2 \frac{d(\mathbf{m}^2)_j^i}{dt} = \left(2OO^* + 2MM^* g_a^2 \frac{\partial}{\partial g_a^2} + \tilde{\mathbf{Y}}_{lmn} \frac{\partial}{\partial \mathbf{Y}_{lmn}} + \tilde{\mathbf{Y}}^{lmn} \frac{\partial}{\partial \mathbf{Y}^{lmn}} + X_a \frac{\partial}{\partial g_a} \right) \gamma_j^i, \quad (\text{B3})$$

where

$$(\gamma_1)_j^i = O \gamma_j^i, \quad O = \left(M_a g_a^2 \frac{\partial}{\partial g_a^2} - \mathbf{h}^{lmn} \frac{\partial}{\partial \mathbf{Y}^{lmn}} \right), \quad (\text{B4})$$

$$\tilde{\mathbf{Y}}^{ijk} = \mathbf{Y}^{ljk} (\mathbf{m}^2)_l^i + \mathbf{Y}^{lik} (\mathbf{m}^2)_l^j + \mathbf{Y}^{lji} (\mathbf{m}^2)_l^k, \quad (\text{B5})$$

and repeated indices are summed over. At one loop, the last term, X_a , in Eq. (B3) is not relevant. Its (scheme-dependent) form is given, for example, in the last reference of Ref. [15] [see their Eq. (2.11)]. The RGEs (B1)–(B3) are valid as long as we do not eliminate the U(1) Fayet-Iliopoulos (FI) D term. The RGE running of the FI term can then be written independently. It is known that for universal boundary conditions this term is not renormalized down to low energies and we do not discuss its RGE here. On the other hand, if we eliminate the FI D term by using its equation of motion, then this renormalization gives rise to additional contributions proportional to the U(1) gauge coupling (see the \mathcal{S} term in the RGEs for the soft SUSY breaking masses in Appendix C). Now from Eq. (B1) the RGEs for the bilinear soft SUSY breaking masses in the \mathcal{R}_p MSSM are

$$16\pi^2 \frac{d\tilde{B}}{dt} = \tilde{B} [\gamma_{H_1}^{H_1} + \gamma_{H_2}^{H_2}] + \tilde{D}_i \gamma_{L_i}^{H_1} - 2\mu [(\gamma_1)_{H_1}^{H_1} + (\gamma_1)_{H_2}^{H_2}] - 2\kappa_i (\gamma_1)_{L_i}^{H_1}, \quad (\text{B6})$$

$$16\pi^2 \frac{d\tilde{D}_i}{dt} = [\gamma_{L_i}^{L_i} \tilde{D}^i + \gamma_{H_2}^{H_2} \tilde{D}^i] + \tilde{B} \gamma_{H_1}^{L_i} - 2[(\gamma_1)_{L_i}^{L_i} \kappa^i + (\gamma_1)_{H_2}^{H_2} \kappa^i] - 2\mu (\gamma_1)_{H_1}^{L_i}. \quad (\text{B7})$$

The RGEs for the trilinear soft SUSY breaking masses in the \mathcal{R}_p MSSM can be read from Eq. (B2),

$$16\pi^2 \frac{d(\mathbf{h}_E)_{ik}}{dt} = \gamma_{L_i}^{L_i} (\mathbf{h}_E)_{lk} + \gamma_{H_1}^{H_1} (\mathbf{h}_E)_{ik} + \gamma_{L_i}^{H_1} (\mathbf{h}_E^k)_{il} + \gamma_{E_l}^{E_k} (\mathbf{h}_E)_{il} - 2(\gamma_1)_{L_l}^{L_i} (\mathbf{Y}_E)_{lk} - 2(\gamma_1)_{H_1}^{H_1} (\mathbf{Y}_E)_{ik} - 2(\gamma_1)_{L_l}^{H_1} (\mathbf{\Lambda}_{E^k})_{il} - 2(\gamma_1)_{E_l}^{E_k} (\mathbf{Y}_E)_{il}, \quad (\text{B8})$$

$$16\pi^2 \frac{d(\mathbf{h}_D)_{ik}}{dt} = \gamma_{Q_l}^{Q_i} (\mathbf{h}_D)_{lk} + \gamma_{H_1}^{H_1} (\mathbf{h}_D)_{ik} - \gamma_{L_l}^{H_1} (\mathbf{h}_D^k)_{li} + \gamma_{D_l}^{D_k} (\mathbf{h}_D)_{il} - 2(\gamma_1)_{Q_l}^{Q_i} (\mathbf{Y}_D)_{lk} - 2(\gamma_1)_{H_1}^{H_1} (\mathbf{Y}_D)_{ik} + 2(\gamma_1)_{L_l}^{H_1} (\mathbf{\Lambda}_{D^k})_{li} - 2(\gamma_1)_{D_l}^{D_k} (\mathbf{Y}_D)_{il}, \quad (\text{B9})$$

$$16\pi^2 \frac{d(\mathbf{h}_U)_{ik}}{dt} = \gamma_{Q_l}^{Q_i} (\mathbf{h}_U)_{lk} + \gamma_{H_2}^{H_2} (\mathbf{h}_U)_{ik} + \gamma_{U_l}^{U_k} (\mathbf{h}_U)_{il} - 2(\gamma_1)_{Q_l}^{Q_i} (\mathbf{Y}_U)_{lk} - 2(\gamma_1)_{H_2}^{H_2} (\mathbf{Y}_U)_{ik} - 2(\gamma_1)_{U_l}^{U_k} (\mathbf{Y}_U)_{il}, \quad (\text{B10})$$

$$16\pi^2 \frac{d(\mathbf{h}_{E^k})_{ij}}{dt} = \gamma_{L_i}^{L_i} (\mathbf{h}_{E^k})_{lj} - \gamma_{H_1}^{L_i} (\mathbf{h}_E)_{jk} + \gamma_{L_l}^{L_j} (\mathbf{h}_{E^k})_{il} + \gamma_{H_1}^{L_j} (\mathbf{h}_E)_{ik} + \gamma_{E_l}^{E_k} (\mathbf{h}_{E^l})_{ij} - 2(\gamma_1)_{L_l}^{L_i} (\mathbf{\Lambda}_{E^k})_{lj} + 2(\gamma_1)_{H_1}^{L_i} (\mathbf{Y}_E)_{jk} - 2(\gamma_1)_{L_l}^{L_j} (\mathbf{\Lambda}_{E^k})_{il} - 2(\gamma_1)_{H_1}^{L_j} (\mathbf{Y}_E)_{ik} - 2(\gamma_1)_{E_l}^{E_k} (\mathbf{\Lambda}_{E^l})_{ij}, \quad (\text{B11})$$

$$16\pi^2 \frac{d(\mathbf{h}_{D^k})_{ij}}{dt} = \gamma_{L_i}^{L_i} (\mathbf{h}_{D^k})_{lj} - \gamma_{H_1}^{L_i} (\mathbf{h}_D)_{jk} + \gamma_{Q_l}^{Q_j} (\mathbf{h}_{D^k})_{il} + \gamma_{D_l}^{D_k} (\mathbf{h}_{D^l})_{ij} - 2(\gamma_1)_{L_l}^{L_i} (\mathbf{\Lambda}_{D^k})_{lj} + 2(\gamma_1)_{H_1}^{L_i} (\mathbf{Y}_D)_{jk} - 2(\gamma_1)_{Q_l}^{Q_j} (\mathbf{\Lambda}_{D^k})_{il} - 2(\gamma_1)_{D_l}^{D_k} (\mathbf{\Lambda}_{D^l})_{ij}, \quad (\text{B12})$$

$$16\pi^2 \frac{d(\mathbf{h}_{U^i})_{jk}}{dt} = \gamma_{U_l}^{U_i} (\mathbf{h}_{U^l})_{jk} + \gamma_{D_l}^{D_j} (\mathbf{h}_{U^i})_{lk} + \gamma_{D_l}^{D_k} (\mathbf{h}_{U^i})_{jl} - 2(\gamma_1)_{U_l}^{U_i} (\mathbf{\Lambda}_{U^l})_{jk} - 2(\gamma_1)_{D_l}^{D_j} (\mathbf{\Lambda}_{U^i})_{lk} - 2(\gamma_1)_{D_l}^{D_k} (\mathbf{\Lambda}_{U^i})_{jl}. \quad (\text{B13})$$

