Direct J/ψ and ψ' hadroproduction via fragmentation in the collinear parton model and k_T -factorization approach

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The p_T spectra for direct J/ψ and ψ' hadroproduction at Fermilab Tevatron energy are calculated within the framework of the NRQCD formalism and the fragmentation model in the collinear parton model as well as in the k_T -factorization approach. We describe the Collider Detector at Fermilab data and obtain a good agreement between the predictions of the parton model and the k_T -factorization approach. We perform the calculations using the relevant leading order in α_s hard amplitudes and taking equal values of the long-distance matrix elements for both models.

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I. INTRODUCTION

During the years after the measurement of the charmonium production cross sections and polarization effects for J/ψ and ψ' mesons at the Fermilab Tevatron collider [1] the phenomenology of quarkonium production had a phase of intensive developments. Nowadays, it is understood that heavy quarkonium production is a very complicated physical process and needs many new theoretical ideas. Starting from the color singlet model [2] the so-called nonrelativistic QCD (NRQCD) formalism [3] was developed to describe the nonperturbative transition of a $Q\bar{Q}$ pair into a final heavy quarkonium.

The perturbative fragmentation functions for partons which split into heavy quarkonia have been obtained [4] within the framework of the NRQCD formalism. It is supposed that the fragmentation model is more adequate for the description of quarkonium production at a large quarkonium transverse momentum $p_T \gg M_{O\bar{O}}$ than the fusion model.

In charmonium production at the energy range of the Tevatron collider we deal with the gluon distribution function from a proton which is taken at a very small x but the relevant virtuality $\mu^2 \sim M_{Q\bar{Q}}^2 + p_T^2$ is large. In the region under consideration the collinear parton model can be generalized within the framework of the k_T -factorization approach [5–8]. This fact leads to some interesting effects in the quarkonium production at the high energies, which were discussed ten years ago in Ref. [9] and recently in Refs. [10–13].

In this paper we calculate the p_T -spectra of the unpolarized direct J/ψ and ψ' mesons produced via the fragmentation mechanism. In the case of direct J/ψ and ψ' it is supposed that the production via the gluon fragmentation into the color-octet $Q\bar{Q} [{}^{3}S_{1}, \underline{8}]$ state is a dominant contribution [14,15]. We compare the predictions which are obtained as in the collinear parton model as in the k_T -factorization approach. In both cases we performed calculations with the hard amplitudes in the leading order of the QCD running constant α_s . So, in the collinear parton model we take into consideration the partonic subprocess:

$$g + g \to g + g. \tag{1}$$

In the k_T -factorization approach we take into consideration the subprocess with off-shell or reggeized initial gluons:

$$g^* + g^* \to g. \tag{2}$$

We have described the Collider Detector at Fermilab (CDF) data [1] and obtained a good agreement between the parton model and the k_T -factorization calculations based on the hard amlitudes for subprocesses (1) and (2) with the equal values of the long-distance matrix elements $\langle O^{J/\psi}[{}^{3}S_{1},8]\rangle$ and $\langle O^{\psi'}[{}^{3}S_{1},8]\rangle$ in the fragmentation functions $D_{g \to J/\psi,\psi'}(z,\mu^2)$ for both models. The QCD evolution of the fragmentation function is described by the homogeneous equation with a boundary condition proportional to the delta function $\delta(1-z)$.

The results obtained in the paper within the k_T -factorization approach based on the fragmentation function model differ from results of Refs. [11–13], which were obtained using the gluon fusion model. The possible reasons for a disagreement will be discussed below.

II. NRQCD FORMALISM

Within the framework of the NRQCD, the cross section or the fragmentation function for quarkonium H production can be expressed as a sum of terms, which are factorized into a short-distance coefficient and a long-distance matrix element [3]:

$$d\sigma(H) = \sum_{n} d\hat{\sigma}(Q\bar{Q}[n]) \langle O^{H}[n] \rangle, \qquad (3)$$

