

## From big crunch to big bang

Justin Khoury,<sup>1</sup> Burt A. Ovrut,<sup>2</sup> Nathan Seiberg,<sup>3</sup> Paul J. Steinhardt,<sup>1</sup> and Neil Turok<sup>4</sup>

<sup>1</sup>*Joseph Henry Laboratories, Princeton University, Princeton, New Jersey 08544*

<sup>2</sup>*Department of Physics, University of Pennsylvania, Philadelphia, Pennsylvania 19104-6396*

<sup>3</sup>*School of Natural Sciences, Institute for Advanced Study, Princeton, New Jersey 08540*

<sup>4</sup>*DAMTP, CMS, Wilberforce Road, Cambridge, CB3 0WA, United Kingdom*

(Received 17 September 2001; published 9 April 2002)

We consider conditions under which a universe contracting towards a big crunch can make a transition to an expanding big bang universe. A promising example is 11-dimensional M theory in which the eleventh dimension collapses, bounces, and reexpands. At the bounce, the model can reduce to a weakly coupled heterotic string theory and, we conjecture, it may be possible to follow the transition from contraction to expansion. The possibility opens the door to new classes of cosmological models. For example, we discuss how it suggests a major simplification and modification of the recently proposed ekpyrotic scenario.

DOI: 10.1103/PhysRevD.65.086007

PACS number(s): 11.25.-w, 04.50.+h, 98.80.-k

### I. INTRODUCTION

Since the discovery of the cosmic microwave background, the predominant view has been that the Universe originated from a cosmic singularity. An important consequence is that the universe has a finite age and a finite causal horizon distance. For the standard hot big bang model, this leads to the horizon puzzle that inspired inflationary cosmology [1]. By introducing a period of superluminal expansion, inflation alleviates the horizon puzzle, but it is generally believed that an initial singularity is still required at the outset.

In this paper, we consider the possibility that the singularity is actually a transition between a contracting big crunch phase and an expanding big bang phase. If true, the universe may have existed for a semi-infinite time prior to the putative big bang. The horizon puzzle would be nullified, eliminating one of the prime motivations for inflation. The analysis opens the door to alternative cosmologies with other solutions to the remaining cosmological puzzles.

The discussion in this paper focuses on  $d$ -dimensional field theory and is not specific to any particular cosmological model. A crucial role in our analysis is played by a massless scalar field—a modulus. (The cosmology of such fields has been analyzed by many authors [2–4].) We eschew any use of branes and strings until absolutely necessary. String theory will become important at the point where the Universe bounces from contraction to expansion.

Here, our discussion is closely related to considerations of the reversal problem in the pre-big-bang scenarios of Veneziano *et al.* [2,5,6] and related scenarios [7–10]. A notable difference, as we shall emphasize below, is that the reversal in the pre-big-bang model occurs in the limit of strongly coupled string theory whereas we are interested in reversal in the limit of weakly coupled string theory [4,11]. The differences have profound consequences for both cosmology and fundamental physics.

In Sec. II, we show that reversal requires either a violation of the null energy condition or passage through a singularity where the scale factor shrinks to zero. The remainder of the paper explores the second possibility. In Sec. III, we obtain the solutions for a single scalar field evolving in a contract-

ing Friedmann-Robertson-Walker background and use them to demonstrate the difference between our proposal and the pre-big-bang scenario. In Sec. IV, we reformulate the theory in variables which are finite as the scale factor shrinks to zero and which suggest a natural way to match solutions at the bounce. Section V solves for the evolution before and after the bounce in cases where the bounce is elastic and time symmetric and cases where it is inelastic and time asymmetric. Section VI compares the singularity considered here to bounces and singularities considered in other contexts in string theory. Section VII focuses on the bounce itself where, we argue, string theory must play a critical role in passage through the singularity. We conjecture that the cosmological singularity connects contracting and expanding solutions in a manner analogous to the conifold and flop transitions. In Sec. VIII, we discuss the implications of our results for cosmology, particularly the ekpyrotic scenario [12]. We suggest a major modification of the scenario in which the hot big bang phase is created in a collision between two boundary branes, removing the need for bulk branes.

### II. REVERSAL AND THE NULL ENERGY CONDITION

The reversal problem is notoriously difficult because a violation of the null energy condition is required. Consider a general 4D theory of scalar fields  $\phi^K$  coupled to gravity. We are free to Weyl transform to the Einstein frame:

$$S = \int d^4x \sqrt{-g} \left\{ \frac{1}{16\pi G_N} \mathcal{R} - \frac{1}{2} g^{\mu\nu} G_{IJ}(\phi^K) \partial_\mu \phi^I \partial_\nu \phi^J - V(\phi^K) \right\}, \quad (1)$$

where  $g_{\mu\nu}$  is the spacetime metric [in Minkowski space,  $\eta_{\mu\nu} = (-1, +1, +1, +1)$ ],  $\mathcal{R}$  is the Ricci scalar, and  $G_{IJ}(\phi^K)$  is the metric on field space. We consider unitary theories in which  $G_{IJ}$  is positive definite. The energy momentum tensor is

$$T_{\mu\nu} = G_{IJ} \partial_\mu \phi^I \partial_\nu \phi^J - g_{\mu\nu} \left[ \frac{1}{2} g^{\alpha\beta} G_{IJ} \partial_\alpha \phi^I \partial_\beta \phi^J + V \right], \quad (2)$$

where the repeated Greek or Roman indices follow the standard summation convention. If we assume homogeneity and isotropy, then  $g_{\mu\nu}$  is a Friedmann-Robertson-Walker metric and the energy density  $\rho$  and the pressure  $p$  are

$$\rho = T_{00} = \frac{1}{2} G_{IJ} \dot{\phi}^I \dot{\phi}^J + V \quad (3)$$

$$p = -\frac{1}{3} g^{ij} T_{ij} = \frac{1}{2} G_{IJ} \dot{\phi}^I \dot{\phi}^J - V, \quad (4)$$

where dots denote proper time derivatives, and  $i, j = 1, 2, 3$ .