The RGEs for the soft SUSY breaking masses in the \mathcal{R}_p MSSM can be obtained from Eq. (B3),

$$16\pi^2 \frac{d(\mathbf{m}_{\tilde{\mathbf{E}}})_{E_j}^{E_i}}{dt} = 16\pi^2 \frac{d(\mathbf{m}_{\tilde{\mathbf{E}}})_{ji}}{dt} = 4(\mathbf{h}_E^\dagger \mathbf{h}_E)_{ji} + 2 \text{Tr}(\mathbf{h}_E^\dagger \mathbf{h}_E) - \delta_{ij} \left(\frac{24}{5} g_1^2 |M_1|^2 \right) + 2(\mathbf{Y}_E^\dagger \tilde{\mathbf{Y}}_E)_{ji} + \text{Tr}(\tilde{\mathbf{\Lambda}}_{E^i} \mathbf{\Lambda}_{E^i}^\dagger) + 2(\tilde{\mathbf{Y}}_E^\dagger \mathbf{Y}_E)_{ji} + \text{Tr}(\mathbf{\Lambda}_{E^i} \tilde{\mathbf{\Lambda}}_{E^i}^\dagger), \quad (\text{B14})$$

$$\begin{aligned}
16\pi^2 \frac{d(\mathbf{m}_{\tilde{L}}^2)_{L_j}^{L_i}}{dt} &\equiv 16\pi^2 \frac{d(\mathbf{m}_{\tilde{L}}^2)_{ij}}{dt} \\
&= 2(\mathbf{h}_E \mathbf{h}_E^\dagger + \mathbf{h}_{E^q} \mathbf{h}_{E^q}^\dagger + 3\mathbf{h}_{D^q} \mathbf{h}_{D^q}^\dagger)_{ij} \\
&\quad - \delta_{ij} \left(\frac{6}{5} g_1^2 |M_1|^2 + 6g_2^2 |M_2|^2 \right) + (\widetilde{\mathbf{Y}}_E \mathbf{Y}_E^\dagger)_{ij} \\
&\quad + (\widetilde{\Lambda}_{E^q} \Lambda_{E^q}^\dagger)_{ij} + 3(\widetilde{\Lambda}_{D^q} \Lambda_{D^q}^\dagger)_{ij} + (\mathbf{Y}_E \widetilde{\mathbf{Y}}_E^\dagger)_{ij} \\
&\quad + (\Lambda_{E^q} \widetilde{\Lambda}_{E^q}^\dagger)_{ij} + 3(\Lambda_{D^q} \widetilde{\Lambda}_{D^q}^\dagger)_{ij}, \quad (\text{B15})
\end{aligned}$$

$$\begin{aligned}
16\pi^2 \frac{d(\mathbf{m}^2)_{L_i}^{H_1}}{dt} &\equiv 16\pi^2 \frac{d(\mathbf{m}_{H_1 \tilde{L}_i}^2)}{dt} \\
&= -6(\mathbf{h}_{D^q}^* \mathbf{h}_D)_{iq} - 2(\mathbf{h}_{E^q}^* \mathbf{h}_E)_{iq} - 3(\Lambda_{D^q}^* \widetilde{\mathbf{Y}}_D)_{iq} \\
&\quad - (\Lambda_{E^q}^* \widetilde{\mathbf{Y}}_E)_{iq} - 3(\widetilde{\Lambda}_{D^q}^* \mathbf{Y}_D)_{iq} - (\widetilde{\Lambda}_{E^q}^* \mathbf{Y}_E)_{iq}, \quad (\text{B16})
\end{aligned}$$

$$\begin{aligned}
16\pi^2 \frac{d(\mathbf{m}_{\tilde{Q}}^2)_{Q_j}^{Q_i}}{dt} &\equiv 16\pi^2 \frac{d(\mathbf{m}_{\tilde{Q}}^2)_{ij}}{dt} \\
&= 2(\mathbf{h}_D \mathbf{h}_D^\dagger + \mathbf{h}_U \mathbf{h}_U^\dagger)_{ij} + 2(\mathbf{h}_{D^q} \mathbf{h}_{D^q}^\dagger)_{ji} \\
&\quad - \delta_{ij} \left(\frac{2}{15} g_1^2 |M_1|^2 + 6g_2^2 |M_2|^2 + \frac{32}{3} g_3^2 |M_3|^2 \right) \\
&\quad + (\widetilde{\mathbf{Y}}_D \mathbf{Y}_D^\dagger)_{ij} + (\widetilde{\mathbf{Y}}_U \mathbf{Y}_U^\dagger)_{ij} + (\Lambda_{D^q}^\dagger \widetilde{\Lambda}_{D^q})_{ji} \\
&\quad + (\mathbf{Y}_D \widetilde{\mathbf{Y}}_D^\dagger)_{ij} + (\mathbf{Y}_U \widetilde{\mathbf{Y}}_U^\dagger)_{ij} + (\widetilde{\Lambda}_{D^q} \Lambda_{D^q})_{ji}, \quad (\text{B17})
\end{aligned}$$

$$\begin{aligned}
16\pi^2 \frac{d(\mathbf{m}_{\tilde{D}}^2)_{D_j}^{D_i}}{dt} &\equiv 16\pi^2 \frac{d(\mathbf{m}_{\tilde{D}}^2)_{ji}}{dt} \\
&= 4(\mathbf{h}_D^\dagger \mathbf{h}_D)_{ji} + 4 \text{Tr}(\mathbf{h}_{D^q}^\dagger \mathbf{h}_{D^q}) + 4(\mathbf{h}_{U^q} \mathbf{h}_{U^q}^\dagger)_{ij} \\
&\quad - \delta_{ij} \left(\frac{8}{15} g_1^2 |M_1|^2 + \frac{32}{3} g_3^2 |M_3|^2 \right) \\
&\quad + 2(\mathbf{Y}_D^\dagger \widetilde{\mathbf{Y}}_D)_{ji} + 2 \text{Tr}(\Lambda_{D^q}^\dagger \widetilde{\Lambda}_{D^q}) \\
&\quad + 2(\widetilde{\Lambda}_{U^q} \Lambda_{U^q}^\dagger)_{ij} + 2(\widetilde{\mathbf{Y}}_D^\dagger \mathbf{Y}_D)_{ji} \\
&\quad + 2 \text{Tr}(\widetilde{\Lambda}_{D^q}^\dagger \Lambda_{D^q}) + 2(\Lambda_{U^q} \widetilde{\Lambda}_{U^q}^\dagger)_{ij}, \quad (\text{B18})
\end{aligned}$$

$$\begin{aligned}
16\pi^2 \frac{d(\mathbf{m}_{\tilde{U}}^2)_{U_j}^{U_i}}{dt} &\equiv 16\pi^2 \frac{d(\mathbf{m}_{\tilde{U}}^2)_{ji}}{dt} \\
&= 4(\mathbf{h}_U \mathbf{h}_U)_{ji} + 2 \text{Tr}(\mathbf{h}_{U^q} \mathbf{h}_{U^q}^\dagger) \\
&\quad - \delta_{ij} \left(\frac{32}{15} g_1^2 |M_1|^2 + \frac{32}{3} g_3^2 |M_3|^2 \right)
\end{aligned}$$

$$\begin{aligned}
&+ 2(\mathbf{Y}_U^\dagger \widetilde{\mathbf{Y}}_U)_{ji} + \text{Tr}(\widetilde{\Lambda}_{U^q} \Lambda_{U^q}^\dagger) + 2(\widetilde{\mathbf{Y}}_U^\dagger \mathbf{Y}_U)_{ji} \\
&+ \text{Tr}(\Lambda_{U^q} \widetilde{\Lambda}_{U^q}^\dagger), \quad (\text{B19})
\end{aligned}$$

$$\begin{aligned}
16\pi^2 \frac{dm_{H_1}^2}{dt} &= \text{Tr}(6\mathbf{h}_D \mathbf{h}_D^\dagger + 2\mathbf{h}_E \mathbf{h}_E^\dagger) \\
&\quad - \left(\frac{6}{5} g_1^2 |M_1|^2 + 6g_2^2 |M_2|^2 \right) + 3 \text{Tr}(\widetilde{\mathbf{Y}}_D \mathbf{Y}_D^\dagger) \\
&\quad + \text{Tr}(\widetilde{\mathbf{Y}}_E \mathbf{Y}_E^\dagger) + 3 \text{Tr}(\mathbf{Y}_D \widetilde{\mathbf{Y}}_D^\dagger) + \text{Tr}(\mathbf{Y}_E \widetilde{\mathbf{Y}}_E^\dagger), \quad (\text{B20})
\end{aligned}$$

$$\begin{aligned}
16\pi^2 \frac{dm_{H_2}^2}{dt} &= 6 \text{Tr}(\mathbf{h}_U \mathbf{h}_U^\dagger) - \left(\frac{6}{5} g_1^2 |M_1|^2 + 6g_2^2 |M_2|^2 \right) \\
&\quad + 3 \text{Tr}(\widetilde{\mathbf{Y}}_U \mathbf{Y}_U^\dagger) + 3 \text{Tr}(\mathbf{Y}_U \widetilde{\mathbf{Y}}_U^\dagger), \quad (\text{B21})
\end{aligned}$$

where from Eq. (B4) we have

$$\begin{aligned}
(\gamma_1)_{L_j}^{L_i} &= -(\mathbf{h}_E \mathbf{Y}_E^\dagger)_{ij} - (\mathbf{h}_{E^q} \Lambda_{E^q}^\dagger)_{ij} - 3(\mathbf{h}_{D^q} \Lambda_{D^q}^\dagger)_{ij} \\
&\quad - \delta_j^i \left(\frac{3}{10} M_1 g_1^2 + \frac{3}{2} M_2 g_2^2 \right), \quad (\text{B22})
\end{aligned}$$

$$(\gamma_1)_{E_j}^{E_i} = -2(\mathbf{Y}_E^\dagger \mathbf{h}_E)_{ji} - \text{Tr}(\mathbf{h}_{E^q} \Lambda_{E^q}^\dagger) - \delta_j^i \left(\frac{6}{5} M_1 g_1^2 \right), \quad (\text{B23})$$

$$\begin{aligned}
(\gamma_1)_{Q_j}^{Q_i} &= -(\mathbf{h}_D \mathbf{Y}_D^\dagger)_{ij} - (\mathbf{h}_U \mathbf{Y}_U^\dagger)_{ij} - (\Lambda_{D^q}^\dagger \mathbf{h}_{D^q})_{ji} - \delta_j^i \left(\frac{1}{30} M_1 g_1^2 \right. \\
&\quad \left. + \frac{3}{2} M_2 g_2^2 + \frac{8}{3} M_3 g_3^2 \right), \quad (\text{B24})
\end{aligned}$$

$$\begin{aligned}
(\gamma_1)_{D_j}^{D_i} &= -2(\mathbf{Y}_D^\dagger \mathbf{h}_D)_{ji} - 2 \text{Tr}(\Lambda_{D^q}^\dagger \mathbf{h}_{D^q}) - 2(\mathbf{h}_{U^q} \Lambda_{U^q}^\dagger)_{ij} \\
&\quad - \delta_j^i \left(\frac{2}{15} M_1 g_1^2 + \frac{8}{3} M_3 g_3^2 \right), \quad (\text{B25})
\end{aligned}$$