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Here the n denotes the set of color and angular momentum numbers of the $Q\bar{Q}$ pair, in which the production cross section is $d\hat{\sigma}(Q\bar{Q}[n])$ or which the fragmentation function is $D(a \rightarrow O\bar{O}[n])$. The last ones can be calculated perturbatively in the strong coupling α_s . Of course, in the case of production in a hadron collision, the short-distance crosssection $d\hat{\sigma}$ has to be convoluted with the parton distribution function from the hadrons. The nonperturbative transition from the $Q\bar{Q}$ state *n* into the final quarkonium *H* is described by a long-distance matrix element $\langle O^H[n] \rangle$ which have to be calculated using nonperturbative methods or determined from experimental data. The fit of the Tevatron data for the p_T -spectra of J/ψ , ψ' , and χ_c charmonium states has been done recently by different authors (see a review in Ref. [16]). As it was shown in Refs. [14,15], at the large charmonium transverse momentum ($p_T > 7$ GeV) the gluon fragmentation into color-octet state

$$g^* \to Q\bar{Q}[{}^3S_1, 8] \tag{5}$$

gives dominated contribution to the direct J/ψ and ψ' hadroproduction. The values of the color-octet matrix elements under consideration are the following: $\langle O^{J/\psi}[{}^{3}S_{1},8]\rangle = 4.4 \times 10^{-3} \text{ GeV}^{3}$, $\langle O^{\psi'}[{}^{3}S_{1},8]\rangle = 4.2 \times 10^{-3} \text{ GeV}^{3}$ [15]. Note that the fit of CDF data for the p_{T} -spectrum of a direct J/ψ production within the framework of the fusion model in the collinear parton model gives another numerical value of the long-distance matrix element $\langle O^{J/\psi}[{}^{3}S_{1},8]\rangle = 1.2 \times 10^{-2} \text{ GeV}^{3}$ [16]. It will be important to compare the results obtained in the k_{T} -factorization approach based on the fusion and fragmentation models.

III. FRAGMENTATION FUNCTION

The gluon fragmentation into the ${}^{3}S_{1}$ charmonium state is determined by the color-singlet [Fig. 1(a)] and the color-octet [Fig. 1(b)] contributions. The previous analysis has shown that the probability of a gluon fragmentation into the colorsinglet state is only a small part of the probability of a fragmentation into the color-octet state [14]. The leading order in the α_{s} fragmentation functions for the transition (4) is known and can be written at the scale $\mu^{2} = \mu_{0}^{2} = 4m_{c}^{2}$ as follows [4]:

$$D_{g \to J/\psi,\psi'}^{T}(z,\mu^{2}) = 2d^{T}(z,\mu_{0}^{2}) \langle O^{J/\psi,\psi'}[^{3}S_{1},8] \rangle, \quad (6)$$

$$D^{L}_{g \to J/\psi, \psi'}(z, \mu^{2}) = d^{L}(z, \mu_{0}^{2}) \langle O^{J/\psi, \psi'}[{}^{3}S_{1}, 8] \rangle,$$
(7)

where

$$d^{T}(z,\mu_{0}^{2}) = \frac{\pi \alpha_{s}(\mu_{0}^{2})}{48m_{c}^{3}} \,\delta(1-z), \qquad (8)$$



FIG. 1. Diagrams used for description LO in the α_s fragmentation $g^* \rightarrow J/\psi gg$ (color-singlet state, A) and $g^* \rightarrow J/\psi$ (color-octet state, B).

$$d^{L}(z,\mu_{0}^{2}) = \frac{\alpha_{s}^{2}(\mu_{0}^{2})}{8m_{c}^{3}} \frac{(1-z)}{z}.$$
(9)

It is obvious that the probability of the gluon fragmentation into the longitudinally polarized charmonium is negligibly small and J/ψ or ψ' mesons have transverse polarization.

The fragmentation functions (6) and (7) are evolved in μ^2 using the standard homogeneous DGLAP evolution equation [17]:

$$\mu^2 \frac{\partial D_g}{\partial \mu^2}(z,\mu^2) = \frac{\alpha_s(\mu^2)}{2\pi} \int_z^1 \frac{dx}{x} P_{gg}\left(\frac{x}{z}\right) D_g(x,\mu^2), \quad (10)$$

where $P_{gg}(x)$ is the usual leading order gluon-gluon splitting function. To solve Eq. (10) we use the well known method based on Mellin transform. It is easy to obtain that the Mellin-momentum at the scale μ^2 can be written as follows:

$$D_{g}(n,\mu^{2}) = D_{g}(n,\mu_{0}^{2}) \exp\left[\frac{P_{gg}(n)}{2\pi} \int_{\mu_{0}^{2}}^{\mu^{2}} \frac{d\mu^{2}}{\mu^{2}} \alpha_{s}(\mu^{2})\right],$$
(11)

where

$$P_{gg}(n) = 3 \left[-2S_1(n) + \frac{11}{6} + \frac{2}{n(n-1)} + \frac{2}{(n+1)(n+2)} \right] - 1,$$
(12)
$$S_1(n) = \sum_{j=1}^n \frac{1}{j}.$$