A problem arises because scalar fields satisfy the null energy condition:

$$\rho + p = G_{IJ} \dot{\phi}^I \dot{\phi}^J \geq 0. \quad (5)$$

In a Friedmann-Robertson-Walker universe, the expansion rate is set by the parameter  $H = \dot{a}/a$ , where  $a$  is the scale factor. The time variation of  $H$  assuming a flat universe is given by

$$\dot{H} = -4\pi G_N(\rho + p) = -4\pi G_N G_{IJ} \dot{\phi}^I \dot{\phi}^J \leq 0. \quad (6)$$

If  $\dot{H} \leq 0$ , then reversal from contraction ( $H < 0$ ) to expansion ( $H > 0$ ) is not possible. Hence, we obtain the theorem: Given a flat universe and a unitary theory with terms second order in field derivatives, then the contracting big crunch phase and the expanding big bang phase are separated by a singularity. Similar theorems and considerations of ways of circumventing them have been discussed in the literature [6–8, 13].

### III. TWO APPROACHES TO THE REVERSAL PROBLEM

Both the pre-big-bang model and the scenario we consider entail the problem of reversal from contraction to expansion in the Einstein frame. In pre-big-bang models, the common approach has been to consider violating one of the assumptions of the theorem derived above [5–8, 13]. For example, by introducing higher derivative terms in the action, the null energy condition can be violated and reversal might take place without reaching the singularity. In this paper, we consider the alternative possibility of proceeding to  $a=0$  and bouncing without introducing new terms in the action.

Both approaches can be modeled by an action with a scalar field  $\phi$  plus gravity:

$$S = \int d^d x \sqrt{-g} \left\{ \mathcal{R}(g) - \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) \right\}, \quad (7)$$

where  $\mathcal{R}(g)$  is the Ricci scalar based on the metric  $g_{\mu\nu}$ . Here we have generalized to  $d$ -dimensions and simplified to the case of a single field  $\phi$ , and we have chosen units in which the coefficient of  $\mathcal{R}$  in the  $d$ -dimensional Einstein-frame Lagrangian is unity. For the moment, we consider the

case where the potential  $V(\phi) = 0$ . This kind of model arises in the low energy approximation to type IIA and IIB string theory for  $d=10$ . It also arises whenever a  $(d+1)$ -dimensional gravity theory is compactified to  $d$  dimensions, where  $\phi$  (the ‘‘radion’’) determines the length of the compact dimension.

We further simplify by restricting ourselves to a mini-superspace consisting of spatially flat, homogeneous and isotropic solutions with metric  $ds^2 = a^2(t)[-N^2(t)dt^2 + \sum_{i=1}^{d-1}(dx^i)^2]$ . In the gauge  $N=1$ ,  $t$  is conformal time. Then, the solutions to the equations of motion up to a shift in  $t$  are

$$a = a(1)|t|^{1/(d-2)} \quad \text{and} \quad \phi = \phi(1) + \eta \sqrt{\frac{2(d-1)}{d-2}} \log|t|, \quad (8)$$

where  $\eta = \pm 1$  and  $a(1)$  and  $\phi(1)$  are integration constants set by the initial conditions. Each solution has two branches. For  $t < 0$ , the Universe contracts to a big crunch as  $t \rightarrow 0^-$ . For  $t > 0$ , the Universe expands from a big bang beginning at  $t \rightarrow 0^+$ .

At  $t=0$ , the solutions are singular and  $\phi \rightarrow \mp \infty$ . In the case of type IIA (or heterotic) string theory in  $d=10$ , the string coupling is  $g_s = e^\phi$  [see discussion of Eq. (25) below], so the two solutions at the bounce (as  $t \rightarrow 0$ ) correspond to weak and strong coupling, respectively.

We will find it useful to reexpress the model in terms of the ‘‘string metric’’  $g_{\mu\nu}^{(s)} = e^{\phi/c} g_{\mu\nu}$  where  $c = \sqrt{(d-2)}/2$ . The action based on the string metric is

$$\int d^d x \sqrt{-g^{(s)}} e^{-c\phi} (R(g^{(s)}) + c^2 g^{(s)\mu\nu} \partial_\mu \phi \partial_\nu \phi). \quad (9)$$

This definition of the string metric agrees with the standard metric for  $d=10$  and the string metric for  $d=4$  as defined in the pre-big-bang literature [2, 5].

The two solutions in Eq. (8) are easily transformed to the string frame  $g_{\mu\nu}^{(s)} = a_s^2 \eta_{\mu\nu}$ , where we can compare them to solutions in the pre-bang scenario. We can express the solutions in terms of string-frame FRW time  $\tau_s$ , where  $d\tau_s = a_s dt$ :

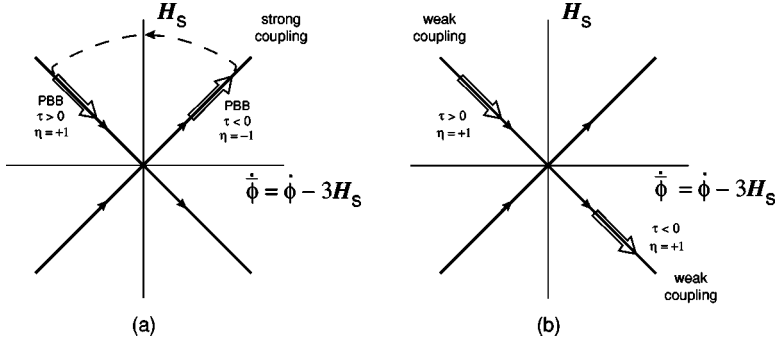
$$a_s = a_s(1) |\tau_s|^{\eta/\sqrt{d-1}}$$

$$\phi = \phi(0) + \sqrt{\frac{2}{d-2}} (\eta \sqrt{d-1} - 1) \log|\tau_s|. \quad (10)$$

Using these we find

$$H_s \equiv \frac{\dot{a}_s}{a_s} = \frac{\eta}{\sqrt{d-1}} \frac{1}{\tau_s}$$

$$\dot{\phi} = \sqrt{\frac{2}{d-2}} (\eta \sqrt{d-1} - 1) \frac{1}{\tau_s}$$



$$\dot{\phi} \equiv \dot{\phi} - (d-1) \sqrt{\frac{2}{d-2}} H_s = - \sqrt{\frac{2}{d-2}} \frac{1}{\tau_s},$$

where the dot denotes differentiation with respect to  $\tau_s$ .