$$\begin{aligned}
(\gamma_1)_{U_j}^{U_i} &= -2(\mathbf{Y}_U^\dagger \mathbf{h}_U)_{ji} - \text{Tr}(\mathbf{h}_{U^q} \Lambda_{U^q}^\dagger) \\
&\quad - \delta_j^i \left(\frac{8}{15} M_1 g_1^2 + \frac{8}{3} M_3 g_3^2 \right), \quad (\text{B26})
\end{aligned}$$

$$\begin{aligned}
(\gamma_1)_{H_1}^{H_1} &= -\text{Tr}(3\mathbf{h}_D \mathbf{Y}_D^\dagger + \mathbf{h}_E \mathbf{Y}_E^\dagger) \\
&\quad - \left(\frac{3}{10} M_1 g_1^2 + \frac{3}{2} M_2 g_2^2 \right), \quad (\text{B27})
\end{aligned}$$

$$(\gamma_1)_{H_2}^{H_2} = -3 \text{Tr}(\mathbf{h}_U \mathbf{Y}_U^\dagger) - \left(\frac{3}{10} M_1 g_1^2 + \frac{3}{2} M_2 g_2^2 \right), \quad (\text{B28})$$

$$(\gamma_1)_{L_i}^{H_1} = (\gamma_1)_{H_1}^{L_i} = 3(\Lambda_{D^q}^* \mathbf{h}_D)_{iq} + (\Lambda_{E^q}^* \mathbf{h}_E)_{iq}, \quad (\text{B29})$$

and from Eq. (B5)

$$\begin{aligned} (\overline{\mathbf{Y}}_E)_{ik} &= (\mathbf{Y}_E)_{lk}(\mathbf{m}_{\tilde{\mathbf{L}}}^2)_{il} + (\mathbf{Y}_E)_{ik}m_{H_1}^2 + (\Lambda_{E^k})_{il}(\mathbf{m}_{H_1\tilde{L}_l}^2) \\ &+ (\mathbf{Y}_E)_{il}(\mathbf{m}_{\tilde{\mathbf{E}}}^2)_{lk}, \end{aligned} \quad (\text{B30})$$

$$\begin{aligned} (\overline{\mathbf{Y}}_D)_{ik} &= (\mathbf{Y}_D)_{lk}(\mathbf{m}_{\tilde{\mathbf{Q}}}^2)_{il} - (\Lambda_{D^k})_{li}(\mathbf{m}_{H_1\tilde{L}_l}^2) \\ &+ (\mathbf{Y}_D)_{ik}m_{H_1}^2 + (\mathbf{Y}_D)_{il}(\mathbf{m}_{\tilde{\mathbf{D}}}^2)_{lk}, \end{aligned} \quad (\text{B31})$$

$$\begin{aligned} (\overline{\mathbf{Y}}_U)_{ik} &= (\mathbf{Y}_U)_{lk}(\mathbf{m}_{\tilde{\mathbf{Q}}}^2)_{il} + (\mathbf{Y}_U)_{ik}m_{H_2}^2 + (\mathbf{Y}_U)_{il}(\mathbf{m}_{\tilde{\mathbf{U}}}^2)_{lk}, \end{aligned} \quad (\text{B32})$$

$$\begin{aligned} (\overline{\Lambda}_{E^k})_{ij} &= (\Lambda_{E^k})_{lj}(\mathbf{m}_{\tilde{\mathbf{L}}}^2)_{il} - (\mathbf{Y}_E)_{jk}(\mathbf{m}_{\tilde{L}_i H_1}^2) \\ &+ (\Lambda_{E^k})_{il}(\mathbf{m}_{\tilde{\mathbf{L}}}^2)_{jl} + (\mathbf{Y}_E)_{ik}(\mathbf{m}_{\tilde{L}_j H_1}^2) \\ &+ (\Lambda_{E^l})_{ij}(\mathbf{m}_{\tilde{\mathbf{E}}}^2)_{lk}, \end{aligned} \quad (\text{B33})$$

$$\begin{aligned} (\overline{\Lambda}_{D^k})_{ij} &= (\Lambda_{D^k})_{lj}(\mathbf{m}_{\tilde{\mathbf{L}}}^2)_{il} - (\mathbf{Y}_D)_{jk}(\mathbf{m}_{\tilde{L}_i H_1}^2) \\ &+ (\Lambda_{D^k})_{il}(\mathbf{m}_{\tilde{\mathbf{Q}}}^2)_{jl} + (\Lambda_{D^l})_{ij}(\mathbf{m}_{\tilde{\mathbf{D}}}^2)_{lk}, \end{aligned} \quad (\text{B34})$$

$$\begin{aligned} (\overline{\Lambda}_{U^i})_{jk} &= (\Lambda_{U^i})_{jk}(\mathbf{m}_{\tilde{\mathbf{U}}}^2)_{li} + (\Lambda_{U^i})_{lk}(\mathbf{m}_{\tilde{\mathbf{D}}}^2)_{lj} \\ &+ (\Lambda_{U^i})_{jl}(\mathbf{m}_{\tilde{\mathbf{D}}}^2)_{lk}. \end{aligned} \quad (\text{B35})$$

Numerically we follow the following procedure: (a) Define the anomalous dimensions in Eqs. (A11)–(A18); (b) define $(\gamma_1)_j^i$ from Eqs. (B22)–(B29); (c) define Eqs. (B30)–(B35) and (d) plug (a,b,c) into Eqs. (B6)–(B21). This is much simpler than inserting the explicit formulas of Appendix C below.

APPENDIX C: EXPLICIT RGE FOR THE SOFT SUPERSYMMETRIC BREAKING TERMS

The explicit RGEs for the soft supersymmetric breaking terms have appeared also before in Refs. [8,107]. Reference [107] contains the full set (aside from the aforementioned \mathcal{S} term), but we disagree with several terms in the equations for $(\mathbf{m}_{H_1\tilde{L}_i}^2)$ and $(\mathbf{m}_{\tilde{\mathbf{E}}}^2)_{ij}$. Reference [8] is restricted to contributions of the third-generation quarks and leptons. We arrange here the explicit formulas of the full (not flavor dominance assumed) RGEs. As a cross check, we have calculated them by first using the explicit formulas of Ref. [16] and second by using the method described in Appendix B. We found agreement using both methods. Thus the RGE for the bilinear μ and κ_i terms of the superpotential parameters is given by

$$16\pi^2 \frac{d\mu}{dt} = \mu \left[3 \text{Tr}(\mathbf{Y}_U \mathbf{Y}_U^\dagger) + \text{Tr}(3\mathbf{Y}_D \mathbf{Y}_D^\dagger + \mathbf{Y}_E \mathbf{Y}_E^\dagger) - \frac{3}{5}g_1^2 - 3g_2^2 \right] - \kappa_p [\Lambda_{E^n}^* \mathbf{Y}_E + 3\Lambda_{D^n}^* \mathbf{Y}_D]_{pn}, \quad (\text{C1})$$

$$\begin{aligned} 16\pi^2 \frac{d\kappa_i}{dt} &= \kappa_i \left[3 \text{Tr}(\mathbf{Y}_U \mathbf{Y}_U^\dagger) - \frac{3}{5}g_1^2 - 3g_2^2 \right] + \kappa_p [\mathbf{Y}_E \mathbf{Y}_E^\dagger + \Lambda_{E^n} \Lambda_{E^n}^\dagger + 3\Lambda_{D^n} \Lambda_{D^n}^\dagger]_{ip} \\ &- \mu [\Lambda_{E^n} \mathbf{Y}_E^* + 3\Lambda_{D^n} \mathbf{Y}_D^*]_{in}. \end{aligned} \quad (\text{C2})$$

Similarly, the RGEs for the soft SUSY breaking bilinear terms can be read from

$$\begin{aligned} 16\pi^2 \frac{d\tilde{B}}{dt} &= \tilde{B} \left[3 \text{Tr}(\mathbf{Y}_U^\dagger \mathbf{Y}_U) + 3 \text{Tr}(\mathbf{Y}_D^\dagger \mathbf{Y}_D) + \text{Tr}(\mathbf{Y}_E^\dagger \mathbf{Y}_E) - \frac{3}{5}g_1^2 - 3g_2^2 \right] \\ &+ \mu \left[6 \text{Tr}(\mathbf{Y}_U^\dagger \mathbf{h}_U) + 6 \text{Tr}(\mathbf{Y}_D^\dagger \mathbf{h}_D) + 2 \text{Tr}(\mathbf{Y}_E^\dagger \mathbf{h}_E) + \frac{6}{5}g_1^2 M_1 + 6g_2^2 M_2 \right] \\ &- \tilde{D}_l [\Lambda_{E^n}^* \mathbf{Y}_E + 3\Lambda_{D^n}^* \mathbf{Y}_D]_{ln} - \kappa_l [2\Lambda_{E^n}^* \mathbf{h}_E + 6\Lambda_{D^n}^* \mathbf{h}_D]_{ln}, \end{aligned} \quad (\text{C3})$$

$$\begin{aligned} 16\pi^2 \frac{d\tilde{D}_i}{dt} &= \tilde{D}_i \left[3 \text{Tr}(\mathbf{Y}_U \mathbf{Y}_U^\dagger) - \frac{3}{5}g_1^2 - 3g_2^2 \right] + \kappa_i \left[6 \text{Tr}(\mathbf{h}_U \mathbf{Y}_U^\dagger) + \frac{6}{5}g_1^2 M_1 + 6g_2^2 M_2 \right] \\ &+ \tilde{D}_l [\mathbf{Y}_E \mathbf{Y}_E^\dagger + \Lambda_{E^n} \Lambda_{E^n}^\dagger + 3\Lambda_{D^n} \Lambda_{D^n}^\dagger]_{il} + 2\kappa_l [\mathbf{h}_E \mathbf{Y}_E^\dagger + \mathbf{h}_{E^n} \Lambda_{E^n}^\dagger + 3\mathbf{h}_{D^n} \Lambda_{D^n}^\dagger]_{il} \\ &- 2\mu [\mathbf{h}_{E^n} \mathbf{Y}_E^* + 3\mathbf{h}_{D^n} \mathbf{Y}_D^*]_{in} - \tilde{B} [\Lambda_{E^n} \mathbf{Y}_E^* + 3\Lambda_{D^n} \mathbf{Y}_D^*]_{in}. \end{aligned} \quad (\text{C4})$$