In the one-loop approximation for the running constant $\alpha_s(\mu^2)$ with the three active flavors $(b_0=9)$ one has

$$\alpha_s(\mu^2) = \frac{4\pi}{b_0 \log(\mu^2/\Lambda^2)},\tag{13}$$



FIG. 2. $D_{g \to J/\psi}(z, \mu^2)$ at the $\mu^2 = 30$, 100, and 300 GeV² as a function of z.

and Eq. (11) can be presented as follows:

$$D_{g}(n,\mu^{2}) = D_{g}(n,\mu_{0}^{2}) \exp\left[\frac{2}{b_{0}}P_{gg}(n)\log\left(\frac{\log(\mu^{2}/\Lambda^{2})}{\log(\mu_{0}^{2}/\Lambda^{2})}\right)\right].$$
(14)

Taking into consideration that

$$D_{g}^{T}(n,\mu_{0}^{2}) = \frac{\pi\alpha_{s}(\mu_{0}^{2})}{24m_{c}^{2}} \langle O^{J/\psi,\psi'}[{}^{3}S_{1},8] \rangle$$
(15)

we have performed an inverse Mellin transform numerically using the following rule:

$$D_g(z,\mu^2) \approx D_g^T(z,\mu^2) = \int_C dn z^{-n} D_g^T(n,\mu^2).$$
 (16)

The integration contour C can be transformed such as

$$D_g(z,\mu^2) = \frac{1}{\pi} \int_0^\infty dt \, \mathrm{Im}[e^{i\phi} z^{-n} D_g^T(n,\mu^2)], \qquad (17)$$

where $n = c + te^{i\phi}$ and $c \approx 2$, $\phi = \pi/2$. In Fig. 2 the obtained fragmentation function $D_{g \to J/\psi}(z, \mu^2)$ multiplied by 10⁴ is shown at the different $\mu^2 = 30$, 100, and 300 GeV². We see that our result at the $\mu^2 = 300$ GeV² agrees well with the same one obtained in Ref. [18]. In a stage of convoluting of the fragmentation function $D_{g \to J/\psi}(z, \mu^2)$ with the partonic cross section for the subprocesses (1) or (2) we will use the following definition for the variable *z*:

$$z = \frac{E_{\psi} + |\vec{p}_{\psi}|}{2E_{g}}.$$
 (18)

Thus we consider that the massless parton fragments into the massive meson. As it will be seen the definition (18) is more correct at a not so large p_T than the massless one between the parton four-momentum and the meson four-momentum

$$p_{\psi}^{\mu} = z p_{g}^{\mu}$$
. (19)

We suggest also that the meson has a small transverse momentum, respectively, initial gluon jet and we approximately can accept that in the laboratory frame

$$\eta_{\psi} \cong \eta_g, \qquad (20)$$

where η_{ψ}, η_{g} are the meson and gluon pseudorapidities.

Within the framework of the fragmentation model, the meson production cross section and the relevant gluon production cross section are connected as follows:

$$\hat{\sigma}(gg \to J/\psi X) = \int dz D_{g \to J/\psi}(z,\mu^2) \hat{\sigma}(gg \to gg) \quad (21)$$

or

$$\hat{\sigma}(g^*g^* \to J/\psi X) = \int dz D_{g \to J/\psi}(z, \mu^2) \hat{\sigma}(g^*g^* \to g).$$
(22)

IV. LEADING ORDER HARD AMPLITUDES

The squared amplitude for the partonic process (1) is well known and it can be presented as follows:

$$\overline{|M(gg \to gg)|^2} = 18\pi^2 \alpha_s^2 \frac{(\hat{s}^4 + \hat{t}^4 + \hat{u}^4)(\hat{s}^2 + \hat{t}^2 + \hat{u}^2)}{(\hat{s}\hat{t}\hat{u})^2},$$
(23)

where \hat{s} , \hat{t} , and \hat{u} are the usual Mendelstam variables.