There is a key difference between the pre-big-bang scenario and the reversal considered here. We approach weak coupling as  $t \rightarrow 0^-$  corresponding to the  $\eta = +1$  branch of Eq. (8). The pre-big-bang scenario approaches strong coupling as  $t \rightarrow 0^-$  corresponding to the  $\eta = -1$  solution. The two scenarios may be compared by mapping their trajectories in the plane spanned by  $\dot{\phi}$  and  $H_s$ , a method commonly introduced [5] to describe the pre-big-bang picture in  $d=4$ . Note that the ratio

$$\frac{\dot{\phi}}{H_s} = -\eta \sqrt{\frac{2(d-1)}{d-2}} \quad (11)$$

is negative for  $d > 2$  if  $\eta = +1$  (our solution) and positive if  $\eta = -1$ . This expression describes the four solutions shown in Fig. 1. As noted above, for the pre-big-bang model, the  $\eta = -1$  solution in which  $\phi$  runs to  $+\infty$  (strong coupling) is chosen for  $t < 0$ . It has been proposed [6–8,13] that new terms in the action appear in the strong coupling limit that violate the null energy condition (e.g., by introducing high derivative interactions and potentials) making it possible to avoid  $\phi$  running off to  $+\infty$ , as shown by the dashed curve. Whatever physics is involved, it is presumed to freeze the dilaton [so Eq. (8) is no longer applicable] and create radiation that dominates the Universe. Nevertheless,  $H_s$  is positive and  $\dot{\phi} = -(d-1) \sqrt{2/(d-2)} H_s$  (assuming  $\phi$  is frozen) is negative. Hence, the Universe joins onto a path similar to what is shown in the figure. By contrast, the trajectory proposed in this paper maintains  $\eta = +1$  throughout. This is a fundamental difference that distinguishes everything we say in the remainder of this paper from the pre-big-bang scenario.

#### IV. HOW SINGULAR IS THE SINGULARITY?

A key step in tracking the Universe across the bounce at  $a=0$  is to find variables which are finite as  $t \rightarrow 0$ . Consider the change of variables [12,14]:

FIG. 1. Phase diagrams for  $d=4$  comparing the (a) pre-big bang (PBB) model with (b) the bounce scenario considered here. The four rays connected at the origin represent the four solutions to the potential-less equations of motion. The large arrows indicate the two solutions that are joined together in each of the two cosmologies. Reversal from contraction to expansion connects the two weak coupling regimes in (b).

$$\begin{aligned} a_0 &= a^{(d-2)/2} (e^{-\gamma\phi} + e^{\gamma\phi}), \\ a_1 &= a^{(d-2)/2} (e^{-\gamma\phi} - e^{\gamma\phi}), \\ a_{\pm} &= \frac{1}{2} (a_0 \pm a_1) = a^{(d-2)/2} e^{\mp \gamma\phi} \end{aligned} \quad (12)$$

where  $\gamma = \sqrt{(d-2)/8(d-1)}$ . Their range for  $a > 0$  and  $\phi$  real is the quadrant  $a_{\pm} > 0$  or  $a_0 \geq |a_1|$ .

The effective Lagrangian for  $V=0$  is transformed to [12]

$$\frac{d-1}{N(d-2)} [-a_0'^2 + a_1'^2] = -\frac{4(d-1)}{N(d-2)} a_+' a_1', \quad (13)$$

where primes denote derivatives with respect to conformal time  $t$ . In the moduli space spanned by  $(a_0, a_1)$  we identify  $a_0$  ( $a_1$ ) as a time-like (space-like) variable and  $a_{\pm}$  as light-cone coordinates. We shall consider trajectories which bounce at  $a=0$  corresponding to a point on the moduli space boundary  $a_0 = a_1 \neq 0$ . Without loss of generality the value of  $t$  at the bounce can be chosen to be  $t=0$ .

We cannot describe exactly what occurs at  $t=0$ . However, what is encouraging is that we have found a choice of variables,  $a_{0,1}$  that remain finite for  $t < 0$  and  $t > 0$ , and there appears to be a natural way to match at  $t=0$ . It is instructive to change variables for the solution to Eq. (8) with  $\eta = +1$  to

$$\begin{aligned} \psi &= e^{\gamma\phi} \\ \bar{g}_{\mu\nu} &= \psi^{-4/(d-2)} g_{\mu\nu}. \end{aligned} \quad (14)$$

This leads to a reformulated action

$$S = \int d^d x \sqrt{-\bar{g}} \psi^2 \mathcal{R}(\bar{g}), \quad (15)$$

with no kinetic term for  $\psi$ . We use a parametrization such that the coefficient of  $\mathcal{R}$  in Eq. (15) is  $\psi^2$  to ensure that it is always positive.

The scale factor of the metric  $\bar{g}_{\mu\nu}$  is  $\bar{a} = \psi^{-2/(d-2)} a$ . The solution to the equations of motion in Eq. (8) (with  $\eta = +1$ ) become

$$\bar{a} = A \quad \text{and} \quad \psi = B |t|^{1/2} \quad (16)$$

with  $A$  and  $B$  positive constants. By rescaling the  $d$  dimensional coordinates one can always set  $A = 1$ . In terms of the

original metric  $g_{\mu\nu}$ , the Universe shrinks to a point at  $t=0$ . However, we see that the metric  $\bar{g}_{\mu\nu}$  is smooth there.

One should not read too much into this. The change of variables does not make the problem entirely regular. First and foremost, since  $\psi(t=0)=0$ , the Planck scale vanishes at the bounce in these coordinates. Hence, there is the concern that quantum fluctuations become uncontrolled at  $t=0$ . Note also that  $\psi'$  is singular at  $t=0$ , so higher dimension operators are also important at the bounce. Therefore, even in these variables, we see the importance of going beyond the field theoretic descriptions to understand the physics at  $t=0$ .