The RGEs for the soft SUSY trilinear couplings are given by

$$\begin{aligned}
16\pi^2 \frac{d(\mathbf{h}_E)_{ij}}{dt} = & (\mathbf{h}_E)_{il} [2(\mathbf{Y}_E^\dagger \mathbf{Y}_E)_{lj} + \text{Tr}(\Lambda_{E^l}^\dagger \Lambda_{Ej})] + (\mathbf{h}_E)_{lj} [\mathbf{Y}_E \mathbf{Y}_E^\dagger + \Lambda_{E^n} \Lambda_{E^n}^\dagger + 3\Lambda_{D^n} \Lambda_{D^n}^\dagger]_{il} \\
& + (\mathbf{h}_E)_{ij} \left[\text{Tr}(\mathbf{Y}_E^\dagger \mathbf{Y}_E) + 3 \text{Tr}(\mathbf{Y}_D^\dagger \mathbf{Y}_D) - \frac{9}{5} g_1^2 - 3g_2^2 \right] + (\mathbf{h}_{E^j})_{il} [-\Lambda_{E^n}^* \mathbf{Y}_E - 3\Lambda_{D^n}^* \mathbf{Y}_D]_{ln} \\
& + (\mathbf{Y}_E)_{il} [4(\mathbf{Y}_E^\dagger \mathbf{h}_E)_{lj} + 2 \text{Tr}(\Lambda_{E^l}^\dagger \mathbf{h}_{Ej})] + (\mathbf{Y}_E)_{lj} [2\mathbf{h}_E \mathbf{Y}_E^\dagger + 2\mathbf{h}_{E^n} \Lambda_{E^n}^\dagger + 6\mathbf{h}_{D^n} \Lambda_{D^n}^\dagger]_{il} \\
& + (\mathbf{Y}_E)_{ij} \left[2 \text{Tr}(\mathbf{Y}_E^\dagger \mathbf{h}_E) + 6 \text{Tr}(\mathbf{Y}_D^\dagger \mathbf{h}_D) + \frac{18}{5} g_1^2 M_1 + 6g_2^2 M_2 \right] + (\Lambda_{Ej})_{il} [-2(\Lambda_{E^n}^* \mathbf{h}_E) - 6(\Lambda_{D^n}^* \mathbf{h}_D)]_{ln}, \quad (C5)
\end{aligned}$$

$$\begin{aligned}
16\pi^2 \frac{d(\mathbf{h}_D)_{ij}}{dt} = & (\mathbf{h}_D)_{il} [2(\mathbf{Y}_D^\dagger \mathbf{Y}_D)_{lj} + 2 \text{Tr}(\Lambda_{D^l}^\dagger \Lambda_{Dj}) + 2(\Lambda_{U^n} \Lambda_{U^n}^\dagger)_{jl}] + (\mathbf{h}_D)_{lj} [\mathbf{Y}_D \mathbf{Y}_D^\dagger + \mathbf{Y}_U \mathbf{Y}_U^\dagger]_{il} \\
& + (\mathbf{h}_D)_{ij} [\Lambda_{D^n}^\dagger \Lambda_{D^n}]_{li} + (\mathbf{h}_D)_{ij} \left[\text{Tr}(\mathbf{Y}_E^\dagger \mathbf{Y}_E) + 3 \text{Tr}(\mathbf{Y}_D^\dagger \mathbf{Y}_D) - \frac{7}{15} g_1^2 - 3g_2^2 - \frac{16}{3} g_3^2 \right] + (\mathbf{h}_{Dj})_{il} [(\Lambda_{E^n}^* \mathbf{Y}_E) \\
& + 3(\Lambda_{D^n}^* \mathbf{Y}_D)]_{ln} + (\mathbf{Y}_D)_{il} [4(\mathbf{Y}_D^\dagger \mathbf{h}_D)_{lj} + 4 \text{Tr}(\Lambda_{D^l}^\dagger \mathbf{h}_{Dj}) + 4(\mathbf{h}_{U^n} \Lambda_{U^n}^\dagger)_{jl}] + (\mathbf{Y}_D)_{lj} [2\mathbf{h}_D \mathbf{Y}_D^\dagger + 2\mathbf{h}_U \mathbf{Y}_U^\dagger]_{il} \\
& + (\mathbf{Y}_D)_{ij} [2\Lambda_{D^n}^\dagger \mathbf{h}_{D^n}]_{li} + (\mathbf{Y}_D)_{ij} \left[2 \text{Tr}(\mathbf{Y}_E^\dagger \mathbf{h}_E) + 6 \text{Tr}(\mathbf{Y}_D^\dagger \mathbf{h}_D) + \frac{14}{15} g_1^2 M_1 + 6g_2^2 M_2 + \frac{32}{3} g_3^2 M_3 \right] \\
& + (\Lambda_{Dj})_{il} [2(\Lambda_{E^n}^* \mathbf{h}_E) + 6(\Lambda_{D^n}^* \mathbf{h}_D)]_{ln}, \quad (C6)
\end{aligned}$$

$$\begin{aligned}
16\pi^2 \frac{d(\mathbf{h}_U)_{ij}}{dt} = & (\mathbf{h}_U)_{il} [2(\mathbf{Y}_U^\dagger \mathbf{Y}_U)_{lj} + \text{Tr}(\Lambda_{U^l}^\dagger \Lambda_{Uj})] + (\mathbf{h}_U)_{lj} [(\mathbf{Y}_U \mathbf{Y}_U^\dagger)_{il} + (\mathbf{Y}_D \mathbf{Y}_D^\dagger)_{il} + (\Lambda_{D^n}^\dagger \Lambda_{D^n})_{il}] \\
& + (\mathbf{h}_U)_{ij} \left[3 \text{Tr}(\mathbf{Y}_U^\dagger \mathbf{Y}_U) - \frac{13}{15} g_1^2 - 3g_2^2 - \frac{16}{3} g_3^2 \right] + (\mathbf{Y}_U)_{il} [4(\mathbf{Y}_U^\dagger \mathbf{h}_U)_{lj} + 2 \text{Tr}(\Lambda_{U^l}^\dagger \mathbf{h}_{Uj})] \\
& + (\mathbf{Y}_U)_{lj} [2(\mathbf{h}_U \mathbf{Y}_U^\dagger)_{il} + 2(\mathbf{h}_D \mathbf{Y}_D^\dagger)_{il} + 2(\Lambda_{D^n}^\dagger \mathbf{h}_{D^n})_{il}] \\
& + (\mathbf{Y}_U)_{ij} \left[6 \text{Tr}(\mathbf{Y}_U^\dagger \mathbf{h}_U) + \frac{26}{15} g_1^2 M_1 + 6g_2^2 M_2 + \frac{32}{3} g_3^2 M_3 \right], \quad (C7)
\end{aligned}$$

$$\begin{aligned}
16\pi^2 \frac{d(\mathbf{h}_{E^k})_{ij}}{dt} = & (\mathbf{h}_{E^l})_{ij} [2(\mathbf{Y}_E^\dagger \mathbf{Y}_E)_{lk} + \text{Tr}(\Lambda_{E^l}^\dagger \Lambda_{Ek})] + (\mathbf{h}_E)_{jk} [\Lambda_{E^n} \mathbf{Y}_E^* + 3\Lambda_{D^n} \mathbf{Y}_D^*]_{in} \\
& + (\mathbf{h}_{E^k})_{jl} [-\mathbf{Y}_E \mathbf{Y}_E^\dagger - \Lambda_{E^n} \Lambda_{E^n}^\dagger - 3\Lambda_{D^n} \Lambda_{D^n}^\dagger]_{il} + (\mathbf{h}_{E^k})_{il} [\mathbf{Y}_E \mathbf{Y}_E^\dagger + \Lambda_{E^n} \Lambda_{E^n}^\dagger + 3\Lambda_{D^n} \Lambda_{D^n}^\dagger]_{jl} \\
& + (\mathbf{h}_E)_{ik} [-\Lambda_{E^n} \mathbf{Y}_E^* - 3\Lambda_{D^n} \mathbf{Y}_D^*]_{jn} + (\Lambda_{E^l})_{ij} [4(\mathbf{Y}_E^\dagger \mathbf{h}_E)_{lk} + 2 \text{Tr}(\Lambda_{E^l}^\dagger \mathbf{h}_{Ek})] \\
& + (\mathbf{Y}_E)_{jk} [2\mathbf{h}_{E^n} \mathbf{Y}_E^* + 6\mathbf{h}_{D^n} \mathbf{Y}_D^*]_{in} + (\Lambda_{E^k})_{jl} [-2\mathbf{h}_E \mathbf{Y}_E^\dagger - 2\mathbf{h}_{E^n} \Lambda_{E^n}^\dagger - 6\mathbf{h}_{D^n} \Lambda_{D^n}^\dagger]_{il} \\
& + (\Lambda_{E^k})_{il} [2\mathbf{h}_E \mathbf{Y}_E^\dagger + 2\mathbf{h}_{E^n} \Lambda_{E^n}^\dagger + 6\mathbf{h}_{D^n} \Lambda_{D^n}^\dagger]_{jl} + (\mathbf{Y}_E)_{ik} [-2\mathbf{h}_{E^n} \mathbf{Y}_E^* - 6\mathbf{h}_{D^n} \mathbf{Y}_D^*]_{jn} \\
& - (\mathbf{h}_{E^k})_{ij} \left[\frac{9}{5} g_1^2 + 3g_2^2 \right] + (\Lambda_{E^k})_{ij} \left[\frac{18}{5} g_1^2 M_1 + 6g_2^2 M_2 \right], \quad (C8)
\end{aligned}$$