There are two approaches for calculation of a partonic amplitude for the subprocess (2) in the k_T -factorization approach [6,8]. The effective Feynman rules for processes with off-shell gluons were suggested in Ref. [6]. The special trick is a choice of the initial gluon polarization 4-vector as follows:

$$\varepsilon^{\mu}(q) = \frac{q_T^{\mu}}{|\vec{q}_T|}.$$
(24)

In Ref. [8] the initial gluons are considered as reggeons (reggeized gluons) and the effective reggeon-reggeon-gluon vertex function was obtained

$$C^{\lambda}(k_{1},k_{2}) = -(k_{1}-k_{2})^{\lambda} + P_{1}^{\lambda} \left(\frac{k_{1}^{2}}{(kP_{1})} + 2\frac{(kP_{2})}{(P_{1}P_{2})} \right)$$
$$-P_{2}^{\lambda} \left(\frac{k_{2}^{2}}{(kP_{2})} + 2\frac{(kP_{1})}{(P_{1}P_{2})} \right), \qquad (25)$$

where $P_1 = (\sqrt{s/2}) (1,0,0,1)$ and $P_1 = (\sqrt{s/2}) (1,0,0,-1)$ are the colliding protons four-momenta, $k_1 = x_1 P_1 + k_{1T}$ and $k_2 = x_2 P_2 + k_{2T}$ are the initial gluons four-momenta, k_T =(0, k_T ,0), $k = k_1 + k_2$ is the final real gluon four-momentum. It is easy to show that the vertex function $C^{\lambda}(k_1, k_2)$ satisfies the gauge invariance condition $(k_1 + k_2)_{\lambda}C^{\lambda}(k_1, k_2) = 0$.

Omitting the color factor f^{abc} we can write the amplitude of the subprocess (2) according to Ref. [6] as follows:

$$\mathcal{M} = -g\varepsilon^{\lambda}(k) \frac{k_{1T}^{\mu}k_{2T}^{\nu}}{|\vec{k}_{1T}||\vec{k}_{2T}|} [(k+k_1)_{\nu}g_{\lambda\mu} + (-k_1+k_2)_{\lambda}g_{\mu\nu} + (-k_1-k_1)_{\mu}g_{\nu\lambda}].$$
(26)

We have obtained after simple transformations that

$$\mathcal{M} = -\frac{g\varepsilon^{\lambda}(k)}{2|\vec{k}_{1T}||\vec{k}_{2T}|} x_1 x_2 s \tilde{C}_{\lambda}(k_1, k_2), \qquad (27)$$

where

$$\widetilde{C}^{\lambda}(k_{1},k_{2}) = -(k_{1}-k_{2})^{\lambda} + \frac{2P_{1}^{\lambda}}{x_{2}s}(k_{1}^{2}+x_{1}x_{2}s) -\frac{2P_{2}^{\lambda}}{x_{1}s}(k_{2}^{2}+x_{1}x_{2}s) = C^{\lambda}(k_{1},k_{2}).$$
(28)

In such a way, the approaches [6,8] are equivalent and give the equal answer for the squared vertex function and amplitude:

$$C^{\lambda}(k_1,k_2)C_{\lambda}(k_1,k_2) = -\frac{4k_1^2k_2^2}{x_1x_2s},$$
(29)

and

$$\overline{|M(g^*g^* \to g)|^2} = \frac{3}{2} \pi \alpha_s \vec{p}_T^2, \qquad (30)$$

where $\vec{p}_T^2 = (\vec{k}_{1T} + \vec{k}_{2T})^2 = x_1 x_2 s$, \vec{p}_T is the transverse momentum of the final gluon.