We must assume that the field theories considered here are low energy approximations to some more fundamental theory. The action in Eq. (15) in terms of  $\psi$  and  $\bar{g}_{\mu\nu}$  is just the Einstein-Hilbert action for  $(d+1)$ -dimensional gravity theory compactified to  $d$  dimensions. To see that, consider the  $(d+1)$ -dimensional metric

$$ds^2 = \psi(x)^4 dw^2 + \bar{g}_{\mu\nu} dx^\mu dx^\nu \quad (17)$$

and let it depend only on the  $d$ -dimensional variables  $x^\mu$ . (For simplicity, we neglect the vector field arising from the  $\mu-w$  components of the metric.) A straightforward calculation leads to

$$\sqrt{-g^{(d+1)}} \mathcal{R}(g^{(d+1)}) = \psi^2 \sqrt{-\bar{g}} \mathcal{R}(\bar{g}) - 2 \partial_\mu (\sqrt{-\bar{g}} \bar{g}^{\mu\nu} \partial_\nu \psi^2), \quad (18)$$

where  $g^{(d+1)}$  is the metric in  $d+1$  dimensions. Constraining  $w$  to lie in the range  $[0,1]$ , the  $(d+1)$ -dimensional Hilbert-Einstein action is reduced to Eq. (15). For example, the compactification can be on a circle, as in Kaluza-Klein theory, or on an interval, where  $\phi$  or  $\psi$  can be interpreted as a radion. If the compactified dimension is a line segment there are two boundary branes at the ends [15–17]. Then,  $a=0$  corresponds to a circle collapsing to a point or the branes colliding at  $t=0$ .

Substituting Eq. (16) into the metric, we obtain

$$ds^2 = B^4 t^2 dw^2 + \eta_{\mu\nu} dx^\mu dx^\nu. \quad (19)$$

The space-time is remarkably simple. It is simply  $\mathbf{R}^{d-1} \times \mathcal{M}^2$ , where the  $d-1$  dimensions are Euclidean and  $\mathcal{M}^2$  is a  $2-d$  compactified Milne universe (Fig. 2) with  $ds^2 = -dt^2 + B^4 t^2 dw^2$ . Each branch of our solutions spans a wedge in Minkowski space compactified on an interval  $w \in [0,1]$  with end points identified. Equivalently, if the metric is re-expressed in Minkowski light cone coordinates  $ds^2 = dx^+ dx^-$  where  $x^\pm = \pm t e^{\pm B^2 w}$ , then  $\mathcal{M}^2$  corresponds to flat Minkowski space modded out by the boost  $x^+ \rightarrow \exp(B^2) x^+$ ,  $x^- \rightarrow \exp(-B^2) x^-$ . Such a compactified Milne universe has been discussed by Horowitz and Steif [18]. Our bounce connects two branches of  $\mathcal{M}^2$  at  $t=0$ . As mentioned, if the extra dimension is a circle, it contracts to zero at  $t=0$ , and reexpands. If the extra dimension is an interval, the two boundary branes follow the heavy lines in Fig. 2, bouncing off each other. Equivalently, as the figure suggests, one can say that the two boundary branes meet and pass

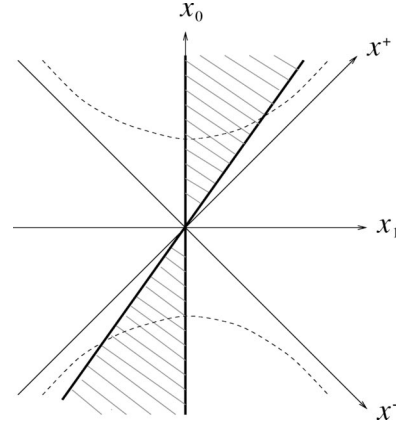


FIG. 2. Sketch of the compactified Milne universe (hatched region) embedded in a Minkowski background, where  $x^0$  and  $x^1$  are the time and space coordinates. The dashed surfaces are surfaces of constant  $t$ .

through one another. The variables  $a_0$  and  $a_1$  defined above are given by  $a_0 = 1 + B^2 |t|$ ,  $a_1 = 1 - B^2 |t|$ , so these bounce at  $t=0$ . Finally, note that the proper distance between the branes in the Milne metric is  $B^2 |t|$ , and we see the physical interpretation of the constant  $B^2$  as the magnitude of the relative velocity of the branes.

In the usual Kaluza-Klein reduction from  $d+1$  to  $d$  dimensions the variables defined in Eq. (12) parametrize the geometry as follows. The scale factor of the noncompact  $d$ -dimensional space as measured by the canonical  $(d+1)$ -dimensional metric is  $\bar{a} = a_+^{2/(d-2)}$ , which has been set to unity. The size of the extra dimension is proportional to  $a_- / a_+$ , which can take on any positive value. Thus the range of  $\phi$  is  $-\infty < \phi < \infty$ . Other  $(d+1)$ -dimensional theories can reduce to the same  $d$  dimensional effective field theory, but the geometrical meaning of the  $a$ ,  $\phi$  variables and their range may differ. For example, consider  $\text{AdS}_5$  bounded by a positive and a negative tension brane. The induced scale factor on the positive (negative) tension brane is  $a_0$  ( $a_1$ ), with  $0 < a_1 < a_0$ , so that  $\phi$  is restricted to be less than zero. The distance between the branes is proportional to  $\log(a_0/a_1)$ , which agrees with the Kaluza-Klein result at short distances where the variation of the warp factor is negligible. We note that more general compactifications with additional dimensions lead to more complicated actions which depend on several moduli. If the moduli space can be reformulated in terms of variables analogous to  $a_\pm$  that are finite at the bounce, a similar analysis should hold. Alternatively, the bounce trajectories are restricted to cases where the time derivatives of the additional fields are zero and the theory reduces to the current examples. However, the simple interpretation of  $\bar{g}_{\mu\nu}$  in Eq. (17) as a time-independent metric is only valid for compactifications of a single dimension.

When the theory in Eq. (15) is derived from compactification as in Eq. (18), the bounce solution corresponds to shrinking the compact dimension to zero size and then expanding it again. (In the work of Brandenberger, Vafa, and Tseytlin [19,20], they considered the situation where one spatial dimension collapsed and a different one opened up.