$$\begin{aligned}
16\pi^2 \frac{d(\mathbf{h}_{D^k})_{ij}}{dt} = & (\mathbf{h}_{D^l})_{ij} [2(\mathbf{Y}_D^\dagger \mathbf{Y}_D)_{lk} + 2 \text{Tr}(\Lambda_{D^l}^\dagger \Lambda_{Dk}) + 2(\Lambda_{U^n} \Lambda_{U^n}^\dagger)_{lk}] + (\mathbf{h}_{D^k})_{lj} [\mathbf{Y}_E \mathbf{Y}_E^\dagger + \Lambda_{E^n} \Lambda_{E^n}^\dagger + 3\Lambda_{D^n} \Lambda_{D^n}^\dagger]_{il} \\
& + (\mathbf{h}_D)_{jk} [\Lambda_{E^n} \mathbf{Y}_E^* + 3\Lambda_{D^n} \mathbf{Y}_D^*]_{in} + (\mathbf{h}_{D^k})_{il} [(\mathbf{Y}_D \mathbf{Y}_D^\dagger)_{jl} + (\mathbf{Y}_U \mathbf{Y}_U^\dagger)_{jl} + (\Lambda_{D^n}^\dagger \Lambda_{D^n})_{jl}] \\
& + (\Lambda_{D^l})_{ij} [4(\mathbf{Y}_D^\dagger \mathbf{h}_D)_{lk} + 4 \text{Tr}(\Lambda_{D^l}^\dagger \mathbf{h}_{Dk}) + 4(\Lambda_{U^n} \mathbf{h}_{U^n})_{lk}] + (\Lambda_{D^k})_{ij} [2\mathbf{h}_E \mathbf{Y}_E^\dagger + 2\mathbf{h}_{E^n} \Lambda_{E^n}^\dagger + 6\mathbf{h}_{D^n} \Lambda_{D^n}^\dagger]_{il} \\
& + (\mathbf{Y}_D)_{jk} [2\mathbf{h}_{E^n} \mathbf{Y}_E^* + 6\mathbf{h}_{D^n} \mathbf{Y}_D^*]_{in} + (\Lambda_{D^k})_{il} [2(\mathbf{h}_D \mathbf{Y}_D^\dagger)_{jl} + 2(\mathbf{h}_U \mathbf{Y}_U^\dagger)_{jl} + 2(\Lambda_{D^n}^\dagger \mathbf{h}_{D^n})_{jl}] \\
& - (\mathbf{h}_{D^k})_{ij} \left[\frac{7}{15} g_1^2 + 3g_2^2 + \frac{16}{3} g_3^2 \right] + (\Lambda_{D^k})_{ij} \left[\frac{14}{15} g_1^2 M_1 + 6g_2^2 M_2 + \frac{32}{3} g_3^2 M_3 \right], \quad (C9)
\end{aligned}$$

$$\begin{aligned}
16\pi^2 \frac{d(\mathbf{h}_{U^i})_{jk}}{dt} = & (\mathbf{h}_{U^i})_{jl} [2(\mathbf{Y}_D^\dagger \mathbf{Y}_D)_{lk} + 2 \text{Tr}(\Lambda_{D^l}^\dagger \Lambda_{D^k}) + 2(\Lambda_{U^m}^\dagger \Lambda_{U^m})_{lk}] + (\mathbf{h}_{U^l})_{jk} [2(\mathbf{Y}_U^\dagger \mathbf{Y}_U)_{li} + \text{Tr}(\Lambda_{U^l}^\dagger \Lambda_{U^i})] \\
& + (\mathbf{h}_{U^i})_{kl} [-2(\mathbf{Y}_D^\dagger \mathbf{Y}_D)_{ij} - 2 \text{Tr}(\Lambda_{D^i}^\dagger \Lambda_{D^j}) - 2(\Lambda_{U^n}^\dagger \Lambda_{U^n})_{ij}] \\
& + (\Lambda_{U^i})_{jl} [4(\mathbf{Y}_D^\dagger \mathbf{h}_D)_{lk} + 4 \text{Tr}(\Lambda_{D^l}^\dagger \mathbf{h}_{D^k}) + 4(\Lambda_{U^m}^\dagger \mathbf{h}_{U^m})_{lk}] + (\Lambda_{U^l})_{jk} [4(\mathbf{Y}_U^\dagger \mathbf{h}_U)_{li} + 2 \text{Tr}(\Lambda_{U^l}^\dagger \mathbf{h}_{U^i})] \\
& + (\Lambda_{U^i})_{kl} [-4(\mathbf{Y}_D^\dagger \mathbf{h}_D)_{ij} - 4 \text{Tr}(\Lambda_{D^i}^\dagger \mathbf{h}_{D^j}) - 4(\Lambda_{U^n}^\dagger \mathbf{h}_{U^n})_{ij}] - (\mathbf{h}_{U^i})_{jk} \left[\frac{4}{5} g_1^2 + 8g_3^2 \right] + (\Lambda_{U^i})_{jk} \left[\frac{8}{5} g_1^2 M_1 + 16g_3^2 M_3 \right].
\end{aligned} \tag{C10}$$

The RGEs for the gaugino masses are not affected by the \mathcal{R}_p couplings up to one loop. The RGEs for the SUSY soft breaking masses are given by

$$\begin{aligned}
16\pi^2 \frac{d(\mathbf{m}_{\tilde{\mathbf{E}}}^2)_{ij}}{dt} = & 2(\mathbf{Y}_E^\dagger \mathbf{Y}_E)_{in} (\mathbf{m}_{\tilde{\mathbf{E}}}^2)_{nj} + \text{Tr}(\Lambda_{E^i}^\dagger \Lambda_{E^n}) (\mathbf{m}_{\tilde{\mathbf{E}}}^2)_{nj} + 2(\mathbf{m}_{\tilde{\mathbf{E}}}^2)_{in} (\mathbf{Y}_E^\dagger \mathbf{Y}_E)_{nj} + (\mathbf{m}_{\tilde{\mathbf{E}}}^2)_{in} \text{Tr}(\Lambda_{E^n}^\dagger \Lambda_{E^i}) \\
& + 4(\mathbf{Y}_E^\dagger \mathbf{Y}_E)_{ij} m_{H_1}^2 + 4(\mathbf{Y}_E^\dagger \Lambda_{E^i})_{ir} (\mathbf{m}_{H_1 \tilde{L}_r}^2) + 4 \text{Tr}[(\mathbf{m}_{\tilde{\mathbf{L}}}^2) \Lambda_{E^i}^\dagger \Lambda_{E^j}] + 4(\mathbf{m}_{\tilde{L}_q H_1}^2) (\Lambda_{E^i}^\dagger \mathbf{Y}_E)_{qj} \\
& + 4[\mathbf{Y}_E^\dagger (\mathbf{m}_{\tilde{\mathbf{L}}}^2) \mathbf{Y}_E]_{ij} + 4(\mathbf{h}_E^\dagger \mathbf{h}_E)_{ij} + 2 \text{Tr}(\mathbf{h}_{E^i}^\dagger \mathbf{h}_{E^j}) - \left(\frac{24}{5} |M_1|^2 g_1^2 - \frac{6}{5} g_1^2 \mathcal{S} \right) \delta_{ij},
\end{aligned} \tag{C11}$$

$$\begin{aligned}
16\pi^2 \frac{d(\mathbf{m}_{\tilde{\mathbf{L}}}^2)_{ij}}{dt} = & (\mathbf{m}_{\tilde{\mathbf{L}}}^2)_{in} (\mathbf{Y}_E \mathbf{Y}_E^\dagger)_{nj} - (\mathbf{m}_{\tilde{L}_i H_1}^2) (\Lambda_{E^q}^* \mathbf{Y}_E)_{jq} + (\mathbf{m}_{\tilde{\mathbf{L}}}^2)_{in} (\Lambda_{E^q} \Lambda_{E^q}^\dagger)_{nj} + (\mathbf{Y}_E \mathbf{Y}_E^\dagger)_{in} (\mathbf{m}_{\tilde{\mathbf{L}}}^2)_{nj} \\
& - (\Lambda_{E^q} \mathbf{Y}_E^*)_{iq} (\mathbf{m}_{H_1 \tilde{L}_j}^2) + (\Lambda_{E^q} \Lambda_{E^q}^\dagger)_{in} (\mathbf{m}_{\tilde{\mathbf{L}}}^2)_{nj} + 2(\mathbf{Y}_E \mathbf{Y}_E^\dagger)_{ij} m_{H_1}^2 + 2(\Lambda_{E^p})_{ir} (\mathbf{Y}_E^\dagger)_{pj} (\mathbf{m}_{H_1 \tilde{L}_r}^2) \\
& - 3(\Lambda_{D^q} \mathbf{Y}_D^*)_{iq} (\mathbf{m}_{H_1 \tilde{L}_j}^2) + 3[(\mathbf{m}_{\tilde{\mathbf{L}}}^2) \Lambda_{D^q} \Lambda_{D^q}^\dagger]_{ij} - 3(\Lambda_{D^q}^* \mathbf{Y}_D)_{jq} (\mathbf{m}_{\tilde{L}_i H_1}^2) + 3[\Lambda_{D^q} \Lambda_{D^q}^\dagger (\mathbf{m}_{\tilde{\mathbf{L}}}^2)]_{ij} \\
& + 2(\mathbf{Y}_E)_{ip} (\Lambda_{E^p})_{qj} (\mathbf{m}_{\tilde{L}_q H_1}^2) + 2(\Lambda_{E^p})_{ir} (\mathbf{m}_{\tilde{\mathbf{L}}}^2)_{qr} (\Lambda_{E^p})_{qj} + 2(\mathbf{Y}_E)_{ir} (\mathbf{m}_{\tilde{\mathbf{E}}}^2)_{rq} (\mathbf{Y}_E^\dagger)_{qj} \\
& + 2(\Lambda_{E^r})_{ip} (\mathbf{m}_{\tilde{\mathbf{E}}}^2)_{rq} (\Lambda_{E^q}^\dagger)_{pj} + 6(\Lambda_{D^r})_{ip} (\mathbf{m}_{\tilde{\mathbf{D}}}^2)_{rq} (\Lambda_{D^q}^\dagger)_{pj} + 6(\Lambda_{D^k})_{il} (\mathbf{m}_{\tilde{\mathbf{Q}}}^2)_{ml} (\Lambda_{D^k}^\dagger)_{mj} \\
& + 2(\mathbf{h}_E \mathbf{h}_E^\dagger)_{ij} + 2(\mathbf{h}_{E^q} \mathbf{h}_{E^q}^\dagger)_{ij} + 6(\mathbf{h}_{D^q} \mathbf{h}_{D^q}^\dagger)_{ij} - \left(\frac{6}{5} g_1^2 |M_1|^2 + 6g_2^2 |M_2|^2 + \frac{3}{5} g_1^2 \mathcal{S} \right) \delta_{ij},
\end{aligned} \tag{C12}$$