V. CROSS SECTIONS FOR THE PROCESS $pp \rightarrow J/\psi(\psi')X$

In the conventional collinear parton model it is suggested that the hadronic cross section, in our case, $\sigma(pp \rightarrow J/\psi X, s)$, and the relevant partonic cross section $\hat{\sigma}(gg \rightarrow J/\psi X, \hat{s})$ are connected as follows:

$$\sigma^{PM}(pp \to J/\psi, s) = \int dx_1 \int dx_2 G(x_1, \mu^2) G(x_2, \mu^2)$$
$$\times \hat{\sigma}(gg \to J/\psi, \hat{s}), \qquad (31)$$

where $\hat{s} = x_1 x_2 s$, $G(x, \mu^2)$ is the collinear gluon distribution function in a proton, $x_{1,2}$ are the fractions of a proton momentum, and μ^2 is the typical scale of a hard process. The μ^2 evolution of the gluon distribution $G(x, \mu^2)$ is described by the DGLAP evolution equation [17]. In the k_T -factorization approach hadronic and partonic cross sections are related by the following condition [5–7]:

$$\sigma^{KT}(pp \to J/\psi X) = \int \frac{dx_1}{x_1} \int d\vec{k}_{1T}^2 \int \frac{d\phi_1}{2\pi} \Phi(x_1, \vec{k}_{1T}^2, \mu^2) \\ \times \int \frac{dx_2}{x_2} \int d\vec{k}_{2T}^2 \int \frac{d\phi_2}{2\pi} \Phi(x_2, \vec{k}_{2T}^2, \mu^2) \\ \times \hat{\sigma}(g^*g^* \to J/\psi X, \hat{s}), \qquad (32)$$

where $\hat{\sigma}(g^*g^* \rightarrow J/\psi X, \hat{s})$ is the J/ψ production cross section on off-shell gluons, $k_1^2 = k_{1T}^2 = -\vec{k}_{1T}^2$, $k_2^2 = k_{2T}^2 = -\vec{k}_{2T}^2$, $\hat{s} = x_1 x_2 s - (\vec{k}_{1T} + \vec{k}_{2T})^2$, and $\phi_{1,2}$ are the azimuthal angles in the transverse *XOY* plane between vectors $\vec{k}_{1T}(\vec{k}_{2T})$ and the fixed *OX* axis $(\vec{p}_{\psi} \in XOZ)$. The unintegrated gluon distribution function $\Phi(x_1, \vec{k}_{1T}^2, \mu^2)$ satisfies the BFKL evolution equation [19].

Our calculation in the parton model is done using the GRV LO [20] and CTEQ5L [21] parametrizations for a collinear gluon distribution function $G(x, \mu^2)$. In the case of the k_T -factorization approach we use the following parametrizations for an unintegrated gluon distribution function $\Phi(x_1, \vec{k}_{1T}^2, \mu^2)$: JB by Bluemlein [22], JS by Jung and Salam [23], and KMR by Kimber, Martin, and Ryskin [24]. The direct comparison between different parametrizations as functions of x, \vec{k}_T^2 , and μ^2 was presented in paper [10].

The doubly differential cross sections for the process $pp \rightarrow J/\psi(\psi')X$ can be written as follows:

$$\frac{d\sigma^{PM}}{d\eta_{\psi}dp_{\psi T}} = \int dx_1 \int dz G(x_1, \mu^2) G(x_2, \mu^2) \\ \times D_{g \to \psi}(z, \mu^2) \frac{p_{gT} E_g}{E_{\psi}} \frac{|M(gg \to gg)|^2}{8\pi x_1 x_2 s(u + x_1 s)},$$
(33)

where

$$u = -\sqrt{s}(E_{g} - p_{gz}), \quad t = -\sqrt{s}(E_{g} + p_{gz}),$$

$$x_{2} = -\frac{x_{1}t}{u + x_{1}s}, \quad x_{1,\min} = -\frac{u}{s + t},$$

$$\hat{t} = x_{1}t, \quad \hat{u} = x_{2}u, \quad \hat{s} = x_{1}x_{2}s;$$

$$\frac{d\sigma^{KT}}{d\eta_{\psi}dp_{\psi T}} = \int dz \int d\phi_{1} \int d\vec{k}_{1T}^{2} \Phi(x_{1}, \vec{k}_{1T}^{2}, \mu^{2})$$

$$\times \Phi(x_{2}, \vec{k}_{2T}^{2}, \mu^{2}) D_{g \to \psi}(z, \mu^{2})$$

$$\times \frac{E_{g}}{p_{gT}E_{\psi}} \frac{|\overline{M(g^{*}g^{*} \to g)}|^{2}}{x_{1}x_{2}s}, \quad (34)$$

where

$$\vec{k}_{2T} = \vec{p}_{gT} - \vec{k}_{1T}, \quad x_1 = \frac{E_g + p_{gZ}}{\sqrt{s}}, \quad x_2 = \frac{E_g - p_{gZ}}{\sqrt{s}}$$