This is also an interesting possibility which may be equally good for our purposes.) Throughout this process the metric in the noncompact dimensions as measured by the  $(d+1)$ -dimensional metric in Eq. (17) is unchanged. Such an intuitive picture suggests that indeed the two branches of the solution in Eq. (8) [or in terms of the coordinates  $\bar{a}$ ,  $\psi$  in Eq. (15)] are indeed connected. However, it should be stressed that the dimensional reduction from  $d+1$  dimensions to  $d$  dimensions makes the bounce natural but it does not prove that it exists.

## V. APPROACHING THE BOUNCE

We have the machinery in hand to track the evolution as the Universe approaches the bounce (or rebounds afterwards). From varying the action in Eq. (13) with respect to  $N$ , we obtain the constraint,  $-a_0'^2 + a_1'^2 = 0$ . (Expressed in terms of  $a$  and  $\phi$ , this corresponds to the Friedmann equation.) Consequently, we are only permitted solutions where  $a_0' = \pm a_1'$ . The minus sign solution must apply if the branes are to collide. The incoming trajectory intersects the light-like boundary of moduli space  $a_0 = a_1$  along a light-like trajectory. If we assume that no radiation is produced, then to satisfy the energy constraint, the solution after collision must also be light-like. There then appears only one natural possibility for the trajectory to follow, which is to bounce straight back off the light cone,  $a_0' \leftrightarrow a_1'$ , as occurs in the Milne universe example explained above.

Returning to the Lagrangian in Eq. (13), we now add a potential term,  $-Na^d V(\phi) = -N(a_+ a_-)^{d/(d-2)} \times V((1/2\gamma)\log(a_-/a_+))$ . Up to an unimportant constant, the total Lagrangian becomes

$$-\frac{1}{N} a_+ a_- - N(a_+ a_-)^{d/(d-2)} F(a_-/a_+) \quad (20)$$

where the function  $F$  is related to the potential. Since our convention is that the weak coupling region is  $\phi \rightarrow -\infty$ , the potential should vanish in that limit or, equivalently,  $F(a_-/a_+)$  should vanish for small values of its argument.

As an exercise, it is instructive to consider a case where the equations of motion are exactly solvable:

$$F(a_-/a_+) = \epsilon \left( \frac{a_-}{a_+} \right)^{d/(d-2)} \quad (21)$$

where  $\epsilon = \pm 1$ . This example corresponds to  $V \sim \epsilon \exp([d/\sqrt{2(d-1)(d-2)}]\phi)$ . In the gauge  $N=1$  the solution up to a shift of  $t$  around  $t=0$  is

$$a_- = p|t|$$

$$a_+ = a_+(0) + \epsilon \frac{d-2}{3d-2} p^{(d+2)/(d-2)} |t|^{(3d-2)/(d-2)}, \quad (22)$$

where  $p$  is an arbitrary positive constant.

Consider first the case of  $\epsilon$  positive, i.e. a positive potential. The Universe has  $a=0$  at  $t=0$  where  $a_- = 0$ . For positive  $t$ , the universe expands to infinite size.  $\phi$  on the other

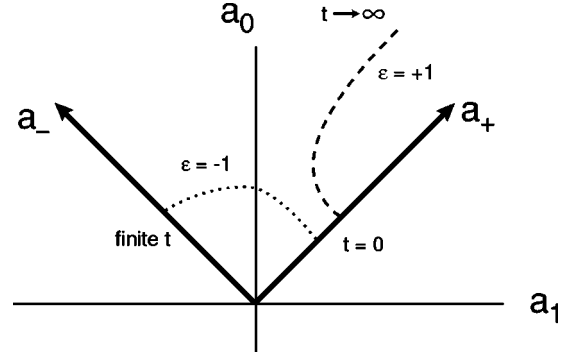


FIG. 3. The moduli space in  $a_0$  and  $a_1$  or, equivalently, light-cone coordinates  $a_{\pm}$ . The physical regime is the upper light cone (quadrant). The two trajectories correspond to the exact solutions for the potential discussed in the text for  $t > 0$ . The bounce occurs at  $t=0$ . By construction the solutions are time symmetric. The dashed solution corresponds to  $\epsilon = +1$  and the dotted solution corresponds to  $\epsilon = -1$ .

hand approaches  $-\infty$  at  $t \rightarrow 0^+$ , it increases, reaches a maximum value at some fixed  $t$ , and then slides back to  $-\infty$  as  $t \rightarrow \infty$ . For negative times the picture is symmetric. In particular, the universe is contracting as  $t \rightarrow 0^-$ .

For negative  $\epsilon$  the potential is negative. In this case the solution Eq. (22) cannot be trusted beyond a critical value of  $t = t_0$  where  $a_+$  vanishes. At that point  $a=0$  and  $\phi = +\infty$ . Since the potential is not bounded from below, it is not surprising that  $\phi$  reaches  $\infty$  in finite time. In the brane picture, the repulsion makes the higher dimensional space infinite in a finite time. We have no reason to expect another bounce at this point. Again, the picture is symmetric around  $t=0$ . The two solutions are represented in Fig. 3.

In the examples considered thus far, the bounce at  $\phi \rightarrow -\infty$  is time symmetric. The potential is taken to vanish in that limit, and the trajectory in the  $(a_0, a_1)$  plane intersects the boundary of moduli space  $a_0 = a_1$  along a light-like direction. After the bounce it simply reverses, corresponding to the matching condition  $a'_{0,1}(\text{out}) = -a'_{0,1}(\text{in})$ . This could be described as an *elastic* collision, since the internal states of the two branes are unchanged after collision.

As the velocity approaches zero, the boundary brane collision may be nearly elastic, resulting in no radiation being produced on the branes. But at finite velocity, we should expect entropy production as radiation modes are excited both in the bulk and on the colliding branes.

Let us consider the description of fluids produced on the branes at the collision. The action for a fluid in a background metric  $\hat{g}_{\mu\nu}$  is  $-\int d^d x \sqrt{-\hat{g}} \rho$ , where  $\rho$  is implicitly determined in terms of  $\hat{g}_{\mu\nu}$  by the fluid equations. In the present context, where the matter couples to the higher dimensional metric, we should take  $\hat{g}_{\mu\nu}$  to be  $\bar{g}_{\mu\nu}$  given in Eq. (14), rather than the Einstein-frame four dimensional metric  $g_{\mu\nu}$ . This difference is very important. Whereas the Einstein frame scale factor  $a$  vanishes at the singularity, the scale factor  $\bar{a}$  is finite there. In consequence, fluids coupling to  $\bar{a}$  have finite density and temperature at the singularity. The usual infinite blueshift caused by the vanishing of  $a$  is pre-

cisely cancelled by an infinite “fifth force” redshift due to the coupling to  $\phi$ , as  $\phi \rightarrow -\infty$ . We see once more that within the context we are discussing, the big crunch–big bang singularity is remarkably non-singular.