$$\begin{aligned}
16\pi^2 \frac{d(\mathbf{m}_{H_1 \tilde{L}_i}^2)}{dt} = & (\Lambda_{E^q} \mathbf{Y}_E)_{iq} m_{H_1}^2 + (\Lambda_{E^q} \Lambda_{E^q}^\dagger)_{in} (\mathbf{m}_{H_1 \tilde{L}_n}^2) - (\mathbf{m}_{H_1 \tilde{L}_n}^2) (\mathbf{Y}_E \mathbf{Y}_E^\dagger)_{ni} - 3(\Lambda_{D^q}^* \mathbf{Y}_D)_{iq} m_{H_1}^2 \\
& + 3(\Lambda_{D^q} \Lambda_{D^q}^\dagger)_{ni} (\mathbf{m}_{H_1 \tilde{L}_n}^2) + [\text{Tr}(\mathbf{Y}_E \mathbf{Y}_E^\dagger) + 3 \text{Tr}(\mathbf{Y}_D^\dagger \mathbf{Y}_D)] (\mathbf{m}_{H_1 \tilde{L}_i}^2) \\
& + (\mathbf{m}_{\tilde{\mathbf{L}}}^2)_{ni} (\Lambda_{E^q}^\dagger \mathbf{Y}_E - 3\Lambda_{D^q}^* \mathbf{Y}_D)_{nq} + 2(\Lambda_{E^p}^\dagger)_{iq} (\mathbf{m}_{\tilde{\mathbf{L}}}^2)_{qr} (\mathbf{Y}_E)_{rp} + 2(\Lambda_{E^q}^\dagger \mathbf{Y}_E)_{ir} (\mathbf{m}_{\tilde{\mathbf{E}}}^2)_{rq} \\
& - 6(\Lambda_{D^q}^* \mathbf{Y}_D)_{ir} (\mathbf{m}_{\tilde{\mathbf{D}}}^2)_{rq} - 6(\Lambda_{D^p}^*)_{iq} (\mathbf{m}_{\tilde{\mathbf{Q}}}^2)_{qr} (\mathbf{Y}_D)_{rp} - [2\mathbf{h}_{E^q}^* \mathbf{h}_E + 6\mathbf{h}_{D^q}^* \mathbf{h}_D]_{iq},
\end{aligned} \tag{C13}$$

$$\begin{aligned}
16\pi^2 \frac{d(\mathbf{m}_{\tilde{\mathbf{Q}}}^2)_{ij}}{dt} = & [\mathbf{Y}_D \mathbf{Y}_D^\dagger + \mathbf{Y}_U \mathbf{Y}_U^\dagger]_{nj} (\mathbf{m}_{\tilde{\mathbf{Q}}}^2)_{in} + (\Lambda_{D^q}^\dagger \Lambda_{D^q})_{jn} (\mathbf{m}_{\tilde{\mathbf{Q}}}^2)_{in} + [\mathbf{Y}_D \mathbf{Y}_D^\dagger + \mathbf{Y}_U \mathbf{Y}_U^\dagger]_{in} (\mathbf{m}_{\tilde{\mathbf{Q}}}^2)_{nj} \\
& + (\mathbf{m}_{\tilde{\mathbf{Q}}}^2)_{nj} (\Lambda_{D^q}^\dagger \Lambda_{D^q})_{ni} + 2(\mathbf{Y}_D)_{ir} (\mathbf{m}_{\tilde{\mathbf{D}}}^2)_{rq} (\mathbf{Y}_D^\dagger)_{qj} + 2(\mathbf{Y}_D \mathbf{Y}_D^\dagger)_{ij} m_{H_1}^2 \\
& + 2(\Lambda_{D^p}^\dagger)_{jq} (\mathbf{m}_{\tilde{\mathbf{L}}}^2)_{qr} (\Lambda_{D^p})_{ri} + 2(\mathbf{Y}_U)_{ir} (\mathbf{m}_{\tilde{\mathbf{U}}}^2)_{rq} (\mathbf{Y}_U^\dagger)_{qj} + 2(\mathbf{Y}_U \mathbf{Y}_U^\dagger)_{ij} m_{H_2}^2 \\
& + 2(\Lambda_{D^q}^\dagger \Lambda_{D^r})_{ji} (\mathbf{m}_{\tilde{\mathbf{D}}}^2)_{rq} - 2(\mathbf{m}_{H_1 \tilde{L}_i}^2) (\Lambda_{D^k})_{li} (\mathbf{Y}_D^\dagger)_{kj} - 2(\mathbf{Y}_D)_{iq} (\Lambda_{D^q}^\dagger)_{jk} (\mathbf{m}_{\tilde{L}_k H_1}^2) \\
& + 2[\mathbf{h}_D \mathbf{h}_D^\dagger + \mathbf{h}_U \mathbf{h}_U^\dagger]_{ij} + 2(\mathbf{h}_{D^q} \mathbf{h}_{D^q}^\dagger)_{ji} - \left(\frac{2}{15} g_1^2 |M_1|^2 + 6g_2^2 |M_2|^2 + \frac{32}{3} g_3^2 |M_3|^2 - \frac{1}{5} g_1^2 \mathcal{S} \right) \delta_{ij},
\end{aligned} \tag{C14}$$

$$\begin{aligned}
16\pi^2 \frac{d(\mathbf{m}_{\tilde{\mathbf{D}}}^2)_{ij}}{dt} = & 2(\mathbf{Y}_D^\dagger \mathbf{Y}_D)_{in}(\mathbf{m}_{\tilde{\mathbf{D}}}^2)_{nj} + 2 \text{Tr}(\Lambda_{D^i}^\dagger \Lambda_{D^n}) (\mathbf{m}_{\tilde{\mathbf{D}}}^2)_{nj} + 2(\Lambda_{U^q} \Lambda_{U^q}^\dagger)_{ni} (\mathbf{m}_{\tilde{\mathbf{D}}}^2)_{nj} + 2(\mathbf{Y}_D^\dagger \mathbf{Y}_D)_{nj} (\mathbf{m}_{\tilde{\mathbf{D}}}^2)_{in} \\
& + 2 \text{Tr}(\Lambda_{D^i} \Lambda_{D^n}^\dagger) (\mathbf{m}_{\tilde{\mathbf{D}}}^2)_{in} + 2(\Lambda_{U^p} \Lambda_{U^p}^\dagger)_{jn} (\mathbf{m}_{\tilde{\mathbf{D}}}^2)_{in} + 4(\mathbf{Y}_D^\dagger)_{iq} (\mathbf{m}_{\tilde{\mathbf{Q}}}^2)_{qr} (\mathbf{Y}_D)_{rj} + 4(\mathbf{Y}_D^\dagger)_{ip} (\mathbf{Y}_D)_{pj} m_{H_1}^2 \\
& + 4(\Lambda_{D^i} \Lambda_{D^i}^\dagger)_{rq} (\mathbf{m}_{\tilde{\mathbf{L}}}^2)_{qr} + 4(\Lambda_{D^i}^\dagger \Lambda_{D^i})_{qr} (\mathbf{m}_{\tilde{\mathbf{Q}}}^2)_{qr} + 4(\Lambda_{U^p}^\dagger)_{qi} (\Lambda_{U^p})_{jr} (\mathbf{m}_{\tilde{\mathbf{D}}}^2)_{rq} + 4(\Lambda_{U^l} \Lambda_{U^l}^\dagger)_{ji} (\mathbf{m}_{\tilde{\mathbf{U}}}^2)_{lq} \\
& + 4(\mathbf{h}_D^\dagger \mathbf{h}_D)_{ij} + 4 \text{Tr}(\mathbf{h}_{D^i}^\dagger \mathbf{h}_{D^j}) + 4(\mathbf{h}_{U^p} \mathbf{h}_{U^p}^\dagger)_{ji} - 4(\Lambda_{D^i}^* \mathbf{Y}_D)_{lj} (\mathbf{m}_{H_1 \tilde{L}_i}^2) - 4(\Lambda_{D^j} \mathbf{Y}_D^*)_{li} (\mathbf{m}_{H_1 \tilde{L}_i}^2) \\
& - \left(\frac{8}{15} g_1^2 |M_1|^2 + \frac{32}{3} g_3^2 |M_3|^2 - \frac{2}{5} g_1^2 \mathcal{S} \right) \delta_{ij}, \tag{C15}
\end{aligned}$$