The energy (E_g) of a fragmenting gluon and the energy of a final meson (E_{ψ}) are related as follows:

$$E_{g} = \frac{E_{\psi} + |\vec{p}_{\psi}|}{2z}, \quad |\vec{p}_{\psi}| = \frac{p_{\psi T}}{\sin(\theta_{\psi})}, \quad p_{gz} = E_{g} \cos(\theta_{\psi})$$
$$\theta_{\psi} = 2 \arctan[\exp(-\eta_{\psi})].$$

VI. THE RESULTS

We compare our predictions with the CDF data [1] for unpolarized direct J/ψ and ψ' production. The direct J/ψ cross section does not include contributions from *B* meson decays into J/ψ as well as from χ_c radiative decays. For direct ψ' production, indirect contribution from *B* meson decays are removed. Our results obtained in the collinear parton model do not depend on a choice of the parametrization for the gluon distribution function and coincide approximately ($\pm 10-20\%$) to the results obtained in Ref. [15] using a similar approach. We can see in Figs. 3 and 4 that the curves denoted as "GRV," which were obtained in the collinear parton model, are below the data especially at the small p_T , where the gluon fusion into ${}^{1}S_0$ and ${}^{3}P_J$ color octet states is dominant [15,16].

The curves which were obtained in the k_T -factorization approach strongly depend on a choice of the unintegrated gluon distribution function. In the region of a large p_T , where the fragmentation approach is more adequate, the results obtained with JB [22] and KMR [24] parametrizations



There are several reasons for such a disagreement. First, we used the fragmentation functions, which take into account effectively high order corrections via the DGLAP evolution equation. Second, in Refs. [11–13] the argument μ^2 of the strong coupling constant $\alpha_s(\mu^2)$ is equal to \vec{q}_{1T}^2 or \vec{q}_{2T}^2 , our choice is $\mu^2 = \vec{p}_T^2 + 4m_c^2$. This fact gives the additional factor of 3 in a cross section [13]. Third, in Refs. [11,12] the KMS [25] parametrization for an unintegrated gluon distribution function was used. We have shown (Figs. 2 and 3) that the difference between predictions based on the different parametrizations may be about a factor of 2 to 3.

The obtained results for the direct unpolarized J/ψ and ψ' hadroproduction as well as our previous results for the J/ψ photoproduction at HERA energies [10] show that the predictions for spectra on p_T with the LO in α_s hard amplitudes in the k_T -factorization approach coincide well with the predictions with the NLO in α_s hard amplitudes in the collinear parton model. The NLO in α_s calculation for the J/ψ photoproduction cross section was performed in Ref. [26].

It is obvious that the NLO hard subprocess for a gluon production in the k_T -factorization approach with off-shell initial gluons is the LO subprocess used in the collinear par-



FIG. 3. The spectrum of direct J/ψ on p_T at the \sqrt{S} = 1800 GeV and $|\eta| < 0.6$. The data points are from [1]. The *B* is the J/ψ lepton branching.



FIG. 4. The spectrum of direct ψ' on p_T at the \sqrt{S} = 1800 GeV and $|\eta| < 0.6$. The data points are from [1]. The *B* is the ψ' lepton branching.

ton model with on-shell initial gluons:

$$g^* + g^* \longrightarrow g + g. \tag{35}$$

An amplitude of the subprocess (35) has infrared singularities even at the large value of p_T for the final gluon which fragments into a meson. Oppositely, in the collinear parton model both gluons are hard in a similar case. The procedure of a calculation of an amplitude for the subprocess (35) in the k_T -factorization approach has been suggested in Ref. [8], where initial gluons are considered as reggeons and the infrared divergencies are removed. It should be interesting to

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calculate $J/\psi(\psi')$ production cross section using the results of Ref. [8]. This study is in progress.

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