Let us consider as an example the case where the incoming state has no radiation, and the potential  $V(\phi)$  asymptotes to zero as  $\phi \rightarrow -\infty$ , and remains zero after the collision. Assume also that a small amount of radiation is produced, so the collision is slightly “inelastic.” The “elastic” matching condition discussed above,  $a'_{0,1}(\text{out}) = -a'_{0,1}(\text{in})$ , cannot apply, since it would be incompatible with the Friedmann constraint, which reads

$$a'_{0}(\text{out})^2 - a'_{1}(\text{out})^2 = \left( \frac{d-2}{d-1} \right) \rho(\bar{a}) \bar{a}^d. \quad (23)$$

As stressed above, each term in this equation is perfectly finite at  $a=0$  (the “singularity”). But the presence of the positive radiation-matter density term on the right hand side means that the outgoing trajectory must be time-like in the  $(a_0, a_1)$  plane.

The details of the microscopic physics determine the amount of radiation which is generated by the collision. In terms of the long distance effective theory that we have been using the microscopic physics also determines the precise boundary conditions on  $a'_0$  and  $a'_1$ . If before the collision the system has no radiation and the potential vanishes for  $\phi \rightarrow -\infty$ , the trajectory in field space hits the boundary along a light-like curve. As we said, because of Eq. (23) if radiation is being generated, it bounces off the boundary along a time-like curve and the trajectory is not time symmetric.

This discussion will be further elaborated upon in Ref. [21].

## VI. DISTANCE TO THE SINGULARITY

When referring to the moduli space in string theory, one usually has in mind the moduli of the compact dimensions, keeping the noncompact dimensions unchanged. In particular, the scale size of the noncompact dimensions  $a$  is not usually considered to be one of the coordinates on moduli space. Most of the singularities which are studied in string theory are at finite distance in moduli space. At such a singularity the presence of gravity can be neglected, and the essential physics of the singularity is described by local quantum field theory. The latter can be either a weakly coupled quantum field theory with new light degrees of freedom which become massless at the singularity, or a strongly coupled quantum field theory at a nontrivial fixed point of the renormalization group. A typical example of such a singularity is the small  $E_8$  instanton transition in which a bulk brane hits the boundary brane [22–24]. This is the singularity which was proposed to be the initiation of the big bang phase in the ekpyrotic model [12].

The singularity of interest here is of a totally different nature. We are interested in the singularity at  $\phi = -\infty$ . The metric on  $(a, \phi)$  space is given from the kinetic terms in the action (13). After a trivial scaling of  $a$  the line element is proportional to  $-da^2 + a^2 d\phi^2$ . For fixed  $a$ , the singularity is

clearly at infinite distance in moduli space. The way we manage to reach it at finite time is to consider a motion not only in the  $\phi$  moduli space but in an extended space including also the scale size of the noncompact dimensions  $a$ . Since this extended space has Lorentzian signature, the proper distance to  $(\phi = -\infty, a = 0)$  can be finite even when it is infinite to any generic  $a$ .

The fact that  $a$  vanishes at the singularity has profound implications. Unlike the other singularities which are field theoretic, here gravity cannot be ignored. Therefore, the physics of the singularity cannot be described by local quantum field theory coupled to weakly coupled gravity. It is an important challenge to find other such singularities and to describe them in detail.

## VII. PASSAGE THROUGH THE SINGULARITY AND THE ROLE OF STRING THEORY

To prove that the transition past  $a=0$  can occur smoothly, one must have a consistent theory at short distances and complete control of the dynamics at the singularity. Here is where string theory becomes an essential element. To determine what happens at  $a=0$ , it is natural to try to embed our solution in string theory which provides a complete theory of quantum gravity.

Our equations can be embedded in string theory in several different ways. The most straightforward way is to embed it in type IIA or the heterotic string in  $d=10$  by identifying  $\phi$  with the dilaton. As pointed out in the discussion following Eq. (17),  $\bar{g}_{\mu\nu}$  of Eq. (14) is the ten-dimensional metric measured in M theory units. So our background is M theory on  $\mathbf{R}^9 \times \mathcal{M}^2$ , where  $\mathcal{M}^2$  is the 2D compactified Milne space described by the metric in Eq. (17).

Is our background a solution of the M theory equations of motion? The fact that the M theory metric  $(\psi^4, \bar{g}_{\mu\nu})$  is flat might suggest that the answer to this question is positive. However, since the background is obtained by modding flat eleven-dimensional space by a boost  $x^\pm \sim e^{\pm B^2} x^\pm$ , we should be more careful. Spin half fields transform under this operation as  $F_\pm \rightarrow \zeta e^{\mp(1/2)B^2} F_\pm$  where  $\zeta = \pm 1$  is a choice of spin structure. Therefore, there is no covariantly constant spinor, our background breaks supersymmetry, and it is not clear whether the quantum equations of motion are satisfied. For  $|t| \rightarrow \infty$ , where the circumference of the circle is large this breaking is small and we have a good approximation to a solution of the equations of motion. For small  $|t|$  near the singularity the quantum effects become large and a more careful analysis is needed.