$$\begin{aligned}
16\pi^2 \frac{d(\mathbf{m}_{\tilde{\mathbf{U}}}^2)_{ij}}{dt} = & 2(\mathbf{Y}_U^\dagger \mathbf{Y}_U)_{in} (\mathbf{m}_{\tilde{\mathbf{U}}}^2)_{nj} + \text{Tr}(\Lambda_{U^i}^\dagger \Lambda_{U^n}) (\mathbf{m}_{\tilde{\mathbf{U}}}^2)_{nj} + 2(\mathbf{Y}_U^\dagger \mathbf{Y}_U)_{nj} (\mathbf{m}_{\tilde{\mathbf{U}}}^2)_{in} + \text{Tr}(\Lambda_{U^j} \Lambda_{U^n}^\dagger) (\mathbf{m}_{\tilde{\mathbf{U}}}^2)_{in} \\
& + 4(\mathbf{Y}_U^\dagger)_{iq} (\mathbf{m}_{\tilde{\mathbf{Q}}}^2)_{qr} (\mathbf{Y}_U)_{rj} + 4(\mathbf{Y}_U^\dagger \mathbf{Y}_U)_{ij} m_{H_2}^2 + 4(\Lambda_{U^i}^\dagger \Lambda_{U^j})_{qr} (\mathbf{m}_{\tilde{\mathbf{D}}}^2)_{rq} + 4(\mathbf{h}_U^\dagger \mathbf{h}_U)_{ij} + 2 \text{Tr}(\mathbf{h}_{U^i}^\dagger \mathbf{h}_{U^j}) \\
& - \left(\frac{32}{15} g_1^2 |M_1|^2 + \frac{32}{3} g_3^2 |M_3|^2 + \frac{4}{5} g_1^2 \mathcal{S} \right) \delta_{ij}, \tag{C16}
\end{aligned}$$

$$\begin{aligned}
16\pi^2 \frac{dm_{H_1}^2}{dt} = & 2 \text{Tr}(\mathbf{Y}_E^\dagger \mathbf{Y}_E) m_{H_1}^2 + 6 \text{Tr}(\mathbf{Y}_D^\dagger \mathbf{Y}_D) m_{H_1}^2 + (\mathbf{Y}_E^\dagger \Lambda_{E^q})_{qn} (\mathbf{m}_{H_1 \tilde{L}_n}^2) - 3(\Lambda_{D^k} \mathbf{Y}_D^*)_{qk} (\mathbf{m}_{H_1 \tilde{L}_q}^2) \\
& - 3(\Lambda_{D^k}^* \mathbf{Y}_D)_{qk} (\mathbf{m}_{\tilde{L}_q H_1}^2) + (\Lambda_{E^q}^\dagger \mathbf{Y}_E)_{nq} (\mathbf{m}_{\tilde{L}_n H_1}^2) + 2(\mathbf{Y}_E^\dagger \mathbf{Y}_E)_{qr} (\mathbf{m}_{\tilde{\mathbf{E}}}^2)_{rq} + 2(\mathbf{Y}_E \mathbf{Y}_E^\dagger)_{rq} (\mathbf{m}_{\tilde{\mathbf{L}}}^2)_{qr} \\
& + 6(\mathbf{Y}_D^\dagger \mathbf{Y}_D)_{qr} (\mathbf{m}_{\tilde{\mathbf{D}}}^2)_{rq} + 6(\mathbf{Y}_D \mathbf{Y}_D^\dagger)_{rq} (\mathbf{m}_{\tilde{\mathbf{Q}}}^2)_{qr} + 2 \text{Tr}(\mathbf{h}_E^\dagger \mathbf{h}_E) + 6 \text{Tr}(\mathbf{h}_D^\dagger \mathbf{h}_D) \\
& - \left(\frac{6}{5} g_1^2 |M_1|^2 + 6g_2^2 |M_2|^2 + \frac{3}{5} g_1^2 \mathcal{S} \right) \delta_{ij}, \tag{C17}
\end{aligned}$$

$$\begin{aligned}
16\pi^2 \frac{dm_{H_2}^2}{dt} = & 6 \text{Tr}(\mathbf{Y}_U^\dagger \mathbf{Y}_U) m_{H_2}^2 + 6(\mathbf{Y}_U^\dagger \mathbf{Y}_U)_{qr} (\mathbf{m}_{\tilde{\mathbf{U}}}^2)_{rq} + 6(\mathbf{Y}_U \mathbf{Y}_U^\dagger)_{rq} (\mathbf{m}_{\tilde{\mathbf{Q}}}^2)_{qr} + 6 \text{Tr}(\mathbf{h}_U^\dagger \mathbf{h}_U) \\
& - \left(\frac{6}{5} g_1^2 |M_1|^2 + 6g_2^2 |M_2|^2 - \frac{3}{5} g_1^2 \mathcal{S} \right) \delta_{ij}, \tag{C18}
\end{aligned}$$

where

$$(\mathbf{m}_{H_1 \tilde{L}_i}^2) = (\mathbf{m}_{\tilde{L}_i H_1}^2)^*, \tag{C19}$$

and

$$\mathcal{S} = m_{H_2}^2 - m_{H_1}^2 + \text{Tr}[\mathbf{m}_{\tilde{\mathbf{Q}}}^2 - \mathbf{m}_{\tilde{\mathbf{L}}}^2 - 2\mathbf{m}_{\tilde{\mathbf{U}}}^2 + \mathbf{m}_{\tilde{\mathbf{D}}}^2 + \mathbf{m}_{\tilde{\mathbf{E}}}^2]. \tag{C20}$$

APPENDIX D: FOUR-BODY $\tilde{\tau}$ DECAY

In this appendix, we compute the four-body decay $\tilde{\tau}^- \rightarrow \tau^- \mu^+ \bar{u} d$ via the \not{R}_p operator $\Lambda' L_\mu Q_1 \bar{D}_1$. The relevant Feynman diagrams are given in Figs. 8(a)–8(c). We neglect the contributions from the heavier neutralinos. Using the no-

tation of Ref. [108], the three amplitudes corresponding to Fig. 8 are given by

$$\begin{aligned}
\mathcal{M}_a = & + \frac{2i\lambda' b_{\tilde{\mu}}}{(\tilde{\mu}^2 - m_{\tilde{\mu}}^2)(\chi^2 - M_\chi^2)} (\bar{d} P_L u) \\
& \times \{\bar{\tau} [a_\tau P_L + b_\tau P_R] (\chi + M_\chi) P_L \mu\}, \tag{D1}
\end{aligned}$$

$$\begin{aligned}
\mathcal{M}_b = & - \frac{2i\lambda' b_{\tilde{u}}}{(\tilde{u}^2 - m_{\tilde{u}}^2)(\chi^2 - M_\chi^2)} (\bar{d} P_L \mu) \\
& \times \{\bar{\tau} [a_\tau P_L + b_\tau P_R] (\chi + M_\chi) P_L u\}, \tag{D2}
\end{aligned}$$

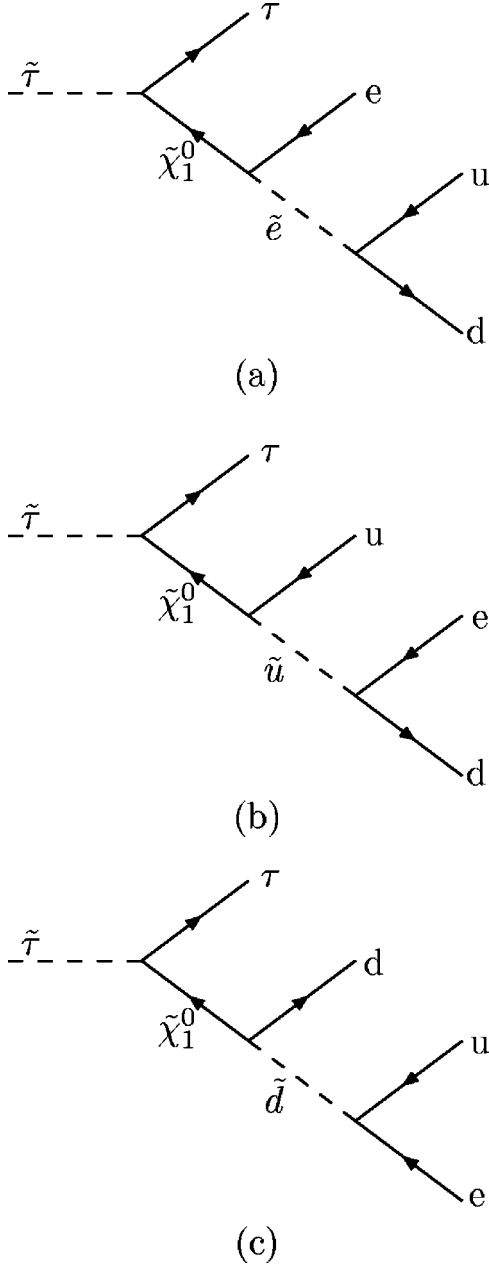


FIG. 8. Feynman diagrams for the decay $\tilde{\tau} \rightarrow \tau(\tilde{\chi}_1^0)^* \rightarrow \tau(\mu u d)$ via the operator $L_\mu Q_1 \bar{D}_1$.

$$\mathcal{M}_c = -\frac{2i\lambda' a_{\tilde{d}}}{(\tilde{d}^2 - m_{\tilde{d}}^2)(\chi^2 - M_\chi^2)} (\bar{u} P_L \mu) \times \{\bar{\tau} \{a_\tau P_L + b_\tau P_R\} (\chi + M_\chi) P_L d\}. \quad (\text{D3})$$