Attempting to proceed to small  $t$ , it is natural to change variables to the string metric  $g_{\mu\nu}^{(s)} = \psi^2 \bar{g}_{\mu\nu} = \psi^{3/2} g_{\mu\nu}$ . Let  $\phi = \frac{3}{2} \log \psi^2$  be the dilaton in terms of which the action is

$$\int d^{10}x \sqrt{-g^{(s)}} e^{-2\phi} (R(g^{(s)}) + 4g^{(s)\mu\nu} \partial_\mu \phi \partial_\nu \phi). \quad (24)$$

The solution of the equations of motion is  $\psi \sim |t|^{1/2}$ , and using the relations between the various metrics

$$g_{\mu\nu}(s) = \psi^2 g_{\mu\nu} = \alpha |t| \eta_{\mu\nu}$$

and

$$g_s^2 = e^{2\phi} = \psi^6 = \beta |t|^3 \quad (25)$$

where  $g_s$  is the string coupling and  $\alpha$  and  $\beta$  are arbitrary positive constants. In terms of  $\tau_s \sim t^{3/2}$ , the string metric is  $d\tau_s^2 \sim -d\tau_s^2 + \tau_s^{2/3} \sum_{i=1}^9 (dx^i)^2$  and  $g_s \sim |\tau_s|$ . Note that the string coupling vanishes at the singularity at  $\tau_s = 0$ . It is easy to see that the results in Eq. (25) satisfy the string equations of motion to leading order in  $\alpha'$  and  $g_s$  (where we use the string metric  $g_{\mu\nu}^{(s)}$ )

$$R_{\mu\nu}(g_{\mu\nu}^{(s)}) = -2\nabla_\mu \nabla_\nu \phi = \frac{3}{2t^2} (\eta_{\mu\nu} + 4\delta_{\mu 0} \delta_{\nu 0})$$

$$\nabla_\mu \phi \nabla^\mu \phi = \frac{1}{2} \nabla^2 \phi = -\frac{9}{4|t|^3} \quad (26)$$

and, therefore, lead to a conformal field theory to leading order in  $\alpha'$ . By choosing the constants  $\alpha$  and  $\beta$  appropriately, we can make the range of validity of this approximation arbitrarily large although not to  $t=0$ .

In the long time limit  $|t| \rightarrow \infty$ , in the string frame the Universe expands and becomes large. The string coupling  $g_s$  also becomes large. However, the theory is still manageable. In type IIA theory, the theory becomes M theory in eleven dimensions where the size of the eleventh dimension is large [17].

We can also consider type IIB theory on our background. The low energy theory is still of the form in Eq. (24), and its solution can be expressed using the string theory variables as in Eq. (25). However, we no longer have the argument for the bounce which is based on the compactification of a higher dimensional theory. Still we can examine the behavior of each branch of the solution. At long time the string coupling is large and we can use S duality to transform the solution to another weakly coupled description with  $g_s^2 = 1/\beta |t|^3$ . The canonical Einstein metric,  $g_{\mu\nu} = \alpha \beta^{-1/4} |t|^{1/4} \eta_{\mu\nu}$  does not transform and remains large, but the string metric,  $g_{\mu\nu}^{(s)} = (\alpha/\sqrt{\beta|t|}) \eta_{\mu\nu}$  shrinks to a point.

Another context in which our background can arise in string theory is when there are some other compact dimensions. Consider for example the compactification of M theory on the flat space  $\mathbf{R}^8 \times \mathcal{M}^2 \times \mathcal{S}^1$ . That is, we compactify one of the Euclidean dimensions of the previously mentioned background on a circle. We consider the  $\mathcal{S}^1$  factor as the M theory circle, and interpret the theory as type IIA theory on  $\mathbf{R}^8 \times \mathcal{M}^2$ . Since the size of the  $\mathcal{S}^1$  is independent of spacetime, the string coupling is constant. Furthermore, since the metric on  $\mathbf{R}^8 \times \mathcal{M}^2$  is flat (except perhaps at  $t=0$ ) this is an exact solution of the string equations of motion to all orders in  $\alpha'$ . As we explained above, the background breaks supersymmetry and, therefore, we cannot argue that this is also an exact solution to all orders in  $g_s$ . Of particular interest are the winding modes around the spatial circle in  $\mathcal{M}^2$  near  $t=0$ . They are reminiscent of the tachyonic winding modes which were recently studied by Adams *et al.* [25]. These modes might lead to an instability of our

system near the singularity and to a divergence of the loop diagrams. One might be tempted to use T duality to transform the winding modes to momentum modes. The T-dual metric and string coupling are  $-(dt)^2 + [(\alpha')^2/B^4 t^2] (d\tilde{w})^2$  and  $\tilde{g}_s(t) = g_s(\sqrt{\alpha'}/B^2 t)$ . Both the T-dual curvature and the T-dual string coupling are large for  $t < \sqrt{\alpha'}$  and, therefore, the T-dual picture is not useful. The precise behavior of these modes and of other effects near the singularity is a fascinating issue which we hope to return to in a future publication.

## VIII. COSMOLOGICAL IMPLICATIONS

Our conjecture is that the Universe can undergo a transition from a big crunch to a big bang by passing through a string theoretic regime which connects the two phases. It is standard in string compactifications to have a singularity which is common to several different classical spaces. The theory at the singularity is often less singular than one might expect classically [26]. The flop [27] and the conifold [28] transitions are particular examples of this general phenomenon. Even though these singularities are spatial singularities, it is also likely that dynamical singularities like our big crunch and big bang singularities are similarly connected in string theory. If so, what has been perceived as the beginning of time may simply be a bridge to a pre-existing phase of the Universe. The door is thereby opened to whole new classes of cosmological models, alternatives to the standard big bang and inflationary models.

A particularly pertinent example is the recently proposed ‘‘ekpyrotic’’ model of the universe [12]. According to this model, the universe began in a non-singular, nearly vacuum quasisstatic state that lasted for an indefinite period. The initial state can be described as a nearly BPS (Bogomol’nyi-Prasad-Sommerfield) configuration of two orbifold boundary branes and a  $(3+1)$ -dimensional brane in the bulk moving slowly along the intervening fifth dimension. The bulk brane is attracted to a boundary brane by a force associated with a negative scalar potential. The radiation that fuels the hot big bang is generated in the collision between the branes. The BPS condition ensures that the Universe is homogeneous and spatially flat. Ripples in the brane surface created by quantum fluctuations as the branes approach result in a nearly scale-invariant spectrum of density perturbations after the collision. In short, all of the cosmological problems of the standard hot big bang model are addressed.

For a bulk-brane–boundary-brane collision, the modulus that determines the distance between the branes remains finite and gravity is only a spectator. Consequently, this collision entails none of the subtleties discussed in this paper. However, in order for the ekpyrotic model to be viable, there remains an important challenge. In the long wavelength limit, the brane picture can be described by an effective 4D field theory with negative potential energy. Beginning from a static state, a negative potential energy causes the effective 4D scale factor to shrink [12]. In the braneworld picture, the Universe continues to shrink because the boundary branes are approaching one another [12]. It is essential that a

mechanism exist that will reverse contraction to expansion after the bulk and boundary branes collide, a point emphasized by many people [29].

In this paper, we have focused on the reversal process and, particularly, on the possibility of a collision and rebound between the boundary branes. Our result suggests that the reversal to increasing  $a$  might be accomplished by a second collision between the boundary branes. The essence of our argument is that there exist variables that remain finite on each side of the bounce and that there is a natural way to match across the bounce. In Ref. [21], we discuss how perturbations created during the contracting phase evolve into the expanding phase by identifying a set of perturbation variables that also remain finite at the bounce and naturally match across the boundary.

A major modification of the ekpyrotic scenario suggests itself. Perhaps the scenario can be accomplished with only the boundary branes and no bulk brane. Qualitatively, it is straightforward to show that, if there is a negative, attractive potential drawing the two boundary branes towards one an-

other which satisfies the conditions assumed before for the bulk-brane–boundary-brane potential, a nearly scale-invariant spectrum of perturbations will be produced that remains after the bounce, as discussed in Ref. [21]. We are currently examining this alternative scenario to determine if the quantitative requirements for the density perturbations can be met. If so, this would represent a significant simplification relying on novel physical processes that occur when boundary branes collide.

#### ACKNOWLEDGMENTS

We thank T. Banks, G. Horowitz, J. Maldacena, J. Polchinski and E. Witten for useful discussions. N.T. thanks N. Kaloper for bringing Ref. [11] to our attention. This work was supported in part by the Natural Sciences and Engineering Research Council of Canada (J.K.), the U.S. Department of Energy grants DE-FG02-91ER40671 (J.K. and P.J.S.) and DE-AC02-76-03071 (B.A.O.), DE-FG02-90ER40542 (N.S.), and by PPARC-UK (N.T.).

- 
- [1] A.H. Guth, Phys. Rev. D **23**, 347 (1981).
  - [2] G. Veneziano, Phys. Lett. B **265**, 287 (1991); M. Gasperini and G. Veneziano, Astropart. Phys. **1**, 317 (1993).
  - [3] J. Horne and G. Moore, Nucl. Phys. **B432**, 109 (1994); T. Banks, M. Berkooz, G. Moore, S. Shenker, and P.J. Steinhardt, Phys. Rev. D **52**, 3548 (1995); T. Banks, hep-th/9911067; T. Damour, M. Henneaux, B. Julia, and H. Nicolai, Phys. Lett. B **509**, 323 (2001).
  - [4] T. Banks, W. Fischler, and L. Motl, J. High Energy Phys. **01**, 091 (1999).
  - [5] For a recent review of the pre-big-bang scenario, see G. Veneziano, hep-th/0002094.
  - [6] R. Brustein and R. Madden, Phys. Lett. B **410**, 110 (1997); R. Brustein and G. Veneziano, *ibid.* **329**, 429 (1994).
  - [7] N. Kaloper, R. Madden, and K.A. Olive, Nucl. Phys. **B452**, 667 (1995); Phys. Lett. B **371**, 34 (1996).
  - [8] R. Easther, K. Maeda, and D. Wands, Phys. Rev. D **53**, 4247 (1996).
  - [9] J.E. Lidsey, Phys. Rev. D **55**, 3303 (1997).
  - [10] A. Lukas, B.A. Ovrut, and D. Waldram, Nucl. Phys. **B495**, 365 (1997).
  - [11] For related ideas, see N. Kaloper, I. Kogan, and K. Olive, Phys. Rev. D **57**, 7340 (1998); **60**, 049901(E) (1999).
  - [12] J. Khoury, B.A. Ovrut, P.J. Steinhardt, and N. Turok, Phys. Rev. D **64**, 123522 (2001).
  - [13] R. Brustein and R. Madden, Phys. Lett. B **410**, 110 (1997).
  - [14] K. Kirklin, N. Turok, and T. Wiseman, Phys. Rev. D **63**, 083509 (2001).
  - [15] J. Dai, R.G. Leigh, and J. Polchinski, Mod. Phys. Lett. A **4**, 2073 (1989).
  - [16] P. Hořava and E. Witten, Nucl. Phys. **B460**, 506 (1996); **B475**, 94 (1996).
  - [17] J. Polchinski, *String Theory* (Cambridge University Press, Cambridge, England, 1998), Vols. I and II.
  - [18] G. Horowitz and A. Steif, Phys. Lett. B **258**, 91 (1991).
  - [19] R. Brandenberger and C. Vafa, Nucl. Phys. **B316**, 391 (1988).
  - [20] A.A. Tseytlin and C. Vafa, Nucl. Phys. **B372**, 443 (1992).
  - [21] J. Khoury, B.A. Ovrut, P.J. Steinhardt, and N. Turok, Phys. Rev. D (to be published).
  - [22] O. Ganor and A. Hanany, Nucl. Phys. **B474**, 122 (1996).
  - [23] N. Seiberg and E. Witten, Nucl. Phys. **B471**, 121 (1996).
  - [24] B.A. Ovrut, T. Pantev, and J. Park, J. High Energy Phys. **05**, 045 (2000).
  - [25] A. Adams, J. Polchinski, and E. Silverstein, J. High Energy Phys. **10**, 029 (2001).
  - [26] A. Strominger, Nucl. Phys. **B451**, 96 (1995).
  - [27] P.S. Aspinwall, B.R. Greene, and D.R. Morrison, Nucl. Phys. **B416**, 414 (1994); E. Witten, *ibid.* **B403**, 159 (1993).
  - [28] A. Strominger, Nucl. Phys. **B451**, 96 (1995); B.R. Greene, D.R. Morrison, and A. Strominger, *ibid.* **B451**, 109 (1995).
  - [29] R. Kallosh, L. Kofman, A. Linde, and A. Tseytlin, Phys. Rev. D **64**, 123524 (2001), and references therein; D. Lyth, Phys. Lett. B **524**, 1 (2002); R. Brandenberger and F. Finelli (in preparation).