Here the four-momenta are denoted by the particle symbol. The momenta $\tilde{\mu}$, \tilde{u} , \tilde{d} , χ flow along the corresponding propagators from left to right. We use below that $\chi = \mu + u + d$ as well as the notation $N_p = p^2 - m_p^2$ for the denominators in the propagators. We have assumed there is no mixing in the scalar μ , u , and d sectors. However, we allow for mixing in the stau sector. $\tilde{\mu}_L$, \tilde{u}_L , \tilde{d}_R are the only sparticles

that couple to the R -parity violating operators. The coupling constants are given by [2,66,108]

$$a_\tau = L_{21}^\tau \left(e N_{11}'^* - \frac{g \sin^2 \theta_w N_{12}'^*}{\cos \theta_w} \right),$$

$$b_\tau = -L_{11}^\tau \left(e N_{11}' + \frac{g N_{12}' \left(\frac{1}{2} - \sin^2 \theta_w \right)}{\cos \theta_w} \right), \quad (\text{D4})$$

$$b_\mu = -e N_{11}' - \frac{g N_{12}' \left(\frac{1}{2} - \sin^2 \theta_w \right)}{\cos \theta_w},$$

$$b_u = e e_u N_{11}' + \frac{g N_{12}' \left(\frac{1}{2} - e_u \sin^2 \theta_w \right)}{\cos \theta_w}, \quad (\text{D5})$$

$$a_d = -e e_d N_{11}'^* + \frac{g e_d \sin^2 \theta_w N_{12}'^*}{\cos \theta_w}. \quad (\text{D6})$$

The total matrix element squared is given by

$$|\mathcal{M}|^2 = N_c [|\mathcal{M}_a|^2 + |\mathcal{M}_b|^2 + |\mathcal{M}_c|^2 + 2 \text{Re}(\mathcal{M}_a \mathcal{M}_b^\dagger + \mathcal{M}_a \mathcal{M}_c^\dagger + \mathcal{M}_b \mathcal{M}_c^\dagger)], \quad (\text{D7})$$

where $N_c = 3$ is the color factor and

$$|\mathcal{M}_a|^2 = \frac{16\lambda'^2 |b_\mu|^2}{N_\chi^2 N_{\tilde{\mu}}^2} d \cdot u [|a_\tau|^2 M_\chi^2 \tau \cdot \mu + |b_\tau|^2 g(\tau, \chi, \mu, \chi)], \quad (\text{D8})$$

$$|\mathcal{M}_b|^2 = \frac{16\lambda'^2 |b_u|^2}{N_\chi^2 N_{\tilde{u}}^2} d \cdot \mu [|a_\tau|^2 M_\chi^2 \tau \cdot u + |b_\tau|^2 g(\tau, \chi, u, \chi)], \quad (\text{D9})$$

$$|\mathcal{M}_c|^2 = \frac{16\lambda'^2 |a_d|^2}{N_\chi^2 N_{\tilde{d}}^2} u \cdot \mu [|a_\tau|^2 M_\chi^2 \tau \cdot d + |b_\tau|^2 g(\tau, \chi, d, \chi)], \quad (\text{D10})$$

$$2\Re(\mathcal{M}_a \mathcal{M}_b^\dagger) = -\frac{16\lambda'^2 b_\mu b_u^*}{N_\chi^2 N_{\tilde{\mu}} N_{\tilde{u}}} [|a_\tau|^2 M_\chi^2 g(\tau, \mu, d, u) + |b_\tau|^2 f(\tau, \chi, \mu, d, u, \chi)], \quad (\text{D11})$$

$$2\Re(\mathcal{M}_a \mathcal{M}_c^\dagger) = \frac{16\lambda'^2 b_\mu a_d^*}{N_\chi^2 N_{\tilde{\mu}} N_{\tilde{d}}} [|a_\tau|^2 M_\chi^2 g(\tau, \mu, u, d) + |b_\tau|^2 f(\tau, \chi, \mu, u, d, \chi)], \quad (\text{D12})$$

$$2\Re(\mathcal{M}_b \mathcal{M}_c^\dagger) = \frac{16\lambda'^2 b_u a_d^*}{N_\chi^2 N_{\tilde{u}} N_{\tilde{d}}} [|a_\tau|^2 M_\chi^2 g(\tau, u, \mu, d) + |b_\tau|^2 f(\tau, \chi, u, \mu, d, \chi)]. \quad (\text{D13})$$

The functions are given by

$$g(a,b,c,d) = a \cdot bc \cdot d - a \cdot cb \cdot d + a \cdot db \cdot c,$$

$$f(\tau, \chi, a, b, c, \chi) = -\chi^2 g(\tau, a, b, c) + 2\tau \cdot \chi g(\chi, a, b, c). \quad (\text{D14})$$

The squared amplitude in Eq. (D7) can be used in Monte Carlo simulation programs to generate events with a decaying stau. We are interested here in an analytic approximation for the total decay width. To this end, we shall assume $\chi^2 \ll M_\chi^2$. This is equivalent above to setting $b_\tau = 0$. Furthermore, we assume that all scalar propagators are dominated by their mass terms and the scalar fermion mass is universal: $m_{\tilde{\mu}} = m_{\tilde{\tau}} = m_{\tilde{d}} \equiv \tilde{m}$. In this simplified case, the amplitude squared is given by

$$|\mathcal{M}|^2 = \frac{16\lambda'^2 |a_\tau|^2 N_c}{M_\chi^2 \tilde{m}^4} [|b_\mu|^2 d \cdot u \tau \cdot \mu + |b_u|^2 d \cdot \mu \tau \cdot u$$

$$+ |a_d|^2 u \cdot \mu \tau \cdot d - b_\mu b_u^* g(\tau, \mu, d, u)$$

$$+ b_\mu a_d^* g(\tau, \mu, u, d) + b_u a_d^* g(\tau, u, \mu, d)]. \quad (\text{D15})$$

The total width is given by [109,110]

$$\Gamma = \frac{(2\pi)^{-8}}{2M_{\tilde{\tau}}} \int \prod_{i=1}^4 \frac{d^3 k_i}{2E_i} \delta^4(\tilde{\tau} - k_1 - k_2 - k_3 - k_4) |\mathcal{M}|^2, \quad (\text{D16})$$

where $k_1 = \tau$, $k_2 = \mu$, $k_3 = u$, $k_4 = d$. After the simplification, our matrix element squared consists of three kinds of terms which depend on the final-state four-momenta: $(\tau \cdot \mu)(u \cdot d)$, $(\tau \cdot u)(\mu \cdot d)$, and $(\tau \cdot d)(\mu \cdot u)$. As can be seen from the phase-space integral, these all contribute the same; they simply correspond to a relabeling. We thus explicitly integrate only the first term. Using Eq. (4) from Ref. [109] with $N = \tilde{\tau} - k_1 - k_2$, we see that

$$\int \frac{d^3 k_u}{2E_u} \frac{d^3 k_d}{2E_d} (u \cdot d) \delta^4(N - u - d) = \frac{\pi}{4} (\tilde{\tau} - \tau - \mu)^2, \quad (\text{D17})$$

and we thus obtain

$$A_1 \equiv \int \prod_{i=1}^4 \frac{d^3 k_i}{2E_i} \delta^4(N - u - d) (\tau \cdot \mu) (u \cdot d)$$

$$= \frac{\pi}{4} \int \frac{d^3 \tau}{2E_\tau} \int \frac{d^3 \mu}{2E_\mu} (\tau \cdot \mu) (\tilde{\tau} - \tau - \mu)^2. \quad (\text{D18})$$

In the rest-frame of the decaying stau with the z axis in the direction of the 3-momentum of the τ ,

$$\tilde{\tau} = (M_{\tilde{\tau}}, 0, 0, 0), \quad \tau = E_\tau (1, 0, 0, 1), \quad \mu = E_\mu (1, \sin \theta, 0, \cos \theta). \quad (\text{D19})$$

Performing the integrals over $d\Omega_\tau$ and $d\phi_\mu$,

$$A_1 = \frac{\pi^3}{2} \int dE_\tau \int dE_\mu \int d \cos \theta E_\tau^2 E_\mu^2 (1 - \cos \theta)$$

$$\times [M_{\tilde{\tau}}^2 - 2M_{\tilde{\tau}} E_\tau - 2M_{\tilde{\tau}} E_\mu + 2E_\mu E_\tau (1 - \cos \theta)]. \quad (\text{D20})$$

It is convenient to change to dimensionless variables $E_\mu = \frac{1}{2} M_{\tilde{\tau}} z$, $E_\tau = \frac{1}{2} M_{\tilde{\tau}} y$, and $1 - \cos \theta = 2w$ [109]. Implementing the integral boundaries given in Refs. [109,110], leads to the result

$$A_1 = \frac{\pi^3 M_{\tilde{\tau}}^8}{2^5} \int_0^1 dz \left[\int_0^{1-z} dy \int_0^1 dw + \int_{1-z}^1 dy \int_{(y+z-1)/yz}^1 dw \right]$$

$$\times [z^2 y^2 w (1 - z - y + yzw)] = \frac{\pi^3 M_{\tilde{\tau}}^8}{2^5} \times \frac{1}{720}. \quad (\text{D21})$$

We thus have for the total width

$$\Gamma(\tilde{\tau}^- \rightarrow \tau^- \mu^+ \bar{u} d) = \frac{KN_c \lambda'^2 |a_\tau|^2}{2^5 \pi^5 M_\chi^2 \tilde{m}^4} M_{\tilde{\tau}}^7 (|b_\mu|^2 + |b_u|^2 + |a_d|^2$$

$$- b_\mu b_u^* + b_\mu a_d^* + b_u a_d^*), \quad (\text{D22})$$

where $K = 1/(720 \times 2^5) = 1/23040$.

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